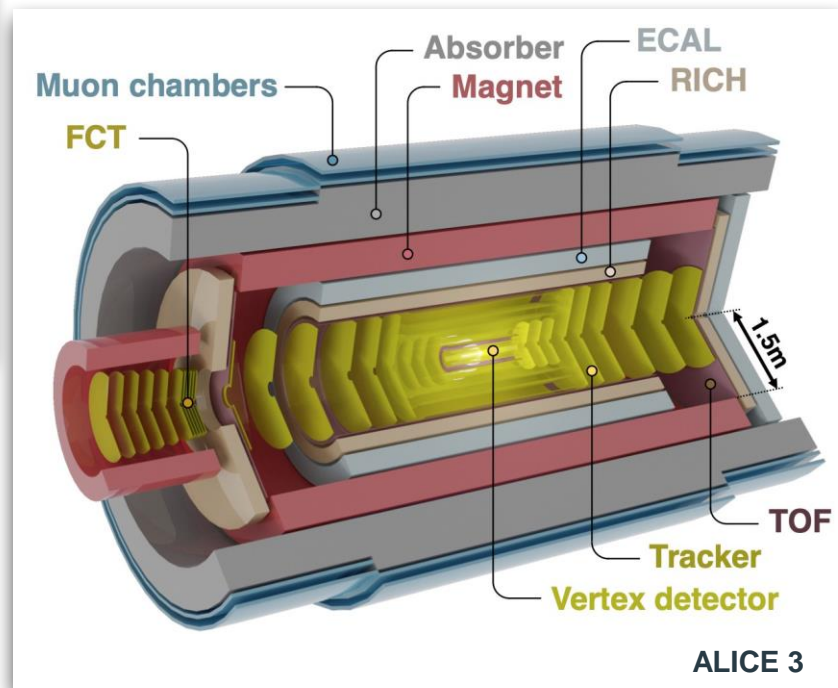
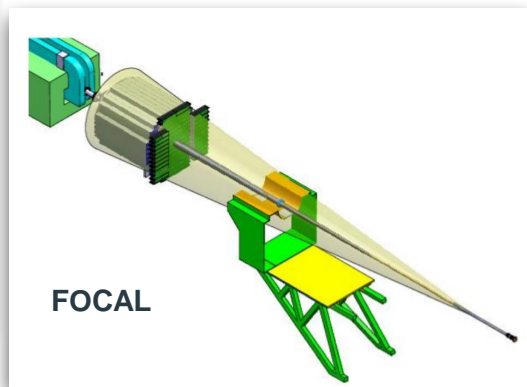
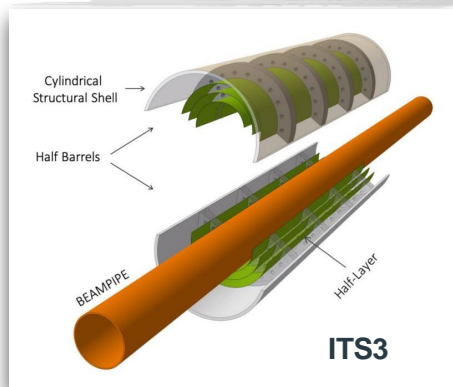


# Future for Heavy Ions & ALICE 3



ALICE

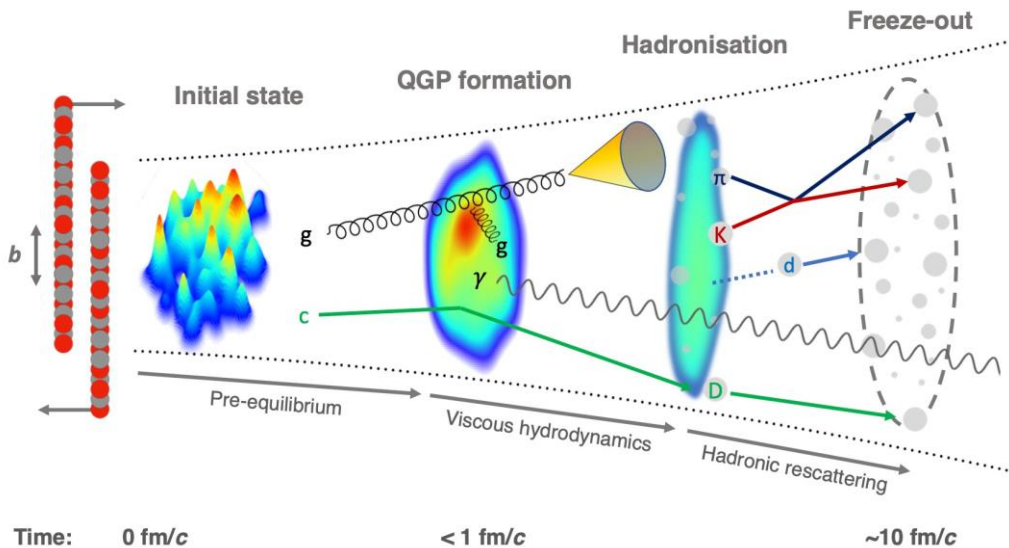


Dieter Roehrich  
University of Bergen

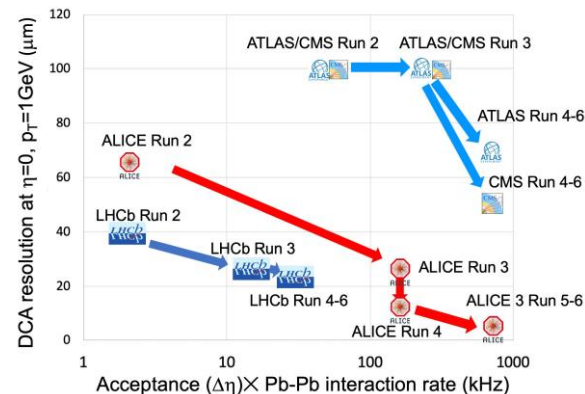
on behalf of the ALICE collaboration

# Heavy Ion Physics @ LHC

arXiv:2211.04384



Precision

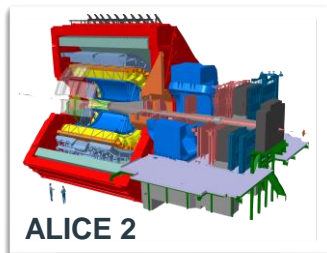


Statistics

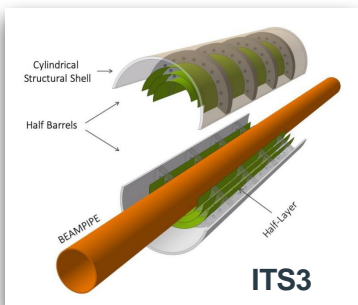
- ALICE is designed to study the quark-gluon plasma produced in heavy-ion collisions at the LHC
- Two main physics goals driving the upgrade strategy:
  - Heavy flavour (HF)** transport and hadronization in the medium: differential measurements of hadron production (suppression, enhancement, flow... ) **down to vanishing  $p_T$**
  - Electromagnetic radiation** from the medium: dileptons below the  $J/\psi$  mass down to zero  $p_T$ : mapping the evolution of the collision

$\Rightarrow$  **High-granularity, low-mass** detector with **continuous readout** to access untriggerable signals with very low S/B

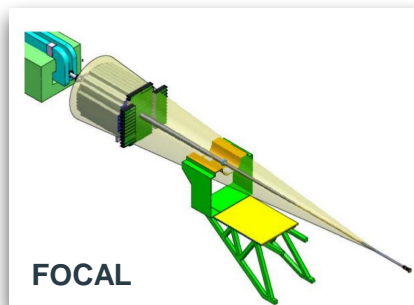
# ALICE Upgrade Roadmap



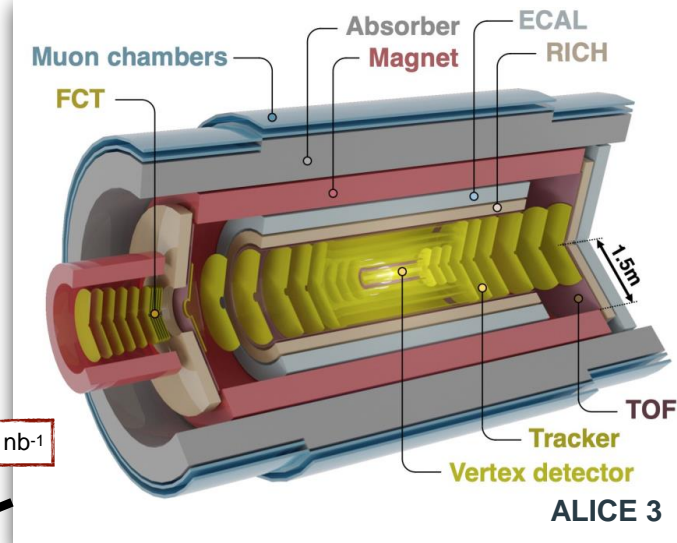
ALICE 2



ITS3



FOCAL



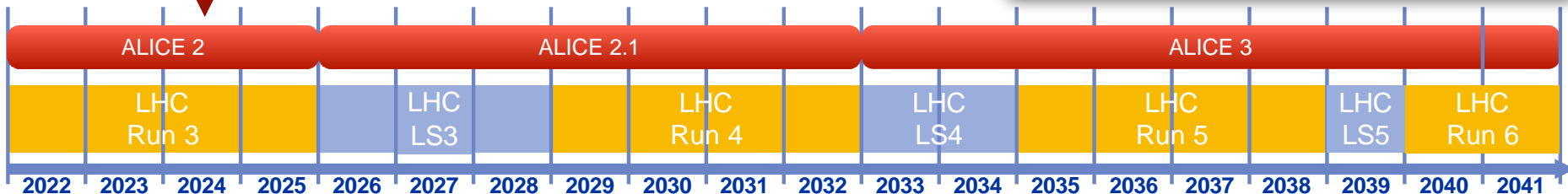
ALICE 3

Pb-Pb: 6.2 nb<sup>-1</sup>  
O-O: 500 μb<sup>-1</sup>

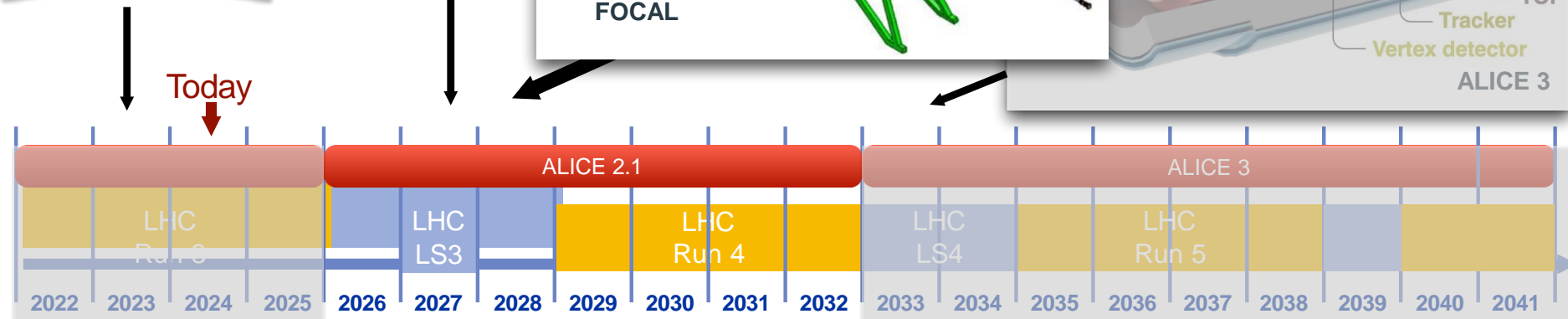
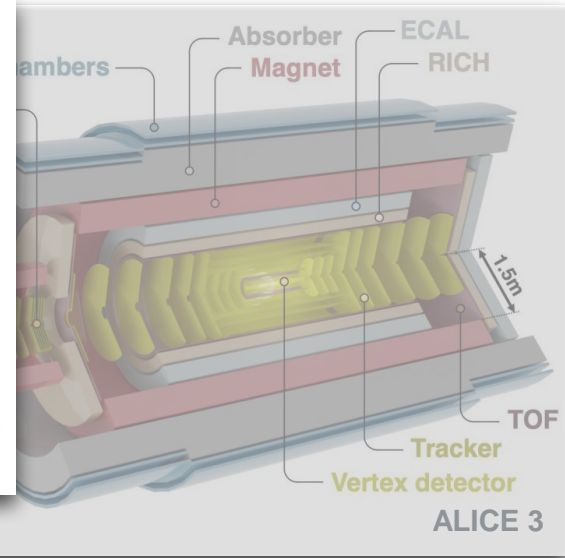
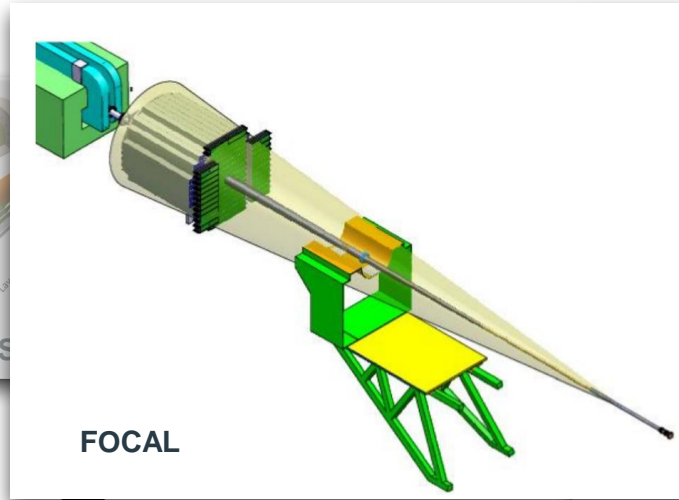
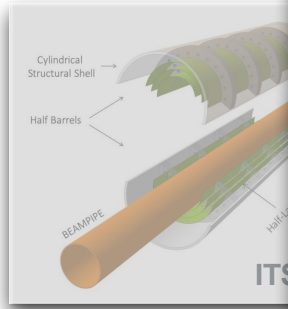
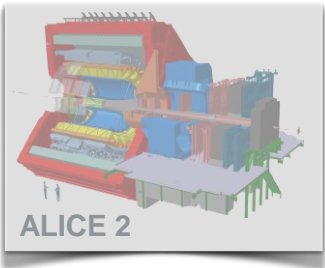
Today

Pb-Pb: 6.8 nb<sup>-1</sup>  
p-Pb: 0.6 pb<sup>-1</sup>

Pb-Pb: ~ 35 nb<sup>-1</sup>

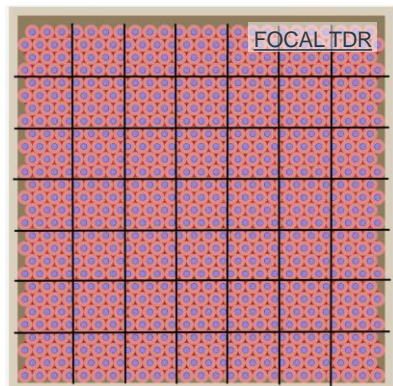
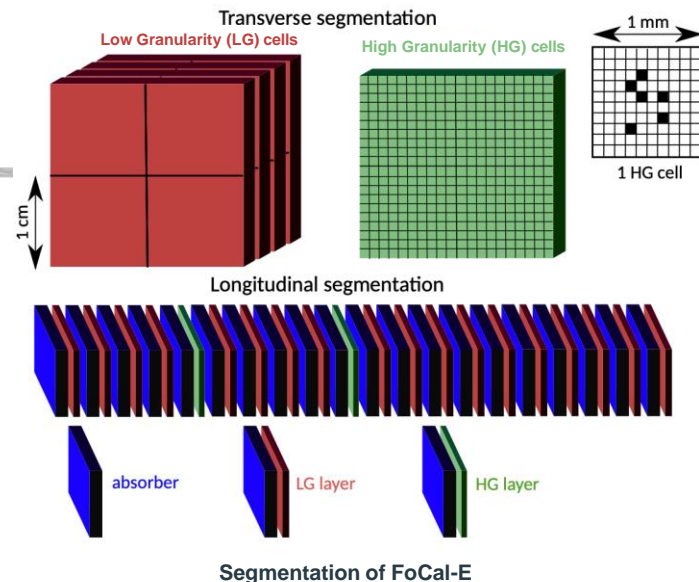


# The Forward Calorimeter (FoCal)

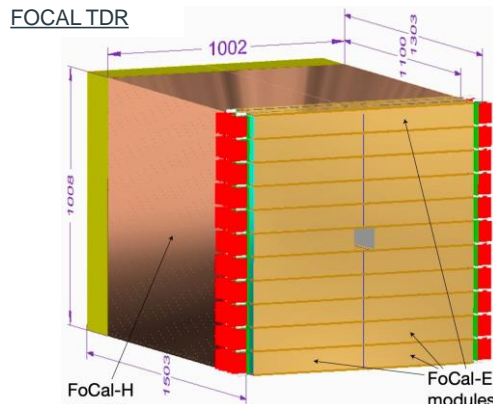


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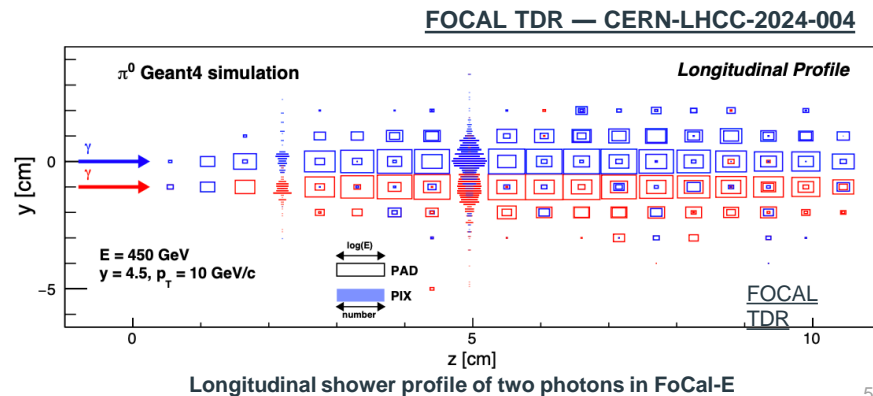
- **FoCal-E:** a compact silicon-tungsten sampling electromagnetic calorimeter with **pad** ( $1 \times 1 \text{ cm}^2$ ) and **pixel** ( $30 \times 30 \mu\text{m}^2$ )
  - High spatial resolution for discriminating between isolated photons and decay photon pairs
- **FoCal-H:** hadronic calorimeter constructed from copper capillary tubes filled with scintillating fibres
  - Photon isolation, energy and jet measurements
- Coverage:  $3.2 < \eta < 5.6$



Front view of a FoCal-H module in simulation



3d view of FoCal, dimensions in mm



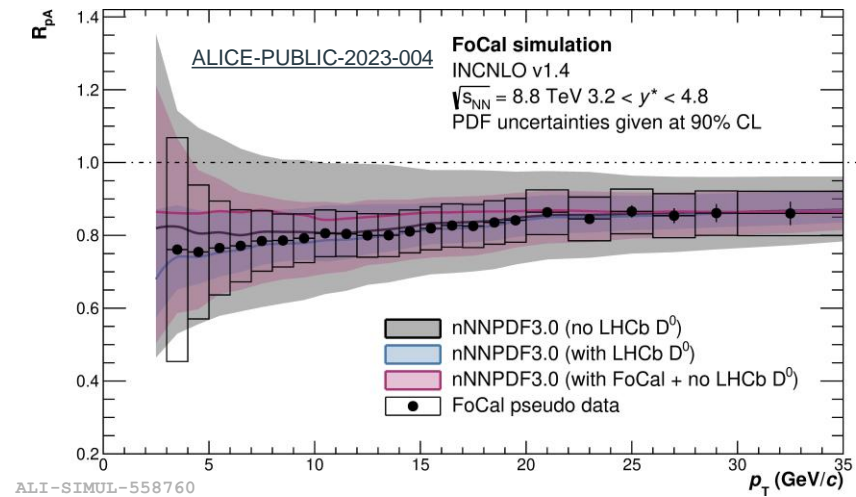
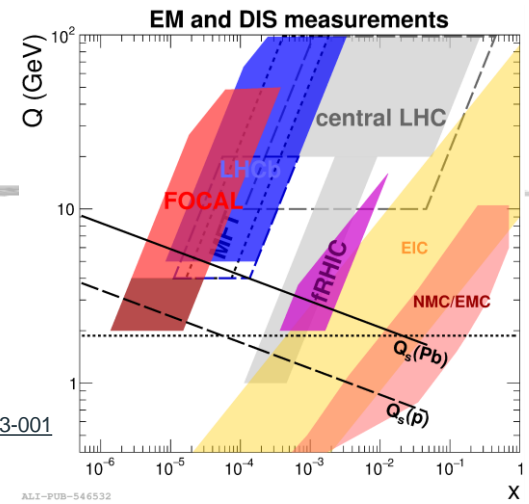


# FoCal — Physics Goals

- Search for evidence of gluon saturation due to non-linear PDF evolution in QCD in nucleons and nuclei at low Bjorken- $x$  down to  $\sim 10^{-6}$
- Constrain nuclear PDFs
- Broad phase-space coverage while providing a multi-messenger approach
  - Comprehensive exploration of saturation, complementary to other LHC experiments and to EIC

## Wide set of experimental observables:

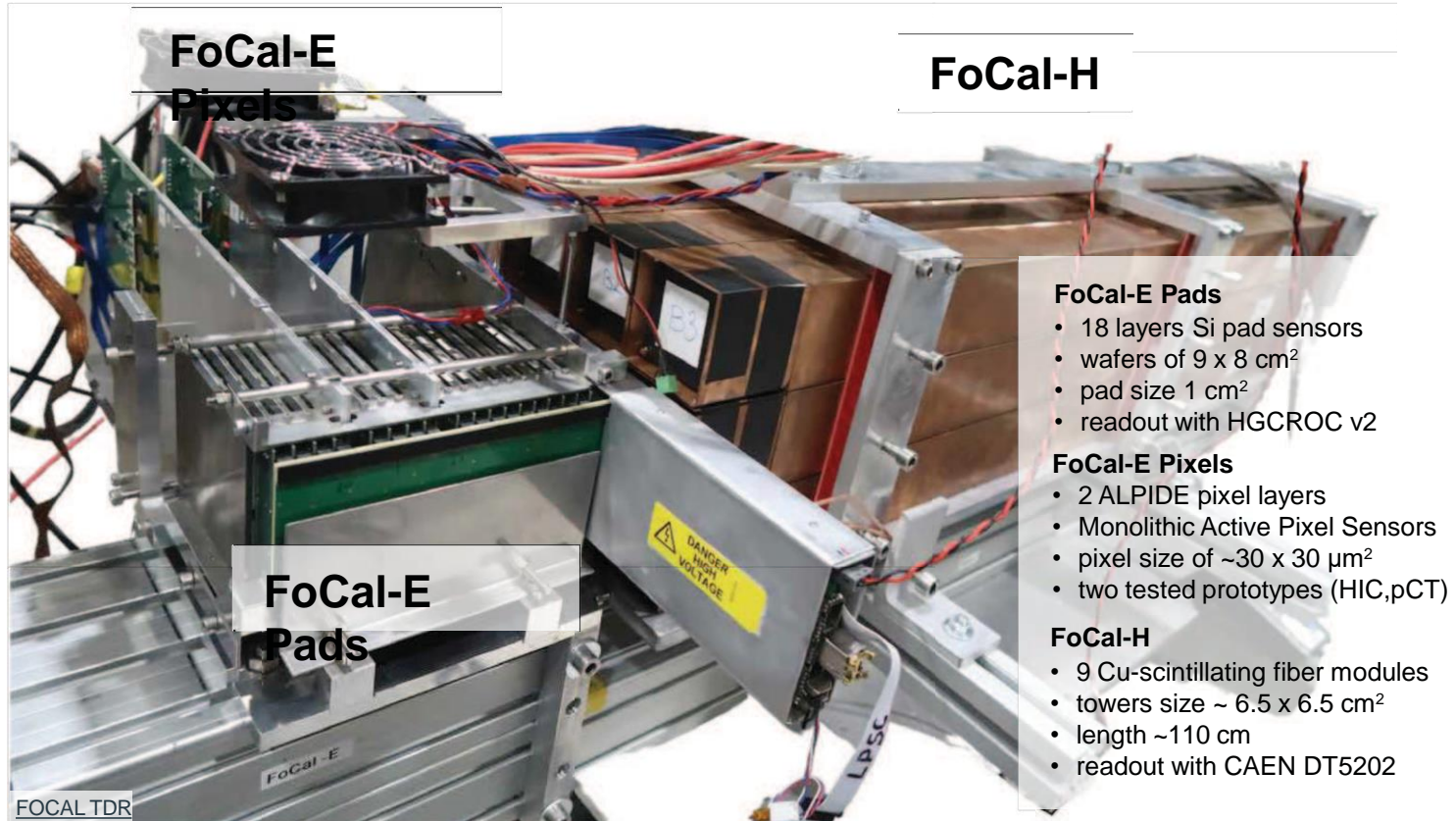
- Isolated (direct) photons
- $\pi^0$  and other neutral mesons
- Jets
- Vector mesons in UPC ( $J/\psi$ ,  $\Upsilon$ , ...)
- Correlations ( -hadron, hadron-hadron, ...)
- ... and more



Physics of the ALICE Forward Calorimeter upgrade: [ALICE-PUBLIC-2023-001](#)

Physics performance of the ALICE Forward Calorimeter upgrade: [ALICE-PUBLIC-2023-004](#)

# FoCal — Test Beams



**FoCal-E  
Pixels**

**FoCal-H**

## **FoCal-E Pads**

- 18 layers Si pad sensors
- wafers of  $9 \times 8 \text{ cm}^2$
- pad size  $1 \text{ cm}^2$
- readout with HGCROC v2

## **FoCal-E Pixels**

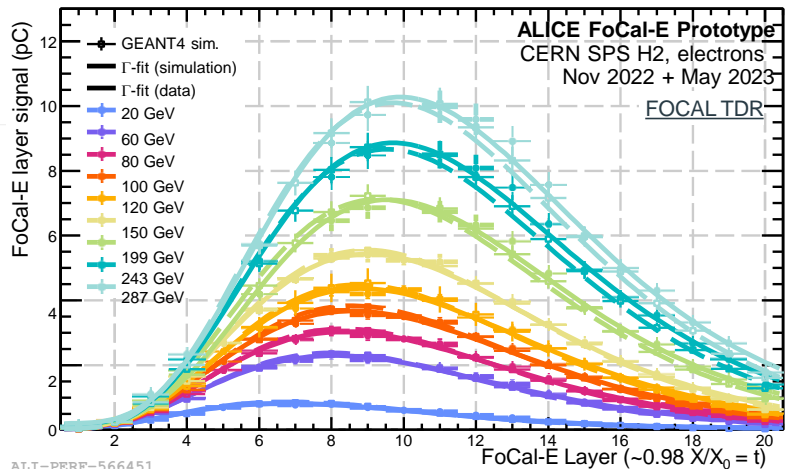
- 2 ALPIDE pixel layers
- Monolithic Active Pixel Sensors
- pixel size of  $\sim 30 \times 30 \mu\text{m}^2$
- two tested prototypes (HIC,pCT)

## **FoCal-H**

- 9 Cu-scintillating fiber modules
- towers size  $\sim 6.5 \times 6.5 \text{ cm}^2$
- length  $\sim 110 \text{ cm}$
- readout with CAEN DT5202

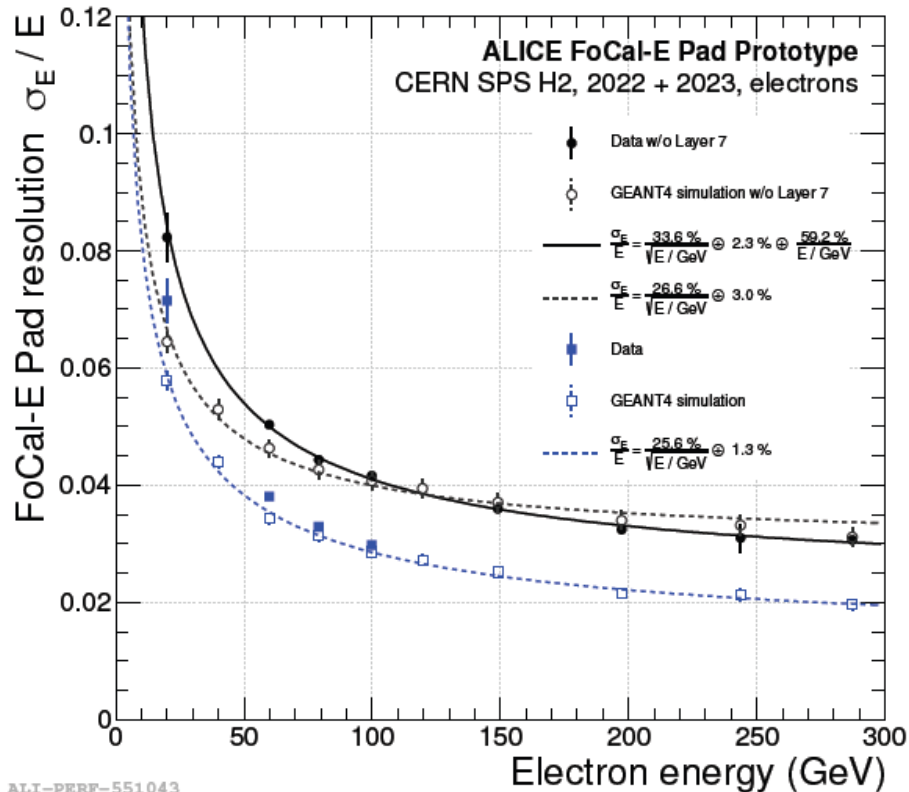
**FoCal-E  
Pads**

### Longitudinal shower profile in FoCal-E



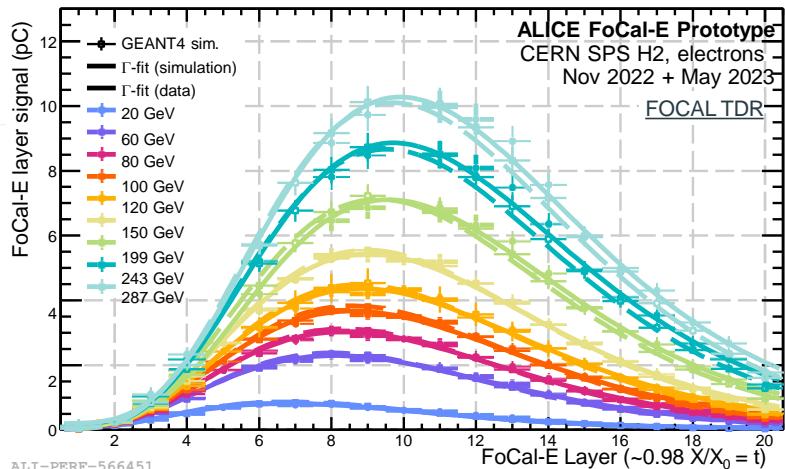
ALI-PERF-569144

### FoCal-E energy resolution



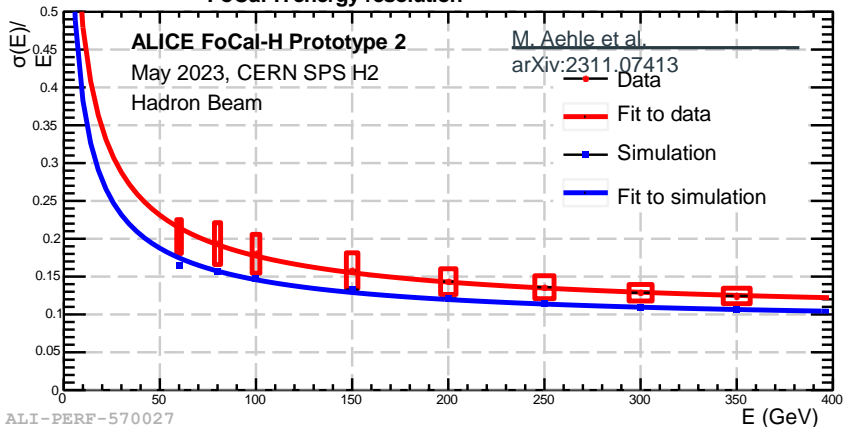


### Longitudinal shower profile in FoCal-E



ALI-PERF-566451

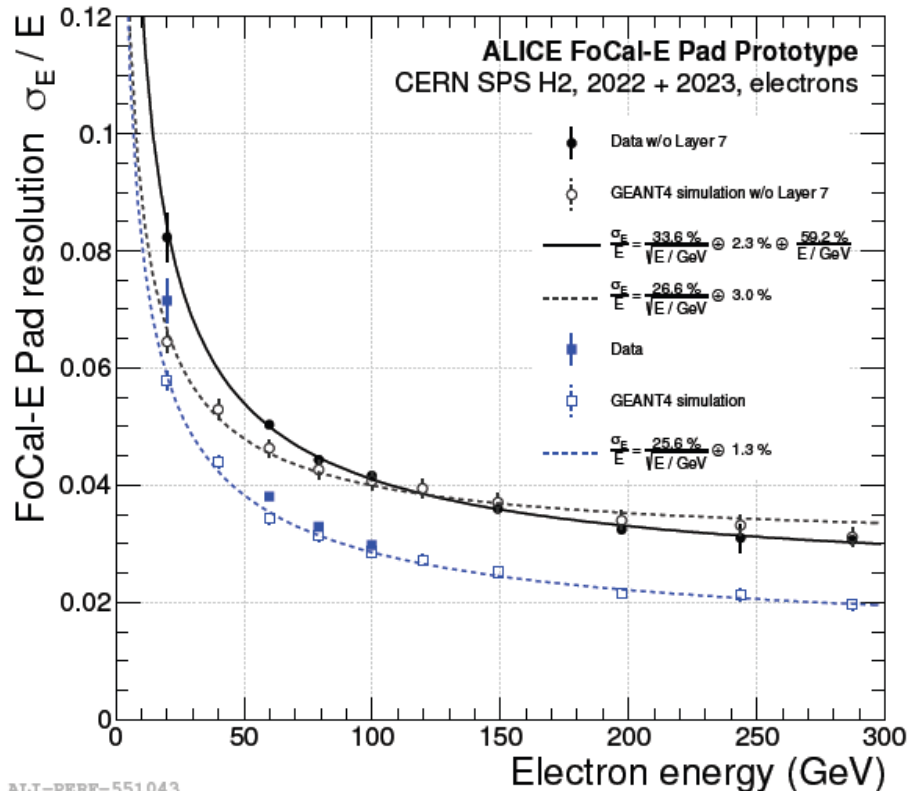
### FoCal-H energy resolution



ALI-PERF-570027

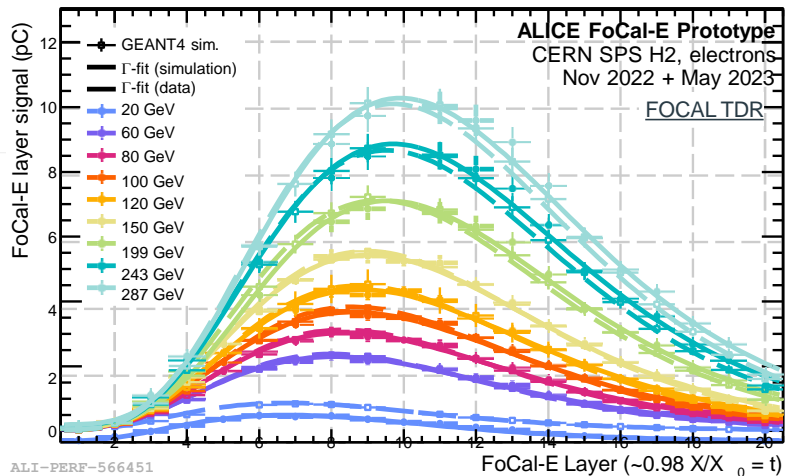
Resolution < 15% at high energies, data/MC discrepancy under investigation

### FoCal-E energy resolution



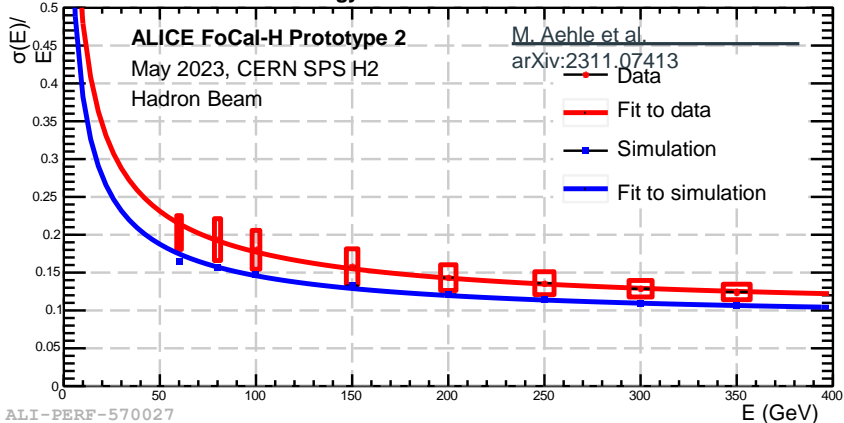
ALI-PERF-551043

### Longitudinal shower profile in FoCal-E



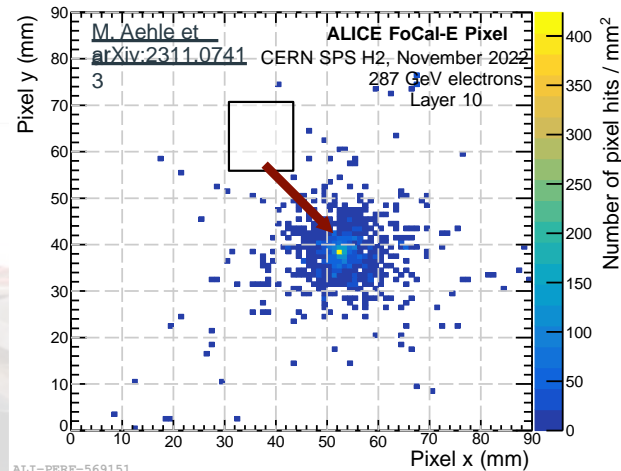
ALI-PERF-566451

### FoCal-H energy resolution

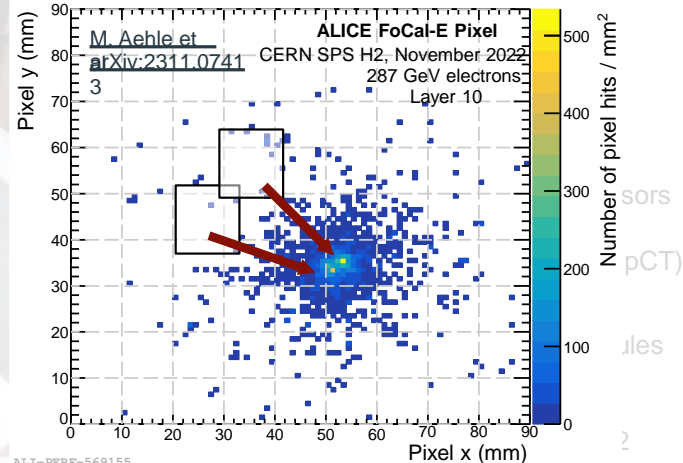


ALI-PERF-570027

Resolution < 15% at high energies, data/MC discrepancy under investigation



ALI-PERF-569151

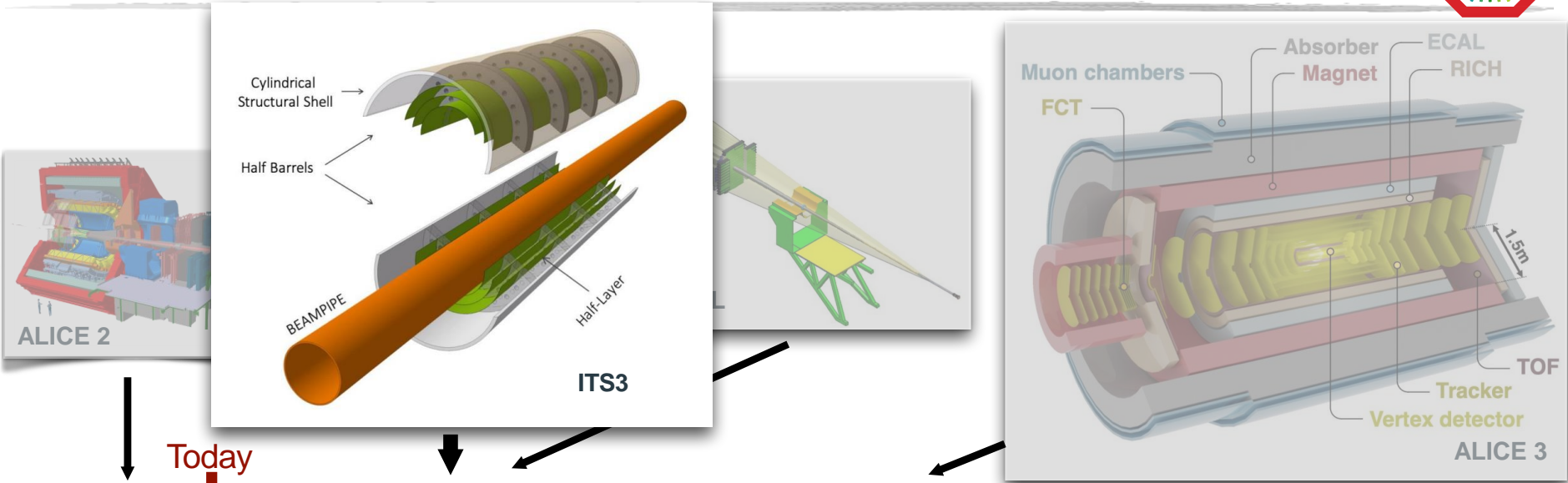


ALI-PERF-569155

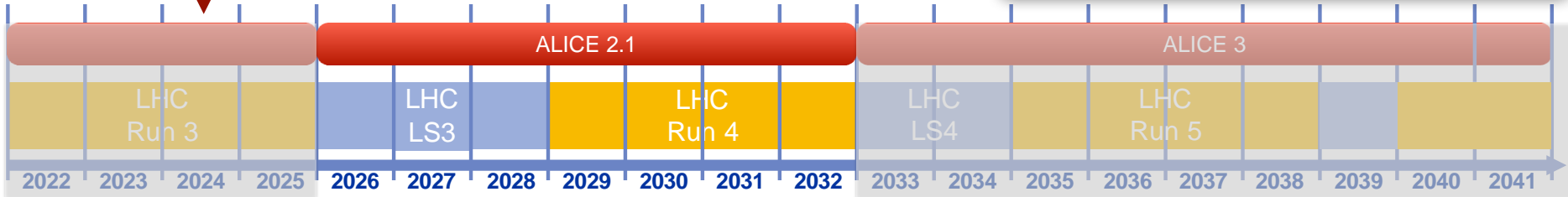
Event display of one- and two-electron showers in the 2nd pixel layer

FoCal-E  
pixel

# Inner Tracking System 3 (ITS3)



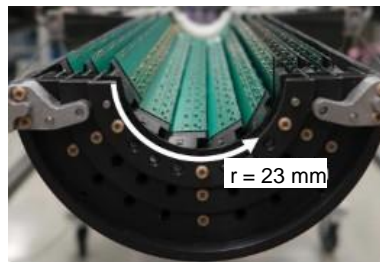
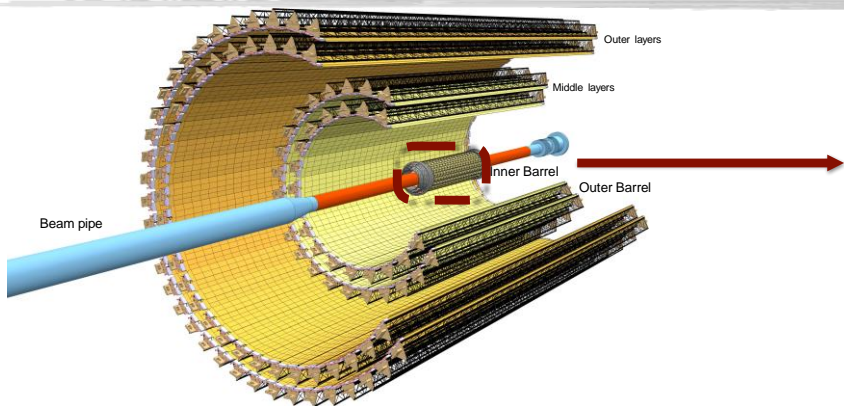
Today



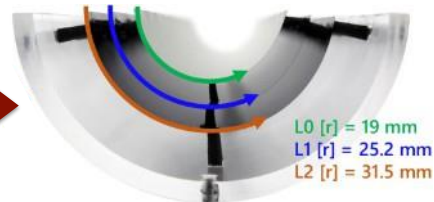
# The Inner Tracking System 3 (ITS3)



ALICE

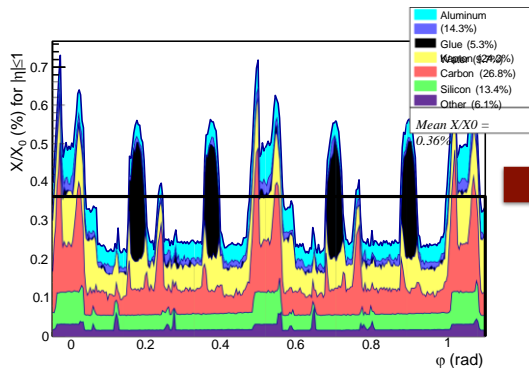


ITS2 Inner Barrel

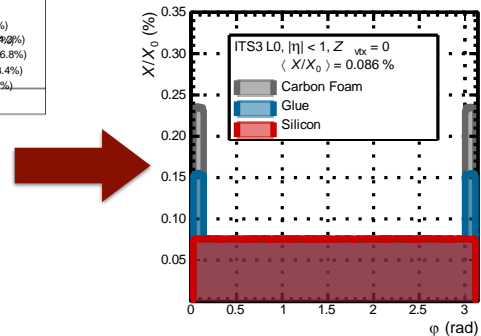


ITS3 Engineering Model 1

- Replacement of ITS2 Inner Barrel with 3 layers of curved 50  $\mu\text{m}$  thick wafer-scale MAPS
- Air cooling and ultra-light mechanical supports
- Reduced material budget of **0.09%  $X_0$**  instead of **0.36%  $X_0$**  per layer
- Smaller radius of the innermost layer: **19 mm** instead of **23 mm**

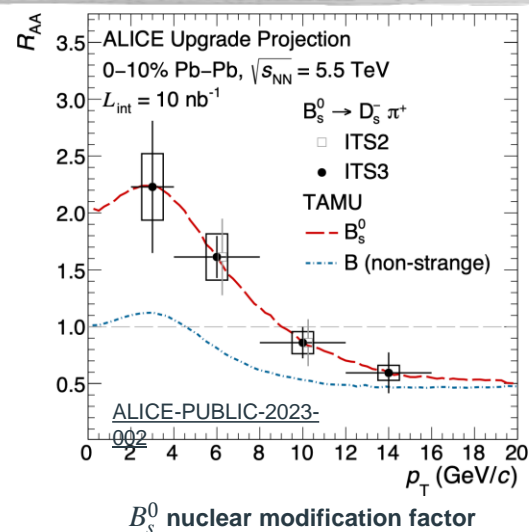
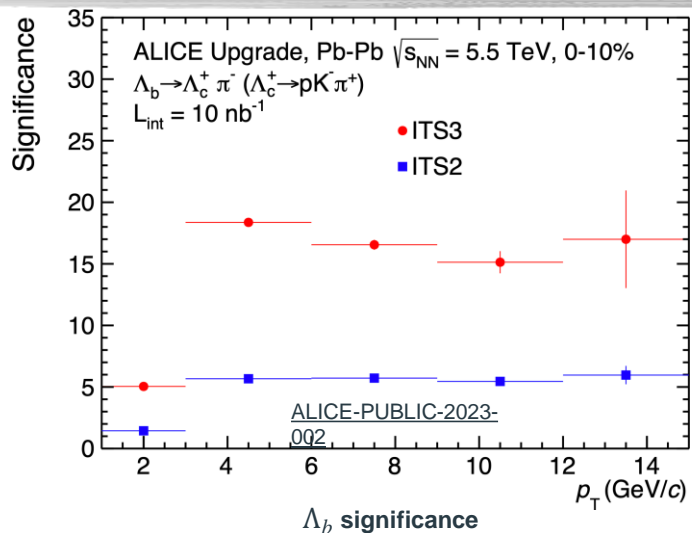
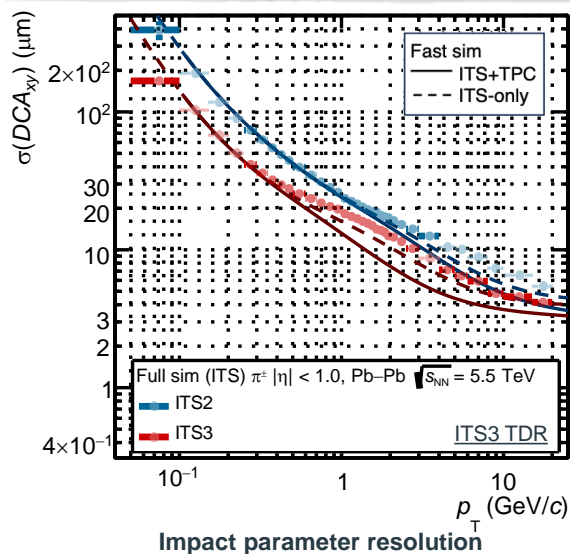


ITS2 Layer 0



ITS3 Layer 0

# ITS3 — Physics Impact

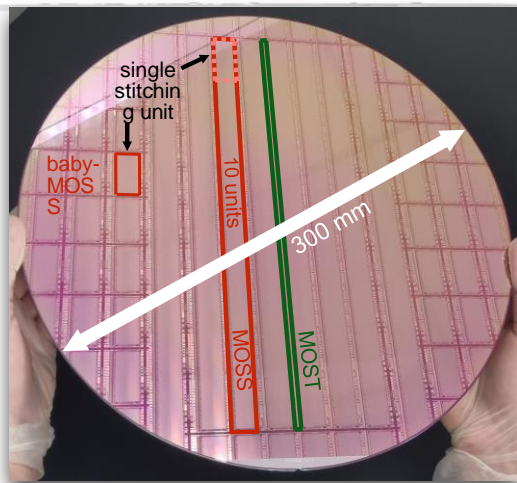


- DCA resolution improved by a about a factor of 2 → improved separation of secondary vertices
- Many fundamental observables strongly profiting or becoming in reach
  - Charmed and beauty baryons
  - Low-mass di-electrons
  - Full topological reconstruction of  $B_s$

ITS3 physics performance studies:  
ALICE-PUBLIC-2023-002



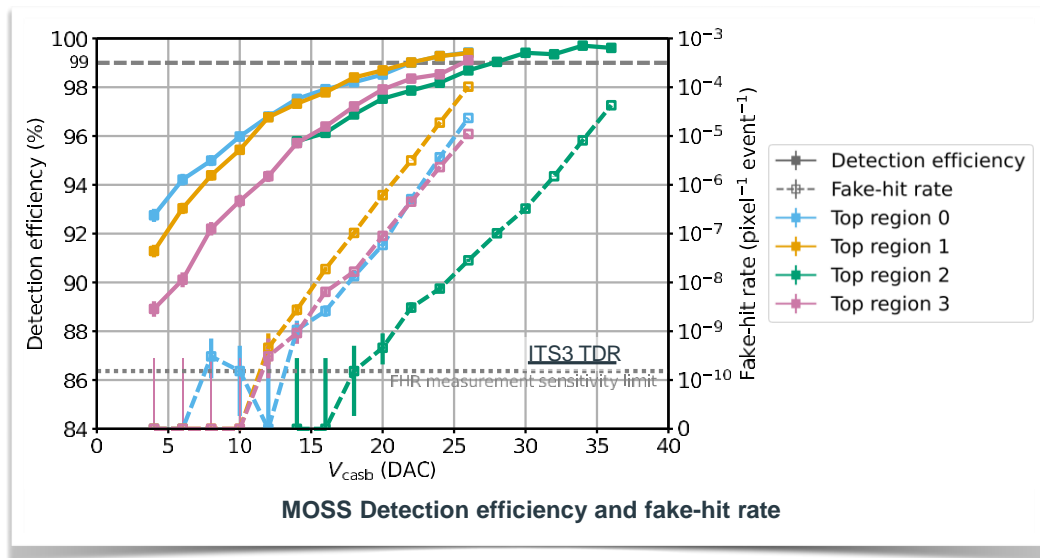
# ITS3 — Stitched Wafer-Scale MAPS — Recent Results



Engineering Run 1 wafer with various dies

## Monolithic Stitched Sensor (MOSS)

- First stitched MAPS for high-energy physics
- 10 Repeated Sensor Units (RSUs) stitched together: **259 mm x 14 mm per sensor**
- 2 pixel pitches (18  $\mu\text{m}$  and 22.5  $\mu\text{m}$ ) and 5 front-end variants, a total of **6.72 MPixel** per chip
- Chip is **operational** and reaches **full efficiency**
- Yield currently being studied in detail, main failure mechanism expected to be mitigated in the next submission



# ITS3 — Stitched Wafer-Scale MAPS — Next Steps

- Design of the final **full size, full functionality sensor** called **MOSAIX** is ongoing

- Modular design:

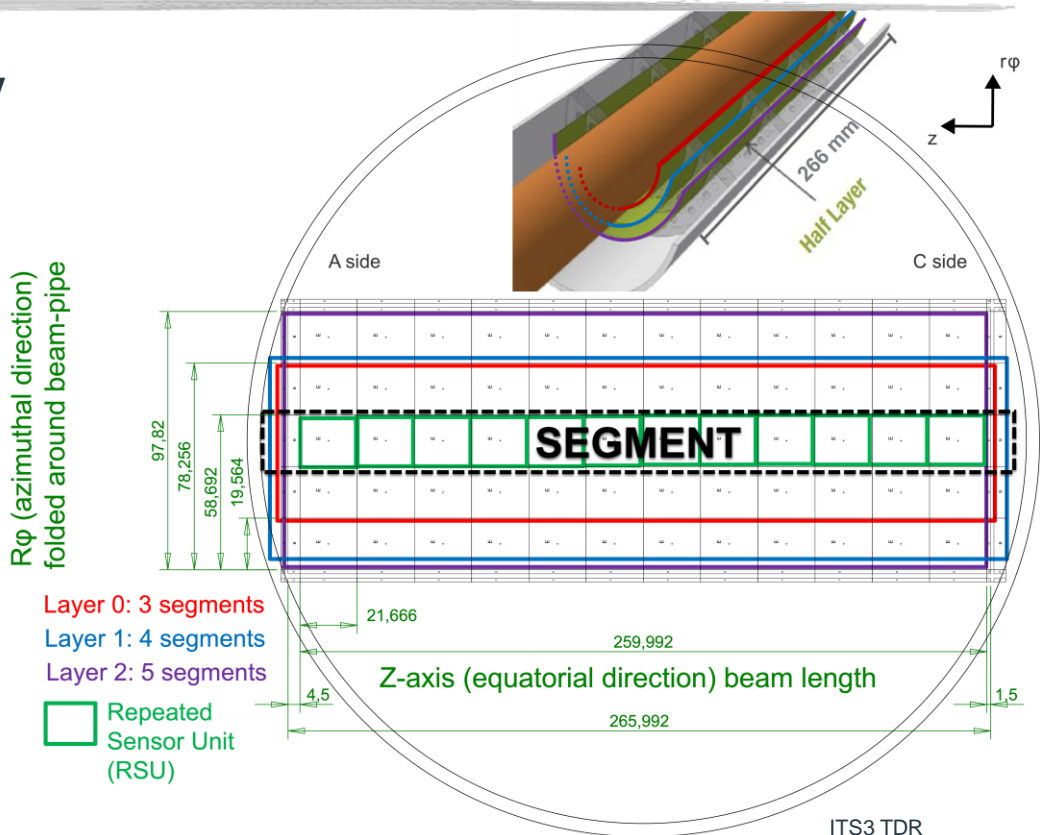
- Sensor divided into 5 segments (allowing to use 3, 4 or 5 segments for layers 0, 1 and 2, respectively)
- Each segment is constituted of 12 Repeated Sensor Units (RSUs)
- Each RSU is divided in turn into 12 fully independent tiles (powering, control and readout)

- Interfacing from the Left End Cap (LEC) and Right End Cap (REC)

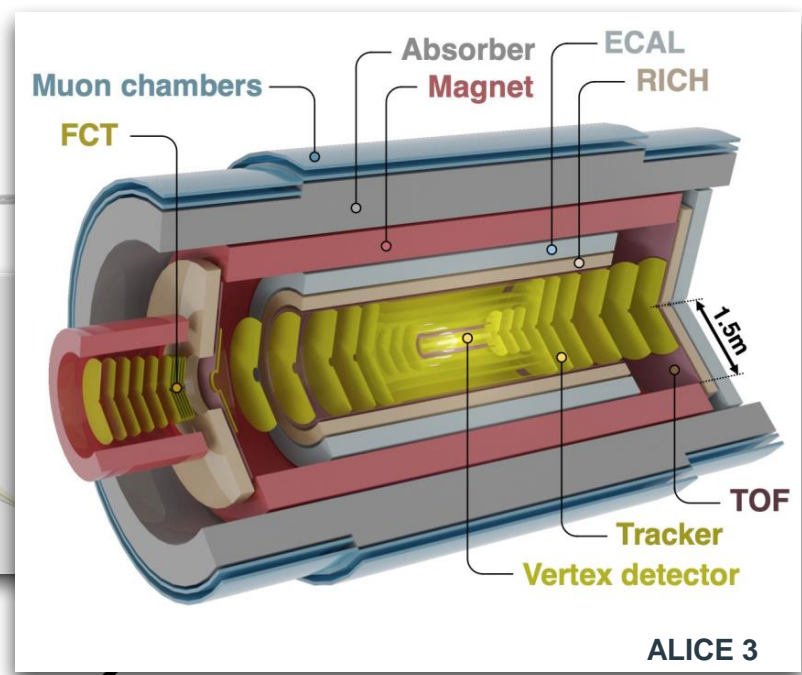
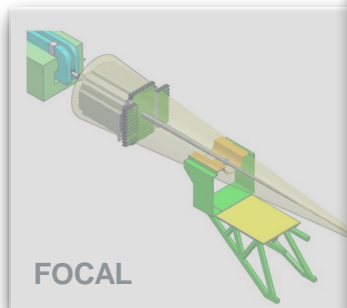
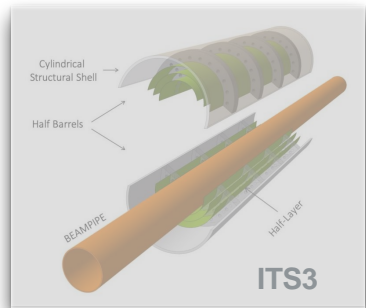
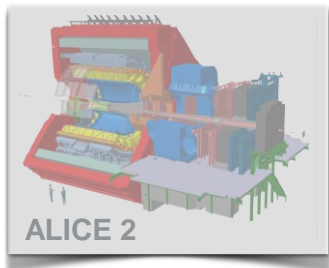
- Powering from both sides
- Control and readout from the LEC only

- Yield target: >98% of pixels active

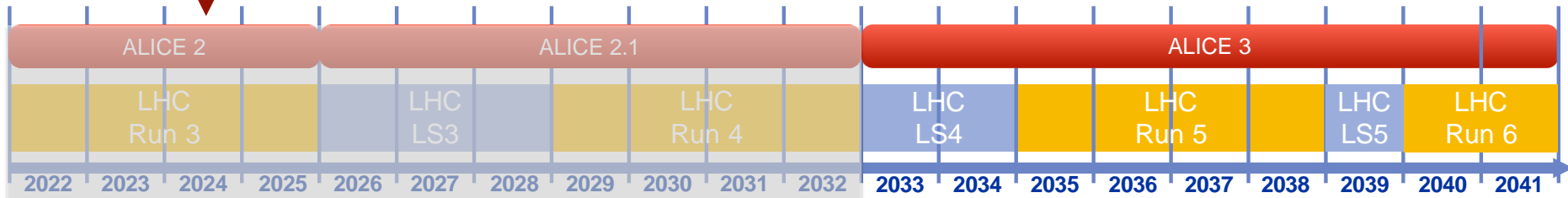
- Submission to foundry planned for fall 2024



# ALICE 3

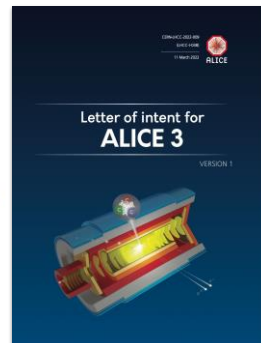
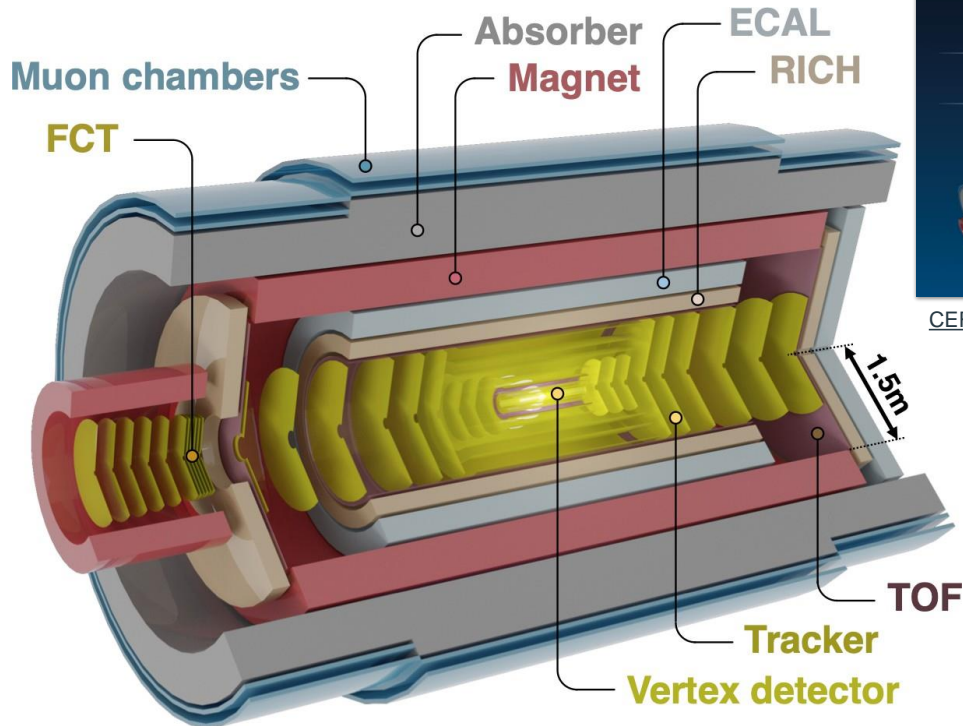
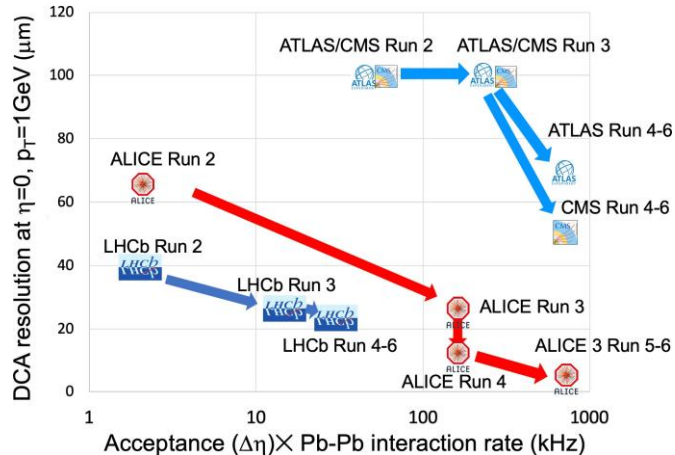


Today



# ALICE 3 — Concept

- Compact, low-mass all-silicon tracker
- Retractable vertex detector
- Excellent vertex reconstruction and PID capabilities
- Large acceptance
- Super-conducting magnet system
- Continuous read-out and online processing



CERN-LHCC-2022-009

# ALICE 3 — Vertex Detector (VD)



- **Pointing resolution**  $\propto r_0 \cdot \sqrt{x/X_0}$  (multiple scattering regime)

- Radius and material of first layer crucial
- Minimal radius given by required aperture:  
 **$R \approx 5$  mm at top energy,**  
 $R \approx 15$  mm at injection energy  
→ **retractable vertex detector**

- **Key detector characteristics**

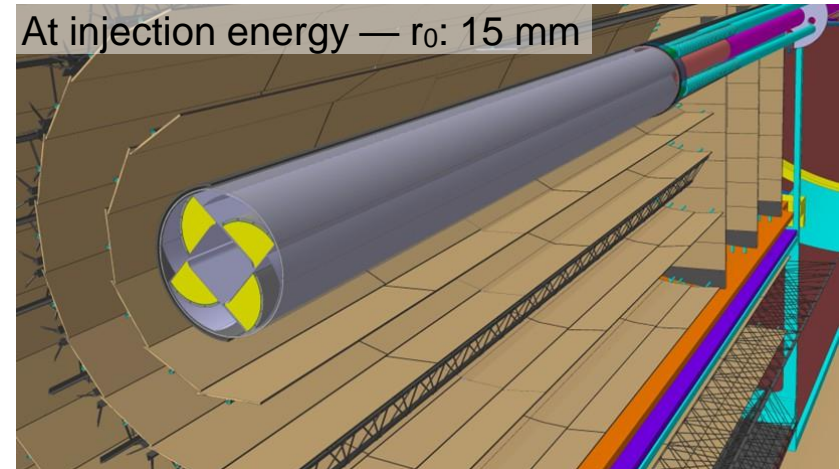
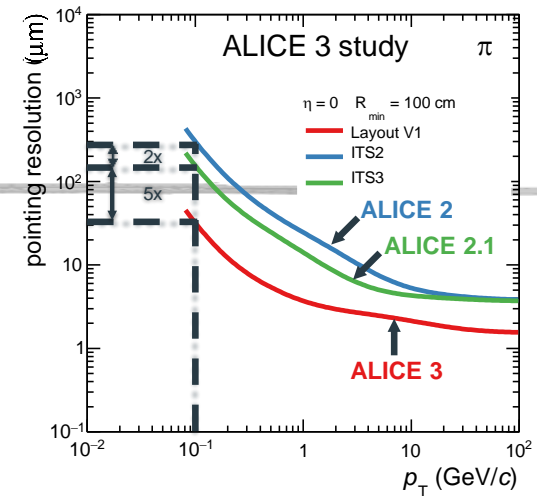
- 3 detection layers (barrel + disks)
- Retractable:  $r_0 = 5$  mm
- Material budget: **0.1%  $X_0$  / layer**
- Unprecedented spatial resolution: **2.5  $\mu\text{m}$**

- **Main R&D challenges**

- Light-weight in-vacuum mechanics and cooling
- Radiation hardness\* ( $10^{16}$  1 MeV  $n_{\text{eq}}/\text{cm}^2$  + 300 Mrad)
- Pixel pitch of 10  $\mu\text{m}$

- **R&D will build upon ITS3 experience**

\* LOI values, further simulation studies ongoing





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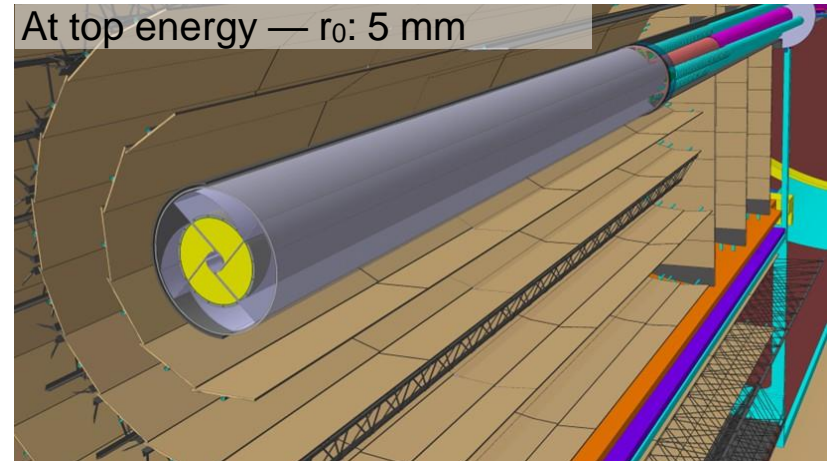
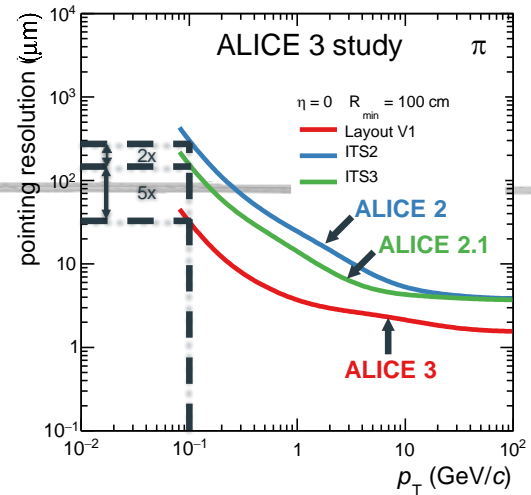
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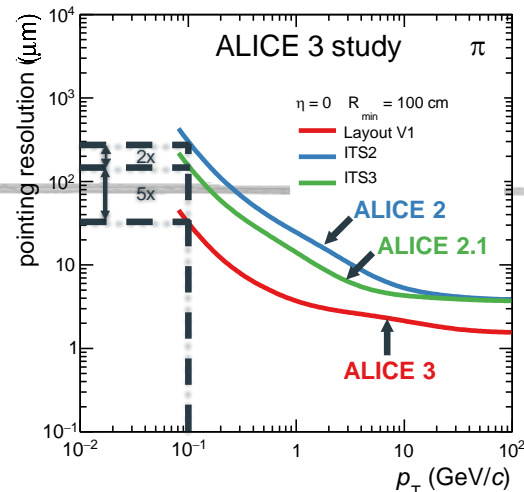
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- Pixel pitch of 10  $\mu\text{m}$

- **R&D will build upon ITS3 experience**

\* LOI values, further simulation studies ongoing



## Bread Board Model 3 (BBM3)

3d-printed aluminium  
0.5 mm wall thickness



# ALICE 3 — Tracking detectors (Middle Layers and Outer Tracker)



ALICE

- **Relative  $p_T$  resolution**  $\propto \frac{\sqrt{x/X_0}}{B \cdot L}$

(limited by multiple scattering)

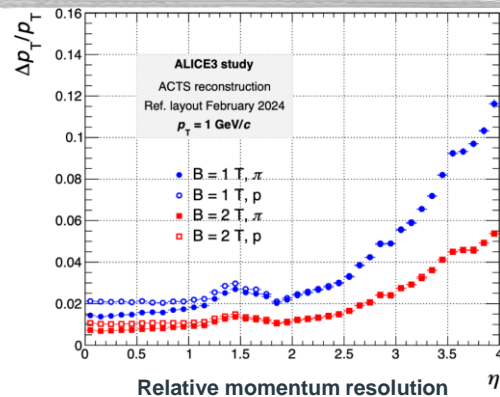
- Integrated magnetic field crucial
- Overall material budget critical

- **Key detector characteristics**

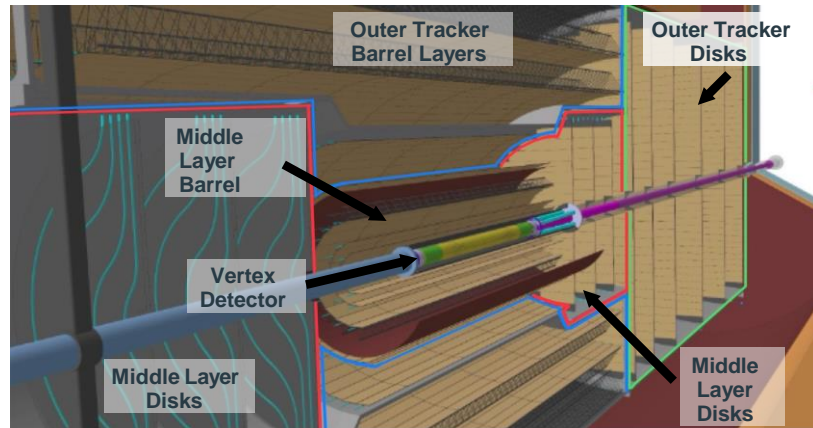
- 8 barrel layers ( $3.5 \text{ cm} < R < 80 \text{ cm}$ )
- 2 x 9 forward disks
- Total surface:  $\sim 60 \text{ m}^2$
- Material budget:  $1\% X_0 / \text{layer}$
- Spatial resolution:  $10 \mu\text{m} / 50 \mu\text{m}$  pixel pitch
- Low power consumption:  $20 \text{ mW}/\text{cm}^2$
- 100 ns time resolution

- **Main R&D challenges**

- Module design for high yield industrial mass production
- Low power consumption while maintaining timing performance



Automated module assembly tests



# ALICE 3 — Particle Identification



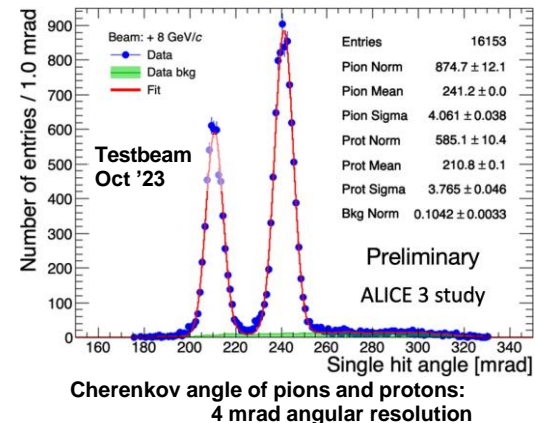
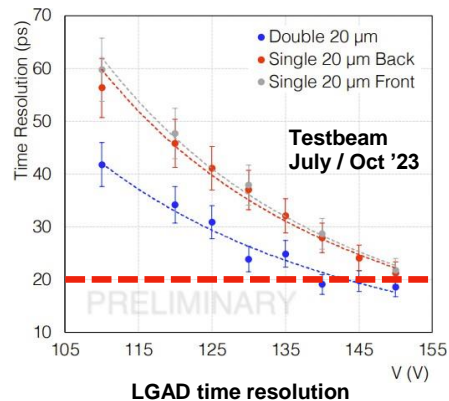
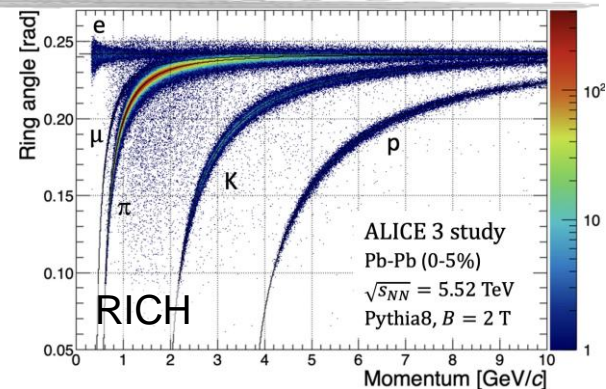
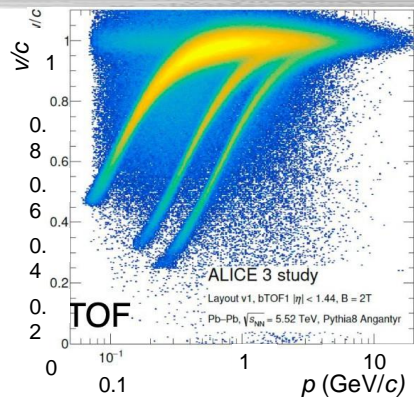
ALICE

## Time of Flight

- Time resolution target: 20 ps
- Low material budget 1-3%  $X_0$ /layer
- Total surface:  $\sim 45 \text{ m}^2$
- **R&D streams:**
  - SiPM coated with different resins (type, thickness)
  - Single and double LGADs
  - 50  $\mu\text{m}$  thick CMOS-LGAD (ARCADIA / MADPIX)

## RICH

- Extending PID to higher  $p_T$
- Aerogel radiator
  - $n = 1.03$  (barrel)
  - $n = 1.006$  (forward)
- Total SiPM area:  $\sim 35 \text{ m}^2$
- **R&D challenge:** SiPM radiation hardness



# ALICE 3: Muon and Photon ID

## Muon IDentification (MID) at central rapidity

- Optimised for charmonia reconstruction down to zero  $p_T$
- $\sim 70$  cm steel hadron absorber
- 2 layers with  $5 \times 5$  cm<sup>2</sup> pad size
- Baseline: plastic scintillator bars w/ wave-length shifting fibres + SiPMs
- Options: RPCs or MWPCs
- Test beam results: [R. Alfaro et al. JINST 19 \(2024\) 04, T04006](#)

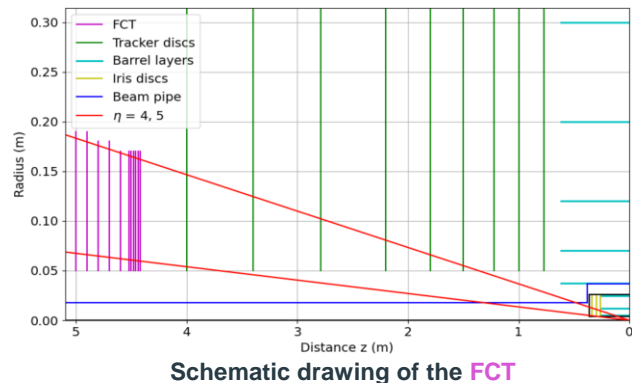
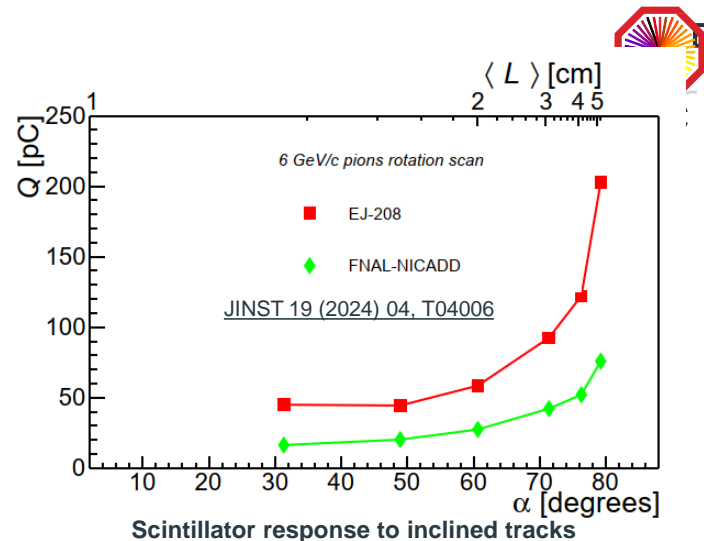


## Large acceptance Electromagnetic Cal (ECal)

- $2\pi$  coverage
- Sampling calorimeter,  $O(100)$  layers of 1 mm Pb + 1.5 mm plastic scintillator
- PbWO<sub>4</sub>-based high energy-resolution segment

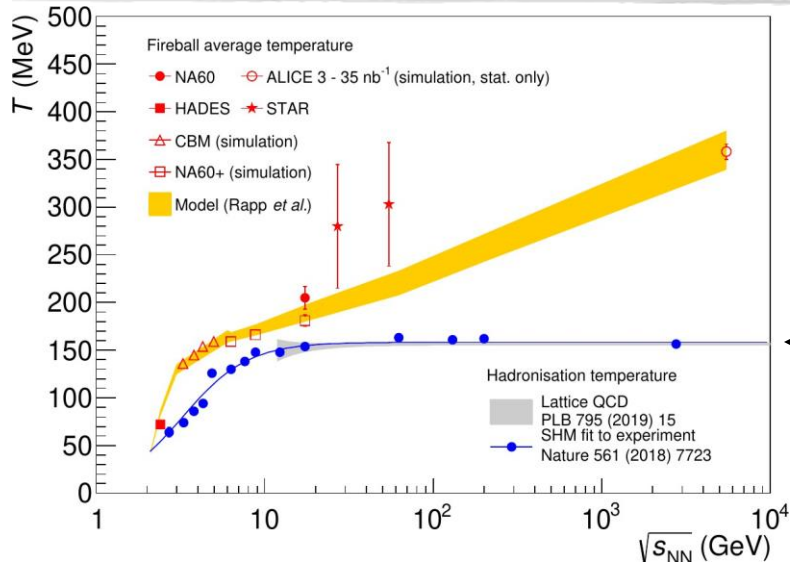
## Forward Conversion Tracker (FCT)

- Thin tracking disks in  $4 < \eta < 5$  in a dedicated dipole magnet
- Very low  $p_T$  photons ( $< 10$  MeV/c)





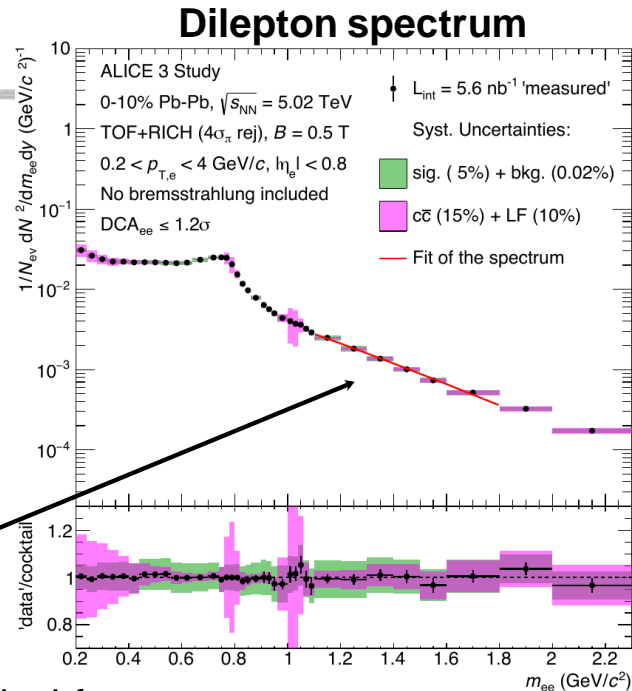
# Accessing the QGP temperature



← Projected temperature from electromagnetic radiation

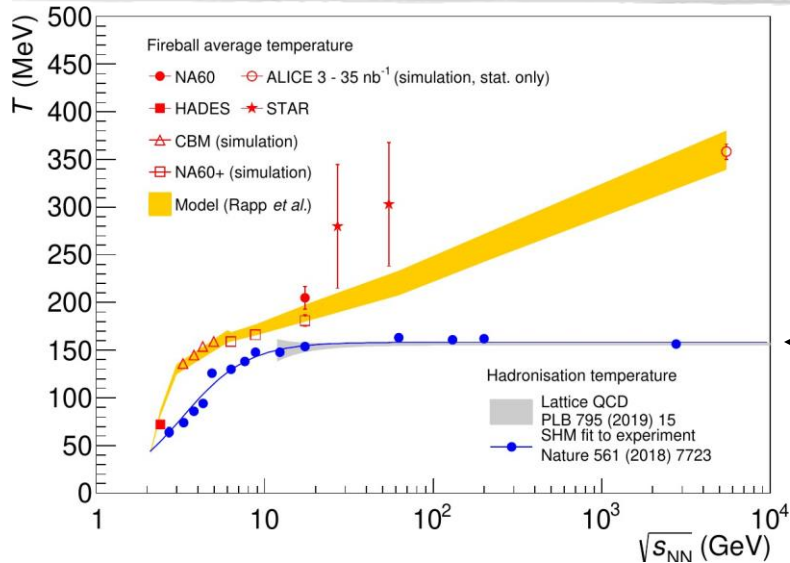
← Temperature from hadron abundances 'chemical freeze-out'

The slope measures the temperature



- Light flavour hadron abundances consistent with common chemical freeze-out
  - Limiting temperature: ~155 MeV
- Electromagnetic radiation gives access to temperature of QGP before hadronisation
- Dilepton pairs provide an unique probe for the time evolution of T
  - Temperature expected at the LHC: 300-400 MeV

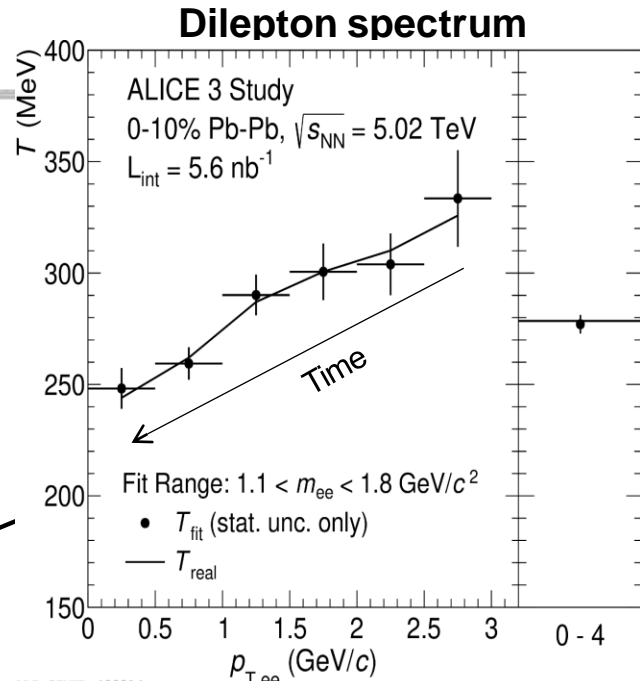
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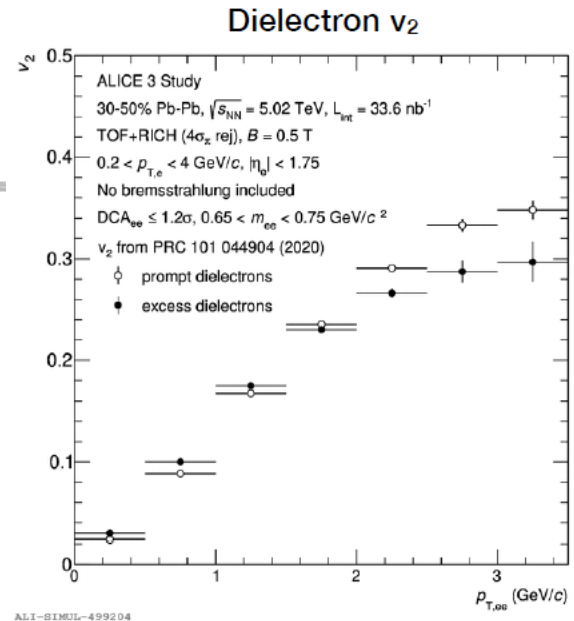
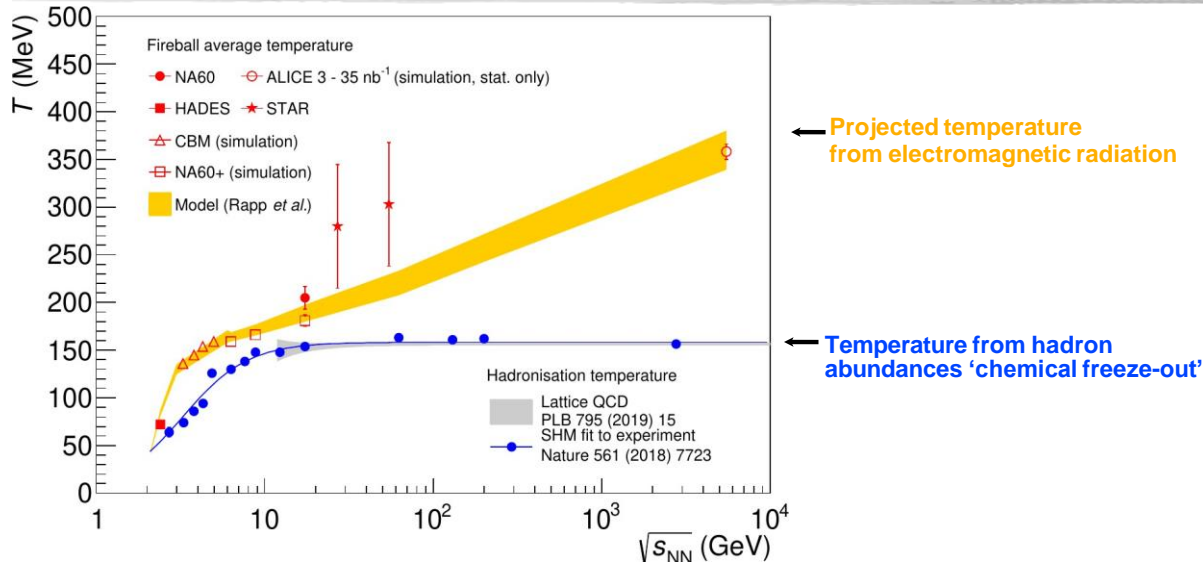
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# Accessing the QGP temperature



Unique access to **time evolution of temperature**

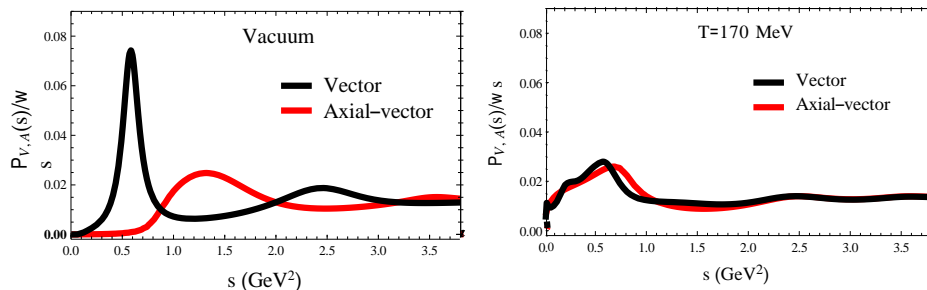
via  $v_2$ ,  $p_T$  dependence of T

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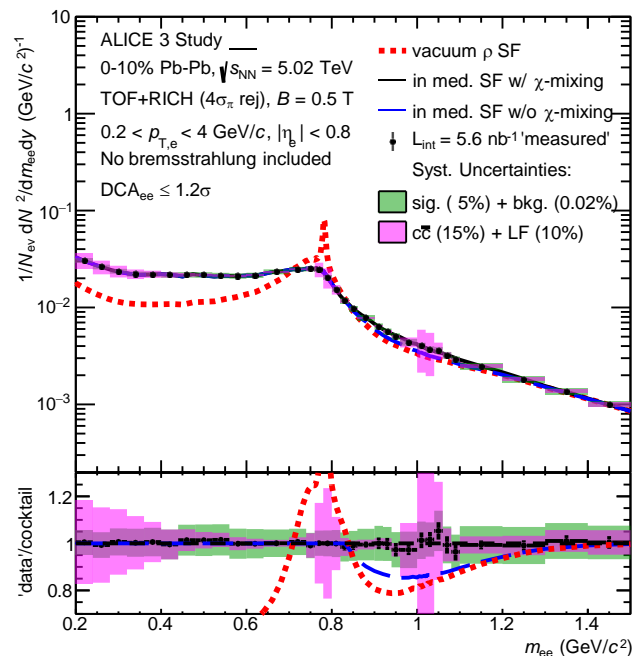
# Chiral symmetry restoration: $\rho - a_1$ mixing

- Spontaneous breaking of chiral symmetry generates **hadron masses in QCD**
  - Large mass difference between  $\rho$  (770 MeV) and  $a_1$  (1260 MeV)
- Chiral symmetry restored in QGP**
  - $\rho$  and  $a_1$  degenerate: mixing
- ALICE 3 provides experimental access to chiral symmetry restoration mechanism

$\rho$  and  $a_1$  spectral function



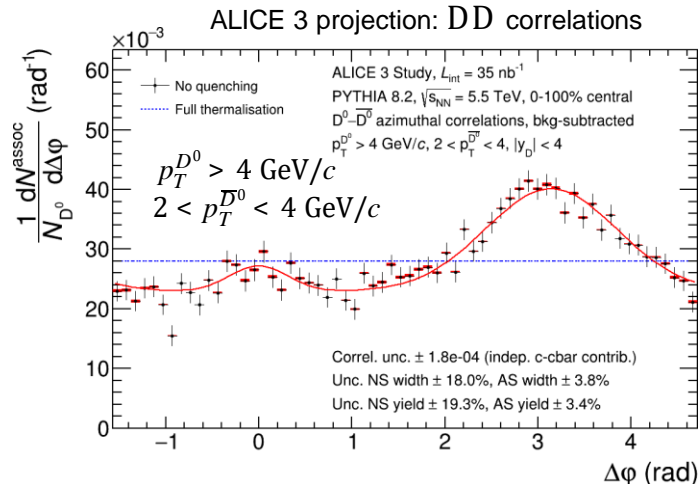
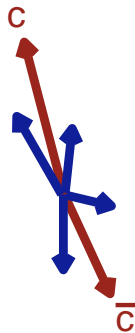
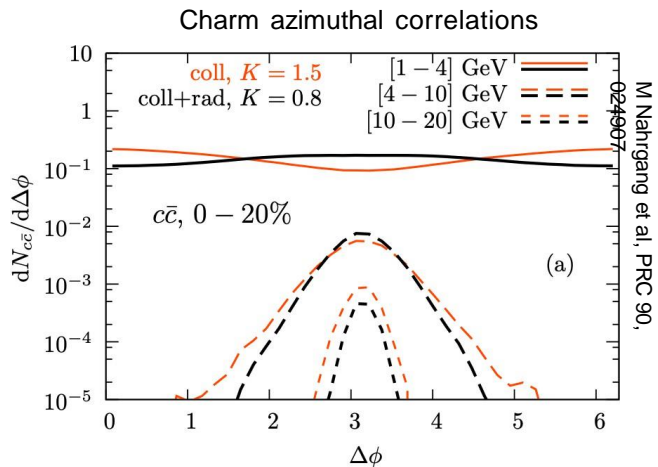
Hohler and Rapp, [PLB 731,103](#)



$\rho - a_1$  mixing affects mass spectrum  
above  $\rho$  peak

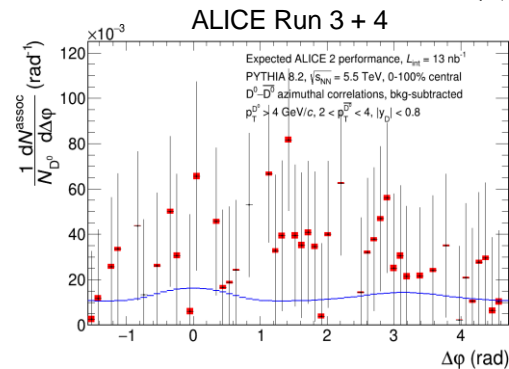
ALICE 3 provides necessary precision

# Heavy-flavour diffusion in the QGP: $D\bar{D}$ azimuthal correlations



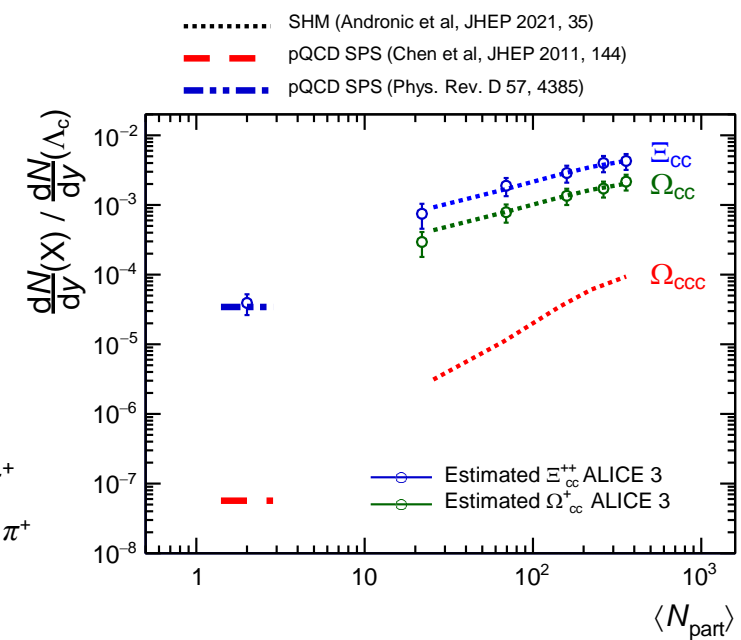
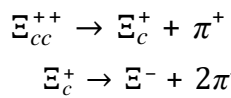
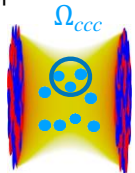
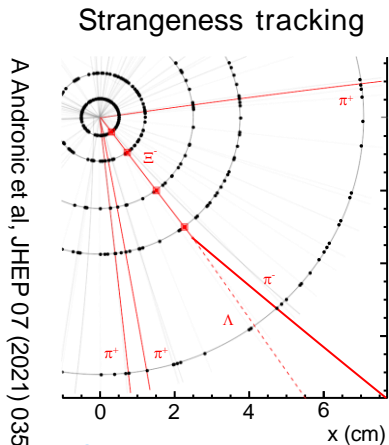
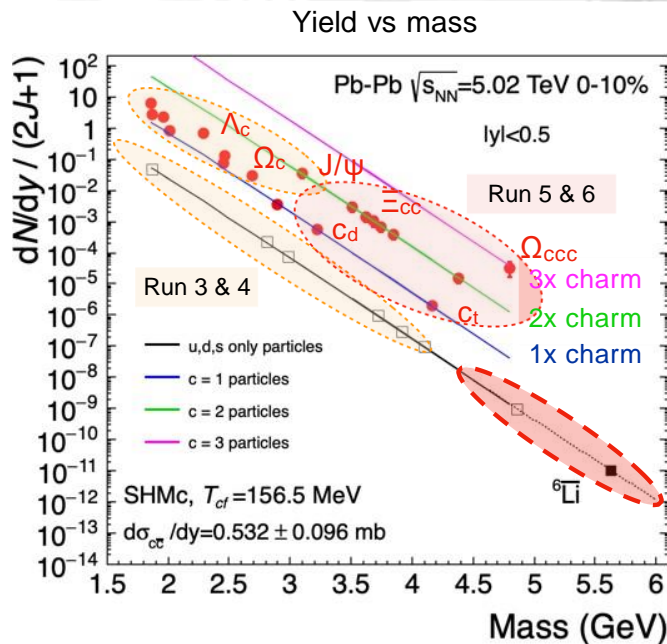
- Angular decorrelation directly probes QGP scattering
  - Signal strongest at low  $p_T$
- Very challenging measurement:
  - need good purity, efficiency and  $\eta$  coverage

→ heavy-ion measurement only possible with ALICE 3





# Hadron formation: multi-heavy-flavour hadrons



Multi-charm baryons: unique probe of hadron formation  
 Statistical hadronisation model: **very large enhancement** in AA

- Specific relation between yields:  $g_c^n$  for  $n$ -charm states

ALICE 3: unique experimental access to multi-charm baryons

# Summary

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- ALICE has an ambitious upgrade program, aiming at furthering our understanding of the QGP in particular with precise measurements of heavy flavour and electromagnetic radiation
- **LS3 (2026-2028):** new upgrades for LHC Run 4 approaching construction phase
  - **FoCal:**  $\gamma$ ,  $\pi^0$ , jets in the forward region to constrain the gluon nPDF at low  $x$
  - **ITS3:** ultra-thin, truly cylindrical, wafer-scale MAPS  
improved secondary vertex reconstruction
- **Beyond Run 4:** ALICE 3 to fully exploit the HL-LHC as a heavy-ion collider until Run 6
  - Novel, silicon-based detector concept
  - Pioneering several R&D directions with broad impact on future HEP experiments (e.g. FCC-ee)
  - Enabling precision measurements of dileptons, (multi-)heavy-flavour hadrons and hadron correlations