

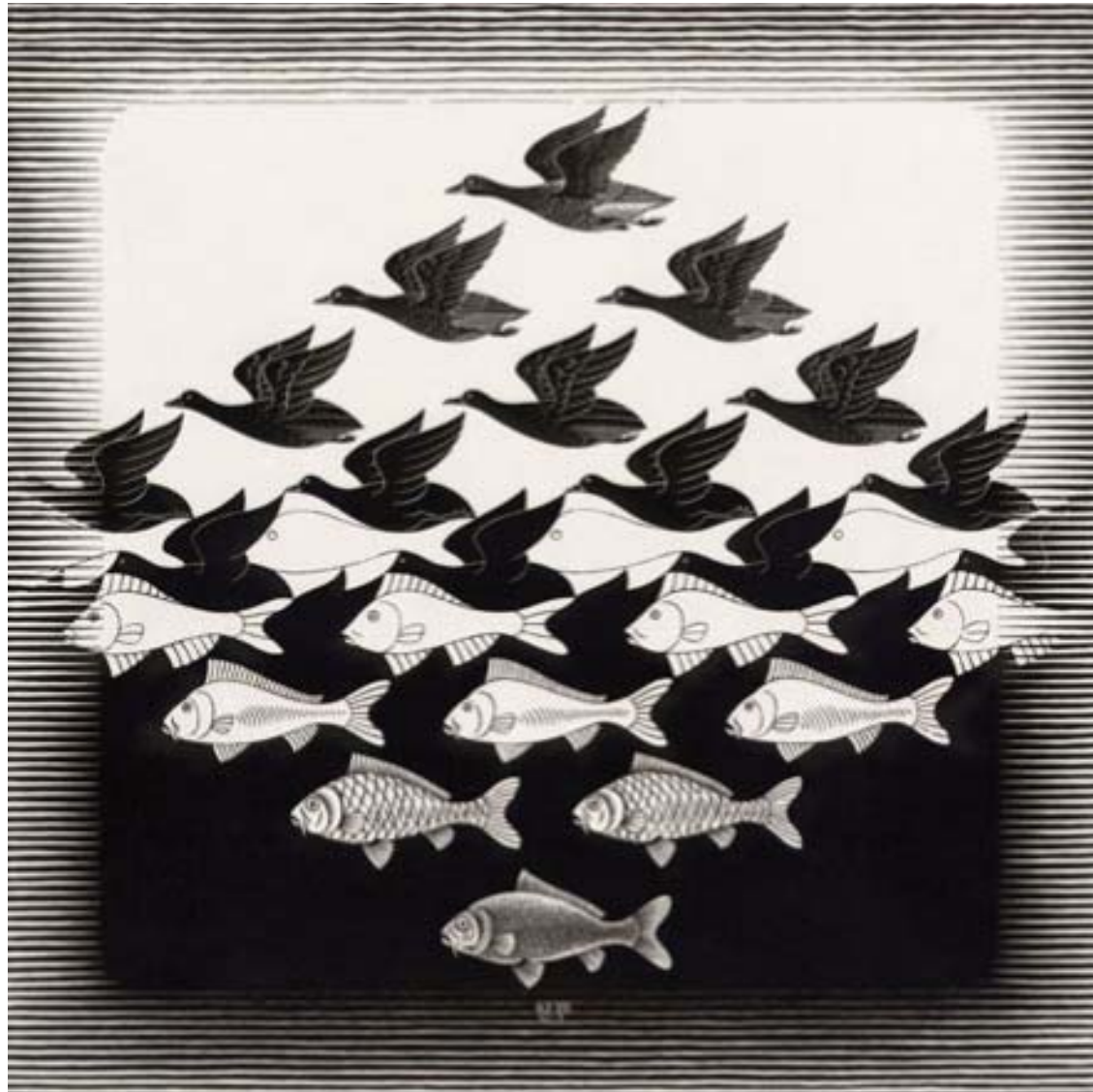
# APPLICATIONS OF THE TUNNELING POTENTIAL FORMALISM

2/5/2024  
CATCH 22+2  
DIAS, DUBLIN

J.R. Espinosa

# 1. TUNNELING POTENTIAL FORMALISM

JRE'1805

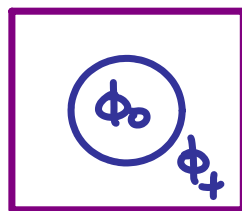
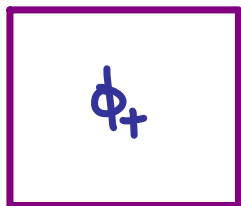
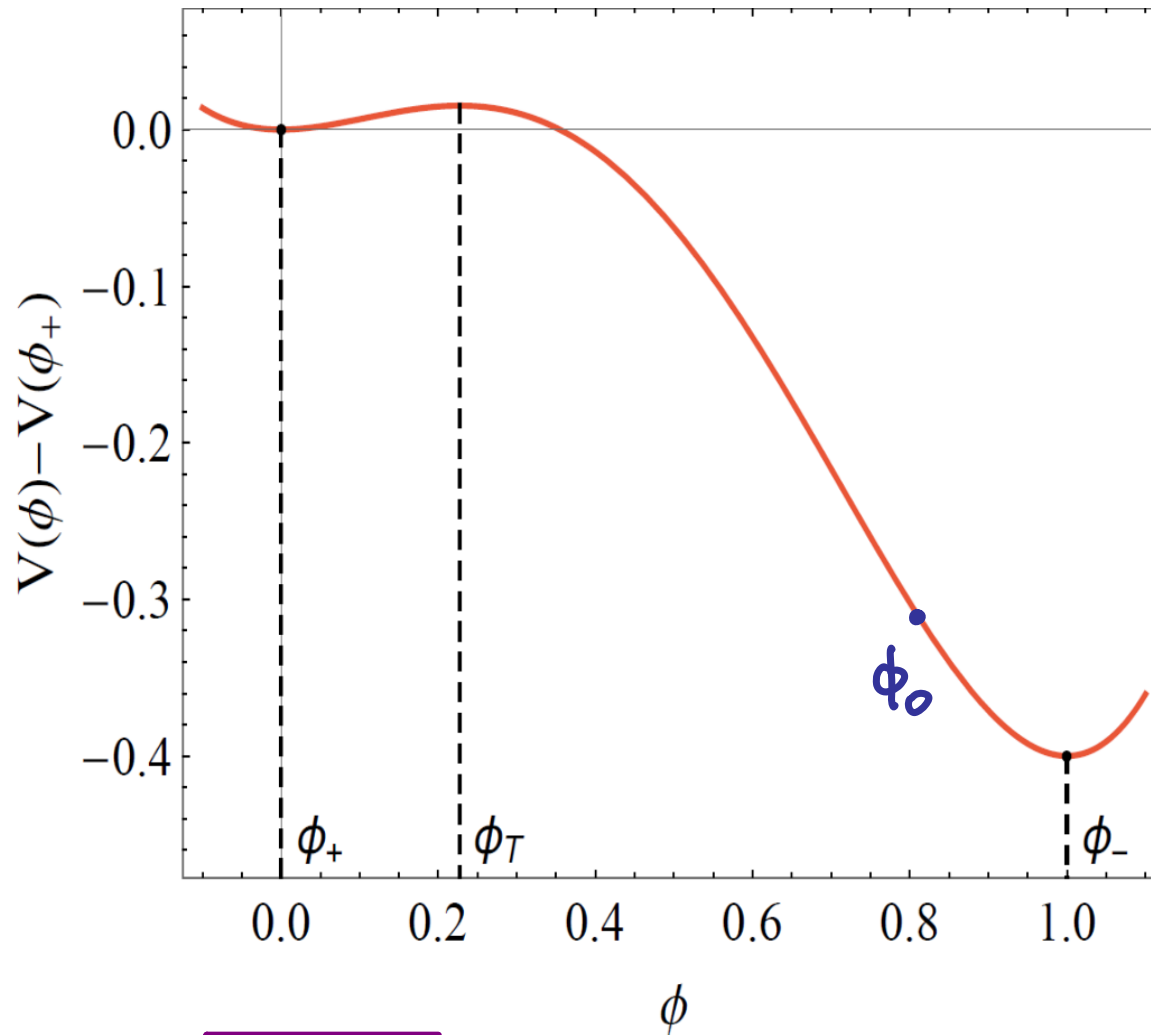


# WHY VACUUM DECAY ?

- BSM/SM in false vacuum
- Early universe phase transitions
- Vacuum decay triggered by inflation
- String vacuum landscape

⋮

# THE PROBLEM



$$I/N = A e^{-S}$$

calculate this

# EUCLIDEAN FORMALISM

Coleman '77

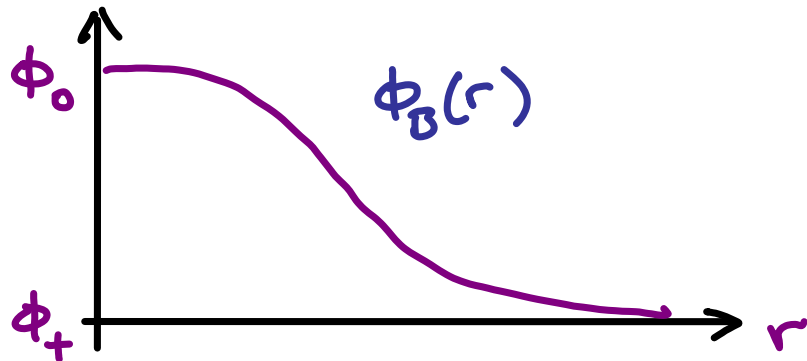
Euclidean bounce  $\phi_B(r)$ ,  $O(4)$ -sym, extremizes

$$S_E = 2\pi^2 \int_0^\infty dr r^3 \left[ \frac{1}{2} \dot{\phi}^2 + v(\phi) \right]$$



$$\ddot{\phi} + \frac{3}{r} \dot{\phi} = \frac{\partial v}{\partial \phi}$$

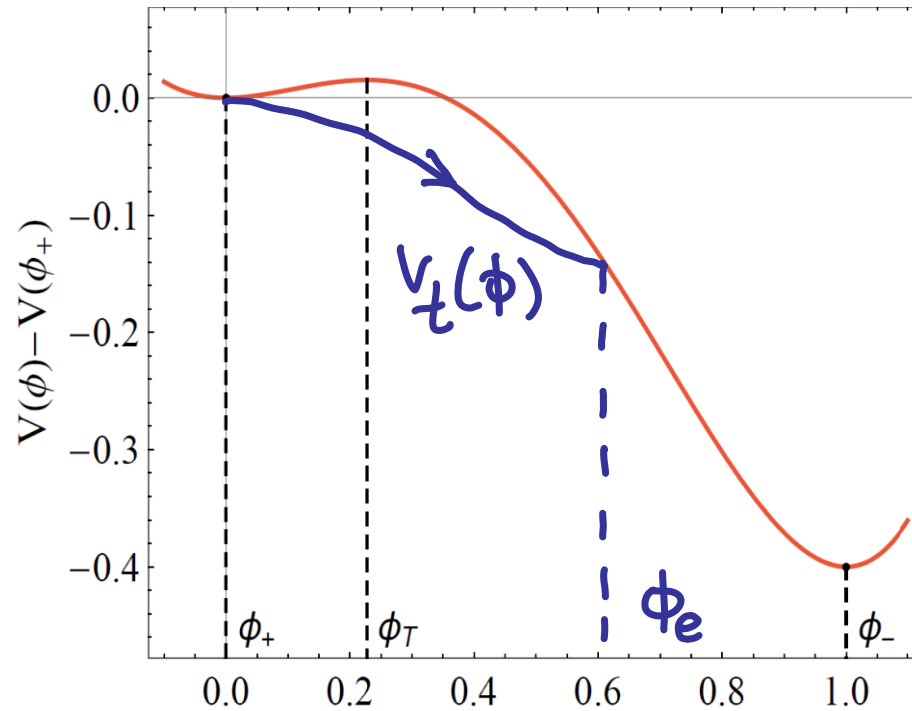
with  $\phi(0) = \phi_0$   $\dot{\phi}(0) = 0$   $\phi(\infty) = \phi_+$



$$S = \Delta S_E \equiv S_E[\phi_B] - S_E[\phi_+]$$

# TUNNELING POTENTIAL FORMALISM

JRE '1805



$$S[V_t] = 54\pi^2 \int_{\phi_+}^{\phi_e} \frac{(V - V_t)^2}{(-V_t')^3} d\phi$$

$$S = \text{Min}_{V_t} S[V_t]$$

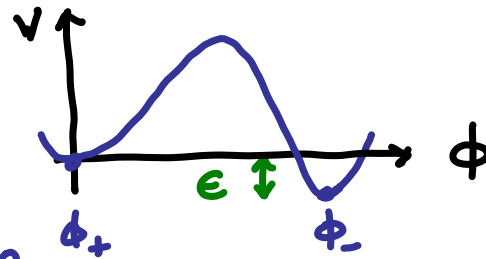
$$\phi_e = \phi_0 = \phi(0), \text{Euclidean}$$

# HOW TO GET $S[V_t]$

JRE '2305

LINK :  $V_t = V - \frac{1}{2} \dot{\phi}^2 = - [\text{Euclidean Energy}]$

Thin-wall action

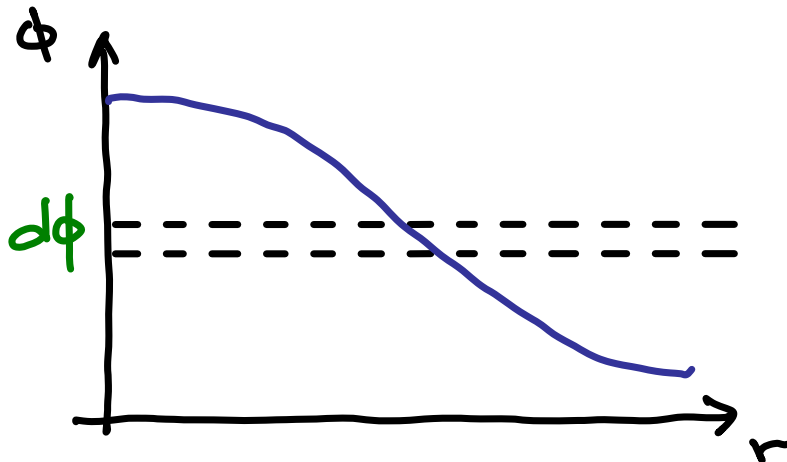


$$S_{tw} = \frac{27\pi^2 \sigma^4}{2\epsilon^3}$$

$$\sigma = \int_{\phi_+}^{\phi_-} \sqrt{2(V - V_+)} d\phi = \int_0^\infty \dot{\phi}^2 dr$$

From thin to thick

$$\sigma = \int_+^0 \sqrt{2(V - V_t)} d\phi$$



$$d\sigma = \sqrt{2(V - V_t)} d\phi \quad d\epsilon = -V_t' d\phi$$

$$S[V_t] = \int_{\phi_+}^{\phi_0} dS_{tw} = 54\pi^2 \int_{\phi_+}^{\phi_0} \frac{(V - V_t)^2}{(-V_t')^3} d\phi$$

# PROPERTIES & APPS OF $V_t$ -FORM.

JRE'1805

★  $V_t$  on same footing as  $V$

Field can be a distraction.

★  $V_t$  monotonic  $\Rightarrow$  Easy to approximate

★  $S[V_t]$  minimized ( $\phi_0(r)$  saddle-point)

$\Rightarrow$  Good for numerics

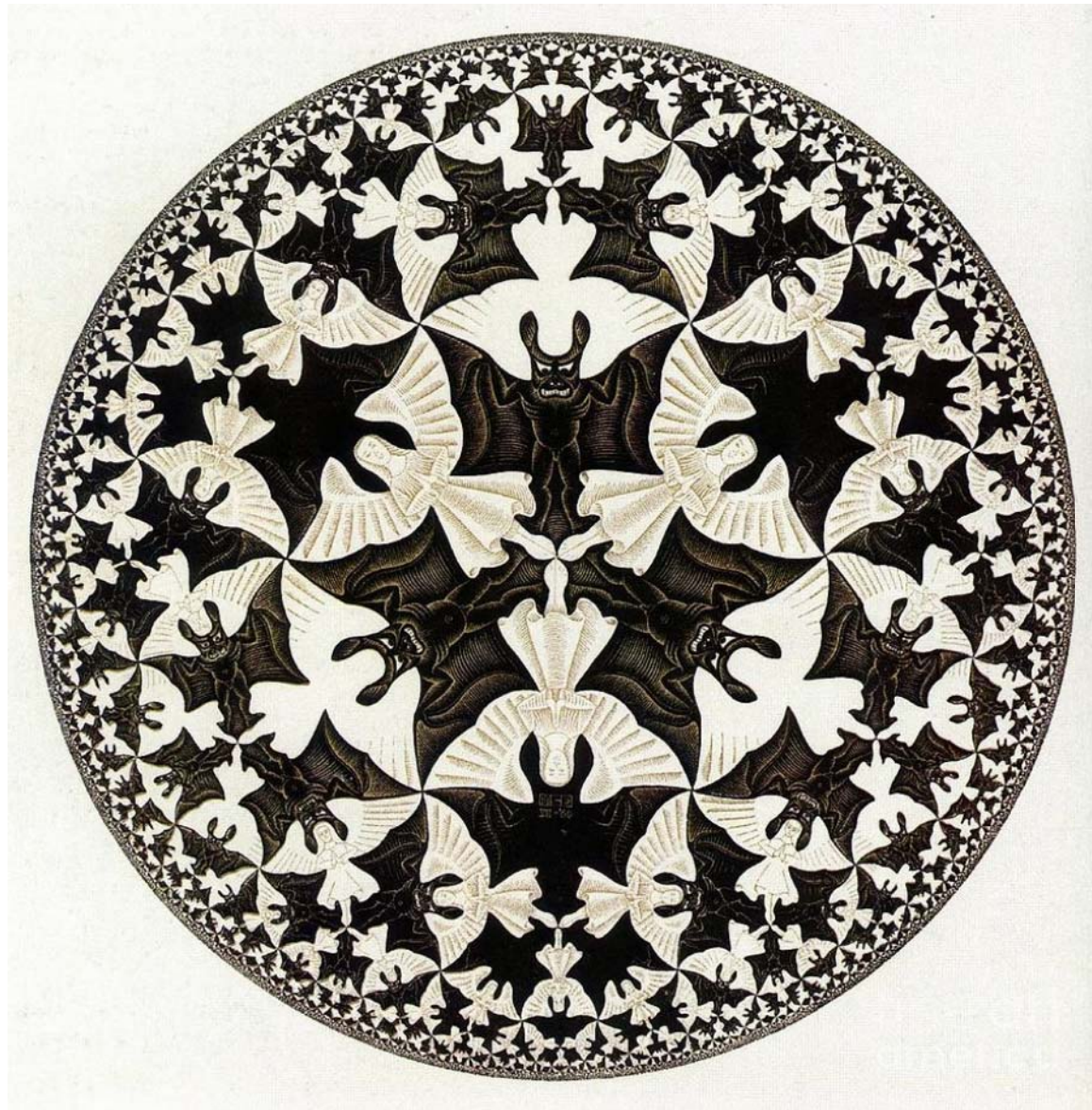
$\Rightarrow$  Useful for multi-field case JRE, Konstandin'1811

★ Generalizes to any dimension

$d=3$  applicable to finite T phase transitions



## 2. GRAVITY CORRECTIONS



JRE '1808

JRE, Huertas,  
Fortin '2106

JRE, Fortin '2211

# WHY ?

Gravity relevant if tunneling involves

$$\Delta\phi \lesssim m_p \quad \text{or} \quad \Delta V \lesssim m_p^4 \quad \text{or} \quad |V_+| \lesssim m_p^4$$

Note  $\Delta\phi \lesssim m_p$  already in SM...

When relevant :

Need to include reaction of the metric.

Gravity can cause *qualitative* changes

# EUCLIDEAN FORMALISM W/GRAVITY

Coleman, De Luccia '80

Assuming  $O(4)$

Euclidean bounce  $\phi_B(r)$  and metric function  $f(r)$

$$ds^2 = dr^2 + f^2(r) d\Omega_3^2$$

↑ line-element  $S^3$

$$\Rightarrow \ddot{\phi} + \frac{3\dot{f}}{f} \dot{\phi} = \frac{\partial V}{\partial \phi}$$

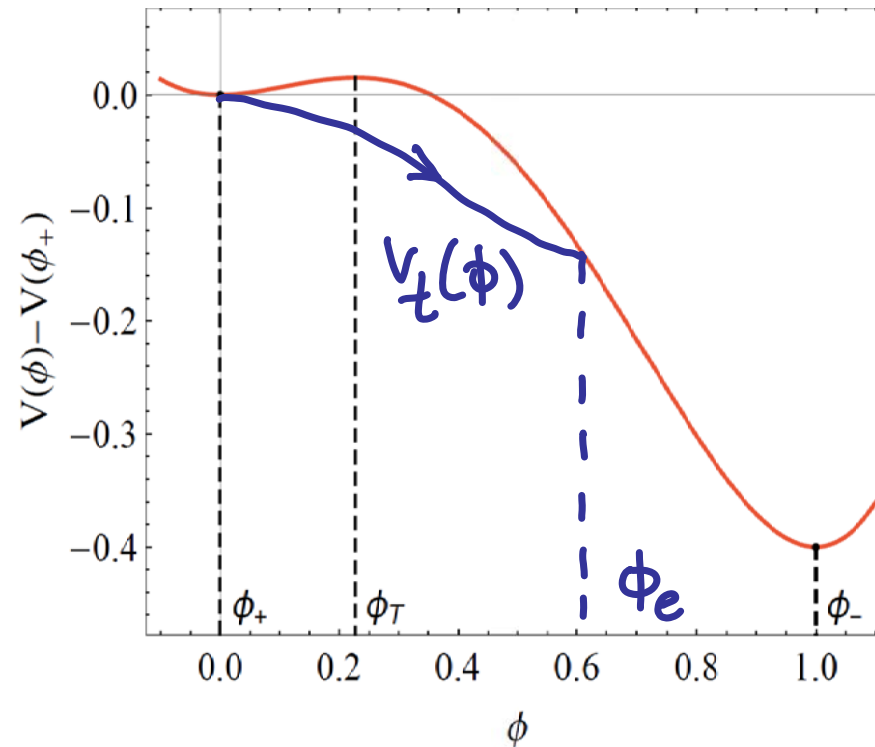
$$\dot{f}^2 = 1 + \frac{\kappa f^2}{3} \left( \frac{1}{2} \dot{\phi}^2 - V \right)$$

$$\kappa \equiv 1/m_p^2$$

$$S = \Delta S_E = S_E[\phi_B, f_B] - S_E[\phi_+, f_+]$$

# $V_t$ FORMALISM w/ GRAVITY

JRE'1808



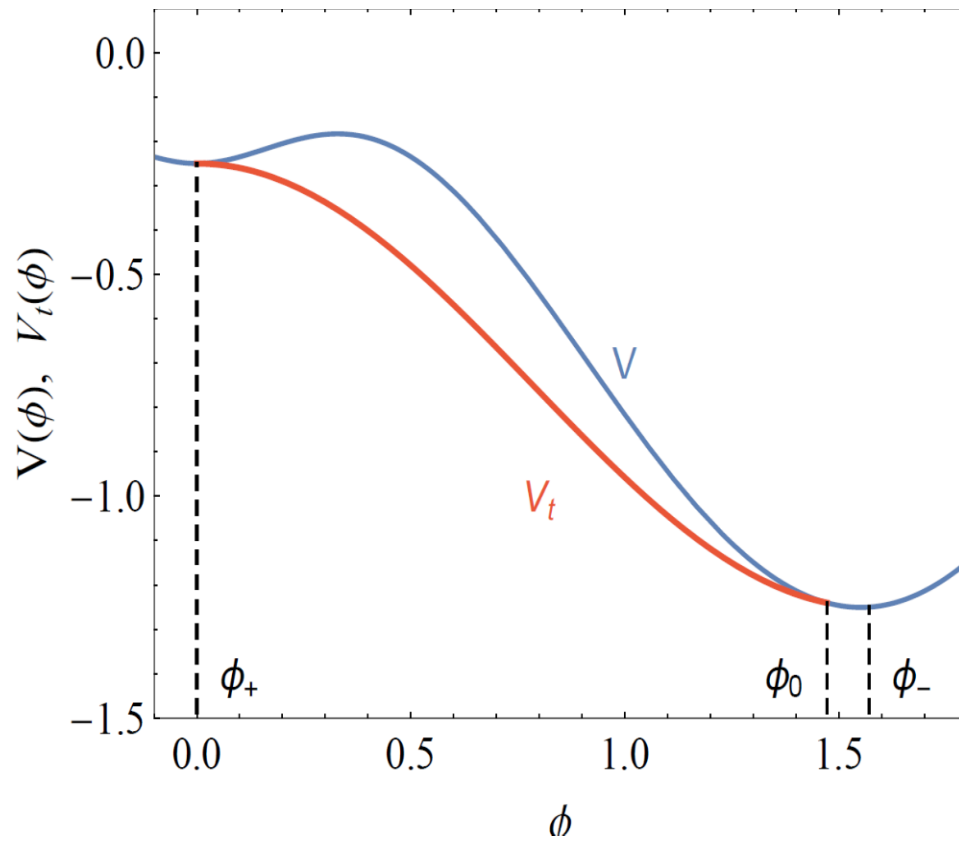
$$S[V_t] = \frac{6\pi^2}{k^2} \int_{\phi_+}^{\phi_e} \frac{(D + v_t')^2}{D v_t^2} d\phi = \Delta S_E$$

with

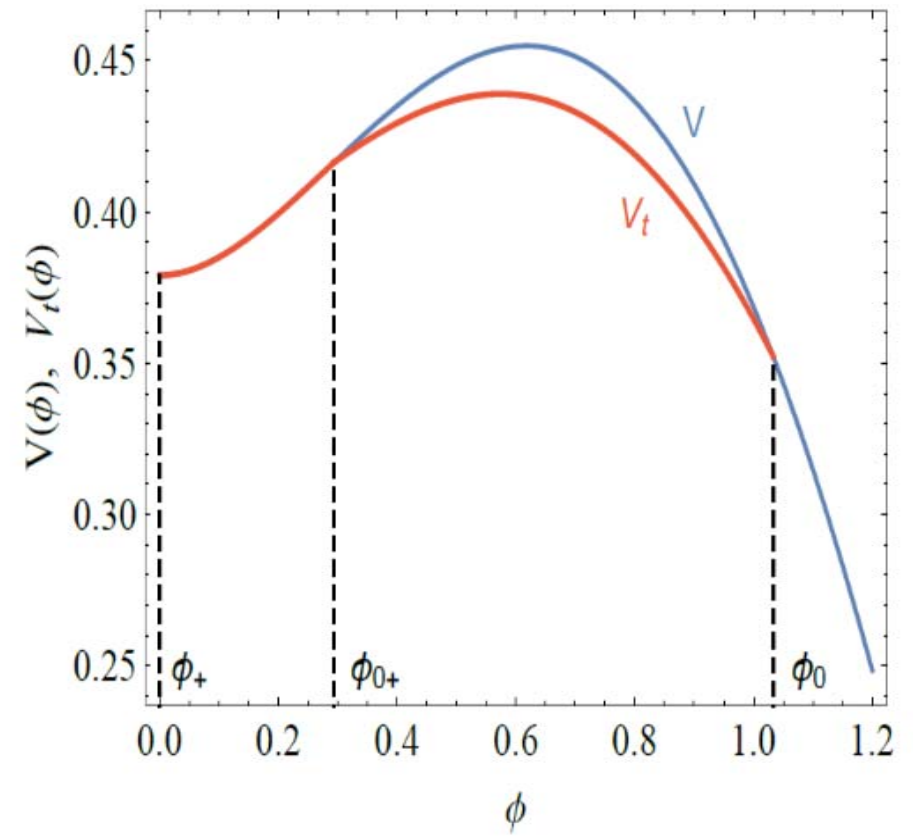
$$D = \sqrt{(v_t')^2 + 6k(v - v_t)v_t} \quad k \equiv 1/m_p^2$$

# PROPERTIES & APPS OF $V_t$ -FORM.

Universal formula, valid for AdS, Minkowski or dS



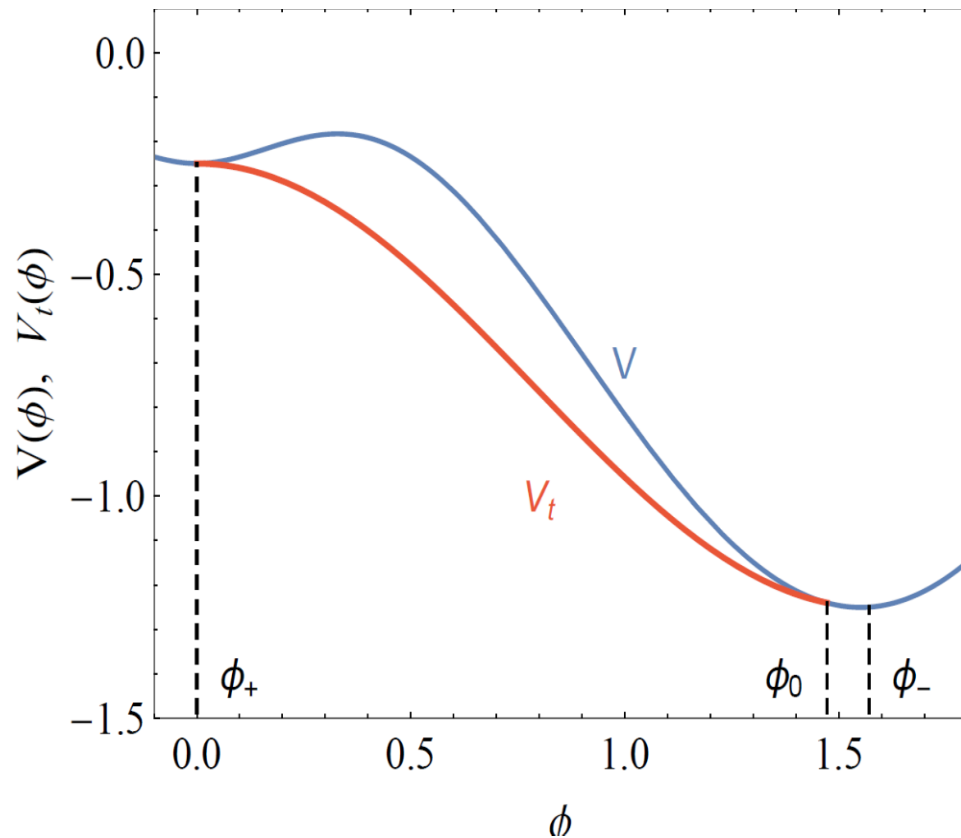
Minkowski or AdS



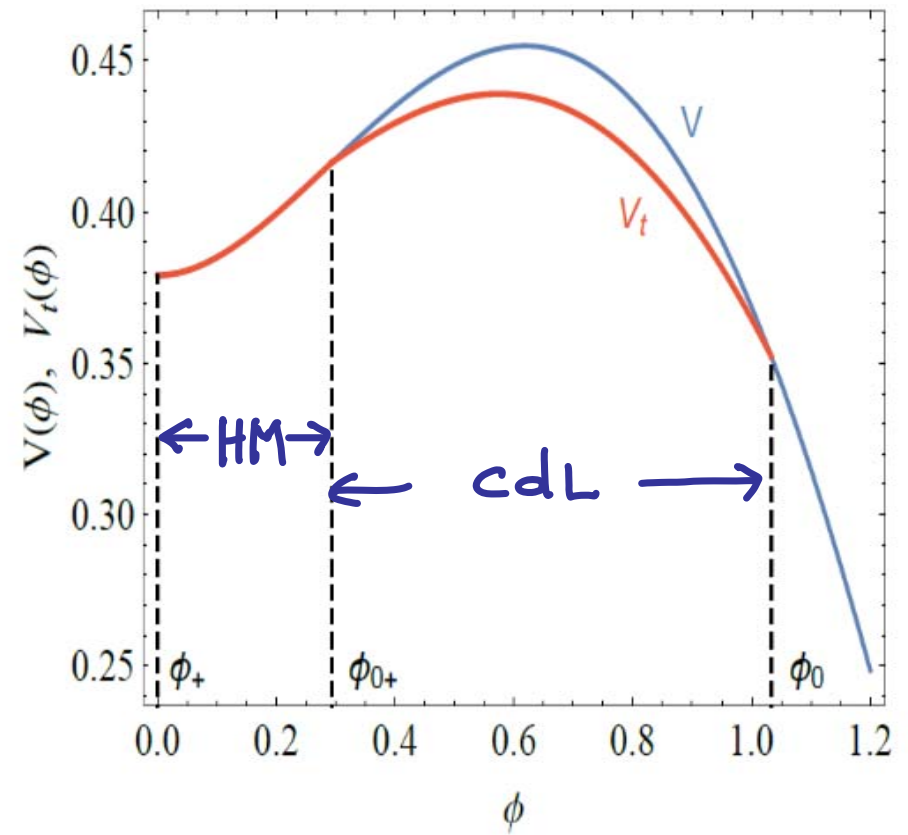
dS

# PROPERTIES & APPS OF $V_t$ -FORM.

Universal formula, valid for AdS, Minkowski or dS



Minkowski or AdS



dS

(Th. int: Brown, Weinberg, 0706)

# HAWKING-MOSS RATE

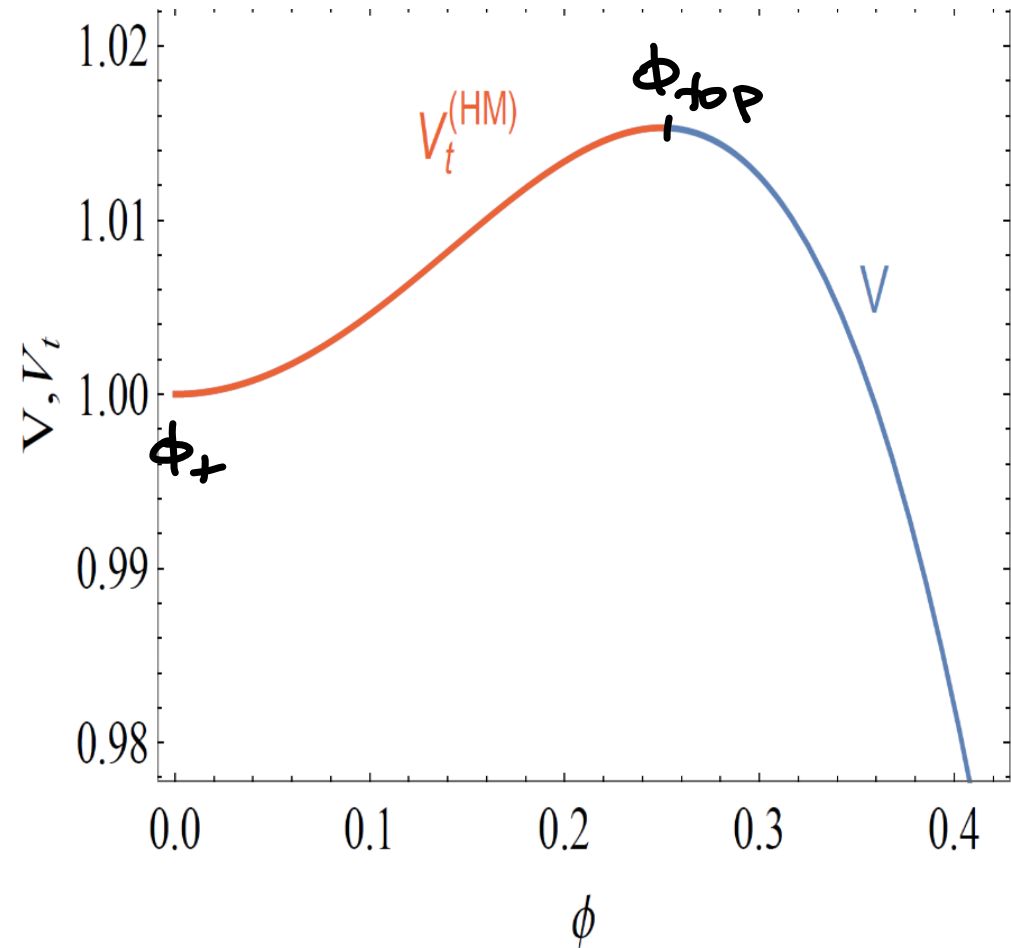
For  $dS$ ,  $V_+ \uparrow \Leftrightarrow$  no CdL bounce

Hawking-Moss decay '82

with tunneling action

$$S_{HM} = \frac{24\pi^2}{k^2} \left( \frac{1}{V_+} - \frac{1}{V_{top}} \right)$$

$S[V_t]$  reproduces this ✓



# GLOBAL PICTURE (dS)

Family of solutions  $v_t(\phi_i; \phi)$  of EOM for  $v_t$

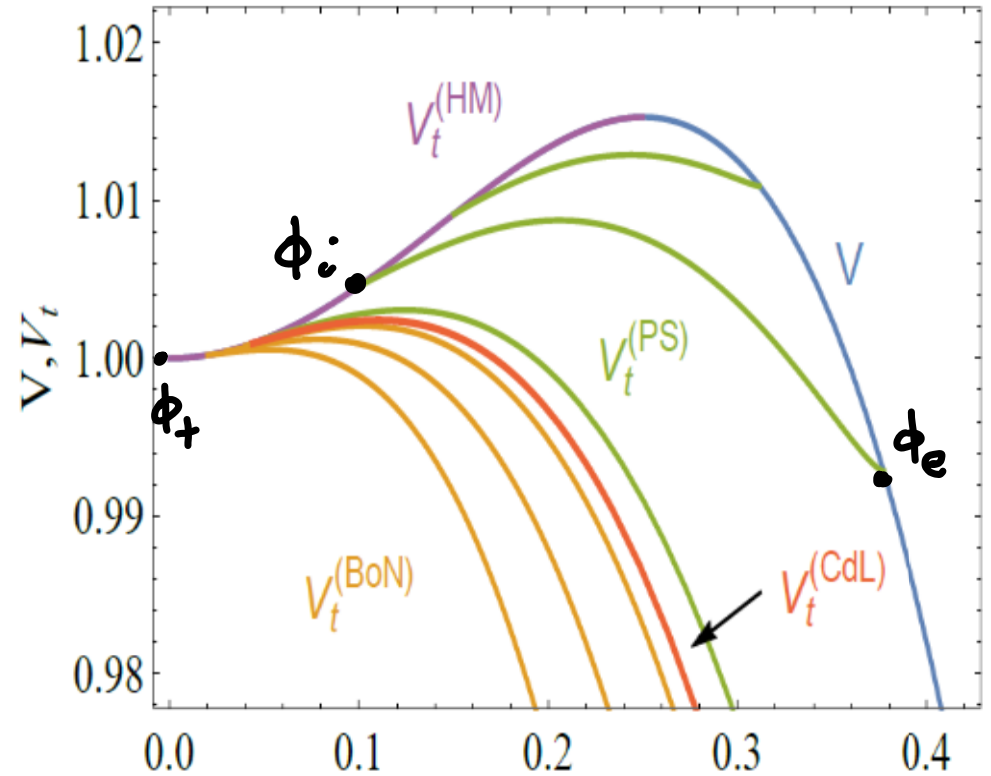
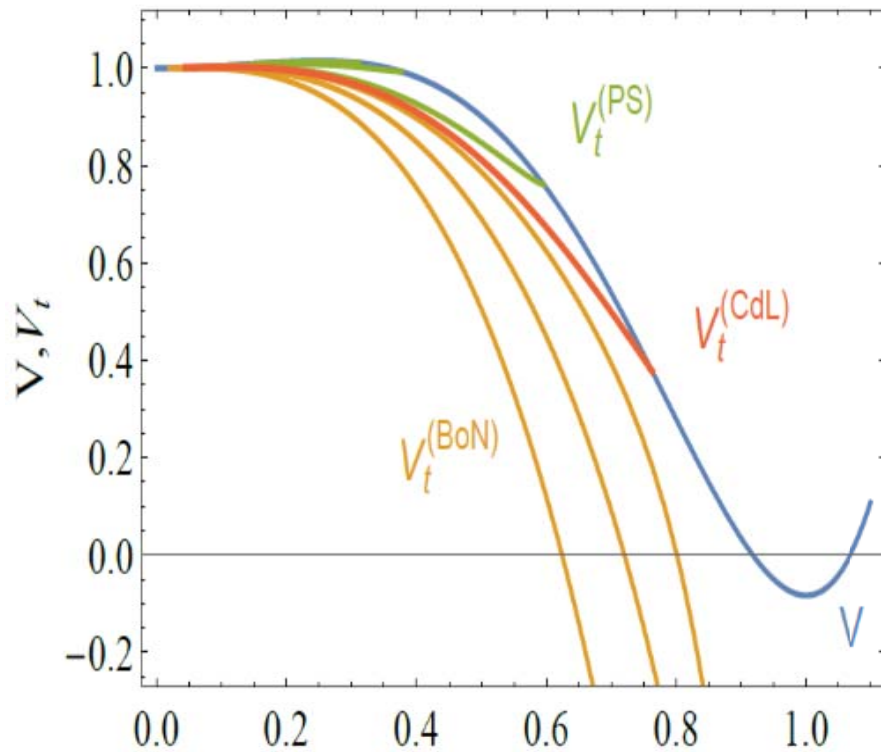
$$\delta S / \delta v_t = 0 \Rightarrow$$

$$0 = (4v_t' - 3v') v_t' + 6(v - v_t) [v_t'' + \kappa(3v - 2v_t)]$$

BCs  $v_t(\phi_i) = v(\phi_i) \quad v_t'(\phi_i) = \frac{3}{4} v'(\phi_i)$



# GLOBAL PICTURE (ds)



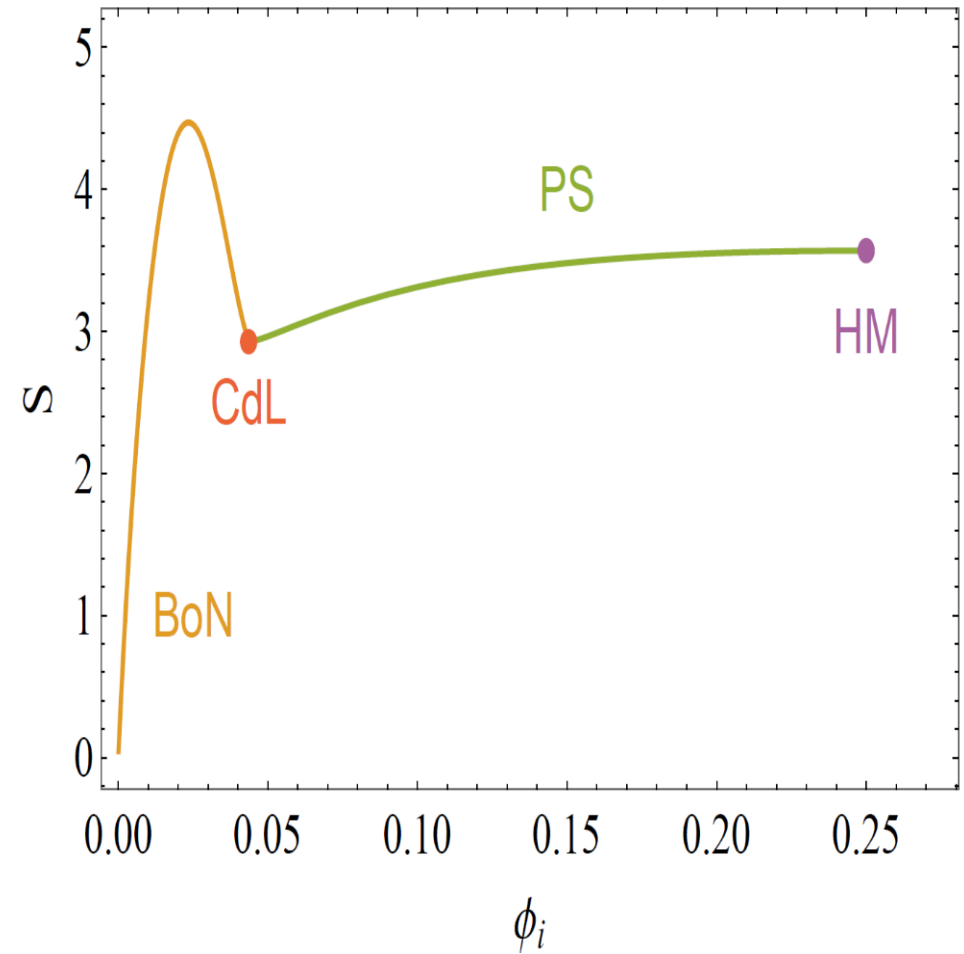
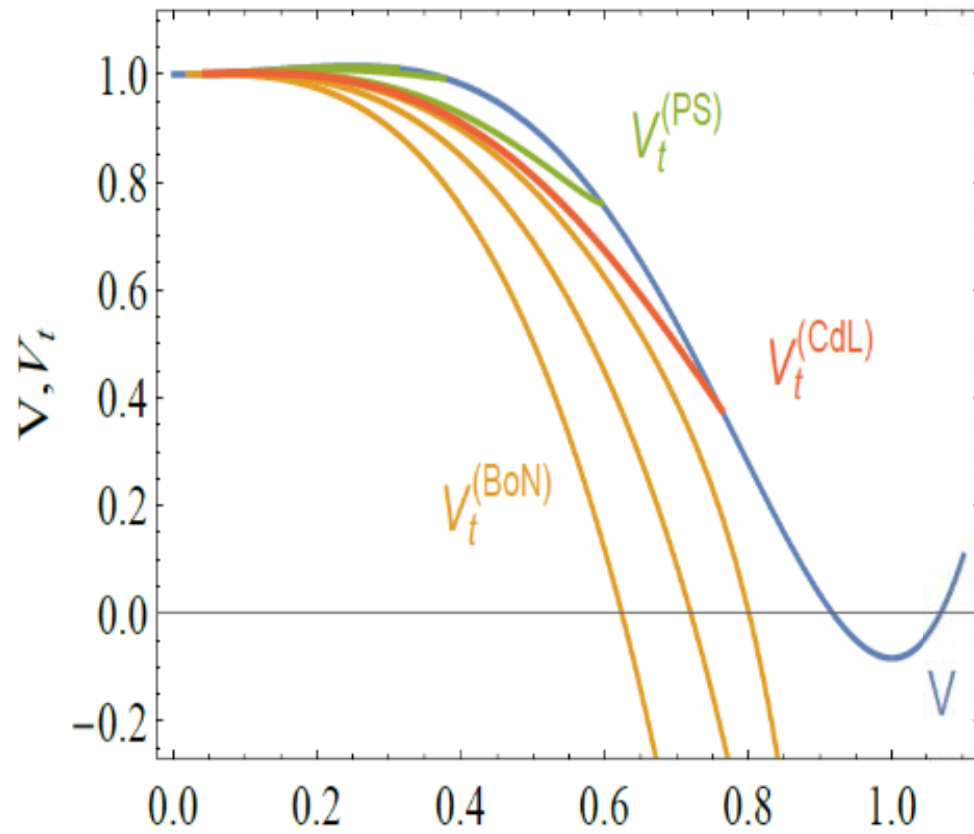
PS = "pseudo-bounces"

BoNs = Bubble of nothing decays

Relevant if  $\phi$  is a size modulus.

# GLOBAL PICTURE (dS)

Finite action:



### 3. PSEUDO-BOUNCES



# PSEUDO BOUNCES

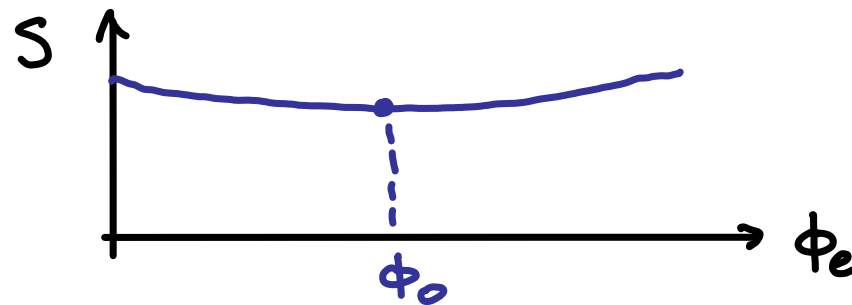
JRE '1908

★ Minimize  $S[v_t]$  if  $\phi_e$  held fixed

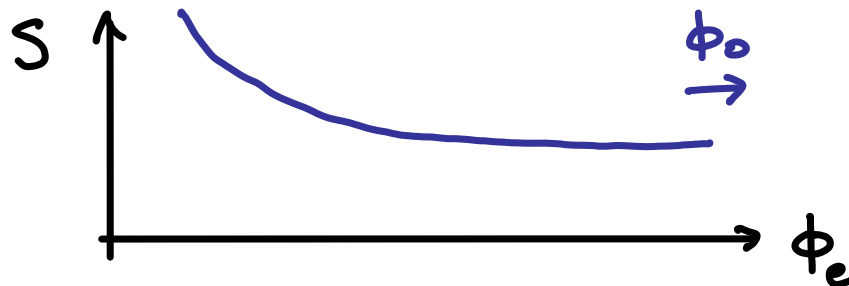
⇒ Not true extremals  $dS/d\phi_e \neq 0$

Relevant if

●  $dS/d\phi_e$  small



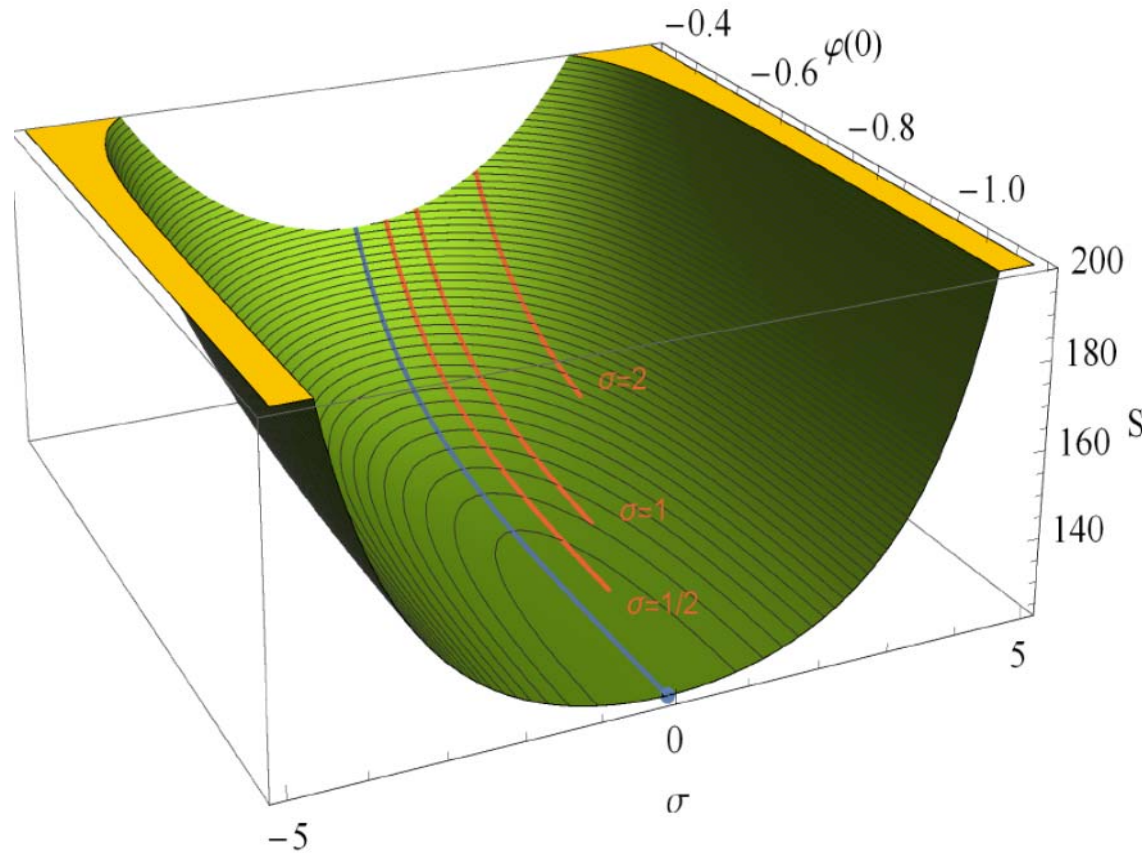
●  $\phi_0 \rightarrow \infty$  (no bounce)



# PSEUDOBOUNCES

Track bottom of action valley in config. space  
(better than constrained instantons)

JRE, Huertas '2106



# CONCLUSIONS

Tunneling potential formalism

simple and useful

- Vacuum decay / Finite T transitions
- Gravity effects on vacuum decays
- Pseudo-bounces

# CONCLUSIONS

## Further applications

- Bubble-of-nothing decays w/ Blanco-Pillado, Huertas, Sousa '2312
- Q-balls w/ Heeck, Sokhashvili '2307
- Positive Energy theorems in AdS maxima w/ Jinno
- Flat/curved Domain Walls w/ C. Bachas, Z. Chen

More? Euclidean solutions  $\Rightarrow$   $V_t$  solutions

BACK UP SLIDES



# $V_t$ FOR THERMAL TRANSITIONS

General  $d$

$$S_d[V_t] = \frac{(d-1)^{d-1} (2\pi)^{d/2}}{\Gamma(1+d/2)} \int_{\phi_+}^{\phi_0} \frac{(V-V_t)^{d/2}}{|V_t'|^{d-1}} d\phi$$

Thermal tr :  $d=3$

$$S_3[V_t] = \frac{16\pi}{3} \int_{\phi_+}^{\phi_0} \frac{[2(V-V_t)]^{3/2}}{(V_t')^2} d\phi$$

$V$  : thermally corrected potential

# DE-EUCLIDEANIZE

JRE'1805

⇒ Get rid of Euclidean quantities in terms of  $v$  &  $v_t$  ones

LINK:  $v_t = v - \frac{1}{2} \dot{\phi}^2$

⇒  $\dot{\phi} = -\sqrt{2(v-v_t)}$       $\ddot{\phi} = \frac{d}{d\phi}(-\sqrt{2(v-v_t)}) \dot{\phi} = v' - v_t'$

EOM:  $\ddot{\phi} + \frac{3}{r} \dot{\phi} = v'$      ⇒  $r = \frac{3\sqrt{2(v-v_t)}}{-v_t'}$

$\frac{d}{dr}(\dots)$  ⇒  $(4v_t' - 3v') v_t' + 6(v - v_t) v_t'' = 0$

Action gives this EOM for  $v_t$

# DE-EUCLIDEANIZE

JRE'1808

⇒ Get rid of Eucl. quant. in terms of  $v$  &  $v_t$

$$v_t = v - \frac{1}{2} \dot{\phi}^2$$

As before. Now

$$r = \frac{3\sqrt{2(v-v_t)}}{-v_t'} \rightarrow \rho = \frac{3\sqrt{2(v-v_t)}}{D}$$

$$\frac{d}{d\xi}(\dots) \Rightarrow 0 = (4v_t' - 3v') v_t' + 6(v - v_t) \left[ v_t'' + \kappa(3v - 2v_t) \right]$$

Action gives this EoM for  $v_t$

# GRAVITATIONAL QUENCHING

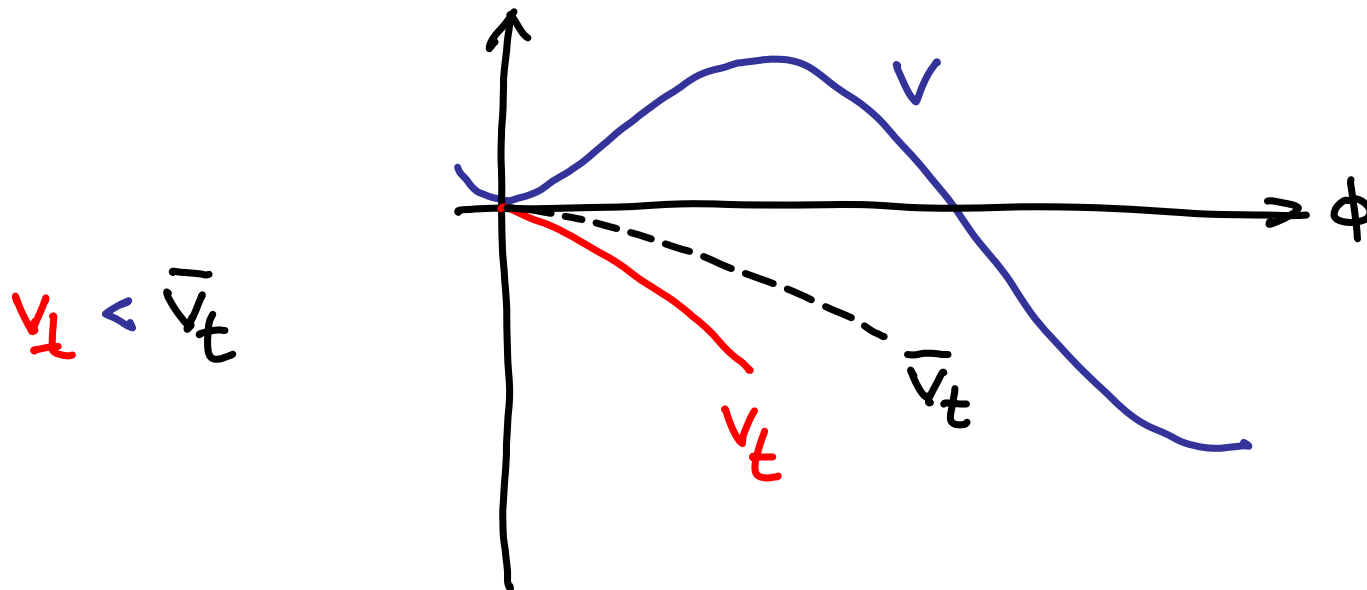
For AdS, Minkowski ( $v_+ \leq 0$ )

gravitational quenching of decay possible

Coleman, De Luccia '80

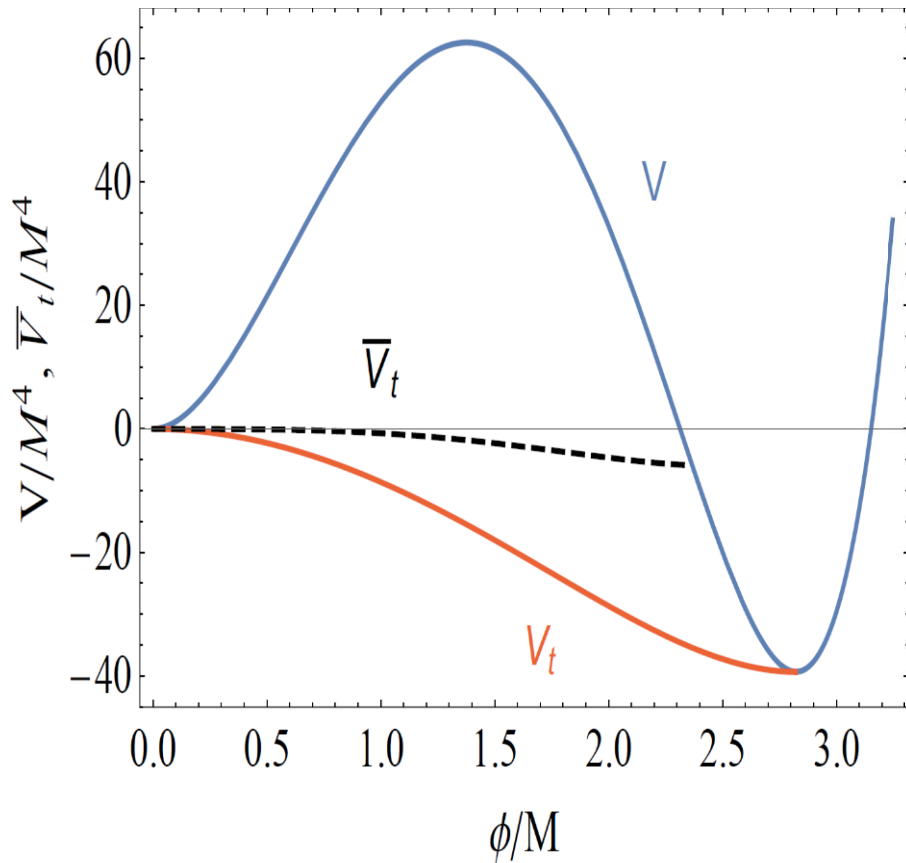
$$D = \sqrt{\underbrace{(v'_t)^2}_{\hat{0}} + \underbrace{6\kappa(v - v_+)_t}_{\hat{0}} v_t} \quad \text{must be real} \quad \curvearrowright$$

$$|v'_t| > |\bar{v}'_t| = \sqrt{6\kappa(v - \bar{v}_t)(-\bar{v}_t)}$$



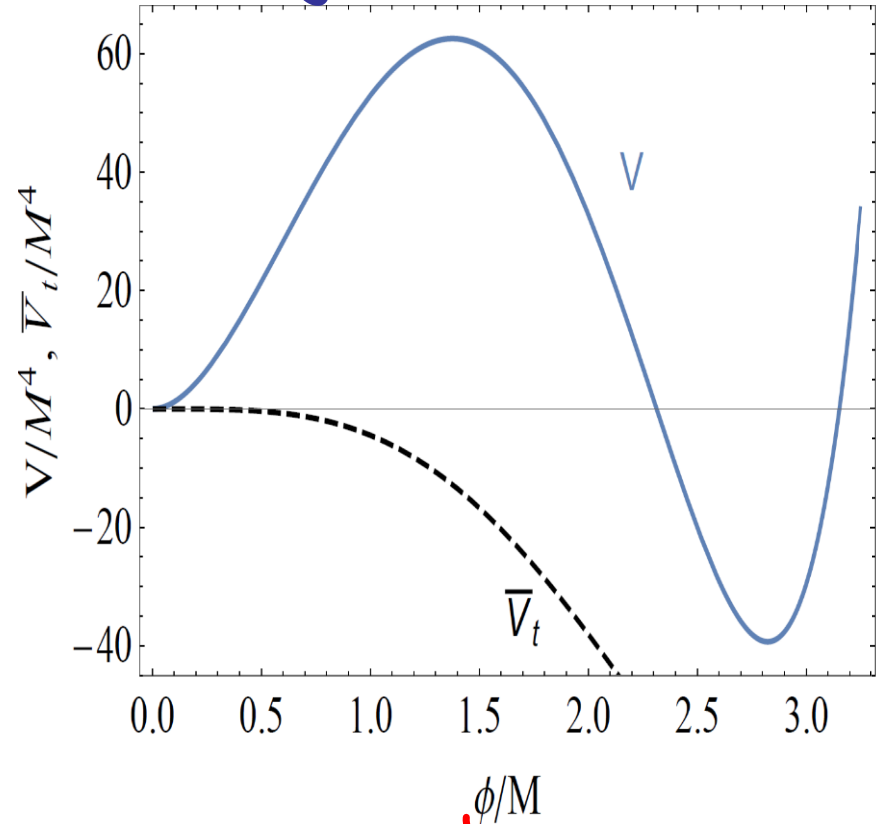
# GRAVITATIONAL QUENCHING

Weak grav. effects  $\longrightarrow$



Decays

Strong grav. effects



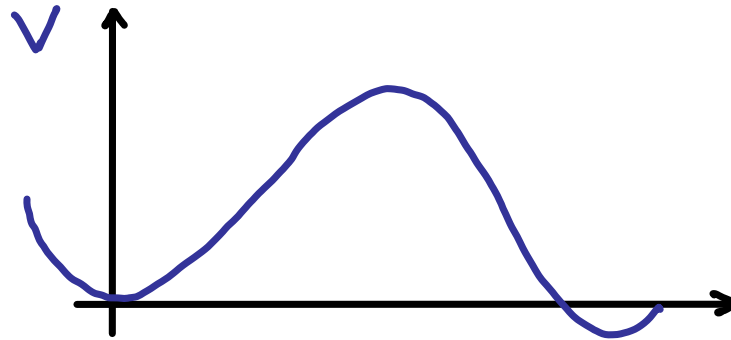
No decay



NO EXIT

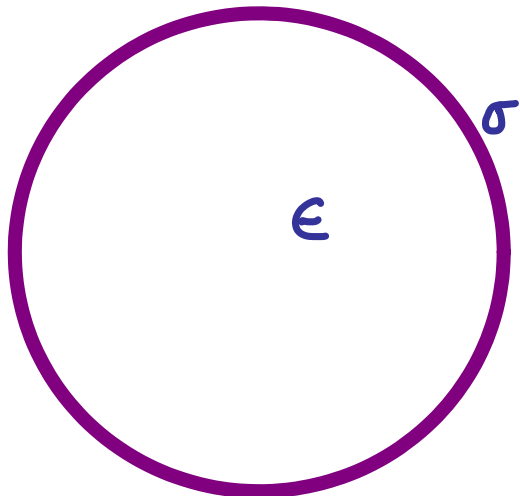
Positive Energy Theorem

# GRAVITATIONAL QUENCHING



No gravity

$$E \sim -\epsilon R^3 + \sigma R^2 = 0$$



large  $R \sim \sigma/\epsilon$

$$V_- = -\epsilon$$

With gravity

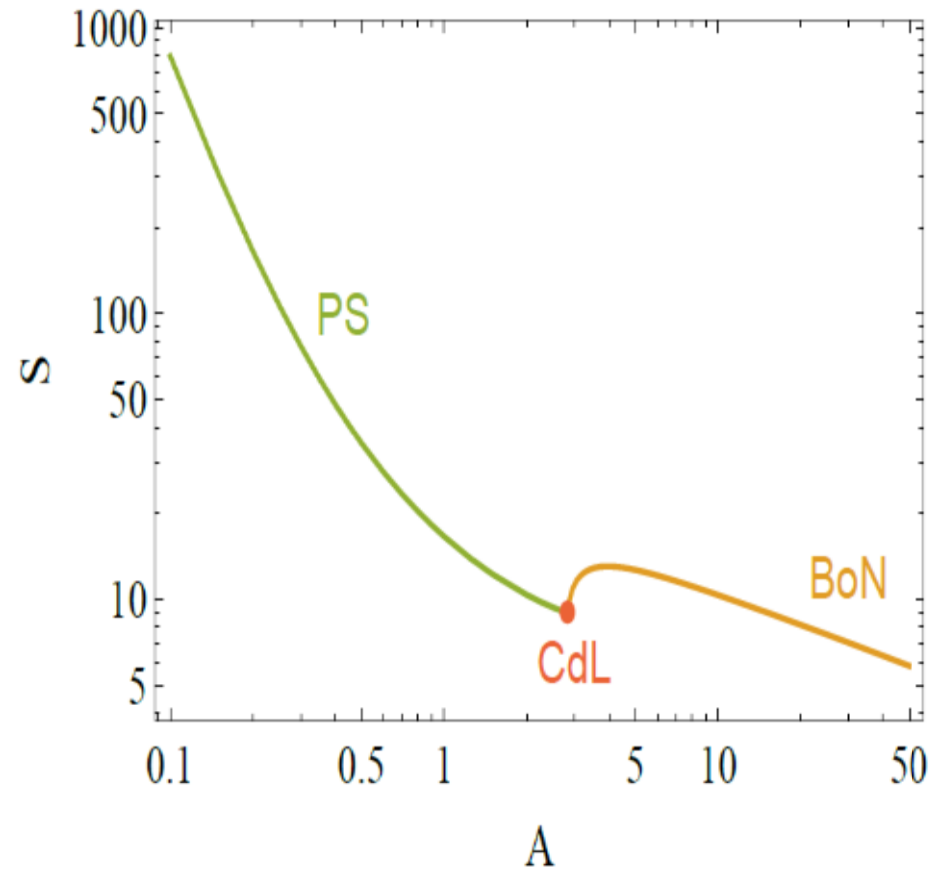
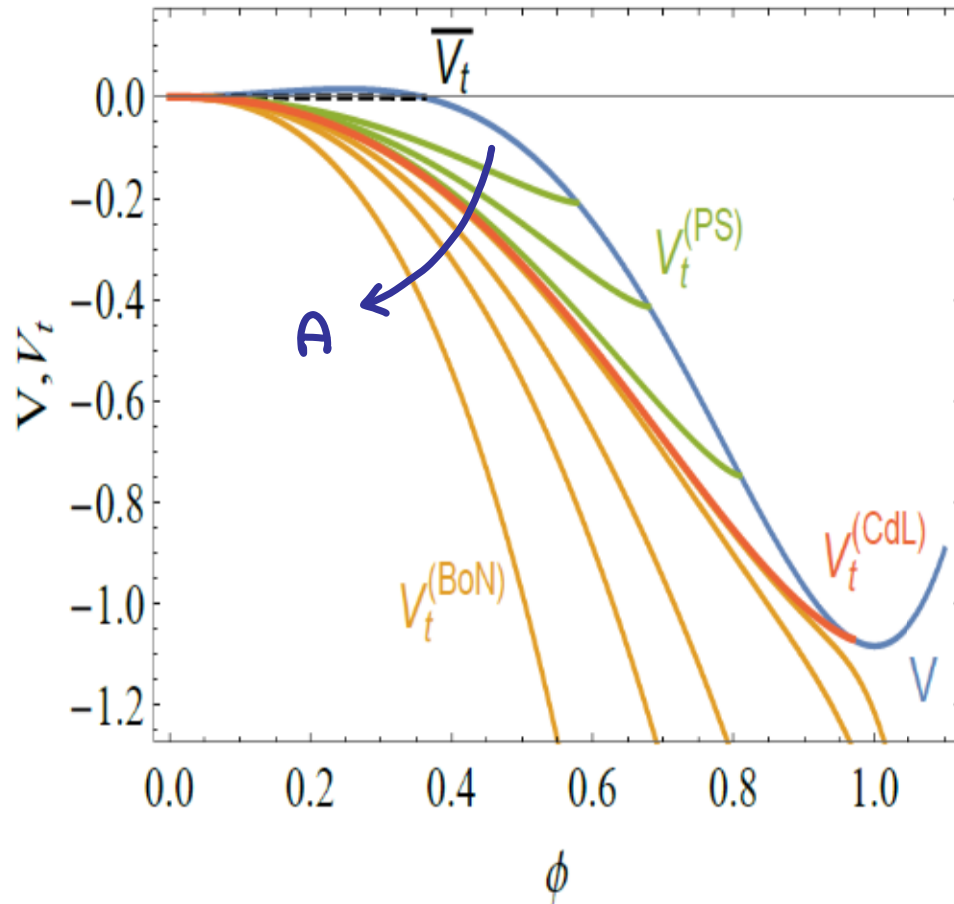
$$E \sim -\epsilon R^3 + \sigma R^2$$



$E=0$  not guaranteed

# GLOBAL PICTURE (Mink.)

Family of solutions  $\psi_t(A; \phi)$



# 4. BUBBLE OF NOTHING DECAYS



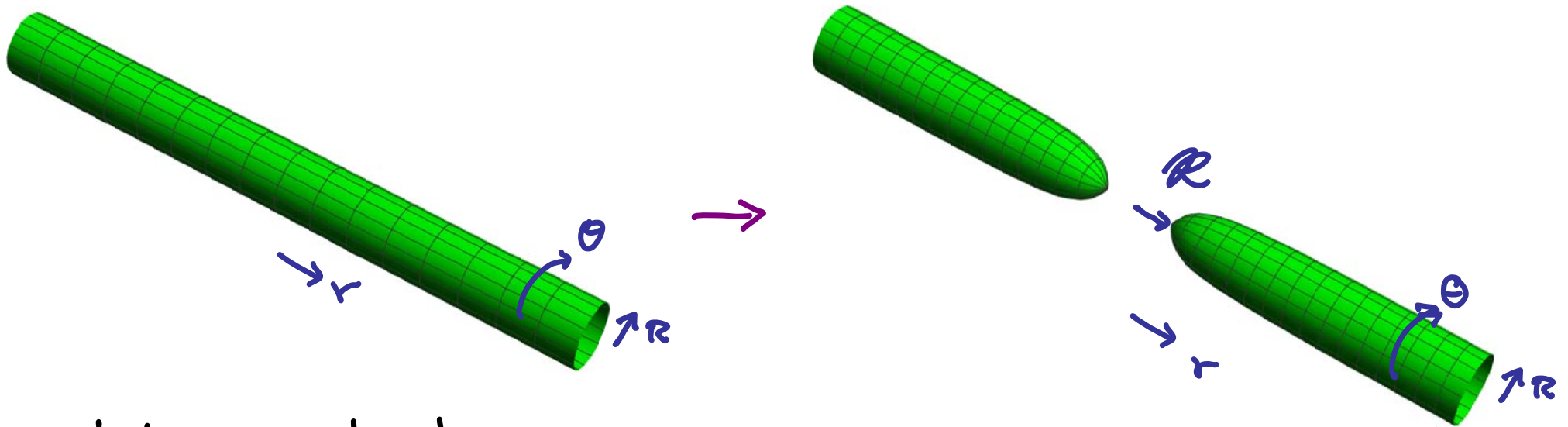


# BUBBLE OF NOTHING DECAYS

Decays of spacetimes with compactified dim. like

5d KK ( $M^4 \times S^1$ )

Witten '82



Euclidean instanton:

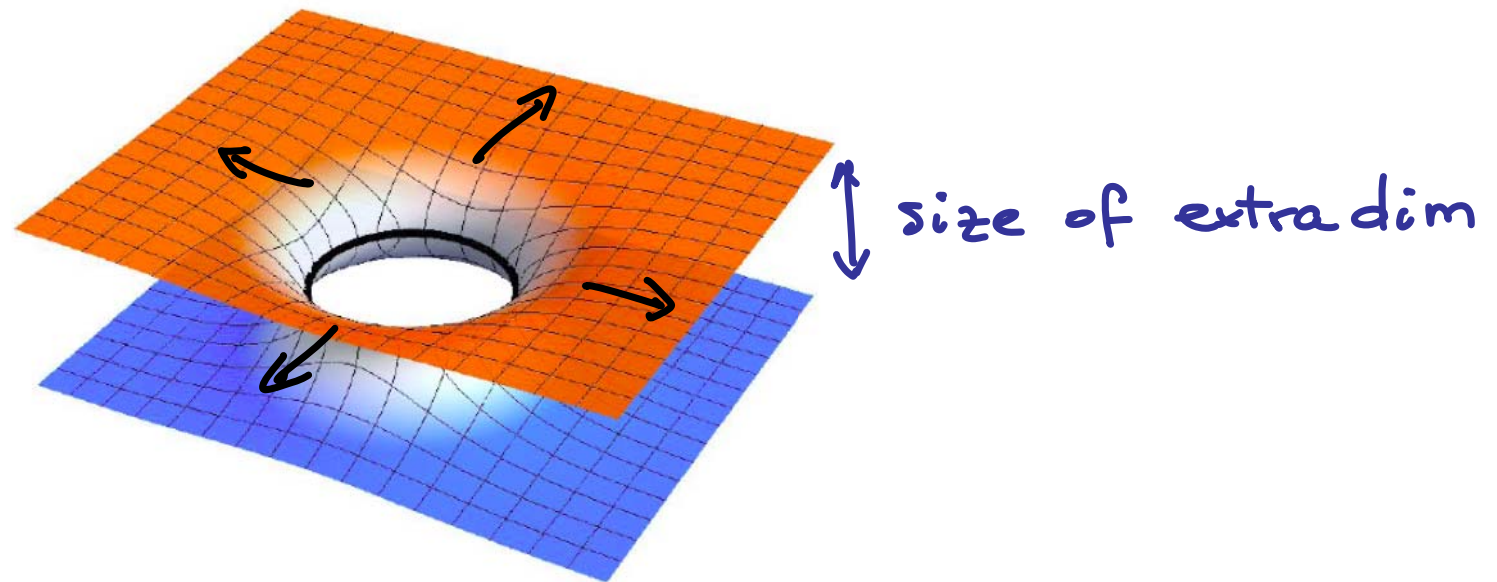
$$ds^2 = \frac{dr^2}{1 - R^2/r^2} + r^2 d\Omega_3^2 + \underbrace{R^2 \left(1 - \frac{R^2}{r^2}\right)}_{R(r)^2} d\theta^2$$

with  $S = (\pi R m_p)^2$

$R(r)^2 \rightarrow 0$  at  $r \rightarrow R = R$

# BUBBLE OF NOTHING DECAYS

End-product of tunneling process is a hole in space-time



It's smooth, w/o singularities, curvature not large  
But it grows, eating the whole space

# WHY BONS?

- Relevant for string vacua landscape
- BONS thought to be ultimate cause of decay of non-SUSY vacua in string compactifications  
García-Etxebarria, Montero, Sousa, Valenzuela '2005
- Relevant for cobordism conjecture

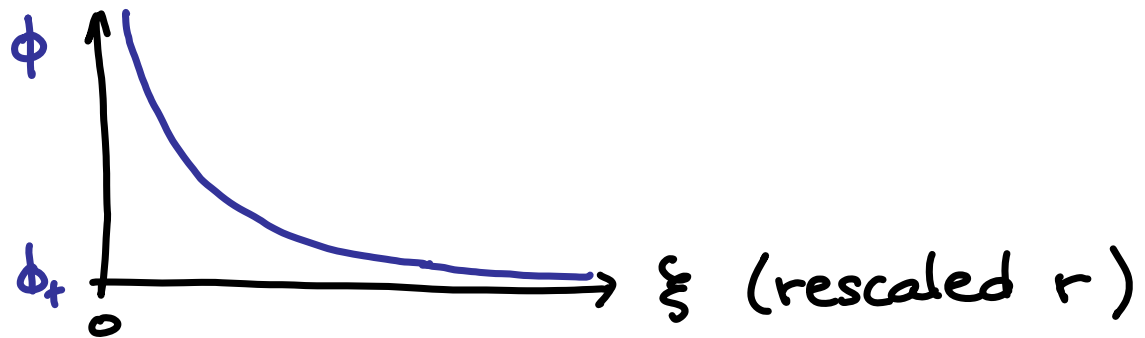
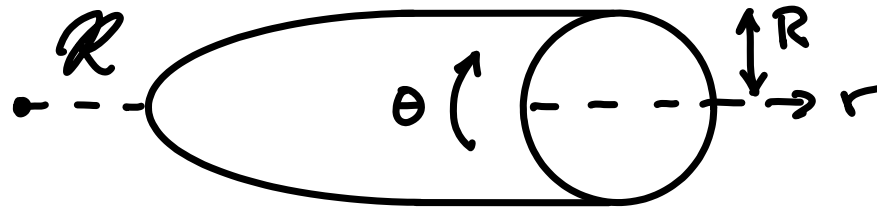
# 4d VIEW

Dine, Fox, Gorbatou '0405

5d  $\rightarrow$  4d + Scalar  $\phi$  (geometric modulus)

$$R_{S^1}^2 = R^2 \left( 1 - \frac{R^2}{r^2} \right)$$

$$\parallel \\ R^2 e^{-2\sqrt{2\kappa/3} \phi}$$



and  $ds_4^2 = d\xi^2 + g(\xi)^2 d\Omega_3^2$

BON reduces to a singular CdL problem

Action reproduced (paying attention to boundary terms)

# 4d VIEW

Dine, Fox, Gorbatou '0405

5d  $\rightarrow$  4d + Scalar  $\phi$  (geometric modulus)

$$R_{S^1}^2 = \underbrace{R^2 \left(1 - \frac{R^2}{r^2}\right)}_{5d} = \underbrace{R^2 e^{-2\sqrt{2\kappa/3}\phi}}_{4d}$$

$$\left\{ \begin{array}{ll} \text{BoN core: } r \rightarrow R & \phi \rightarrow \infty \\ \text{False vac: } r \rightarrow \infty & \phi \rightarrow 0 \end{array} \right.$$

and  $ds_4^2 = d\xi^2 + \rho(\xi)^2 d\Omega_3^2$

with  $\frac{d\xi}{dr} = \frac{1}{(1 - R^2/r^2)^{1/4}}$  (BoN core:  $\xi \rightarrow 0$ )

BoN reduces to a CdL problem

$$\phi(0) = \infty \quad \dot{\phi}(0) = -\infty \quad \phi(\infty) = \phi_+ = 0$$

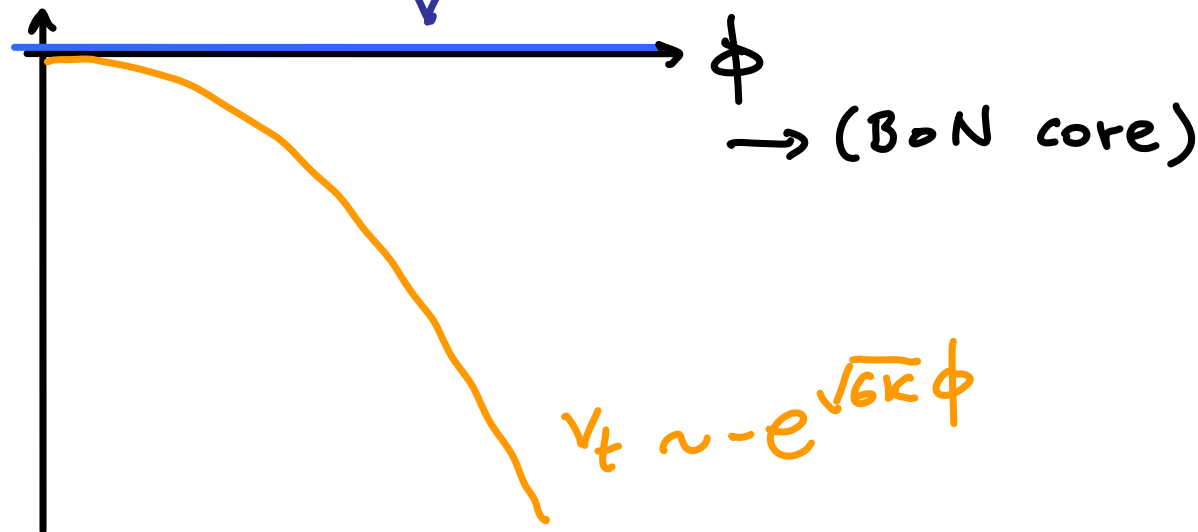
Action reproduced (paying attention to boundary terms)

# $V_t$ FOR BONs

Blanco-Pillado, JRE, Huertas, Sousa '2312

Witten's BoN

$$V_t = -6m_p^2 R^2 \sinh^3(\sqrt{2\kappa/3} \phi), \quad \gamma = 0$$

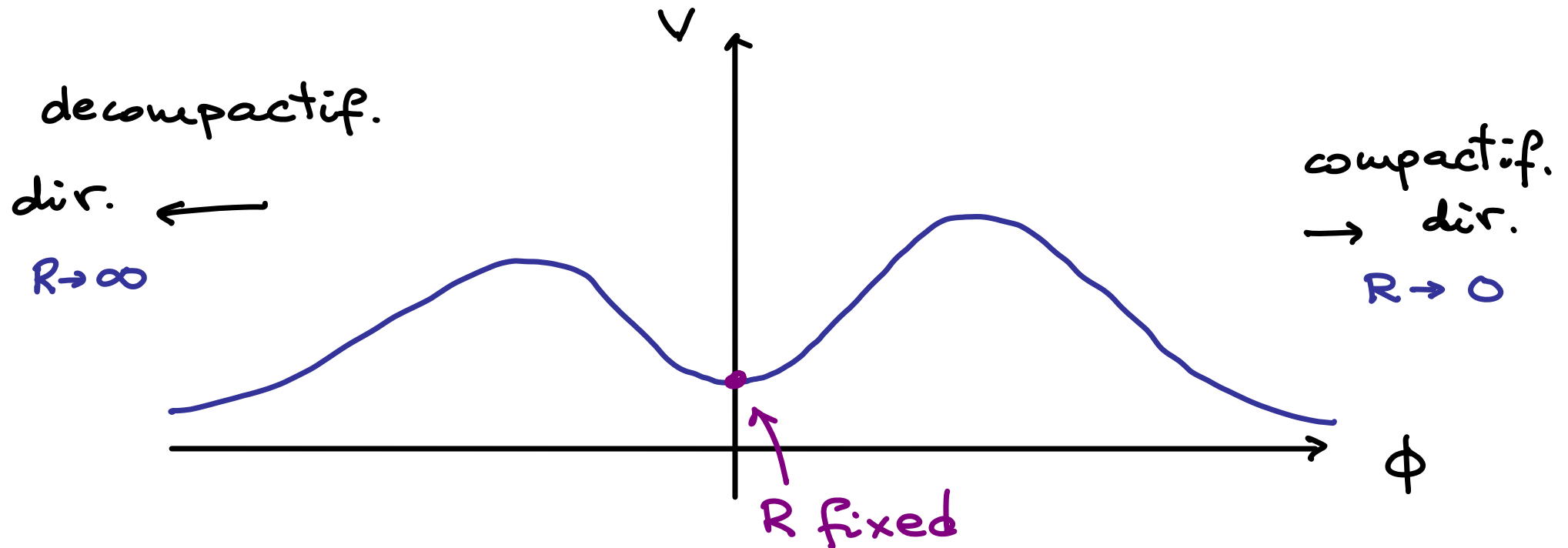


BoN tunneling to  $-\infty$  AdS

★  $S[V_t]$  ✓ without additional boundary terms

Allows bottom-up approach to study which  $V(\phi)$  admits BoN decays  
Draper, García-García, Lillard '2105

# BONS WITH $V(\phi)$ ?



★ Universal asymptotic  $v, v_t$  behaviours at  $\phi \rightarrow \infty$  via

$$0 = (4v_t' - 3v') v_t' + 6(v - v_t) [v_t'' + \kappa(3v - 2v_t)]$$

→ which  $v, v_t$  can be obtained from extra-d theories?

→ IS BoN always the dominant decay?

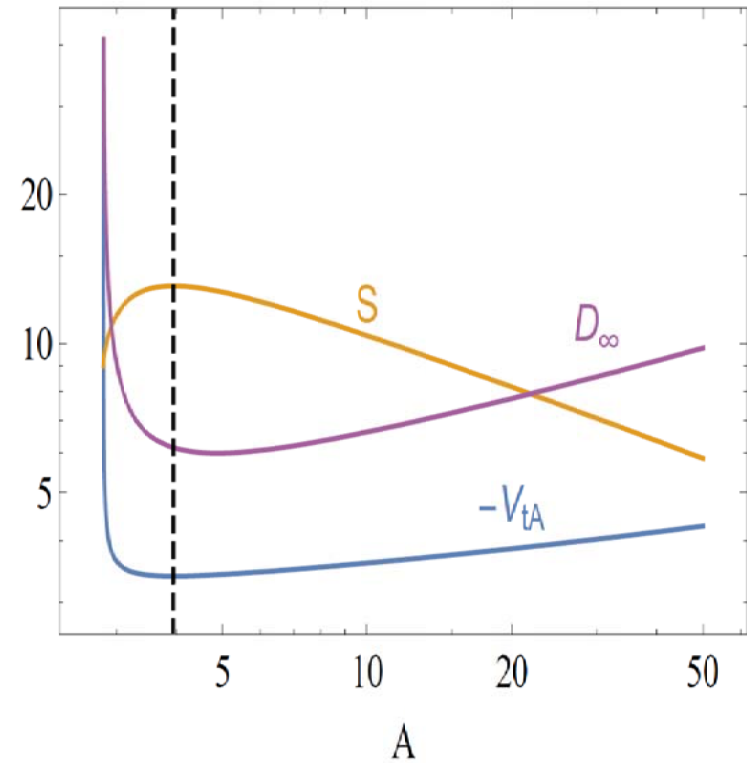
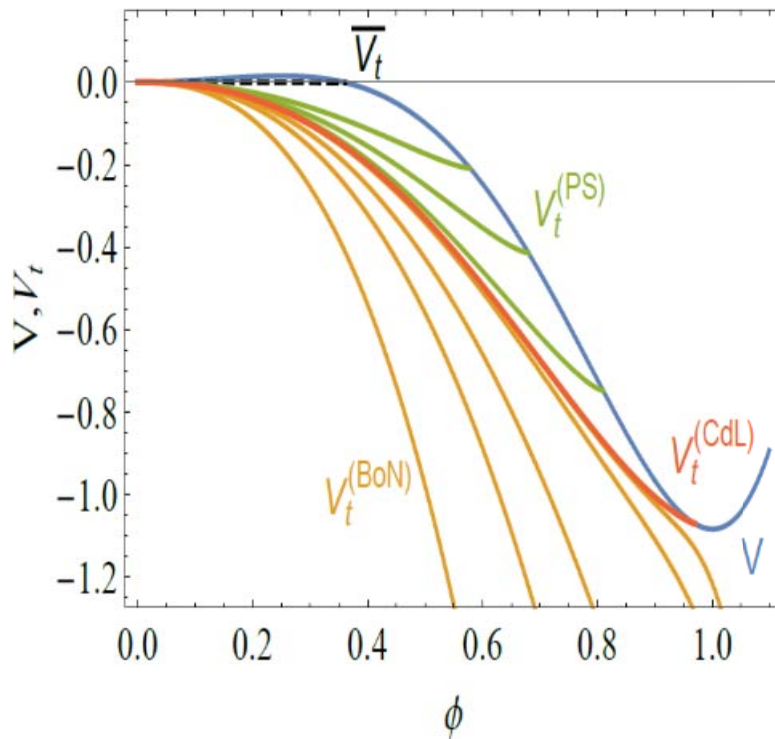
# $\phi \rightarrow \infty$ (BoN Core) ASYMPTOTICS

Example:  $M^4 \times S^1$ ,  $v(\phi) \neq 0$

4d EFT:

$$V_t \simeq V_{tA}(A) e^{\sqrt{6k} \phi} + \dots$$

$$D \simeq D_\infty(A) e^{\sqrt{8c/3} \phi} + \dots$$





# $\phi \rightarrow \infty$ (BON CORE) ASYMPTOTICS

Example:  $M^4 \times S^1$ ,  $v(\phi) \neq 0$

4d EFT:

$$V_t \simeq V_{tA}(A) e^{\sqrt{6k} \phi} + \dots \quad D \simeq D_\infty(A) e^{\sqrt{8c/3} \phi} + \dots$$

5d input:

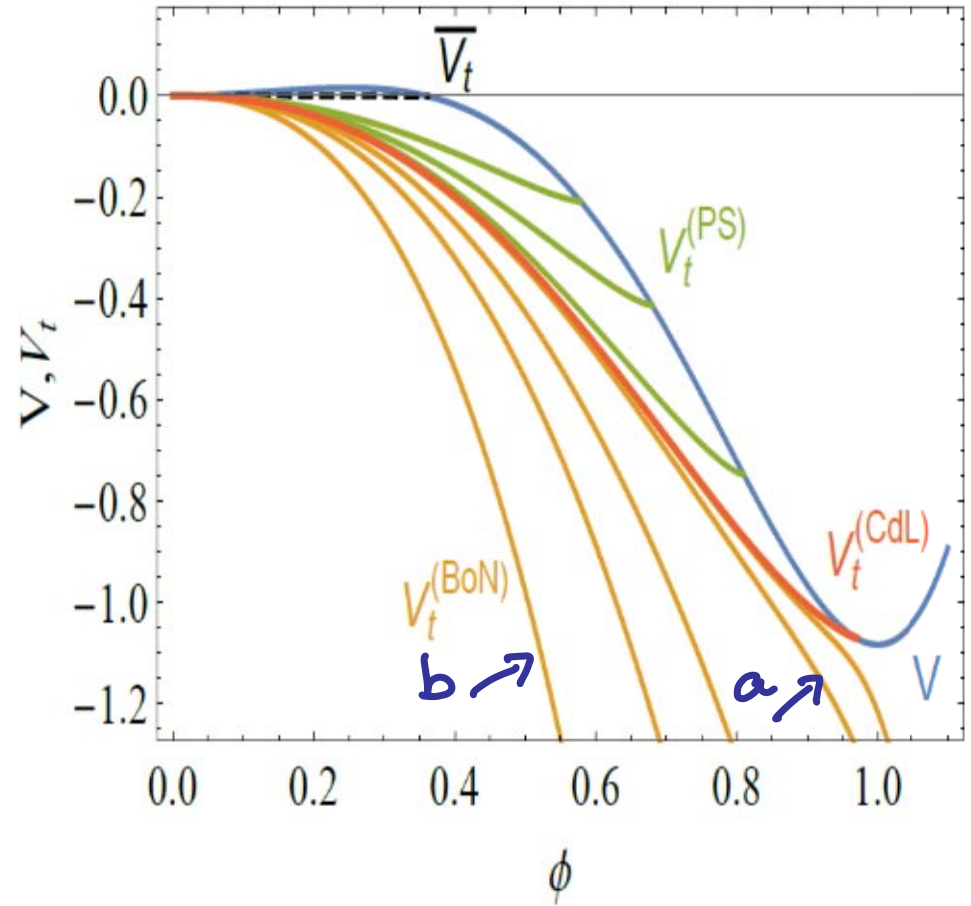
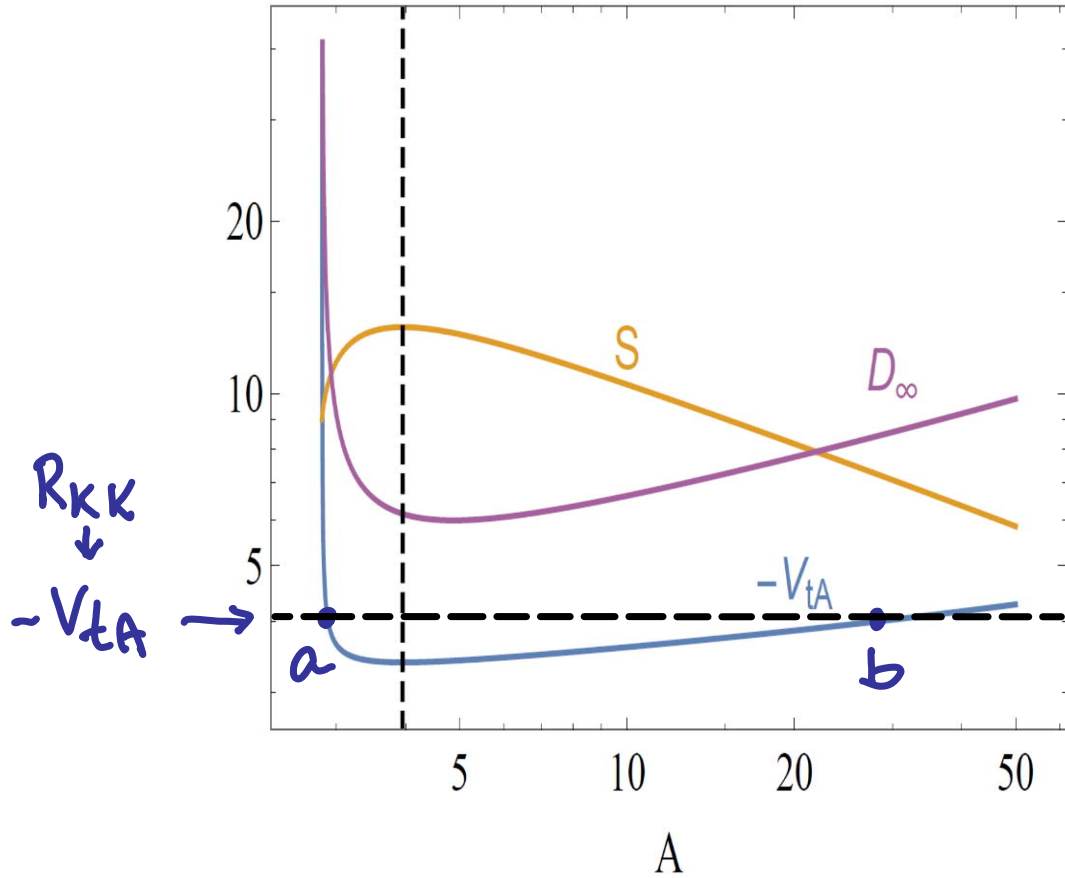
$$V_{tA} = \frac{c}{k R_{KK}^2}$$

$$D_\infty = \frac{c'}{\mathcal{R} R_{KK} \sqrt{k}}$$

BON radius  $\swarrow$   $\nwarrow$  KK radius

for fixed  $R_{KK} \Rightarrow$  fixed  $V_{tA}$

WHICH  $V_t^{\text{BoN}}$  ?



Choose sol. with lowest  $S$  and  $D_\infty$  fixes  $R$

If  $R_{KK}$  gives  $-V_{tA} < -V_{tA}^{\text{min}}$   $\Rightarrow$  No BoN decay