

# First Results from FASER at the LHC

XVIth Quark Confinement and the Hadron Spectrum (QCHSC2024)

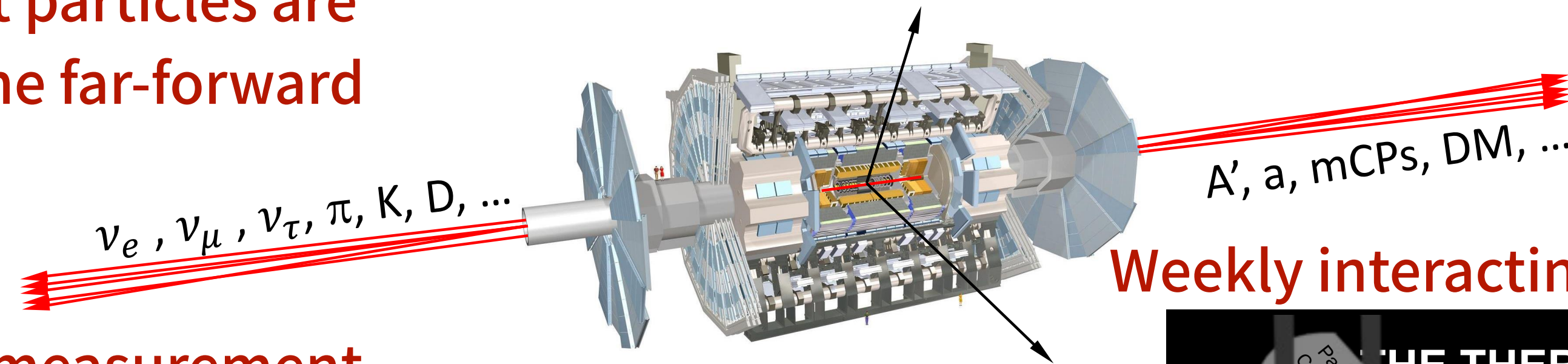
Daiki Hayakawa (Chiba University) on behalf of the FASER collaboration



# Introduction

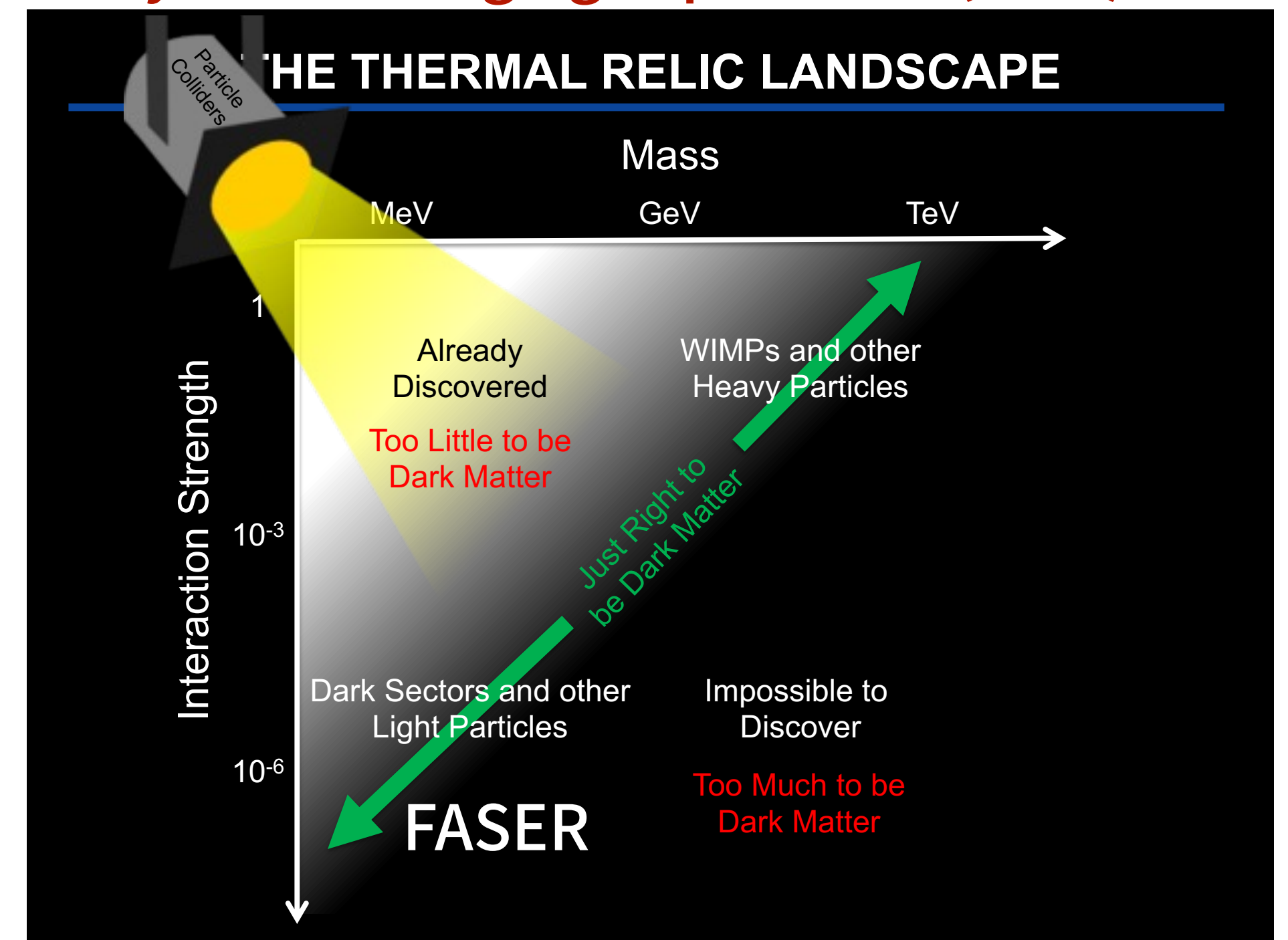
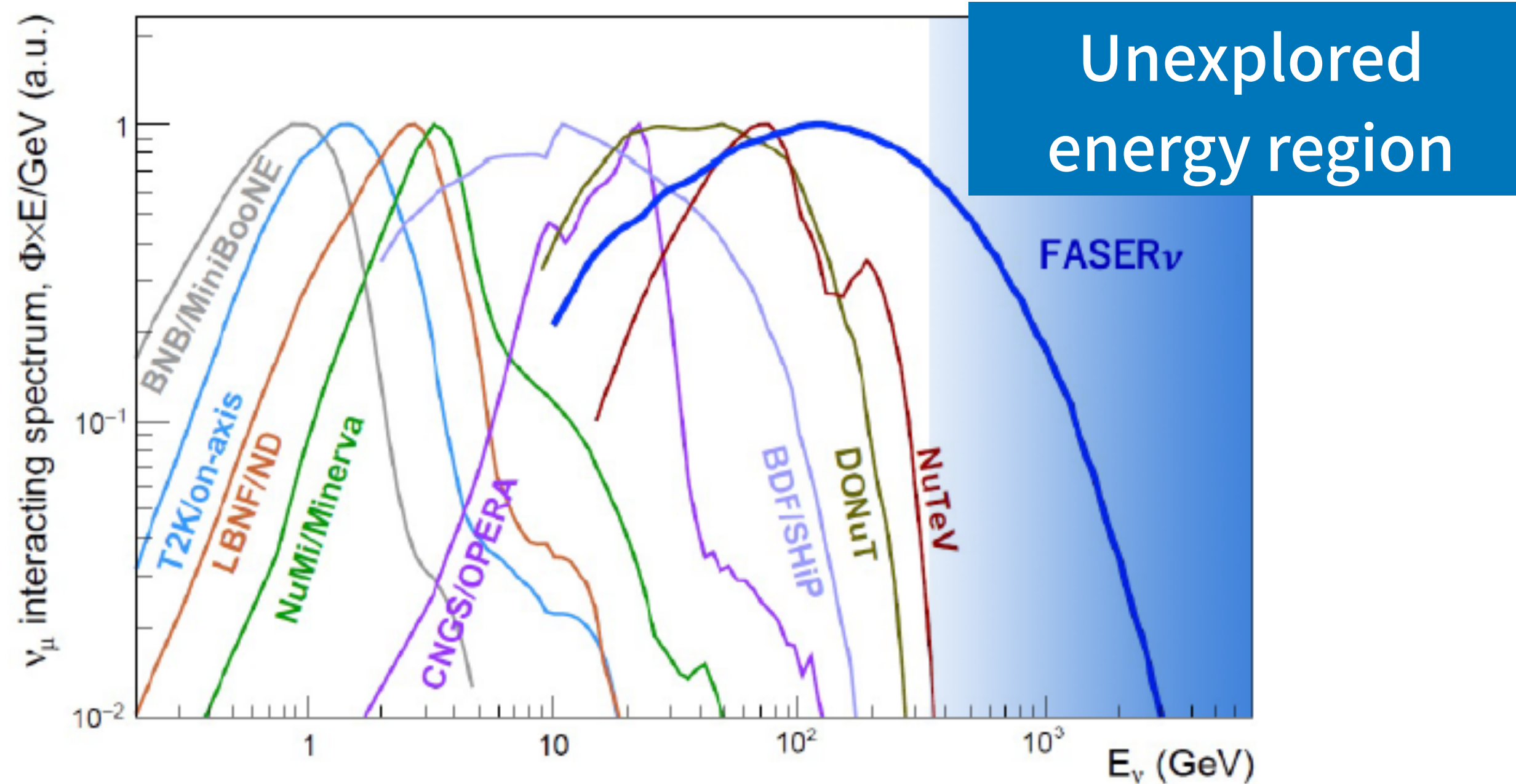
The existing collider detectors (e.g. ATLAS) were designed to find strongly interacting heavy particles  
SUSY, top, Higgs, ...

Energetic light particles are produced in the far-forward direction



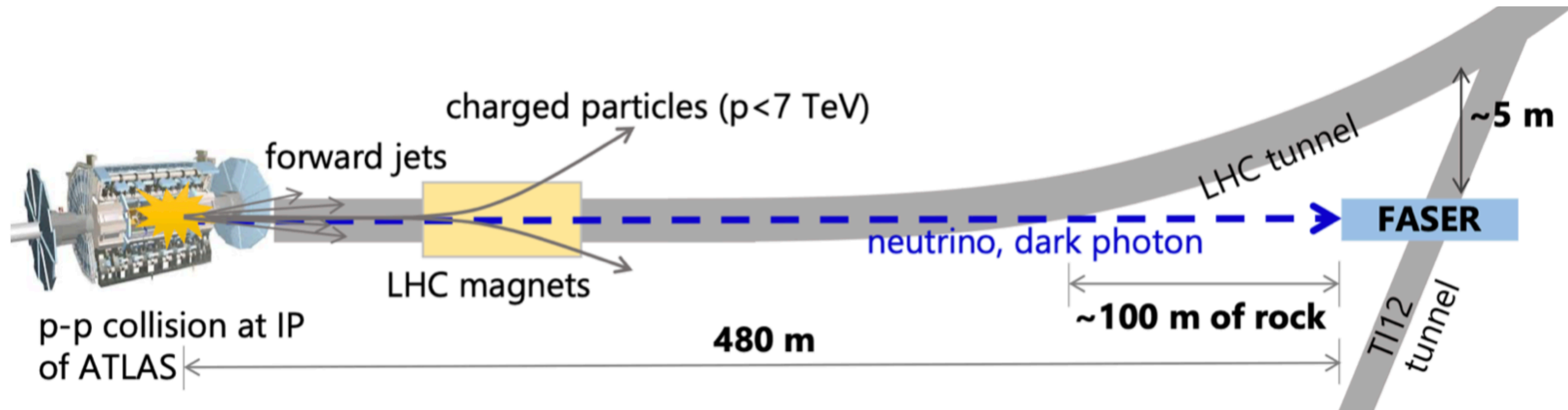
Weekly interacting light particles (BSM) search

TeV neutrino measurement



There is a rich and unexplored physics program in the far forward direction!

# The FASER Experiment



- **Large Hadron Collider (LHC)**: 27 km ring collider, 13.6 TeV proton-proton collisions
- Energetic particles ( $\pi$ , K, D, etc) produced in the **far-forward direction** of the collisions
- **FASER**(ForwArd Search ExpeRiment) is a new experiment at the LHC to search for long-lived BSM particles (**dark photon, axion-like-particles**) and study **TeV neutrinos**

# FASER at T112 Tunnel



# FASER Detector

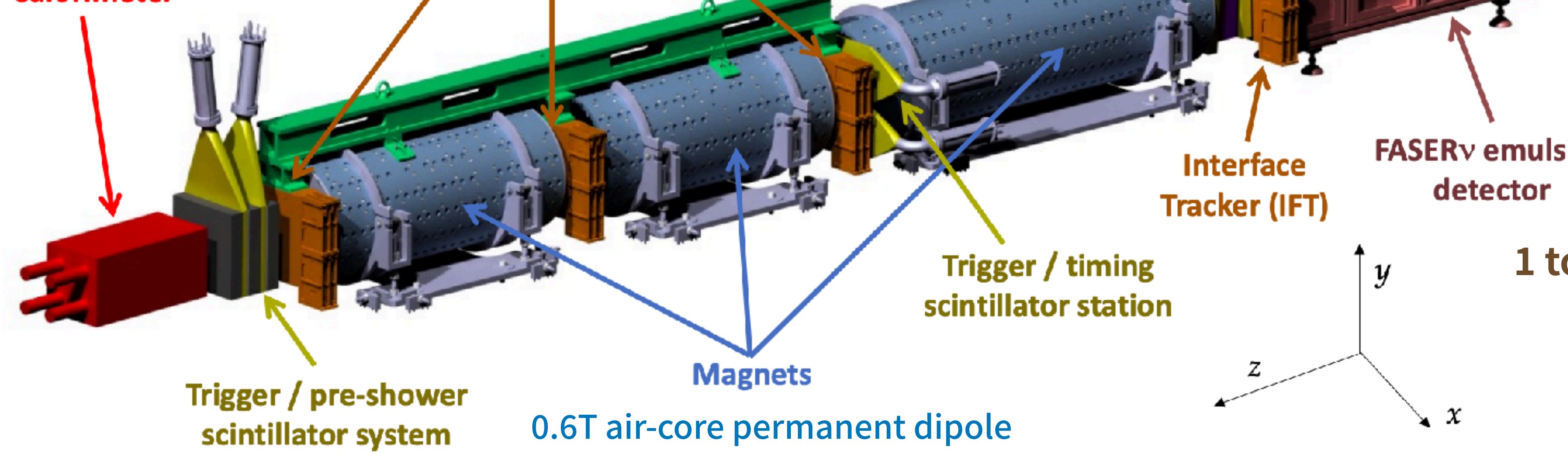
on the beam collision axis

Radius: ~10 cm

Length: ~7 m

4 LHCb calorimeter modules

Electromagnetic  
Calorimeter



2.5m long tracker (96 ATLAS SCTs)

Tracking spectrometer stations

Scintillator  
veto system

1.5m  
Decay volume

Front Scintillator  
veto system

To ATLAS IP

Interface  
Tracker (IFT)

FASERv emulsion  
detector

1 ton

Trigger / timing  
scintillator station

Magnets

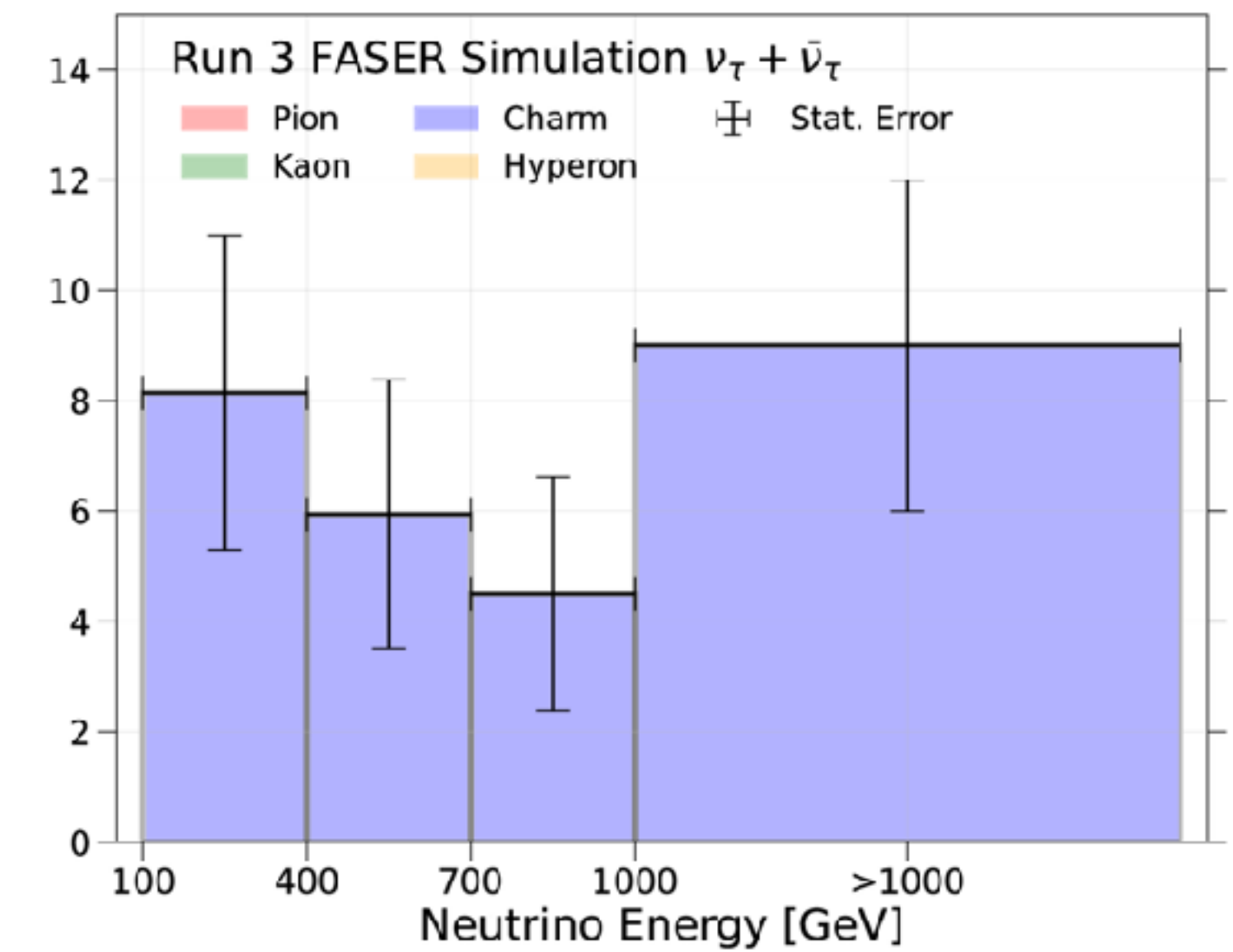
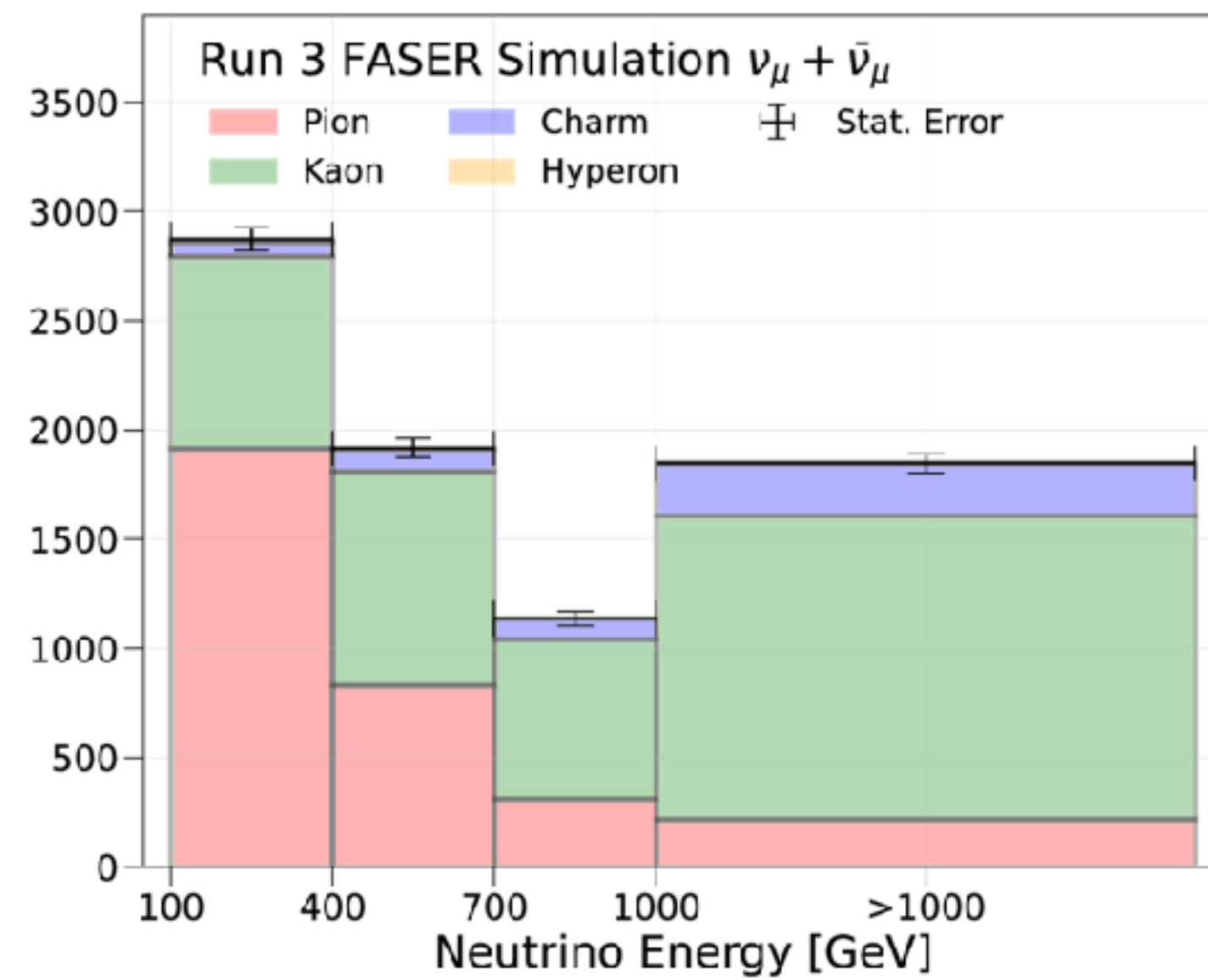
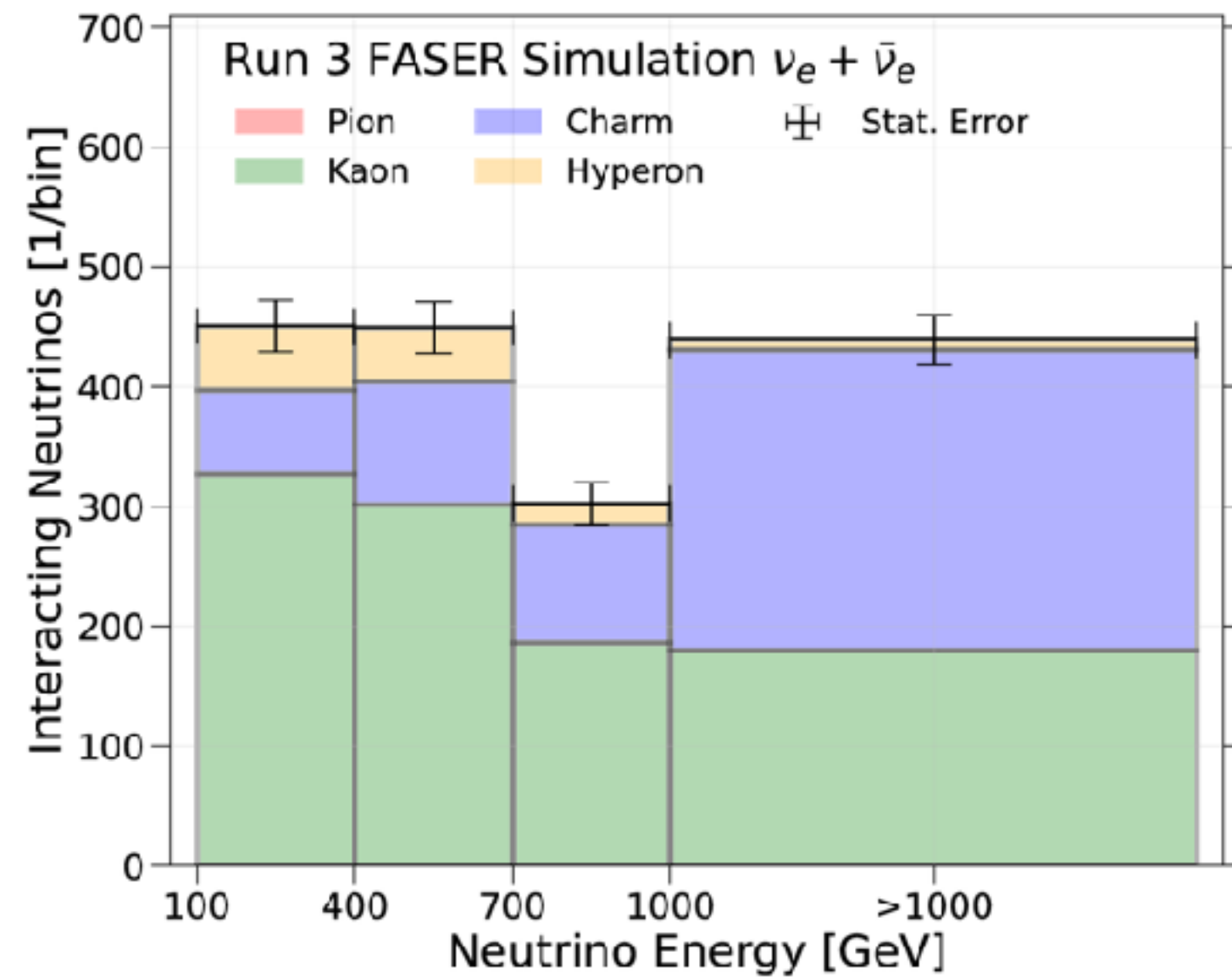
0.6T air-core permanent dipole

Trigger / pre-shower  
scintillator system

Scintillators for veto, trigger, and  
preshower (particle ID)

**FASERv**

# FASER $\nu$ Expected Number of Interactions



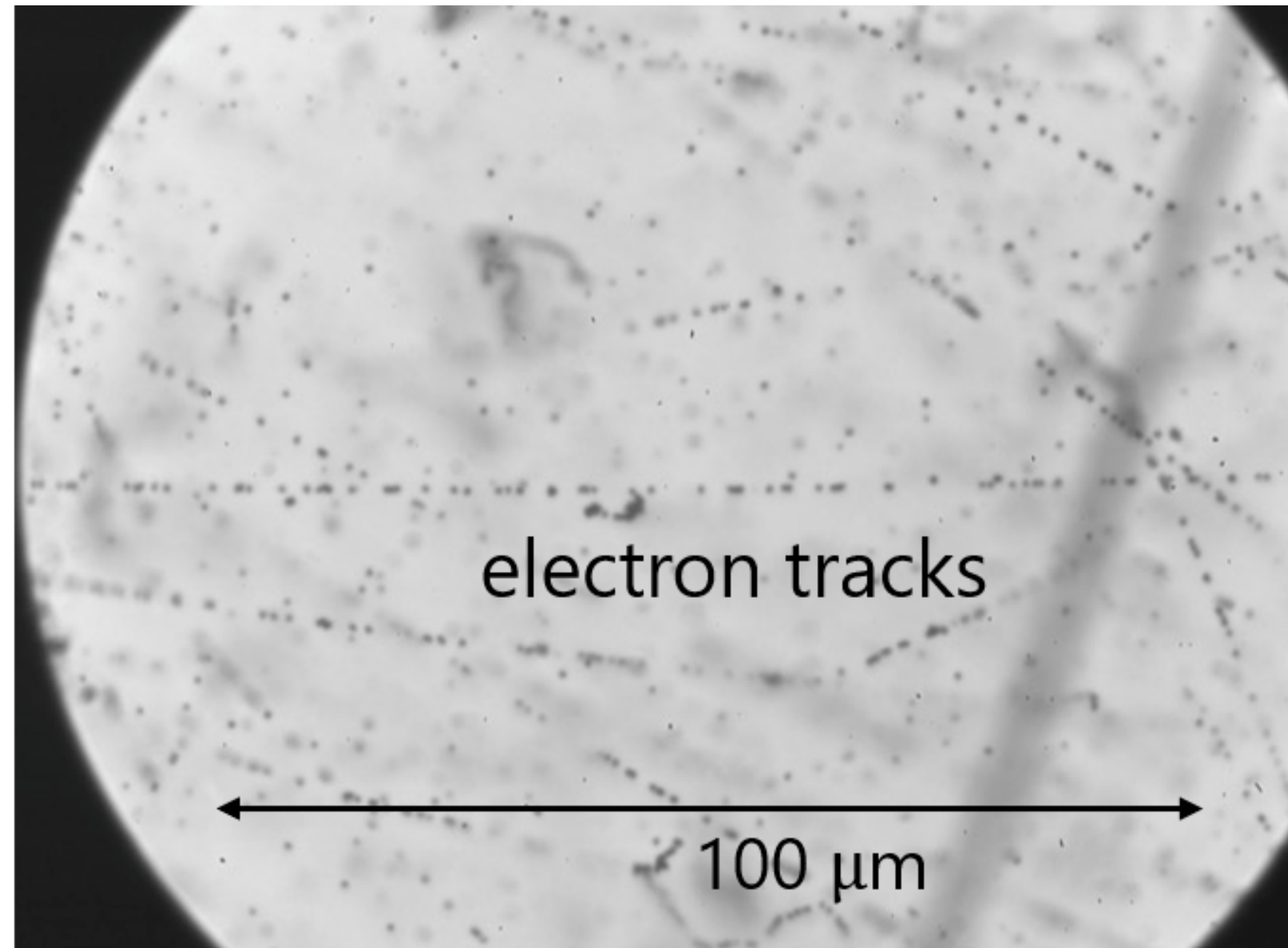
## Expected CC interaction events (250 fb<sup>-1</sup>)

Generators		FASER $\nu$ at Run 3		
light hadrons	charm hadrons	$\nu_e + \bar{\nu}_e$	$\nu_\mu + \bar{\nu}_\mu$	$\nu_\tau + \bar{\nu}_\tau$
EPOS-LHC	–	1149	7996	–
SIBYLL 2.3d	–	1126	7261	–
QGSJET 2.04	–	1181	8126	–
PYTHIAforward	–	1008	7418	–
–	POWHEG Max	1405	1373	76
–	POWHEG	527	511	28
–	POWHEG Min	294	284	16
Combination		$1675^{+911}_{-372}$	$8507^{+992}_{-962}$	$28^{+18}_{-12}$

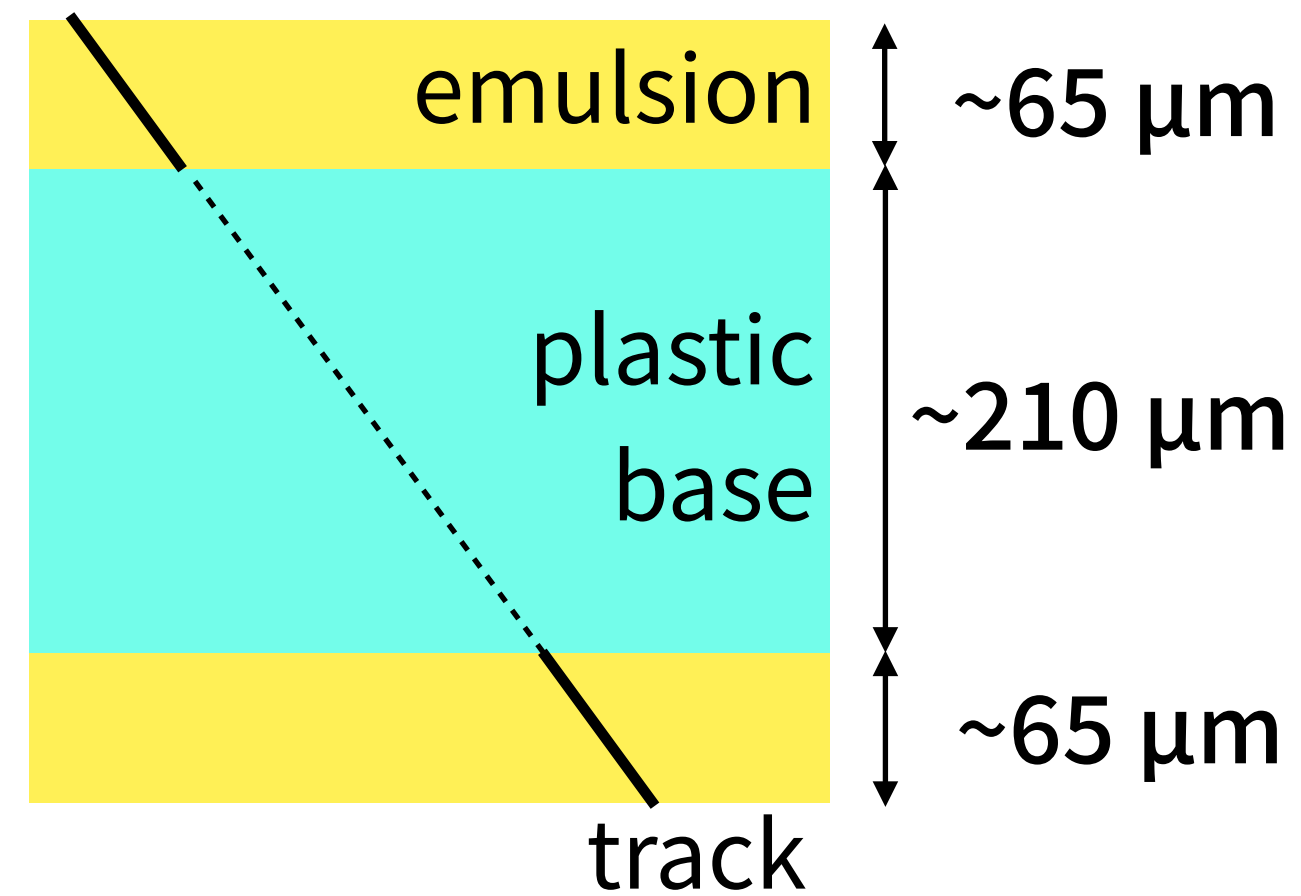
- **~10,000  $\nu$  interactions expected in LHC Run 3 (2022-2025)**

# Emulsion Detector

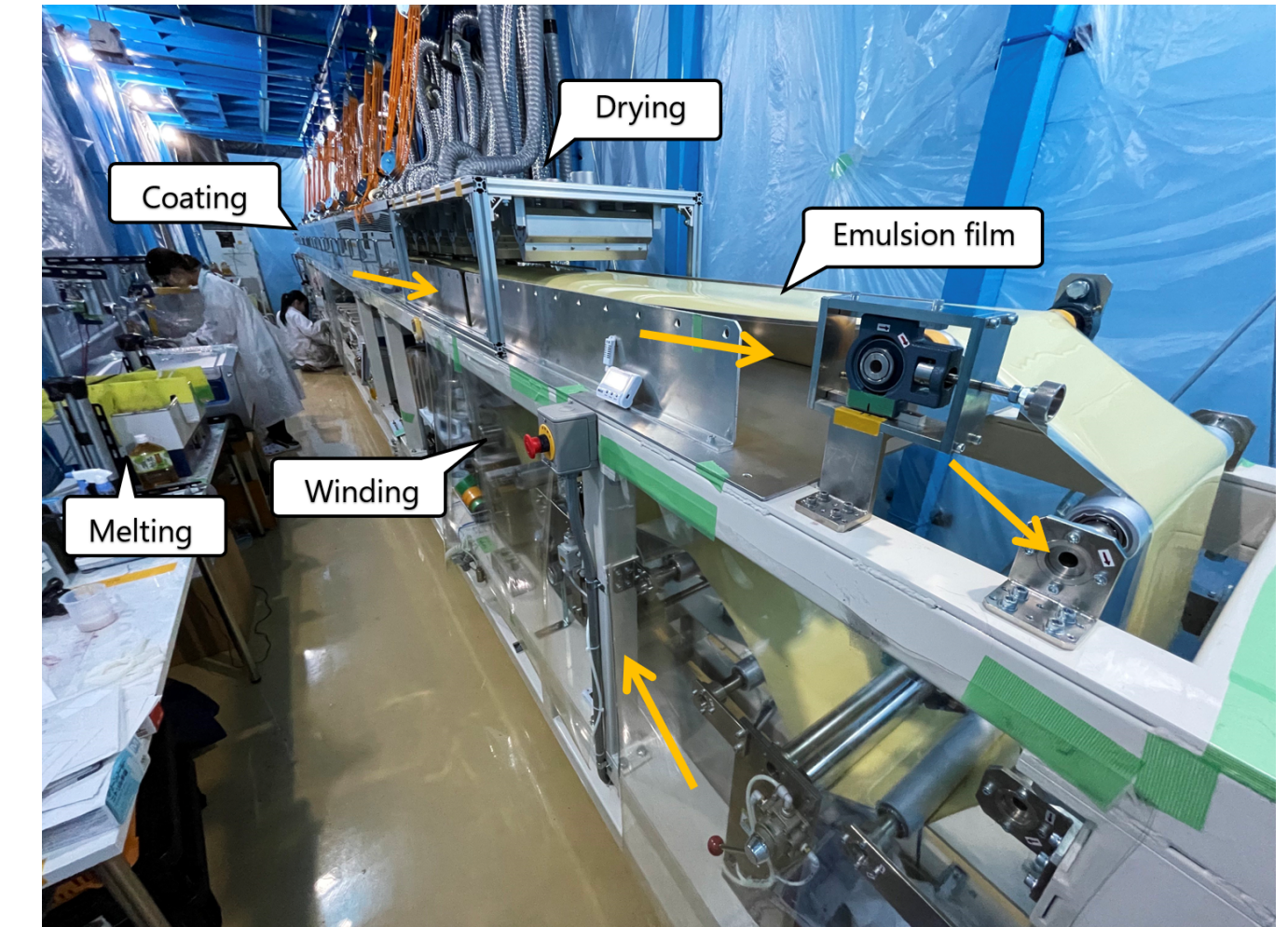
Microscopic view



Double sided emulsion coating



Emulsion film coating system



- 200 nm diameter silver halide crystals dispersed in gelatin
- O(100) nm position resolution can be achieved

- Produced gel and film at Nagoya University

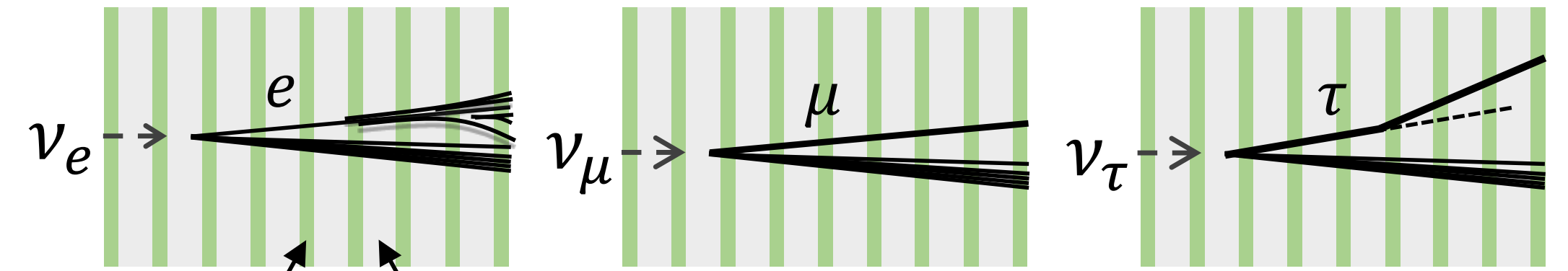


# FASER $\nu$ Neutrino Detector

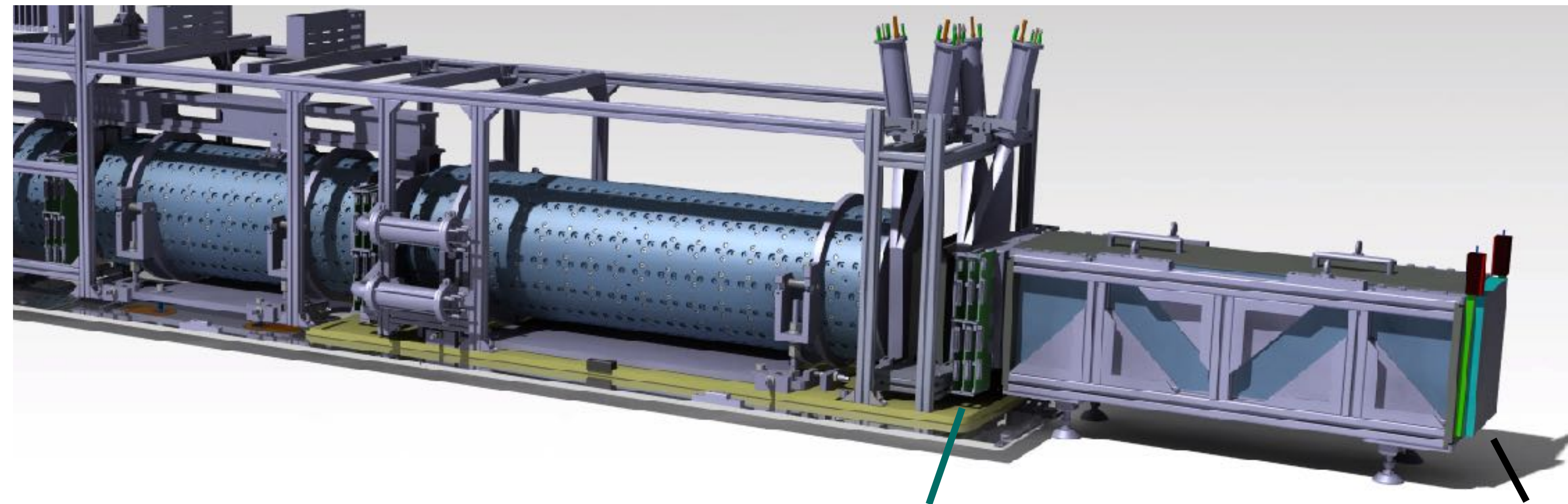
- Emulsion-based detector

- 730  $\times$  [tungsten (1.1 mm thickness) + emulsion film]
- 250 mm  $\times$  300 mm, 1 m long, 1.1 tons (220  $X_0$ )
- Install (exchange) emulsions 3 times a year

- $\nu$  flavor tagging with topological/kinematical informations

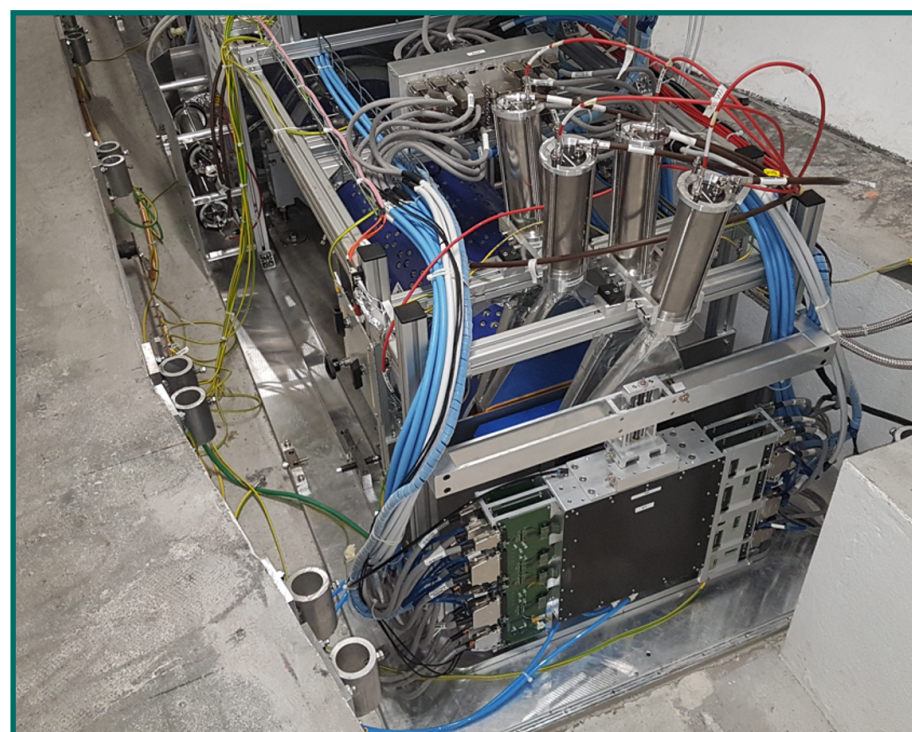


Emulsion film Tungsten plate (1.1 mm)



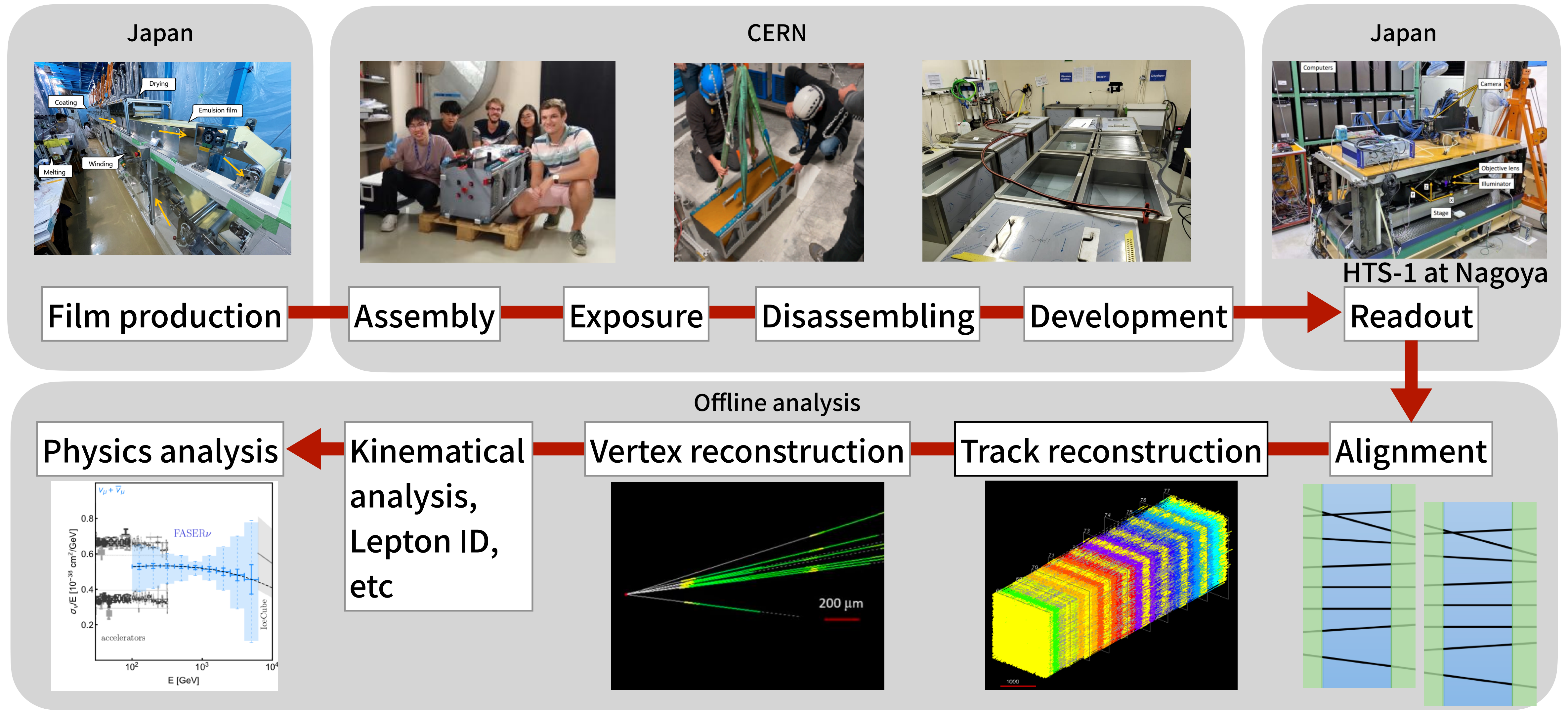
Interface Tracker: 3 layer silicon-strip tracker

Veto scintillator (2 layer)



- Global reconstruction with FASER spectrometer
- Muon charge identification ( $\nu_\mu$ )

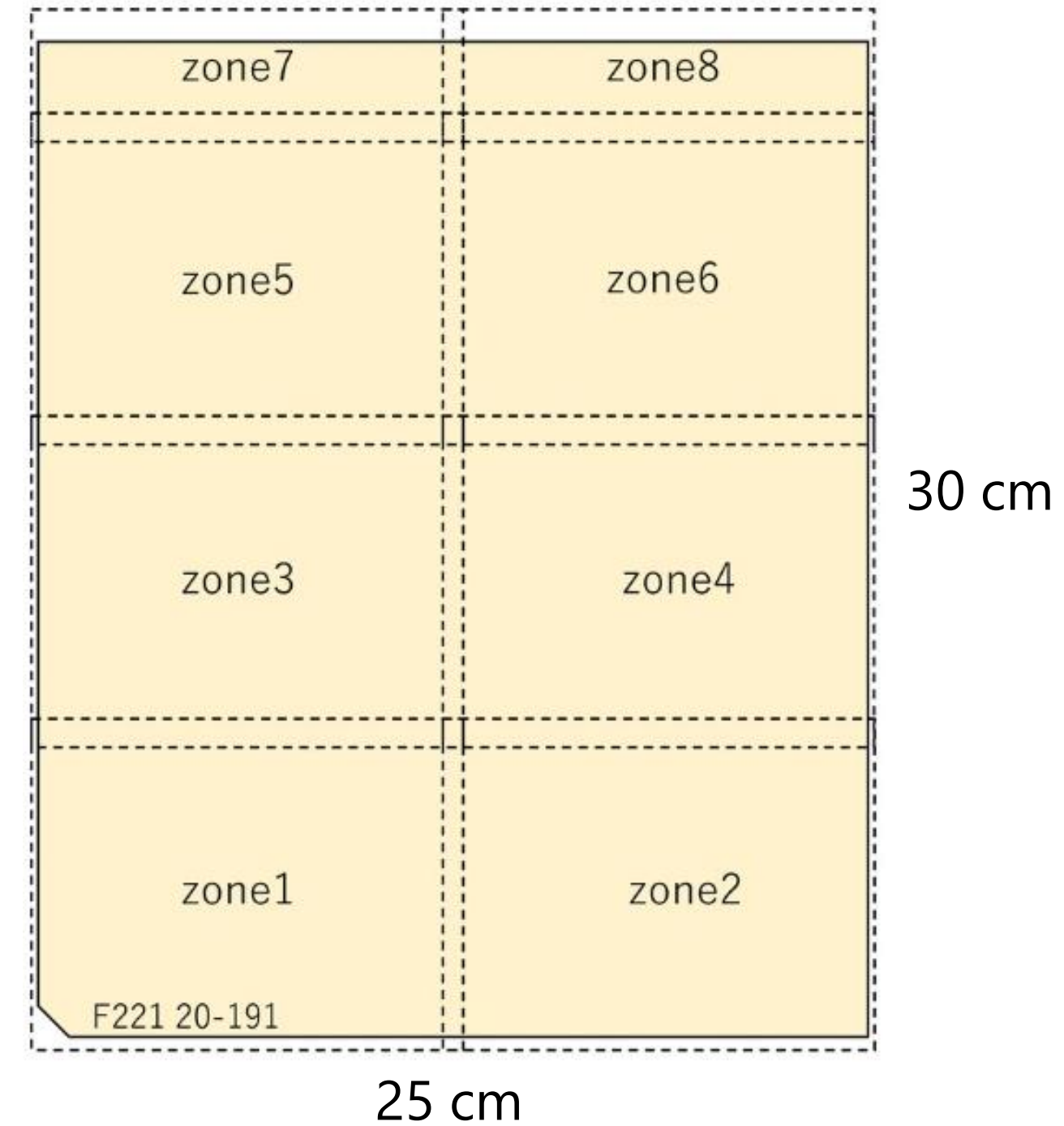
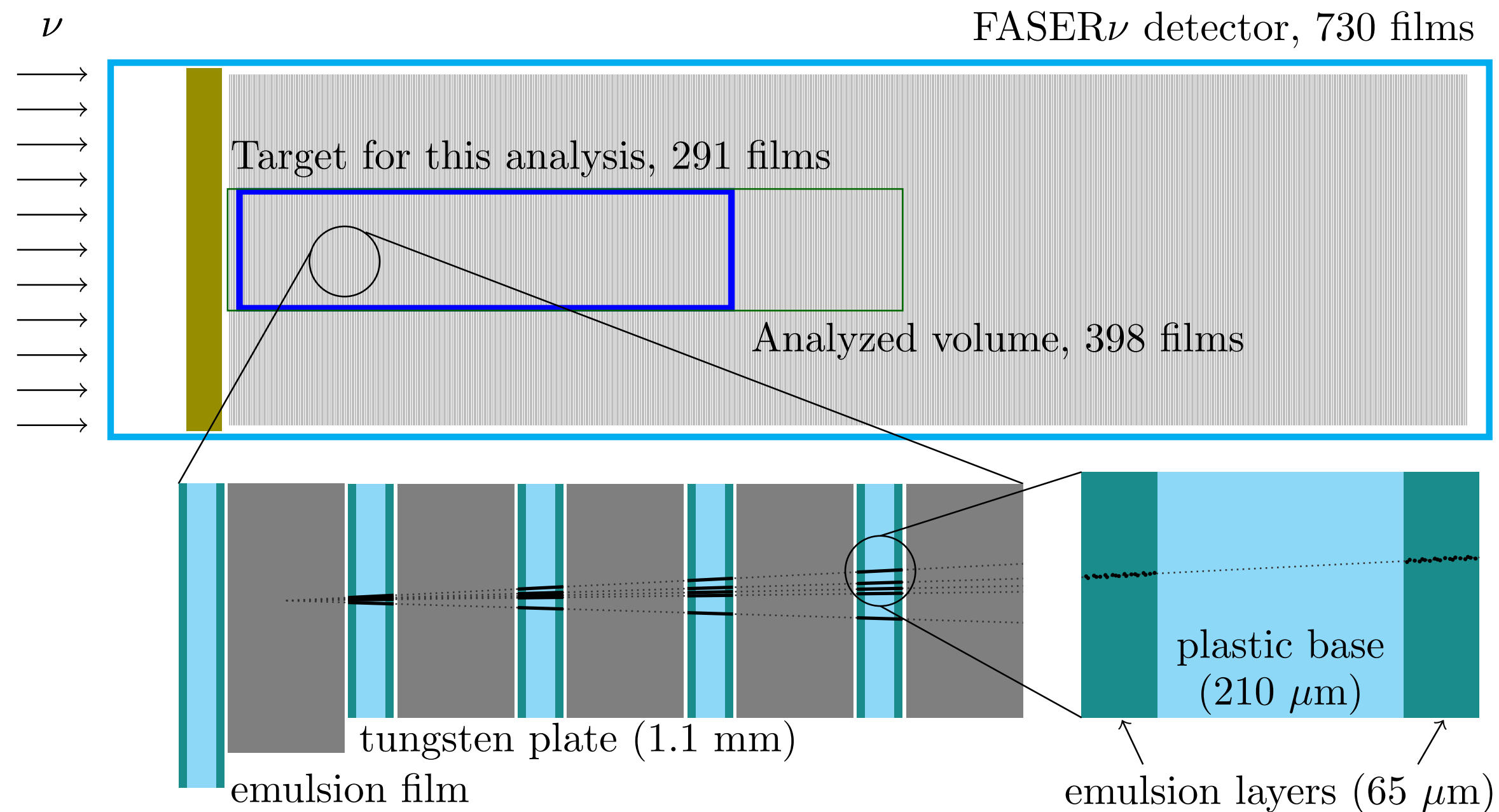
# FASER $\nu$ Emulsion Detector



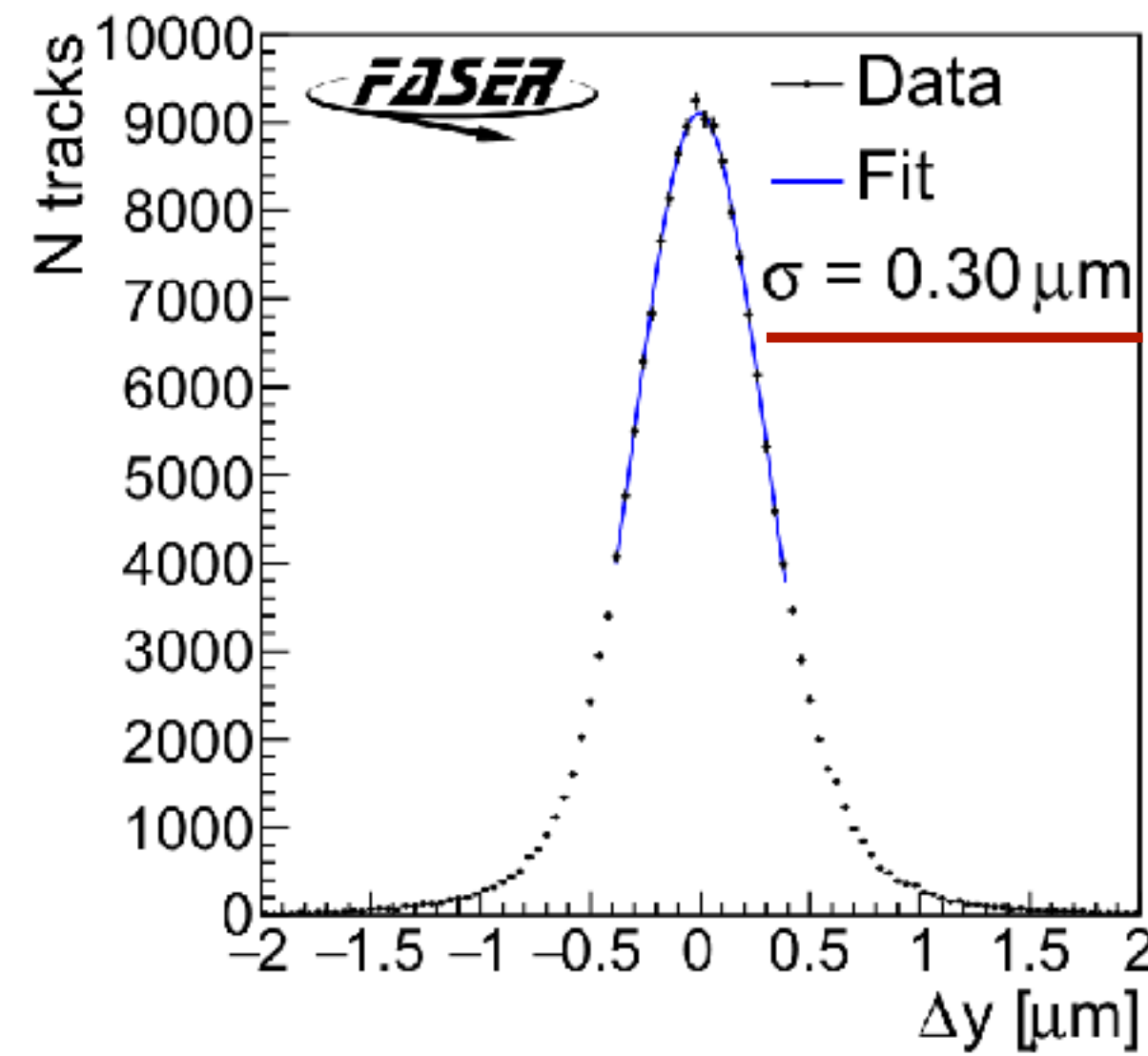
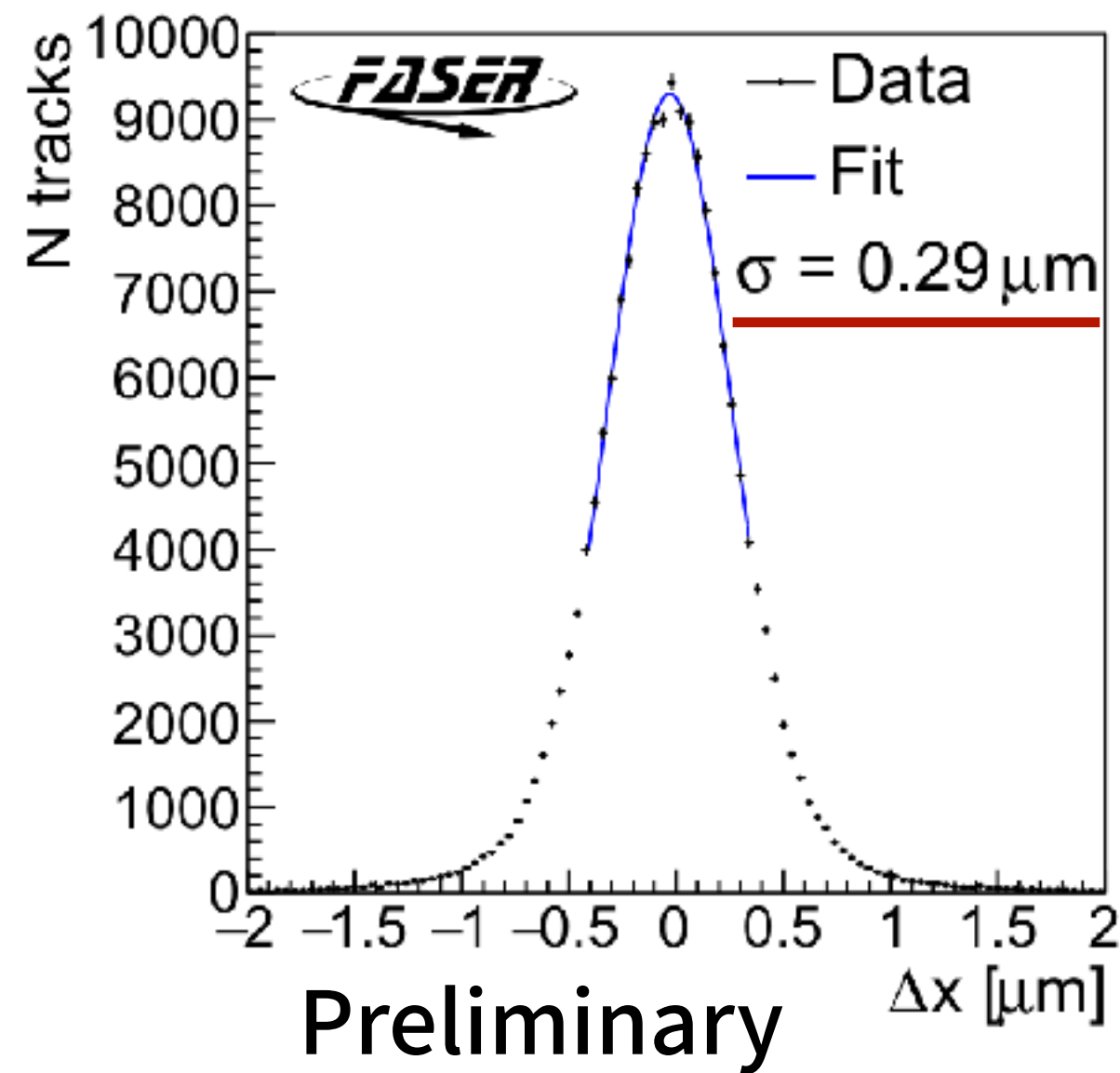
# First detection of $\nu_e$ and $\nu_\mu$ with FASER $\nu$ detector



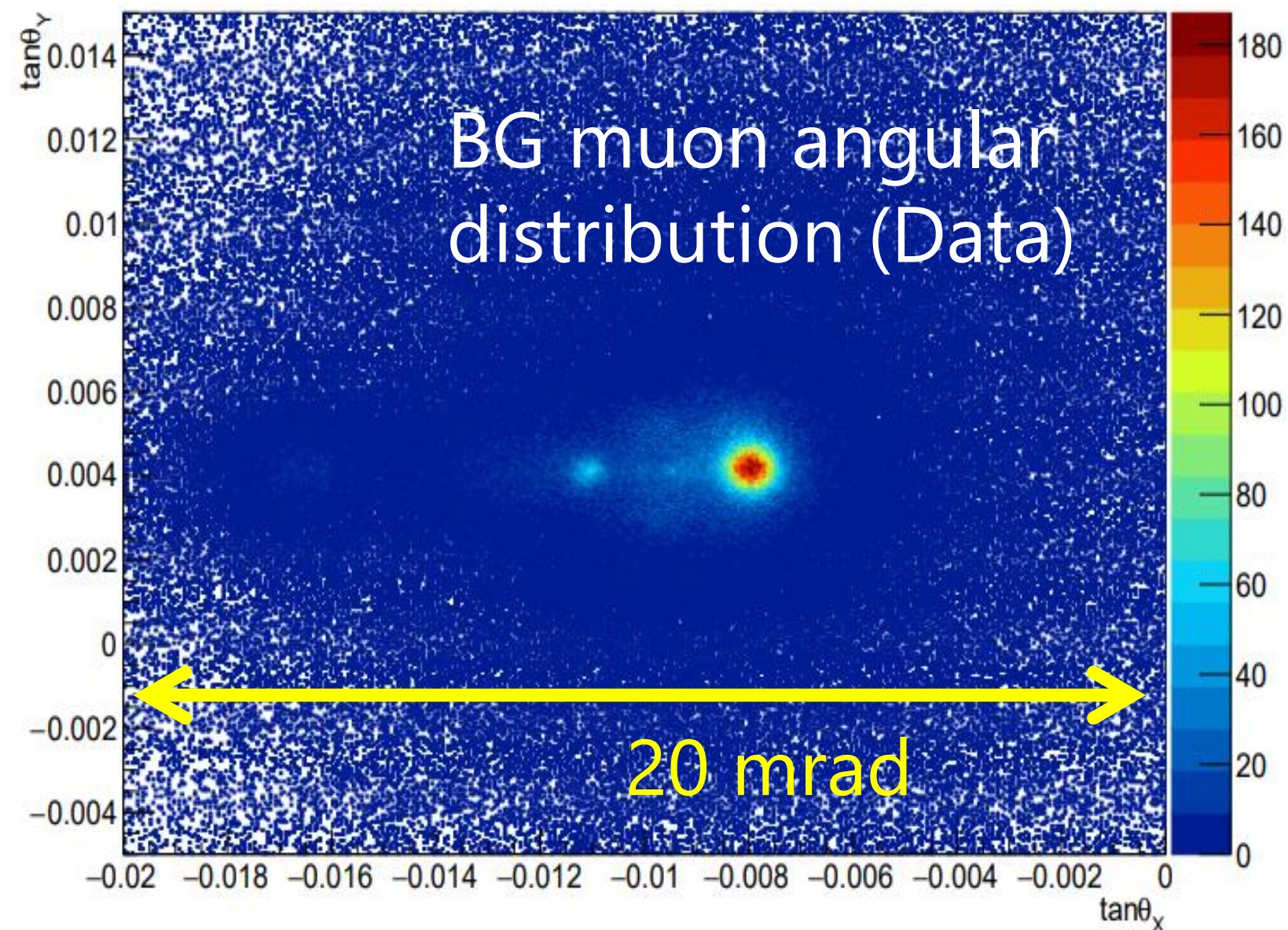
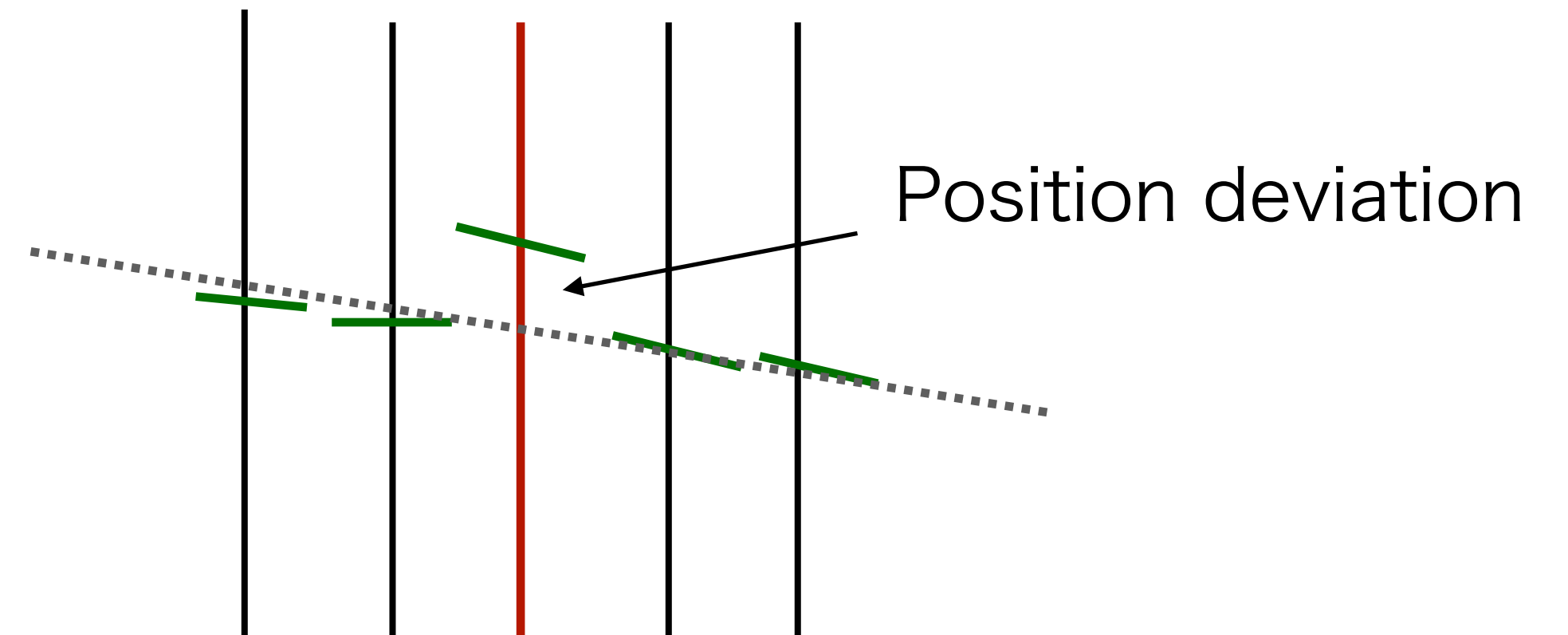
- Dataset for current analysis:
  - 9.5 fb-1 in 2022 run
  - ~1.7% of data collected so far
  - Analyzed target mass of 128.6 kg



# Detector Performance



~300 nm position resolution

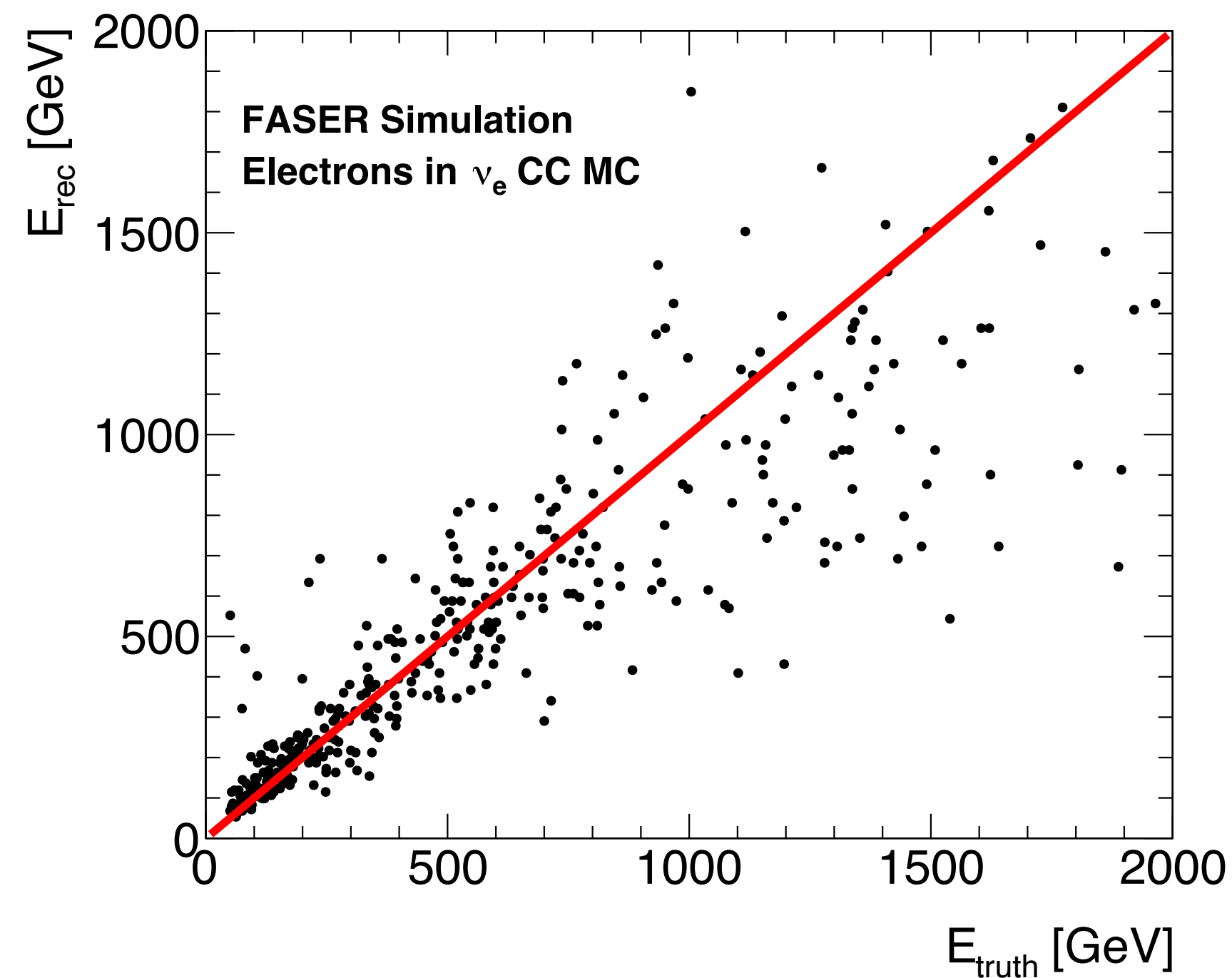


Angular spread of peaks  
~ 0.4 mrad

# Performance of Energy/Momentum Measurement

## Electron energy measurement

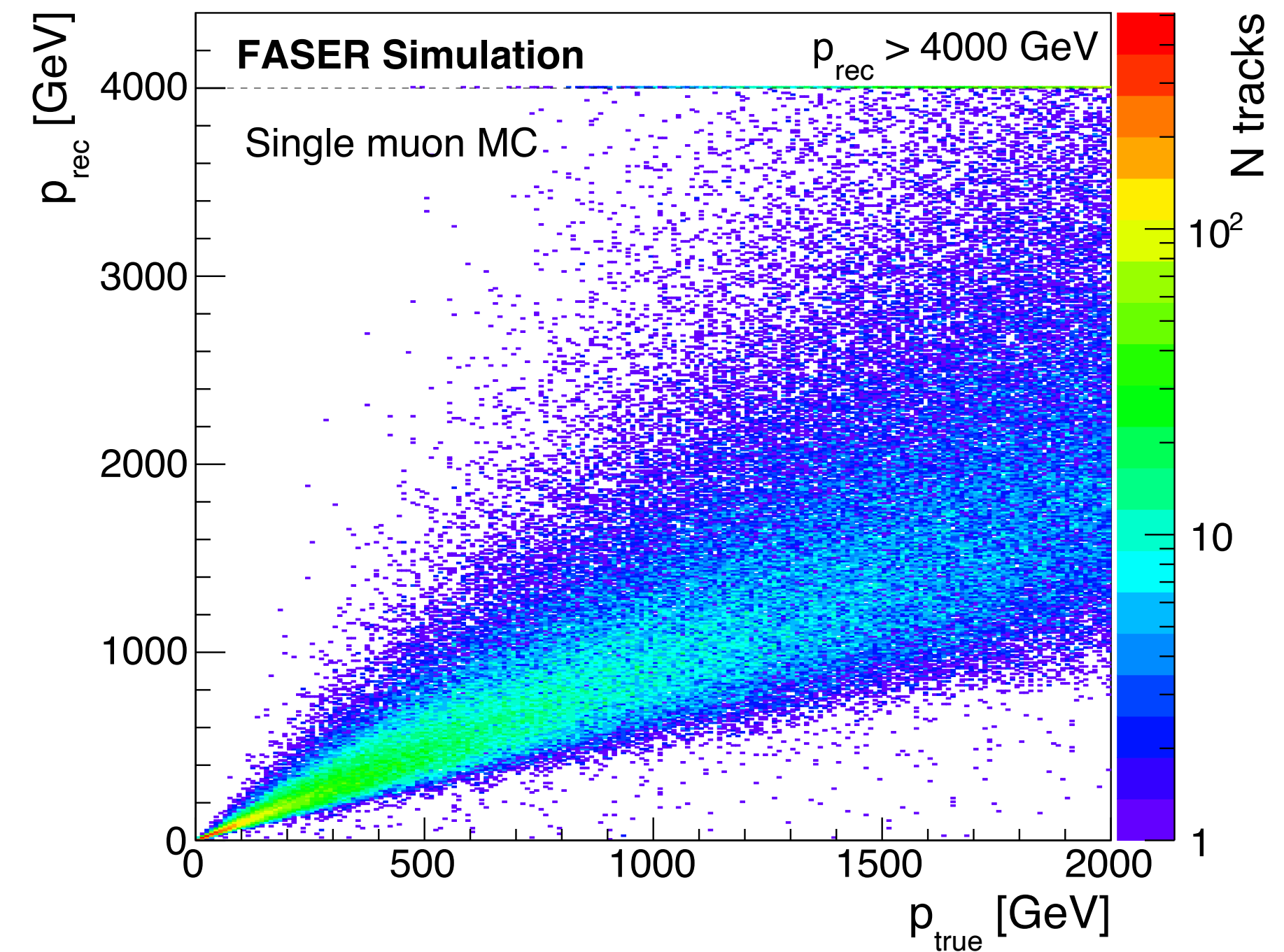
- Searching for track segments in a cylinder of radius 100  $\mu\text{m}$  around the EM shower axis



- $\frac{\Delta E}{E} \sim 0.25$  for 200 GeV

## Muon momentum measurement

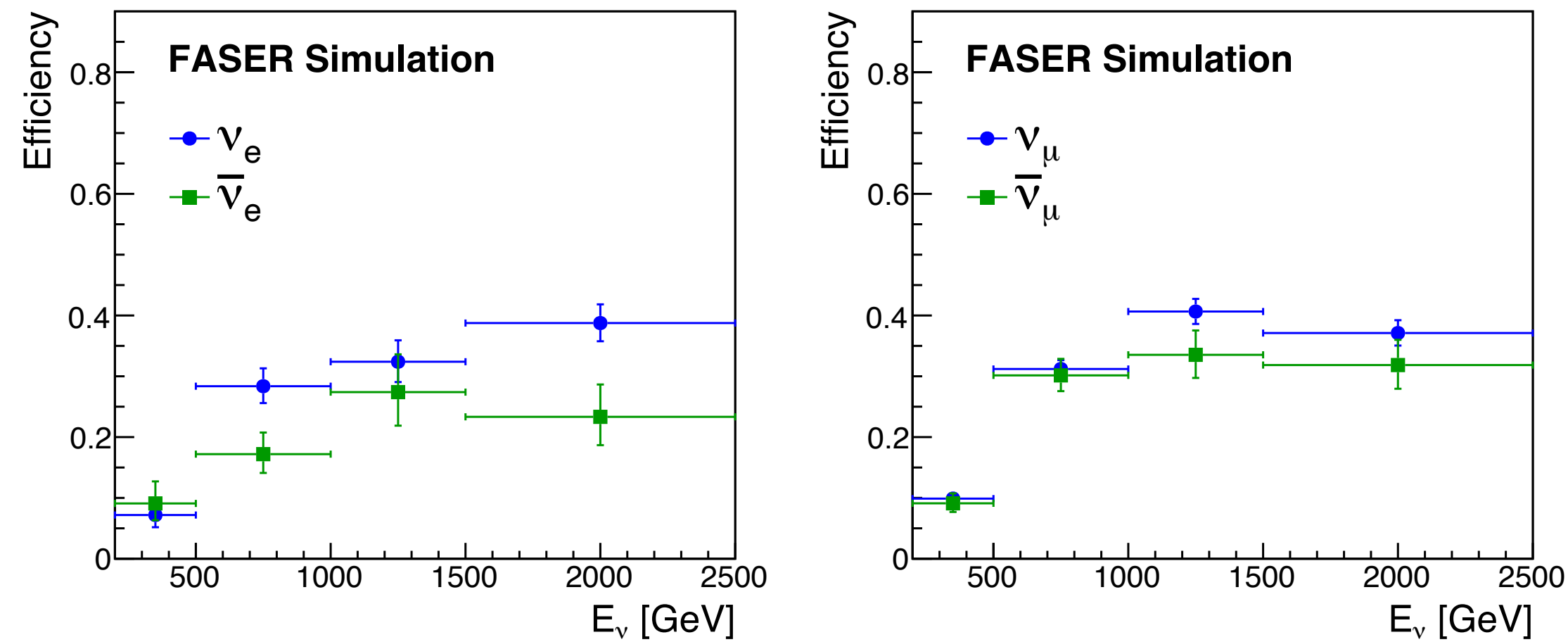
- Calculate muon momentum based on multiple Coulomb scattering using 100 plates



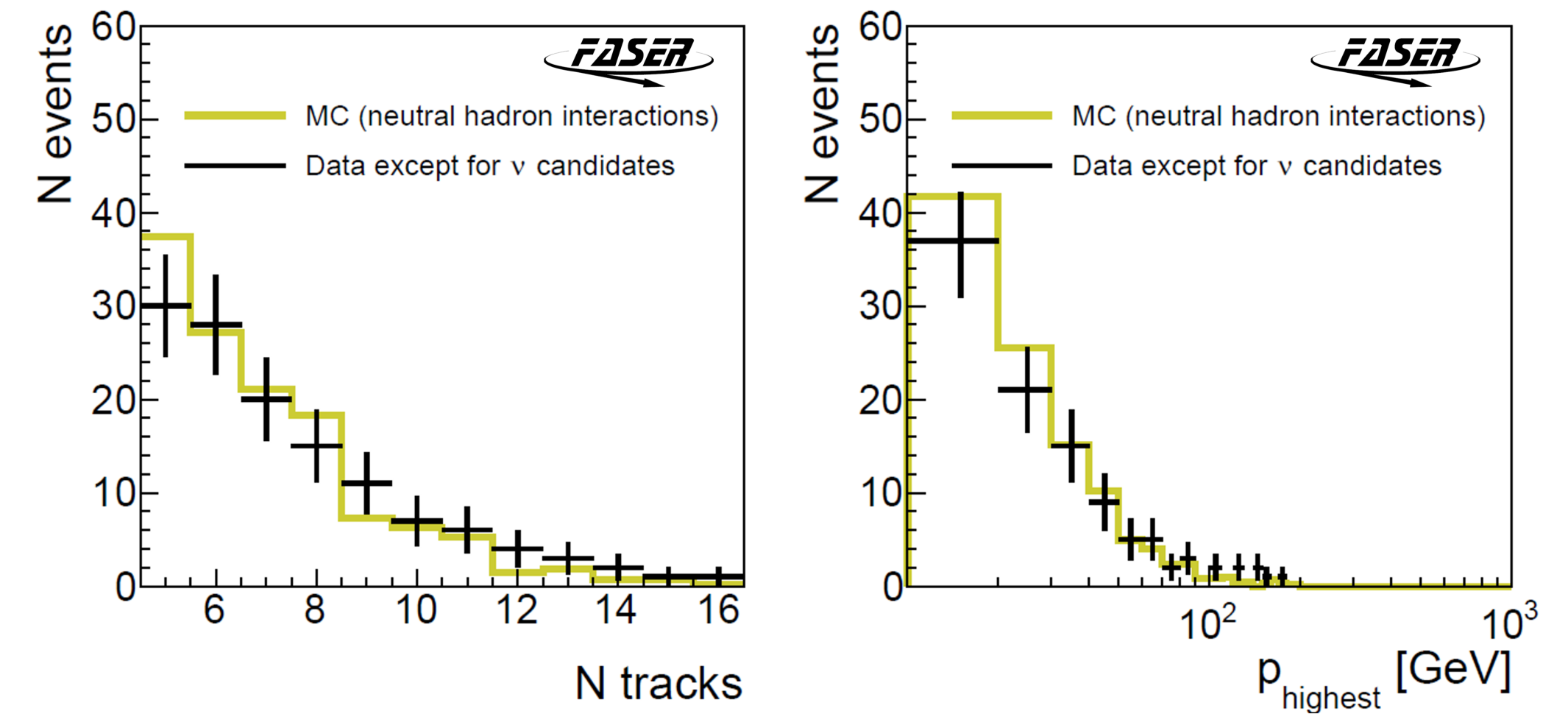
- $\frac{\Delta P_{\text{RMS}}}{P} \sim 0.3$  for 200 GeV

# First detection of $\nu_e$ and $\nu_\mu$ with FASER $\nu$ detector

## Selection



## Background control



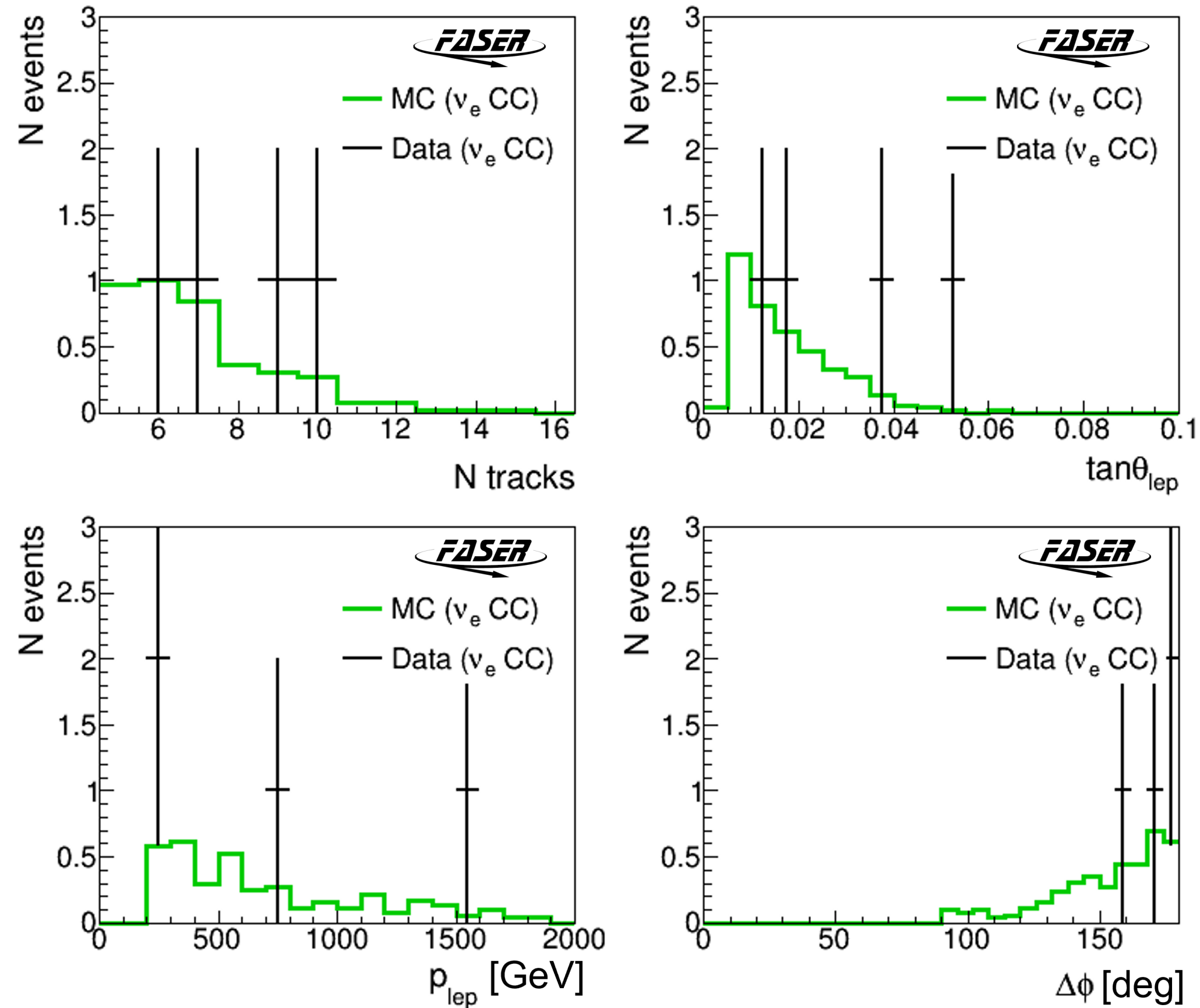
- Vertex reconstruction: ( $N_{\text{track}} \geq 5$ ,  $N_{\text{track}}(\tan\theta \leq 0.1) \geq 4$ )
- $E_e$  or  $p_\mu > 200$  GeV
- $\tan\theta_e$  or  $\tan\theta_\mu > 0.005$
- $\phi > 90^\circ$

- The modeling of neutral-hadron backgrounds are validated using data

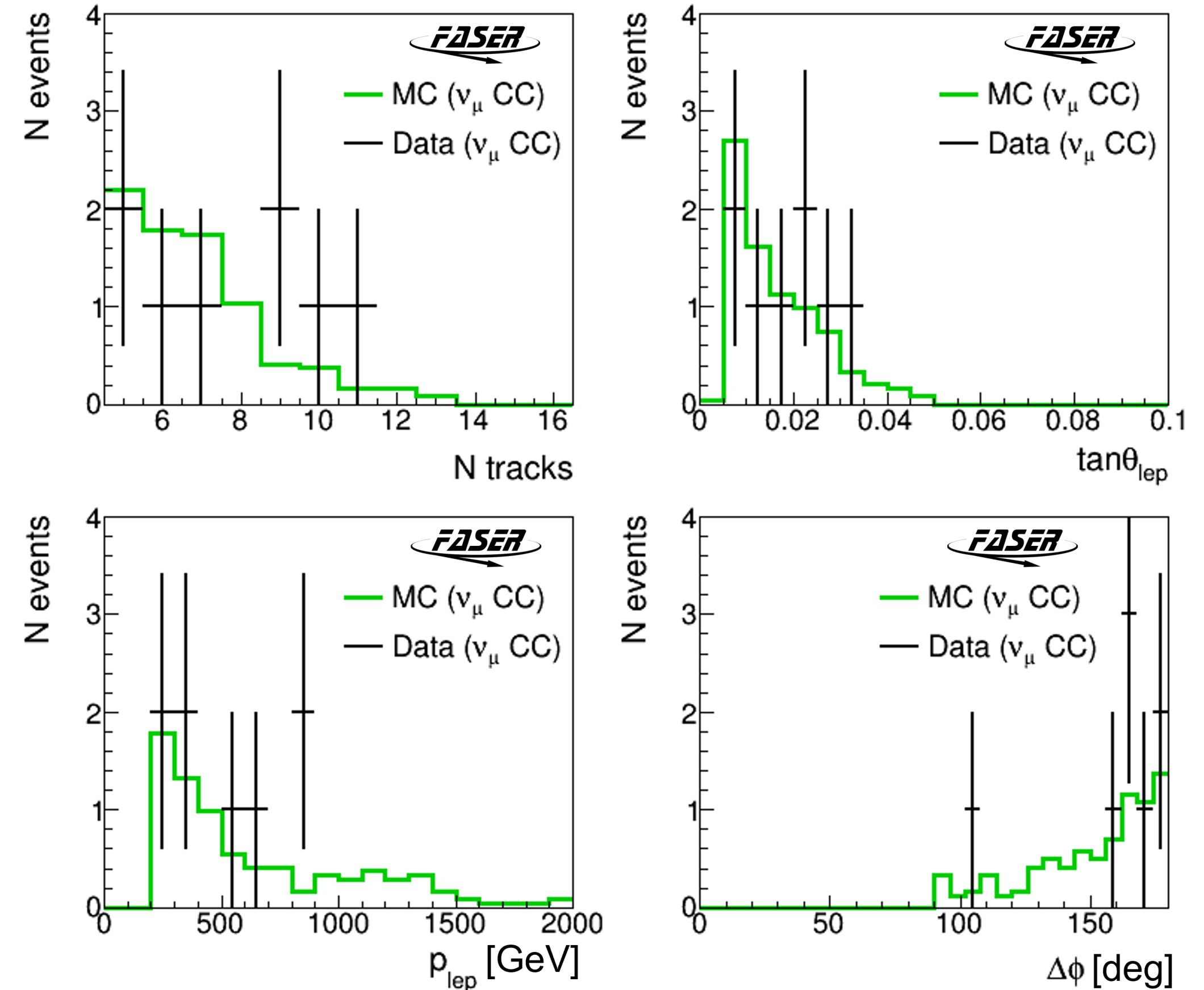
	Expected background	Expected signal	Observed	Significance
$\nu_e \text{CC}$	$0.025^{+0.015}_{-0.010}$	1.1-3.3	<b>4</b>	<b><math>5.2\sigma</math></b>
$\nu_\mu \text{CC}$	$0.22^{+0.09}_{-0.07}$	6.5-12.4	<b>8</b>	<b><math>5.7\sigma</math></b>

# Neutrino Characteristic

## Electron

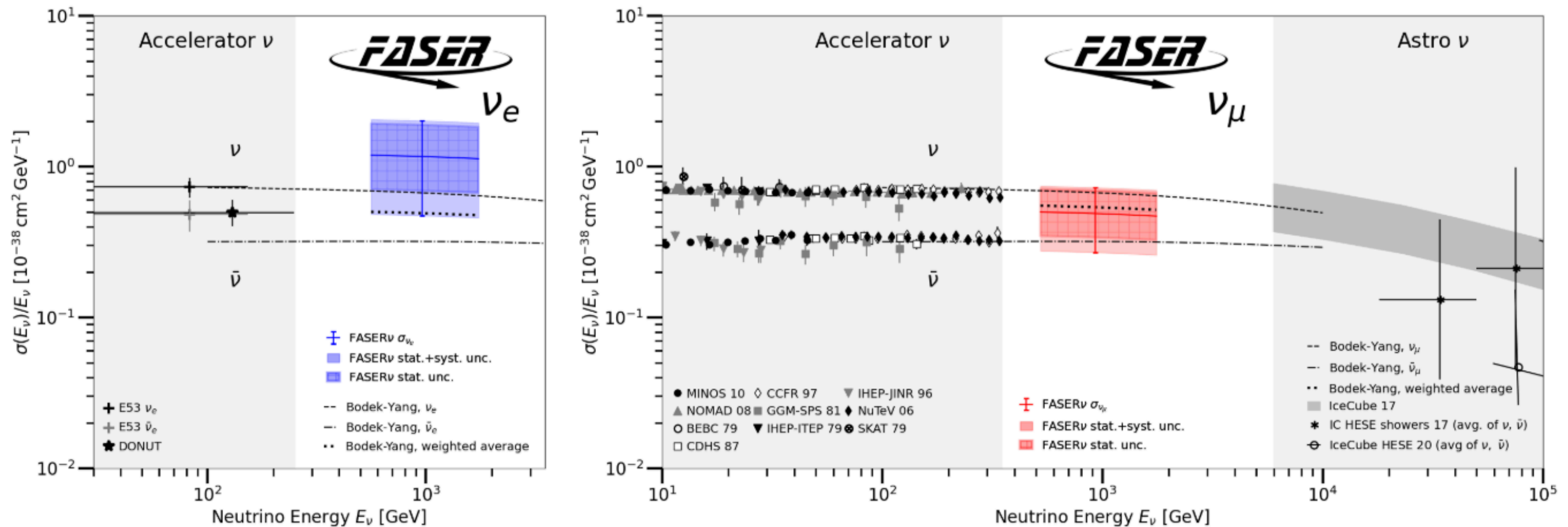


## Muon



- Kinematics of observed  $\nu$  interactions are in good agreement with MC
- $\nu$  interactions at TeV range

# First cross section measurement at TeV energies



- First measurement of  $\nu_e, \nu_\mu$  interaction cross section at the LHC with emulsion detector
- Large uncertainty from neutrino flux



**FASER**

$e$

500  $\mu\text{m}$

**FASER**

$\sim 1.5 \text{ TeV}$

$e$

100  $\mu\text{m}$

**FASER**

$\mu$

1000  $\mu\text{m}$

**FASER**

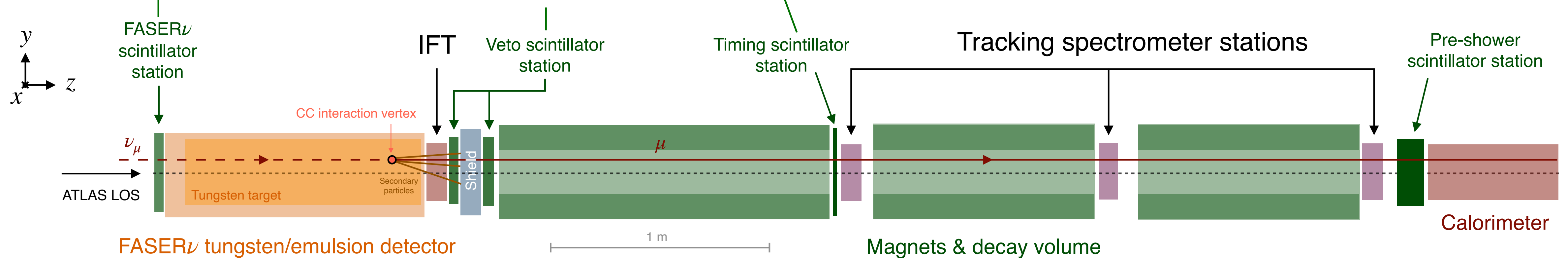
$\mu$

200  $\mu\text{m}$

**FASER**

# “Electronic” Neutrino search

- Collision event with good data quality (35.4 fb<sup>-1</sup>, 2022 data)
- No signal (<40 pC)
- Signal (>40 pC)

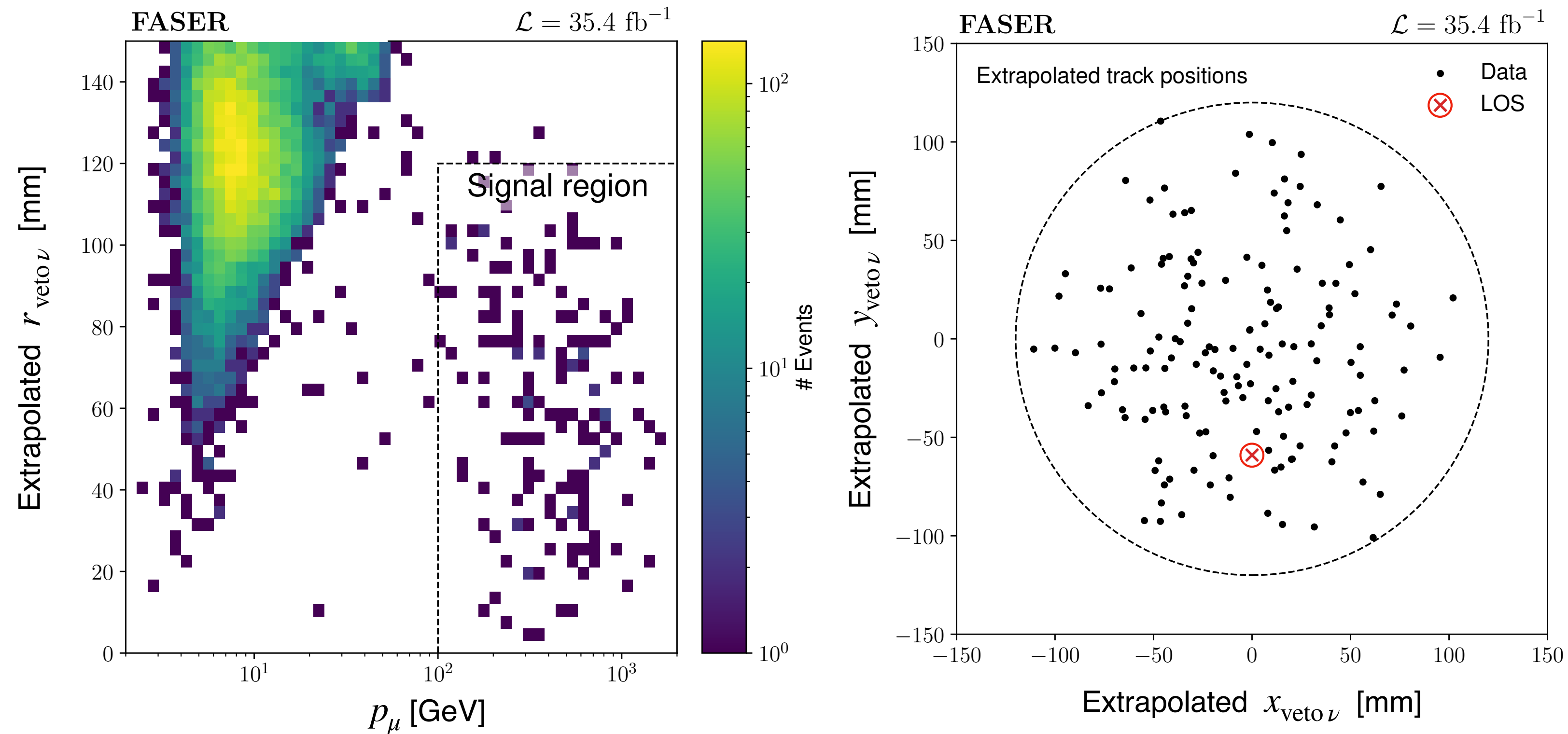


- Timing and pre-shower consistent with  $\geq 1\text{MIP}$
- Exactly 1 good fiducial ( $r < 95\text{ mm}$ ) track
  - $p > 100\text{ GeV}$  and  $\theta < 25\text{ mrad}$
  - Extrapolating to  $r < 120\text{ mm}$  in front veto
- Expect  **$151 \pm 41$**  events from GENIE simulation
  - Uncertainty from DPMJET vs. SIBYLL
  - No experimental errors

## Background

- Veto inefficiency: negligible
- Neutral hadrons:  **$0.11 \pm 0.06$**  events (MC)
- Scattered large-angle muons:  **$0.08 \pm 1.83$**  events (sideband)

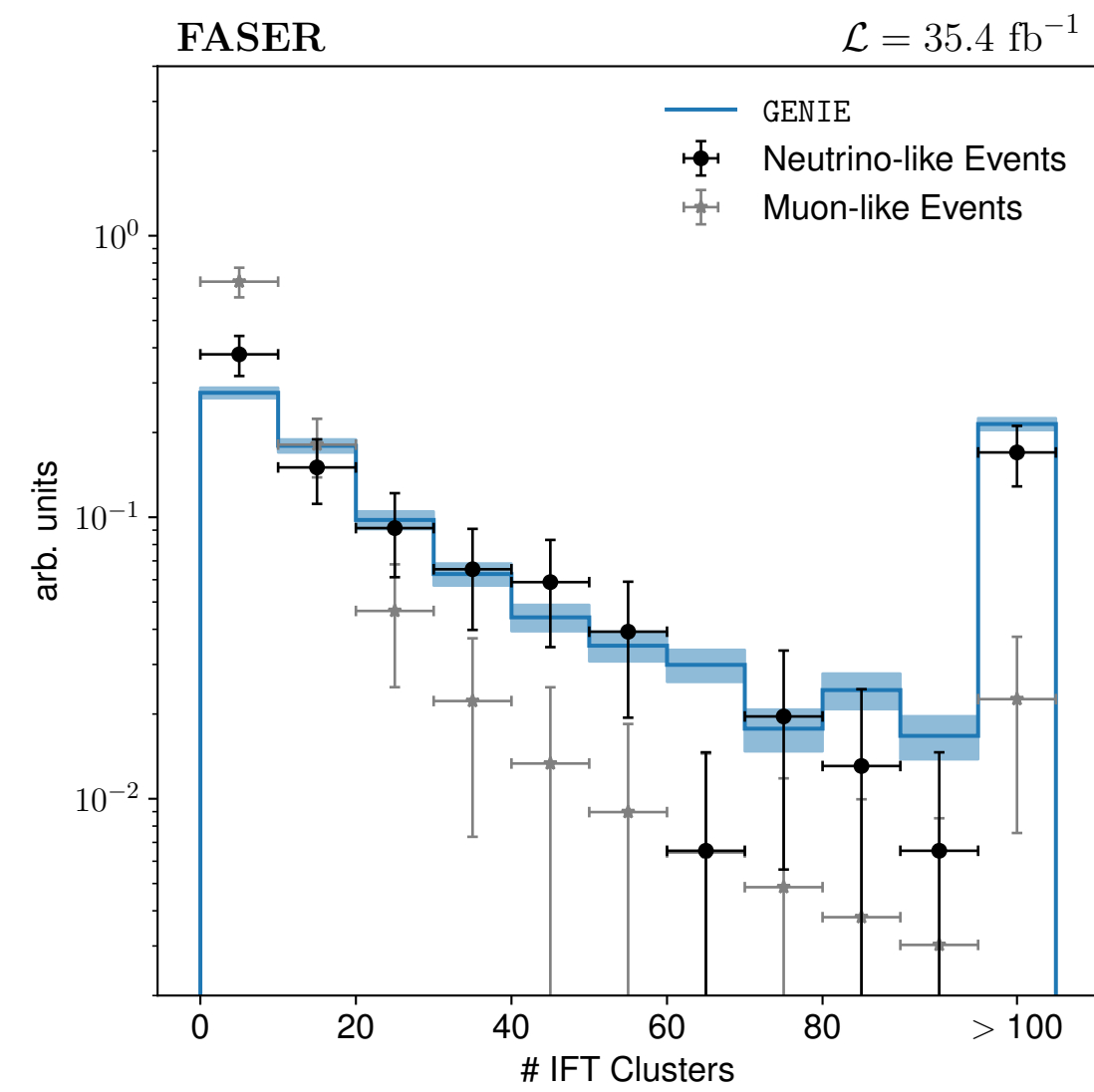
# Results



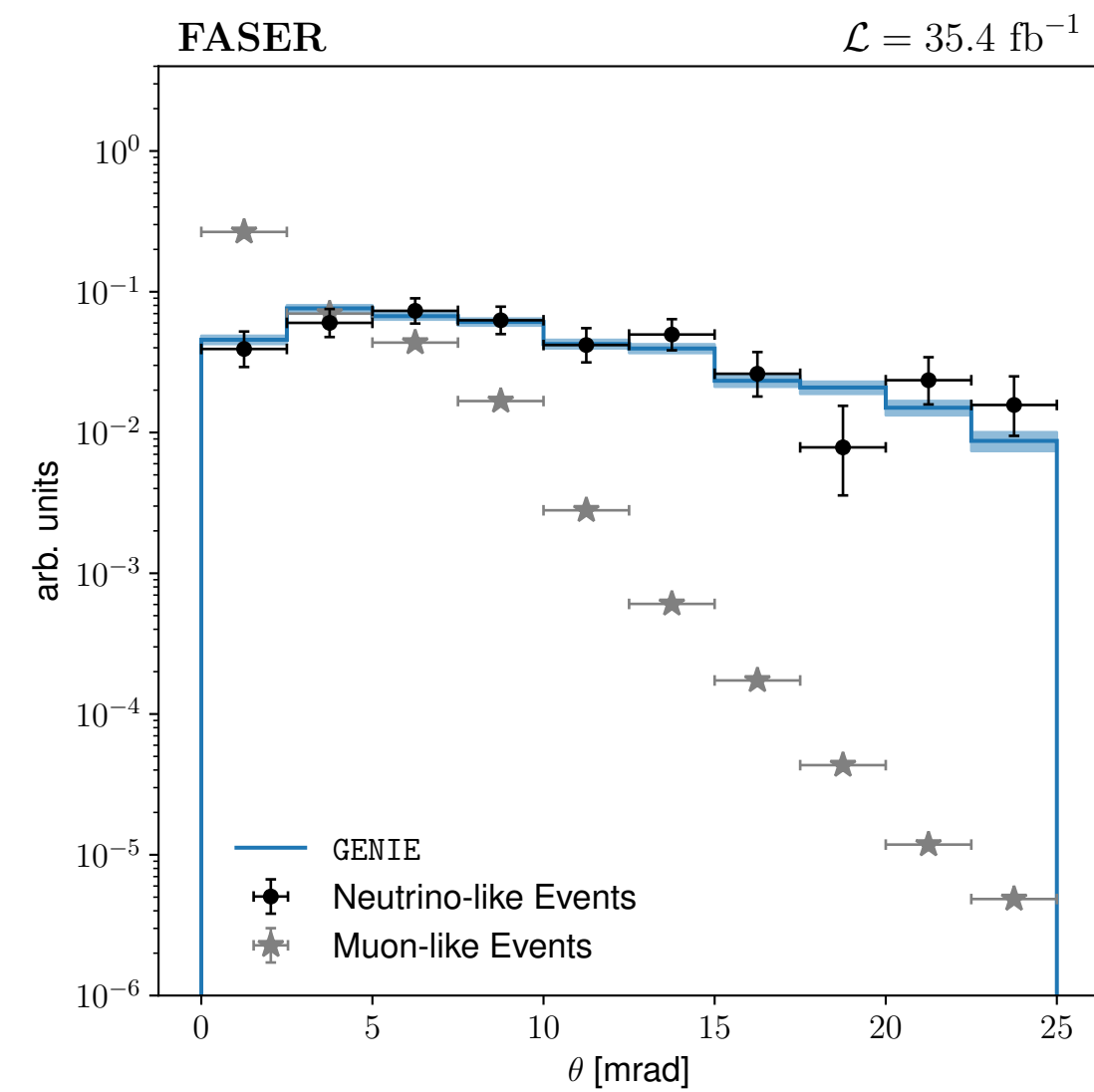
Category	Events
Signal	153
$n_{10}$	4
$n_{01}$	6
$n_2$	64014695

- Observed  $153^{+13}_{-12}$  events ( $151 \pm 41$  events expected)
- Signal significance of  **$16\sigma$**
- **First directory observation of collider neutrinos**

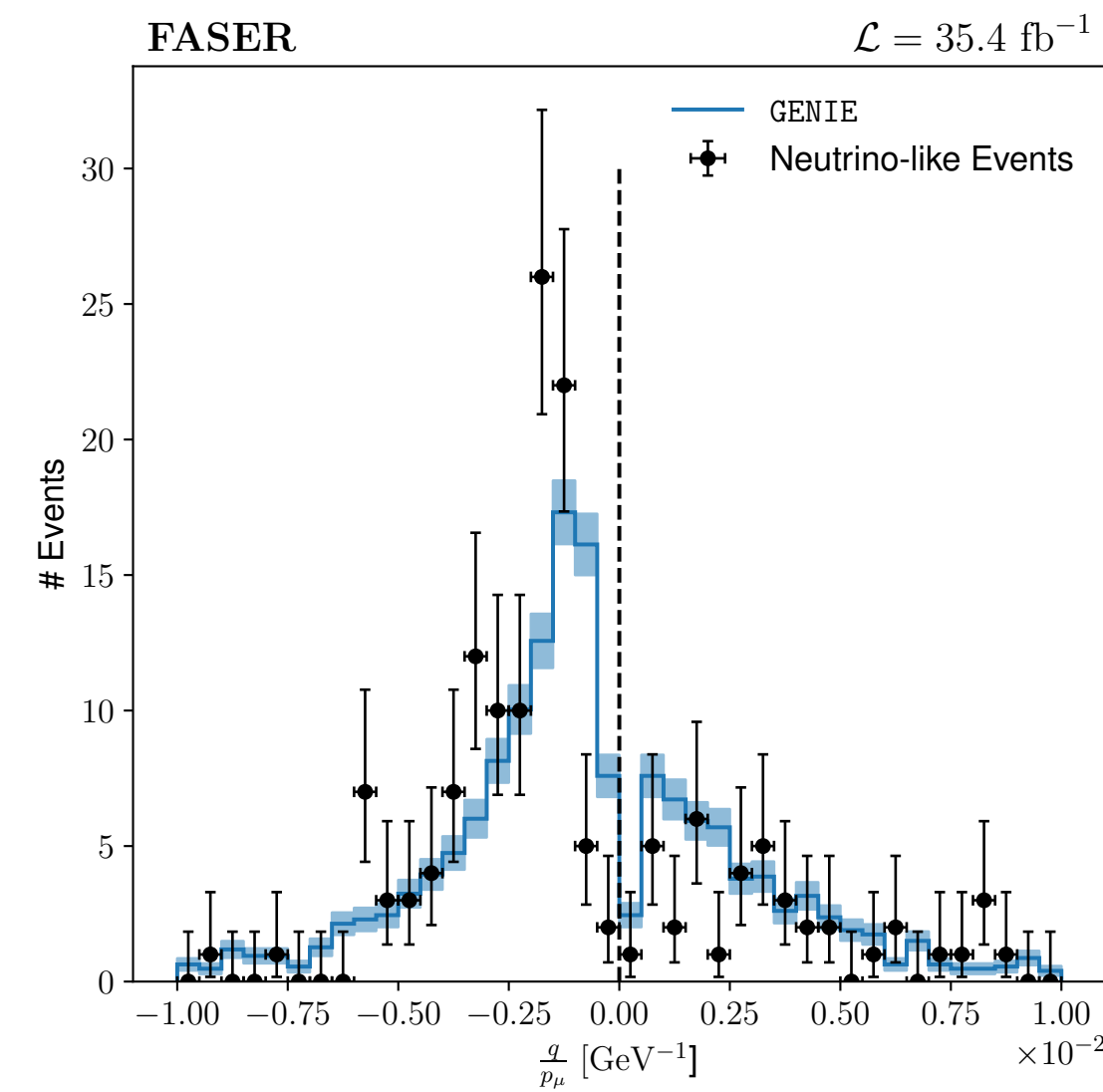
# Neutrino Characteristics



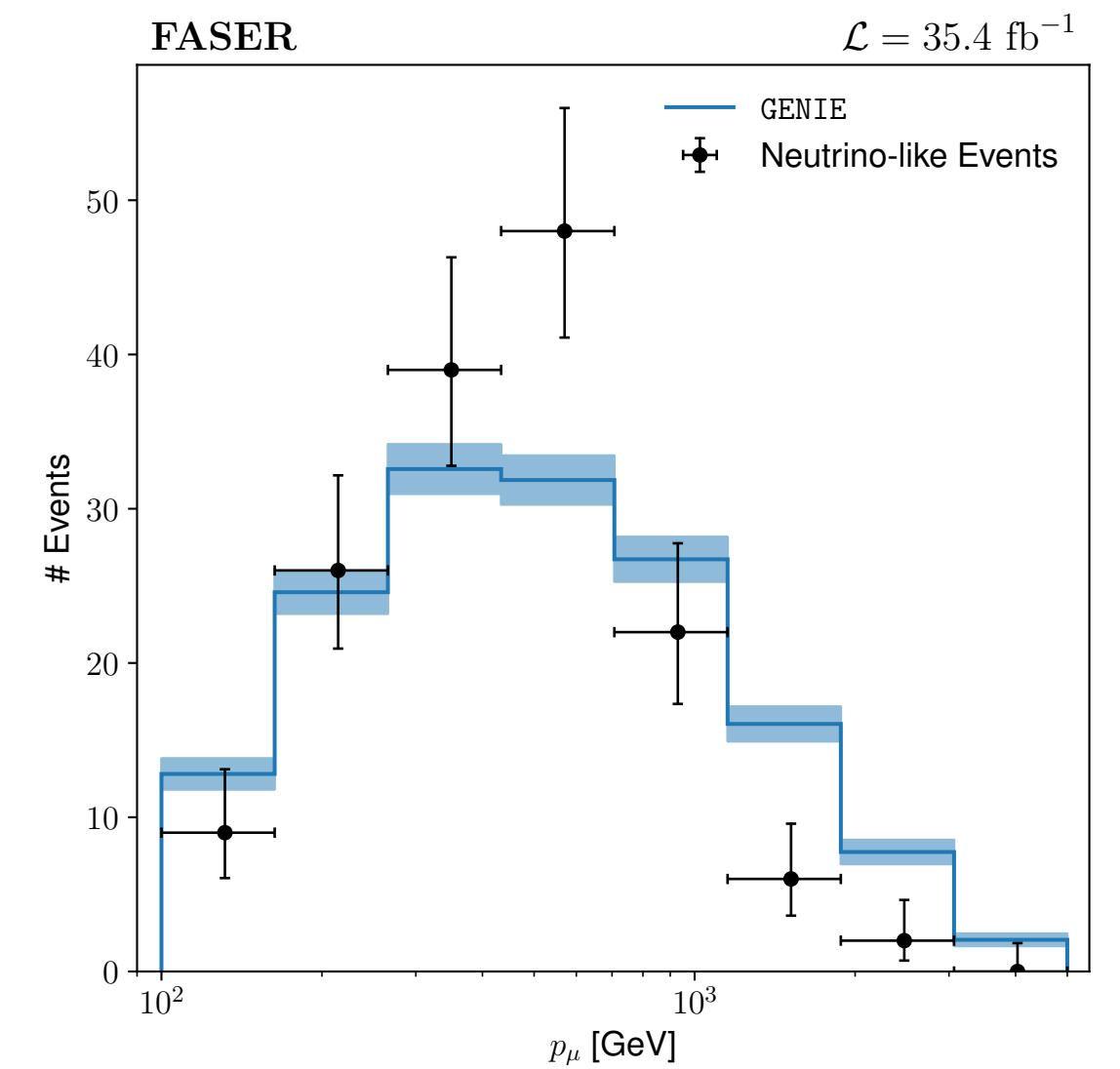
High occupancy in IFT



Large  $\mu$  polar angle



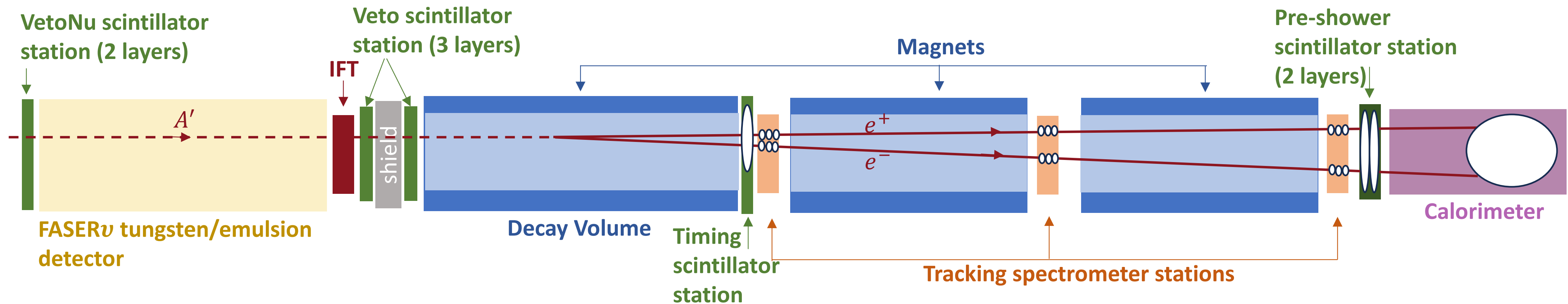
More  $\nu_\mu$  than  $\bar{\nu}_\mu$



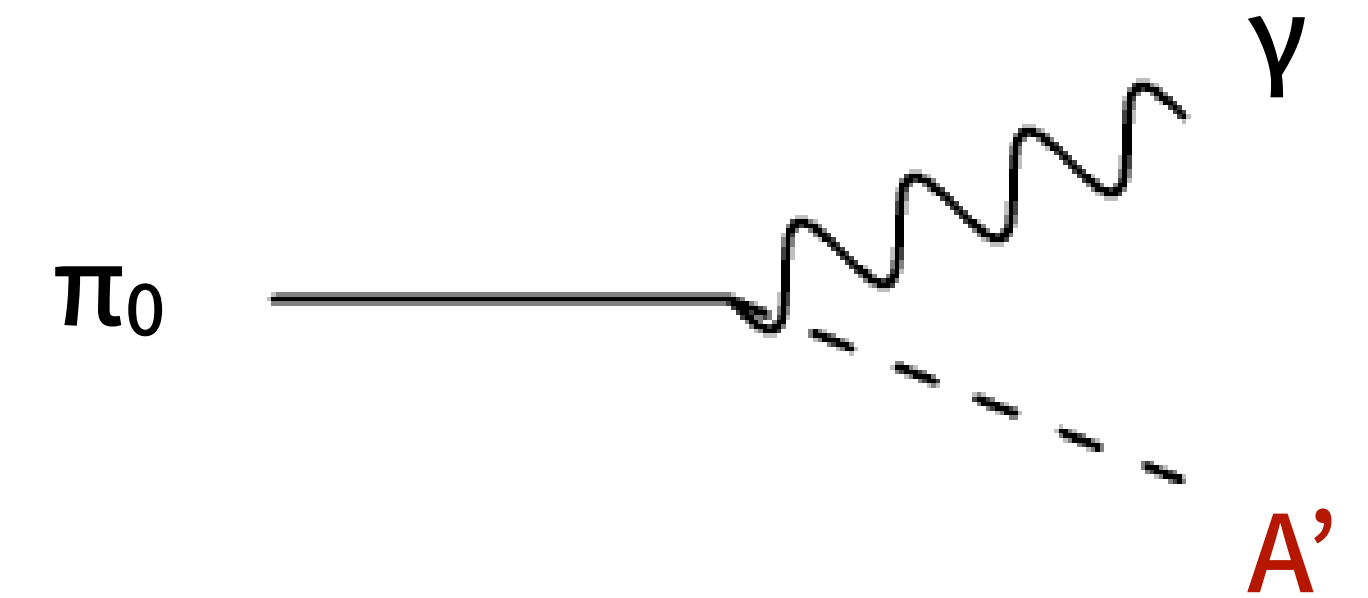
High  $\mu$  momentum

- Only statistical errors are shown
- Most events at high momentum ( $E_\mu > 200 \text{ GeV}$ )
- **Good agreement with expectations from simulation**

# Search for Dark Photons

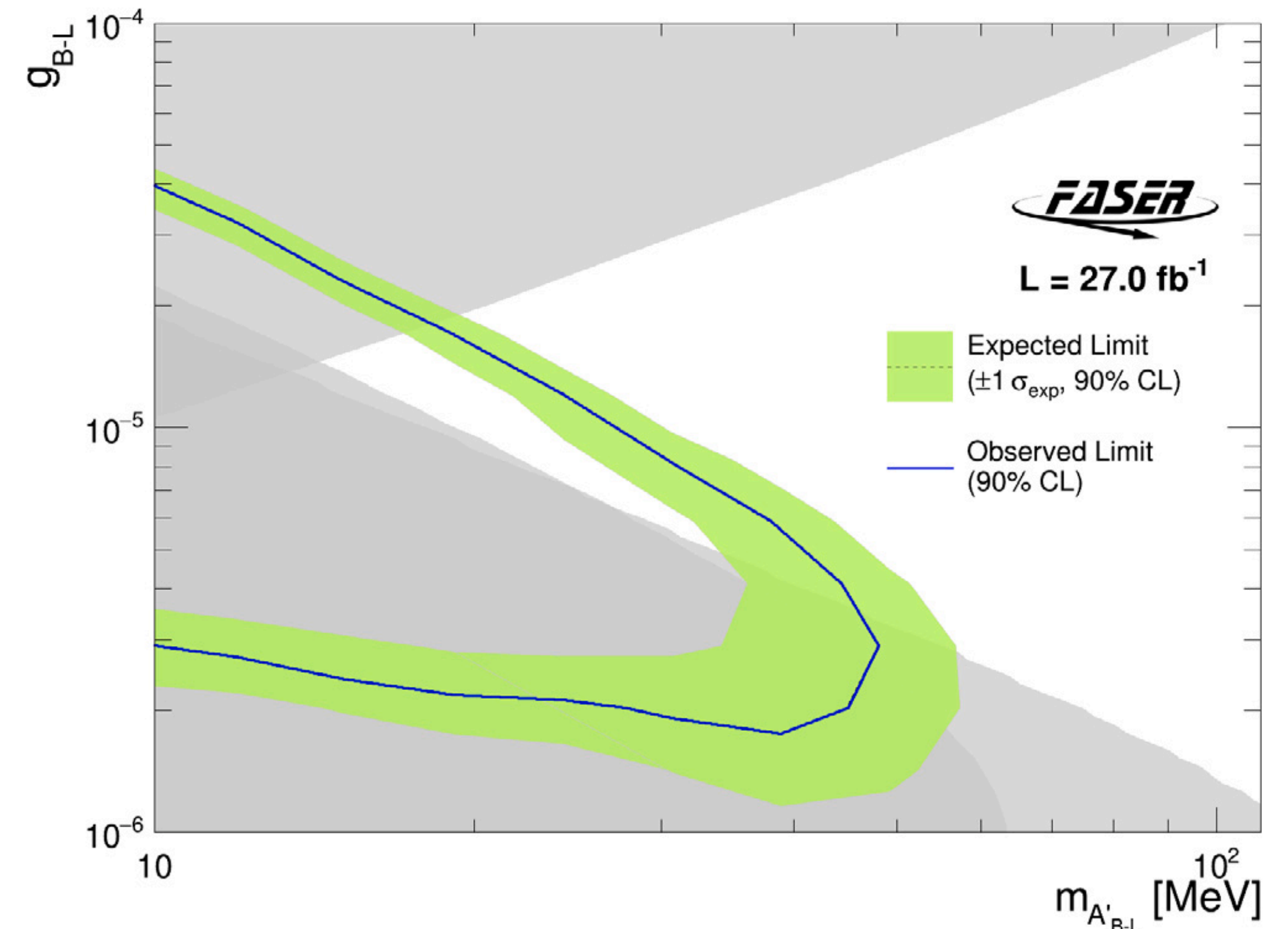
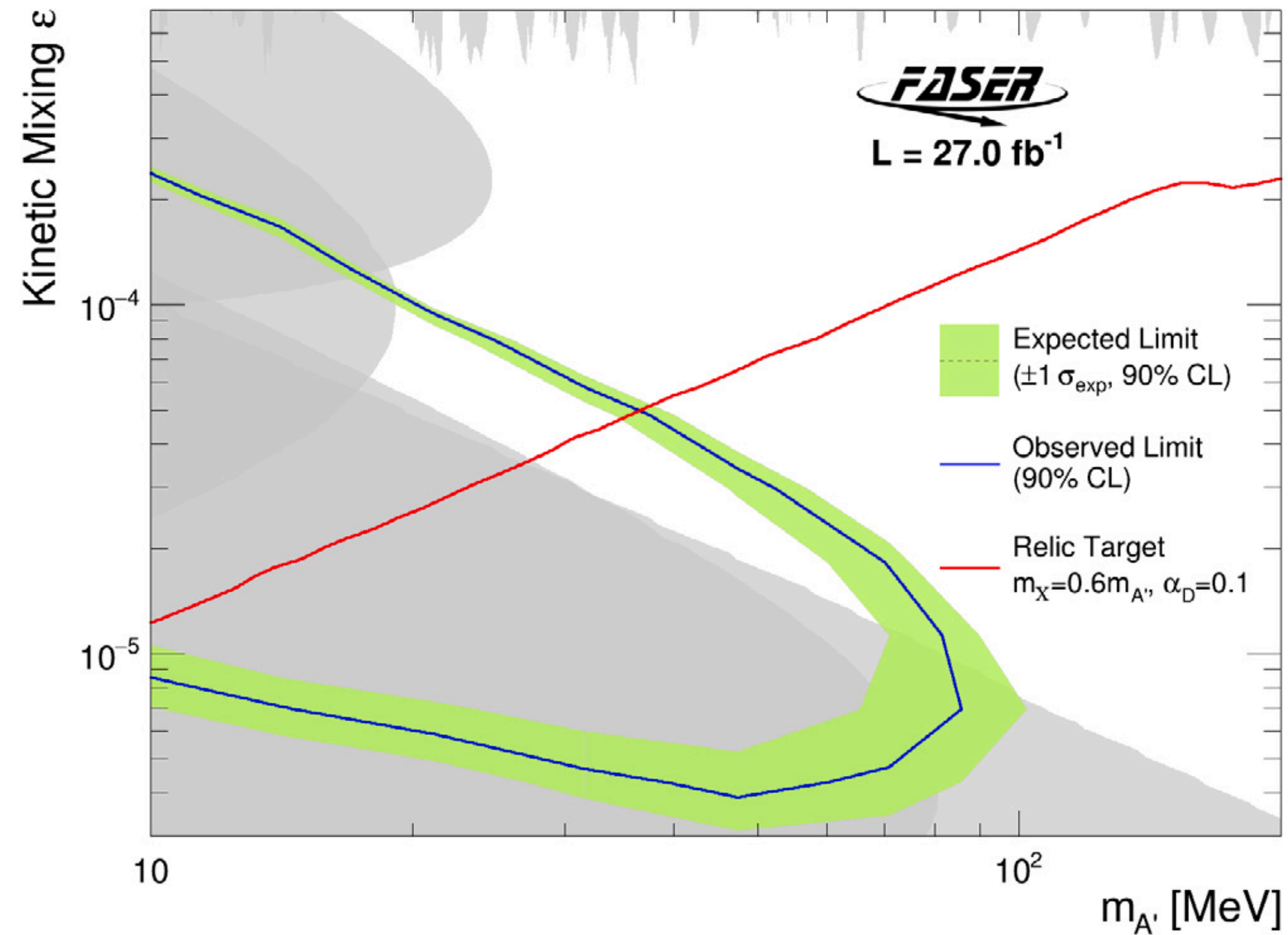


- 27.0 fb<sup>-1</sup> collected in 2022
- Electron-positron pair in a decay volume
- Selection
  - 2 opposite-sign tracks
  - > 500 GeV in calorimeter
  - Nothing in all 5 veto scintillator counters
  - Something in downstream scintillators
- **Negligible backgrounds:  $(2.3 \pm 2.3) \times 10^{-3}$  events**
  - Veto inefficiency, neutral hadrons, large-angle muons, neutrinos, non-collision events



- Dark matter from MeV-GeV can be thermal relics
- Dark photon ( $A'$ ): U(1) gauge boson, hidden sector particle

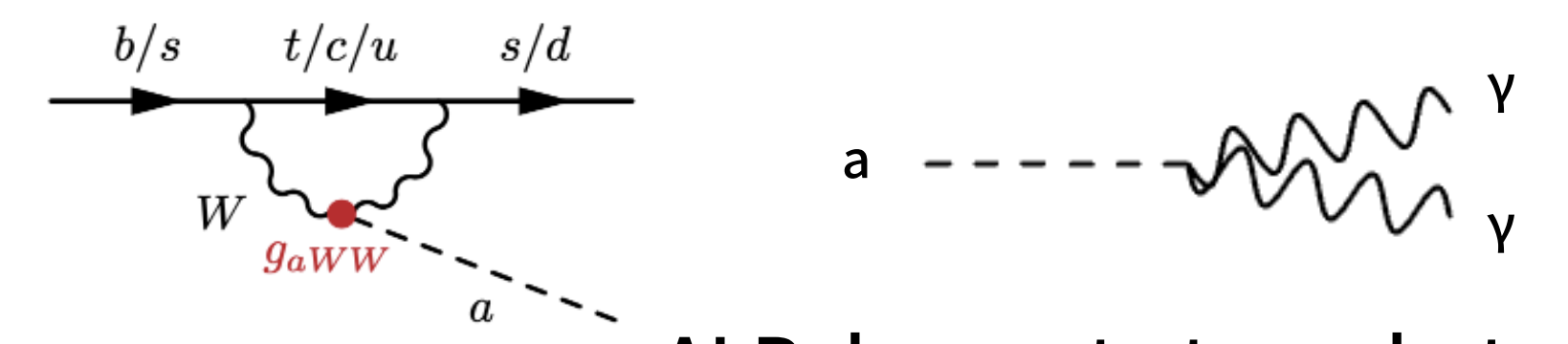
# Search for Dark Photons



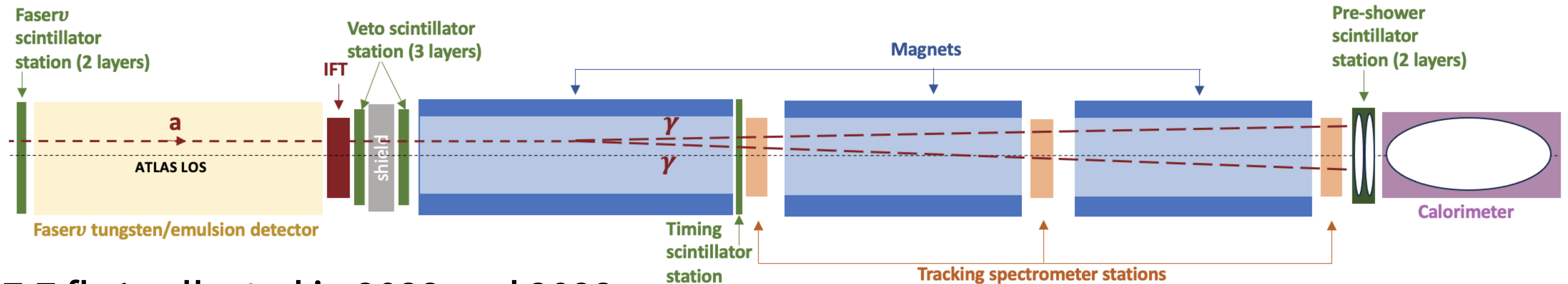
- No events observed
- New limits on unexplored parameter space
- Constrained a massive gauge boson from a  $U(1)_{B-L}$  model

# Search for ALPs

- FASER is sensitive to ALPs coupling to  $SU(2)_L$  gauge bosons



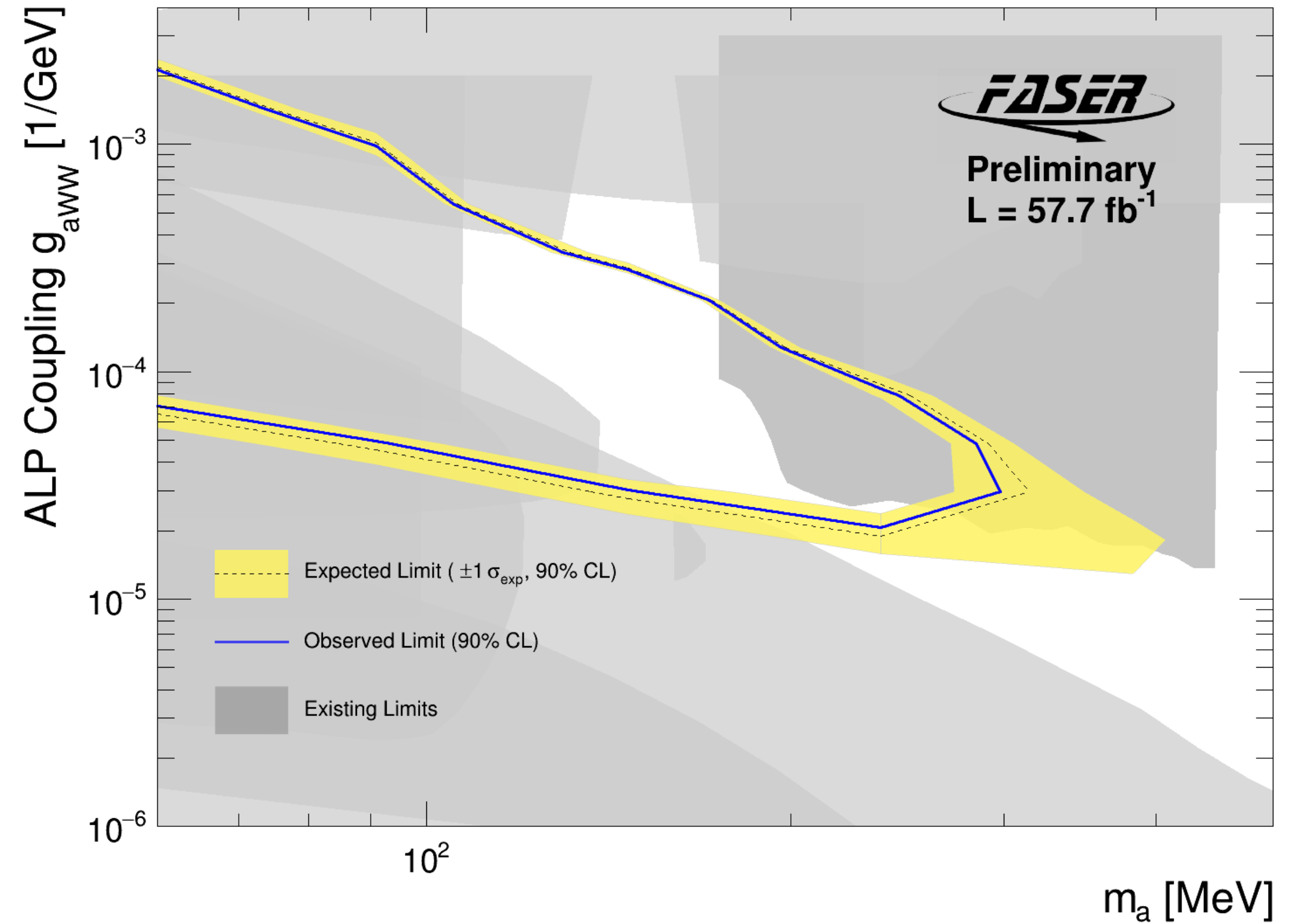
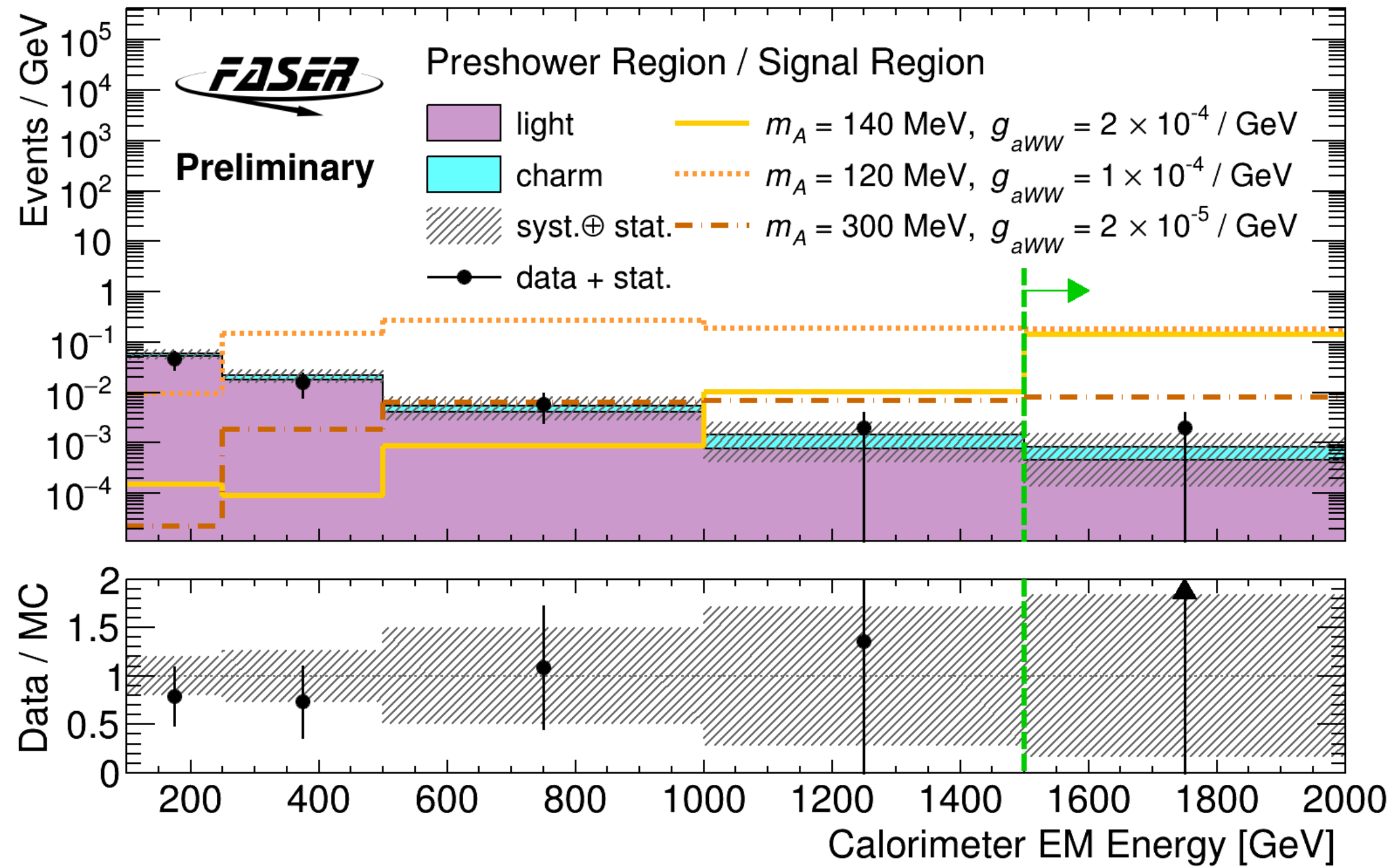
- ALP decays to two photons



- 57.7  $\text{fb}^{-1}$  collected in 2022 and 2023
- Very collimated energetic photon pair produced
  - A high energy deposit in the electromagnetic (EM) calorimeter
- Selection
  - Nothing in all 5 veto counters
  - Evidence of EM shower in preshower
  - > 1.5 TeV in calorimeter
  - In time with LHC collision
- Negligible backgrounds
  - Neutral hadrons
  - Large-angle muons
  - Non-collision / cosmics



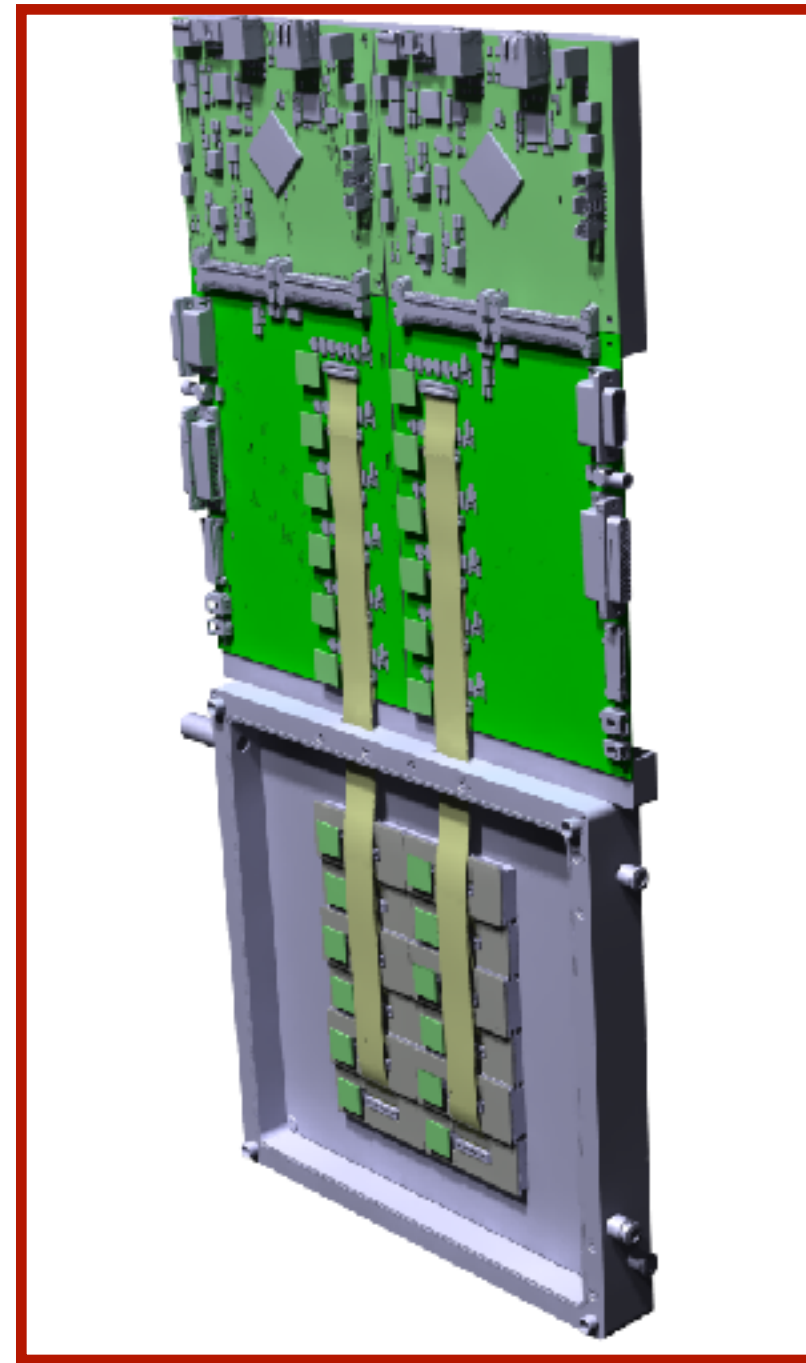
# ALPs Results



- Neutrinos produced upstream of FASER through light/charm hadron decays
  - Evaluated with MC simulations and validated in different detector regions
  - Expecting  $0.42 \pm 0.38$  from  $\nu$  CC interactions in pre-shower station
- Observed **1** event after unblinding
- Probing new parameter space of this ALPs Model

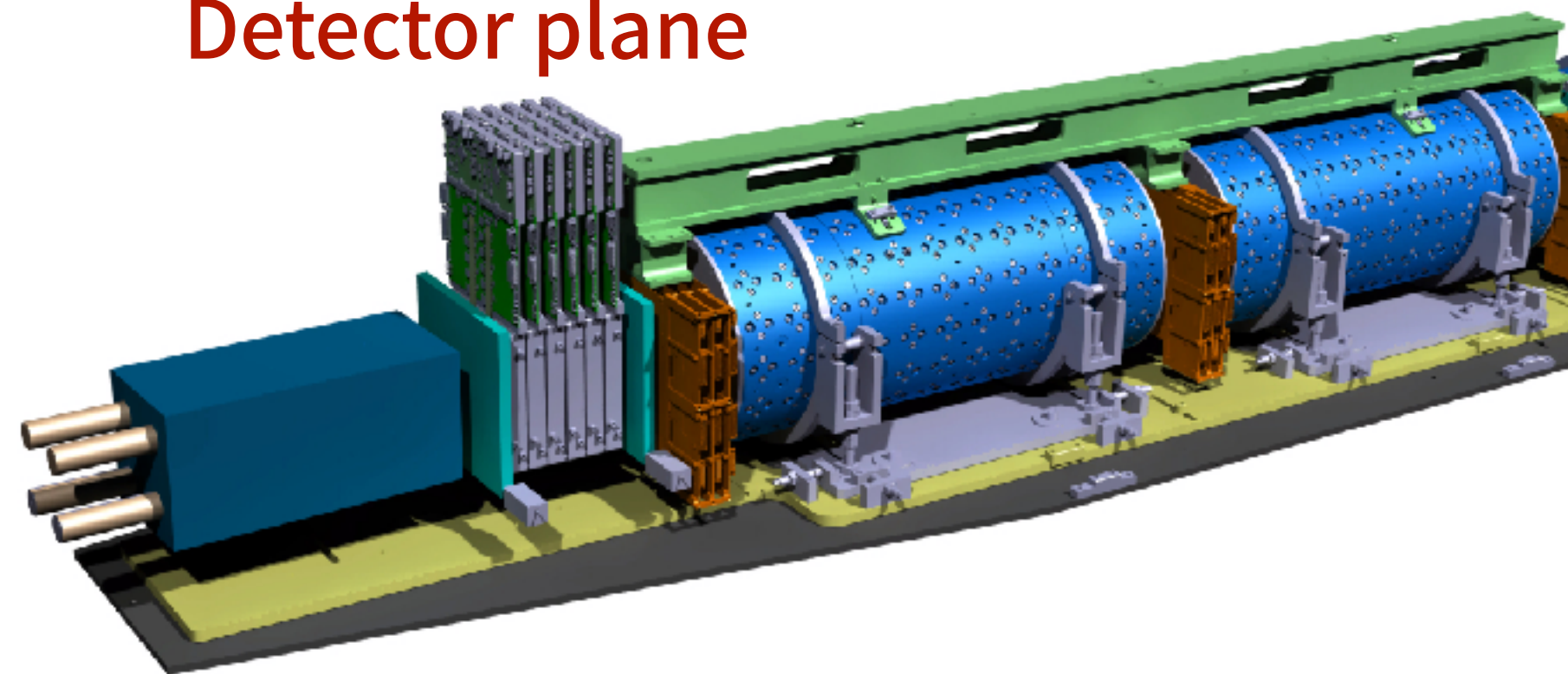
# Future Prospects

# New Pre-shower Calorimeter

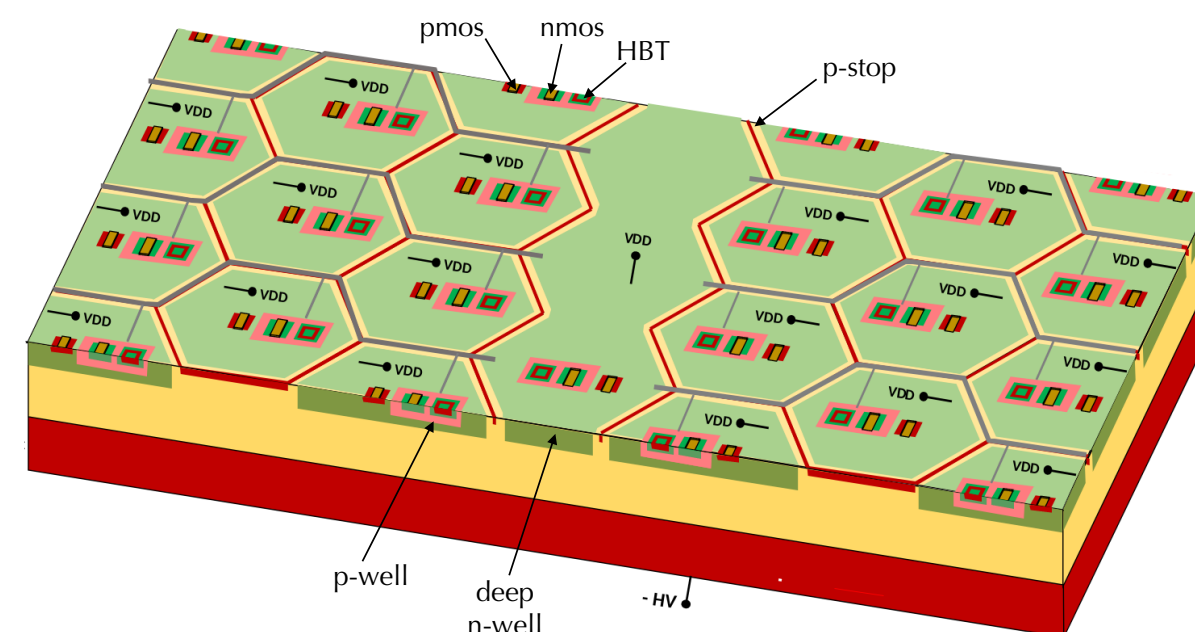


Detector plane

- Resolve di-photon events by upgraded pre-shower calorimeter with high X-Y granularity
  - Improve  $\nu$  BG suppression in the search for ALPs
- 6 detector planes + 2 scintillators
  - Each plane: tungsten absorber + monolithic SiGe pixel sensors
- Project approved by CERN: [CERN-LHCC-2022-006](#)
- Targeting installation in December 2024
  - Data taking during last year of LHC Run 3 and HL-LHC

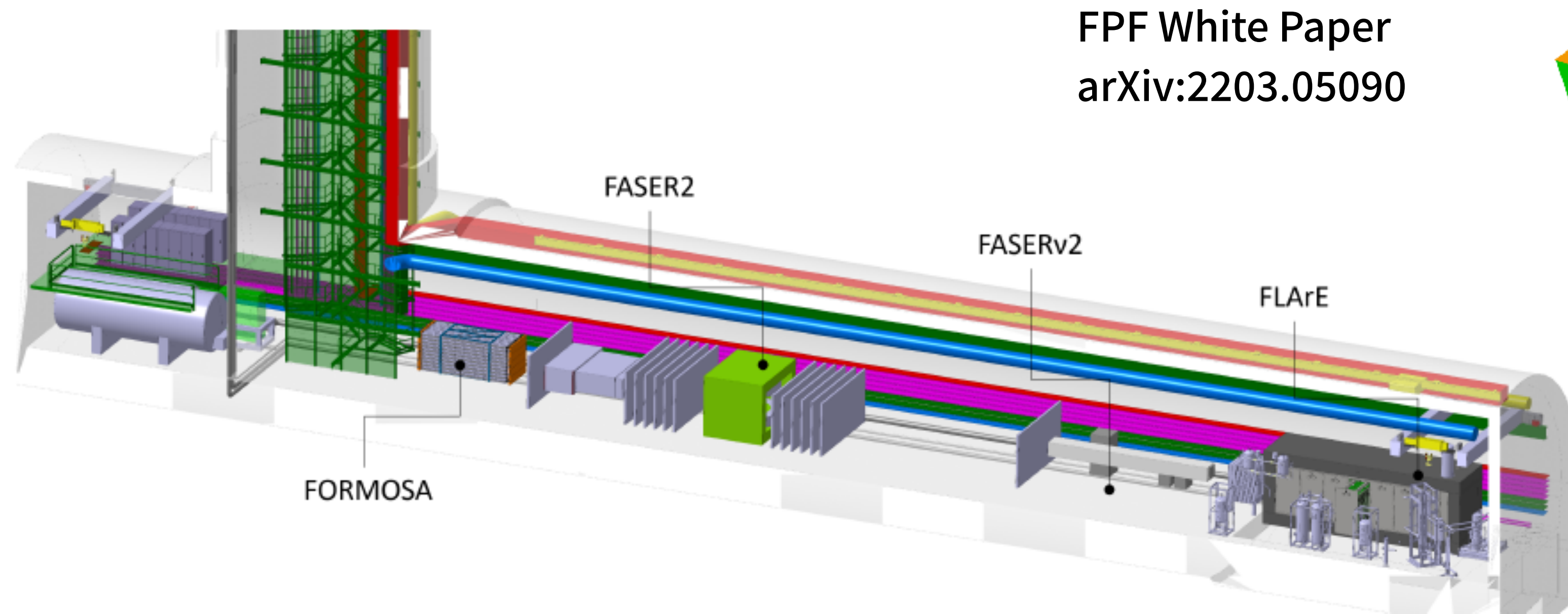


## Monolithic pixel sensor: 130 nm SiGe BiCMOS technology

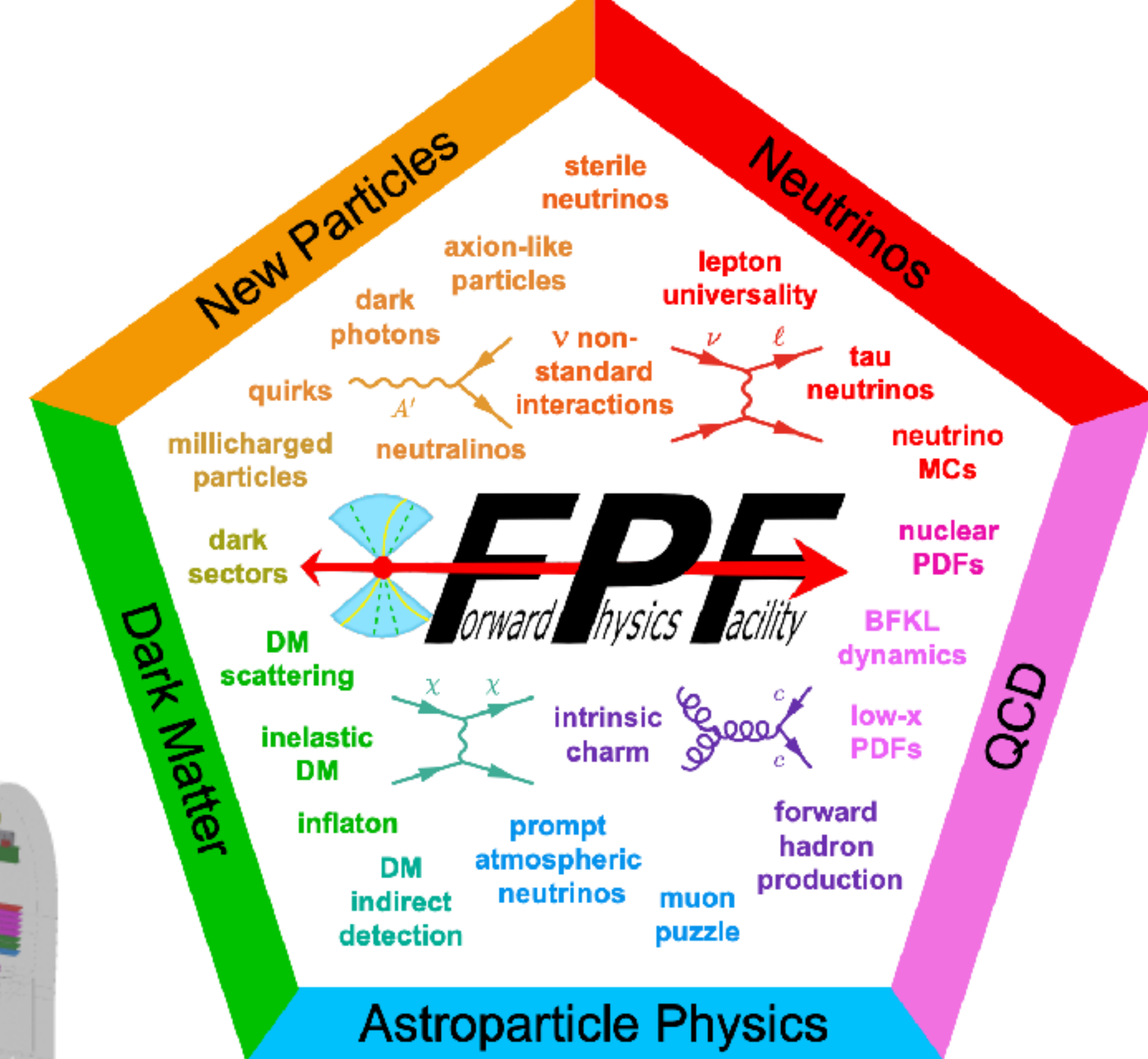


Main specifications	
Pixel Size	65 $\mu\text{m}$ side (hexagonal)
Pixel dynamic range	0.5 $\div$ 65 fC
Cluster size	O(1000) pixels
Readout time	< 200 $\mu\text{s}$
Power consumption	< 150 mW/cm <sup>2</sup>
Time resolution	< 300 ps

# Forward Physics Facility (FPF)



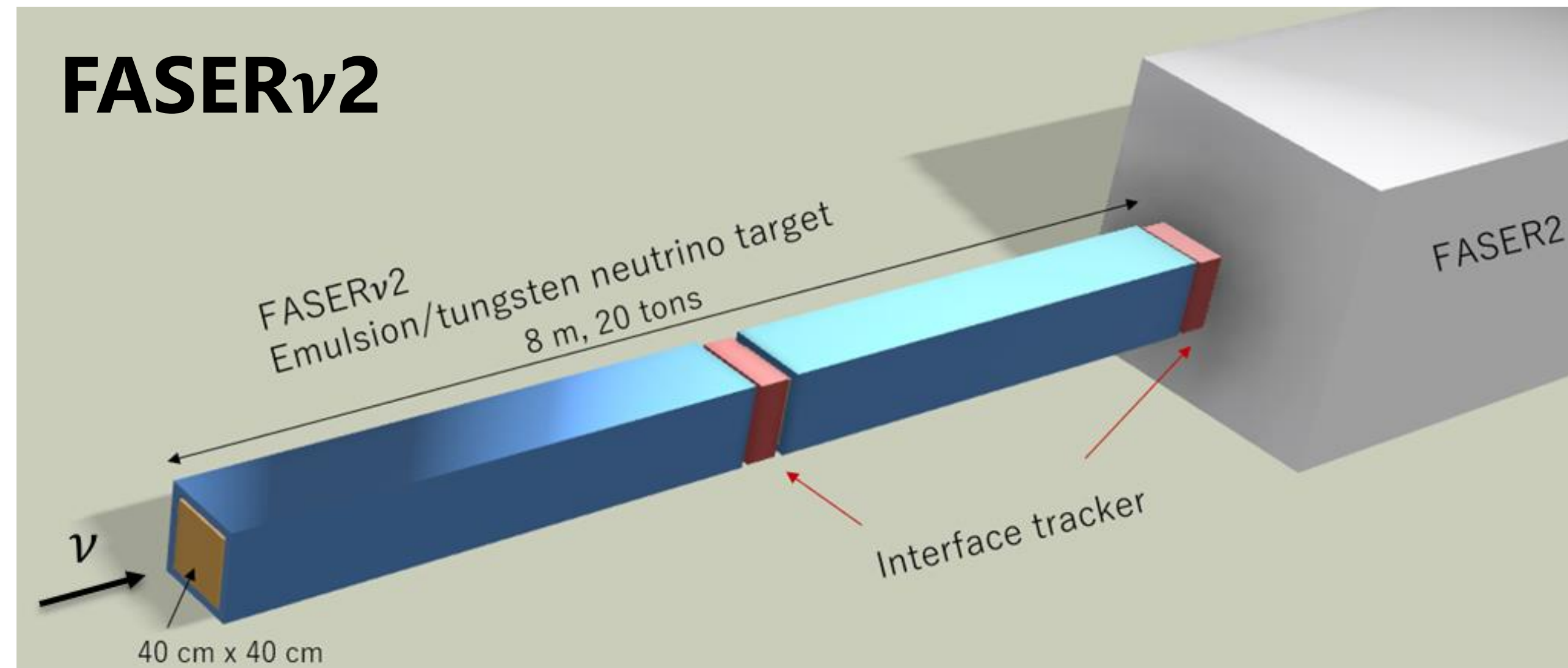
FPF White Paper  
arXiv:2203.05090



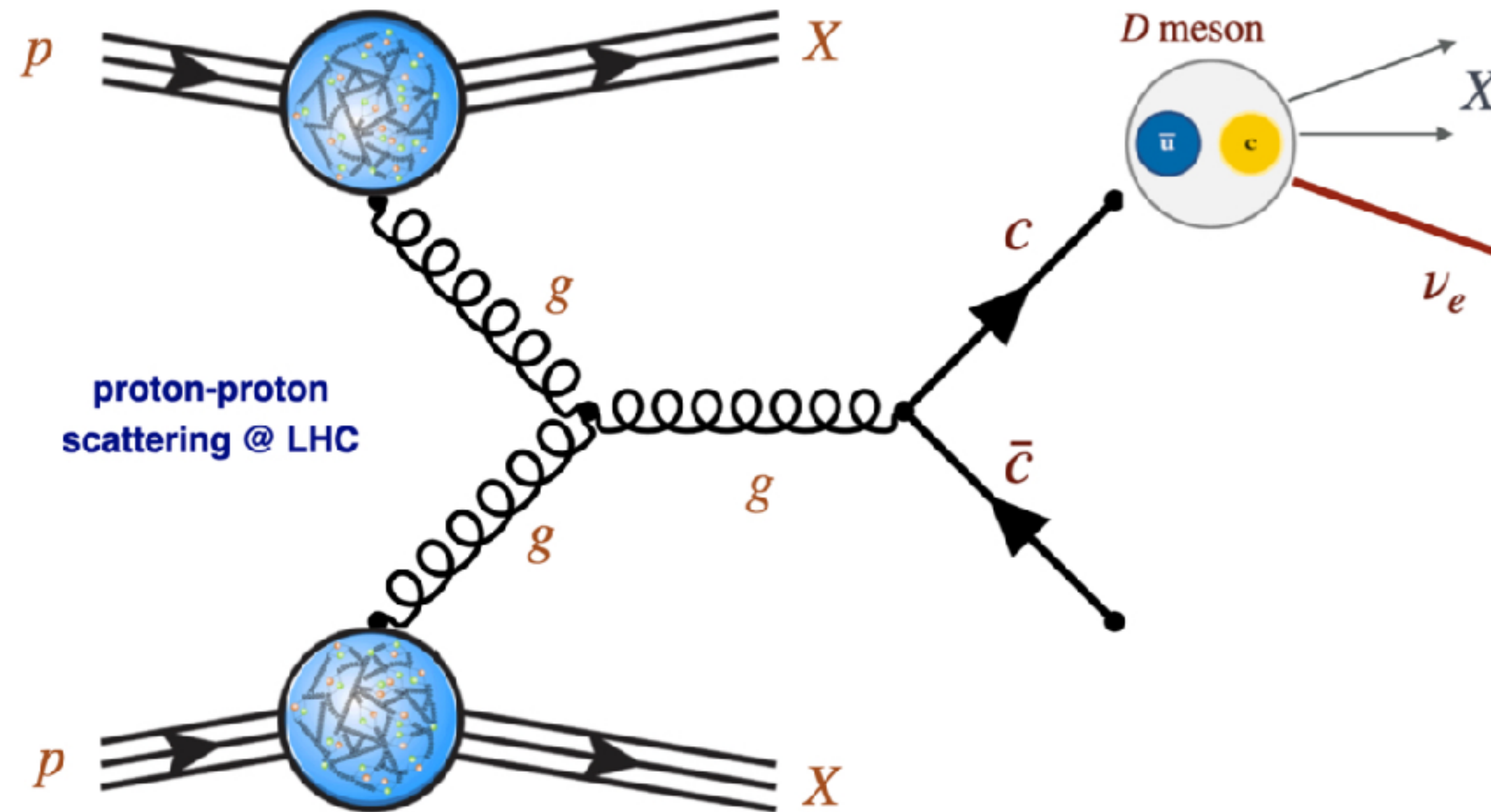
- FPF is a proposal to create a new facility ~650 m away on the LOS for HL-LHC era
- Probes topics that span multiple frontiers including QCD
- Studies proton/nuclear PDFs
  - Essential input to realizing the full potential of the HL-LHC
- Provides opportunities for interdisciplinary studies
  - Understanding hadron production related to cosmic-ray experiments

(More details in backups)

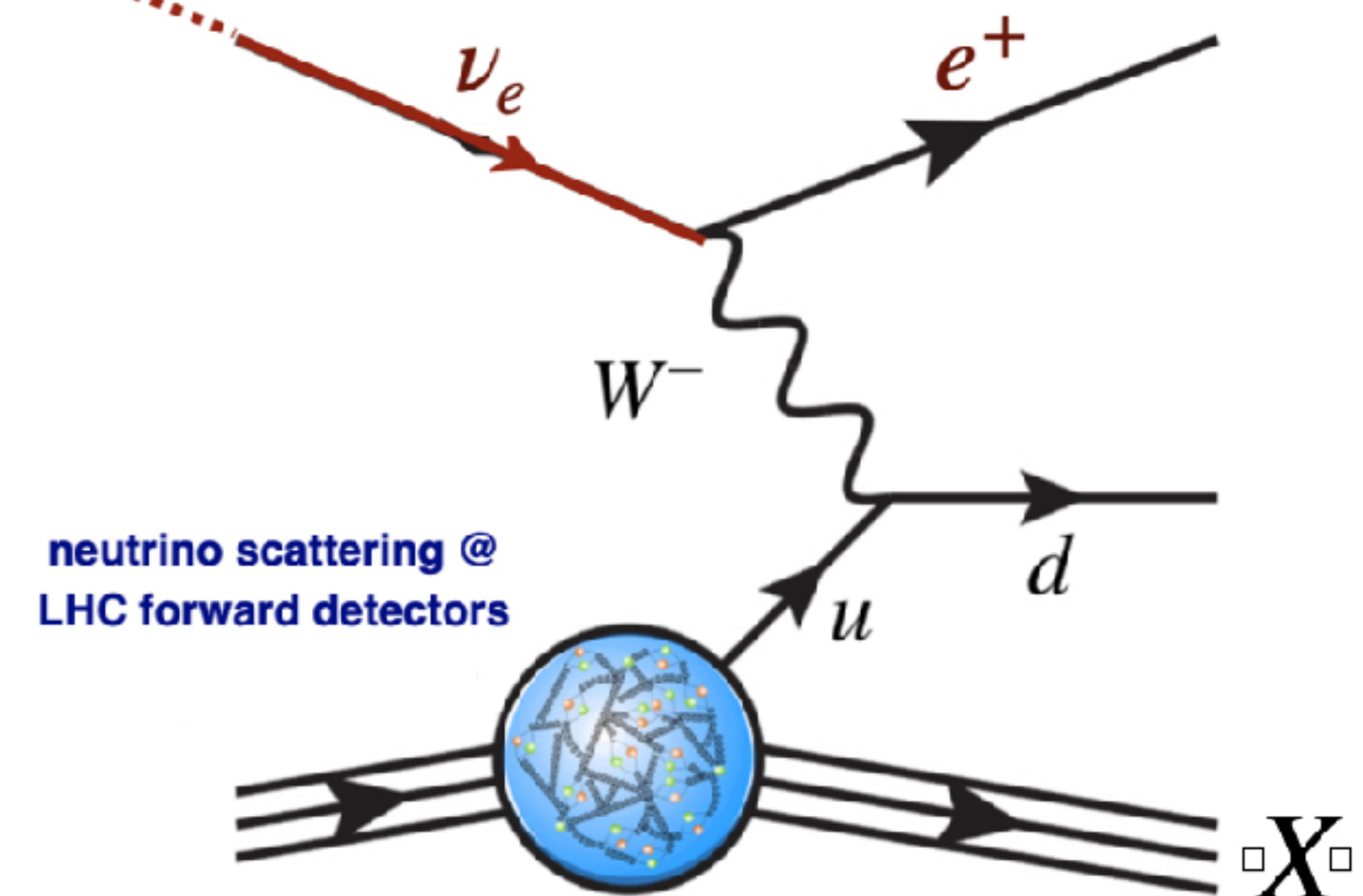
# FASER $\nu$ 2



- 20 tons target mass emulsion neutrino detector
- Studying possibility of installing a dedicated sweeper magnet to reduce muon background
  - Emulsion detector replacement: Once per a year
- $\sim O(1000)$  expected tau neutrino interactions
  - First detection of Anti-tau neutrino



- ### QCD in Neutrino Scattering
- Deep-inelastic scattering with TeV neutrinos
  - Antiquark flavour separation & strangeness PDF
  - Constraints on nuclear structure
  - Cross-sections for oscillation & atmospheric  $\nu$ 's

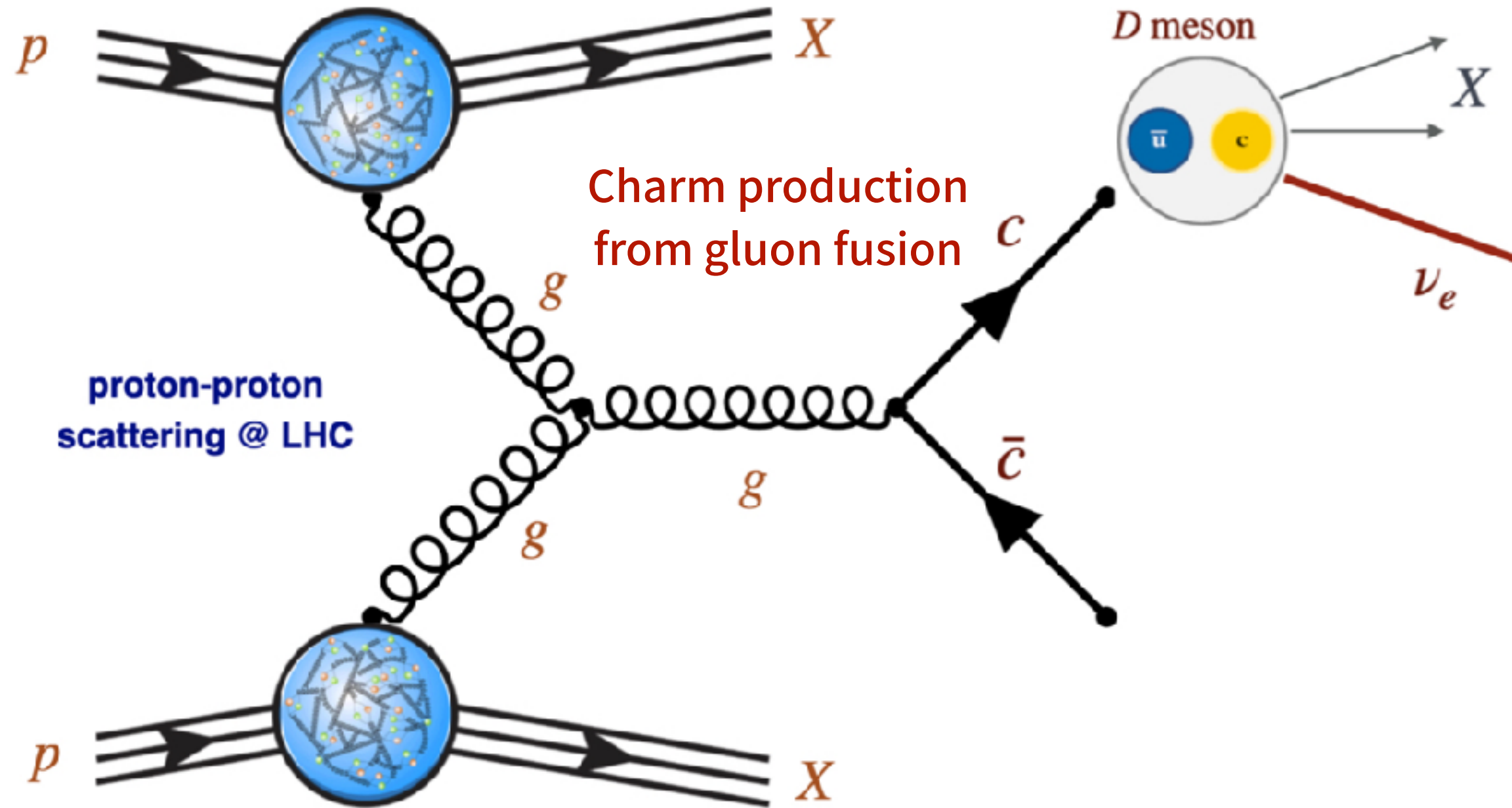


- ### QCD in Neutrino Production
- Small-x gluon & large-x charm PDFs
  - BFKL, non-linear QCD, cross-sections for UHE neutrinos
  - *D*-meson fragmentation
  - Forward light hadron production & cosmic ray modelling

# QCD at FPF

$$R_y^{(e)} \equiv \frac{N_{\nu_e}(E_\nu, 7.5 < y_\nu < 8.0)}{N_{\nu_e}(E_\nu, 8.5 < y_\nu < 9.0)}$$

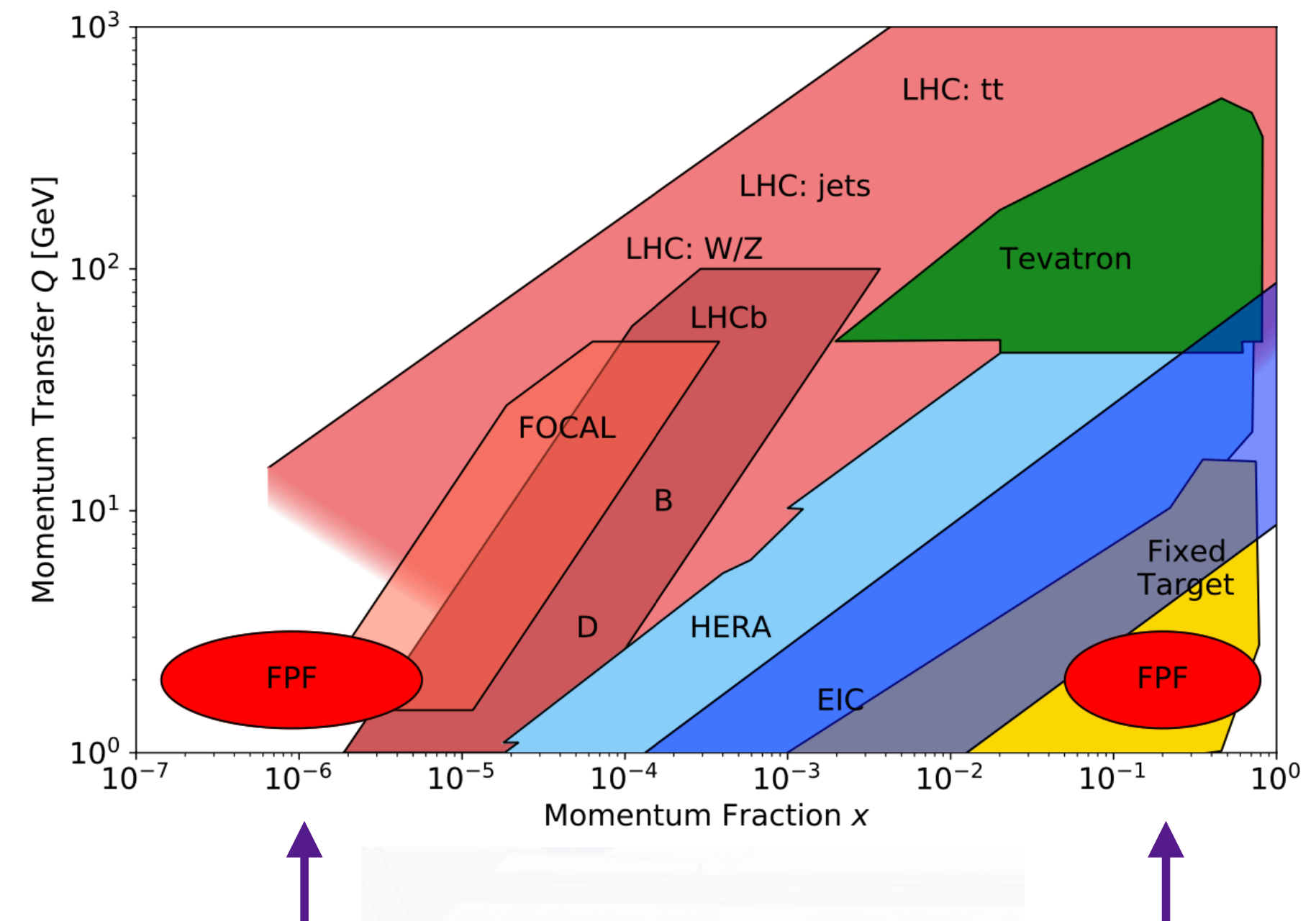
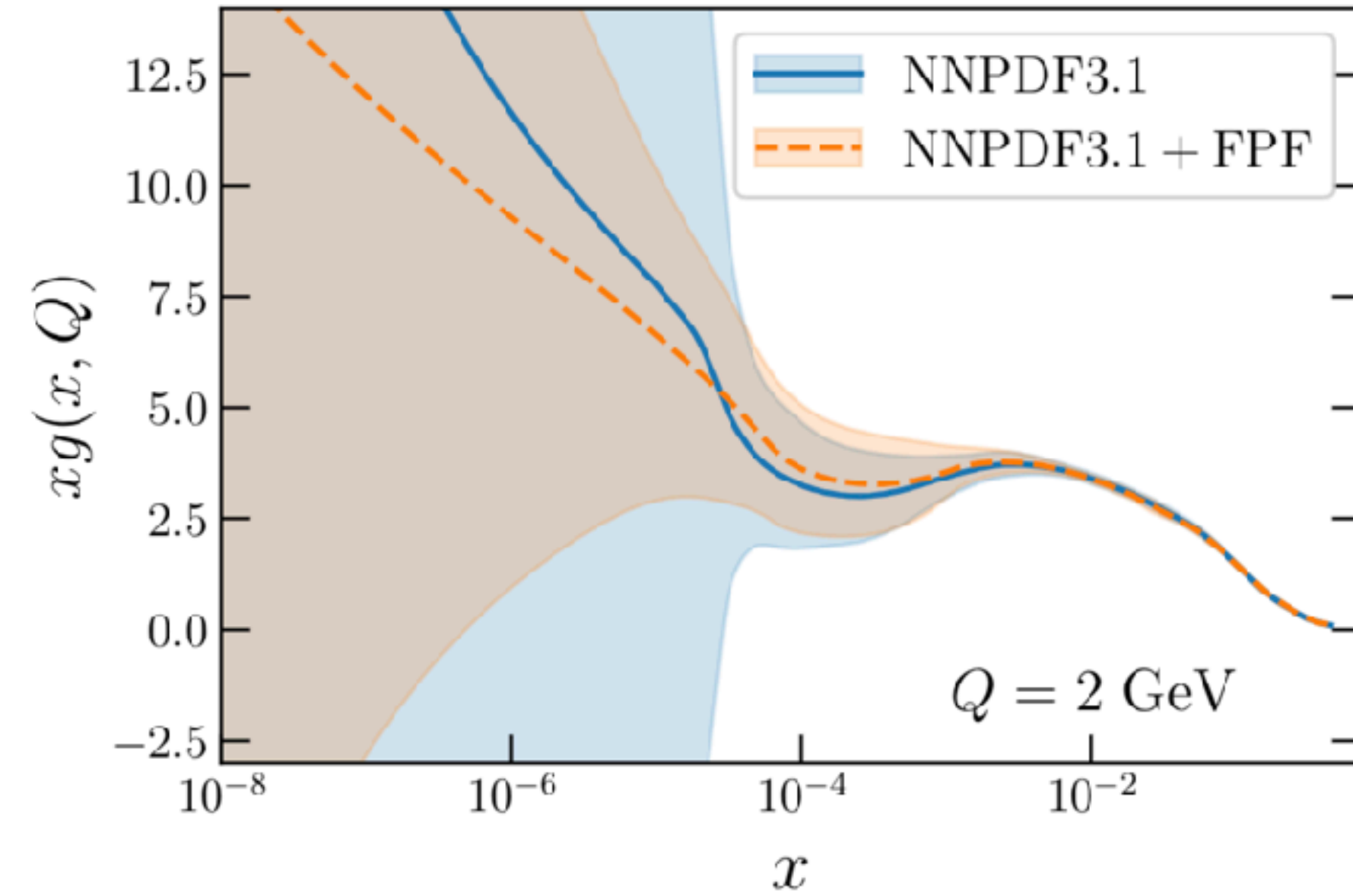
↑  
rapidity



## QCD in Neutrino Production

- Small- $x$  gluon & large- $x$  charm PDFs
- BFKL, non-linear QCD, cross-sections for UHE neutrinos
- $D$ -meson fragmentation
- Forward light hadron production & cosmic ray modelling

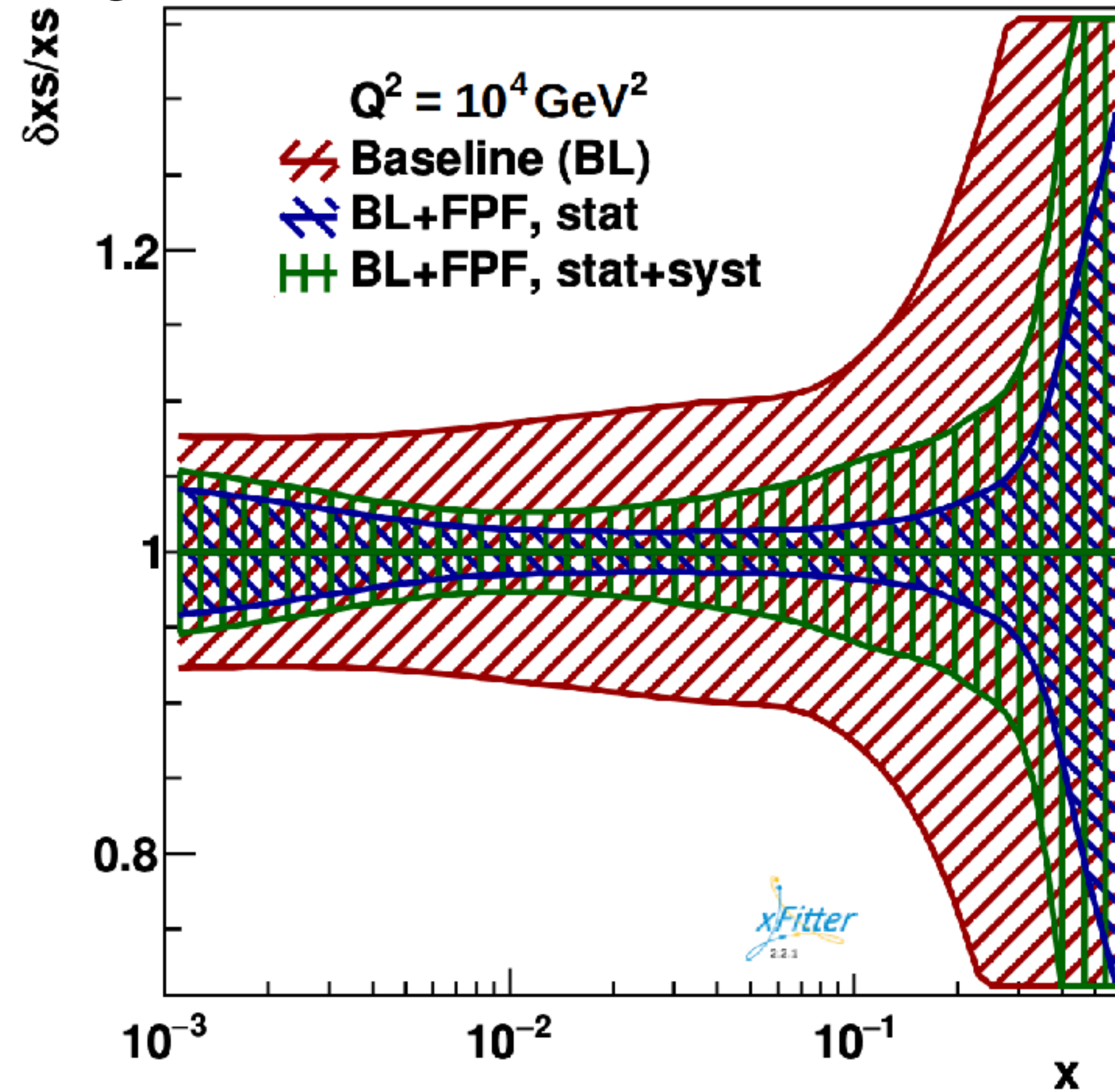
Gluon PDF constrained by electron neutrinos,  
2% uncertainty in inclusive event rates



# QCD at FPF

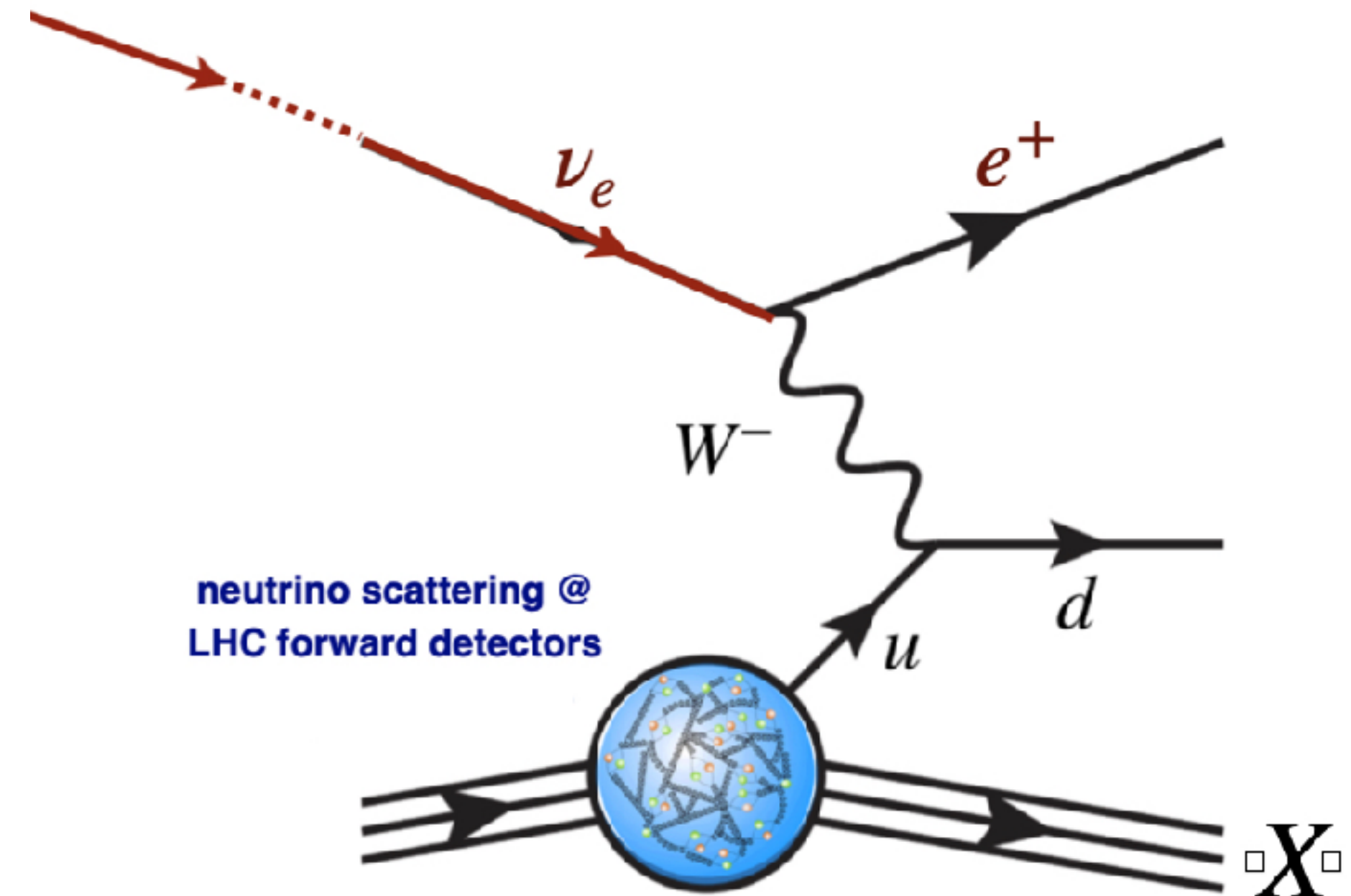
J. Cruz-Martinez et al, "The LHC as a Neutrino-Ion Collider" (2023)

strangeness PDF (FASERv2, AdvSND, and FLArE10)



**QCD in Neutrino Scattering**

- Deep-inelastic scattering with TeV neutrinos
- Antiquark flavour separation & strangeness PDF
- Constraints on nuclear structure
- Cross-sections for oscillation & atmospheric  $\nu$ 's



- Impact on proton PDFs quantified by the Hessian profiling of PDF4LHC21 (xFitter) and by direct inclusion in the global NNPDF4.0 fit



# Summary

- FASER is successfully taking data in the very forward region of the LHC from 2022
- Obtained physics results
  - Dark photon limits
  - ALPs limits
  - First detection of collider neutrinos
  - First  $\nu_e, \nu_\mu$  cross section measurements at a TeV range with emulsion detector (2% of data collected so far)
- Pre-shower calorimeter upgrade
- Discussing extended physics programs in Forward Physics Facility in HL-LHC era

# Backups

# FASER Collaboration

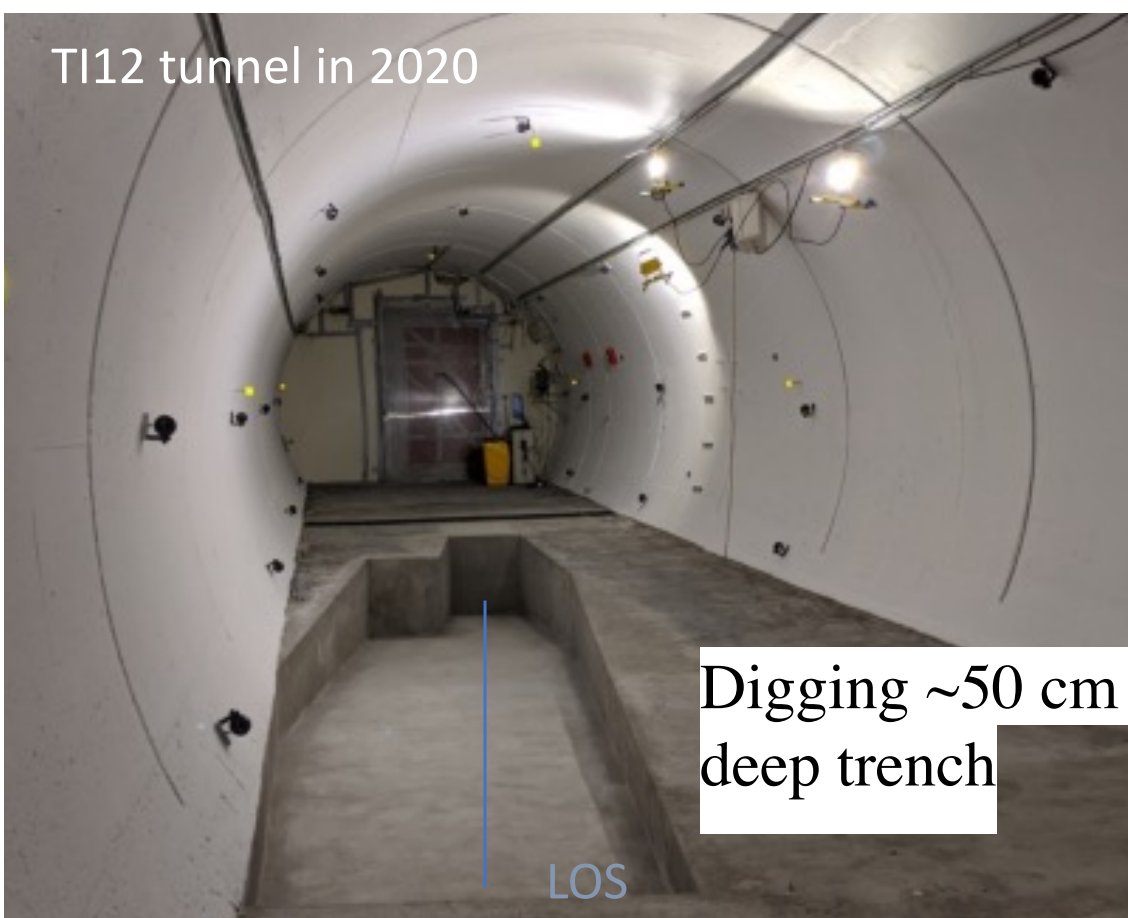
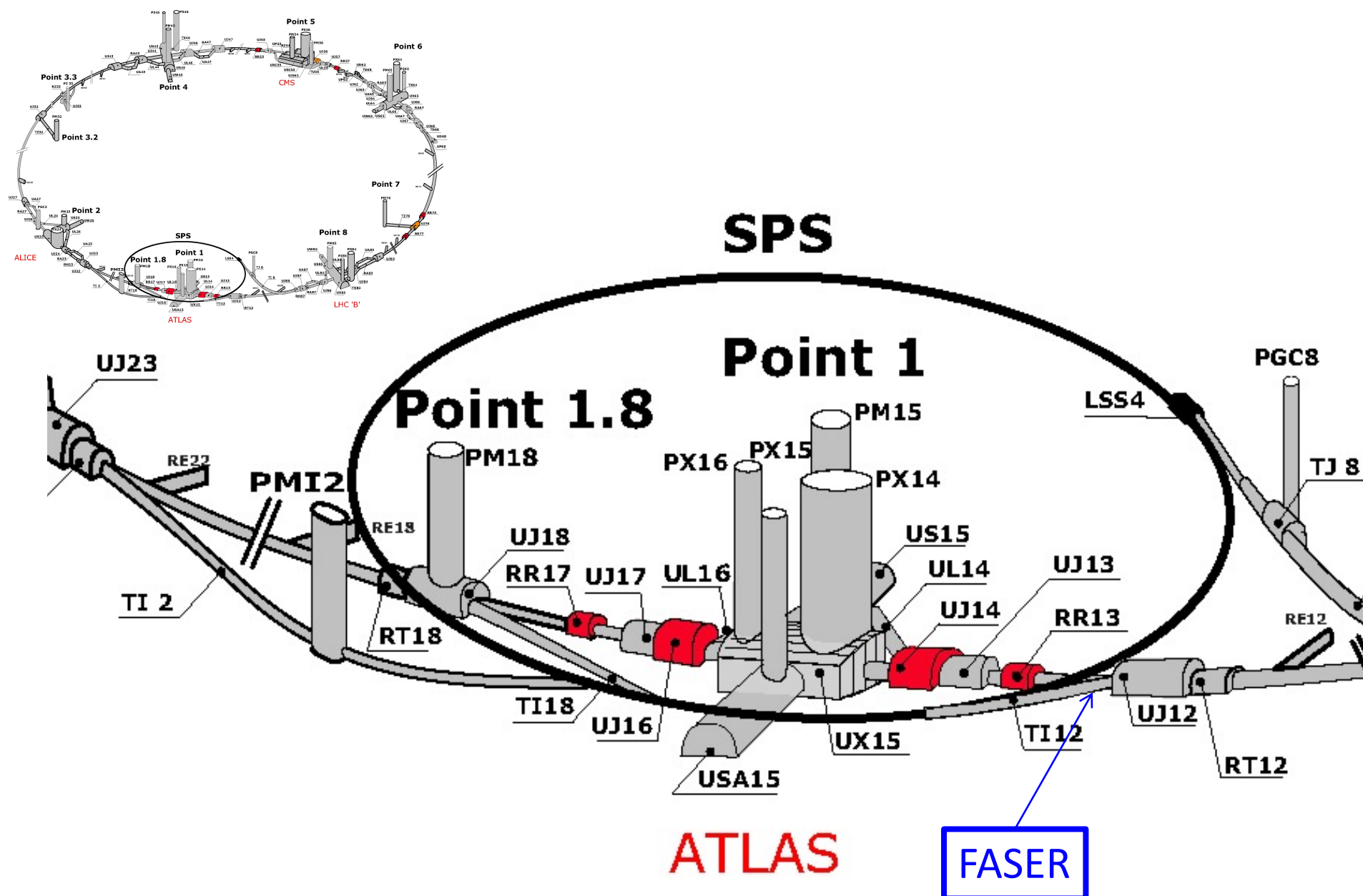
101 collaborators, 27 institutions, 11 countries



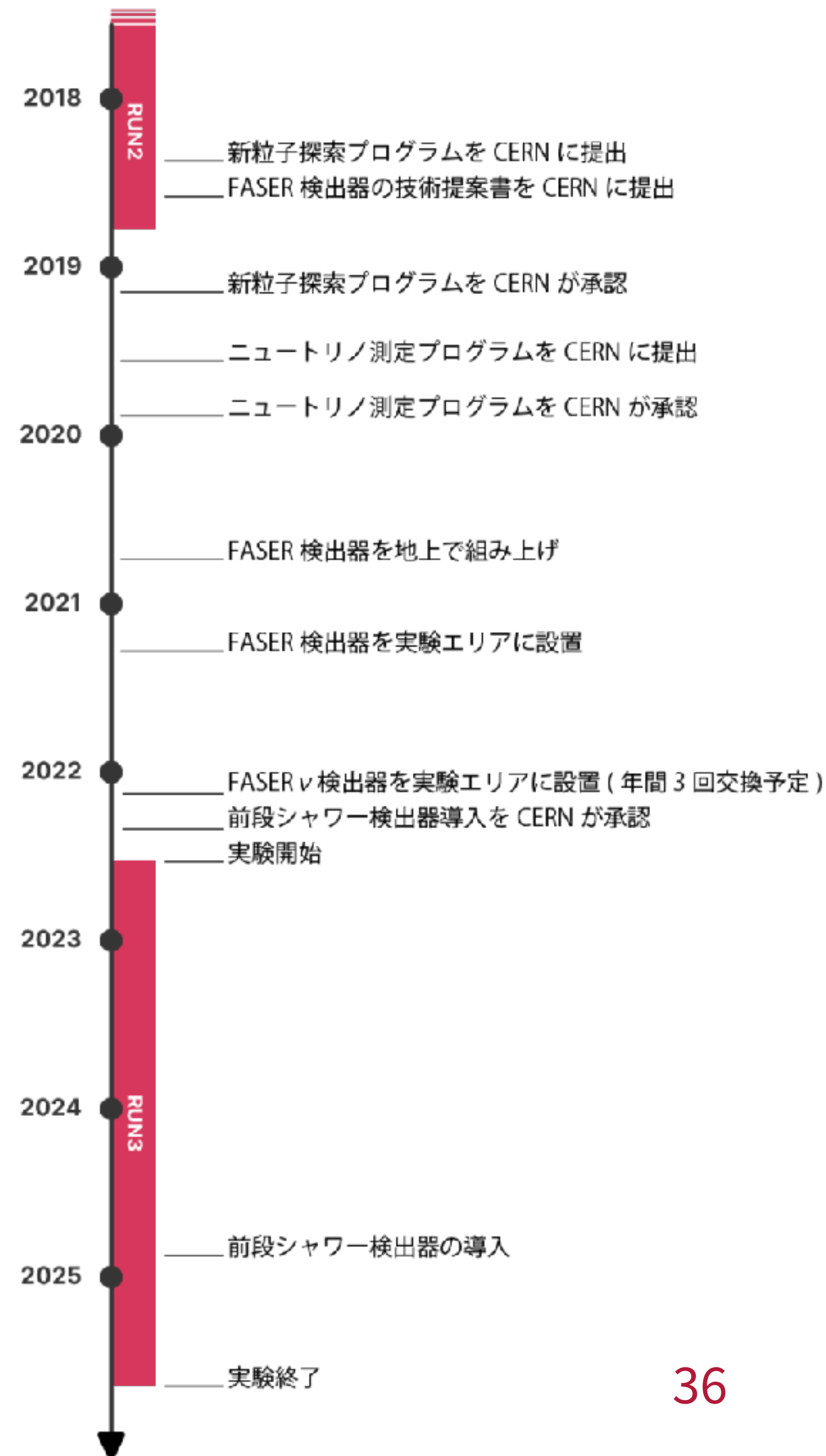
International laboratory covered by a cooperation agreement with CERN



# FASER

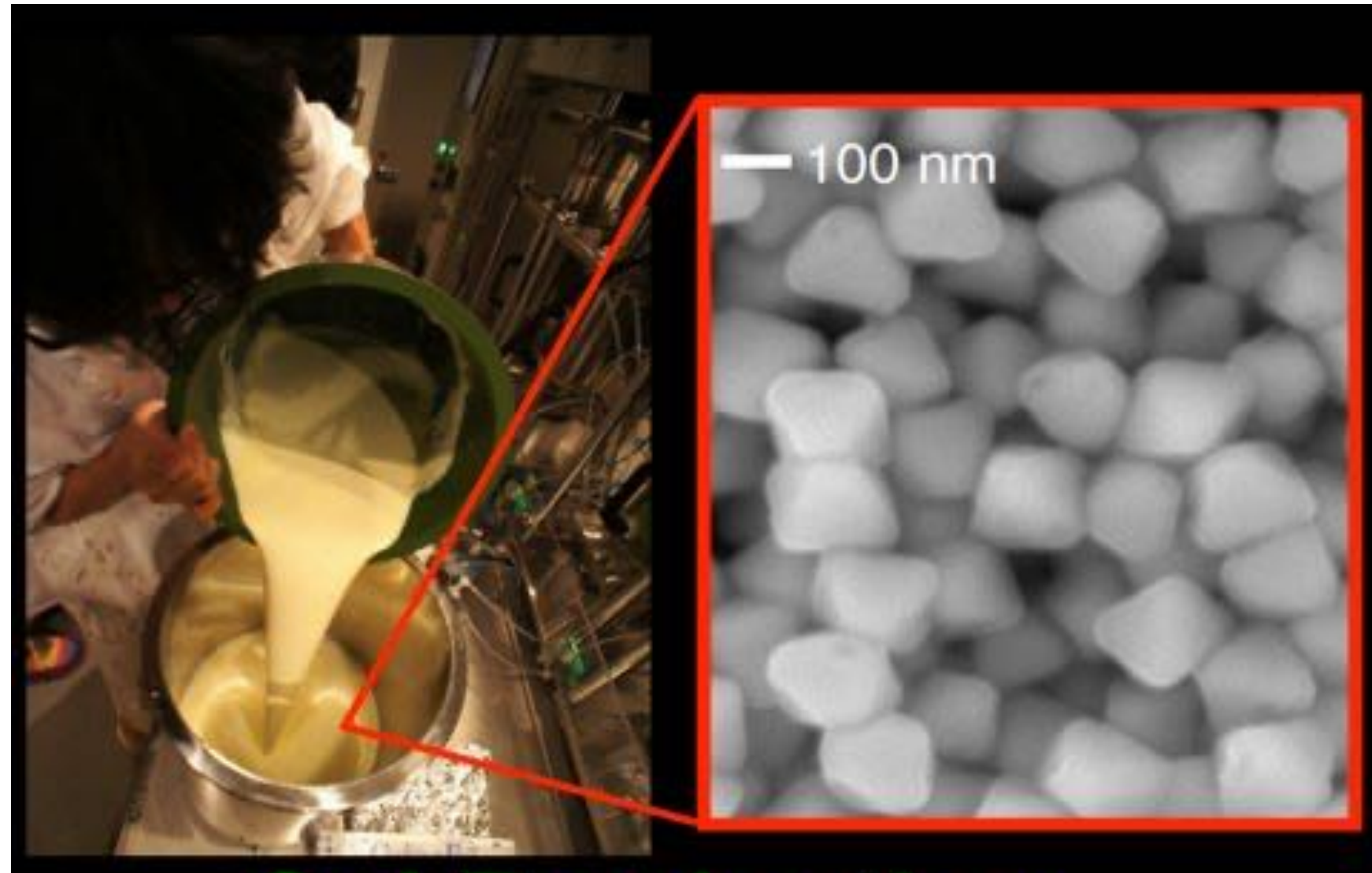


- FASER is situated in the TI12 tunnel
- Detectors were installed in 2021
- Data taking from 2022 in LHC Run3

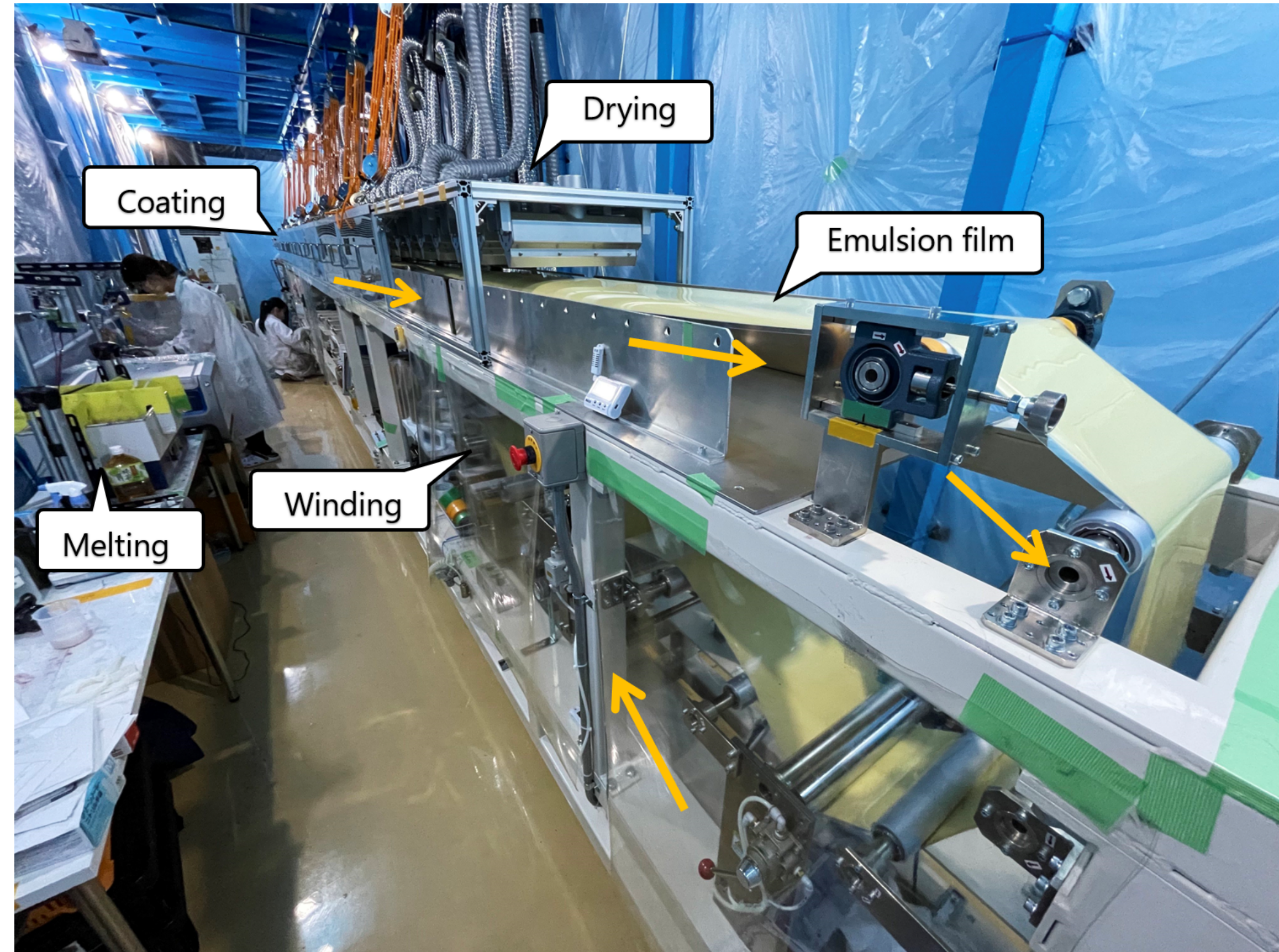


# Film Production

## Gel production

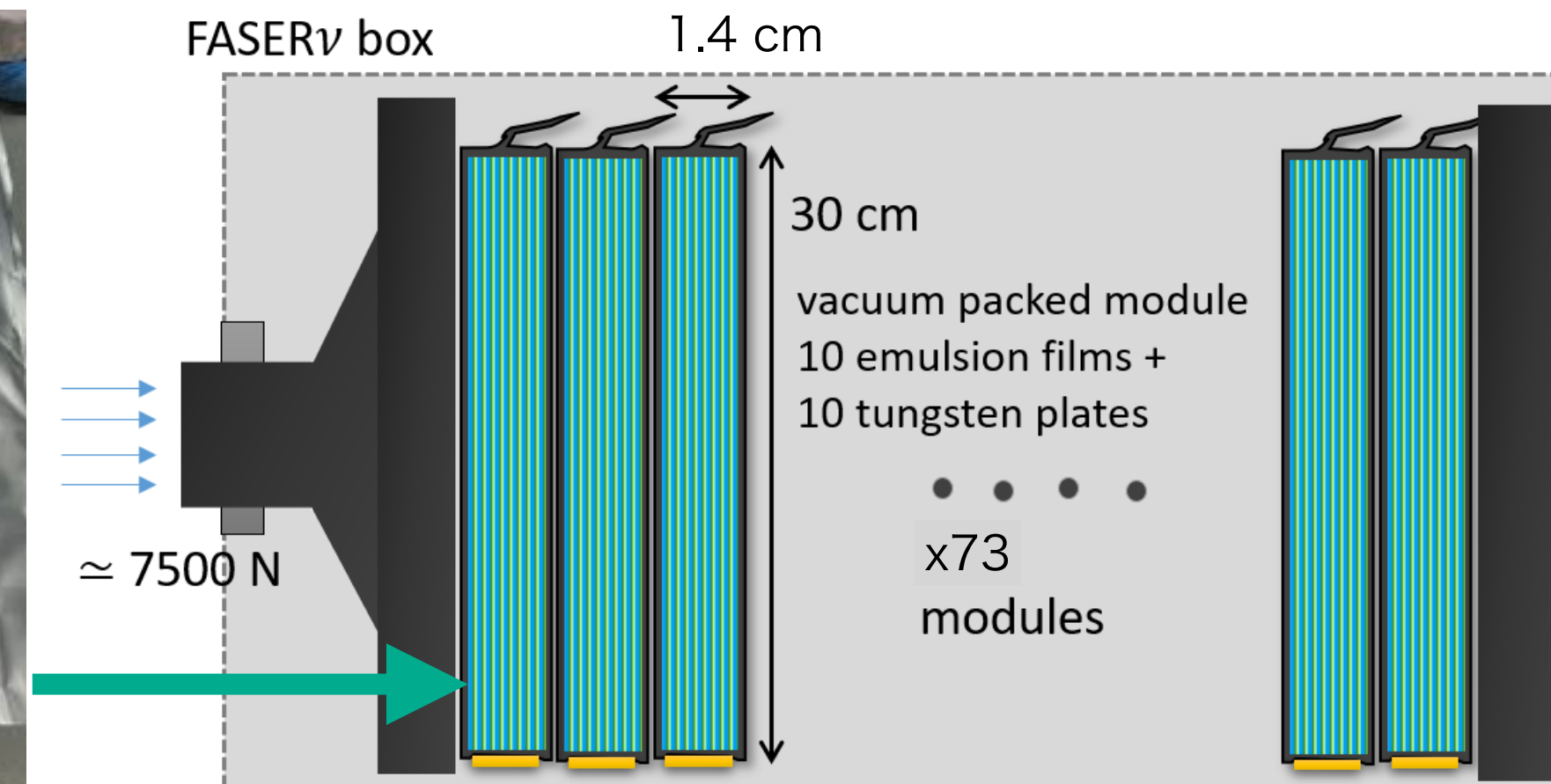
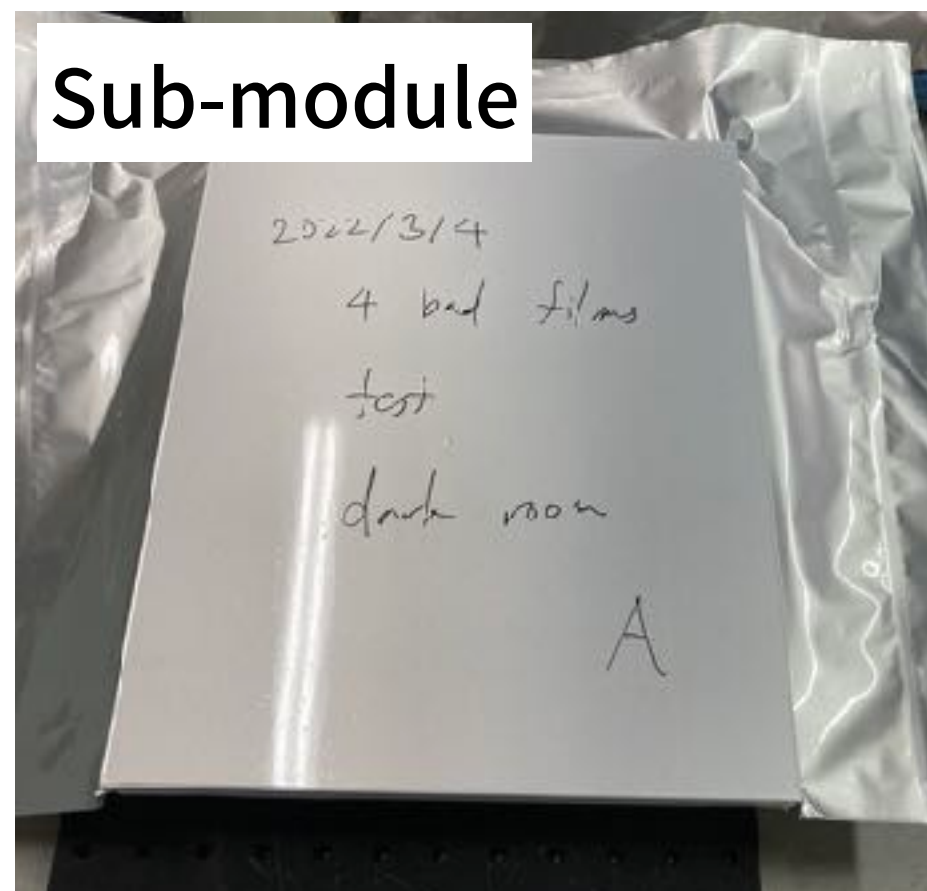
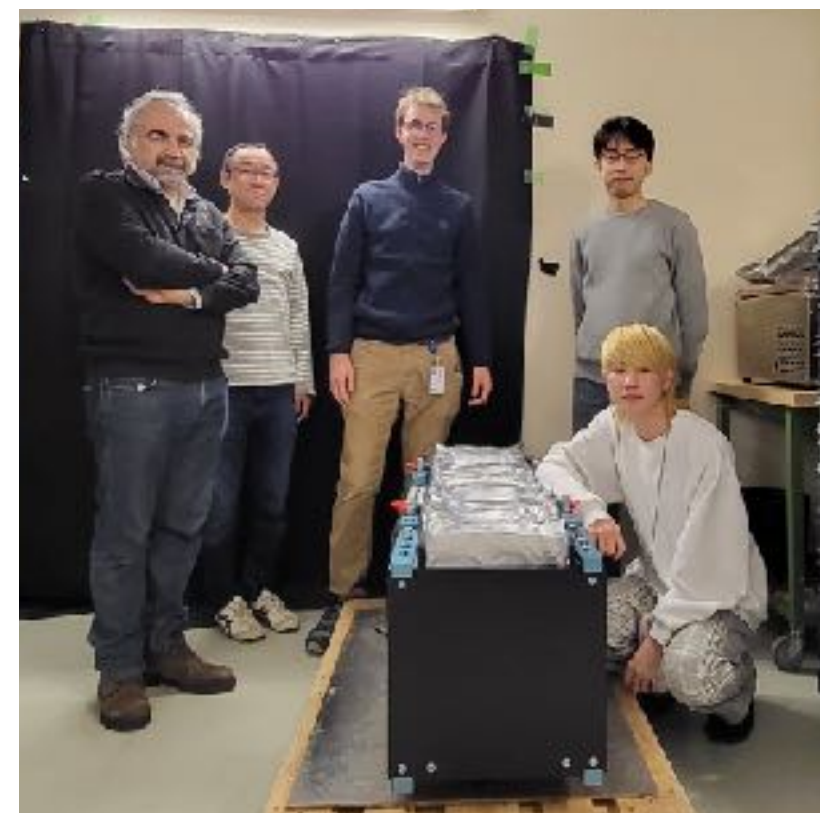
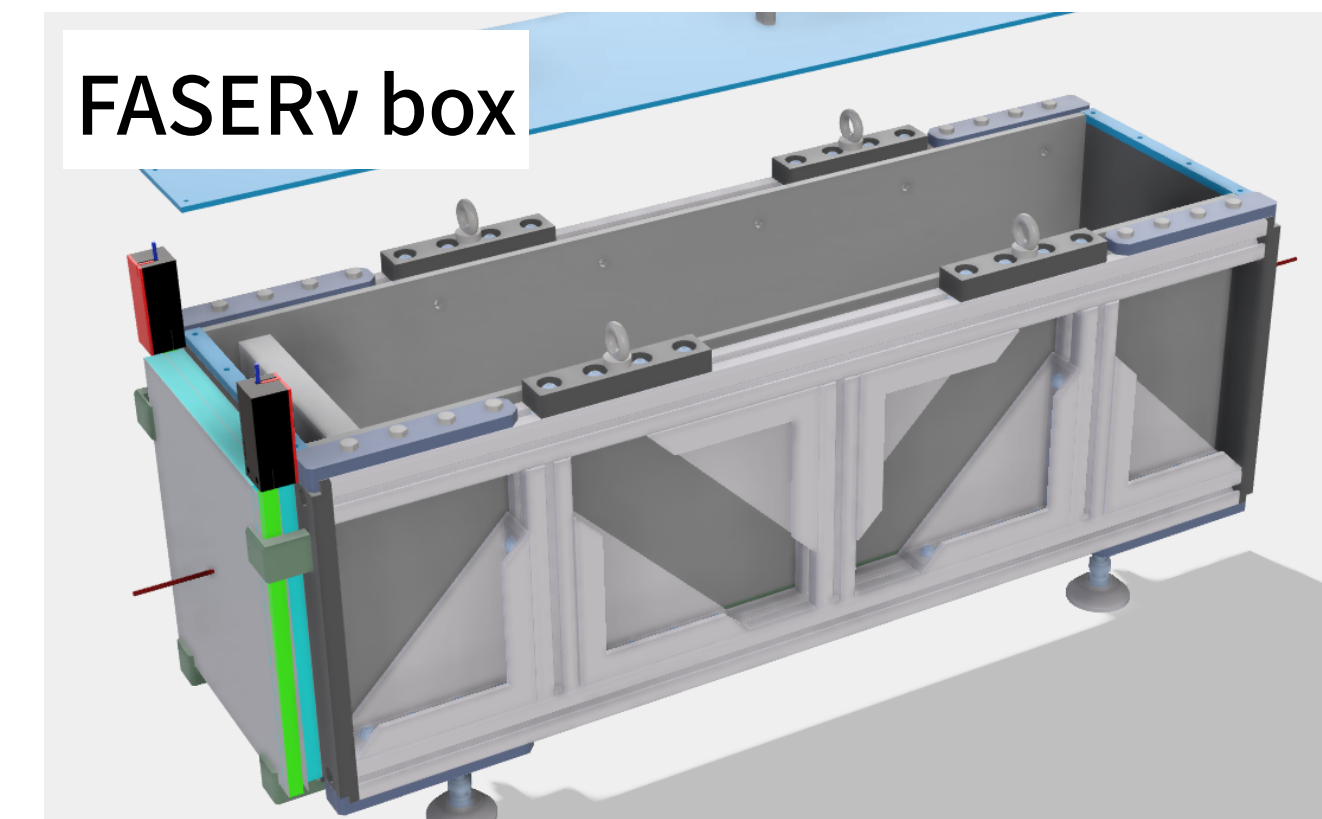
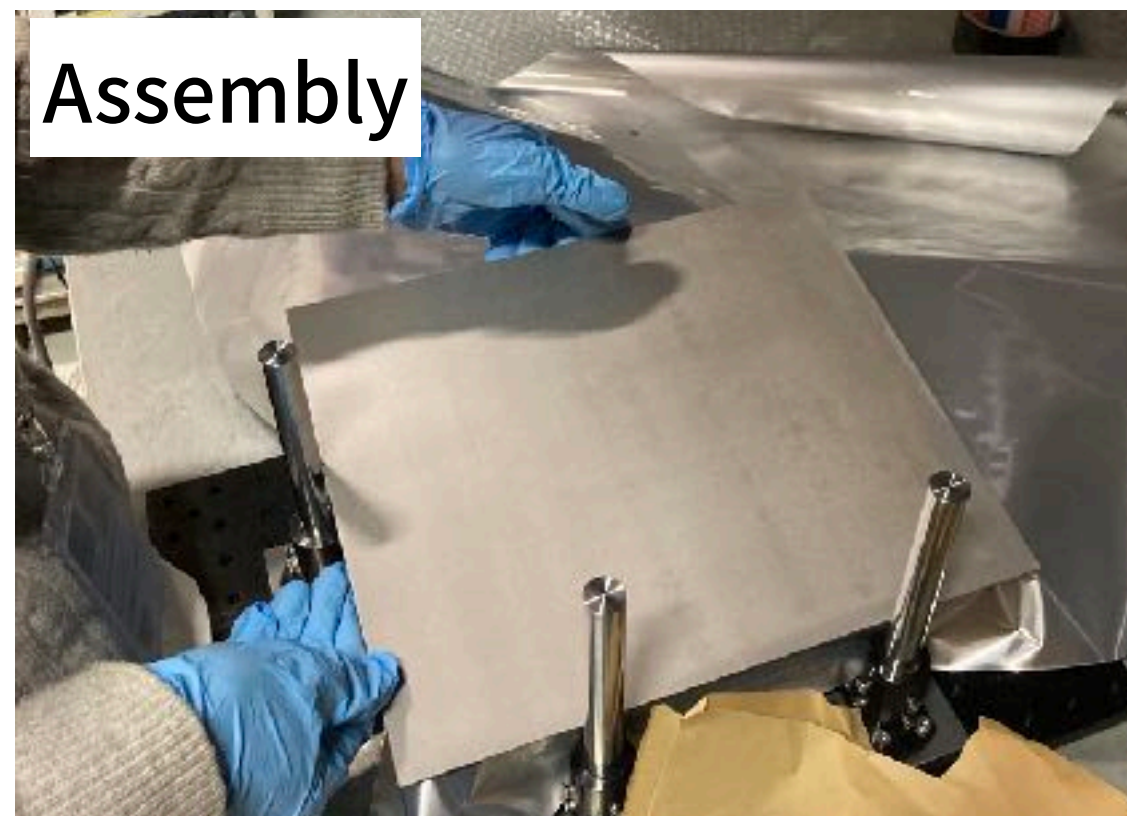
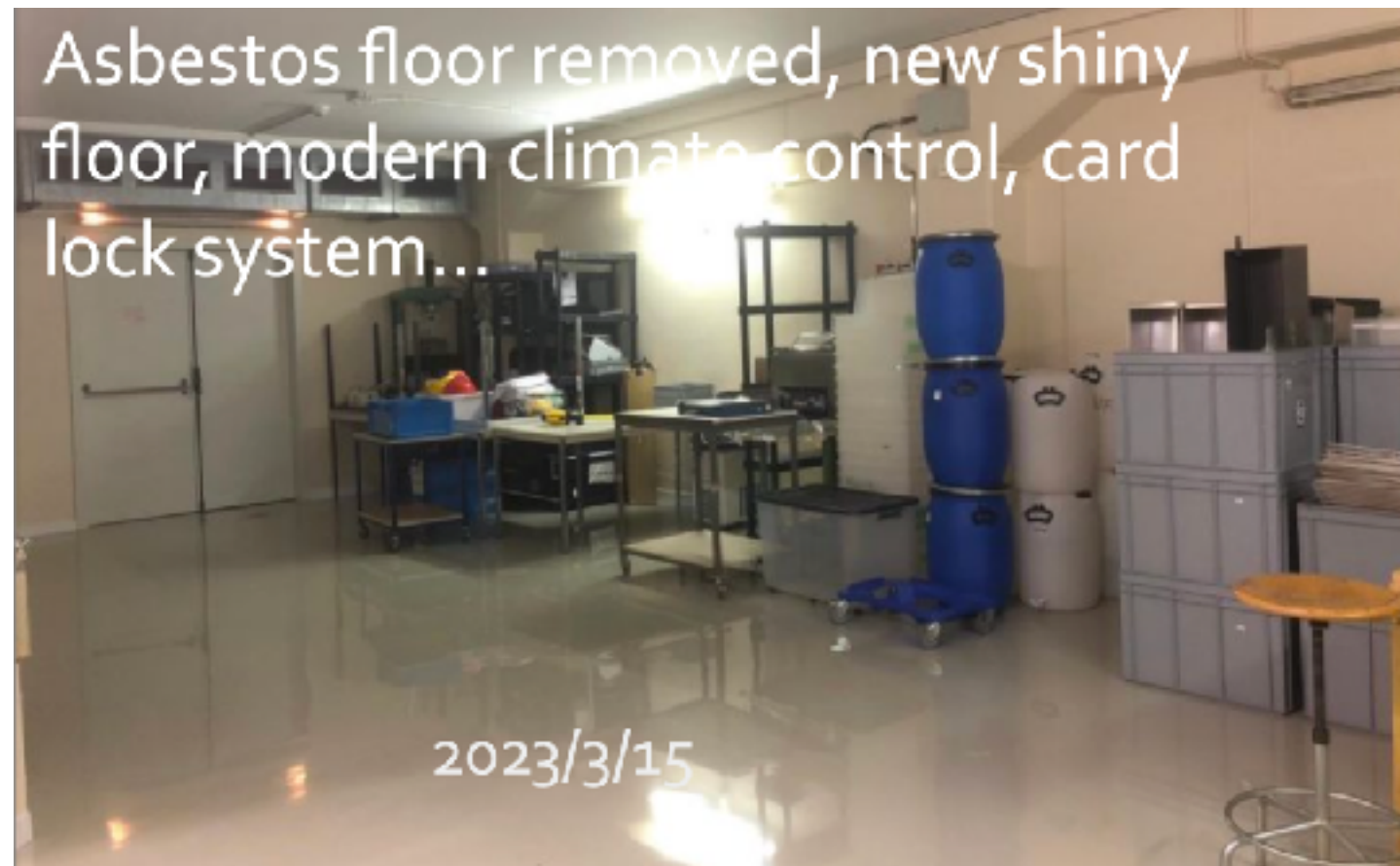


## Emulsion film coating system



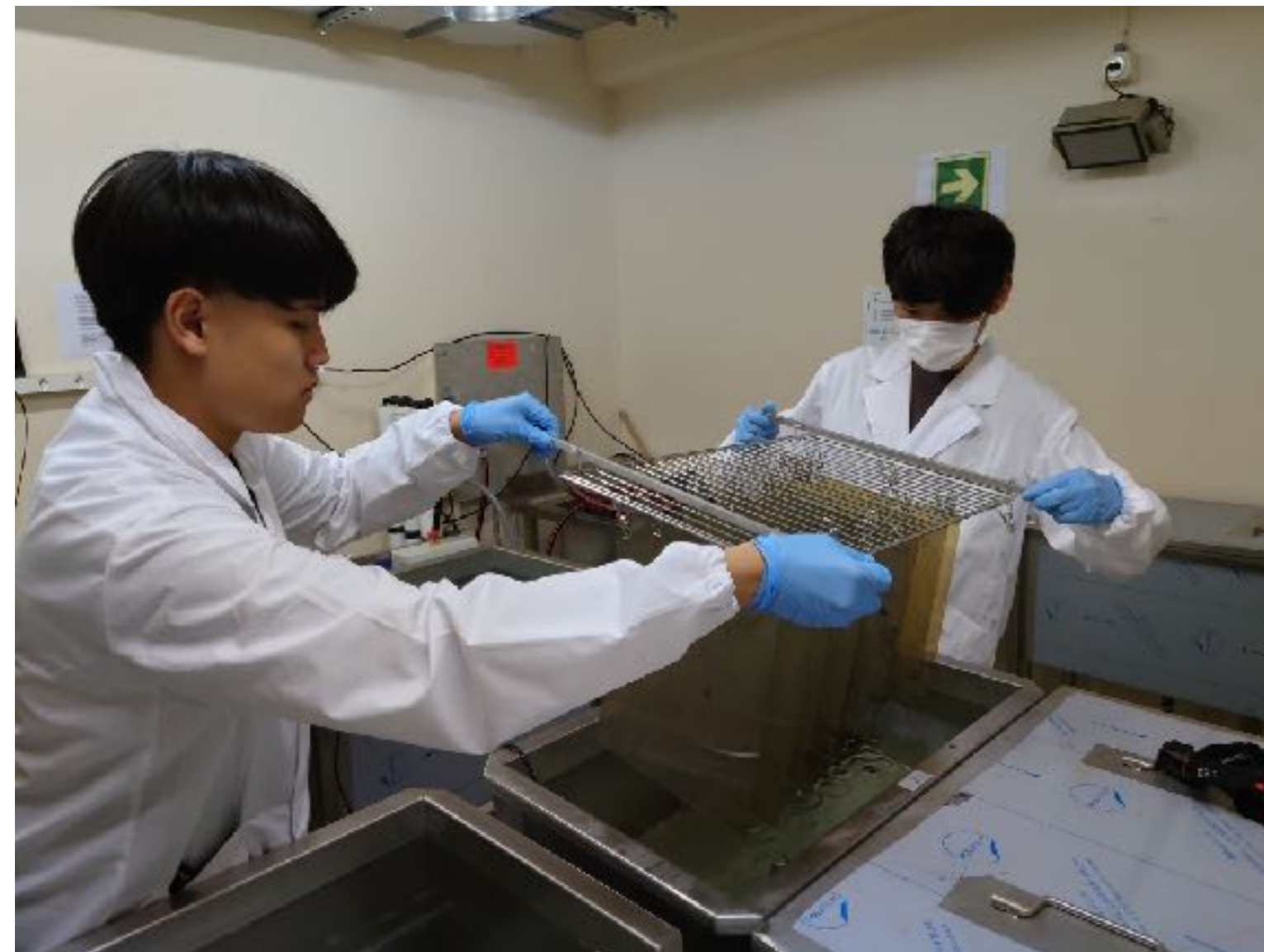
- Produced gel and film at Nagoya University
- Total area of 730 films: ~55 m<sup>2</sup> per replacement

# Module Assembly



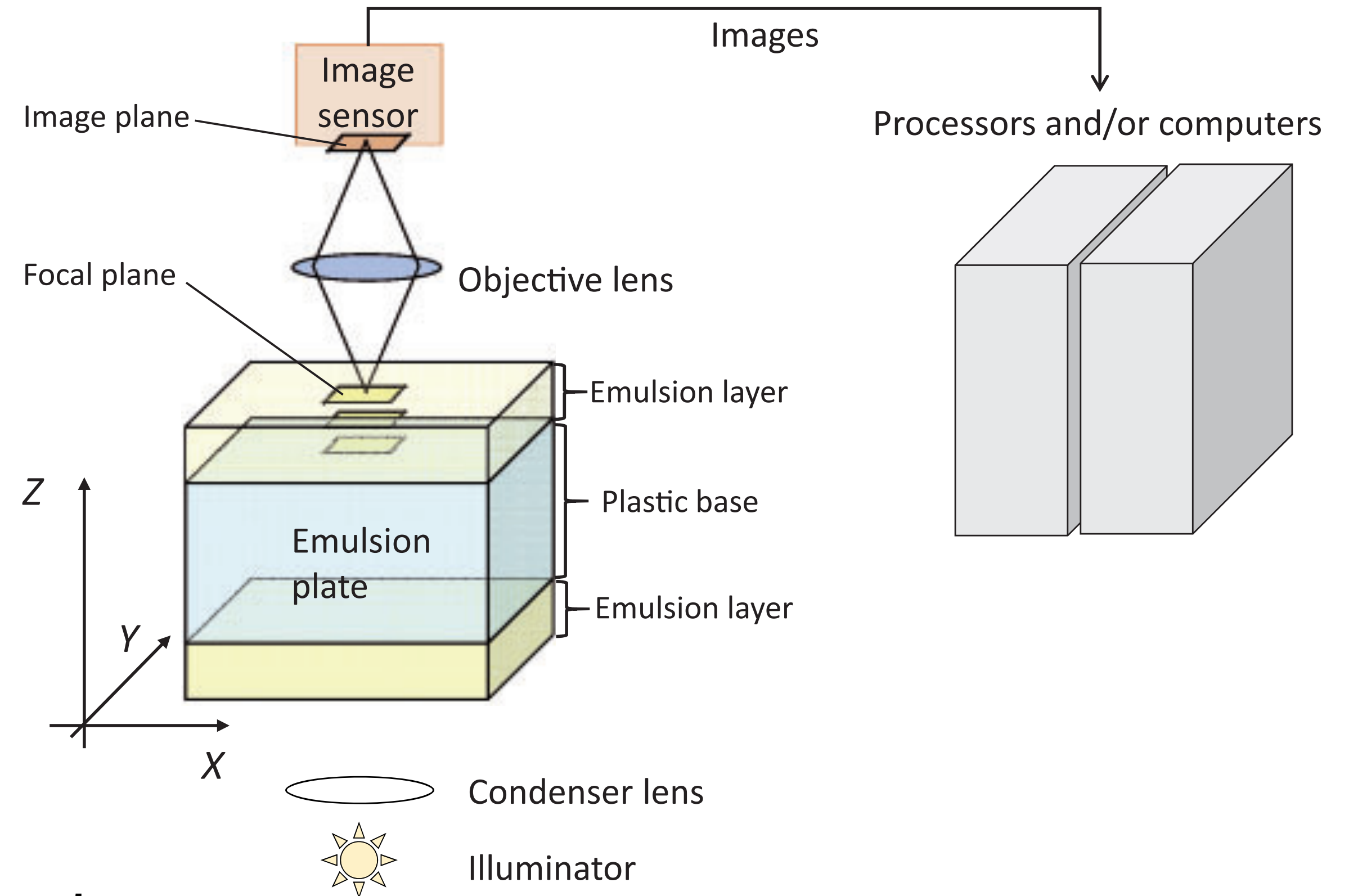
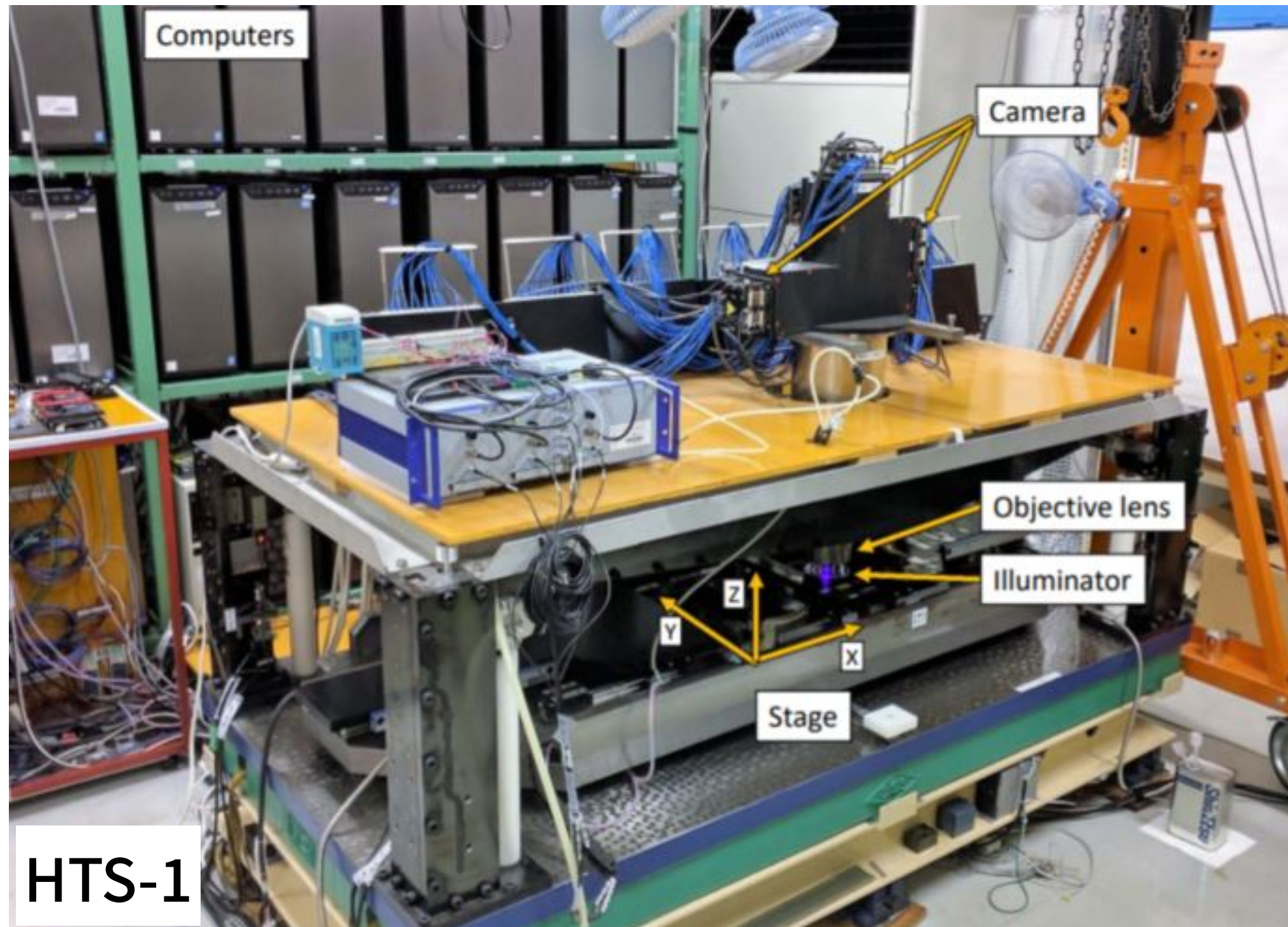
- **Sub-module: 10 films + 10 tungsten plates**
- **Vacuum-pack to keep alignment for several months**
- 10-12 days to complete 73 packs
- Apply external force (equivalent to 1 bar) to the sub-modules in the FASERv box

# Film Development



- Installed new development chains and drying racks at the renovated CERN darkroom facility
  - Sharing the facility with other emulsion experiments: NA65/DsTau, SND@LHC, etc
- 10-12 days to complete 730 films

# Readout

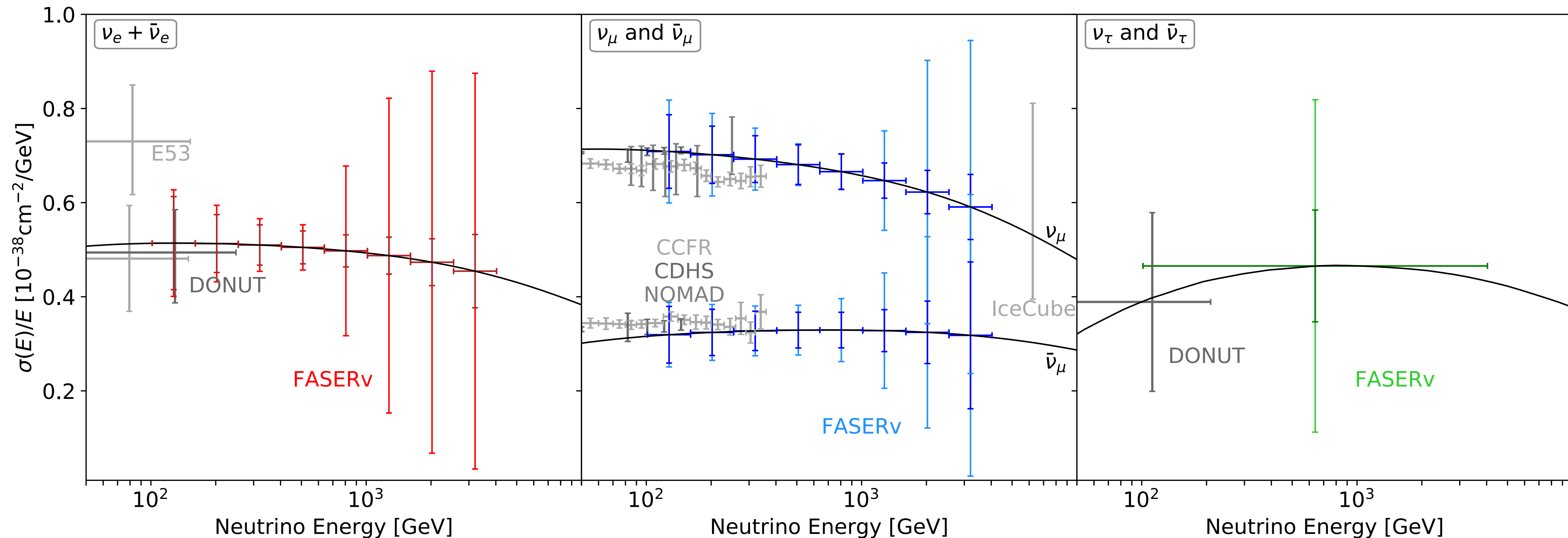


- Transport films to Japan after development
- Readout by Hyper Track Selector-1 (HTS-1)
  - Field of view: 5.1 mm × 5.1 mm
  - 60-80 minutes per a film



# FASERν Cross-Section Sensitivity

(150 fb<sup>-1</sup>)



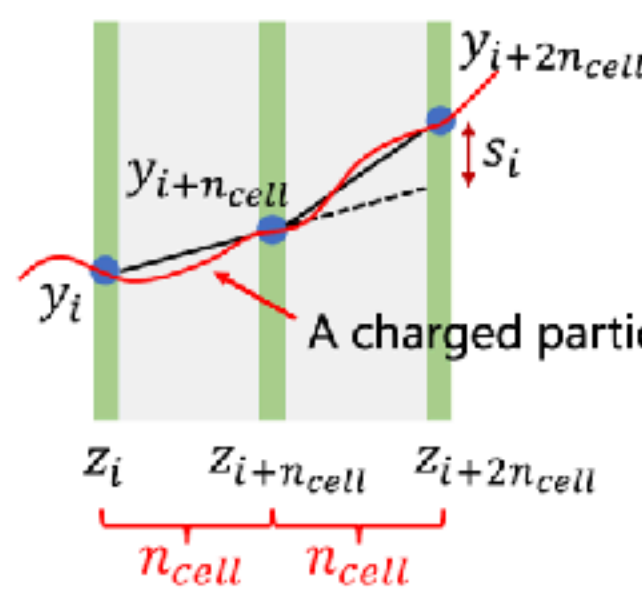
(inner error bars: statistical uncertainties, outer error bars: uncertainties from neutrino production rate)

- **Three flavors neutrino cross-section measurements for unexplored energy ranges**
- Neutrino energy reconstruction with resolution of 30% expected from simulation studies

# Momentum Measurement

## Coordinate method to measure momentum

① Calculate position difference  $s_i$

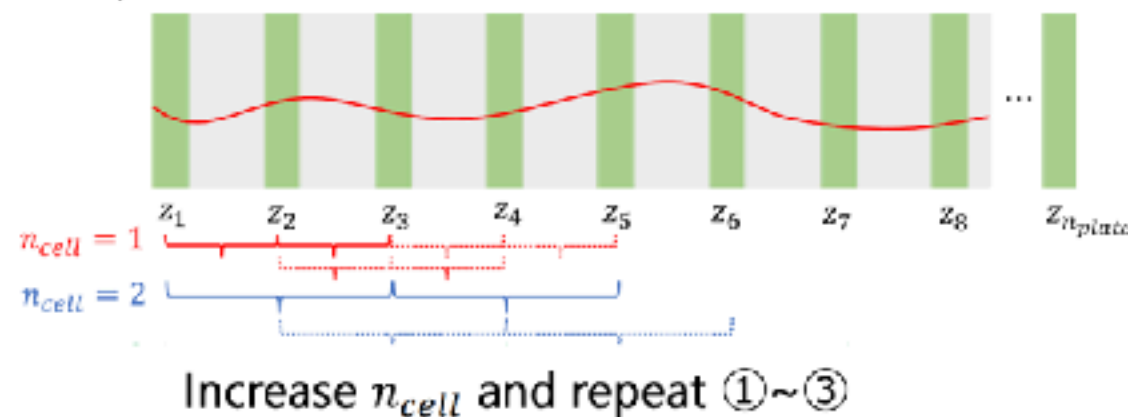


$$s_{plane}^{RMS} = \sqrt{\left(\frac{2}{3} \cdot \frac{13.6MeV}{p} \cdot n_{cell} \cdot z_{cell} \sqrt{\frac{n_{cell} \cdot z_{cell}}{X_C}}\right)^2 + (\sqrt{6}\sigma_{pos})^2} \dots (1)$$

$z_{cell}$  : The thickness of one emulsion film and tungsten plate  
 $X_C$  : Compound radiation length (4.57 mm, cf.  $X_W=3.5$  mm)  
 $\sigma_{pos}$  : Position resolution

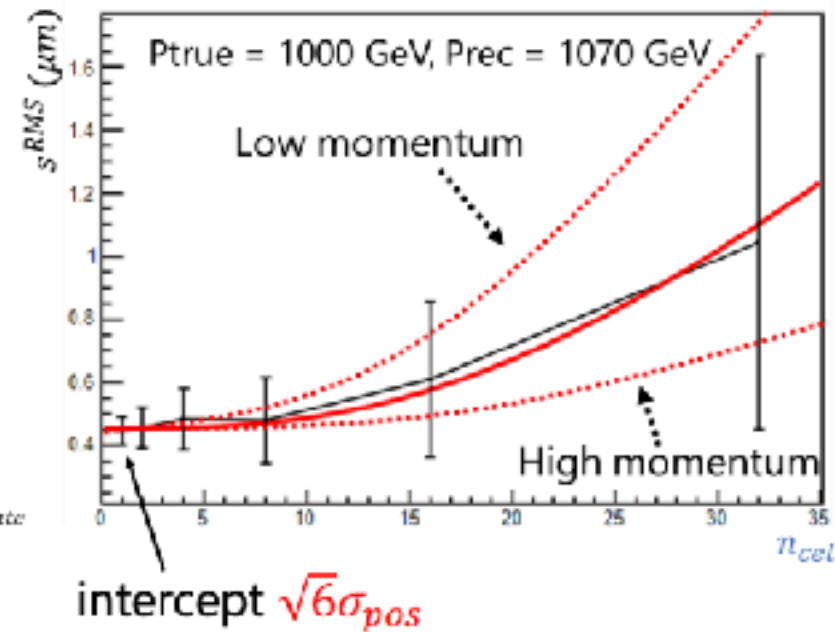
② Shift 1 segment and calculate  $s_i$

③ Calculate  $s^{RMS}$  and attach error considering independent stats

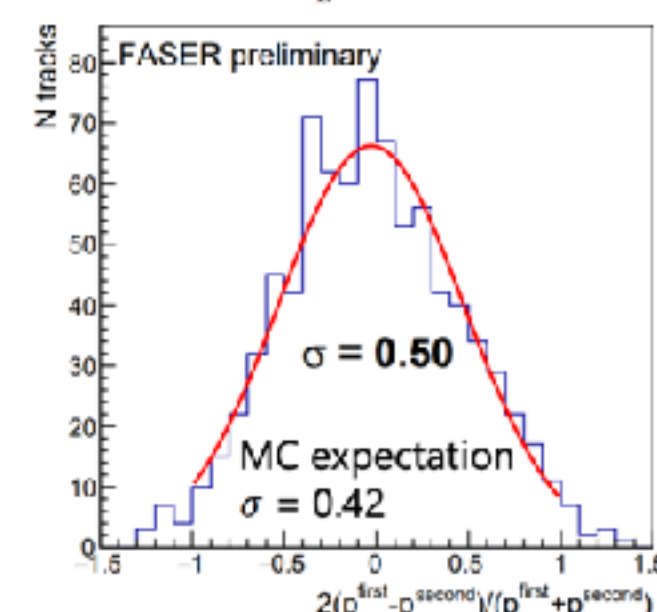
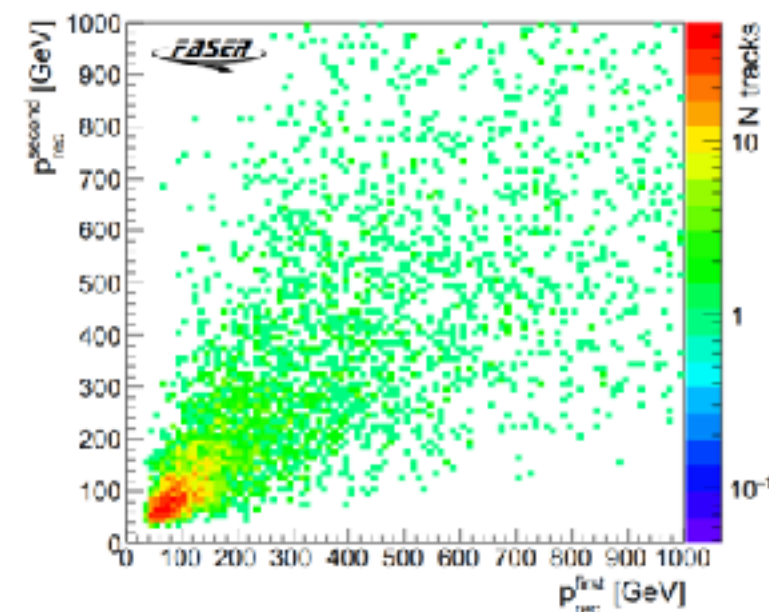
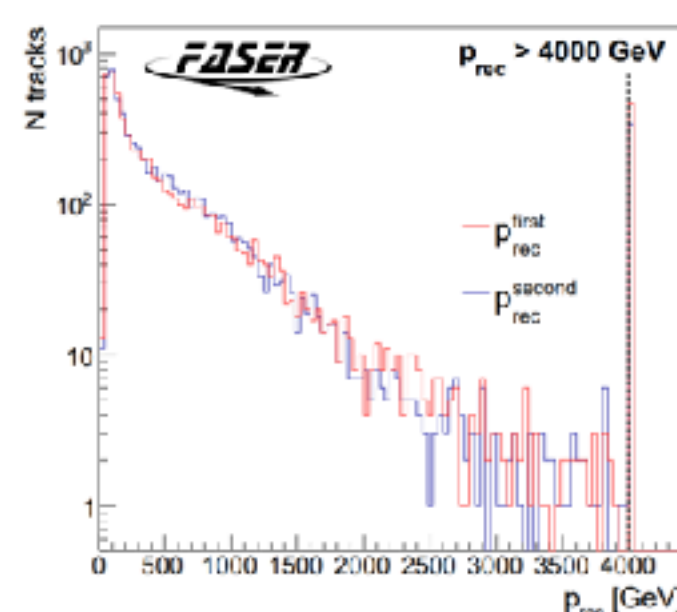
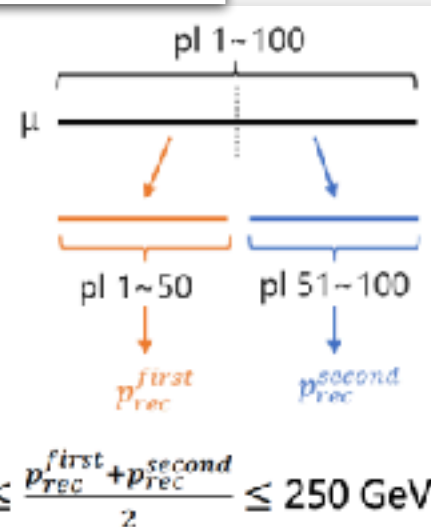


④ Plot  $s^{RMS}$  vs.  $n_{cell}$

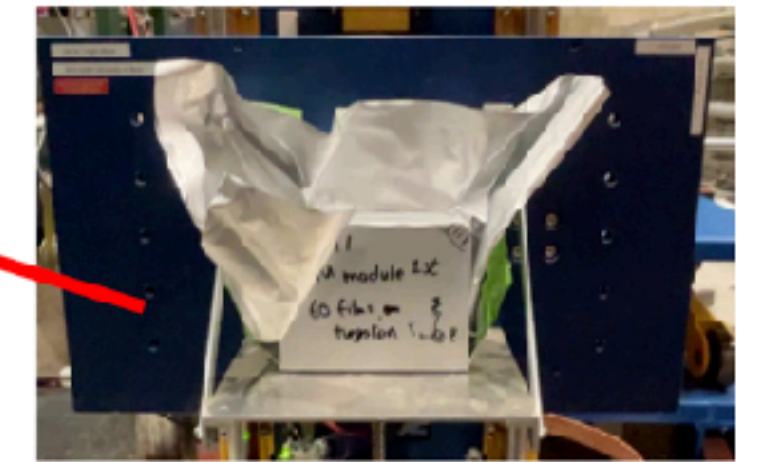
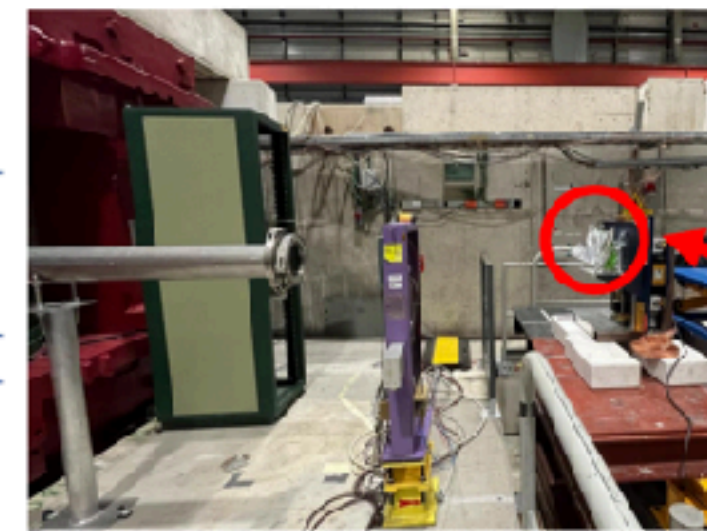
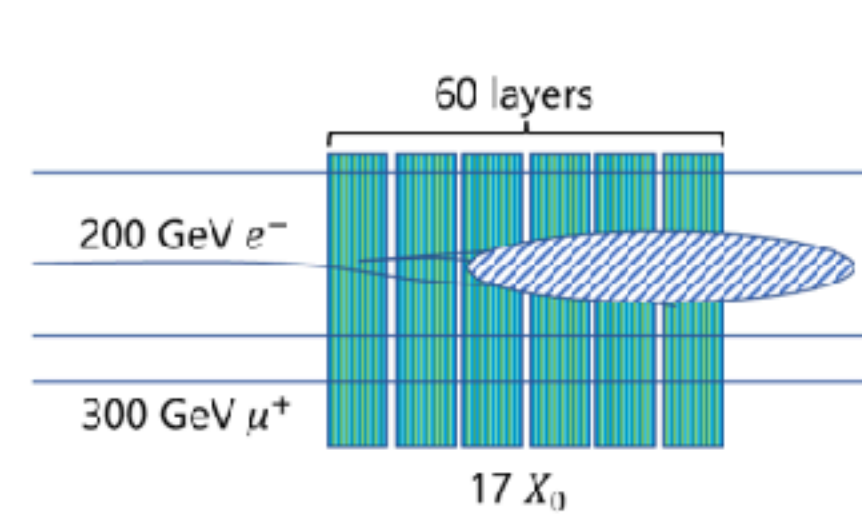
⑤ Fit the plot with formula (1)



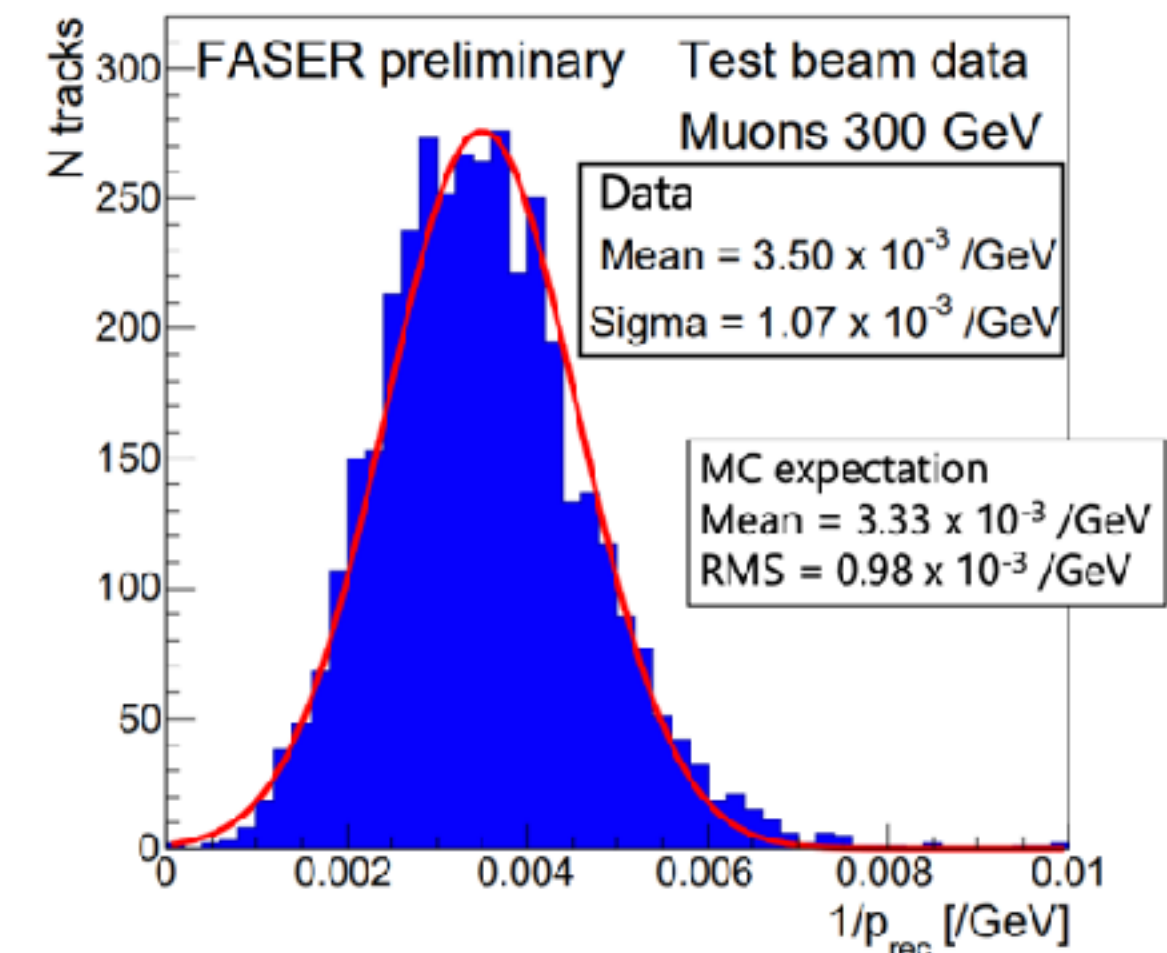
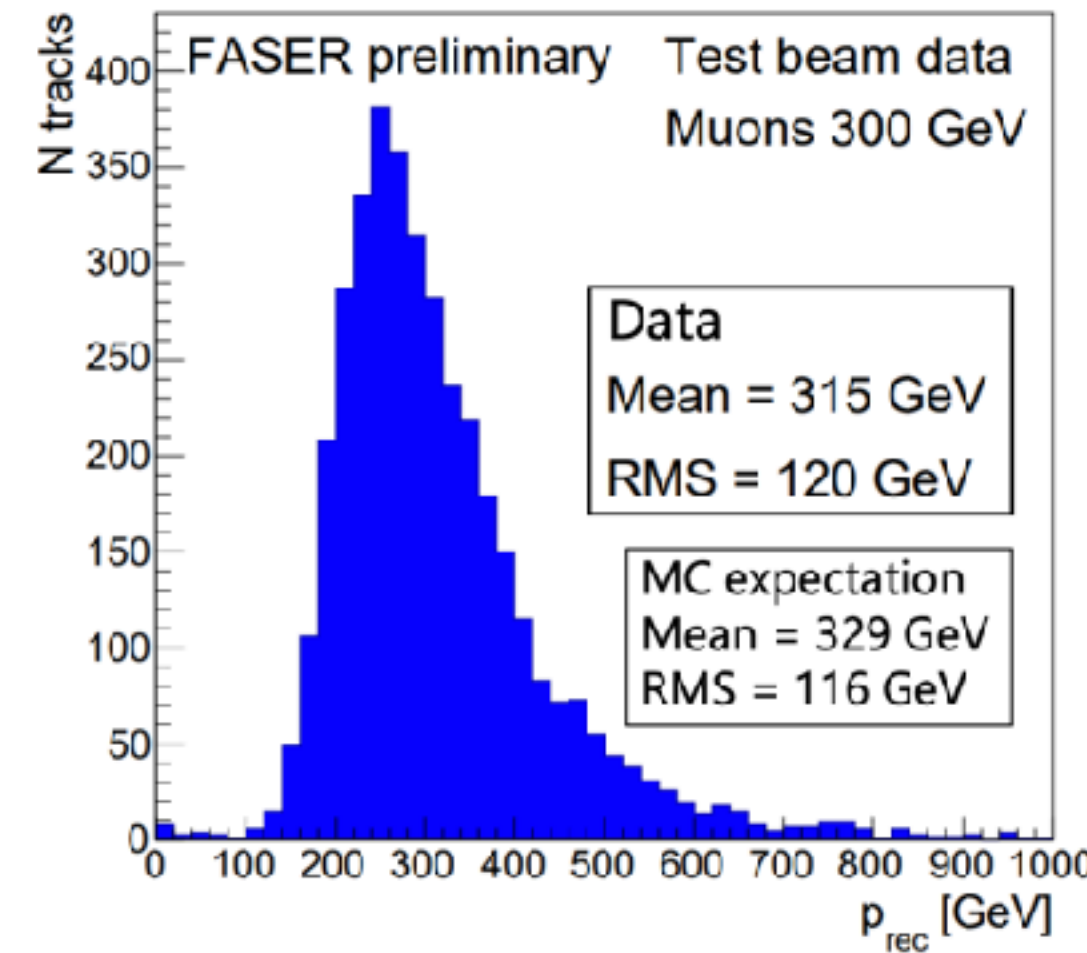
- Test reproducibility in data, by splitting long tracks into two and comparing the reconstructed momentum of the two in both data and MC.
- The uncertainty of the measurement around 200 GeV have been checked to apply momentum cut to recent FASERv analysis for removing background events
- Applied high purity selections to reject mis-connections



- The test beam experiment had conducted at the H4 beamline at the SPS in August 2023
- Momentum measurement with 60-layer detector, irradiated with 300 GeV muons and 200 GeV electrons
- Compared with the result of single muon MC using  $p_{true} = [270, 330]$  GeV



Module: 10.0 x 12.5 x 8.4 cm<sup>3</sup>



	Center value	Resolution
Test beam data	286 GeV	31%
MC expectation	300 GeV	30%

# Future Prospects

# Dark Photon

- Dark matter from MeV-GeV can be thermal relics
- Dark photon( $A'$ ): U(1) gauge boson, hidden sector particle

Produced in very rare meson decays:

$$B(\pi^0 \rightarrow A' \gamma) = 2\epsilon^2 \left(1 - \frac{m_{A'}^2}{m_{\pi^0}^2}\right)^3 B(\pi^0 \rightarrow \gamma\gamma)$$

- Mass  $m_{A'}$
- Kinetic mixing:  $\epsilon$  (couplings to SM fermions)

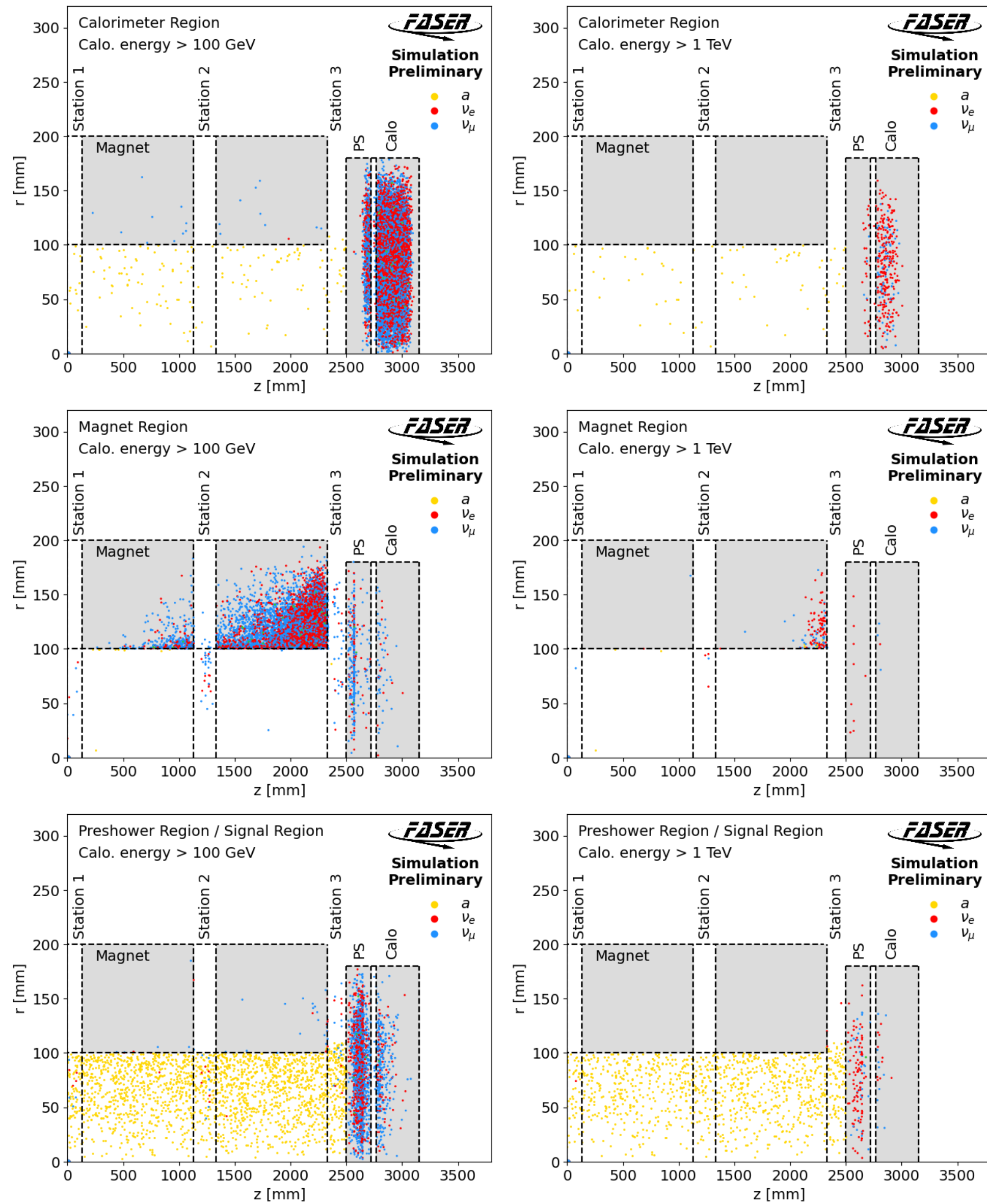
Travels **long distances** through matter without interacting, **decays to  $e^+e^-$  ( $\mu^+\mu^-$ )**

$$L = c\beta\tau\gamma \approx (80 \text{ m}) \left[\frac{10^{-5}}{\epsilon}\right]^2 \left[\frac{E_{A'}}{\text{TeV}}\right] \left[\frac{100 \text{ MeV}}{m_{A'}}\right]^2 \quad (E_{A'} \gg m_{A'} \gg m_e)$$

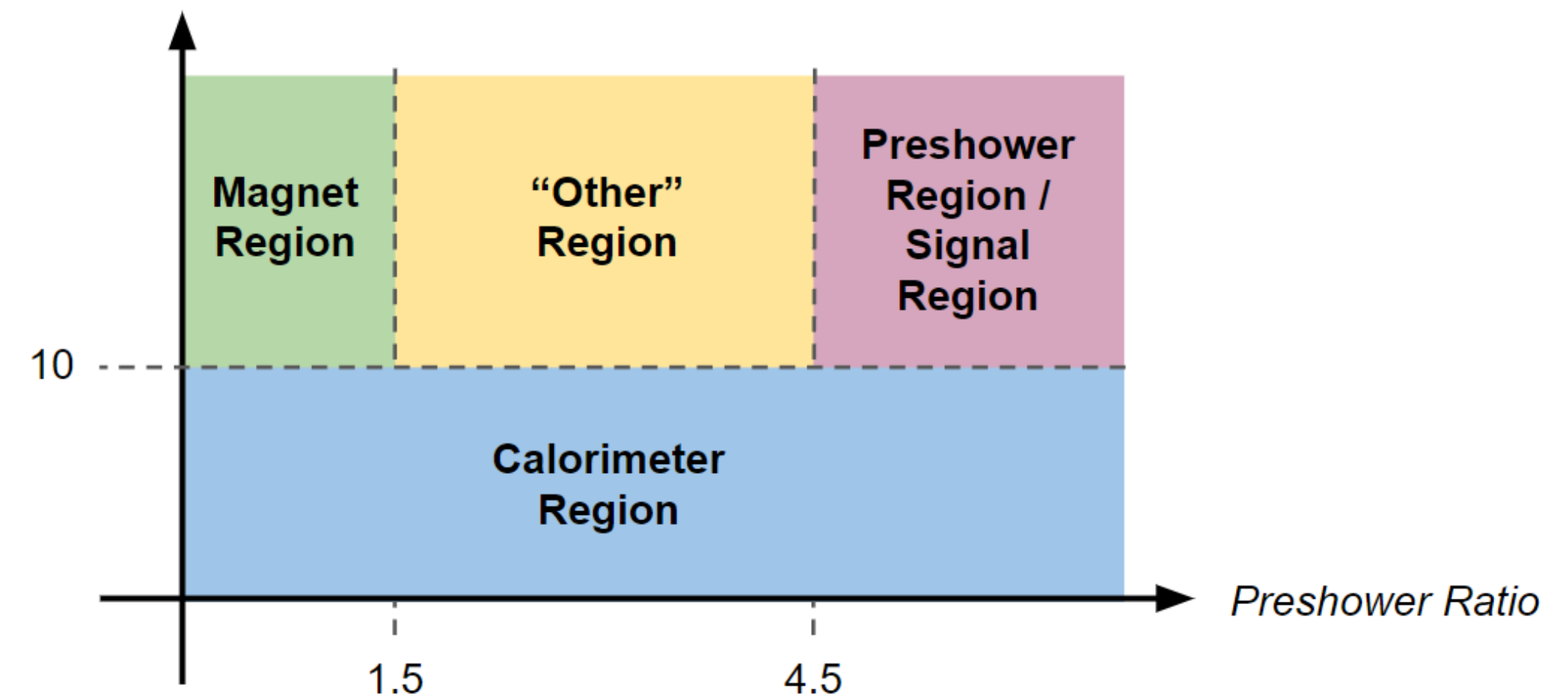
TeV energy at the LHC: **huge boost, decay lengths of ~100 m possible**

Very large number of dark photons are produced. **LHC can be a dark photon factory**

# Neutrino Background (ALP)



Second Preshower Layer nMIP



# Background Estimation (1)

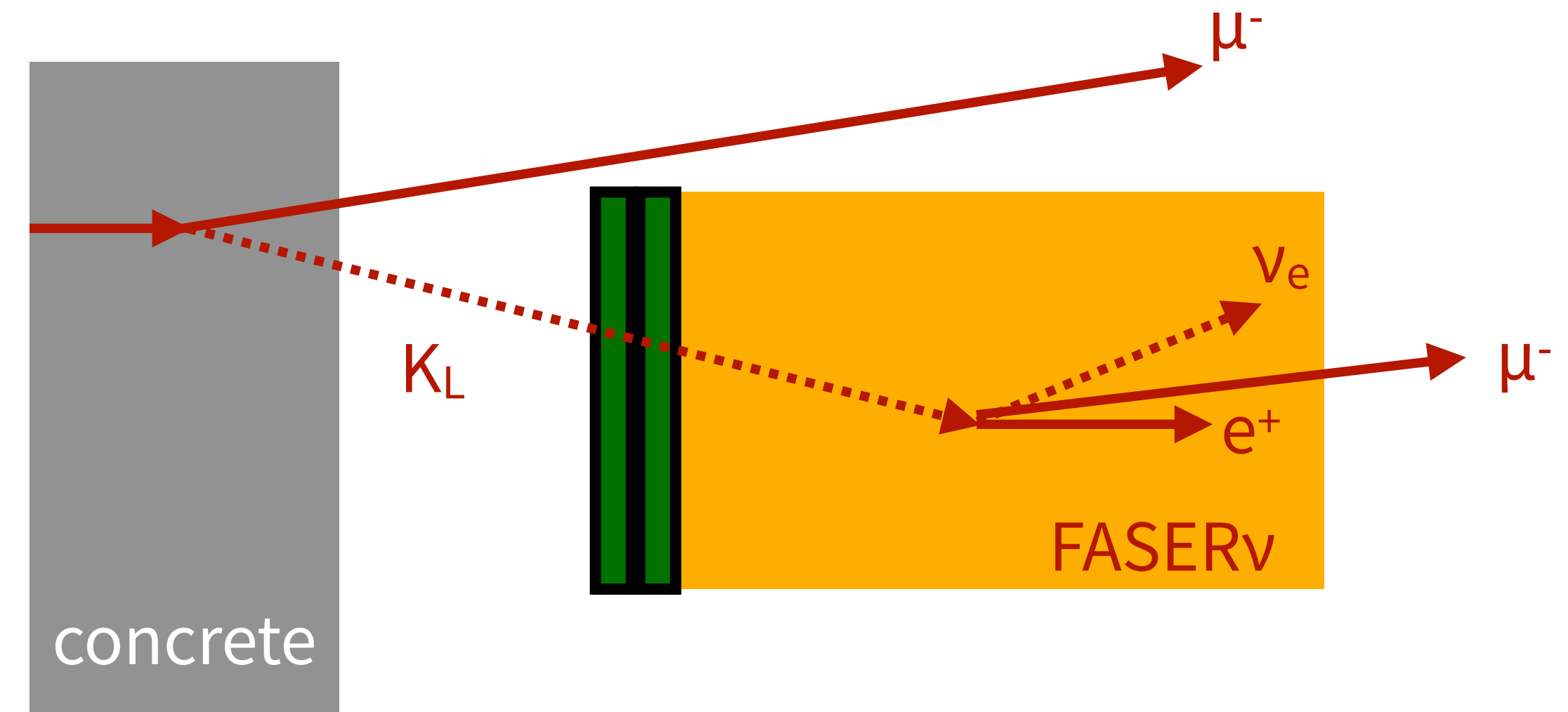
## Veto inefficiency



Veto scintillator (2 layer)

- Estimated from events with just one veto scintillator firing
- **Negligible background** expected due to very high veto efficiency

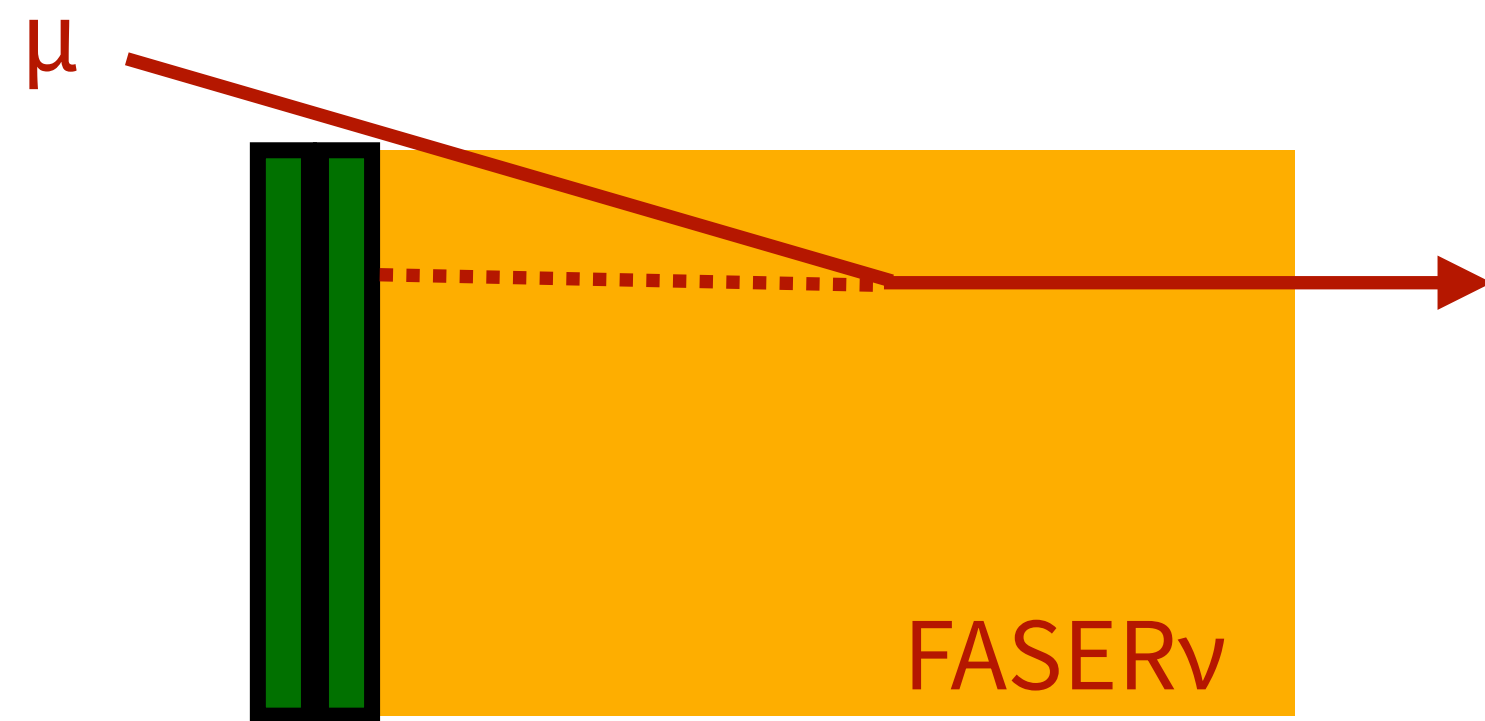
## Neutral hadrons



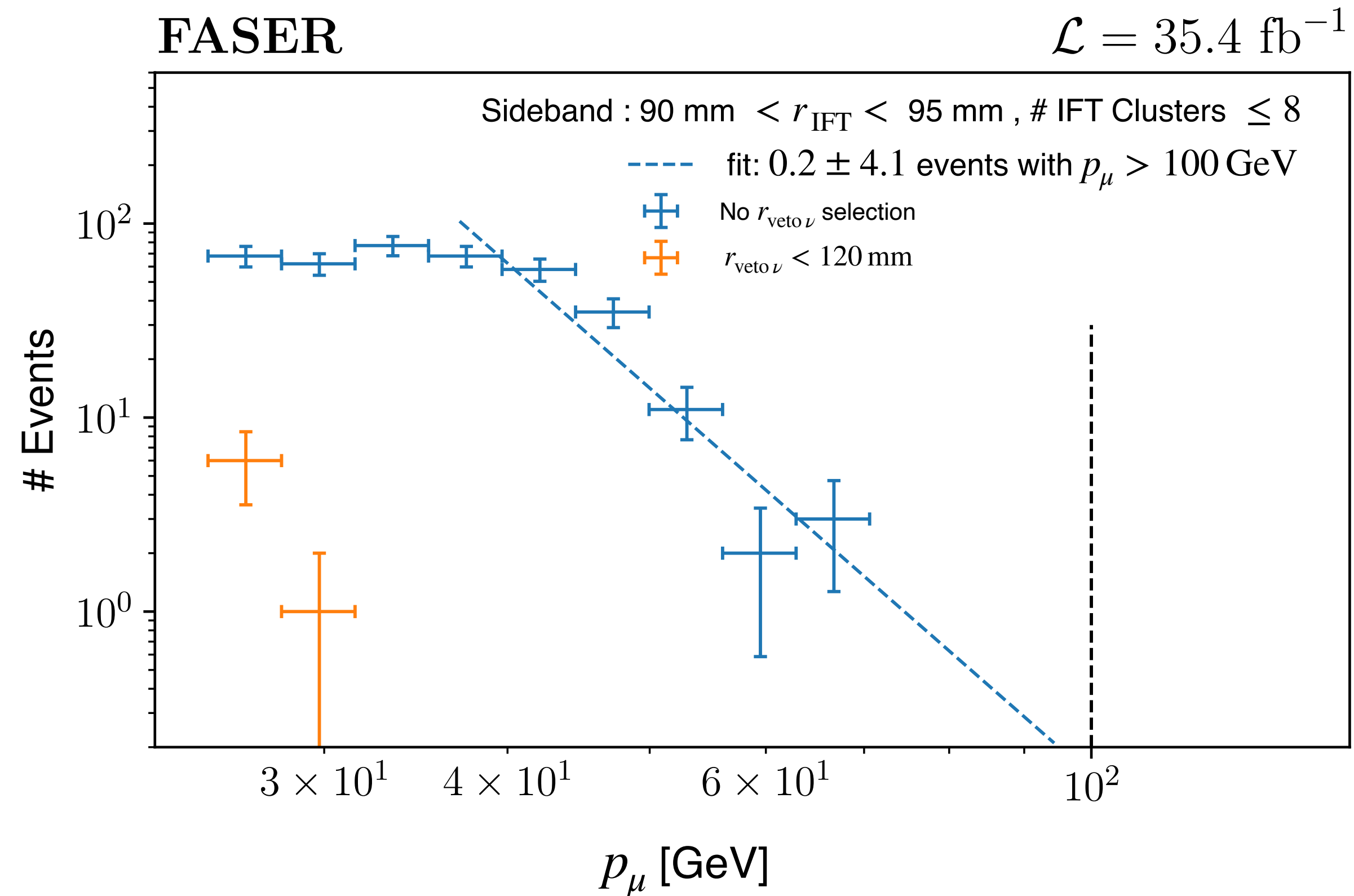
- Expect  $\sim 300$  neutral hadrons with  $E > 100$  GeV
- Most are absorbed in tungsten
- Estimated from 2-step MC simulations
- Estimate  $0.11 \pm 0.06$  events

# Background Estimation (2)

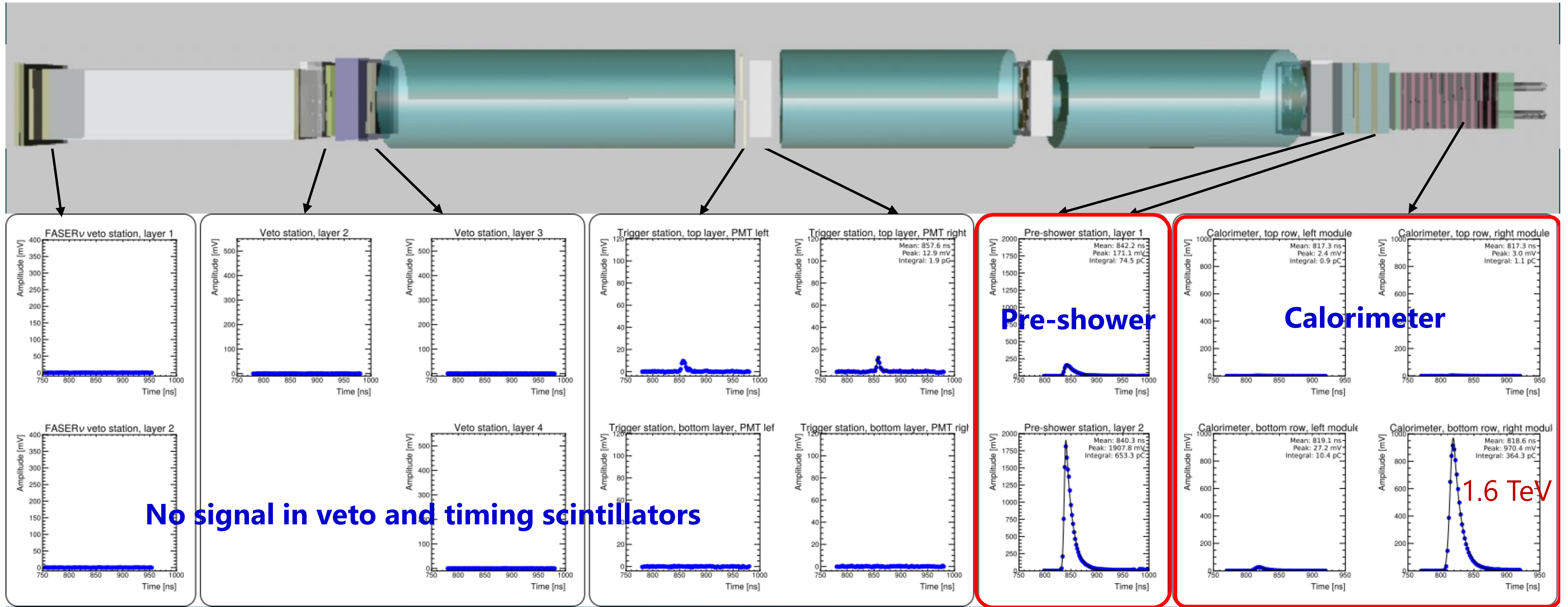
## Scattered muons (geometric BG)



- Estimated from **sideband**
  - Fit to extrapolate to higher momentum
- Calculate scaling factor using MC simulations to extrapolate to signal region
- Estimate  $0.08 \pm 1.83$  events (uncertainty from varying selection)



# Event Display of "ALPtrino"

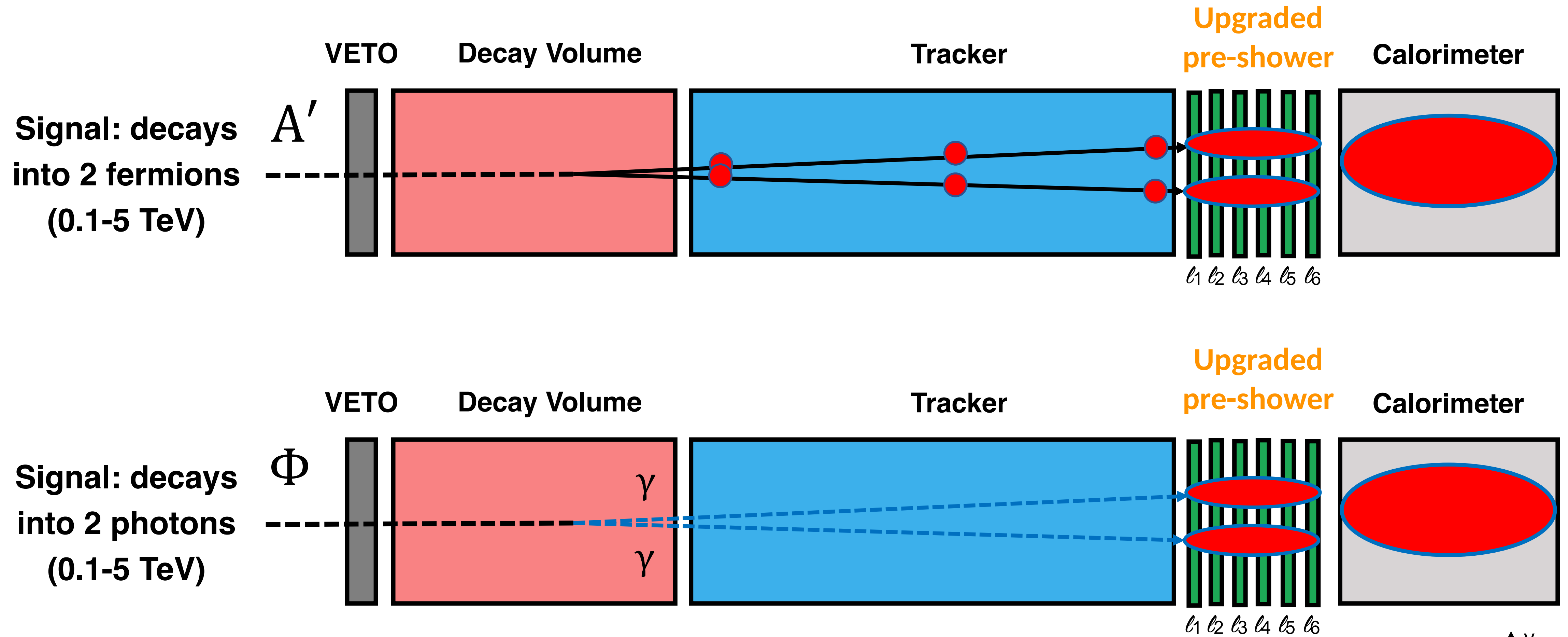


Calorimeter energy of 1.6 TeV

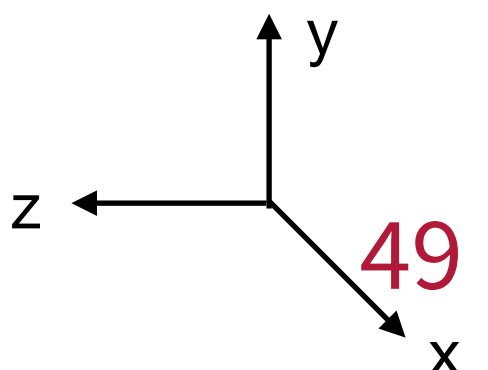


# Upgraded Pre-shower Calorimeter

S. Zambito LHCP2023



fine X-Y granularity,  
high dynamic range



# Upgraded Pre-shower Calorimeter

S. Zambito LHCP2023

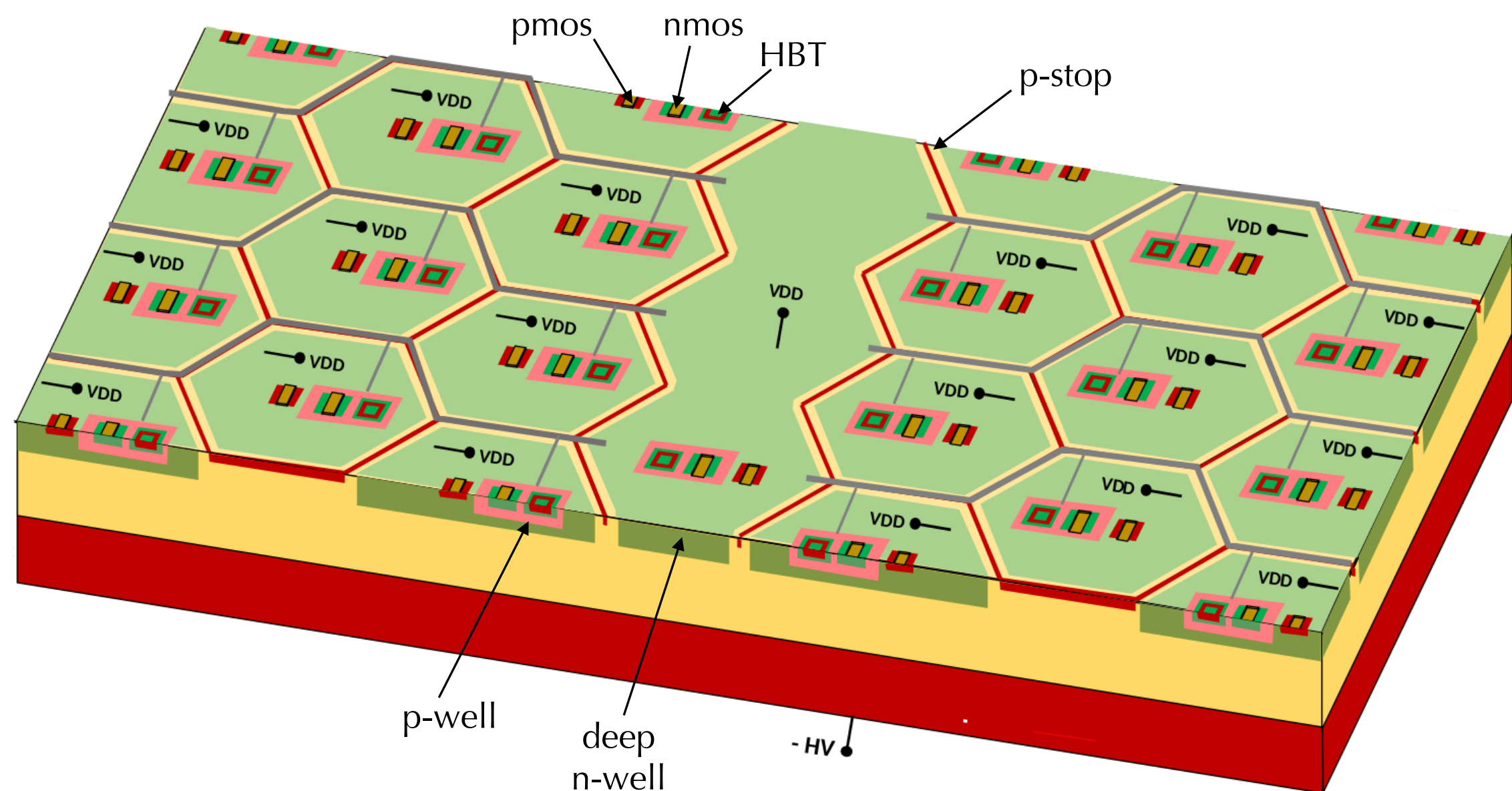
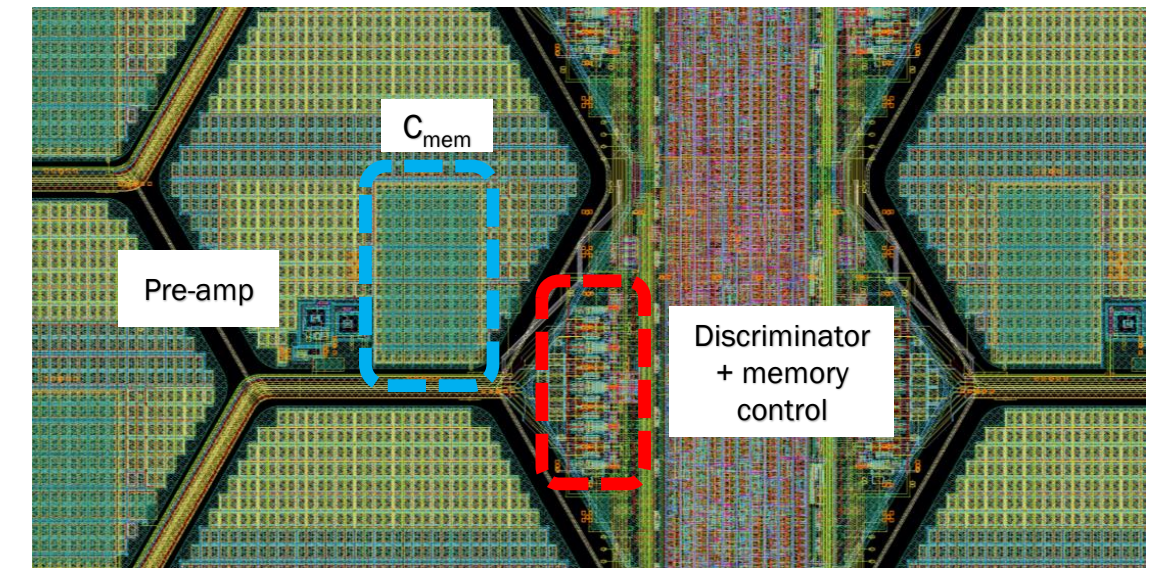


## Monolithic active pixel sensor

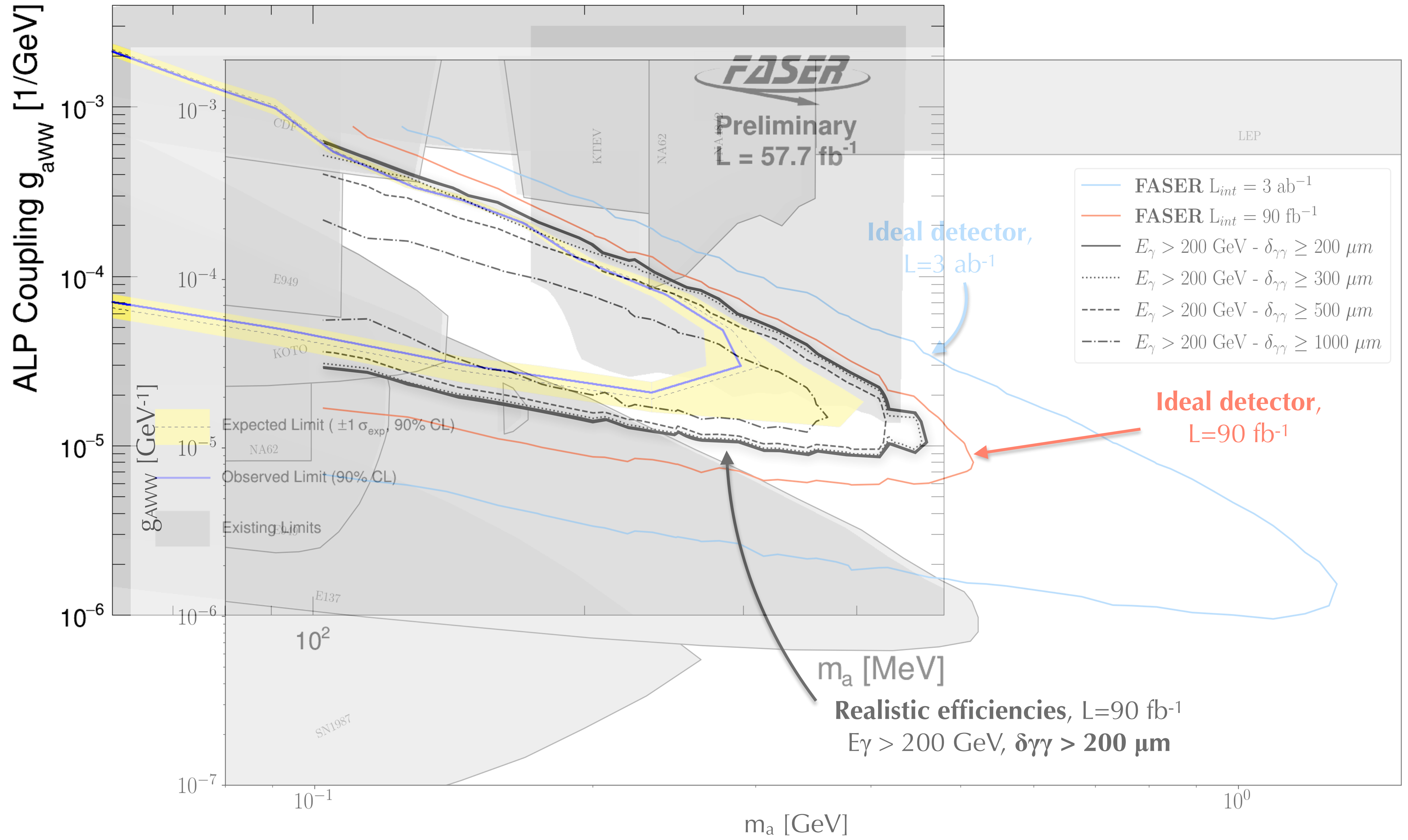
130 nm SiGe BiCMOS technology (IHP SG13G2)



- ⇒ High-resistivity ( $220 \Omega \cdot \text{cm}$ ) substrate, about  $130 \mu\text{m}$  thickness
- ⇒ Hexagonal pixels integrated as triple wells; 80 fF pixel capacitance
- ⇒ High dynamic range for charge measurement ( $0.5 \div 65 \text{ fC}$ ); fast readout of many channels



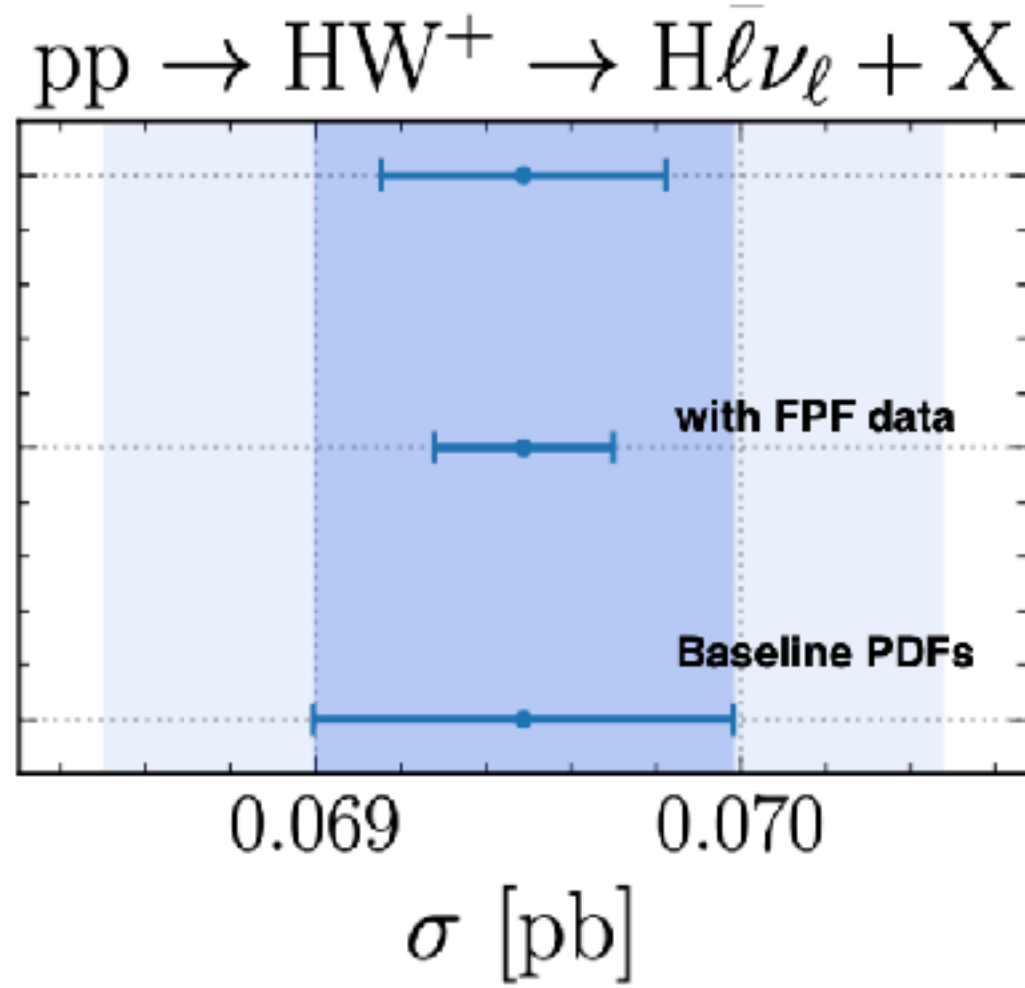
Main specifications	
Pixel Size	65 $\mu\text{m}$ side (hexagonal)
Pixel dynamic range	0.5 $\div$ 65 fC
Cluster size	O(1000) pixels
Readout time	< 200 $\mu\text{s}$
Power consumption	< 150 mW/cm <sup>2</sup>
Time resolution	< 300 ps



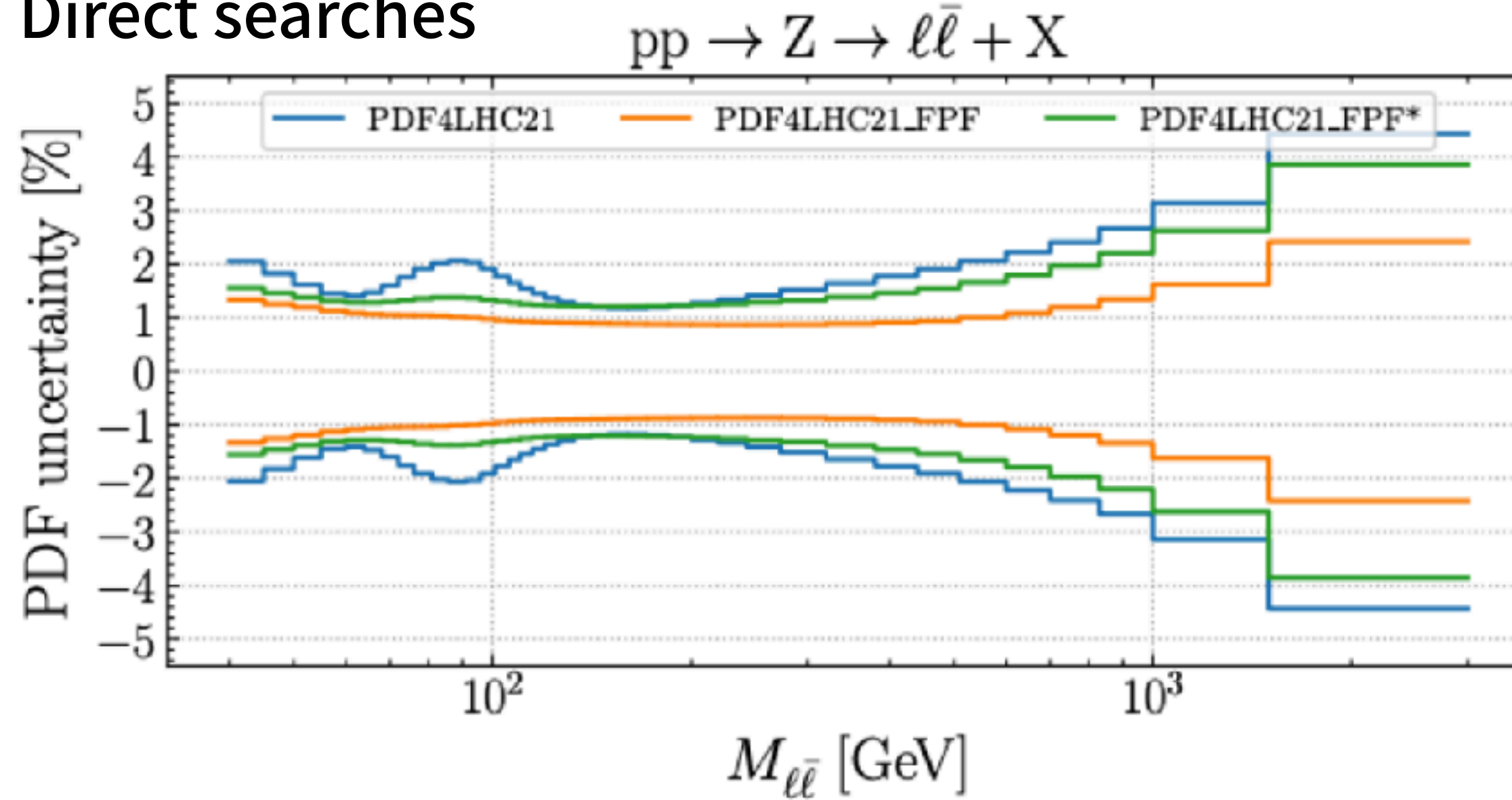
# Impact on BSM Searches at the HL-LHC

Juan Rojo (LHCP2024)

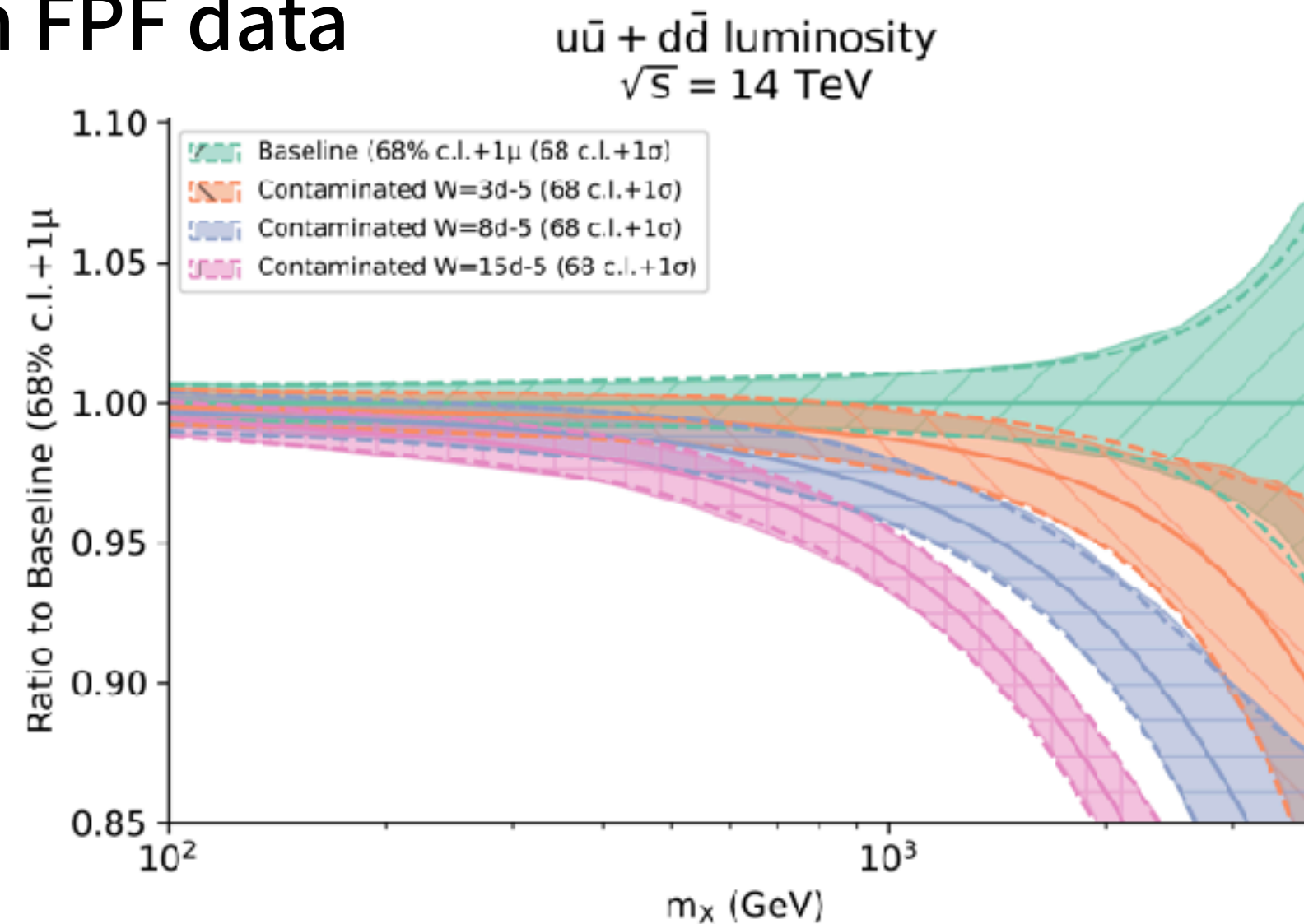
## Higgs coupling



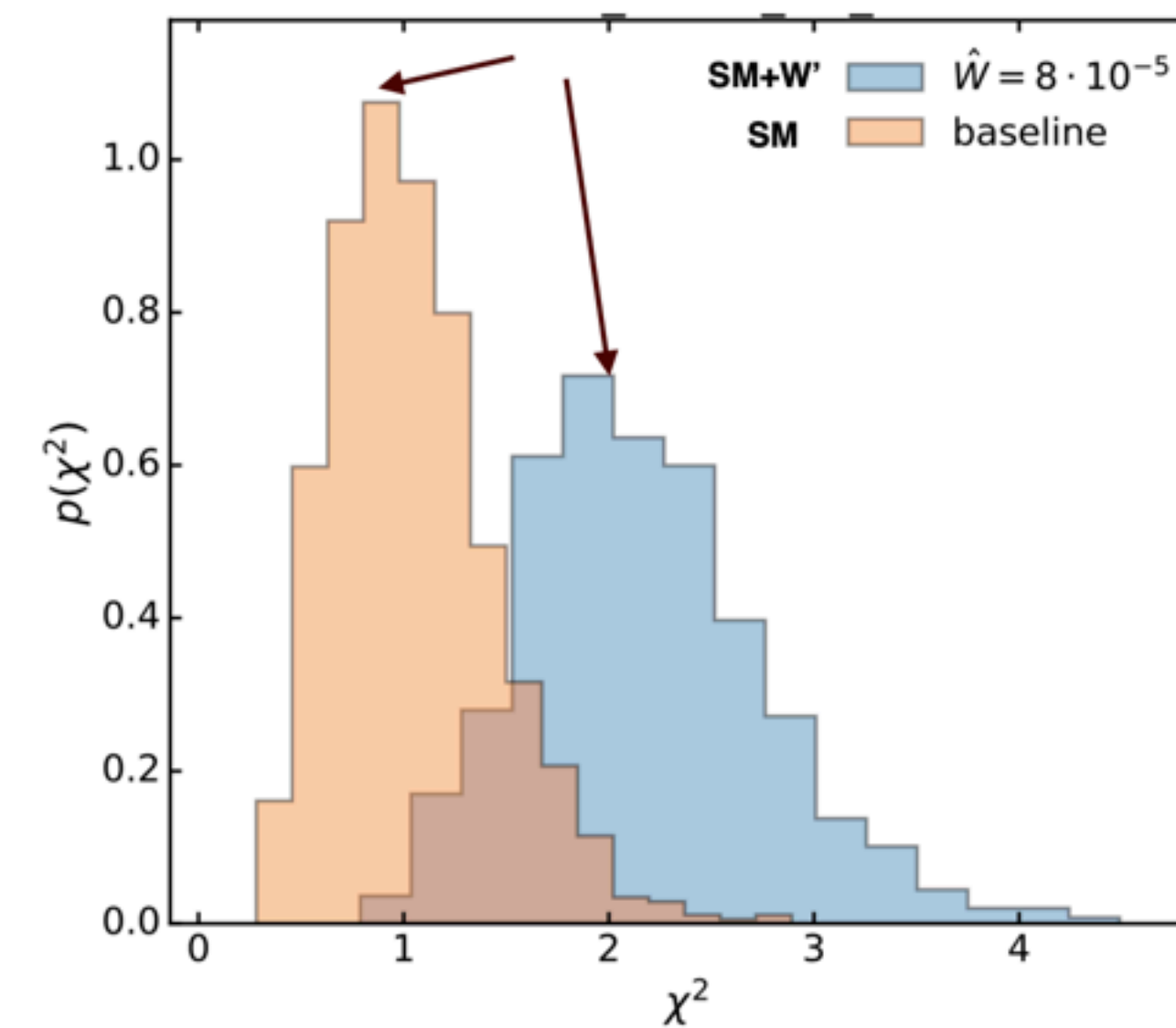
## Direct searches



## SMEFT analyses with FPF data

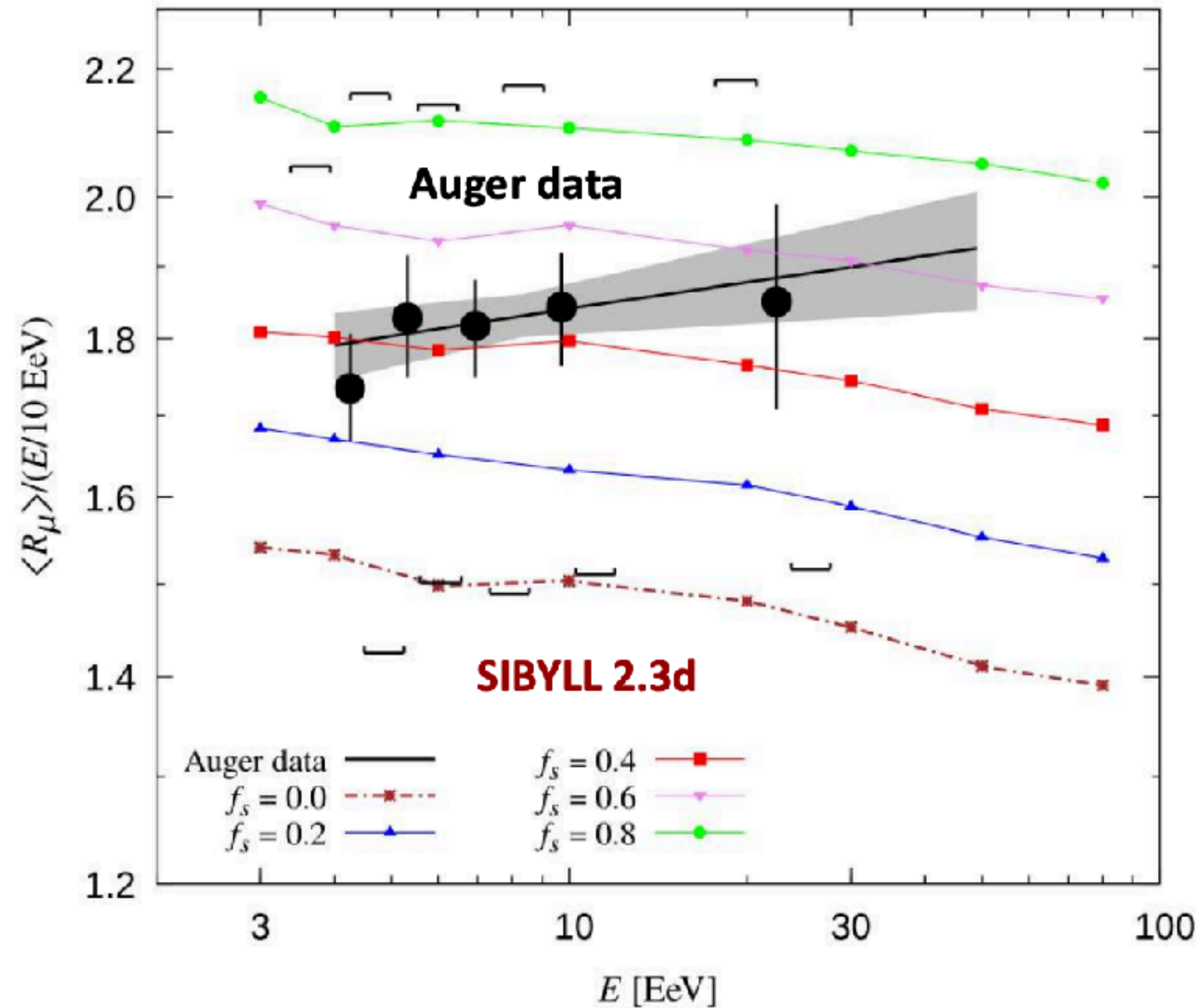


## Global PDF fit + HL-LHC & FPF pseudo-data



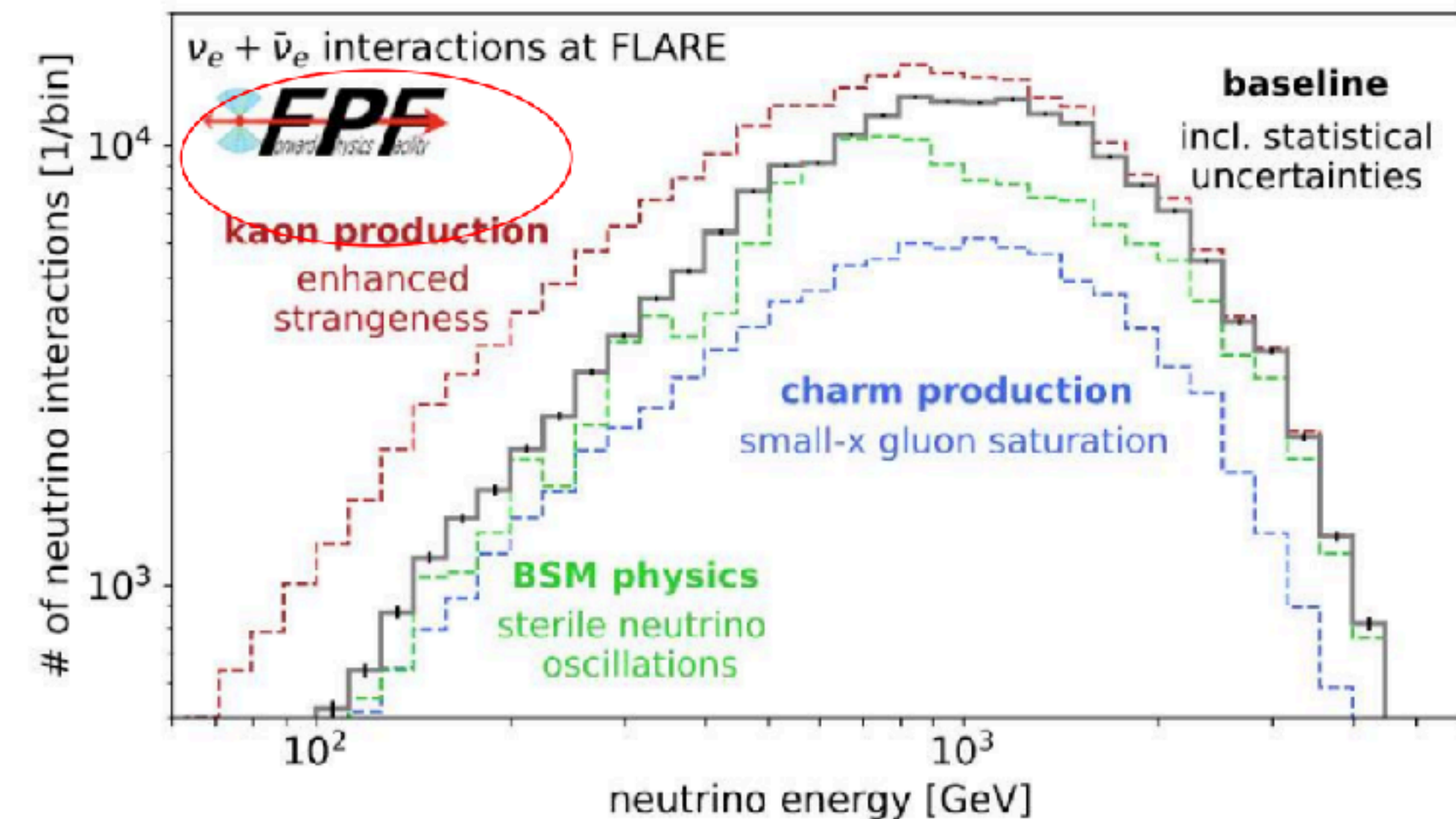
# Muon Puzzle

Subir Sarkar (7th FPF workshop)



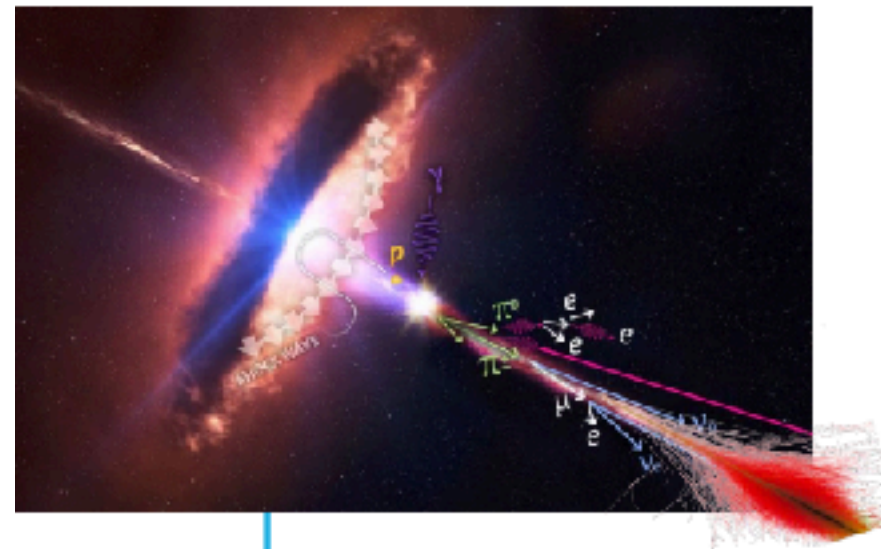
Turning a fraction  $f_s$  of forward pions into kaons ... can solve muon puzzle!  
 Anchordoqui *et al*, *JHEAp* 34:19,2022

There is a suggestion of this in ALICE data ...  
 (Enhanced production of multi-strange hadrons in high-multiplicity proton-proton collisions, ALICE collaboration, *Nature Phys.* 13:535,2017)



This can be tested directly at the FPF

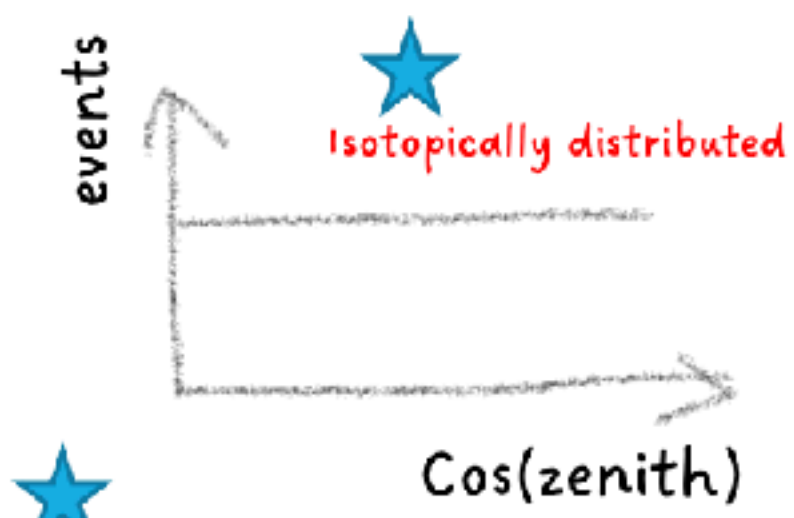
# Prompt Neutrino



- Hadronic interactions proton-proton or proton-gamma at source
- Super massive blackhole accelerator beam many light years of baseline
- Energy ranges from TeV to EeV

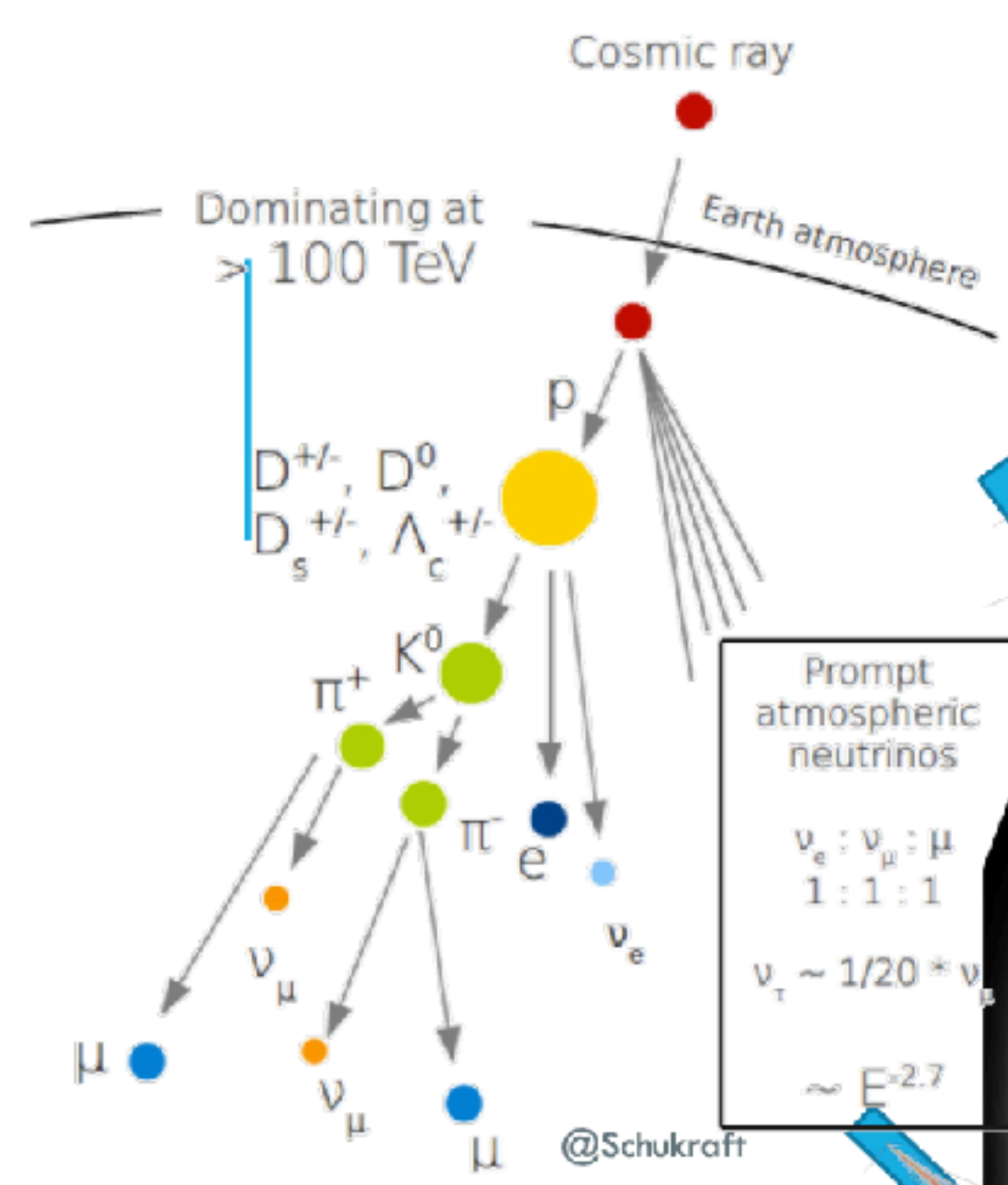


Extragalactic  
**ASTROPHYSICAL**



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# Prompt Neutrino



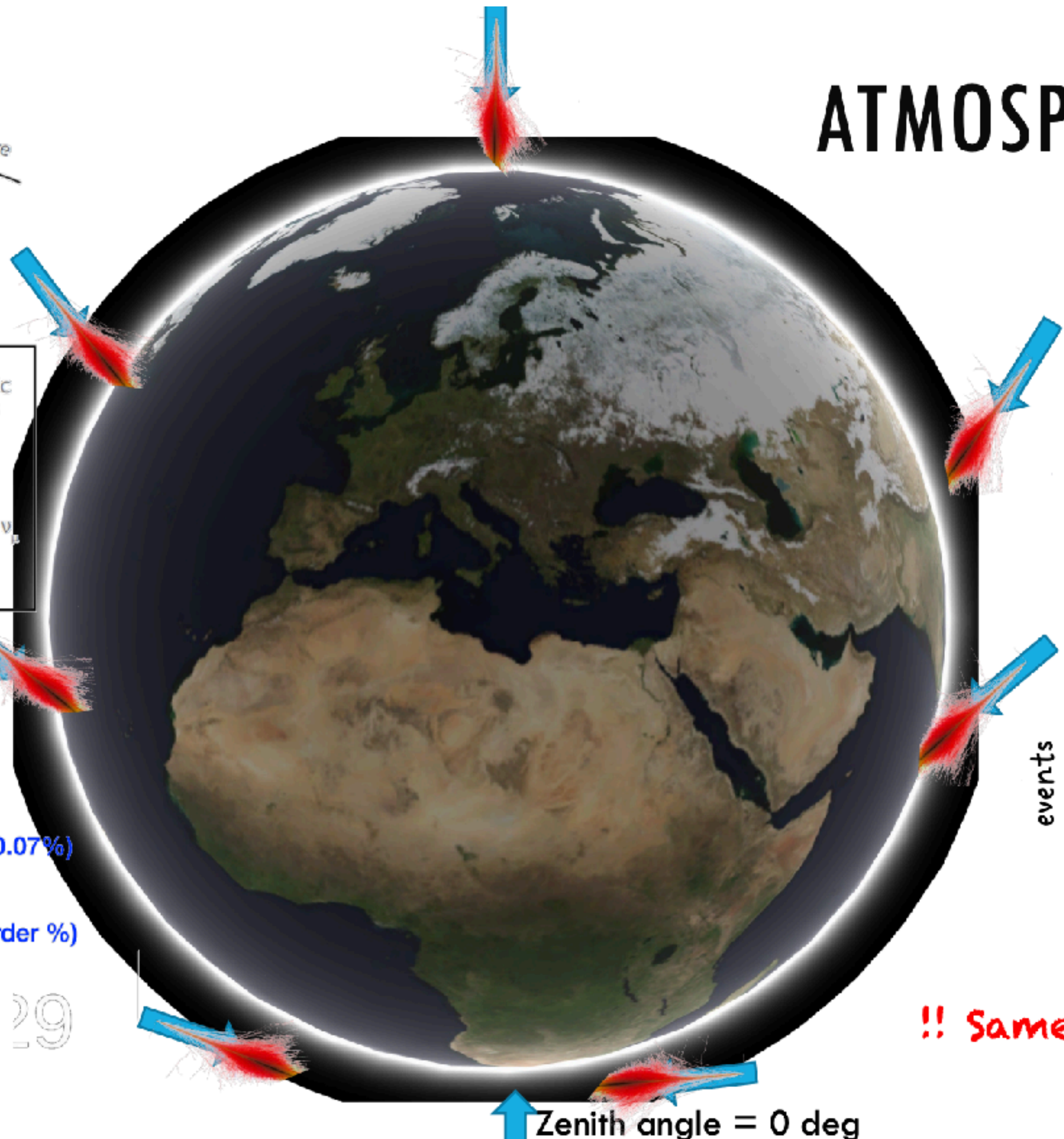
Prompt atmospheric neutrinos

$\nu_e : \nu_\mu : \nu_\tau$   
1 : 1 : 1

$\nu_\tau \sim 1/20 * \nu_e$

$\sim E^{-2.7}$

- $K_S^0 \rightarrow \pi e \nu_e$  (Gaisser & Klein 2014) (0.07%)
- $D, \Lambda_c \rightarrow \ell + \nu_\ell + \dots$  (order %)
- $\eta, \eta' \rightarrow \mu^+ \mu^-$  29



ATMOSPHERE Prompt



!! Same with Astrophysical

Zenith angle = 0 deg