



Lattice QCD meets effective field theories: two- and three-particle decays

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Plan

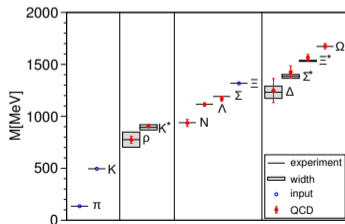
- Introduction
- Scale separation and the choice of the EFT
- Two-body sector: Lüscher equation, two-body decays
- Three (and more) particles
- Conclusions, outlook

Hadronic input in the studies of the BSM physics

Examples:

- Direct and indirect CP violation in $K \rightarrow 2\pi$ decays (lattice: RBC and UKQCD collaborations)
- CP violation in $K \rightarrow 3\pi$ decays, and so on...

In QCD, the structure of hadrons and their interactions at low energies cannot be studied in perturbation theory \rightarrow **QCD on the lattice**

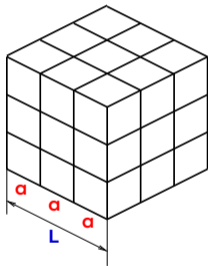


Meson and baryon spectrum in QCD, S. Dürr *et al.*, Science 322 (2008) 1224

“Scattering” in a finite volume

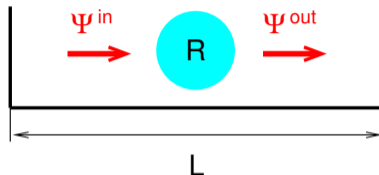
The scattering observables cannot be directly extracted from the amplitudes calculated on the lattice!

- (Periodic) boundary conditions imposed
- The spatial size of the box, L , is finite
- Assume the temporal size $L_t \gg L$, $L_t \rightarrow \infty$
- Three-momenta are quantized $\mathbf{p} = \frac{2\pi}{L} \mathbf{n}$, $\mathbf{n} \in \mathbb{Z}^3$
- Discrete energy levels: $E_{n+1} - E_n = O(L^{-2})$



How does one extract the scattering observables:
phase shifts, cross sections, ... from the measured quantities
on the lattice?

EFT meets lattice



- When $R \ll L$, well-separated hadrons can be formed
- Natural scale separation
- Since $p \sim 1/L$ and $R \sim 1/m$, then $p \ll m$: non-relativistic EFT
 - Polarization effects, caused by creation/annihilation of the particles, are exponentially small and can be neglected

Scale separation: QCD (in a finite volume) \Rightarrow EFT (in a finite volume)

Non-relativistic EFT: essentials

- Propagator:

$$\frac{1}{m^2 - p^2} = \underbrace{\frac{1}{2w(\mathbf{p})(w(\mathbf{p}) - p^0 - i\varepsilon)}}_{\text{particle}} + \underbrace{\frac{1}{2w(\mathbf{p})(w(\mathbf{p}) + p^0 - i\varepsilon)}}_{\text{anti-particle}}$$

- The vertices in the Lagrangian conserve particle number:

$$\mathcal{L} = \phi^\dagger(i\partial_t - w)(2w)\phi + \underbrace{\frac{C_0}{4} \phi^\dagger\phi^\dagger\phi\phi + \frac{D_0}{36} \phi^\dagger\phi^\dagger\phi^\dagger\phi\phi\phi + \dots}_{C_0, D_0 \text{ encode short-range physics}}$$

- Only bubble diagrams:

$$\text{[T]} = \text{[4-point vertex]} + \text{[bubble diagram]} + \dots$$

K-matrix

Matching of the EFT couplings

- Matching the EFT couplings in the two-body sector to the **effective range expansion parameters**:

$$K^{-1}(p) = p \cot \delta(p) = -\frac{1}{a} + \frac{1}{2} r p^2 + O(p^4), \quad C_0 \leftrightarrow a, \dots$$

- Finite volume: $\mathbf{p} = \frac{2\pi}{L} \mathbf{n}$, $\mathbf{n} \in \mathbb{Z}^3$, **poles of the T -matrix \Rightarrow spectrum**
- The Lüscher equation (in the absence of partial-wave mixing) (Lüscher, 1991):

$$p \cot \delta(p) = \frac{2}{\sqrt{\pi}L} Z_{00}(1; q_0^2)$$

\hookrightarrow measuring energy levels, one extracts phase shift **at the same energy**

NREFT serves as a bridge between finite and infinite volume

The Lellouch-Lüscher formula (Lellouch & Lüscher, 2001)

- Final-state interactions lead to an irregular L -dependence of the matrix element



- The non-relativistic Lagrangian

$$\mathcal{L} = \phi^\dagger(i\partial_t - w)(2w)\phi + \frac{C_0}{4} \phi^\dagger\phi^\dagger\phi\phi + \dots + K^\dagger(i\partial_t - w_K)(2w_K)K + g(K^\dagger\phi\phi + \text{h.c.})$$

- Calculate the decay matrix element in a **finite** and in the **infinite** volume, extract g
- Matrix elements are related through

$$|\langle n|H_W|K\rangle_L| = \underbrace{\Phi_2(L)}_{\text{depends on phase shift}} |\langle \pi\pi; \text{out}|H_W|K\rangle_\infty|$$

Why three particles on the lattice?

- Three-pion decays of K, η, ω ; $a_1(1260) \rightarrow \rho\pi \rightarrow 3\pi$; $a_1(1420) \rightarrow f_0(980)\pi \rightarrow 3\pi$
- Decays of exotica: $X(3872), Y(4260), \dots$
- Roper resonance: πN and $\pi\pi N$ final states
- Few-body physics: reactions with the light nuclei

Lattice vs. infinite volume: observables

- **Infinite volume:** Three-particle bound states; Elastic scattering; Rearrangement reactions; Breakup; Three-particle resonances; Decay matrix elements (complex): e.g., $\langle \pi\pi\pi | H_W | K \rangle$
- **Finite volume:** Two- and three-particle energy levels; Matrix elements between eigenstates (real)

How does one connect these two sets? EFT serves as a bridge!

Three-particle quantization condition

- Is the three-particle spectrum determined solely in terms of the S -matrix?

K. Polejaeva and AR, 2012: **Yes!**

- Three different but equivalent formulations of the three-particle quantization condition are available
 - **RFT (Relativistic Field Theory)**: Hansen & Sharpe, 2014
 - **NREFT (Non-Relativistic Effective Field Theory)**: Hammer, Pang & AR, 2017
 - **FVU (Finite-Volume Unitarity)**: Mai & Döring, 2017
- Enables one to extract scattering observables in the three-body sector from the measured finite-volume spectrum

Particle-dimer picture

- Dimer: an alternative description of an infinite bubble sum; dummy field in the path integral
- **Mathematically equivalent** to the standard treatment – not an approximation

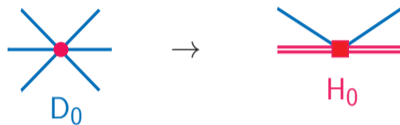
$$\text{dimer : } \begin{array}{c} \text{X} \\ \text{O} \\ \text{X} \end{array} + \begin{array}{c} \text{X} \\ \text{O} \\ \text{O} \\ \text{O} \\ \text{X} \end{array} + \dots \rightarrow \begin{array}{c} \text{X} \\ \text{=} \\ \text{X} \end{array}$$

- Particle-dimer Lagrangian:

$$\mathcal{L} = \phi^\dagger (i(\mathbf{v} \cdot \partial) - w_v)(2w_v)\phi + \sigma T^\dagger T + \left(T^\dagger \left[\frac{f_0}{2} \phi\phi + \dots \right] + \text{h.c.} \right)$$

- Matching: $f_0, \dots \leftrightarrow C_0, \dots \leftrightarrow a, r, \dots$, $\sigma = \pm 1$.
- v^μ is a unit vector in the direction of the total four-momentum of the three-particle system

Particle-dimer picture in the three-particle sector

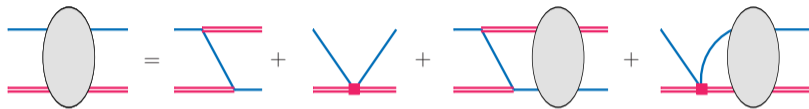


- The particle-dimer Lagrangian in the three-particle sector

$$\mathcal{L}_3 = h_0 T^\dagger T \phi^\dagger \phi + \dots$$

- Matching: $h_0, \dots \leftrightarrow D_0, \dots$
- Terms with higher derivatives, higher dimer spin and orbital momentum should be added

The scattering equation in the infinite volume



Bethe-Salpeter equation

$$\mathcal{M}(p, q) = Z(p, q) + 8\pi \int \frac{d^3 k_{\perp}}{(2\pi)^3 2w_v(k)} \theta(\Lambda^2 + m^2 - (vk)^2) Z(p, k) \tau(K - k) \mathcal{M}(k, q)$$

$$\tau(P) = \frac{2\sqrt{P^2}}{k^* \cot \delta(k^*) - ik^*} \quad k^* = \sqrt{\frac{P^2}{4} - m^2}$$

$$Z(p, q) = \frac{1}{2w_v(K - p - q)(w_v(p) + w_v(q) + w_v(K - p - q) - (vK) - i\epsilon)} + \tilde{H}_0 + \dots$$

Relativistic invariant QC in the three-body sector

(F. Müller, J.-Y. Pang, AR and J.-J. Wu, JHEP 02 (2022) 158)

$$\mathcal{M}_L(p, q) = Z(p, q) + \frac{8\pi}{L^3} \sum_{\mathbf{k}} \theta(\Lambda^2 + m^2 - (vk)^2) Z(p, k) \frac{\tau_L(K - k)}{2w(\mathbf{k})} \mathcal{M}_L(k, q)$$

$$\tau_L(P) = \frac{2\sqrt{K^2}}{k^* \cot \delta(k^*) - \frac{2}{\sqrt{\pi}L\gamma} Z_{00}^{\mathbf{P}}(1; q_0^2)}, \quad q_0 = \frac{k^*L}{2\pi}$$

$$Z(p, q) = \frac{1}{2w_v(K - p - q)(w_v(p) + w_v(q) + w_v(K - p - q) - (vK) - i\epsilon)} + \tilde{H}_0 + \dots$$

- Quantization condition:

$$\det \mathcal{A} = 0, \quad \mathcal{A}_{pq} = L^3 2w(\mathbf{p}) \delta_{\mathbf{p}\mathbf{q}}^3 (8\pi\tau_L(K - p))^{-1} - Z(p, q)$$

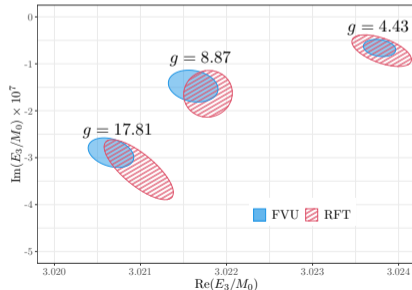
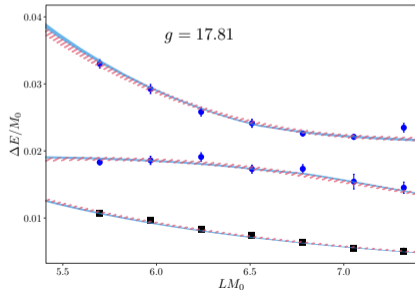
Quantization condition: essentials

- Two-body interactions as an input: $k^* \cot \delta(k^*)$ fitted in the two-particle sector
- Extracting **short-range** quantities encoded in the three-body couplings \tilde{H}_0, \dots
 - should be fitted to the three-particle energies
- Finally, solve the equations in the infinite volume to arrive at the S -matrix elements!

Three-particle resonances

(M. Garofalo, M. Mai, F. Romero-Lopez, AR and C. Urbach, arXiv:2211.05605)

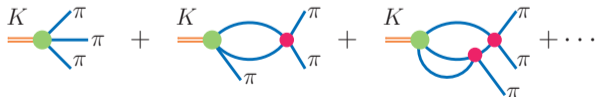
$$\mathcal{L} = \frac{1}{2} \sum_{i=0,1} (\partial_\mu \varphi_i \partial_\mu \varphi_i + m_i^2 \varphi_i \varphi_i + 2\lambda_i (\varphi_i \varphi_i)^2) + \frac{g}{2} (\varphi_1^\dagger \varphi_0^3 + \text{h.c.})$$



Three-particle decays

(F. Müller and AR, JHEP 03 (2021) 152, F. Müller, J.-Y. Pang, AR and J.-J.Wu, arXiv:2211.10126)

- a) Decays through the weak or electromagnetic interactions; isospin-breaking decays:
pole on the real axis
Example: $K \rightarrow 3\pi$
 - b) Decays through strong interactions, the pole moves into the complex plane
Example: $N(1440) \rightarrow \pi\pi N$
- Final-state interactions lead to the irregular volume-dependence in the matrix element



An analog of the LL formula in the three-particle sector?

The 3-particle LL factor

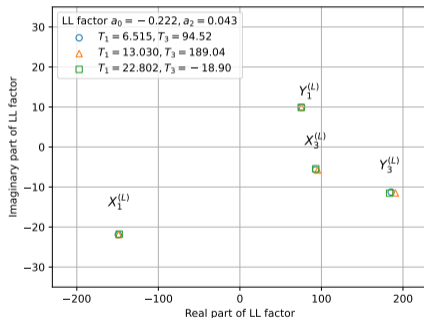
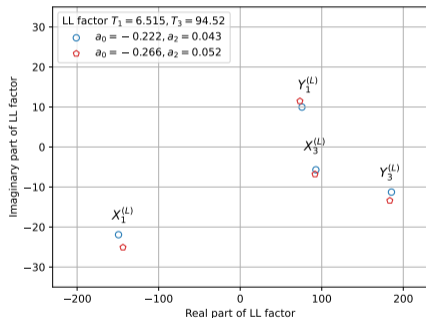
$$\langle \pi(k_1)\pi(k_2)\pi(k_3); out | H_W | K \rangle_\infty = \Phi_3(\{k\}) L^{3/2} \langle n | H_W | K \rangle_L$$

- The factor $\Phi_3(\{k\})$ depends on the $\pi\pi$, $\pi\pi\pi$ interactions and on L , but **not on the couplings that describe the short-range part of the $K \rightarrow 3\pi$ amplitude!**
- The derivative couplings emerge at higher orders; decay amplitudes into different final states mix. The three-particle LL factor becomes **a matrix**

Apart from the two-body scattering parameters, the LL factor depends on the three-body force, which should be determined prior to calculating the matrix element. Is this dependence significant numerically?

Role of the three-body force in the LL factor

(J.-Y. Pang, R. Bubna, F. Müller, AR and J.-J. Wu, in preparation)



- 2×2 LL factor, corresponding to $K^+ \rightarrow \pi^+ \pi^+ \pi^-$ and $K^+ \rightarrow \pi^0 \pi^0 \pi^+$ decays
- Sensitive to the values of a_0, a_2 , very little dependence on the three-body force!

Conclusions & outlook

- In the analysis of lattice data, EFT can be used to systematically relate the finite- and infinite-volume observables. This facilitates the extraction of scattering observables from lattice data
- The crucial point: **decoupling** of short- and long-range physics
- The quantization condition and an analog of the LL formula in the three-particle sector is derived
- Decays of K^+ into three pions: LL factor worked out explicitly to lowest order, **Very little dependence on the three-body input!**
- Outlook
 - Long-range forces in a finite volume: one-pion exchange, Coulomb force
 - Nuclear physics on the lattice
 - The Roper resonance
 - Boxed exotica