

**Study of beauty-quark production, hadronization  
and CNM effects via non-prompt charm-hadrons  
in pp and p-Pb collisions with ALICE**

**Mingyu Zhang** on behalf of the ALICE Collaboration  
Central China Normal University (Wuhan, China)  
University of Padova (Padova, Italy)  
July 19th, 2024

- Given the large mass of heavy-quarks, they are produced in hard-scattering process in hadronic collisions **c**  $m_c \sim 1.3 \text{ GeV}/c$  **b**  $m_b \sim 4.2 \text{ GeV}/c$ 
  - can be calculated with perturbative QCD

- Heavy-flavor hadron production cross section is typically calculated in a factorization approach
  - Key assumption: fragmentation functions are universal across collision systems

$$\frac{d\sigma^{pp \rightarrow H_q}}{dp_T} = f_i(x_1, \mu_f^2) f_j(x_2, \mu_f^2) \otimes \frac{d\sigma^{ij \rightarrow q}}{dp_T}(x_1, x_2, \mu_f^2) \otimes D_{q \rightarrow H_q}(z_q = \frac{p_{H_q}}{p_q}, \mu_f^2)$$

parton distribution functions (PDFs)      hard scattering cross section (pQCD)      fragmentation function (hadronization)

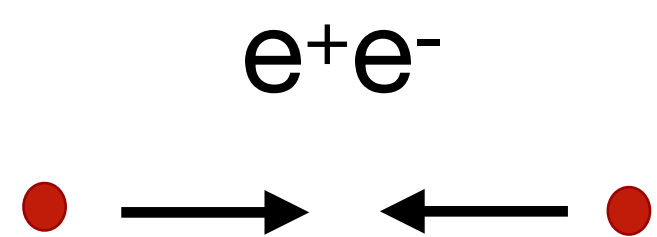
⇒ Ratios of particle species - a sensitive probe to heavy-quark hadronization

- Given the large mass of heavy-quarks, they are produced in hard-scattering process in hadronic collisions
  - $m_c \sim 1.3 \text{ GeV}/c$
  - $m_b \sim 4.2 \text{ GeV}/c$
  - can be calculated with perturbative QCD
- Heavy-flavor hadron production cross section is typically calculated in a factorization approach
  - Key assumption: fragmentation functions are universal across collision systems

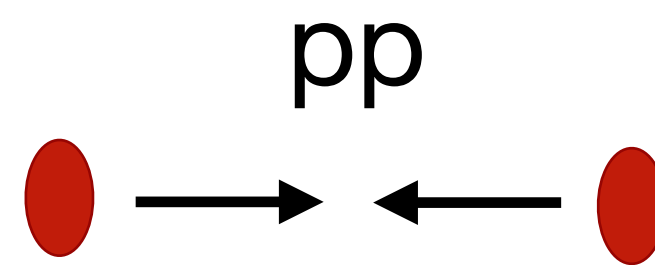
$$\frac{d\sigma^{pp \rightarrow H_q}}{dp_T} = f_i(x_1, \mu_f^2) f_j(x_2, \mu_f^2) \otimes \frac{d\sigma^{ij \rightarrow q}}{dp_T}(x_1, x_2, \mu_f^2) \otimes D_{q \rightarrow H_q}(z_q = \frac{p_{H_q}}{p_q}, \mu_f^2)$$

parton distribution functions (PDFs)    
 hard scattering cross section (pQCD)    
 fragmentation function (hadronization)

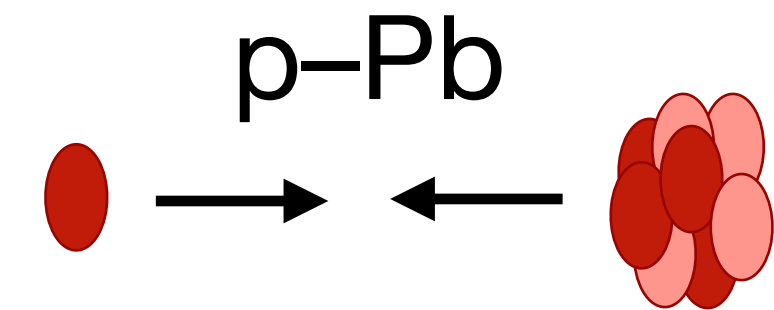
⇒ Ratios of particle species - a sensitive probe to heavy-quark hadronization



- "Point-like" interaction
- Pure fragmentation "vacuum"



- Superposition of many "point-like" interactions
- Modified hadronization by MPI and CR?



- Cold nuclear matter effects
- Modified PDF in bound nuclei and hadronization?

Non-prompt charm-hadron measurements performed in the central barrel ( $|\eta| < 0.9$ ), using:

- Inner Tracking System
- Time Projection Chamber
- Time-of-Flight detector
- V0 detectors

V0

- ▶ Trigger
- ▶ Centrality determination

ITS

- ▶ Track reconstruction
- ▶ Primary and decay vertex reconstruction

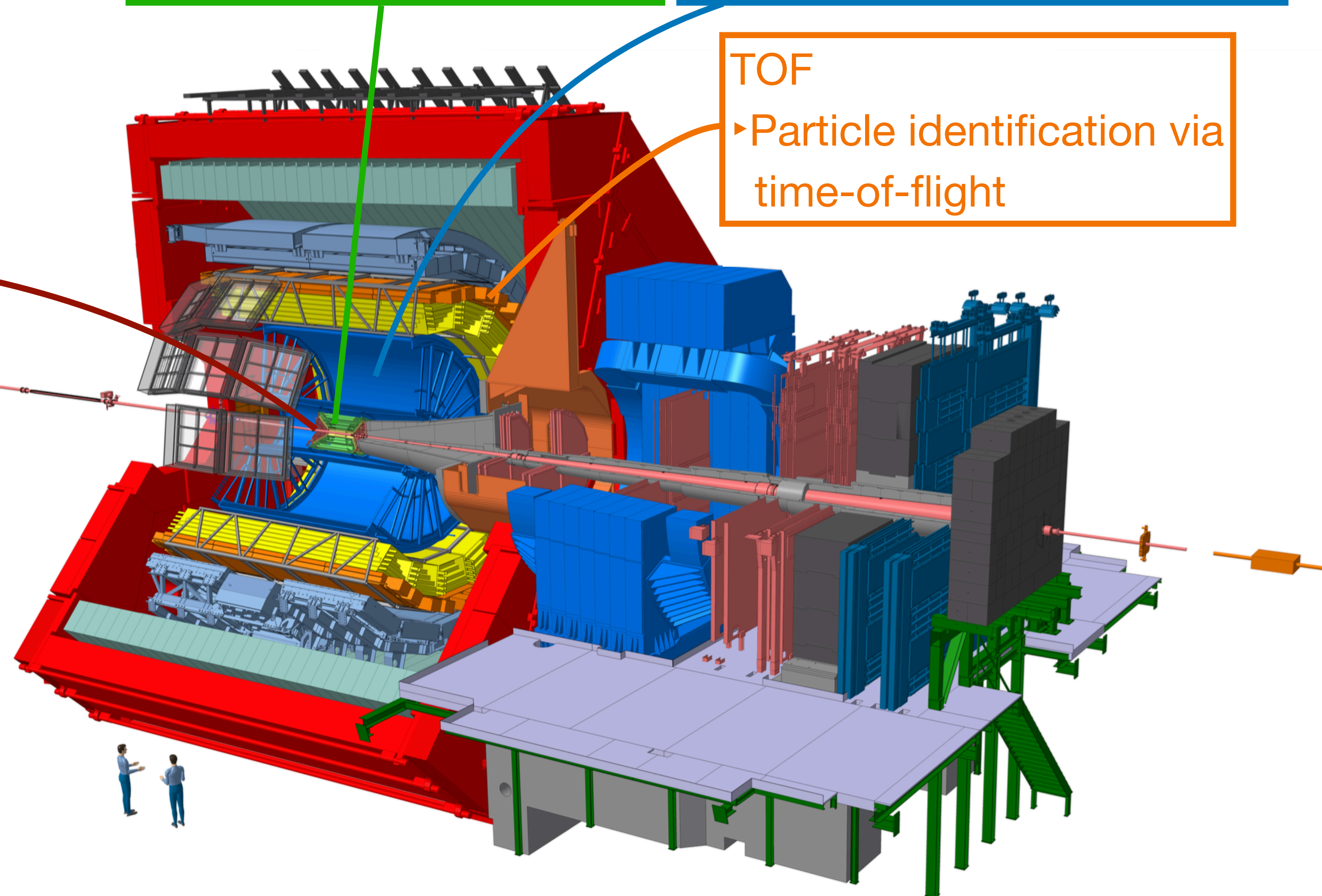
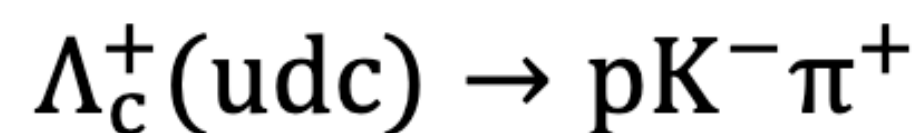
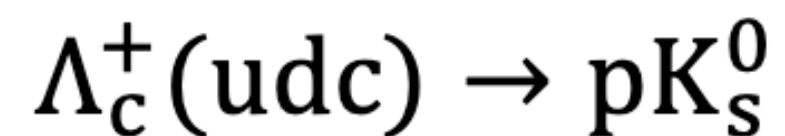
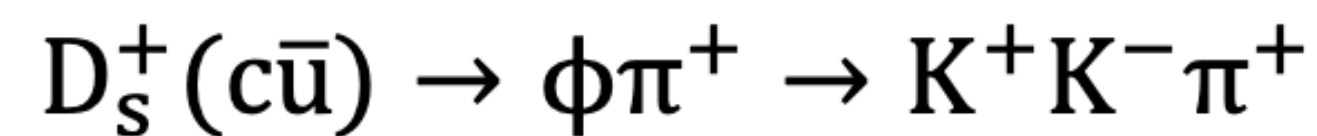
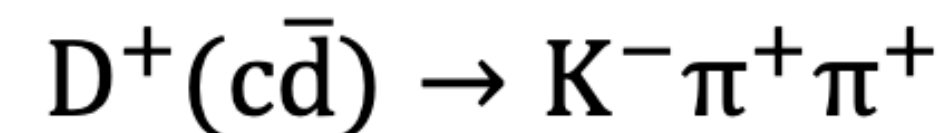
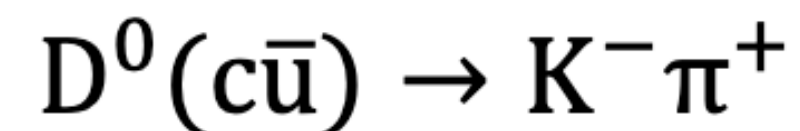
TPC

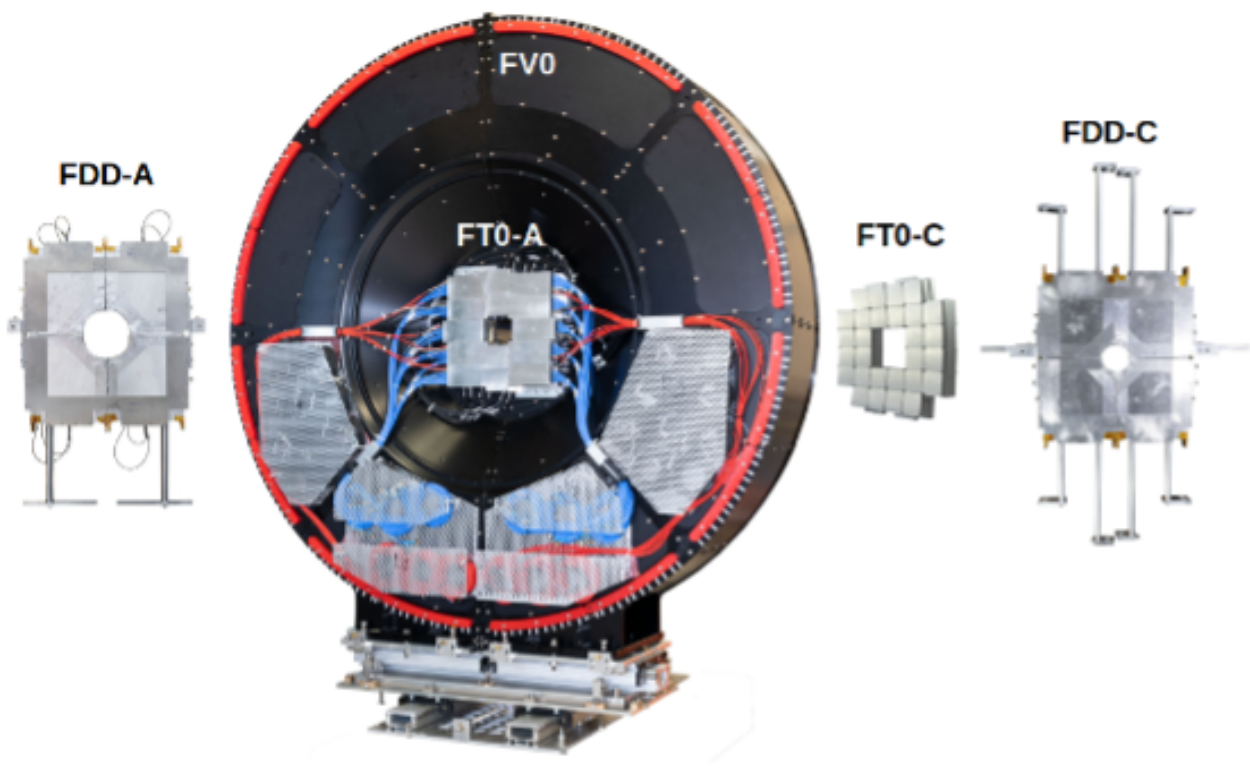
- ▶ Charged track reconstruction
- ▶ Particle identification via energy loss

TOF

- ▶ Particle identification via time-of-flight

Reconstructed non-prompt charm-hadron from beauty-hadron decays in this talk:





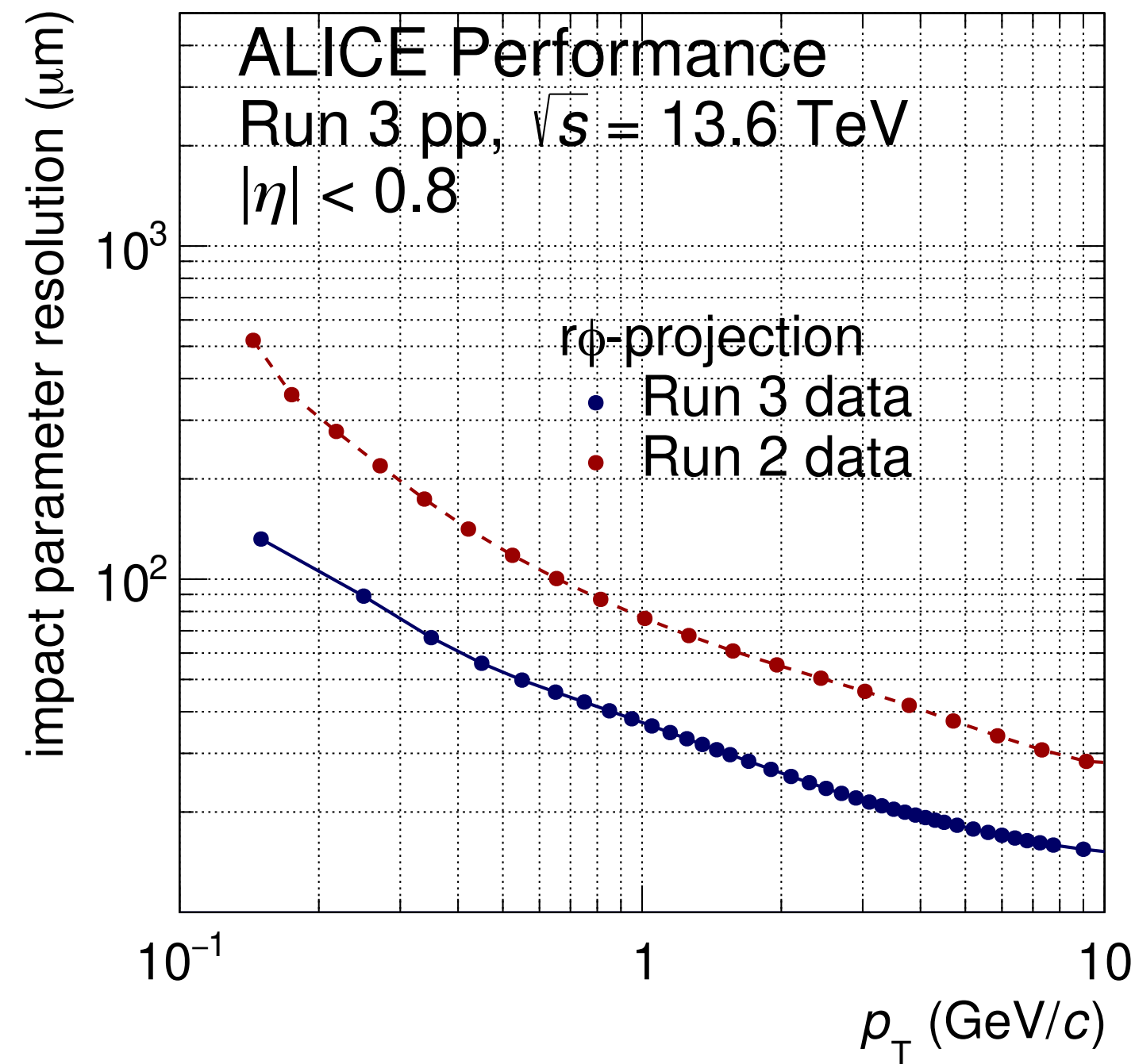
New: Fast Interaction Trigger (FIT)  
Interaction trigger, luminosity  
and multiplicity

Talk: [July 19th, 08:48 AM, Y. Melikyan](#)

New TPC readout  
Gas Electron Multiplier  
Continuous readout

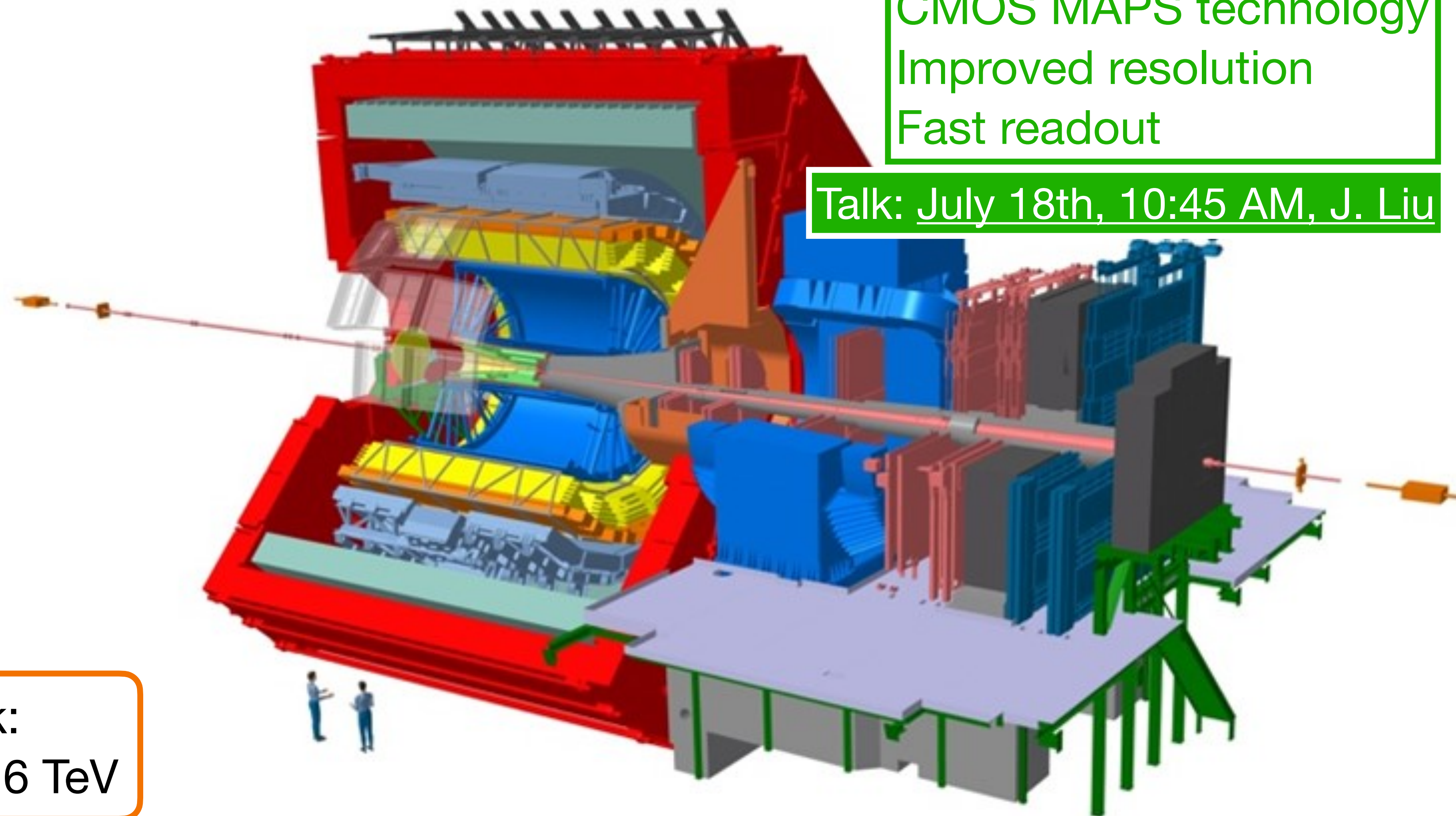
New: ITS2  
CMOS MAPS technology  
Improved resolution  
Fast readout

Talk: [July 18th, 10:45 AM, J. Liu](#)



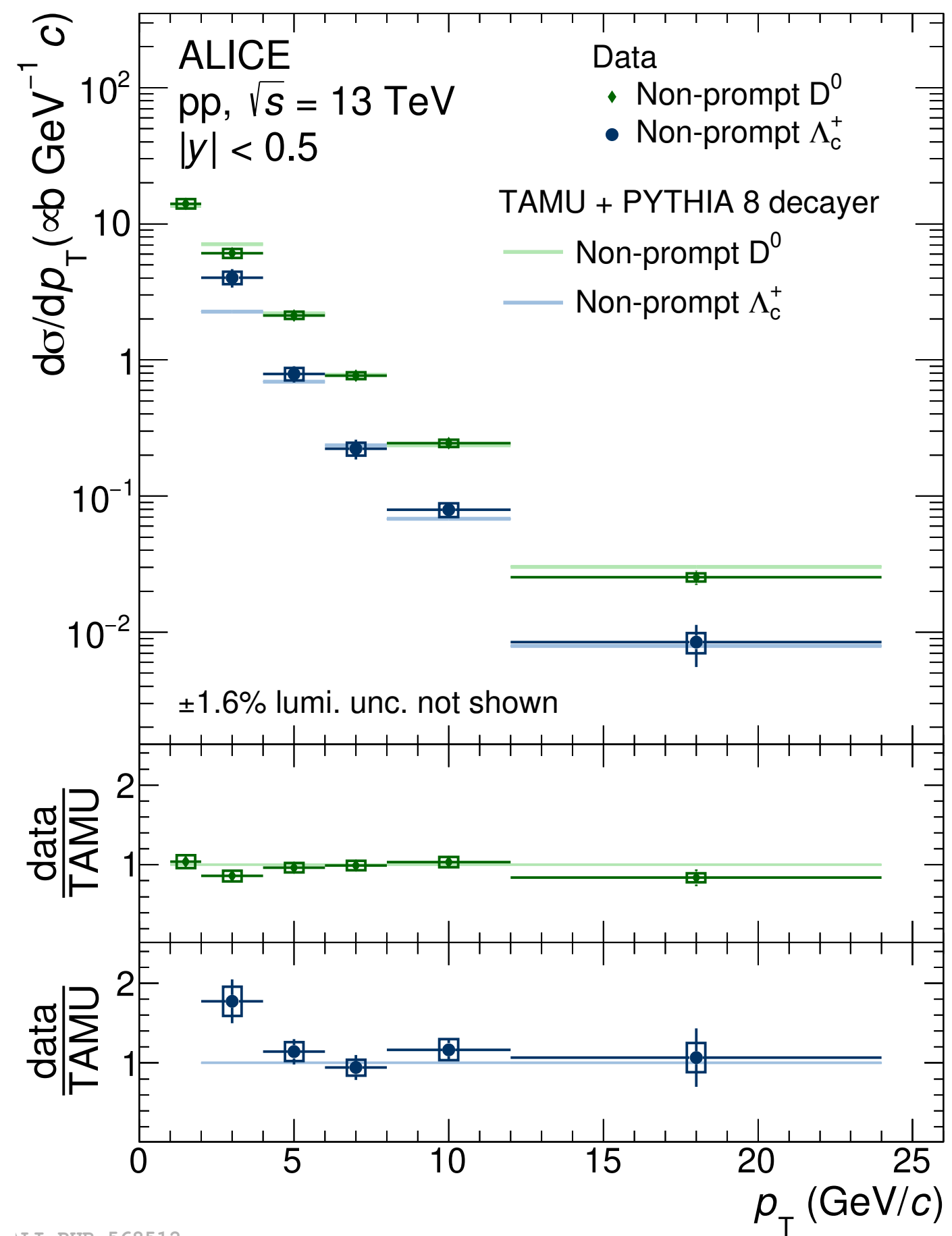
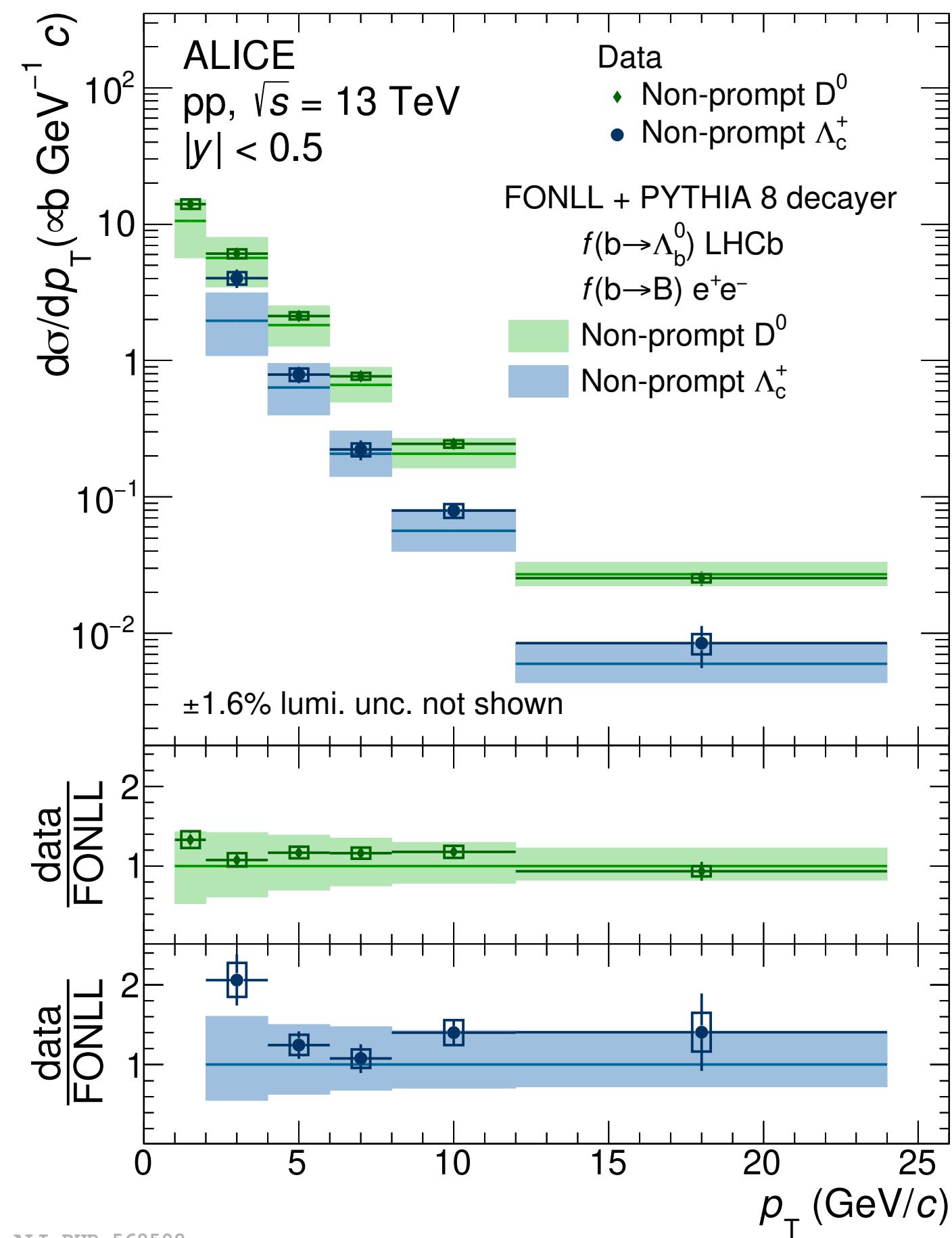
ALI-PERF-558822

Run 3 measurement in this talk:  
Non-prompt  $D^0$  fraction in pp at 13.6 TeV



PRD 108 (2023) 112003

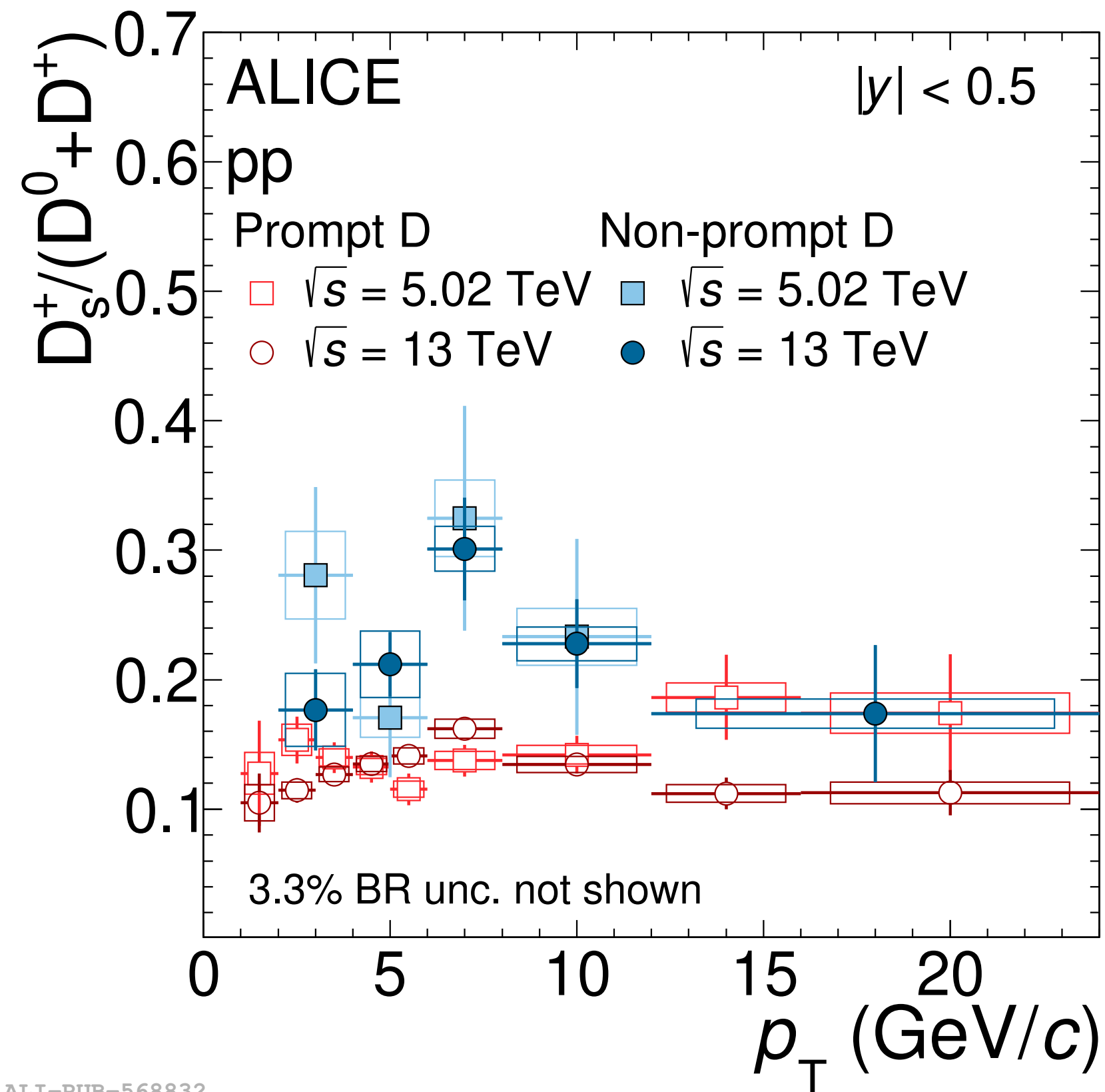
◆ Non-prompt  $D^0$   
● Non-prompt  $\Lambda_c^+$



- **Precise measurements of non-prompt charm hadron cross sections**
- **FONLL (FF from  $e^+e^-$ ) and TAMU (statistical hadronization approach) describe well the non-prompt D meson cross section**
- The production of **non-prompt  $\Lambda_c^+$**  shows a hint of **underestimation at low  $p_T$**  by both **FONLL (with  $b \rightarrow \Lambda_b^0$  tuned on LHCb data) and TAMU**

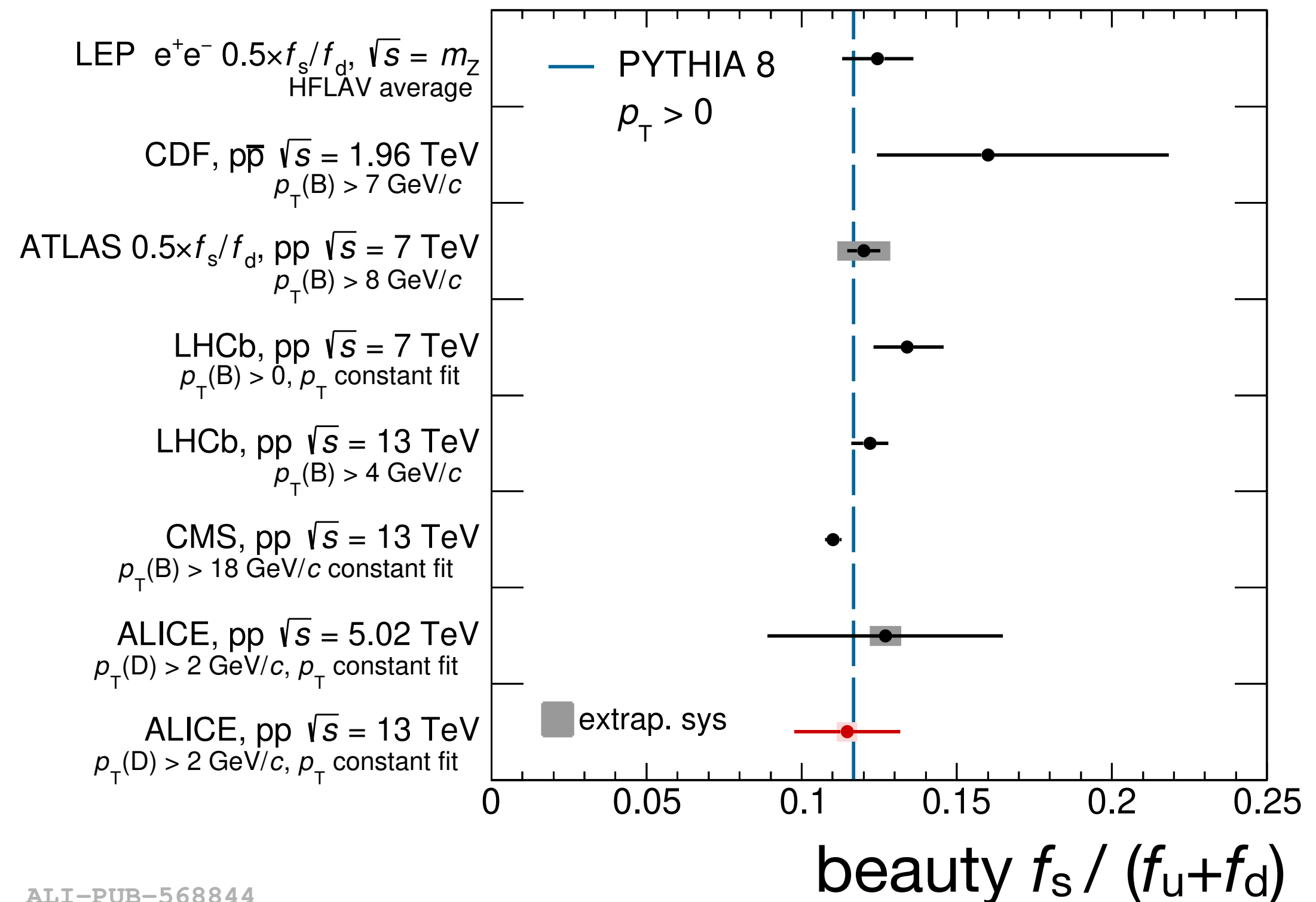
PRD 100 (2019) 031102(R)

arxiv: [2402.16417v1](https://arxiv.org/abs/2402.16417v1)



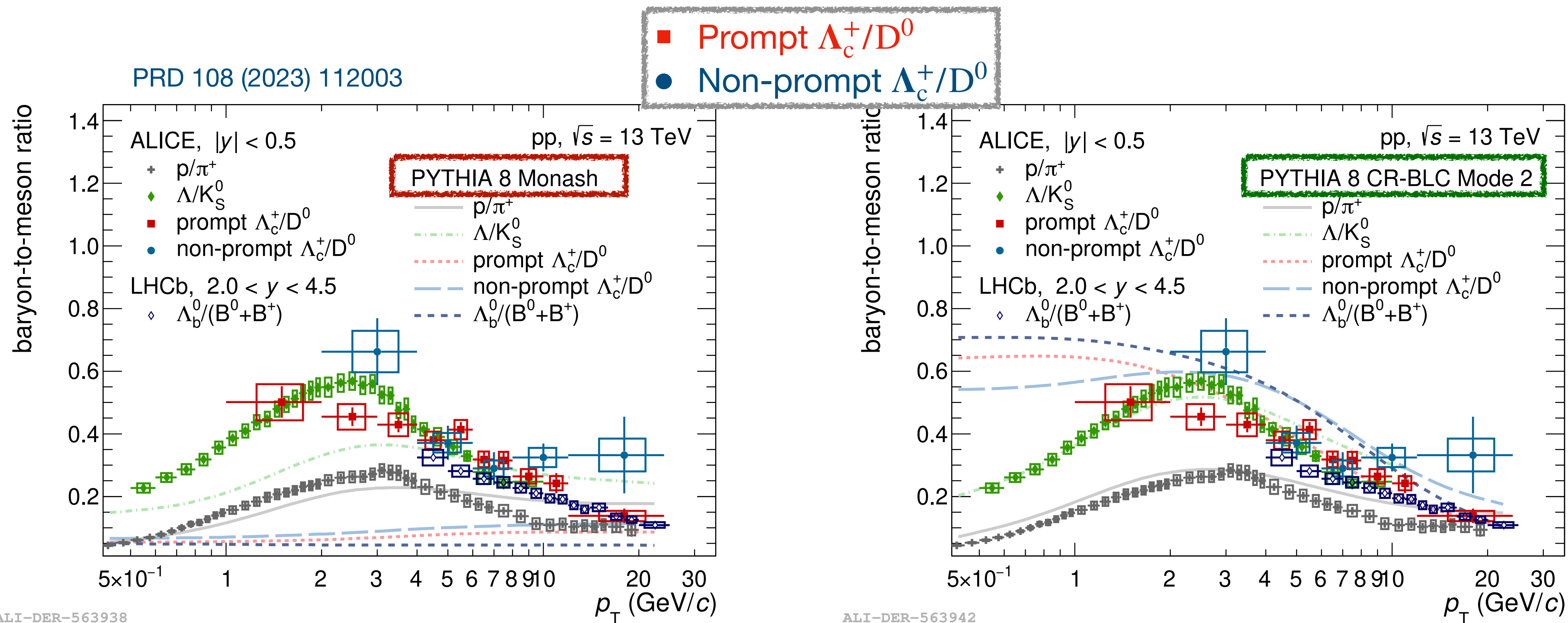
ALI-PUB-568832

## Fragmentation fraction ratio



ALI-PUB-568844

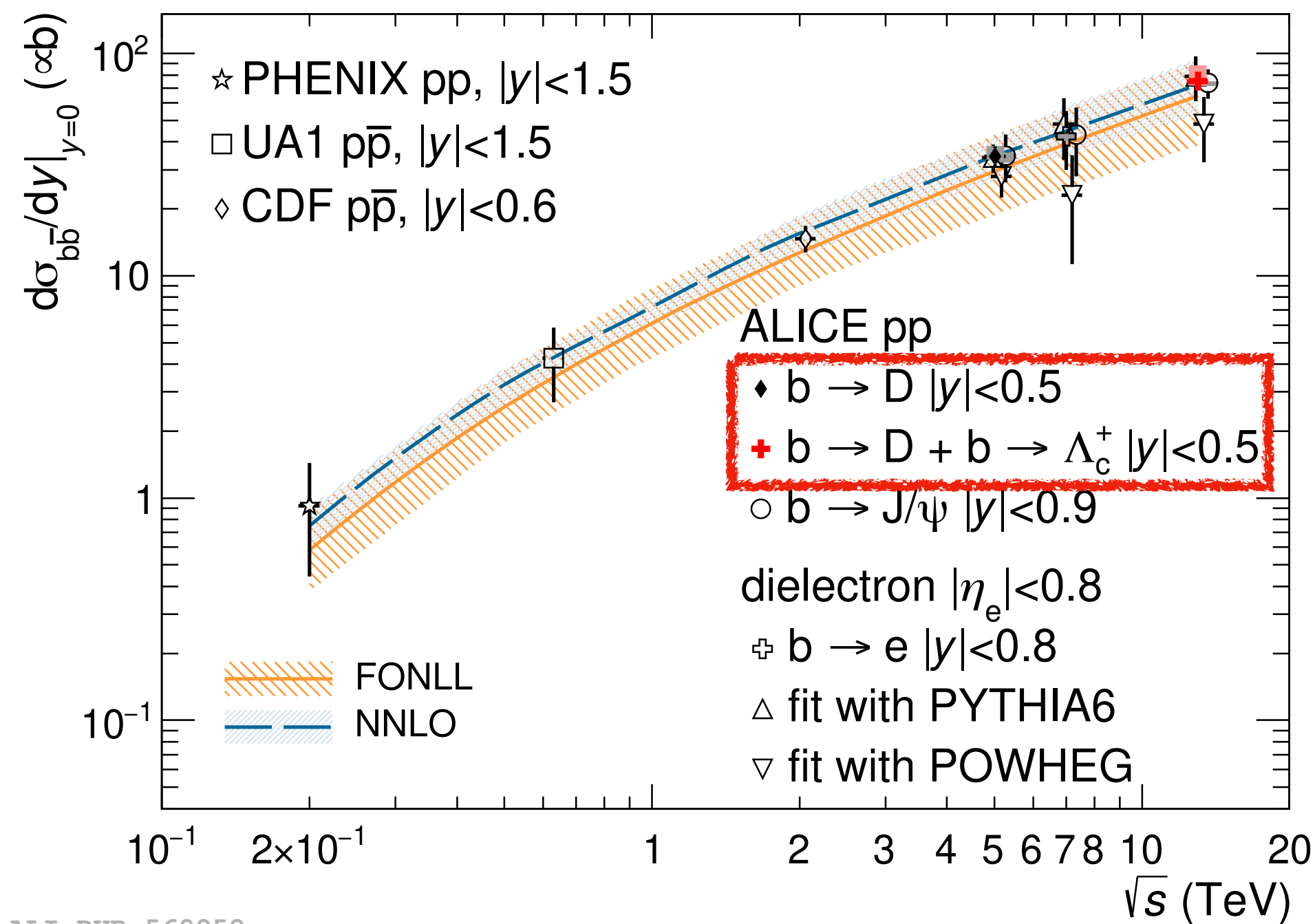
- **No significant  $p_T$  and  $\sqrt{s}$  dependence** for prompt and non-prompt  $D_s^+/(D^0 + D^+)$  ratio
- **Ratio of fragmentation fraction of b to strange / b to non-strange hadrons consistent** with results from other **LHC** measurements and  **$e^+e^-$**  measurements at lower energy



- Models using **fragmentation functions based on  $e^+e^-$**  significantly **underestimate** the heavy-flavor baryon-to-meson ratios
- Similar  $p_T$  trend for charm, beauty, and strange-hadrons, compatible within uncertainties
  - qualitatively similar  $p_T$  trend also observed in  $p/\pi^+$
- **PYTHIA 8 with CR-BLC tune** shows a good **agreement** for **charm and strange** hadrons, **slightly worse** for **beauty**

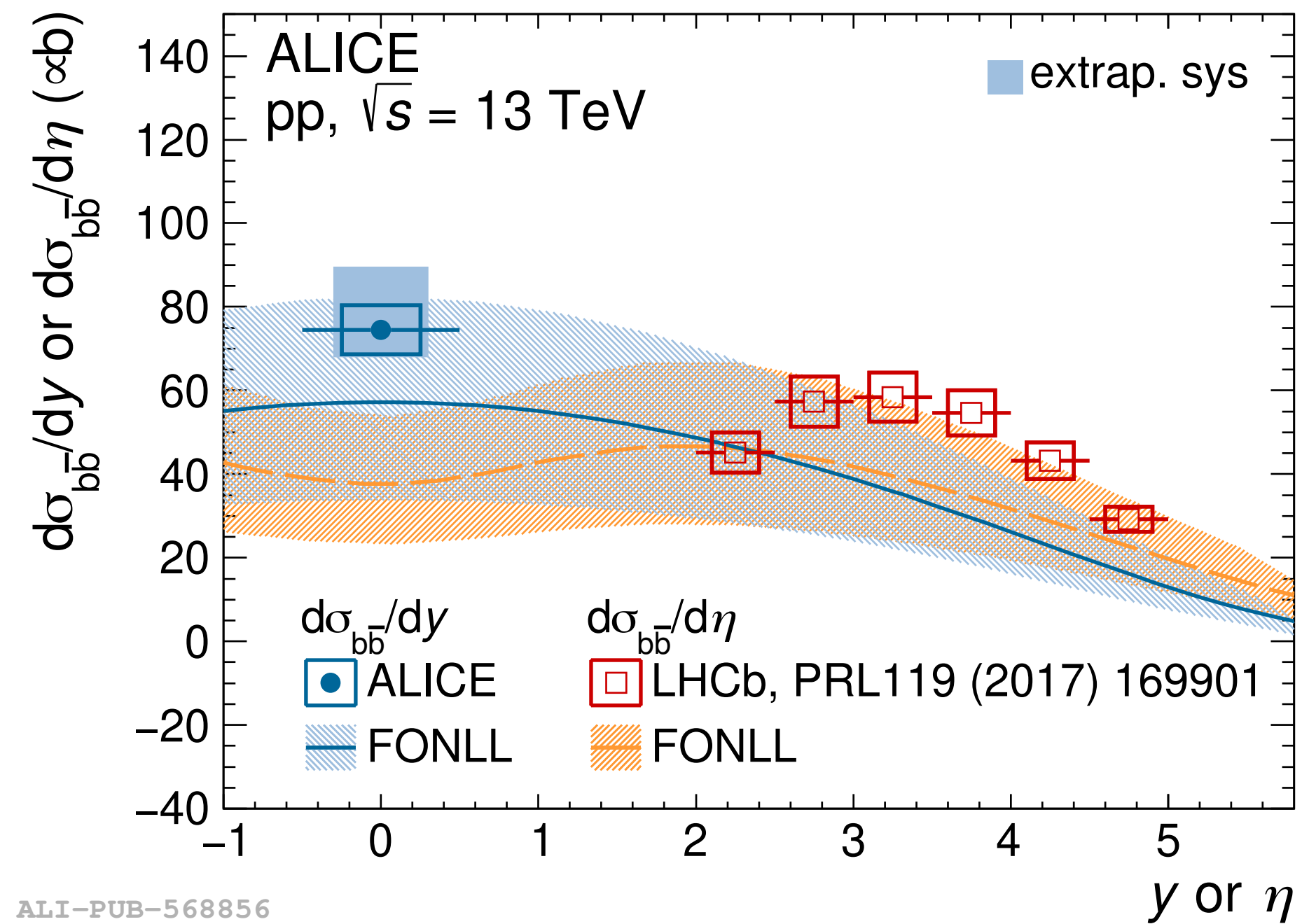


## $b\bar{b}$ cross section vs. $\sqrt{s}$



ALI-PUB-568852

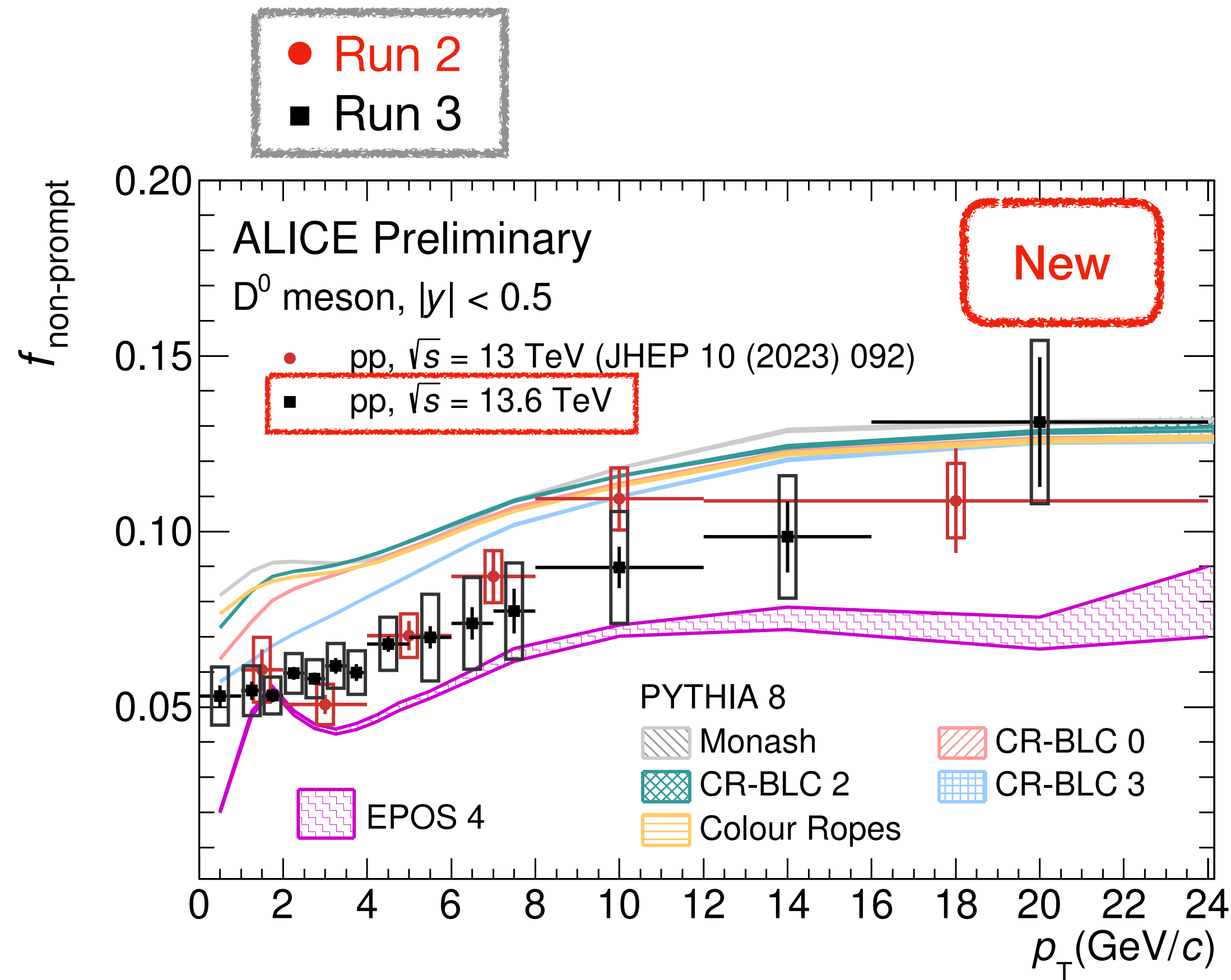
## $b\bar{b}$ cross section vs. $y$



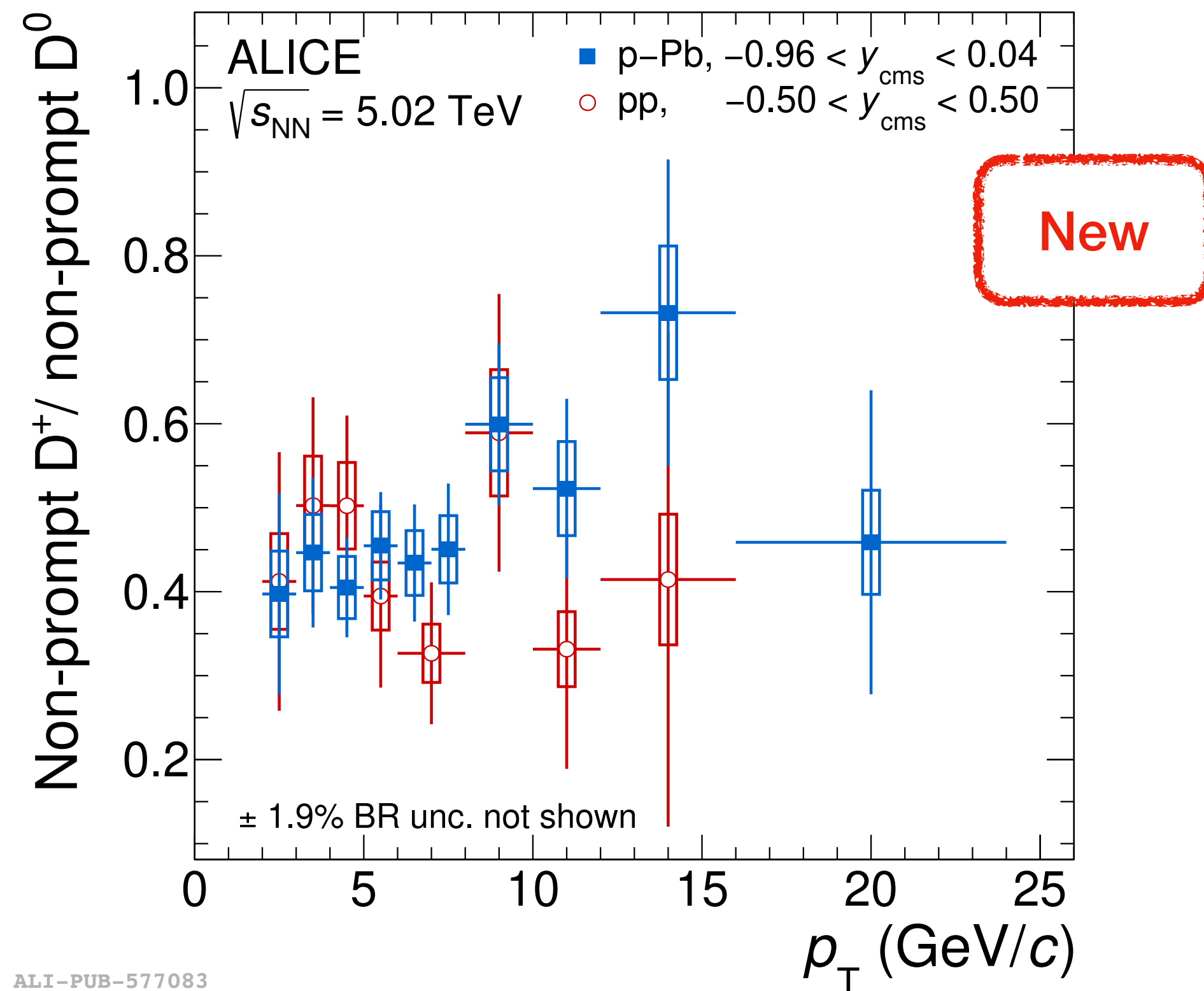
ALI-PUB-568856

arxiv: [2402.16417v1](https://arxiv.org/abs/2402.16417v1)

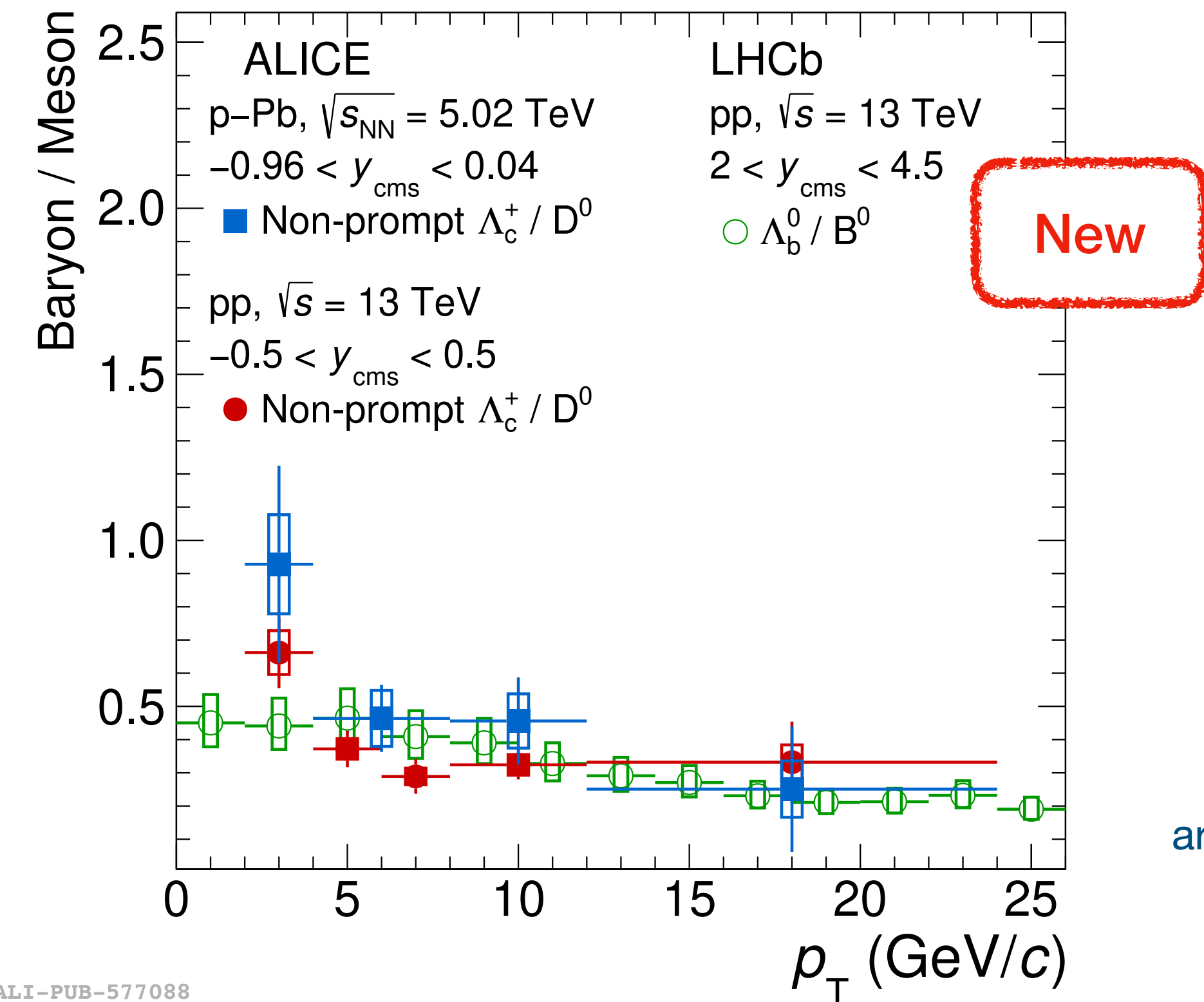
- The  $b\bar{b}$  production cross section at midrapidity is extrapolated from the measurements of non-prompt charm hadrons
- The  $\sqrt{s}$ - and  $y$ -dependent  $b\bar{b}$  cross section generally lies close to the upper boundary of the theoretical uncertainty band



- First non-prompt charm-hadron measurement in Run 3
- Measurement **down to  $p_T = 0$** , increased granularity w.r.t Run 2 results at 13 TeV
- Constrain modeling of charm and beauty production and hadronization in event generators



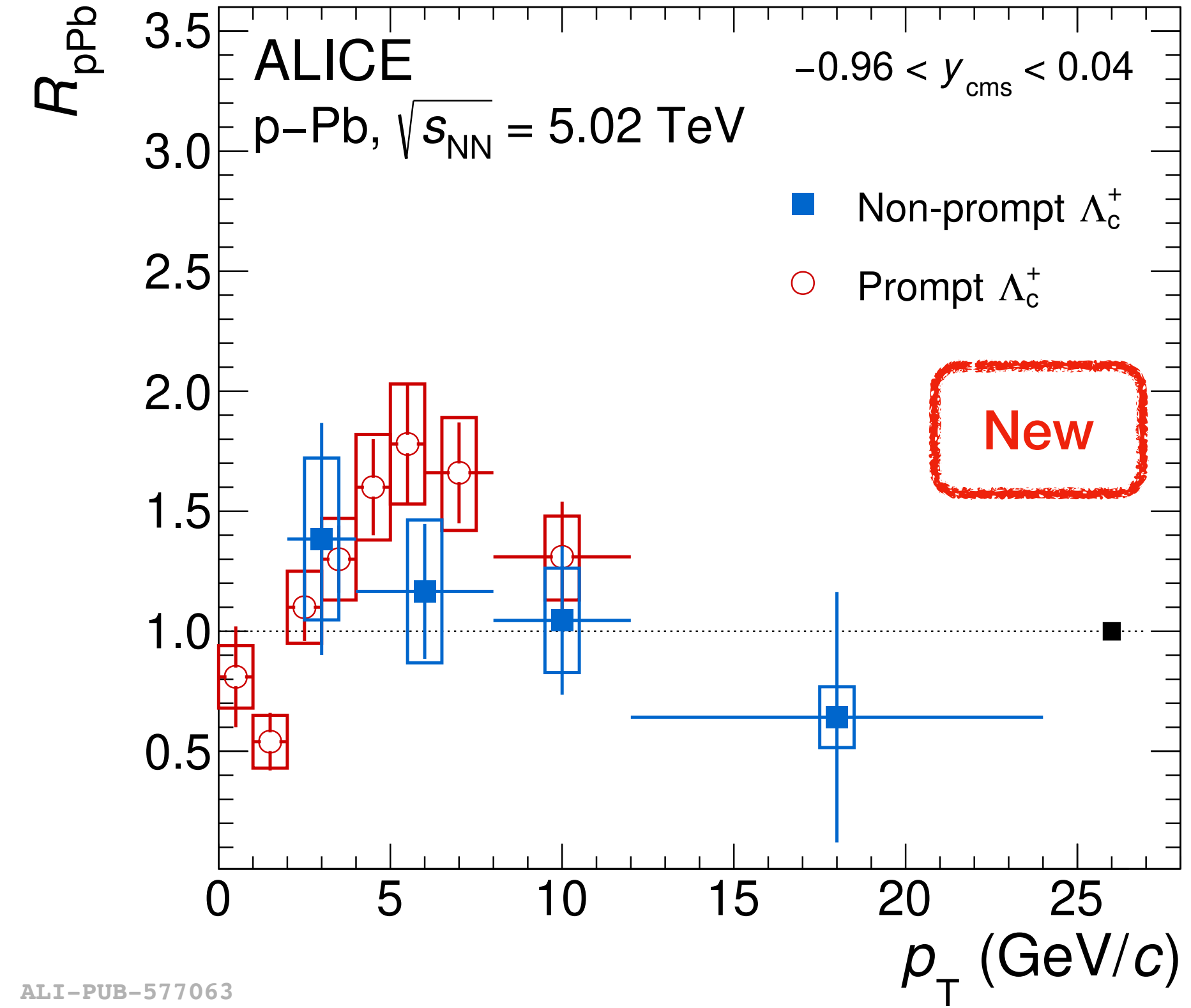
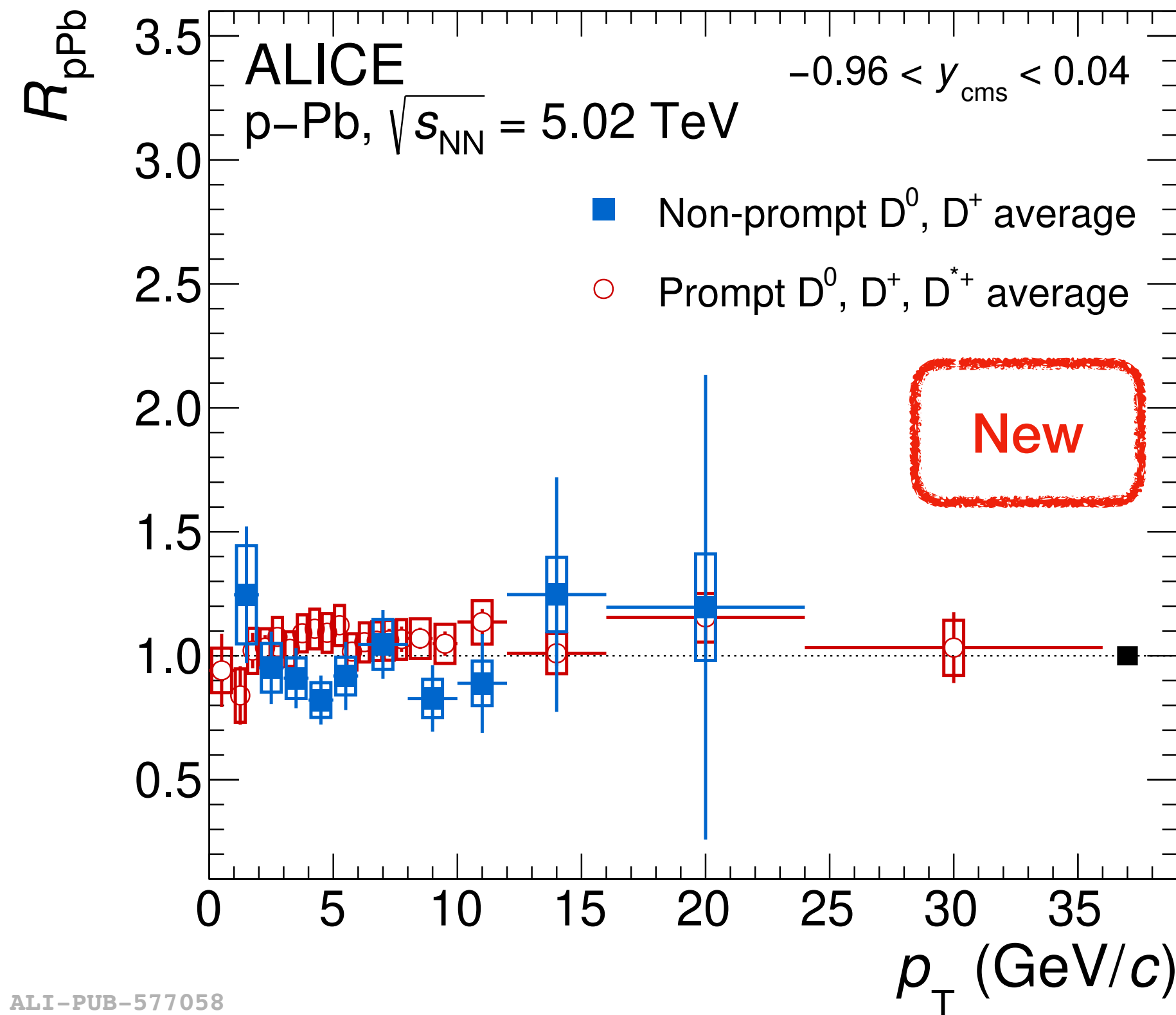
ALI-PUB-577083



ALI-PUB-577088

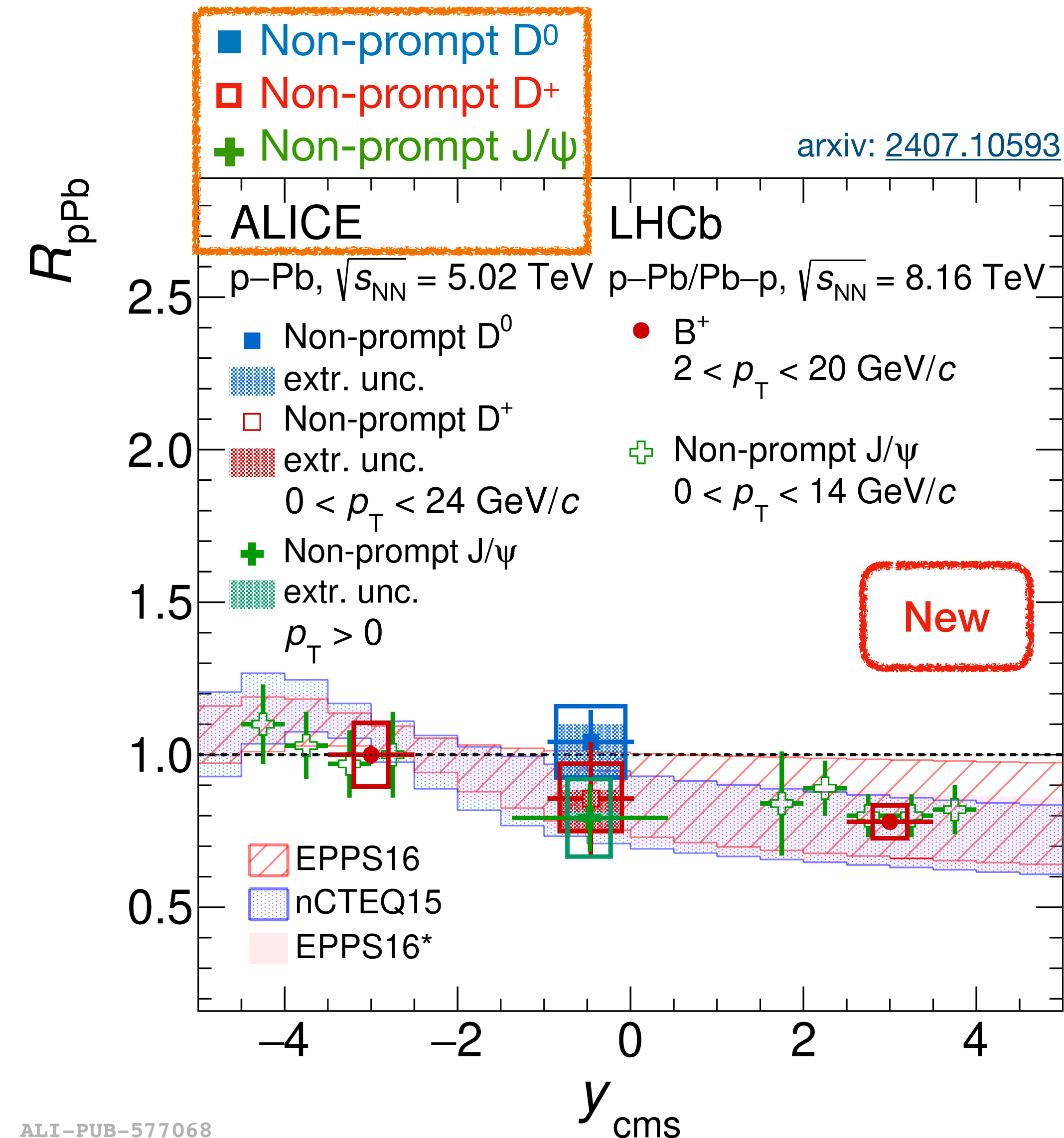
[arxiv: 2407.10593](https://arxiv.org/abs/2407.10593)

- The  $p_T$ -differential **non-prompt  $D^+ / D^0$**  production yield ratios in **pp** and **p-Pb** are **compatible** within uncertainties
- The **non-prompt  $\Lambda_c^+ / D^0$**  in **p-Pb** hints at a **higher ratio than pp**
  - suggesting a hardening of the beauty-baryon spectra? - lower  $p_T$  to be covered to conclude
  - coalescence + radial flow scenario?

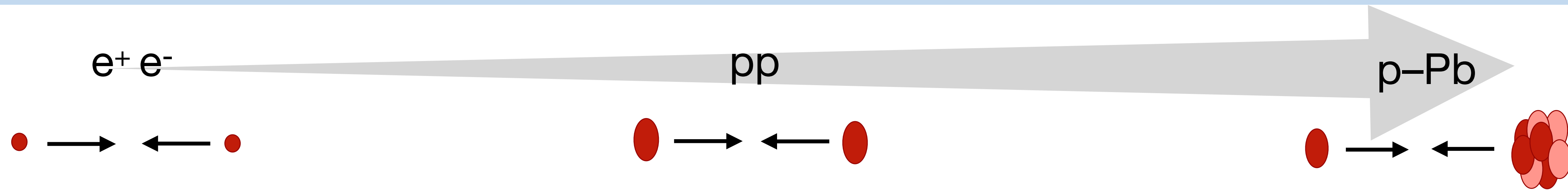


arxiv: [2407.10593](https://arxiv.org/abs/2407.10593)

- Nuclear modification factor:  $R_{pPb} = \frac{1}{A_{Pb}} \frac{d^2\sigma_{pPb}/(dydp_T)}{d^2\sigma_{pp}/(dydp_T)}$
- The  $p_T$ -differential **D meson**  $R_{pPb}^{prompt}$  and  $R_{pPb}^{non-prompt}$  are **compatible** with each other and with unity within experimental uncertainties
- Due to the large uncertainties, no conclusion about possible  $p_T$  trend of non-prompt  $R_{pPb}^{\Lambda_c^+}$



- The  $p_T$  integrated  $R_{pPb}$  of non-prompt  $D$ ,  $J/\psi$  are compared with LHCb measurements of  $B^+$  and non-prompt  $J/\psi$
- **No significant cold-nuclear matter effects on beauty at midrapidity**
- Rapidity trend of non-prompt  $D$ ,  $J/\psi$ ,  $B^+$  can be described by models with modified nPDFs



- Universal fragmentation fractions assumption violated for heavy quarks
- Multiple parton interactions in pp
  - system dense enough to modify hadronization w.r.t  $e^+e^-$
- Similar hadronization mechanism of beauty in both pp and p-Pb collisions
- Mild CNM effects, similar in beauty and charm sectors
- Upcoming heavy-flavor measurements with Run 3 data:
  - More precise measurements with extended  $p_T$  coverage
  - Much higher statistics and new observables
  - Better constraints to theoretical models for heavy-flavor production

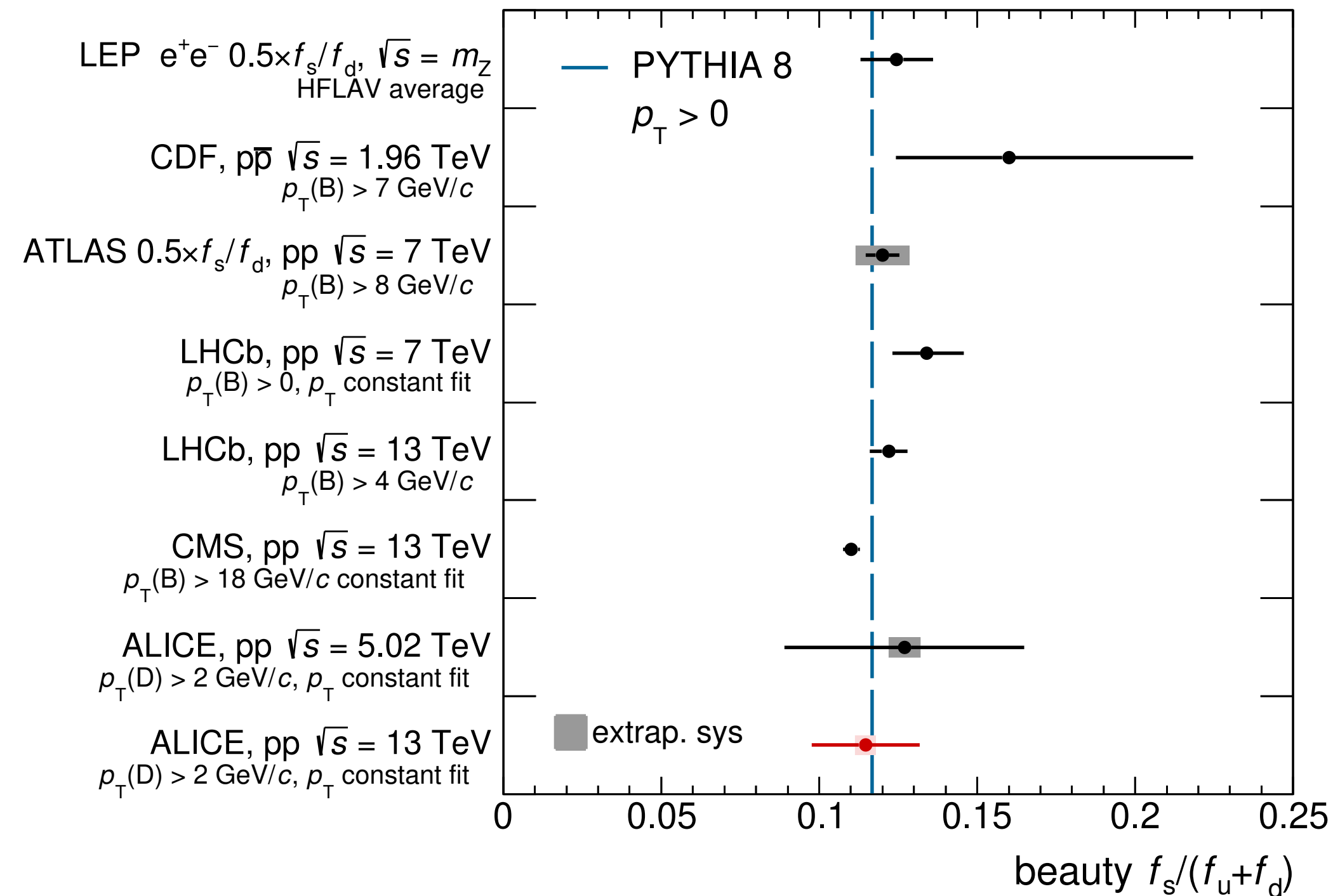
New charm measurements in Run 3:

July 19th 10:45 T. Cheng

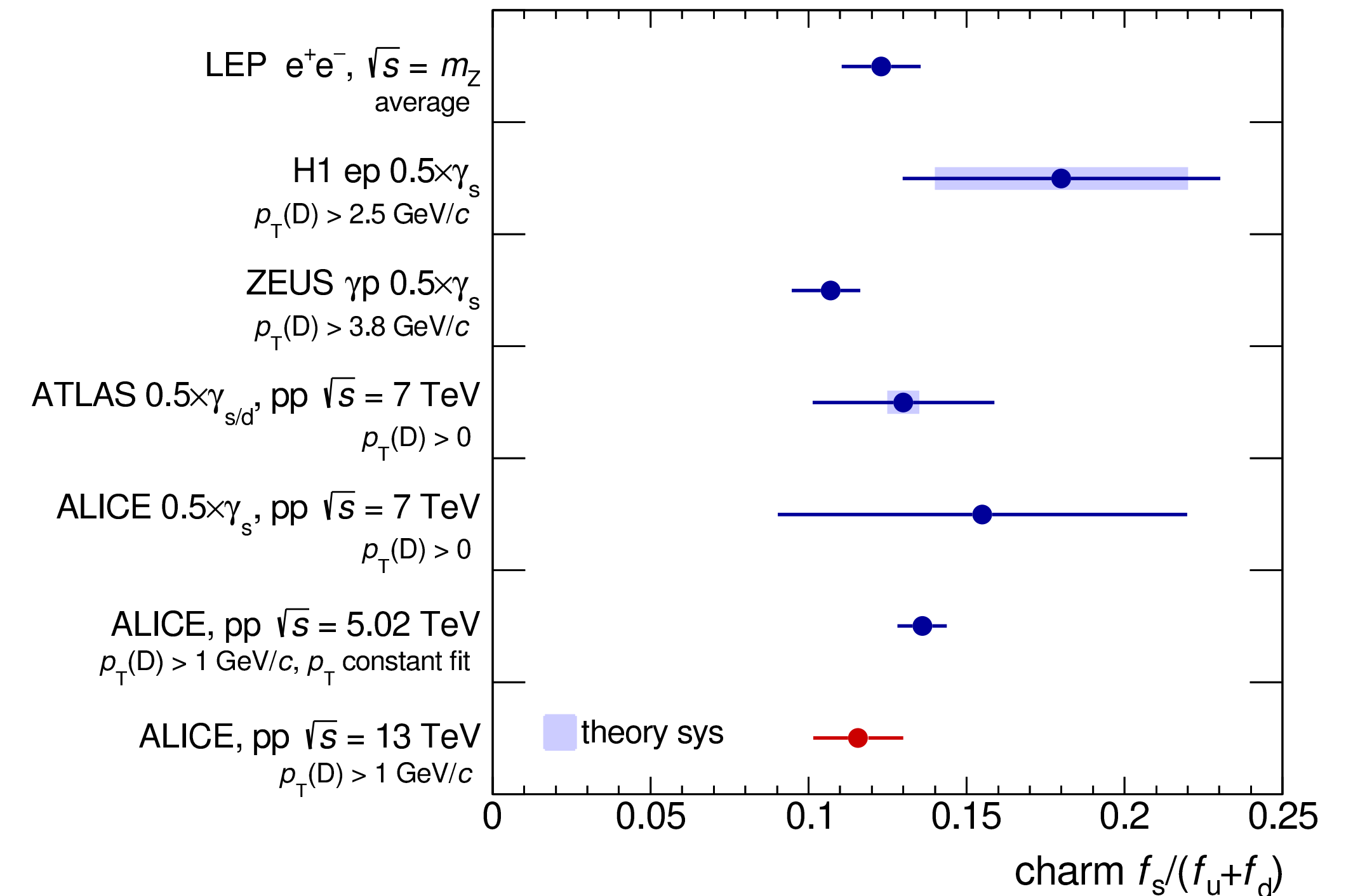


arxiv: [2402.16417v1](https://arxiv.org/abs/2402.16417v1)

JHEP 12 (2023) 086



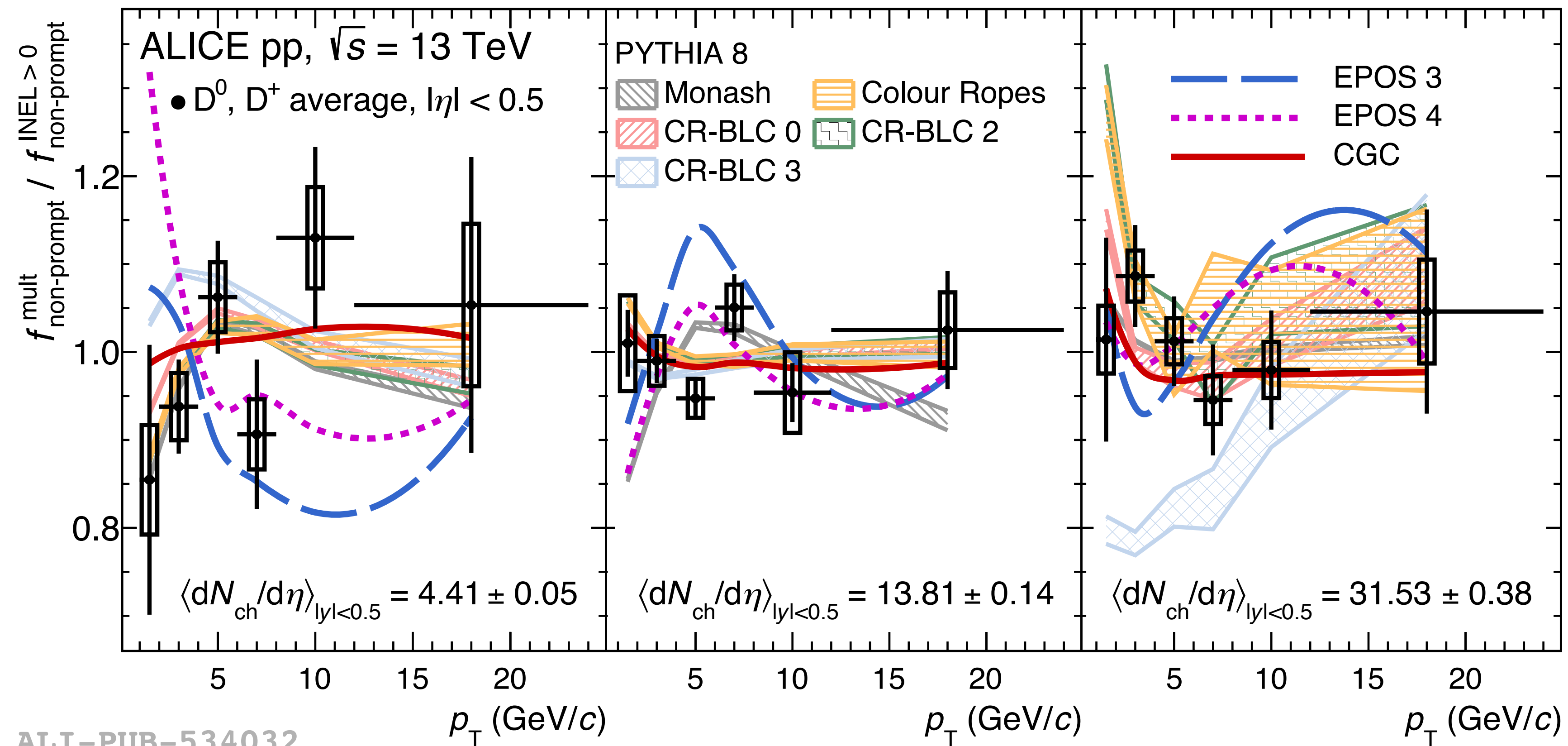
ALI-PUB-568844



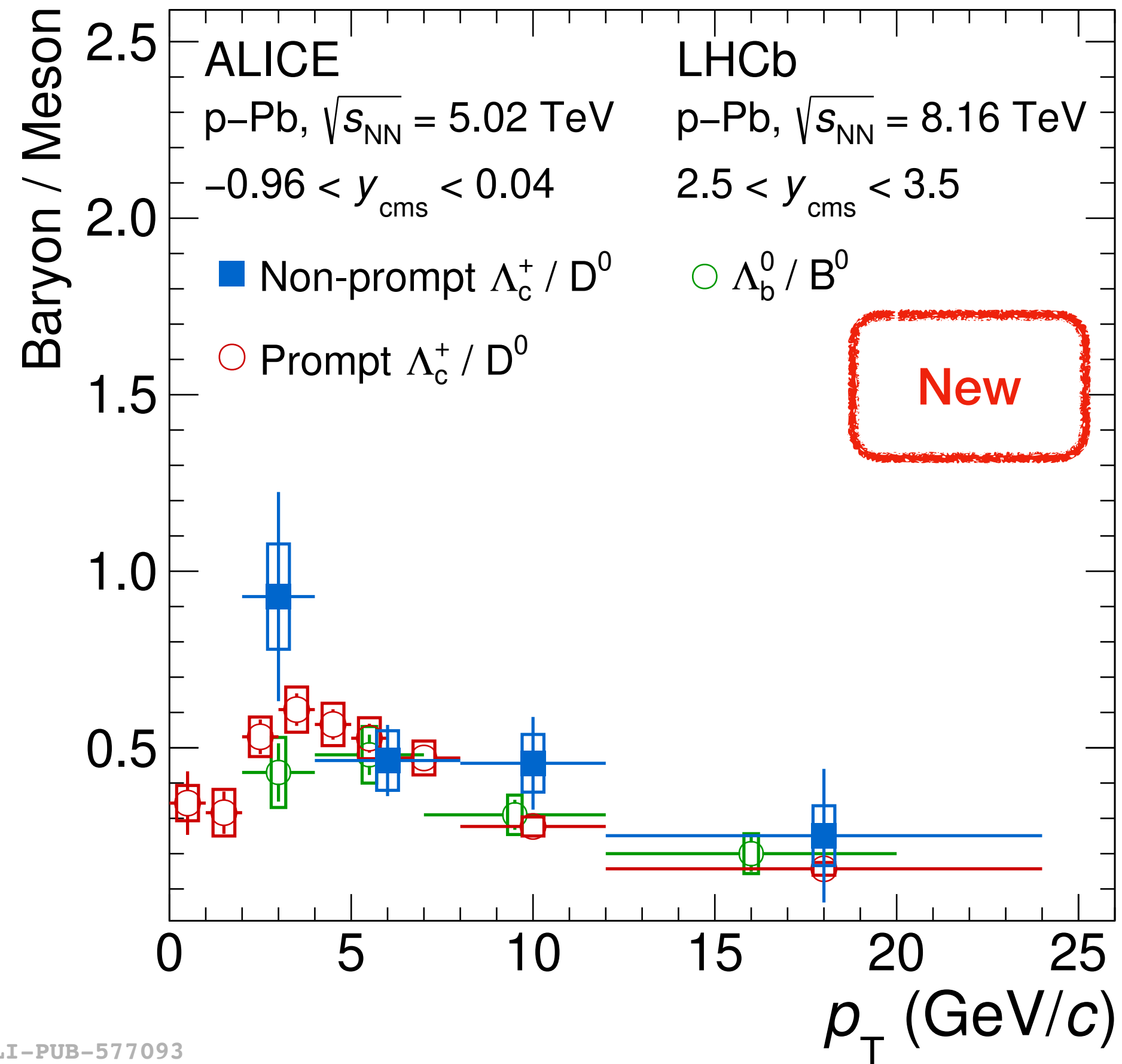
ALI-PUB-567901

- The beauty quark fragmentation fraction is comparable to charm quarks

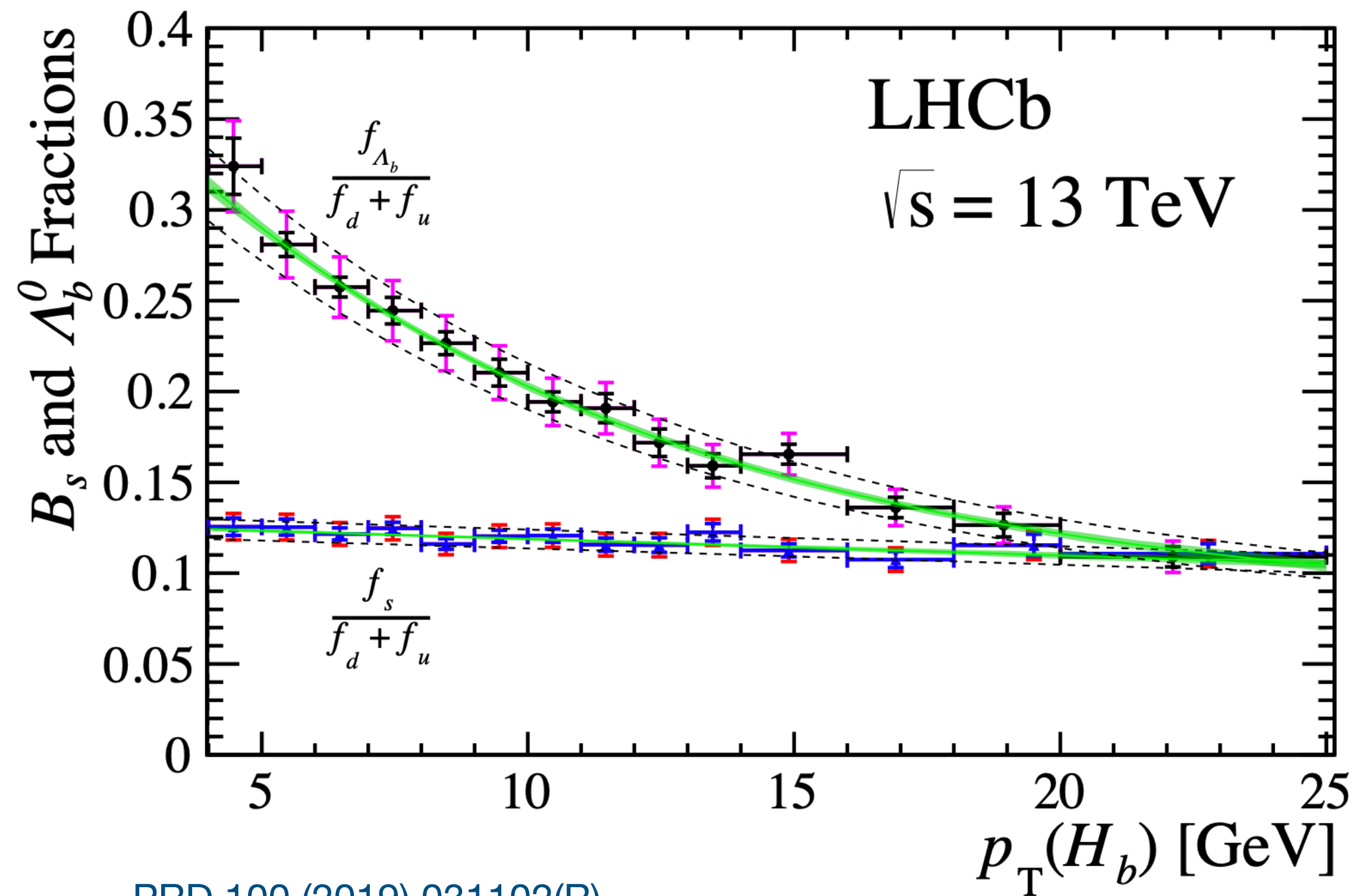




- Average non-prompt D-meson fractions in multiplicity class / minimum-bias class ( $INEL > 0$ ) is compatible with unity within uncertainties
  - suggesting similar production mechanisms of charm and beauty quarks as a function of multiplicity



- The non-prompt  $\Lambda_c^+ / D^0$  is compatible with the prompt one and  $\Lambda_b^0 / B^0$  measurement from LHCb
- The hadronization modifications for beauty may mirror those for charm quarks



PRD 100 (2019) 031102(R)

- Measurement from LHCb Collaboration at forward rapidity
- Large difference at low  $p_T$  region