# Neural Networks for Anomaly Detection in LINACs, Injectors, and Transfer Lines

Jonathan Edelen, Dan Abell, Evan Carlin, Paul Moeller, Mike Keilman, Rob Nagler (RadiaSoft), Kevin Brown and Vincent Schoefer (Brookhaven National Laboratory) Chris Tennant, Brian Freeman, Reza Kazimi, and Daniel Moser (Jefferson Laboratory) October 7<sup>th</sup> 2023

ICALEPCS 2023: 3<sup>rd</sup> Data Science and Machine Learning Workshop

This material is based upon work supported by the U.S. Department of Energy, Office of Science, Office of Nuclear Physics under Award Number DE-SC0019682



Boulder, Colorado USA | radiasoft.net



#### Inverse models for anomaly detection

- Inverse models as a diagnostic in a supervised fashion
  - Direct comparison between predicted settings and actual settings informs operations of a potential anomaly with that magnet





#### Inverse models for anomaly detection

- Inverse models as a diagnostic in a supervised fashion
  - Direct comparison between predicted settings and actual settings informs operations of a potential anomaly with that magnet
- Inverse models as a diagnostic in an unsupervised fashion
  - Assumptions
    - model errors are caused by other beamline elements
    - each beam-line element will have a unique error signature





### Inverse models for anomaly detection

- Inverse models as a diagnostic in a supervised fashion
  - Direct comparison between predicted settings and actual settings informs operations of a potential anomaly with that magnet
- Inverse models as a diagnostic in an unsupervised fashion
  - Assumptions
    - model errors are caused by other beamline elements
    - each beam-line element will have a unique error signature
- Inverse models for tuning
  - Minimize error between predicted settings and actual settings by varying quads
  - Right: model error as a function of quad strength error





A radiasoft

#### **Data generation principles**





# AGS to RHIC transfer line study

- Model training for the AGS to RHIC transfer line
  - Top Right: Fractional density of model error as a function of ground truth for each magnet
  - Bottom Right: RMS error as a function of magnet type
  - Bottom: model loss as a function of the dataset size







### AGS to RHIC transfer line study

- Right: Predicted corrector settings vs the ground truth for the validation set
  - Black: without quadrupole errors
  - Red: a single quadrupole error of -20%
  - Blue: a single quadrupole error of +20%





### Computing the Model Loss as Quadrupoles are Varied

- Model trained for 100k epochs
- Individually varied the quads over a range of plus or minus 20% excitation
- All quads show sensitivity except uq6
- Many quads have minima at 0.0 with some offset
  - Longer training time can improve this
  - Ensemble methods may be more efficient





#### **Consider an Ensemble of Models**

- 23 models with random initializations: consider median and mean for output of the ensemble
- Examine the ensemble output as you vary the quad strengths
- Left: Ensemble output as a function of quad strength variation / Right: Ensemble output with ensemble variance
  - Note clearly defined minima at or very close to 1.0 for all cases except uq6
  - This is an improvement over slide 16 where some quads do not have well defined minima



A radiasoft

#### **Overview of the CEBAF Injector**



A radiasoft

- Alarm systems typically alert operators when there is a problem with the beam
  - Often does not provide much information on what caused the alarm
  - Diagnosing the problems is time consuming for operators
- Use machine learning to automate the root-causeanalysis effort
  - Autoencoders quantify similarities or differences between machine states
  - Inverse models use actual measurements to predict settings





- Data collected during two different operational modes.
  - First during normal operations
  - Second during a dedicated machine study where parameters were varied
- Neural network inverse model is trained to predict settings from readings
  - Left: Model prediction vs the ground truth for the validation data from the nominal setup
  - Right: Model prediction vs the ground truth for the test data (study data)

adiasoft



- RMS error of the predicted settings by parameter for the machine study (left) and the nominal setup (right).
- The difference is indicative of the model being able to detect variations in the machine state.
- Thresholds for anomaly detection are established based on performance on the nominal setup









- Left: T-SNE was used to reduce the dataset dimensionality
  - Operational data is shown in green and the study data in blue
  - The model correctly flagged the study data as anomalous
  - The T-SNE reduction of the data also provides a strong indication that these two datasets are distinct in nature
- Right: Comparison with conventional threshold-based alarming.
  - Threshold misses numerous configurations that would be undesirable by the user program





### Conclusions

- Smart alarm system at JLab
  - Algorithm development nearing completion, published (<u>https://iopscience.iop.org/article/10.1088/2632-2153/acb98d/meta</u>)
  - Many thanks to the efforts of Chris Tennant and the JLab team
- Beamline control algorithms at BNL
  - Algorithm development nearing completion, publication in preparation.



#### Disclaimer

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

