New generative models for LHC event generation

Nathan Huetsch

Institute for Theoretical Physics Heidelberg University

A. Butter, NH, S. Palacios Schweitzer, T. Plehn, P. Sorrenson, J. Spinner arXiv:2305.10475

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From theory to experiment in LHC physics



Fast and precise simulations are crucial for LHC physics

Figure from [A. Butter et al. 2203.07460], R. Winterhalder

Enhancing the simulation chain with ML



A non-exhaustive list:

- Loop integrals: [R. Winterhalder et al. 2112.09145]
- Importance Sampling: [T. Heimel et al. 2212.06172]
- Event unweighting: [M. Backes et al. 2012.07873]
- Hadronization: [J. Chan et al. 2305.17169]
- Detector simulation: [C. Krause et al. 2110.11377]
- End-to-end event generation: [A. Butter et al. 2305.10475]

Figure from [A. Butter et al. 2203.07460], R. Winterhalder

Generative Machine Learning

• Learn the **underlying density** $p_{data}(x)$ from a set of samples X_{train}

• Most generative models learn a transformation

$$x \sim p_{\mathsf{model}}(x| heta) pprox p_{\mathsf{data}}(x) \quad \longleftarrow \quad \epsilon \sim p_{\mathsf{latent}}(\epsilon) = \mathcal{N}(0,1)$$



 LHC event generation: Low-dimensional data, high precision required ⇒ Normalizing Flows very successful [A. Butter et al. 2110.13632]

Diffusion

State-of-the-art image generation Midjourney, StableDiffusion, ...



Example: Detector Simulation V. Mikuni et al. 2206.11898

Transformer

State-of-the-art language generation ChatGPT, Bard, ...



Example: QCD jet generation T. Finke et al. 2303.07364

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Can they challenge Normalizing Flows in LHC event generation?

Diffusion models

Model transformation as time-dependent diffusion process

$$x_0 \sim p_{\text{model}}(x_0|\theta) \quad \xleftarrow{t} \quad x_T = \epsilon \sim \mathcal{N}(0,1)$$

 \Rightarrow : Gradually **add noise to data samples** to transform them to gaussians \Leftarrow : Gradually **remove noise from gaussians** to obtain data samples



Figure from [Y. Song et al. 2011.13456]

Denoising Diffusion Probabilistic Model [J. Ho et al. 2006.11239]

• Forward: discrete Markov process

$$p(x_t|x_{t-1}) = \mathcal{N}(x_t; \sqrt{1 - \kappa_t} x_{t-1}, \kappa_t)$$

$$\Rightarrow p(x_t|x_0) = \mathcal{N}(x_t; \alpha_t x_0, \beta_t)$$

with $\alpha_t = \prod_{i=1}^t \sqrt{1 - \kappa_t}$ and $\beta_t = 1 - \prod_{i=1}^t (1 - \kappa_t)$

• <u>Reverse:</u> approximated as same form

$$q_{\theta}(x_{t-1}|x_t) = \mathcal{N}(x_{t-1}; \mu_{\theta}(x_t, t), \sigma_{\theta}(x_t, t))$$
$$q_{\theta}(x_{t-1}|x_t) \approx p(x_{t-1}|x_t, x_0)$$

• <u>NN</u>: predict the noise ϵ_{θ}

$$\mathcal{L}_{\text{DDPM}} = \left\langle C_t \left| \epsilon_{\theta}(x, t) - \epsilon(x, t | x_0) \right|^2 \right\rangle_{x_0 \sim p_{\text{data}}, \epsilon \sim \mathcal{N}(0, 1), t \sim \mathcal{U}(0, T)}$$

Conditional Flow Matching [Y. Lipman et al. 2210.02747]

• Individual samples: continuous ODE

$$\frac{dx(t)}{dt} = v(x,t)$$

• Density: continuity equation

$$\begin{aligned} \frac{\partial p(x,t)}{\partial t} + \nabla_x \left[p(x,t) v(x,t) \right] &= 0\\ \text{s.t.} \quad p(x,t) \quad \to \quad \begin{cases} p_{\mathsf{data}}(x) & t \to 0\\ \mathcal{N}(x;0,1) & t \to 1 \end{cases} \end{aligned}$$

• <u>NN</u>: predict the velocity field v_{θ}

$$\mathcal{L}_{\mathsf{CFM}} = \left\langle \left| v_{ heta}(x,t) - v(x,t|x_0) \right|^2 \right\rangle_{x_0 \sim \rho_{\mathsf{data}}, \epsilon \sim \mathcal{N}(0,1), t \sim \mathcal{U}([0,1])}$$

• Follow example process from [A. Butter et al. 2110.13632]: Leptonically decaying **Z boson with variable number of QCD jets**

$$pp
ightarrow Z_{\mu\mu} + \{1,2,3\}$$
 jets .

Events generated with Sherpa at 13 TeV.
 Jets are defined with anti-k_T algorithm and applying basic cuts

$$p_{T,i} > 20 \text{ GeV}$$
 and $\Delta R_{jj} > 0.4$



- $\rightarrow\,$ Sub-1-percent precision in the bulk of the distribution
- $\rightarrow\,$ Traindata precision over the complete distribution

What about uncertainties?

Learned phase space density comes with uncertainty

- $\rightarrow\,$ Lack of training data
- \rightarrow Insufficient model flexibility
- $\rightarrow\,$ Stochastic optimization of model parameters

Bayesian Neural Networks

- 1 Replace deterministic weights θ with distributions over weights
- 2 Place a (meaningless) prior $p(\theta)$ over the weights
- 3 Train network via variational approximation of the posterior

$$q(heta) pprox p(heta|X_{ ext{train}}) = rac{p(X_{ ext{train}}| heta)p(heta)}{p(X_{ ext{train}})}$$

4 Evaluate the network by calculating the **posterior expectation**

$$\langle p \rangle(x) = \int d\theta \ p(x|\theta)p(\theta|X_{\text{train}})$$

Z+jets: Bayesian Network Uncertainty



ightarrow Bayesian NN correctly captures uncertainty from lack of training data

Z+jets: Jet seperation



- $\rightarrow\,$ Percent-level precision in the bulk of the distribution
- ightarrow Hard cut at $\Delta R = 0.4$ is learned well but not perfect

Z+jets: Lepton mass peak



 \rightarrow Percent-level precision in the $\mu\mu$ mass peak!

Summary

- We adapted two diffusion models and an autoregressive transformer model to LHC event generation
- Bayesian versions allows us to quantify the uncertainties
- These new models match or even surpass the percent-level precision of Normalizing Flows in end-to-end LHC event generation

Outlook

- The next step is to incorporate these models into different parts of the LHC simulation and analysis chain
- This includes, but is not limited to Importance Sampling, Matrix Element Methods, Unfolding, ...
- We expect that LHC physics will benefit from different model classes