

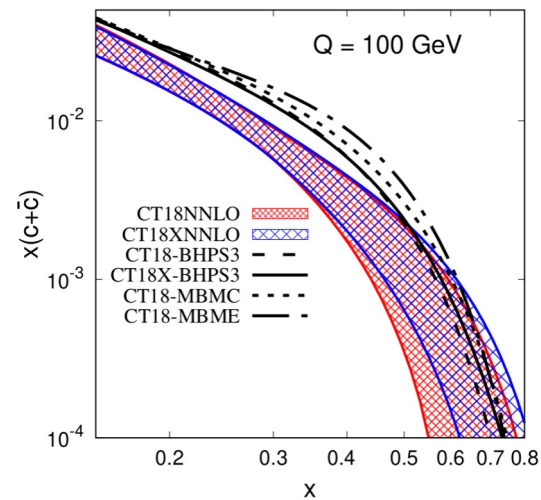
CT18 Fitted Charm: possibilities at CERN FPF

Tim Hobbs, ANL

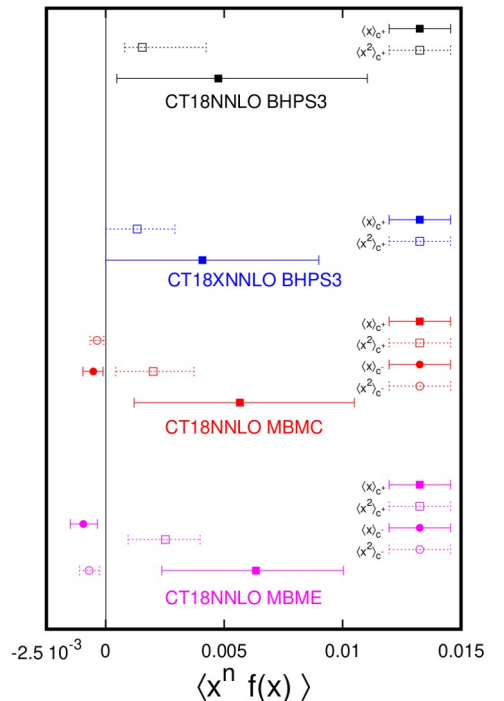


recently published:

[PLB 843 \(2023\) 137975](#)



Nonperturbative charm moments $Q_0 = 1.27 \text{ GeV}$
Intervals of $\Delta\chi^2 < 10$



with M. Guzzi, K. Xie, J. Huston,
P. Nadolsky, C.-P. Yuan

and members of the
[CTEQ-TEA](#) (Tung Et. Al.) working group

see also: [1707.00657](#)
and [2205.10444](#)

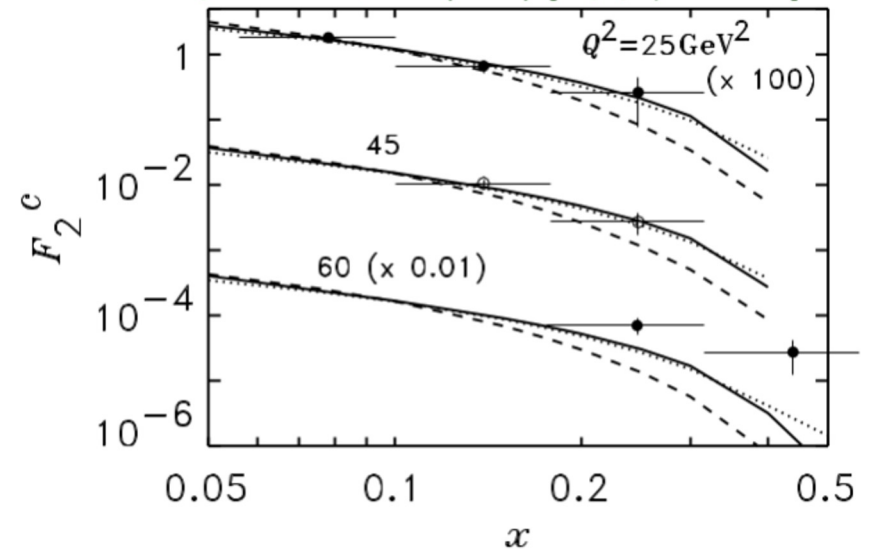
heavy quarks typically implemented *perturbatively* in QCD analyses

$$F_i = C_i \otimes f_{c/p}$$

might also explore *nonperturbative* charm; *i.e.*, not radiatively generated,

$$c(x, Q = m_c) = c^{\text{IC}}(x) \neq 0$$

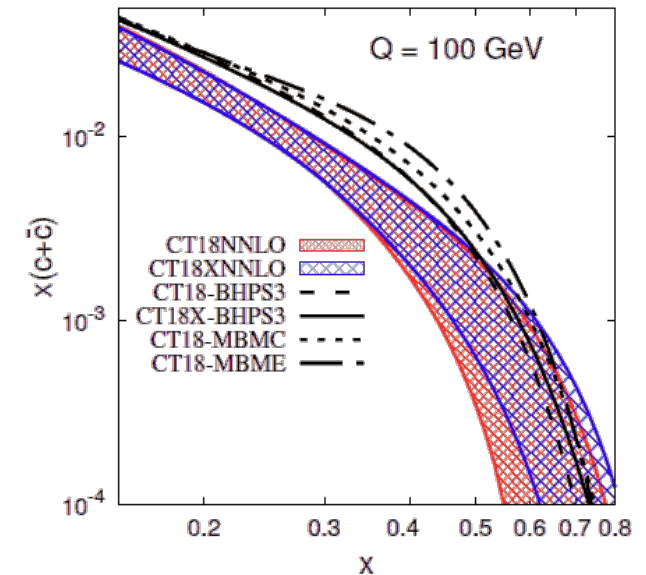
F. M. Steffens, W. Melnitchouk and A. W. Thomas, Eur. Phys. J. C **11**, 673 (1999) [hep-ph/9903441].



but can global PDF fits constrain “intrinsic” charm?

“fitted charm” is a more direct term to describe the charm PDF found in the global QCD fit

analog: the fitted charm mass



PDF fits may include a fitted charm PDF

fitted charm = “higher-twist charm”
+ other (possibly not universal)
higher $O(\alpha_s)$, higher-power terms

QCD factorization theorem for DIS structure function $F(x, Q)$ [Collins, 1998]:

All α_s orders:
$$F(x, Q) = \sum_{a=0}^{N_f} \int_x^1 \frac{d\xi}{\xi} C_a \left(\frac{x}{\xi}, \frac{Q}{\mu}, \frac{m_c}{\mu}; \alpha(\mu) \right) f_{a/p}(\xi, \mu) + \mathcal{O}(\Lambda^2/m_c^2, \Lambda^2/Q^2)$$

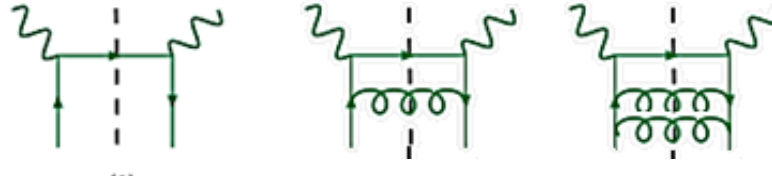
PDF fits implement this formula only up to (N)NLO ($N_{ord} = 1$ or 2):

PDF fits:
$$F(x, Q)^{[\text{trunc}]} = \sum_{a=0}^{N_f} \int_x^1 \frac{d\xi}{\xi} C_a^{(N_{ord})} \left(\frac{x}{\xi}, \frac{Q}{\mu}, \frac{m_c}{\mu}; \alpha(\mu) \right) f_{a/p}^{(N_{ord})}(\xi, \mu)$$

leading-power charm PDF component cancels at $Q \approx m_c$, up to a higher order
fitted charm component may potentially **absorb missing terms** of orders α_s^p with $p > N_{ord}$, or Λ^2/m_c^2 , or Λ^2/Q^2

fitted charm contributions, practical implementation in CT18

Keep only $c_{h,h} \otimes f_h$:
Discard $c_{h,gg}^{(k)} \otimes f_{gg}$, etc.



In the absence of full computation, we (and other groups) make the simplest approximation:

$$F_{FC}(x, Q_0) = [c_{h,h} \otimes f_{c/p}^{FC}](x, Q_0)$$

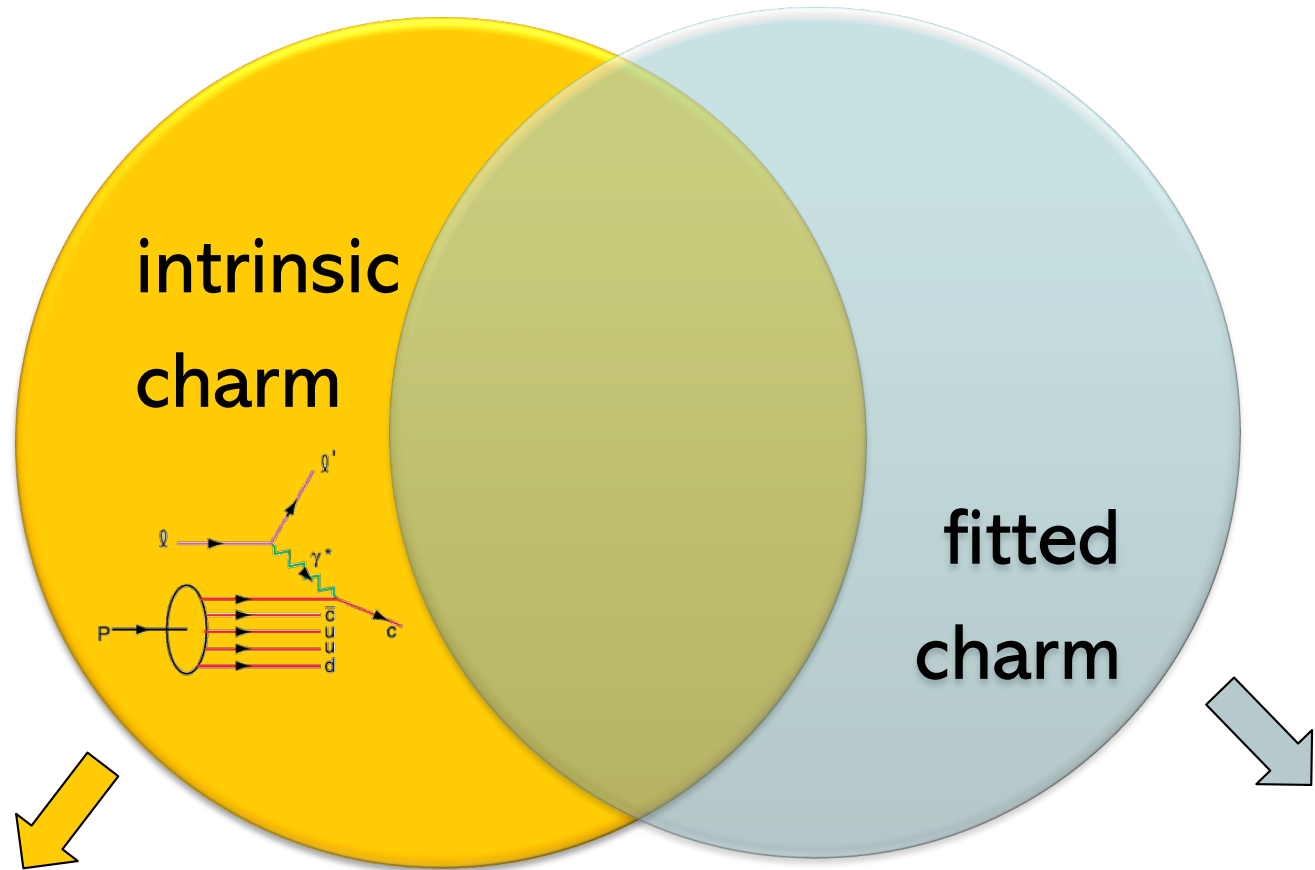
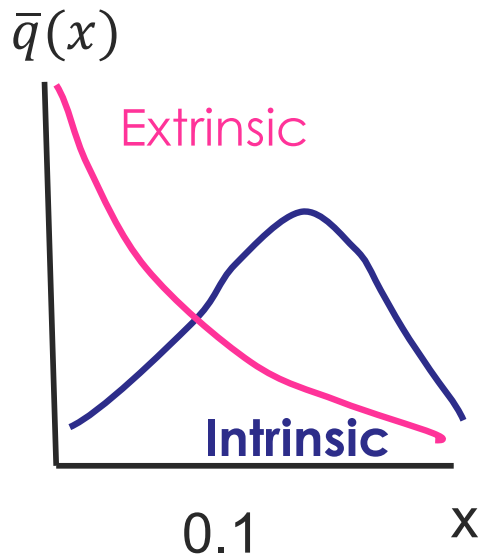
$c_{h,h}$ is the **twist-2 charm DIS coefficient function** introduced to factorize the twist-4 ladder terms; defined according to the SACOT-MPS scheme

Start with $N_f = 3$ at $\mu_0 = m_c - \epsilon$, evolve to $\mu > \mu_0$ by incrementing N_f to 4 and 5

FC is compatible with any version of the ACOT scheme (cf. arXiv:1707.00657).

Flavor-excitation coefficient functions of these schemes differ by terms of $O(m_c^2/Q^2)$. Their overall differences are of $O(\Lambda^2/Q^2)$, i.e., within the accuracy of the factorization theorem.

challenging to formulate a rigorous definition of intrinsic charm



- The concept of nonperturbative methods
- Can refer to a component of the hadronic Fock state or the type of the hard process
- Predicts a typical enhancement of the charm PDF at $x \gtrsim 0.2$

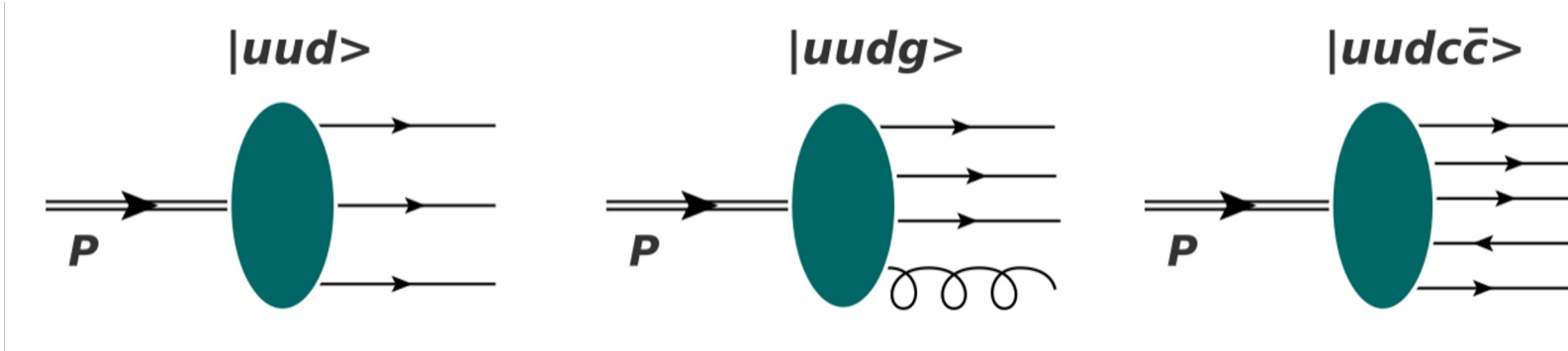
← Connection? →

- A charm PDF parametrization at scale $Q_0 \approx 1$ GeV found by global fits [CT, NNPDF, ...]
- Arises in perturbative QCD expansions over α_s and operator products
- May absorb process-dependent or unrelated radiative contributions

nonperturbative QCD can generate a low-scale charm PDF

Fock expansion

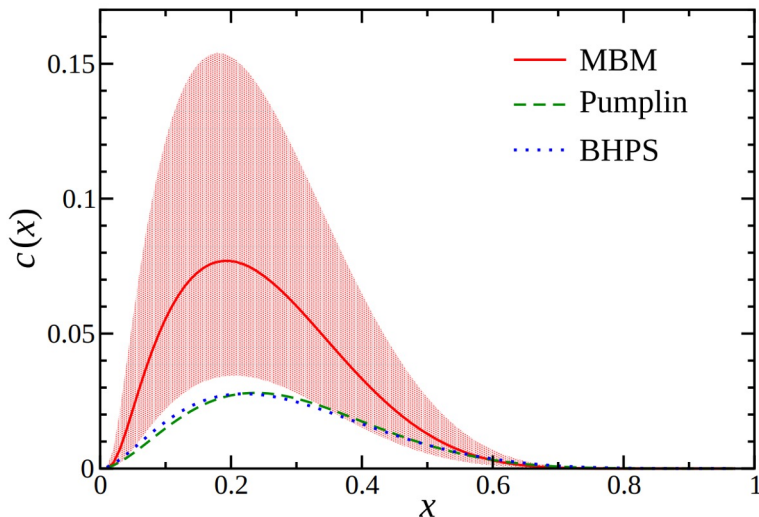
Brodsky, Hoyer, Peterson, Sakai (BHPS); Phys. Lett. **B93** (1980) 451.



IC PDF: transition matrix element, $|\text{proton}\rangle \rightarrow |uudc\bar{c}\rangle \rightarrow$ old-fashioned PT; **scalar field theory**

$$P(p \rightarrow uudc\bar{c}) \sim \left[M^2 - \sum_{i=1}^5 \frac{k_{\perp i}^2 + m_i^2}{x_i} \right]^{-2} \quad (\text{'BHPS3': full mass dependence})$$

$$m_c = m_{\bar{c}} \implies c^{\text{BHPS}}(x) = \bar{c}^{\text{BHPS}}(x)$$



\rightarrow more complex models: meson-baryon model (MBM); produce charm-anticharm asymmetry

TJH, Londergan, Melnitchouk, PRD89, 074008 (2014).

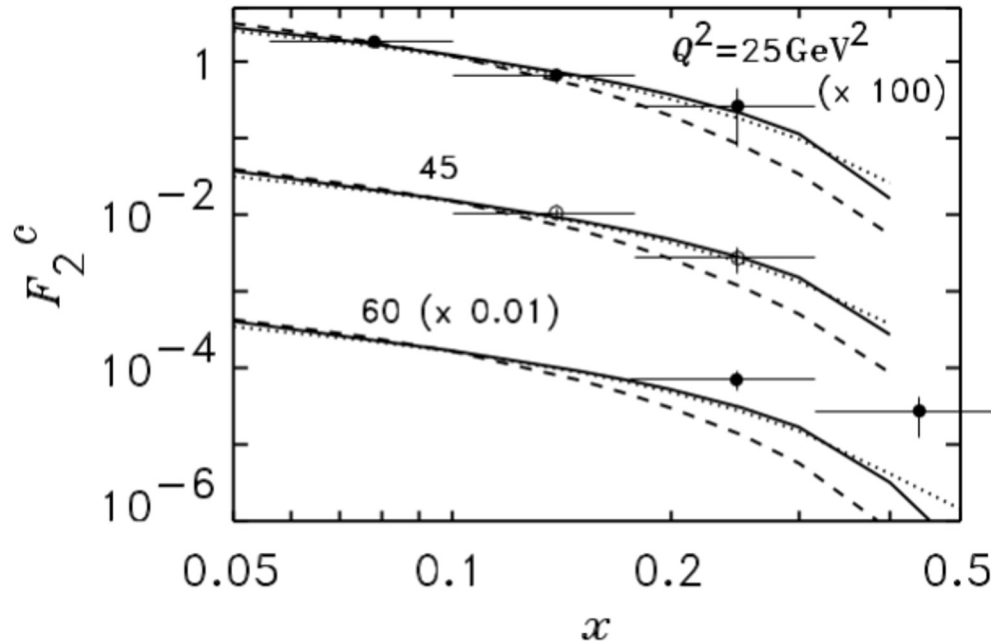
\rightarrow generically yields valence-like shape; governed by charm, hadronic mass scales

few expts with clear sensitivity; **EMC data challenging to fit**

historically, charm structure function data, $F_2^{c\bar{c}}$, from EMC were suggestive

J. J. Aubert *et al.* (EMC), NPB213 (1983) 31–64.

F. M. Steffens, W. Melnitchouk and A. W. Thomas, Eur. Phys. J. C 11, 673 (1999) [hep-ph/9903441].



→ hint of high- x excess in select Q^2 bins

→ data were analyzed only at LO

→ show anomalous Q^2 dependence

→ EMC data fit poorly in CT14 IC study

→ closely related to high- x $g(x)$ PDF

not included in CT18 FC

CT14 IC, arXiv: 1707.00657.

Candidate NNLO PDF fits	χ^2/N_{pts}			
	All Experiments	HERA inc. DIS	HERA $c\bar{c}$ SIDIS	EMC $c\bar{c}$ SIDIS
CT14 + EMC (weight=0), no IC	1.10	1.02	1.26	3.48
CT14 + EMC (weight=10), no IC	1.14	1.06	1.18	2.32
CT14 + EMC in BHPS model	1.11	1.02	1.25	2.94
CT14 + EMC in SEA model	1.12	1.02	1.28	3.46

Z+c at LHCb: intriguing new data; need theory development

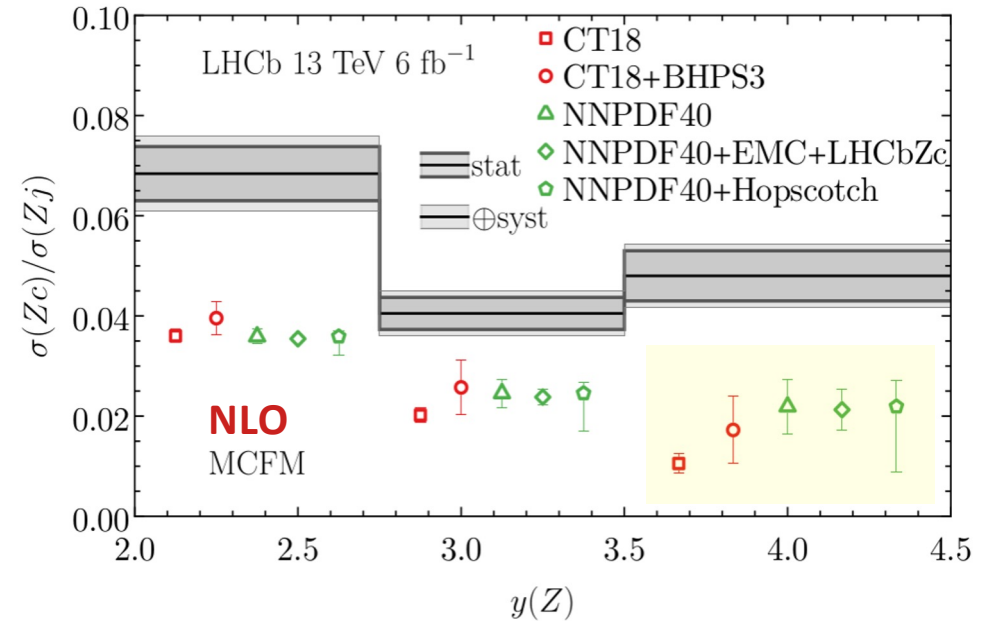
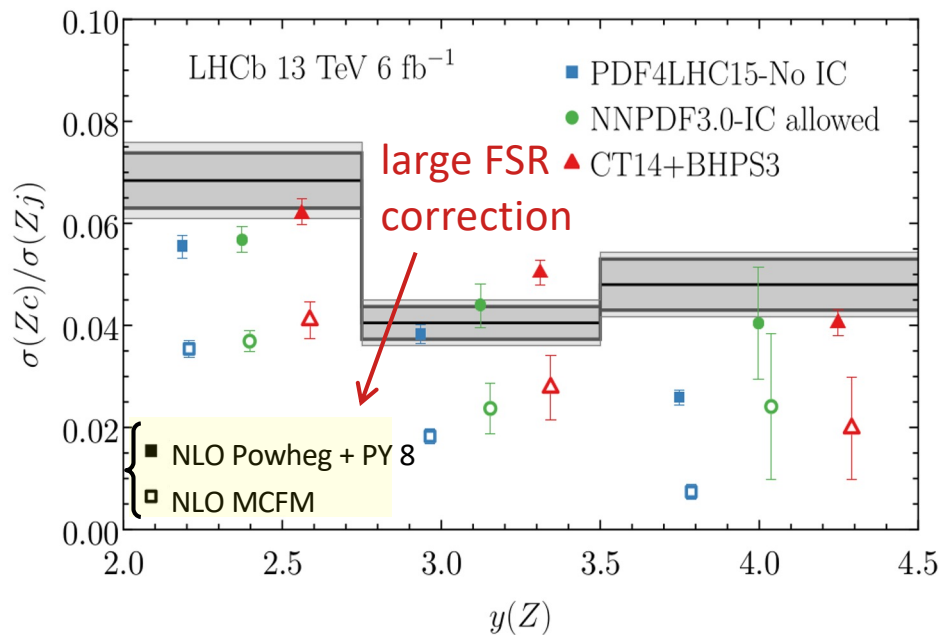
2022 LHCb 13 TeV data: (Z+c) / (Z+jet) ratios; 3 rapidity bins

R. Aaij, *et al.* (LHCb); arXiv: 2109.08084.

- FC slightly enhances ratio; not enough to improve agreement with data

→ meanwhile, significant theory uncertainties

$$x \sim \frac{Q}{\sqrt{s}} \exp y$$

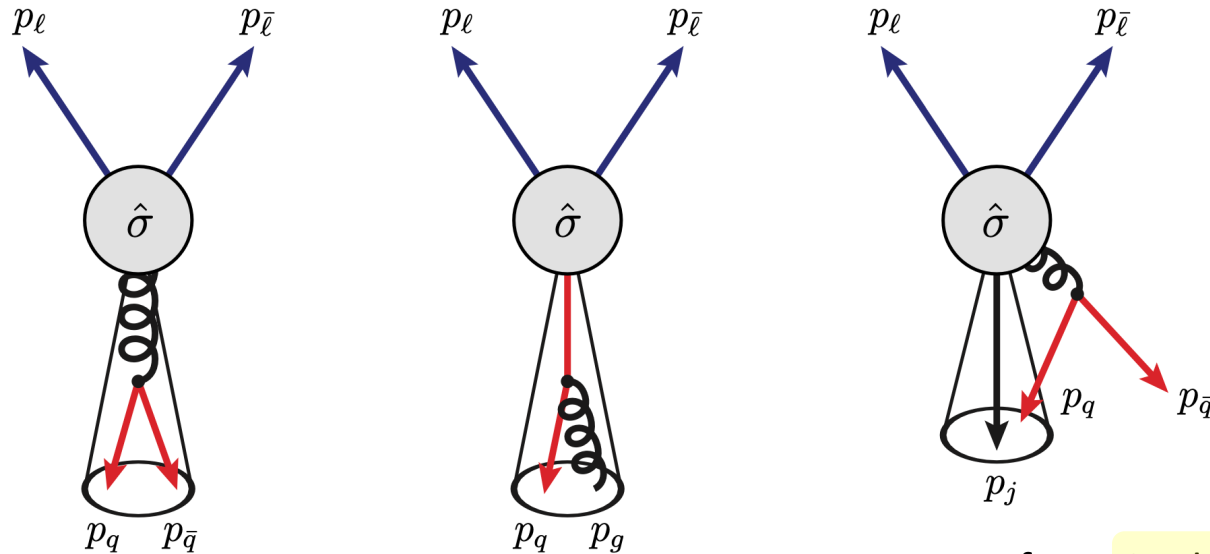


→ calculated **NLO** cross-section ratio similarly depends on showering, hadronization

NNLO calculations recently available, but not implemented in PDF fits

Z+c at LHCb: intriguing new data; need theory development

- in addition to NNLO corrections, IRC safety poses challenges to jet algorithms:



from Gauld et al., 2302.12844

- multi-parton interactions (MPI) can represent a significant correction
 - \rightarrow $\sim 10\%$ effect on $(Z+c)/(Z+jet)$, especially for $y(Z) > 3.5$; large uncertainty
- massless fixed-order calculation affected by divergences

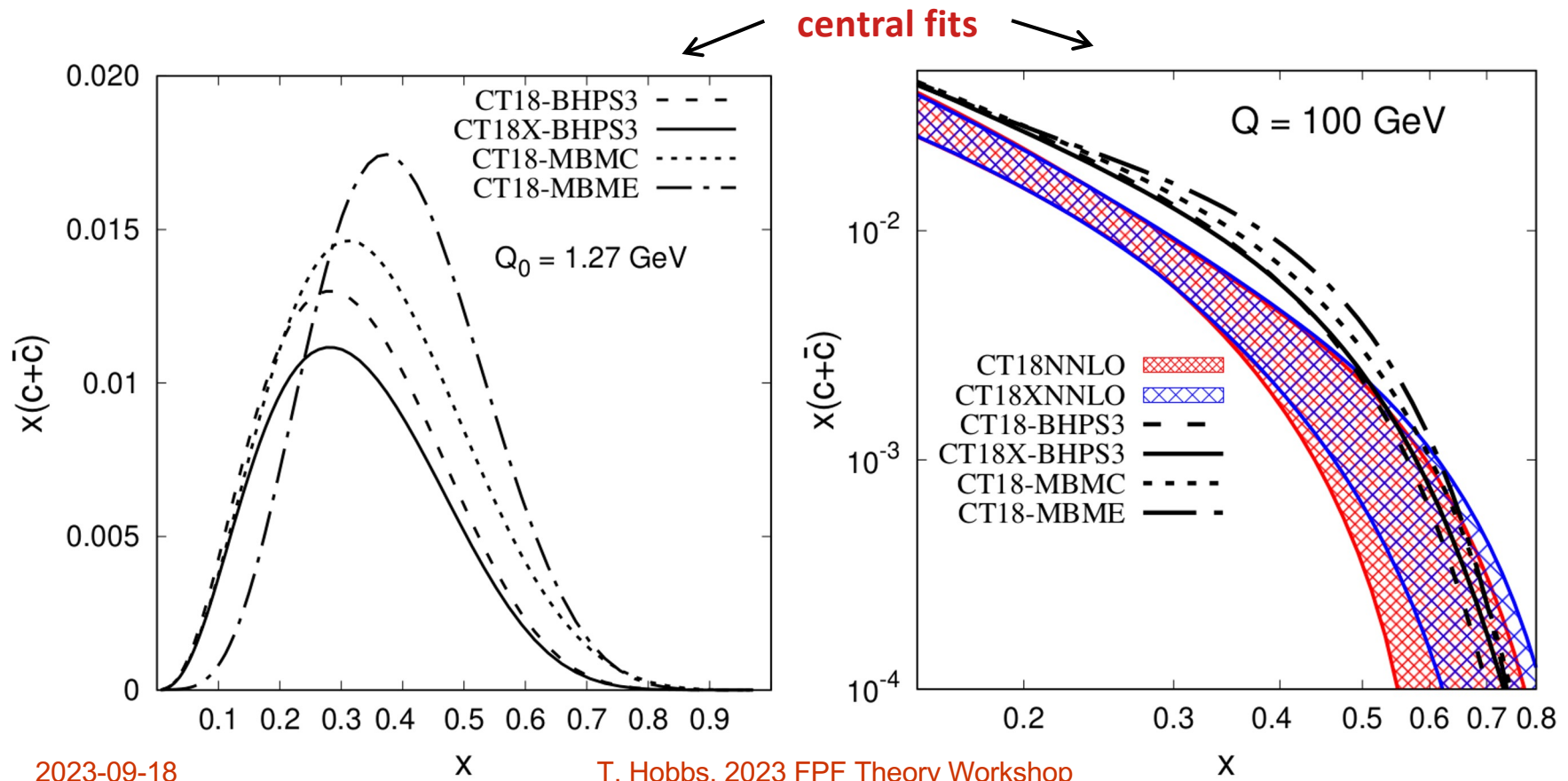
theory improvements would help guarantee interpretation for PDF extractions

CT18 FC total charm PDFs

FC scenarios traverse range of high- x behaviors from IC models

- fit implementation of BHPS from CT14IC (BHPS3) on CT18 or CT18X (NNLO)
- fit two MBMs: MBMC (confining), MBME (effective mass) on CT18

investigate constraints from newer LHC data in CT18

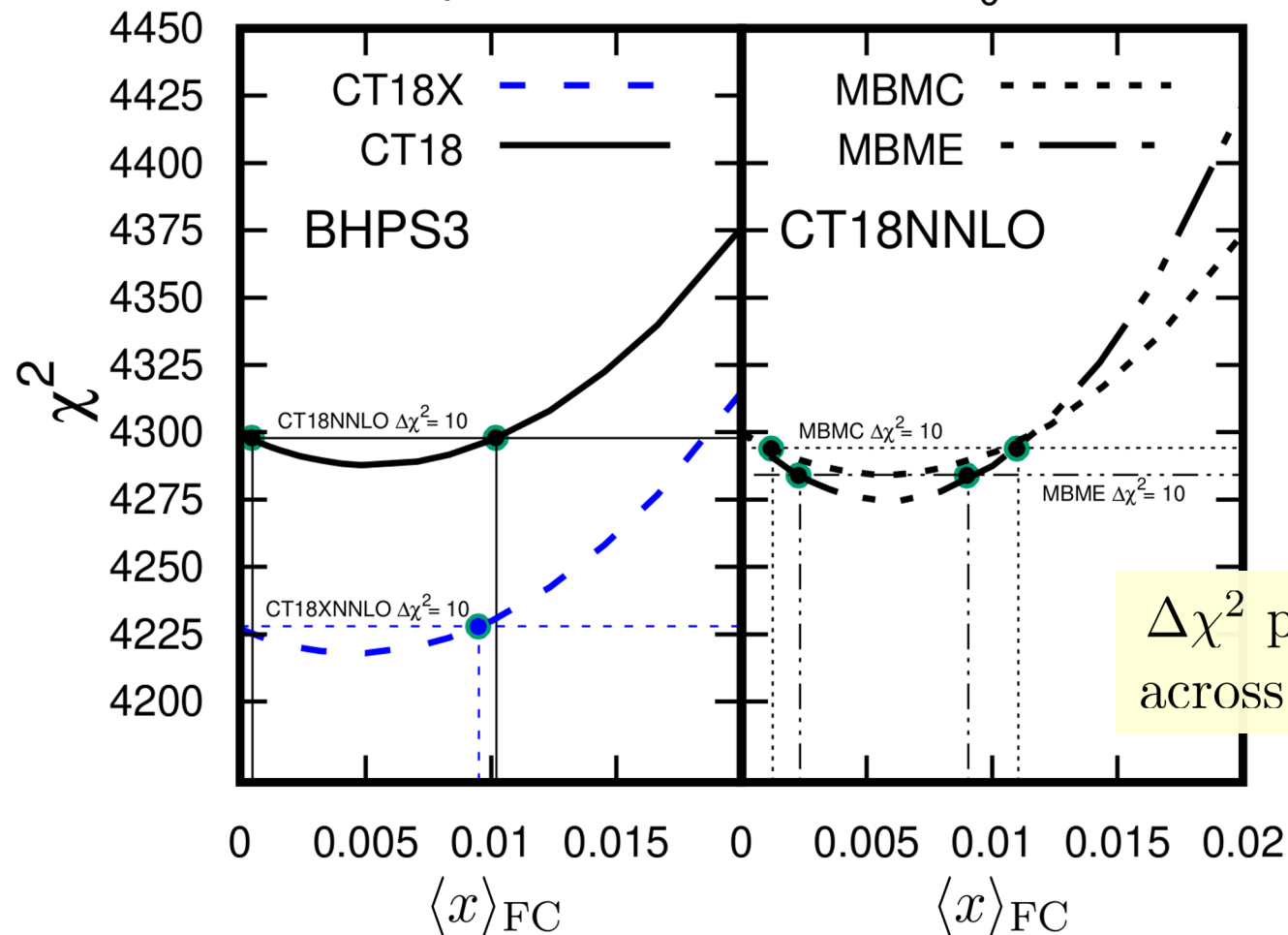


signal for FC in CT18 study, but with shallower $\Delta\chi^2$ than CT14 IC

FC uncertainty quantified by normalization via $\langle x \rangle_{\text{FC}}$ for each input IC model

→ $\langle x \rangle_{\text{FC}} \approx 0.5\%$ ($\Delta\chi^2 \gtrsim -25$) vs. $\langle x \rangle_{\text{FC}} \approx 0.8-1\%$ ($\Delta\chi^2 \gtrsim -40$) **CT14 IC**

CT18 nonperturbative charm fit $Q_0 = 1.27 \text{ GeV}$



FC PDF moments as F.o.M.

moments of the FC PDFs often used to characterize magnitude, asymmetry

$$\langle x^n \rangle_{c^\pm} = \int_0^1 dx x^n (c \pm \bar{c})[x, Q]$$

$$\langle x \rangle_{\text{FC}} \equiv \langle x \rangle_{c^+} [Q_0 = 1.27 \text{ GeV}] \quad \dots \text{at NNLO.}$$

$$= 0.0048^{+0.0063}_{-0.0043} \left({}^{+0.0090}_{-0.0048} \right), \text{ CT18 (BHPS3)}$$

$$= 0.0041^{+0.0049}_{-0.0041} \left({}^{+0.0091}_{-0.0041} \right), \text{ CT18X (BHPS3)}$$

$$= 0.0057^{+0.0048}_{-0.0045} \left({}^{+0.0084}_{-0.0057} \right), \text{ CT18 (MBMC)}$$

$$= 0.0061^{+0.0030}_{-0.0038} \left({}^{+0.0064}_{-0.0061} \right), \text{ CT18 (MBME)}$$

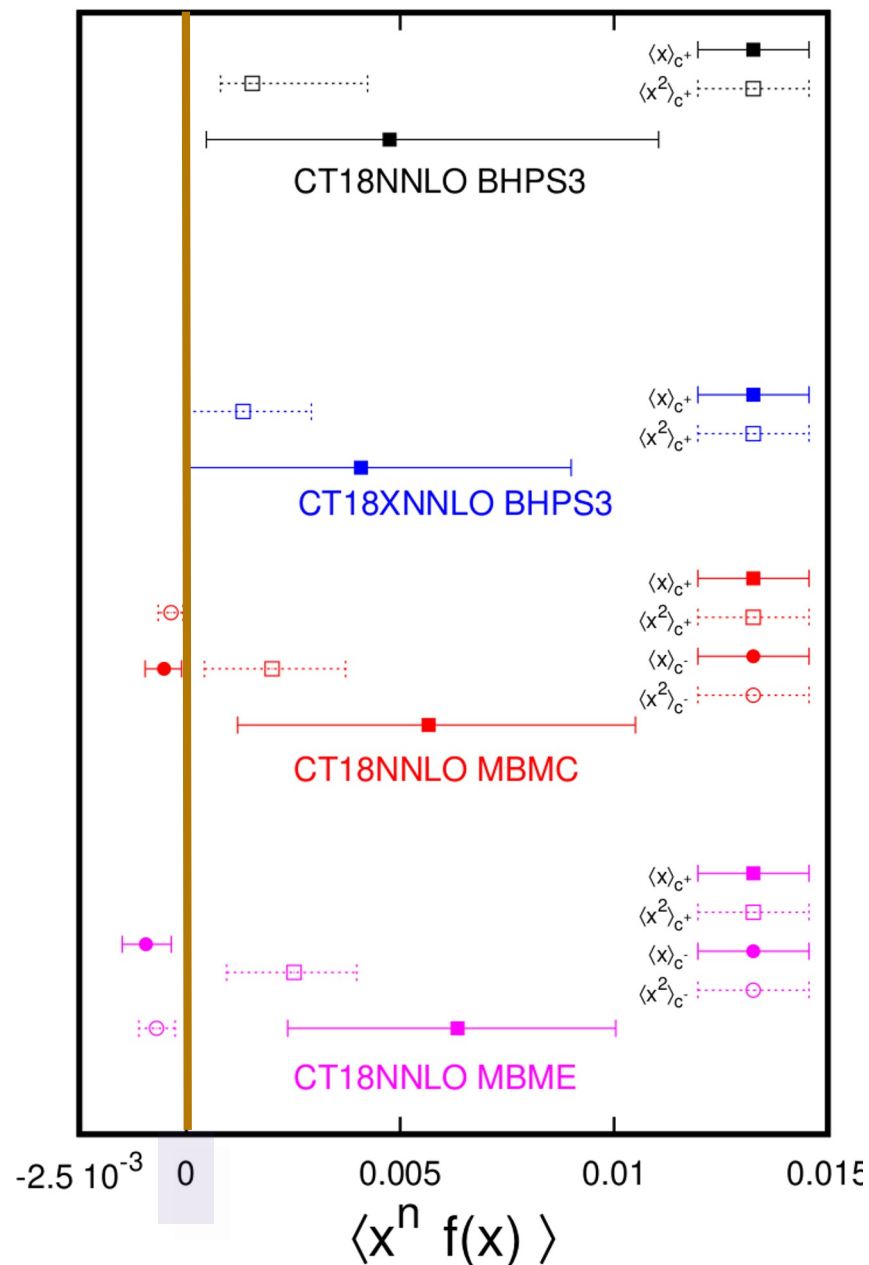
$$\Delta\chi^2 \leq 10$$

(restrictive tolerance)

$$\Delta\chi^2 \leq 30$$

(~CT standard tolerance)

Nonperturbative charm moments $Q_0 = 1.27 \text{ GeV}$
Intervals of $\Delta\chi^2 < 10$



FC PDF moments as F.o.M.

even restrictive uncertainties give moments consistent with zero

→ broaden further for default CT tol.

→ lattice may give $\langle x \rangle_{c+}$, $\langle x^2 \rangle_{c-}$

$$\langle x \rangle_{\text{FC}} \equiv \langle x \rangle_{c+} [Q_0 = 1.27 \text{ GeV}]$$

$$= 0.0048^{+0.0063}_{-0.0043} \left(\begin{matrix} +0.0090 \\ -0.0048 \end{matrix} \right), \text{CT18 (BHPS3)}$$

$$= 0.0041^{+0.0049}_{-0.0041} \left(\begin{matrix} +0.0091 \\ -0.0041 \end{matrix} \right), \text{CT18X (BHPS3)}$$

$$= 0.0057^{+0.0048}_{-0.0045} \left(\begin{matrix} +0.0084 \\ -0.0057 \end{matrix} \right), \text{CT18 (MBMC)}$$

$$= 0.0061^{+0.0030}_{-0.0038} \left(\begin{matrix} +0.0064 \\ -0.0061 \end{matrix} \right), \text{CT18 (MBME)}$$

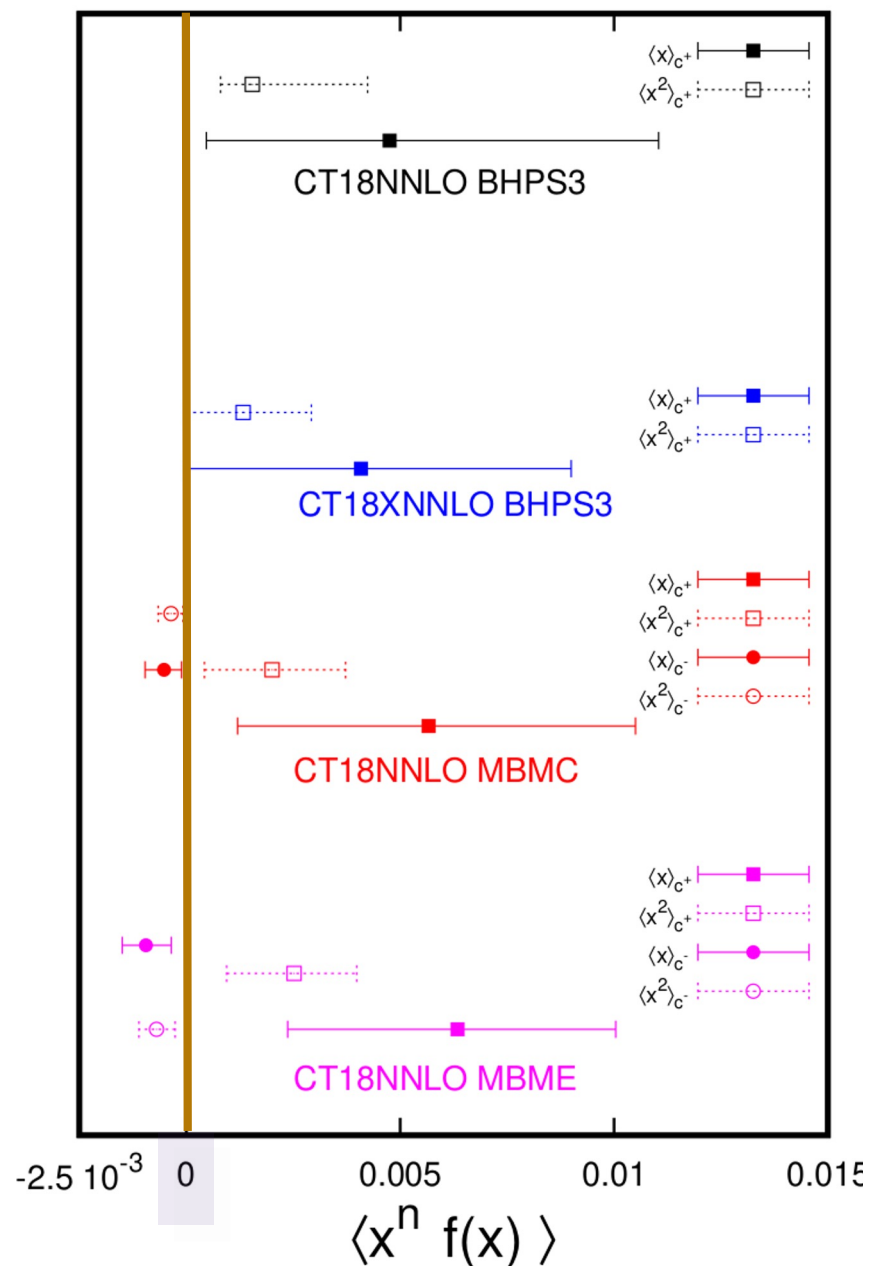
$$\Delta\chi^2 \leq 10$$

(restrictive tolerance)

$$\Delta\chi^2 \leq 30$$

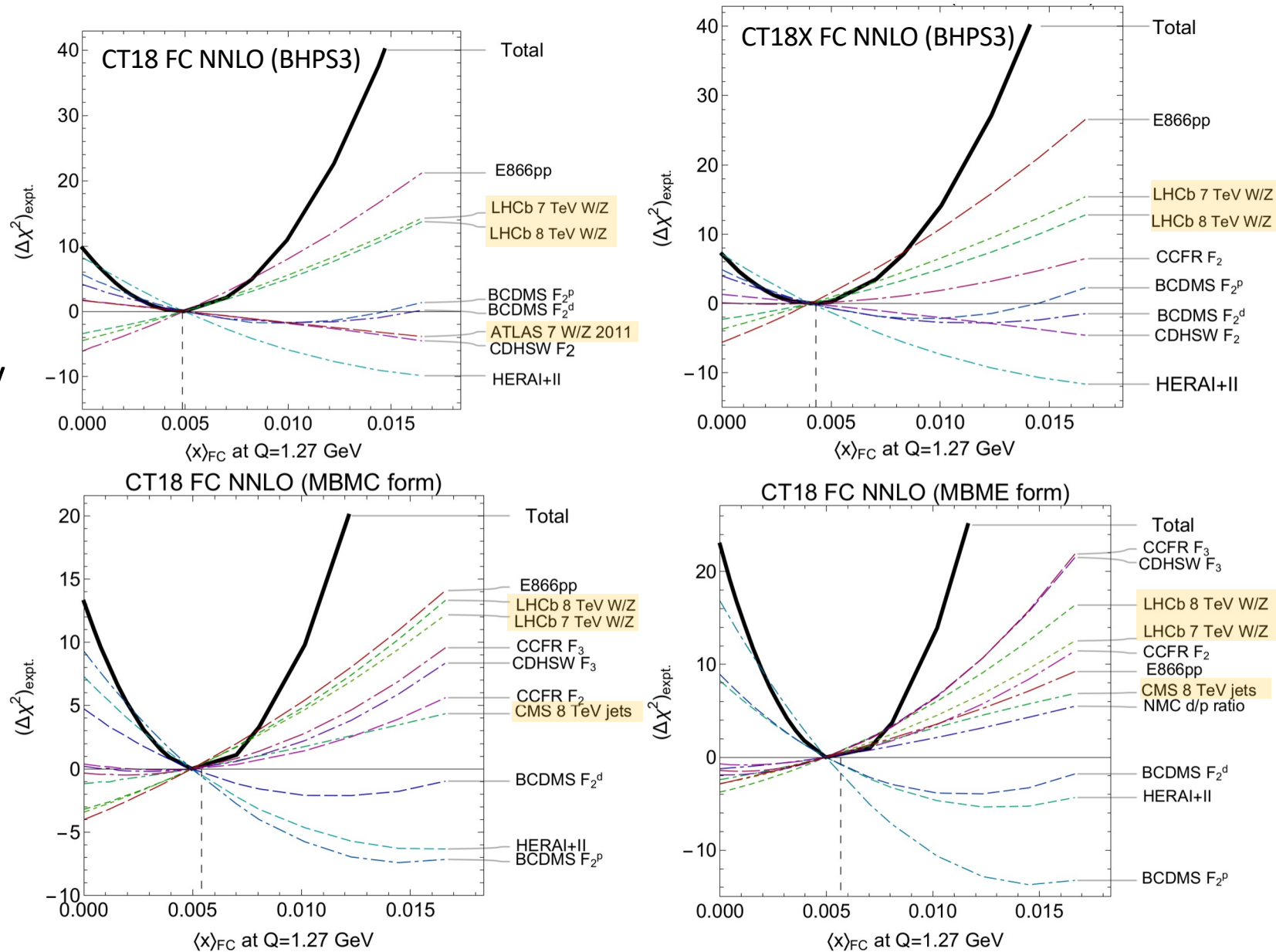
(~CT standard tolerance)

Nonperturbative charm moments $Q_0 = 1.27 \text{ GeV}$
Intervals of $\Delta\chi^2 < 10$



data pull opposingly on $\langle x \rangle_{FC}$; depend on FC scenario, enhancing error

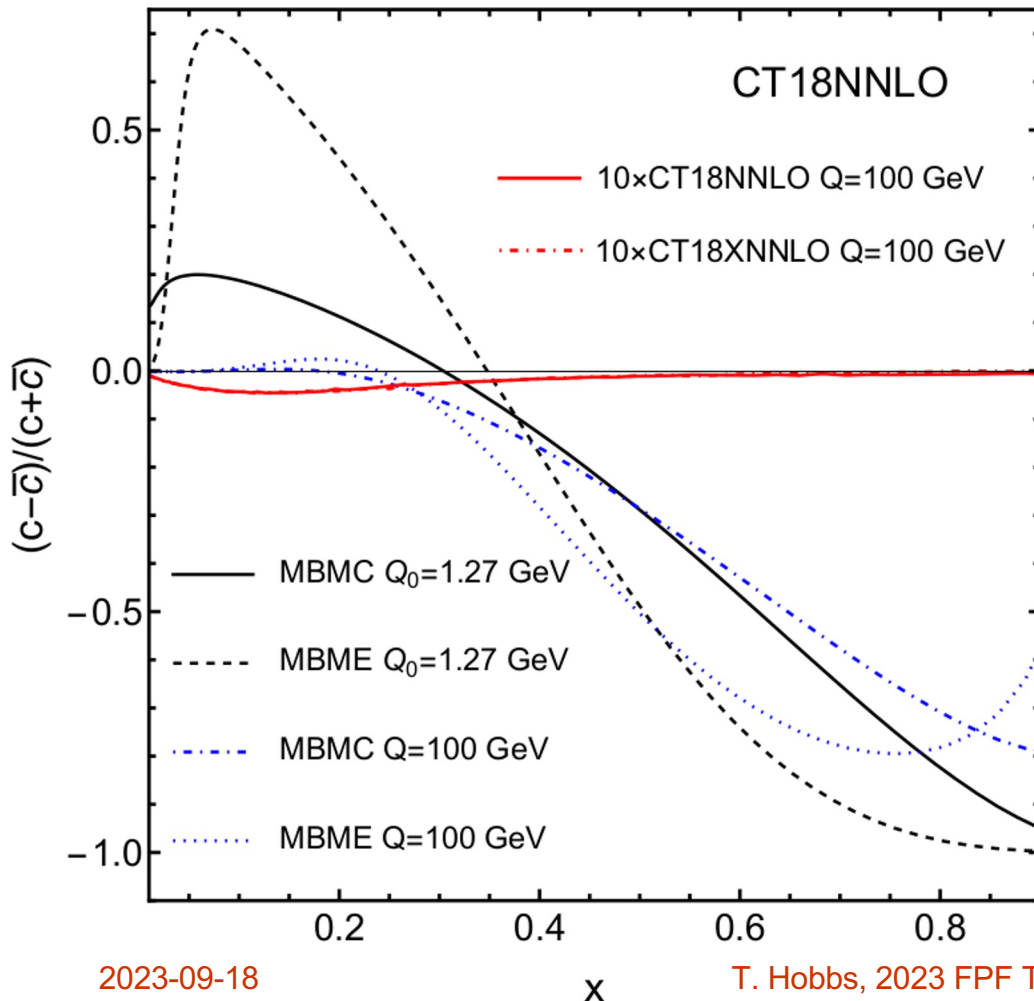
Run-1 LHC
expts generally
prefer smaller
magnitudes of
FC



possible charm-anticharm asymmetries

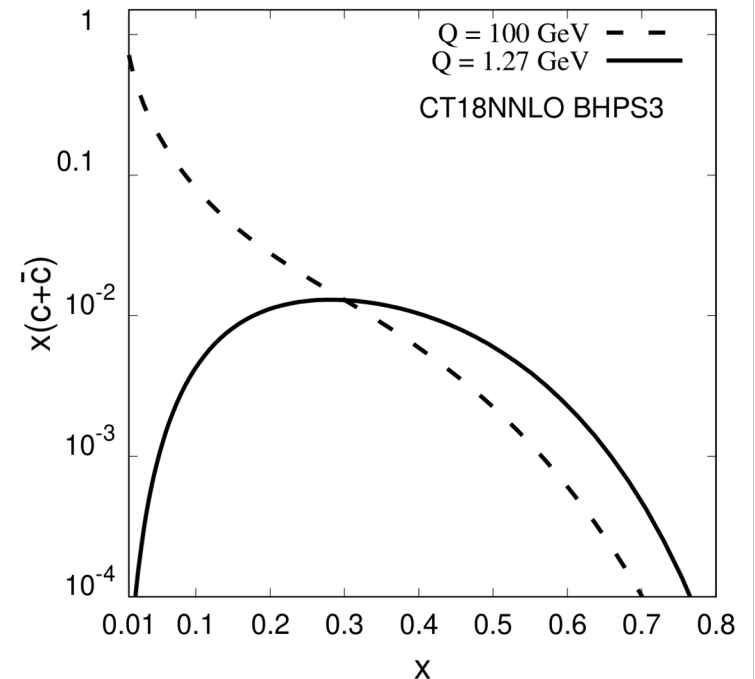
pQCD only very weakly breaks $c = \bar{c}$ through HO corrections

- large(r) charm asymmetry would signal nonpert dynamics, IC
- MBM breaks $c = \bar{c}$ through hadronic interactions



consider two MBM models as **examples** (not predictions)

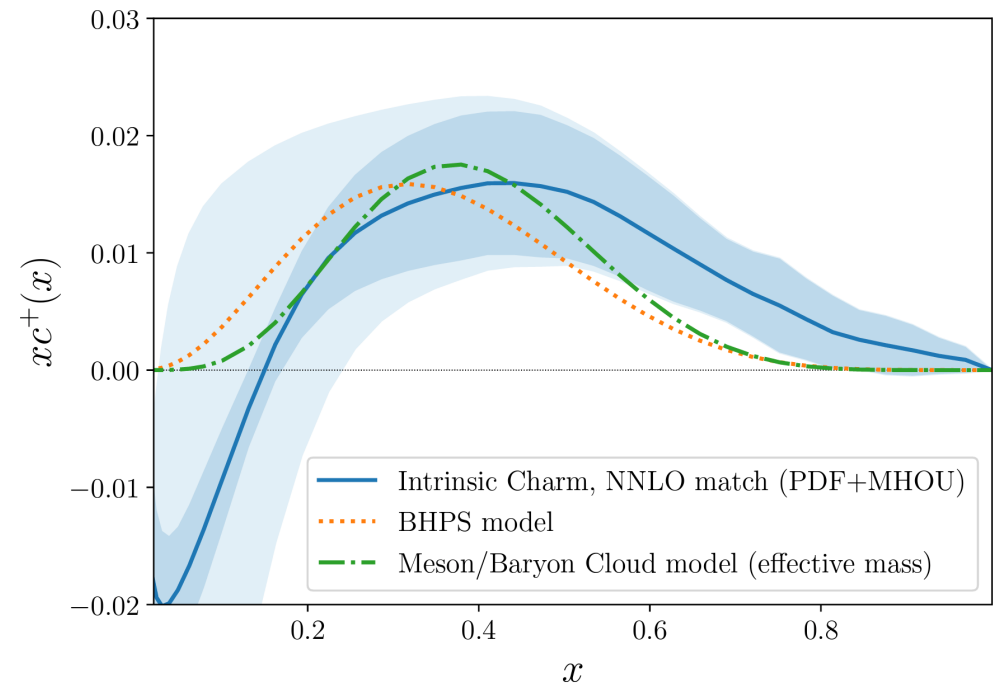
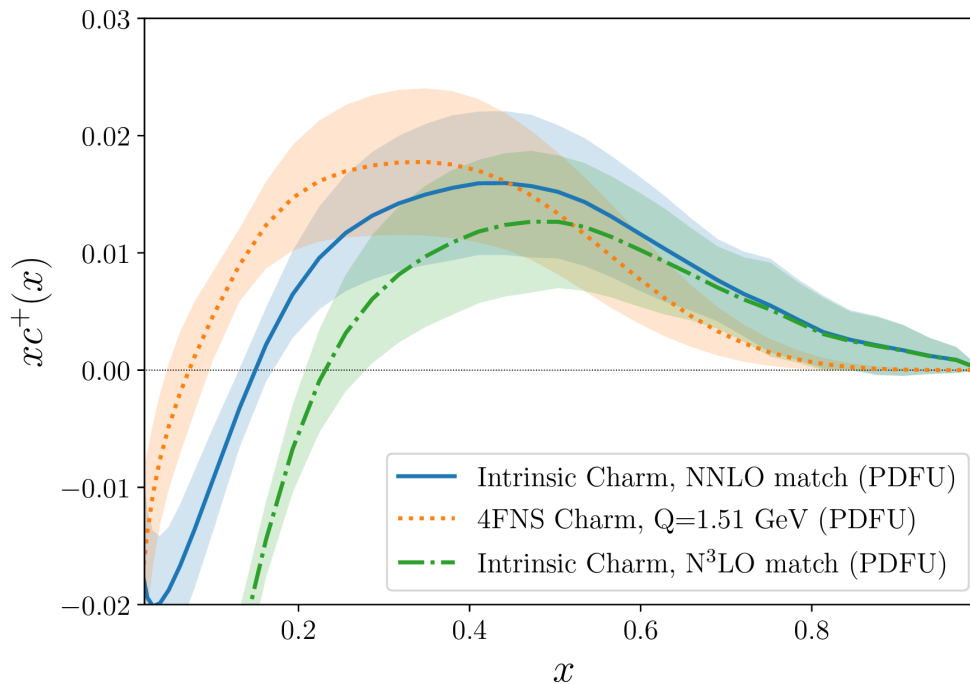
- asym. small but ratio (left) can be bigger; will be hard to extract from data



recent NNPDF IC analysis

NNPDF, Nature 608 (2022) 7923, 483.

- NNPDF have recently claimed 3σ evidence for ‘IC’
 - based on local (x -dependent) deviation of FC PDF from the ‘no-FC’ scenario
 - implies crucial dependence on size and shape of PDF uncertainty

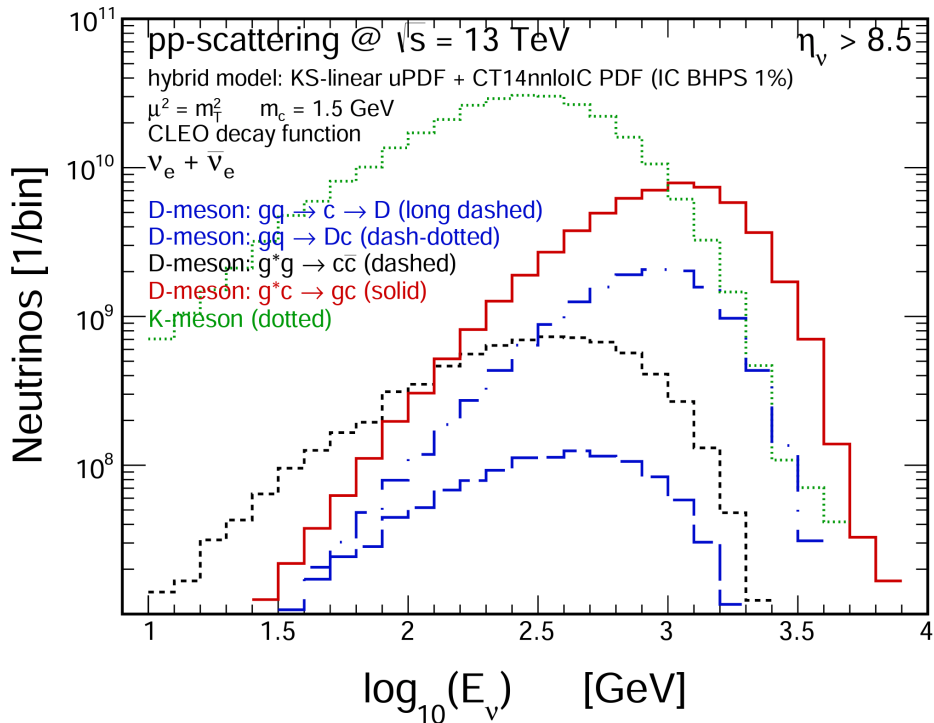


→ **Two classes of uncertainties need further scrutiny:**

1. Missing HO unc (MHOU): N3LO in DIS, etc.; N2LO in $Z+c$ production

$$\langle x \rangle_{\text{FC}} = 0.62 \pm 0.28\% \text{ without MHOU} \quad \langle x \rangle_{\text{FC}} = 0.62 \pm 0.61\% \text{ with MHOU}$$

2. Parametrization sampling uncertainty (underestimation of PDFU)

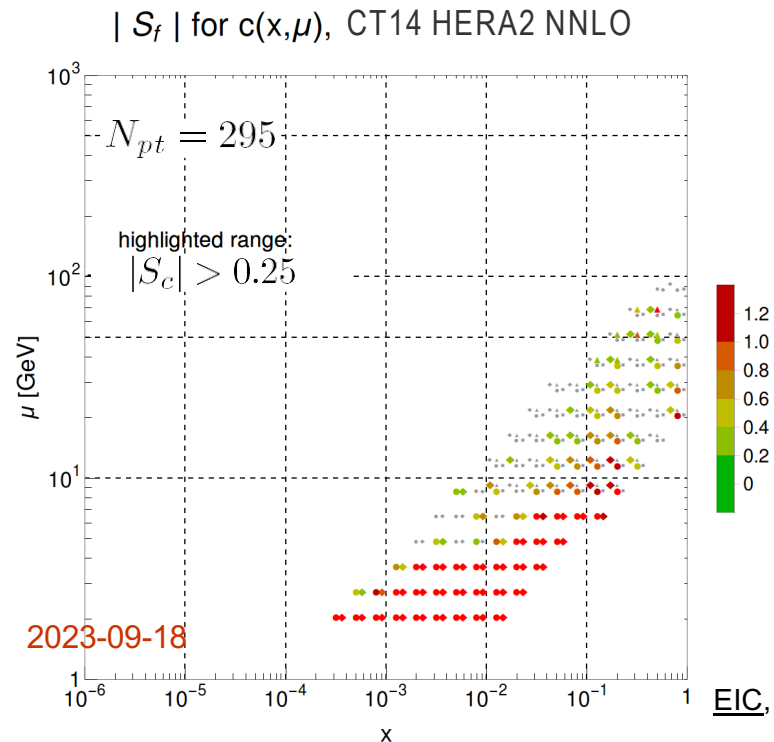


future data will inform FC

- experiments like **FPF**, EIC + lattice QCD may potentially constrain FC scenarios

possible FC sensitivity at CERN FPF: k_T factorization-based study

(FPF Whitepaper, 2203.05090)



- enhanced FC momentum implied by EMC data \rightarrow small high- x effects in structure function; need high precision

future experiments are expected to have precise sensitivity to the few- GeV, high- x region where FC signals are to be expected

EIC, see also: TJH, Alberg, and Miller; PRD96, 074023 (2017).

conclusions

- size, shape of nonpert charm remains **indeterminate**
 - theoretical ambiguities in relation between FC/IC unresolved
 - need more sensitive data; FC currently consistent with zero

concordance with enlarged error estimates: $\langle x \rangle_{\text{FC}} \sim 0.5\%$, well below evidence-level

- need more NNLO and better showering calculations (*e.g.*, for $Z+c$)
- further progress in quantifying and estimating PDF uncertainties

opportunities to improve knowledge of FC:

- promising experiments at LHC; CERN FPF; EIC
- lattice data on key charm PDF moments; quasi-PDFs
- direct benchmarking of FC among PDF fitting groups