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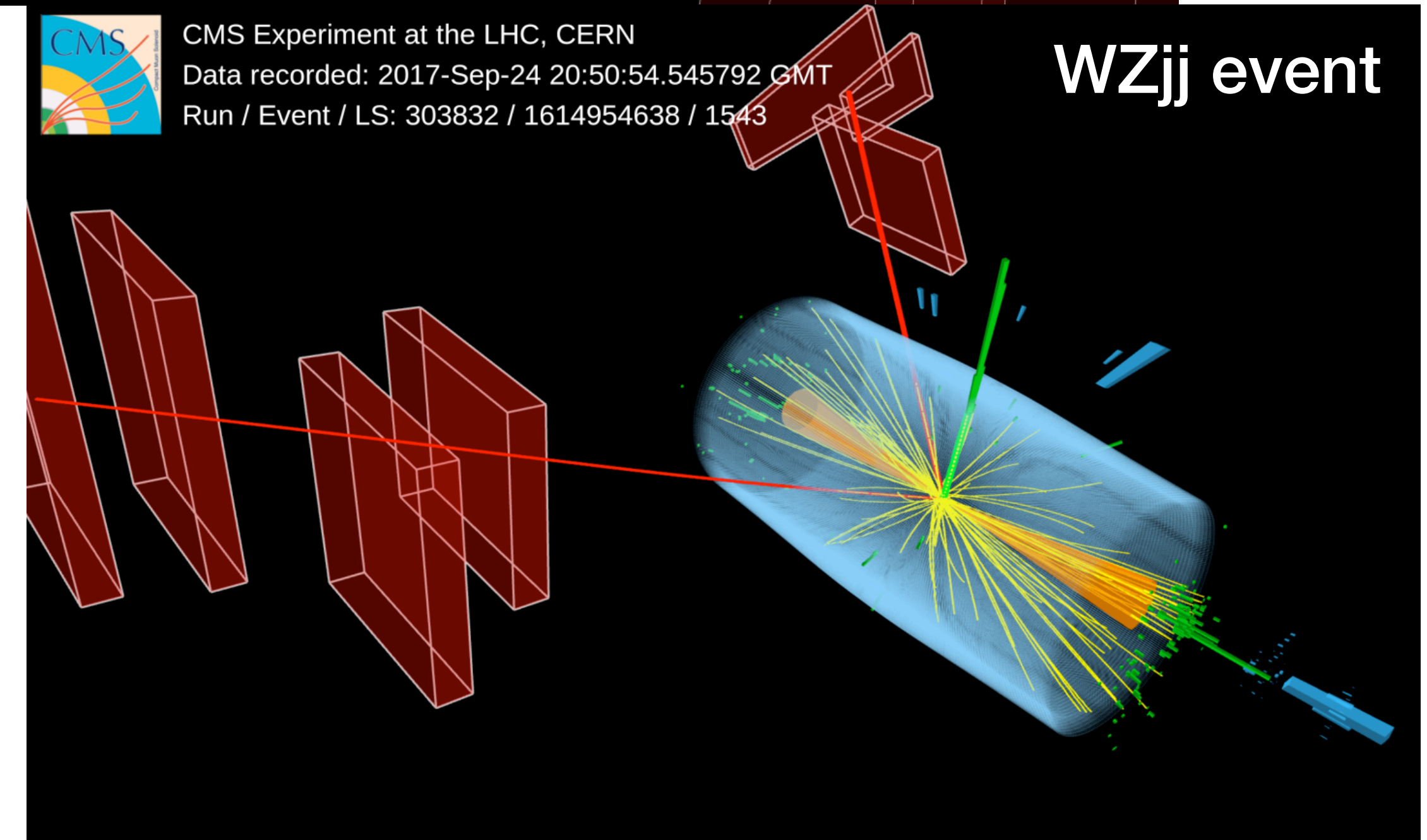
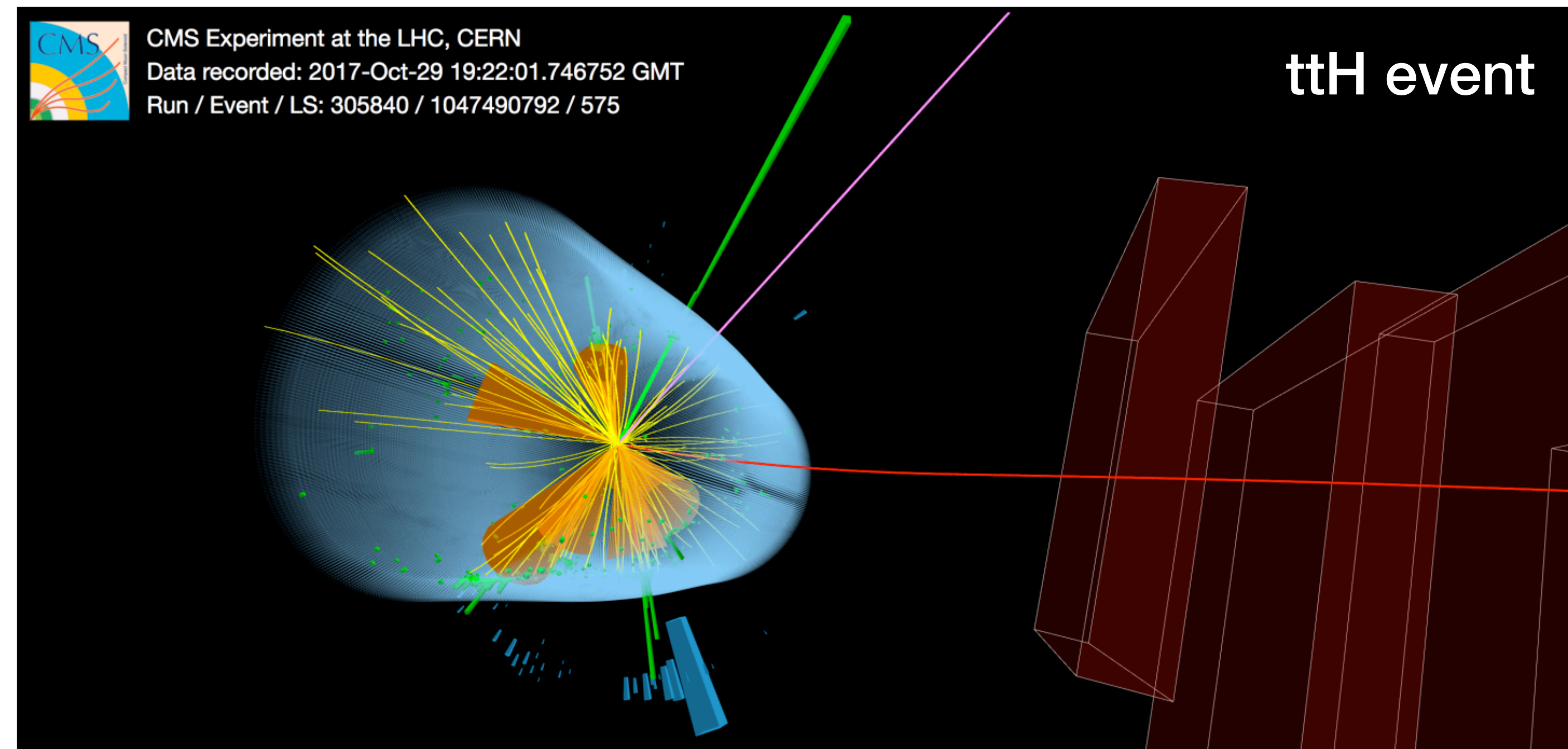
EFT in the EWK and TOP sectors in CMS

Andrea Piccinelli (University of Notre Dame)

6th General Meeting of the LHC EFT Working Group, 15-17 Nov. 2023, CERN

Outline

- Introduction
- Recent results in TOP sector
- Recent results in EWK sector
- Conclusions

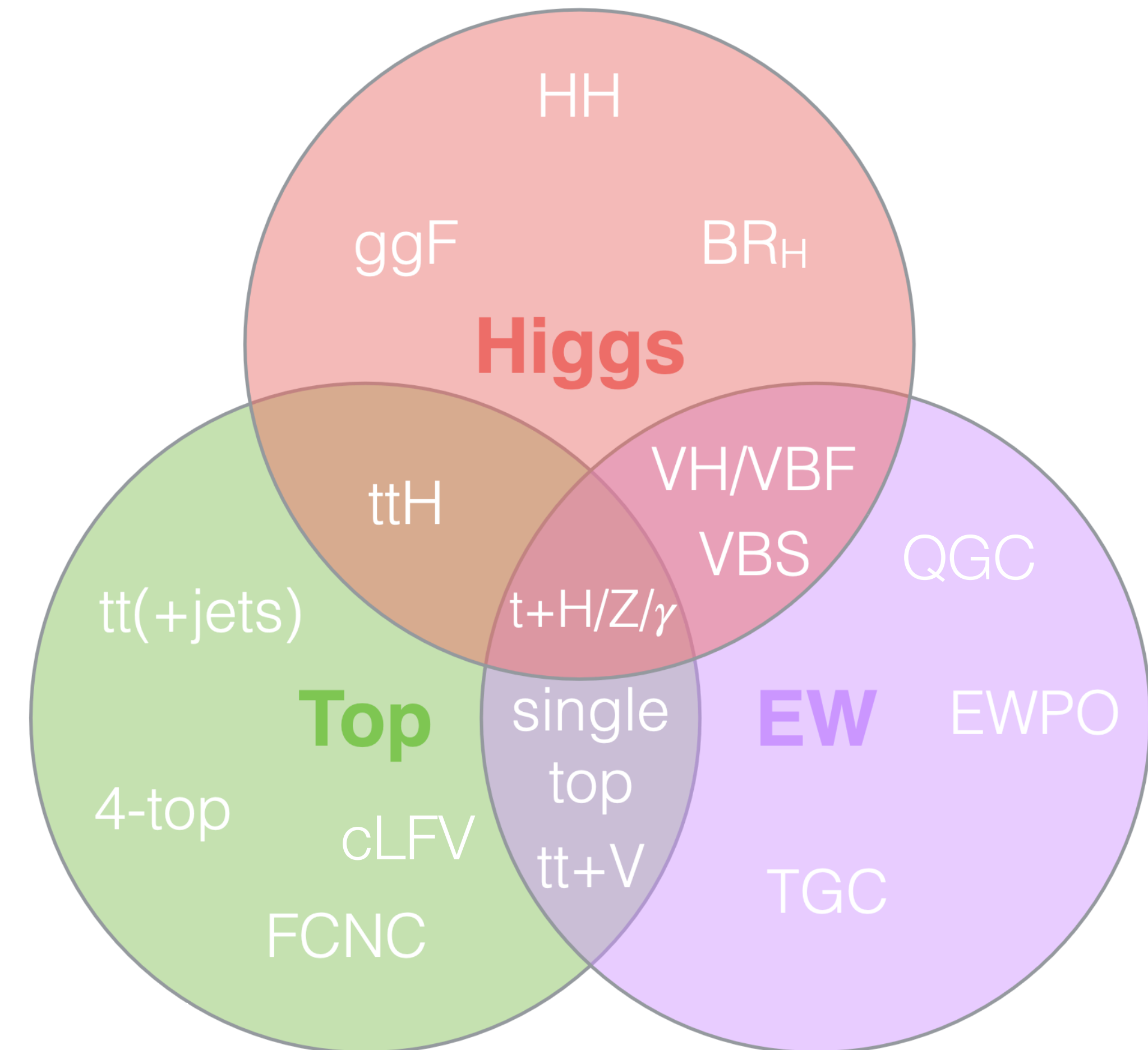


Introduction

TOP and EWK EFT at CMS

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_i}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_j \frac{f_j}{\Lambda^4} \mathcal{O}_j^{(8)} + \dots$$

- Big efforts to scan all possible sources of indirect new physics effects with the EFT approach in CMS



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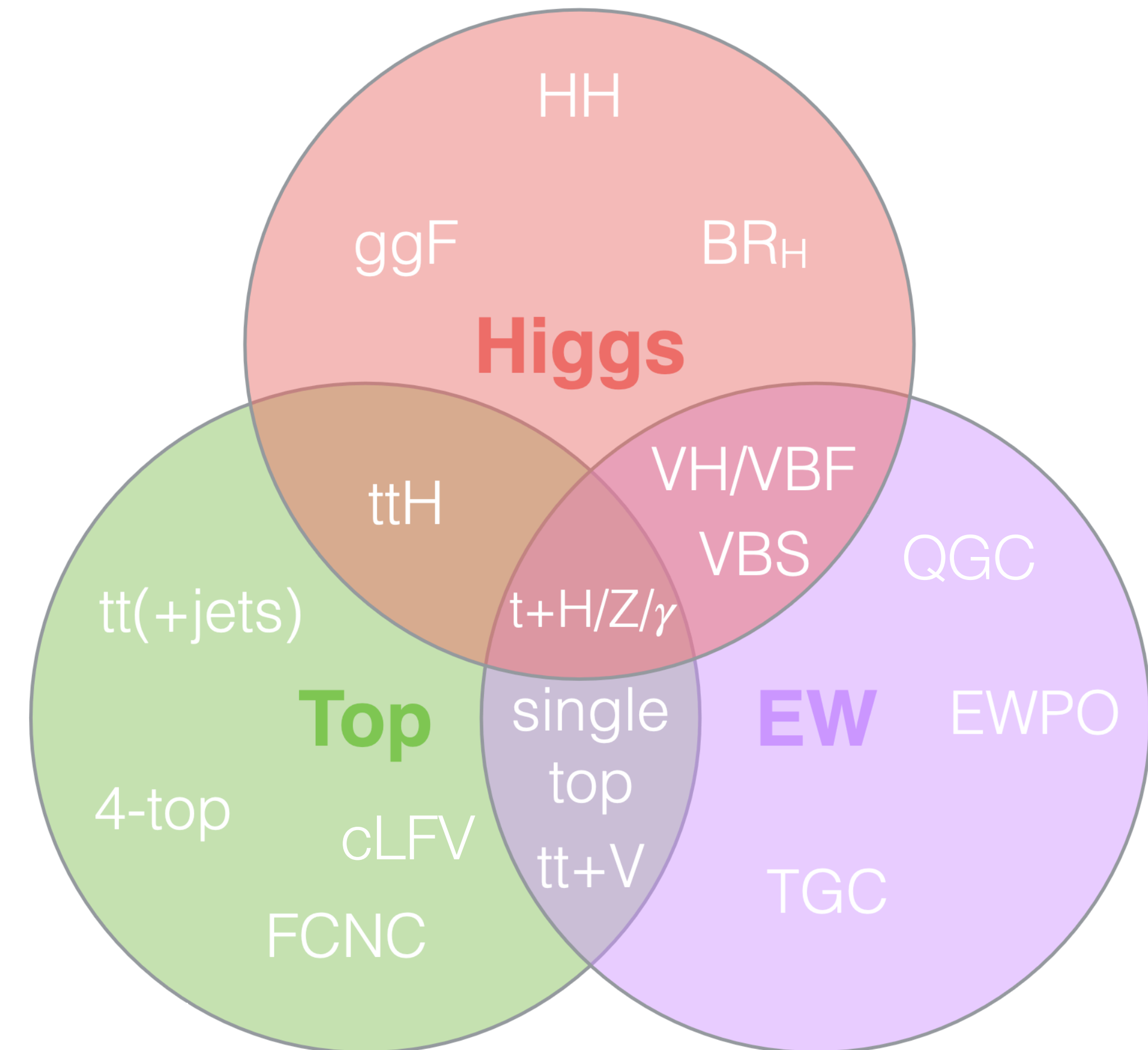
- Big efforts to scan all possible sources of indirect new physics effects with the EFT approach in CMS

- This presentation

- **TOP sector**

Spans a lot of processes involving single top and top pair productions, and flavor anomalies

EFT effects modeled with Warsaw basis at dim6 order
dim6top or SMEFT @ LO



TOP and EWK EFT at CMS

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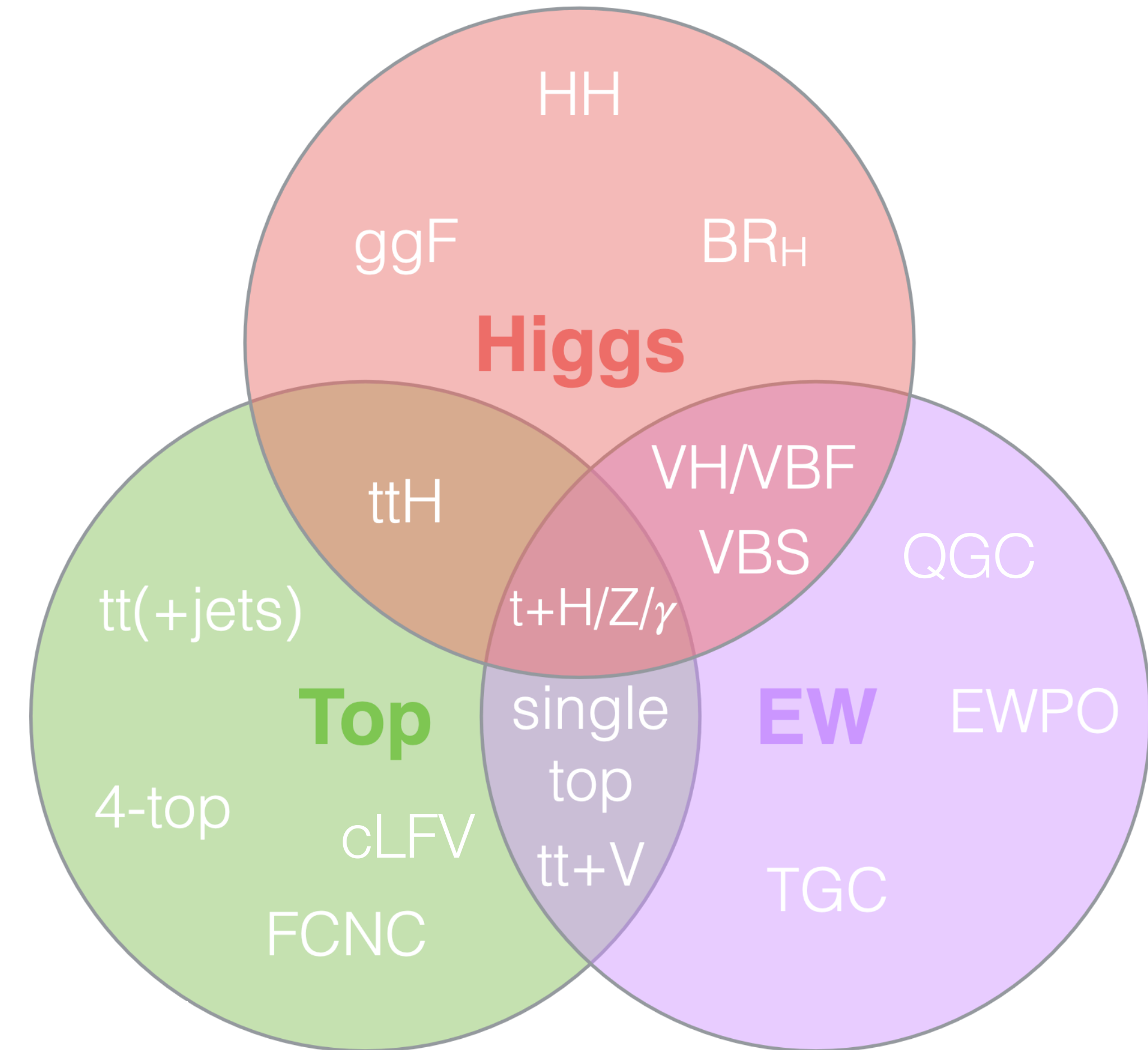
- Big efforts to scan all possible sources of indirect new physics effects with the EFT approach in CMS
- This presentation

- **TOP sector**

Spans a lot of processes involving single top and top pair productions, and flavor anomalies
 EFT effects modeled with Warsaw basis at dim6 order
 dim6top or SMEFT @ LO

- **EWK sector**

Focus on vector boson interactions
 anomalous Triple/Quartic Gauge Coupling (aTGC/aQGC)
 dim6 Warsaw basis (SMEFT @ LO)
 dim8 Éboli basis @ LO



Recent results from CMS

TOP

EWK



Recent results from CMS

TOP

EWK

- $t\bar{t}(X)$ multilepton
Submitted to JHEP [arxiv2307.15761](https://arxiv.org/abs/2307.15761)
- Search for cLFV with trileptons
[CMS-PAS-TOP-22-005](#)
- $t\bar{t}$ with a boosted H or Z
[PRD 108 \(2023\) 032008](#)
- $t\bar{t}\gamma$ dilepton
[JHEP 05 \(2022\) 091](#)



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EWK

- aQGC in electroweak $W\gamma jj$
[PRD 108 \(2023\) 032017](#)
- SMEFT dim6 in electroweak $W\gamma jj$
[PRD 105 \(2022\) 052003](#)
- Charged aTGC in WZ multilepton
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Recent results from CMS

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Covered in this talk

EWK

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Covered in this talk

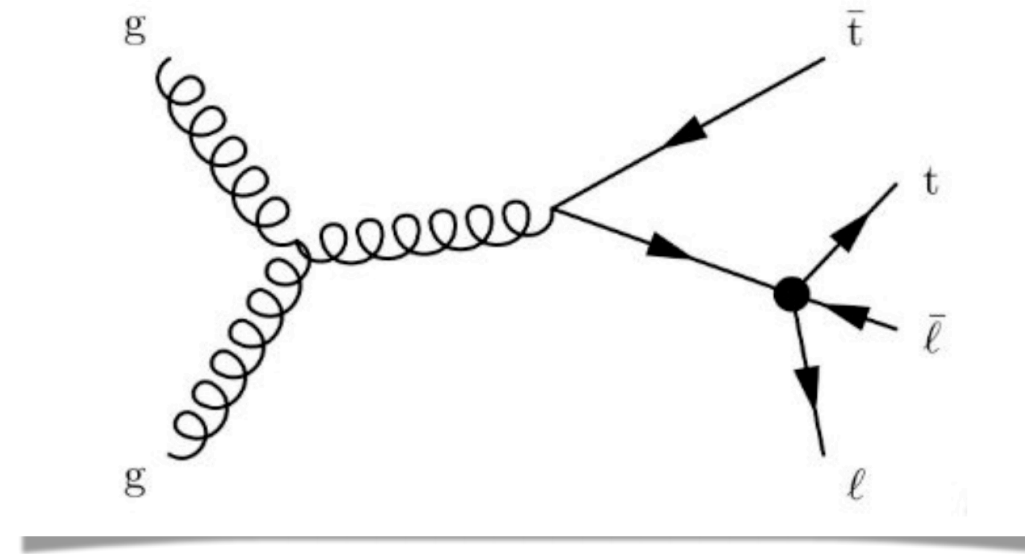
Results in TOP sector

tt(X) multilepton

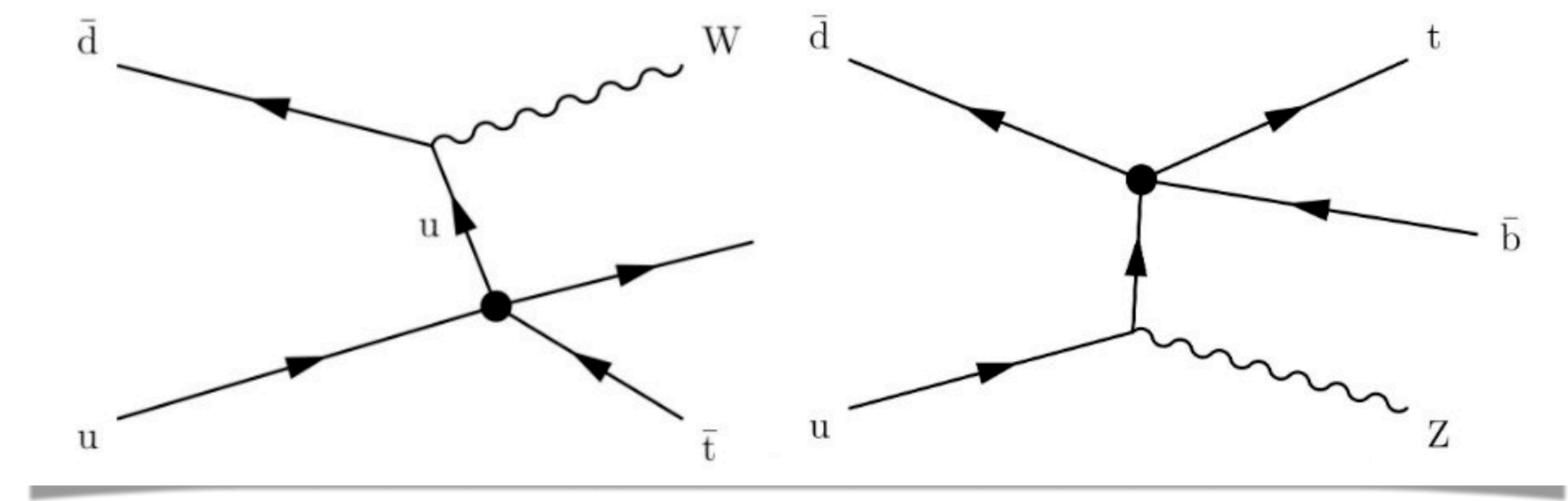
Overview

- Target multiple processes to capture as much sensitivity to EFT effects
 - ttH, ttll, ttllν, tHq, tllq, tttt
- dim6top @ LO + additional parton
 - 26 WCs fitted together

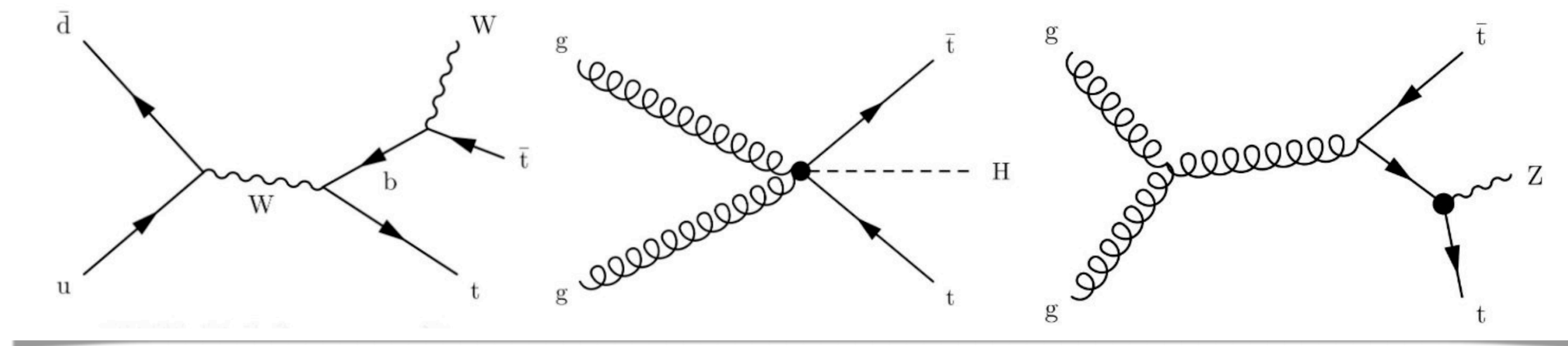
7 CP-even top-lepton



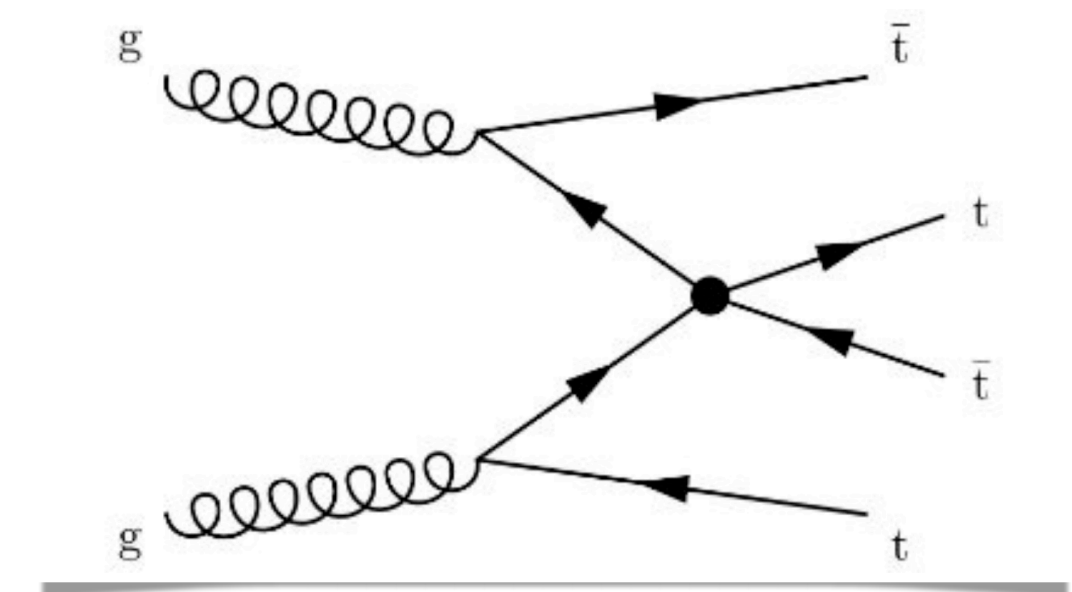
6 two-heavy-two-light



9 CP-even top-boson



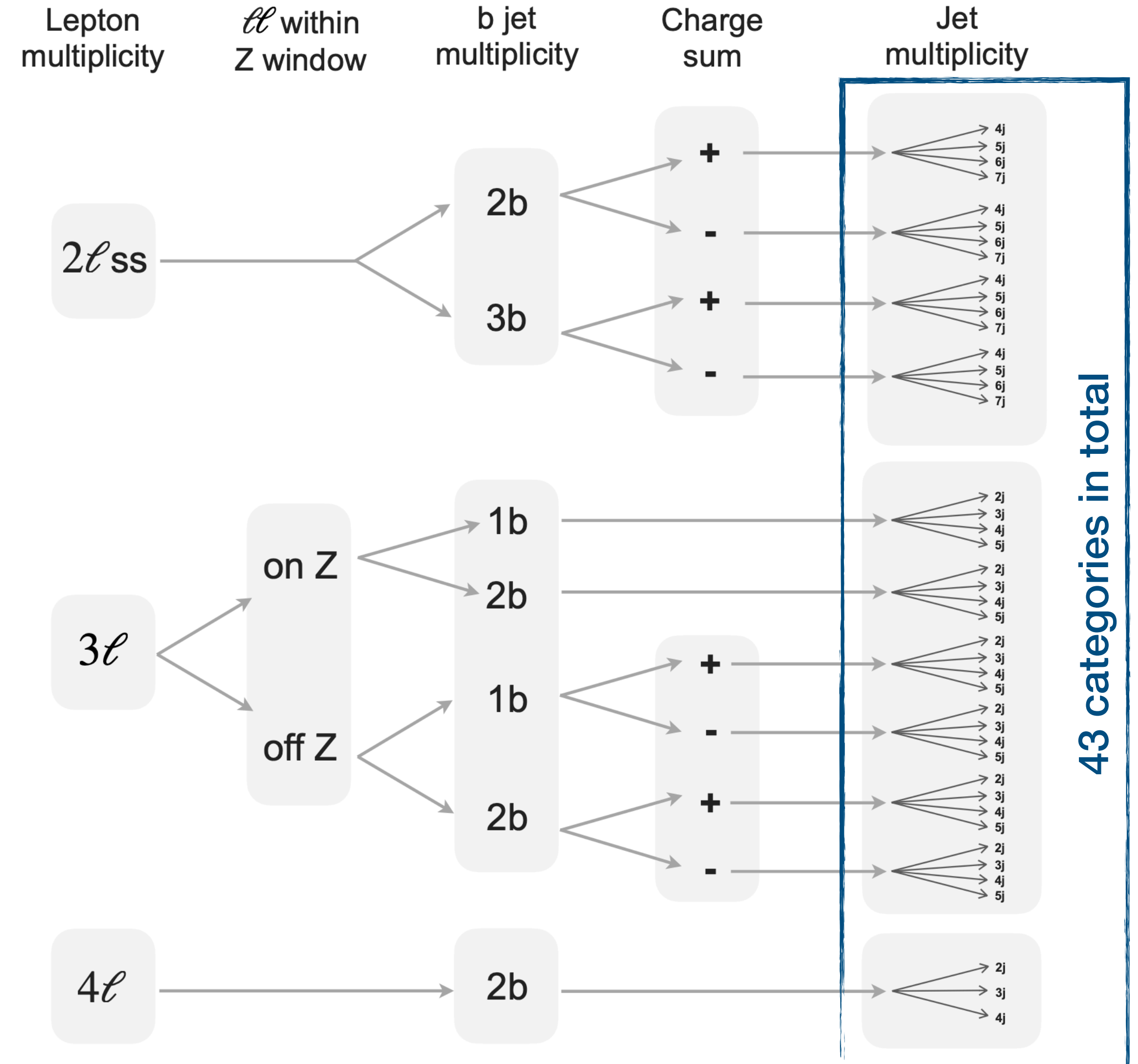
4 four-heavy quarks



tt(X) multilepton

Overview

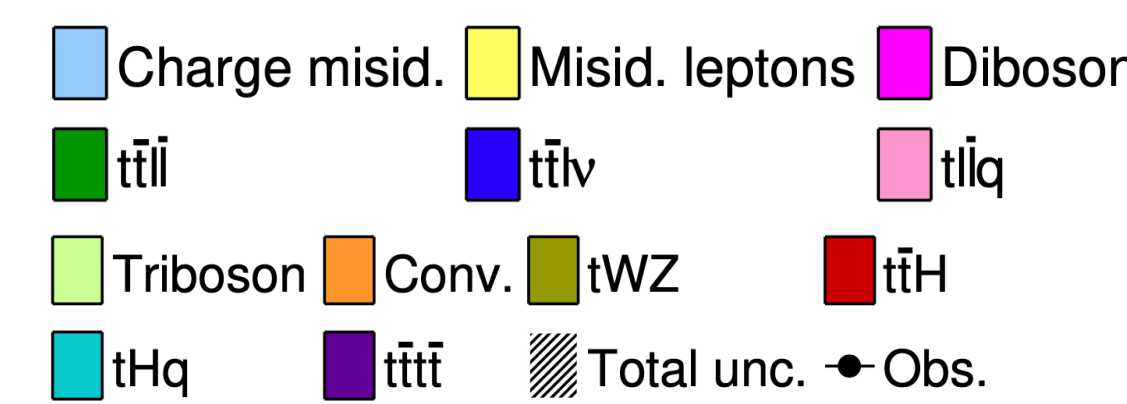
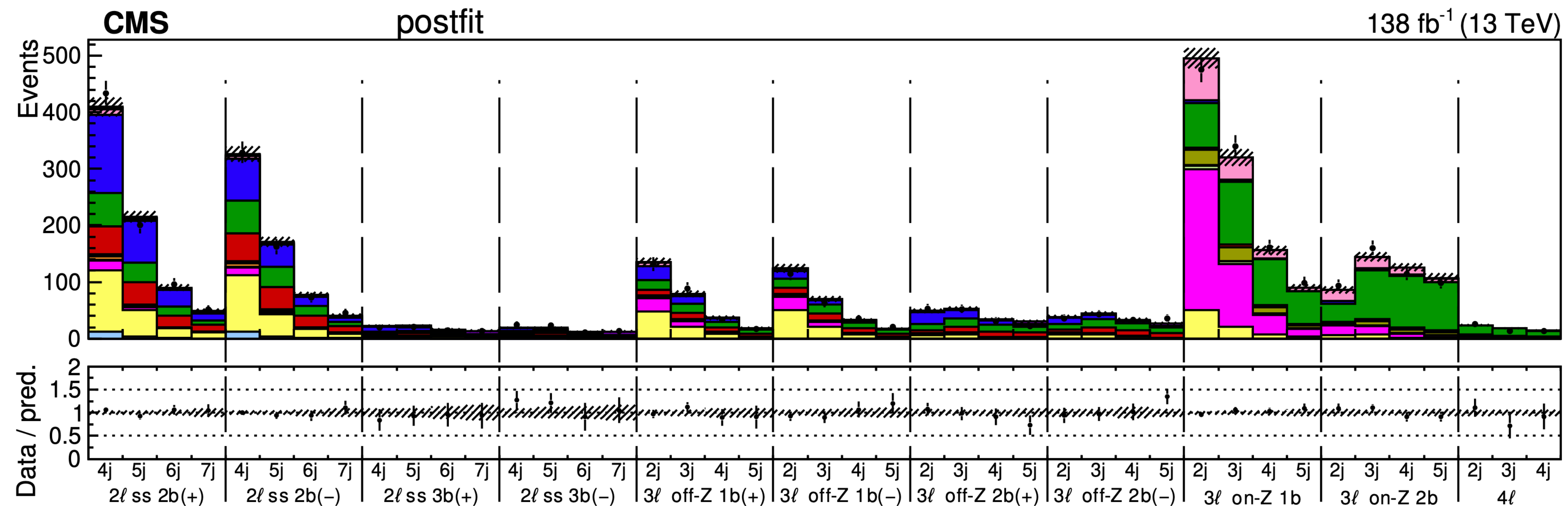
- Target multiple processes to capture as much sensitivity to EFT effects
 - ttH, ttll, ttllν, tHq, tllq, tttt
- dim6top @ LO + additional parton
 - 26 WCs fitted together
- Wide coverage of the phase space exploiting lepton and jet multiplicities
- Fit performed with kinematic quantities sensitive to high-energy anomalies
 - $p_T(\ell j)_{\max}$
 p_T of the object pair giving the largest one
 - $p_T(Z)$
 p_T of the reconstructed Z boson



tt(X) multilepton

Phase space and WCs

- Sensitivity optimized by the combination of the different bins

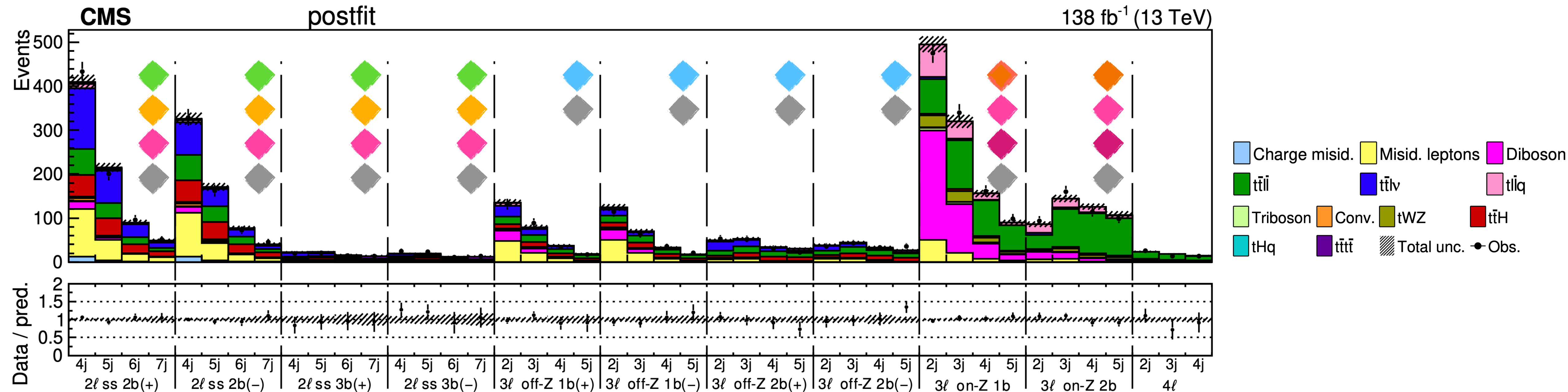


tt(X) multilepton

Phase space and WCs

- Sensitivity optimized by the combination of the different bins
- For a specific WC, some categories are more significant than others
- Interference and correlations among WCs also play an important role

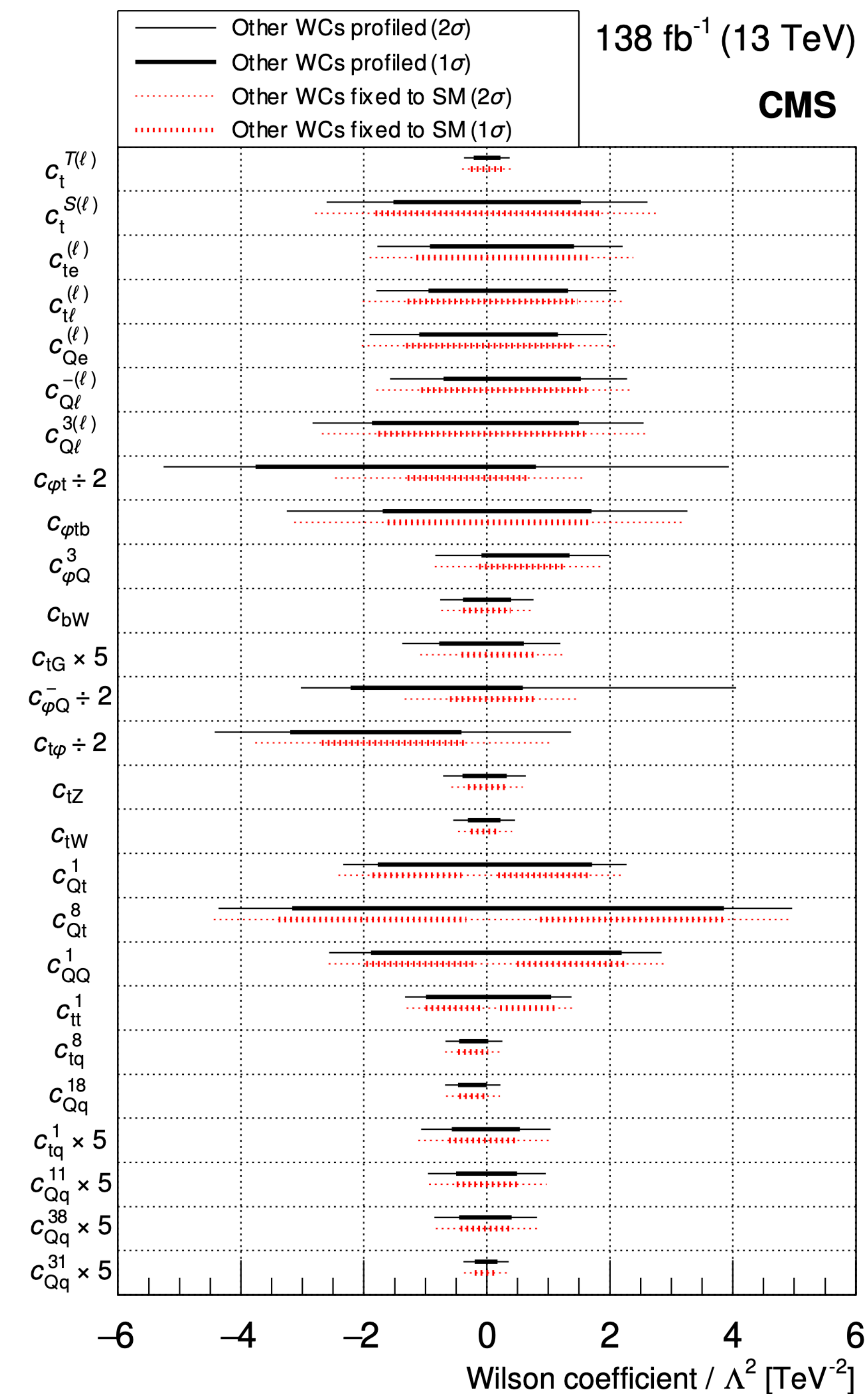
Grouping of WCs	WCs	Lead categories
◆ Two heavy two leptons	$c_{Q\ell}^{3(\ell)}, c_{Q\ell}^{-3(\ell)}, c_{Qe}^{(\ell)}, c_{t\ell}^{(\ell)}, c_{te}^{(\ell)}, c_t^{S(\ell)}, c_t^{T(\ell)}$	3l off-Z
◆ Four heavy	$c_{QQ}^1, c_{Qt}^1, c_{Qt}^8, c_{tt}^1$	2lss
◆ Two heavy two light "ttlv-like"	$c_{Qq}^{11}, c_{Qq}^{18}, c_{tq}^1, c_{tq}^8$	2lss
◆ Two heavy two light "tllq-like"	c_{Qq}^{31}, c_{Qq}^{38}	3l on-Z
◆ Two heavy with bosons "tll-like"	$c_{tZ}, c_{\phi t}, c_{\phi Q}^-$	3l on-Z and 2lss
◆ Two heavy with bosons "tXq-like"	$c_{\phi Q}^3, c_{\phi tb}, c_{bW}$	3l on-Z
◆ Two heavy with bosons with significant impacts on many processes	$c_{tG}, c_{t\phi}, c_{tW}$	3l and 2lss



$t\bar{t}(X)$ multilepton

Constraints on single WC

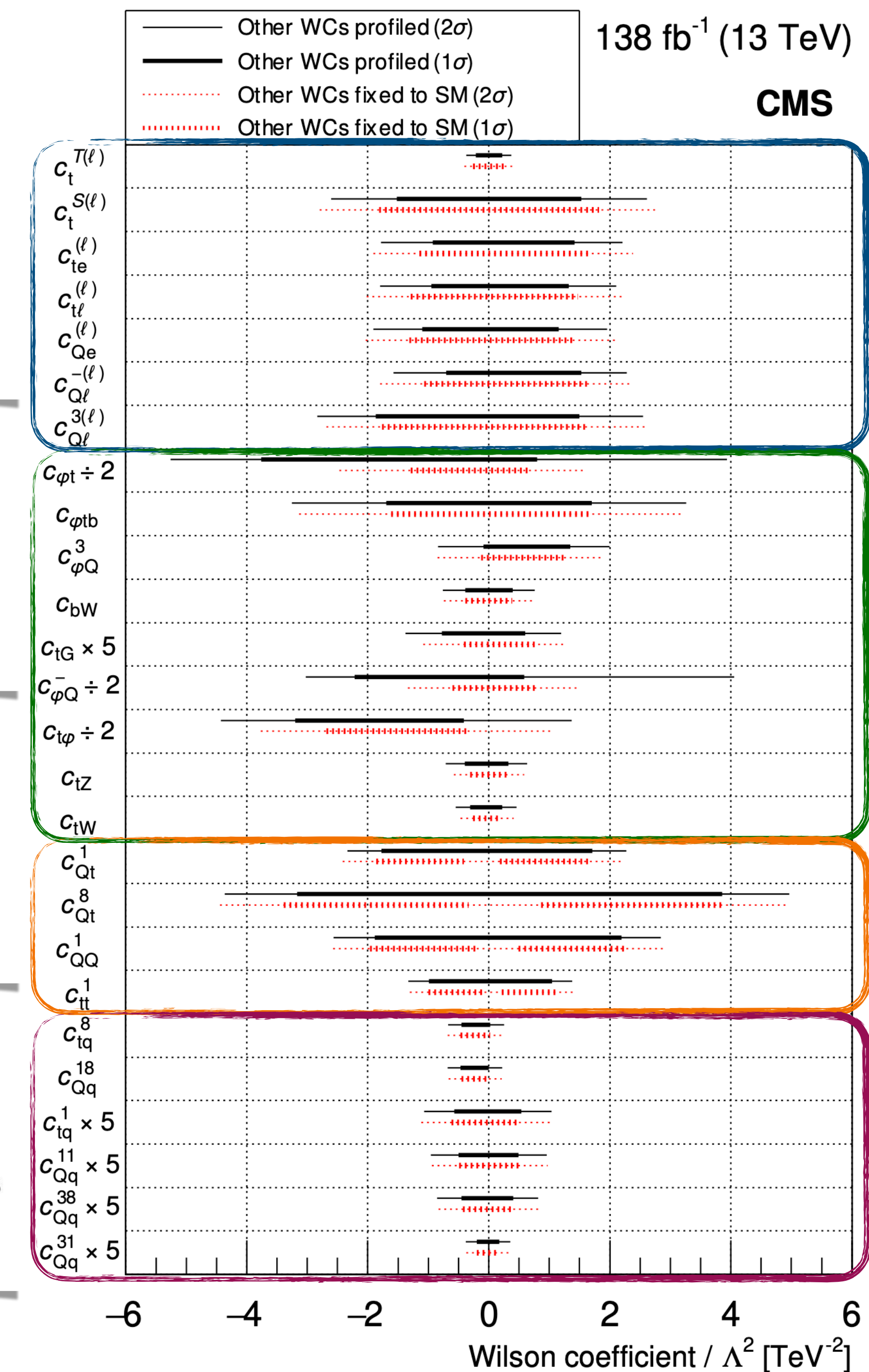
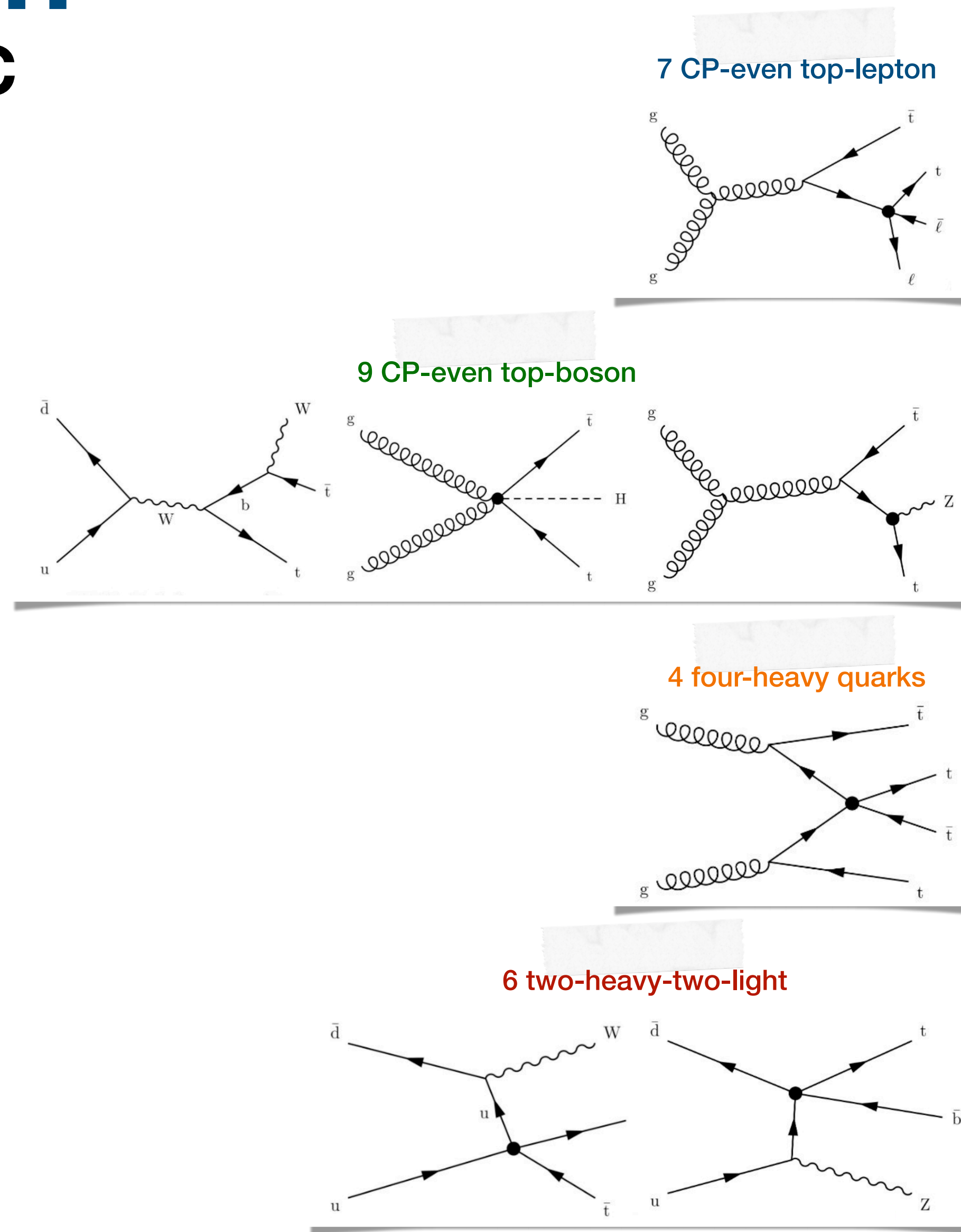
- Very challenging to run fit over 26 parameters and 178 analysis bins



$t\bar{t}(X)$ multilepton

Constraints on single WC

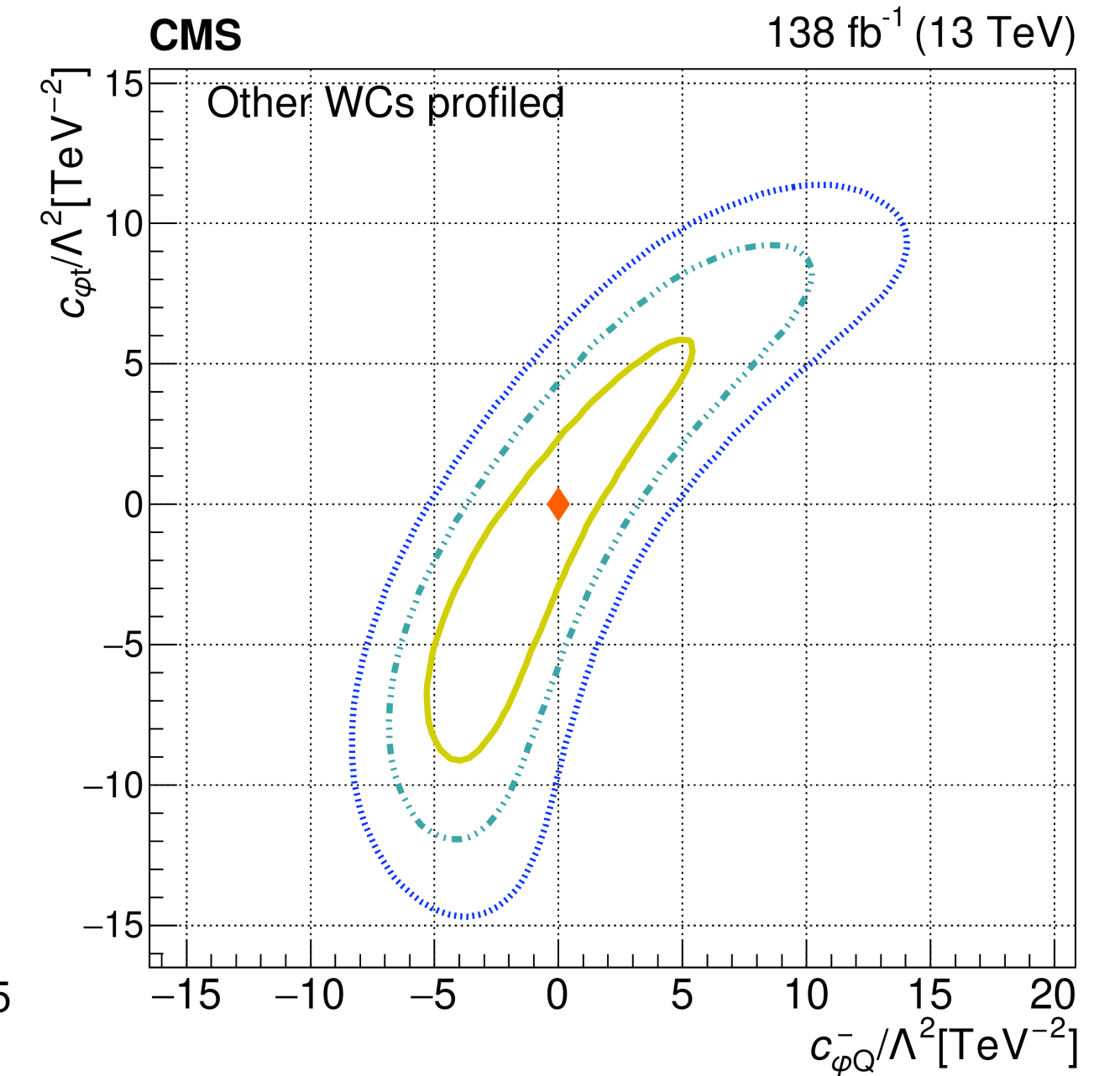
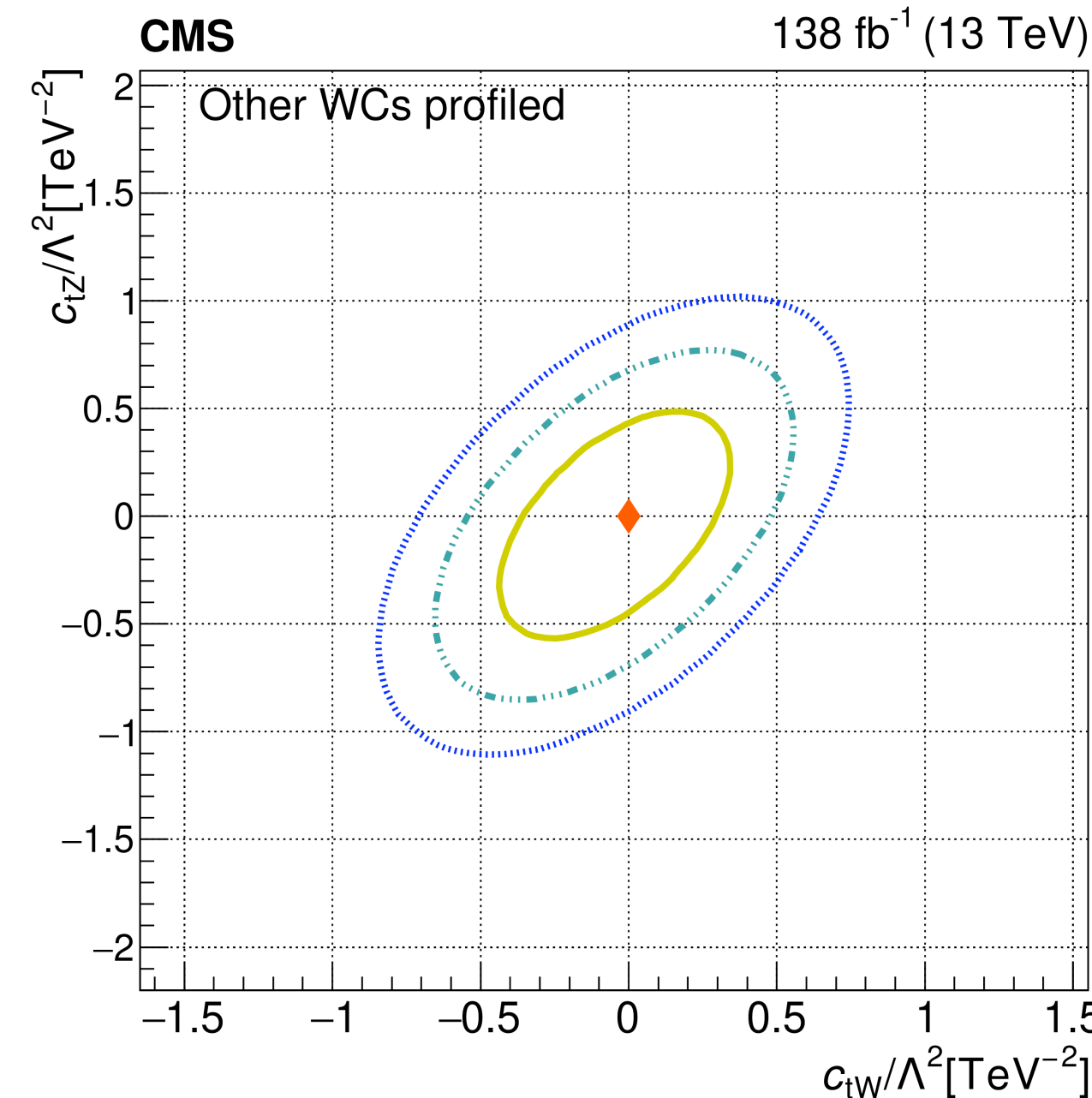
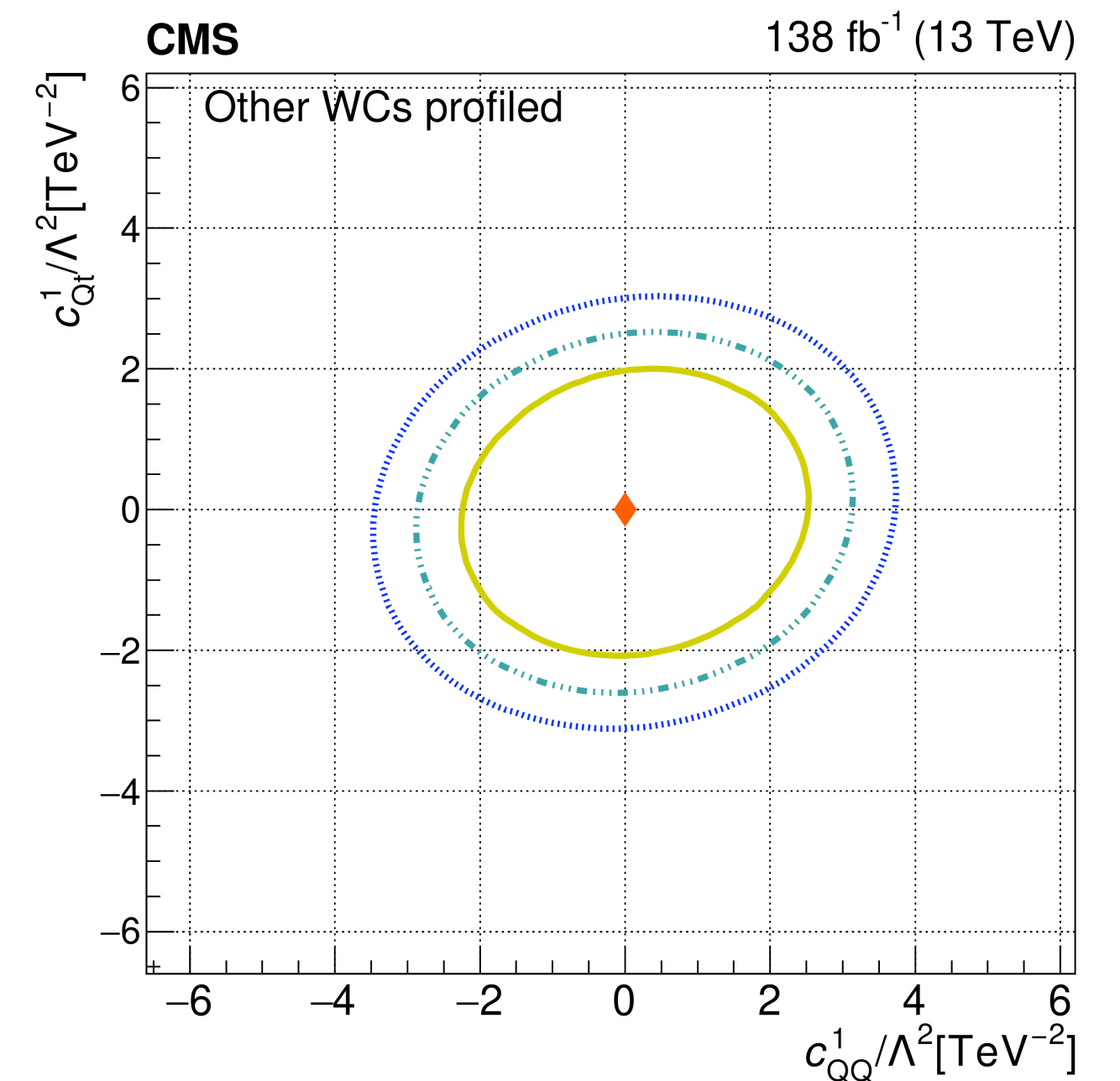
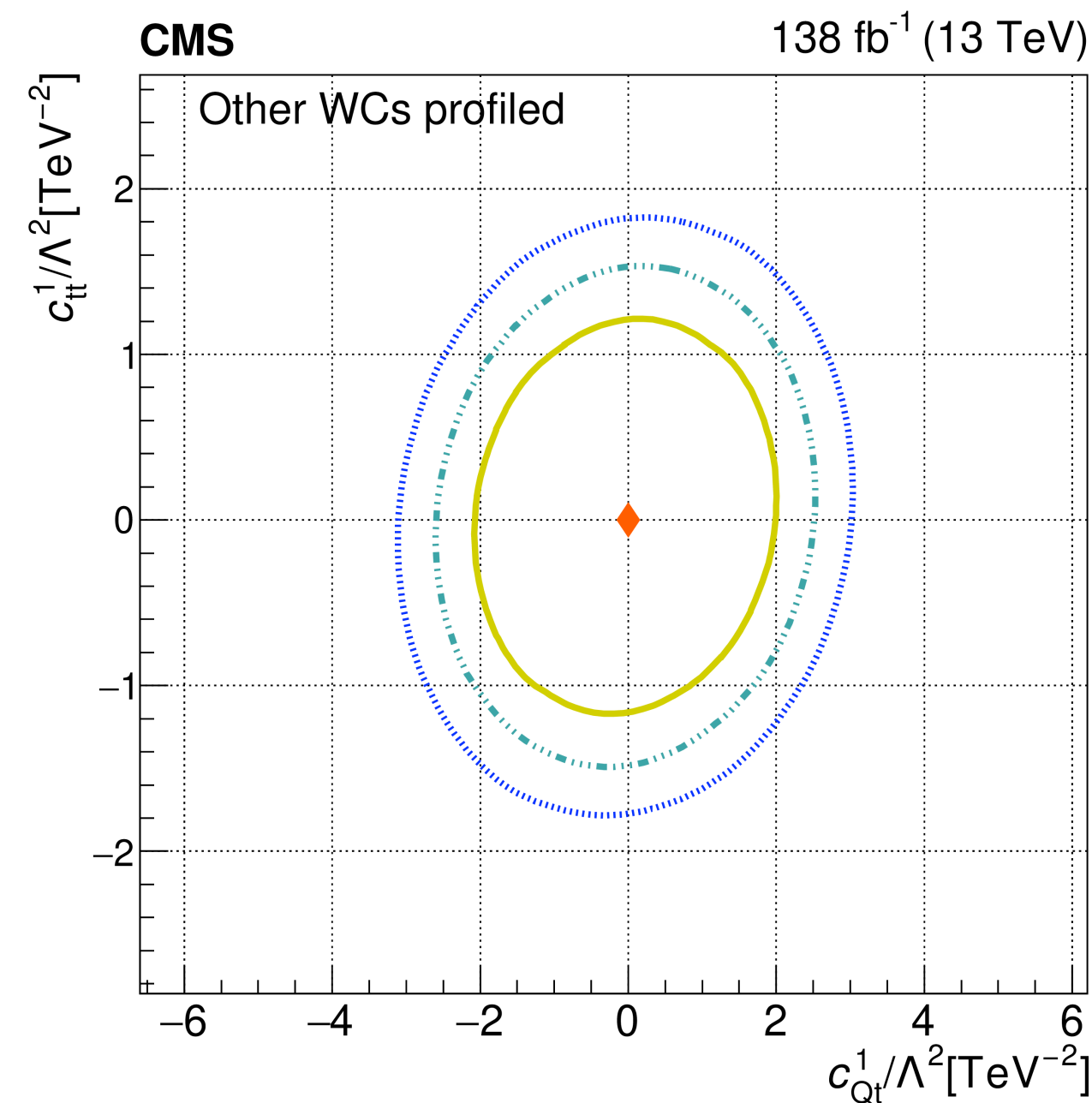
- Very challenging to run fit over 26 parameters and 178 analysis bins
- Provided 1D constraints
 - Other WCs fixed to SM
 - Other WCs profiled
- No significant deviation from SM prediction



$t\bar{t}(X)$ multilepton

Constraints on two WCs at a time

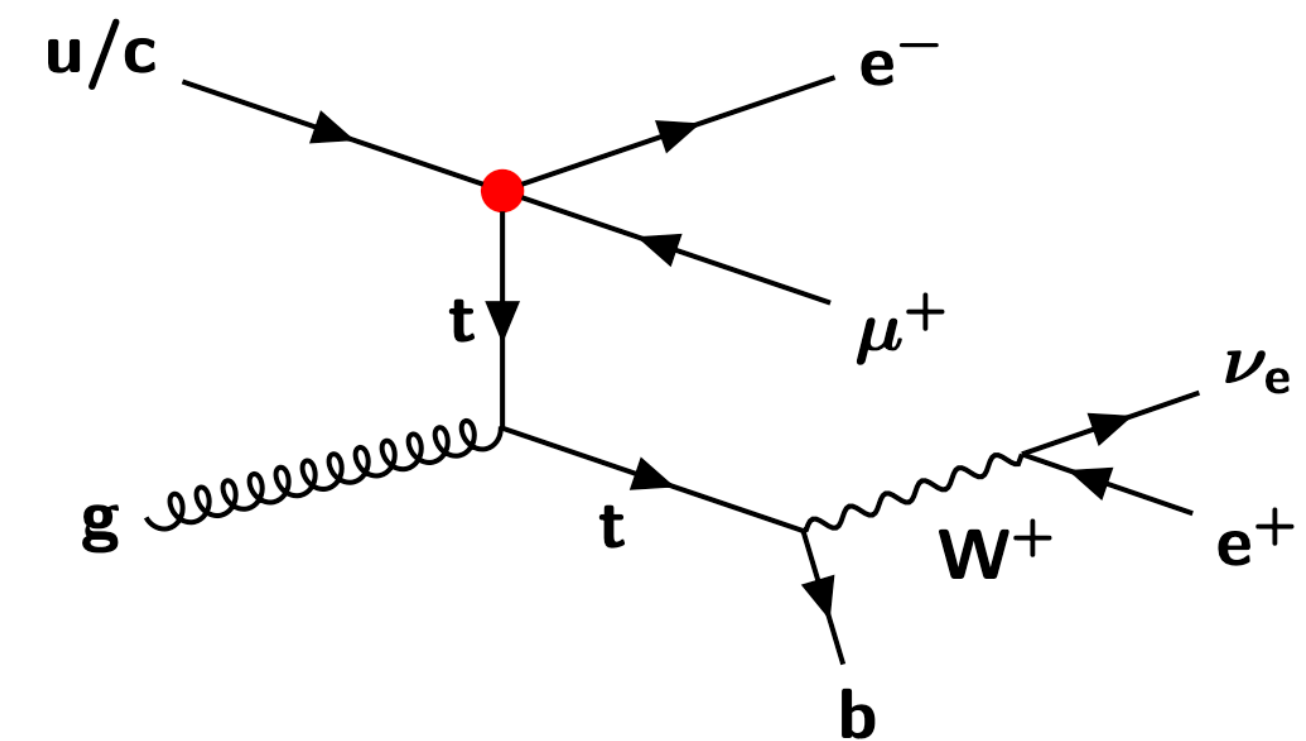
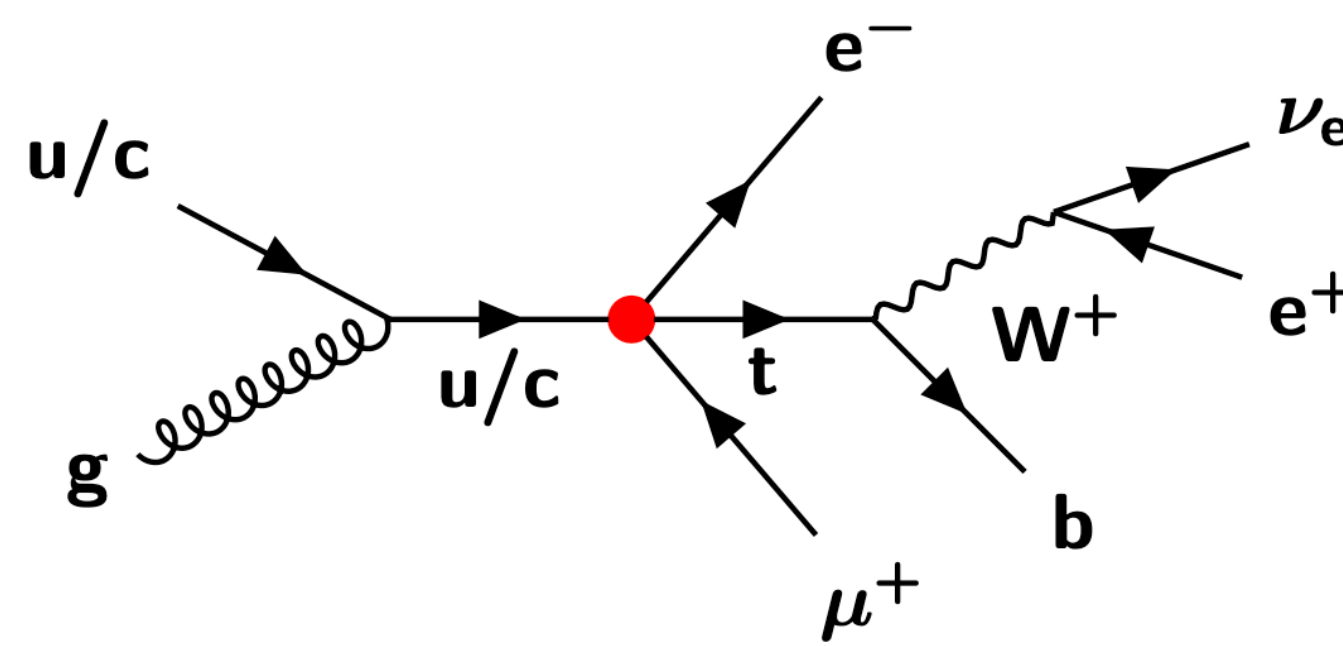
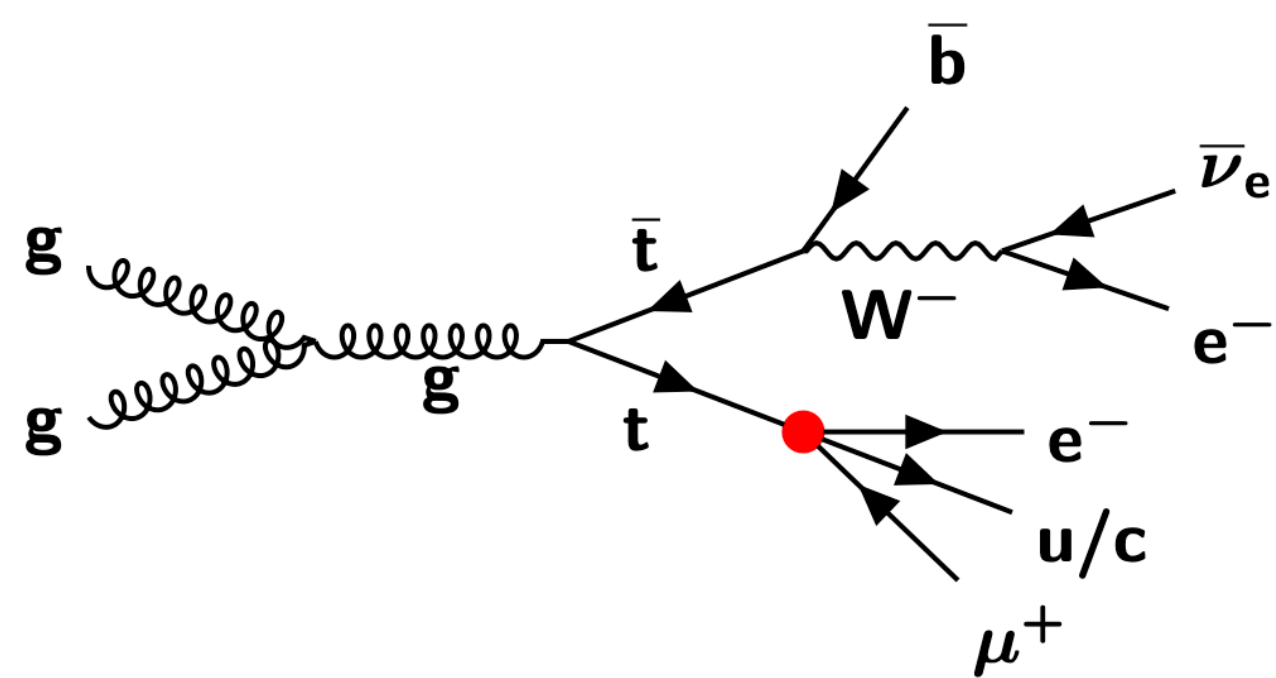
- Provided 2D constraints
 - Other WCs fixed to SM
 - Other WCs profiled
- No significant deviation from SM prediction
- Correlation depending on the specific pair



Search for cLFV with trileptons

Overview

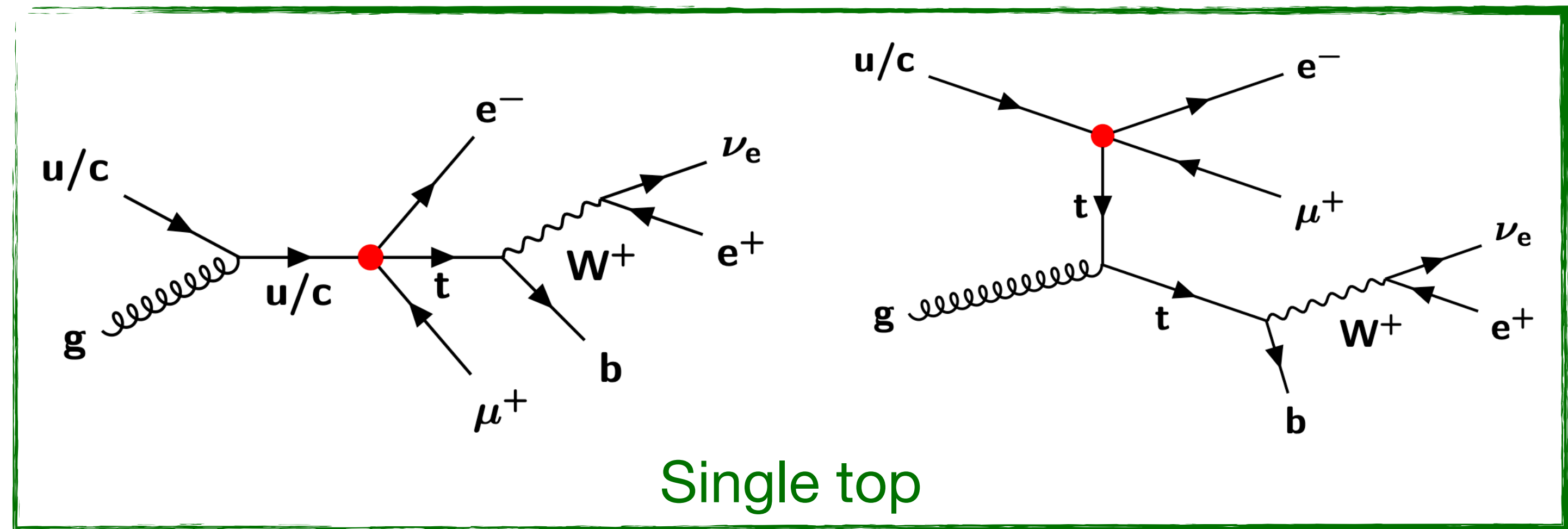
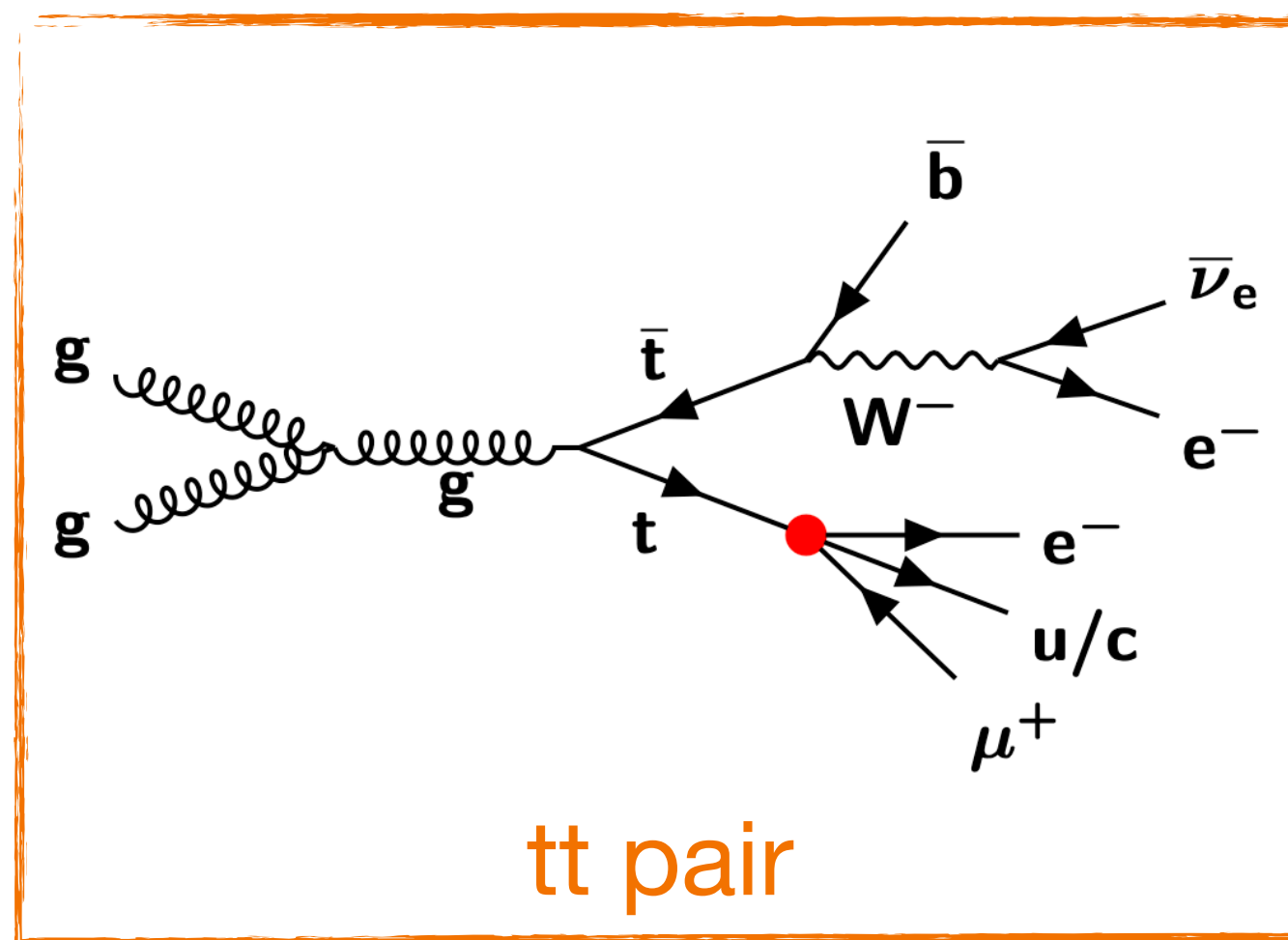
- Opposite-charged $e\mu$ pair from **cLFV vertex**, 1ℓ from top decay, 1 b-tagged jet



Search for cLFV with trileptons

Overview

- Opposite-charged $e\mu$ pair from **cLFV vertex**, 1ℓ from top decay, 1 b-tagged jet
- cLFV in **top decay** and **top production**
 - Highly suppressed in SM
 - No interference with SM diagrams

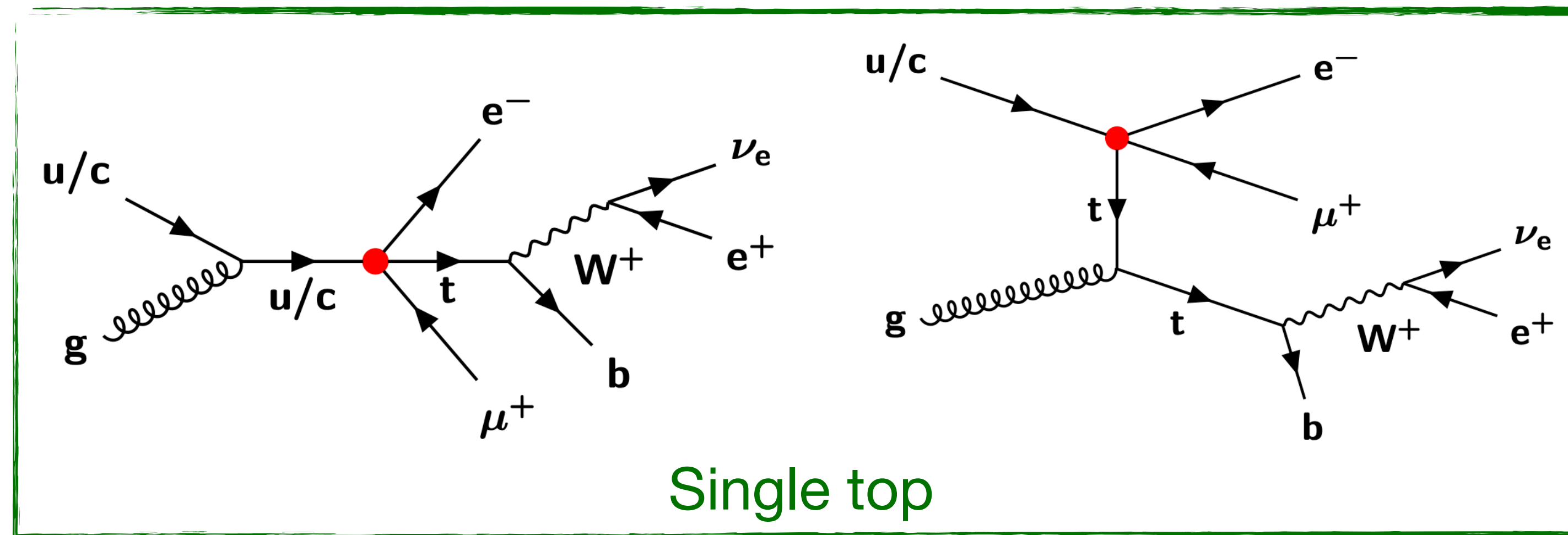
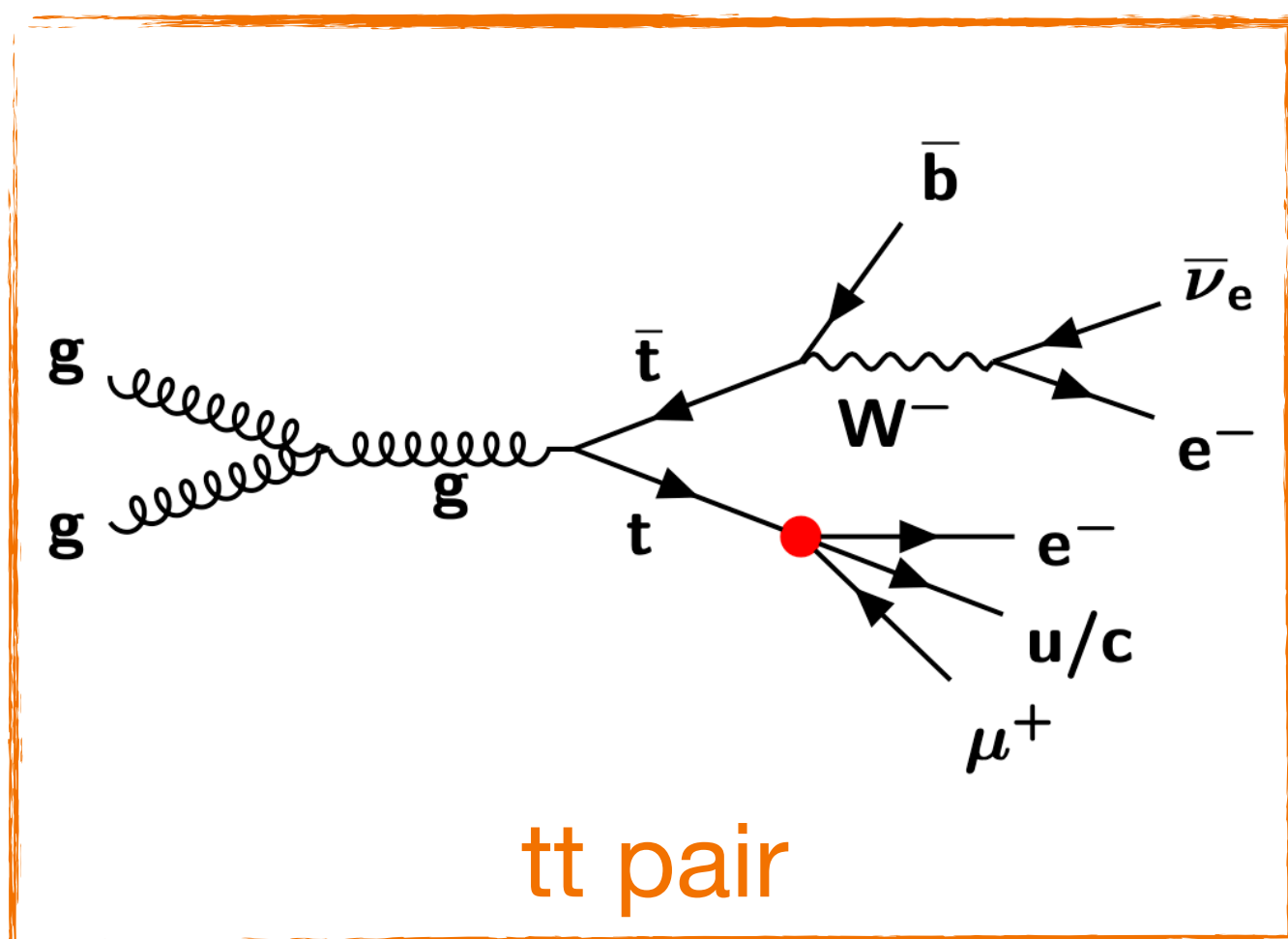


Search for cLFV with trileptons

Overview

- Opposite-charged $e\mu$ pair from **cLFV vertex**, 1 ℓ from top decay, 1 b-tagged jet
- cLFV in **top decay** and **top production**
 - Highly suppressed in SM
 - No interference with SM diagrams
- Target scalar, vector, and tensor BSM interactions
 - Interpreted in terms of four-fermion EFT operators (SMEFT-FR @ LO)

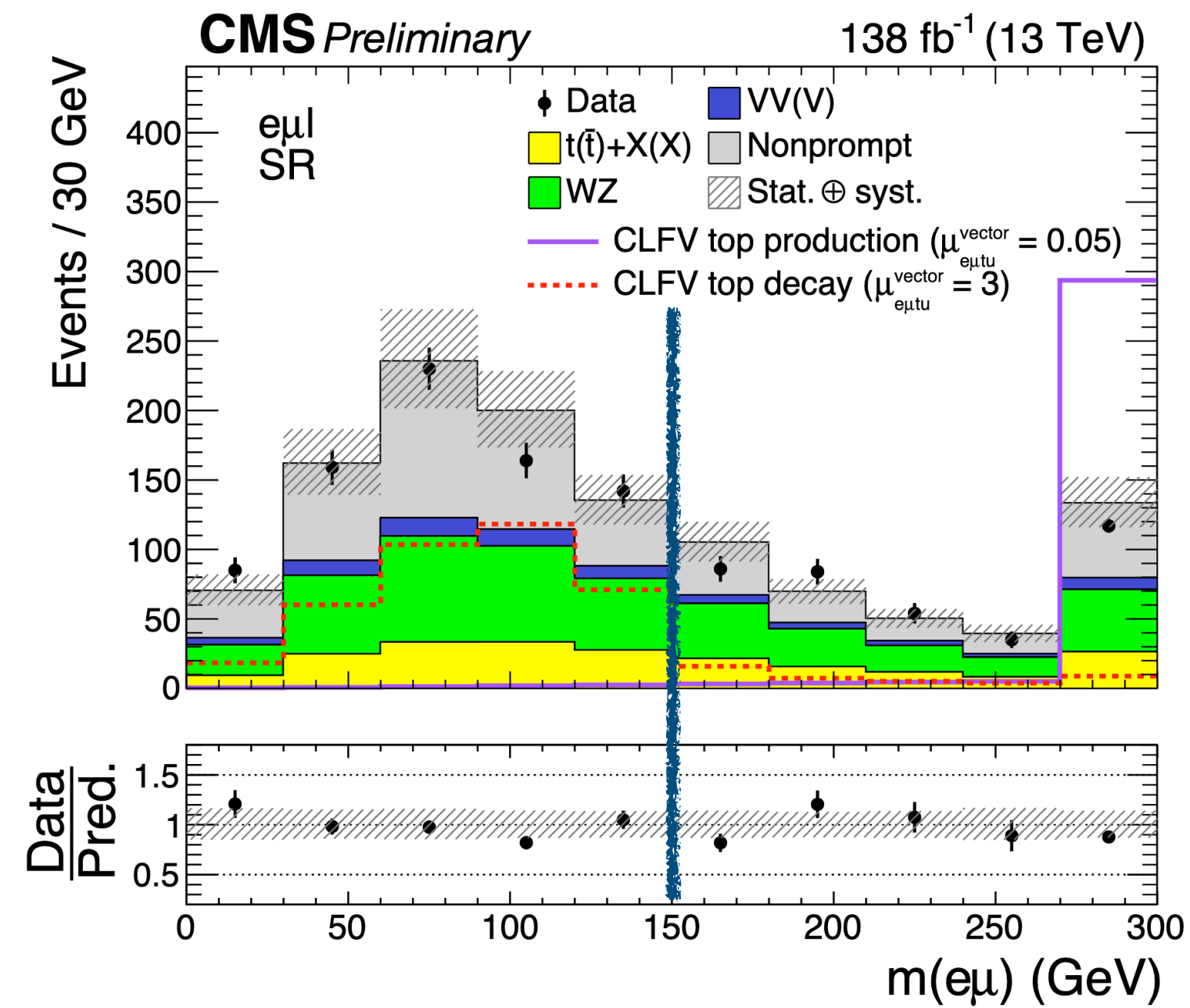
	$O_{lq}^{(1)ijkl}$	$(\bar{l}_i \gamma^\mu l_j)(\bar{q}_k \gamma^\mu q_l)$
vector	O_{lu}^{ijkl}	$(\bar{l}_i \gamma^\mu l_j)(\bar{u}_k \gamma^\mu u_l)$
	O_{eq}^{ijkl}	$(\bar{e}_i \gamma^\mu e_j)(\bar{q}_k \gamma^\mu q_l)$
	O_{eu}^{ijkl}	$(\bar{e}_i \gamma^\mu e_j)(\bar{u}_k \gamma^\mu u_l)$
scalar	$O_{lequ}^{(1)ijkl}$	$(\bar{l}_i e_j) \varepsilon (\bar{q}_k u_l)$
tensor	$O_{lequ}^{(3)ijkl}$	$(\bar{l}_i \sigma^{\mu\nu} e_j) \varepsilon (\bar{q}_k \sigma_{\mu\nu} u_l)$



Search for cLFV with trileptons

Sensitivity optimization

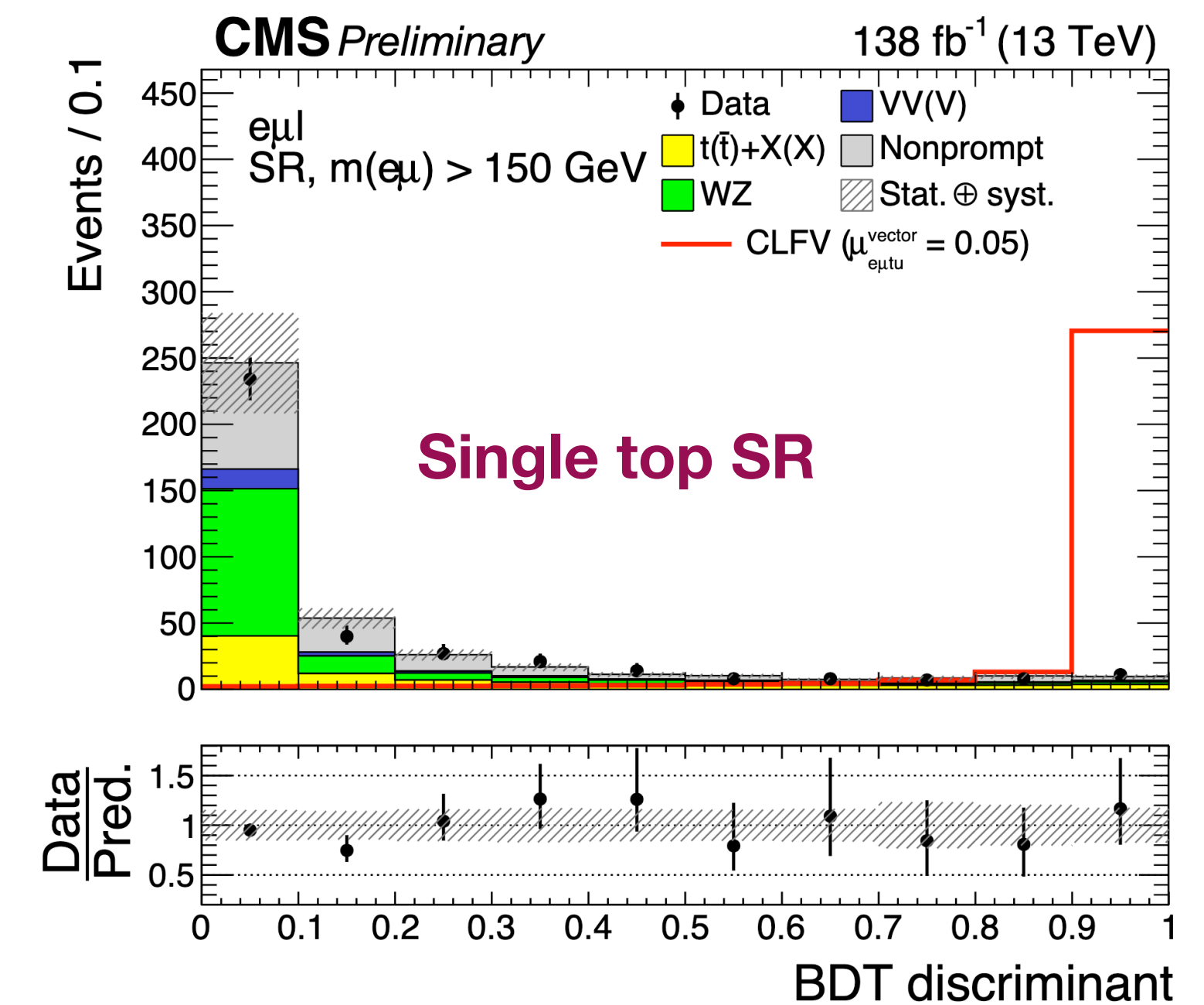
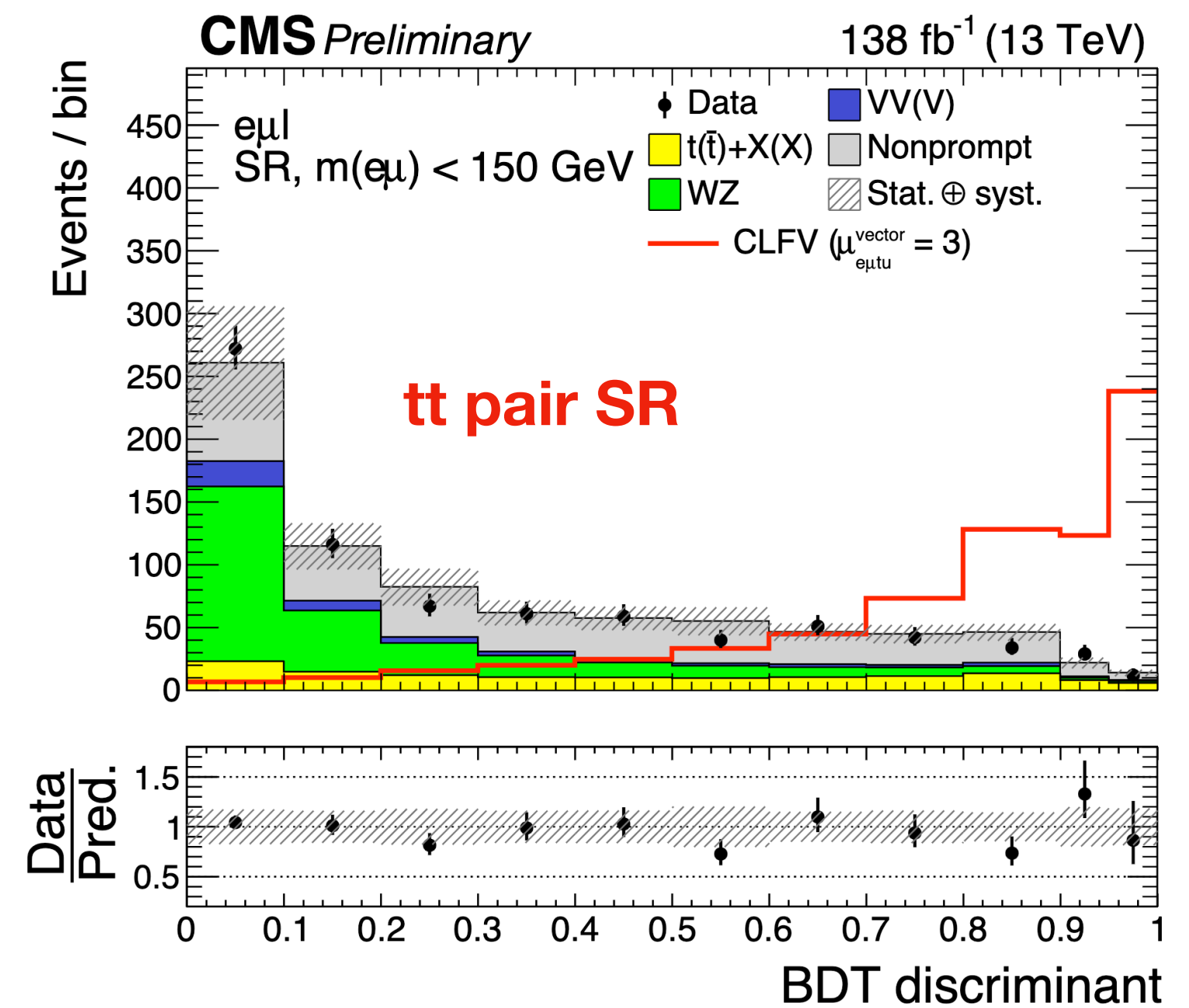
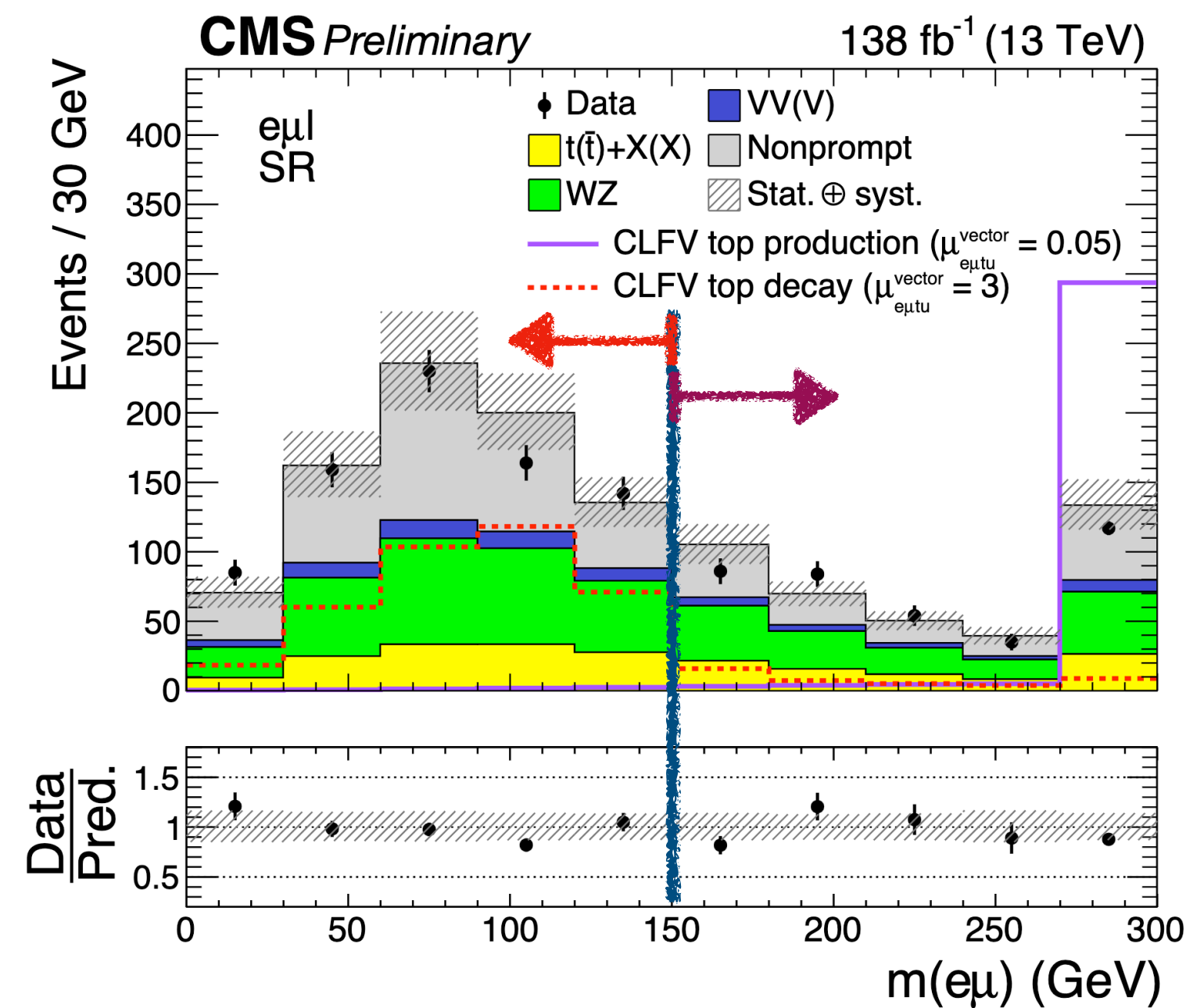
- $m_{e\mu}$ to separate the signals



Search for cLFV with trileptons

Sensitivity optimization

- $m_{e\mu}$ to separate the signals
- 1 SR for **single top** (drives the sensitivity)
- 1 SR for **tt pair** production
- Trained BDT to discriminate signal against backgrounds
 - Score used to perform the fit



Search for cLFV with trileptons

Results

- No deviation from SM
- 1D limits on 6 $C_{e\mu tq}$ coefficients
 - More stringent limit on tensor WC than the others due to its large cross section

CLFV coupling	Lorentz structure	$C_{e\mu tq} / \Lambda^2$ (TeV ⁻²)	
		exp ($-\sigma, +\sigma$)	obs
$e\mu tu$	tensor	0.019 (0.015, 0.023)	0.020
	vector	0.037 (0.031, 0.046)	0.041
	scalar	0.077 (0.064, 0.095)	0.084
$e\mu tc$	tensor	0.061 (0.050, 0.074)	0.068
	vector	0.130 (0.108, 0.159)	0.144
	scalar	0.269 (0.223, 0.330)	0.295

Search for cLFV with trileptons

Results

- No deviation from SM
- 1D limits on 6 $C_{e\mu tq}$ coefficients
 - More stringent limit on tensor WC than the others due to its large cross section
- Translating into limits on the branching fractions
 - More stringent limits on scalar operator

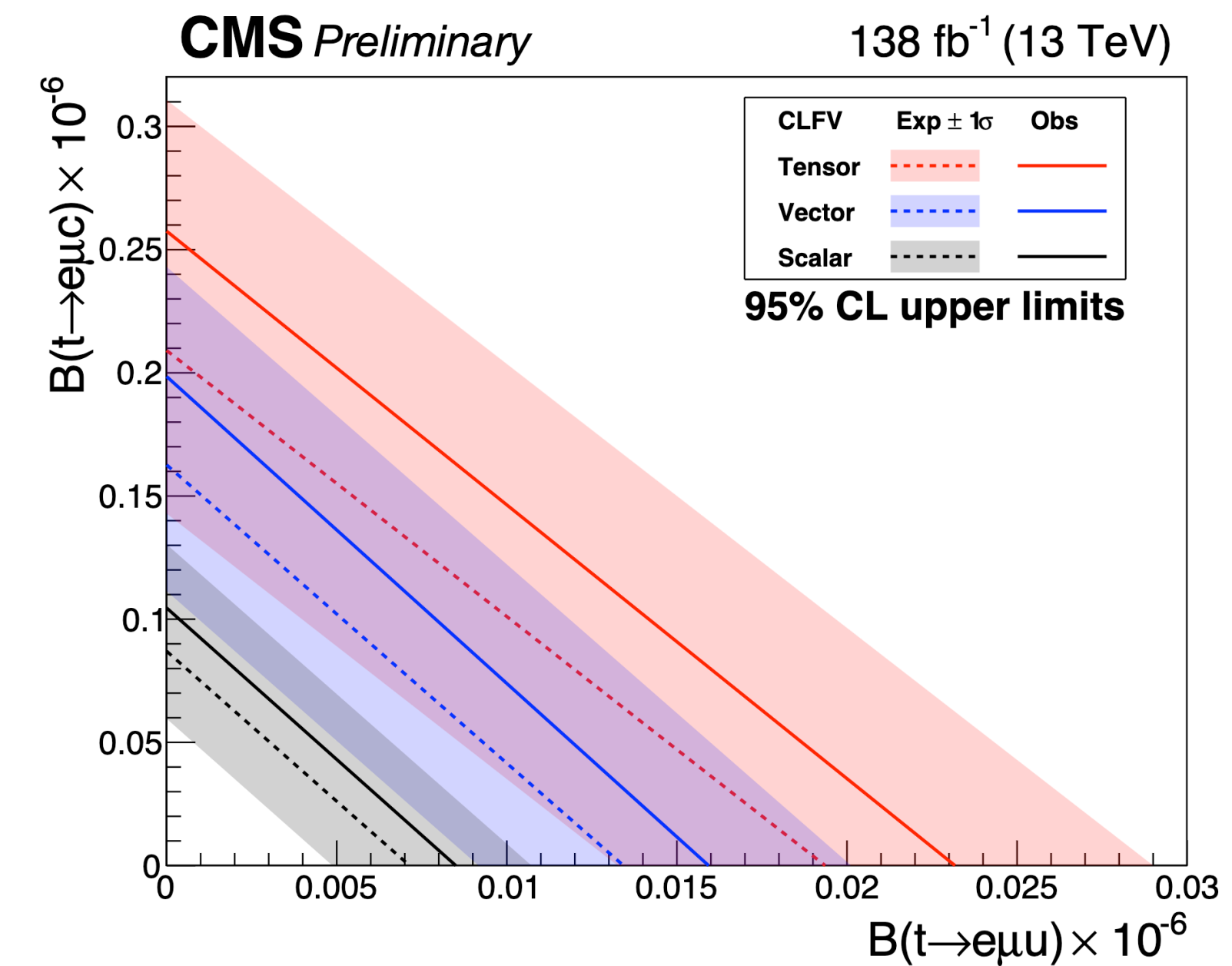
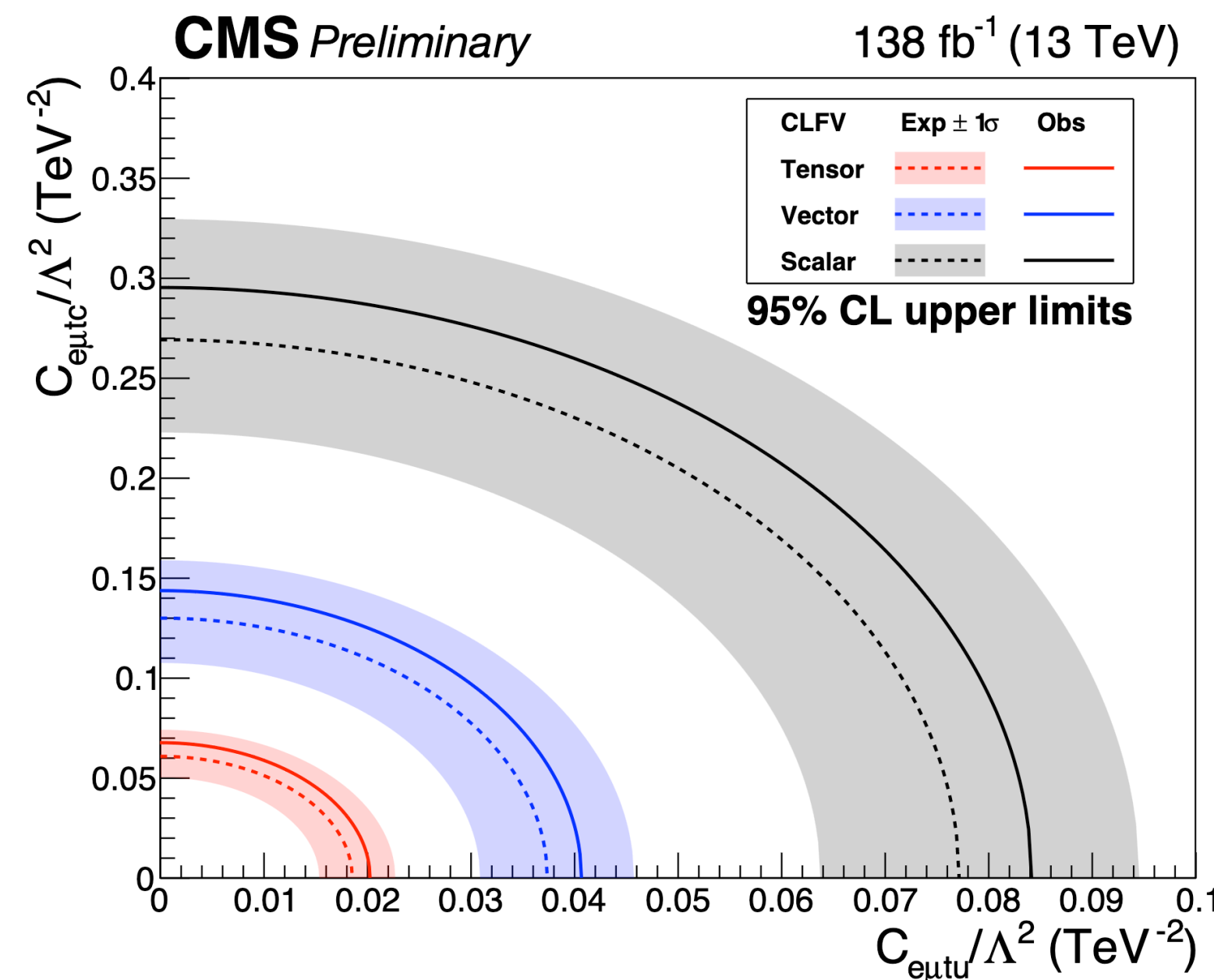
CLFV coupling	Lorentz structure	$C_{e\mu tq} / \Lambda^2$ (TeV ⁻²)		$\mathcal{B}(t \rightarrow e\mu q) \times 10^{-6}$	
		exp ($-\sigma, +\sigma$)	obs	exp ($-\sigma, +\sigma$)	obs
$e\mu tu$	tensor	0.019 (0.015, 0.023)	0.020	0.019 (0.013, 0.029)	0.023
	vector	0.037 (0.031, 0.046)	0.041	0.013 (0.009, 0.020)	0.016
	scalar	0.077 (0.064, 0.095)	0.084	0.007 (0.005, 0.011)	0.009
$e\mu tc$	tensor	0.061 (0.050, 0.074)	0.068	0.209 (0.143, 0.311)	0.258
	vector	0.130 (0.108, 0.159)	0.144	0.163 (0.111, 0.243)	0.199
	scalar	0.269 (0.223, 0.330)	0.295	0.087 (0.060, 0.130)	0.105

Search for cLFV with trileptons

Results

- No deviation from SM
- 1D limits on 6 $C_{e\mu tq}$ coefficients
 - More stringent limit on tensor WC than the others due to its large cross section
- Translating into limits on the branching fractions
 - More stringent limits on scalar operator
- 2D limits from 1D limits assuming linearity between $B(t \rightarrow e\mu u)$ and $B(t \rightarrow e\mu c)$
- Most stringent limit on $B(t \rightarrow e\mu q)$

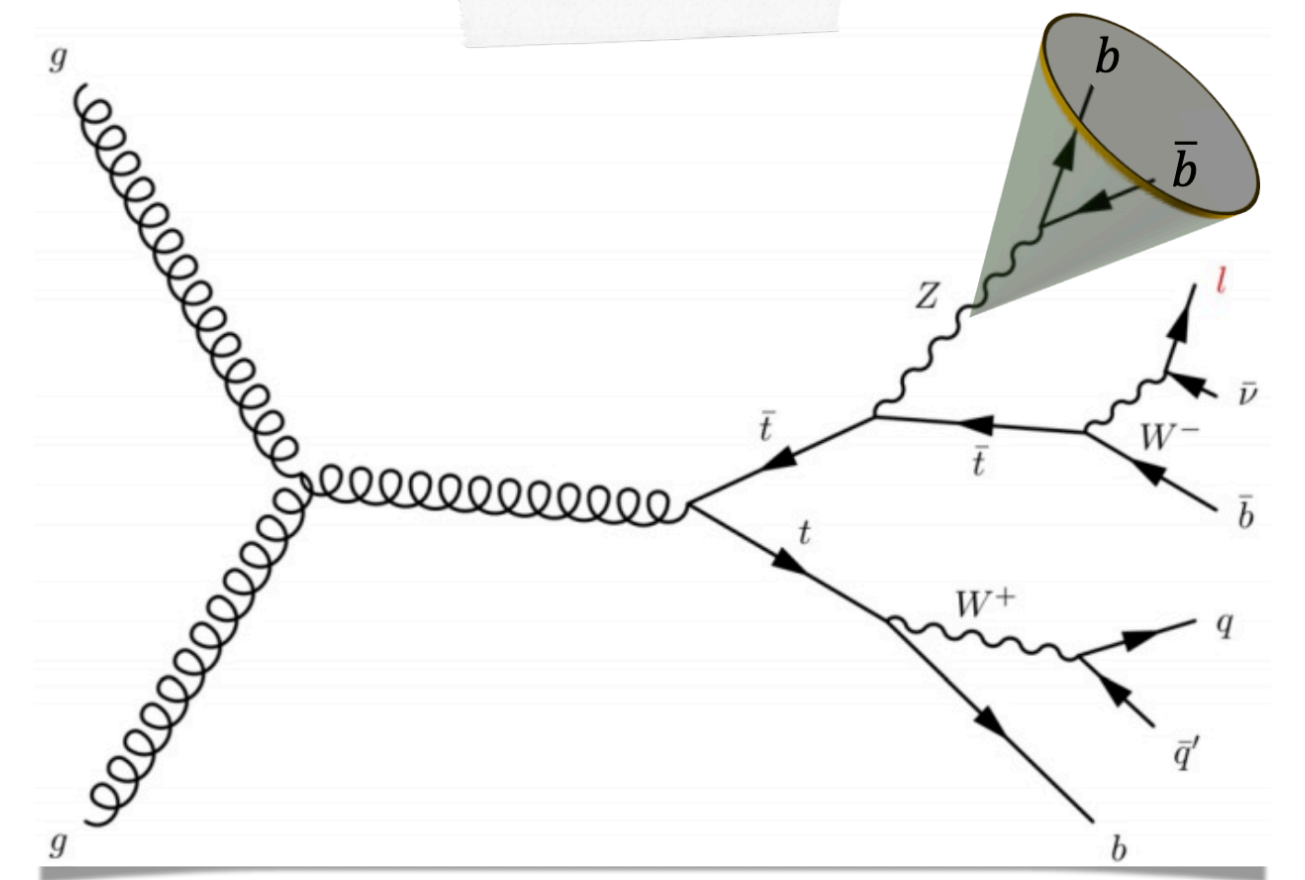
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tt with a boosted H or Z

Overview

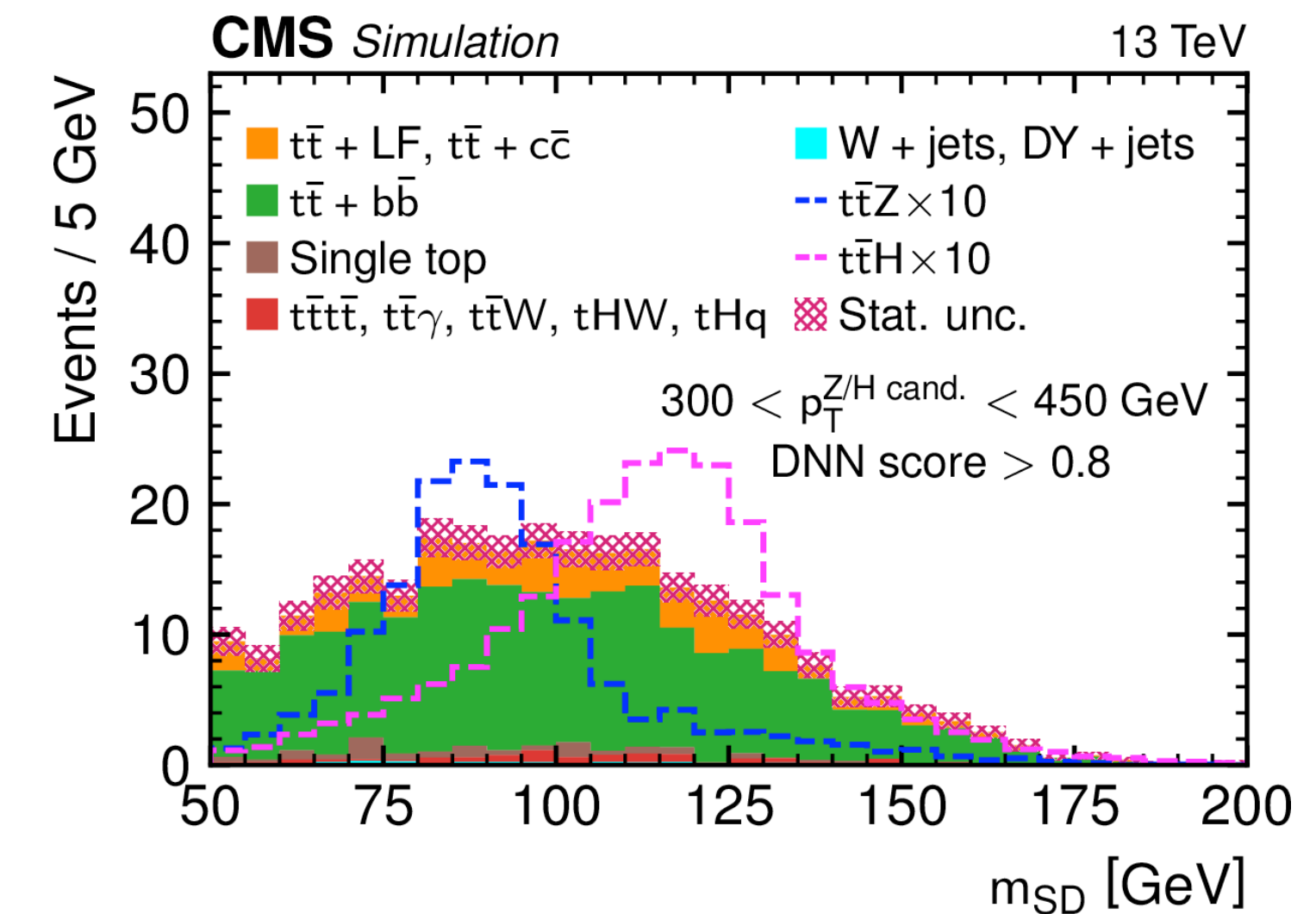
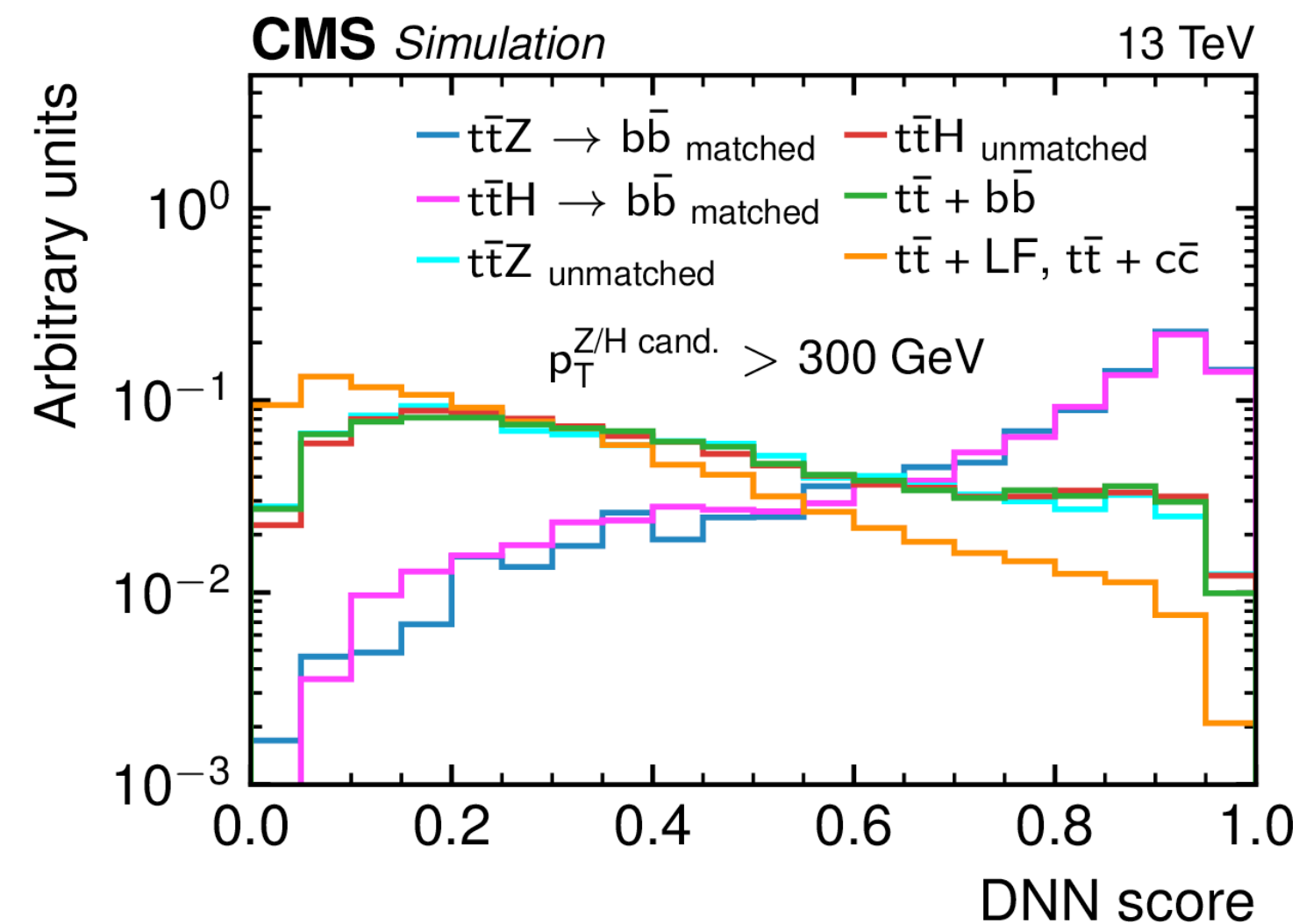
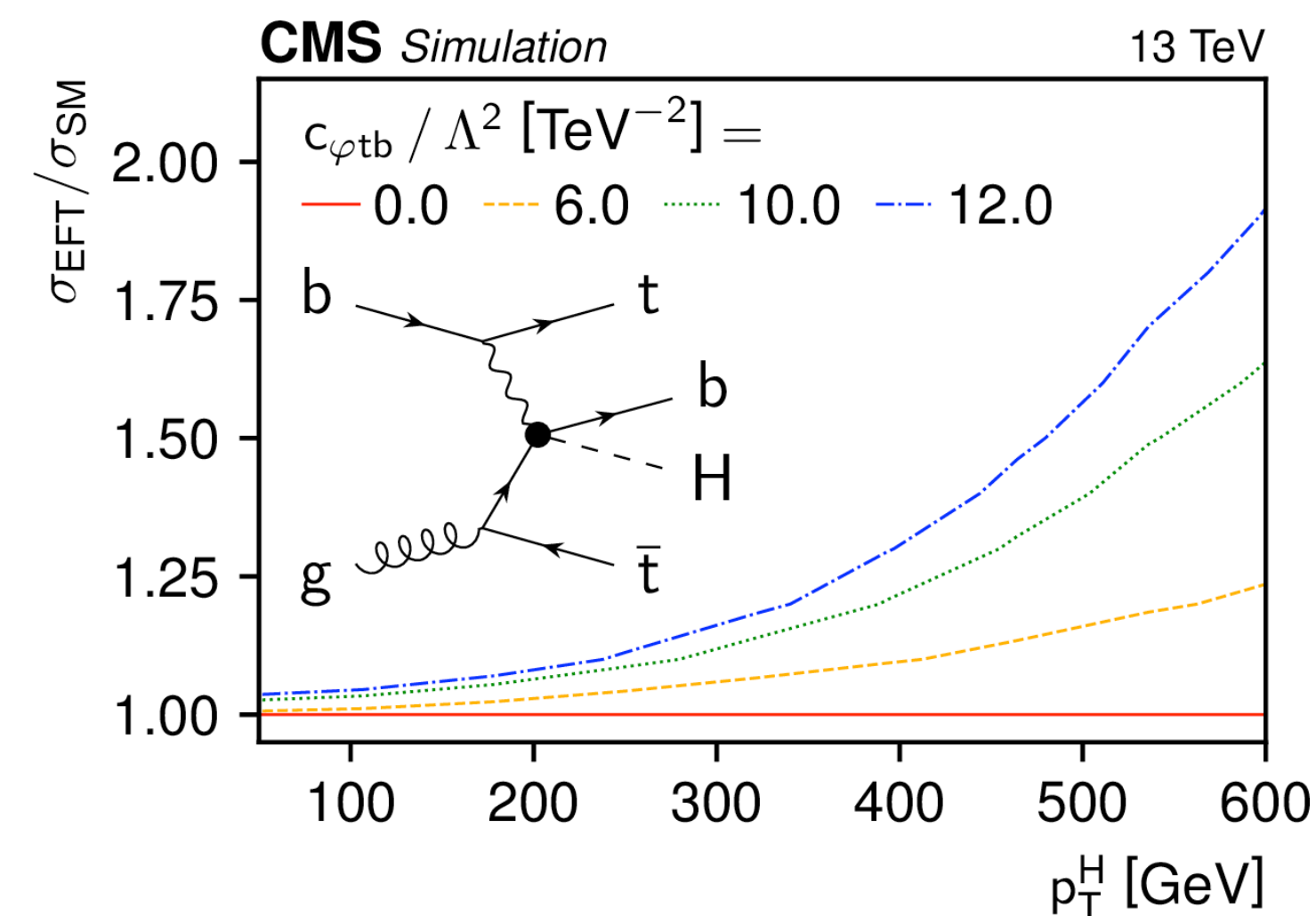
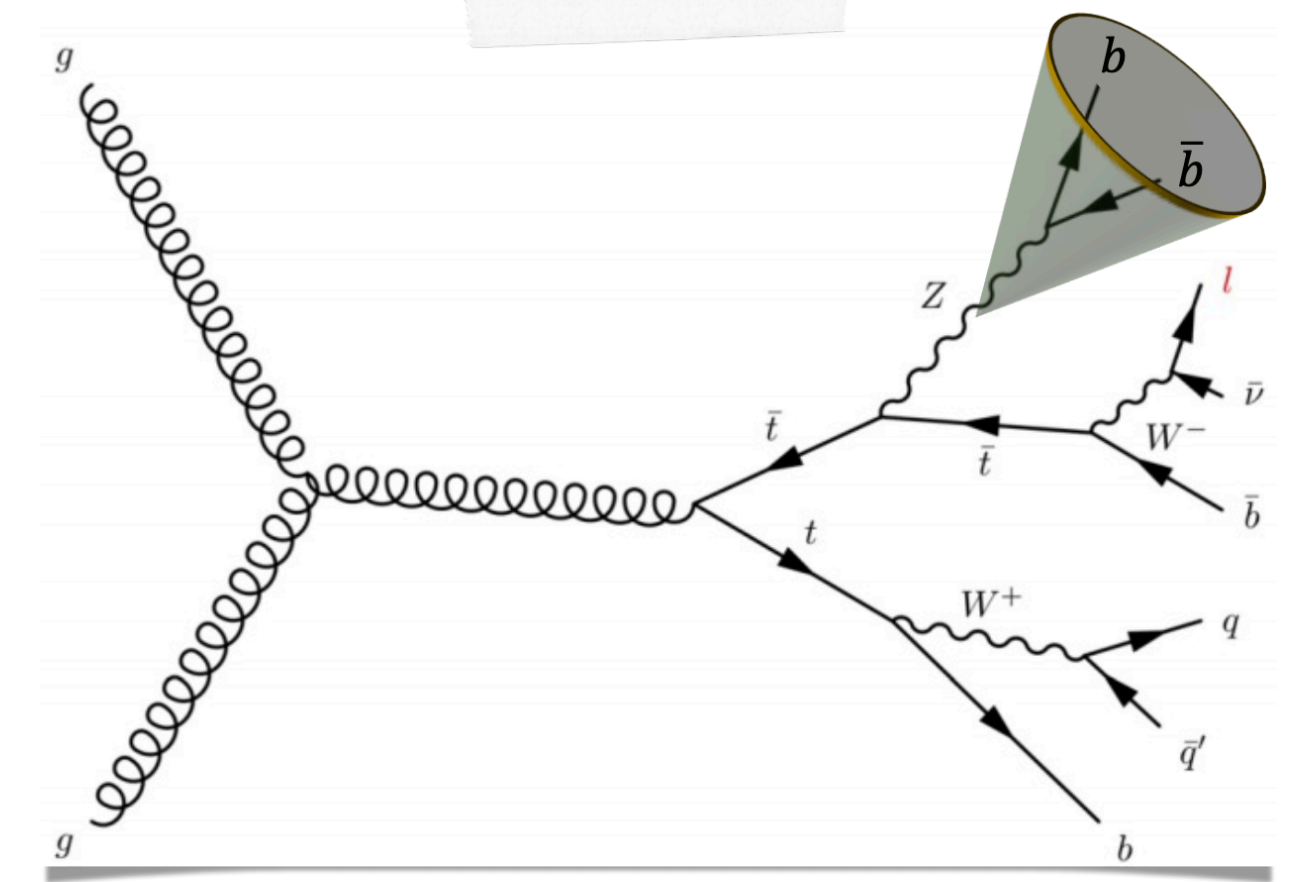
- Single lepton + 1 tagged AK8 jet (H → bb discriminator)
- Targeting EFT effects in tt + boosted H/Z along with SM measurements
 - 8 top+boson operators (dim6top @ LO + additional parton)
- Explore the EFT effect in a relevant background
 - c_{bW} and $c_{\varphi Q}^3$ affecting tt+bb



tt with a boosted H or Z

Overview

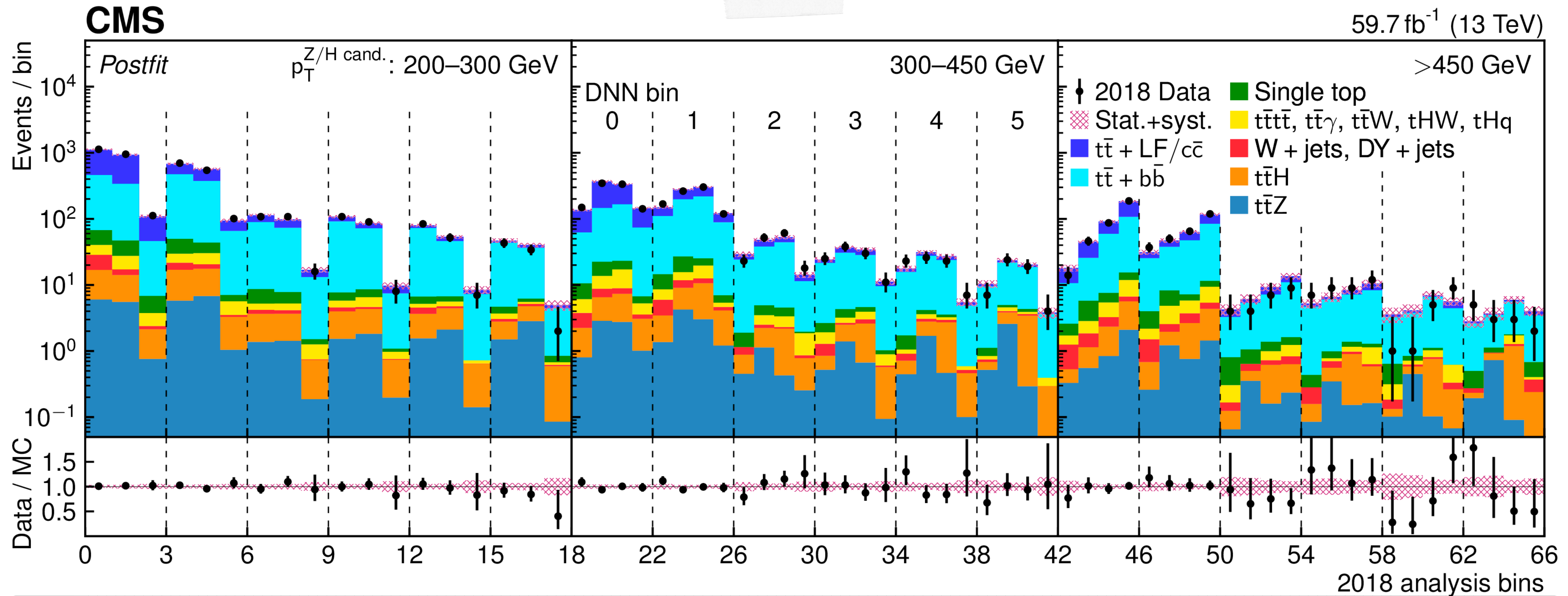
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- Targeting EFT effects in tt + boosted H/Z along with SM measurements
 - 8 top+boson operators (dim6top @ LO + additional parton)
- Explore the EFT effect in a relevant background
 - c_{bW} and $c_{\varphi Q}^3$ affecting tt+bb
- Analysis bins defined upon 3 quantities
 - AK8 jet mass, Z/H candidate p_T , and multiclassifier DNN signal score



tt with a boosted H or Z

Event categorization

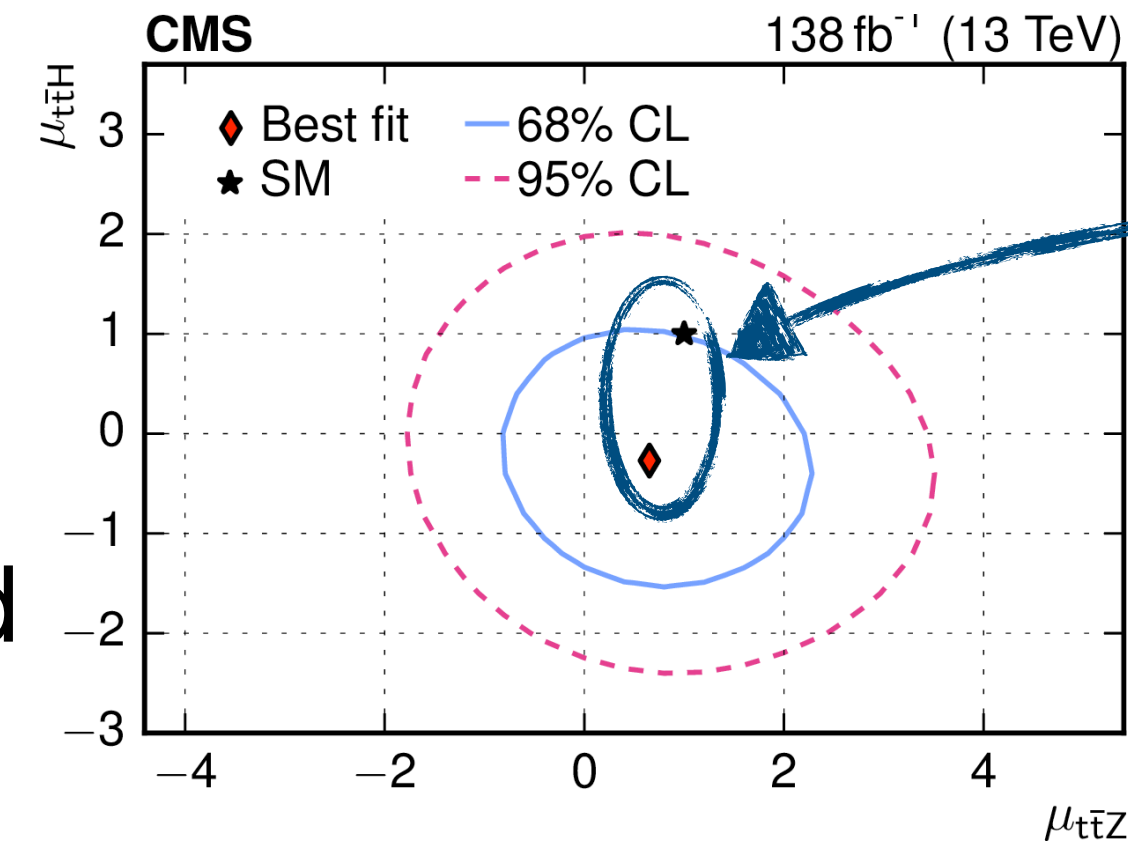
- “Flattened” 3D fit \rightarrow 66 bins per each year



tt with a boosted H or Z

EFT sensitivity studies

- EFT predictions renormalized to NLO SM
- From SM measurement, tt+bb higher than expected
 - ttH/ttZ signal strengths towards negative values

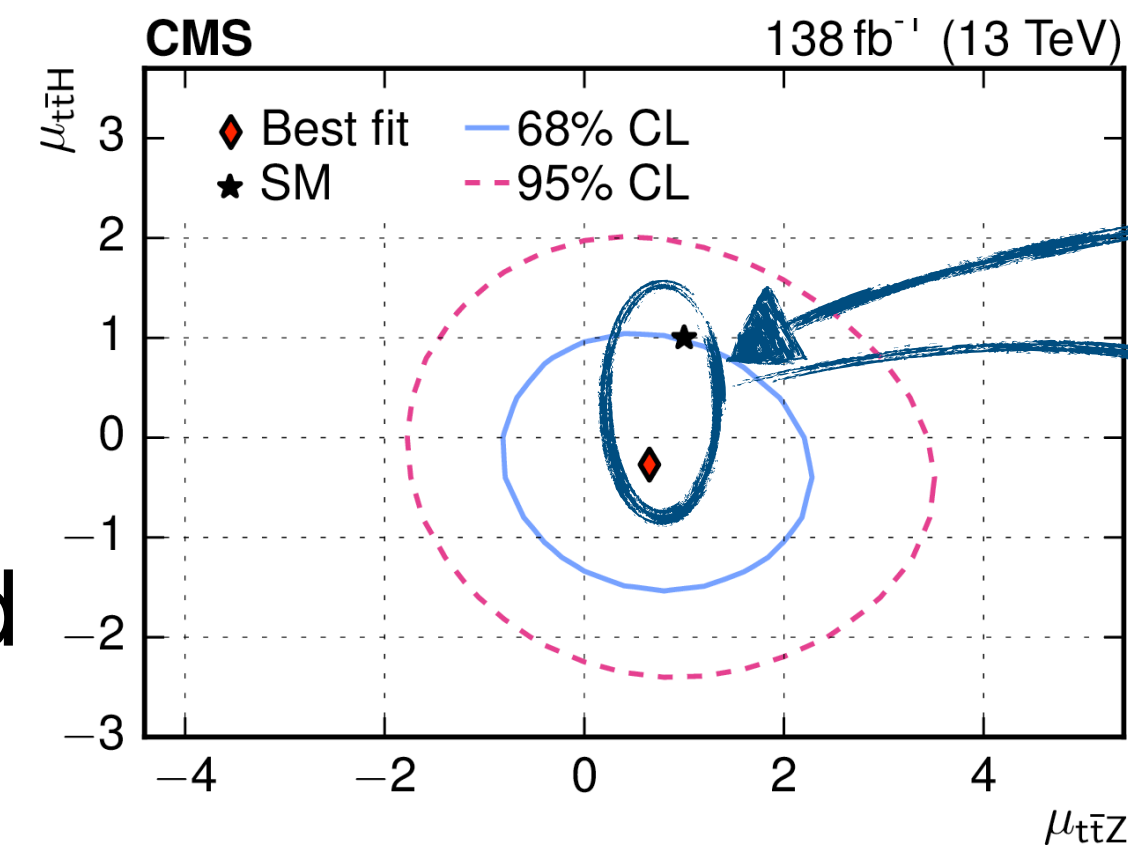


$$\frac{\sigma_{tt+bb}}{\sigma_{tt+bb}^{SM}} > 1$$

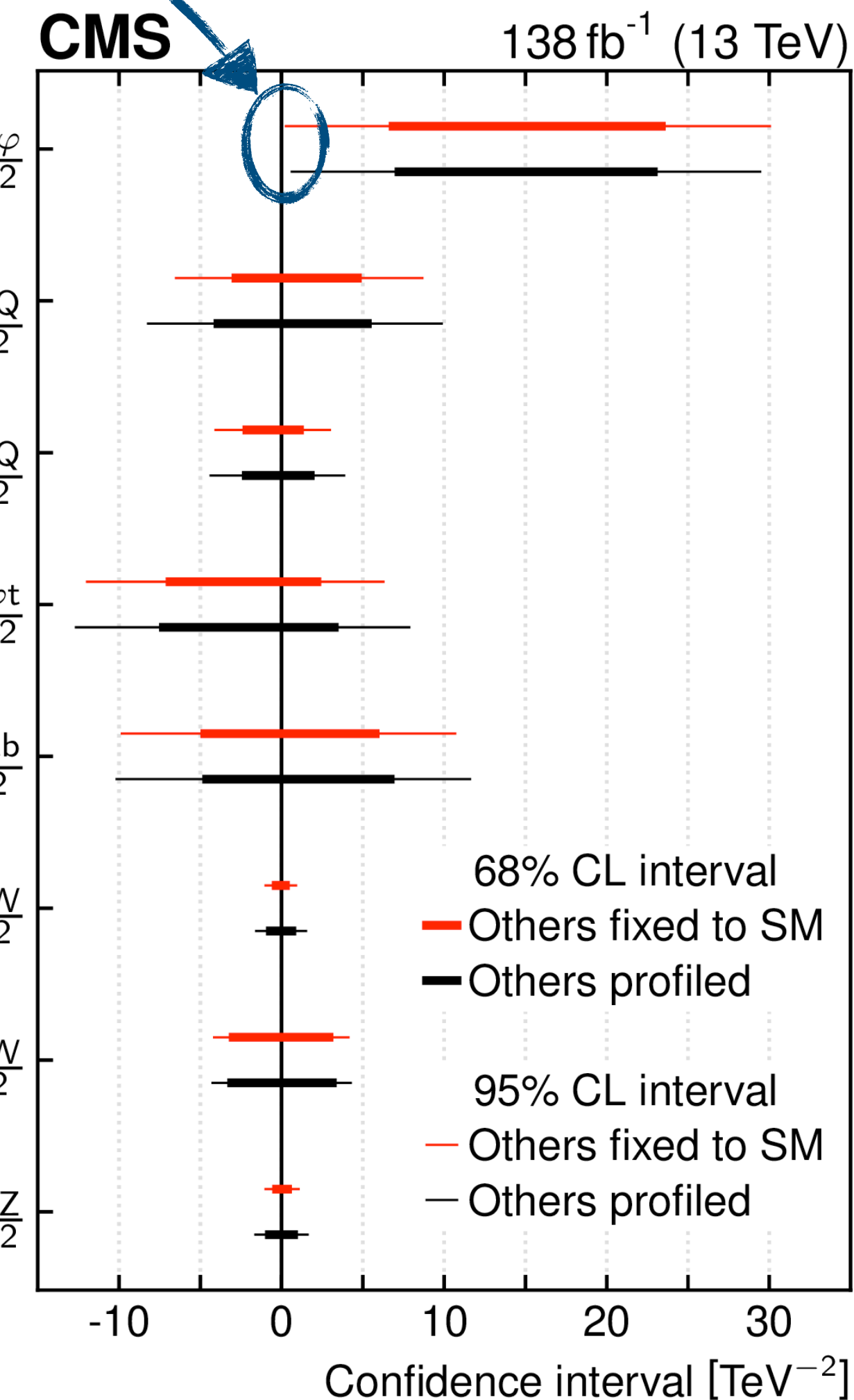
tt with a boosted H or Z

EFT sensitivity studies

- EFT predictions renormalized to NLO SM
- From SM measurement, tt+bb higher than expected
 - ttH/ttZ signal strengths towards negative values
- Induces the tension wrt SM scenario for $c_{t\phi}$
- Other 1D scans are compatible with SM



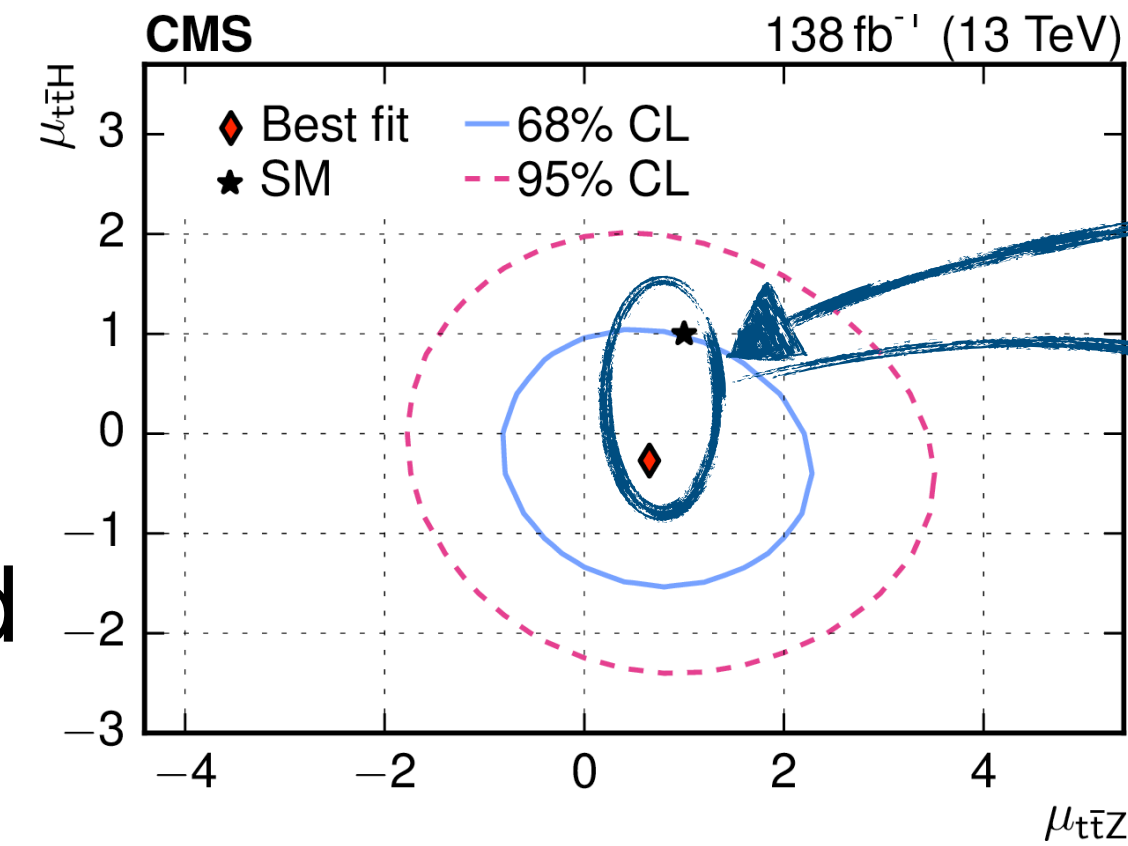
$$\frac{\sigma_{tt+bb}}{\sigma_{tt+bb}^{SM}} > 1$$



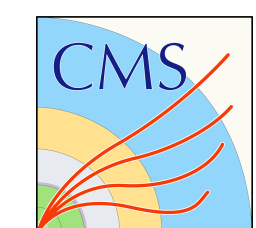
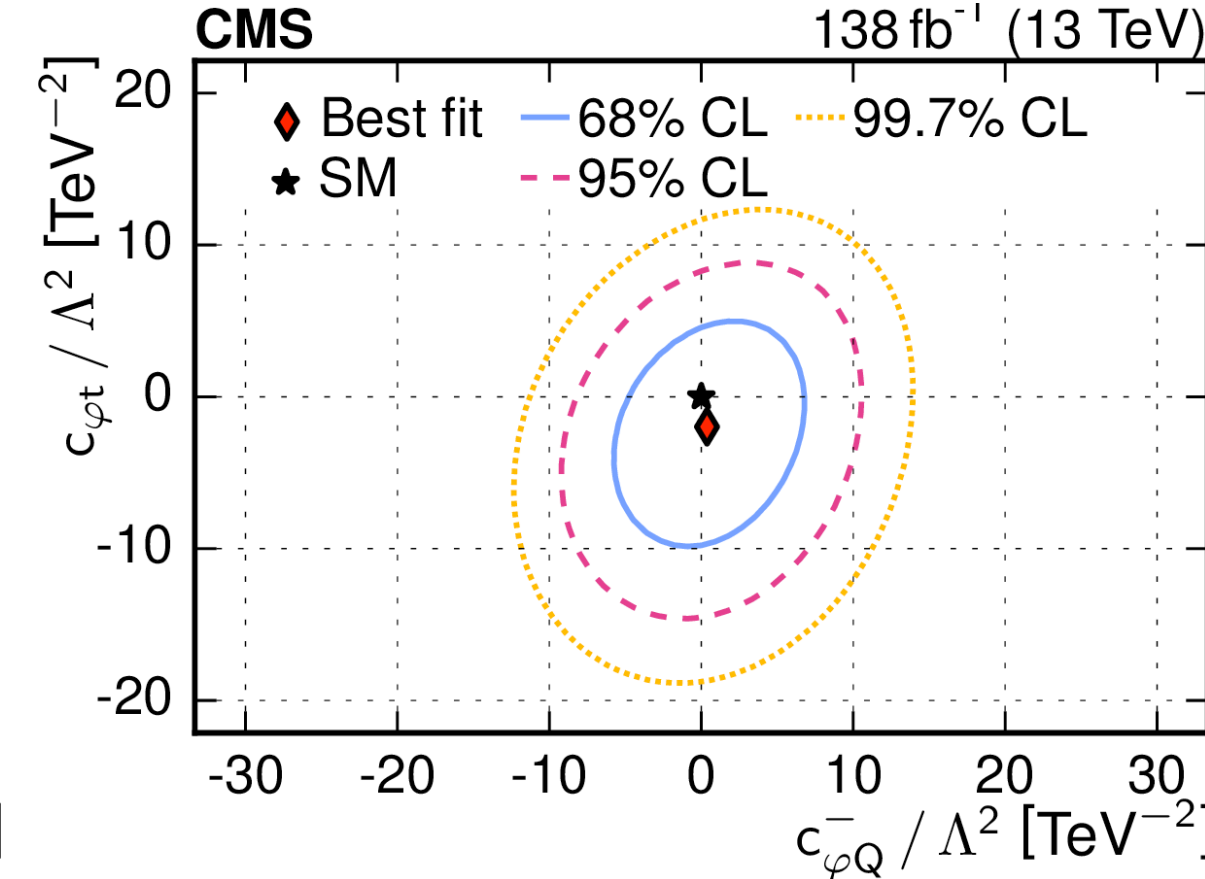
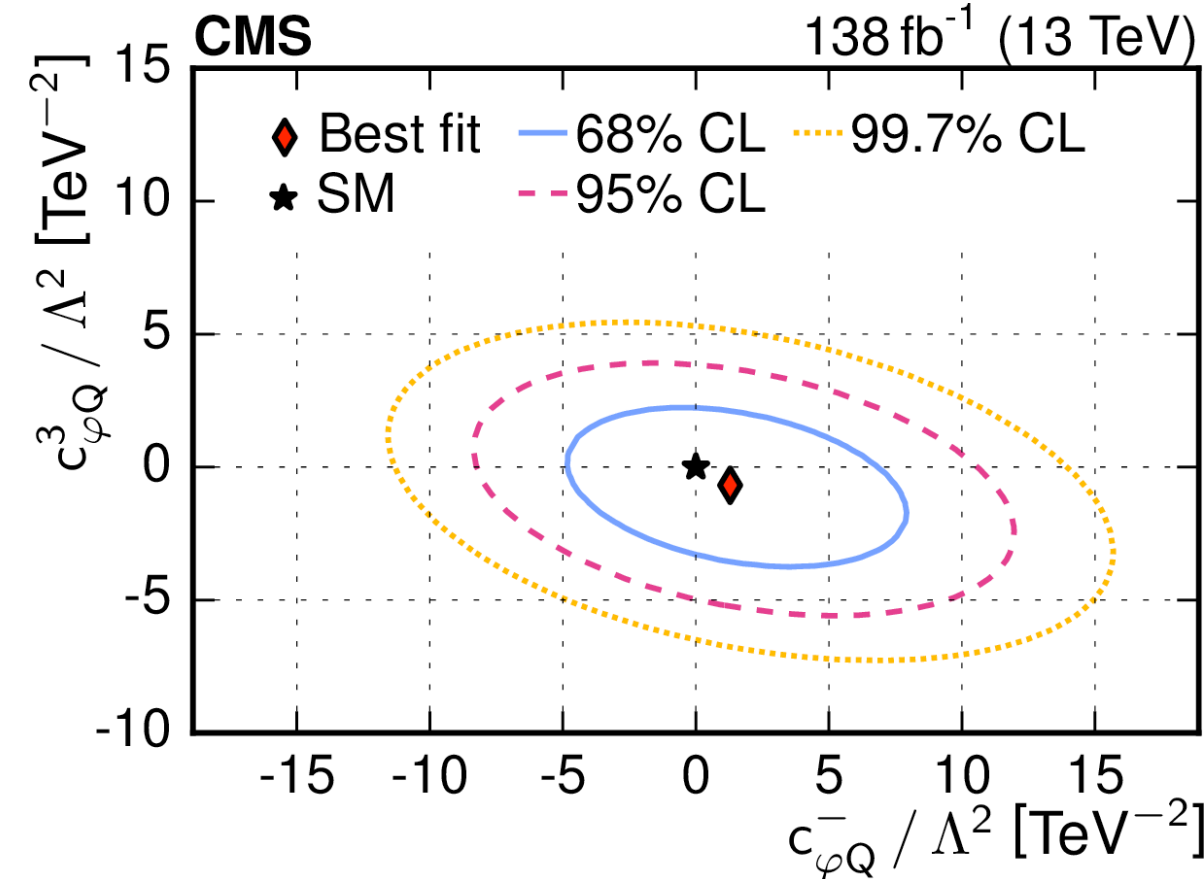
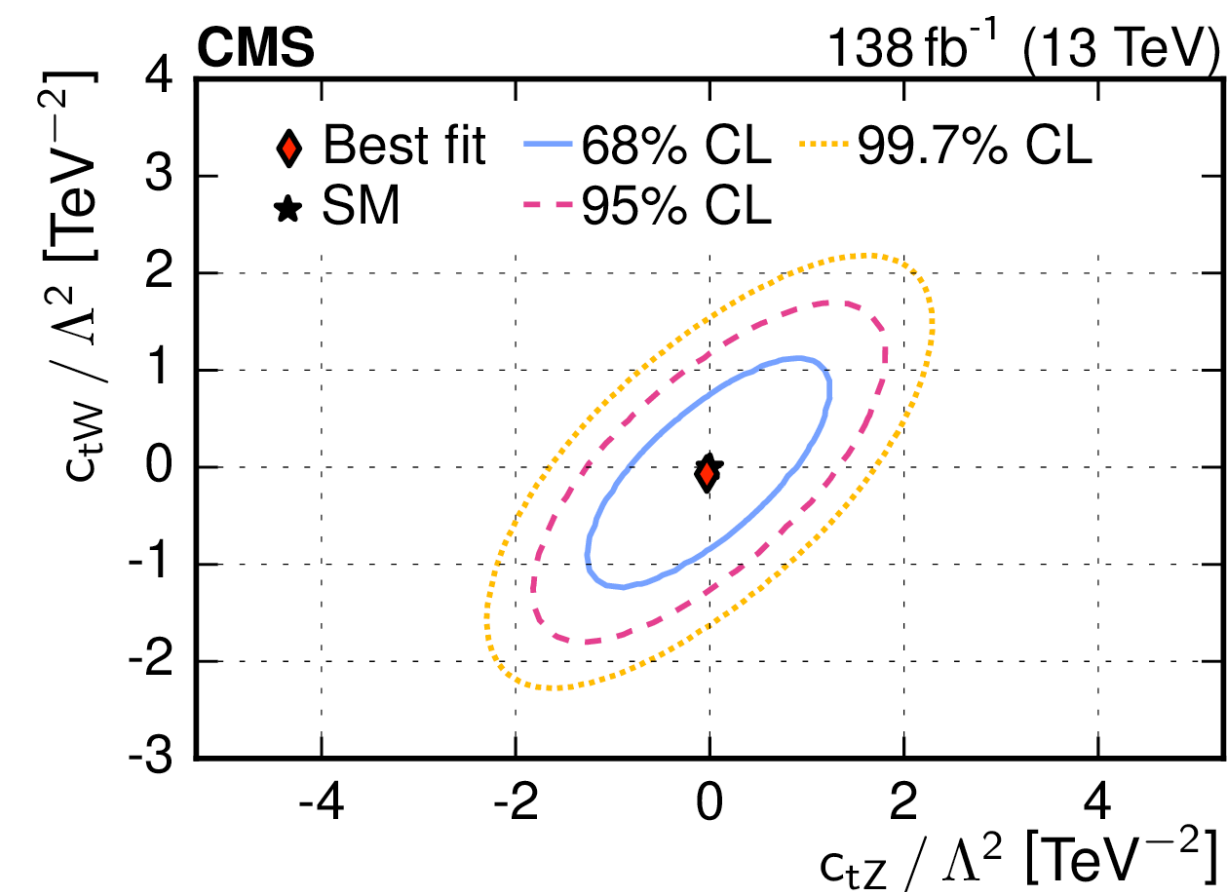
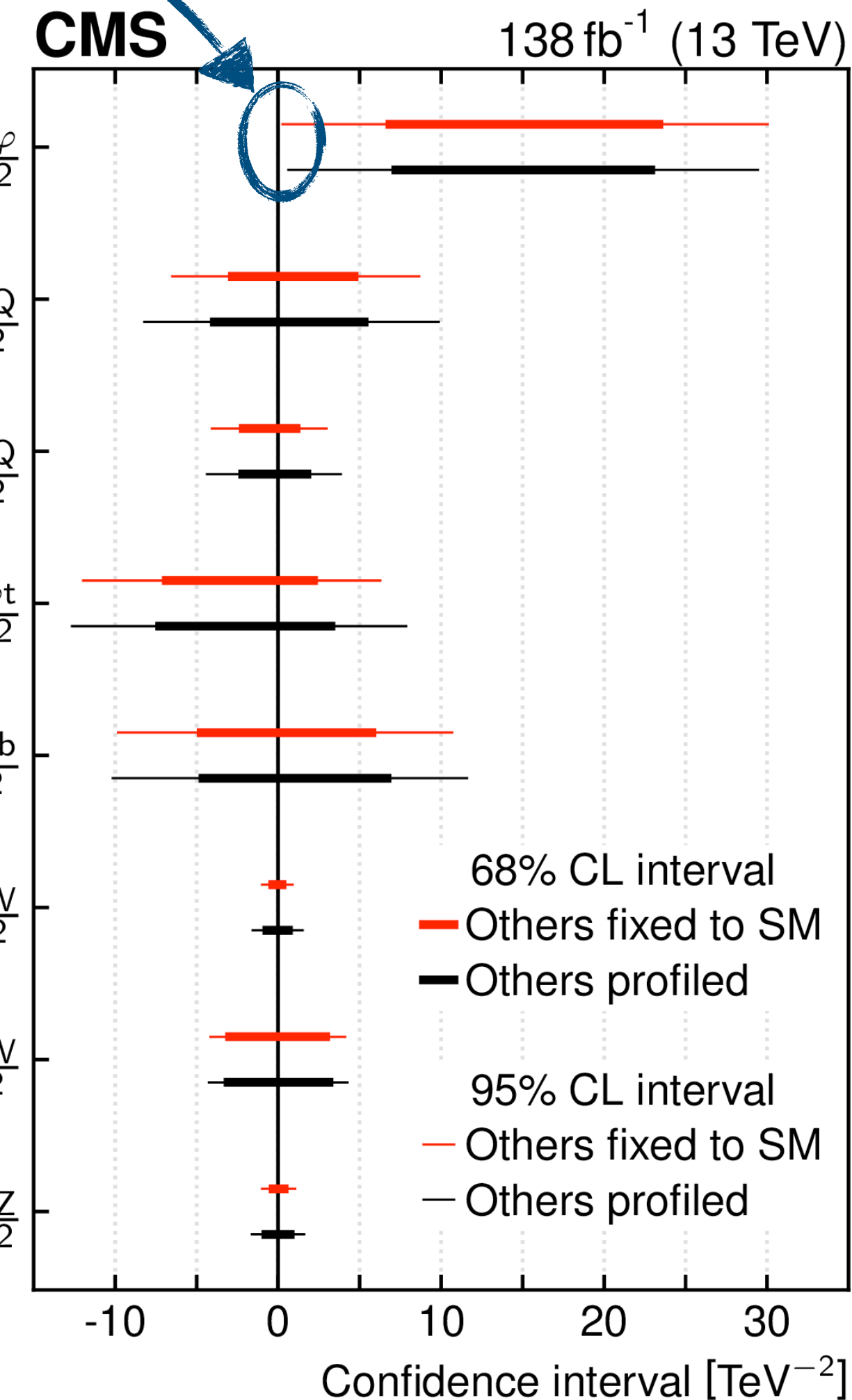
tt with a boosted H or Z

EFT sensitivity studies

- EFT predictions renormalized to NLO SM
- From SM measurement, tt+bb higher than expected
 - ttH/ttZ signal strengths towards negative values
- Induces the tension wrt SM scenario for $c_{t\phi}$
- Other 1D scans are compatible with SM
- 2D limits for WCs with the highest correlation



$$\frac{\sigma_{tt+bb}}{\sigma_{tt+bb}^{SM}} > 1$$

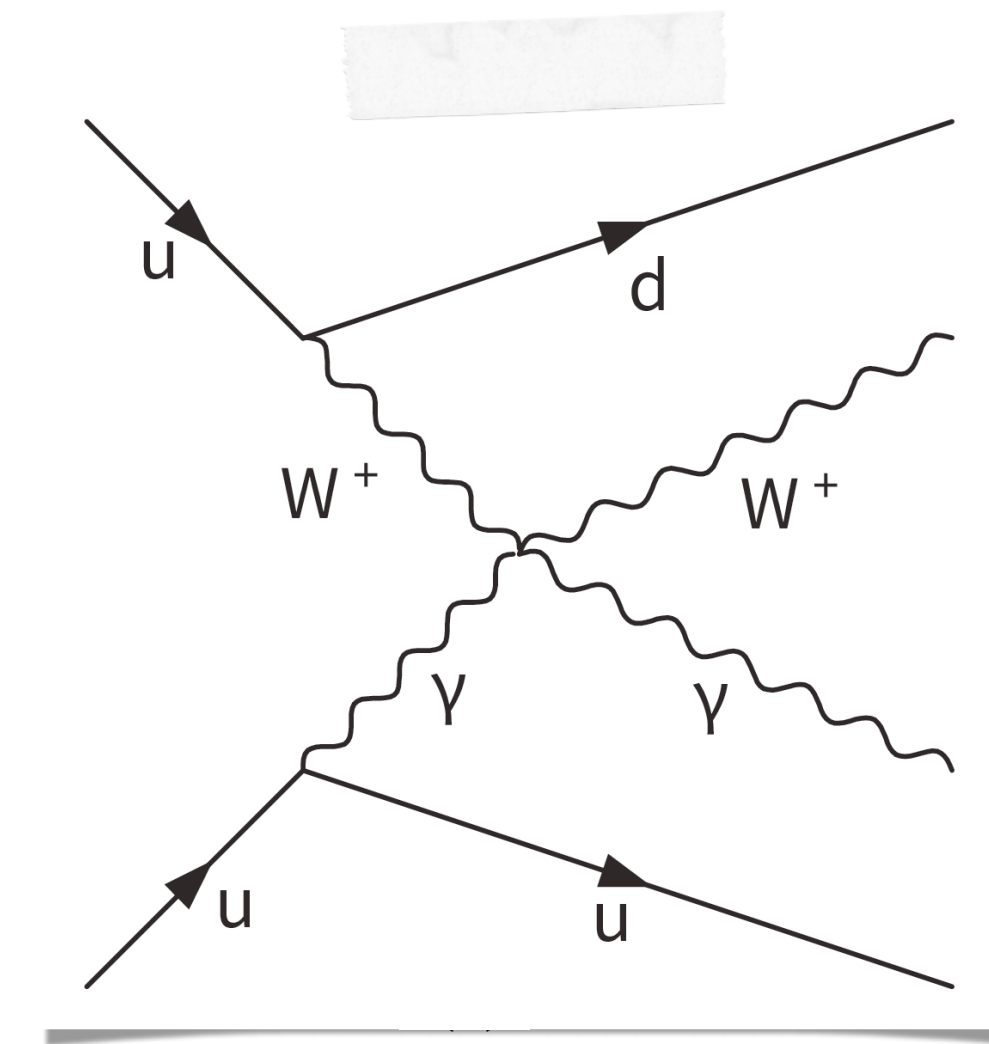


Results in EWK sector

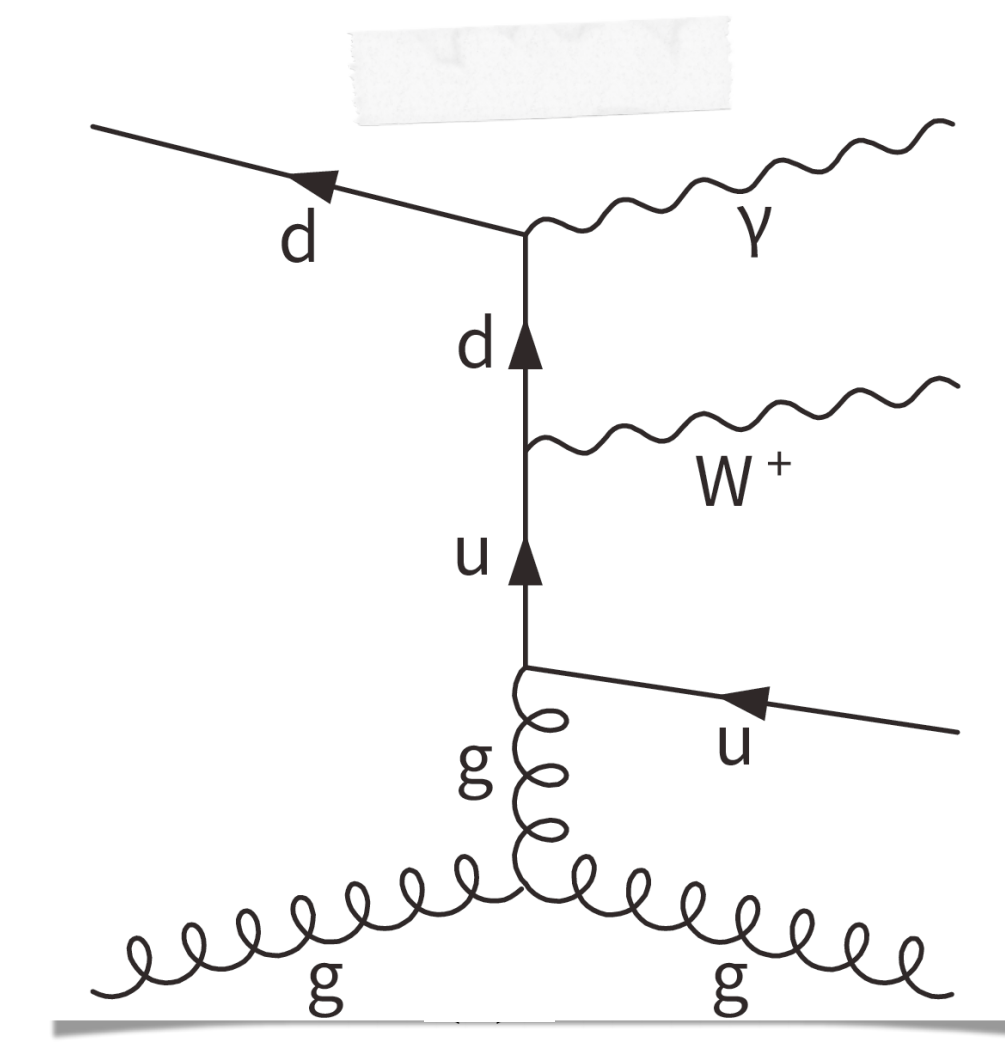
aQGC in electroweak $W\gamma jj$

Overview

- Well-displaced jets, 1 photon, and 1 ℓ coming from W boson
- Measure inclusive and differential cross-sections in a VBS phase space considering any LO diagram relevant to VBS



Pure EW

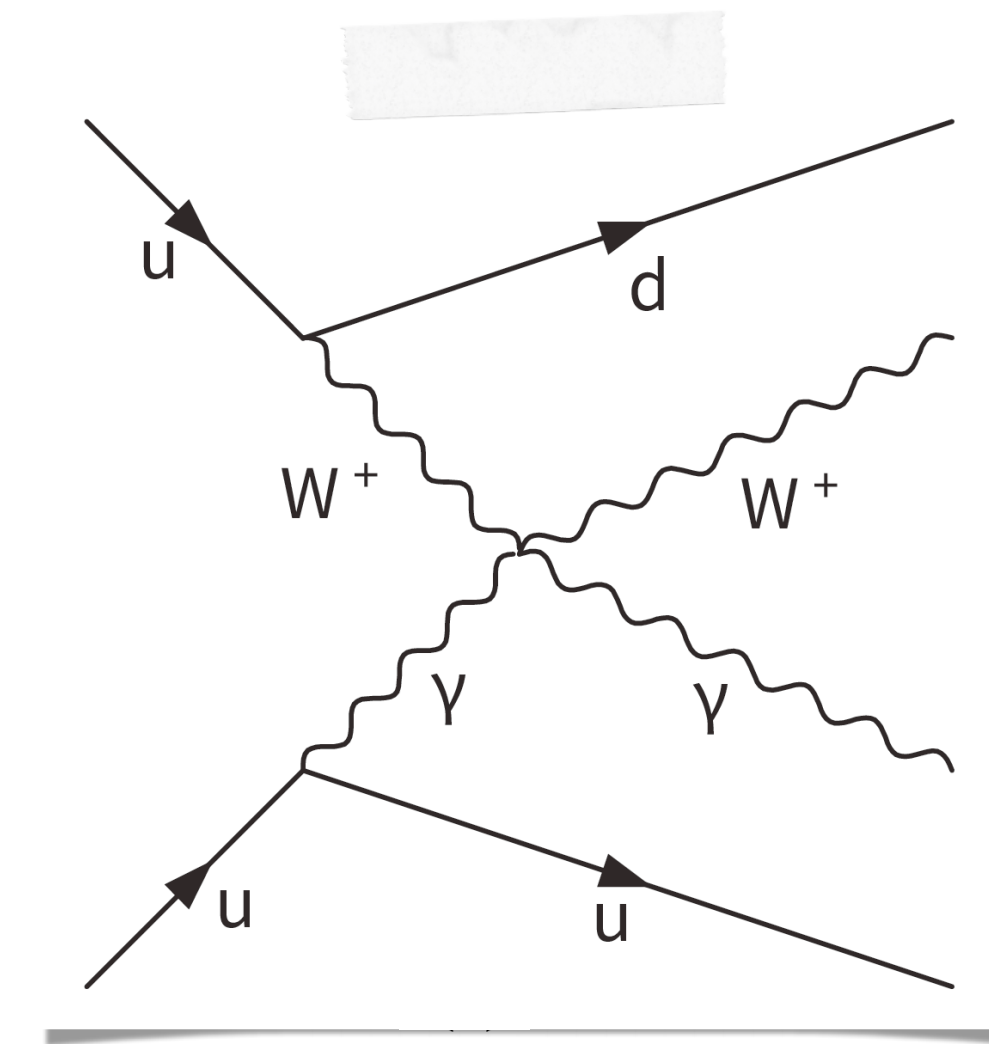


QCD-induced

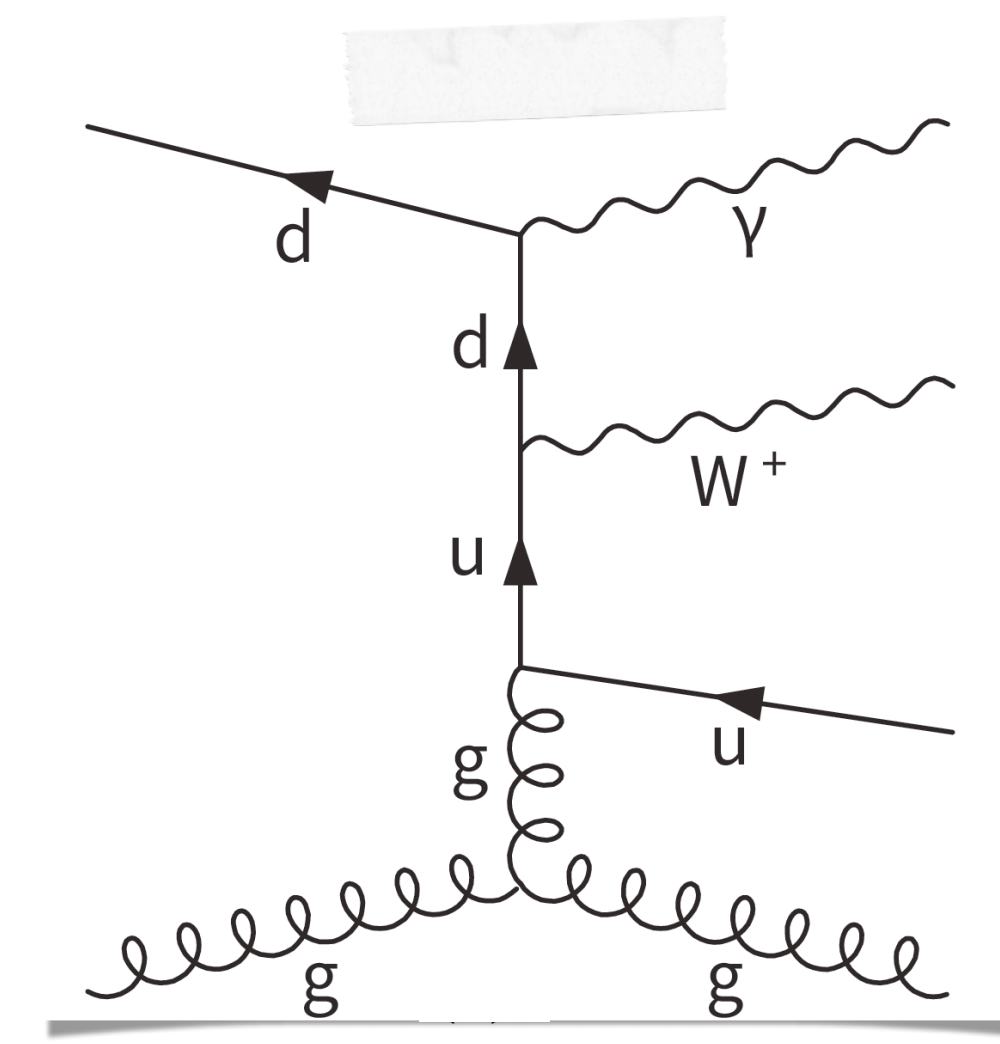
aQGC in electroweak $W\gamma jj$

Overview

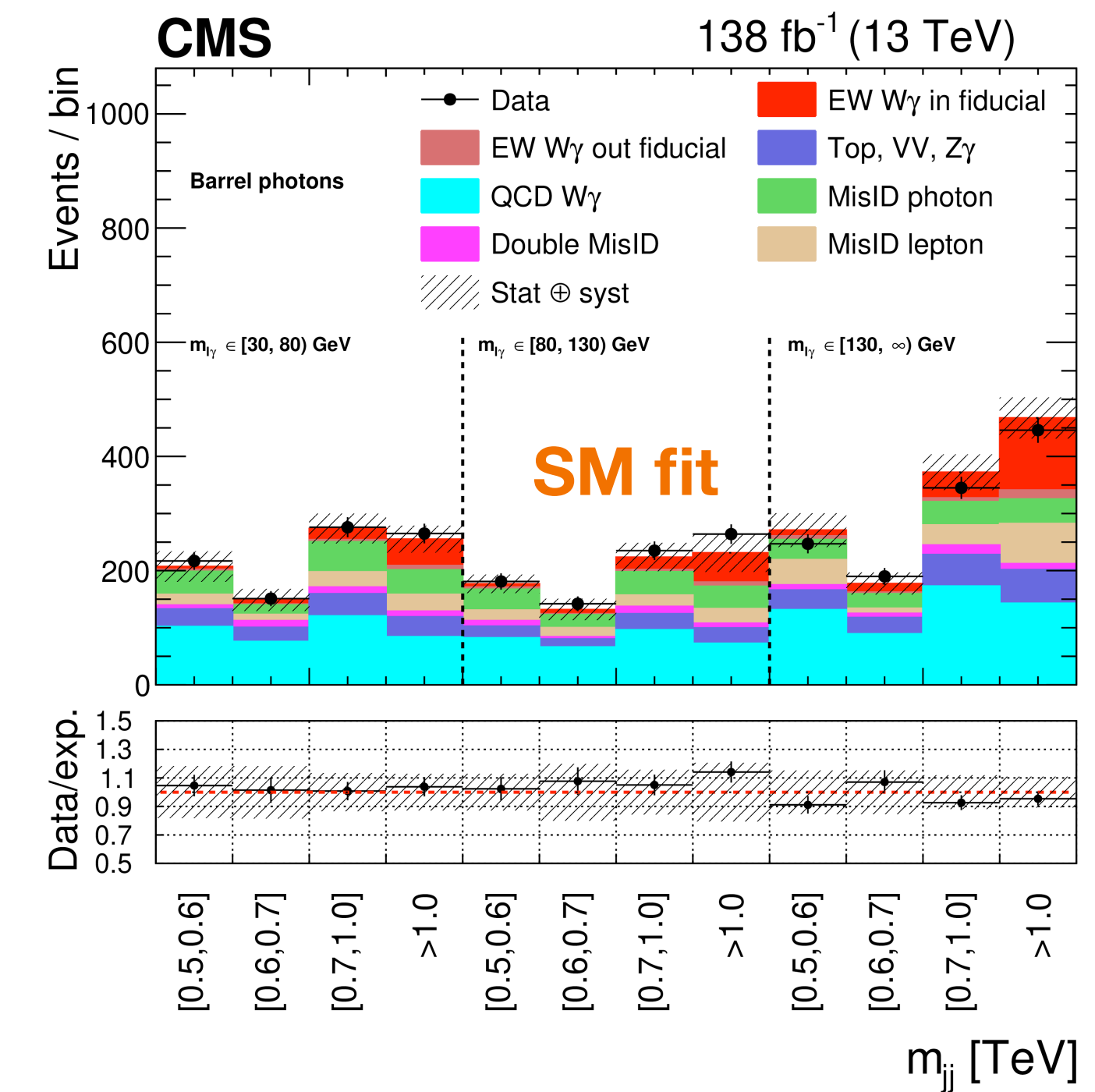
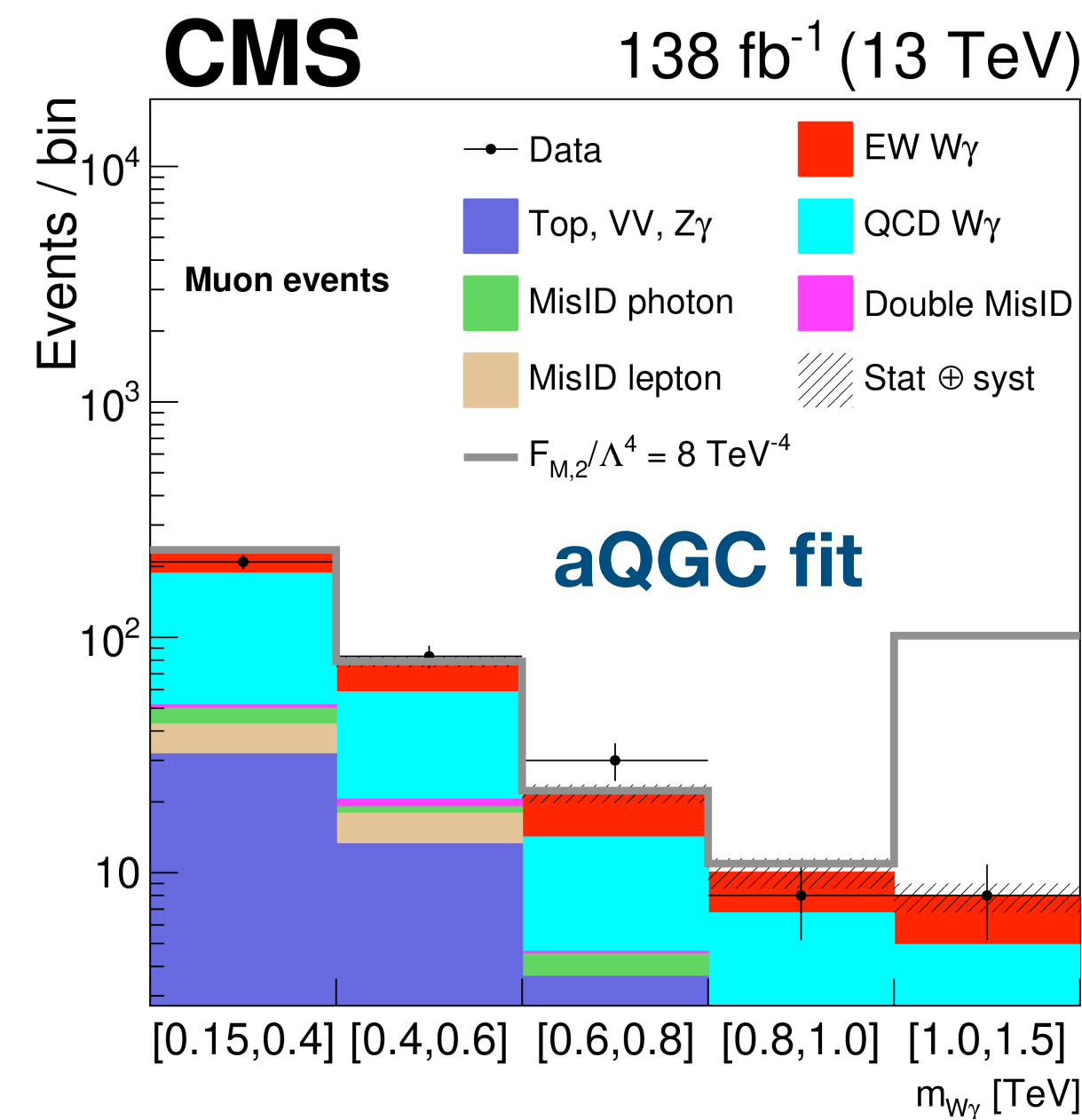
- Well-displaced jets, 1 photon, and 1 ℓ coming from W boson
- Measure inclusive and differential cross-sections in a VBS phase space considering any LO diagram relevant to VBS
- Target aQGC in **EW VBS** process modeled with EFT dim8 operators (Éboli basis @ LO)
- Separate SRs for barrel and endcap
 - SM fit performed using m_{jj} and $m_{\ell\gamma}$
 - aQGC fit with $m_{W\gamma}$



Pure EW



QCD-induced



aQGC in electroweak $W\gamma jj$

Sensitivity to aQGC with EFT

Expected limit	Observed limit	U_{bound}
$-5.1 < f_{M,0}/\Lambda^4 < 5.1$	$-5.6 < f_{M,0}/\Lambda^4 < 5.5$	1.7
$-7.1 < f_{M,1}/\Lambda^4 < 7.4$	$-7.8 < f_{M,1}/\Lambda^4 < 8.1$	2.1
$-1.8 < f_{M,2}/\Lambda^4 < 1.8$	$-1.9 < f_{M,2}/\Lambda^4 < 1.9$	2.0
$-2.5 < f_{M,3}/\Lambda^4 < 2.5$	$-2.7 < f_{M,3}/\Lambda^4 < 2.7$	2.7
$-3.3 < f_{M,4}/\Lambda^4 < 3.3$	$-3.7 < f_{M,4}/\Lambda^4 < 3.6$	2.3
$-3.4 < f_{M,5}/\Lambda^4 < 3.6$	$-3.9 < f_{M,5}/\Lambda^4 < 3.9$	2.7
$-13 < f_{M,7}/\Lambda^4 < 13$	$-14 < f_{M,7}/\Lambda^4 < 14$	2.2
$-0.43 < f_{T,0}/\Lambda^4 < 0.51$	$-0.47 < f_{T,0}/\Lambda^4 < 0.51$	1.9
$-0.27 < f_{T,1}/\Lambda^4 < 0.31$	$-0.31 < f_{T,1}/\Lambda^4 < 0.34$	2.5
$-0.72 < f_{T,2}/\Lambda^4 < 0.92$	$-0.85 < f_{T,2}/\Lambda^4 < 1.0$	2.3
$-0.29 < f_{T,5}/\Lambda^4 < 0.31$	$-0.31 < f_{T,5}/\Lambda^4 < 0.33$	2.6
$-0.23 < f_{T,6}/\Lambda^4 < 0.25$	$-0.25 < f_{T,6}/\Lambda^4 < 0.27$	2.9
$-0.60 < f_{T,7}/\Lambda^4 < 0.68$	$-0.67 < f_{T,7}/\Lambda^4 < 0.73$	3.1

aQGC in electroweak $W\gamma jj$

Sensitivity to aQGC with EFT

- Most stringent limits to date on f_{M2-5} and f_{T6-7}

Expected limit	Observed limit	U_{bound}
$-5.1 < f_{M,0}/\Lambda^4 < 5.1$	$-5.6 < f_{M,0}/\Lambda^4 < 5.5$	1.7
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aQGC in electroweak $W\gamma jj$

Sensitivity to aQGC with EFT

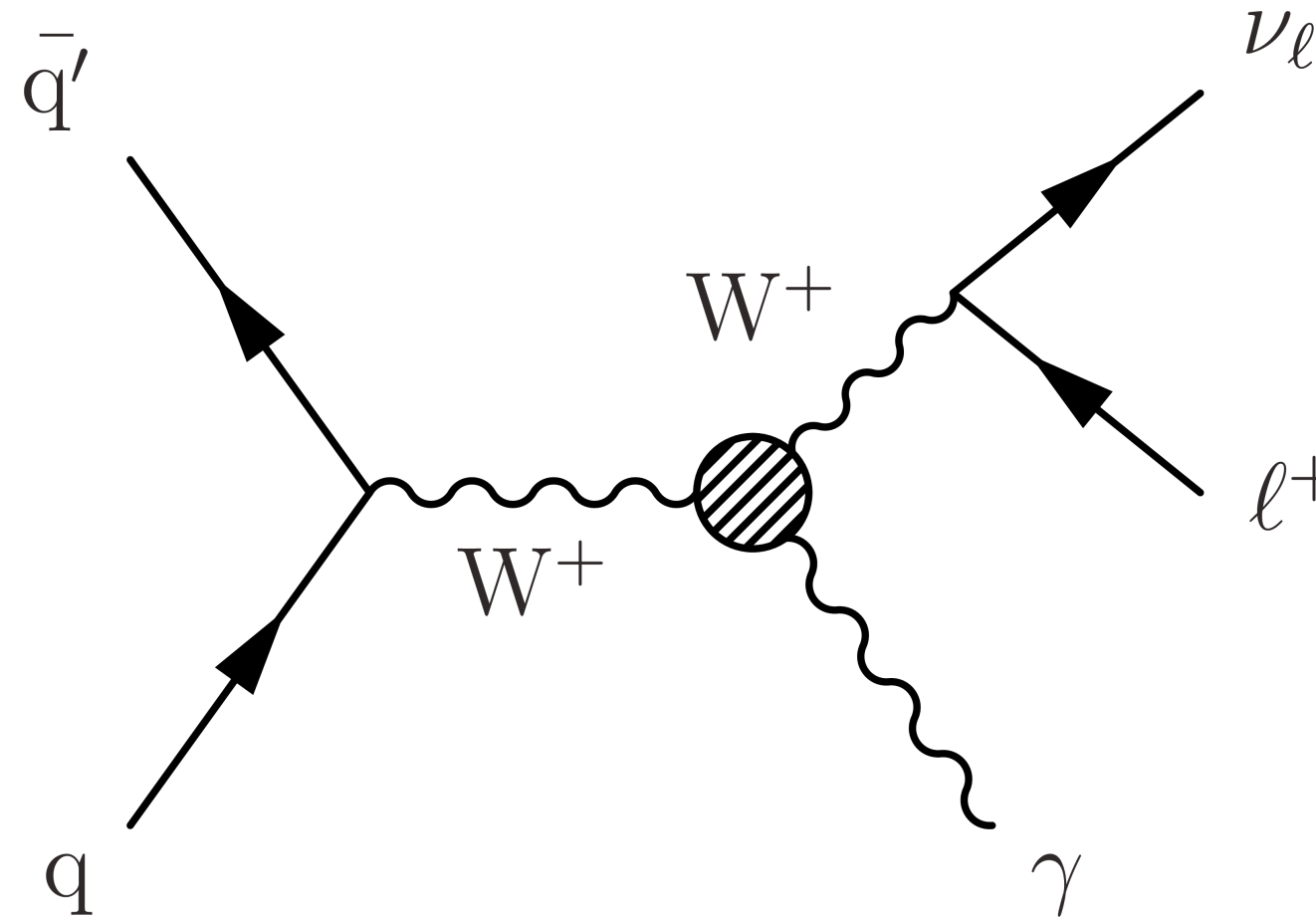
- Most stringent limits to date on f_{M2-5} and f_{T6-7}
- Provided U_{bound}
 - Scattering energy violating unitarity for the scattering amplitude
 - Calculated imposing aQGC coupling equal to the observed limit

Expected limit	Observed limit	U_{bound}
$-5.1 < f_{M,0}/\Lambda^4 < 5.1$	$-5.6 < f_{M,0}/\Lambda^4 < 5.5$	1.7
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SMEFT dim6 in $W\gamma$

Overview

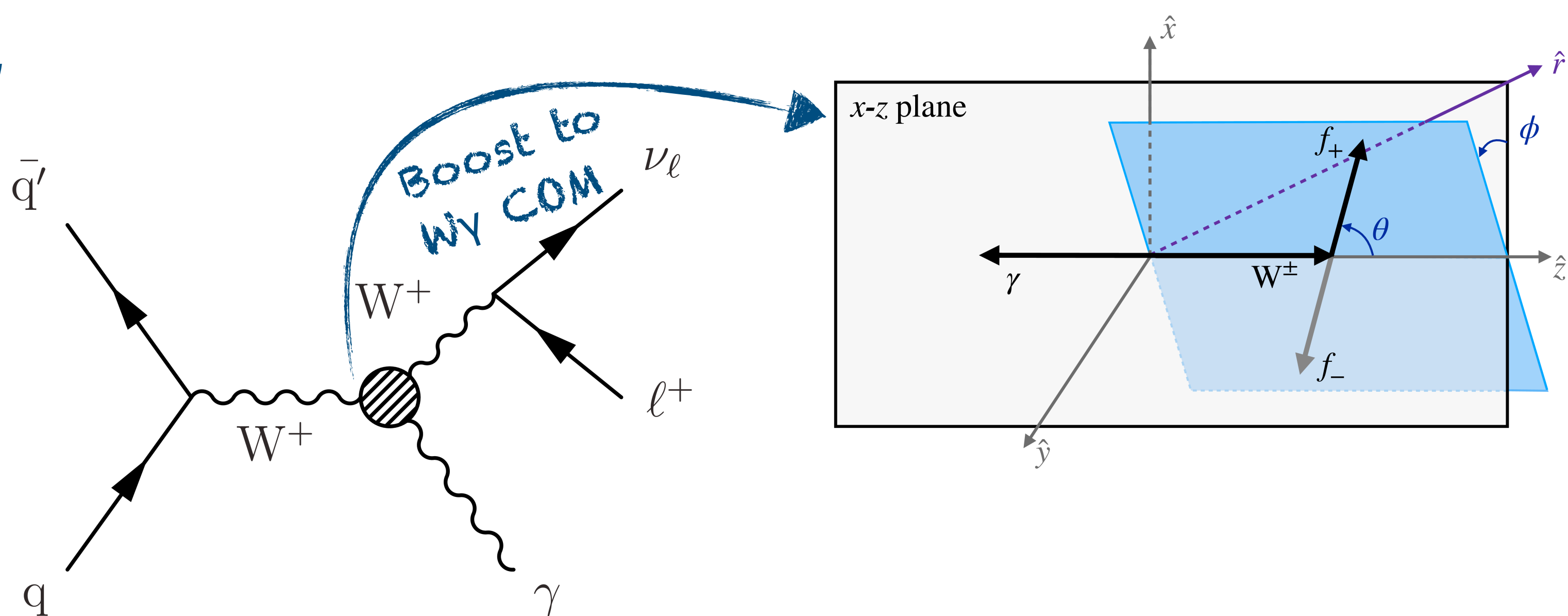
- 1 photon and 1 ℓ coming from W
- Measurement of the differential cross section with RIVET
- Target C_{3W} effects in $W\gamma$ (SMEFTsim @ LO)



SMEFT dim6 in $W\gamma$

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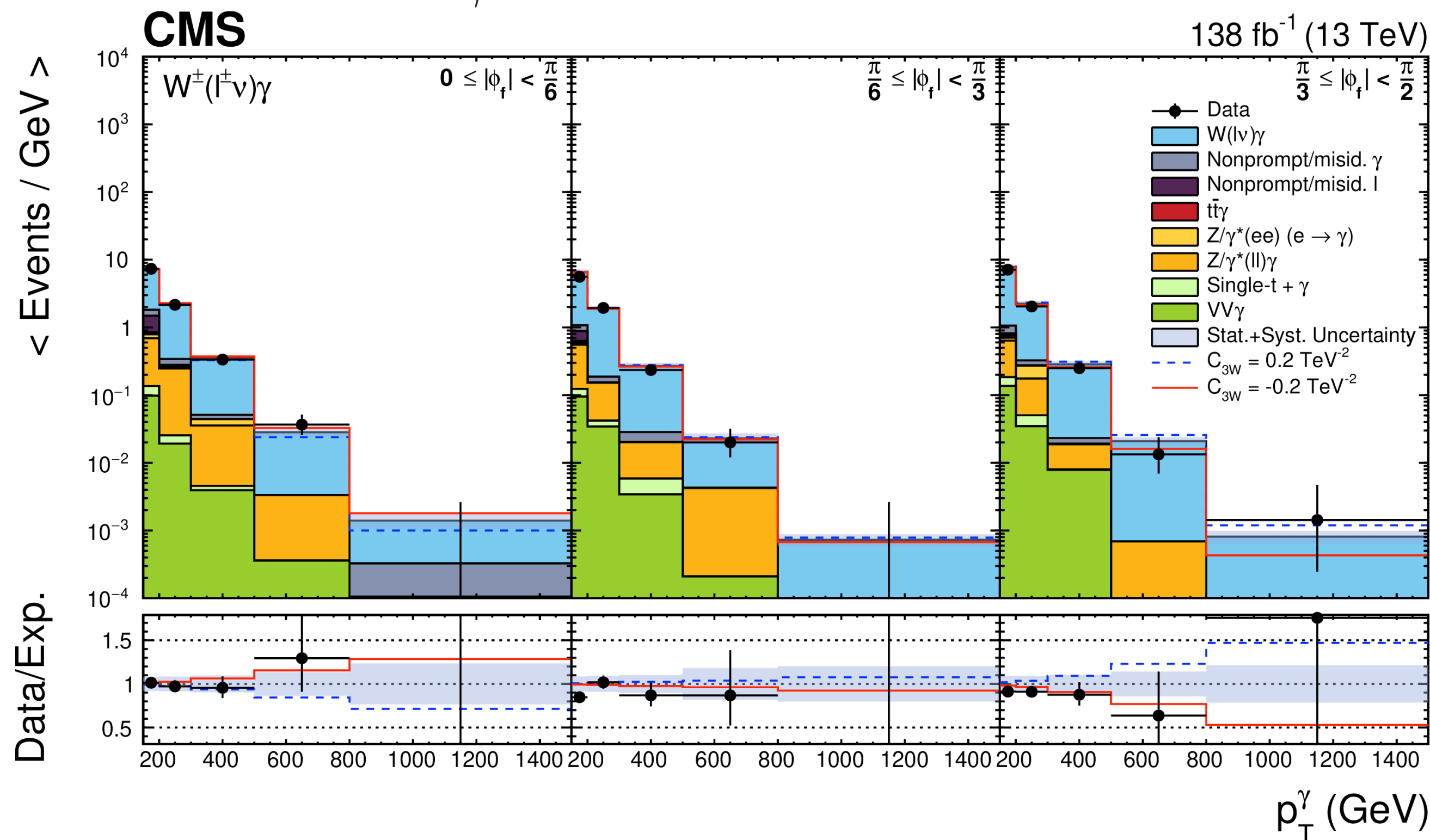
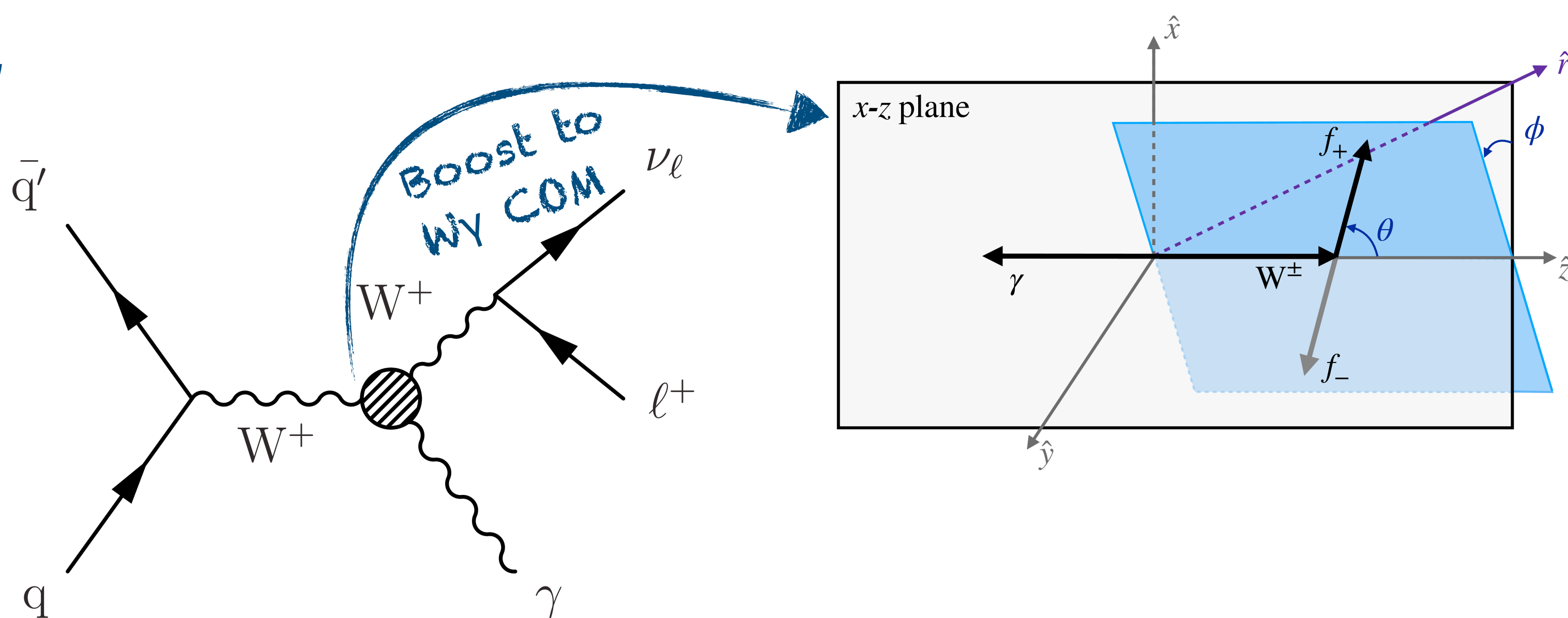
- 1 photon and 1 ℓ coming from W
- Measurement of the differential cross section with RIVET
- Target C_{3W} effects in $W\gamma$ (SMEFTsim @ LO)
- EFT effects in decay angle φ_f in the $W\gamma$ center-of-mass frame to enhance sensitivity to SM-EFT interference
 - “Interference resurrection”
 - Important to capture the different final-state helicity configurations for the SM and BSM $W_T V_T$ components



SMEFT dim6 in $W\gamma$

Overview

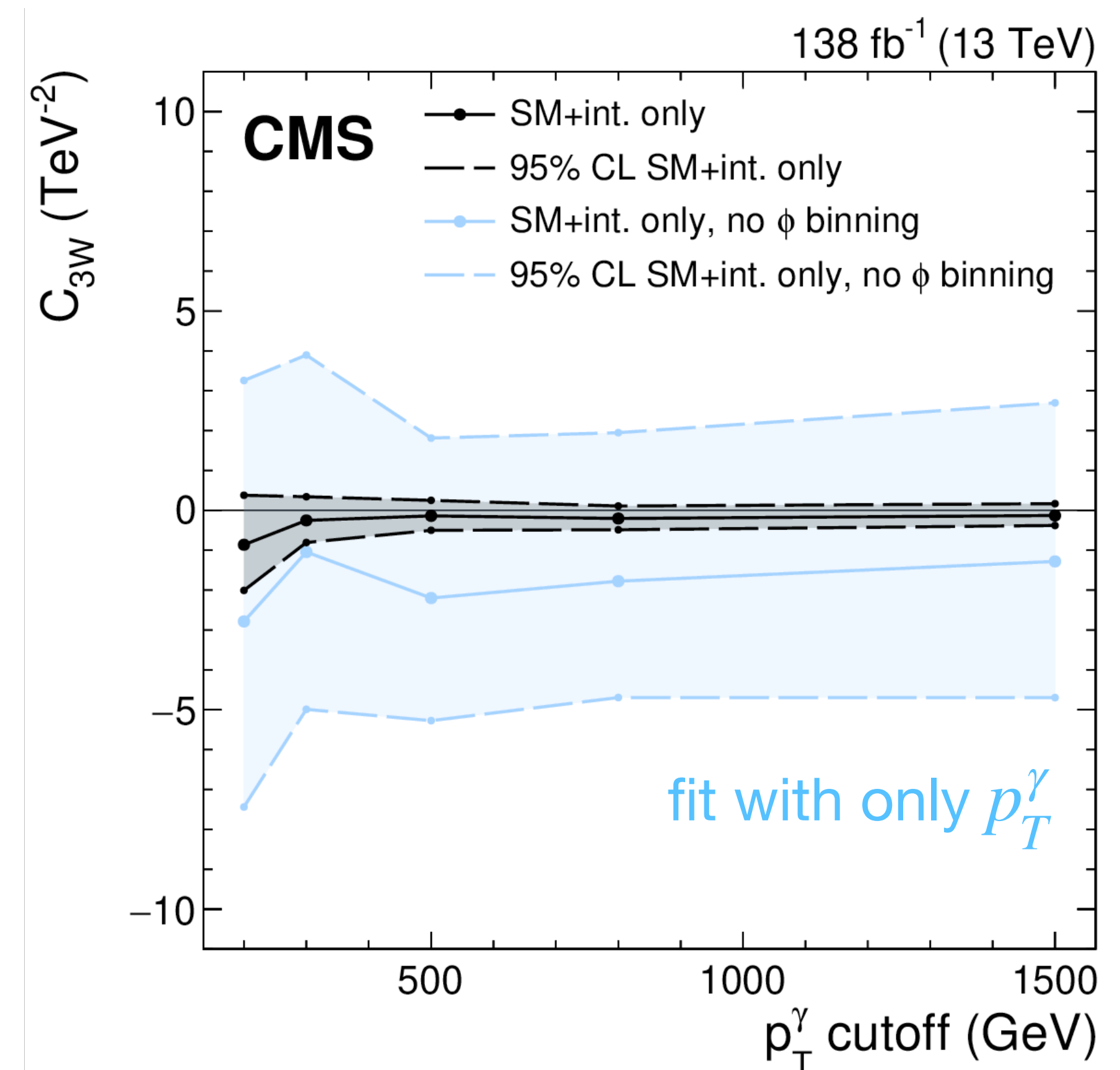
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 - “Interference resurrection”
 - Important to capture the different final-state helicity configurations for the SM and BSM $W_T V_T$ components
- Fit with 2D $\varphi_f - p_T^\gamma$ bins



SMEFT dim6 in $W\gamma$

Results

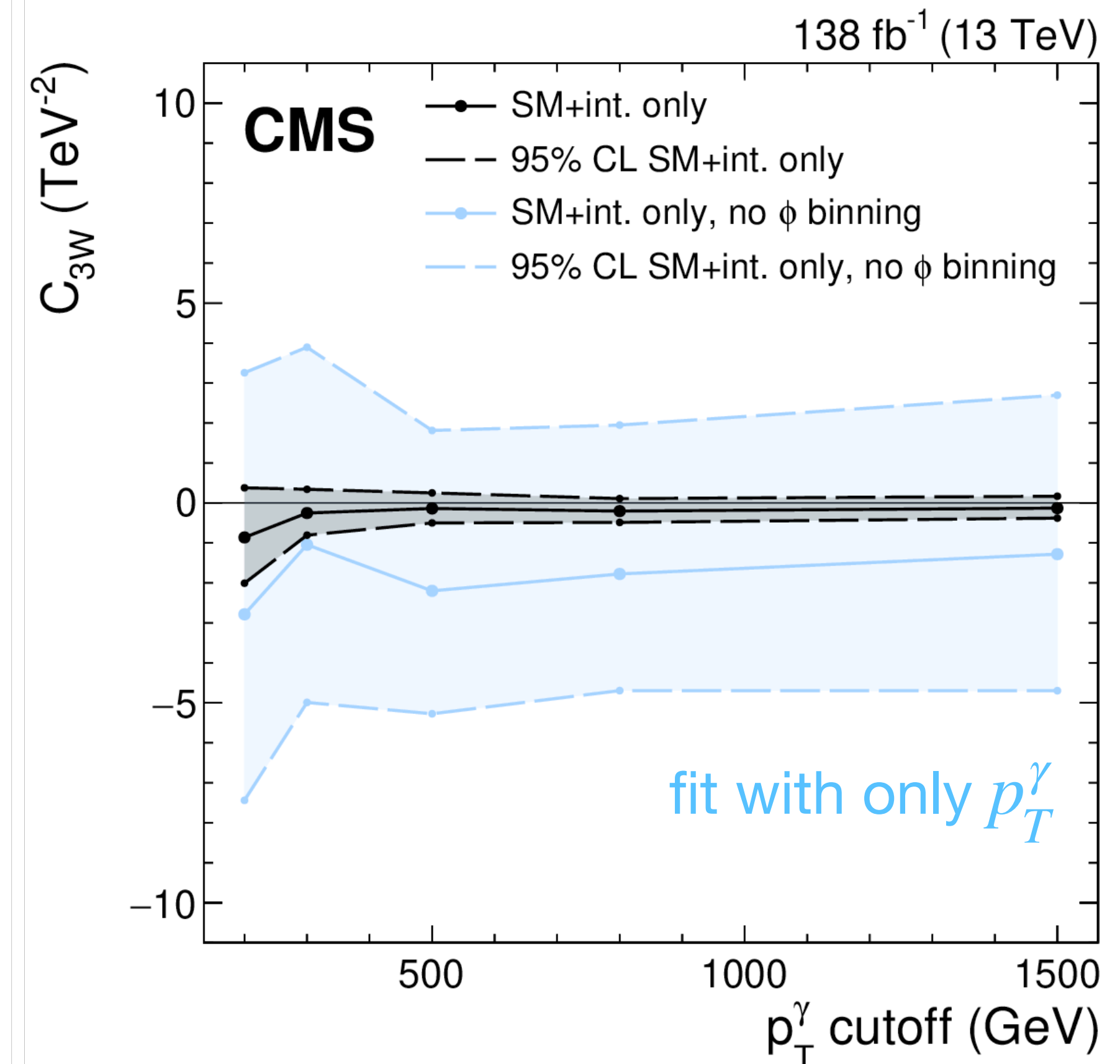
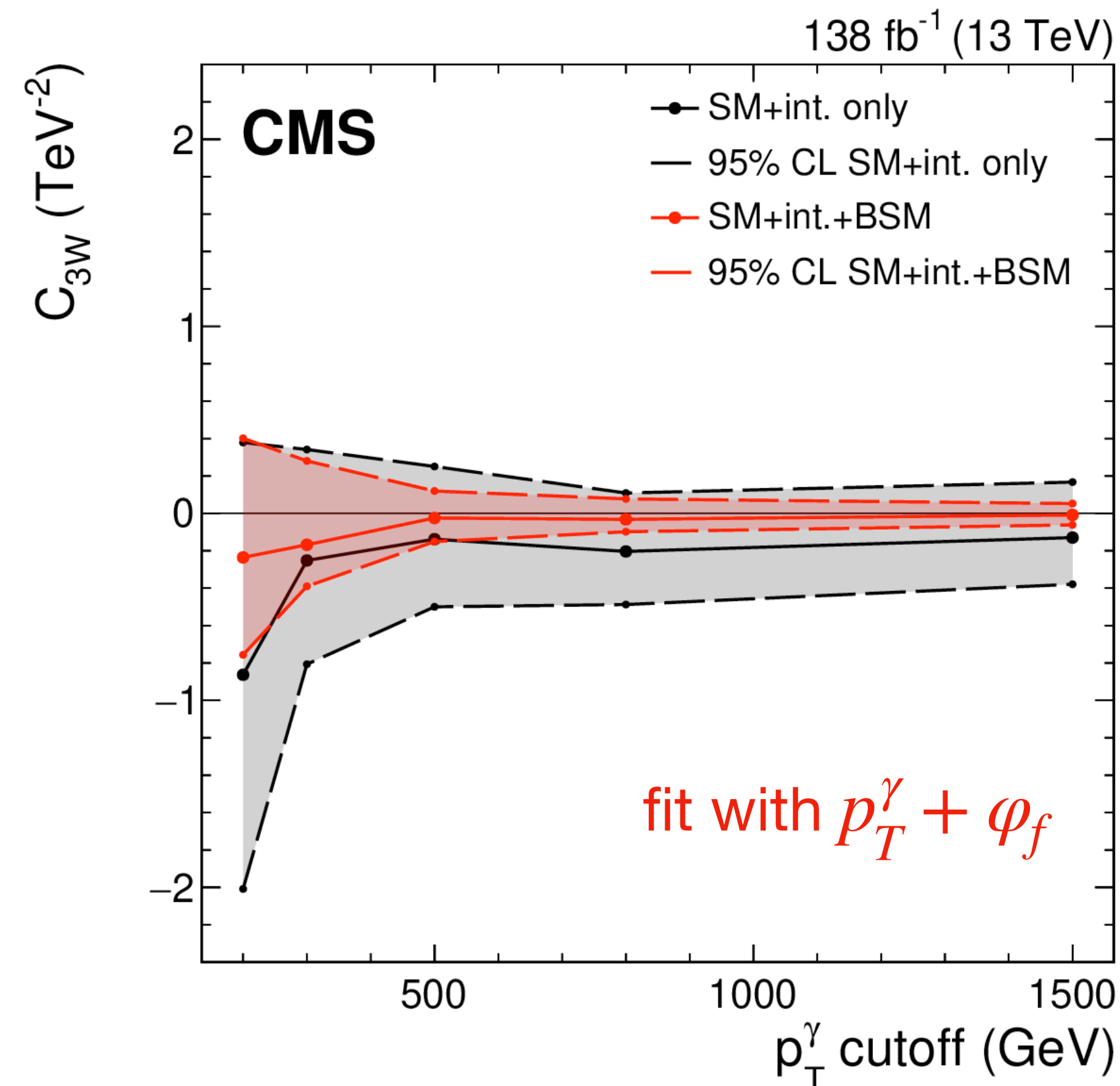
- 2σ limits as a function of p_T^γ cutoff



SMEFT dim6 in $W\gamma$

Results

- 2σ limits as a function of p_T^γ cutoff
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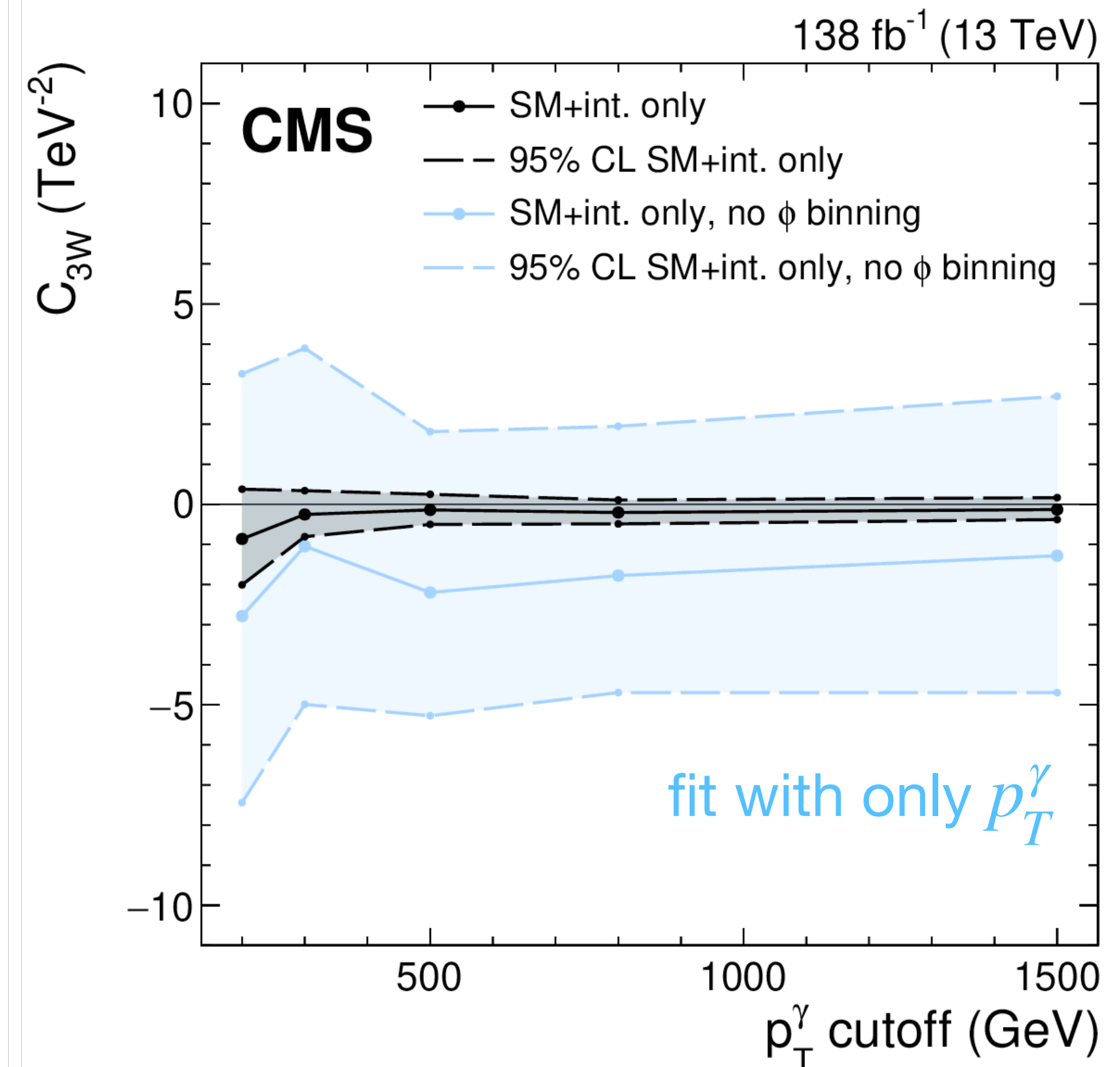
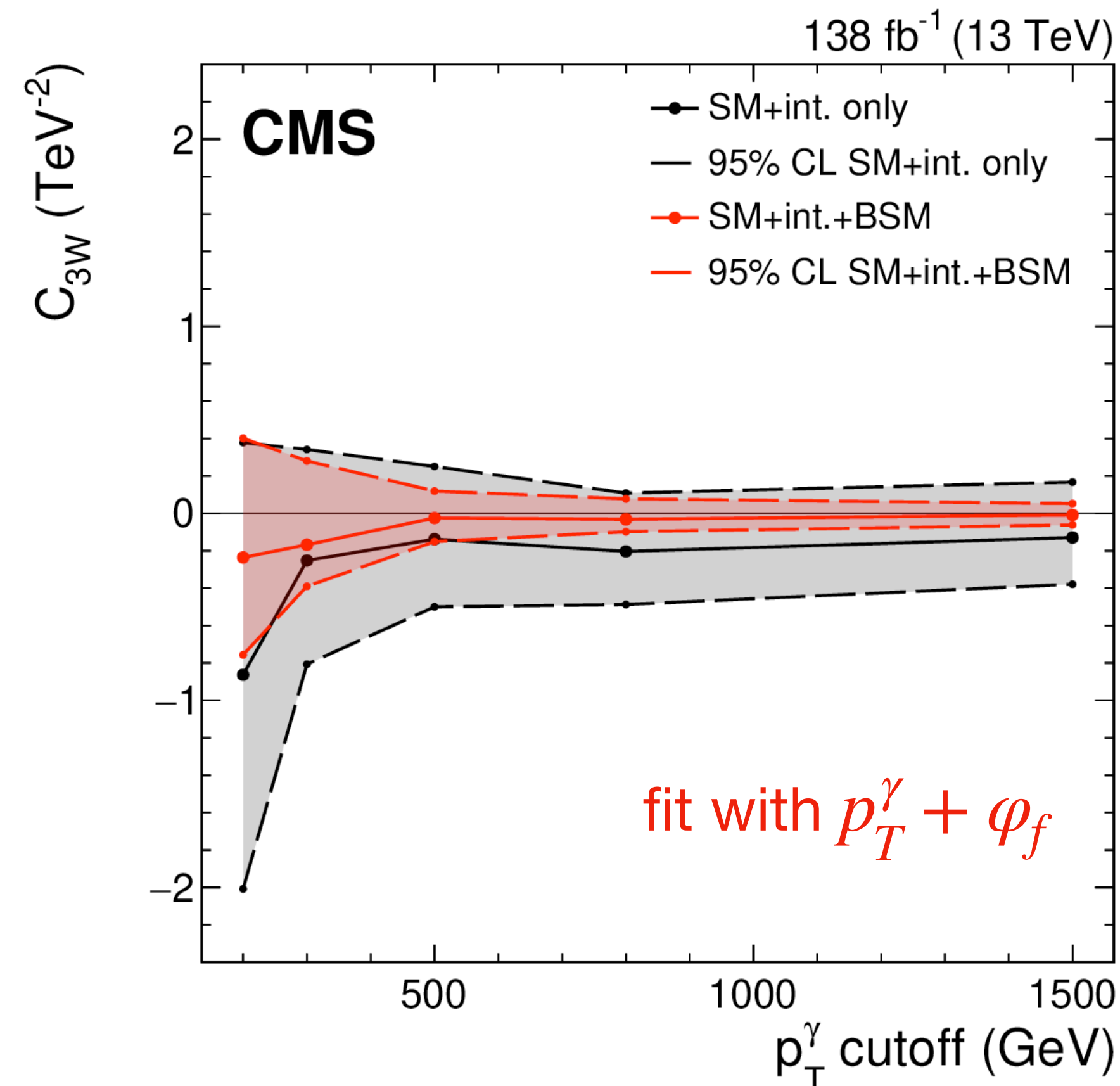


SMEFT dim6 in $W\gamma$

Results

- 2σ limits as a function of p_T^γ cutoff
- Information from φ_f dramatically improves sensitivity to interference
- Pure BSM term drives the overall results

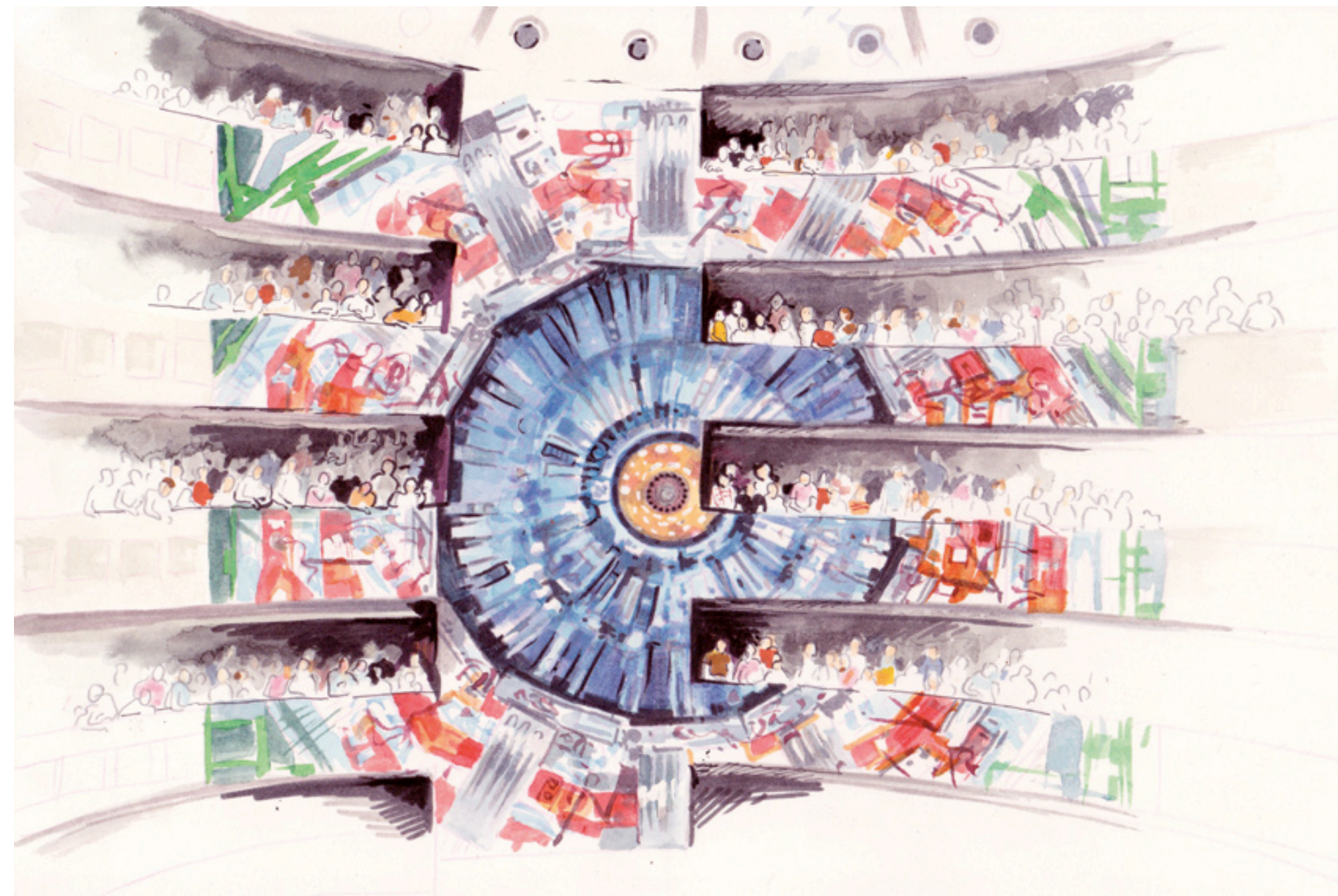
p_T^γ cutoff (GeV)	Best fit C_{3W} (TeV^{-2})		Observed 95% CL (TeV^{-2})		Expected 95% CL (TeV^{-2})	
	SM+int. only	SM+int.+BSM	SM+int. only	SM+int.+BSM	SM+int. only	SM+int.+BSM
200	-0.86	-0.24	[-2.01, 0.38]	[-0.76, 0.40]	[-1.16, 1.27]	[-0.81, 0.71]
300	-0.25	-0.17	[-0.81, 0.34]	[-0.39, 0.28]	[-0.56, 0.60]	[-0.33, 0.33]
500	-0.13	-0.025	[-0.50, 0.25]	[-0.15, 0.12]	[-0.35, 0.38]	[-0.17, 0.16]
800	-0.20	-0.033	[-0.49, 0.11]	[-0.10, 0.08]	[-0.29, 0.31]	[-0.097, 0.095]
1500	-0.13	-0.009	[-0.38, 0.17]	[-0.062, 0.052]	[-0.27, 0.29]	[-0.066, 0.065]



Conclusions

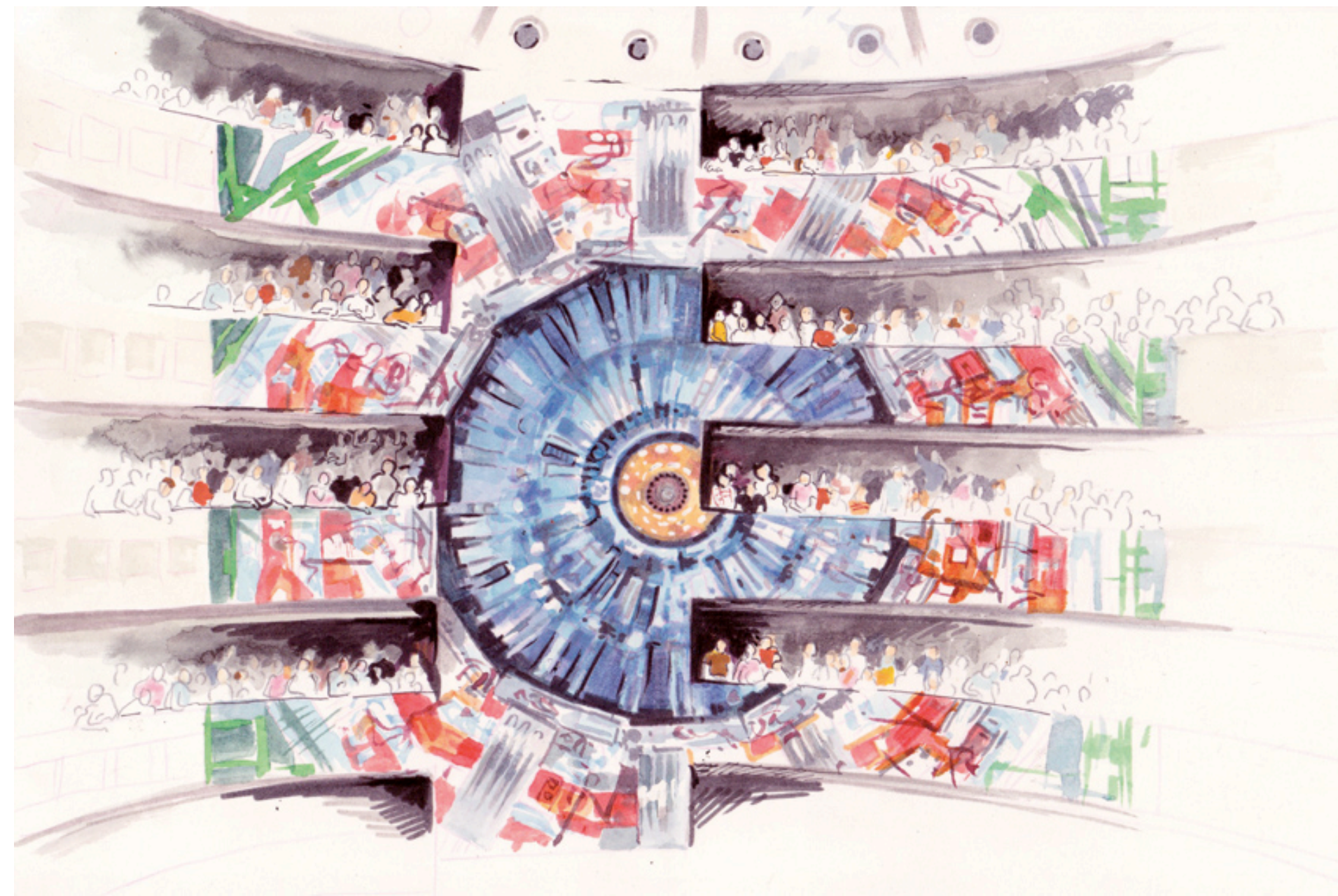
Summary

- CMS developed a huge program of EFT searches with the Run-2 dataset to look at all possible directions
 - TOP: broad investigations on peculiar top processes and flavor anomalies
 - EWK: detailed studies of processes involving EWSB actors
- Simultaneous studies of multiple same-dimension EFT operators at a time



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- No deviation from SM
- Established solid bases for further developments with the Run-3 dataset



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