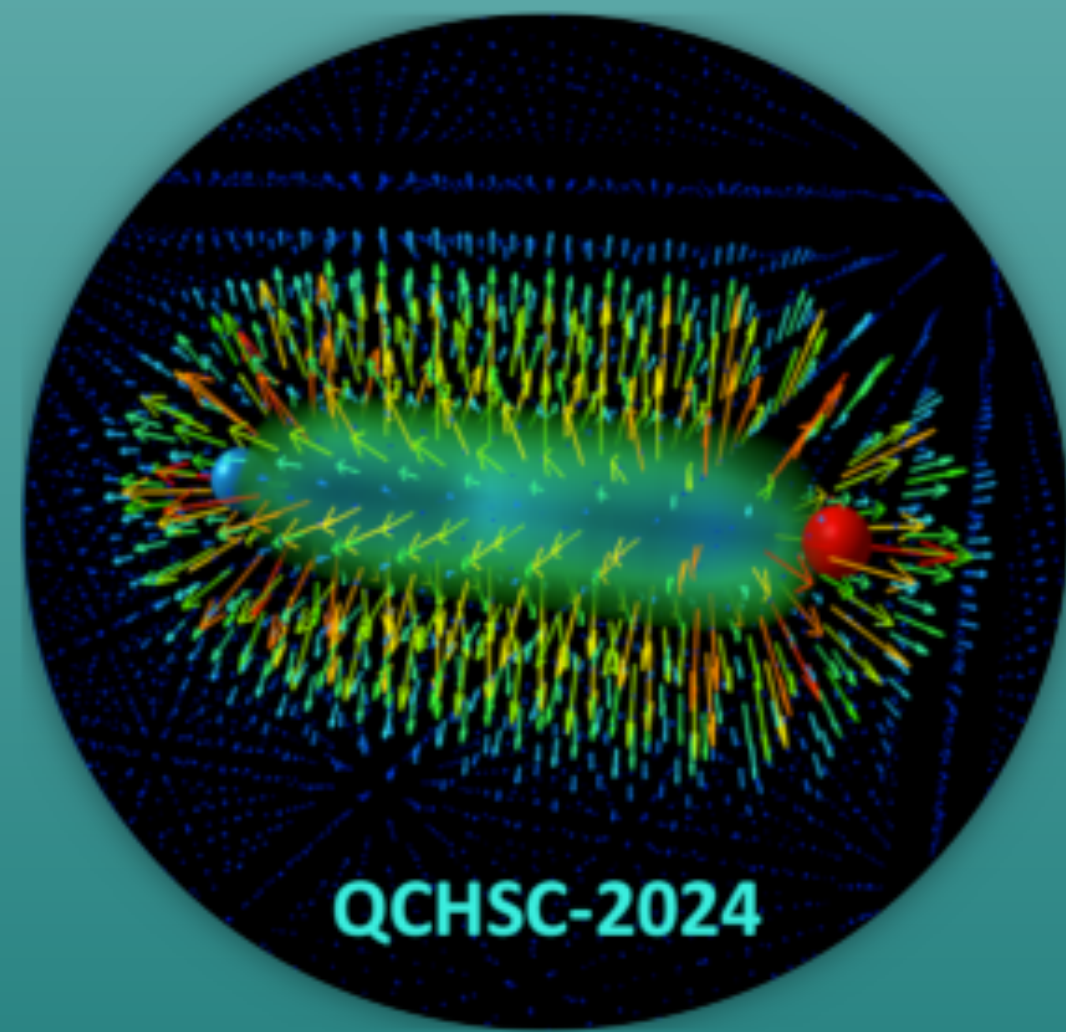


# STUDYING EFFECTIVE STRING THEORY USING DEEP GENERATIVE MODELS

ELIA CELLINI

22/08/2024

Quark Confinement and the Hadron Spectrum  
Cairns



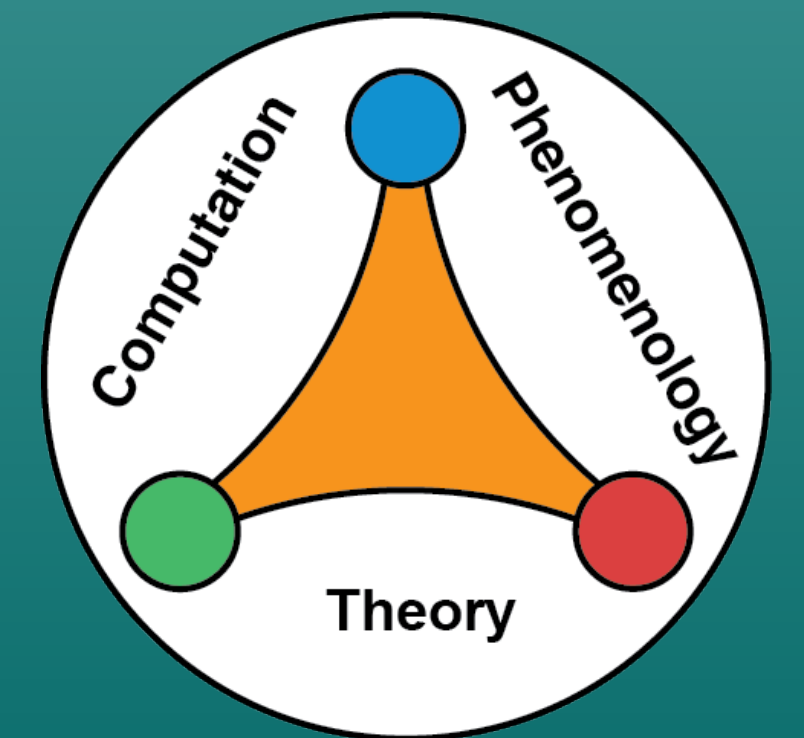
Based On:

M. Caselle, E.C., A. Nada

- JHEP 02 (2024) 048, arxiv:2307.01107
- In prep., arxiv:2409.XXXX



UNIVERSITÀ  
DI TORINO



# OUTLINE

1. **EFFECTIVE STRING THEORY**
2. **LATTICE REGULARIZATION & DEEP LEARNING**
3. **NUMERICAL RESULTS**
4. **OUTLOOKS**

# EFFECTIVE STRING THEORY

# EFFECTIVE STRING THEORY

Correlators of Polyakov loops modelled in terms of string partition functions:

$$\langle P(0)P^\dagger(R) \rangle \sim \int DX e^{-S_{EST}[X]} \equiv Z_{EST}$$

The main choice for  $S_{EST}$  is the Nambu-Goto (NG) action:

$$S_{NG} = \sigma \int d\xi^2 \sqrt{g}$$

- Anomalous at quantum level  $\rightarrow$  effective, large-distance description of Yang-Mills theories (low-energy universality theorem).
- Works only up to order  $1/R^5$   $\rightarrow$  first order approximation of a more general theory  $\rightarrow$  Beyond Nambu-Goto (BNG)  
[Aharony and Komargodski; 1302.6257], [Brandt and Meineri; 1603.06969],[Caselle; 2104.10486]

# OBSERVABLES: $Z$

Main method: zeta-function regularization

This talk: High Temperature (HT) regime  $R \gg L$

Partition function  $\rightarrow$  directly associated with the interquark potential. Well known at all the order.

$$-\log Z = \sigma RL \sqrt{1 - \frac{\pi}{3\sigma L^2}} + \dots$$



# OBSERVABLES: WIDTH $\sigma w^2$

$\sigma w^2$  : correlation function that measure the density of the chromoelectric flux tube:

$$\sigma w^2 = \langle X(\tau, R/2)X(\tau, R/2) \rangle$$

In the HT regime, for  $\sigma \rightarrow \infty$ :

$$\sigma w^2 = \frac{R}{4L} + \dots$$

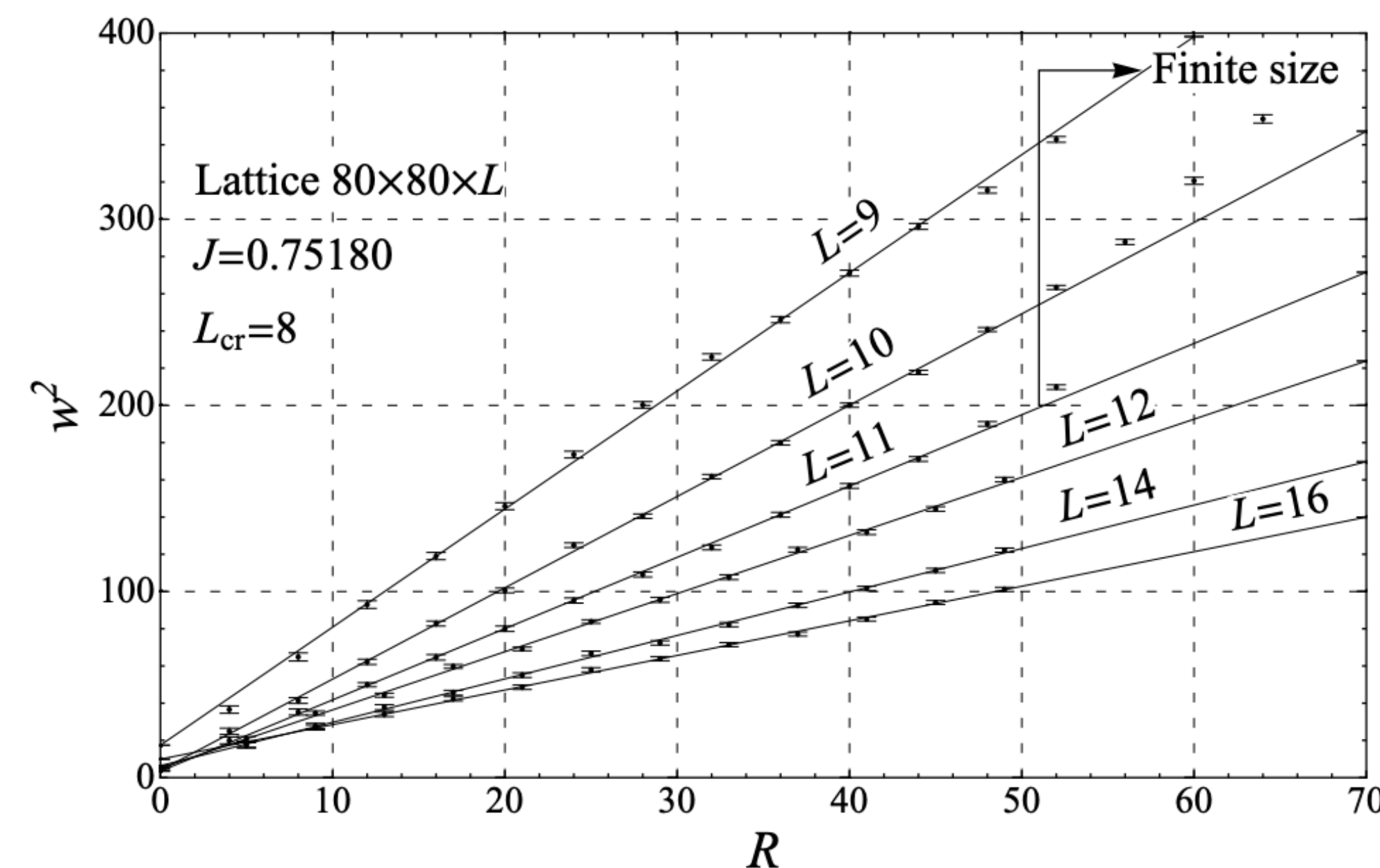


Figure 2: Flux tube thickness as a function of the interquark distance for various values of the inverse temperature  $L$ .

[Allais and Caselle; 0812.0284]

Lattice simulations of  $\mathbb{Z}_2$  gauge model

# OBSERVABLES: WIDTH $\sigma_W^2$

**Two-loop** calculation by **Gliozzi, Pepe and Wiese**

[Gliozzi, Pepe and Wiese; 1006.2252]

$$\sigma_W^2 = \left( 1 + \frac{\pi}{6\sigma L^2} \right) \frac{R}{4L} + \dots$$

Never observed in lattice gauge theory simulations (unaccessible regime)

# OBSERVABLES: WIDTH $\sigma w^2$

**Conjecture** on the general behaviour of the width by **Caselle**

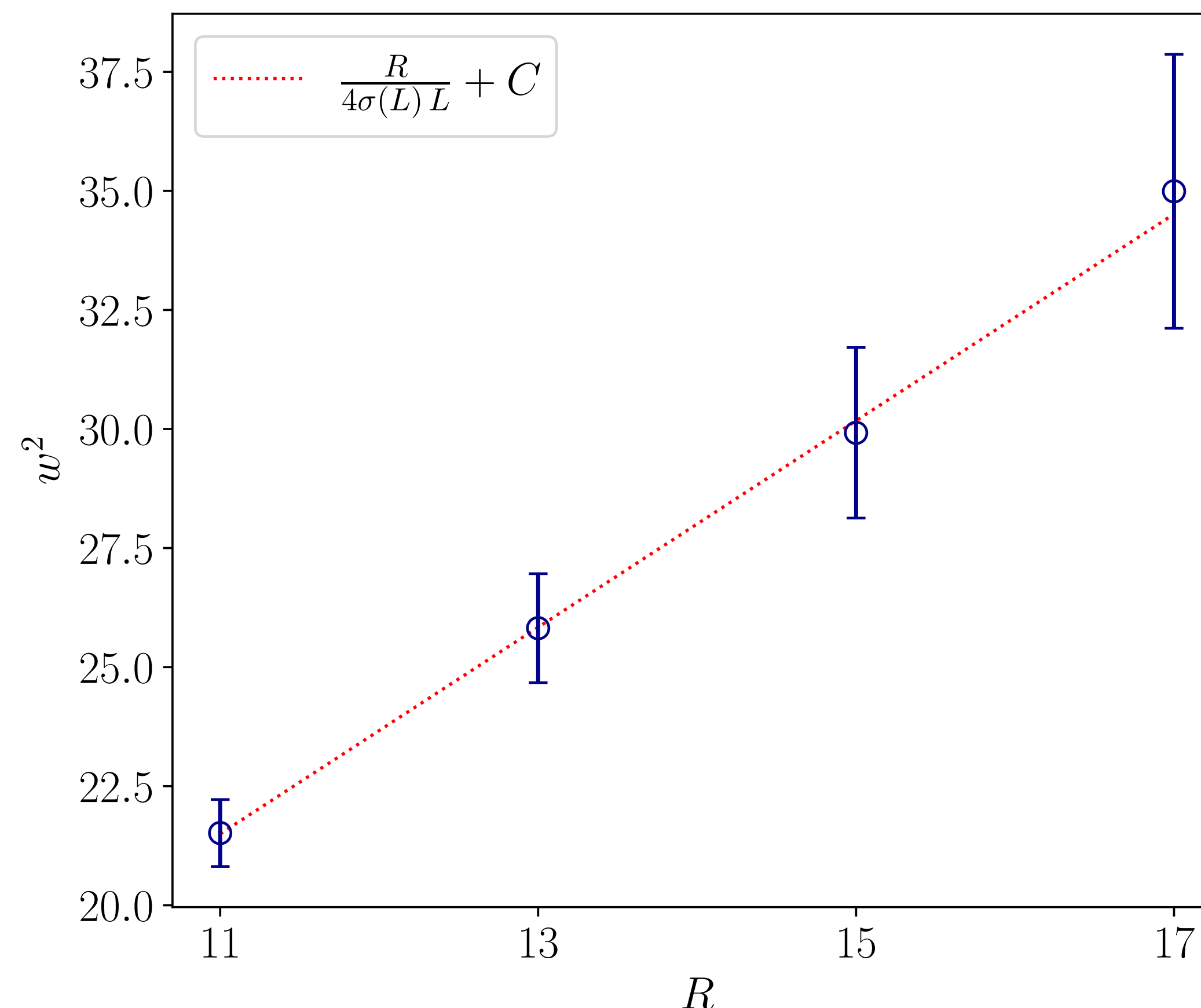
[Caselle;1004.3875]

$$\sigma w^2 = \frac{1}{\sqrt{1 - \frac{\pi}{3\sigma L^2}}} \frac{R}{4L} + \dots$$

$\swarrow$   
 $\sigma(L)/\sigma$ 
 $\searrow$   
Gaussian solution

Observed in lattice gauge theory.

However, a rigorous prove from the EST side was missing



Lattice simulations of pure  $SU(2)$

[Caselle et al.; in prep.]

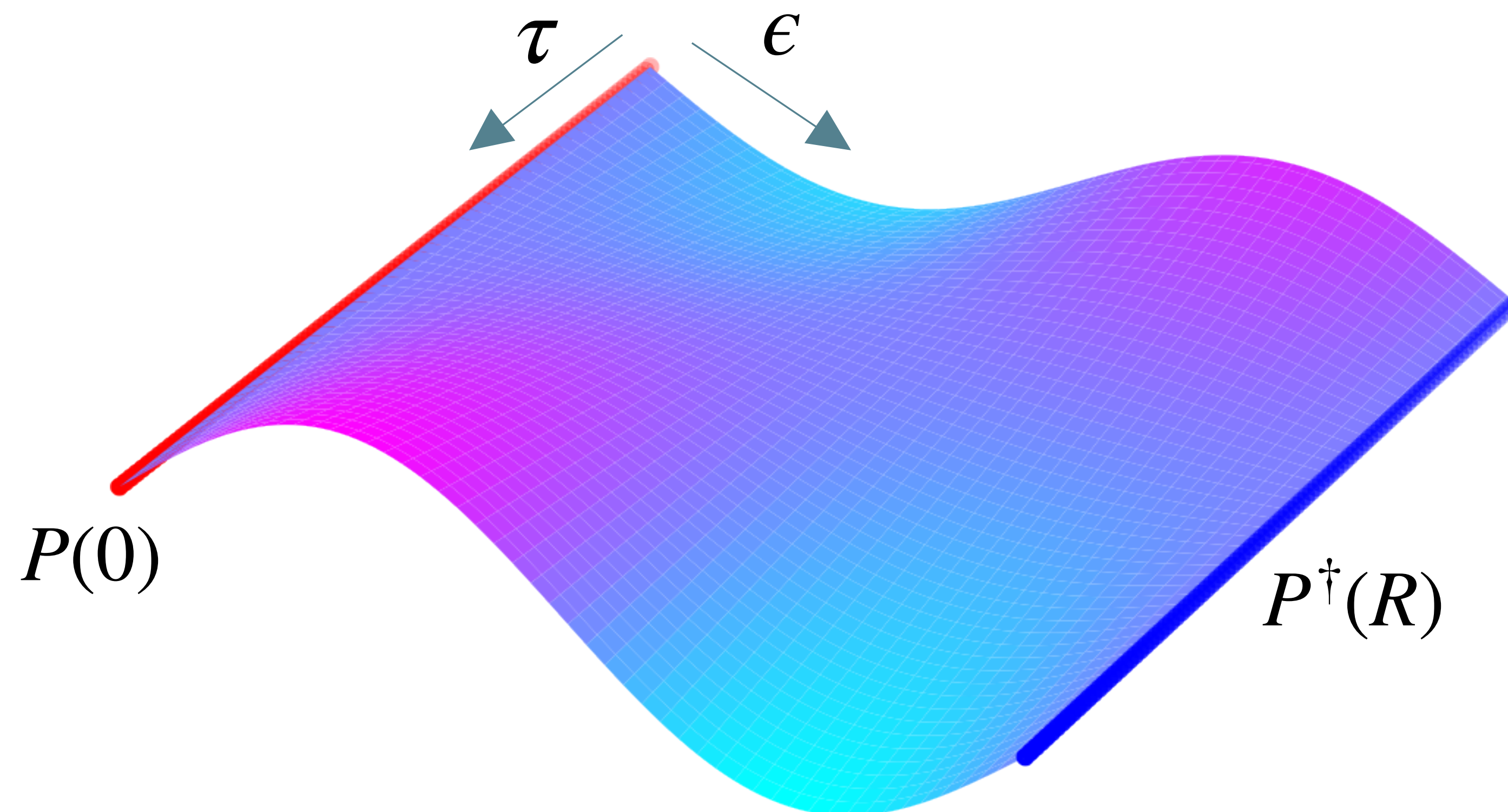


# LATTICE REGULARIZATION & DEEP LEARNING

# LATTICE NAMBU-GOTO STRING

$$S_{NG}(\phi) = \sigma \sum_{x \in \Lambda} \left[ \sqrt{1 + (\partial_\mu \phi(x))^2 / \sigma} - 1 \right]$$

- $d = 2 + 1$  target Yang-Mills
- $\sigma$  string tension
- $\Lambda$  : square lattice of size  $L \times R$ ,  $a = 1$
- $\phi(x) = \phi(\tau, \epsilon) \in \mathbb{R}$
- $\phi(\tau + L, \epsilon) = \phi(\tau, \epsilon)$
- $\phi(\tau, 0) = \phi(\tau, R) = 0$
- $\sigma w^2 = \langle \phi(\tau, R/2)^2 \rangle_\tau$

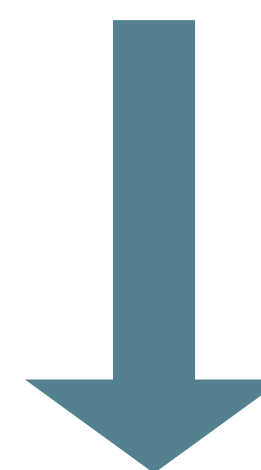


[Caselle, EC, Nada; 2307.01107]

# LACKS OF NUMERICAL METHODS

Numerical problems:

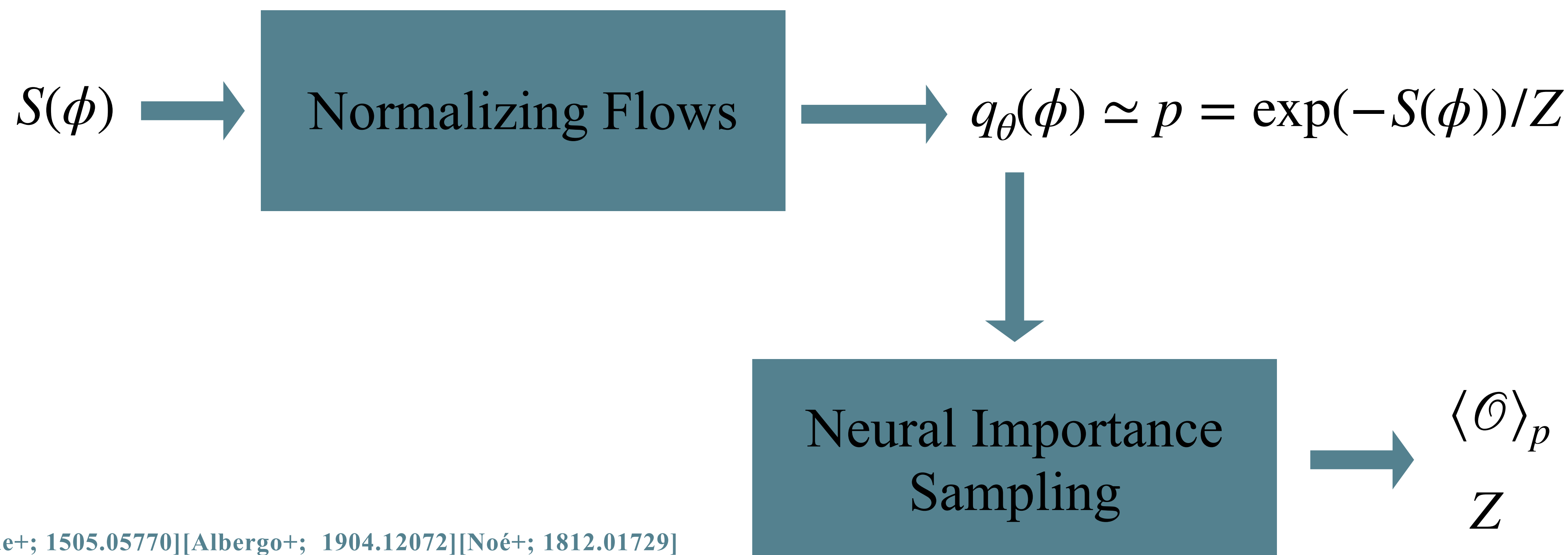
- Strong non-linearity → critical theory (Critical Slowing Down)
- Estimation of partition functions



Deep Learning

# FLOW-BASED SAMPLING

Normalizing Flows are a class of deep generative models able to learn an approximation of a Boltzmann distribution  $p$  using as input only the energy: no need for training data!



[Rezende+; 1505.05770][Albergo+; 1904.12072][Noé+; 1812.01729]  
[Nicoli+; 1910.13496, 2007.07115]

# ARCHITECTURES

The normalizing flows architectures used to obtain the results of this talk are two:

- **Continuous Normalizing Flows**: the flow is the solution of a Neural ODE, poor scaling in  $\sigma$   
[Chen et al.; ][Gerdes et al.; ][Caselle, EC, Nada; 2307.01107]
- **Stochastic Normalizing Flows**: combination of Normalizing Flows and Non-Equilibrium MCMC, fully described by non-equilibrium thermodynamics.  
[Wu et al.; 2002.06707],[Caselle, EC, Nada, Panero; 2201.08862]



# RENORMALIZATION

The analysis of the finite-size numerical simulations must take into account the divergent, non-physical, terms:

$$-\log Z = \text{physical terms} + \text{divergent terms}$$

We fitted every terms, we then isolate the physical (interesting) ones

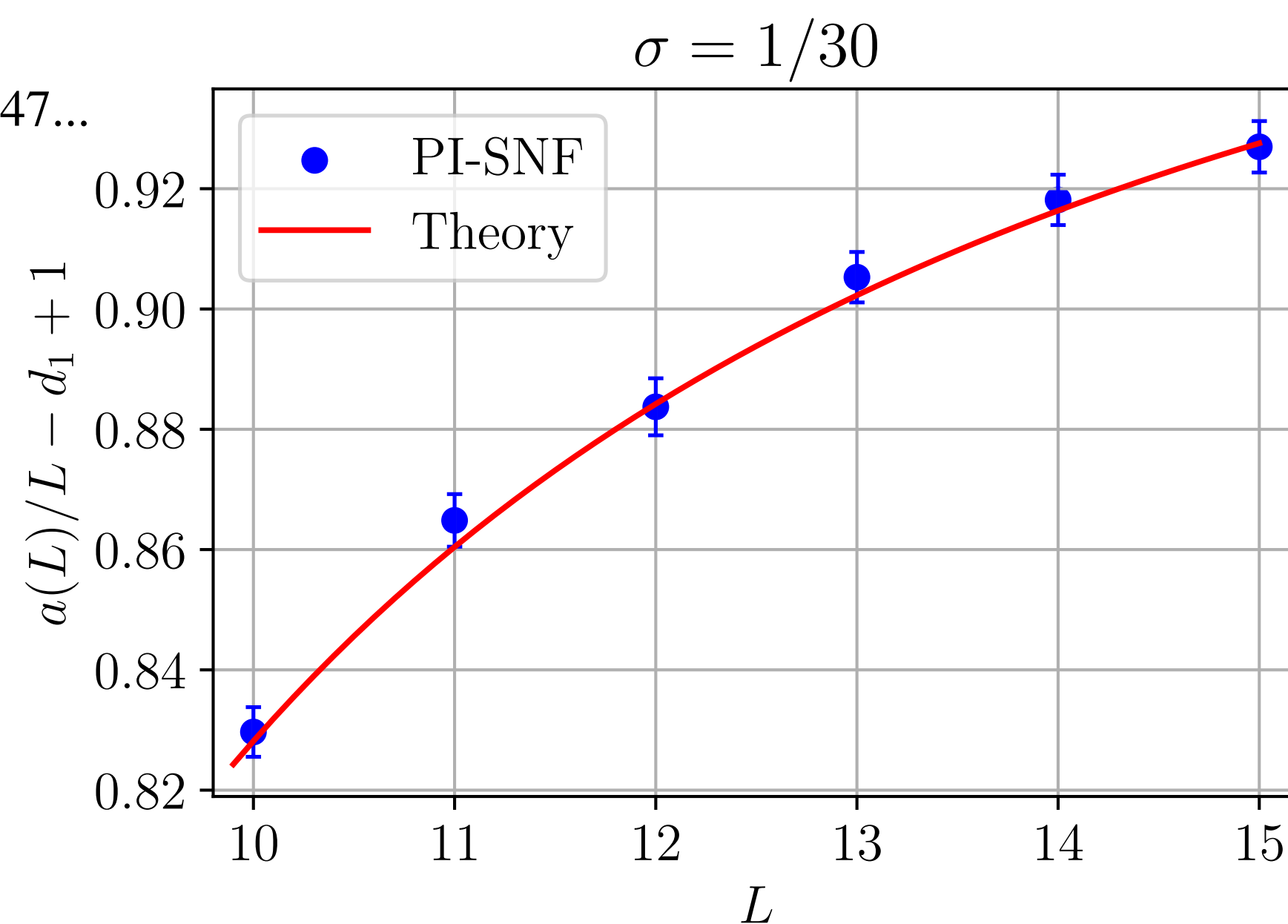
In this talk, I will just show the physical results, see: [Caselle, [EC](#), Nada; 2307.01107] for a detailed discussion on this aspect.

# NUMERICAL RESULTS

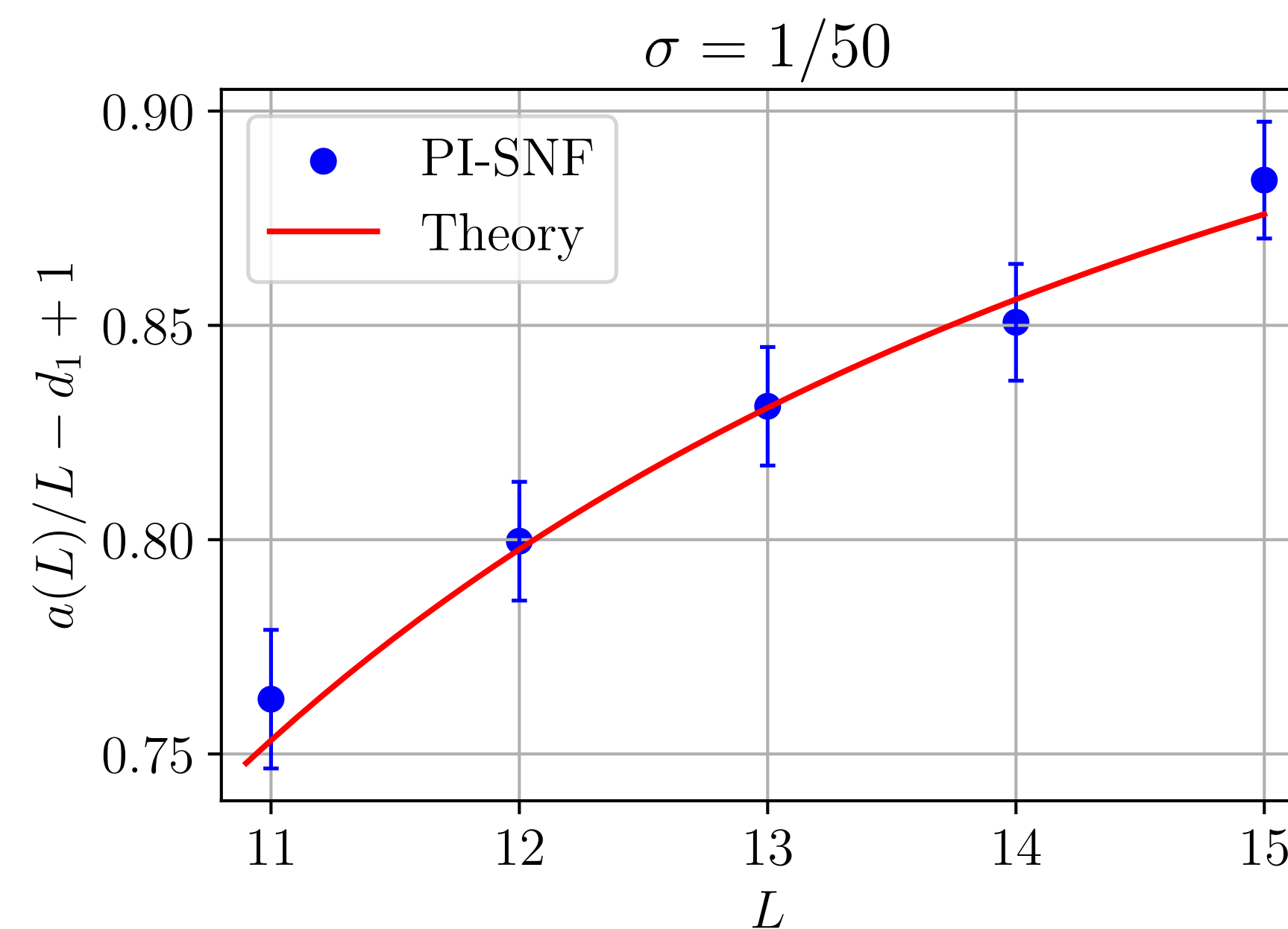
# FREE ENERGY

$$-\log Z = \sigma RL \sqrt{1 - \frac{\pi}{3\sigma L^2}} + \dots$$

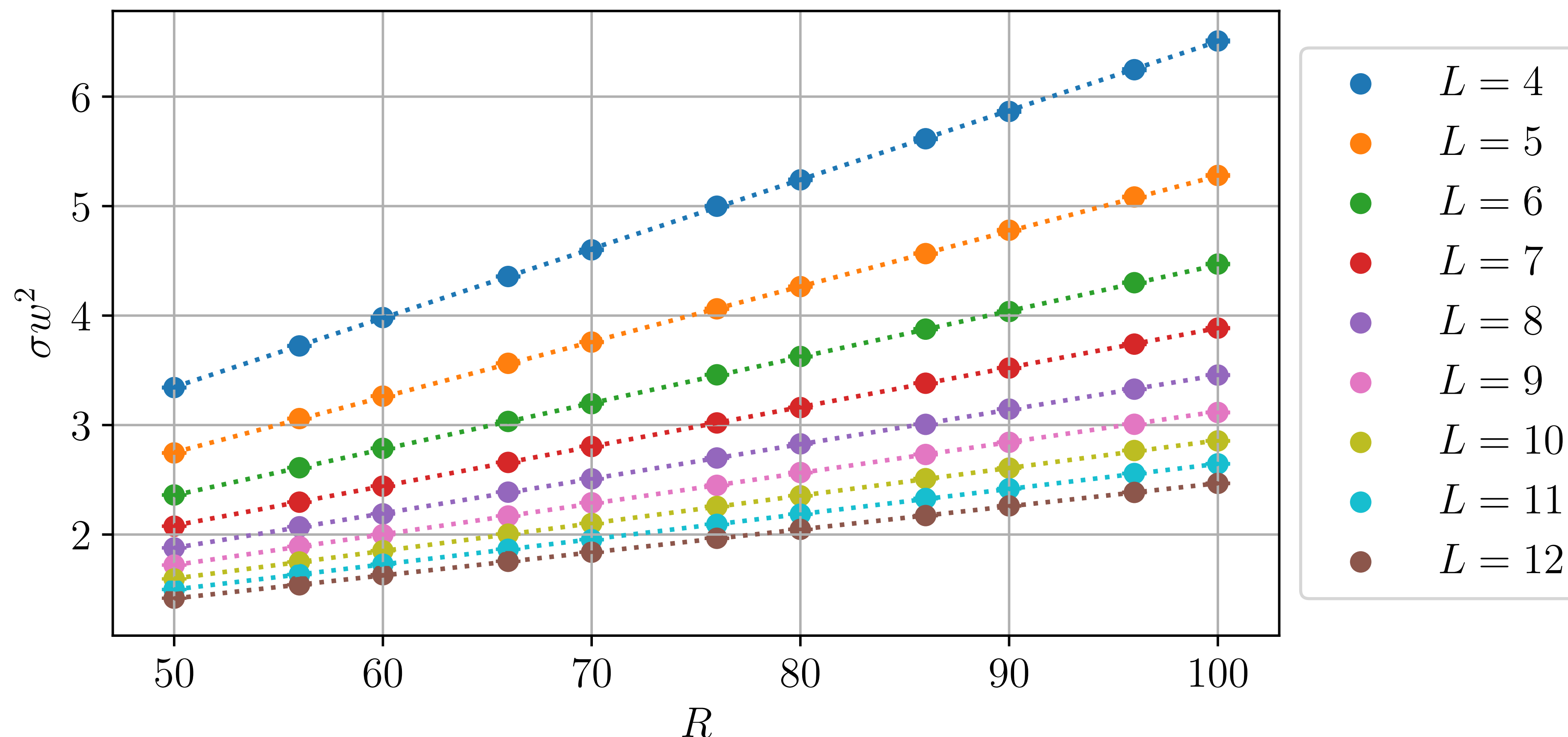
Fitted:  $-1.03(2)$ ,  
Target:  $-\pi/3 = -1.047\dots$



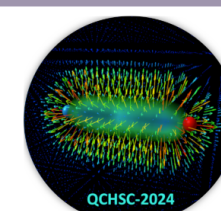
Fitted:  $-1.04(7)$ ,  
Target:  $-\pi/3 = -1.047\dots$



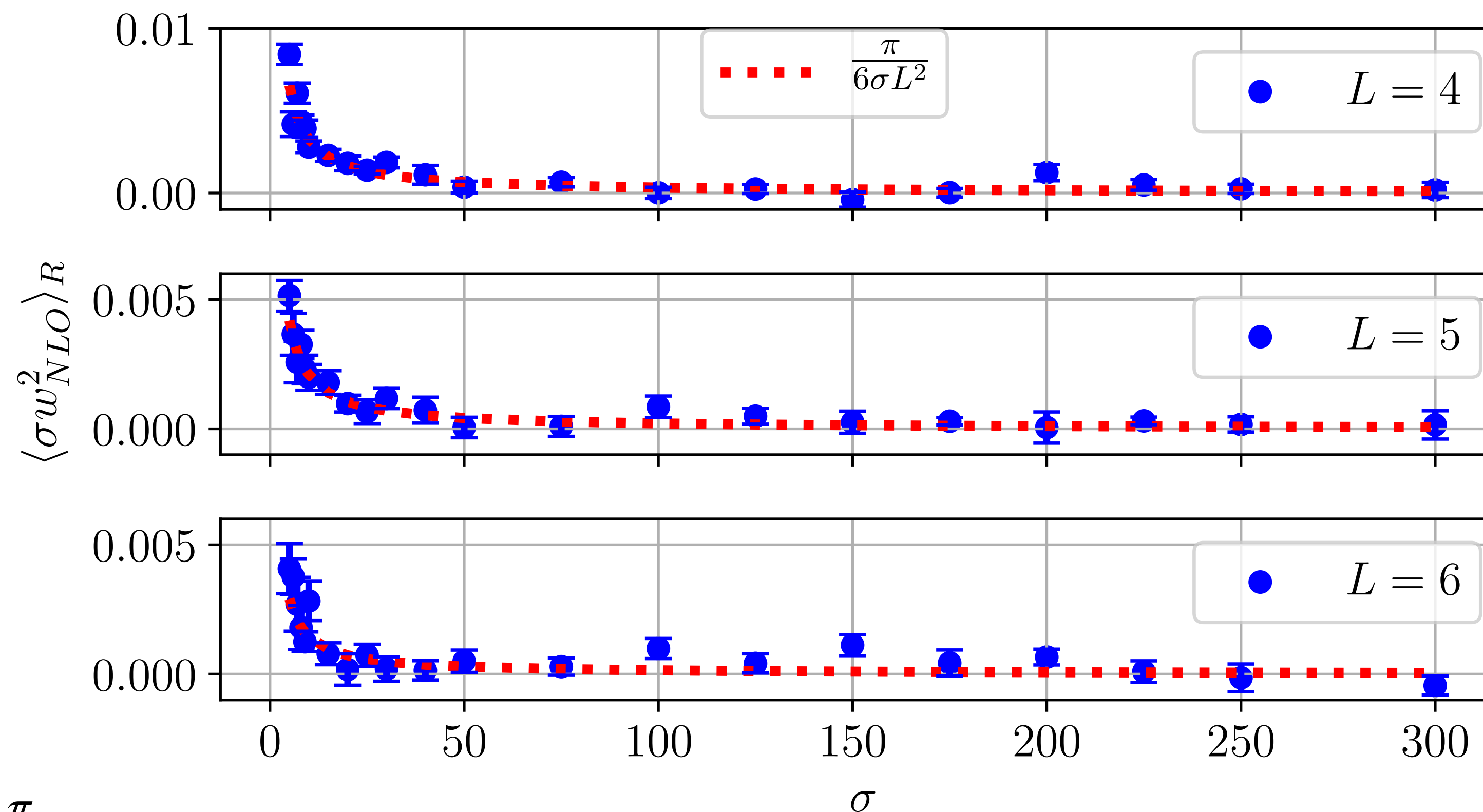
# WIDTH: LINEAR BROADENING



[Caselle, [EC](#), Nada; 2307.01107]



# WIDTH: 2-LOOP LEVEL



Fitted: 0.55(5), target:  $\frac{\pi}{6} = 0.523\dots$

[Caselle, [EC](#), Nada; 2307.01107]



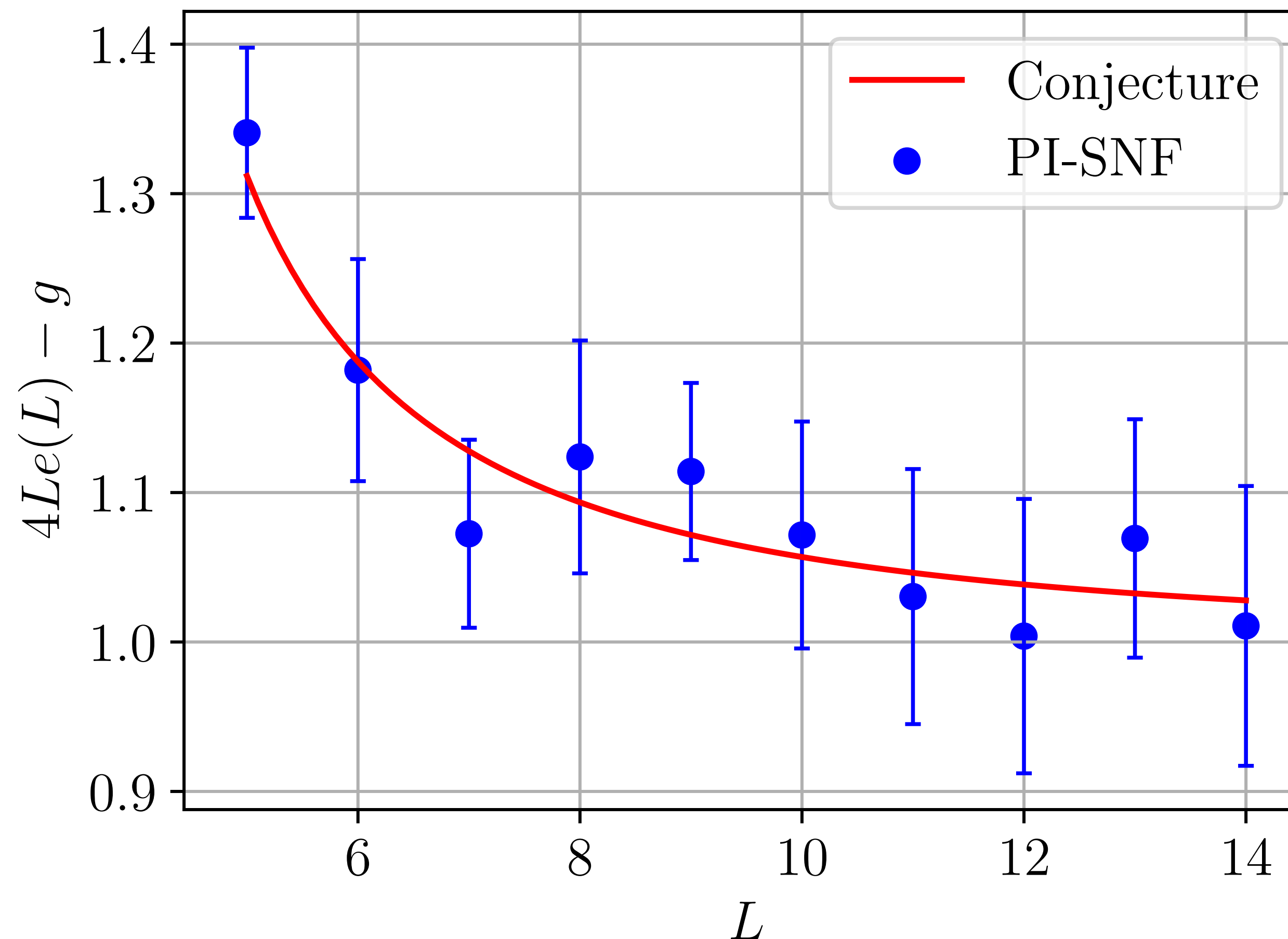
# WIDTH: CONJECTURE

$$\sigma = 1/10$$

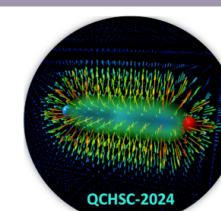
Conjecture:

$$\sigma w^2 = \frac{1}{\sqrt{1 - \frac{\pi}{3\sigma L^2}}} \frac{R}{4L} + \dots$$

Fitted:  $-1.09(8)$ , target:  $-\pi/3 = -1.047\dots$



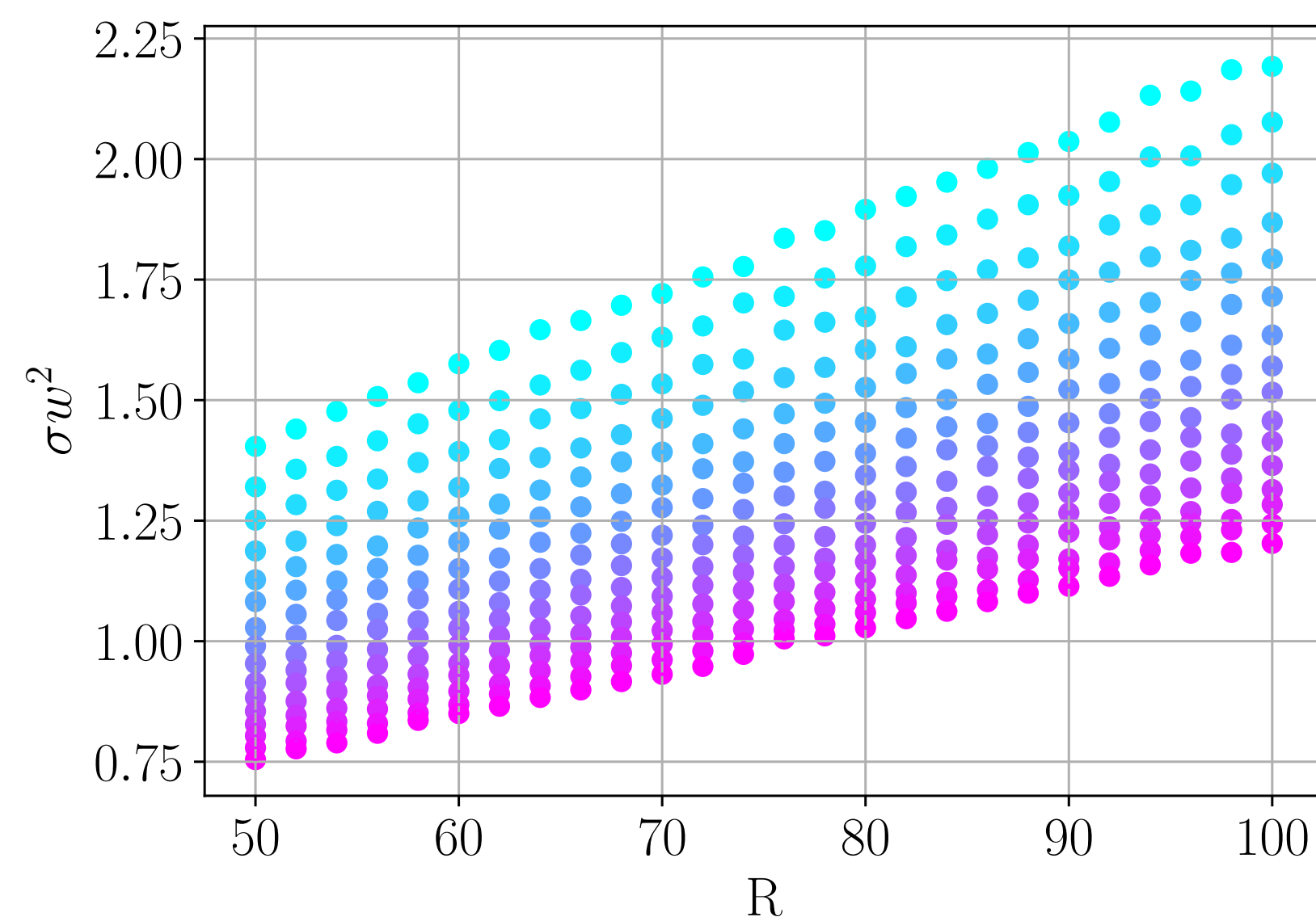
[Caselle, [EC](#), Nada; in prep.]



# BEYOND NG: WIDTH

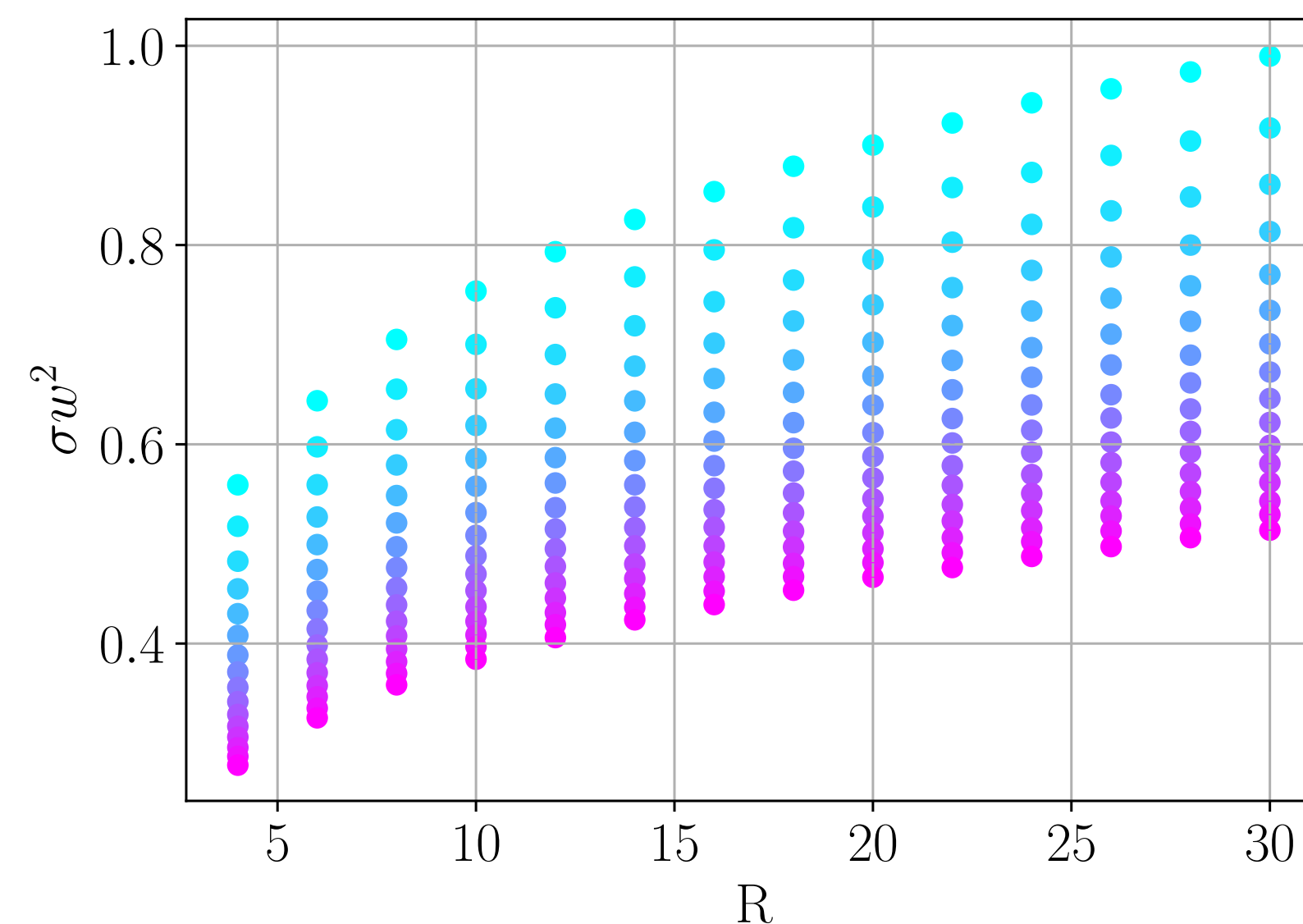
$$S_{EST}(\phi) = S_{NG}(\phi) + \kappa_2 \mathcal{K}^2(\phi)$$

$$\mathcal{K}^2(\phi) = \sum_{(\tau, \epsilon) \in \Lambda} \mathcal{L}^2(\phi(\tau, \epsilon)) = \sum_{(\tau, \epsilon) \in \Lambda} (\partial_\tau \partial_\tau \phi(\tau, \epsilon))^2 + (\partial_\epsilon \partial_\epsilon \phi(\tau, \epsilon))^2 + 2(\partial_\tau \partial_\epsilon \phi(\tau, \epsilon))^2$$

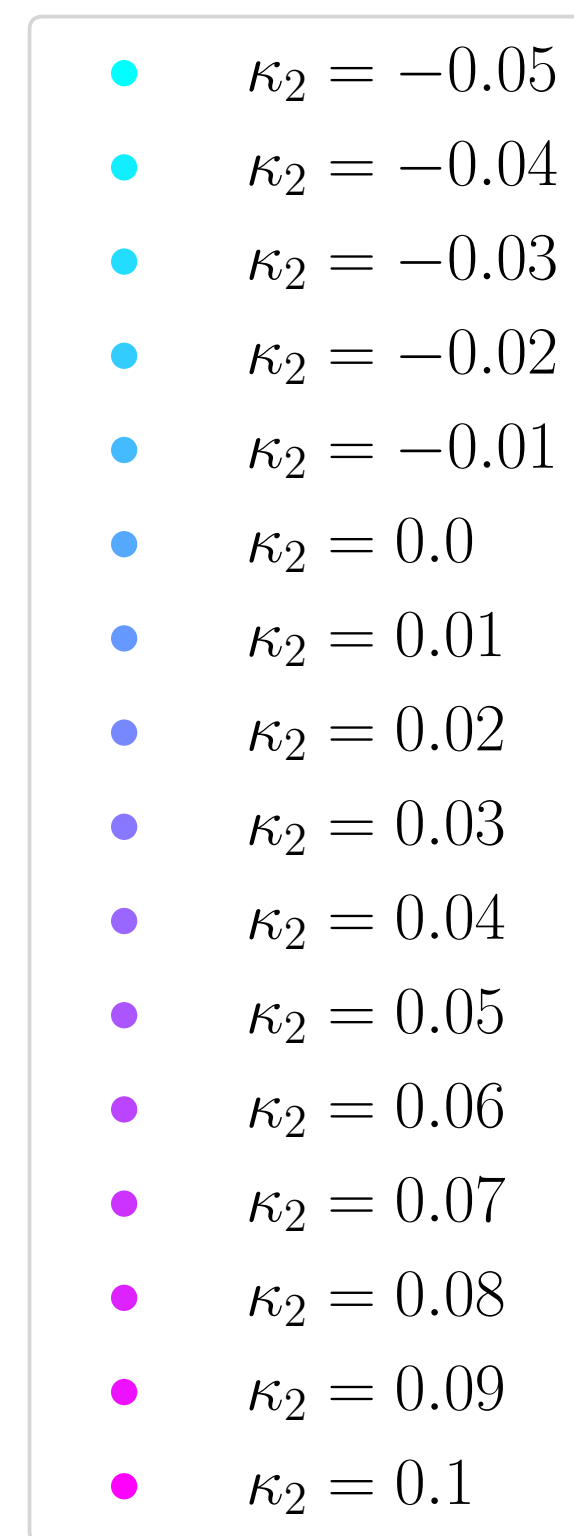


$\sigma = 100$

$R \gg L = 20$



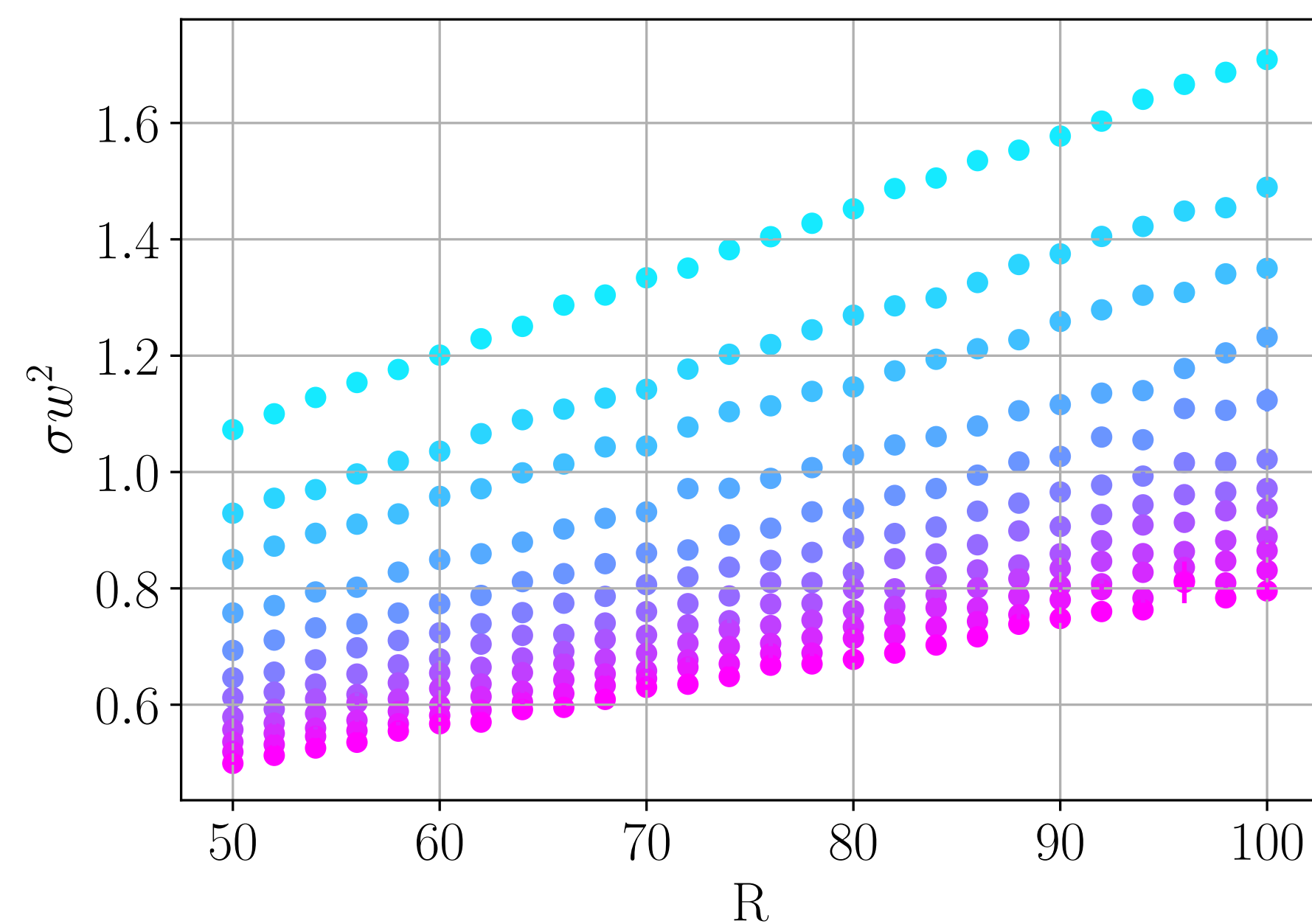
$L = 80 \gg R$



# BEYOND NG: WIDTH

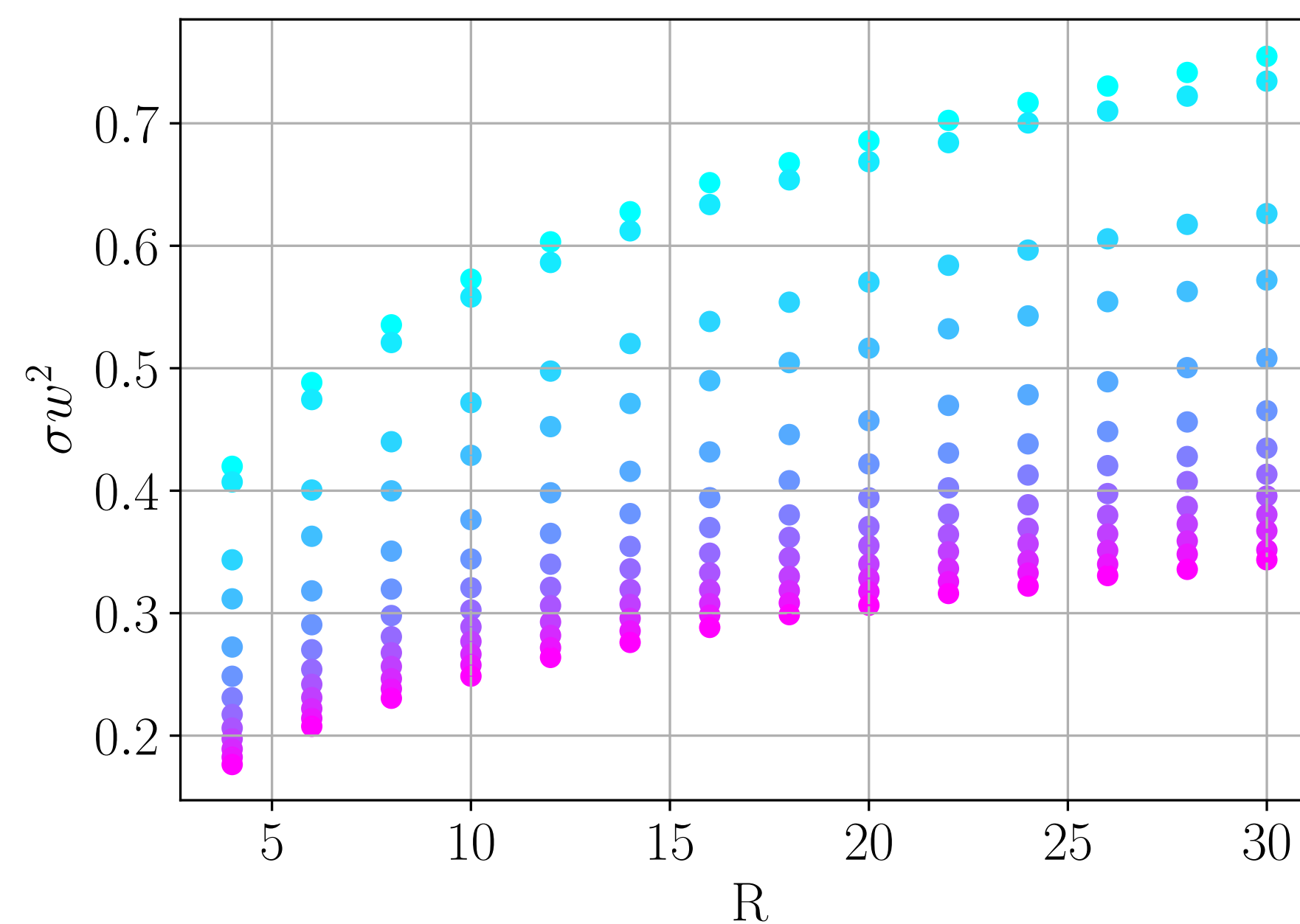
$$S_{EST}(\phi) = S_{NG}(\phi) + \kappa_4 \mathcal{K}^4(\phi)$$

$$\mathcal{K}^4(\phi) = \sum_{x \in \Lambda} (\mathcal{L}^2(\phi(x)))^2$$

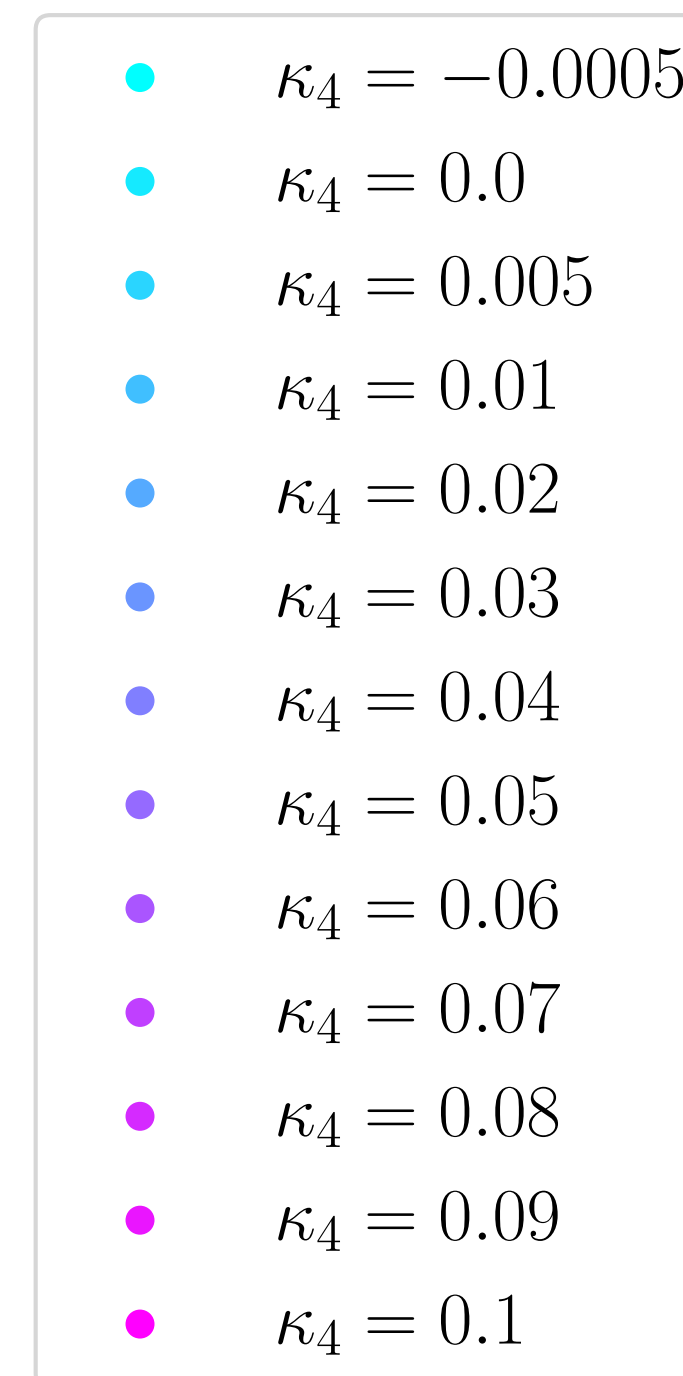


$\sigma = 100$

$R \gg L = 20$

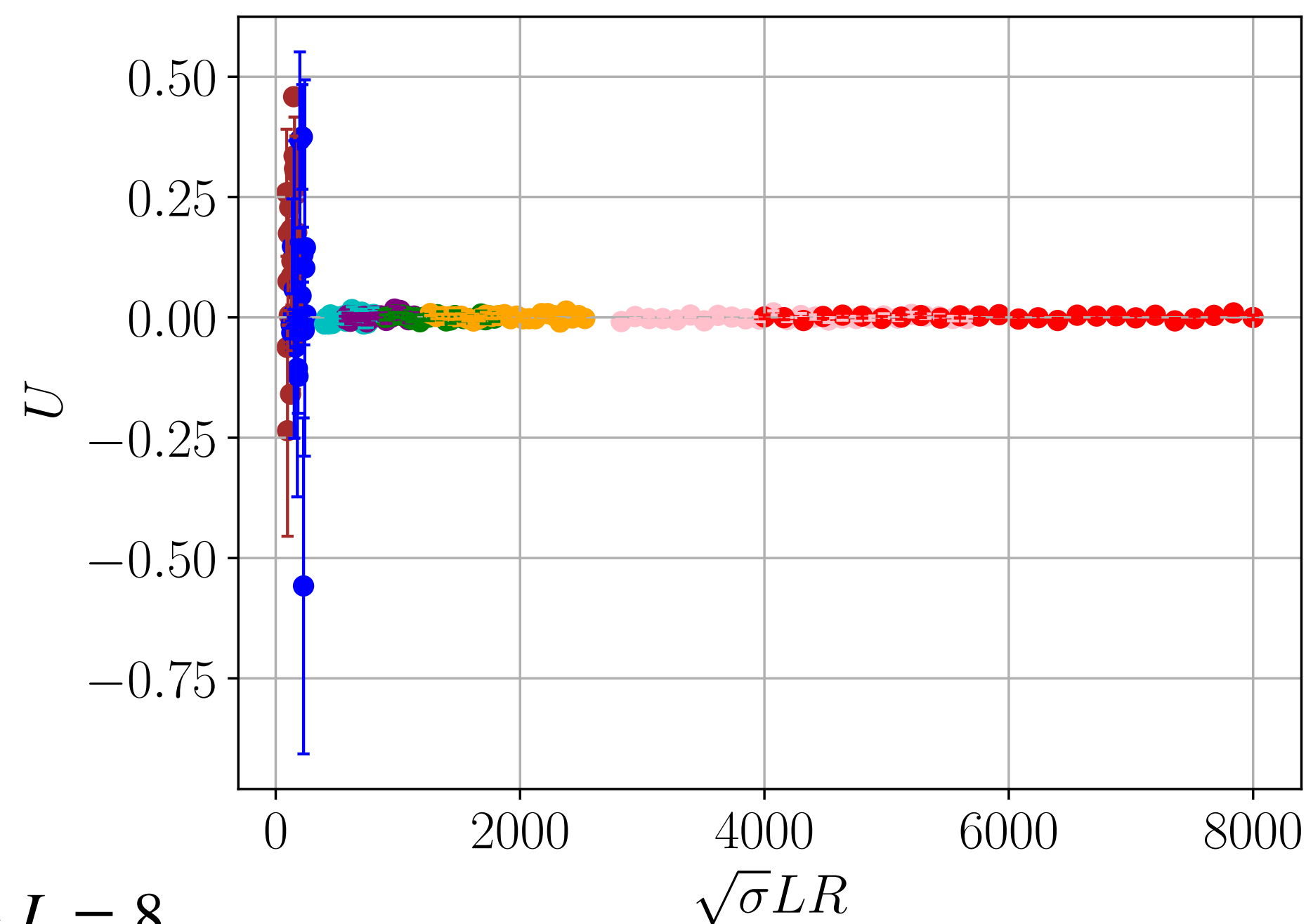
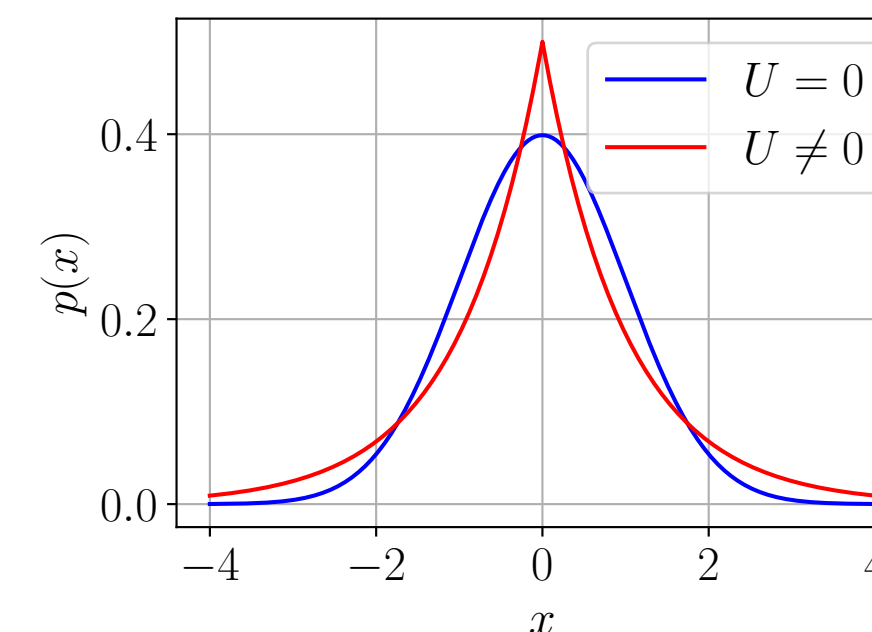


$L = 80 \gg R$

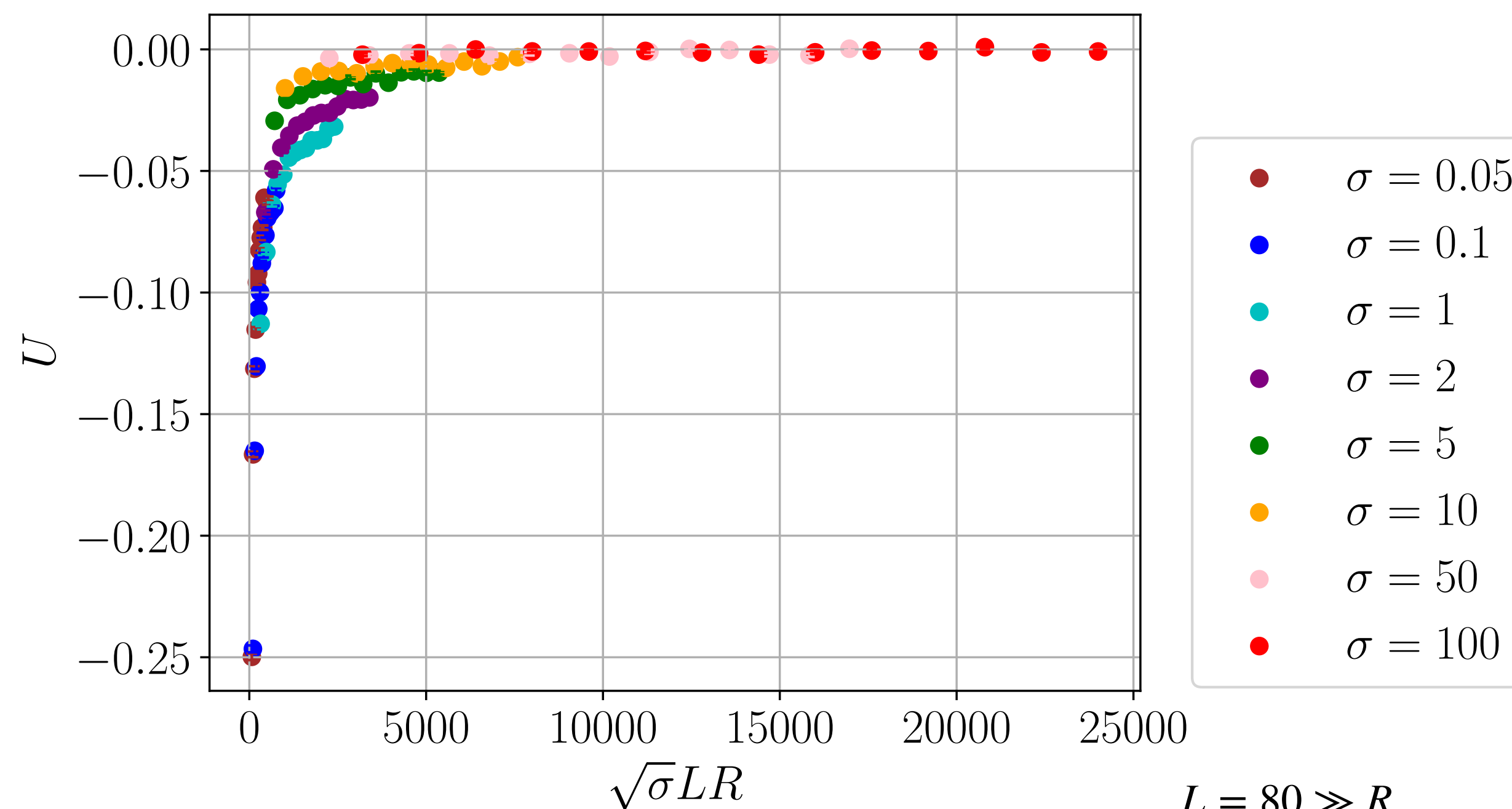


# IS THE NG FLUX TUBE SHAPE GAUSSIAN?

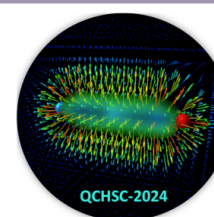
$$U = 1 - \frac{\langle \phi^4(\tau, R/2) \rangle_\tau}{3 \langle \phi^2(\tau, R/2) \rangle_\tau^2}$$



$R \gg L = 8$

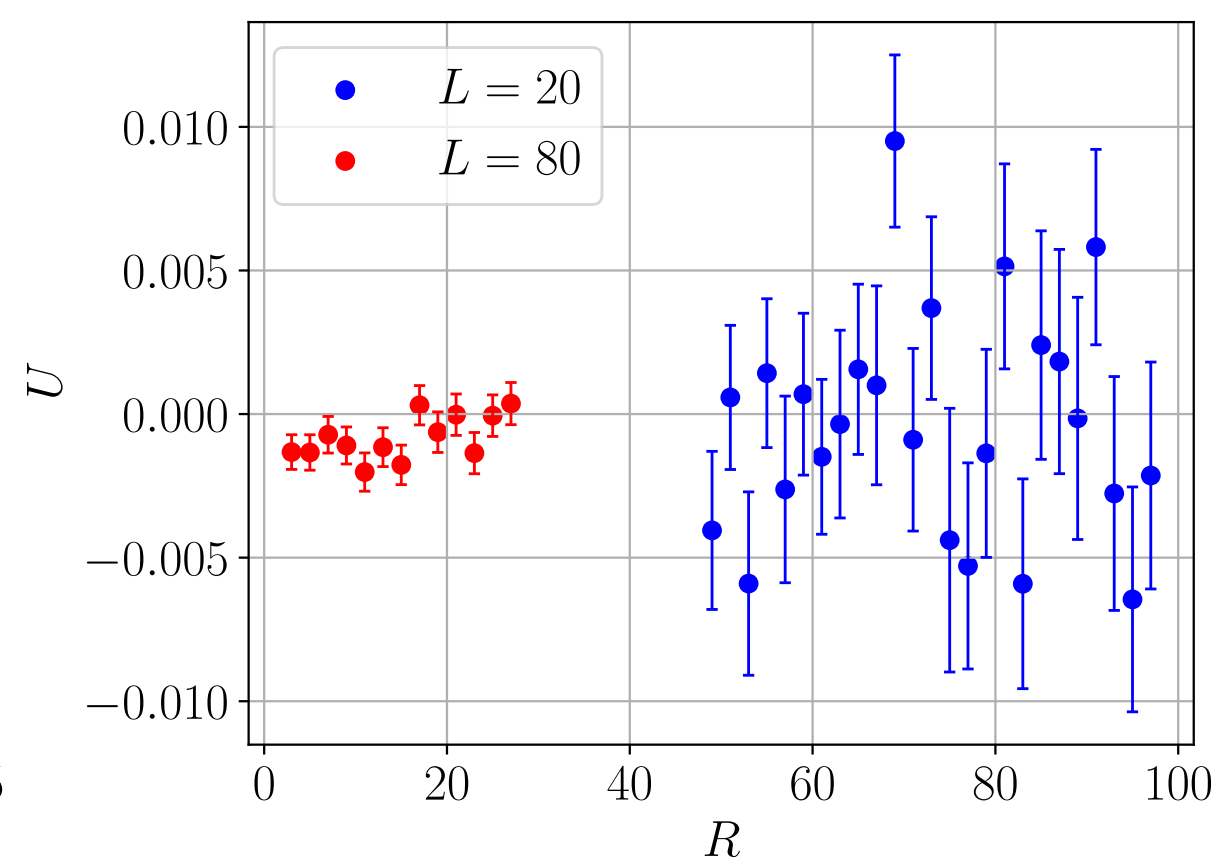
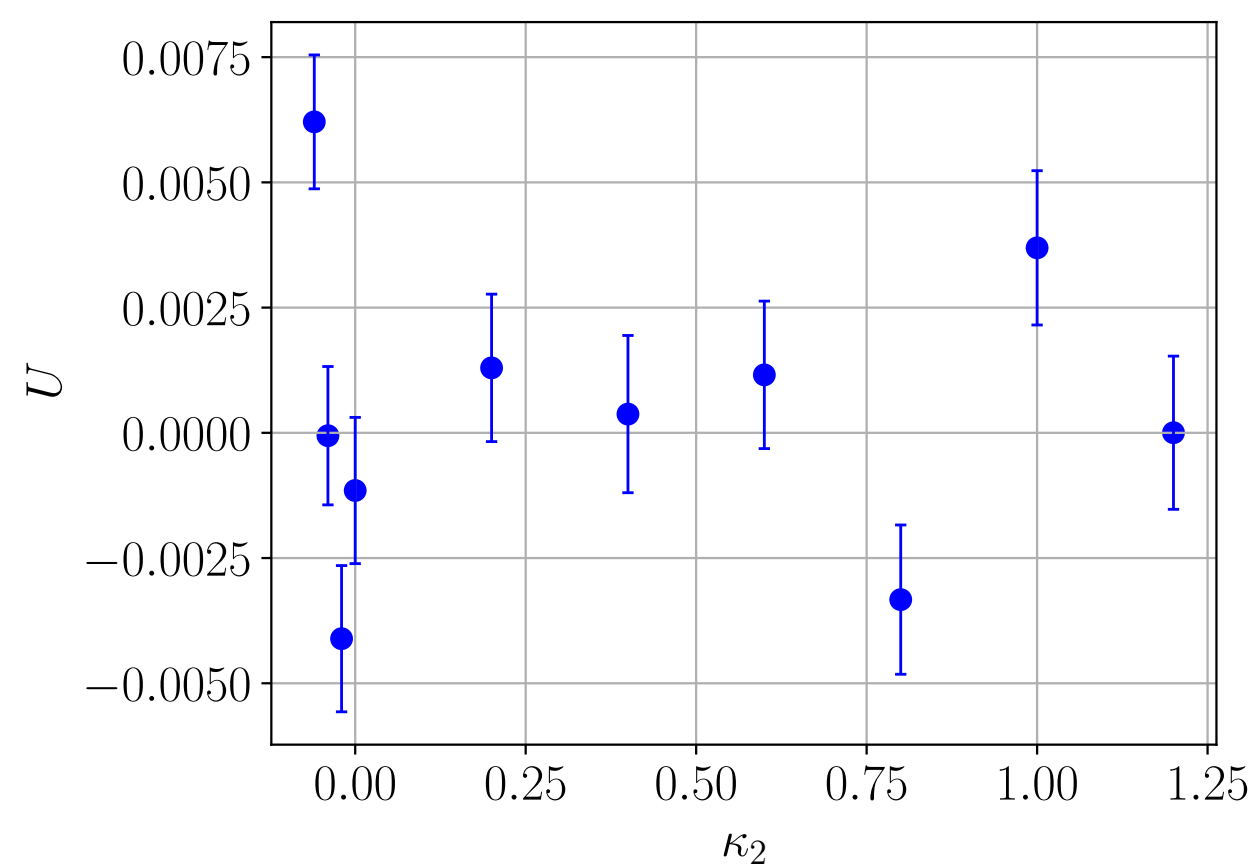


$L = 80 \gg R$

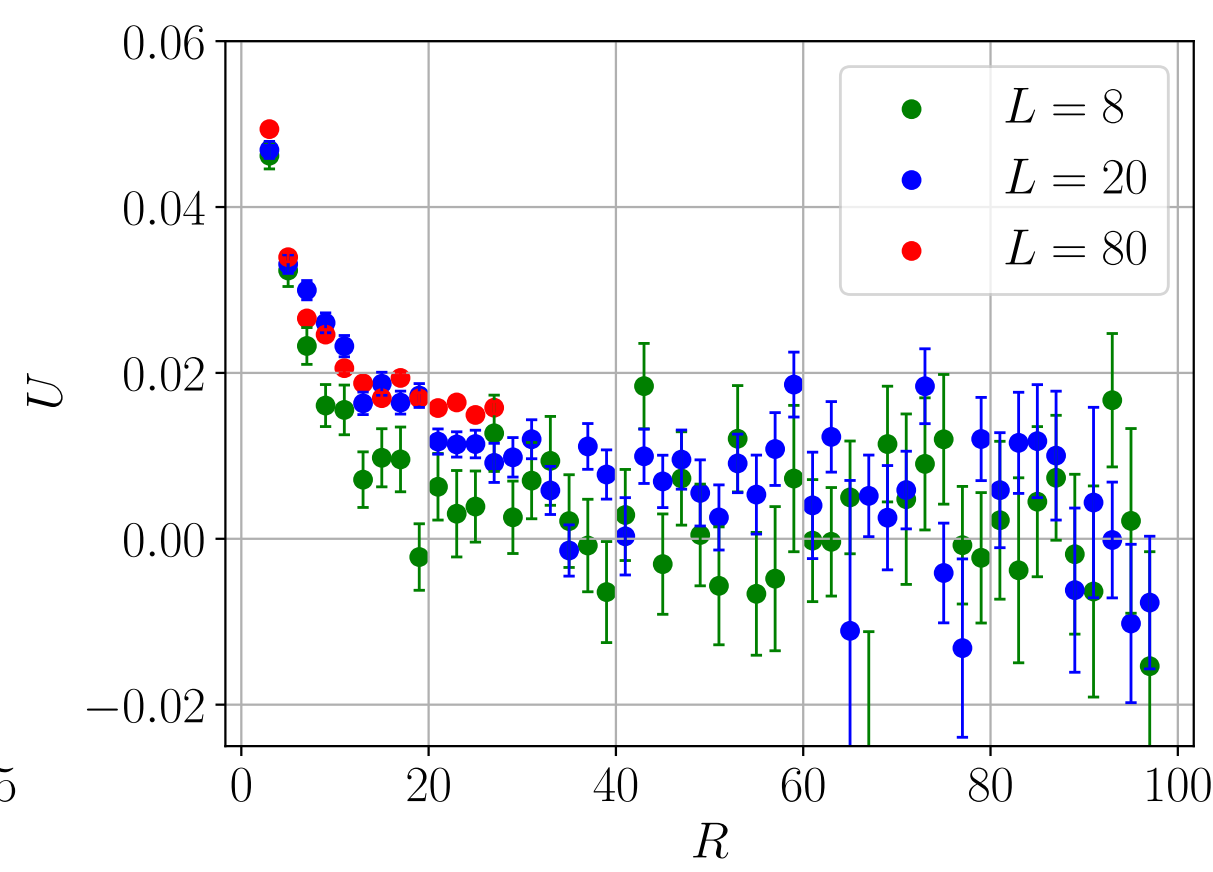
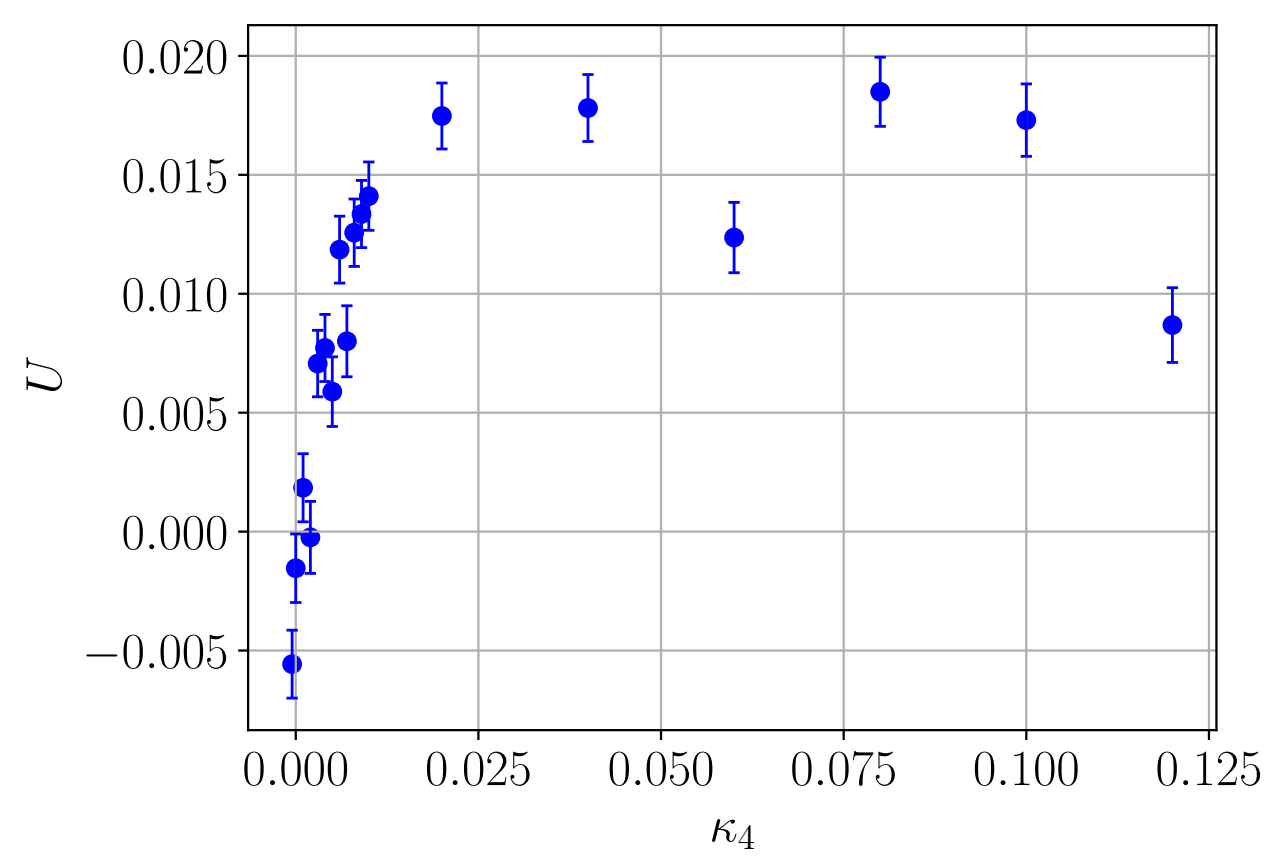


# BEYOND NG: GAUSSIANTY

$\sigma = 100$



$$S_{NG} + \kappa_2 \mathcal{K}^2$$

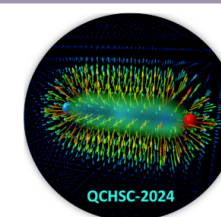


$$S_{NG} + \kappa_4 \mathcal{K}^4$$



# OUTLOOKS

- Flow-based sampler can be successful applied to sample Lattice EST:
  1. Numerical solution of the high temperature NG width
  2. Numerical studies of the Beyond NG EST
  3. Numerical studies of the Gaussianity of the flux tube shape



# OUTLOOKS

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**JHEP 02 (2024) 048**

**THANK YOU FOR YOUR  
ATTENTION!**



**CNF PyTorch Notebook**

# BACKUP SLIDE

# NORMALIZING FLOWS

A Normalizing Flow (**NF**)  $g_\theta$  is a **parametric**, **invertible** and **differentiable** function:

[Rezende+; 1505.05770]

$$g_\theta : q_0 \rightarrow q_\theta \simeq p \quad \phi = g_\theta(z) \quad q_\theta(\phi) = q_0(g^{-1}(\phi)) | \det J_g |^{-1}$$

# NFS: LEARNING BOLTZMANN DISTRIBUTIONS

NFs can be trained to  $q_\theta \simeq p(\phi)$  with  $p(\phi) = \exp(-S[\phi])/Z$  by minimizing the reverse **Kullback-Leibler divergence**:

[Albergo+; 1904.12072][Noé+; 1812.01729]

$$D_{KL}(q_\theta || p) = \int d\phi q_\theta(\phi) \log \frac{q_\theta(\phi)}{p(\phi)} \geq 0.$$

# NFS: SAMPLING BOLTZMANN DISTRIBUTIONS

Partition functions and observables can be computed using a re-weighting procedure also called Importance Sampling:

[Nicoli+; 1910.13496, 2007.07115]

$$\langle \mathcal{O} \rangle_{\phi \sim p} = \frac{1}{Z} \langle \mathcal{O} \tilde{w} \rangle_{\phi \sim q_{\theta}} \quad Z = \langle \tilde{w} \rangle_{\phi \sim q_{\theta}} \quad \tilde{w} = \frac{e^{-S[\phi]}}{q_{\theta}(\phi)}$$



# $\sigma w^2$ IN LGT

$$s(L, R, h) = \frac{\langle P(0)P^\dagger(R)U(h) \rangle}{\langle P(0)P^\dagger(R) \rangle} - \langle U(h) \rangle$$

$$w^2(L, R) = \frac{\sum_h h^2 s(L, R, h)}{\sum_h s(L, R, h)}$$