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# SIMPLIFIED MODELS OF D=7 LEPTON NUMBER VIOLATION

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Based on work done in collaboration with Lukáš Gráf, Chandan Hati and Julia Harz JHEP 05 (2024) 154 & arXiv:2407:XXXXX Majorana neutrino masses require violation of lepton number  $\Delta L=2$ , which can be studied model-independently using SMEFT

$$\mathcal{L}_{\text{EFT}} = \sum_{i} C_i \mathcal{O}_i + \text{h.c.}$$
 Wilson coefficient:  $C_i \propto \frac{1}{\Lambda^{(D-4)}}, \Lambda = \text{New Physics (NP) scale}$ 

LNV only occurs at odd mass dimensions:

Babu, Leung, Nucl.Phys.B 619 (2001) 667-689 de Gouvêa, Jenkins, Phys.Rev.D 77 (2008) 013008

$$\mathcal{L} = \mathcal{L}_{\rm SM} + \frac{1}{\Lambda_1} \mathcal{O}_1^{(5)} + \sum_i \frac{1}{\Lambda_i^3} \mathcal{O}_i^{(7)} + \sum_i \frac{1}{\Lambda_i^5} \mathcal{O}_i^{(9)} + \cdots$$

Lowest dimension possible is 5, where we only have a single operator:

$$\mathcal{O}_{LH}^{(5)} = L^{\alpha} L^{\beta} H^{\rho} H^{\sigma} \epsilon_{\alpha\rho} \epsilon_{\beta\sigma}$$



From here we get the neutrino mass:  $m_{
u} pprox rac{v^2}{\Lambda} \quad m_{
u} pprox 0.1 \ {
m eV} \ 
ightarrow \ \Lambda pprox 10^{14} \ {
m GeV}$ 

Second-most simple realization: LNV at dimension-7

12 operators instead of one

Some are higher-order corrections to the dim-5 operator

Others lead to radiative neutrino masses



Type	O	Operator
$\Psi^2 H^4$	${\cal O}_{LH}^{pr}$	$\epsilon_{ij}\epsilon_{mn} \left(\overline{L_p^c}^i L_r^m\right) H^j H^n \left(H^{\dagger} H\right)$
$\Psi^2 H^3 D$	${\cal O}_{LeHD}^{pr}$	$\epsilon_{ij}\epsilon_{mn} \left(\overline{L_p^c}^i \gamma_\mu e_r\right) H^j \left(H^m i D^\mu H^n\right)$
$\Psi^2 H^2 D^2$	$\mathcal{O}_{LHD1}^{pr}$	$\epsilon_{ij}\epsilon_{mn} \left(\overline{L_p^c}{}^i D_\mu L_r^j\right) \left(H^m D^\mu H^n\right)$
	${\cal O}_{LHD2}^{pr}$	$\epsilon_{im}\epsilon_{jn} \left(\overline{L_p^c}{}^i D_\mu L_r^j\right) \left(H^m D^\mu H^n\right)$
$\Psi^2 H^2 X$	${\cal O}_{LHB}^{pr}$	$g\epsilon_{ij}\epsilon_{mn} \left(\overline{L_p^c}{}^i\sigma_{\mu\nu}L_r^m\right)H^jH^nB^{\mu\nu}$
	${\cal O}_{LHW}^{pr}$	$g'\epsilon_{ij}(\epsilon\tau^{I})_{mn}(\overline{L_{p}^{c}}^{i}\sigma_{\mu\nu}L_{r}^{m})H^{j}H^{n}W^{I\mu\nu}$
$\Psi^4 D$	$\mathcal{O}_{ar{d}uLLD}^{prst}$	$\epsilon_{ij} (\overline{d_p} \gamma_\mu u_r) (\overline{L_s^c}^i i D^\mu L_t^j)$
$\Psi^4 H$	$\mathcal{O}_{ar{e}LLLH}^{prst}$	$\epsilon_{ij}\epsilon_{mn} (\overline{e_p}L_r^i) (\overline{L_s^c}^j L_t^m) H^n$
	$\mathcal{O}_{ar{d}LueH}^{prst}$	$\epsilon_{ij}ig(\overline{d_p}L^i_rig)ig(\overline{u^c_s}e_tig)H^j$
	$\mathcal{O}_{ar{d}LQLH1}^{prst}$	$\epsilon_{ij}\epsilon_{mn} \left(\overline{d_p}L_r^i\right) \left(\overline{Q_s^c}^j L_t^m\right) H^n$
	$\mathcal{O}_{ar{d}LQLH2}^{prst}$	$\epsilon_{im}\epsilon_{jn} (\overline{d_p}L_r^i) (\overline{Q_s^c}^j L_t^m) H^n$
	$\mathcal{O}_{ar{Q}uLLH}^{prst}$	$\epsilon_{ij} (\overline{Q_p} u_r) (\overline{L_s^c} L_t^i) H^j$

Conventional wisdom for  $m_\nu\colon$  close the loop and remove two powers of  $\Lambda$ 

$$m_{\nu} \approx \frac{1}{16\pi^2} \int_{\text{loop}} \frac{y_f v^2}{\Lambda^3} \approx \frac{1}{16\pi^2} \frac{y_f v^2}{\Lambda} \qquad m_{\nu} \approx 0.1 \text{ eV} \quad \rightarrow \quad \Lambda \approx 10^{12} \text{ GeV}$$

What are the caveats of this estimate?

We consider the full range of underlying tree-level models at dim-7, similar to how the three seesaw models generate the dim-5 operator

UV-completions of four-fermion operators at dim-7 require at least two fields



A roman number means that we generate the corresponding radiative neutrino mass topology, given this combination of two fields

A circle means that we generate the dim-5 operator, which should dominate

We can estimate the neutrino mass from the generic topology



Some dim-7 LNV operators do not lead to radiative neutrino masses at 1-loop



Filled circle: 2-loop neutrino mass

$$m_{\nu} \propto \frac{1}{(16\pi^2)^2} \frac{m_W}{\min(m_{\Phi_1}, m_{\Phi_2})} \frac{v^2 \mu}{\max(m_{\Phi_1}^2, m_{\Phi_2}^2)}$$

To determine the accuracy of these approximations we can compare with a model

$$\mathcal{L} = \mathcal{L}_{\rm SM} - \tilde{R}_2^{\dagger} (\Box + m_{\tilde{R}_2}^2) \tilde{R}_2 - S_1^* (\Box + m_{S_1}^2) S_1 + \mu S_1 H^{\dagger} \tilde{R}_2 - g_1^{ik} \bar{L}_i i \sigma_2 \tilde{R}_2^* \overline{d}_k^c - g_2^{jn} Q_n \epsilon L_j S_1 - g_3^{jn} \bar{u}_n^c e_j S_1 + \text{h.c.}$$

See e.g. Catà, Mannel, arXiv:1903.01799 [hep-ph], Doršner et. al. Phys. Rept. 641 (2016) 1-68

Scalar leptoquarks:  $S_1 \in S(\overline{3}, 1, 1/3), \quad \tilde{R}_2 \in S(3, 2, 1/6)$ 

Generates both operators that we used as examples:

$$C^{ijkn}_{\bar{d}LQLH1} = -\frac{\mu g_1^{ik} g_2^{jn}}{2m_{\tilde{R}_2}^2 m_{S_1}^2}, \qquad C^{ijkn}_{\bar{d}LueH} = \frac{\mu g_1^{ik} g_3^{jn}}{4m_{\tilde{R}_2}^2 m_{S_1}^2}$$

And consequently also 1- and 2-loop neutrino masses:







How do the different expressions compare?



KF, Gráf, Harz, Hati, arXiv:2407:XXXXX

Assuming a given hierarchy we can study the neutrino mass as a function of the mass difference

$$\xi \equiv \frac{m_{\tilde{R}_2}}{m_{S_1}} \qquad m_{\tilde{R}_2} > m_{S_1}$$

Green lines show the conventional EFTbased estimate

$$m_{\nu} \propto rac{1}{16\pi^2} rac{v^2}{\Lambda}$$

Blue lines show the expression from the full model

$$m_{\nu} \propto \frac{3v \sin 2\theta}{32\pi^2} \log \frac{m_{\tilde{R}_2}^2 + m_{S_1}^2 + \sqrt{(m_{\tilde{R}_2}^2 - m_{S_1}^2)^2 + \mu^2 v^2}}{m_{\tilde{R}_2}^2 + m_{S_1}^2 - \sqrt{(m_{\tilde{R}_2}^2 - m_{S_1}^2)^2 + \mu^2 v^2}}$$

Red lines show our estimate based on generic simplified models

$$m_{\nu} \propto \frac{1}{16\pi^2} \frac{v^2}{\max(m_{\tilde{R}_2}, m_{S_1})}$$

Other observabes of dim-7 lepton number violation:

Neutrinoless double beta  $(0\nu\beta\beta)$  decay

- Generally most sensitive probe of dim-7 LNV
- Only sensitive to LNV in the  $1^{\text{st}}$  generation fermions
- Triggered by most dim-7 operators

Cirigliano et. al. JHEP 12 (2017) 082

#### Rare kaon decay

- Lepton flavour universal
- Only a single dim-7 operator
- Can be distinguished from the SM mode using pion kinematics

Deppisch, KF, Harz, JHEP 12 (2020) 186 Li, Ma, Schmidt, Phys.Rev.D 101 (2020) 5, 055019

#### LHC

- Most sensitive probe for the muon flavour
- Includes most of the dim-7 operators

KF, Gráf, Harz, Hati, JHEP 05 (2024) 154

+ Long-baseline neutrino oscillation, rare muon decay, CEvNS, neutrino magnetic moment, ...









We see a significant effect in varying the hierarchy between the two masses Red stripes: observed neutrino mass, Red solid: neutrino is too massive LHC in grey,  $0\nu\beta\beta$  decay in green, kaon decay in orange, LNV@LHC in purple Conventional EFT-estimate in black dashed line, misses the effect of hierarchy



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### Conclusions

- EFT-based estimates of the neutrino mass in dim-7 models completely misses the effect of a hierarchy in the internal degrees of freedom
- Such a hierarchy can alter the size of the neutrino mass by several orders of magnitude
- We provide model-independent expressions that more accurately describes the neutrino mass at both 1- and 2-loop
- Using this framework we re-open large areas of parameter space that were previously thought to be excluded

Back-up

Type-i seesaw:

$$\mathcal{L} \supset -y_{ij}^{\nu} \tilde{H} \bar{L}_i N_j - M_{ij} \bar{N}^c{}_i N_j + \text{h.c.}$$

Type-ii seesaw:

 $\mathcal{L} \supset -h_{ij}^{\nu} \Delta \bar{L^c}_i L_j - \mu H^{\dagger} H \Delta + \text{h.c.}$ 

The SU(2)<sub>L</sub> triplet  $\Delta$  obtains a vev in the neutral component

Type-iii seesaw:

Same as type-i except that the fermion is a triplet

$$\mathcal{L} \supset -y_{ij}^{\nu} \tilde{H} \bar{L}_i \Sigma_j - M_{ij} \bar{\Sigma}^c{}_i \Sigma_j + \text{h.c.}$$







#### Radiative neutrino mass models:



#### More complicated seesaws:

#### Radiative = has loops

Examples:

- Zee model
- Scotogenic model

• ...

Zee, Phys.Lett.B 93 (1980) 389 Ma, Phys.Rev.D 73 (2006) 077301



#### E.g. inverse seesaw

Deppisch, Valle, Phys.Rev.D 72 (2005) 036001

Gets complicated very fast: can we classify LNV in a different way?

We can get the neutrino mass directly from the operator:



The diagrams can in principle be made very complex.

The smallness of neutrino masses can be explained as resulting from loop suppression.





 $\nu_L$ 

 $\langle h^0 \rangle$ 

 $\langle h^0 \rangle$ 

 $\nu_L$ 

Deppisch, Graf, Harz, Huang, Phys.Rev.D 98 (2018) 5, 055029

Neutrinoless double beta decay  $(0\nu\beta\beta)$ :



Cirigliano et al., JHEP 12 (2017) 082

Dimension-7 LNV operators give rise to long-range contributions to  $0\nu\beta\beta$  decay

Currently most stringent limit:

 $T_{1/2}^{^{136}\text{Xe}} \le 2.3 \times 10^{26} \text{ yrs}, 90\% \text{ C.L.}$ 



KamLAND-Zen collaboration, Phys.Rev.Lett. 130 (2023) 5, 051801

 $0\nu\beta\beta$  decay is (by far) the most sensitive probe of LNV.

However, this probe is only sensitive to electron flavor component.

Rare kaon decays:  $K^+ \to \pi^+ \nu \bar{\nu} \quad K_L \to \pi^0 \nu \bar{\nu}$ 

Great probe of New physics since the SM mode is very suppressed



Final state neutrinos are not observed: could be a LNV process

Li, Ma, Schmidt, Phys.Rev.D 101 (2020) 5, 055019 Deppisch, KF, Harz, JHEP 12 (2020) 186  $K^+$ 



Experiments also cannot determine flavor of neutrinos.

Experiment:

BR( $K^+ \to \pi^- \nu \bar{\nu}$ ) = (10.6<sup>+4.9</sup><sub>-4.3</sub>) × 10<sup>-11</sup>, 68% C.L. NA62 collaboration, JHEP 06 (2021) 093

#### SM expectation:

BR $(K^+ \to \pi^- \nu \bar{\nu}) = (8.4 \pm 1.0) \times 10^{-11}$ Buras et al., JHEP 11 (2015) 033



At NA62 the signal regions are defined in terms of the missing energy as well as the pion momentum.

More events in SR 2 could be a sign of LNV.



SM decay is mediated by a vector current

$$\mathcal{L}_{\mathrm{SM}}^{K \to \pi \nu \bar{\nu}} = \frac{1}{\Lambda_{\mathrm{SM}}^2} \sum_{i=1}^3 \left( \bar{\nu}_i \gamma^{\mu} \nu_i \right) \left( \bar{d} \gamma_{\mu} s \right)$$

LNV decay via a scalar current

$$\mathcal{L}_{\rm BSM}^{K \to \pi \nu \nu} = \langle \pi \nu \nu | \mathcal{O}_{3b} | K \rangle = \frac{v}{\Lambda_{\rm BSM}^3} \overline{s} d\nu_i \nu_j$$

Percentage of phase space visible:

Experiment	SM (Vector)	LNV (Scalar)
NA62 SR 1	6%	0.3%
NA62 SR 2	17%	15%
E949 $\pi \nu \overline{\nu}(1)$	29%	2%
E949 $\pi \nu \overline{\nu}(2)$	45%	38%
КОТО	64%	30%

#### **Coherent elastic neutrino-nucleus scattering**

Neutrinos coherently scattering with nuclei

We can look for LNV contributions appearing as deviations from the expected SM result coming from **d=7** operators

COHERENT collaboration, Science 357 (2017) 6356, 1123-1126

Sierra, De Romeri, Rojas, Phys.Rev.D 98 (2018) 075018



#### Long baseline neutrino oscillations



Bolton, Deppisch Phys.Rev.D 99 (2019) 11, 115011

#### Both d=5 and d=7

Two possible LNV mechanisms: an LNV interaction with the receiving quarks or a chirality flip along the propagation

The precense of LNV leads to the poduction of an opposite sign final state lepton

#### LNV at the LHC:

Keung-Senjanović process: leads to LNV at **dimension-9** when integrating out the heavy fields

Probes 5 GeV 
$$\gtrsim m_N \gtrsim 50$$
 GeV  
for couplings  $|U_{e/\mu}| \gtrsim 10^{-5}$ 

ATLAS collaboration (2019)



Keung, Senjanovic, Phys.Rev.Lett. 50 (1983) 1427



Fuks, Neundorf, Peters, Ruiz, Saimpert, Phys.Rev.D 103 (2021) 11, 115014

Possible to probe the **dimension-5** LNV operator at colliders in vector boson fusion

 $m_{\mu\mu} < 10.8 \text{ GeV}$  at 95% C.L.

$$m_{\ell\ell'} = \frac{C_{\ell\ell'} v^2}{\Lambda}$$

CMS collaboration, Phys.Rev.Lett. 131 (2023) 1, 011803



Leads to the same signal as for dimension-9 processes: we can use existing LHC results and compare with EFT cross section obtained using MadGraph5\_AMC@NLO

Absence of events in 139 fb<sup>-1</sup> of data at ATLAS leads to contraints on the dimension-7 Wilson coefficients.

ATLAS collaboration (2023) Eur. Phys. J. C 83 1164

One has to be careful using EFTs for high-energy experiments such as the LHC



We can compare the EFT approach with a simplified model example to see how well it performs

$$\mathcal{O}_{\bar{Q}uLLH} = \epsilon_{ij} \overline{Q} u \overline{L^c} L^i H^j$$

$$\mathcal{L} \supset \lambda_1 \bar{d}_L u_R \chi^* + \lambda_2 \bar{e}_L^c \nu_L \chi + \text{h.c.}$$

Using the relation

$$\frac{\lambda_1 \lambda_2}{M_{\rm med}^2} = \frac{v}{(\Lambda_{\rm LNV}^{\rm eff})^3}$$

we naively expect the same cross section for the simplified model and EFT operator



Operator	$\Lambda_{\rm LNV}$ [TeV]	$\Lambda_{ m LNV}^{ m future}$ [TeV]
$\mathcal{O}_{ar{Q}uLLH}$	1.4	5.4
$\mathcal{O}_{ar{d}LQLH2}$	0.90	3.1
$\mathcal{O}_{ar{d}LQLH1}$	1.1	4.3
$\mathcal{O}_{ar{d}LueH}$	1.1	4.5
$\mathcal{O}_{ar{d}uLLD}$	5.0	19
$\mathcal{O}_{LHD2}$	$0.075^{*}$	0.18
$\mathcal{O}_{LHD1}$	1.1	4.9
${\cal O}_{LeHD}$	$0.21^{*}$	0.44
$\mathcal{O}_{LH}$	$0.35^{*}$	0.87

\* = not within EFT validity

LNV scales  $\sim O$  (1 TeV) are constrained by same-sign dilepton plus dijet searches at the LHC

For FCC the constraints could reach  $\sim O$  (few TeV) up to  $\sim 20$  TeV





#### What about neutrino masses?

We can "explode" an operator to find all possible tree-level UV completions using combinatorics



Pair field with index "1" together with either "2", "3", or "4" to get a model. This leads to several different models, but not all of them are unique.

$$\begin{array}{ll} \chi_1 \sim S(1,2,1/2) & \psi_1 \sim V(\overline{3},1,-2/3) & \phi_1 \sim V(\overline{3},3,-2/3) & \omega_1 \sim F_L(\overline{3},3,1/3) \\ \chi_2 \sim F_R(1,1,0) & \psi_2 \sim F_R(1,1,0) & \phi_2 \sim F_R(1,3,0) & \omega_2 \sim S(1,3,1) \\ \chi_3 \sim F_R(1,3,0) & \psi_3 \sim F_L(\overline{3},2,-7/6) & \phi_3 \sim F_L(\overline{3},2,-7/6) & \omega_3 \sim V(\overline{3},2,-1/6) \\ \chi_4 \sim S(1,3,1) & \psi_4 \sim V(\overline{3},2,-1/6) & \phi_4 \sim V(\overline{3},2,-1/6) & \omega_4 \sim V(\overline{3},2,-1/6) \end{array}$$

For the dim-5 operator this method leads to the three seesaw models (as mentioned)