

YonedaVAE: Self-Supervised Out-of-Distribution Multi-Set Generation for Amortized Simulation and Inverse Problems

Baran Hashemi

Ludwig-Maximilians-Universität München

Currently at The ORIGINS Cluster Munich (TUM)



Bundesministerium
für Bildung
und Forschung

ErUM-Data



Motivation



From HEP side:

To do a **full detector** simulation with **irregular geometry** and **ultra-high granularity**?

To *Generate* detector signatures for kinematic/luminosity regions where **data is very rare**?

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From ML side:

To Reach "**Context Extrapolation**" in Inverse design problems?

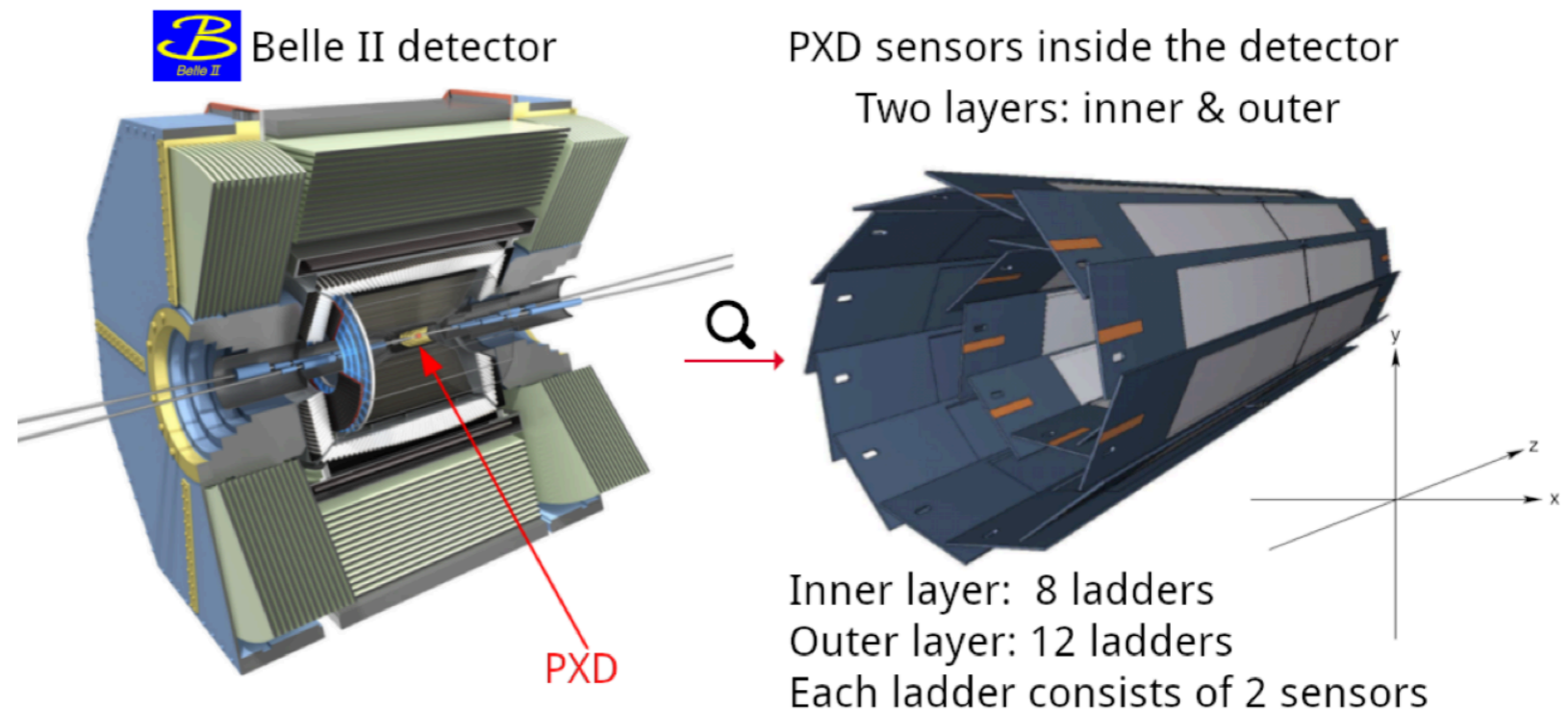
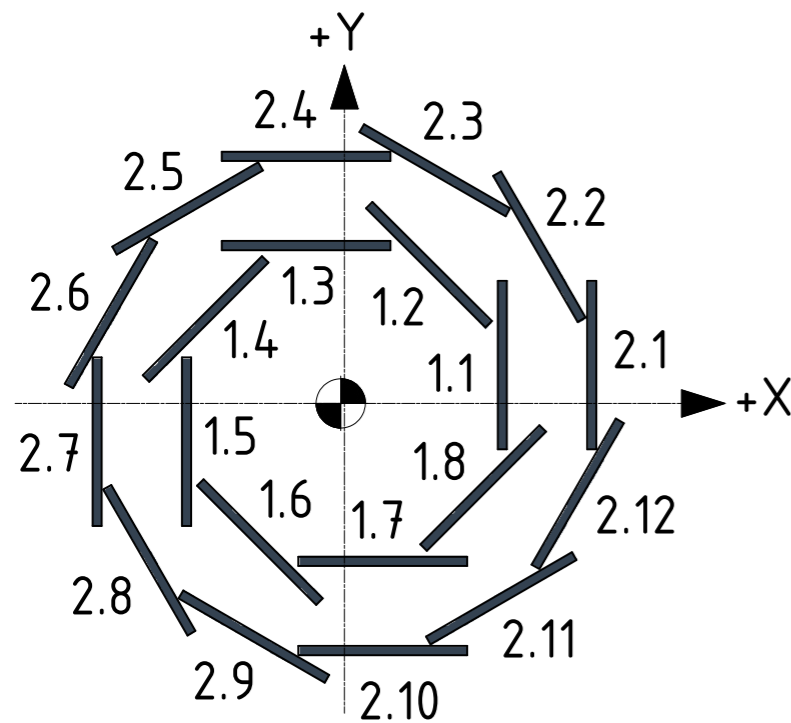
Enhance the VAE prior with **Self-Supervised Learning**?

Experiment

- **The Pixel Vertex Detector (PXD):** sub-detector for charged tracks at Belle II.
- **+7.5M channels, [40,250,768], per event** → “Ultra-High Granularity”
- **Having a Toroid topology:**

The inner layer: 16 sensors, The outer layer: 24 sensors

(only 19 sensors were installed)



PXD Generation: YonedaVAE



■ Problem description:

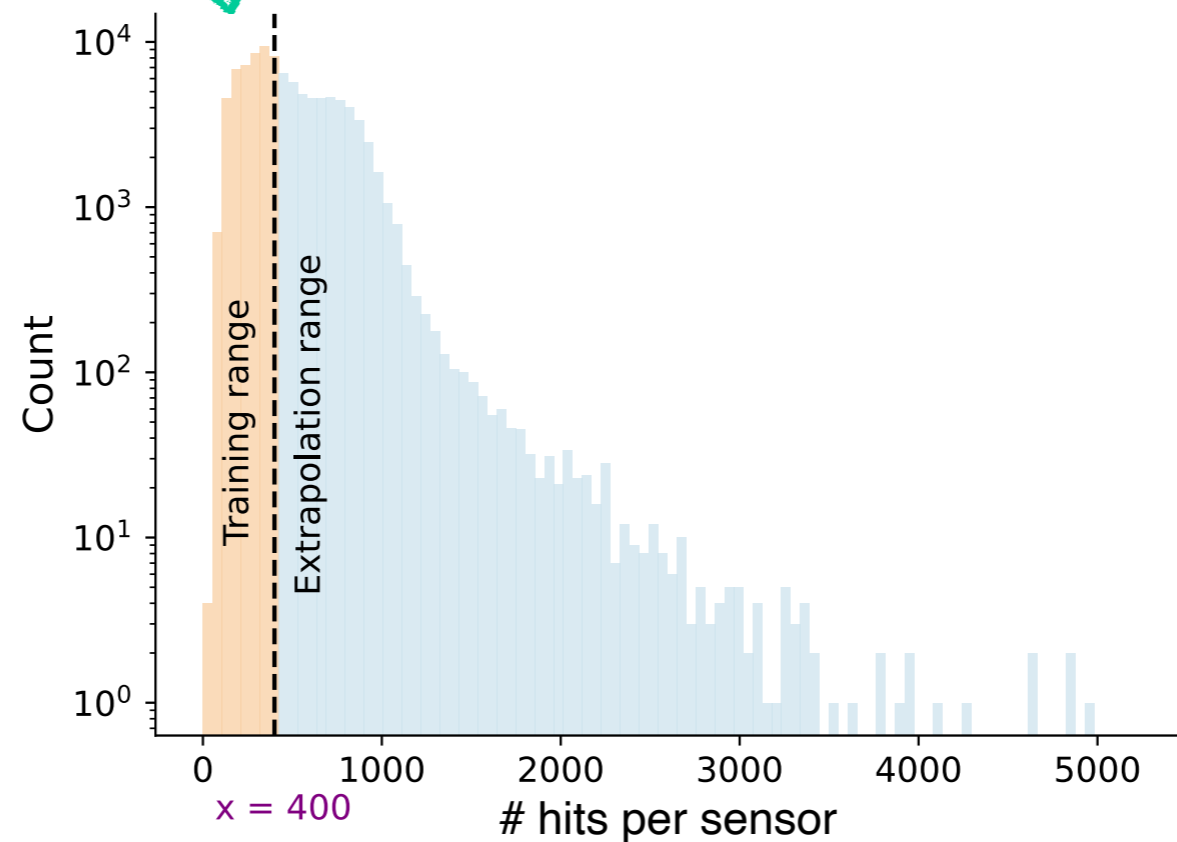
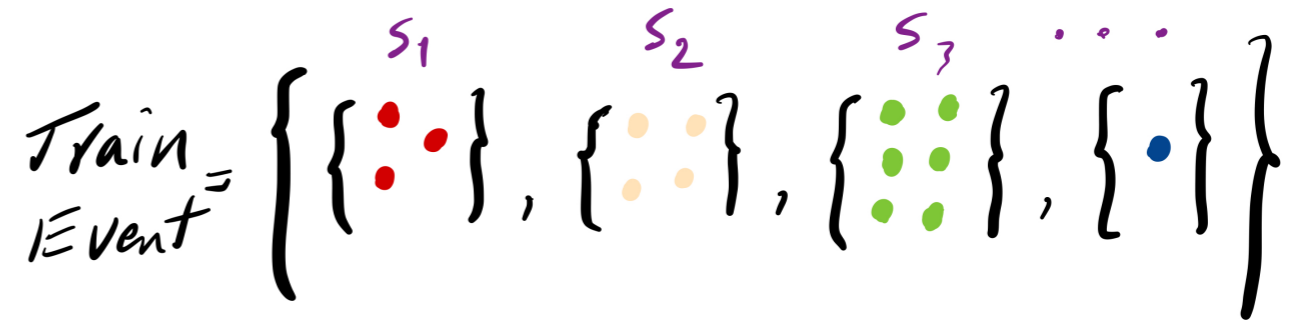
A. Training:

$$\mathcal{D}_{train} = \{(\mathbf{X}^{(m)}; \mathbf{e}^{(m)}; \mathbf{c}^{(m)})\}_{m=1}^{19}$$

\mathbf{X} : Set of hits, $|\mathbf{X}^{(m)}| = N^{(m)}$

\mathbf{e} : Event-level attribute

\mathbf{c} : Sensor-level condition



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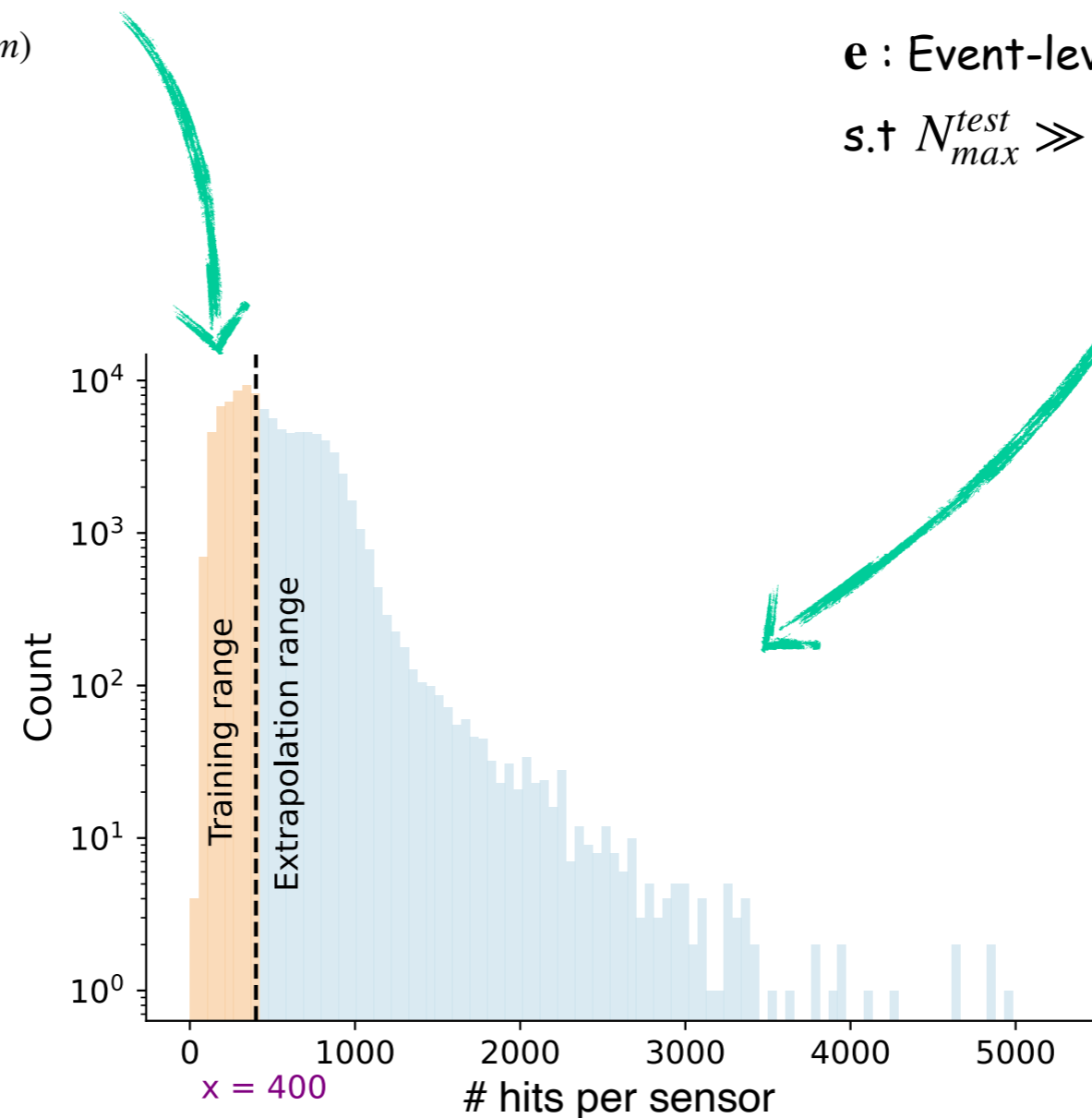
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B. Test: Zero-Shot

$$\mathcal{D}_{test} = \{\mathbf{e}^{(m)}\}_{m=1}^{19}$$

\mathbf{e} : Event-level attribute

s.t $N_{max}^{test} \gg N_{max}^{train}$



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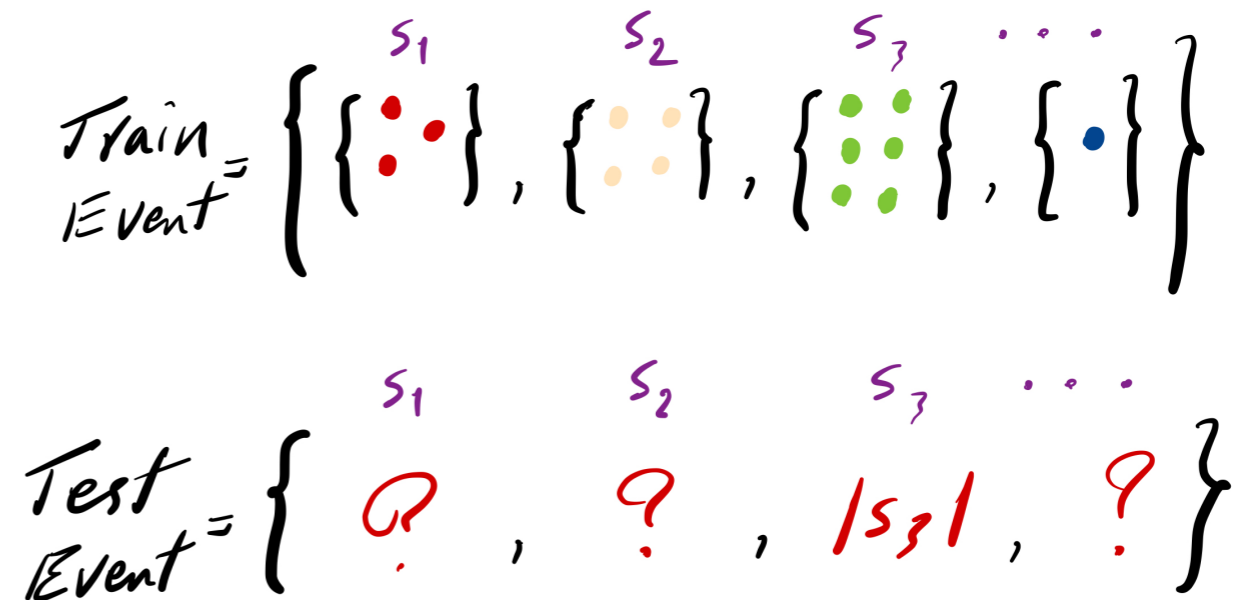
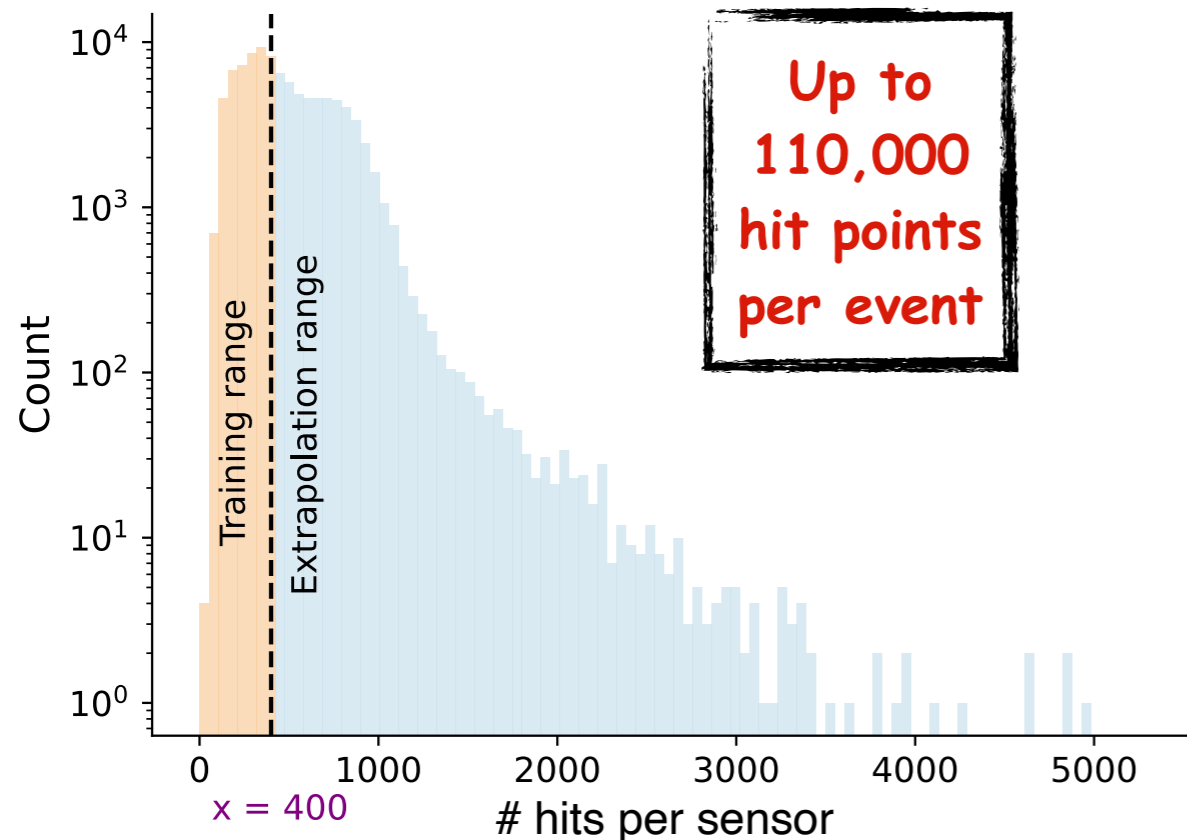
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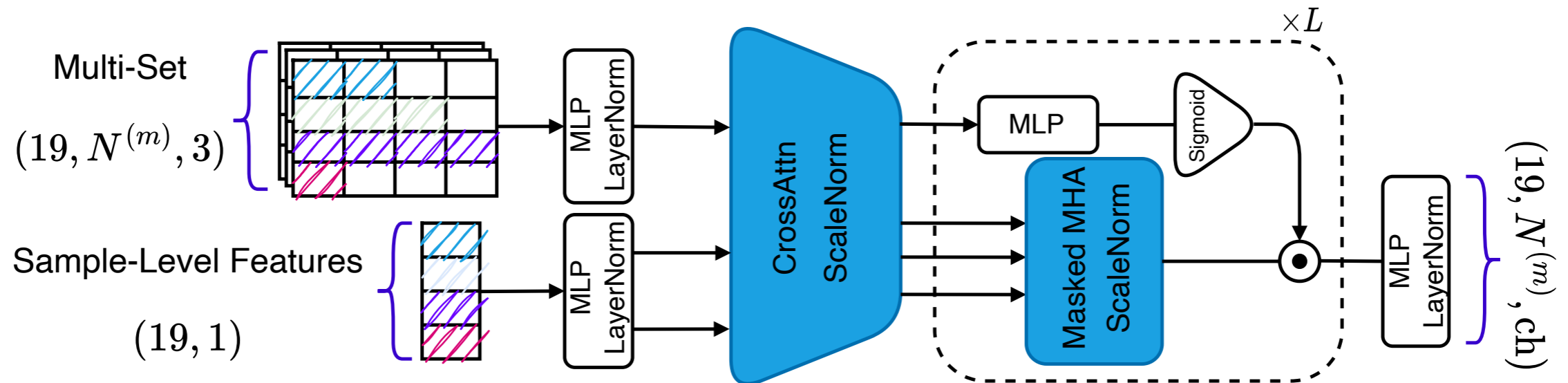
s.t. $N_{max}^{test} \gg N_{max}^{train}$



YonedaVAE: Encoder

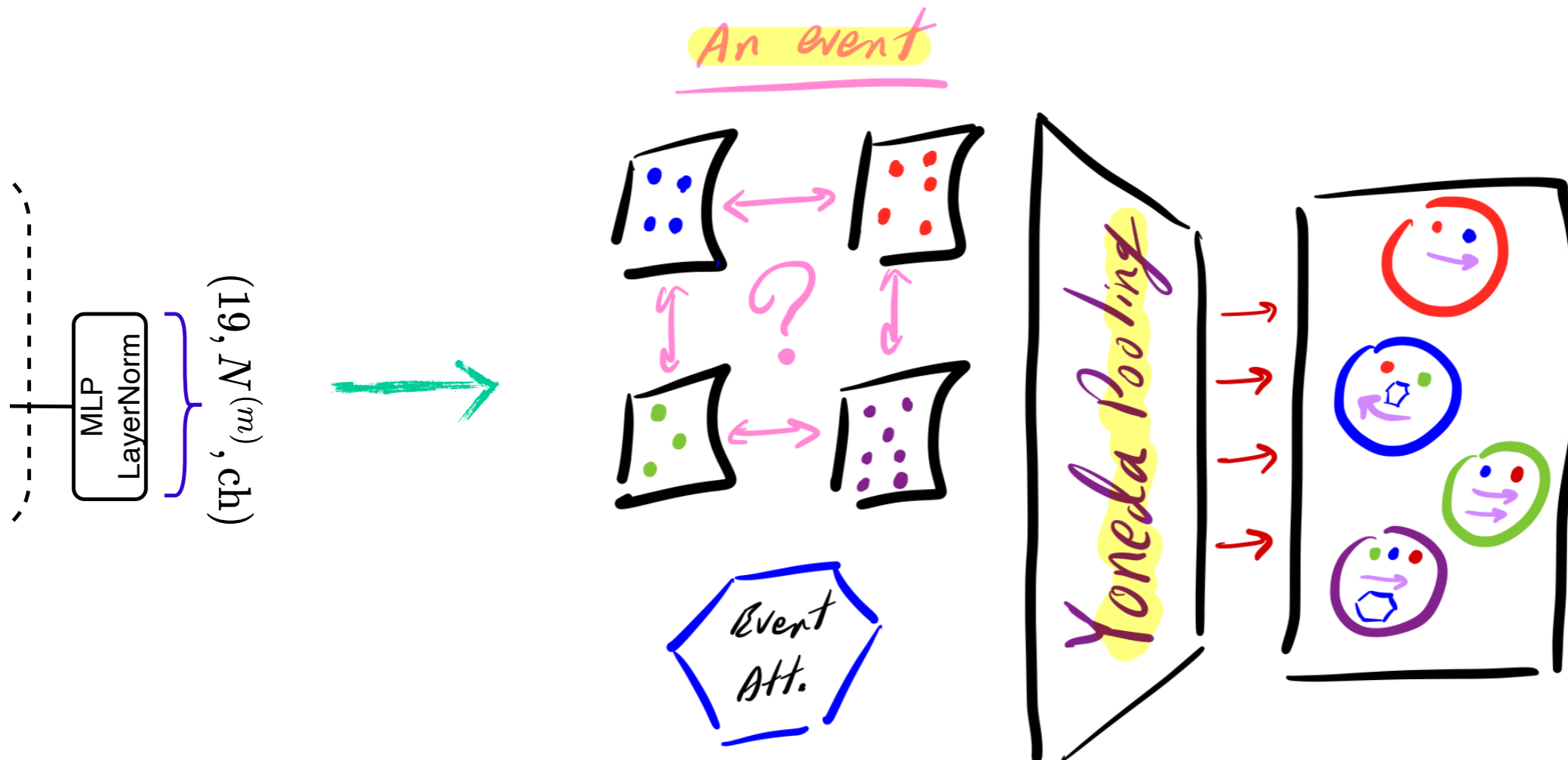


1. Encoder: EventFormer



Yoneda VAE: Encoder

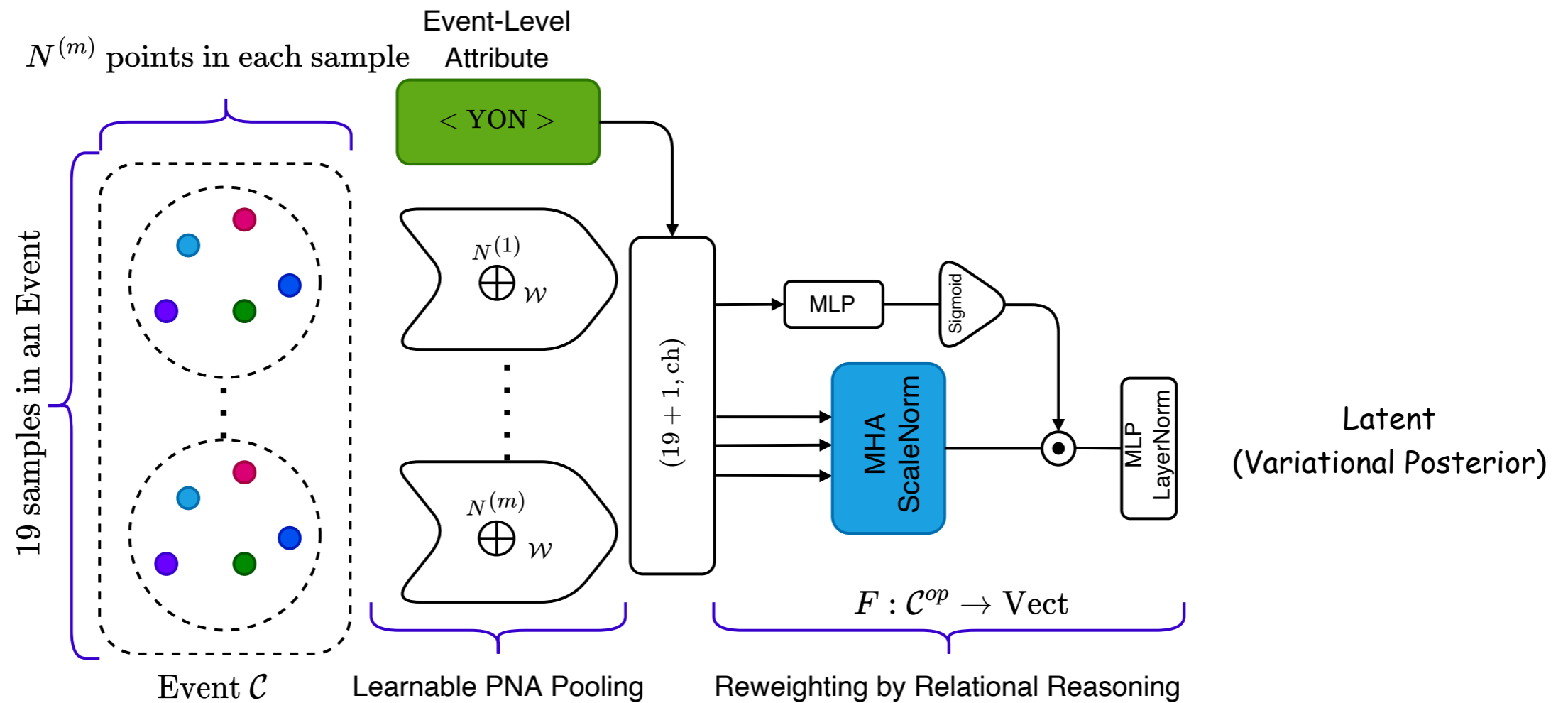
1. Encoder: EventFormer \rightarrow Yoneda Pooling



YonedaVAE: Encoder



1. Encoder: EventFormer \rightarrow Yoneda Pooling



2. Set Generator:

Question:

How to learn a better prior to help the model generalize better to unseen data at test time?

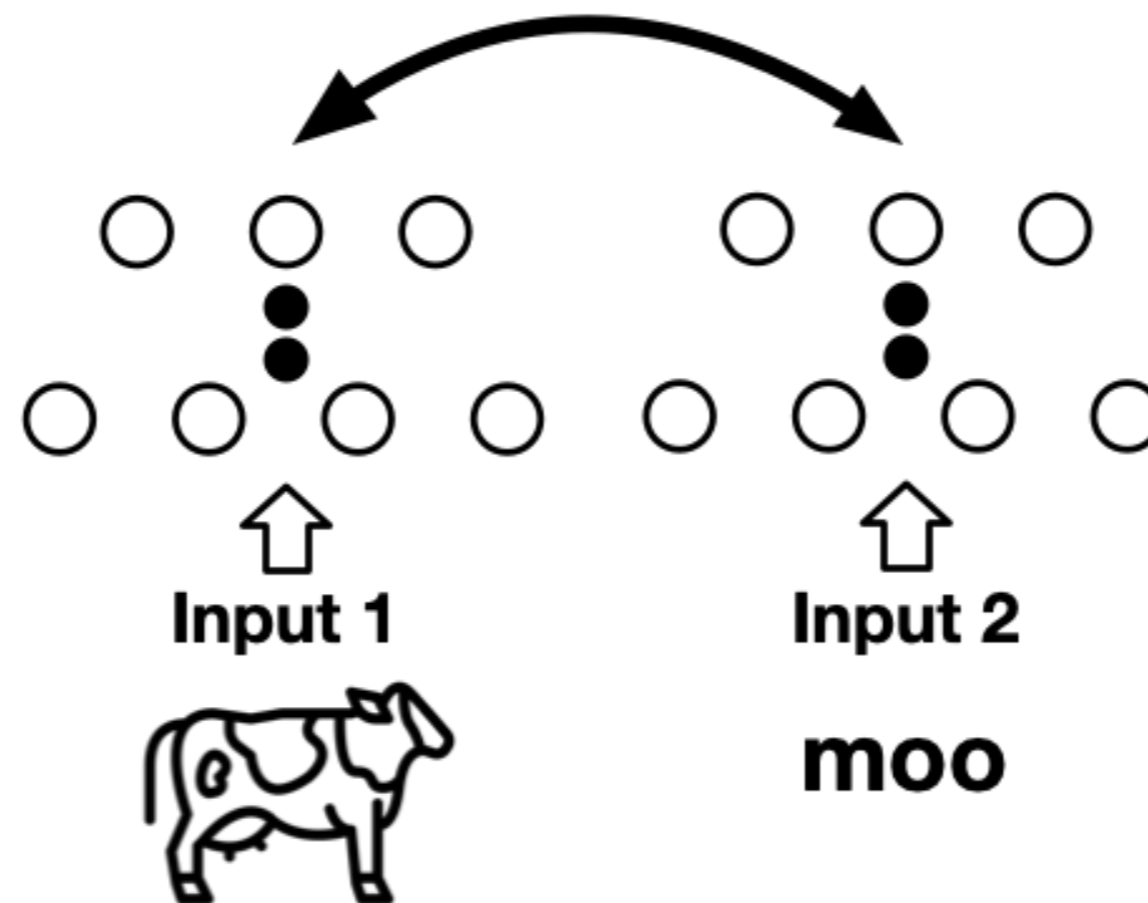
Yoneda VAE: Set Gen.



2. Set Generator: Learning prior à la Self-Supervised Learning

Self-supervised

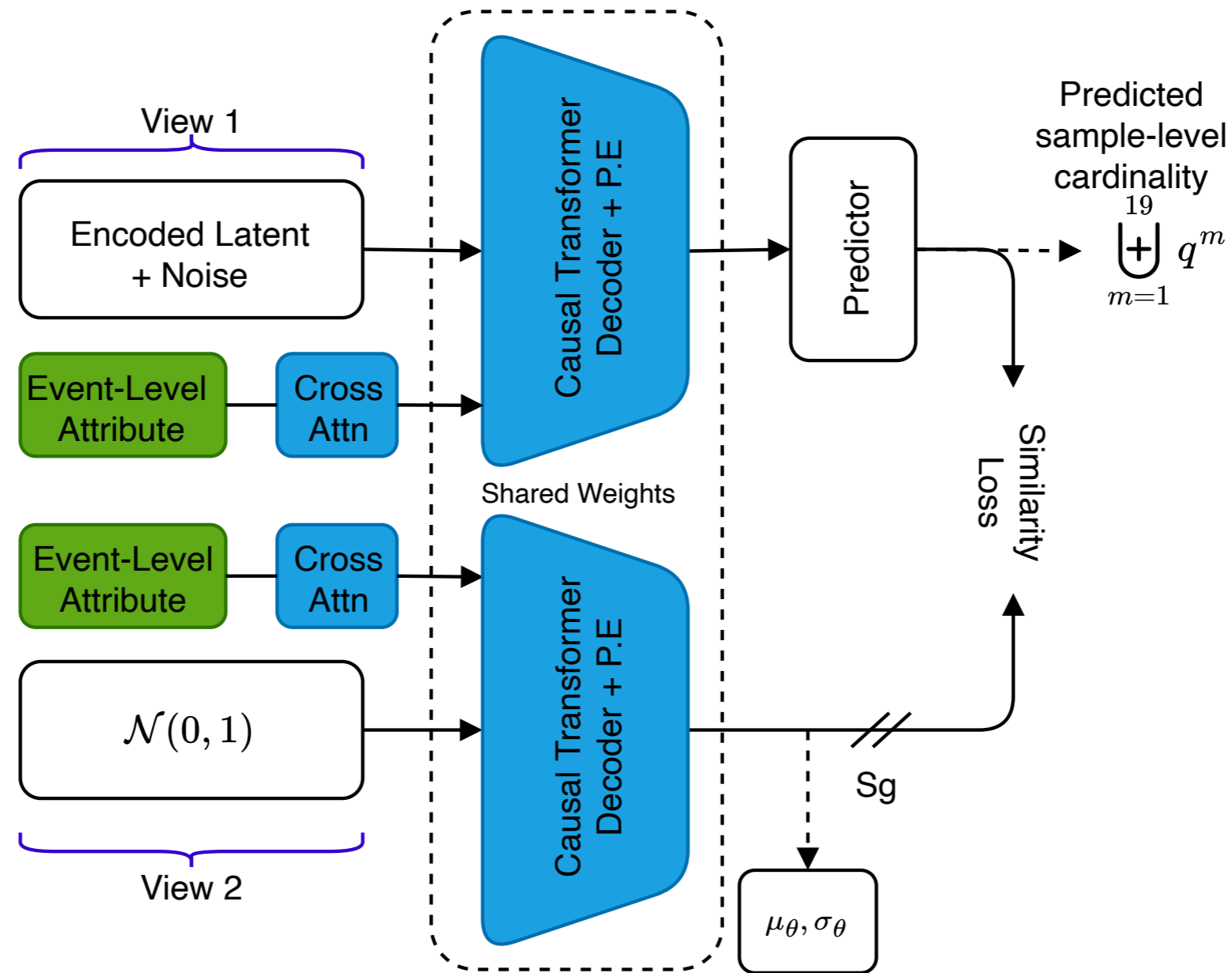
derives label from a co-occurring input to related information



Yoneda VAE: Set Gen.



2. Set Generator: Learning prior à la Self-Supervised Learning



They go as the Prior to match the Variational Posterior

2. Set Generator:

Now we learned a prior and predicted sample-level cardinality

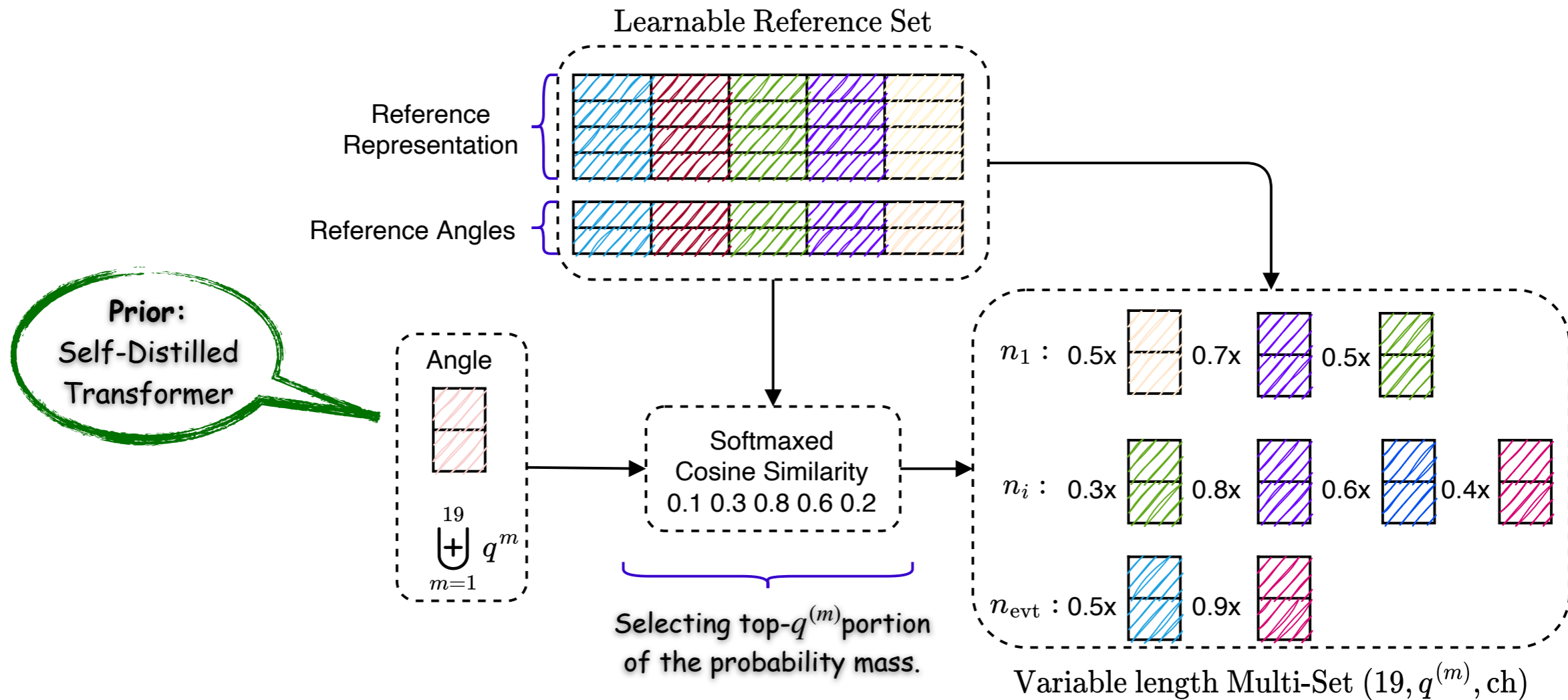
Question:

How should we populate the points point cloud for an irregular setup?

Yoneda VAE: Set Gen.



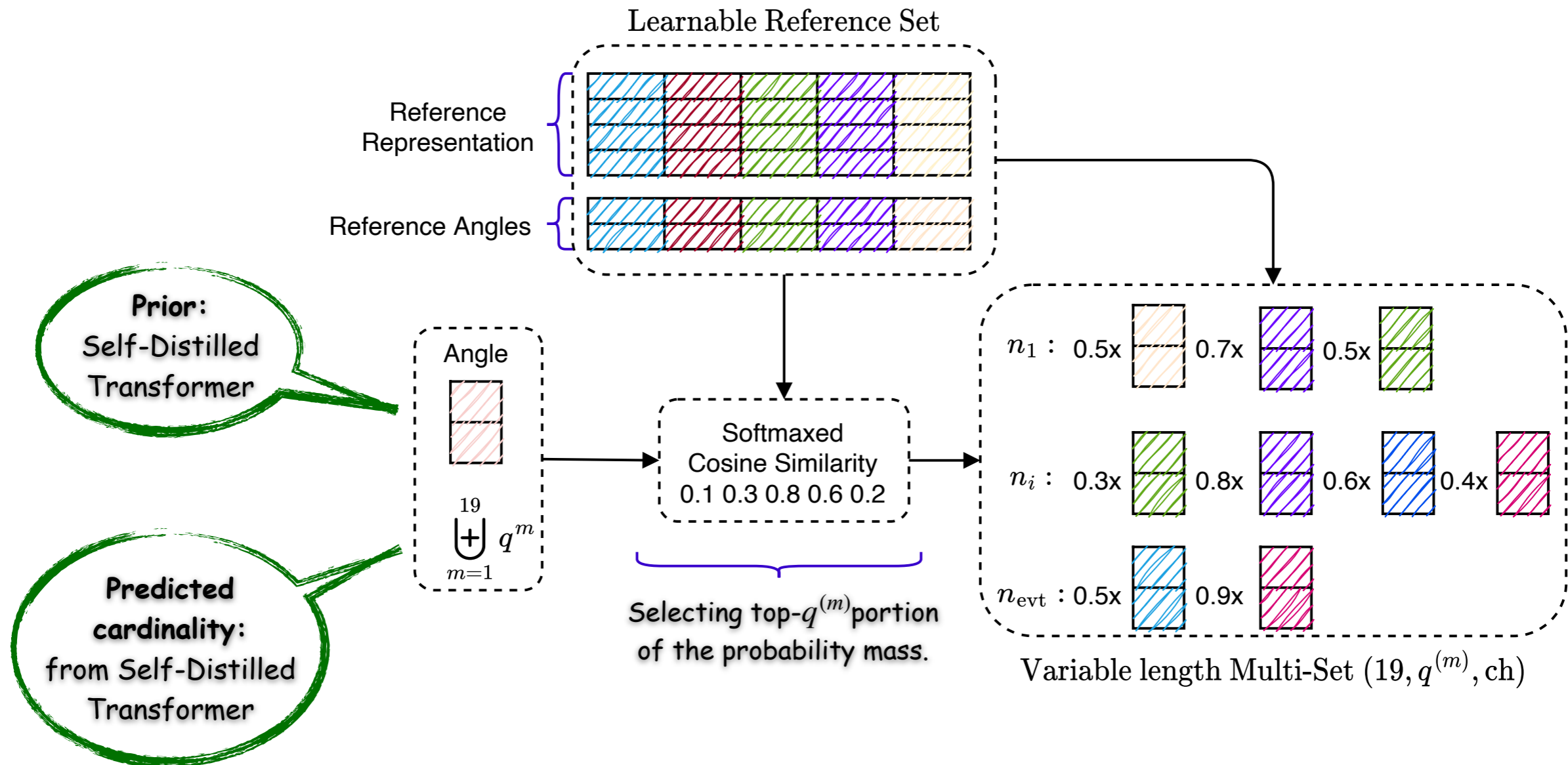
2. Set Generator: Adaptive Top-q Sampling



Yoneda VAE: Set Gen.



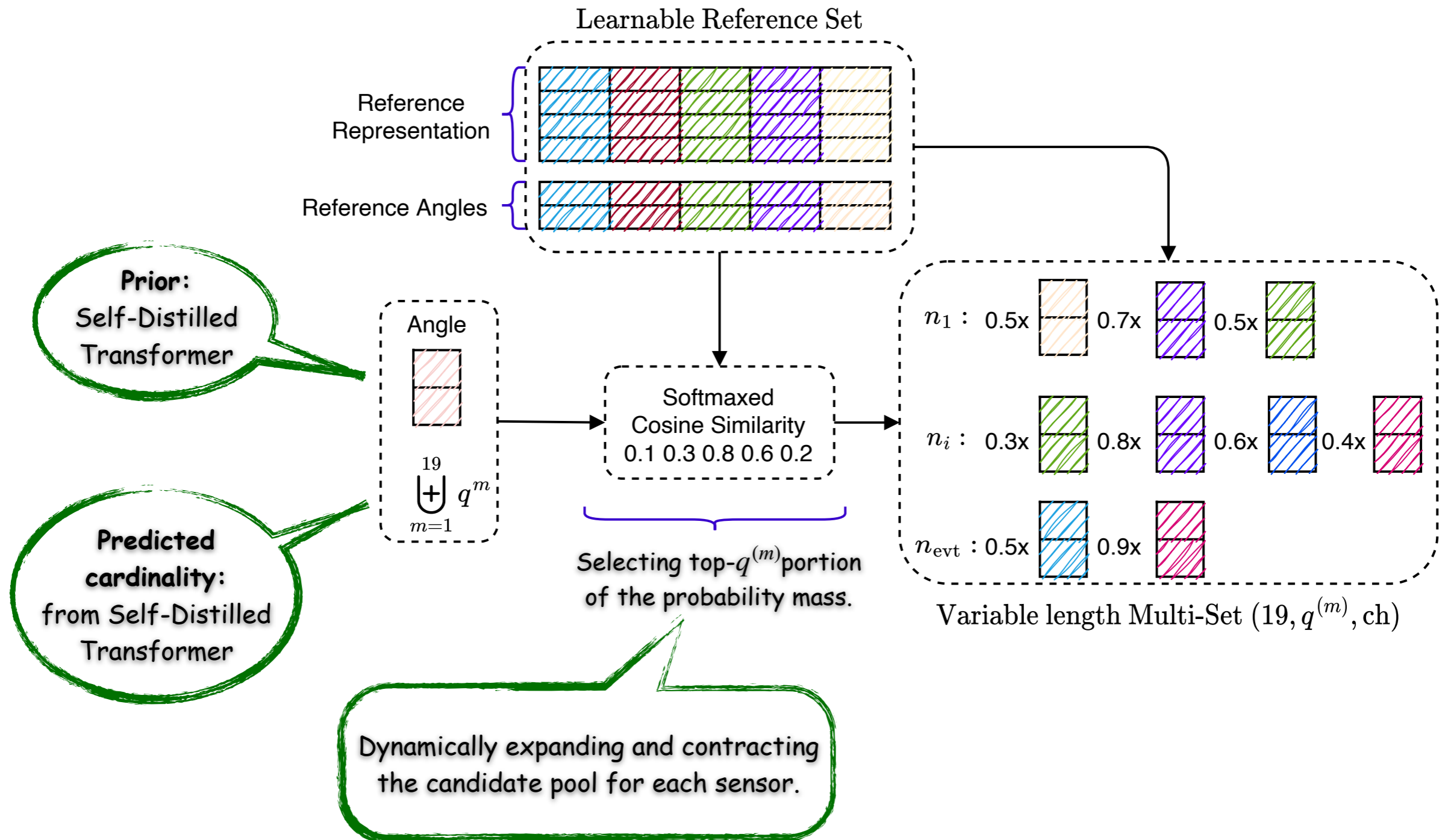
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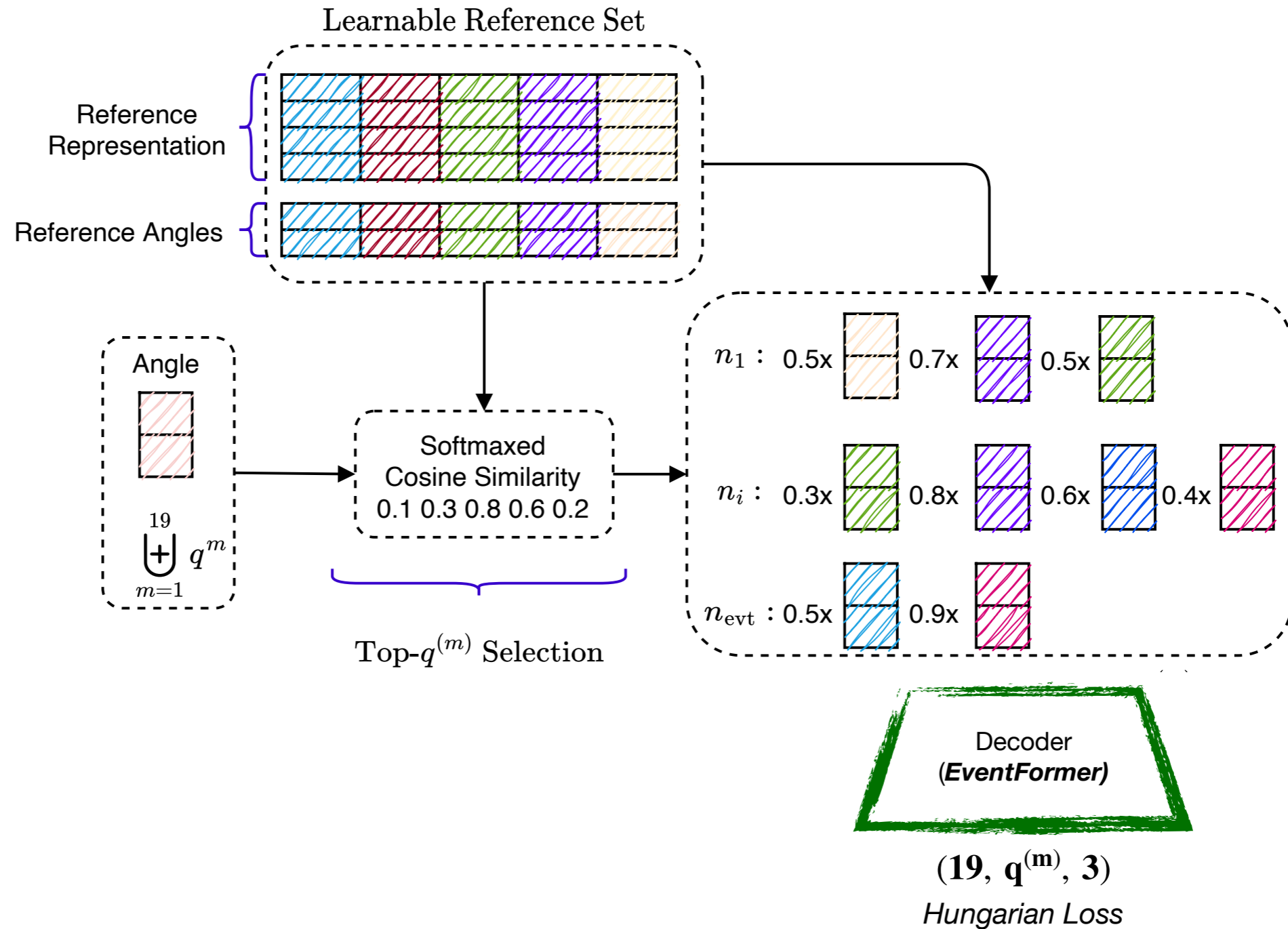
2. Set Generator: Adaptive Top-q Sampling



Yoneda VAE: Decoder



3. Decoder: Modified EventFormer



Yoneda VAE: Results

YonedaVAE: Results



NN-based Metrics

FID/KID backbone trained on the complete dataset

The Lower the FID/KID, the better sample quality

	TSPN (i.i.d) ¹	TSPN (Top-k) ²	IEA-GAN ³	Set-VAE ⁴	YonedaVAE	Test Data
FID	49.46 ± 0.29	41.40 ± 0.48	37.84 ± 0.98	33.49 ± 0.11	20.19 ± 0.31	0
KID (×10 ⁻⁴)	339 ± 7	312 ± 1	283 ± 8	181 ± 2	130 ± 2	0

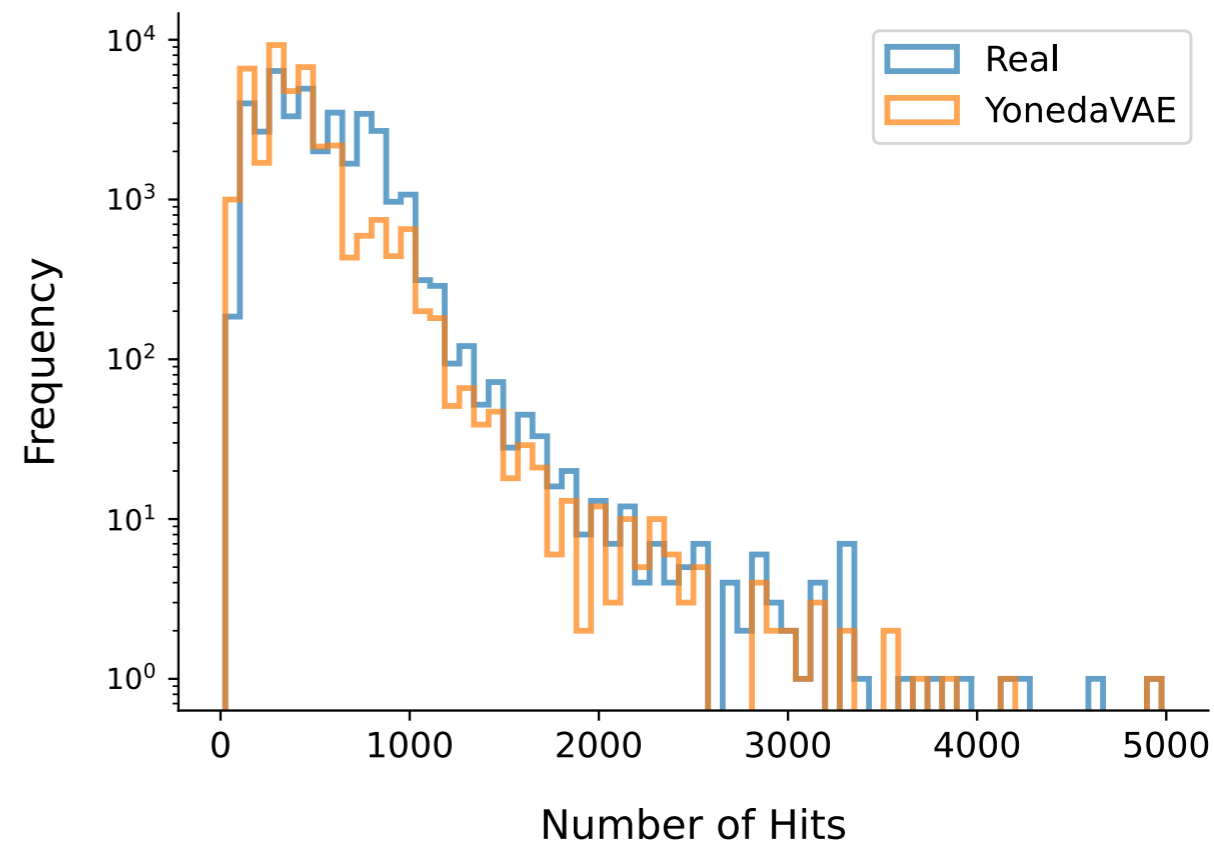
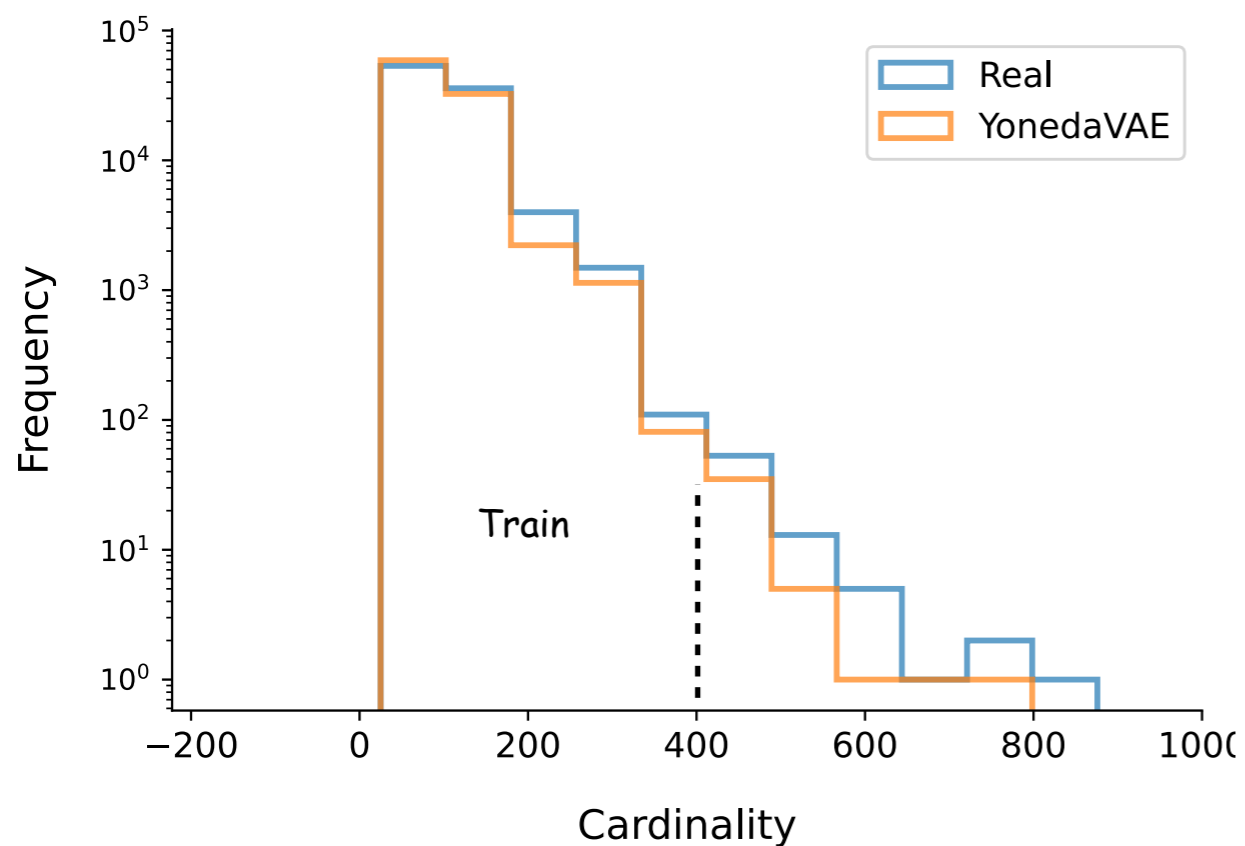
1: 2006.16841, [Kosiorok et al.](#) 2: 2110.02096 [Vignac et al.](#) 4: 2303.08046 [Hashemi et al.](#) 5: 2103.15619 [Kim et al.](#)

YonedaVAE: Results



Train/Val Set (ID data), Lum $1.42 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

Test (OOD data), Lum. $2.68 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$



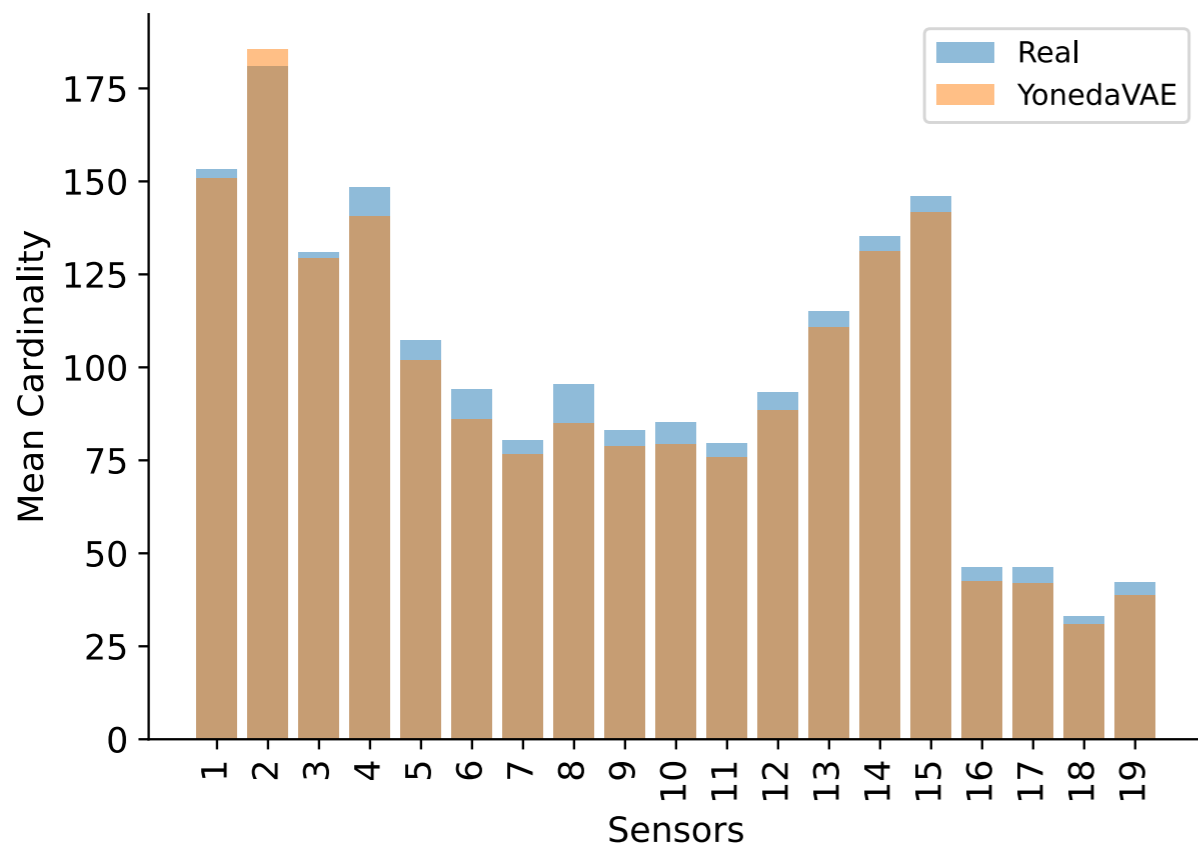
YonedaVAE: Results



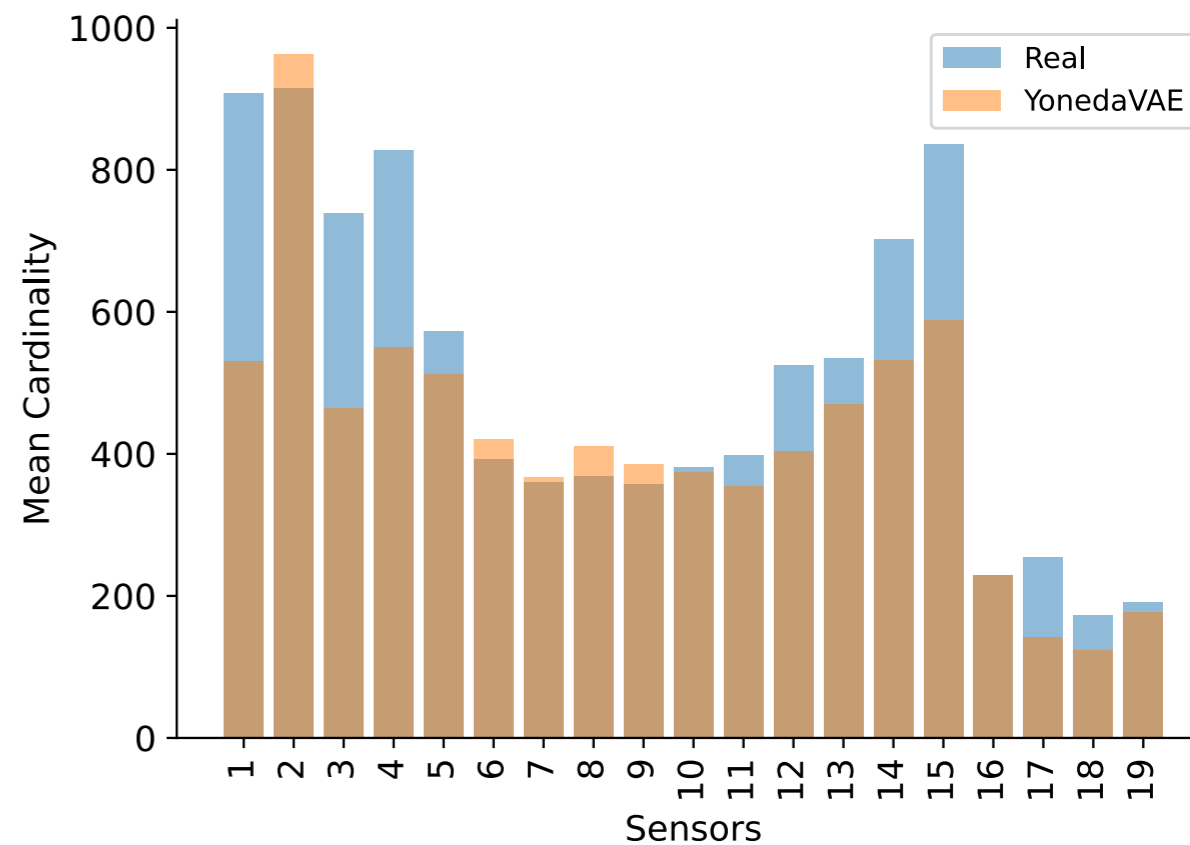
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Test (OOD data), Lum. $2.68 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

mean # hits
1500
per event



mean # hits
10,000
per event

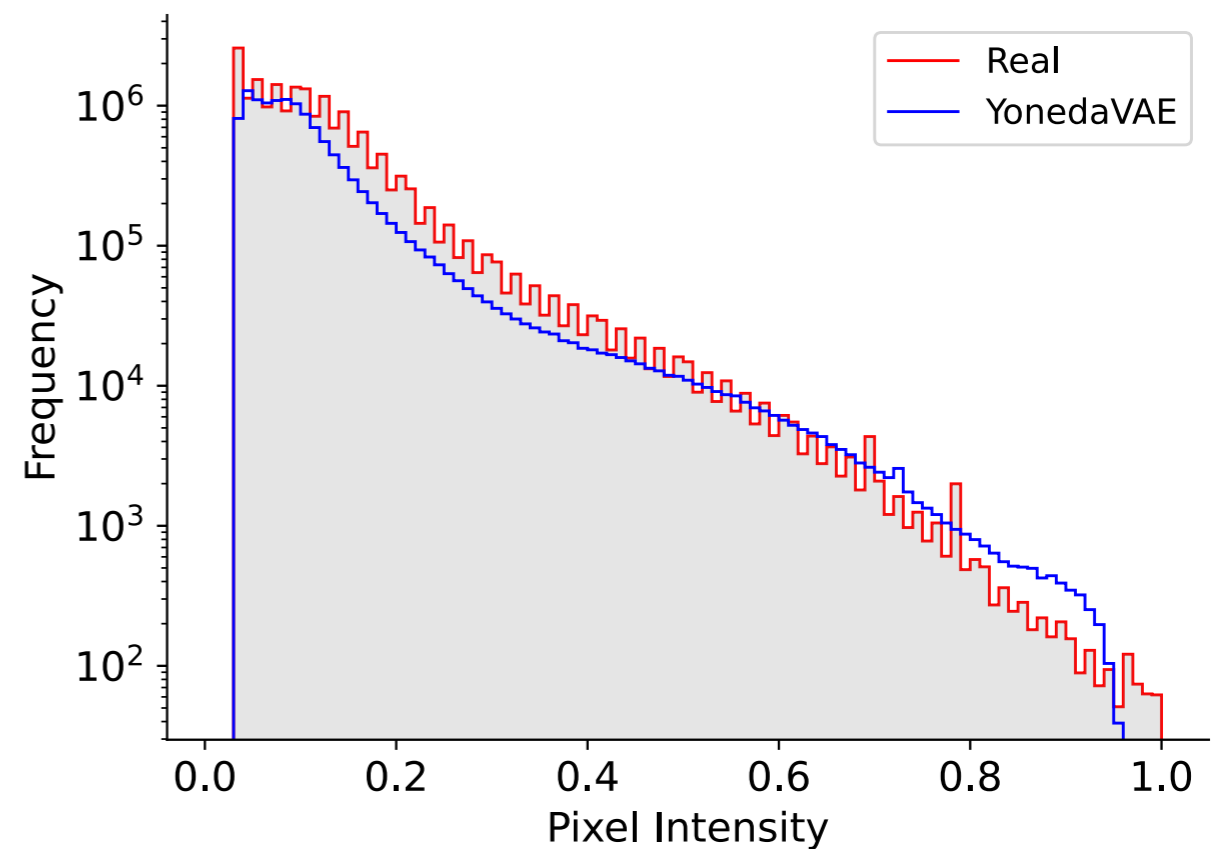
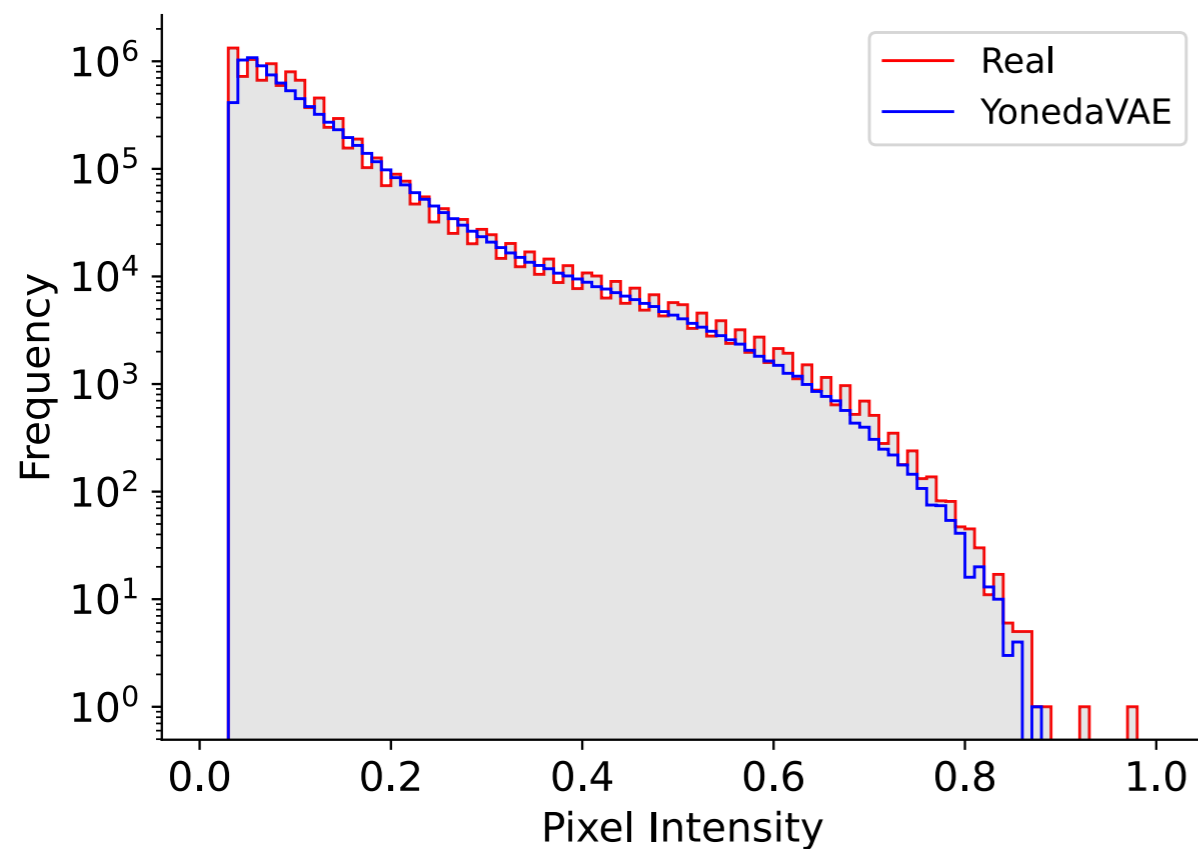


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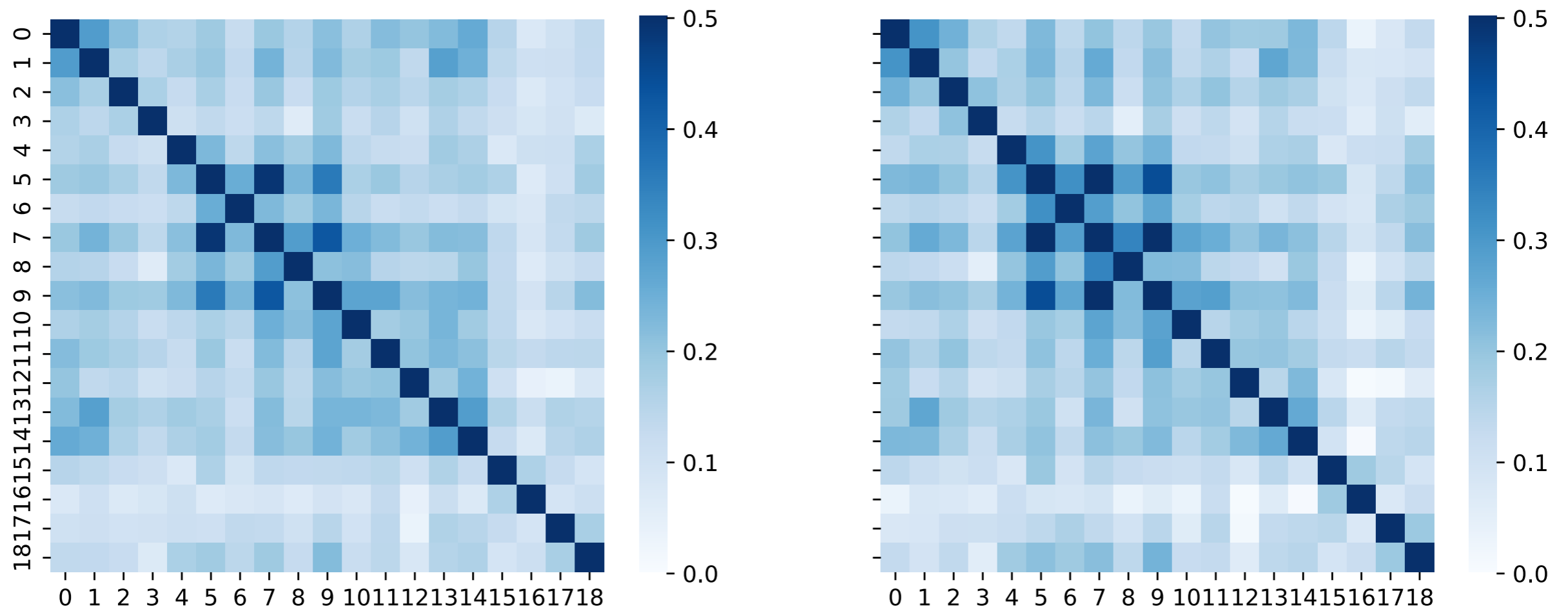


Yoneda VAE: Results



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Correlation between the number of hits between 19 sensors of PXD

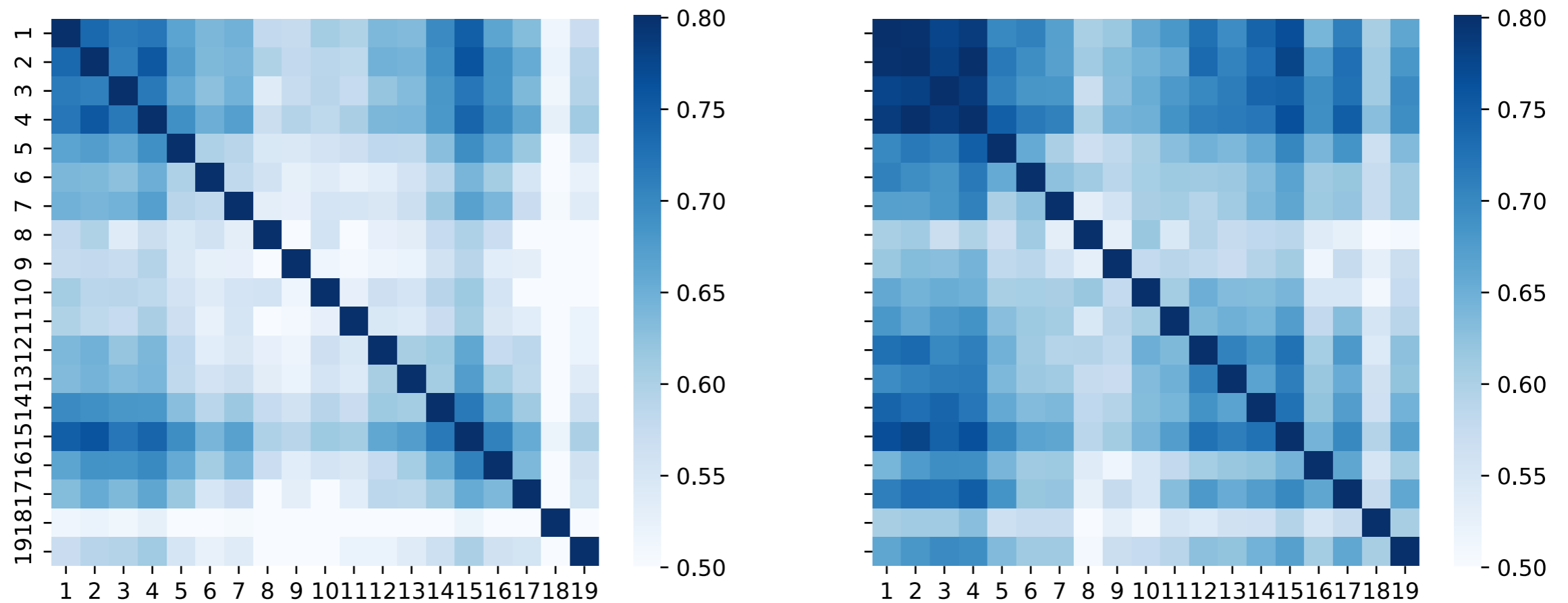


Yoneda VAE: Results



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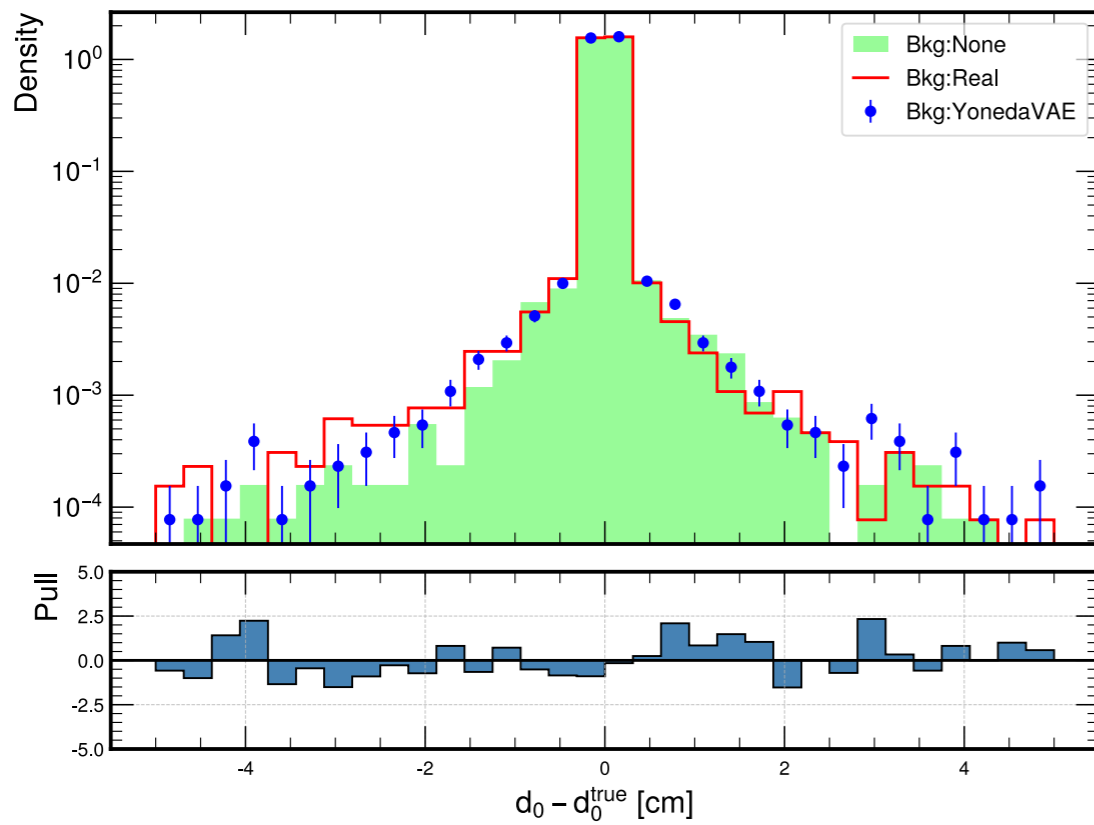
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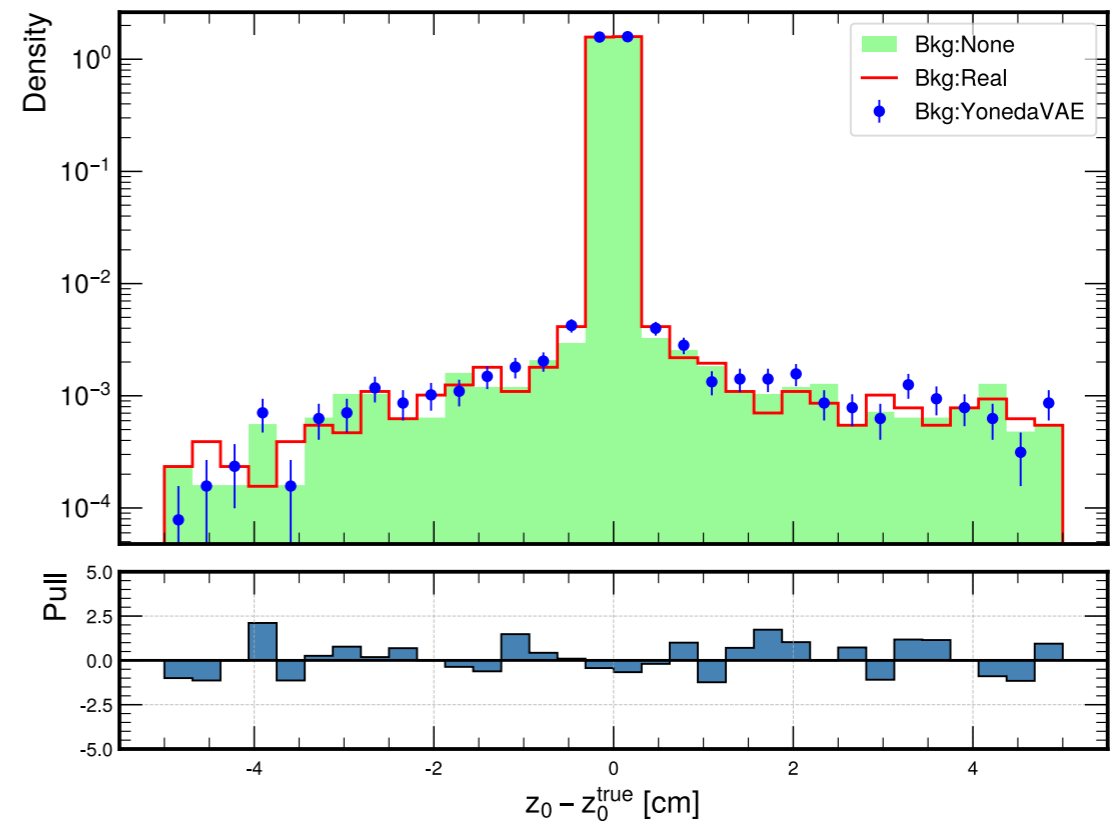


$$\sigma_{\text{YonedaVAE}}(\Delta d_0) = 0.1674 \pm 0.0011$$

$$\sigma_{\text{Real}}(\Delta d_0) = 0.1643 \pm 0.0012$$

Real vs YonedaVAE:

KS Statistic Δd_0 : 0.0058, p-value: 0.4910



$$\sigma_{\text{YonedaVAE}}(\Delta z_0) = 5.9990 \pm 0.0409$$

$$\sigma_{\text{Real}}(\Delta z_0) = 5.8735 \pm 0.0399$$

Real vs YonedaVAE:

KS Statistic Δz_0 : 0.0040, p-value: 0.8993

Summary and Outlook



From HEP side:

- Simulate a **full detector**, with **irregular geometry** and **ultra-high granularity**
- Generate detector signatures for luminosity regions well beyond the training data

From ML side:

- To Reach "**Context Extrapolation**" in Inverse design problems?
- Introduce a learnable VAE prior with **Self-Supervised Learning and Transformer?**
- What needs to be done: **More in depth uncertainty quantification.**
- Stay Tuned for the full results!

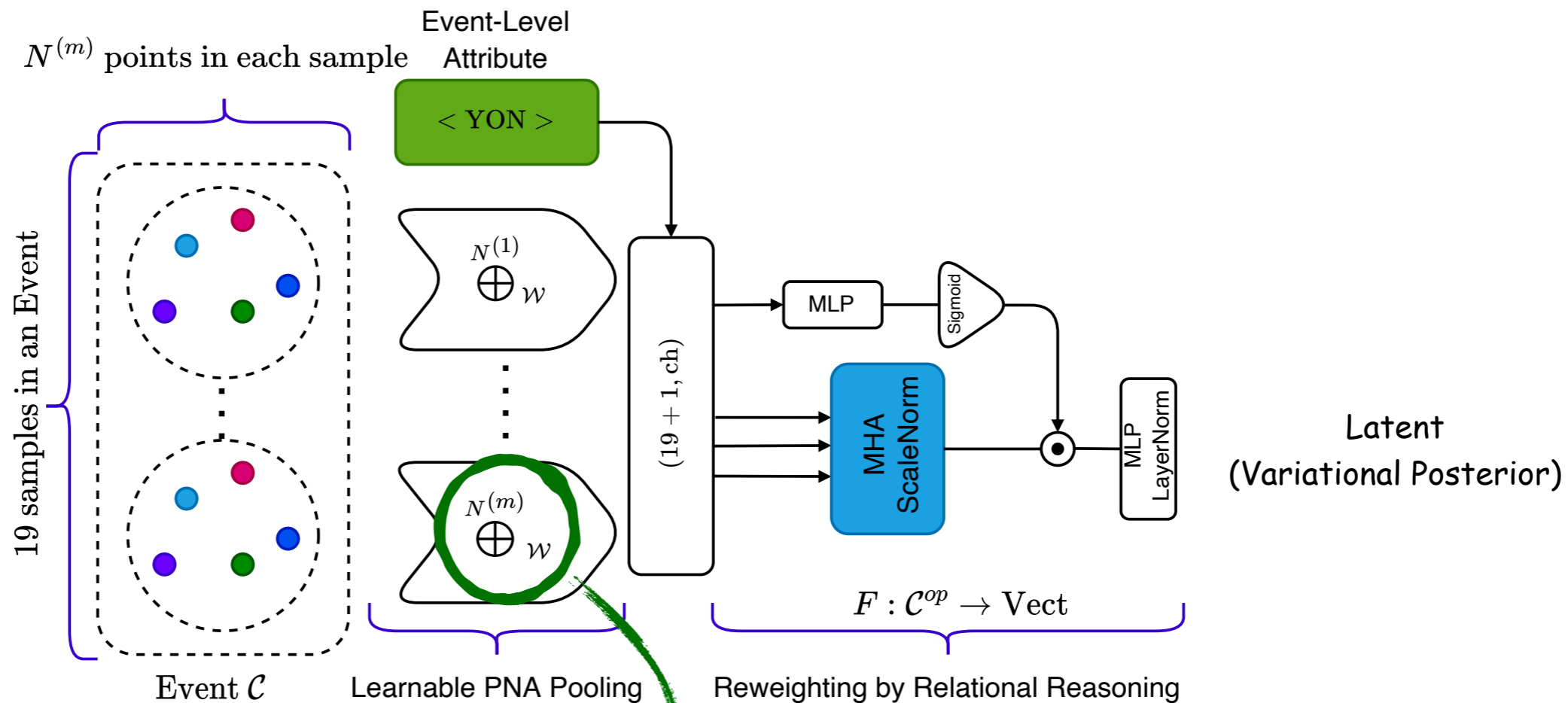
Backup Slides



YonedaVAE: Encoder



1. Encoder: EventFormer \rightarrow Yoneda Pooling

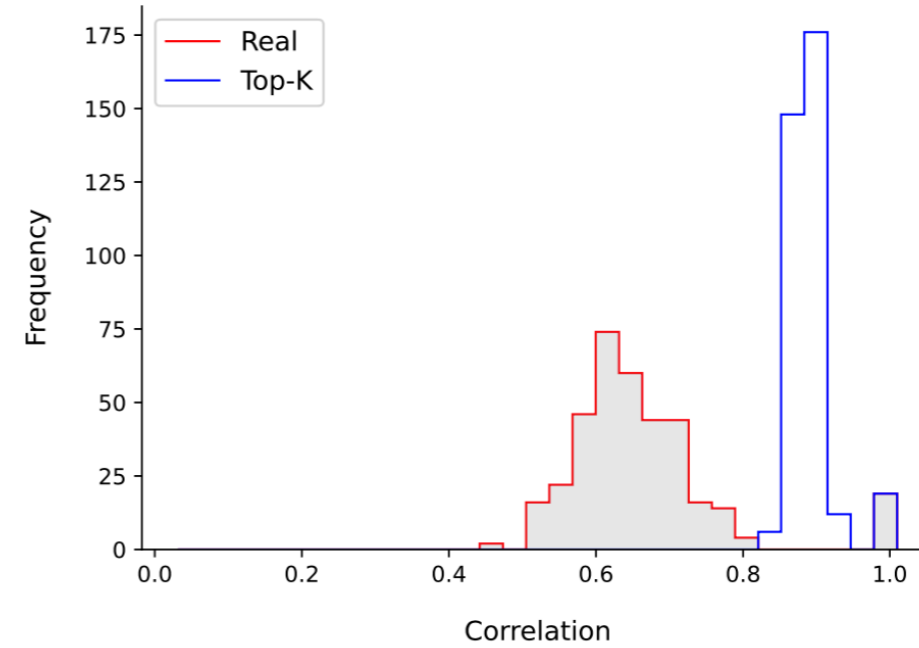
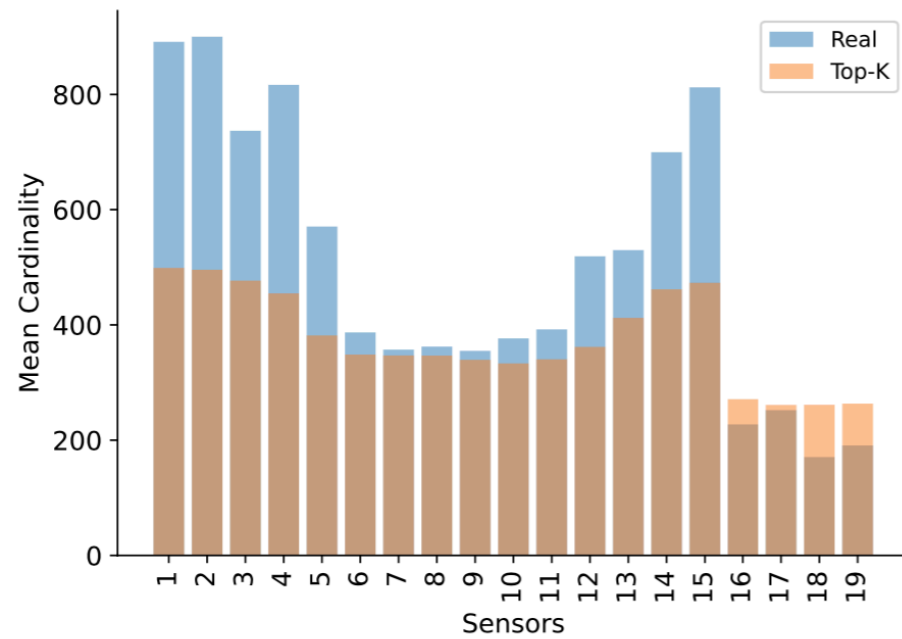
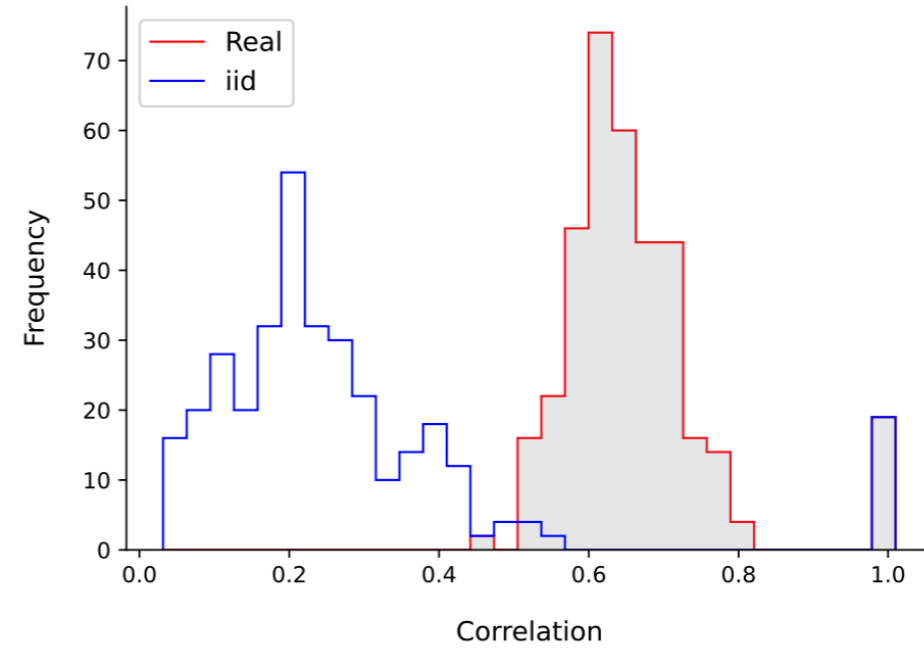
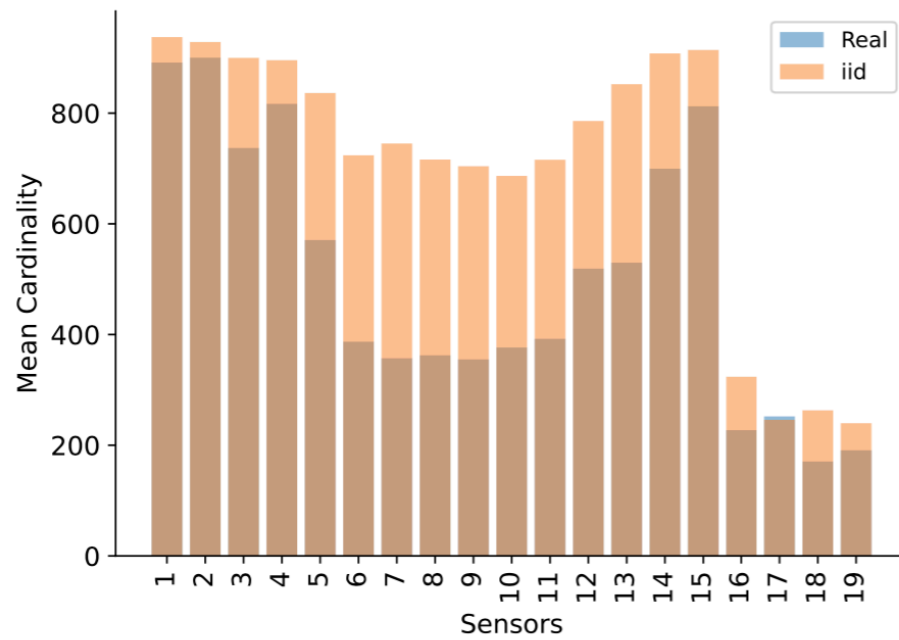


$$\oplus_{\mathcal{W}}^{N^{(m)}} = \underbrace{\begin{bmatrix} I \\ S(D, \alpha = 1) \\ S(D, \alpha = -1) \end{bmatrix}}_{\text{scalers}} \otimes \underbrace{\begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ w_4 \end{bmatrix}}_{\text{Learnable Weights}} \odot \underbrace{\begin{bmatrix} \mu \\ \sigma \\ \text{max} \\ \text{min} \end{bmatrix}}_{\text{aggregators}}$$

Yoneda VAE: Ablation



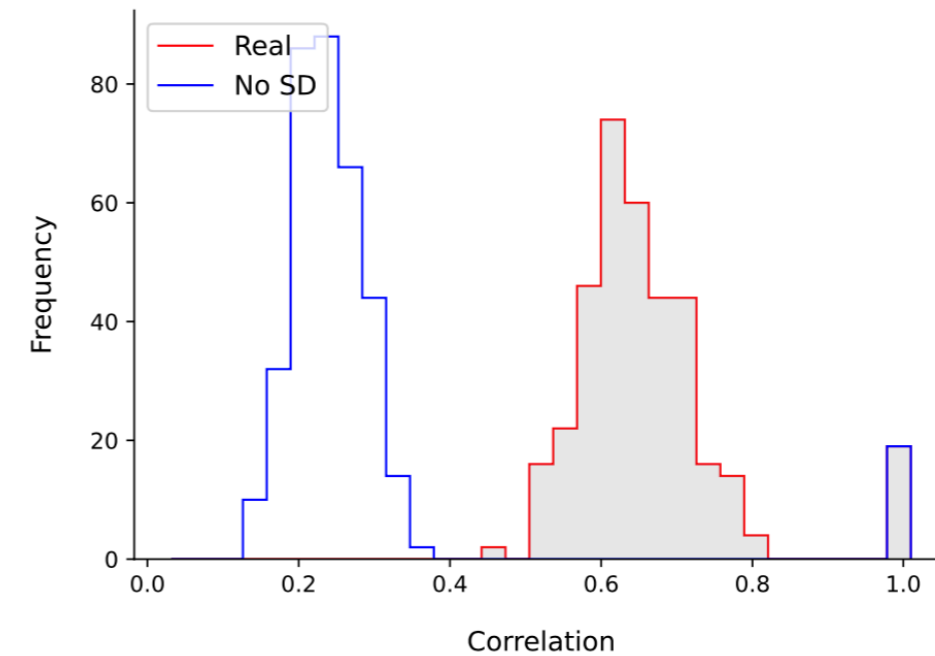
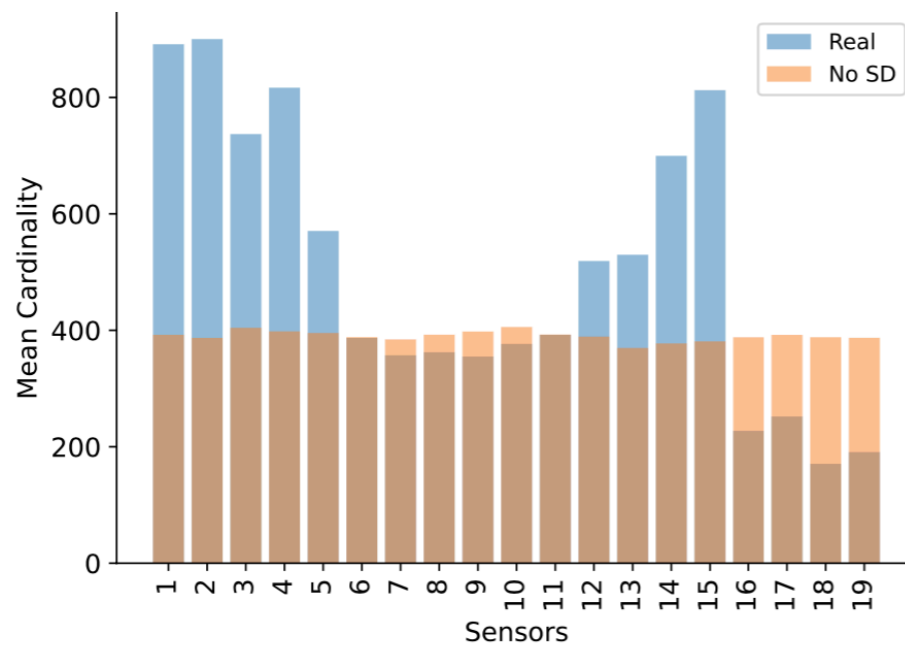
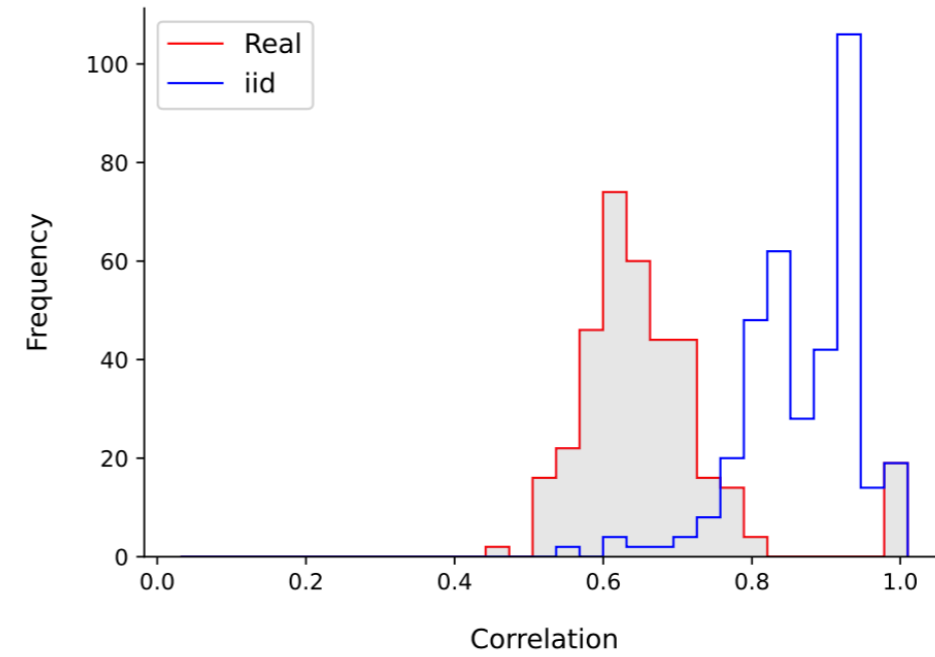
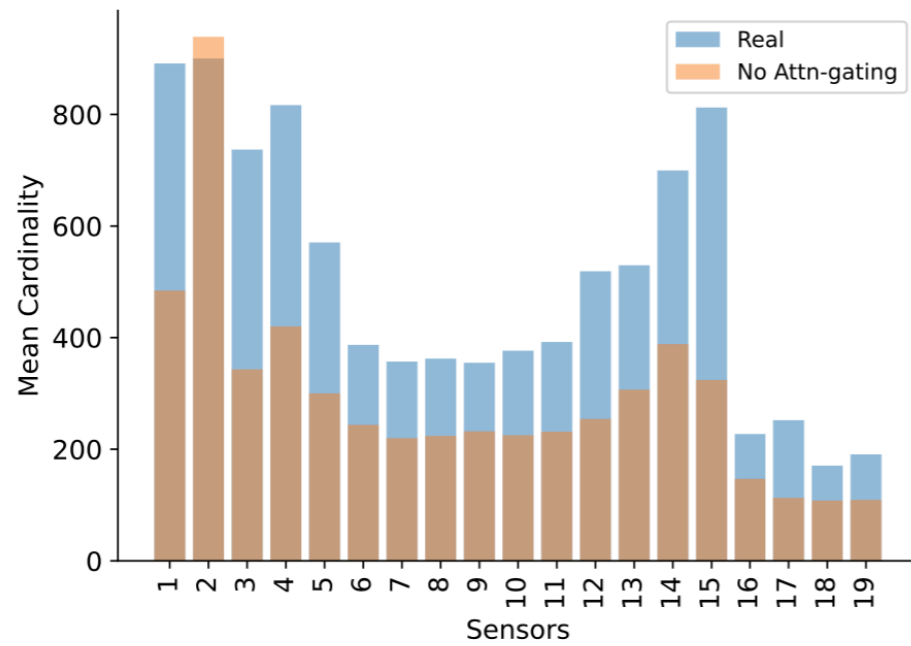
1. Marginal Distributions:



Yoneda VAE: Ablation



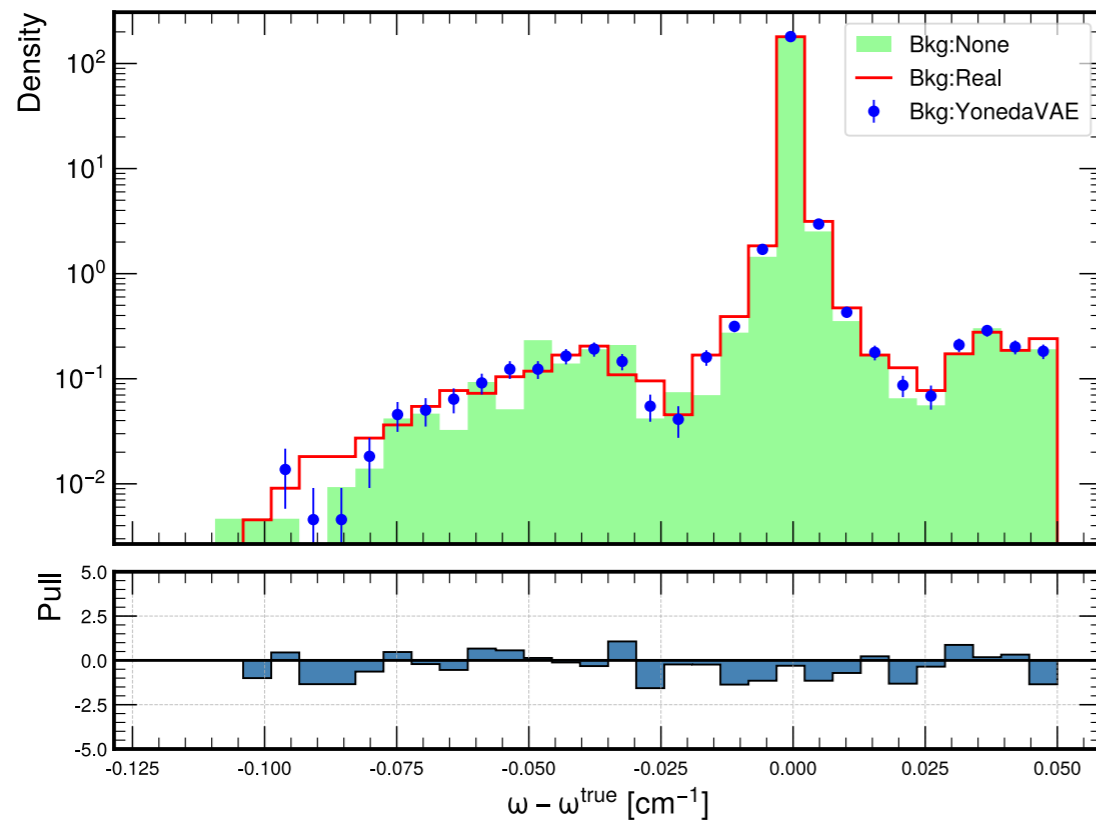
1. Marginal Distributions:



YonedaVAE: Results



Test (OOD data), Lum. $2.68 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

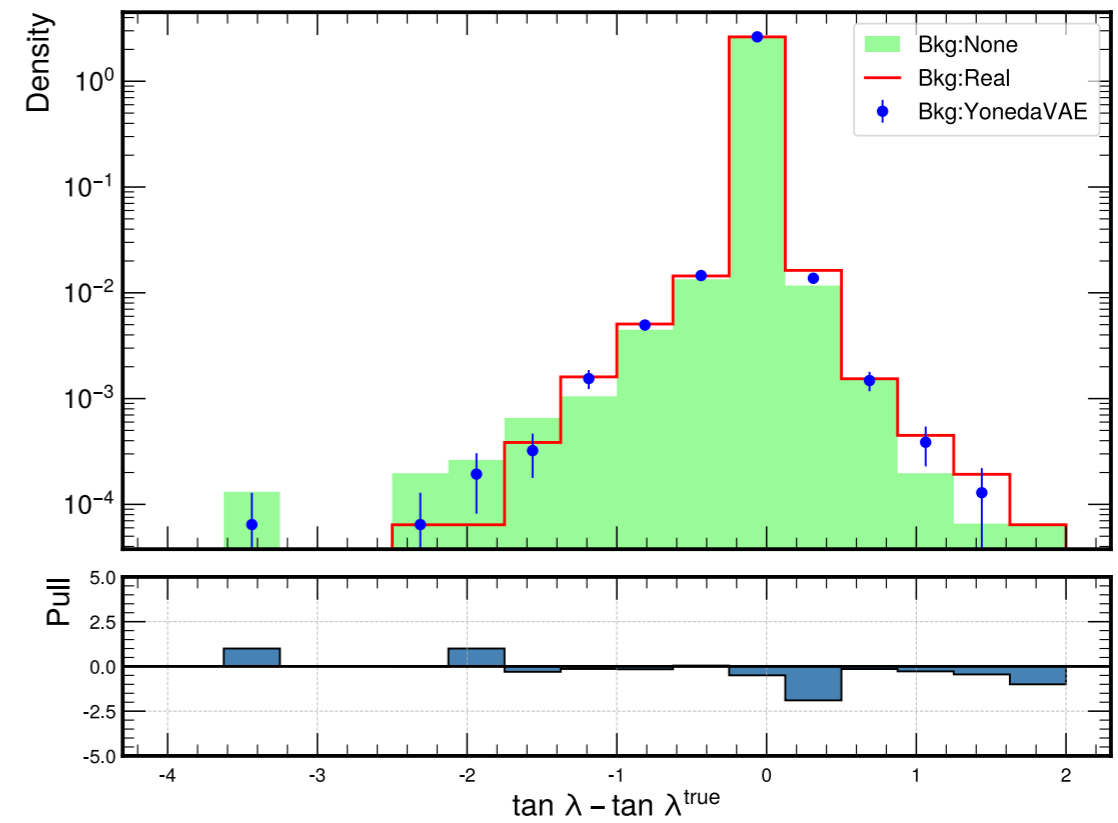


$$\sigma_{\text{YonedaVAE}}(\Delta\omega) = 0.0066 \pm 0.0001$$

$$\sigma_{\text{Real}}(\Delta\omega) = 0.0065 \pm 0.0001$$

Real vs YonedaVAE:

KS Statistic $\Delta\omega$: 0.0052, p-value: 0.6296



$$\sigma_{\text{YonedaVAE}}(\Delta \tan \lambda) = 0.0753 \pm 0.0005$$

$$\sigma_{\text{Real}}(\Delta \tan \lambda) = 0.0726 \pm 0.0004$$

Real vs YonedaVAE:

KS Statistic $\Delta \tan \lambda$: 0.0044, p-value: 0.8238

Validation of generated PXD images



❖ Validation Metrics over the test set:

☑ FID and KID:

- ▶ The use of activations of the last layer from the **Inception-V3** model trained on the PXD images to summarise each image, gives the score. **The lower the FID/KID the better the image diversity and Fidelity.**

	WGAN-gp	BigGAN-deep	ContraGAN	PE-GAN	IEA-GAN
FID	12.09	4.40 ± 0.88	3.14 ± 0.74	2.61 ± 0.91	1.50 ± 0.16
KID	0.0096	0.0031 ± 0.0001	0.0015 ± 0.0002	0.0021 ± 0.0004	0.0010 ± 0.0002

- ▶ Possible interpretation of FID at the pixel level:

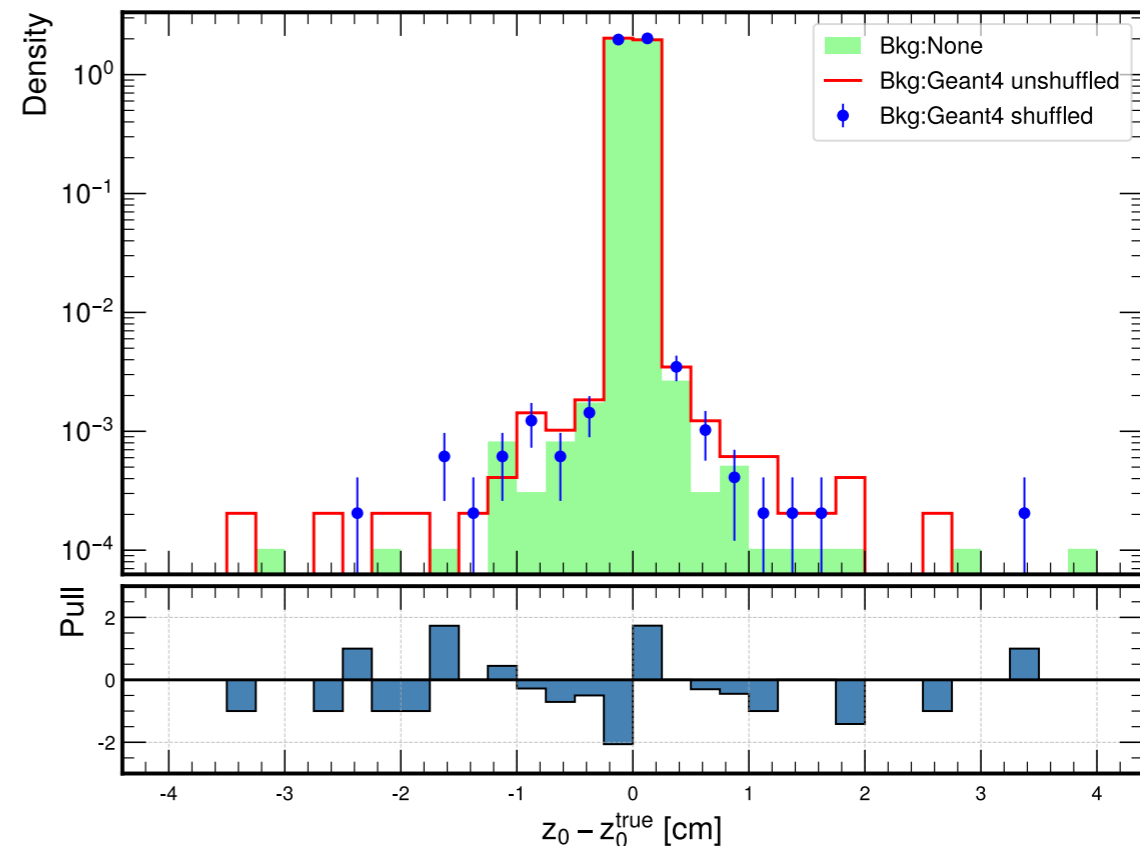
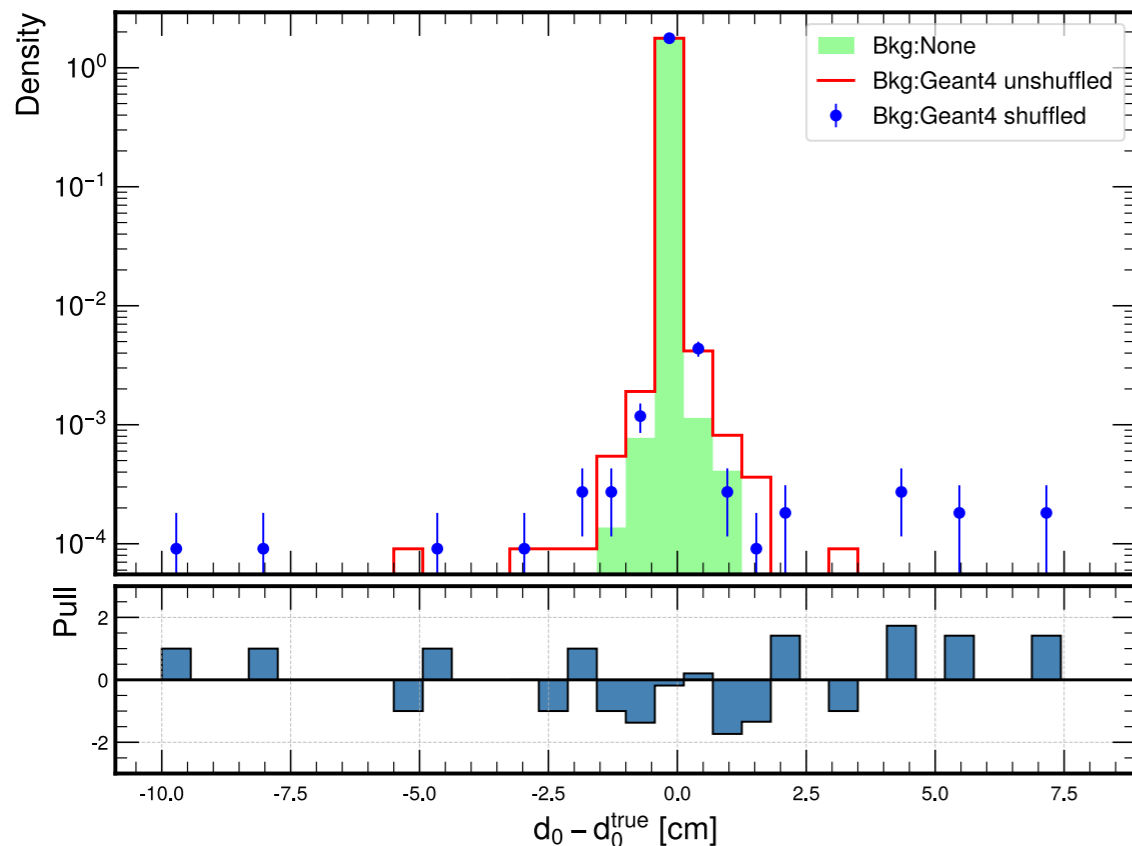
Image Jitterings	FID
None	0
Random Masking (dead zones)	14.58
Random Noise	87.23
Random Rotation (30 degrees)	23.69
Random Rotation (10 degrees)	2.81
Random Translation (0.1, 0.1)	1.99
Random Shear (10, 10)	23.53
Random Zoom	9.06
High Intensity smearing	3.16
Low Intensity smearing	47.24

Correlation vs No Correlation



❖ How important are these correlations?

- For high momentum regime $p_T > 0.4 \text{ GeV}$
- Shuffling the events \rightarrow losing the correlation



Parameter	Unbiased Resolution \pm error		KS statistic	p-value
	Shuffled Geant4	Unshuffled Geant4		
d_0	0.1343 ± 0.0007	0.0732 ± 0.0004	0.0067	0.7655
ϕ_0	0.2158 ± 0.0011	0.1859 ± 0.0009	0.0066	0.7899
z_0	5.0076 ± 0.0253	4.9341 ± 0.0249	0.0152	0.0211
ω	0.0010 ± 0.0000	0.0008 ± 0.0000	0.0138	0.0485
$\tan \lambda$	0.0388 ± 0.0002	0.0382 ± 0.0002	0.0167	0.0086

Overlay Problem



Realistic detector simulation has to take into account effects from background processes

- Simulation requires many PXD hitmaps with statistically independent background.
- Overlay hits from simulated background or random trigger data to hits from signal MC.
- PXD hits have the highest storage consumption.
- Requires distributing over all sites where MC is produced.

Solution: Generating the background data on the way of analysis with GANs instead of storing them.

