# NEW RESULTS ON $\alpha_{\rm s}$ FROM HADRONIC $\tau$ DECAY



# Kim Maltman, York University (and CSSM, Adelaide) with Diogo Boito, Maarten Golterman and Santi Peris

#### Based on

(1) "Quark-hadron duality and the determination of α<sub>s</sub> from hadronic τ decay: facts vs. myths" [arXiv: 2402.00588 [hep-ph]]
 (2) PRD103(2021) 034028 [arXiv:2012.10440]

# CONTEXT: PDG NON-LATTICE $\alpha_s$ DETERMINATIONS



- Increase in precision at  $M_Z$  with decreasing  $\mu$  (for fixed precision at  $\mu$ ):  $[\delta \alpha_s(M_Z^2)/\alpha_s(M_Z^2)] \simeq [\alpha_s(M_Z^2)/\alpha_s(\mu^2)] [\delta \alpha_s(\mu^2)/\alpha_s(\mu^2)]$
- $[\alpha_s(M_Z^2)/\alpha_s(\mu^2)] \simeq 1/3$  for  $\mu \simeq m_{\tau} \Rightarrow$  advantage for low-scale  $\tau$  analysis
- This talk: previously unrecognized issues with one of the two main approaches to the τ determination

## INGREDIENTS OF THE $\tau$ DETERMINATION (1)

• V and A vector two-point functions, scalar polarizations and spectral functions

$$\begin{split} \mathrm{I}^{V/A}_{\mu\nu}(q) &= i \int d^4x \, e^{iq \cdot x} \langle 0 | T \left\{ J^{(V/A)}_{\mu}(x) J^{(V/A)\dagger}_{\nu}(0) \right\} | 0 \rangle \\ &= \left( q_{\mu} q_{\nu} - q^2 g_{\mu\nu} \right) \Pi^{(1)}(q^2) + q_{\mu} q_{\nu} \Pi^{(0)}(q^2) \\ &= \left( q_{\mu} q_{\nu} - q^2 g_{\mu\nu} \right) \Pi^{(1+0)}(q^2) + q^2 g_{\mu\nu} \Pi^{(0)}(q^2) \\ &\rho^{(J)}(s) = \frac{1}{\pi} \mathrm{Im} \Pi^{(J)}(s) \end{split}$$

• Hadronic  $\tau$  decay in the SM in terms of V and A current spectral functions:

$$\begin{split} R_{V/A;ud} &= \frac{\Gamma[\tau \to (\text{hadrons})_{V/A;ud}\nu_{\tau}(\gamma)]}{\Gamma[\tau \to e\bar{\nu}_{e}\nu_{\tau}(\gamma)]} \\ \frac{dR_{V/A;ud}(s)}{ds} &= 12\pi^{2}|V_{ud}|^{2}S_{\text{EW}}\frac{1}{m_{\tau}^{2}}\left[w_{T}(s;m_{\tau}^{2})\rho_{V/A;ud}^{(1+0)}(s) - w_{L}(s;m_{\tau}^{2})\rho_{V/A;ud}^{(0)}(s)\right] \\ w_{T}(s;s_{0}) &= \left(1 - \frac{s}{s_{0}}\right)^{2}\left(1 + \frac{2s}{s_{0}}\right), \ w_{L}(s,s_{0}) = \frac{2s}{s_{0}}\left(1 - \frac{s}{s_{0}}\right)^{2} \end{split}$$

# INGREDIENTS OF THE $\tau$ DETERMINATION (2)

#### Polynomially weighted finite-energy sum rules (FESRs)

Polynomial w(s), kinematic-singularity-free  $\Pi(Q^2) \Rightarrow$  Cauchy Theorem (FESR) relation

$$\int_{s_{th}}^{s_0} ds \, w(s) \rho(s) = \frac{-1}{2\pi i} \oint_{|s|=s_0} ds \, w(s) \Pi(s)$$



- $\tau$  decay  $\alpha_s$  determinations: experimental V, A (dR/ds) on LHS, theory (QCD) on RHS
- Theory side: approximate  $\Pi(Q^2) \equiv \Pi(Q^2)^{OPE}(+\Pi_{DV}(Q^2))$  ( $\alpha_s$  in perturbative part of OPE)
- Two common approaches: tOPE (ALEPH, OPAL, Pich et al), and DV-model (Boito et al)

# $\tau$ DETERMINATION INGREDIENTS (3): I=1, J=0+1 V+A SPECTRAL DATA

- ALEPH 2013 τ, I=1 V+A spectral function, showing "reduced" DVs above s ~1.5-2 GeV <sup>2</sup> (reduced c.f. those for V or A alone)
- In the literature: often used to argue for neglect of DVs in this region/claim that PT works "well" for V+A as low as s≃1 GeV<sup>2</sup>
- C.f. the τ, I=1 V+A figure, now with the non-dynamical, α<sub>s</sub>-independent parton model contribution removed



(e.g. same figure with different

(larger)  $\alpha_s$ -independent contribution)

## **τ DETERMINATION INGREDIENTS (4): I=1, J=0+1 V SPECTRAL DATA**

- Improved I=1, V channel spectral distribution [Boito et al PRD103(2021) 034028]
- ALEPH  $K\overline{K}$ , higher-multiplicity-mode Monte Carlo input replaced with BaBar  $\tau \rightarrow K\overline{K}\upsilon_{\tau}$ ,  $e^+e^-$ + CVC input for higher-multiplicity modes



**ALEPH 2013** 

#### Experimental data (non-strange vector spectral function): [PRD103(2021) 034028]





OPAL: Ackerstaff *et al.* '98 ALEPH: Schael *et al.* '05, Davier *et al.* '14 Combination: Boito *et al.* '20 Residual modes from (mostly) electroproduction (instead of Monte-Carlo) Boito *et al.* '20

# **τ DETERMINATION INGREDIENTS (5): FESR THEORY-SIDE INPUT**

> D=0 (perturbative) series known to O( $\alpha_s^4$ ) (Baikov et al '08; Herzog et al '17) > D=0 OPE integrals ~1 +  $\alpha_s/\pi$ +...

 $\alpha_s(m_\tau^2)$ ~0.3, hence  $\alpha_s$ -dependent contributions numerically significant

➢ higher D: [Π(Q<sup>2</sup>)]<sup>OPE</sup><sub>D≥4</sub> ≡ Σ<sub>D≥4</sub> [C<sub>D</sub>/Q<sup>D</sup>] with effective condensates C<sub>D</sub> (D=4: chiral and gluon condensates, D=6: 4-quark condensates,...) Expansion in powers of 1/s; known to be asymptotic (at best)

> (up to α<sub>s</sub>-suppressed log corrections) for polynomial w(y) = w(s/s<sub>0</sub>) = Σ<sub>k≥0</sub> b<sub>k</sub>y<sup>k</sup>  $\frac{-1}{2\pi i} \oint_{|s|=s_0} (ds/s_0) w(y) [\Pi(Q^2)]_{D\geq 4}^{OPE} = Σ_{k\geq 1} (-1)^k b_k C_{2(k+1)}/s_0^{k+1}$ ⇒ dim D scales as 1/s<sub>0</sub><sup>D/2</sup>; degree N w(y) ↔ OPE contributions to D=2N+2

> DVs: Resonance oscillations in experimental  $\rho_{V,A}(s)$  not captured by perturbation theory/the OPE (believed localized to vicinity of timelike point on RHS contour)

> tOPE vs DV-model-strategy analysis option choice (more on this below)

#### tOPE vs. DV-MODEL ANALYSIS STRATEGY COMPARISONS

$$\text{FESR:} \quad \int_{0}^{s_{0}} ds \, w(s \, \rho(s) = -\frac{1}{2\pi i} \oint_{|z|=s_{0}} dz \, w(z) \left( \Pi_{\text{pert.th.}}(z;\alpha_{s}) + \Pi_{\text{OPE}}(z) \right) + \int_{s_{0}}^{\infty} ds \, w(s) \, \rho_{\text{DV}}(s)$$

- tOPE:- set DV part equal to zero (this is a model for duality violations!)- include high-degree polynomials (with DVs suppressed via zeros at  $z = s_0$ ) ("pinched" weights)- use a single  $s_0$  value, as close as possible to  $m_{\tau}^2$ , dropping OPE parametersuntil # fit parameters < # FESRs; OPE treated as if convergent to very high order (up to  $1/z^8$ )
- DV: Since OPE is asymptotic, use only to low orders (max  $1/z^5$ ), don't drop OPE parameters  $\geq$  1 FESR with unsuppressed DVs, model with QCD-motivated *ansatz* (Regge theory and  $1/N_c$ )

$$\rho_{\rm DV}(s) = e^{-\delta - \gamma s} \sin(\alpha + \beta s + \mathcal{O}(\log s)) \left(1 + \mathcal{O}\left(\frac{1}{s}, \frac{1}{N_c}, \frac{1}{\log s}\right)\right)$$

use, and test consistency of approach by varying,  $s_0$  between  $\sim 1.5~{
m GeV}^2$  and  $m_{\tau}^2$ (Catà *et al.* '05, Boito *et al.* '17)

Advantage of such multi- $s_0$  analysis approaches: variable  $s_0$  and D-dependent OPE, oscillatory DV scalings with  $s_0 \leftrightarrow$  non-trivial internal self-consistency tests

#### **NECESSITY OF OPE TRUNCATION IN SINGLE-** $s_0$ **tOPE ANALYSES**

• OPE sides of doubly (or higher) pinched-weight FESRs needed to suppress DV contributions involve not just  $\alpha_s$  but higher D non-perturbative condensates  $C_D$ 

E.g.,the J=0+1 kinematic weight  $w_{\tau}(y) = 1 - 3y^2 + 2y^3 \Rightarrow$  theory representation of non-strange inclusive  $\tau$  decay width depends on D = 6 and 8 condensates as well as  $\alpha_s$ 

#### $\Rightarrow$ fit of $\alpha_s$ impossible using only a single FESR (needs $C_D$ input)

- Classic tOPE analysis "solution": add higher-degree-weight FESRs to fit needed C<sub>D</sub>
   E.g. classic "(km) spectral weights" w<sub>km</sub>(x) = (1 x)<sup>2</sup>(1 + 2x)(1 x)<sup>k</sup>x<sup>m</sup>, km=00, 10, 11, 12, 13 (ALEPH, OPAL, Pich et al.): 5 FESRs to fit 4 OPE parameters α<sub>s</sub>, C<sub>4</sub>, C<sub>6</sub>, C<sub>8</sub>
- Basic problem: new higher degree weights add new unknown C\_D ⇒ must drop OPE terms in principle present to keep # fit parameters< # spectral integral inputs</li>
   E.g. classic "(km) spectral weight" analyses truncate OPE at D=8, dropping C<sub>10</sub>, C<sub>12</sub>, C<sub>14</sub>, C<sub>16</sub> counting on assumed suppression by additional powers of 1/s<sub>0</sub> to make this safe
- Basic truncation assumption issue: with only single s<sub>0</sub>, impossible to use Ddependent scaling with s<sub>0</sub> to test self-consistency of assumed truncation

# **"REDUNDANCY" AND THE tOPE AND DV STRATEGY APPROACHES (1)**

- Theory-side  $s_0$ -dependence self-consistency tests need multi-weight, multi- $s_0$  analyses
- If all  $s_0 > s_0^{min}$  for given experimental binning used, only one of a 2nd-weight spectral integral set {I( $w_2, s_0$ )} is independent of the corresponding 1st-weight set {I( $w_1, s_0$ )}
- $\Rightarrow$  In fit to data  $\{d_k\}$  with theory representations  $\{t_k(\eta_m)\}$  involving parameters  $\{\eta_m\}$ , either give up  $s_0$ -dependent multi-weight, multi- $s_0$  self-consistency tests to use standard  $\chi^2$  fit (as in single- $s_0$  tOPE analyses), or keep multi-weight, multi- $s_0$  set and use non- $\chi^2$  fit (propagating full set of correlations separately). Generally

 $Q^{2}(\vec{\eta}) = [\vec{d} \cdot \vec{t}(\vec{\eta})]^{T} \tilde{C}^{-1}[\vec{d} \cdot \vec{t}(\vec{\eta})] \stackrel{\succ}{\to} \frac{\text{If data covariance matrix C non-singular, can set } \tilde{C} = C, Q^{2} = \chi^{2}$  $\succ$  If C singular, alternate choice for  $\widetilde{C}$ ,  $Q^2 \neq \chi^2$  and must propagate full covariances separately

• E.g. Boito et al. V+A, V channel DV-strategy multi-weight, multi- $s_0$  spectral integral set fits: block-diagonal  $Q^2$  with single-weight, multi- $s_0$  covariance matrices on the diagonal

## **"REDUNDANCY" AND THE tOPE AND DV STRATEGY APPROACHES (2)**

**Redundancy Theorem:** Consider a data set  $\{d_k, k=1...N\}$  with non-singular covariance matrix D, and associated theory representations  $\{t_k(\eta_m), k=1...N\}$  involving parameters  $\{\eta_m, m=1...M, M < N\}$ . Now add a single new data point  $d_{N+1}$  such that (i) the extended (N+1)-point data set covariance matrix C is also non-singular and (ii) only one additional theory parameter,  $\eta_{M+1}$ , enters the theory representation,  $t_{N+1}$ , of  $d_{N+1}$ .

#### In this situation

- ★ the parameters  $\eta_1, ..., \eta_M$  obtained from the extended (N+1)-point  $\chi^2$  fit are identical to those obtained from the unextended N-point  $\chi^2$  fit,
- the minimum χ<sup>2</sup> of the extended (N+1)-point fit is identical to that of the original N-point fit and
- \* the extended-fit result for  $\eta_{M+1}$  serves only to make the theory representation  $t_{N+1}$  exactly reproduce  $d_{N+1}$ , regardless of the form chosen for  $t_{N+1}$

The extended fit is entirely "redundant", producing no new information on the parameters of the original fit, and no physically meaningful constraint on the new parameter  $\eta_{M+1}$ 

## **"REDUNDANCY" AND THE tOPE AND DV STRATEGY APPROACHES (3)**

- Single-s<sub>0</sub> tOPE spectral integrals involving a set of linearly independent weights are linearly independent, and hence have a non-singular covariance matrix.
   Results obtained from the associated standard χ<sup>2</sup> tOPE fits in the literature are thus subject to the results of the Redundancy Theorem. (More on this below.)
- In contrast, for the block-diagonal, multi-weight, multi- $s_0$  DV-strategy fits in the literature, which cannot, even in principle, be of the standard  $\chi^2$  form,
  - the conclusions of the Redundancy Theorem do not hold\*
  - the multi-weight, multi-s<sub>0</sub> nature of the fit and differing s<sub>0</sub>- and weightdependences of the different theory contributions lead to highly non-trivial self-consistency checks on the form chosen for the theory representations (More on this below.)

\*A claim to the contrary by Pich and Rodrigues-Sanchez rests on the (unexamined) assumption that the proof for the standard  $\chi^2$  fit case (which is valid) carries over to the case of non- $\chi^2$  block-diagonal fits, which do not satisfy the conditions on which that proof is based, and for which it turns out the theorem does not hold

#### NON-REDUNDANCY OF MULTI-WEIGHT, MULTI- $s_0$ BLOCK-DIAGONAL DV-STRATEGY FITS

A two-weight,  $w_0(x) = 1$ ,  $w_2(x) = 1 - x^2$ , V-channel block-diagonal fit example

- First weight fit:  $\alpha_s$ ,  $\alpha_V$ ,  $\beta_V$ ,  $\gamma_V$ ,  $\delta_V$  from a multi- $s_0$ , single-weight  $w_0$  standard  $\chi^2$  fit
- In QCD, the  $w_2$  FESR adds one further NP theory parameter,  $C_6$ , in the form  $C_6/s_0^3$
- Consider also an alternate, non-QCD NP form,  $C'/s_0^5$ , on the  $w_2$  theory side
- Adding the  $w_2$  FESR at a single  $s_0$ , the two-weight  $w_0 \& w_2 \chi^2$  fit returns unchanged  $\alpha_s$ ,  $\alpha_V$ ,  $\beta_V$ ,  $\gamma_V$ ,  $\delta_V$ , regardless of the  $w_2$  form used [as per the Redundancy Theorem]
- In contrast:  $w_0$  and  $w_0 \& w_2$  fits with  $w_2$  FESR at the same multi- $s_0 > s_0^{min}$  set as  $w_0$ :



# • $\alpha_s(m_{ au}^2)$ as a function of $s_0^{min}$

- > **Blue:** from the single-weight  $w_0$  fit
- > Green: from the  $w_0 \& w_2$  fit with QCD  $w_2$  form
- **Red:** from the  $w_0 \& w_2$  fit with non-QCD  $w_2$  form
- Bue-red differences: non-applicability of the Redundancy Theorem for block-diagonal non-χ<sup>2</sup> fits
- Close (but not exact) blue-green agreement: (i) non-redundancy and (ii) non-trivial self-consistency tests of the use of the QCD NP form from adding the w<sub>2</sub> FESR also a multiple s<sub>0</sub>

#### **REDUNDANCY OF MULTI-WEIGHT, SINGLE-** $s_0$ **tOPE STRATEGY FITS (1)**

- OPAL, ALEPH, Baikov et al., Pich et al.: classic *km=00, 10, 11, 12, 13* spectral weights, V and V+A channel fits with  $s_0 = m_{\tau}^2$ ,  $C_{D>8} = 0$  tOPE truncation
- Pich and Rodrigues-Sanchez '16/'22 (PRS), three 5-weight tOPE fits, ALEPH 2013 V+A data, omitting last two large-error bins, hence  $s_0 = 2.8 \text{ GeV}^2$ :

\* *km=00, 10, 11, 12, 13* spectral weights,  $C_{D>8}=0$  tOPE truncation

- ✤ Modified km=00, 10, 11, 12, 13 spectral weights,  $\hat{w}_{km}(x)=(1-x)^{k+2}x^m$ ,  $C_{D>8}=0$  tOPE truncation
- \* m=1,...,5 "optimal weights",  $w^{(2m)}(x) = 1 (m+2)x^{m+1} + (m+1)x^{m+2}$ ,  $C_{D>10}=0$  tOPE truncation
- Technical note: basis transformations: A multi-weight  $\{W_k\}$  fit, and fit with alternate weight basis  $\{W'_k\}, W_k(x) = \sum_m A_{km} W'_m(x)$  and equivalently transformed minimizer  $(Q')^2(\vec{\eta}) = [\vec{d}' \cdot \vec{t}'(\vec{\eta})']^T (\tilde{C}^{-1})' [\vec{d}' \cdot \vec{t}(\vec{\eta})'], (\tilde{C}^{-1})' = A^T \tilde{C}^{-1} A$ yield identical results for the fit parameters  $\{\eta_m\}$

## **REDUNDANCY OF MULTI-WEIGHT, SINGLE-** $s_0$ **tOPE STRATEGY FITS (2)**

Post-redundancy-theorem revisions of the conventional understanding of tOPE output (for definiteness, starting from the classic km spectral weight example)

#### **Conventional understanding**

- $\alpha_s$  largely from lowest degree *km=00* FESR
- $C_{4,6,8}$  from remaining, higher degree FESRs
- Small central condensate values support OPE truncation at D=8
- Similar α<sub>s</sub> from modified km spectral weight and (2m) optimal weight analyses represent non-trivial tests "because of their very different dependence on NP condensate contributions"

#### **Post-redundancy-theorem revisions**

- α<sub>s</sub> from FESRs of two highest degree combinations, with only perturbative contributions on the theory sides
- $\alpha_s$  of all three 5-weight PRS tOPE fits from  $w^{(23)}$ ,  $w^{(24)}$ ,  $w^{(25)}$  FESR combinations
- (Redundantly) determined C<sub>D</sub> from lowerdegree-weight FESRs, and play no role in the corresponding α<sub>s</sub> determinations
- Generic very large  $C_D$  uncertainties from even small NP contaminations in the perturbative-only  $\alpha_s$  determinations

## **REDUNDANCY OF MULTI-WEIGHT, SINGLE-** $s_0$ **tOPE STRATEGY FITS (3)**

A few details of the classic km spectral weight analysis case (tOPE truncation  $C_{D>8}=0$ )

• Alternate basis: 
$$\hat{w}_{1}(x) = 1 - \frac{15}{2}x^{4} + 12x^{5} - \frac{17}{2}x^{6} + 3x^{7} = \frac{3}{2}w^{(23)}(x) - w^{(24)}(x) + \frac{1}{2}w^{(25)}(x),$$
  
 $\hat{w}_{2}(s) = 1 - 9x^{4} + 12x^{5} - 4x^{6} = \frac{9}{5}w^{(22)}(x) - \frac{4}{5}w^{(24)}(x),$   
 $\hat{w}_{2}(s) = 1 - 9x^{4} + 12x^{5} - 4x^{6} = \frac{9}{5}w^{(22)}(x) - \frac{4}{5}w^{(24)}(x),$   
 $\hat{w}_{3}(x) = 1 + 2x^{3} - 9x^{4} + 6x^{5} = -\frac{1}{2}w^{(22)}(x) + \frac{3}{2}w^{(23)}(x),$   
 $\hat{w}_{4}(s) = 1 - 3x^{2} + 2x^{3} = w^{(21)}(x),$   
 $\hat{w}_{4}(s) = 1 - 3x^{2} + 2x^{3} = w^{(21)}(x),$   
 $\hat{w}_{5}(x) = 1 + \frac{2}{3}x - \frac{23}{3}x^{4} + 6x^{5}$   
 $= -\frac{1}{3}w^{(20)}(x) - \frac{1}{9}w^{(21)}(x) - \frac{1}{18}w^{(22)}(x) + \frac{3}{2}w^{(23)}(x).$   
 $w_{10}(x) = \frac{\hat{w}_{4}(x) ,$   
 $w_{10}(x) = \frac{3}{2}\hat{w}_{3}(x) + \hat{w}_{4}(x) - \frac{3}{2}\hat{w}_{5}(x) ,$   
 $w_{11}(x) = -\frac{11}{6}\hat{w}_{3}(x) + \frac{1}{3}\hat{w}_{4}(x) + \frac{3}{2}\hat{w}_{5}(x) ,$   
 $w_{12}(x) = \frac{1}{2}\hat{w}_{2}(x) - \frac{1}{6}\hat{w}_{3}(x) - \frac{1}{3}\hat{w}_{4}(x) ,$   
 $w_{12}(x) = -\frac{2}{3}\hat{w}_{1}(x) + \frac{1}{6}\hat{w}_{2}(x) + \frac{1}{2}\hat{w}_{3}(x) .$ 

## • With $C_{D>8}$ =0 tOPE truncation:

★ No theory-side  $C_D$  contributions to  $\hat{w}_{1,2}$  FESRs  $\Rightarrow$  combined  $\hat{w}_1 \& \hat{w}_2$  fit fixes  $\alpha_s$ Add  $\hat{w}_1 \in SR$  (theory side:  $\alpha_1$  and  $C_2$ ):  $\alpha_2$  unchanged redundant determination of  $C_2$ 

Add  $\hat{w}_3$  FESR (theory side:  $\alpha_s$  and  $C_8$ ):  $\alpha_s$  unchanged, redundant determination of  $C_8$ 

- Add  $\hat{w}_4$  (theory side:  $\alpha_s$ ,  $C_8$  and  $C_6$ ):  $\alpha_s$ ,  $C_8$  unchanged, redundant determination of  $C_6$
- Add  $\hat{w}_5$  (theory side:  $\alpha_s$ ,  $C_4$ ):  $\alpha_s$  unchanged, redundant determination of  $C_4$

#### **REDUNDANCY OF MULTI-WEIGHT, SINGLE-** $s_0$ **tOPE STRATEGY FITS (4)**

Details of the modified ( $\hat{w}_{km}$ ) spectral weight analysis case (tOPE truncation  $C_{D>8}$ =0)

• Alternate basis:  $\{w^{(2m)}(x), m = 0, ..., 4\}$  related to original  $\{\widehat{w}_{km}(x)\}$  basis by

$$\begin{split} \widehat{w}_{00} &(\mathbf{x}) = w^{(20)}(\mathbf{x}) \\ \widehat{w}_{10} &(\mathbf{x}) = [3w^{(20)}(\mathbf{x}) - w^{(21)}(\mathbf{x})]/2 \\ \widehat{w}_{11} &(\mathbf{x}) = [-3w^{(20)}(\mathbf{x}) + 5w^{(21)}(\mathbf{x}) - 2w^{(22)}(\mathbf{x})]/6 \\ \widehat{w}_{12} &(\mathbf{x}) = [-4w^{(21)}(\mathbf{x}) + 7w^{(22)}(\mathbf{x}) - 3w^{(23)}(\mathbf{x})]/12 \\ \widehat{w}_{13} &(\mathbf{x}) = [-5w^{(22)}(\mathbf{x}) + 9w^{(23)}(\mathbf{x}) - 4w^{(25)}(\mathbf{x})]/20 \end{split}$$

 $w^{(20)}(x) = 1 - 2x + x^{2}$   $w^{(21)}(x) = 1 - 3x^{2} + 2x^{3}$   $w^{(22)}(x) = 1 - 4x^{3} + 3x^{4}$   $w^{(23)}(x) = 1 - 5x^{4} + 4x^{5}$  $w^{(24)}(x) = 1 - 6x^{5} + 5x^{6}$ 

## • With $C_{D>8}$ =0 tOPE truncation:

★ No theory-side w<sup>(23)</sup>, w<sup>(24)</sup> FESR C<sub>D</sub> contributions ⇒ combined 2-weight fit fixes α<sub>s</sub>
★ Add w<sup>(22)</sup> (theory side: α<sub>s</sub>, C<sub>8</sub>): α<sub>s</sub> unchanged, redundant determination of C<sub>8</sub>
★ Add w<sup>(21)</sup> (theory side: α<sub>s</sub>, C<sub>8</sub>, C<sub>6</sub>): α<sub>s</sub>, C<sub>8</sub> unchanged, redundant determination of C<sub>6</sub>
★ Add w<sup>(20)</sup> (theory side: α<sub>s</sub>, C<sub>4</sub>, C<sub>6</sub>): α<sub>s</sub>, C<sub>6</sub> unchanged, redundant determination of C<sub>4</sub>

## **REDUNDANCY OF MULTI-WEIGHT, SINGLE-** $s_0$ **tOPE STRATEGY FITS (5)**

Details of the  $w^{(2m)}$  optimal weight analysis case (with  $w_{km}$  tOPE truncation  $C_{D>8}$ =0)

• The { $w^{(2m)}(x)$ , m=1,...,5} basis:

$$w^{(21)}(x) = 1 - 3x^{2} + 2x^{3}$$
  

$$w^{(22)}(x) = 1 - 4x^{3} + 3x^{4}$$
  

$$w^{(23)}(x) = 1 - 5x^{4} + 4x^{5}$$
  

$$w^{(24)}(x) = 1 - 6x^{5} + 5x^{6}$$
  

$$w^{(25)}(x) = 1 - 7x^{6} + 6x^{7}$$

• With  $C_{D>8}$ =0 tOPE truncation:

★ No theory-side w<sup>(23)</sup>, w<sup>(24)</sup>, w<sup>(25)</sup> C<sub>D</sub> contributions ⇒ combined 3-weight fit fixes α<sub>s</sub>
 ★ Add w<sup>(22)</sup> (theory side: α<sub>s</sub>, C<sub>8</sub>): α<sub>s</sub> unchanged, redundant determination of C<sub>8</sub>
 ★ Add w<sup>(21)</sup> (theory side: α<sub>s</sub>, C<sub>8</sub>, C<sub>6</sub>): α<sub>s</sub>, C<sub>8</sub> unchanged, redundant determination of C<sub>6</sub>

#### **REDUNDANCY THEOREM ILLUSTRATION: tOPE OPTIMAL WEIGHT FIT CASE**



- Results:  $w_{24}, w_{25}: \alpha_s = 0.3168(27), \chi^2 = 3.06933$   $w_{23}, \dots w_{25}: \alpha_s = 0.3168(27), \chi^2 = 3.06933, C_{10} = -0.0041(25)$   $w_{22}, \dots w_{25}: \alpha_s = 0.3168(27), \chi^2 = 3.06933, C_{10} = -0.0041(25), C_8 = 0.0016(14)$  $w_{21}, \dots w_{25}: \alpha_s = 0.3168(27), \chi^2 = 3.06933, C_{10} = -0.0041(25), C_8 = 0.0016(14), C_6 = 0.00054(53)$
- $\alpha_s(m_{\tau}^2)$  purely from perturbation theory, *no* effect from OPE; OPE coefficients not fitted Can also get  $\alpha_s(m_{\tau}^2)$  from *only*  $w_{25}$  (not a fit!):  $\alpha_s = 0.3228(43)$  tests only pert.th., not the OPE!

# $lpha_s(m_{ au}^2)$ FROM THE V+A-CHANNEL tOPE OPTIMAL WEIGHT FIT ANALYSIS

From (i) 3-weight  $w^{(23)}$ ,  $w^{(24)}$ ,  $w^{(25)}$  fit (PT only), redundant  $C_8$ ,  $C_6$ ; (ii) 2-weight  $w^{(24)}$ ,  $w^{(25)}$  fit (PT only), redundant  $C_{10}$ ,  $C_8$ ,  $C_6$ ; (iii) single-weight  $w^{(25)}$  determination (PT only)

- s<sub>0</sub>=2.8 GeV<sup>2</sup> (as in PRS 2016/22):
   ★ 3-weight fit: 0.3125(23)<sub>ex</sub>, χ<sup>2</sup>/dof =11.6/2 [p-value 0.3%]
   ★ 2-weight fit: 0.3168(22)<sub>ex</sub>, χ<sup>2</sup>/dof =3.1 [p-value 7.8%]
   ★ w<sup>(25)</sup> determination: 0.3228(43)<sub>ex</sub> [(25) -3-weight difference: 0.0103(37)<sub>ex</sub> (10)<sub>th</sub>]
  - Non-trivial tensions/self-consistency/fit quality issues
    - \* If due to propagating NP contamination of PT-only  $\alpha_s$  determination will show up as increasing discrepancy at lower  $s_0$
    - ✤ ⇒ Consider lower  $s_0$  still in range where spectral data consistent with neglect of DVs (for ALEPH data,  $s_0 = 2.6 \ GeV^2$  or 2.4  $GeV^2$

# $lpha_s(m_{ au}^2)$ FROM THE V+A-CHANNEL tOPE OPTIMAL WEIGHT FIT ANALYSIS

From (i) 3-weight  $w^{(23)}$ ,  $w^{(24)}$ ,  $w^{(25)}$  fit (PT only), redundant  $C_8$ ,  $C_6$ ; (ii) 2-weight  $w^{(24)}$ ,  $w^{(25)}$  fit (PT only), redundant  $C_{10}$ ,  $C_8$ ,  $C_6$ ; (iii) single-weight  $w^{(25)}$  determination (PT only)

s<sub>0</sub>=2.8 GeV<sup>2</sup> (as in PRS 2016/22):
 ★ 3-weight fit: 0.3125(23)<sub>ex</sub>, χ<sup>2</sup>/dof =11.6/2 [p-value 0.3%]
 ★ 2-weight fit: 0.3168(22)<sub>ex</sub>, χ<sup>2</sup>/dof =3.1 [p-value 7.8%]

\*  $w^{(25)}$  determination: 0.3228(43)<sub>ex</sub> [(25) -3-weight difference: 0.0103(37)<sub>ex</sub> (10)<sub>th</sub>]

- $s_0=2.6 \ GeV^2$  [experimental  $\rho_{DV}(s)$  compatible with 0 within errors] • 3-weight fit:  $0.3100(22)_{ex}$ ,  $\chi^2/dof = 18.7/2$  [p-value ~0.0001] • 2-weight fit:  $0.3153(26)_{ex}$ ,  $\chi^2/dof = 4.5$  [p-value 3.4%] •  $w^{(25)}$  determination:  $0.3202(34)_{ex}$  [(25) -3-weight difference:  $0.0102(27)_{ex}$  (10)<sub>th</sub>]
- $s_0=2.4 \ GeV^2$  [experimental  $\rho_{DV}(s)$  compatible with 0 within errors] • 3-weight fit: 0.3064(22)<sub>ex</sub>,  $\chi^2$ /dof =31.9/2 [p-value ~10<sup>-7</sup>] • 2-weight fit: 0.3136(28)<sub>ex</sub>,  $\chi^2$ /dof =6.3 [p-value 1.2%] •  $w^{(25)}$  determination: 0.3178(30)<sub>ex</sub> [(25) -3-weight difference: 0.0114(22)<sub>ex</sub> (11)<sub>th</sub>]
  - Deterioration with decreasing s<sub>0</sub> as expected if NP contamination present

#### $\alpha_S$ PT-ONLY NP-CONTAMINATION-INDUCED UNCERTAINTY IMPACT ON tOPE $C_D$

E.g., tOPE optimal weight  $C_{D>10}$ =0 truncation analysis ( $\alpha_s$  from w<sup>(24)</sup> &w<sup>(25)</sup> part of fit)

- $\bar{\alpha}_s \equiv \text{result for } \alpha_s \text{ from underling combined } w^{(24)} \& w^{(25)} \text{ fit}$
- Addition of  $w^{(23)}$  FESR yields (redundant)  $C_{10}$  determination,  $\overline{C}_{10}$ :

 $\bar{C}_{10} = -[s_0^5/5] \left[ I_{exp}^{(23)}(s_0) - I_{th;D=0}^{(23)}(s_0; \bar{\alpha}_s) \right]$ 

- Strong D=0 dominance of (23) FESR theory side  $\Rightarrow$  strong cancellation on RHS, hence strong sensitivity to any NP contamination in w<sup>(24)</sup> & w<sup>(25)</sup>  $\alpha_s$  determination
- Similarly: NP contamination of  $\bar{\alpha}_s$ ,  $\bar{C}_{10} \Rightarrow$  strongly enhanced NP contamination of (redundant)  $\bar{C}_8$  determination from (22) FESR; NP  $\bar{\alpha}_s$ ,  $\bar{C}_{10}$ ,  $\bar{C}_8$  contamination  $\Rightarrow$  strongly enhanced NP contamination of (redundant)  $\bar{C}_6$  determination from (21) FESR

$\overline{\alpha}_{s}$	$\overline{C}_{10}$ [GeV <sup>10</sup> ]	$\overline{C}_8$ [GeV <sup>8</sup> ]	$\overline{C}_6$ [GeV <sup>6</sup> ]	
0.3168	-0.0041(41)	0.0016(26)	0.0005(12)	[tOPE fit results]
0.3077	-0.0151(41)	-0.0093(26)	-0.0036(12)	
0.3228	0.0033(41)	-0.0037(26)	0.0033(12)	

## tOPE c.f. DV-STRATEGY V CHANNEL OPTIMAL-WEIGHT ANALYSES

# $s_0$ =2.882 GeV<sup>2</sup> tOPE analysis

- Sizeable PT-only  $\alpha_s(m_\tau^2)$  discrepancies
- Discrepancies so large no combined 3weight fit possible
- Even doable 2-weight  $w^{(24)}$  &  $w^{(25)}$  fit yields disastrous  $\chi^2$ /dof=43.1

## Multi-weight, multi- $s_0$ DV-strategy fits

- All  $s_0 > s_0^{min}$ , variable  $s_0^{min}$
- [e.g.,  $w^{(23)}$ ,  $w^{(25)}$  difference 0.0142(16)]  $w_0(x) = 1$ ,  $w_2(x) = 1 x^2$ ,  $w_3(x) = 1 3x^2 + 2$  $x^3, w_3(x) = 1 - 2x^2 + x^4$ 
  - 1-, 2- and 3-weight fits, all including  $w_0$
  - $\alpha_s$ , DV parameters in all;  $C_6$  in  $w_2$ ,  $w_3$  and  $w_4$ FESRs, hence non-trivial self-consistency tests (all successful)
  - $\alpha_s^{(3)}(m_\tau^2)$  from 7-point  $s_0^{min}$  stability window:  $0.3077(75) \leftrightarrow \alpha_s^{(5)}(m_Z^2) = 0.1171(10)$

# SUMMARY/CONCLUSIONS

- Multi-weight, single-s<sub>0</sub> tOPE determinations suffer from redundancy-induced issues not quantifiable within the tOPE approach
  - determinations from highest degree weight FESRs with only PT included
  - Iimited self-consistency tests showing significant tensions
  - \* unconstrained (redundant)  $C_D$  determinations with high sensitivity to unidentified NP contamination in the PT-only  $\alpha_s$  determination
- Dramatic breakdown (huge  $\chi^2$ /dof =43.1) in optimal weight V channel tOPE analysis
- V channel DV-strategy analysis with improved  $\rho_V(s)$  in upper part of spectrum from electroproduction+CVC input, in contrast,

Passes internal self-consistency tests

♦ Yields current best τ determination  $\alpha_s^{(3)}(m_\tau^2) = 0.3077(75) \leftrightarrow \alpha_s^{(5)}(m_Z^2) = 0.1171(10)$ 

• Multi-weight, multi-s<sub>0</sub> analyses required to test tOPE OPE truncation and DV omission assumptions for self-consistency, even in analyses assuming DVs negligible

# BACKUP

# **DV-STRATEGY w(x)=1-** $x^2$ **THEORY COMPONENT SELF-CONSISTENCY CHECK**

