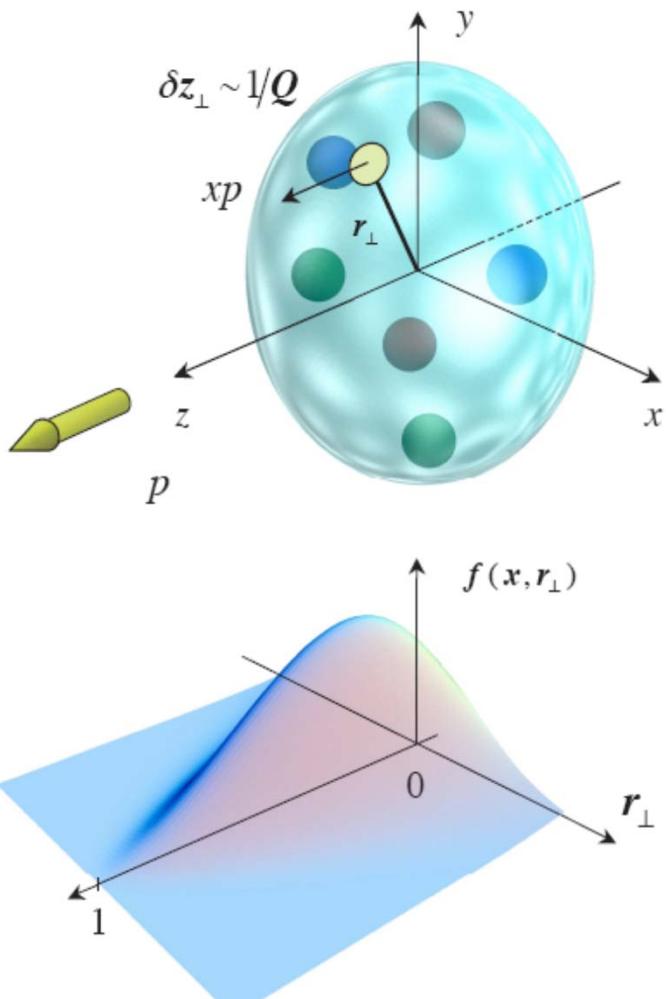


# HERMES Results: Hard Exclusive Processes

Sergey Yaschenko

on behalf of the  collaboration

# Generalized Parton Distributions (GPDs)

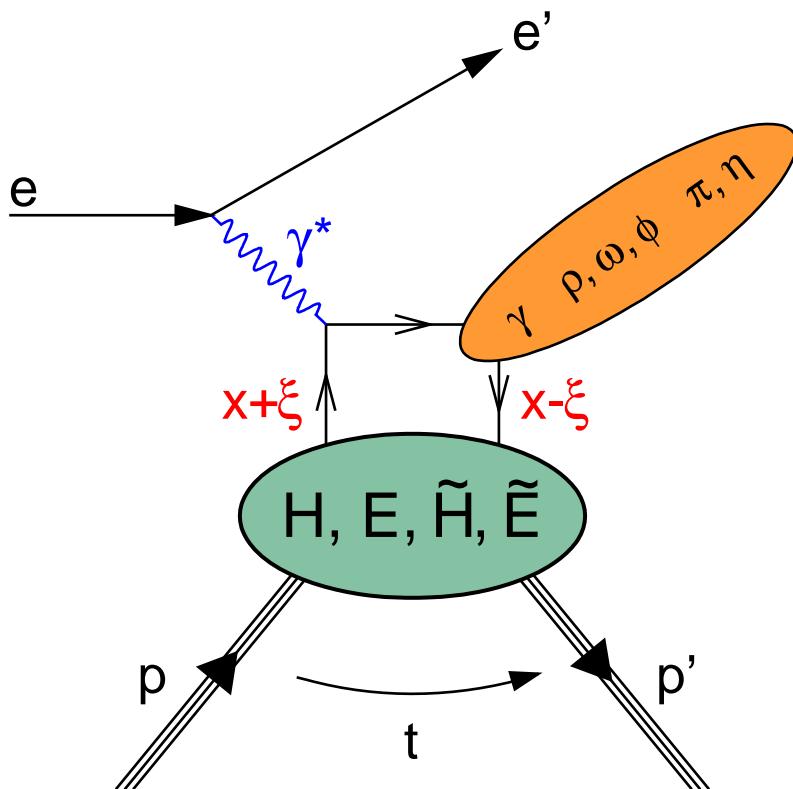


- Include Form Factors and Parton Distribution Functions as moments and forward limits, respectively
- Multidimensional description of nucleon structure (longitudinal momentum vs. transverse position)
- Access to the quark total angular momentum via Ji relation

$$J_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H_q(x, \xi, \xi) + E_q(x, \xi, \xi)]$$

X. Ji, Phys. Rev. Lett. 78 (1997) 610

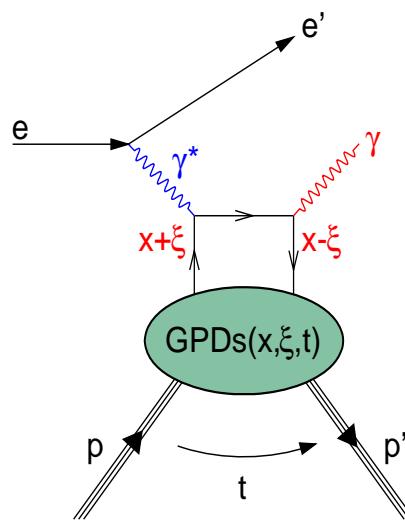
# Access to GPDs via Exclusive Processes



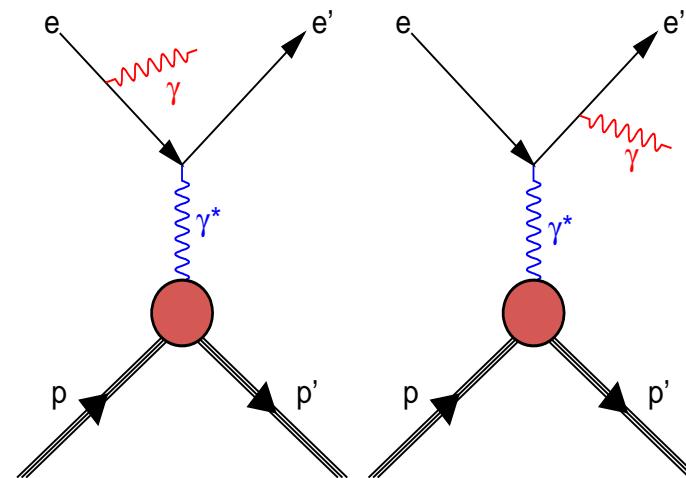
- Sensitivity of different final states to different GPDs
- For spin-1/2 target 4 chiral-even leading-twist quark GPDs:  $H, E, \tilde{H}, \tilde{E}$
- $H, \tilde{H}$  conserve nucleon helicity,  
 $E, \tilde{E}$  involve nucleon helicity flip
- DVCS ( $\gamma$ )  $\rightarrow H, E, \tilde{H}, \tilde{E}$
- Vector mesons ( $\rho, \omega, \phi$ )  $\rightarrow H, E$
- Pseudoscalar mesons ( $\pi, \eta$ )  $\rightarrow \tilde{H}, \tilde{E}$

# Deeply Virtual Compton Scattering (DVCS)

DVCS



Bethe-Heitler



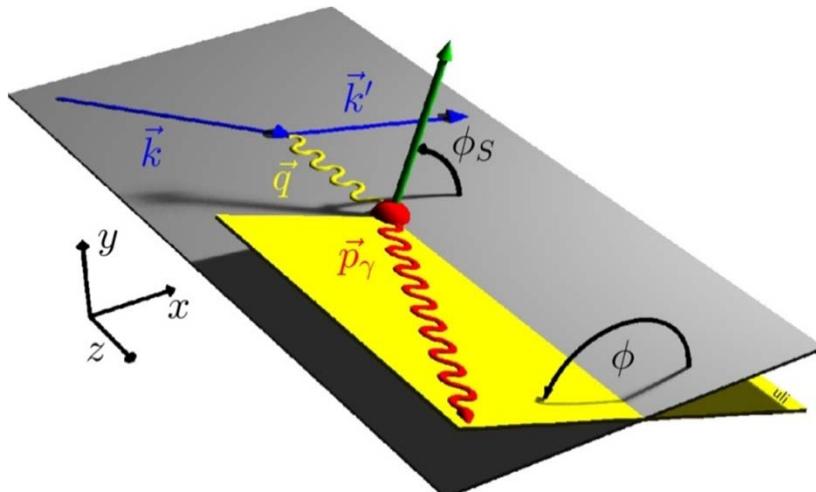
- DVCS and Bethe-Heitler: same initial and final state  $\rightarrow$  interference

$$\frac{d\sigma}{dx_B dQ^2 d|t| d\phi} \propto |\tau_{BH}|^2 + |\tau_{DVCS}|^2 + I$$

- Bethe-Heitler dominates at HERMES kinematics
- GPDs accessible through azimuthal asymmetries

# Unique DVCS Measurements at HERMES

- Both beam charges
- Longitudinal beam polarization (both helicities)
- Unpolarized H, D and nuclear targets
- Longitudinally polarized H and D targets
- Transversely polarized H target
- Recoil Detector



$\phi$  - angle between the lepton scattering and real photon production planes

$\phi_S$  - angle between the target spin direction and the lepton scattering plane

# Azimuthal Asymmetries in DVCS

- Cross section

$$\sigma_{LU}(\phi, P_B, C_B) = \sigma_{UU}[1 + \boxed{P_B} A_{LU}^{DVCS} + \boxed{C_B P_B} A_{LU}' + \boxed{C_B} A_C]$$

- Beam-charge asymmetry

$$A_C(\phi) = \frac{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) - (\sigma^{-\leftarrow} + \sigma^{-\rightarrow})}{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) + (\sigma^{-\leftarrow} + \sigma^{-\rightarrow})} = -\frac{I}{D(\phi)} \frac{x_B}{y} \sum_{n=0}^3 \boxed{c'_n} \cos(n\phi)$$

- Charge-difference beam-helicity asymmetry

$$A_{LU}'(\phi) = \frac{(\sigma^{+\rightarrow} - \sigma^{+\leftarrow}) - (\sigma^{-\rightarrow} - \sigma^{-\leftarrow})}{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) + (\sigma^{-\rightarrow} + \sigma^{-\leftarrow})} = -\frac{I}{D(\phi)} \frac{x_B}{y} \sum_{n=1}^2 \boxed{s'_n} \sin(n\phi)$$

- Charge-averaged beam-helicity asymmetry

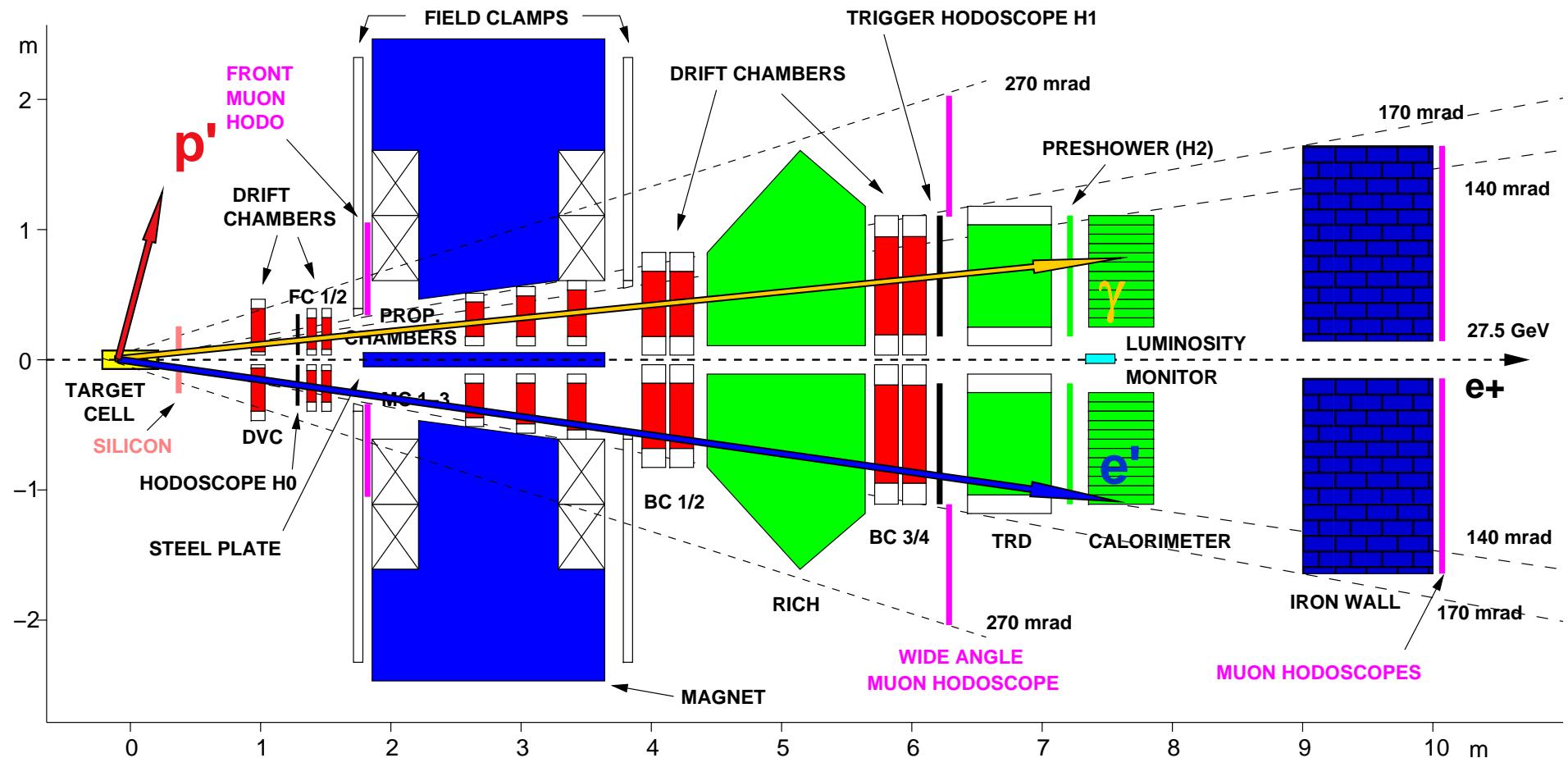
$$A_{LU}^{DVCS}(\phi) = \frac{(\sigma^{+\rightarrow} - \sigma^{+\leftarrow}) + (\sigma^{-\rightarrow} - \sigma^{-\leftarrow})}{(\sigma^{+\rightarrow} + \sigma^{+\leftarrow}) + (\sigma^{-\leftarrow} + \sigma^{-\rightarrow})} = \frac{I}{D(\phi)} \cdot \frac{x_B^2 t \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)}{Q^2} \boxed{s_1^{DVCS}} \sin(\phi)$$

- Separation of contributions from DVCS and interference term

- Impossible in case of single-charge beam-helicity asymmetry

$$A_{LU}(\phi) = \frac{\sigma^{\rightarrow} - \sigma^{\leftarrow}}{\sigma^{\rightarrow} + \sigma^{\leftarrow}}$$

# The HERMES Spectrometer



## Internal gas targets:

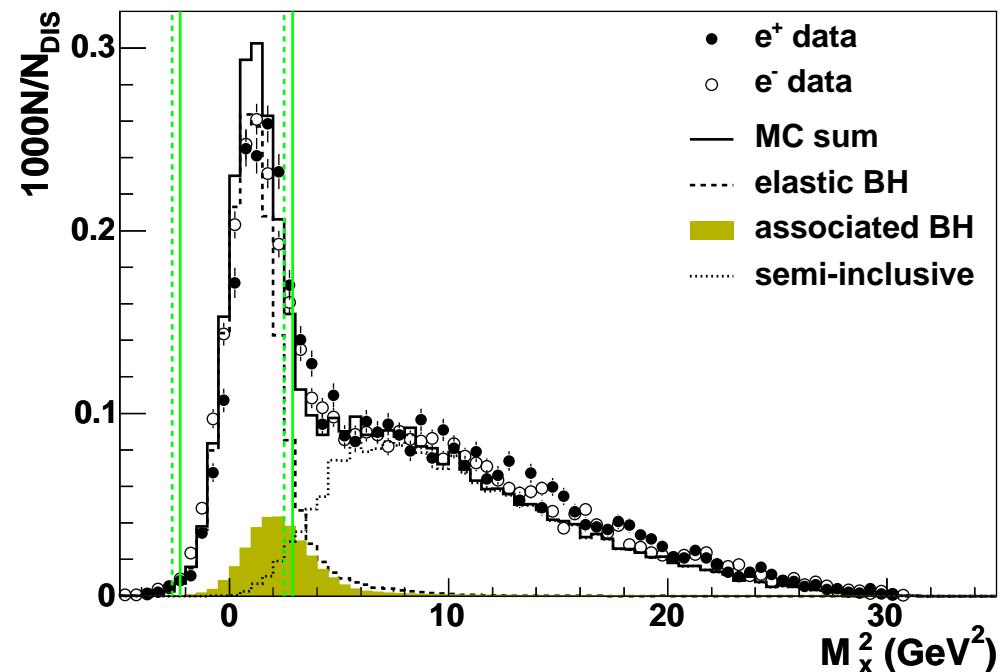
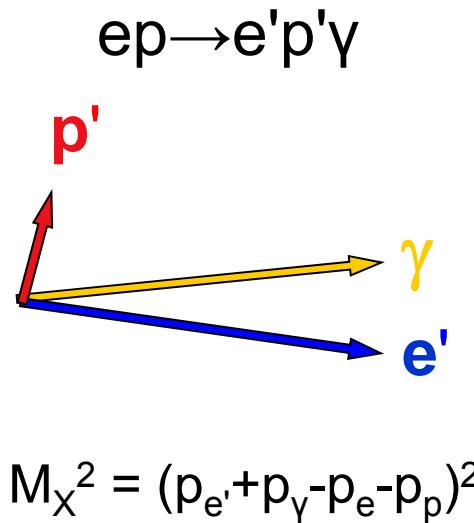
- Longitudinally polarized  $H, D$
- Transversely polarized  $H$
- Unpolarized  $H, D, {}^4He, N, Ne, Kr, Xe$

## Forward magnetic spectrometer

- Momentum resolution  $1-2\%$
- Particle identification:  
 $RICH, TRD, H2, calorimeter$



# DVCS Measurements without Recoil Detector



## Pre-Recoil data

- Scattered lepton and photon were detected in the forward spectrometer
- Recoil proton was not detected
- Exclusivity achieved via missing mass technique
- Associated processes (e.g.  $ep \rightarrow e\Delta\gamma$ ) were not resolved (12% contribution)

# Extraction of Asymmetry Amplitudes

- Distribution in the expectation value of measured yield

$$\langle N(e_I, P_I, S_t, \phi, \phi_S) \rangle \propto \\ \sigma_{UU}(\phi) [I + e_I A_C + P_I A_{LU}^{DVCS} + e_I P_I A_{LU}' + S_t A_{UT}^{DVCS} + e_I S_t A_{UT}' + P_I S_t A_{LT}^{BH+DVCS} + e_I P_I S_t A_{LT}' ]$$

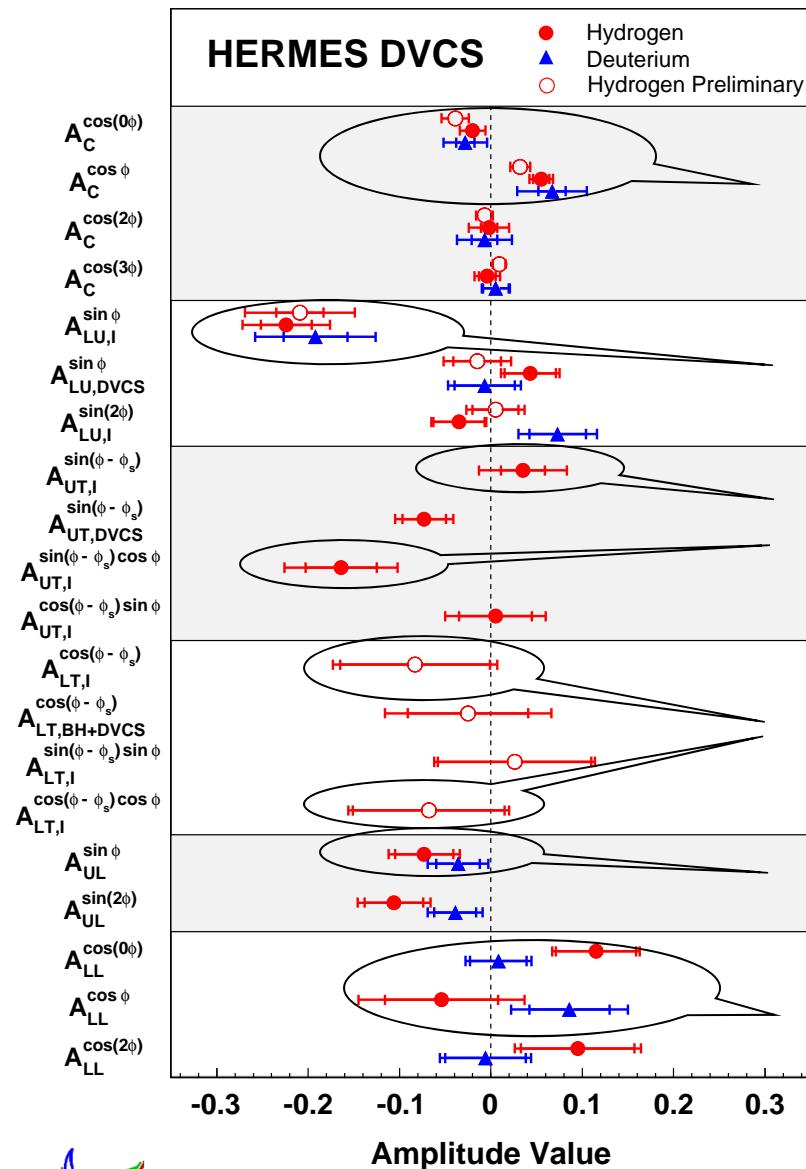
- Expansion of the asymmetries:

$$A \approx \sum_{n=0}^3 A^{\cos(n\phi)} \cos(n\phi) \quad \left[ A \approx \sum_{n=1}^2 A^{\sin(n\phi)} \sin(n\phi) \right]$$

- Simultaneous extraction of asymmetry amplitudes with Maximum Likelihood Method
- Asymmetry amplitudes provide information about Compton Form Factors (CFFs), convolution of GPDs with hard scattering amplitudes

$$F(\xi, t) = \sum_q \int_{-1}^1 dx C_q^+(x, \xi) F^q(x, \xi, t)$$

# DVCS Results



$$\propto \Re(\mathcal{H})$$

*JHEP 11 (2009) 083*

$$\propto \Im m(\mathcal{H})$$

*Nucl. Phys. B 829 (2010) 1*

$$\propto \Im m(\mathcal{H} - E)$$

*JHEP 06 (2008) 066*

$$\propto \Re(\mathcal{H} - E)$$

*arXiv:1106.2990 (hep-ex)*

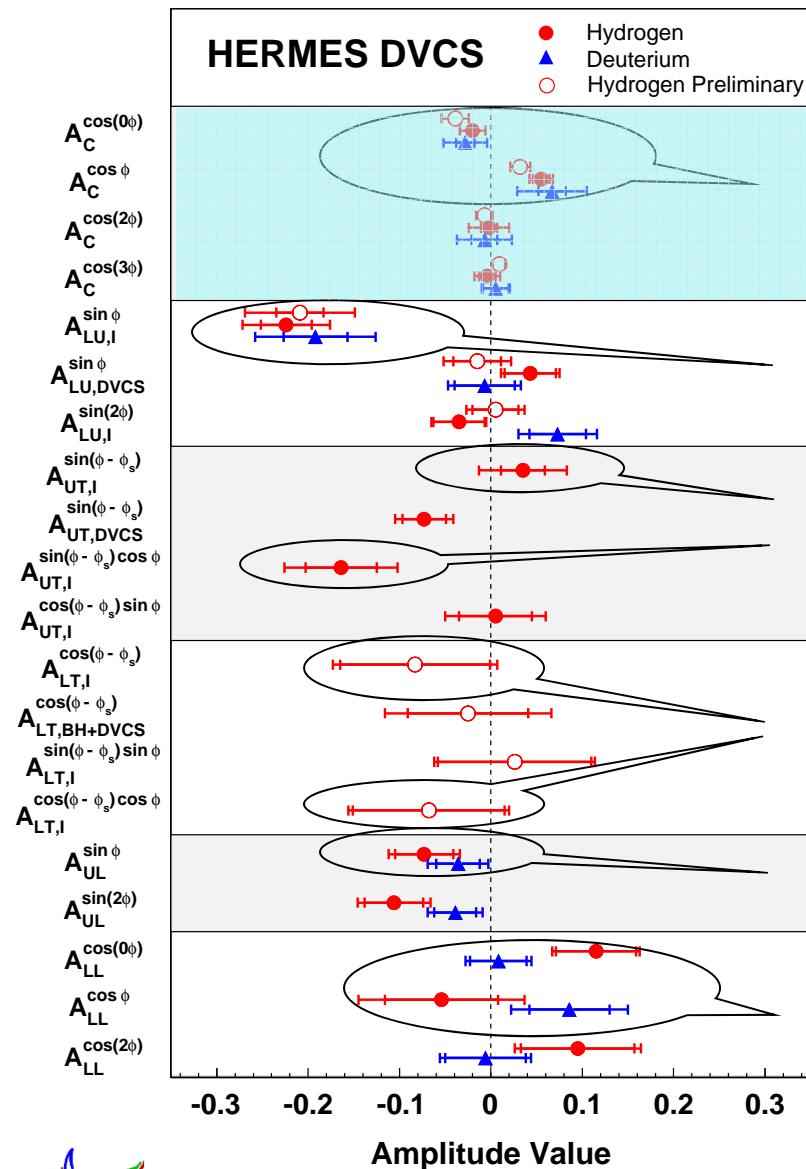
$$\propto \Im m(\tilde{\mathcal{H}})$$

*JHEP 06 (2010) 019*

$$\propto \Re(\tilde{\mathcal{H}})$$

*Nucl. Phys. B 842 (2011) 265*

# DVCS Results



$$\propto \Re(\mathcal{H})$$

*JHEP 11 (2009) 083*

$$\propto \Im m(\mathcal{H})$$

*Nucl. Phys. B 829 (2010) 1*

$$\propto \Im m(\mathcal{H} - E)$$

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$$\propto \Im m(\tilde{\mathcal{H}})$$

*JHEP 06 (2010) 019*

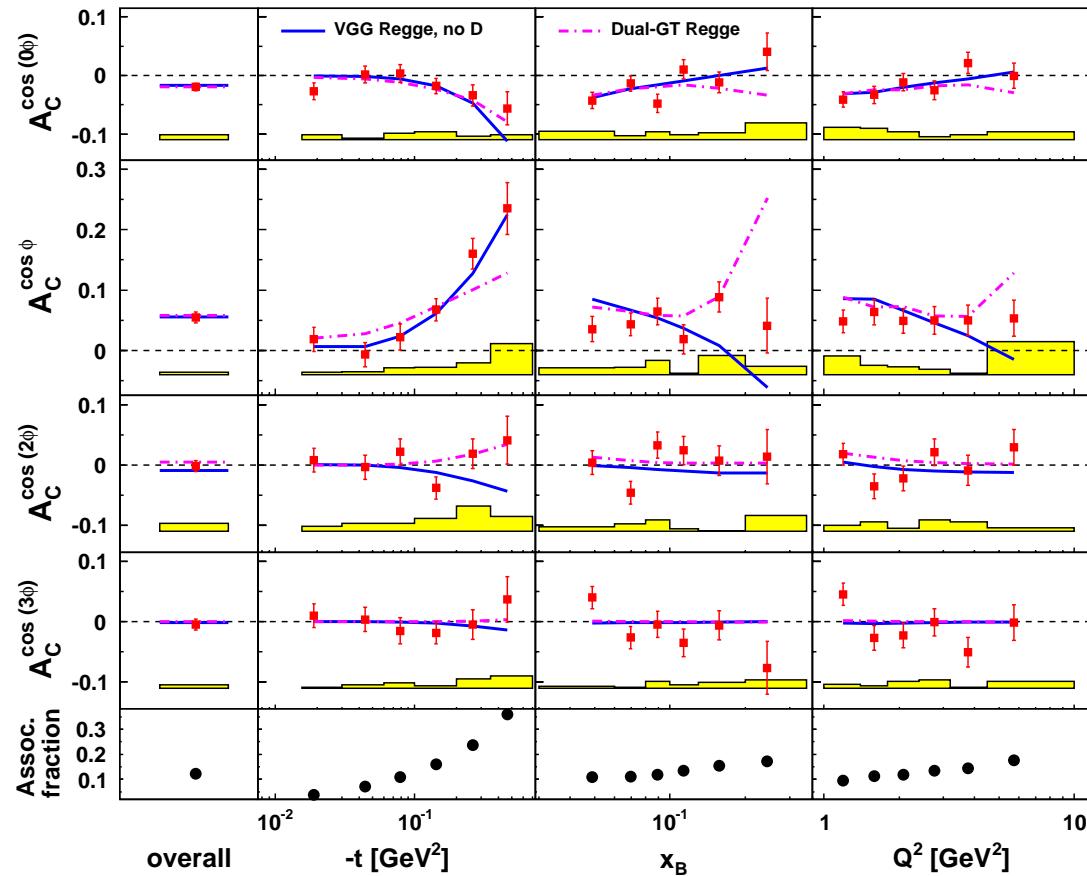
$$\propto \Re(\tilde{\mathcal{H}})$$

*Nucl. Phys. B 842 (2011) 265*

# Beam-Charge Asymmetry

$$A_C = \sum_{n=0}^3 A_C^{\cos(n\phi)} \cos(n\phi) \propto \sum_{n=0}^3 c'_n \cos(n\phi)$$

*JHEP 11 (2009) 083*



$$\propto -\frac{t}{Q} \Re e(\mathcal{H})$$

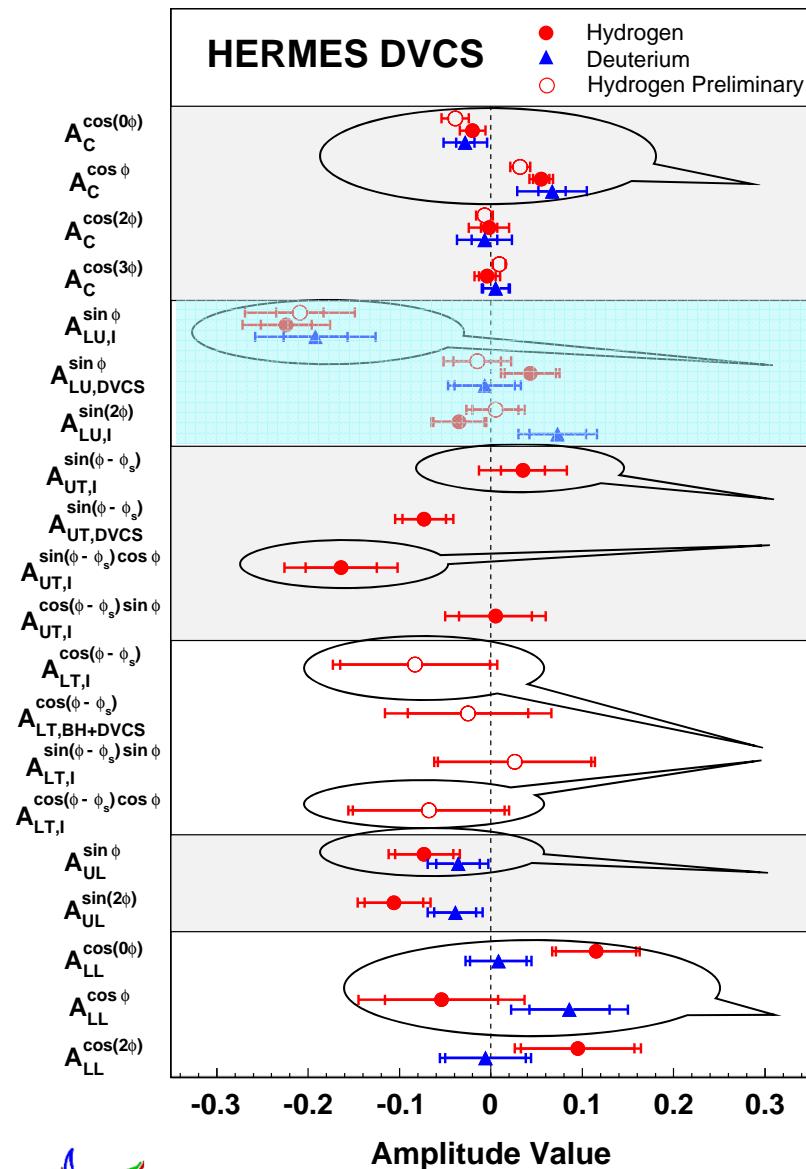
$$\propto \Re e(\mathcal{H})$$

Twist-3 GPDs

Gluon GPDs

- VGG model: Phys. Rev. D60 (1999) 094017, Prog. Nucl. Phys. 47 (2001) 401
- Dual model: Phys. Rev. D74 (2006) 054027, Phys. Rev. D79 (2009) 017501

# DVCS Results



$$\propto \Re(\mathcal{H})$$

*JHEP 11 (2009) 083*

$$\propto \Im(\mathcal{H})$$

*Nucl. Phys. B 829 (2010) 1*

$$\propto \Im(\mathcal{H} - E)$$

*JHEP 06 (2008) 066*

$$\propto \Re(\mathcal{H} - E)$$

*arXiv:1106.2990 (hep-ex)*

$$\propto \Im(\tilde{\mathcal{H}})$$

*JHEP 06 (2010) 019*

$$\propto \Re(\tilde{\mathcal{H}})$$

*Nucl. Phys. B 842 (2011) 265*

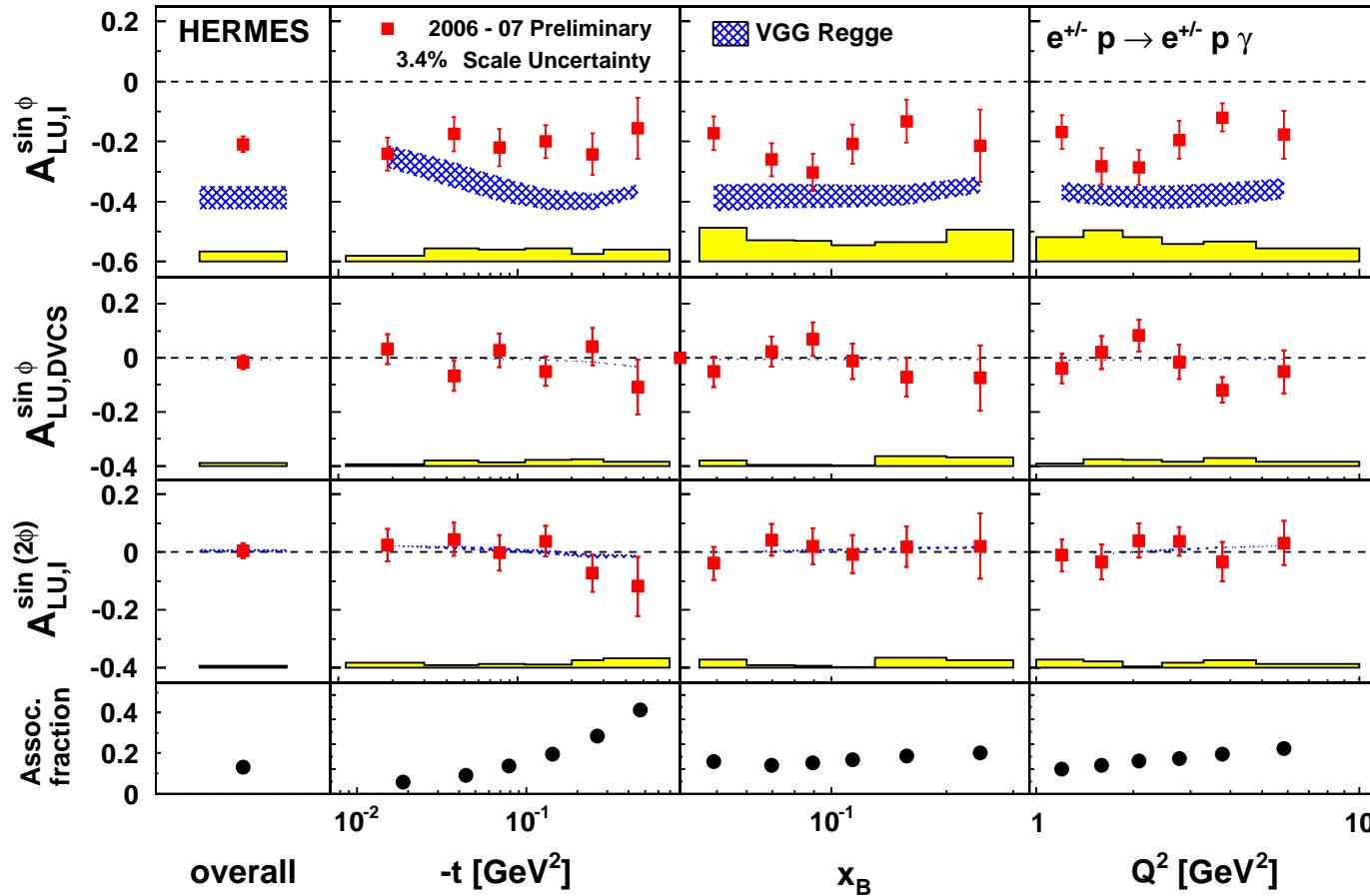
# Beam-Helicity Asymmetry

$$A_{LU,I}(\phi) = \sum_{n=1}^2 A_{LU}^{\sin(n\phi)} \sin(n\phi) \propto \sum_{n=1}^2 s_n' \sin(n\phi) \quad A_{LU,DVCS}^{\sin\phi} \propto s_1^{DVCS} \sin\phi$$



$$\propto \Im m(\mathcal{H})$$

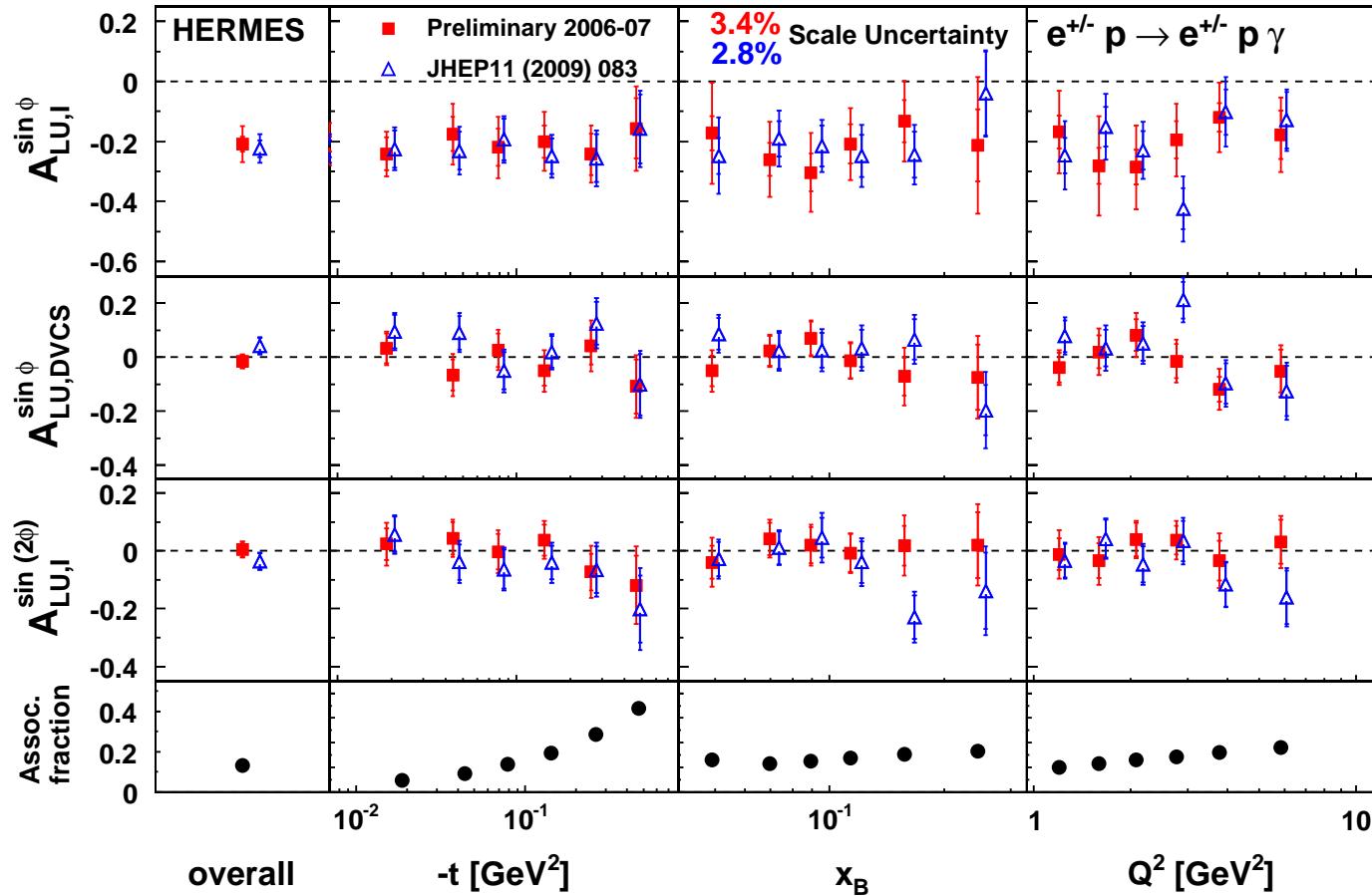
Twist-3 GPDs



- VGG overestimates the magnitude of leading asymmetry amplitude



# Beam-Helicity Asymmetry (New vs Published)



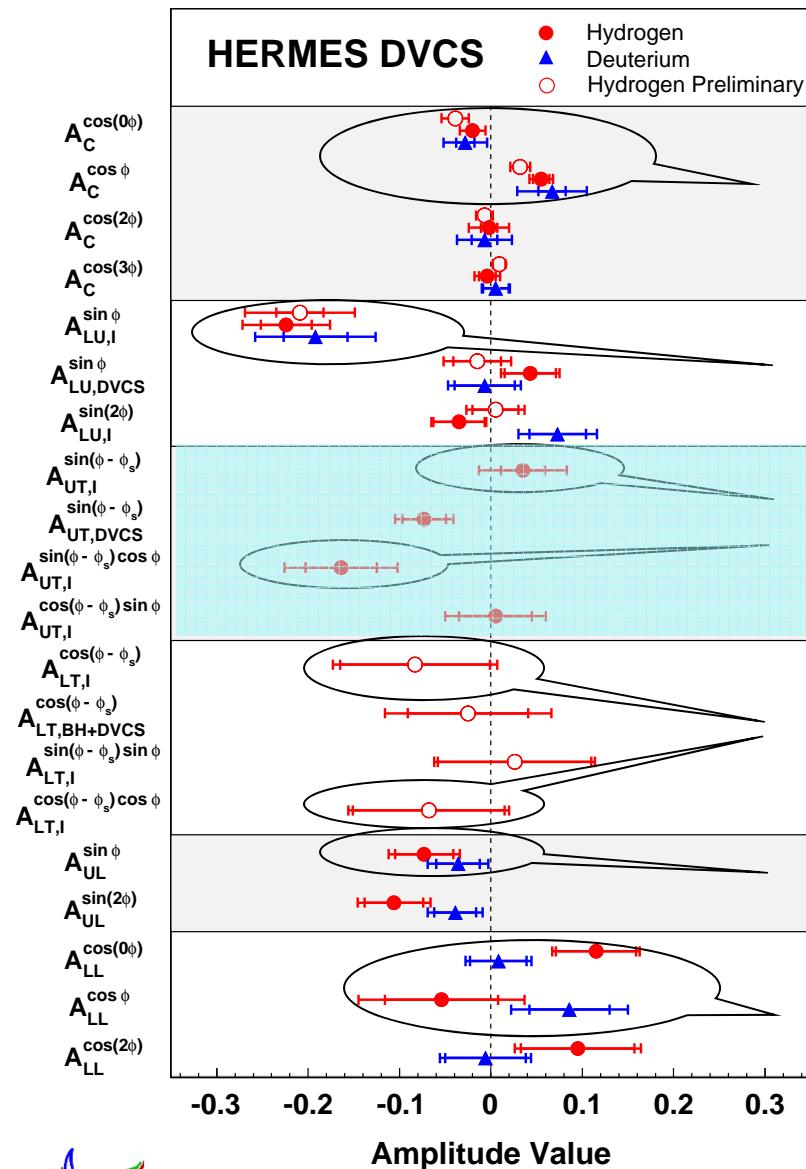
$$\propto \Im m(\mathcal{H})$$

Twist-3 GPDs

- New results are in agreement with published (JHEP 11 (2009) 083)



# DVCS Results



$$\propto \Re(\mathcal{H})$$

*JHEP 11 (2009) 083*

$$\propto \Im m(\mathcal{H})$$

*Nucl. Phys. B 829 (2010) 1*

$$\propto \Im m(\mathcal{H} - E)$$

*JHEP 06 (2008) 066*

$$\propto \Re(\mathcal{H} - E)$$

*arXiv:1106.2990 (hep-ex)*

$$\propto \Im m(\tilde{\mathcal{H}})$$

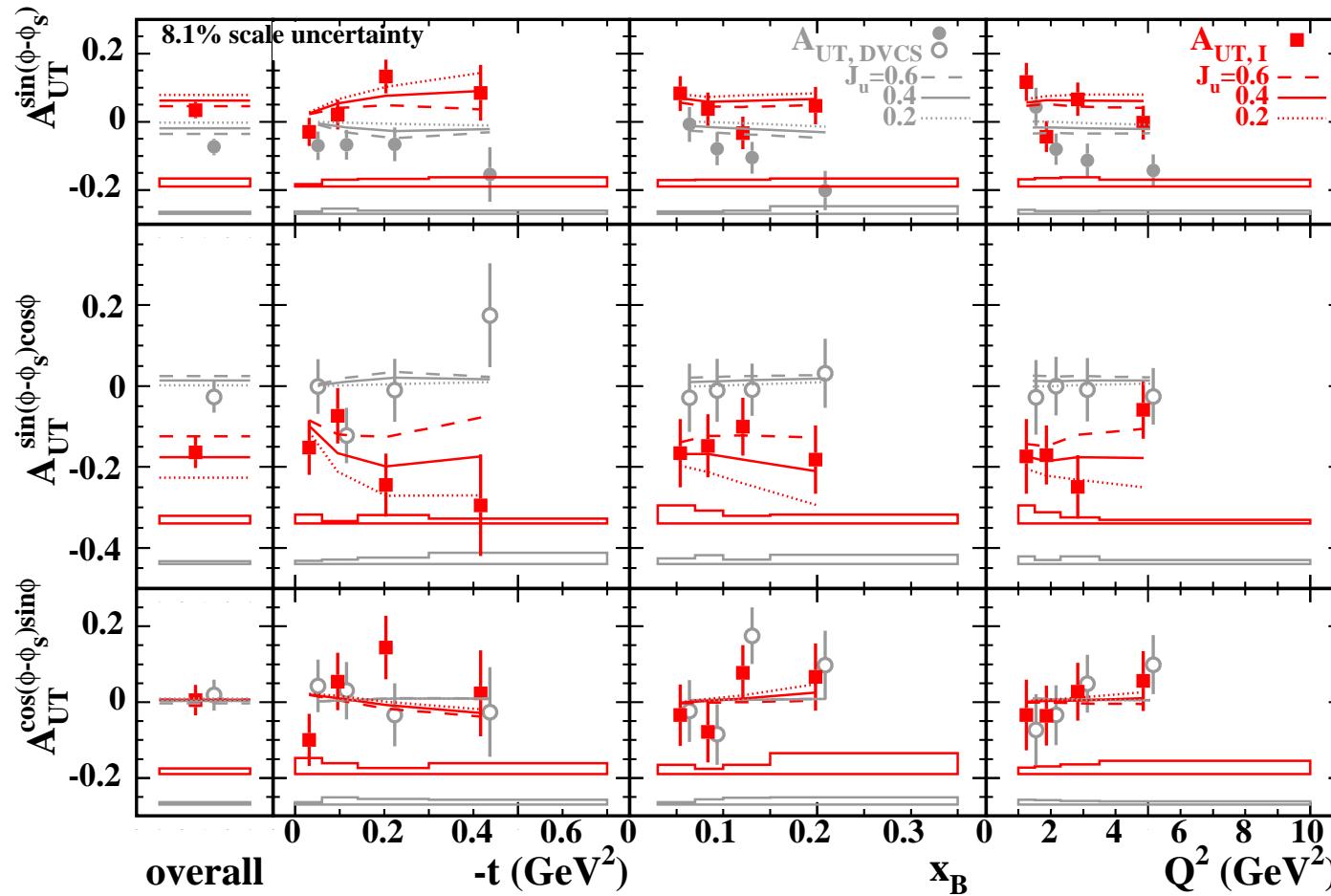
*JHEP 06 (2010) 019*

$$\propto \Re(\tilde{\mathcal{H}})$$

*Nucl. Phys. B 842 (2011) 265*

# Transverse-Target Spin Asymmetry

$$A_{UT}(\phi, \phi_S) = A_{UT}^{\sin(\phi-\phi_S)} \sin(\phi - \phi_S) + A_{UT}^{\sin(\phi-\phi_S)\cos\phi} \sin(\phi - \phi_S) \cos\phi + \dots$$



*JHEP 06 (2008) 066*

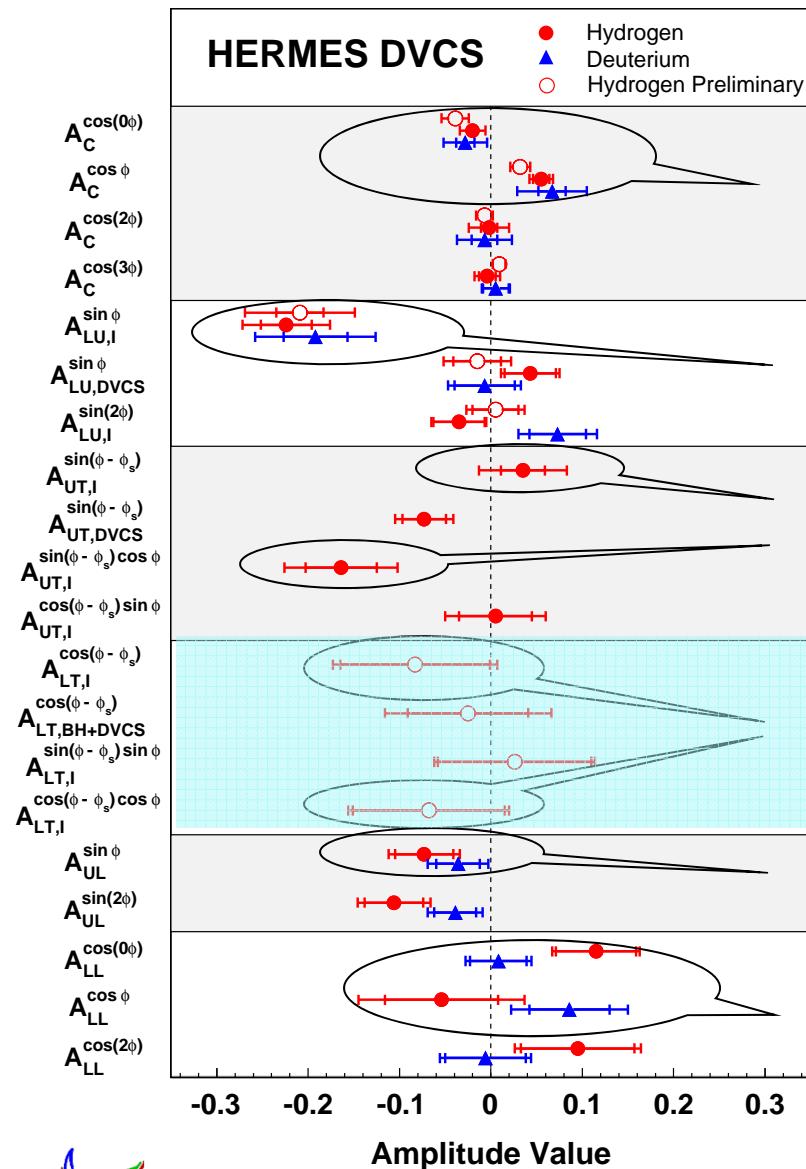
$$\propto \Im m(F_2 H - F_1 E)$$

●  $A_{UT}^{\sin(\phi-\phi_S)\cos\phi}$

sensitive to  $J_u$ , allows a model-dependent constraint



# DVCS Results



$$\propto \Re(\mathcal{H})$$

*JHEP 11 (2009) 083*

$$\propto \Im m(\mathcal{H})$$

*Nucl. Phys. B 829 (2010) 1*

$$\propto \Im m(\mathcal{H} - E)$$

*JHEP 06 (2008) 066*

$$\propto \Re(\mathcal{H} - E)$$

*arXiv:1106.2990 (hep-ex)*

$$\propto \Im m(\tilde{\mathcal{H}})$$

*JHEP 06 (2010) 019*

$$\propto \Re(\tilde{\mathcal{H}})$$

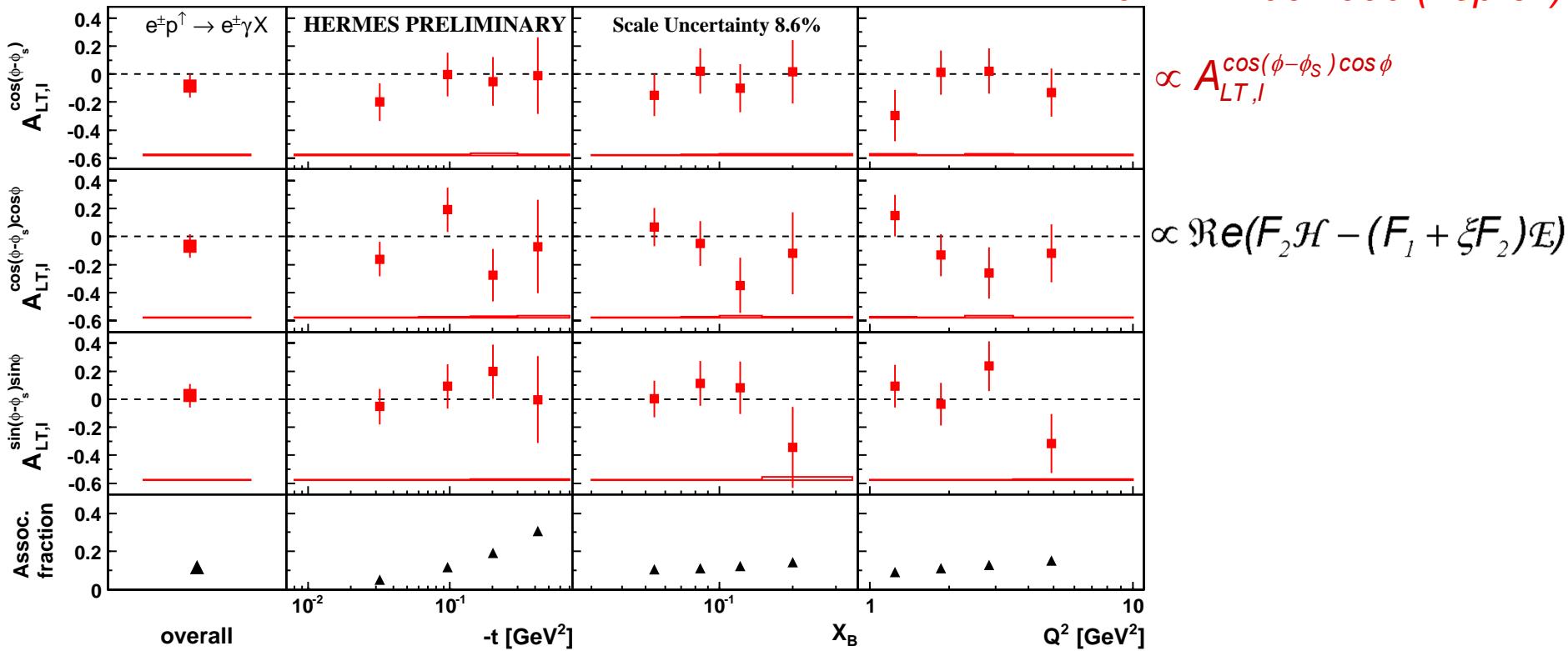
*Nucl. Phys. B 842 (2011) 265*

# Double-Spin Asymmetry

$$A'_{LT}(\phi, \phi_s) = A_{LT,I}^{\sin(\phi-\phi_s)} \cos(\phi - \phi_s) + A_{LT,I}^{\cos(\phi-\phi_s)\cos\phi} \cos(\phi - \phi_s) \cos\phi + \dots$$

New

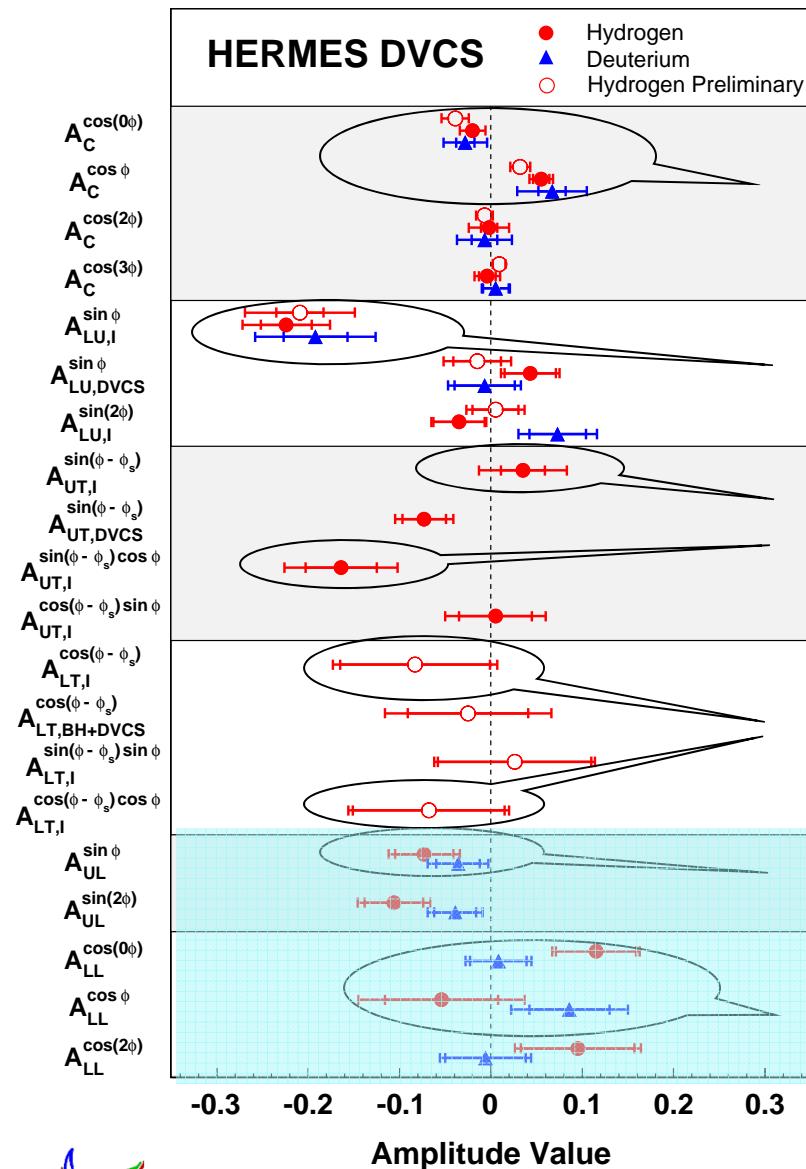
[arXiv:1106.2990 \(hep-ex\)](https://arxiv.org/abs/1106.2990)



- Sensitivity to  $J_u$  suppressed by kinematic pre-factor



# DVCS Results



$$\propto \Re(\mathcal{H})$$

*JHEP 11 (2009) 083*

$$\propto \Im m(\mathcal{H})$$

*Nucl. Phys. B 829 (2010) 1*

$$\propto \Im m(\mathcal{H} - E)$$

*JHEP 06 (2008) 066*

$$\propto \Re(\mathcal{H} - E)$$

*arXiv:1106.2990 (hep-ex)*

$$\propto \Im m(\tilde{\mathcal{H}})$$

*JHEP 06 (2010) 019*

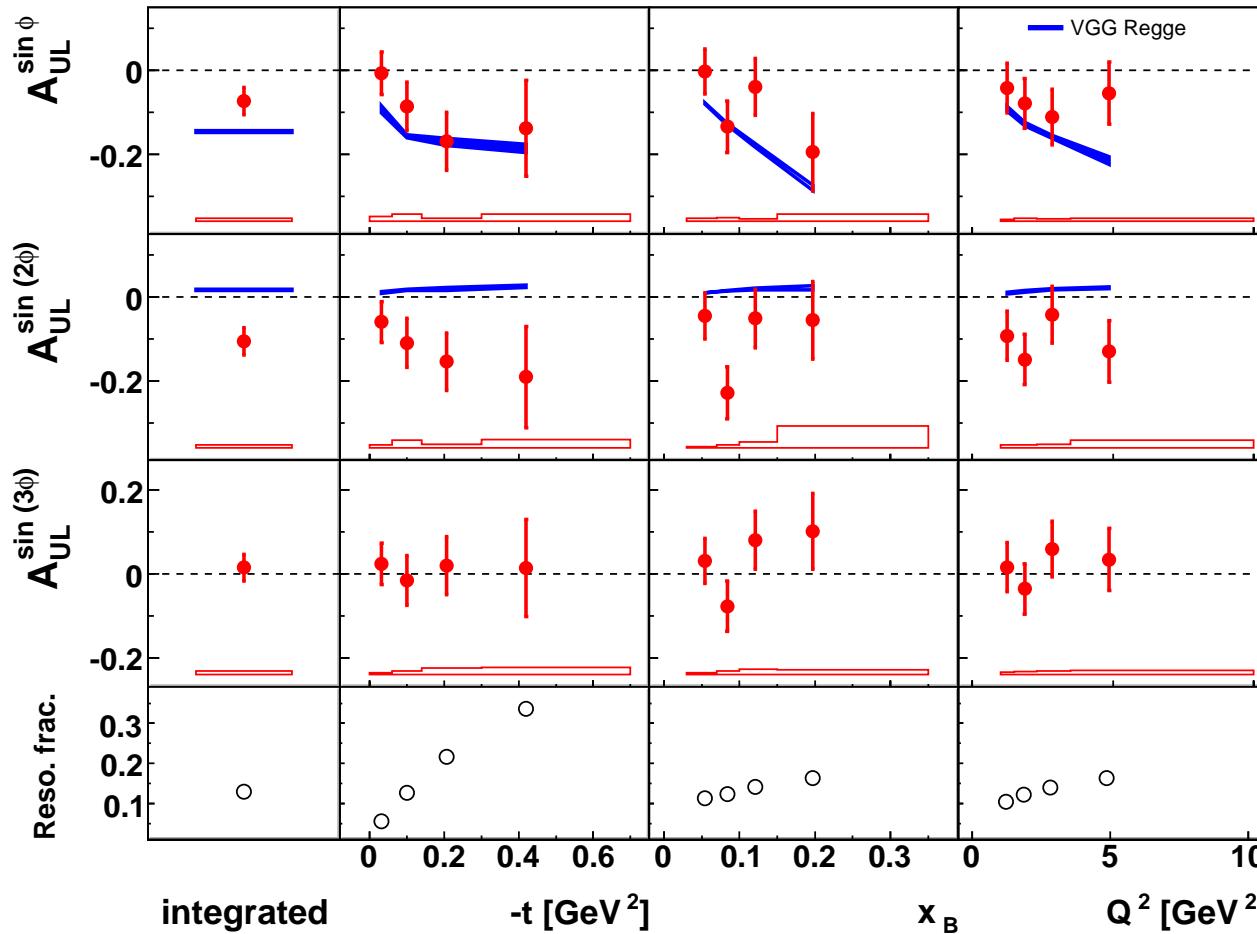
$$\propto \Re(\tilde{\mathcal{H}})$$

*Nucl. Phys. B 842 (2011) 265*

# Longitudinal-Target Spin Asymmetry

$$A_{UL}(\phi) = \sum_{n=1}^2 A_{UL}^{\sin(n\phi)} \sin(n\phi) \propto \sum_{n=1}^2 s_n^I, s_n^{DVCS} \sin(n\phi)$$

*JHEP 06 (2010) 019*



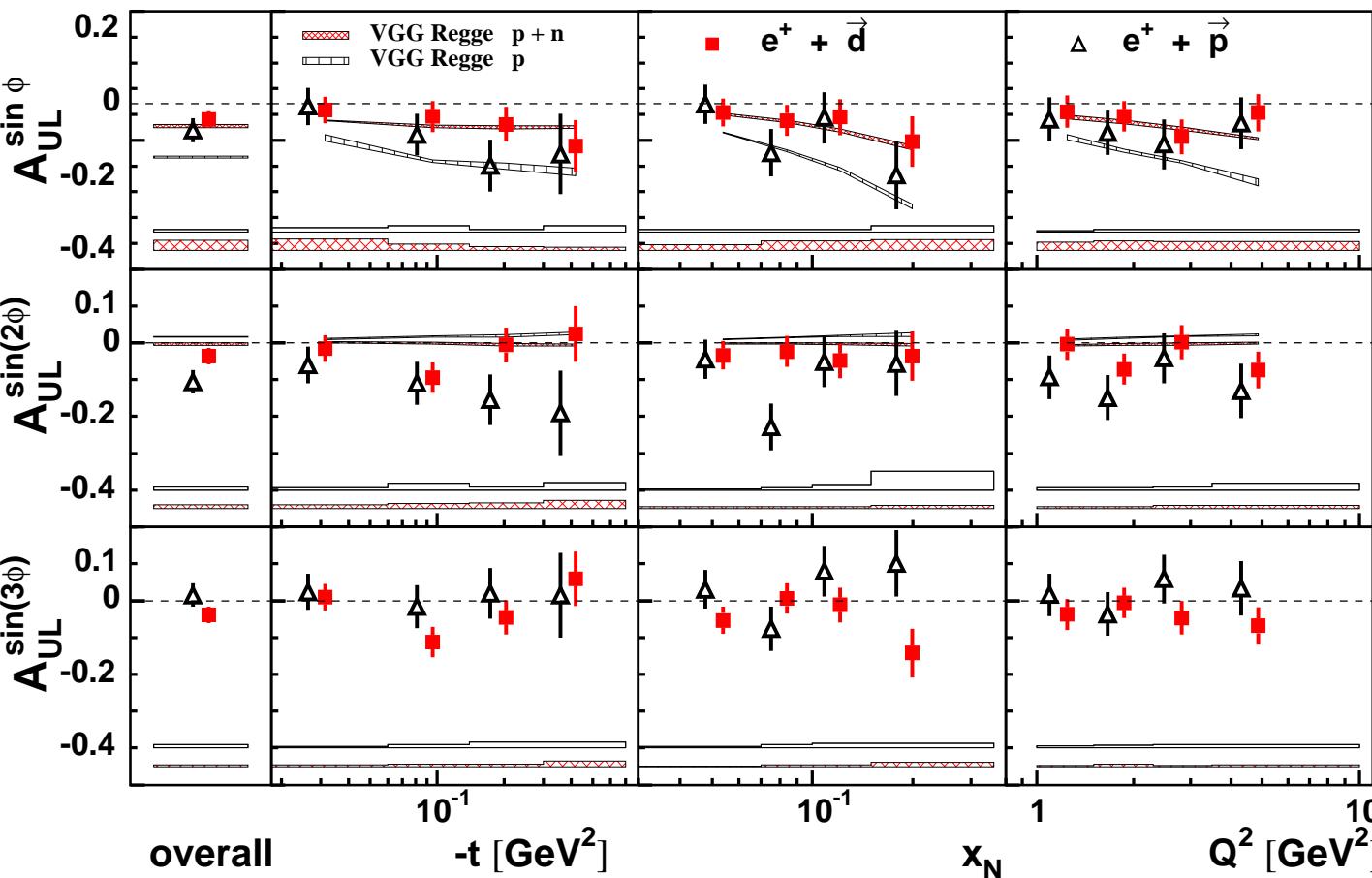
$$\propto \Im m(\tilde{H})$$

Twist-3 quark or  
Twist-2 gluon GPDs

- Unexpectedly large  $A_{UL}^{\sin(2\phi)}$  asymmetry amplitude

# Longitudinal-Target Spin Asymmetry (Deuteron vs Proton)

*Nucl. Phys. B 842 (2011) 265*



- 9 chiral-even GPDs in case of spin-1 target

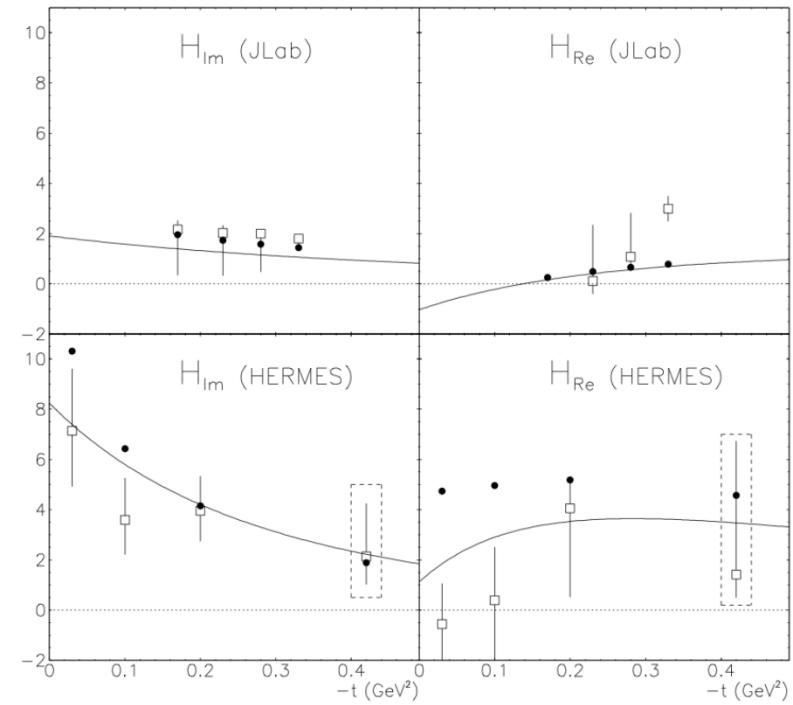
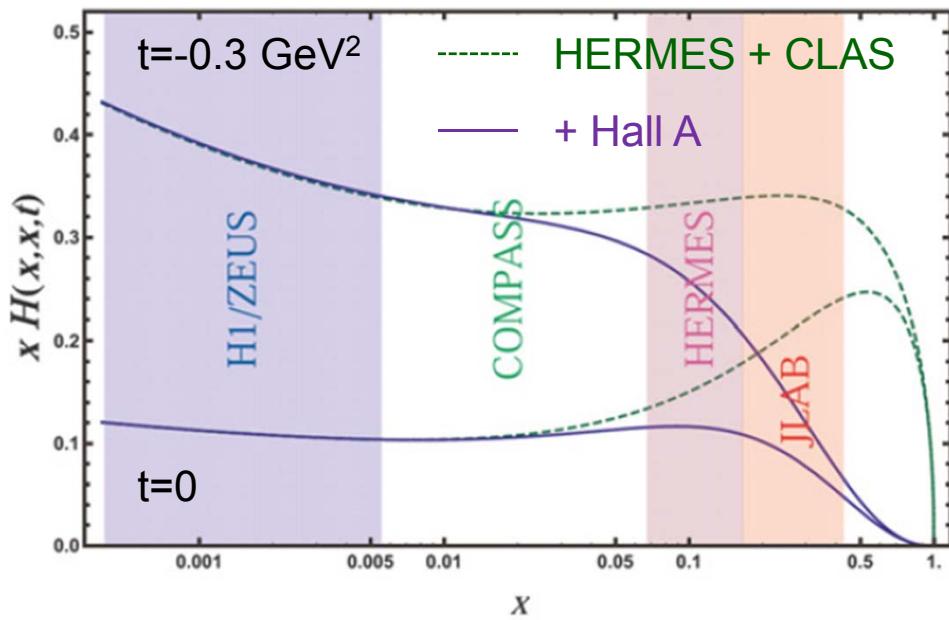
$$H_1, H_2, H_3, H_4, H_5, \\ \tilde{H}_1, \tilde{H}_2, \tilde{H}_3, \tilde{H}_4,$$

- Search for coherent signature

- Results for deuteron are compatible with that for proton for leading amplitudes
- Different result for  $A_{UL}^{sin(2\phi)}$ : compatible with zero for deuteron



# Extractions of GPDs

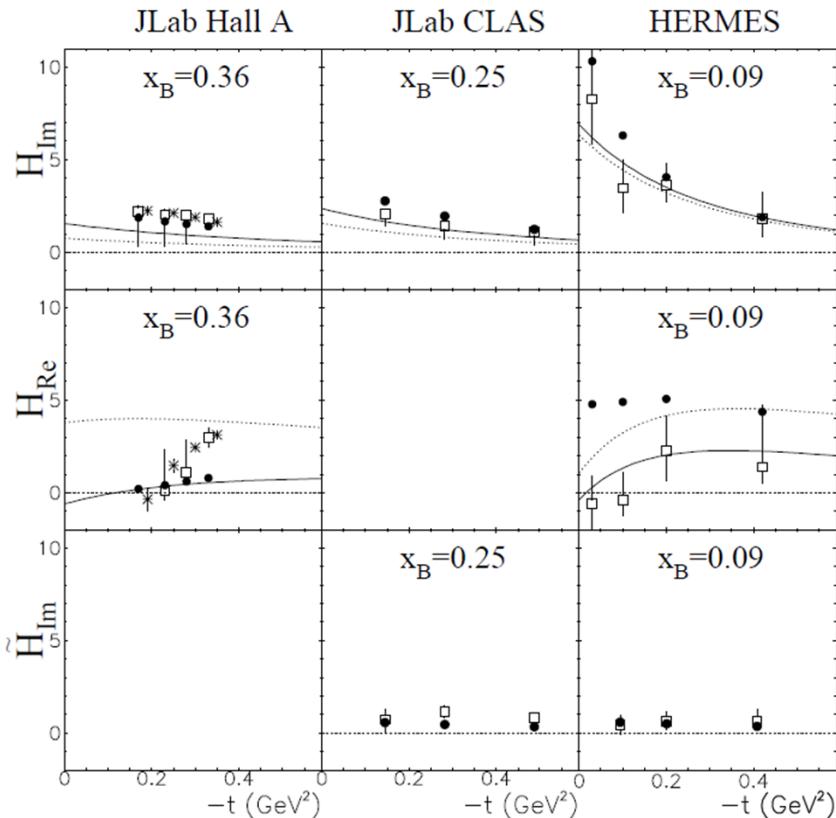


- *K. Kumerički and D. Müller, Nucl. Phys. B 841, (2010) 1*
  - Global fit to extract GPD H
  - $A_C$  from HERMES,  $A_{LU}$  from CLAS, cross section from Hall A
  - Small x behaviour from HERA collider data

- *M. Guidal and H. Moutarde, Eur.Phys.J. A 42 (2009) 71*
  - Model-independent extraction of Compton form factors
  - Data from HERMES and JLAB



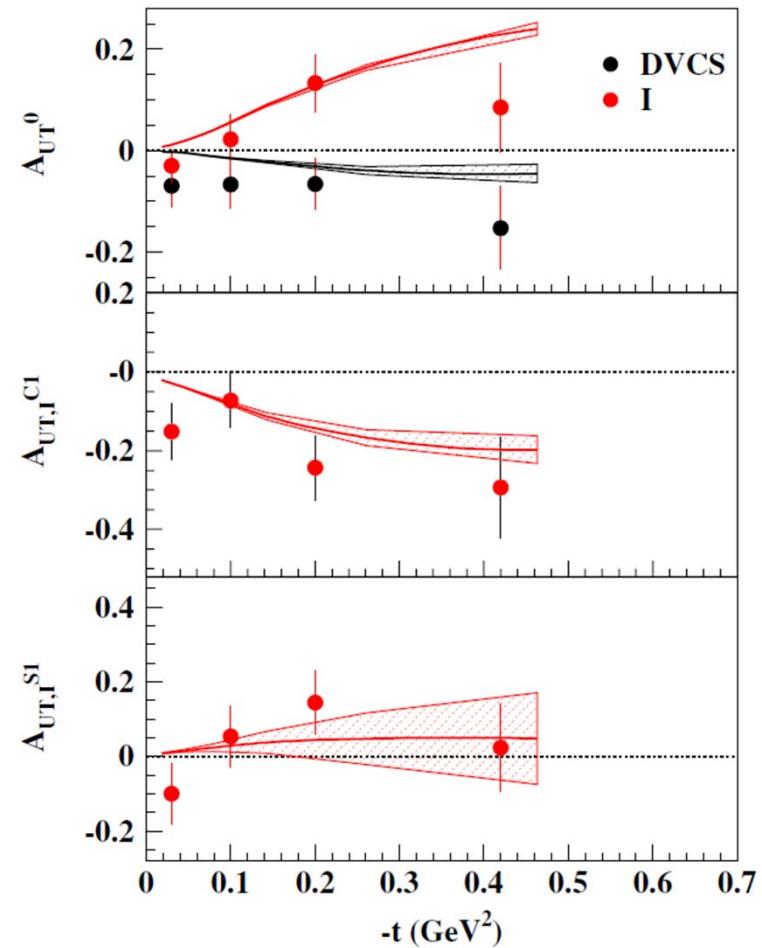
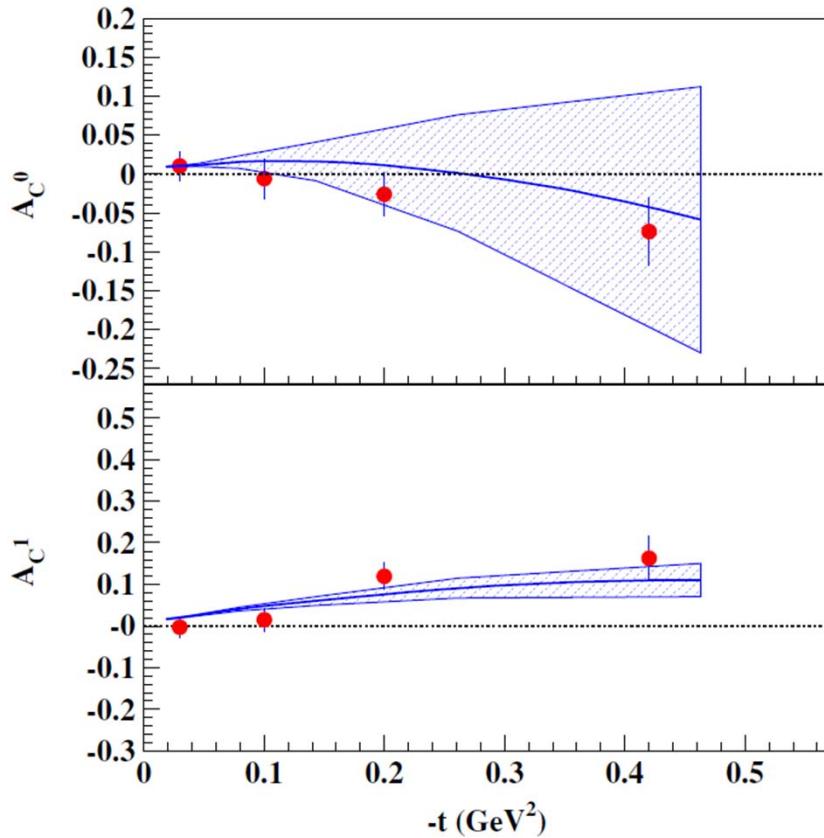
# Extractions of GPDs



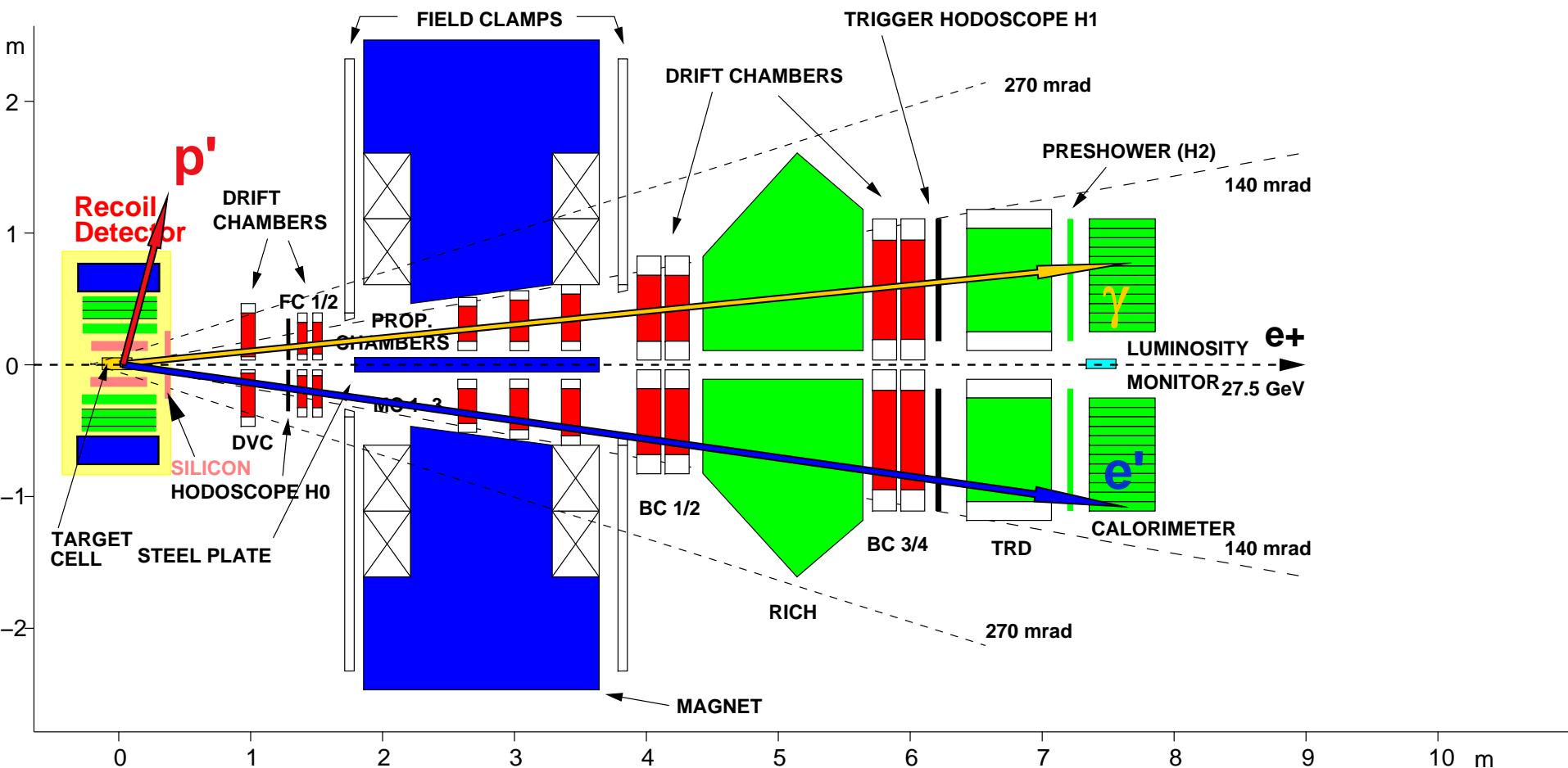
- *M. Guidal, arXiv:1011:4195*
  - Model-independent fit of CFFs
  - HERMES  $A_{LU}$ ,  $A_C$ ,  $A_{LL}$ ,  $A_{UT}$ ,  $A_{UL}$
  - CLAS  $A_{LU}$ ,  $A_{UL}$
  - Hall A cross section
  
- Comparison with
  - *K. Kumerički and D. Müller*
  - \* *H. Moutarde, PRD 79 (2009) 094021*
  - *VGG*

# Parameterizations of GPDs

- *G. Goldstein, J. Hernandez, S. Liuti, Phys. Rev. D 84 034007 (2011)*  
Flexible Parameterization of Generalized Parton Distributions from DVCS Observables
- Fit JLAB data, predictions for HERMES



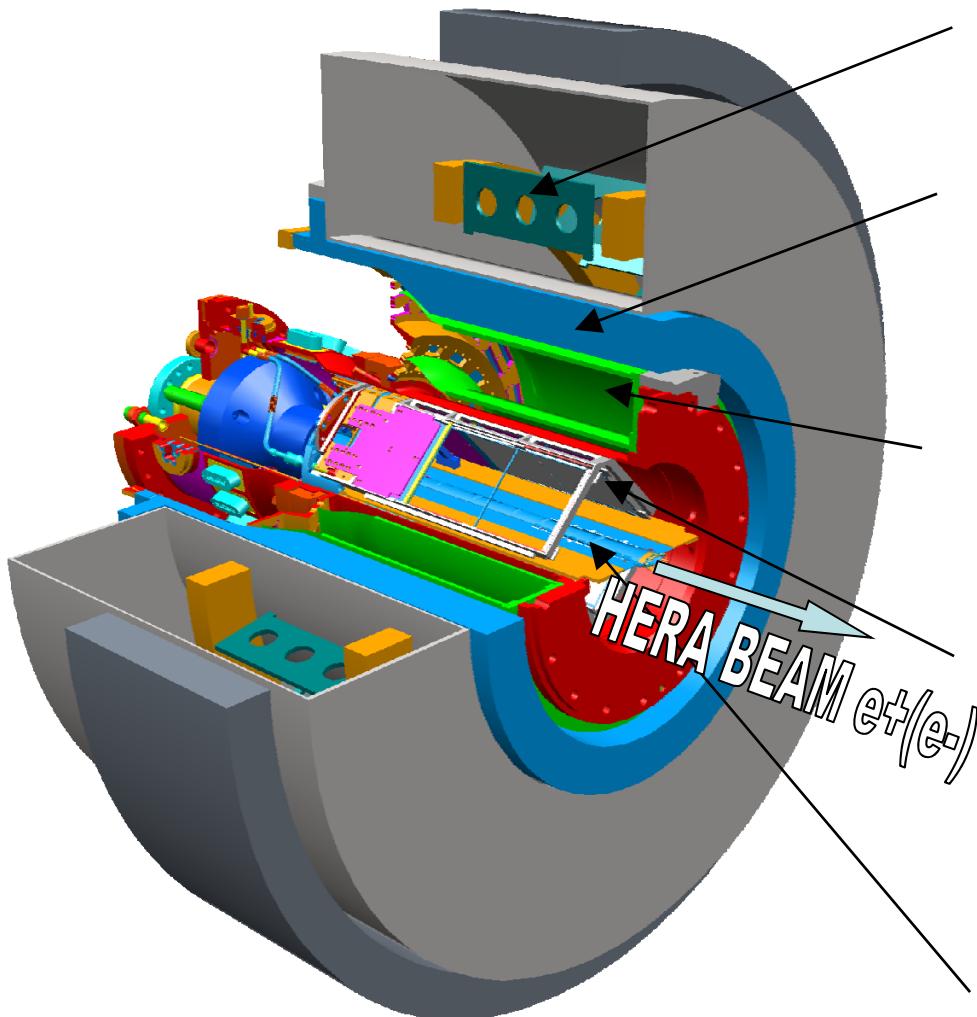
# HERMES with the Recoil Detector (2006-2007)



- Two beam helicities, 27.57 GeV electron and positron beams
- Unpolarized hydrogen and deuterium targets



# HERMES Recoil Detector



1 Tesla superconducting solenoid

## Photon Detector (PD)

- detect gammas
- $p/\pi$  PID for momentum  $> 600$  MeV/c

## Scintillating Fiber Tracker (SFT)

Momentum reconstruction by bending in magnetic field

## Silicon Strip Detector (SSD)

- Inside the HERA vacuum
- 5 cm close to the beam
- Momentum reconstruction by energy deposit for protons and deuterons

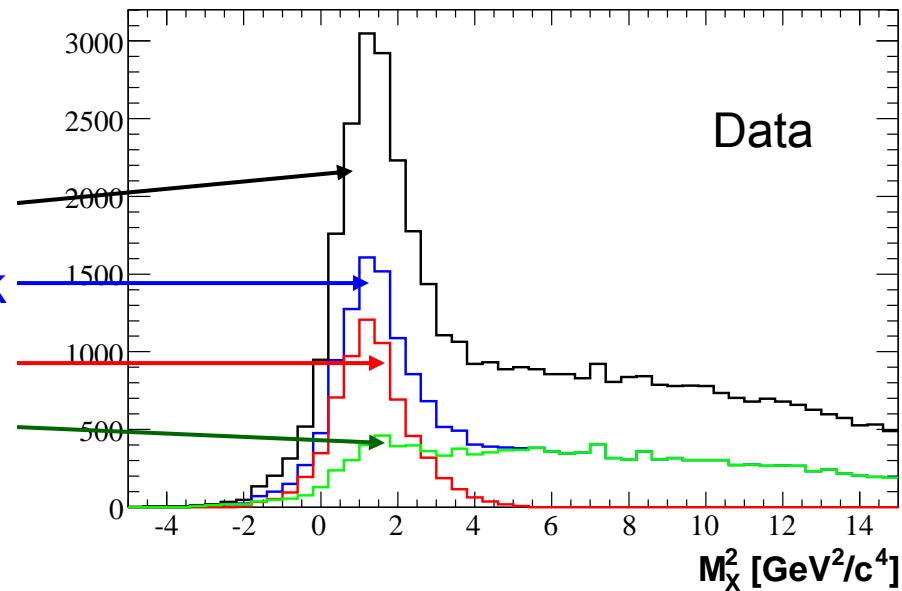
## Target cell

- Unpolarized hydrogen and deuterium targets

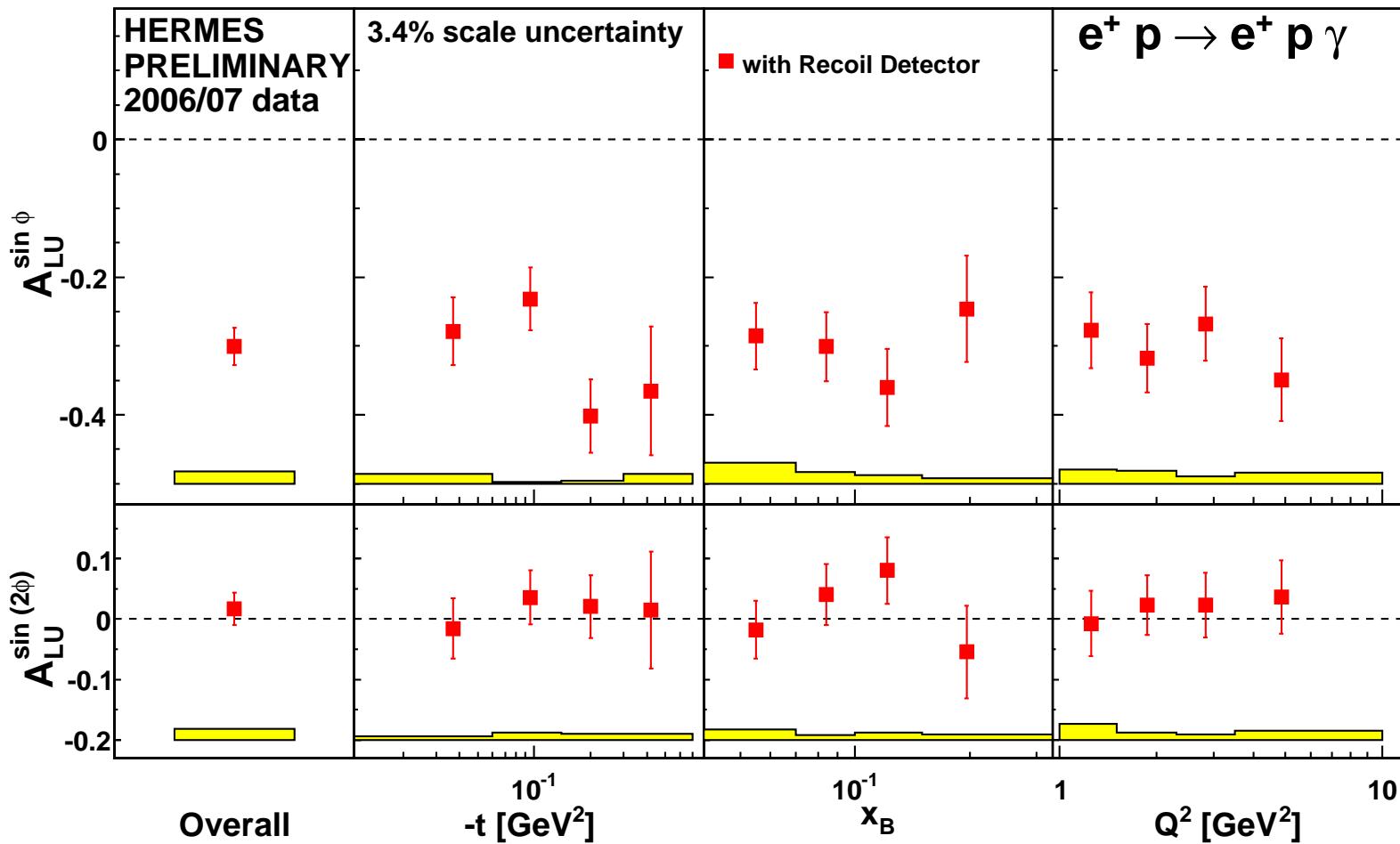
# DVCS Event Selection with the Recoil Detector

- Kinematic event fitting technique
  - All 3 particles in final state detected → 4 constraints from energy-momentum conservation
  - Selection of **pure BH/DVCS ( $ep \rightarrow epy$ )** with high efficiency (~84%)
  - Allows to suppress background from associated and semi-inclusive processes to a negligible level (~0.1%)

- Missing mass distribution
  - No requirement for Recoil
  - **Positively charged Recoil track**
  - **Kinematic fit probability > 1%**
  - **Kinematic fit probability < 1%**



# Beam-Helicity Asymmetry with the Recoil Detector



- Asymmetry amplitudes for **pure BH/DVCS sample** (background < 0.1%)

# DVCS Event Samples

Without Recoil Detector

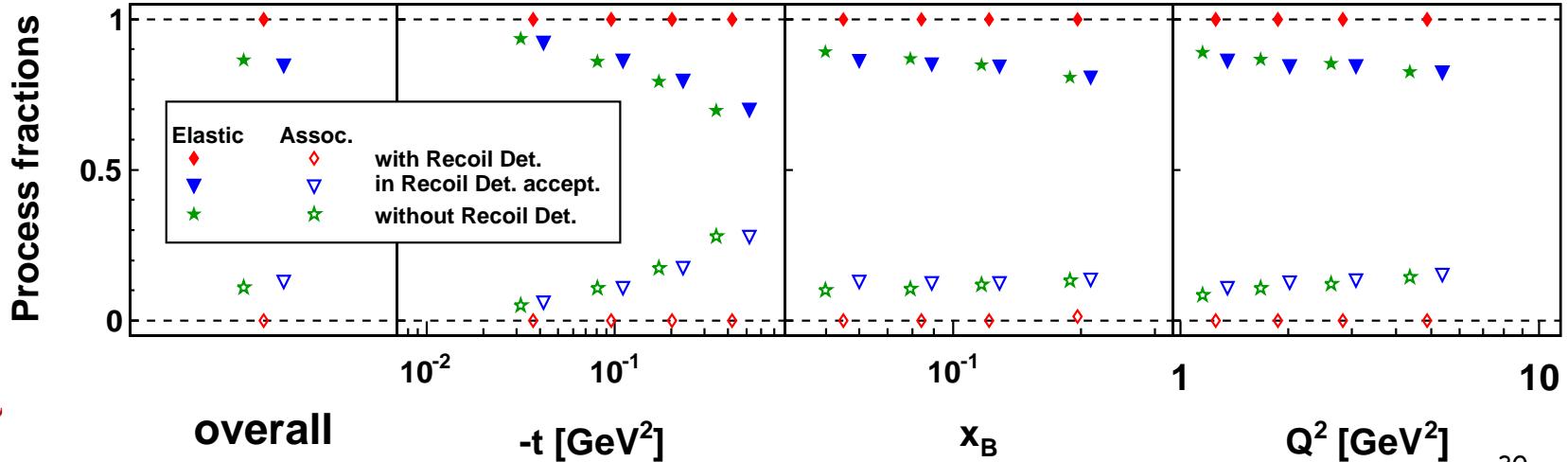
In Recoil Detector acceptance

With Recoil Detector

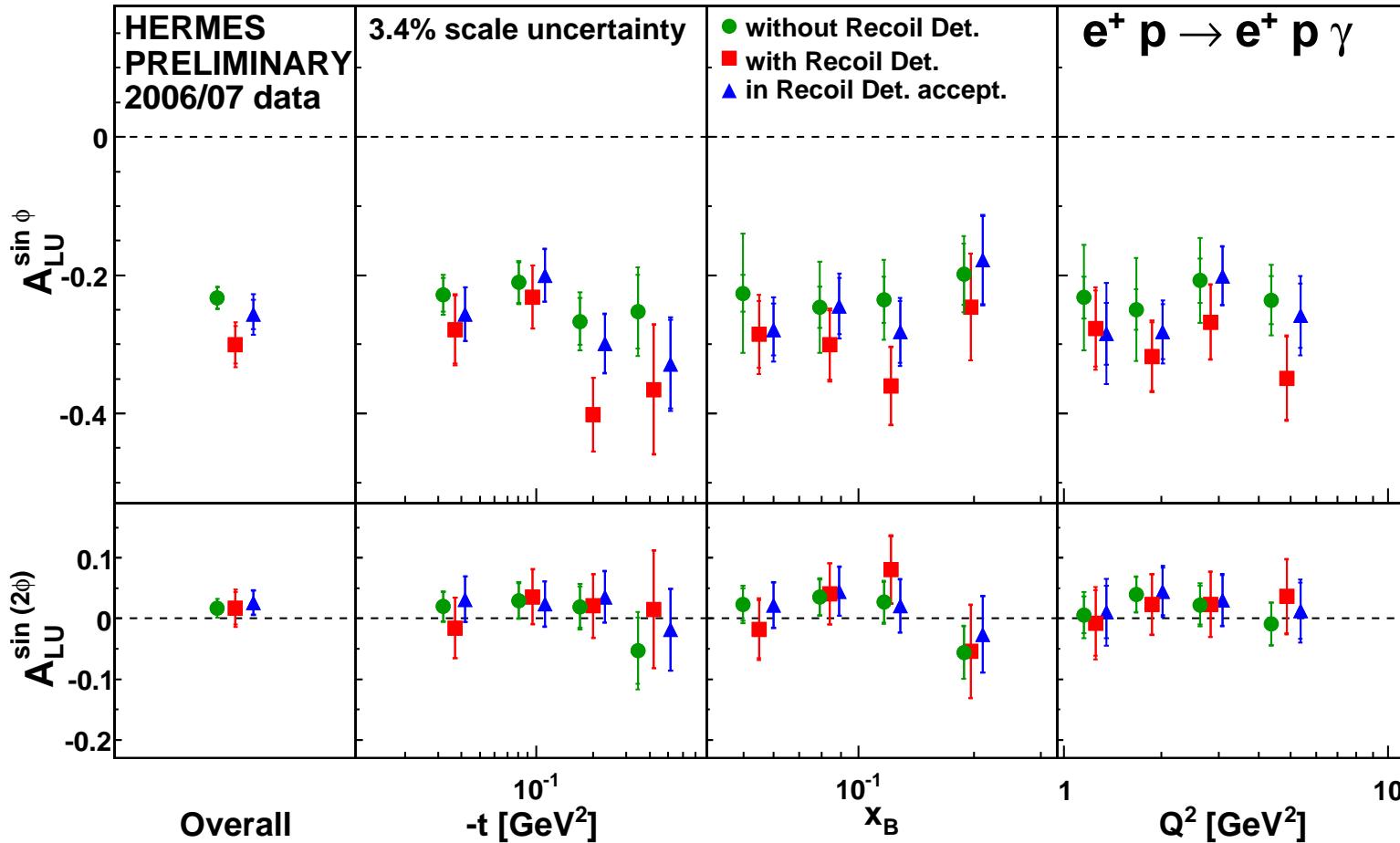
Similar background

Background-free

Similar kinematics



# Comparison of All DVCS Data Samples



- Indication that the leading amplitude for **pure BH/DVCS** (background < 0.1%) is slightly larger in magnitude than the one in **Recoil Detector acceptance**
- Extraction of asymmetry amplitudes for associated processes is a subject of ongoing dedicated analysis

# Summary

- HERMES produced and published many results on asymmetries in hard exclusive processes
- Essential input to the global GPD fits
- First results with Recoil Detector