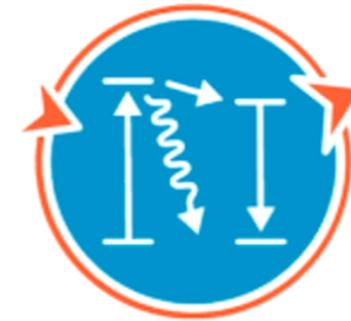




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SEIT 1737



**SFB**  
**1073**

# Universal features of non-Fermi liquids & application to black holes

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XVth Quark Confinement and the Hadron Spectrum  
Cairns Convention Center, Cairns, Queensland, Australia

Wednesday, August 21, 2024

Rishabh Jha  
Institute for Theoretical Physics,  
Georg-August-Universität Göttingen, Germany

# Ordinary Metals

- Fermi Liquid Theory:

Real particle



Quasiparticle



# Ordinary Metals

Real Particle



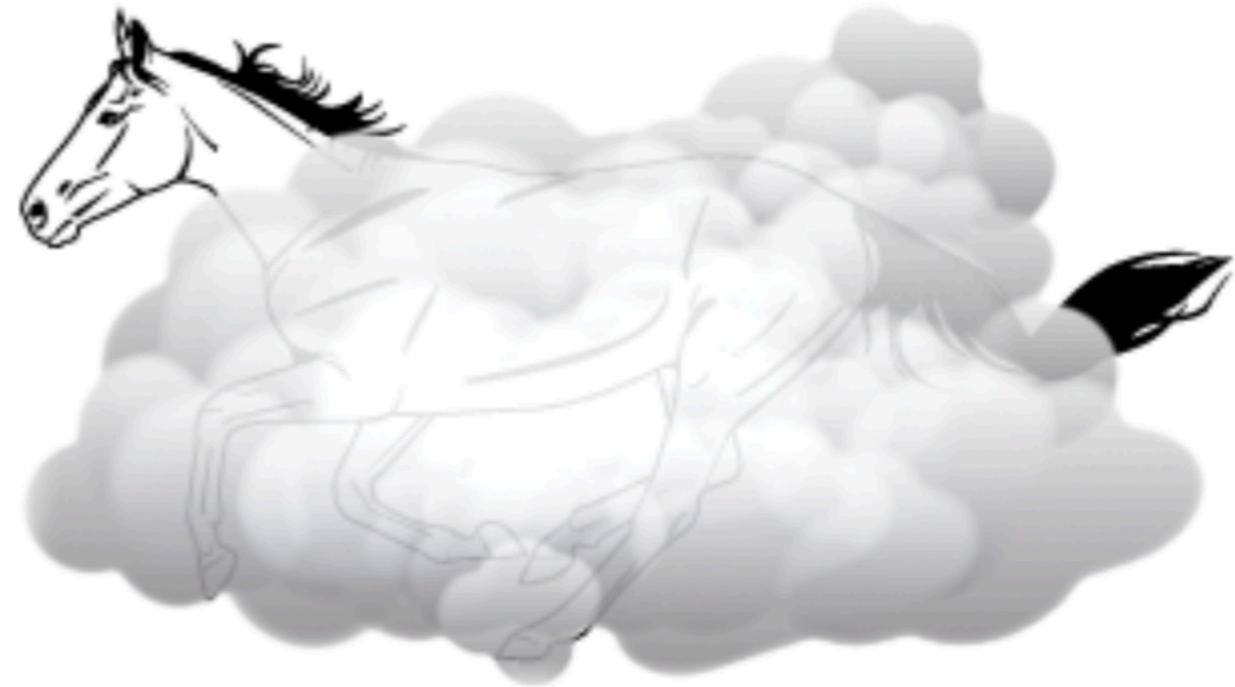
Real Horse



Quasiparticle



Quasihorse



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Quasiparticle



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- Fermi Liquid Theory:

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Quasiparticle



$$E_{\text{low energy}}[\vec{n}] = \sum_{k=1}^N \underbrace{\epsilon_k}_{\text{quasiparticle energies}} \quad n_k + \underbrace{\sum_{k,p} f_{kp} n_k n_p + \dots}_{\text{weak scattering}}$$

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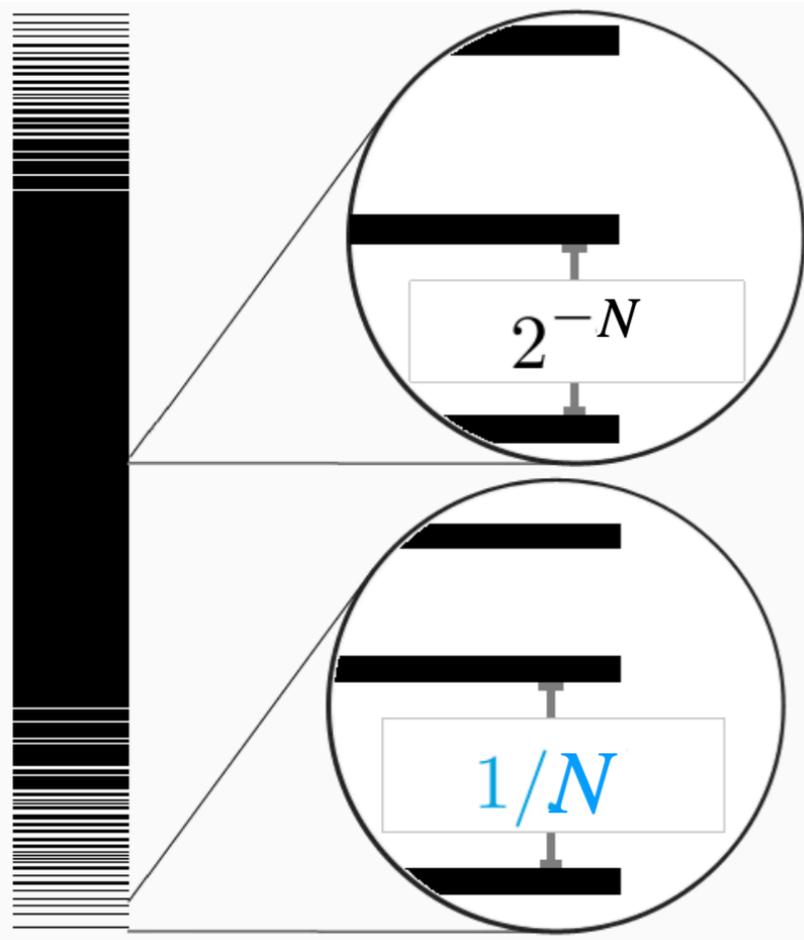
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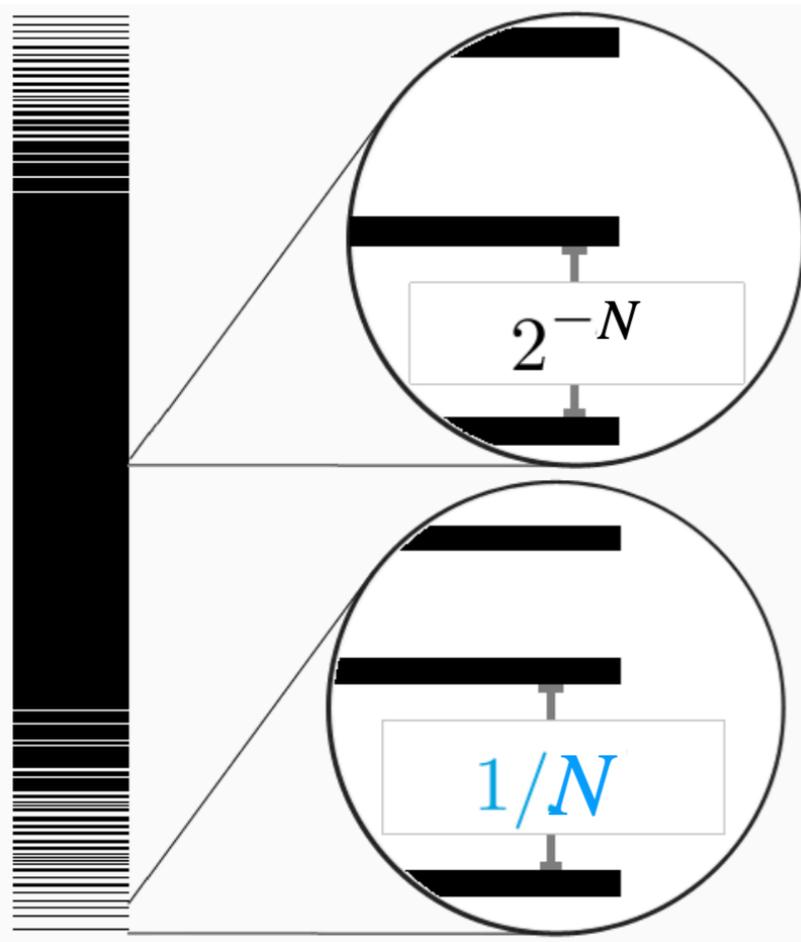
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⇒ **Quasiparticles**

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1. Level spacing

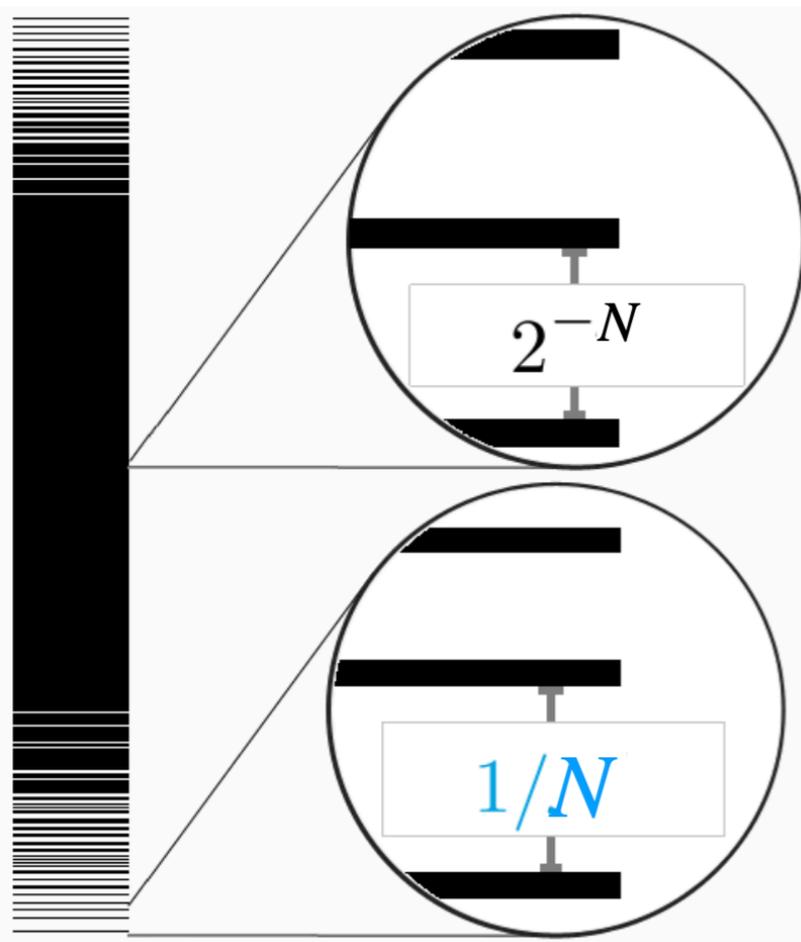
$1/N \Rightarrow$  Quasiparticles

2. Electrical resistivity

$\rho \propto T^2$

3. Equilibration rate

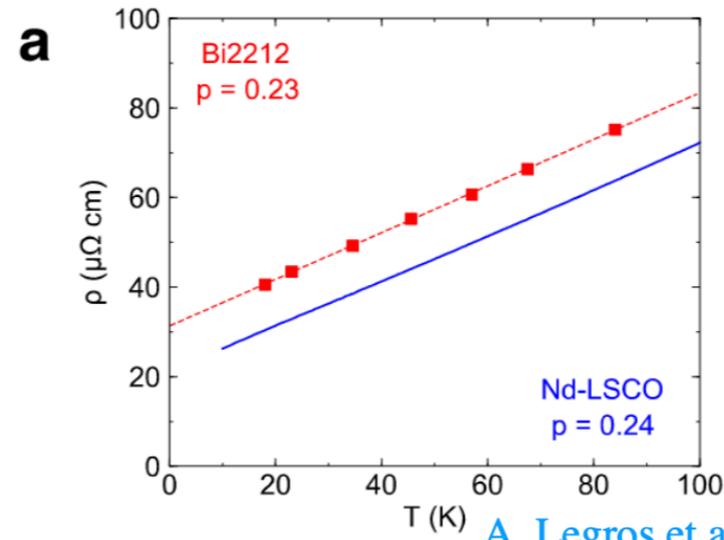
$\tau_{\text{eq}}^{-1} \propto T^2$



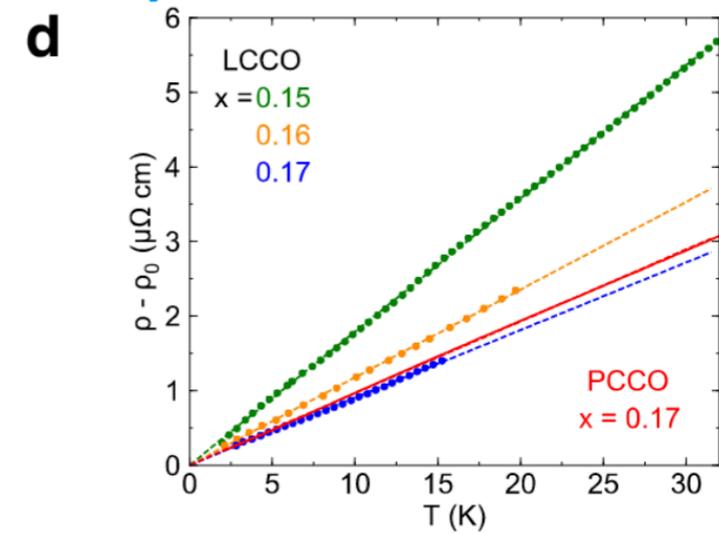
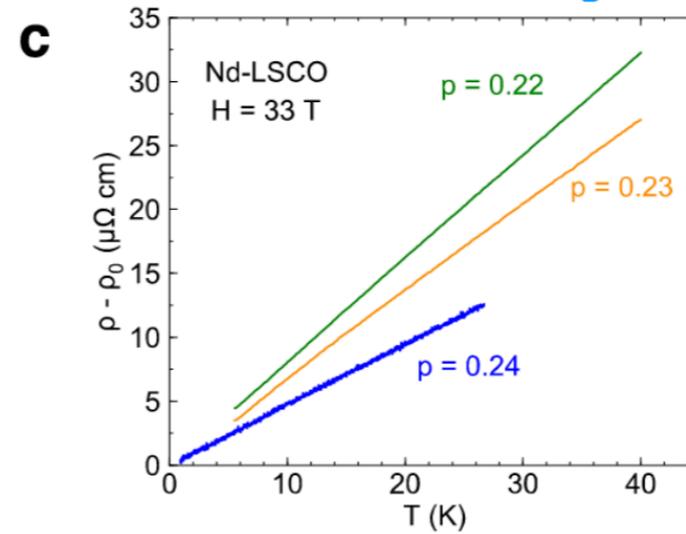
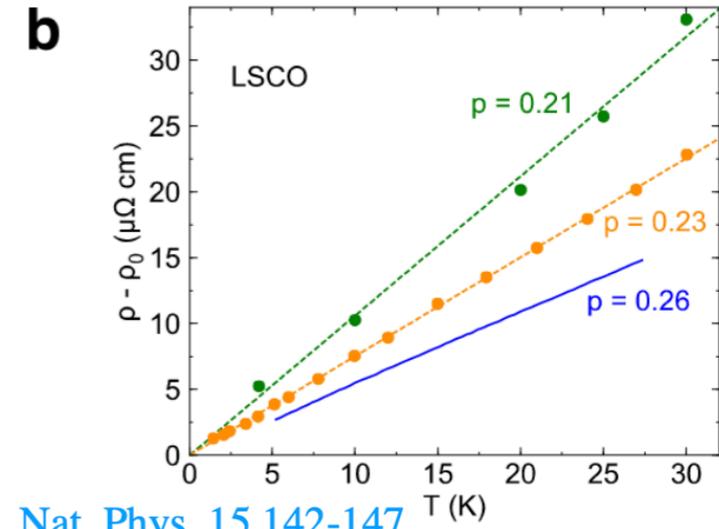
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# Strange Metals

- Strange resistivity  $\rho \propto T$  in high- $T_c$  cuprates:

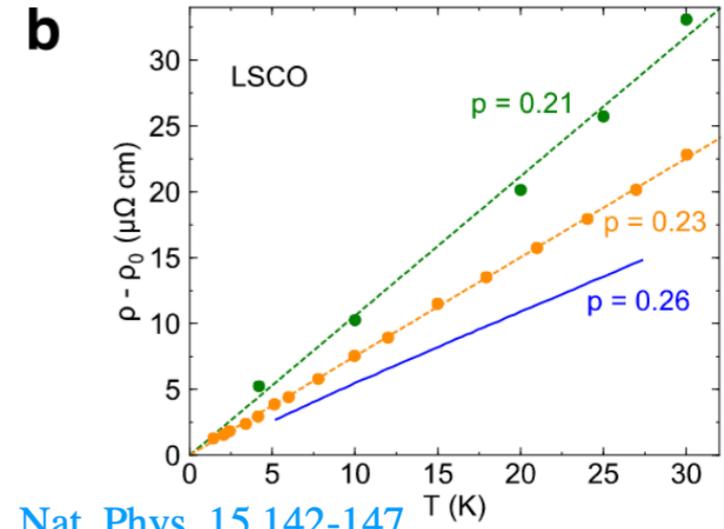
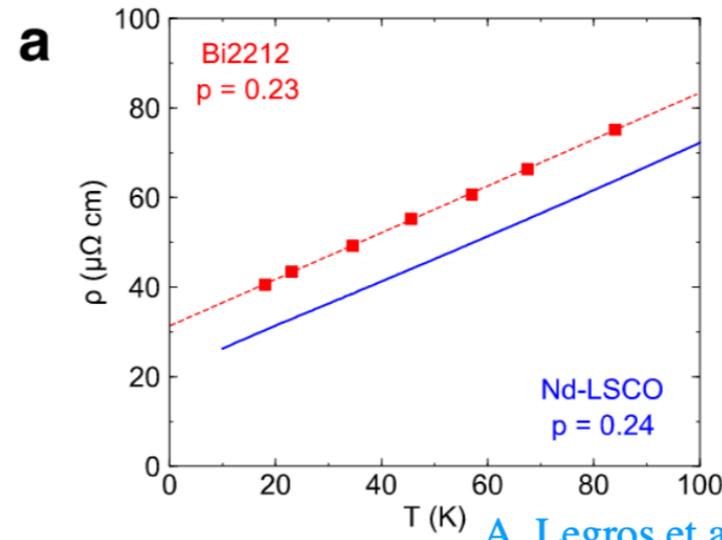


A. Legros et al., Nat. Phys. 15 142-147

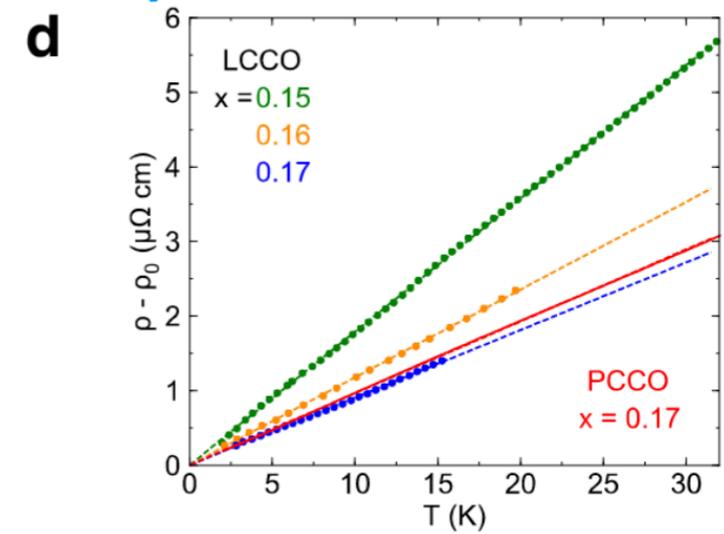
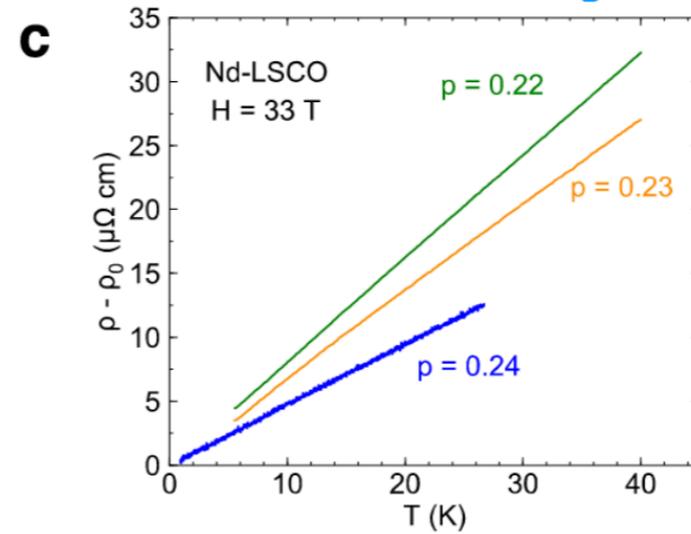


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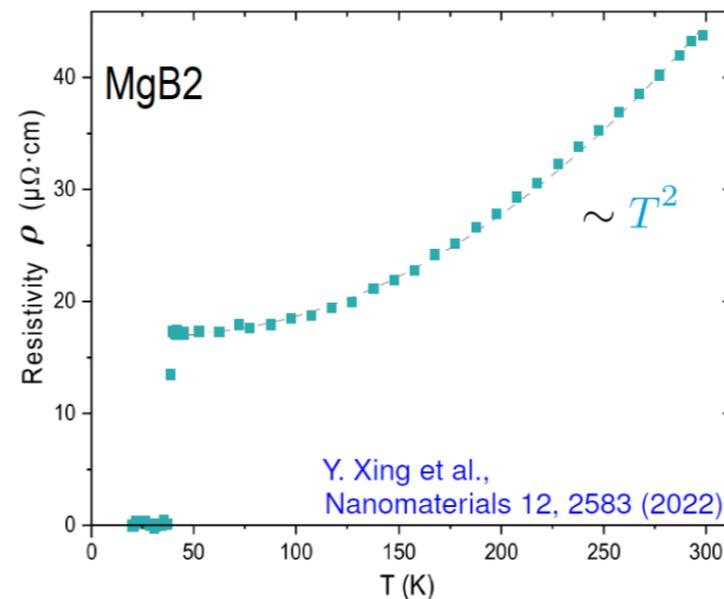


A. Legros et al., Nat. Phys. 15 142-147

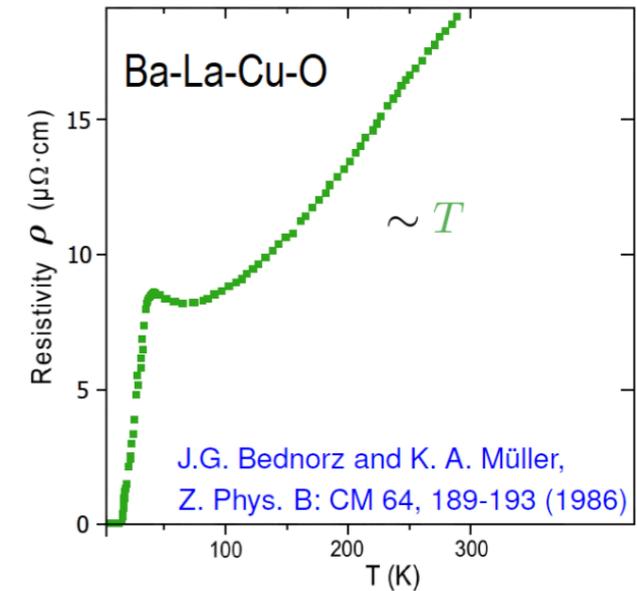


## Ordinary superconductor

- Ordinary vs. High- $T_c$  superconductors:

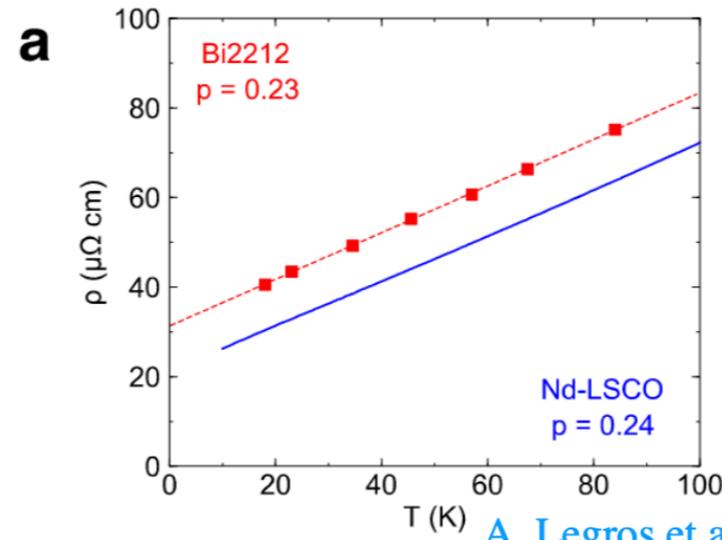


## High- $T_c$ cuprate

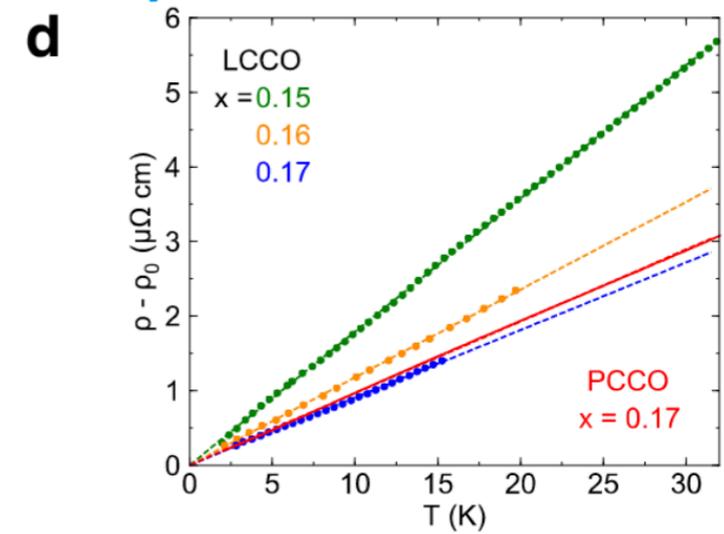
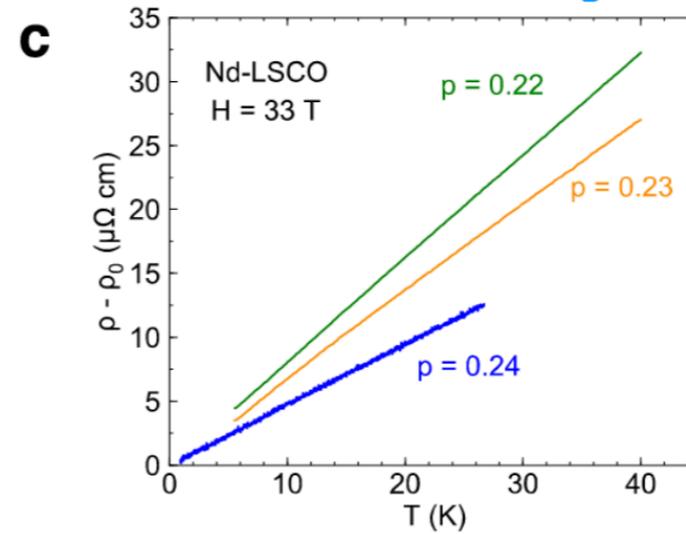
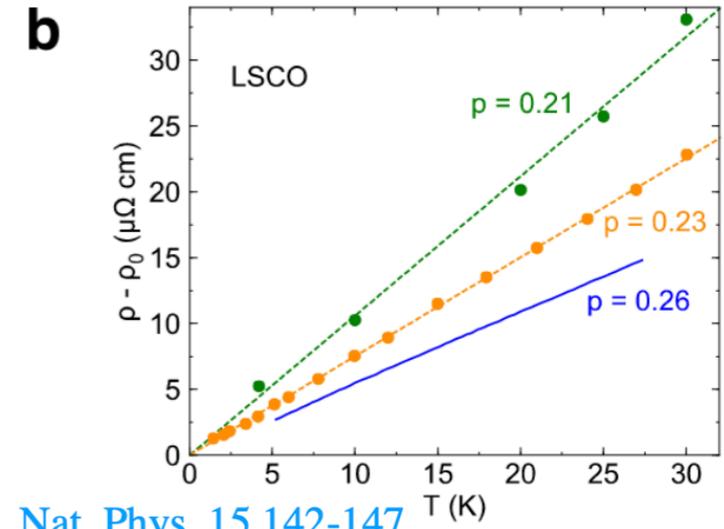


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A. Legros et al., Nat. Phys. 15 142-147

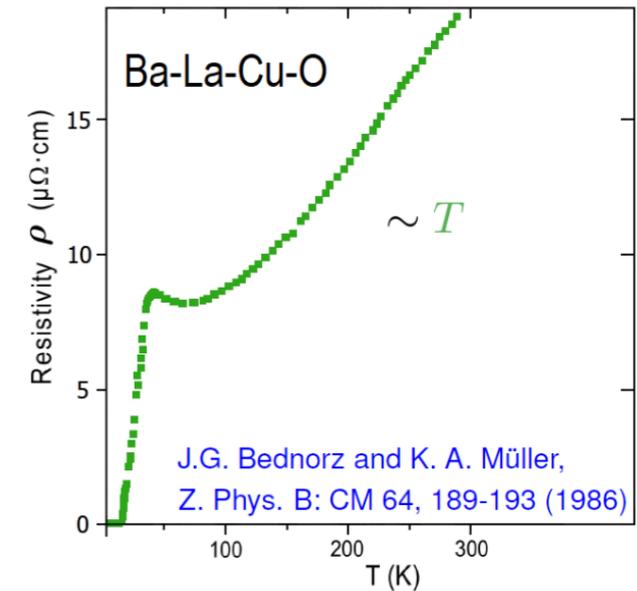
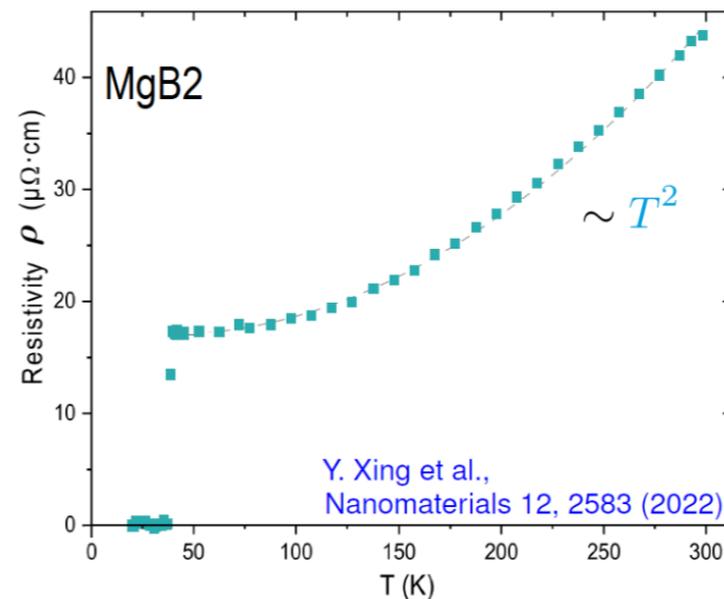


Ordinary superconductor

High- $T_c$  cuprate

- Ordinary vs. High- $T_c$  superconductors:


  
 Fermi Liquid



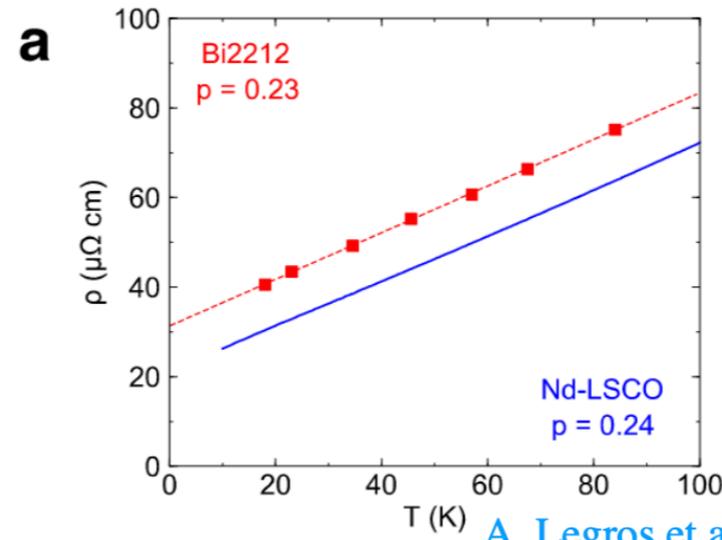
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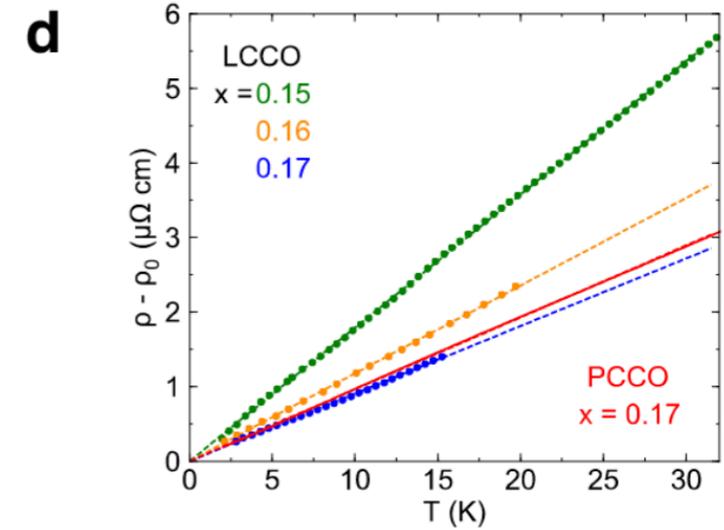
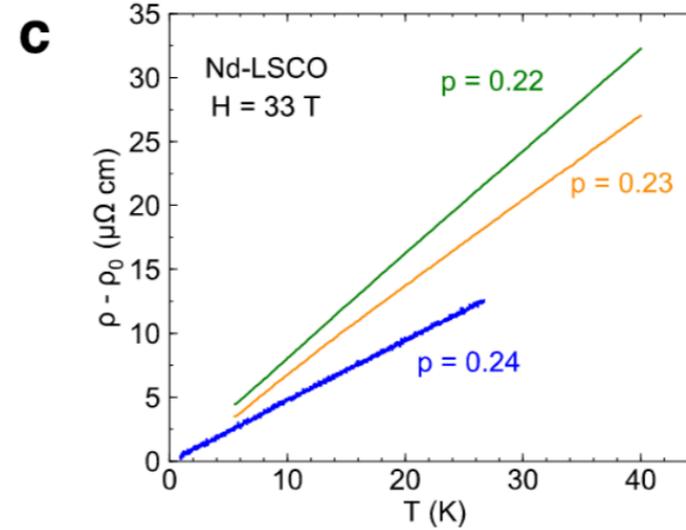
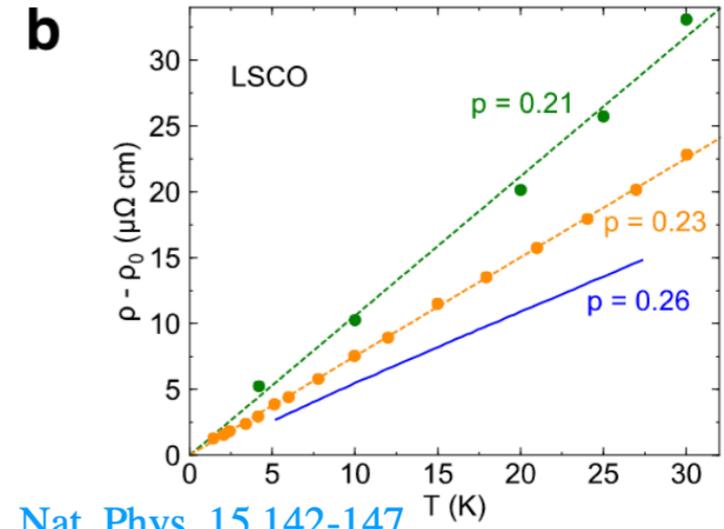
Non-Fermi liquid,  
aka strange metals

- Ordinary vs. High- $T_c$  superconductors:

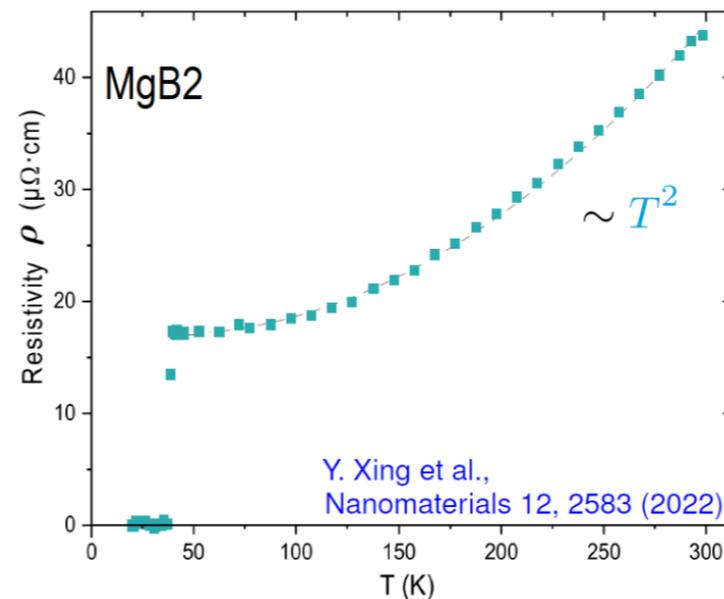
Fermi liquid



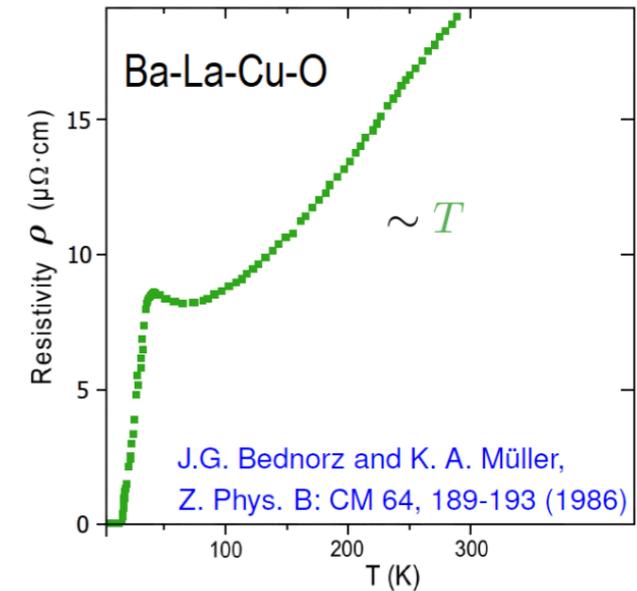
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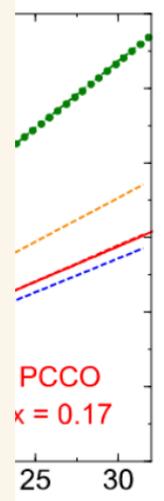
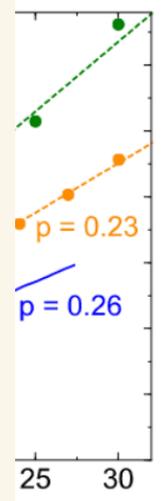
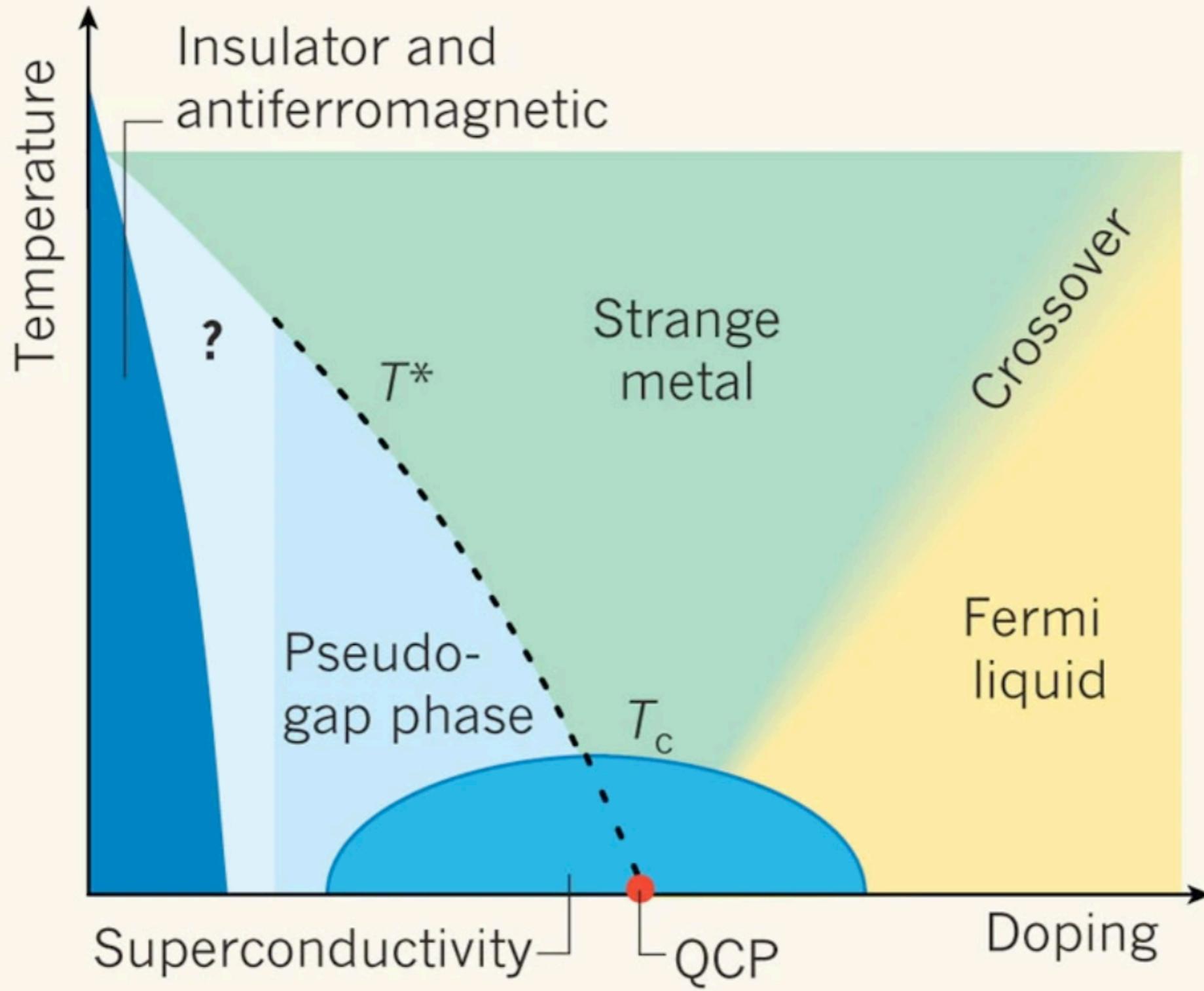


High- $T_c$  cuprate



• Stra

• Or



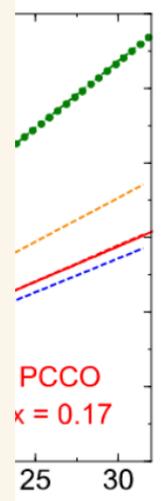
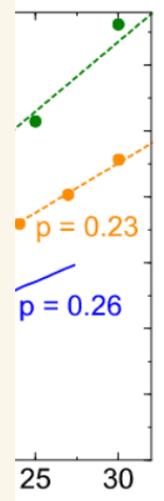
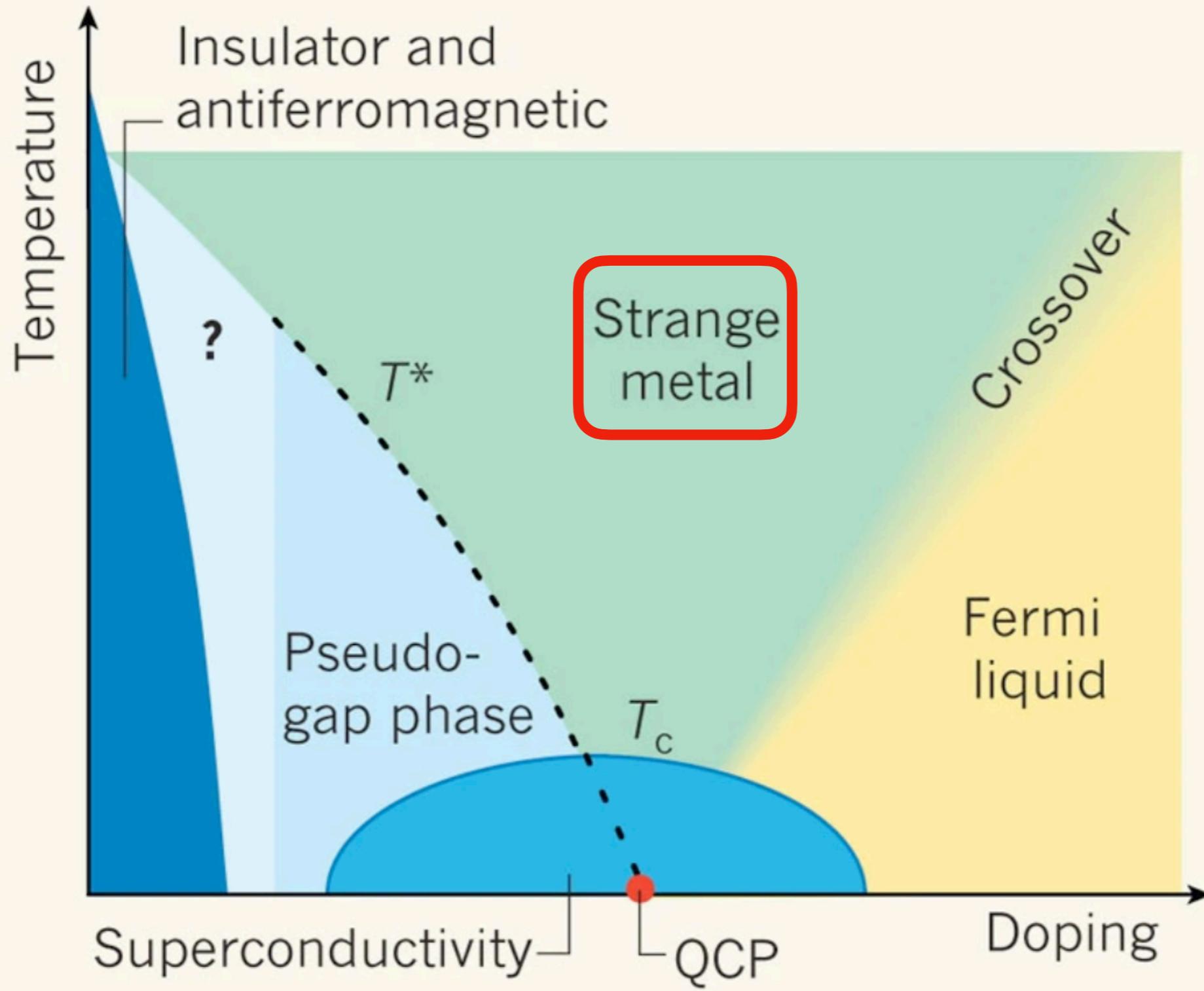
ate



Müller, 193 (1986)

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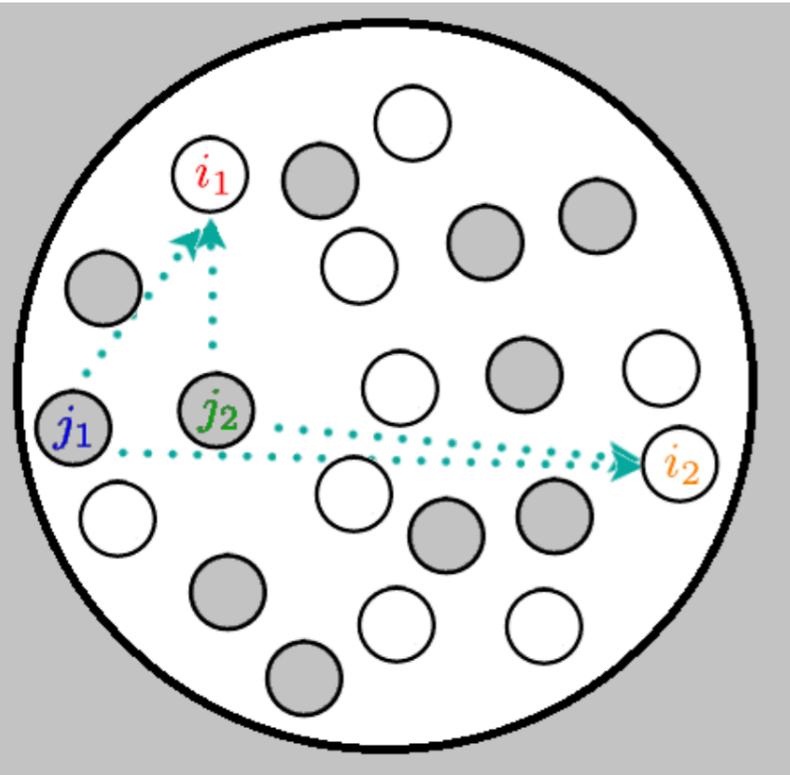


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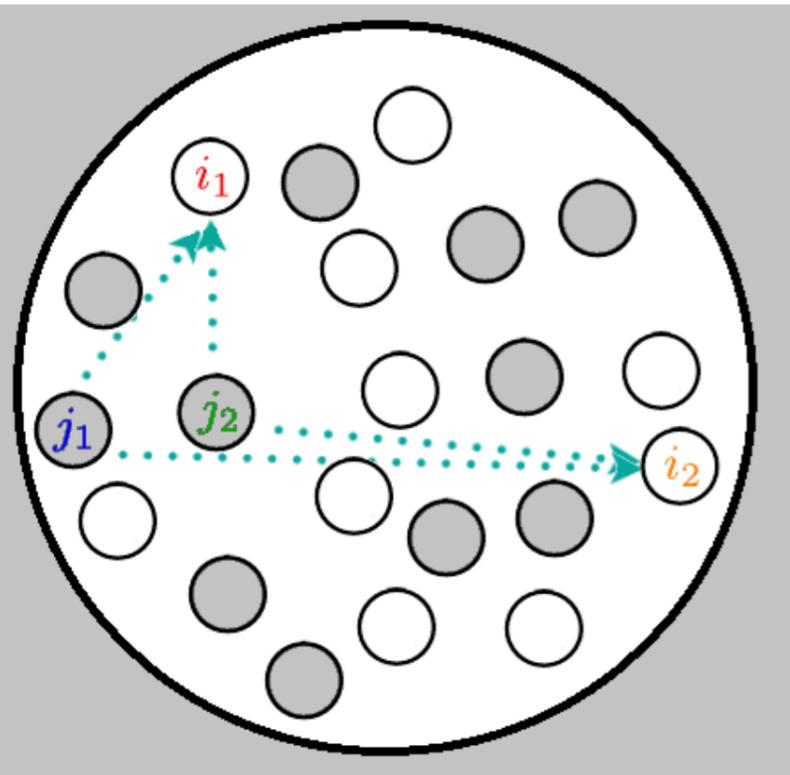
Müller, 193 (1986)

# Sachdev-Ye-Kitaev Model

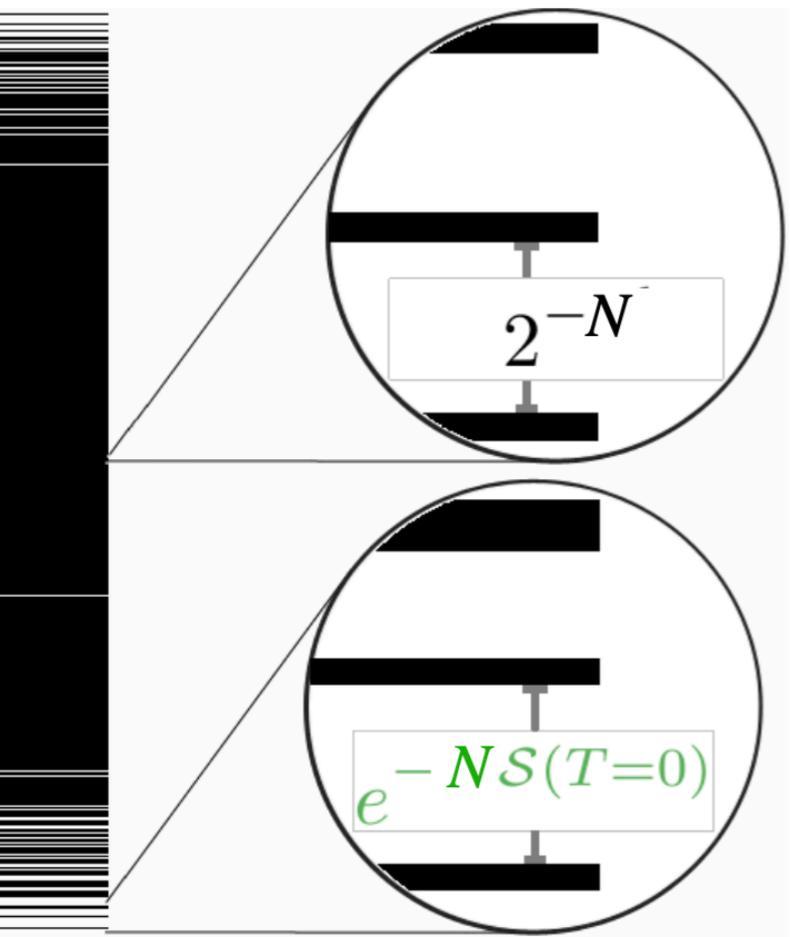


$$\mathcal{H}_4 = \sum_{\substack{1 \leq i_1 < i_2 \leq N \\ 1 \leq j_1 < j_2 \leq N}} \underbrace{J_{j_1 j_2}^{i_1 i_2}}_{\text{(random coupling)}} \underbrace{c_{i_1}^\dagger c_{i_2}^\dagger c_{j_2} c_{j_1}}_{\text{4-sites}}$$

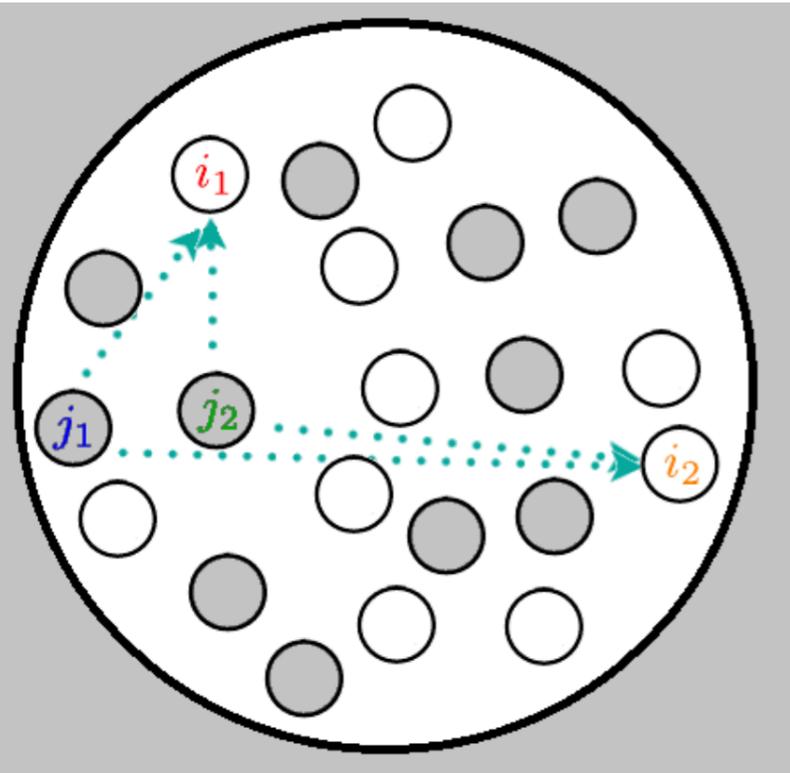
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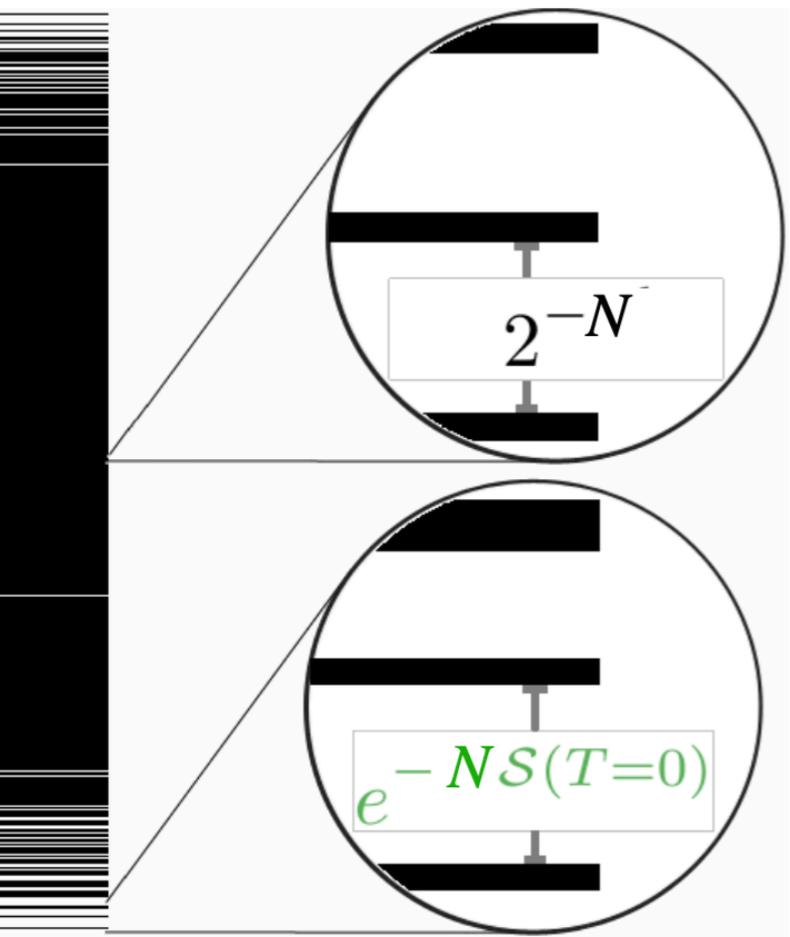
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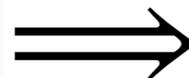


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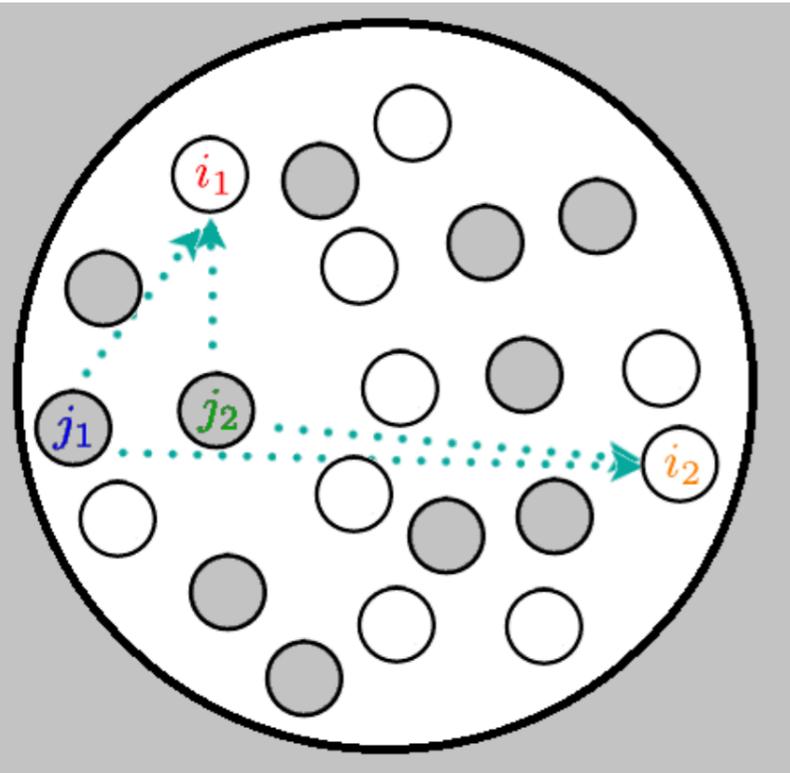


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**No quasiparticles**

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## Properties:

- Non-integrable
- Saturates Maldacena-Shenker-Stanford bound:

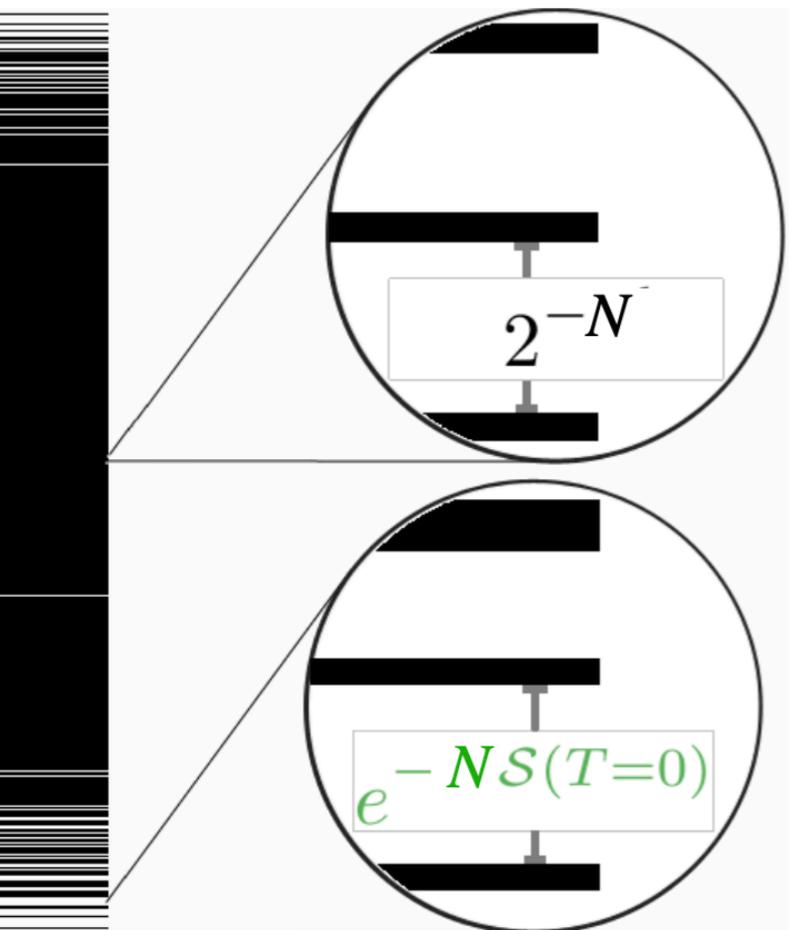
$$\lambda_{\text{Lyapunov}} = \lambda_{\text{Max}} = 2\pi \underbrace{k_B T / \hbar}$$

Universal Planckian rate

- $N \rightarrow \infty$  : Analytically solvable!

- Conserved  $U(1)$  charge:

$$Q = \frac{1}{N} \sum_i \langle c_i^\dagger c_i - 1/2 \rangle$$



⇒ **No quasiparticles**

S. Sachdev and J. Ye, PRL 70, 3339 (1993)  
 Kitaev, unpublished  
 Y. Gu, et. al., JHEP 02 (2020) 157  
 W. Fu, PhD Thesis (Harvard University, 2018)

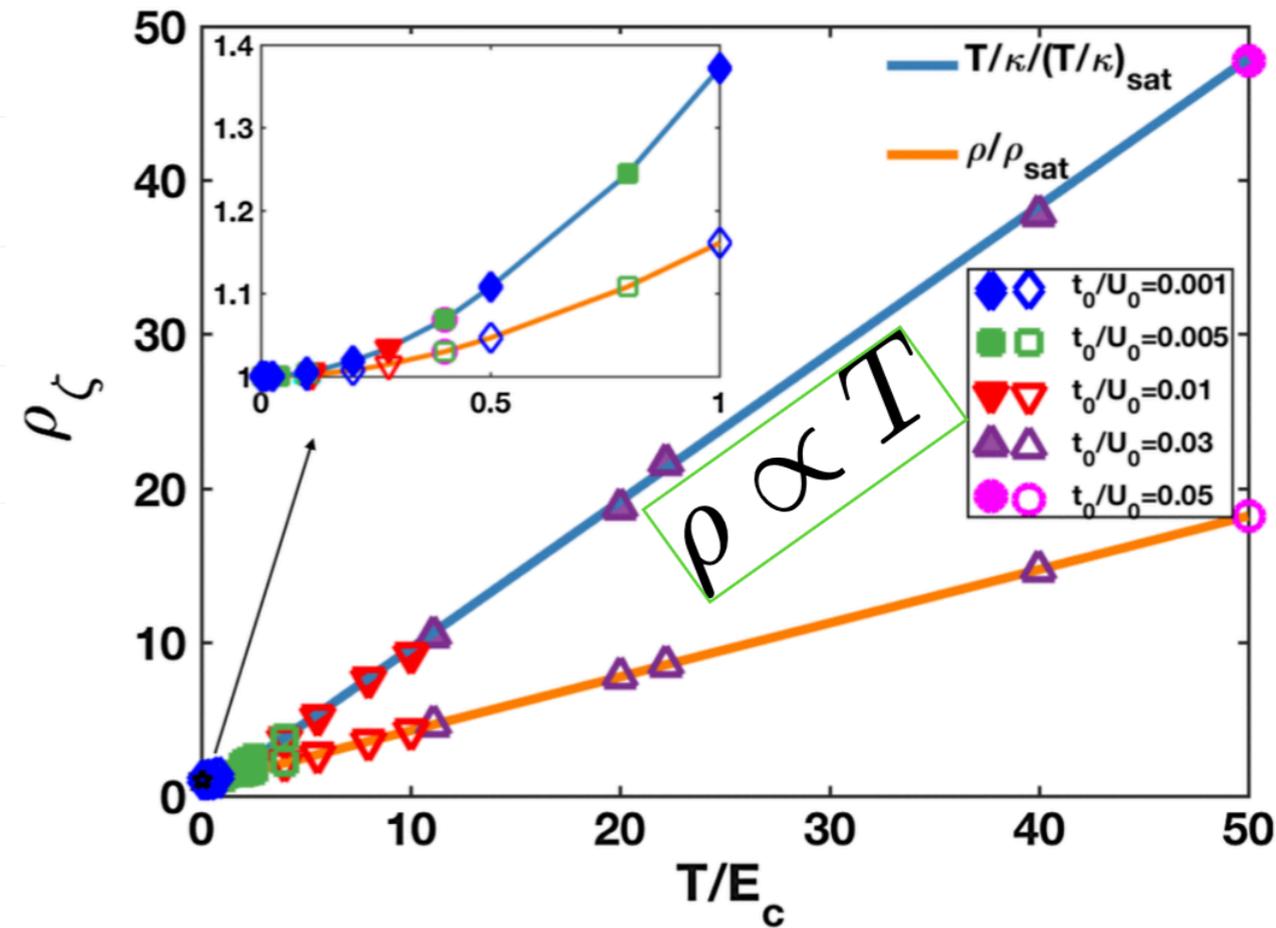
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<b>Energy level spacing</b>	$\frac{1}{N}$	$e^{-\alpha N}$
<b>Quasiparticles</b>	Yes	No
<b>Equilibration rate <math>\tau_{\text{eq}}^{-1}</math></b>	$\alpha^2 T^2$	$\approx 1 \cdot \frac{k_B T}{\hbar}$
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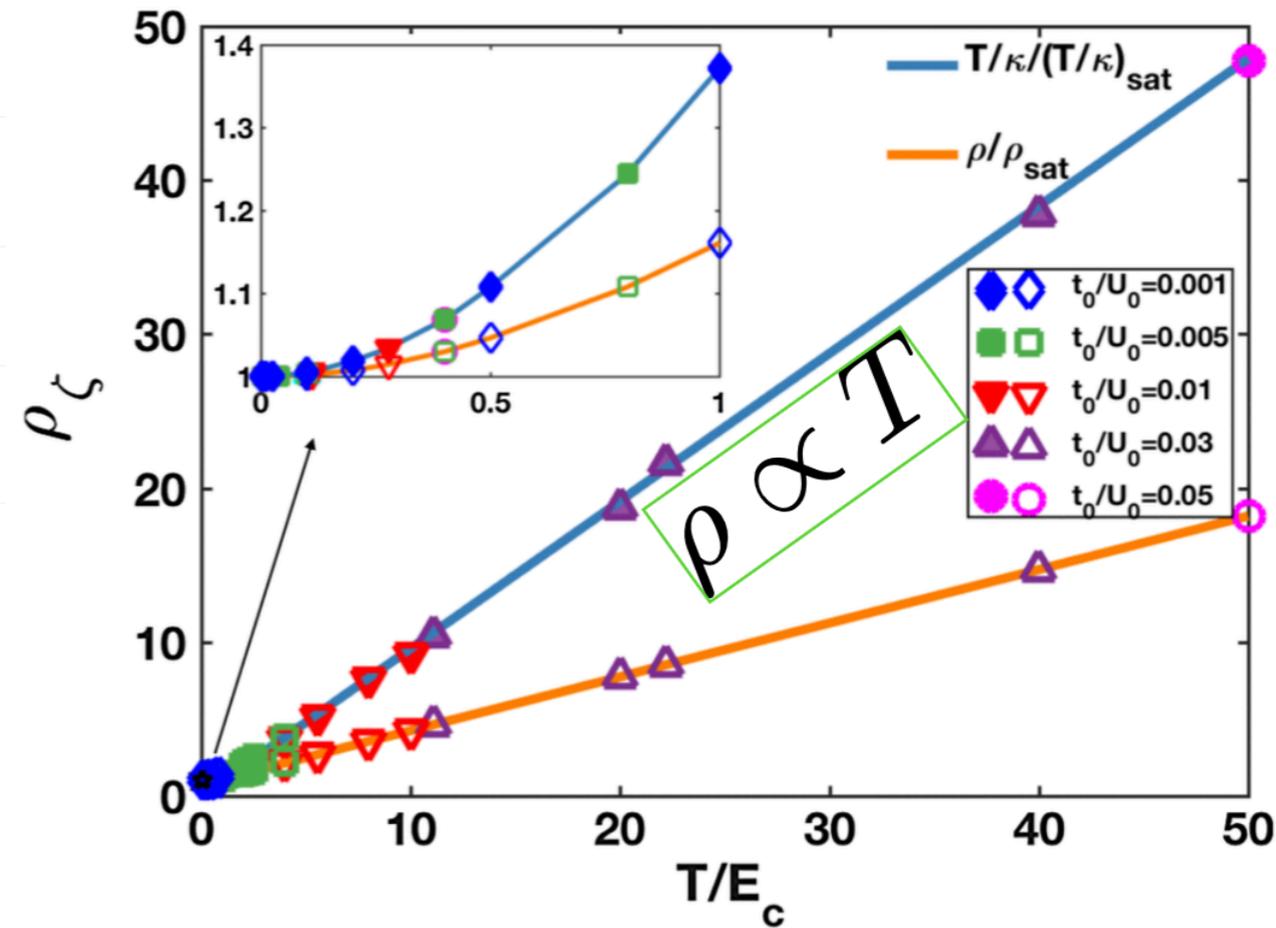


Xue-Yang Song, et. al., PRL 119, 216601 (2017)

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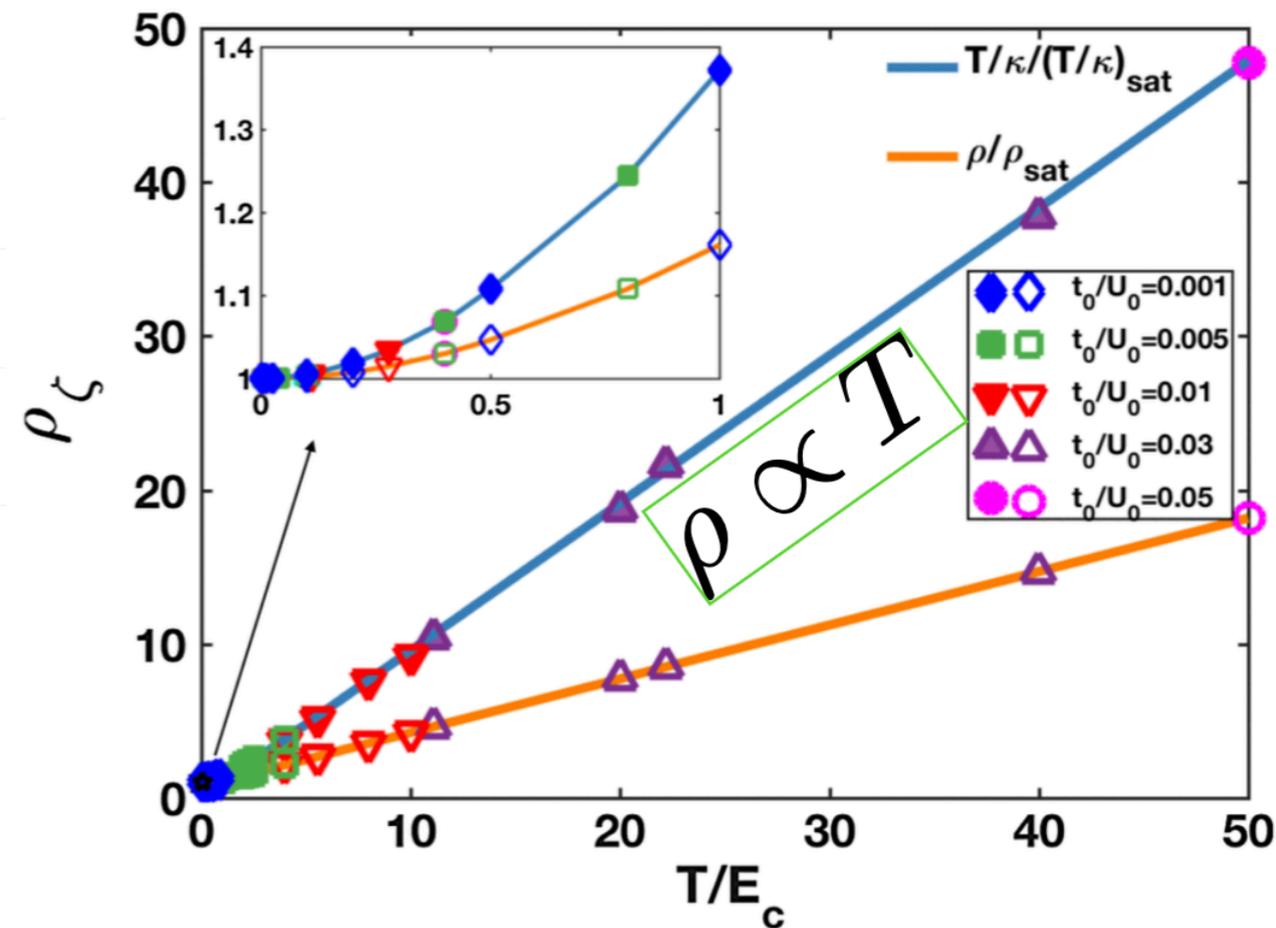
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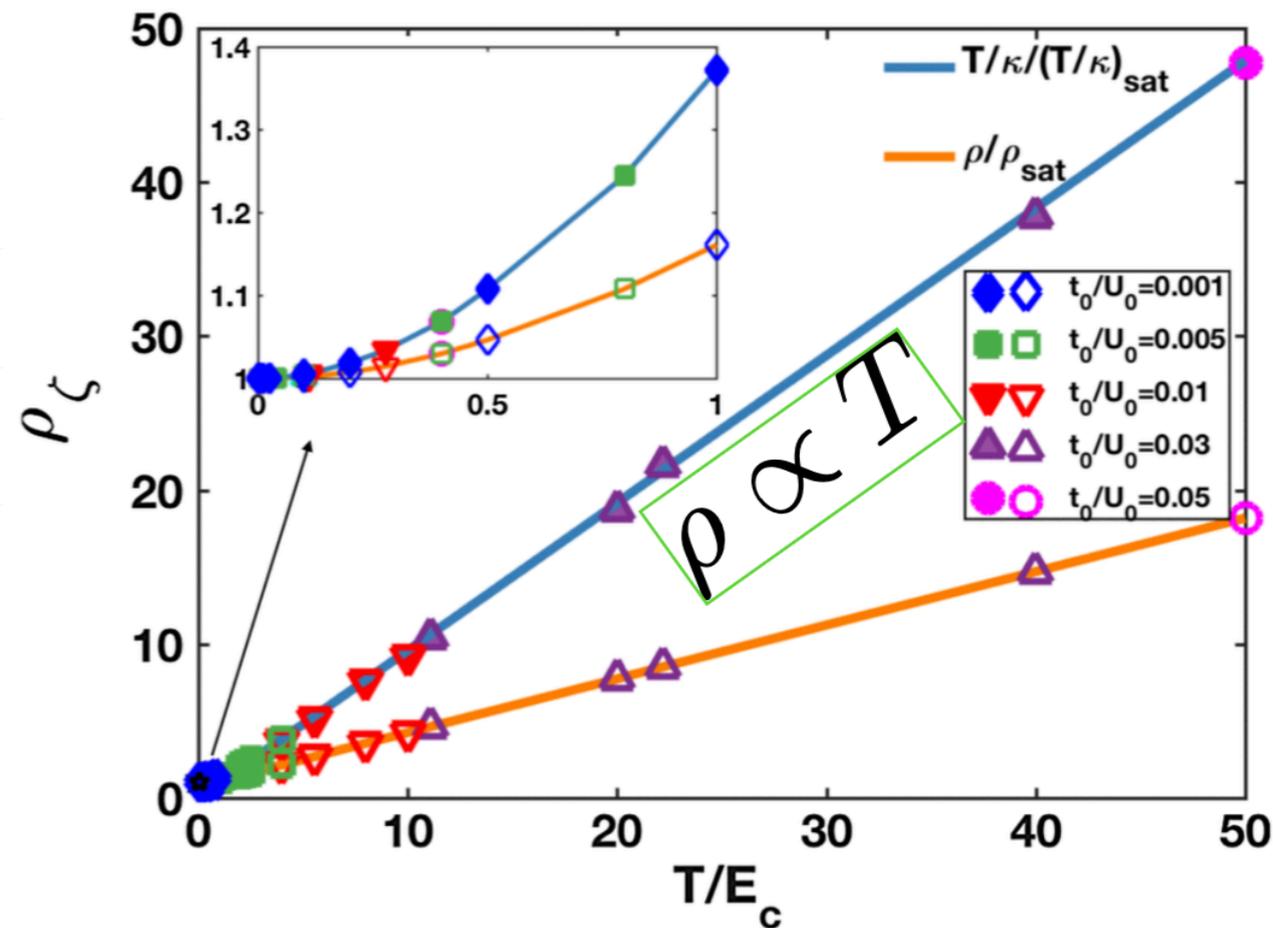
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A. Legros et al., Nat. Phys. 15 142-147

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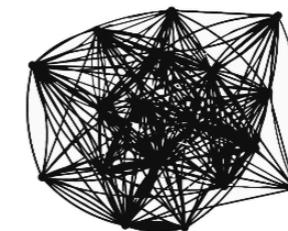
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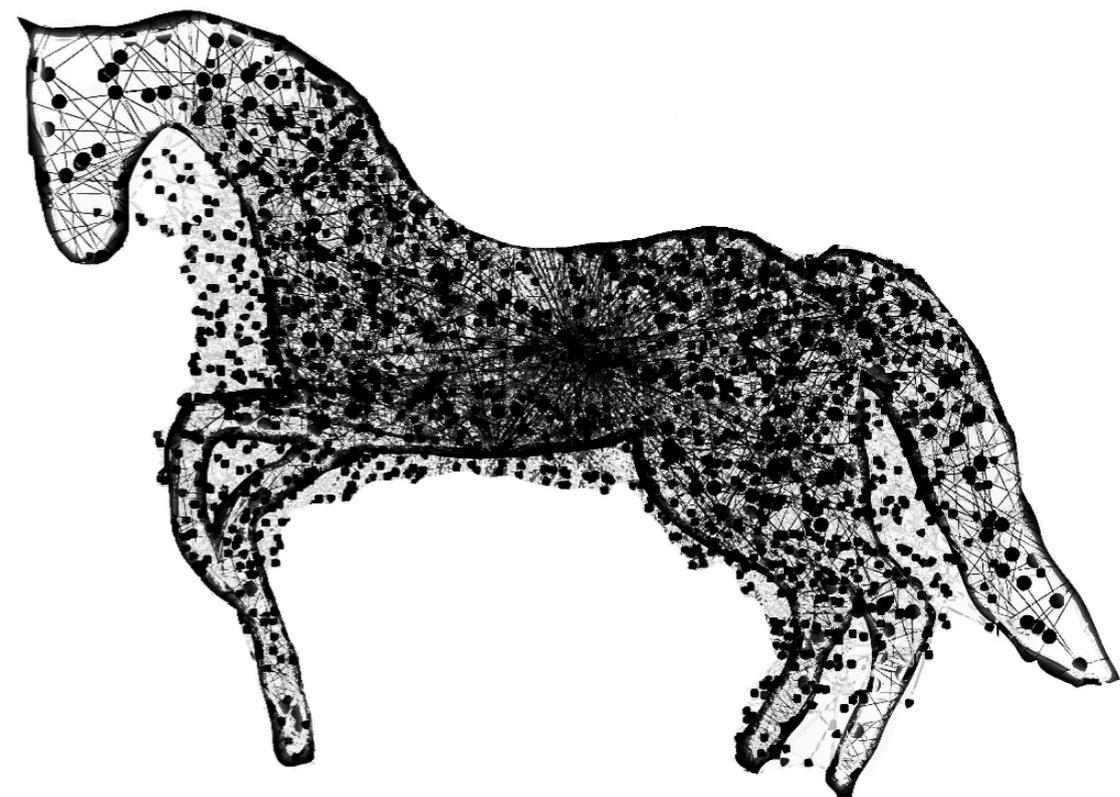
A. Legros et al., Nat. Phys. 15 142-147

**SYK Model = A model for strange metals!**

Quasihorse



SYK model

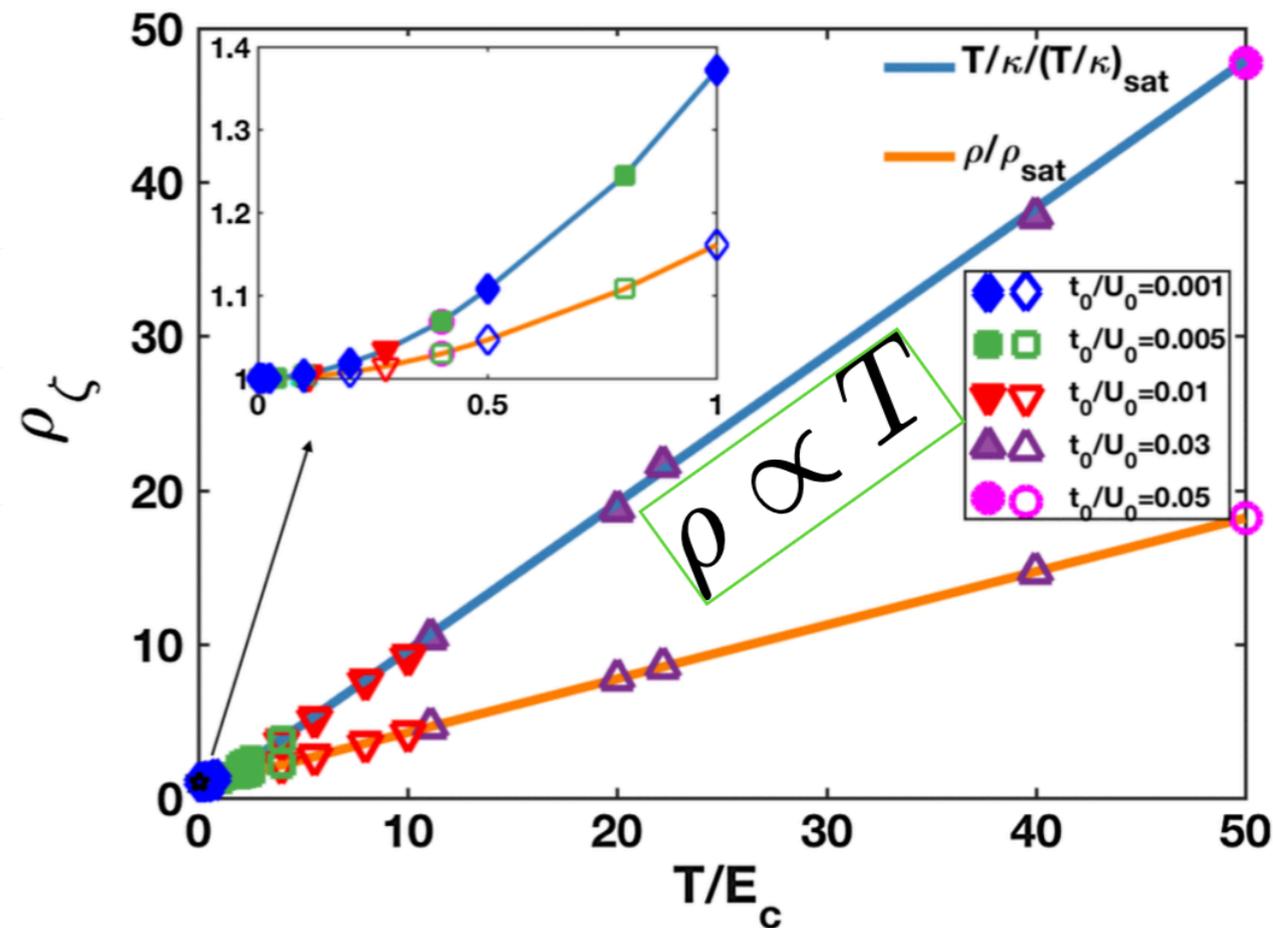


Strange horse

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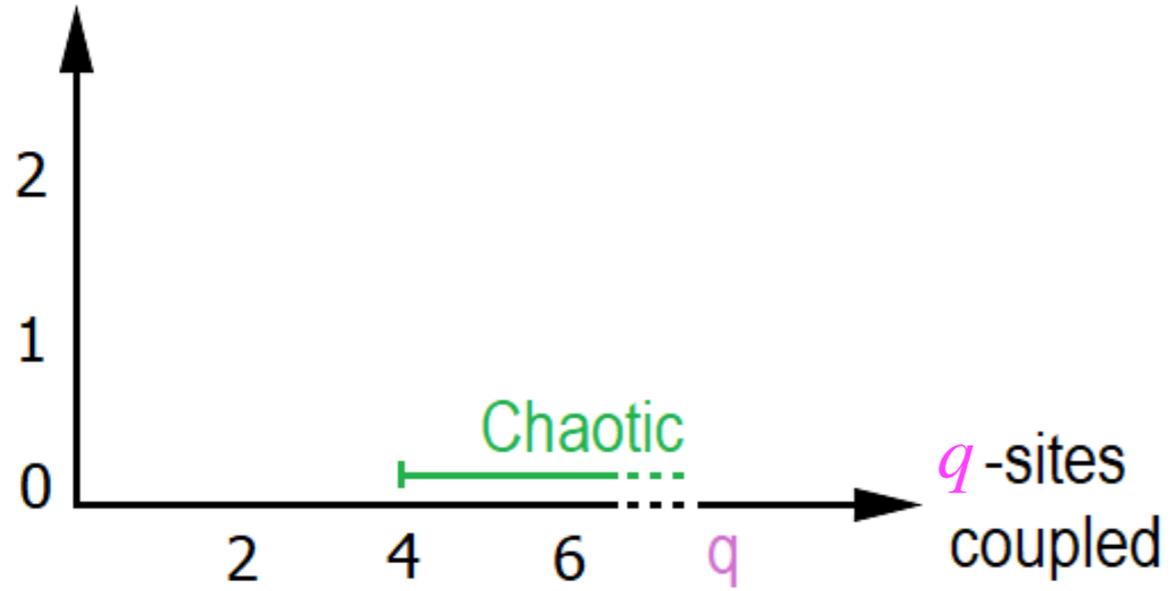
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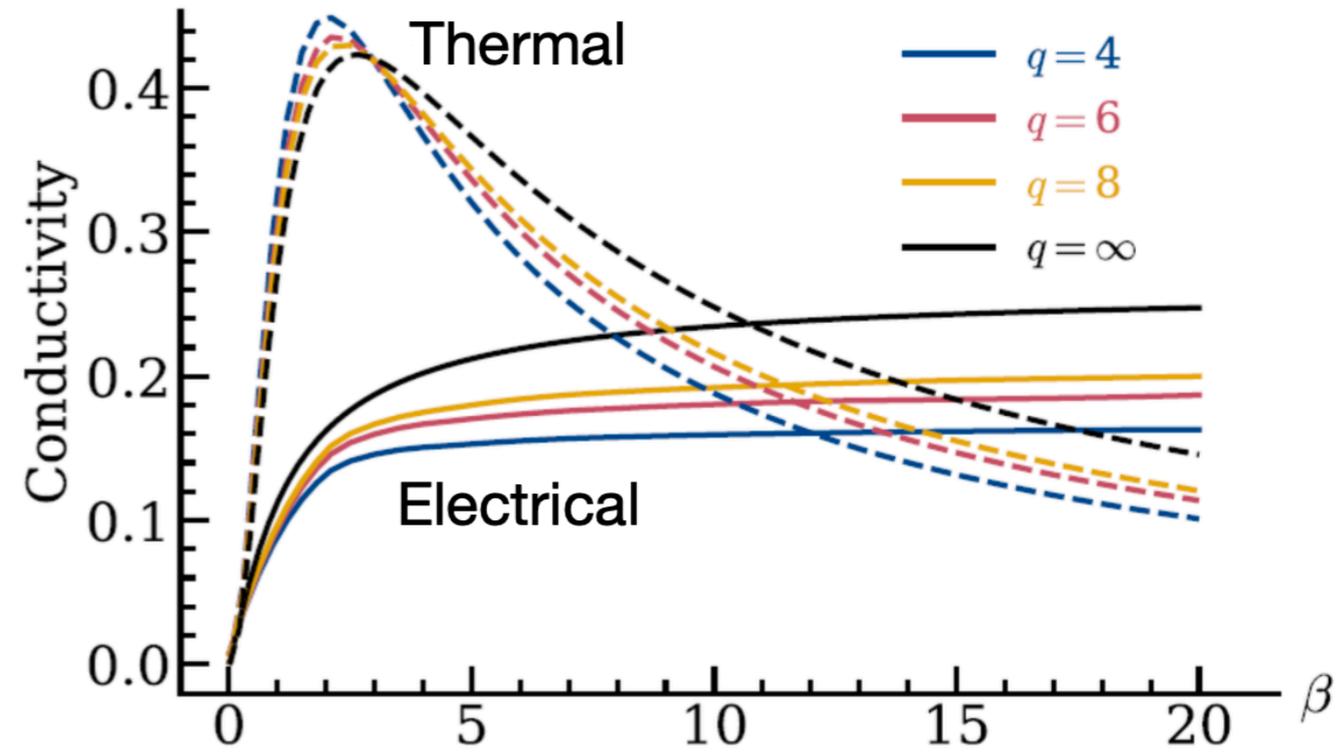
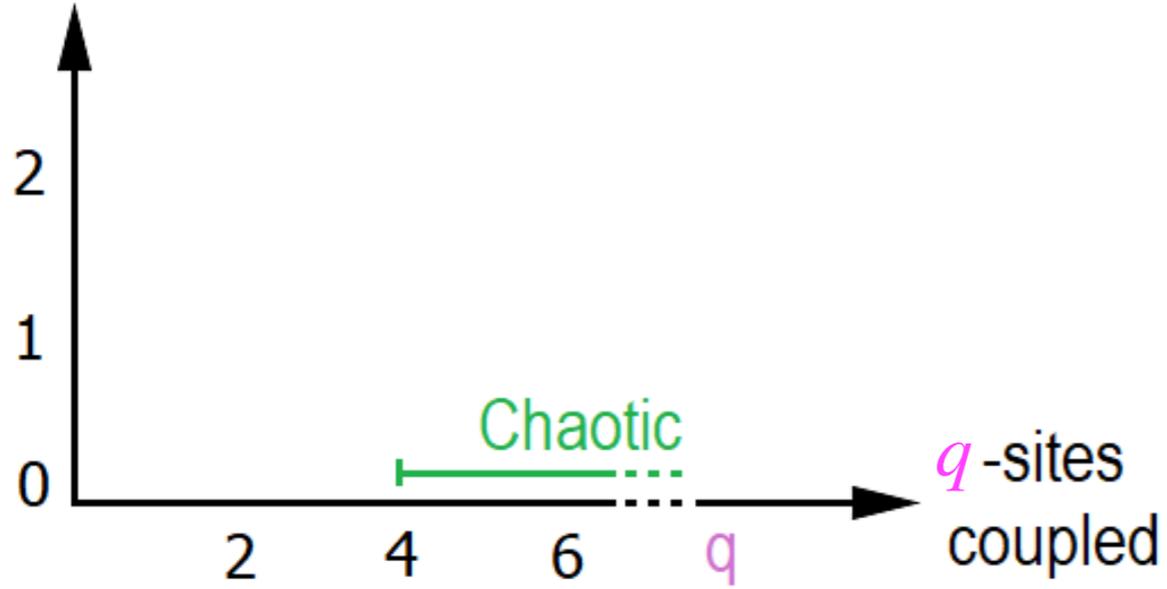
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$d$ -dimensional lattice



# Generalization

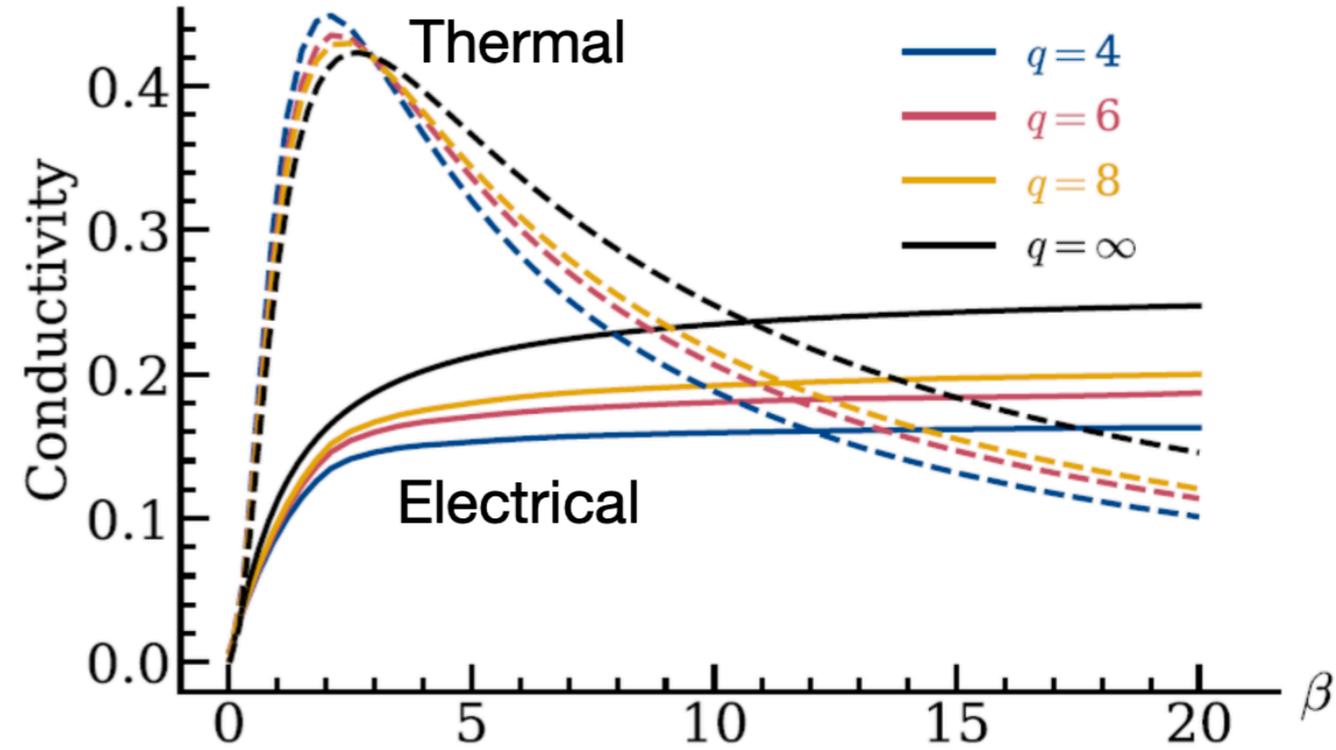
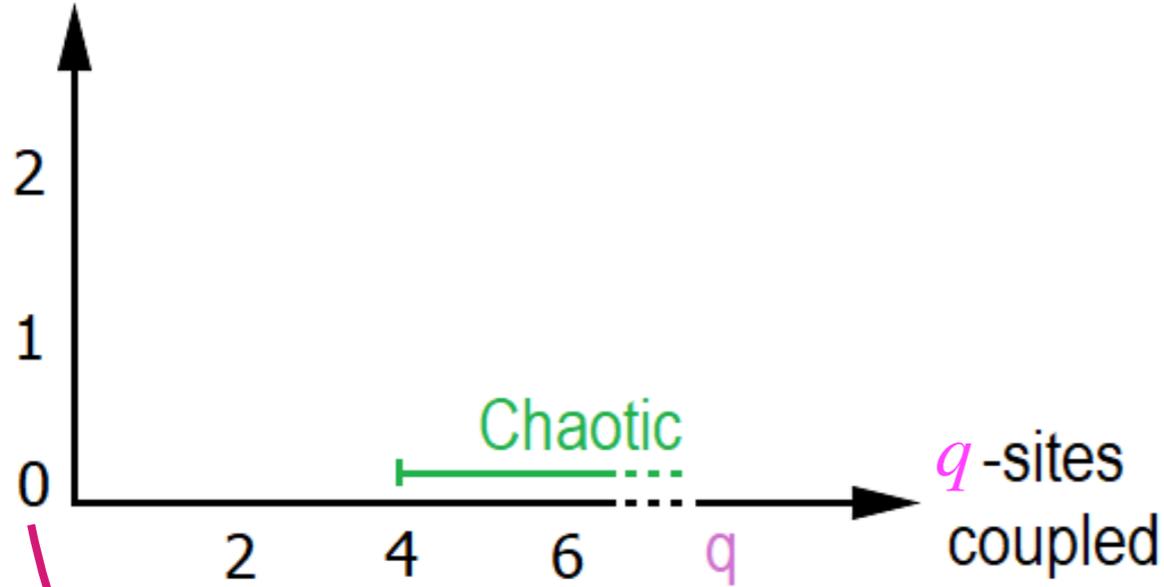
$d$ -dimensional lattice



Zanoci and B. Swingle, Phys. Rev. B 105, 235131 (2022)

# Generalization

$d$ -dimensional lattice

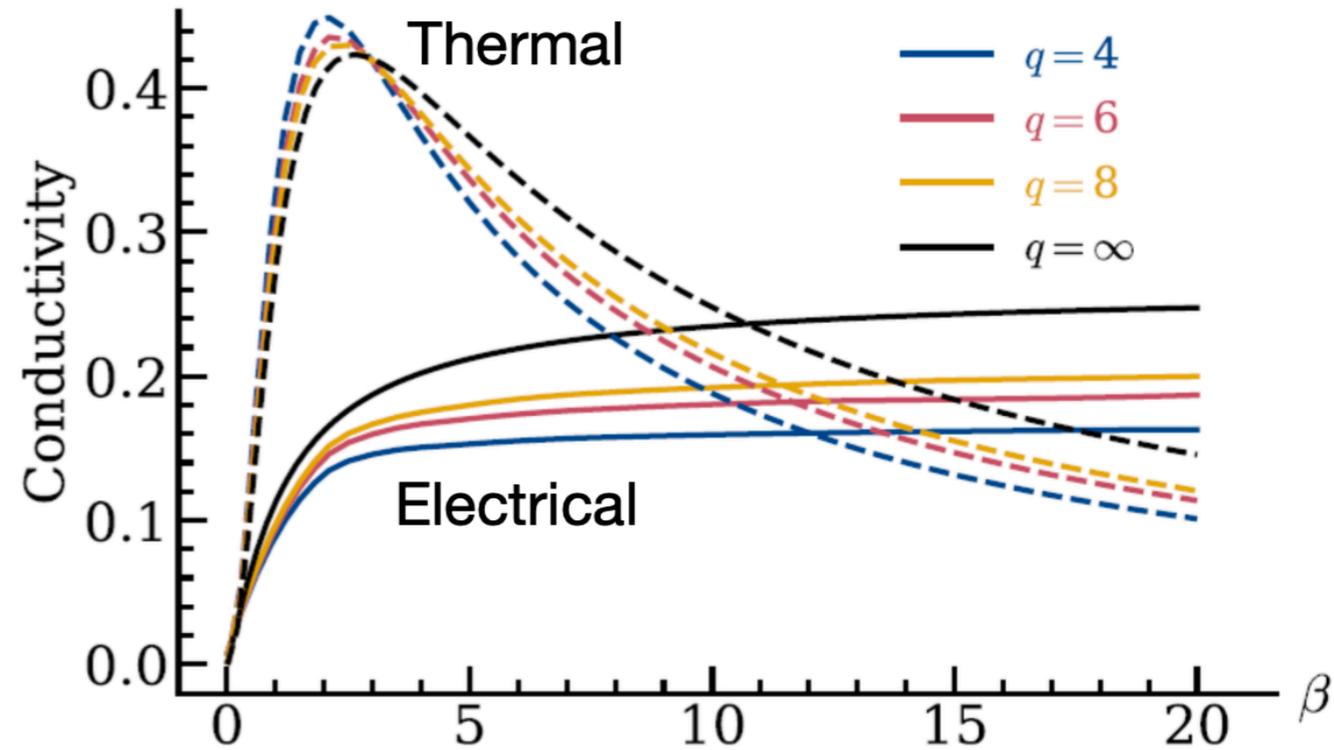
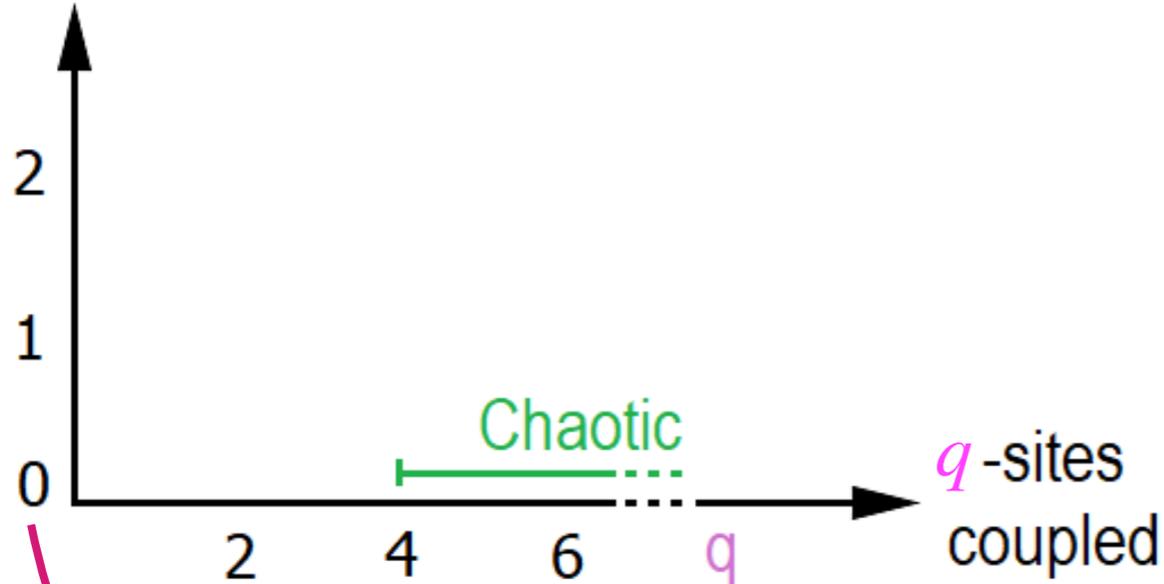


Zanoci and B. Swingle, Phys. Rev. B 105, 235131 (2022)

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# Generalization

$d$ -dimensional lattice



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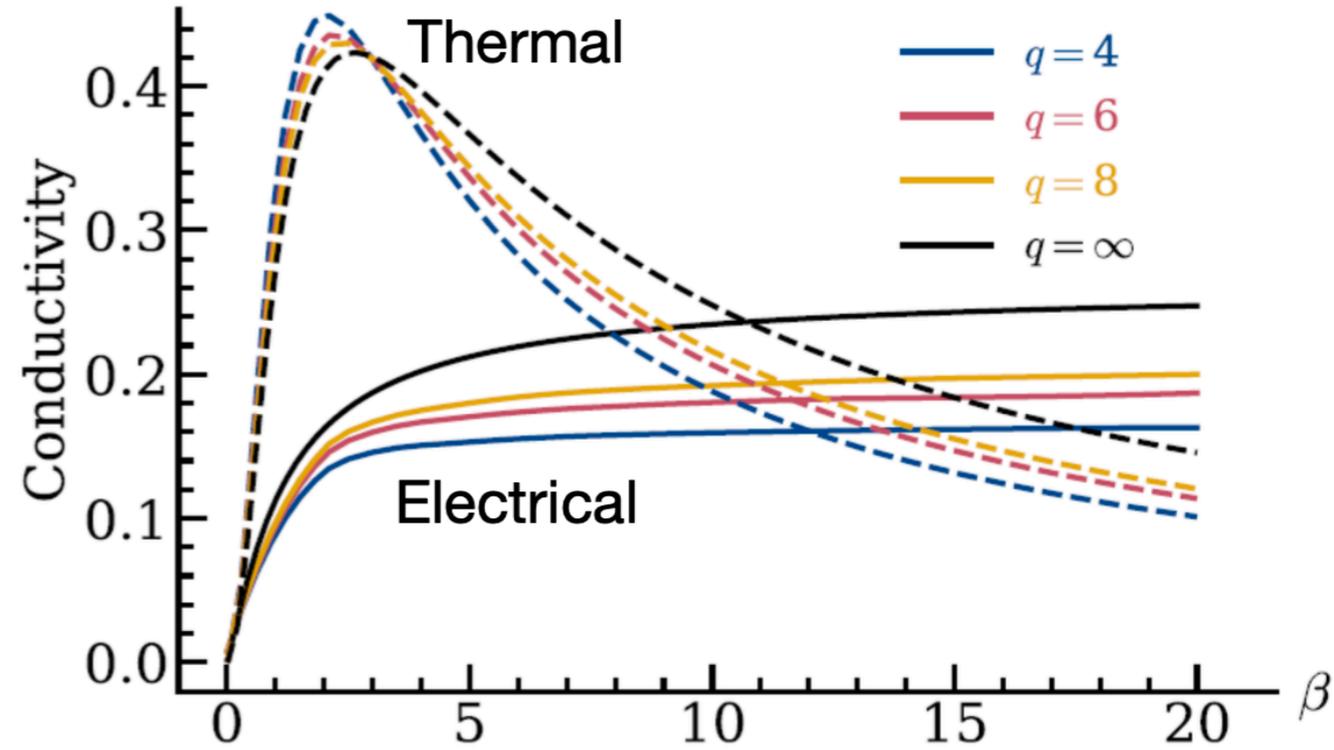
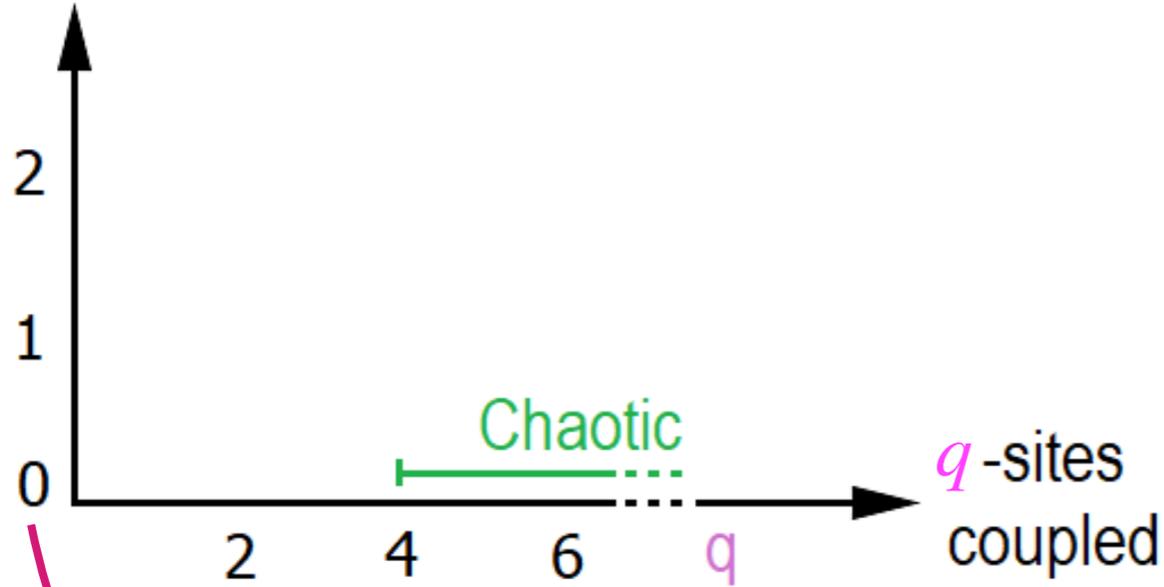
$$\mathcal{H} = \sum_{q=1}^{\infty} \underbrace{J_{2q}(t)}_{q\text{-site coupling strength}} \mathcal{H}_{2q}$$

**retains solvability!**

J. Maldacena and D. Stanford, PRD 94, 106002 (2016)

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$$\mathcal{H} = \sum_{i=1}^L (\mathcal{H}_{q,i} + \mathcal{H}_{\text{hopping},i})$$

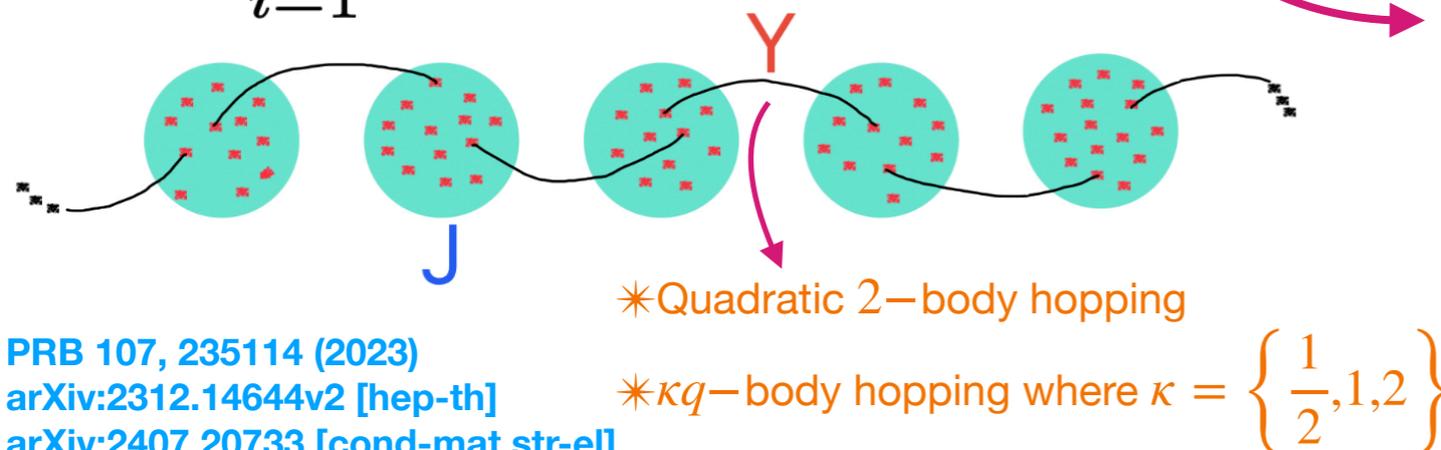
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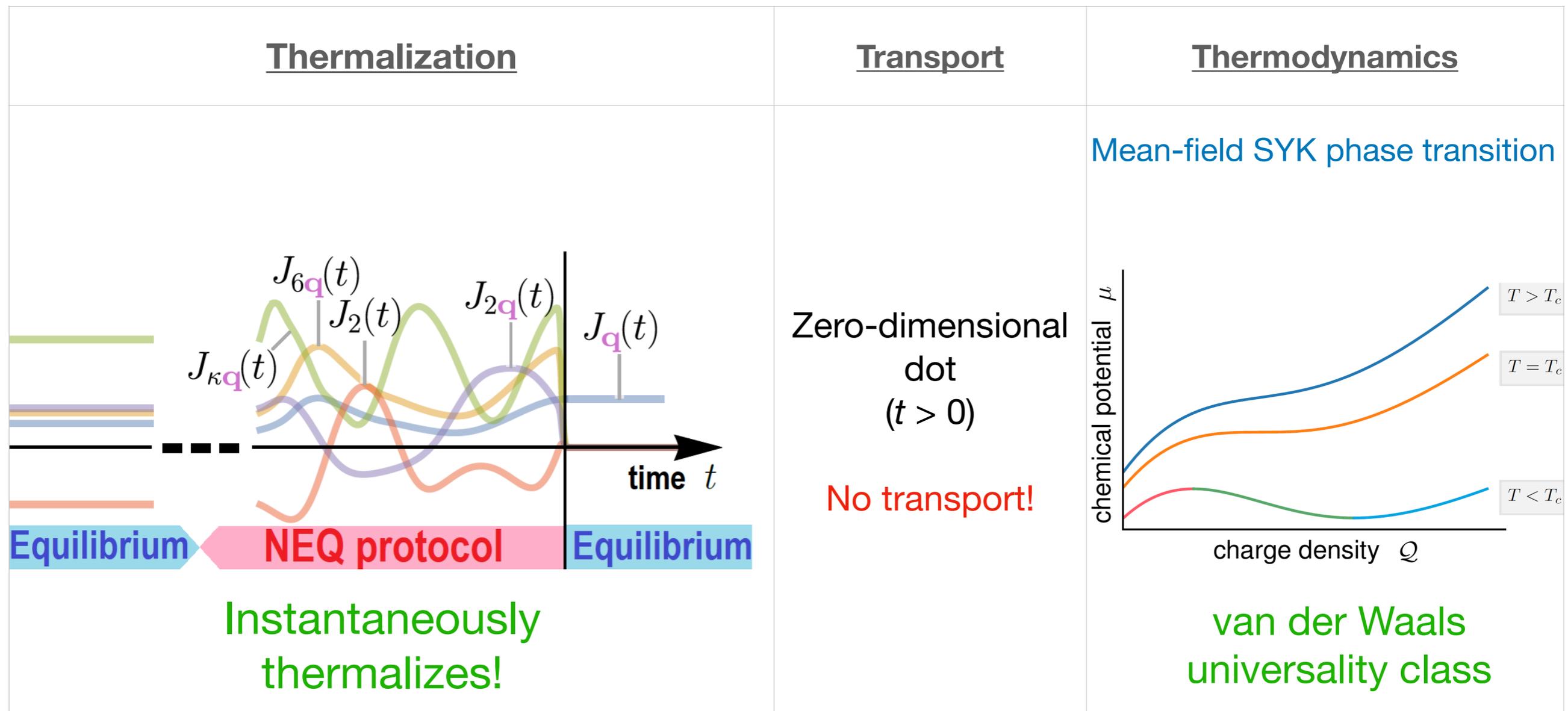
\*Quadratic 2-body hopping

\* $\kappa q$ -body hopping where  $\kappa = \left\{ \frac{1}{2}, 1, 2 \right\}$

# Dynamics of a Dot at Large- $q$

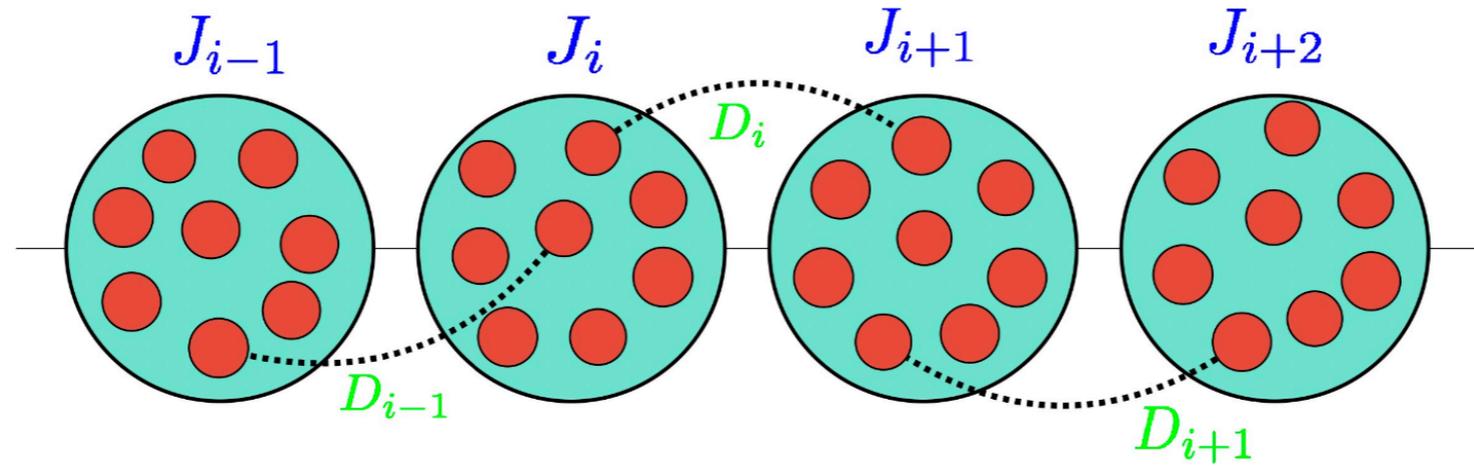
MODEL: 
$$\mathcal{H}(t) = \sum_{\ell} K_{\ell q}(t) \mathcal{H}_{\ell q} \xrightarrow{t > 0} \mathcal{H}_q$$

J. C. Louw and S. Kehrein, PRB 105, 075117 (2022)  
 A. Eberlein, et. al. Phys. Rev. B 96, 205123 (2017)



# Dynamics of a Chain at Large- $q$

MODEL:

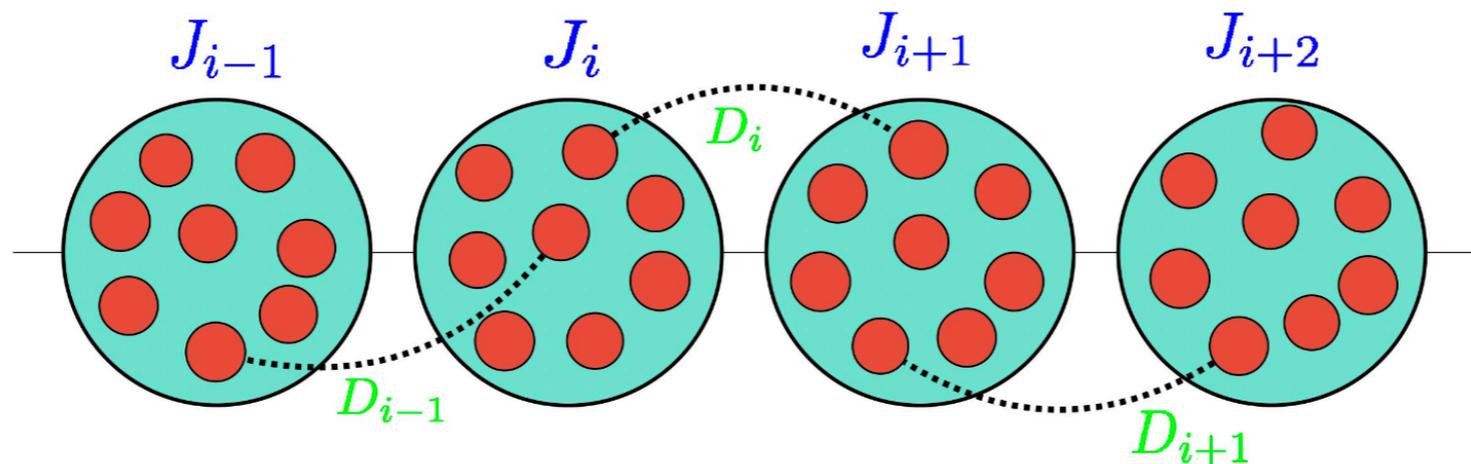


$$\mathcal{H}(t) = \sum_{i=1}^L \mathcal{H}_{q,i} \xrightarrow{t>0} \mathcal{H} = \sum_{i=1}^L (\mathcal{H}_{q,i} + \mathcal{H}_{\text{hopping},i})$$

[RJ and J. C. Louw, PRB 107, 235114 \(2023\)](#)  
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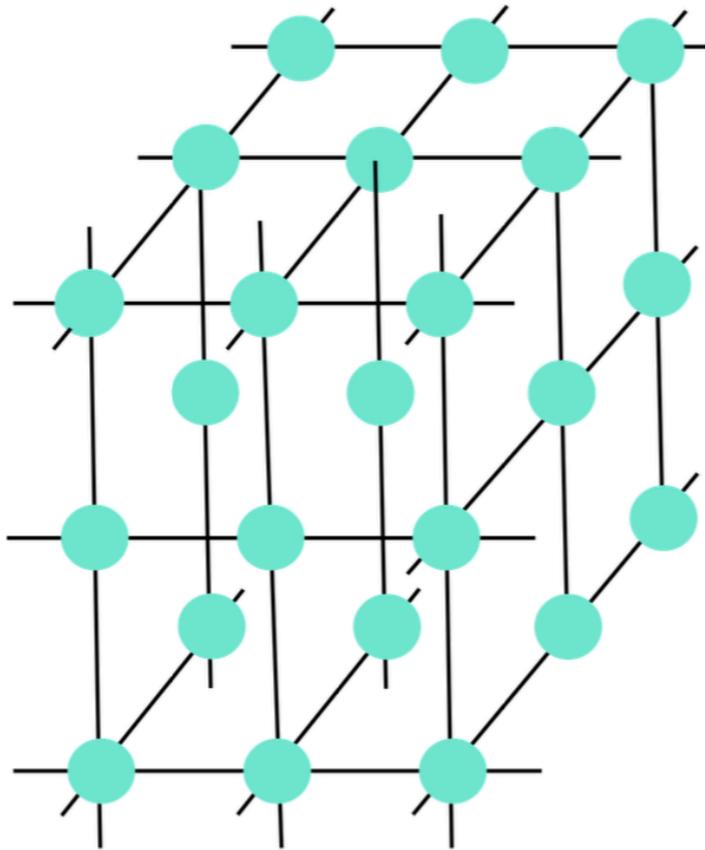


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<u>Thermalization</u>	<u>Transport</u>	<u>Thermodynamics</u>
<p style="text-align: center;"><b>DOES NOT</b> instantaneously thermalize!</p> <p style="text-align: center;">⇓</p> <p style="text-align: center;">Finite equilibration rate</p>	<p style="text-align: center;">Nonequilibrium charge <math>Q_i</math> transport for 2-body hopping:</p> <p style="text-align: center;">⇓</p> $\ddot{Q}_i(t) = \frac{4}{q} [Q_{i-1}(t) - 2Q_i(t) + Q_{i+1}(t)]$	<p style="text-align: center;">Uniformly coupled &amp; <math>q/2</math>-body hopping:</p> <p style="text-align: center;">van der Waals universality class (again)</p>

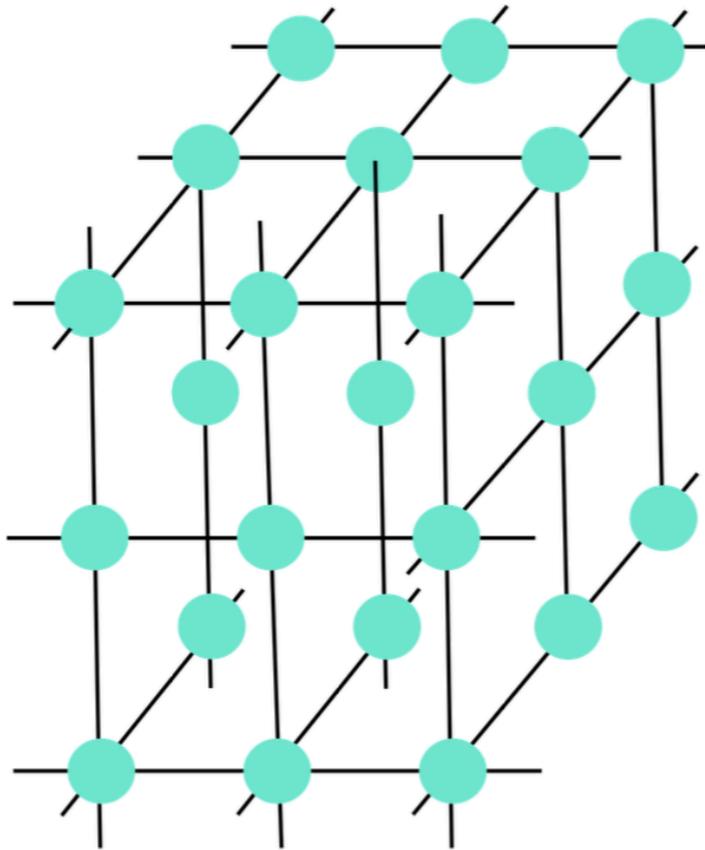
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$$\mathcal{H}(t) = \underbrace{\sum_{x \in \Lambda} \mathcal{H}_x(t)}_{\text{On-site SYK dots}} + \underbrace{\sum_{\langle x, x' \rangle \in \Lambda} \mathcal{H}_{x \rightarrow x'}(t)}_{\text{Nearest-neighbor } r\text{-body hopping}}$$

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# Coincidence?

Large- $q$  complex SYK model:  $\mathcal{H} = \mathcal{H}_q = \sum_{\text{over indices}} \underbrace{J_{j_1 \dots j_{q/2}}^{i_1 \dots i_{q/2}}}_{\text{random coupling}} \underbrace{c_{i_1}^\dagger \dots c_{i_{q/2}}^\dagger c_{j_{q/2}} \dots c_{j_1}}_{q\text{- Sites}}$

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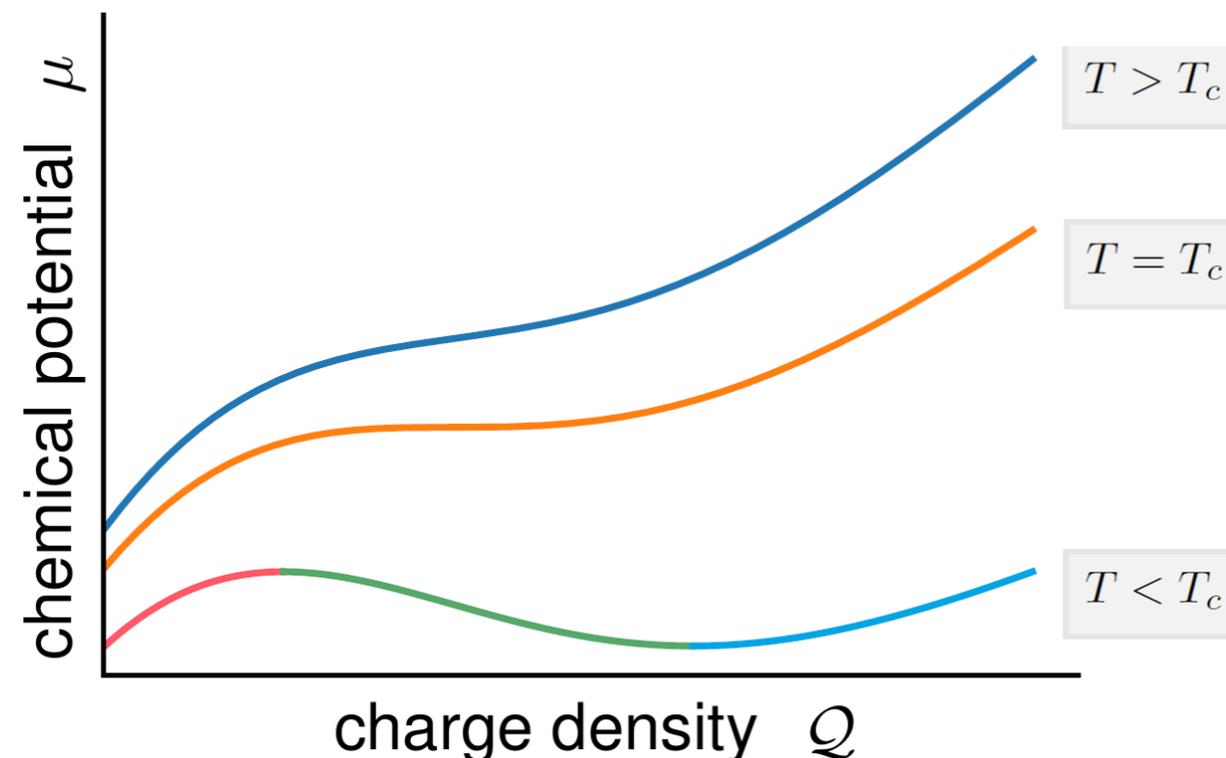
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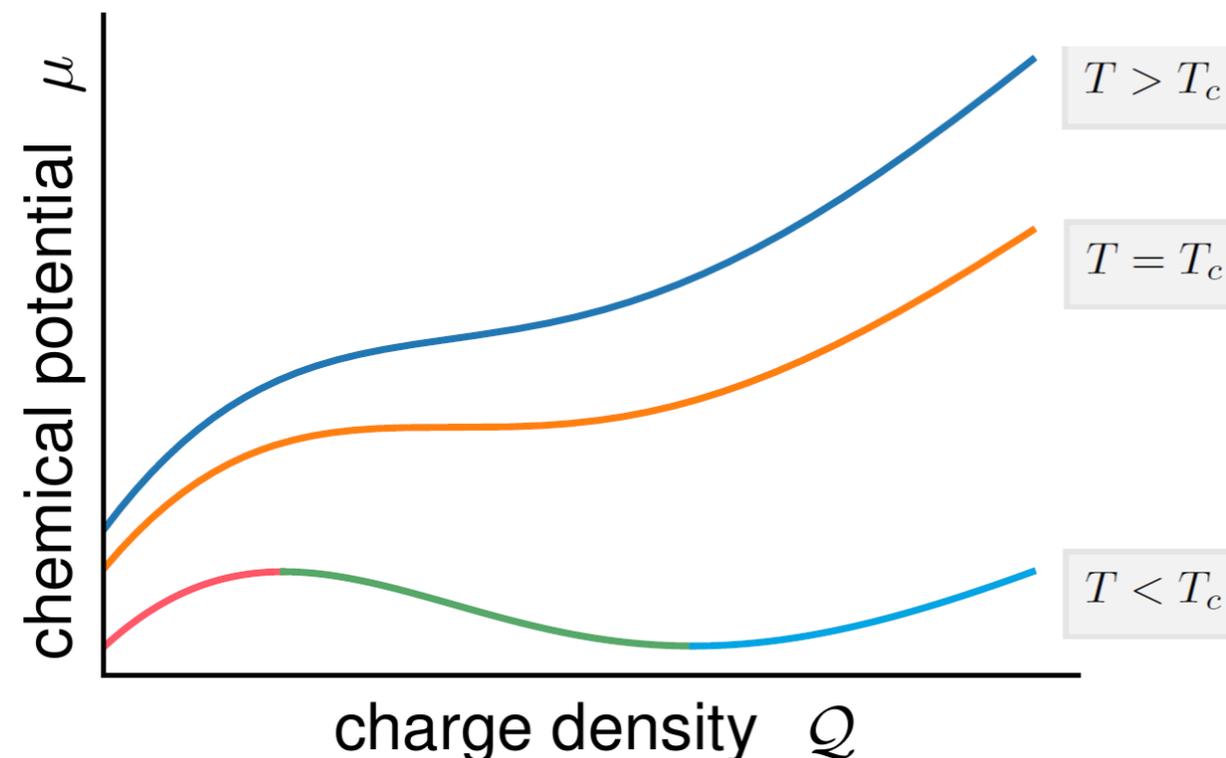
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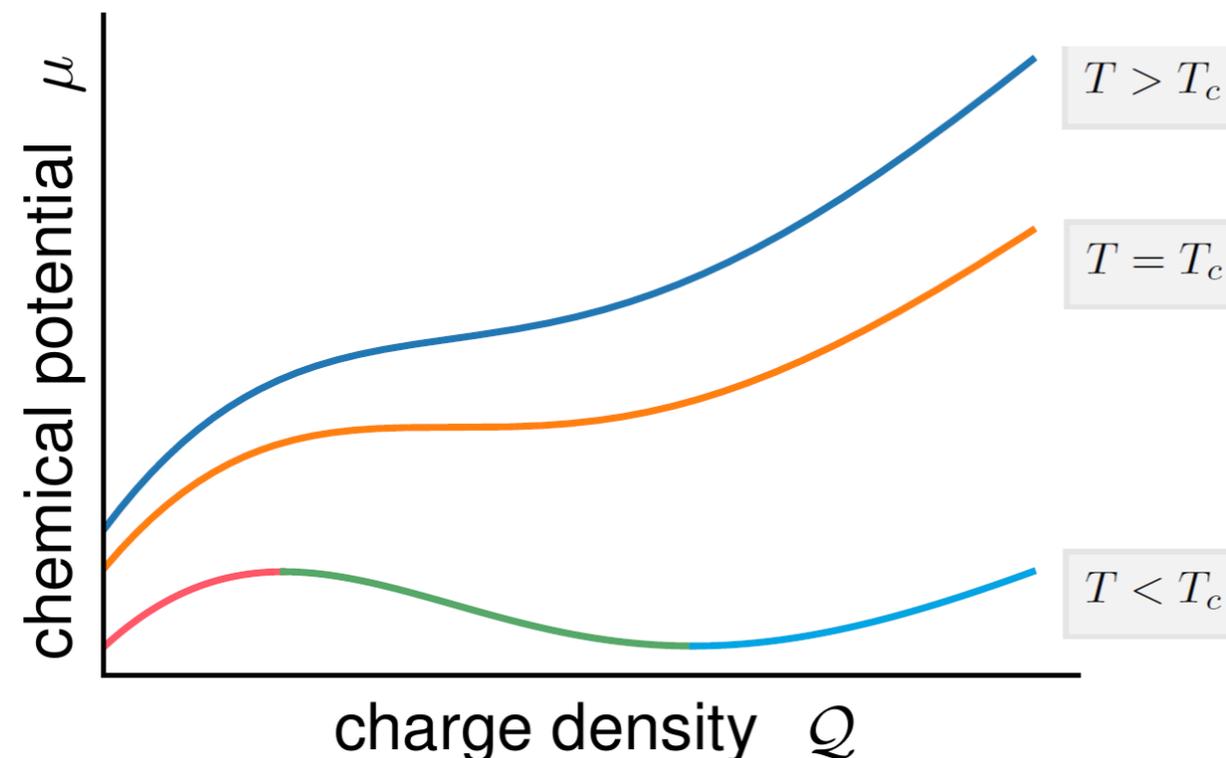
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Ginzburg-Landau (mean-field)

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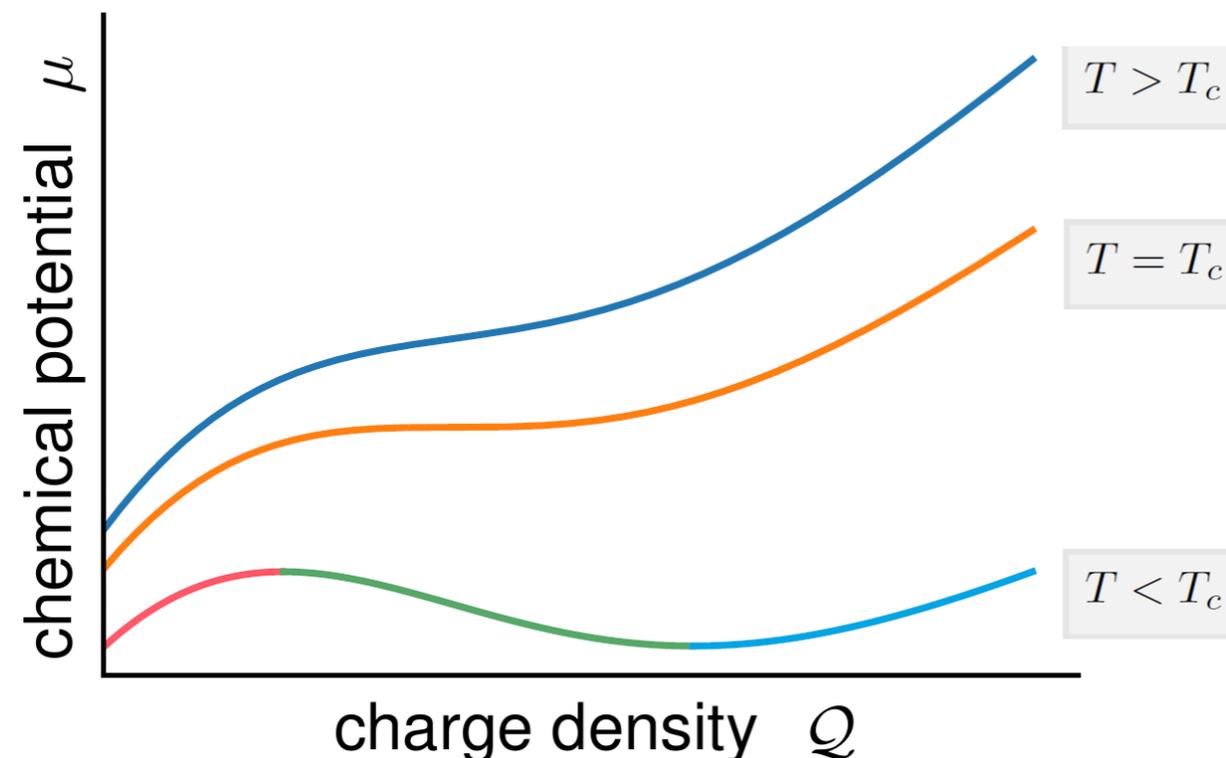
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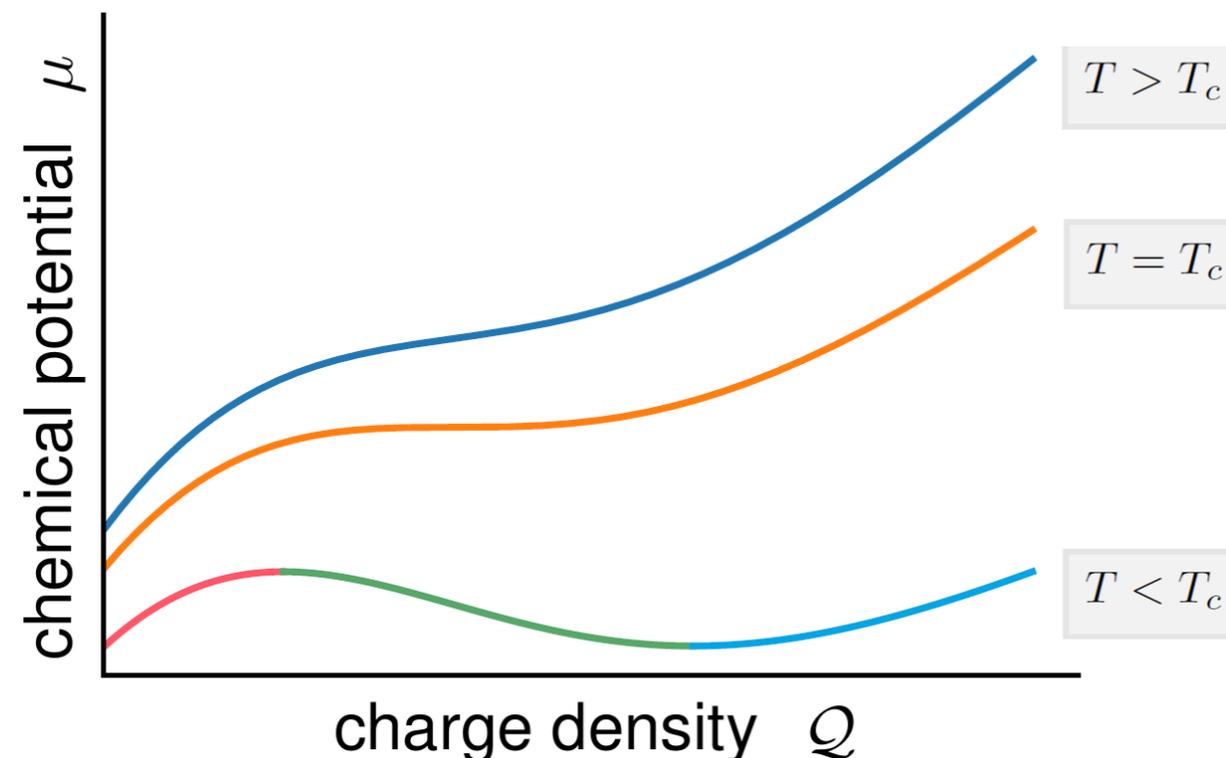
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True for all large- $q$  SYK?  $\mathcal{H} = \sum_{k>0} \mathcal{K}_{kq} \mathcal{H}_{kq}$

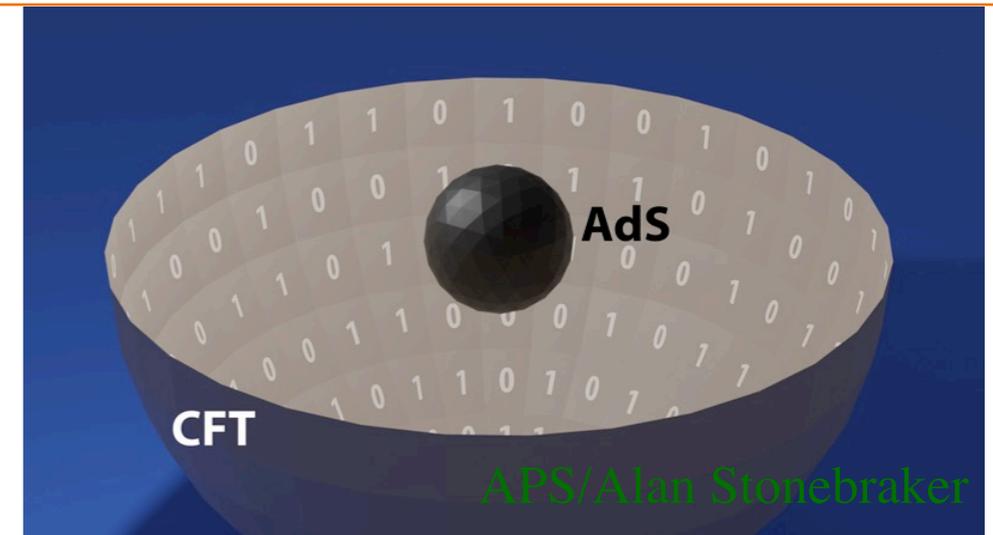
RJ, S. Kehrein, J. C. Louw, arXiv:2407.20733 [cond-mat.str-el]

Holography:

Gravity <sub>$d$</sub>   $\overset{\text{dual}}{\longleftrightarrow}$  Quantum <sub>$d-1$</sub>

Coupling Strength  $\frac{1}{J}$   $\overset{\text{dual}}{\longleftrightarrow}$  Coupling Strength  $J$

J. M. Maldacena, Adv.Theor.Math.Phys.2:231-252,1998

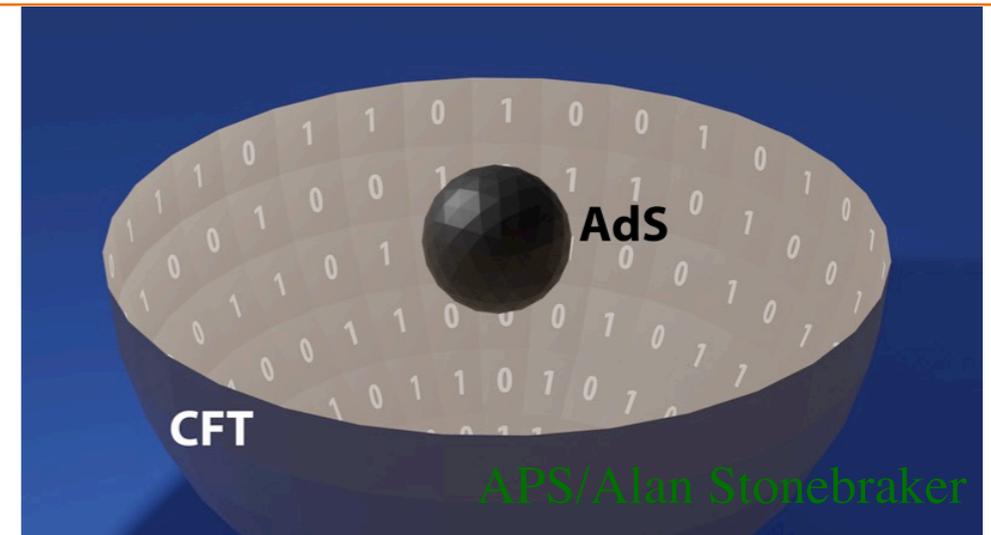


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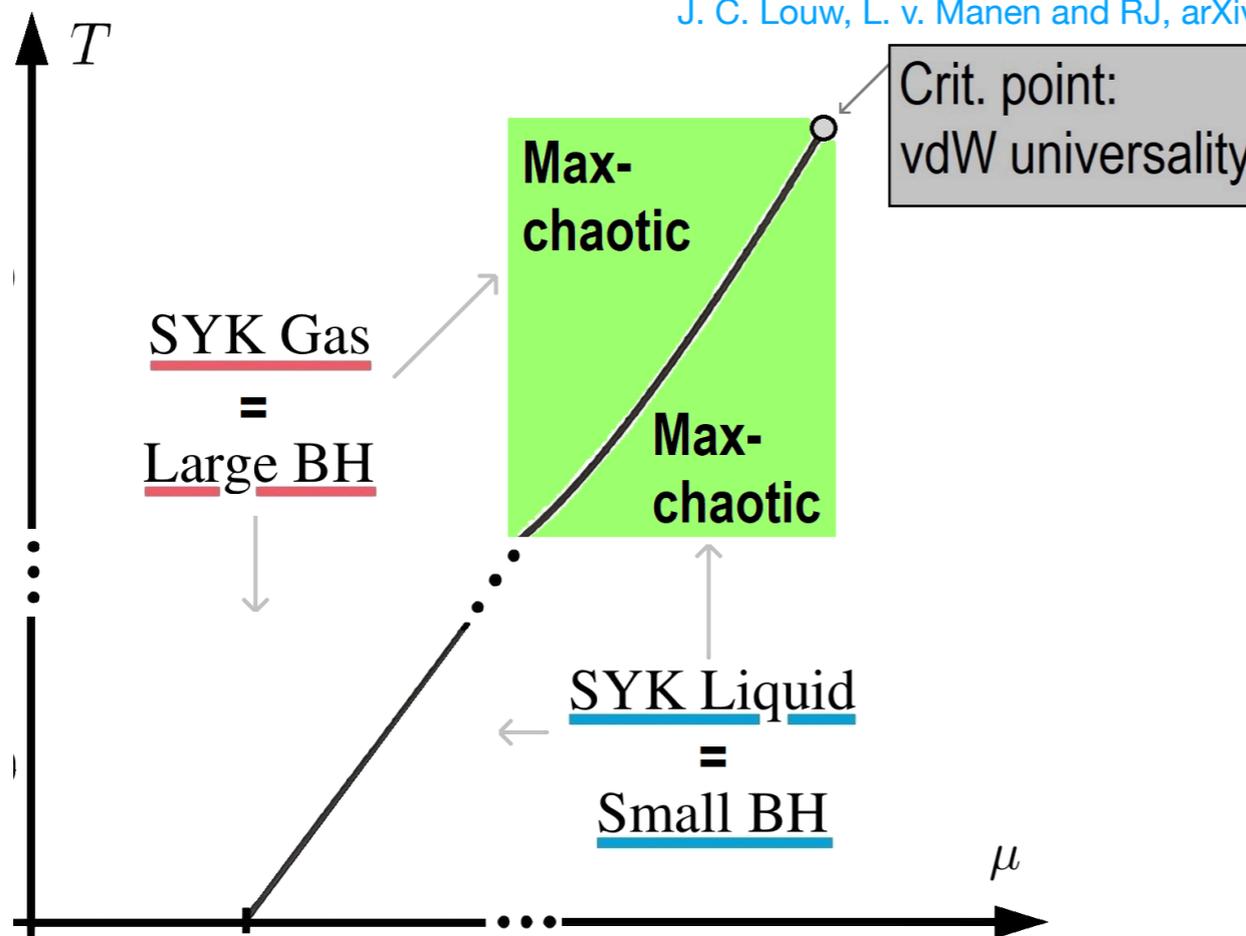
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- Mapping fails for very low temperatures

[J. C. Louw and S. Kehrein, PRB 107, 075132 \(2023\)](#)  
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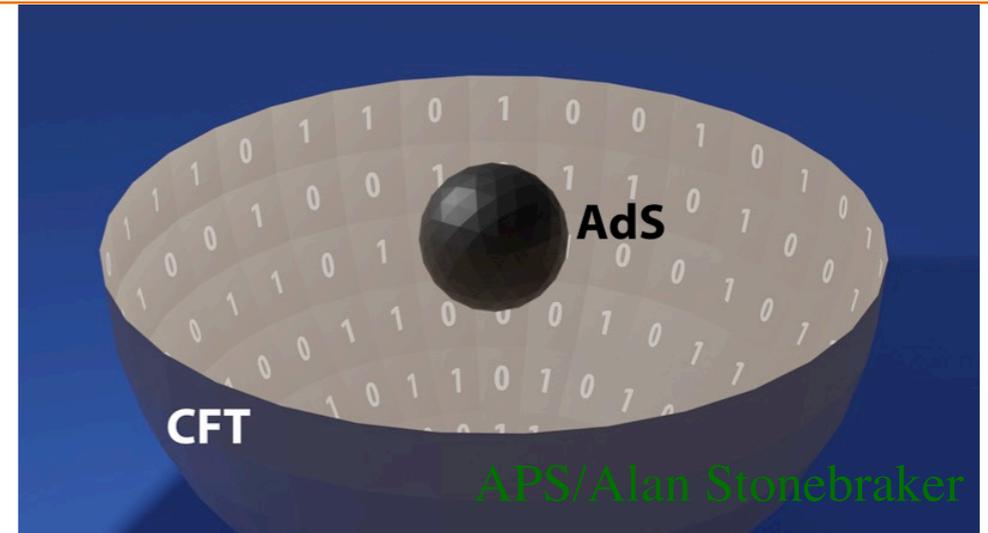


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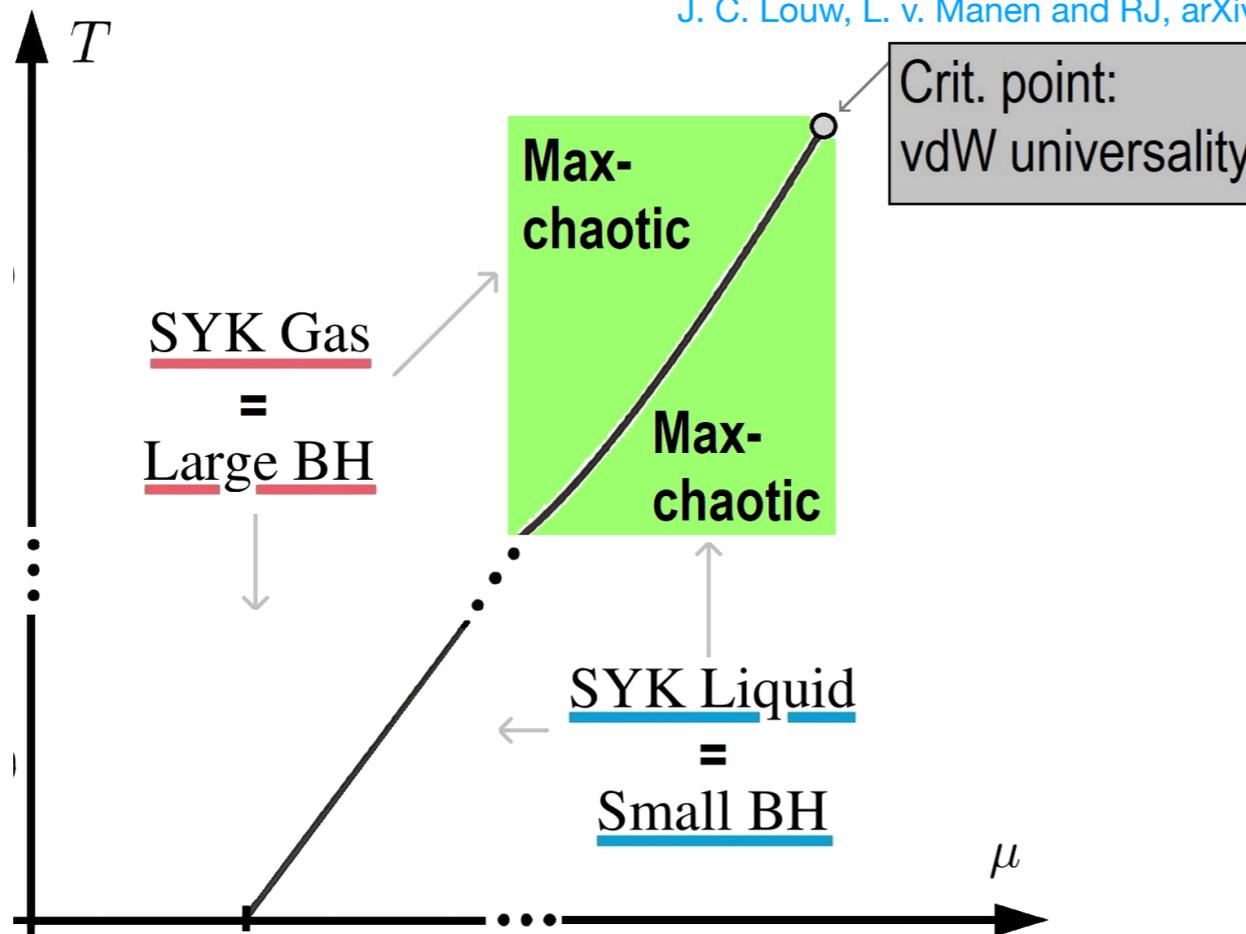
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Black Holes = SYK ?

- Maximally chaotic
- $\tau_{\text{eq}}^{-1} \approx 1 \cdot k_B T / \hbar$
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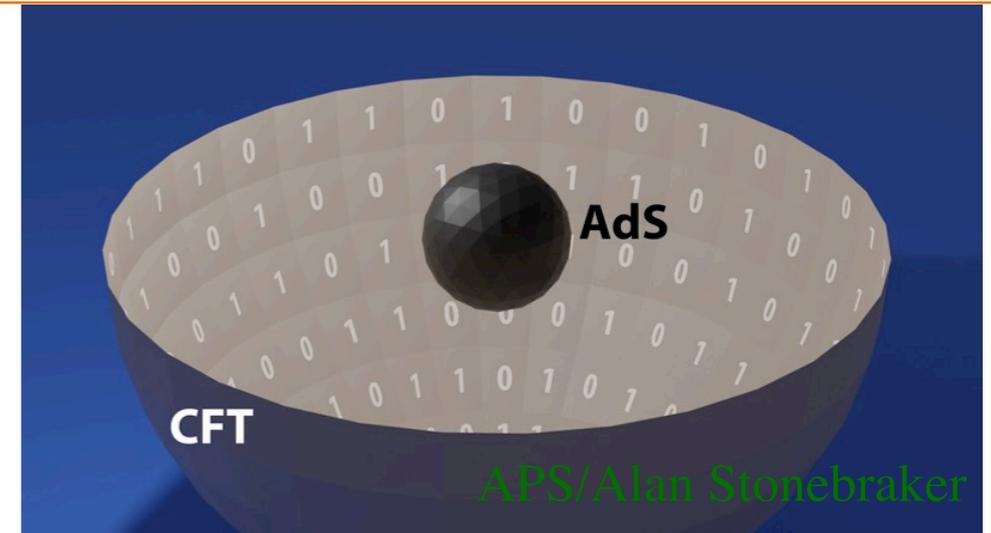
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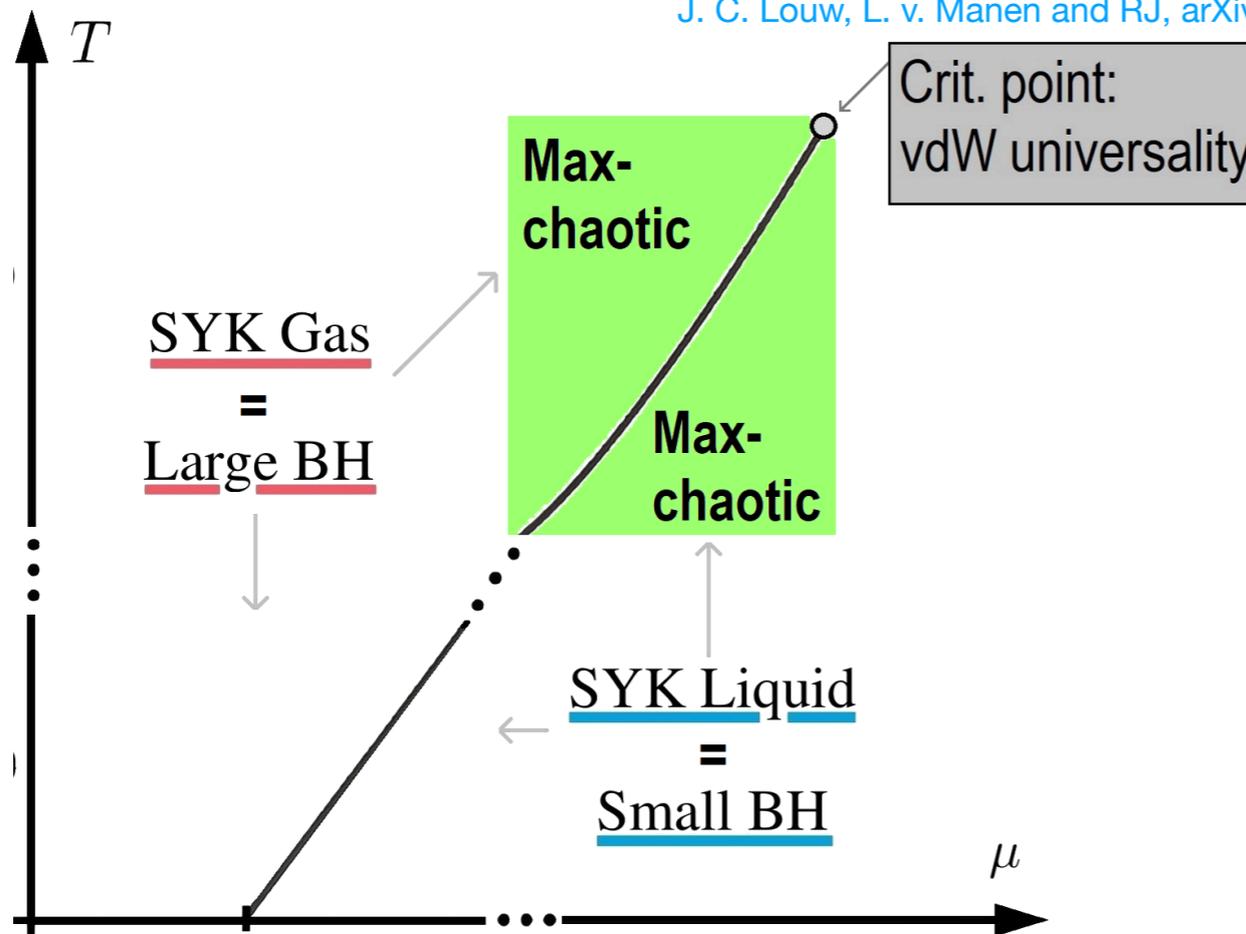
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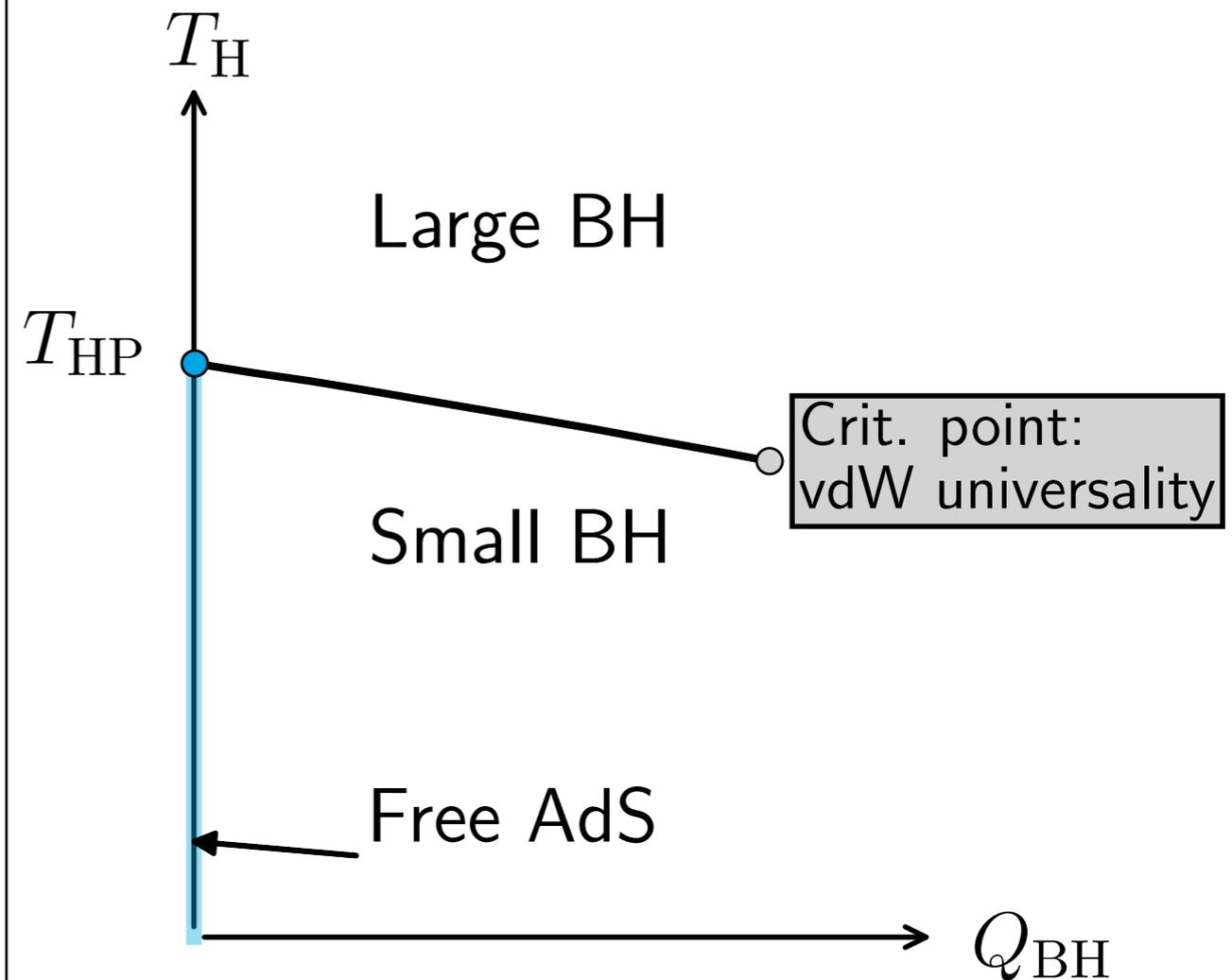
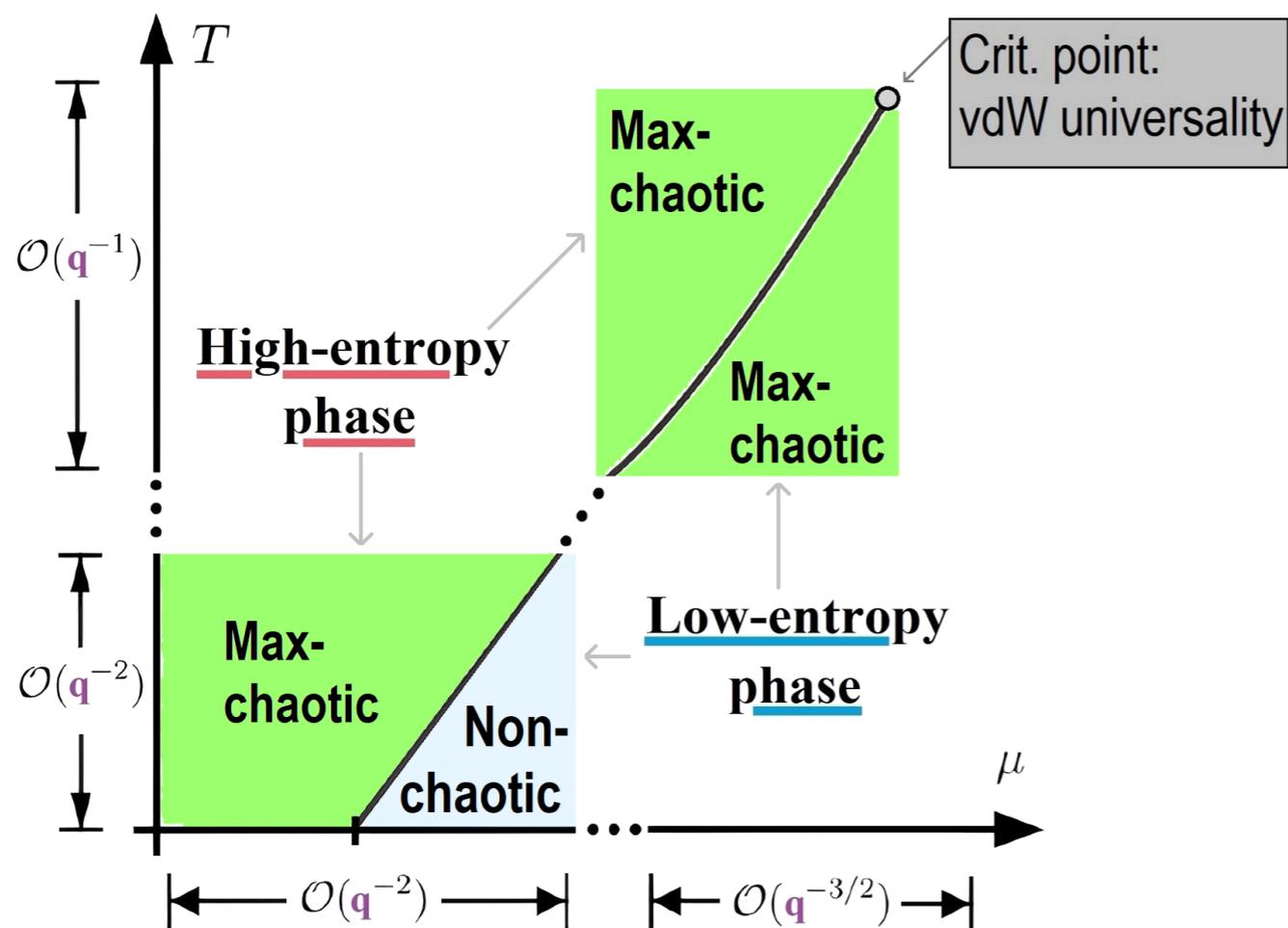
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SYK Dimension > 1+1

**FUTURE  
RESEARCH**

## SYK

## Charged Black Holes



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  - (ii) saturates the maximum bound of chaos (MSS) as  $d = 0$  case
- Future research:
  - (i) finding thermodynamic properties, &
  - (ii) holographic dual with Hawking-Page type transition of  $d > 1$  sheets
  - (iii) Lyapunov & critical exponents for general coupled SYK models

- High- $T_c$  cuprates violate Fermi liquid ( $\sim$  quasiparticle) behavior at low temperature
- Non-fermi liquid (or strange metals) are without quasiparticles; Sachdev-Ye-Kitaev model and its generalizations are candidates for strange metals
- Analytical solvability (in large- $N$  and large- $q$ ) despite being chaotic & non-integrable
- $d = 0$  SYK dot:
  - (i) instantaneously thermalizes, &
  - (ii) belongs to van der Waals universality class
- $d \geq 1$  SYK lattices with nearest-neighbor quadratic hopping:
  - (i) closed form non-equilibrium charge transport independent of coupling strengths, &
  - (ii) does not instantaneously thermalize
- $d = 1$  uniformly coupled SYK chain with nearest-neighbor  $q/2$ -hopping:
  - (i) belongs to van der Waals universality class as  $d = 0$  case, &
  - (ii) saturates the maximum bound of chaos (MSS) as  $d = 0$  case
- Future research:
  - (i) finding thermodynamic properties, &
  - (ii) holographic dual with Hawking-Page type transition of  $d > 1$  sheets
  - (iii) Lyapunov & critical exponents for general coupled SYK models

*Thank you!*

*Hope you had a nice nap!*

# Backup Slides

[S. Sachdev, J. Ye, Phys. Rev. Lett. 70 (1993) 3339-3342; A. Kitaev, unpublished]

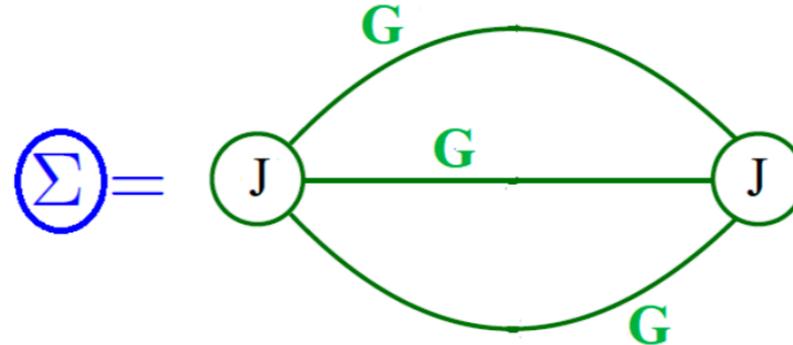
## Majorana SYK Model

$$\mathcal{H} = \frac{1}{4!} \sum_{i,j,k,l=1}^N J_{ijkl} \chi_i \chi_j \chi_k \chi_l \quad (\{\chi_i, \chi_j\} = \delta_{ij})$$

Random Antisymmetric Couplings  $\longrightarrow$  Gaussian Ensemble:

$$\Rightarrow \overline{J_{ijkl} J_{mnop}} = \frac{3! J^2}{N^3} \delta_{im} \delta_{jn} \delta_{ko} \delta_{lp}, \quad \overline{J_{ijkl}} = 0.$$

**Large- $N$  Limit:**

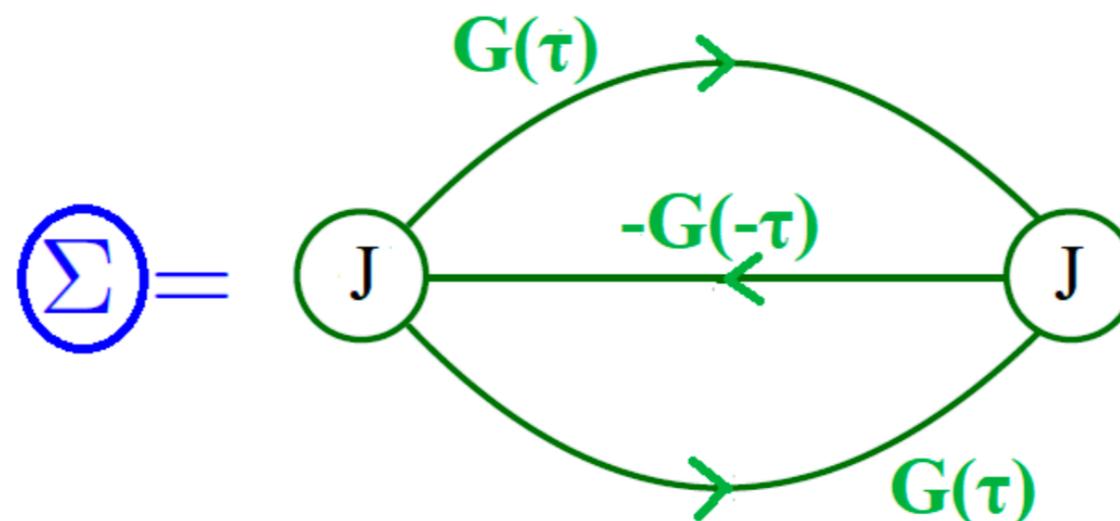


$$\textcircled{G} = \text{---} G_0 \text{---} + \text{---} G_0 \text{---} \textcircled{\Sigma} \text{---} G_0 \text{---} + \text{---} G_0 \text{---} \textcircled{\Sigma} \text{---} \textcircled{\Sigma} \text{---} G_0 \text{---} + \dots \infty$$

## Schwinger-Dyson Equations

$$\Sigma(\tau_1, \tau_2) = J^2 G(\tau_1, \tau_2)^3, \quad \frac{1}{G(i\omega)} = \frac{1}{G_0(i\omega)} - \Sigma(i\omega)$$

$q = 4$ :



$$\textcircled{G} = \text{---}^{G_0}\text{---} + \text{---}^{G_0}\text{---}\textcircled{\Sigma}\text{---}^{G_0}\text{---} + \text{---}^{G_0}\text{---}\textcircled{\Sigma}\text{---}^{G_0}\text{---}\textcircled{\Sigma}\text{---}^{G_0}\text{---} + \dots\infty$$

Schwinger-Dyson Equations  $\forall q$

$$\Sigma(\tau) = (-1)^{\frac{q}{2}} J^2 G(\tau)^{\frac{q}{2}} G(-\tau)^{\frac{q}{2}-1}, \quad \frac{1}{G(i\omega)} = \frac{1}{G_0(i\omega)} - \Sigma(i\omega) - \mu$$

Can be derived from the effective classical action:

$$S_{\text{eff.}}[G, \Sigma] = N \left[ -\log \det \left[ (\partial_{\tau'} - \mu) \delta(\tau - \tau') - \Sigma(\tau, \tau') \right] \right. \\
 \left. + \int d\tau d\tau' \left( G\Sigma - \frac{J^2}{(q/2)} \left( -G(\tau, \tau')G(\tau', \tau) \right)^{q/2} \right) \right]$$

$q \rightarrow \infty$ :

Strong coupling limit; Emergent ( $\approx$ ) conformal symmetry

Analytically solvable Schwinger-Dyson equations

Scale invariant  $G_c(\tau, \tau') \propto \frac{1}{(\tau - \tau')^{2\Delta}}$  is a solution if  $\Delta = \frac{1}{q}$

Generate another solution for arbitrary  $f(\tau)$ :

$$G_c \longrightarrow G_{c,f}(\tau, \tau') = [f'(\tau)f'(\tau')]^\Delta G_c(f(\tau), f(\tau'))$$

Conformal symmetry allows finite temperature extrapolation:

$$G_f = \left[ \frac{\pi}{\beta \sin \frac{\pi\tau}{\beta}} \right]^{2\Delta} \left( \Delta = \frac{1}{q}, f(\tau) = \tan \frac{\pi\tau}{\beta} \right)$$

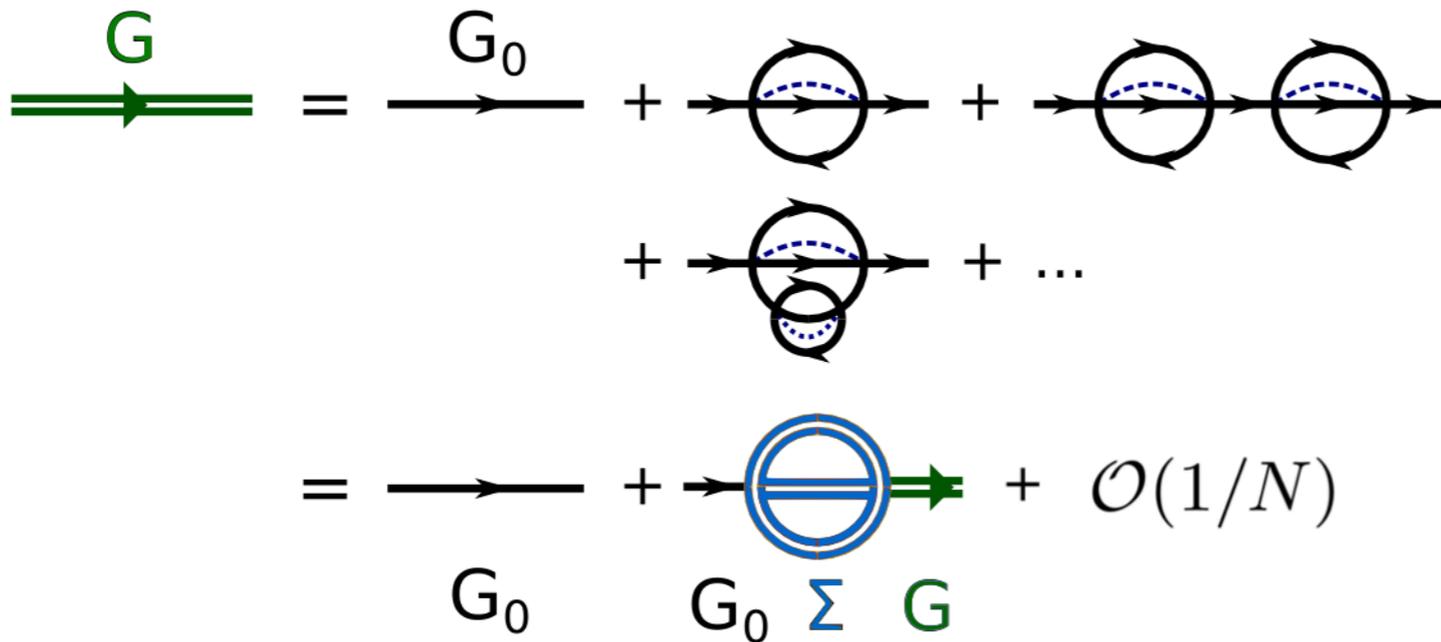
Large- $q$  Expansion:

$$G(\tau) = G_0(\tau) \left[ 1 + \frac{g(\tau)}{q} \right] \text{ where } \partial_\tau^2 g(\tau) = 2J^2 \frac{q}{2q-1} e^{g(\tau)}$$

# Solvable Green's Functions

$$\mathcal{H}_4 = J \sum X_{j_1 j_4/2}^{i_1 i_4/2} c_{i_1}^\dagger c_{i_4/2}^\dagger c_{j_4/2} c_{j_1}$$

Dyson's equation:  $\dot{G}(\tau) = - \int dt \Sigma(t) G(\tau - t)$



■ Charge density

$$Q = G(0^\pm) \pm 1/2$$

■ Energy density  $\frac{2}{4} \dot{G}(0^+)$

■ Effective interaction

$$\mathcal{J} = J \left[ \underbrace{-2G(0^+)}_{1-2Q} \underbrace{2G(0^-)}_{1+2Q} \right]^{\frac{4-2}{4}}$$

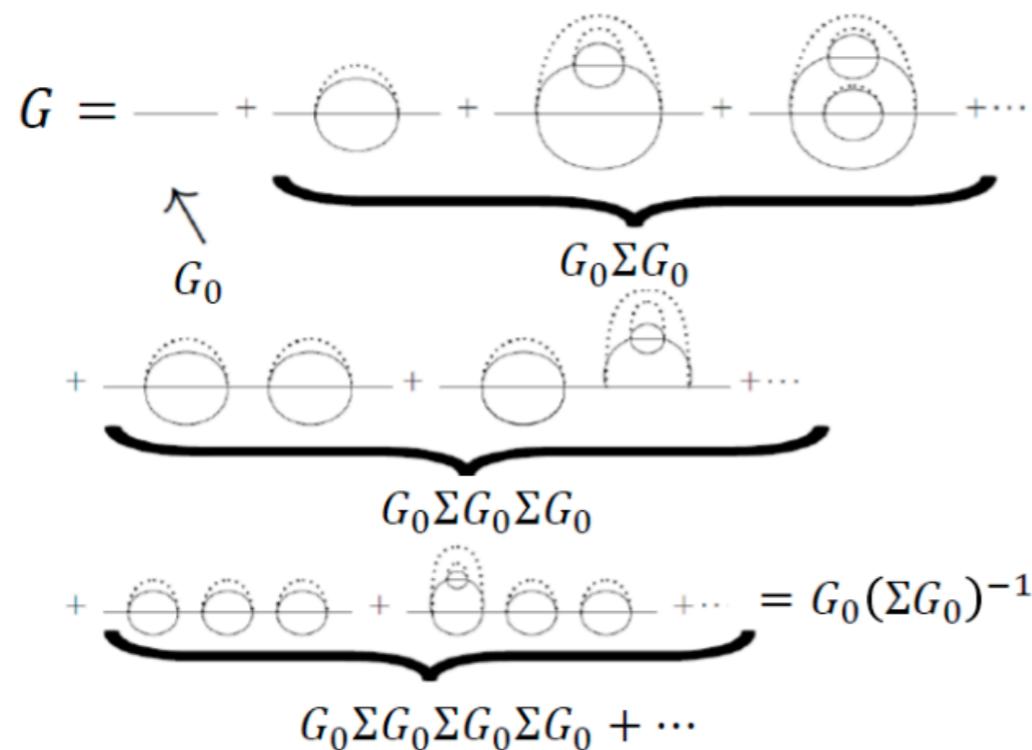
Self energy

$$\begin{aligned} \Sigma(t) &= 2J^2 G(t) G(-t) G(t) \\ &= -2(J[-2G(t)2G(-t)]^{4/2-1}) G(t)/4 \end{aligned}$$

## The SYK model

$$\hat{H} = \frac{\sqrt{3!}}{N^{3/2}} \sum_{1 \leq a < b < c < d \leq N} J_{abcd} \hat{\chi}_a \hat{\chi}_b \hat{\chi}_c \hat{\chi}_d$$

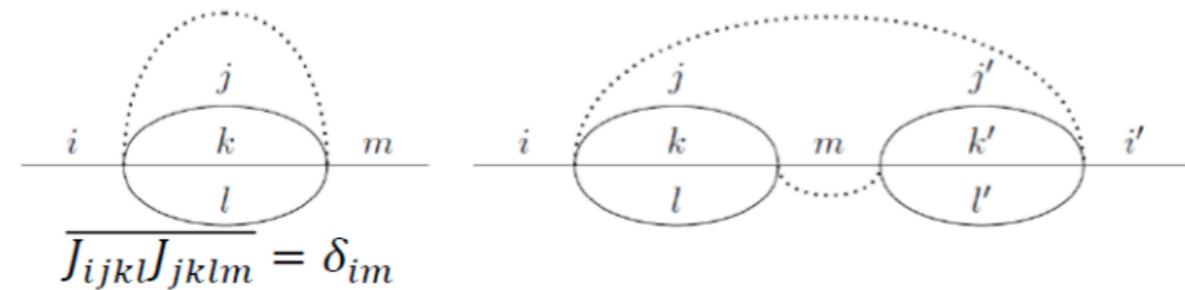
Analytically solvable in  $N \gg 1$  limit



Figures from [I. Danshita, MT, and M. Hanada: Butsuri **73**(8), 569 (2018)]

$O(1)$

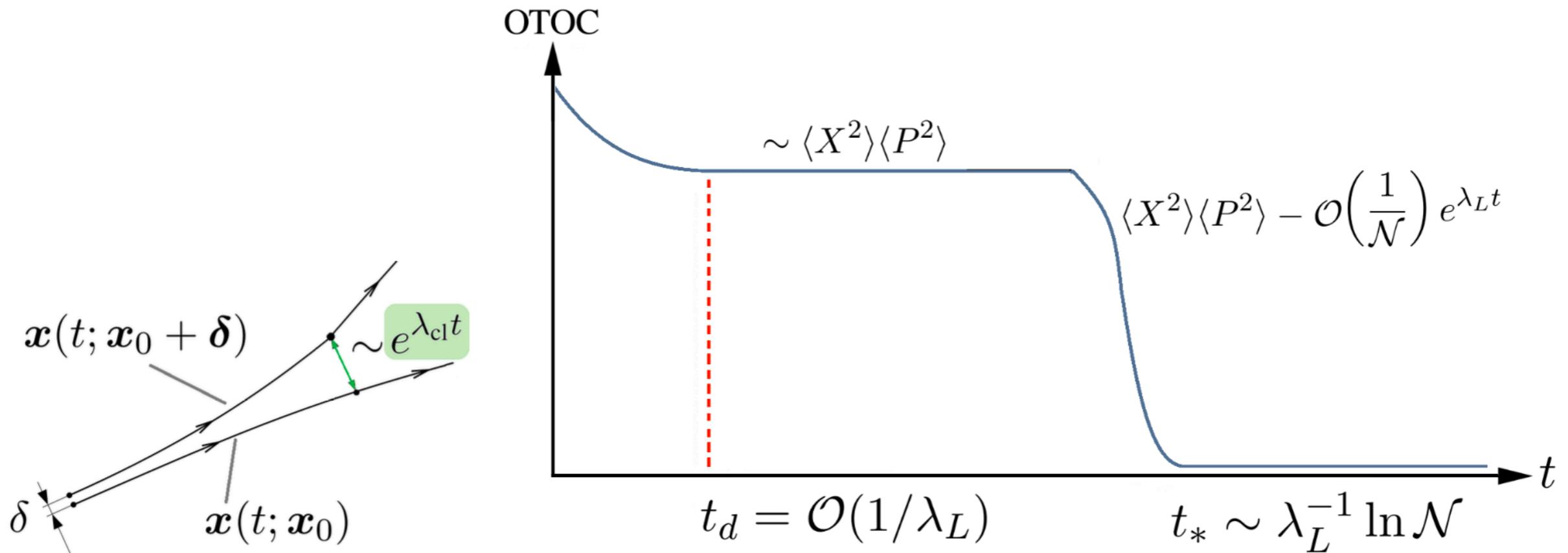
$O(N^{-2})$



Only "melon-type" diagrams survive

$$G(i\omega)^{-1} = \boxed{i\omega} - \Sigma(i\omega) \quad \Sigma = J^2 G^3$$

$$\left| \frac{\partial x^i(t)}{\partial x^j(0)} \right| = |\{x^i(t), p^j(0)\}_{\text{PB}}| \sim e^{\lambda_{\text{cl.}} t} \quad C(t) = \langle |X(t), P(0)|^2 \rangle = \text{TOC}(t) - 2\Re \underbrace{\langle (X(t)P)^2 \rangle}_{\text{OTOC}}$$

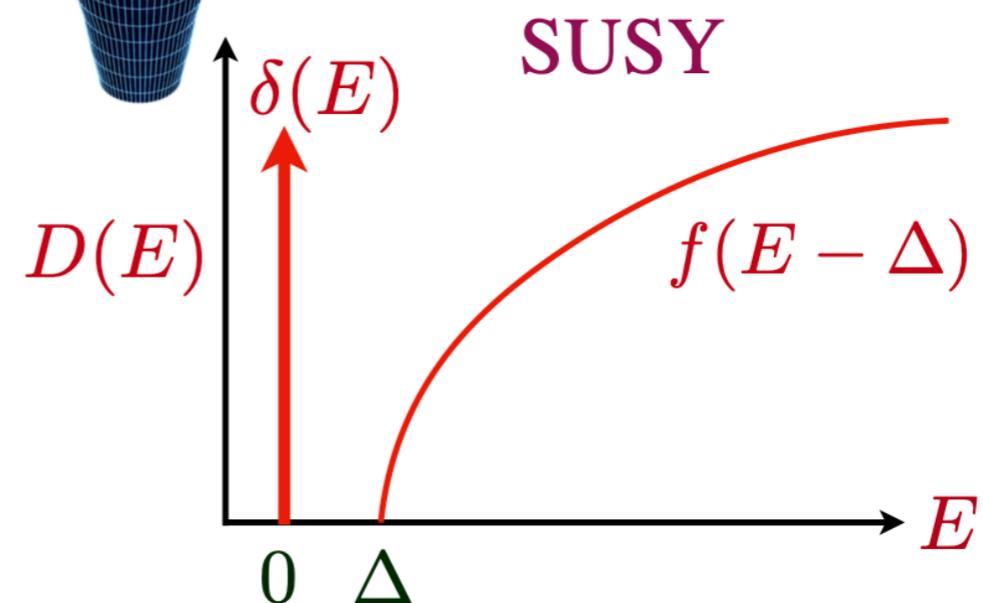
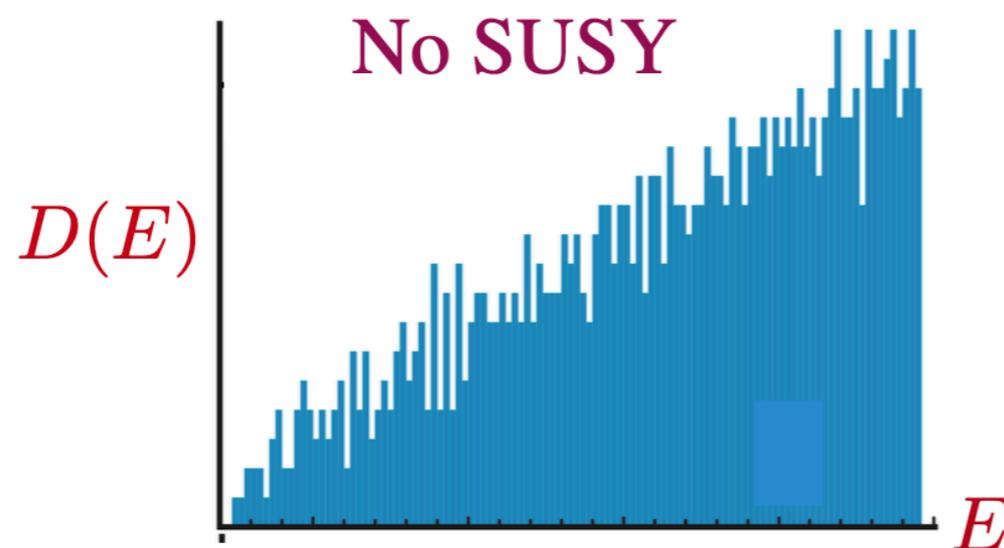
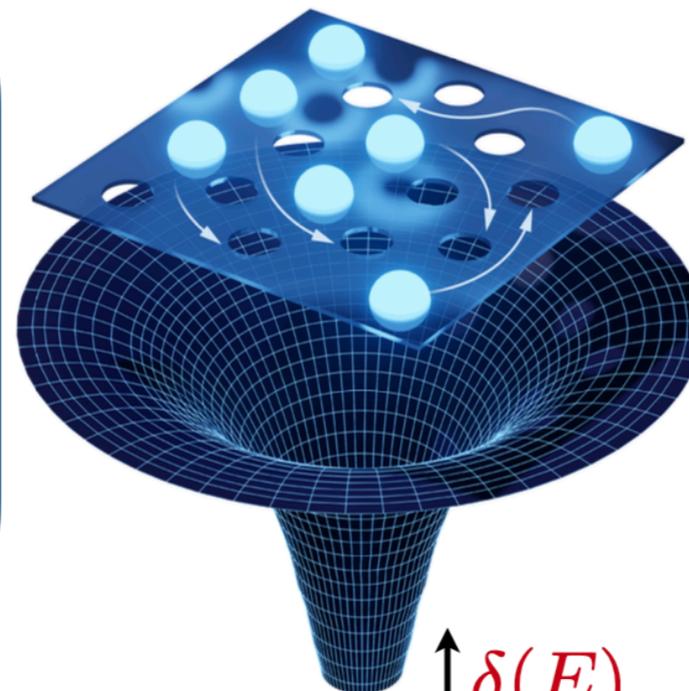


S. Sachdev, arXiv:2304.13744

$$D_{SYK}(E) \sim \frac{1}{N} \exp(Ns_0) \sinh\left(\sqrt{2N\gamma E}\right)$$

$$D_{BH}(E) \sim \left(\frac{\mathcal{A}_0 c^3}{\hbar G}\right)^{-347/90} \exp\left(\frac{\mathcal{A}_0 c^3}{4\hbar G}\right)$$

$$\times \sinh\left(\left[\frac{\sqrt{\pi}\mathcal{A}_0^{3/2}c^2}{\hbar^2 G} E\right]^{1/2}\right)$$



Comparison of many-body densities of SYK models and charged black holes with & without SUSY.

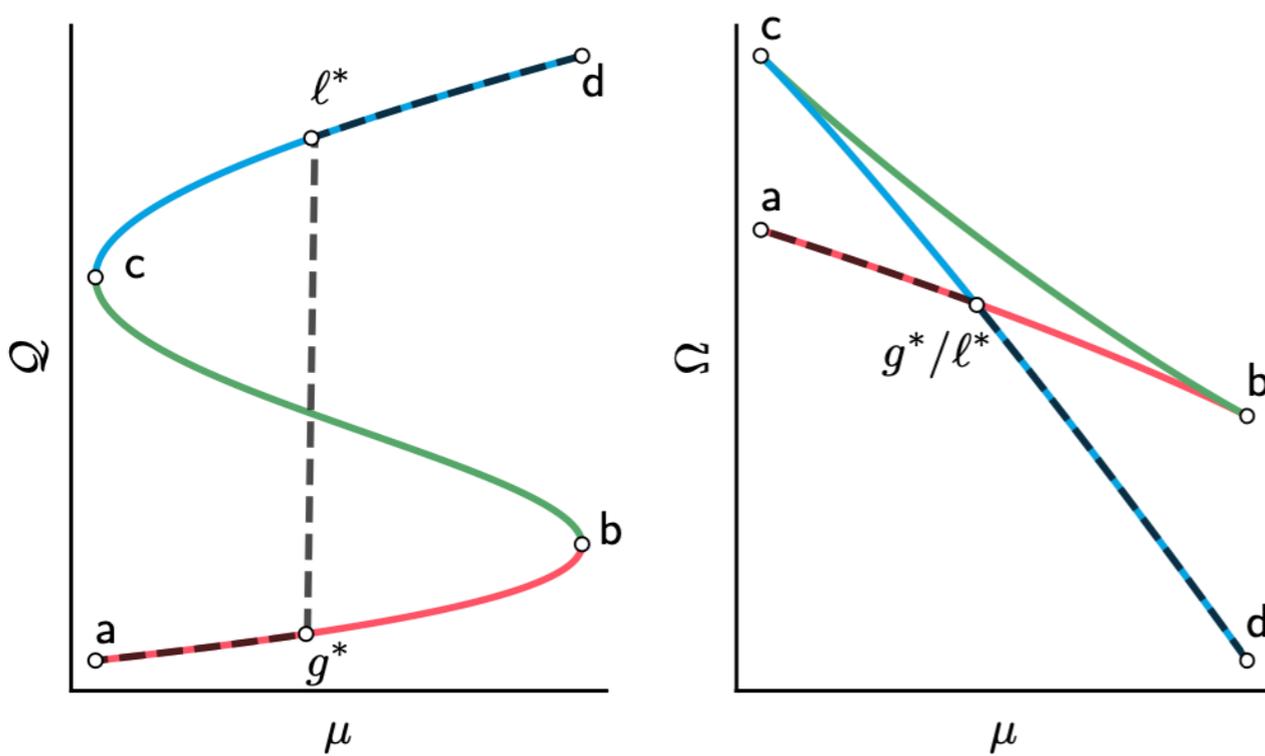
- Black holes and SYK models without SUSY do not have delta function, nor a gap, but an exponentially dense spacing of levels down to  $E = 0$ .
- Both black holes and SYK models with sufficient low energy SUSY have an energy gap  $\Delta$ , above a delta function.

# Thermodynamically Preferred Phase

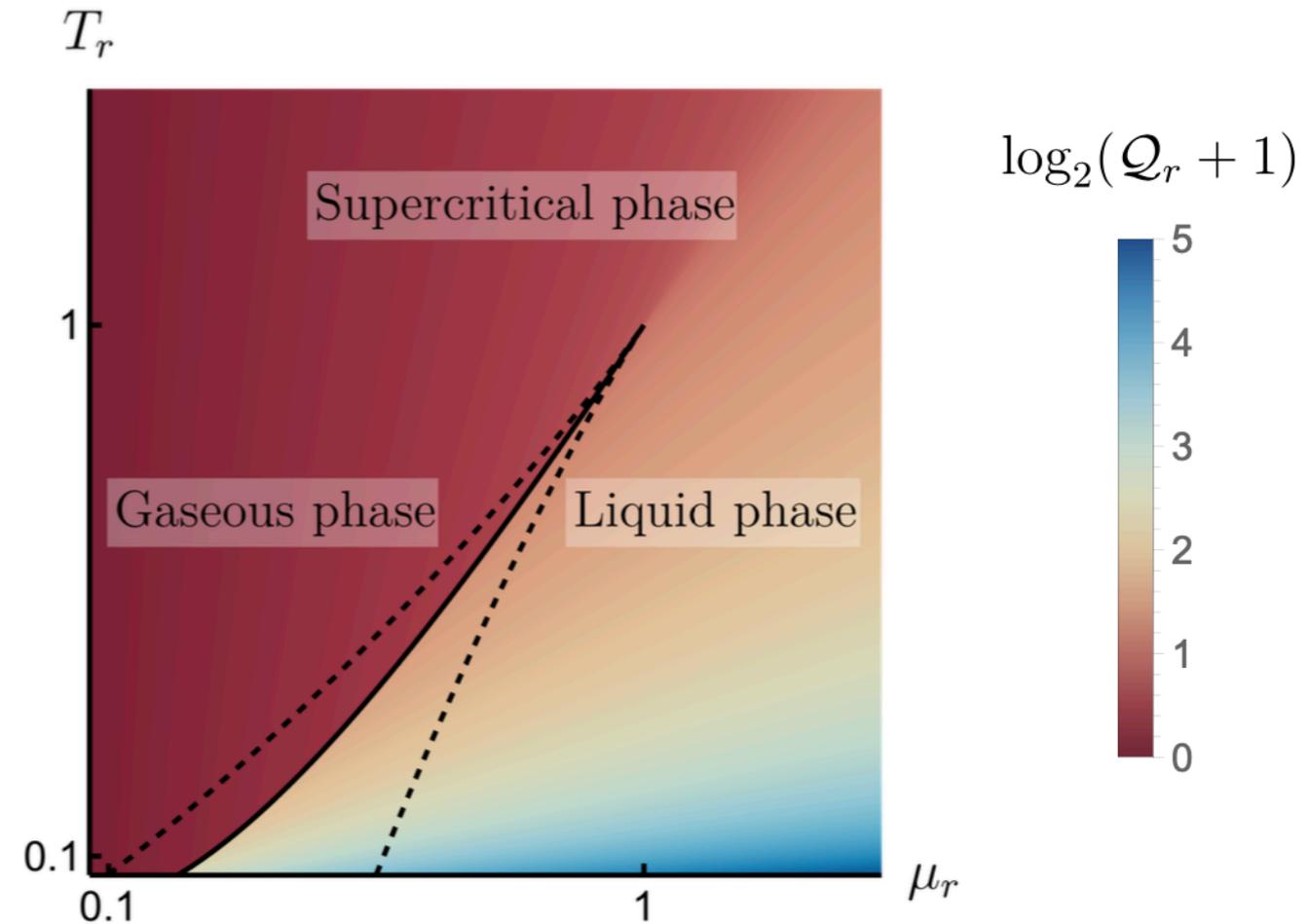
## Phase diagram

J. C. Louw and S. Kehrein, PRB 107, 075132 (2023) :

Thermally preferred :  $\min_{\text{sols.}} \{\tilde{\Omega}\}$



For some  $\tilde{T} < \tilde{T}_c$



in terms of reduced variables

$$T_r = \tilde{T}/\tilde{T}_c, \mu_r = \tilde{\mu}/\tilde{\mu}_c, Q_r = \tilde{Q}/\tilde{Q}_c$$

# Equation of State

$$\mu(Q) = \underbrace{2T \tanh^{-1}(2Q)}_{\text{non-int}} + \underbrace{q^{-1} 4Q \mathcal{J}(Q) \sin(\pi v/2)}_{\text{int}}$$

$$\underbrace{\mu(Q)}_{q^{-3/2} \tilde{\mu}(\tilde{Q})} = q^{-3/2} 4\tilde{Q} \left[ \underbrace{qT}_{\tilde{T}} [1 + \mathcal{O}(1/q)] + \mathcal{J}(Q) \underbrace{\sin(\pi v/2)}_{1 + \mathcal{O}(1/q)} \right]$$

Lyapunov exponent  $\lambda = v \lambda_{\max}(T)$

■ Maximally chaotic:

$$v = 1 - \mathcal{O}(T/\mathcal{J})$$

■ Nonchaotic:

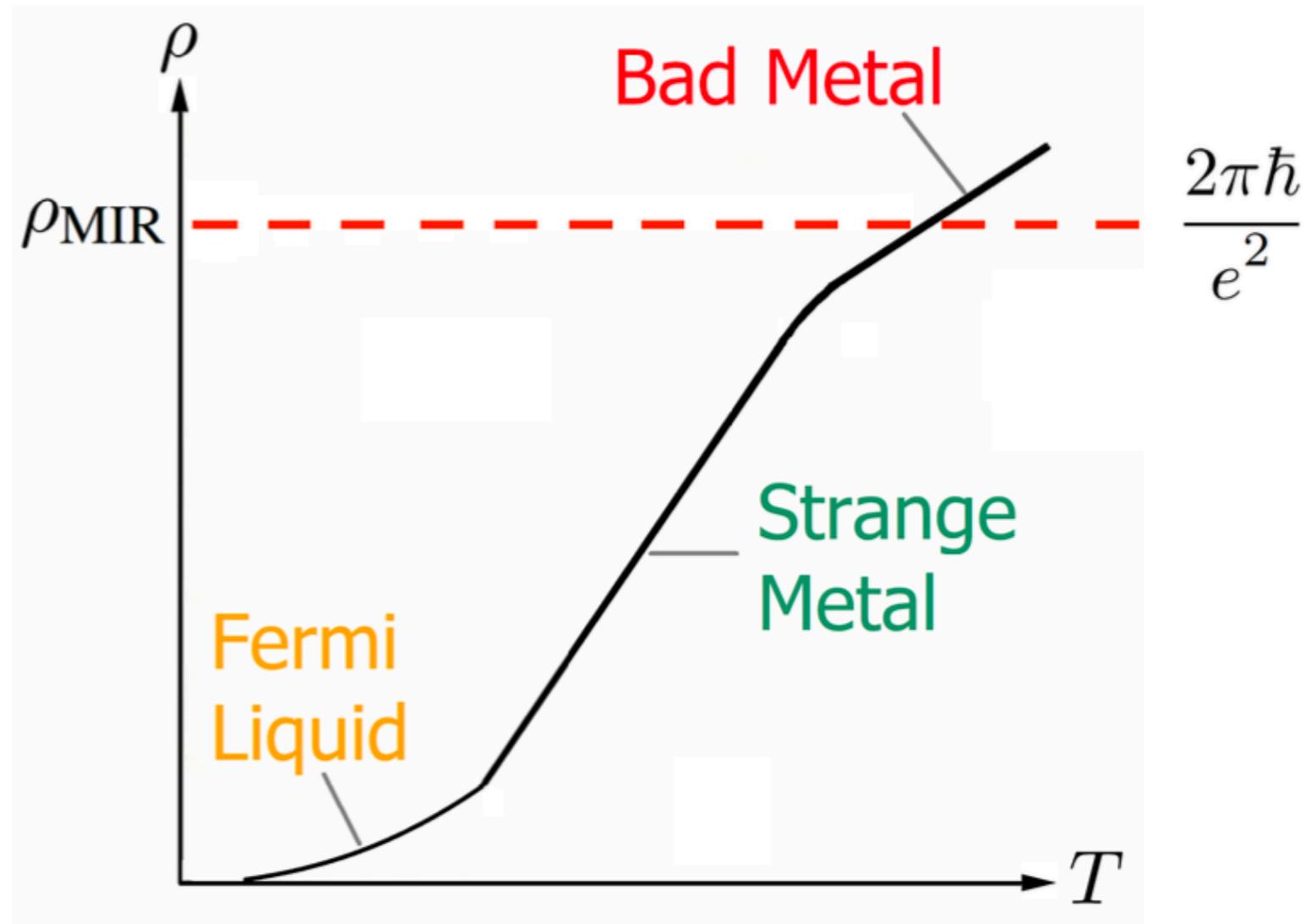
$$v = 0 + \mathcal{O}(\mathcal{J}/T)$$

Effective coupling

$$\begin{aligned} \mathcal{J}(Q) &= e^{(q-2) \frac{\ln[1-4Q^2]}{4}} J \\ &= J e^{-(q-2)[Q^2 + \mathcal{O}(Q^4)]} \\ &= J e^{-\tilde{Q}^2 + \mathcal{O}(1/q)}, \end{aligned}$$

with  $Q = \tilde{Q} q^{-1/2}$

# Mott-Ioffe-Regel Limit

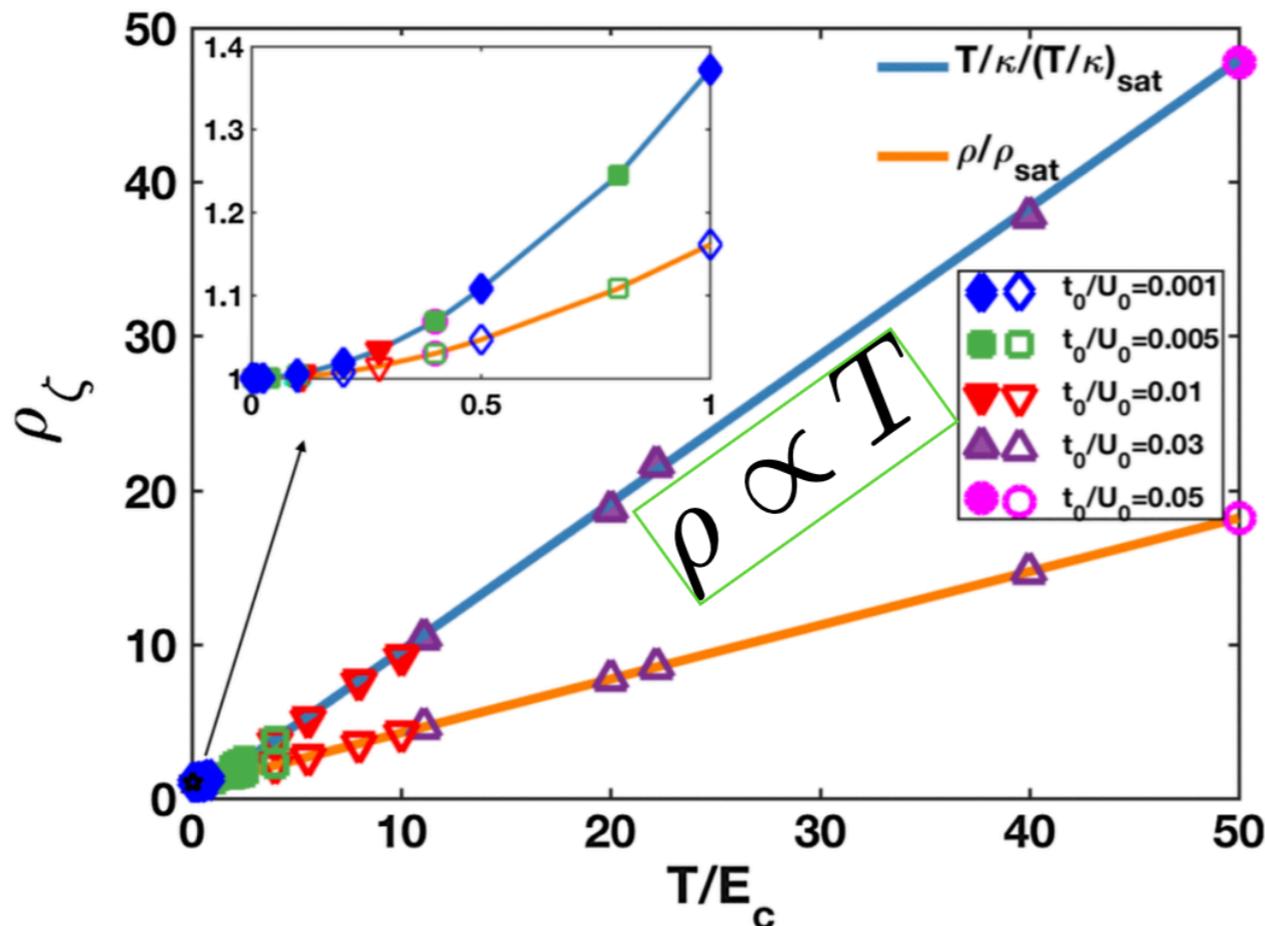


SYK sheet is actually a bad metal

$$\rho \sim \rho_{\text{MIR}} \frac{k_B T}{t^2 / J_4}$$

when

$$1 \ll \frac{k_B T}{t^2 / J_4} \ll \frac{J_4^2}{t^2}$$



See:

RJ, S. Kehrein, J. C. Louw, arXiv:2407.20733  
 [cond-mat.str-el]

for true strange metal behavior!