

Lattice calculations and implications for rare kaon decays

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Kaons@CERN 2023

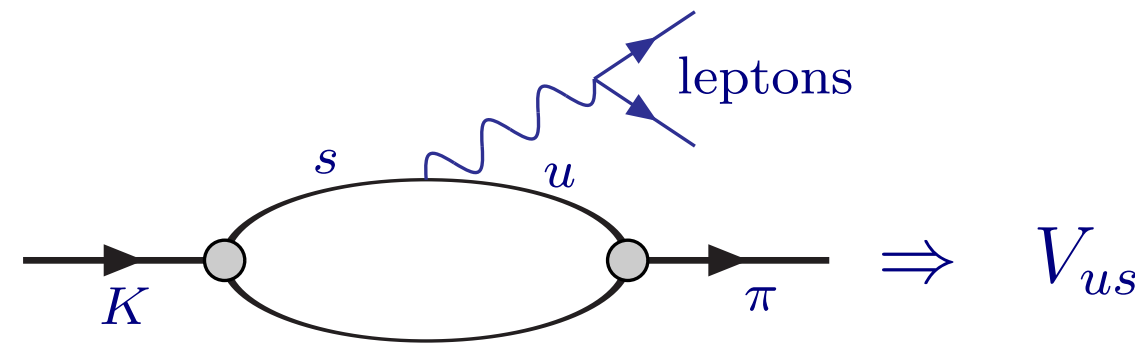
CERN — September 11th-14th 2023

LEVERHULME
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Well-studied quantities in lattice kaon physics

1. Leptonic decay constant f_K



$$\langle 0 | A_\mu | K(p) \rangle = f_K p_\mu,$$

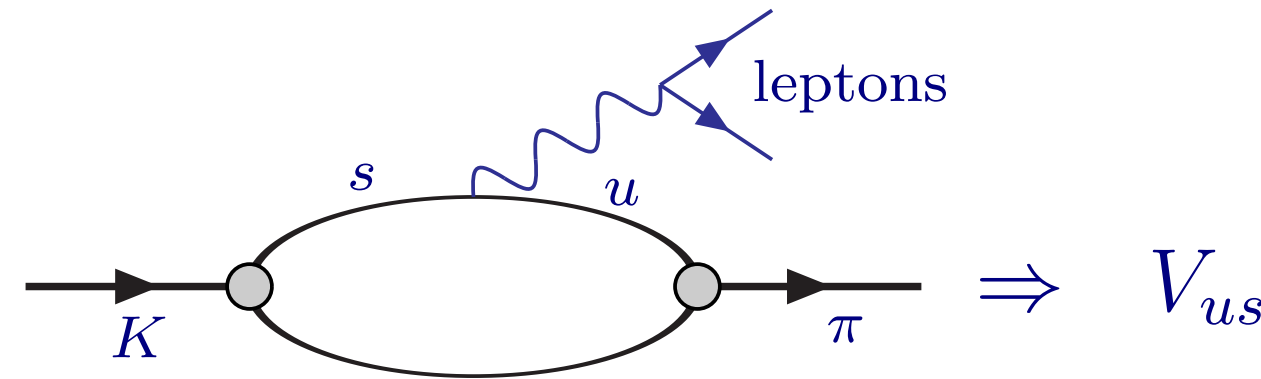
$$\Gamma^{(0)} = \frac{G_F^2 |V_{us}|^2 f_K^2}{8\pi} m_K^3 r_\ell^2 (1 - r_\ell^2)^2$$

$$r_\ell = \frac{m_\ell}{m_K}$$

$$f_K = 155.7(3) \text{ MeV}$$

FLAG Review 2021, Y.Aoki et al.,
arXiv:2111.09849

2. $K_{\ell 3}$ decays



$$\langle \pi(p_\pi) | \bar{s} \gamma_\mu u | K(p_K) \rangle = f_0(q^2) \frac{m_K^2 - m_\pi^2}{q^2} q_\mu + f_+(q^2) \left[(p_\pi + p_K)_\mu - \frac{m_K^2 - m_\pi^2}{q^2} q_\mu \right]$$

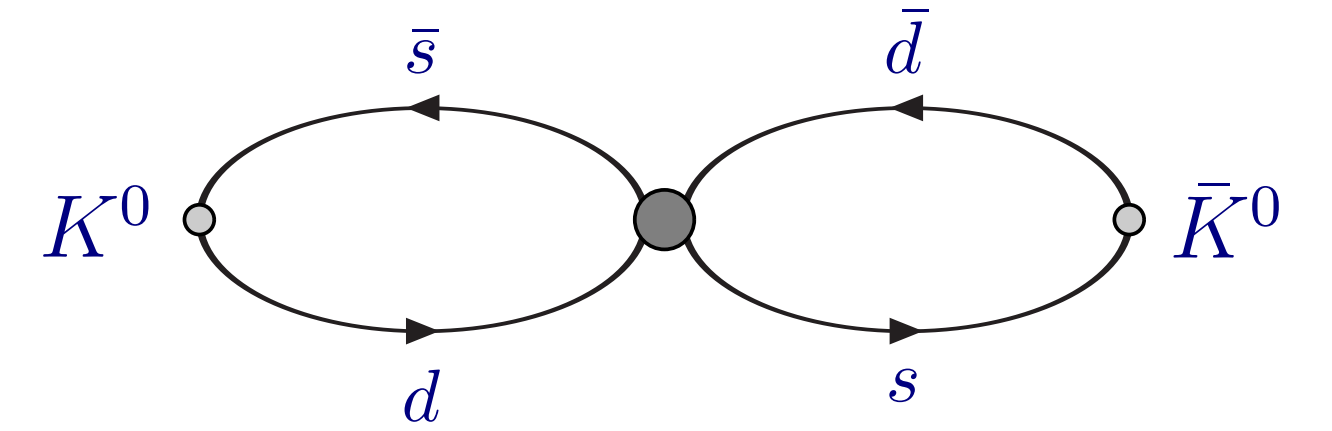
where $q = p_K - p_\pi$.

$$f_0(0) = 0.9698(17)$$

- Shape of form factor also computed.

FLAG Review 2021, Y.Aoki et al., arXiv:2111.09849
from ETM (arXiv:1602.04113) and
FNAL/MILC (arXiv:1809.02827) collaborations.

3. K^0 - \bar{K}^0 mixing



$$\langle \bar{K}^0 | \bar{s} \gamma^\mu (1 - \gamma^5) d \bar{s} \gamma_\mu (1 - \gamma^5) d | K^0 \rangle = \frac{8}{3} f_K^2 m_K^2 B_K(\mu)$$

$$\hat{B}_K \equiv \alpha_s(\mu)^{-\gamma_0/2\beta_0} (1 + O(\alpha_s(\mu))) B_K(\mu)$$

$$\hat{B}_K = 0.717(18)(16)$$

FLAG Review 2021, Y.Aoki et al.,
arXiv:2111.09849 from ETM (arXiv:1505.06639)
collaboration.

1. Introductory remarks

- In this talk I will focus on topics in kaon physics which we have only relatively recently learned how to handle using Lattice QCD.
- Outline of Talk:
 1. Introductory remarks
 2. $\Delta m_K = m_{K_L} - m_{K_S}$
 3. Long-distance contributions to ϵ_K
 4. The rare decays $K \rightarrow \pi \ell^+ \ell^-$
 5. The rare decays $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
 6. Radiative decays $K^+ \rightarrow \ell^+ \nu_\ell \gamma$
 7. $K \rightarrow \pi\pi$ decays
 8. Summary and Conclusions
- The rare FCNC processes and small quantities are excellent places to search for the effects of new physics *Beyond the Standard Model*. I will only be able to sketch the main issues.
- Items 2-5 and 7 undertaken with the RBC-UKQCD collaborations. Item 6 with RM123 (ETMC).

Generic Issues: continuation to Euclidean space - (an illustrative example)

- Imagine that we wish to compute a matrix element of the form $M = \langle f(\mathbf{p}_f) | O(0) | i(\mathbf{p}_i) \rangle$.
- (For illustration let $\mathbf{p}_i = \mathbf{0}$ and $|i\rangle$ be the lightest single-particle state with its quantum numbers and mass m_i .)
- To prepare for a lattice computation we proceed as follows:

$$\begin{aligned}
 M &= \int d^3 \mathbf{x}_i \int d^3 \mathbf{x}_f e^{-i\mathbf{p}_f \cdot \mathbf{x}_f} \langle 0 | \phi_f(\mathbf{x}_f, t_f) O(0) \phi_i^\dagger(\mathbf{x}_i, t_i) | 0 \rangle \quad \text{with } t_i < 0 < t_f \\
 &= \sum_{n_i, n_f} \langle 0 | \phi_f(0) | n_f(\mathbf{p}_f) \rangle \langle n_f(\mathbf{p}_f) | O(0) | n_i(\mathbf{0}) \rangle \langle n_i(\mathbf{0}) | \phi_i^\dagger(0) | 0 \rangle e^{-iE_{n_i}|t_i|} e^{-iE_{n_f}t_f} \\
 &\xrightarrow{\text{Eucl}} e^{-m_i|t_i|} \sum_{n_f} \langle 0 | \phi_f(0) | n_f(\mathbf{p}_f) \rangle \langle n_f(\mathbf{p}_f) | O(0) | i(\mathbf{0}) \rangle \langle i(\mathbf{0}) | \phi_i^\dagger(0) | 0 \rangle e^{-E_{n_f}t_f}
 \end{aligned}$$

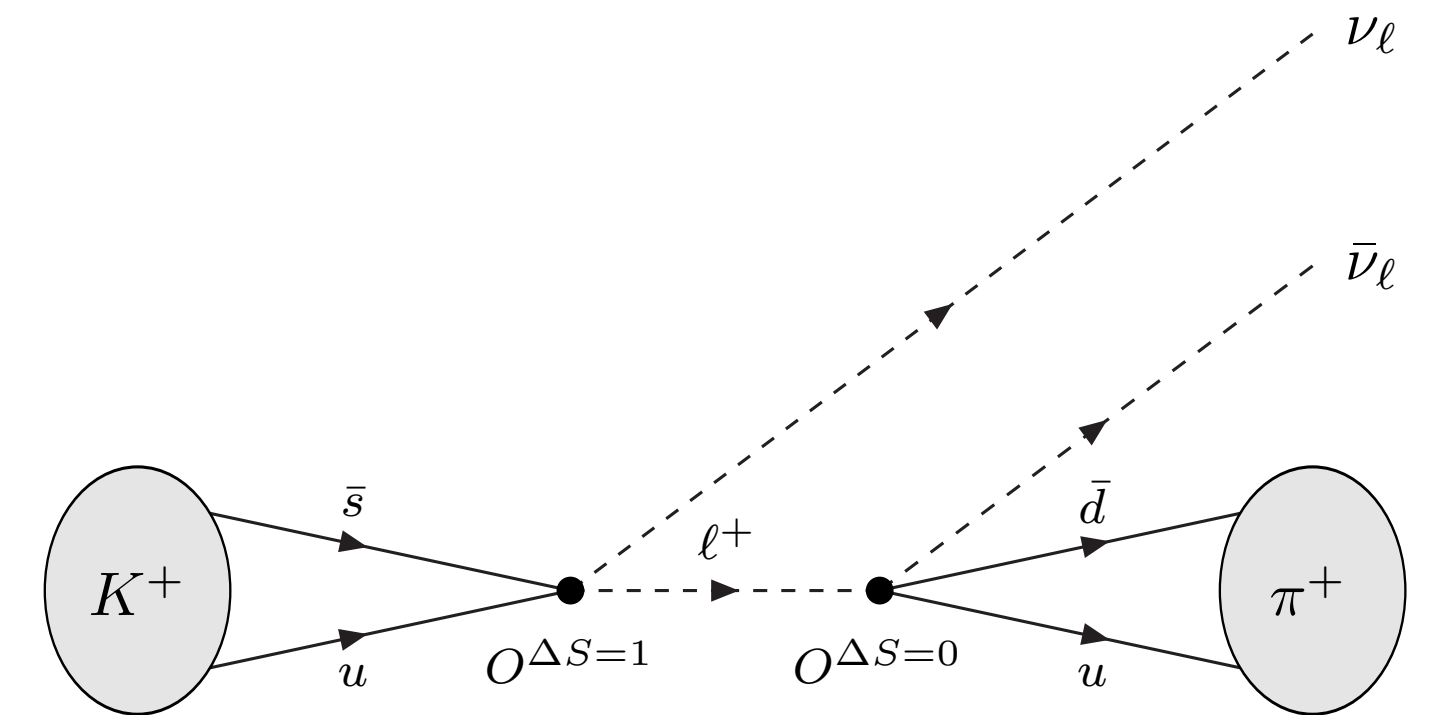
- If $|f\rangle$ is not the ground state then its contribution to the correlation function at large t_f is subleading and methods have to be developed to extract the required matrix element, e.g. $K \rightarrow \pi\pi$ decays.

Generic Issues: Matrix Elements of bilocal operators

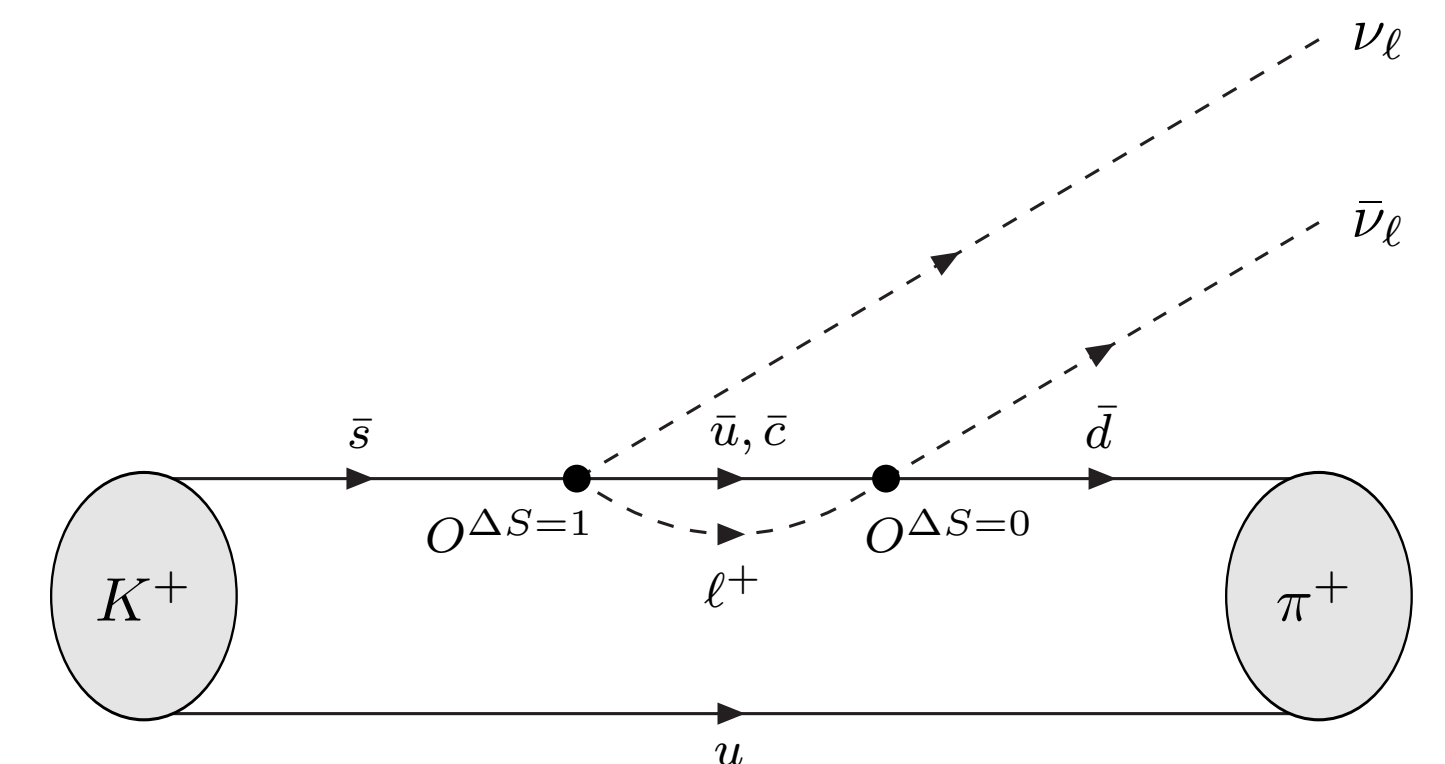
- For some interesting processes, e.g. $K \rightarrow \pi \nu \bar{\nu}$ decays, we need to evaluate matrix elements of bilocal operators of the form

$$\int d^4x \langle f | O_2(x) O_1(0) | i \rangle.$$

- Typically the two operators may be four-fermion weak operators as in the illustrated example or combinations of weak and electromagnetic currents.
- The non-perturbative renormalisation of local operators $O_{1,2}$ is now standard. However there may be additional ultraviolet divergences as $x \rightarrow 0$.
- In the evaluation of Δm_K and $K \rightarrow \pi \ell^+ \ell^-$ decay amplitudes GIM, gauge and chiral symmetries protect the appearance of additional divergences.
- In the evaluation of ϵ_K and $K \rightarrow \pi \nu \bar{\nu}$ decay amplitudes additional divergences do occur and must be renormalised.



Type 1



Type 2

$$2. \Delta m_K = m_{K_L} - m_{K_S}$$

N.H.Christ, T.Izubuchi, CTS, A.Soni, J.Yu, arXiv:1212.5931

Z.Bai, N.H.Christ, T.Izubuchi, CTS, A.Soni, J.Yu, arXiv:1406.0916; Z.Bai, N.H.Christ, CTS, EPJ Web Conf. 175 (2018) 13017,

- Δm_K is given by:

$$\Delta m_K = m_{K_L} - m_{K_S} = 2M_{\bar{0}0} = 2\mathcal{P} \sum_n \frac{\langle \bar{K}^0 | H_{\text{eff}}^{\Delta S=1} | n \rangle \langle n | H_{\text{eff}}^{\Delta S=1} | K^0 \rangle}{m_K - E_n} = 3.483(6) \times 10^{-12} \text{ MeV}$$

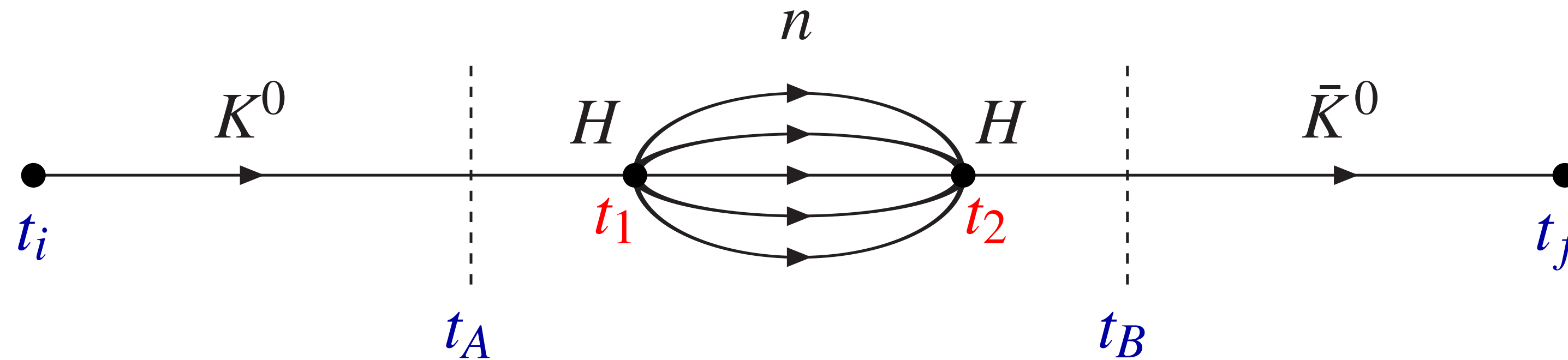
where

$$H_{\text{eff}}^{\Delta S=1} = \sum_{q,q'=u,c} V_{q's}^* V_{qd} (C_1 Q_1^{q'q} + C_2 Q_2^{q'q}) + \text{h.c.}$$

and

$$Q_1^{q'q} = (\bar{s}_i q'_j)_{V-A} (\bar{q}_j d_i)_{V-A} \quad \text{and} \quad Q_2^{q'q} = (\bar{s}_i q'_i)_{V-A} (\bar{q}_j d_j)_{V-A}.$$

Δm_K —Correlation Function



- The above correlation function gives ($T = t_B - t_A + 1$)

$$C_4(t_A, t_B; t_i, t_f) = Z_K^2 e^{-m_K(t_f - t_i)} \sum_n \frac{\langle \bar{K}^0 | H_{\text{eff}}^{\Delta S=1} | n \rangle \langle n | H_{\text{eff}}^{\Delta S=1} | K^0 \rangle}{(m_K - E_n)^2} \left\{ e^{(m_K - E_n)T} - (m_K - E_n)T - 1 \right\}$$

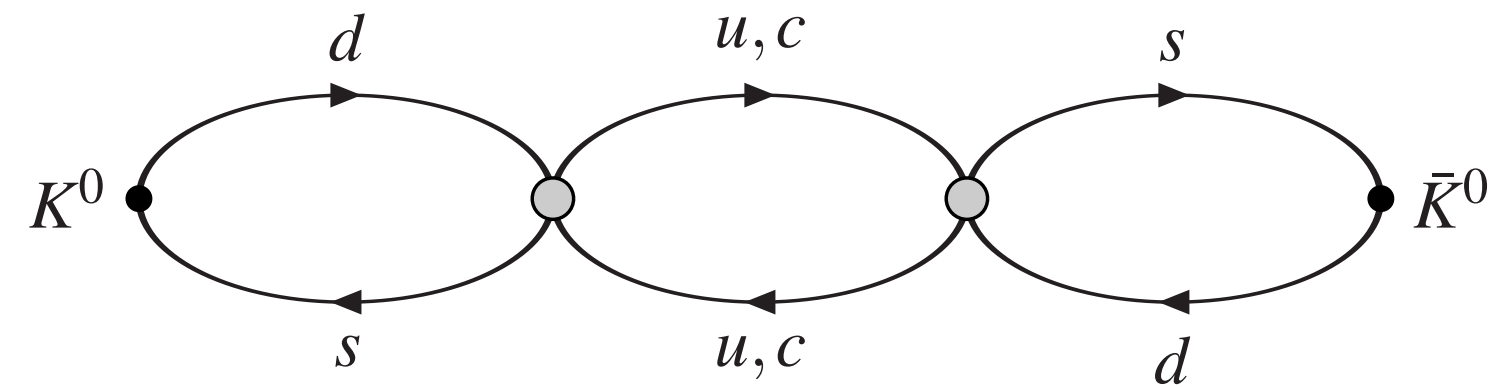
- From the coefficient of T we can deduce Δm_K .

- Generic Issues

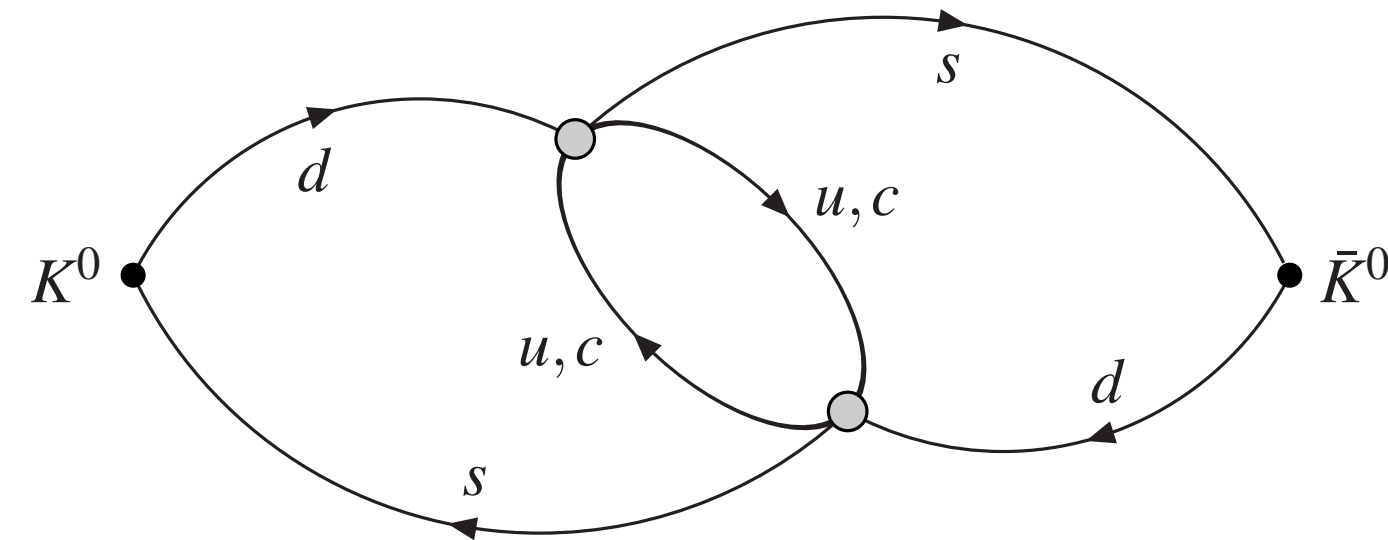
1. The presence of intermediate states with $E_n < m_K$ (e.g. $\pi\pi$ states) leads to terms in the correlation function which grow exponentially with T .
2. In addition there are finite-volume effects which are not exponentially small.

Generalisation of Luscher's quantisation condition , N.H.Christ, X.Feng, G.Martinelli and C.T.S., arXiv:1504.01170

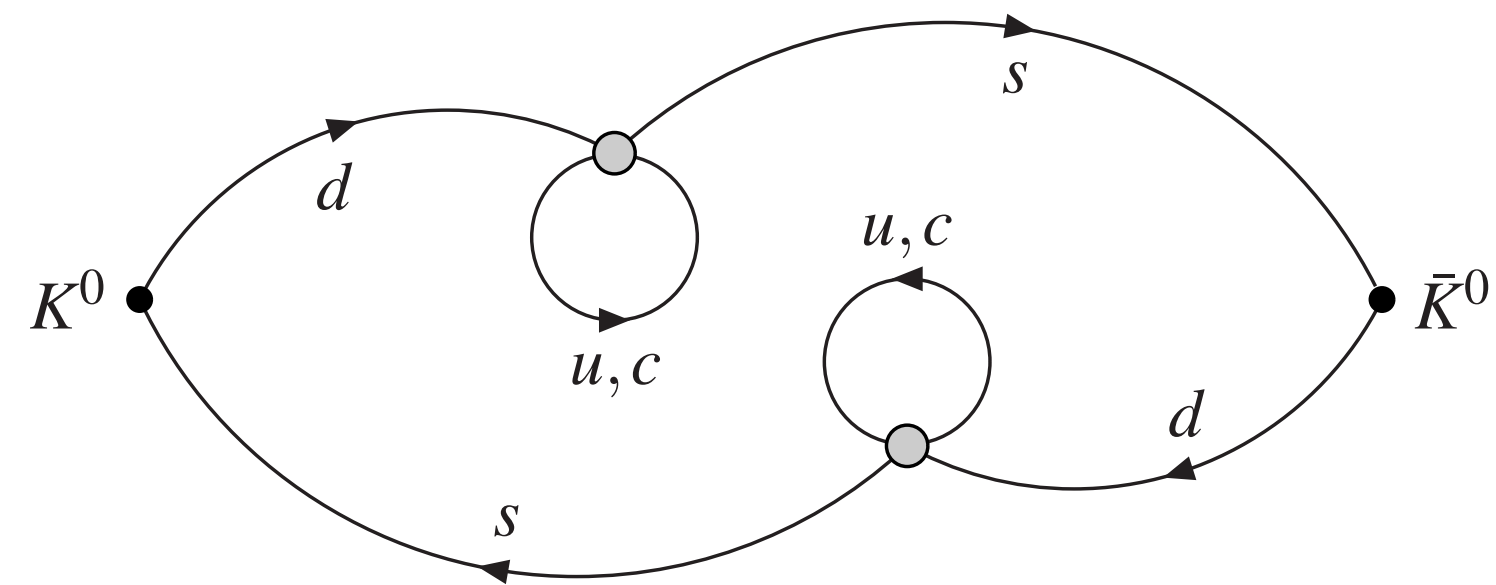
Δm_K — The diagrams



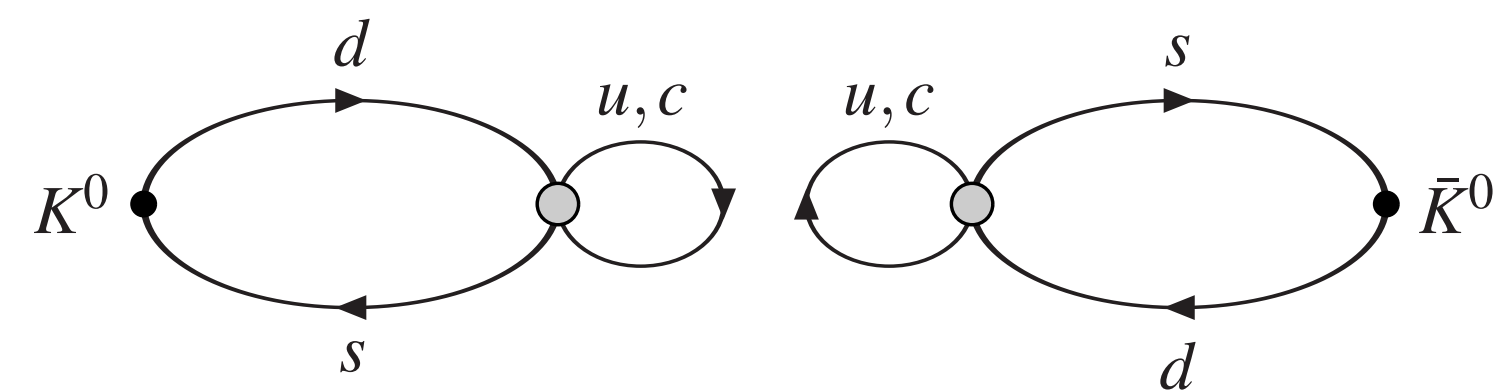
Type 1



Type 2

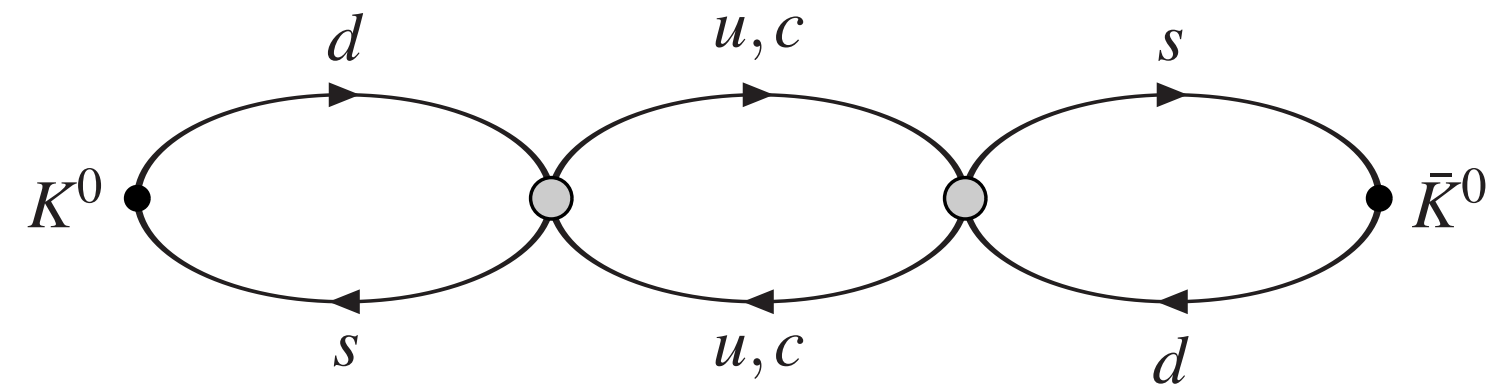


Type 3



Type 4

Δm_K — Renormalisation



- The renormalisation of each local $\Delta S = 1$ operator is “standard”.

- GIM cancellation and the chiral structure of $H_{\text{eff}}^{\Delta S=1}$
 \Rightarrow no new UV divergences.

$$H_{\text{eff}}^{\Delta S=1} = \sum_{q,q'=u,c} V_{q's}^* V_{qd} (C_1 Q_1^{q'q} + C_2 Q_2^{q'q}) + \text{h.c.}$$

where $Q_1^{q'q} = (\bar{s}_i q'_j)_{V-A} (\bar{q}_j d_i)_{V-A}$ and $Q_2^{q'q} = (\bar{s}_i q'_i)_{V-A} (\bar{q}_j d_j)_{V-A}$.

- For example, in the type 1 diagram above, the two $\Delta S = 1$ operators are joined by u and c -quark propagators.

- GIM \Rightarrow the propagators come in the combination

$$\begin{aligned} S_u - S_c &= i \left(\frac{\not{p} + m_u}{p^2 - m_u^2} - \frac{\not{p} + m_c}{p^2 - m_c^2} \right) \\ &= -i \frac{\not{p}(m_c^2 - m_u^2)}{(p^2 - m_u^2)(p^2 - m_c^2)} + O\left(\frac{m_u, m_c}{p^2}\right) \end{aligned}$$

- Chiral structure of operators \Rightarrow cannot have odd powers of $m \Rightarrow$ no additional UV divergences.

We know how to evaluate Δm_K .

Δm_K — Numerical Results

- The numerical implementation of the theoretical framework has been in progress for some time, first as a proof of principle at unphysical quark masses and more recently at physical quark masses. [N.H.Christ, T.Izubuchi, CTS, A.Soni, J.Yu, arXiv:1212.5931](#)
[Z.Bai, N.H.Christ, T.Izubuchi, CTS, A.Soni, J.Yu, arXiv:1406.0916](#); [Z.Bai, N.H.Christ, CTS, EPJ Web Conf. 175 \(2018\) 13017](#),
 - The emphasis now is on the control and reduction of systematic uncertainties.
- The most recent preliminary result, presented at Lattice 2022, to be compared to the physical value $\Delta m_K = 3.483(6)$ MeV, is
$$\Delta m_K = 5.8 (0.6)_{\text{stat}} (2.3)_{\text{syst}} \times 10^{-12} \text{ MeV}$$
[B. Wang, arXiv:2301.01387, journal paper in preparation.](#)
- The result was obtained from a computation using 152 configurations on a $64^3 \times 128$ lattice, with $a^{-1} = 2.36$ GeV.
 - Finite-volume correction is estimated to be $\Delta m_K^{\text{FV}} = -0.22(7)$ MeV.
 - The largest systematic uncertainty, is due to discretisation effects resulting from the large value of m_c .
 - This uncertainty is estimated from extensive scaling studies of quantities at different values of a .
- Ultimately, in order to have the discretisation errors under control, computations will be performed on finer lattices and the results extrapolated to the continuum limit.

Δm_K - Prospects

- To reduce the finite-lattice spacing errors particularly, but not exclusively those resulting from the large value of m_c , requires computations at several values of a , e.g.

$$64^3 \times 256, a^{-1} = 2.76 \text{ GeV}, \quad \text{cost} = 2 \text{ Exaflop} - \text{hours}$$

$$96^3 \times 384, a^{-1} = 4.14 \text{ GeV}, \quad \text{cost} = 10 \text{ Exaflop} - \text{hours}$$

$$128^3 \times 512, a^{-1} = 5.51 \text{ GeV}, \quad \text{cost} = 32 \text{ Exaflop} - \text{hours}$$

\Rightarrow 5 % result in 2026

- Other sources of systematic error (statistical, finite-volume, $V_{td}, V_{ts} \neq 0$ etc.) can be controlled within this precision.
- *“... an ab initio lattice QCD calculation of Δm_K in the standard model which reaches the experimental accuracy is likely not possible within the next decade.”*

“Discovering new physics in rare kaon decays”
RBC & UKQCD Collaborations
T.Blum et al., arXiv:2203.10998
- The sizes of the lattices have been modified since this document was posted to $96^3 \times 192 @ a^{-1} = 3.0 \text{ GeV}$, $128^3 \times 566 @ a^{-1} = 4.0 \text{ GeV}$ and $160^3 \times 640 @ a^{-1} = 5.0 \text{ GeV}$.
 - We have started generating the smaller two lattices.

3. Long distance contribution to ϵ_K

Z.Bai, N.H.Christ, J.M.Karpie, CTS, A.Soni and B.Wang, arXiv:2309.01193

Z.Bai and N.H.Christ, PoS(Lattice2015) (2016) 342

- Indirect CP-violation is conventionally parametrised by

$$\epsilon_K = e^{i\phi_\epsilon} \sin \phi_\epsilon \left(\frac{-\text{Im}M_{\bar{0}0}}{\Delta m_K} + \frac{\text{Im}A_0}{\text{Re}A_0} \right)$$

where $\phi_\epsilon = 43.51^\circ$. $\epsilon_K^{\text{exp}} = 2.228(11) \times 10^{-3}$.

- The challenge now is to compute $\text{Im} M_{\bar{0}0}$, and in particular the long-distance contribution.
- The $\Delta S = 2$ quantity $\text{Im} M_{\bar{0}0}$ is a quadratic expression in $\lambda_i = V_{id}V_{is}^*$ where $i = u, c, t$.
- Using the unitarity relation $\lambda_u + \lambda_c + \lambda_t = 0$, one of the λ_i can be eliminated and traditionally it is λ_u and the effective $\Delta S = 2$ Hamiltonian is conventionally written in the form:

$$H_{\text{eff}}^{\Delta S=2} = \frac{G_F^2}{16\pi^2} m_W^2 \left[\lambda_c^2 \eta_1 S_0(x_c) + \lambda_t^2 \eta_2 S_0(x_t) + 2\lambda_c \lambda_t \eta_3 S_0(x_c, x_t) \right] O_{LL} + \text{h.c.}$$

where $O_{LL} = (\bar{s}d)_{V-A} (\bar{s}d)_{V-A}$, $x_i = m_i^2/m_W^2$, the S_0 are Inami-Lin functions and the η_i are QCD perturbative corrections.

Long distance contribution to ϵ_K (cont.)

- For the calculation of the long distance contribution to ϵ_K , i.e. from scales $> O(m_c^{-1})$, it is convenient to use the unitarity to eliminate λ_c , and to rewrite the $\Delta S = 2$ effective Hamiltonian as (setting $x_u = 0$)

$$H_{\text{eff}}^{\Delta S=2} = \frac{G_F^2}{16\pi^2} m_W^2 \left[\lambda_u^2 \eta'_1 S_0(0, 0, x_c) + \lambda_t^2 \eta'_2 S_0(x_t, x_t, x_c) + 2\lambda_u \lambda_t \eta'_3 S_0(x_t, 0, x_c) \right] O_{LL} + \text{h.c.}$$

- The propagators in each box diagram come in the combination:

$$\sum_{i=u,c,t} \lambda_i S_i = \lambda_u (S_u - S_c) + \lambda_t (S_t - S_c)$$

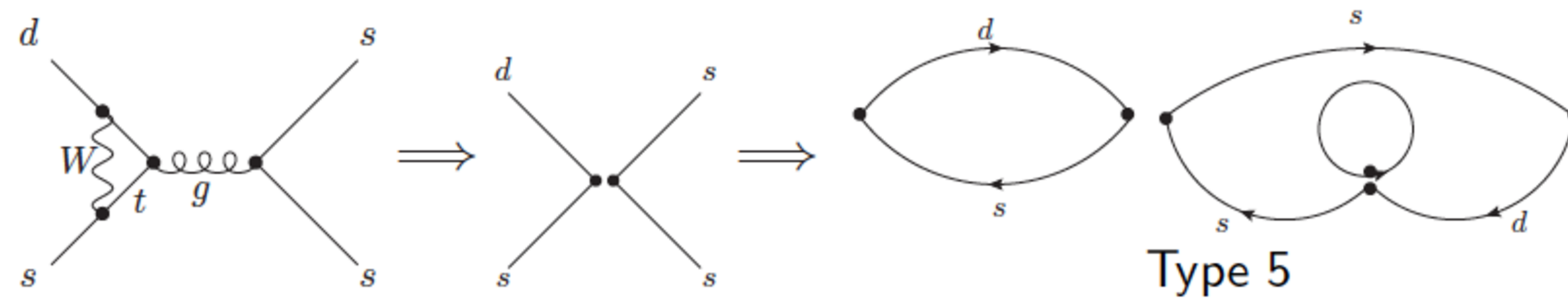
and the notation in $S_0(x_1, x_2, x_3)$ is that in one line we have $S_1 - S_3$ and in the other we have $S_2 - S_3$.

- The reason for this choice is that:

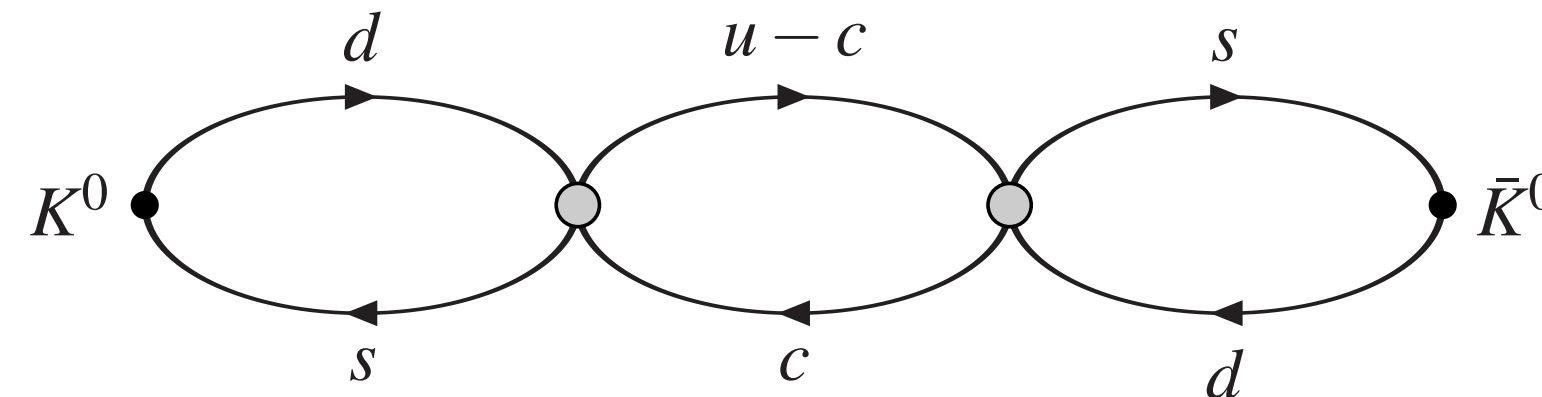
- λ_u^2 is real and hence the corresponding term does not contribute to $\text{Im } M_{\bar{0}0}$.
- The term proportional to λ_t^2 can be evaluated in perturbation theory.
(Term with two charm quark propagators CKM suppressed relative to that in the $\lambda_u \lambda_t$ term.)
- Thus the only term which requires a lattice computation is the one proportional to $\lambda_u \lambda_t$, reducing the cost.

Long distance contribution to ϵ_K (cont.)

- QCD penguins \Rightarrow additional topology (type 5 diagrams)



- Renormalisation: As an example consider the diagram:

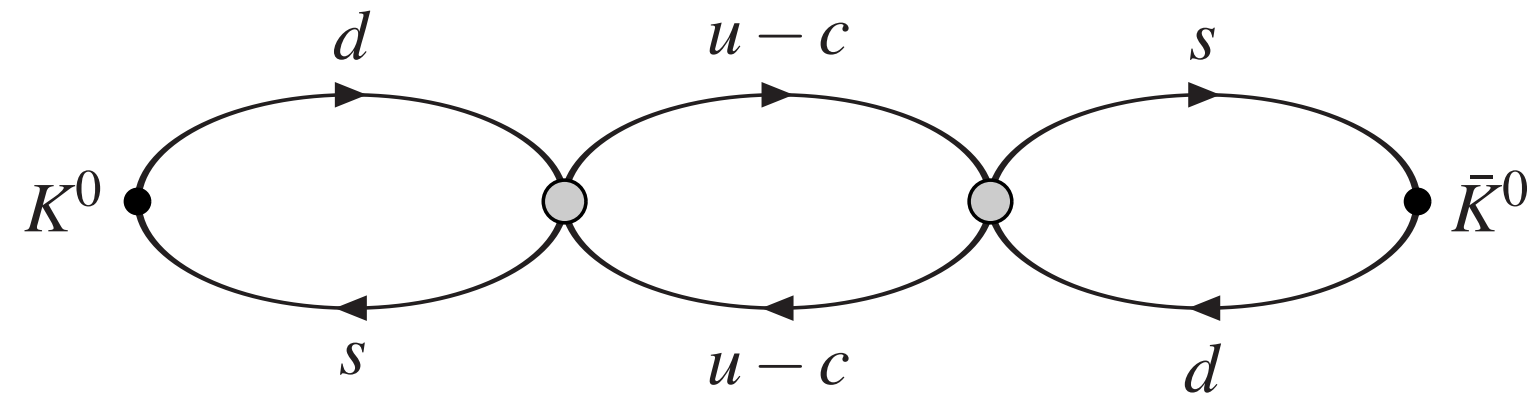


- We start by writing the effective weak Hamiltonian in the $\overline{\text{MS}}$ scheme:

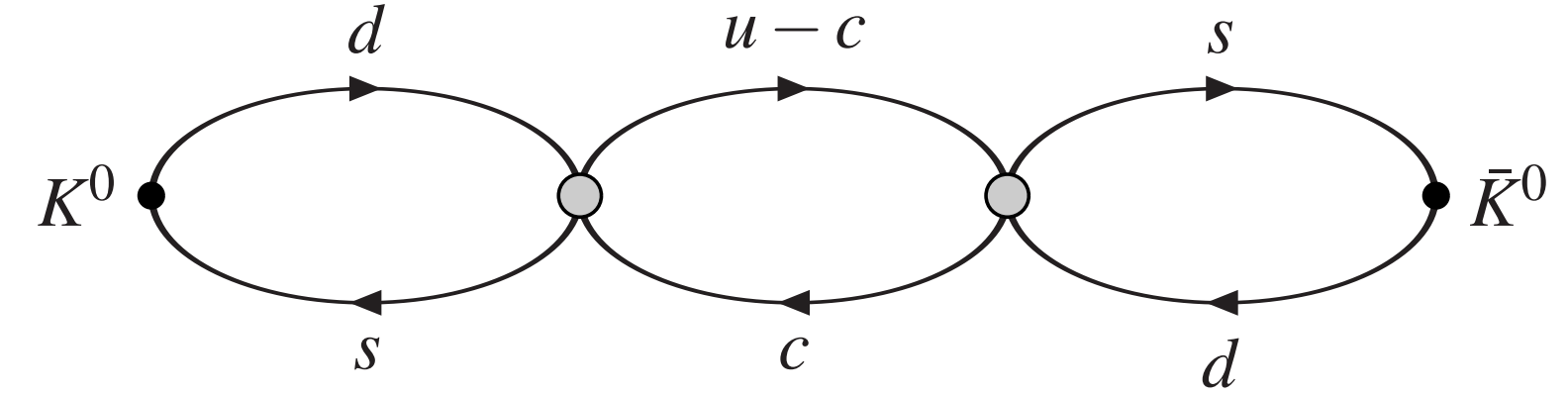
$$H_{ut}^{\Delta S=2} = \frac{G_F^2}{2} \lambda_u \lambda_t \sum_{i=1}^2 \left\{ \sum_{j=1}^6 \int d^4x C_i^{\overline{\text{MS}}} C_j^{\overline{\text{MS}}} \left[[\tilde{Q}_i^{\overline{\text{MS}}}(x) \tilde{Q}_j^{\overline{\text{MS}}}(0)] \right]^{\overline{\text{MS}}} + C_{7i}^{\overline{\text{MS}}} O_{LL}^{\overline{\text{MS}}}(0) \right\}$$

- The challenge is to rewrite this expression in terms of matrix elements which can be computed in Lattice QCD.

Long distance contribution to ϵ_K (cont.)



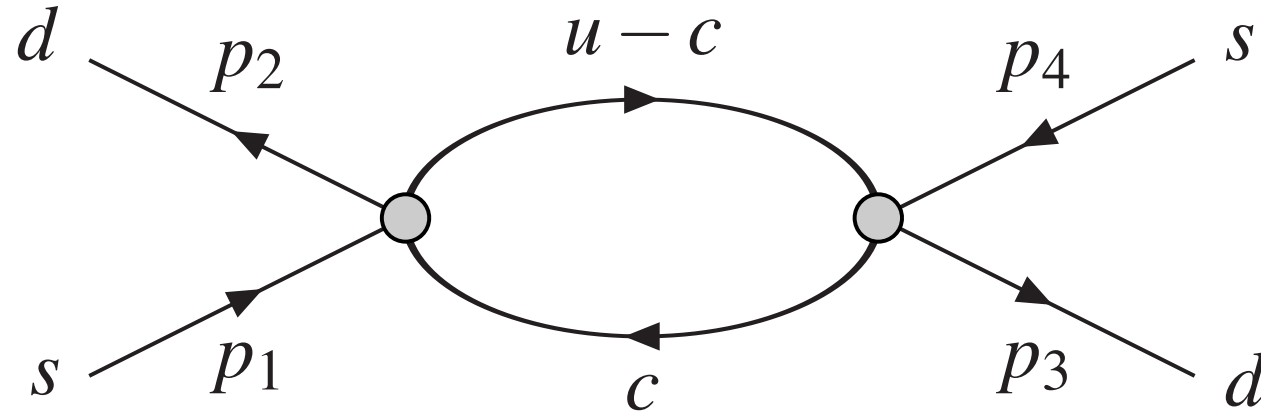
Contribution to Δm_K



Contribution to ϵ_K

- Δm_K : At large loop momentum p we have $\int d^4p \frac{1}{p^3} \frac{1}{p^3} \Rightarrow$ convergence.
- ϵ_K : At large loop momenta p we have $\int d^4p \frac{1}{p^3} \frac{1}{p} \Rightarrow$ logarithmic divergence.
- This is not surprising since at short distances ϵ_K is dominated by the operator $O_{LL} = (\bar{s}d)_{V-A} (\bar{s}d)_{V-A}$, which has an anomalous dimension.

Long distance contribution to ϵ_K — Renormalisation



- Step 1: Calculate the diagrams non-perturbatively at some chosen kinematics; e.g.

$$\sqrt{2}p_1 = (\mu_{\text{RI}}, \mu_{\text{RI}}, 0, 0), \quad \sqrt{2}p_2 = (\mu_{\text{RI}}, 0, \mu_{\text{RI}}, 0) \quad \sqrt{2}p_3 = (0, \mu_{\text{RI}}, 0, \mu_{\text{RI}}) \quad \sqrt{2}p_4 = (0, 0, \mu_{\text{RI}}, \mu_{\text{RI}})$$

- Step 2: From the answer subtract the matrix element of $O_{LL}^{\text{Lat}}(1/a)$ with the coefficient $X^{\text{Lat}}(1/a, \mu_{\text{RI}})$ chosen such that the difference is zero.
- Step 3: Perform the corresponding calculation perturbatively in $\overline{\text{MS}}$ subtracting the matrix element of $O_{LL}^{\overline{\text{MS}}}(\mu_{\overline{\text{MS}}})$ at the same kinematics with a coefficient $Y^{\overline{\text{MS}}}(\mu_{\overline{\text{MS}}}, \mu_{\text{RI}})$ such that the difference is zero.
- Step 4: This procedure is repeated for every pair of operators i, j resulting in the effective weak Hamiltonian:

$$H_{ut}^{\Delta S=2} = \frac{G_F^2}{2} \lambda_u \lambda_t \sum_{i=1}^2 \left\{ \sum_{j=1}^6 C_i^{\text{Lat}} C_j^{\text{Lat}} \sum_x \left(\left[[\tilde{Q}_i^{\text{Lat}}(x) \tilde{Q}_j^{\text{Lat}}(0)] \right]^{\text{Lat}} - X_{ij}^{\text{Lat}}(\mu_{\text{RI}}) O_{LL}^{\text{Lat}}(0) \right) \right. \\ \left. + \left(C_{7i}^{\overline{\text{MS}}} + \sum_{j=1}^6 C_i^{\overline{\text{MS}}} C_j^{\overline{\text{MS}}} Y_{ij}^{\overline{\text{MS}}}(\mu_{\overline{\text{MS}}}, \mu_{\text{RI}}) \right) Z_{LL}^{\text{Lat} \rightarrow \overline{\text{MS}}} O_{LL}^{\text{Lat}}(0) \right\}.$$

Long distance contribution to ϵ_K — Numerical Study

Z.Bai, N.H.Christ, J.M.Karpie, CTS, A.Soni and B.Wang, arXiv:2309.01193

- We know how to compute the long-distance contribution to ϵ_K .
- As a “proof of principle” we have computed ϵ_K , including the long-distance contributions at unphysical kinematics:
 1. 200 gauge configurations on a $24^3 \times 64$ lattice
 2. Domain Wall Fermions and Iwasaki gauge action
 3. $a^{-1} = 1.78$ GeV
 4. $m_\pi = 339$ MeV, $m_K = 592$ MeV, $m_c^{\overline{\text{MS}}}(2 \text{ GeV}) = 968$ MeV

• We find $\epsilon_K^{\text{LD}}(\mu_{\text{RI}} = 2.11 \text{ GeV}) = 0.199 (0.078) e^{i\phi_\epsilon} \times 10^{-3}$ at unphysical masses as above

• A recent result without long-distance corrections is $\epsilon_K^{\text{SD}} = 1.446 (0.154) e^{i\phi_\epsilon} \times 10^{-3}$,

S.Kim, S.Lee, W.Lee, J.Leem and S.Park, arXiv:2301.12375

(4.86 σ from the standard model result of $|\epsilon_K| = 2.228 (0.011) \times 10^{-3}$)

- To translate this result to the RI-SMOM SD one at $\mu_{\text{RI}} = 2.11$ GeV we should add $-0.085 e^{i\phi_\epsilon} \times 10^{-3}$
- LD contributions appear to be about 5-10% as expected.
- Numerical studies of the long distance contributions just beginning.
- *“This calculation demonstrates that future work should be able to determine this long-distance contribution from first principles with a controlled error of 10 % or less.”*
- Snowmass report: *“the discussion of the previous section $[\Delta m_K]$ of errors and computational goals applies.”*

RBC & UKQCD Collaborations, T.Blum et al., arXiv:2203.10998

4. $K^+ \rightarrow \pi^+ \ell^+ \ell^-$ decays

$$B(K^+ \rightarrow \pi^+ e^+ e^-) = 3.00(9) \times 10^{-7}$$

$$B(K^+ \rightarrow \pi^+ \mu^+ \mu^-) = 9.4(6) \times 10^{-8}$$

$$\text{New Result: } B(K^+ \rightarrow \pi^+ \mu^+ \mu^-) = 9.15(8) \times 10^{-8}$$

NA62, arXiv:2209.05076

- The hadronic component of the decay amplitude is given by:

$$A^\mu(q^2) = \int d^4x \langle \pi^+(p) | T [j_{\text{em}}^\mu(0) H_W(x)] | K^+(k) \rangle,$$

where $q = k - p$

$$= -i \frac{G_F}{16\pi^2} V(z) \left(q^2 (k + p)^\mu - (m_K^2 - m_\pi^2) q^\mu \right),$$

where $z = \frac{q^2}{m_K^2}$.

- Analyticity \Rightarrow the form factor $V(z)$ takes the form:

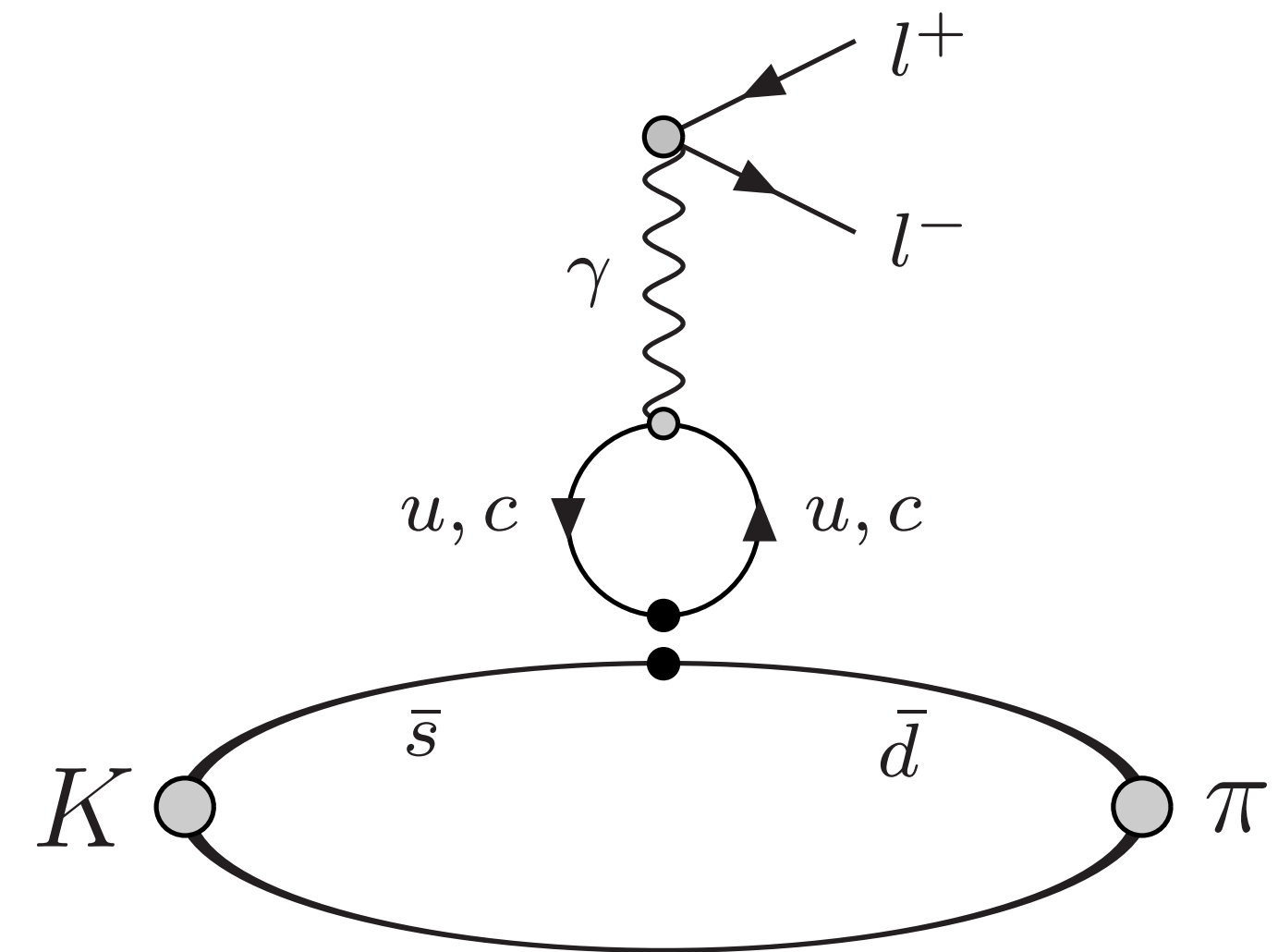
$$V(z) = a_+ + b_+ z + V^{\pi\pi}(z)$$

Measurement	a_+	b_+
E865 - $K_{\pi ee}$	-0.587 ± 0.010	-0.655 ± 0.044
NA48/2 - $K_{\pi ee}$	-0.578 ± 0.016	-0.779 ± 0.066
NA48/2 - $K_{\pi\mu\mu}$	-0.575 ± 0.039	-0.813 ± 0.145
NA62 - $K_{\pi\mu\mu}$	-0.575 ± 0.013	-0.722 ± 0.043

$K^+ \rightarrow \pi^+ \ell^+ \ell^-$ decays (cont)

N.H.Christ, X.Feng, A.Portelli and CTS, arXiv:1507.03094

- There are no additional divergences as the two currents approach each other:
 1. Quadratic divergences are absent due to gauge invariance \Rightarrow logarithmic divergence
G.Isidori, G.Martinelli and P.Turchetti, hep-lat/0506026
 2. Checked explicitly at one-loop order for Wilson and Clover fermions.
 3. Logarithmic divergence cancelled by GIM.



- Thus we understand, in principle, how to evaluate the amplitude

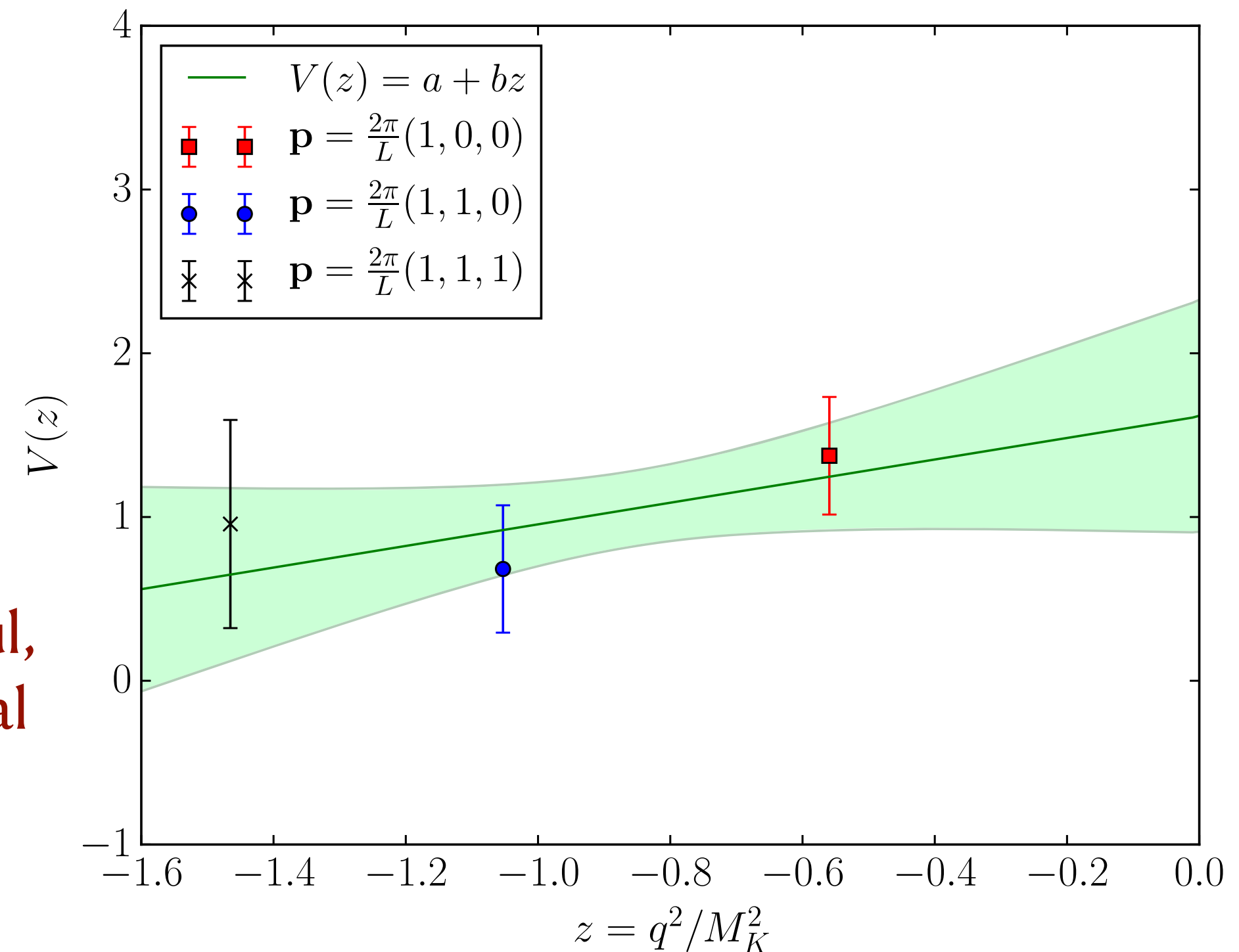
$\mathbf{K}^+ \rightarrow \pi^+ \ell^+ \ell^-$ decays — Numerical Studies

N.H.Christ, X.Feng, A.Jüttner, A.Lawson, A.Portelli and CTS, arXiv:1608.07585

- The first study was an exploratory one, on a RBC-UKQCD ensemble using 128 configurations on a $24^3 \times 64$ lattice with Shamir DWF and the Iwasaki gauge action, $a^{-1} = 1.78 \text{ GeV}$, $m_\pi \simeq 430 \text{ MeV}$ and $m_K \simeq 625 \text{ MeV}$.
 - The calculations were performed with the kaon at rest and $\vec{p}_\pi = 2\pi/L(1,0,0), 2\pi/L(1,0,0), 2\pi/L(1,1,1)$.

\vec{p}_π	$2\pi/L(1,0,0)$	$2\pi/L(1,1,0)$	$2\pi/L(1,1,1)$
$V(z)$	1.37(36)	0.68(39)	0.96(64)

- With these kinematics $q^2 < 0$.
- As a first exploratory study we considered this to be successful, but, of course, the results cannot be compared to experimental measurements.



$K^+ \rightarrow \pi^+ \ell^+ \ell^-$ decays — Numerical Studies (cont.)

- P.A.Boyle, F.Erben, J.M.Flynn, V.Gülpers, R.C.Hill, R.Hodgson, A.Jüttner, F.Ó hÓgáin, A.Portelli and CTS, arXiv:2202.08795

2. More recently we have performed a calculation of $V(z)$ on a RBC-UKQCD ensemble using 87 configurations on a $48^3 \times 96$ lattice with Möbius DWF and the Iwasaki gauge action, $a^{-1} = 1.73$ GeV, $m_\pi = 139.2(4)$ MeV, $m_K = 499(1)$ MeV.
 - Calculations were performed with 3 values of $m_c = 0.25, 0.30$ and 0.35 and the results were extrapolated to the physical value of $m_c = 0.510(1)$.
 - The calculations were performed with the kaon at rest and $\vec{p}_\pi = 2\pi/L(1,0,0) \simeq 225$ MeV.
 - At $z = 0.013(2)$, we obtained $V(z) \simeq a_+ = -0.87 \pm 4.44$.
 - Large uncertainty largely due to lack of correlation in the GIM subtraction.
 - Potentially reduced by optimising stochastic estimator for $u - c$ loops.
 - Can also explore 3 flavour theory - corresponding renormalisation to be implemented.
 - *“In conclusion, despite obtaining a first physical result with a large uncertainty, we believe that the optimisation of the methodology, combined with the increased capabilities of future computers, should allow for a competitive prediction of the $K^+ \rightarrow \pi^+ \ell^+ \ell^-$ amplitude within the next years.”*

$\mathbf{K^+ \rightarrow \pi^+ \ell^+ \ell^-}$ decays - Prospects

- Based on these exploratory studies the authors of the Snowmass report conclude that:
“We believe that over the next 5-10 years, lattice QCD will be in a position to produce predictions of a_s, a_+, b_s, b_+ with uncertainties below the 10 % level.”

“Discovering new physics in rare kaon decays”
RBC & UKQCD Collaborations
T.Blum et al., arXiv:2203.10998

5. $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ rare decays

- The decays is dominated by the top quark, and is therefore sensitive to V_{ts} and V_{td} .

- Experimental result:

NA62 (2016-2018 runs): $B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \left(10.6^{+4.0}_{-3.4} |_{\text{stat}} \pm 0.9 |_{\text{syst}} \right) \times 10^{-11}$ [NA62, E.Cortina et al., arXiv:2103.15389](#)

- Theoretical Prediction: $B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$

[A.J.Buras, D.Buttazzo, J.Girrbach-Noe and R.Knegjens, arXiv:1503.02693](#)

$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.60 \pm 0.42) \times 10^{-11} \quad \text{A.J.Buras and E.Venturini, arXiv:2109.11032}$$

- To what extent can lattice computations of the long-distance contributions reduce the theoretical uncertainty?

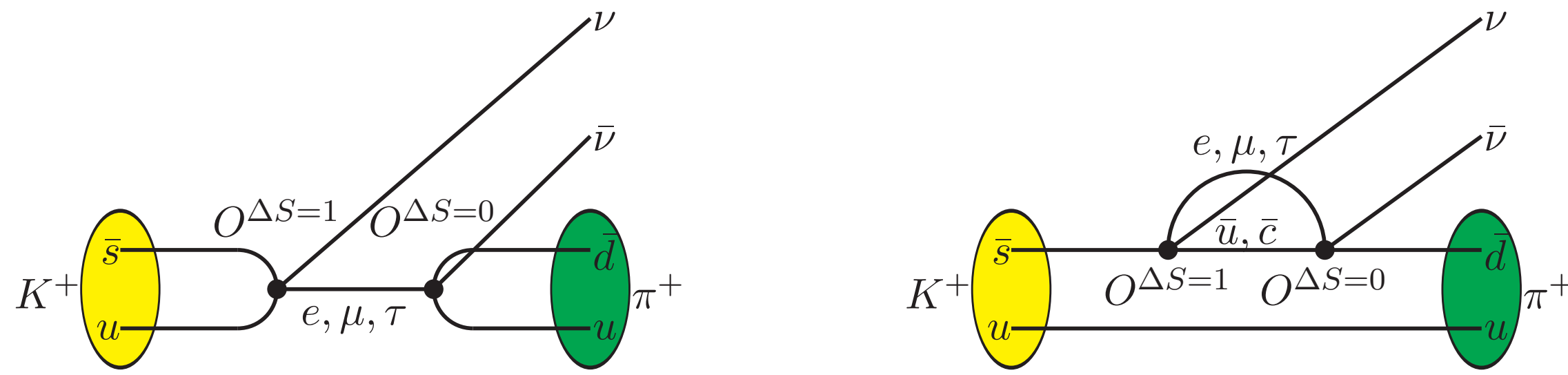
To what extent can lattice calculations reduce the theoretical uncertainty?

- $K \rightarrow \pi\nu\bar{\nu}$ decays are short-distance dominated and the hadronic effects can therefore be determined from CC semileptonic decays such as $K^+ \rightarrow \pi^0 e^+ \nu$.
- Long-distance contributions, i.e. contributions from distances greater than $1/m_c$ are negligible for K_L decays and are expected to be of $O(5\%)$ for K^+ decays.
 - K_L decays are therefore among the cleanest places to search for the effects of New Physics.
 - The aim of our studies continues to be the computation of the long-distance effects. (These provide a significant, if probably subdominant contribution to the uncertainty, which is dominated by this on the CKM matrix elements.)
 - Similar techniques to those used for ϵ_K are used to renormalise the additional divergences when the two weak currents approach each other.
- Lattice QCD can provide a first principles determination of the long-distance effects with controlled errors.
- The theoretical framework has been developed. [N.H.Christ, X.Feng, A.Portelli and CTS, arXiv:1605.04442](#)
- It has been implemented in a number of exploratory studies with unphysical quark masses.

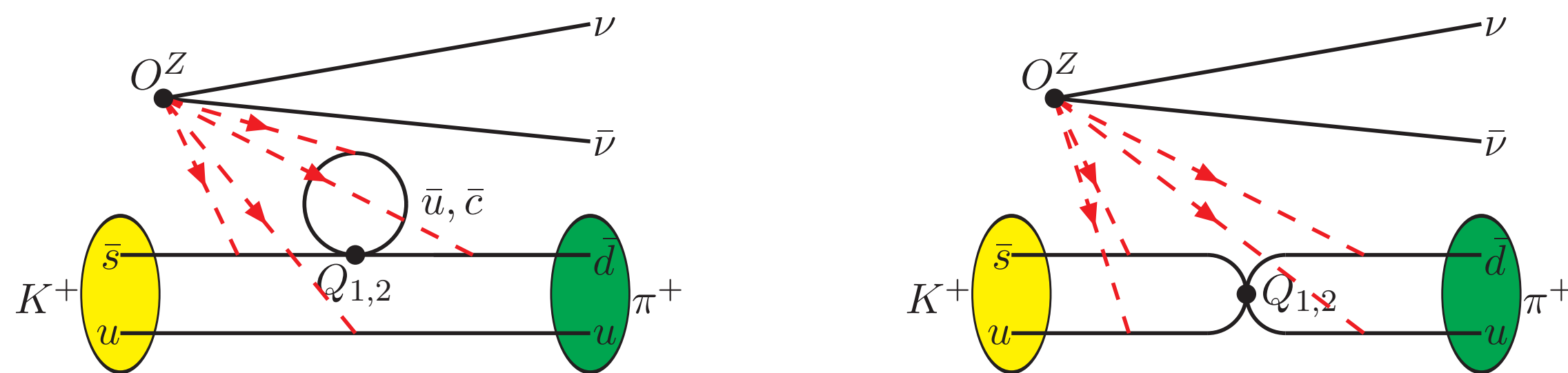
[Z.Bai, N.H.Christ, X.Feng, A.Lawson, A.Portelli and CTS, arXiv:1701.02858 & 1806.11520](#)

[N.H.Christ, X.Feng, A.Portelli and CTS, arXiv:1910.10644](#)

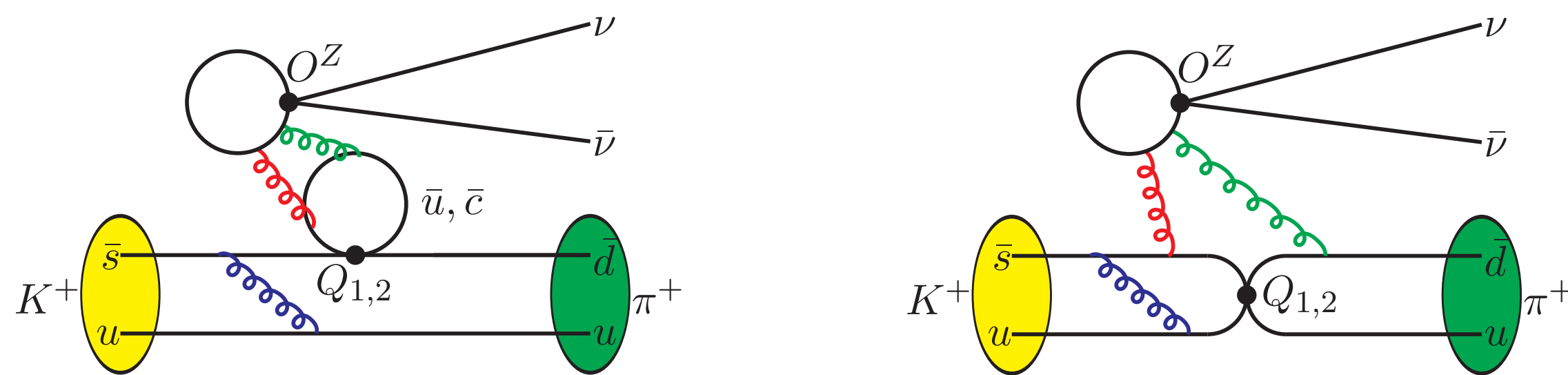
The Diagrams



WW diagrams



Connected Z-exchange diagrams



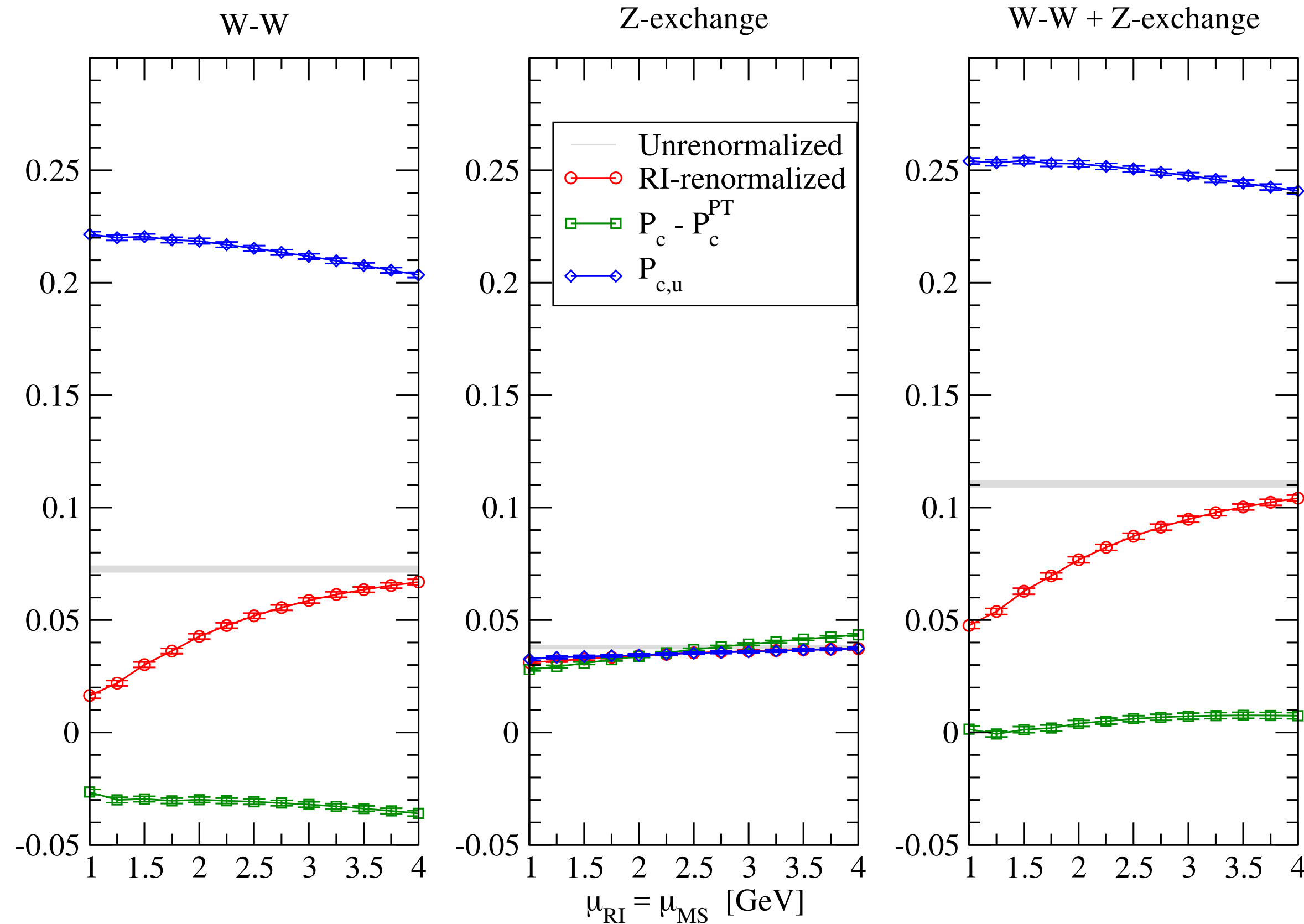
Disconnected Z-exchange diagrams

- The latest study was performed with “near-physical” pions, $m_\pi = 172(1)$ MeV, $m_K = 493(3)$ MeV on a $32^3 \times 64$ lattice and with $m_c(3 \text{ GeV}) \simeq 750$ MeV .

N.H.Christ, X.Feng, A.Portelli and CTS, arXiv:1910.10644

- The aim of this study was two-fold. Firstly to study the momentum dependence which was found to be very mild \Rightarrow we will not have to perform the computations at many kinematic points.
- Secondly, we found that we could manage the contribution from two-pion intermediate states, including the finite-volume corrections, and found that the contribution is less than 1 % .
- Following these preparatory studies we now have ensembles on a 64×128 lattice, with $m_\pi = 135.9(3)$ MeV, $m_K = 496.9(7)$ MeV, and a series of charm quark masses spanning the physical one \Rightarrow 30 % uncertainties.

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ - Results from a previous exploratory calculation



- Results from 800 configurations on a $16^3 \times 32$ lattice with $N_F = 2 + 1$ DWF, $a^{-1} = 1.73$ GeV, $m_\pi \simeq 420$ MeV, $m_K \simeq 563$ MeV and $m_c^{\overline{\text{MS}}}(2 \text{ GeV}) \simeq 863$ MeV.

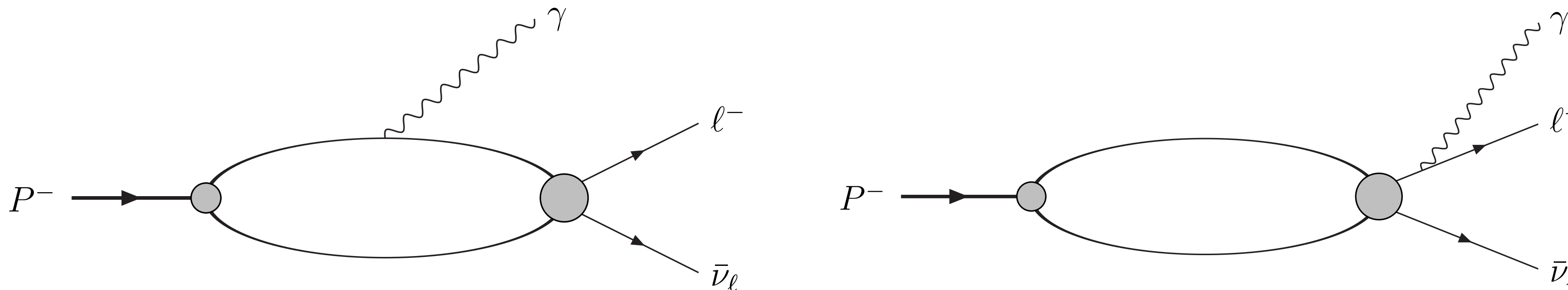
- For this unphysical kinematics we find

$$P_c = 0.2529(\pm 13)(\pm 32)(-45)_{\text{FV}}$$

$$\Delta P_c = 0.0040(\pm 13)(\pm 32)(-45)_{\text{FV}}.$$

- Large cancellation between WW and Z-exchange contributions.

6. $K \rightarrow \ell \nu_\ell \gamma$ radiative decays - the form factors.



Non-perturbative contribution to $P \rightarrow \ell \bar{\nu}_\ell \gamma$ is encoded in:

$$\begin{aligned}
 H_W^{\alpha r}(k, \vec{p}) &= \epsilon_\mu^r(k) H_W^{\alpha\mu}(k, \vec{p}) = \epsilon_\mu^r(k) \int d^4y e^{ik \cdot y} \text{T} \langle 0 | j_W^\alpha(0) j_{\text{em}}^\mu(y) | K(\vec{p}) \rangle \\
 &= \epsilon_\mu^r(k) \left\{ \frac{H_1}{m_K} [k^2 g^{\mu\alpha} - k^\mu k^\alpha] + \frac{H_2}{m_K} \frac{[(p \cdot k - k^2)k^\mu - k^2(p - k)^\mu](p - k)^\alpha}{(p - k)^2 - m_K^2} \right. \\
 &\quad \left. - i \frac{F_V}{m_K} \epsilon^{\mu\alpha\gamma\beta} k_\gamma p_\beta + \frac{F_A}{m_K} [(p \cdot k - k^2)g^{\mu\alpha} - (p - k)^\mu k^\alpha] + f_P \left[g^{\mu\alpha} - \frac{(2p - k)^\mu (p - k)^\alpha}{(p - k)^2 - m_K^2} \right] \right\}
 \end{aligned}$$

- For decays into a real photon, $k^2 = 0$ and $\epsilon \cdot k = 0$, only the decay constant f_K and the vector and axial form factors $F_V(x_\gamma)$ and $F_A(x_\gamma)$ are needed to specify the amplitude ($x_\gamma = 2p \cdot k/m_P^2$, $0 < x_\gamma < 1 - m_\ell^2/m_P^2$).
- In phenomenology $F^\pm \equiv F_V \pm F_A$ are more natural combinations.

$K \rightarrow \ell \nu_\ell \gamma$ radiative decays - the form factors (Cont.)

- We have computed $F_V(x_\gamma)$ and $F_A(x_\gamma)$ for $\pi, K, D_{(s)}$ mesons (and $H_{1,2}$ in an exploratory simulation for $K \rightarrow \pi \ell \nu_\ell \ell'^+ \ell'^-$ decays). A.Desiderio et al. arXiv:2006.05358

- The computations were performed on 11 ETMC $N_f = 2 + 1 + 1$ ensembles with $0.062 \text{ fm} < a < 0.089 \text{ fm}$ and $227 \text{ MeV} < m_\pi < 441 \text{ MeV}$ and a range of volumes.
- Computations are performed in the electroquenched approximation.

- Our data is fully consistent with a parametrisation of the form :

$$F_{A,V}^P(x_\gamma) = C_{A,V}^P + D_{A,V}^P x_\gamma.$$

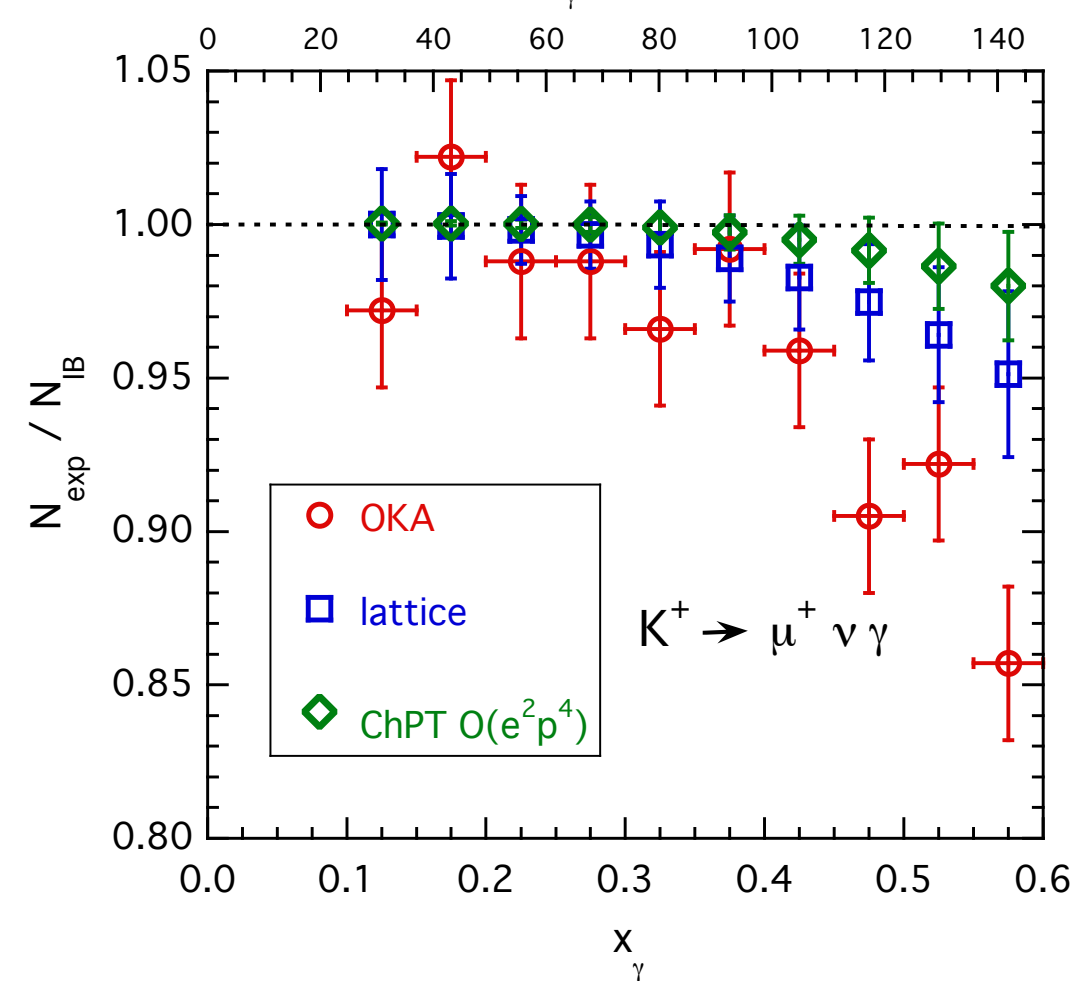
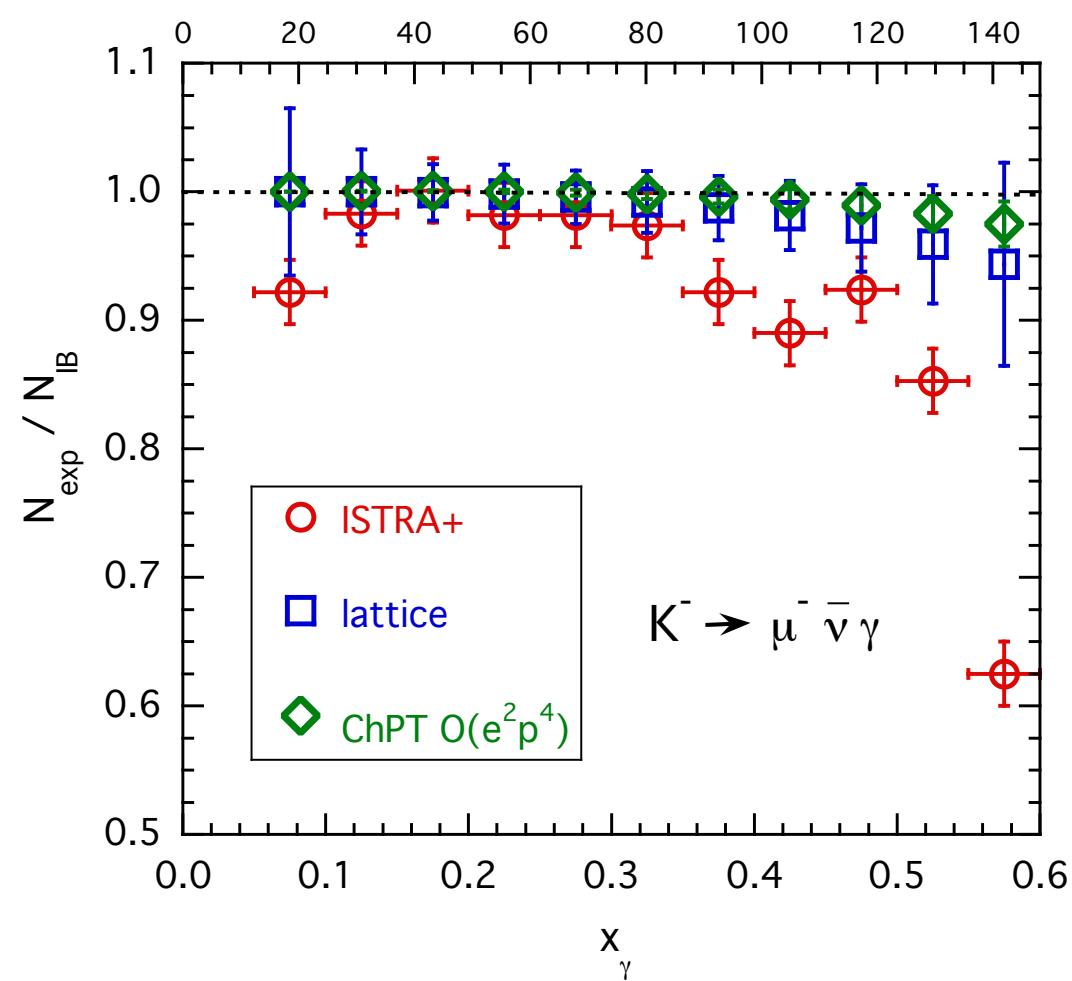
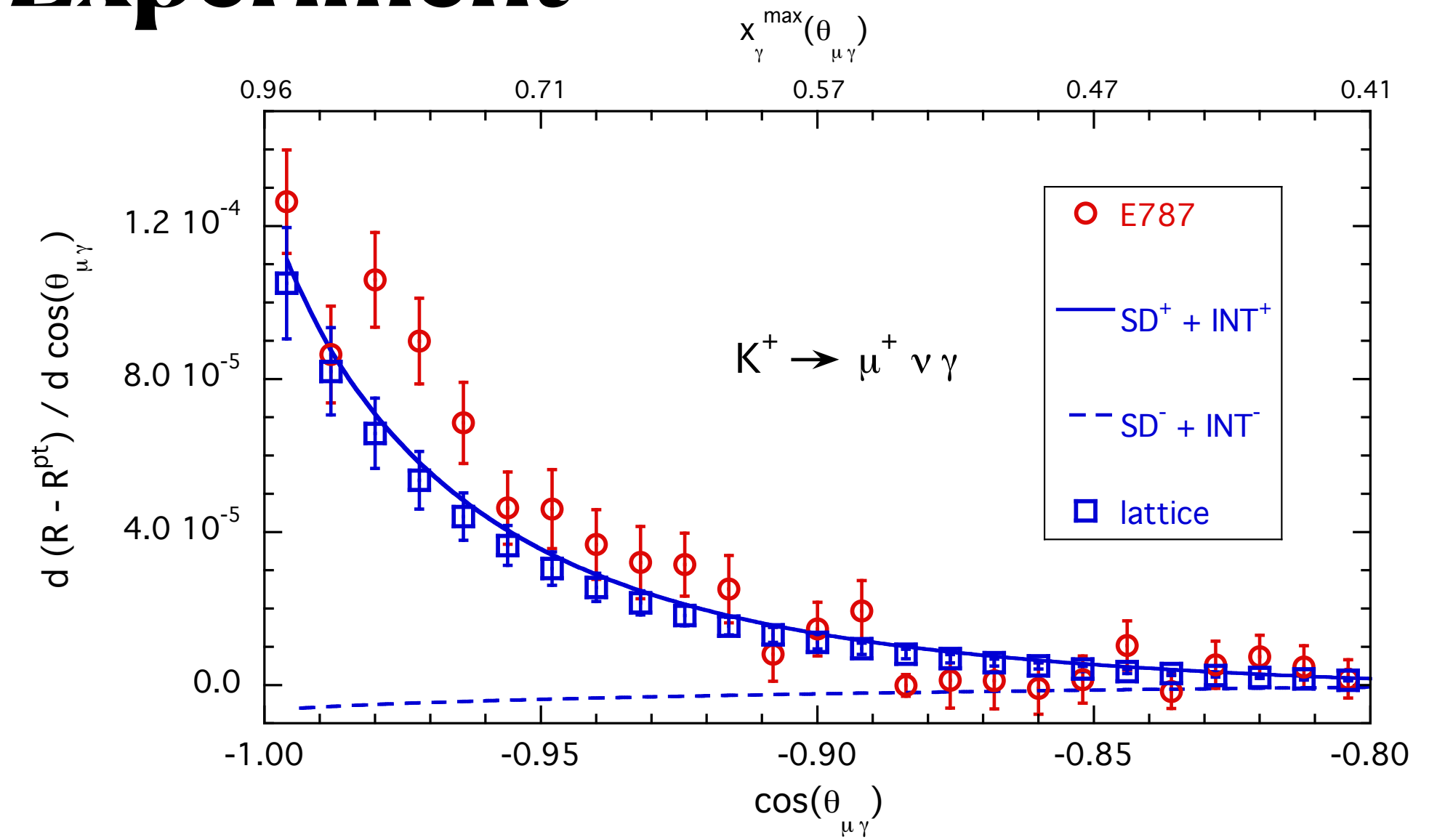
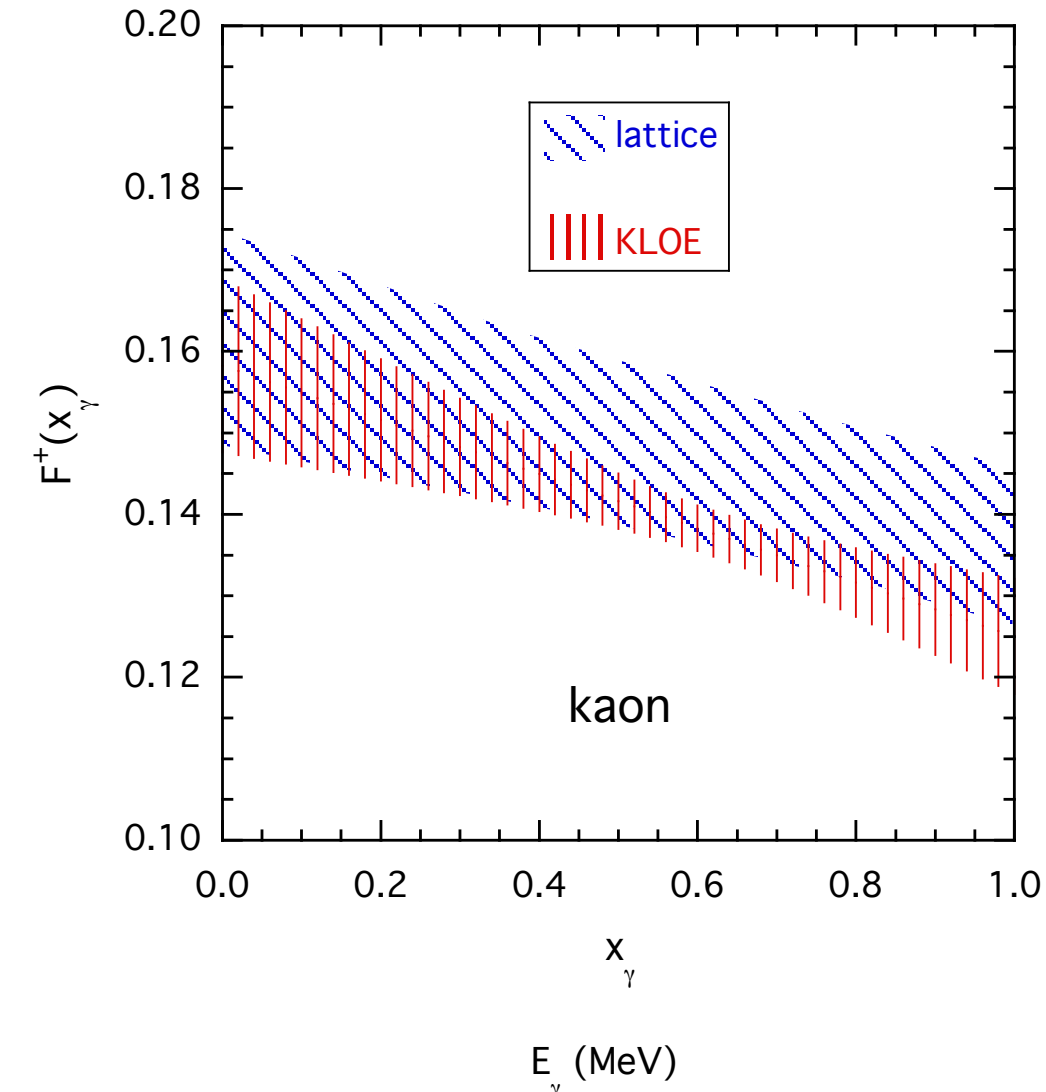
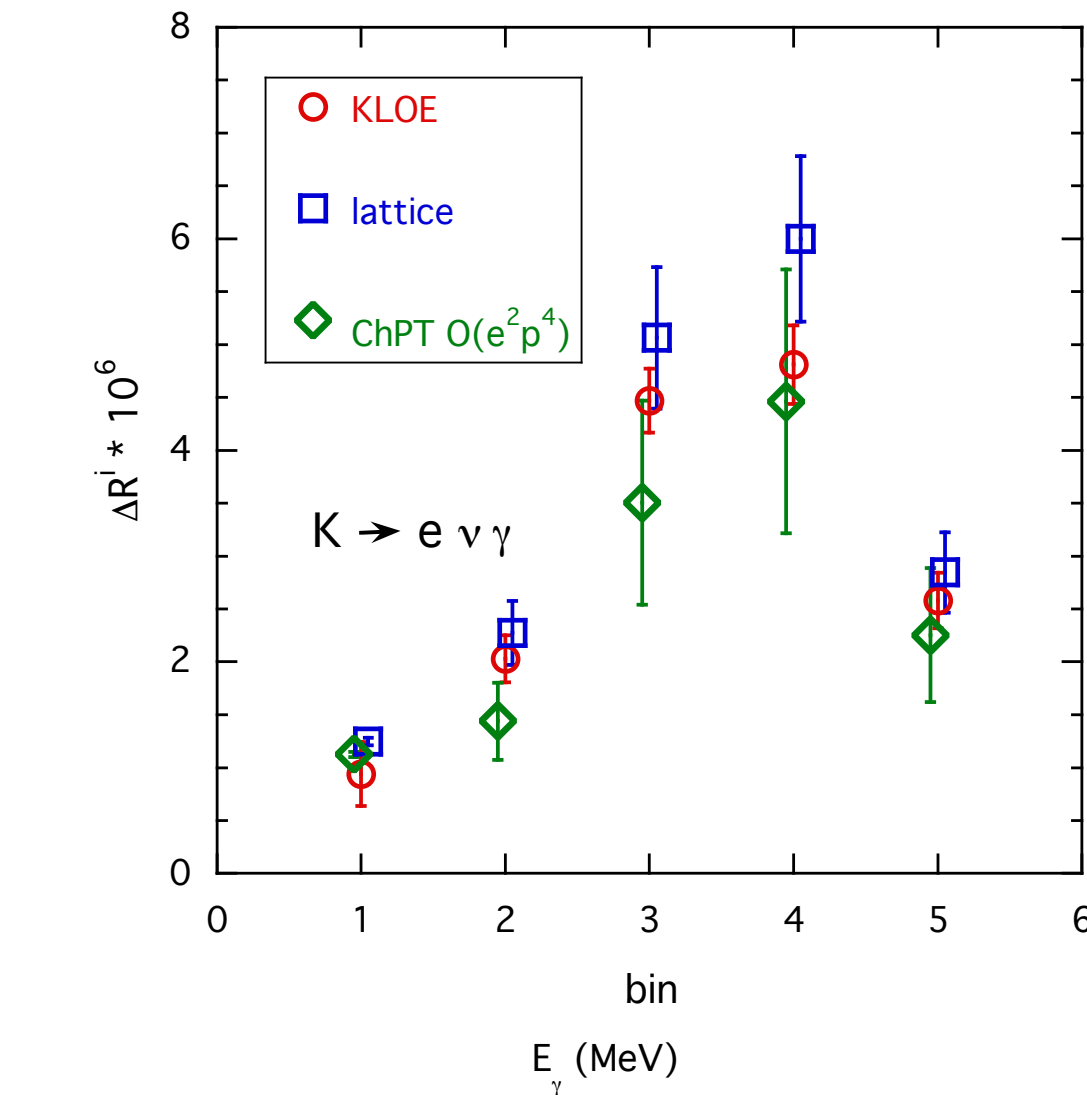
- Other parametrisation were also tried and presented.
- Values of the parameters are presented in the paper.

Comparison with Experimental Data

- $K \rightarrow e\nu_e\gamma$ KLOE, arXiv:0907.3594
J-PARC E36, arXiv:2107.03583
NA62, arXiv:2005.00000
- $K \rightarrow \mu\nu_\mu\gamma$ E787@BNL AGS, hep-ex/0003019
ISTRA+ @U-79 Protvino, arXiv:1005.3517
OKA@U-79 Protvino, arXiv:1904.10078
- $\pi \rightarrow e\nu_e\gamma$ PIBETA@ π E1 beam line PSI, arXiv:0804.1815

- The different experiments introduce different cuts on E_γ , E_ℓ and $\cos\theta_{\ell\gamma}$, resulting in sensitivities to different form factors.

Comparison with Experiment



- Good Agreement with KLOE
- Significant tensions with $K \rightarrow \mu \nu_\mu \gamma$ experiments
- Unable to find a set of phenomenological form factors to account for all the data.
- NA62 will soon have the most precise results for $K \rightarrow e \nu_e \gamma$ decay rates.
- Is it conceivable that we have LFU-violation here?

7. $K \rightarrow \pi\pi$ Decays

- $K \rightarrow \pi\pi$ decays are a very important class of processes with a long and noble history.
 - It is in these decays that both indirect and direct CP-violation was discovered.
- Bose symmetry \Rightarrow the two-pion state has isospin 0 or 2,

$${}_{I=2}\langle \pi\pi | H_W | K^0 \rangle = A_2 e^{i\delta_2}, \quad {}_{I=0}\langle \pi\pi | H_W | K^0 \rangle = A_0 e^{i\delta_0}.$$

- Among the very interesting issues are the origin of the $\Delta I = 1/2$ rule ($\text{Re}A_0/\text{Re}A_2 \simeq 22.5$) and an understanding of the experimental value of ϵ'/ϵ , the parameter which was the first experimental evidence for direct CP-violation.

- The material here is taken from the following two RBC-UKQCD papers, which however represent the culmination of many years of preparatory work:

1. " $K \rightarrow \pi\pi$ $\Delta I = 3/2$ decay amplitude in the continuum limit"

T.Blum, P.A.Boyle, N.H.Christ, J.Frison, N.Garron, T.Janowski, C.Jung, C.Kelly, C.Lehner, A.Lytle, R.D.Mawhinney, CTS., A.Soni, H.Yin, and D.Zhang
arXiv:1502.00263

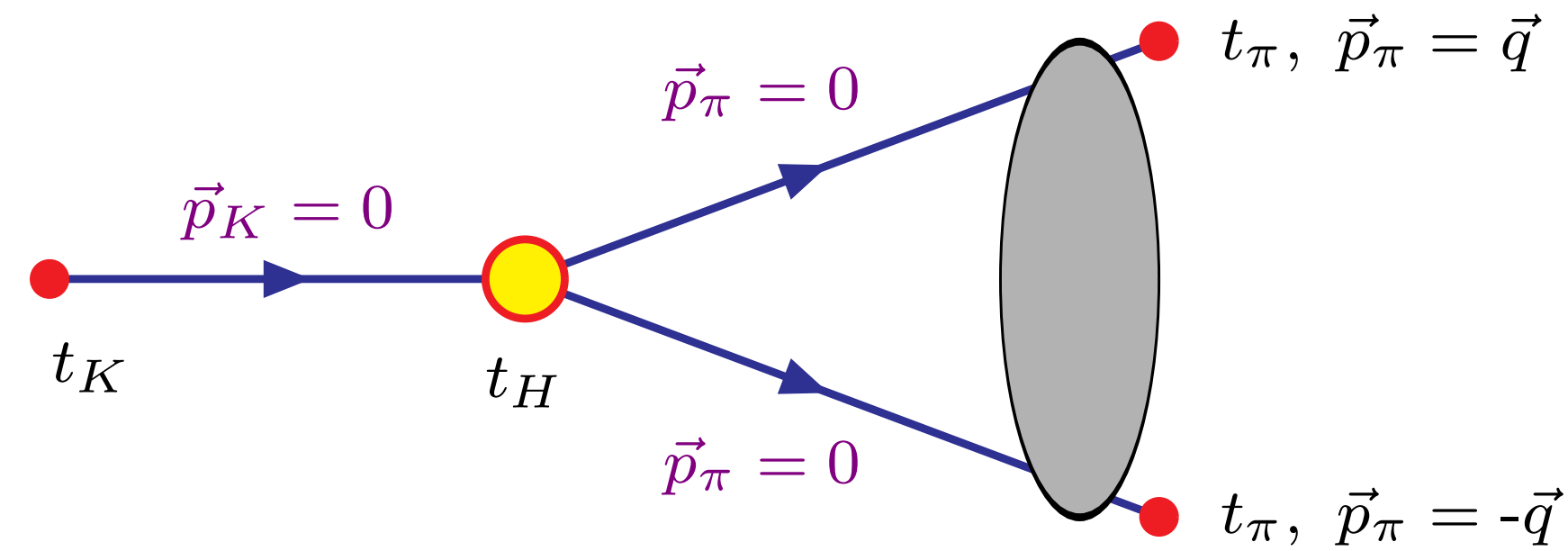
2. "Direct CP violation and the $\Delta I = 1/2$ rule in $K \rightarrow \pi\pi$ decay in the Standard Model"

R.Abbott, T.Blum, P.A.Boyle, M.Bruno, N.H.Christ, D.Hoying, C.Jung, C.Kelly, C.Lehner, R.D.Mawhinney, D.J.Murphy, CTS, A. Soni, M.Tomii and T.Wang,
arXiv:2004.09440

(Building on RBC-UKQCD, Z.Bai et al. arXiv:1505.07863)

- Detailed references to earlier work can be found in these papers.

Why are the amplitudes difficult to compute?



- $K \rightarrow \pi\pi$ correlation function is dominated by the lightest intermediate state. L.Maiani and M.Testa, Phys.Lett. B245 (1990) 585
 - With periodic boundary conditions this is the $\pi\pi$ state with both pions at rest for A_2 and the vacuum state for A_0 .
 - We have chosen to use anti periodic boundary conditions for the d-quark for A_2 and G-parity boundary conditions for A_0 .
 - Work is in progress to compute the amplitudes with periodic boundary conditions with excited $\pi\pi$ states. M.Tomii, Lattice 2023
- Volume must be tuned to ensure $E_{\pi\pi} = m_K$.
 - Moreover, the s -wave $I = 0$ and $I = 2$ channels are attractive and repulsive respectively and so the two cases must be treated separately.
- Finite-volume effects are not exponentially small and must be corrected. L.Lellouch and M.Lüscher, hep-lat/00030023, C-h.Kim, CTS and S.Sharpe, hep-lat/0507006

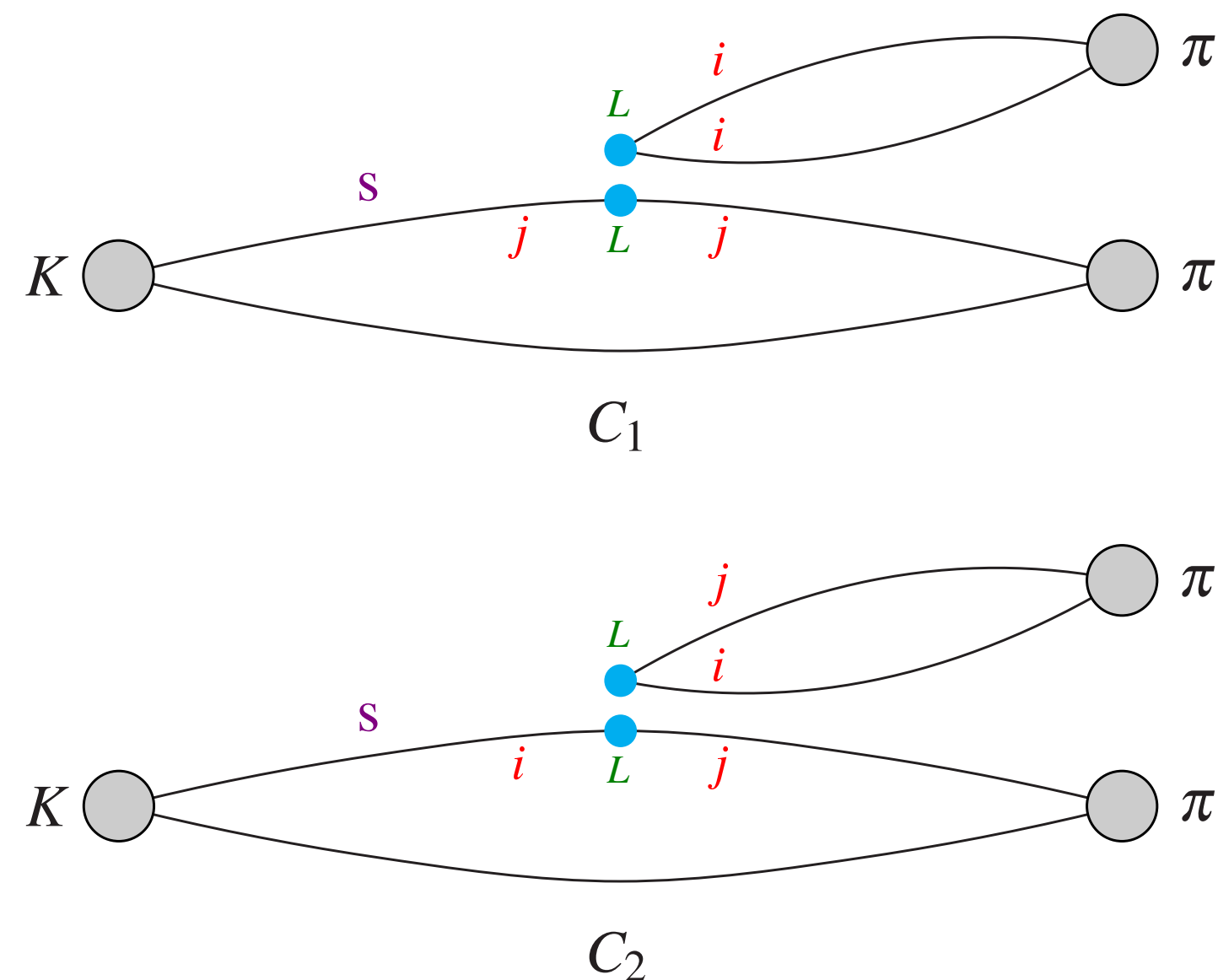
Results for A_2

- A_2 is considerably easier to evaluate than A_0 .
- Our latest result was obtained on two ensembles, $48^3 \times 96$ with $a = 0.11$ fm and $64^3 \times 128$ with $a = 0.084$ fm,

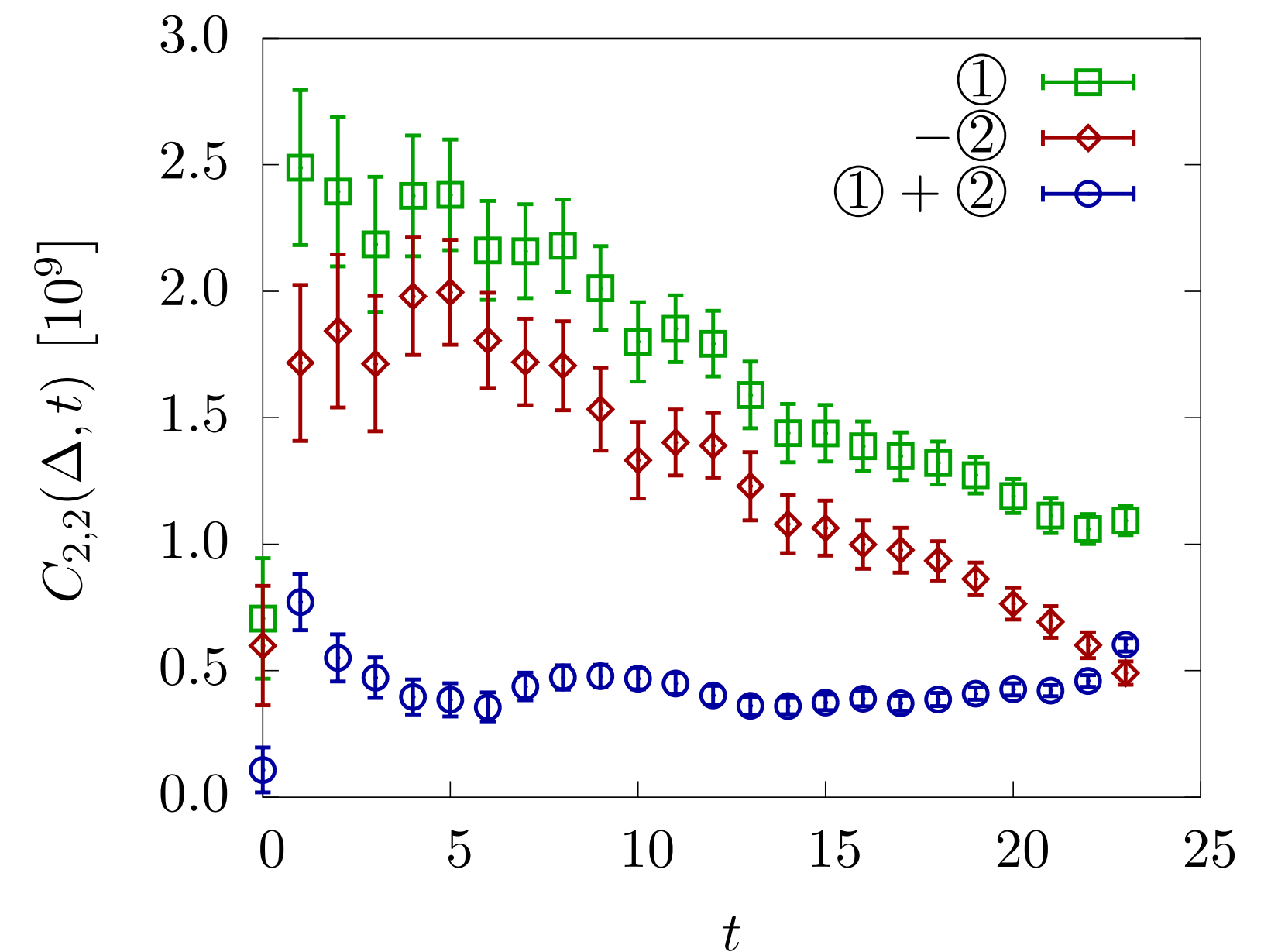
$$\text{Re } A_2 = 1.50(4)_{\text{stat}}(14)_{\text{syst}} \times 10^{-8} \text{ GeV}, \quad \text{Im } A_2 = -6.99(20)_{\text{stat}}(84)_{\text{syst}} \times 10^{-13} \text{ GeV}.$$

- Experimental value: $\text{Re } A_2 = 1.497(4) \times 10^{-8} \text{ GeV}$.

- $\text{Re } A_2$ is dominated by a single operator, $O_{(27,1)}^{3/2}$ and two diagrams



- Instead of $C_2 \simeq 1/3 C_1$ as might be expected from colour counting, we find a large cancellation between the two, which is a significant contribution to the $\Delta I = 1/2$ rule.



Results for A_0

R.Abbott, T.Blum, P.A.Boyle, M.Bruno, N.H.Christ, D.Hoying, C.Jung, C.Kelly, C.Lehner, R.D.Mawhinney, D.J.Murphy, C.T.S, A. Soni, M.Tomii and T.Wang, arXiv:2004.09440 [hep-lat].

- Results were obtained from 741 configurations on a $32^3 \times 64$ lattice with $a^{-1} = 1.38$ GeV.

- $\text{Re } A_0 = 2.99 (0.32) (0.59) \times 10^{-7}$ GeV (Experiment $3.3201(18) \times 10^{-7}$ GeV)

$$\text{Im } A_0 = - 6.98 (0.62) (1.44) \times 10^{-11} \text{ GeV} .$$

- Combining this result with our earlier ones for $\text{Re } A_2$ we find

$$\frac{\text{Re } A_0}{\text{Re } A_2} = 19.9 \pm 2.3 \pm 4.4$$

in good agreement with the experimental result of 22.45(6) .

- Combining our result for $\text{Im } A_0$ with our 2015 one for $\text{Im } A_2$ and using the experimental results for the real parts we obtain

$$\text{Re} \left(\frac{\epsilon'}{\epsilon} \right) = 0.00217 (26)_{\text{stat}} (62)_{\text{syst}} (50)_{\text{IB}} .$$

The result is consistent with the experimental value of 0.00166 (23) .

Isospin Breaking

$$\text{Re} \left(\frac{\epsilon'}{\epsilon} \right) = 0.00217 (26)_{\text{stat}} (62)_{\text{syst}} (50)_{\text{IB}} .$$

- At present we are not concerned with including $O(1\%)$ corrections.
 - However, because of the $\Delta I = 1/2$ rule, the isospin-breaking corrections are expected to be amplified.
- We use as our guide, the detailed updated study of IB corrections in the framework of ChPT and the large N_c approximation.

V.Cirigliano, H.Gisbert, A.Pich, A.Rodriguez-Sanchez, arXiv:1911.01359

 - A detailed discussion of these results, and the determination of the LECs at NLO in particular, is beyond the scope of our work and we include the central value as a further 23 % systematic error on our result.}
 - Note that if, instead of treating the isospin correction from this paper as a component of the systematic uncertainty, we were to implement on our result, we would obtain a central value $\epsilon'/\epsilon = 0.00167$, coincidentally identical to the experimental result.
- Work continues to control the IB corrections in $K \rightarrow \pi\pi$ decays.
- Prospects - Snowmass Report: *"It may not be unreasonable to expect that with continued effort a reduction in errors below the 30 % level in five years and below 10 % in ten years may be achieved.*

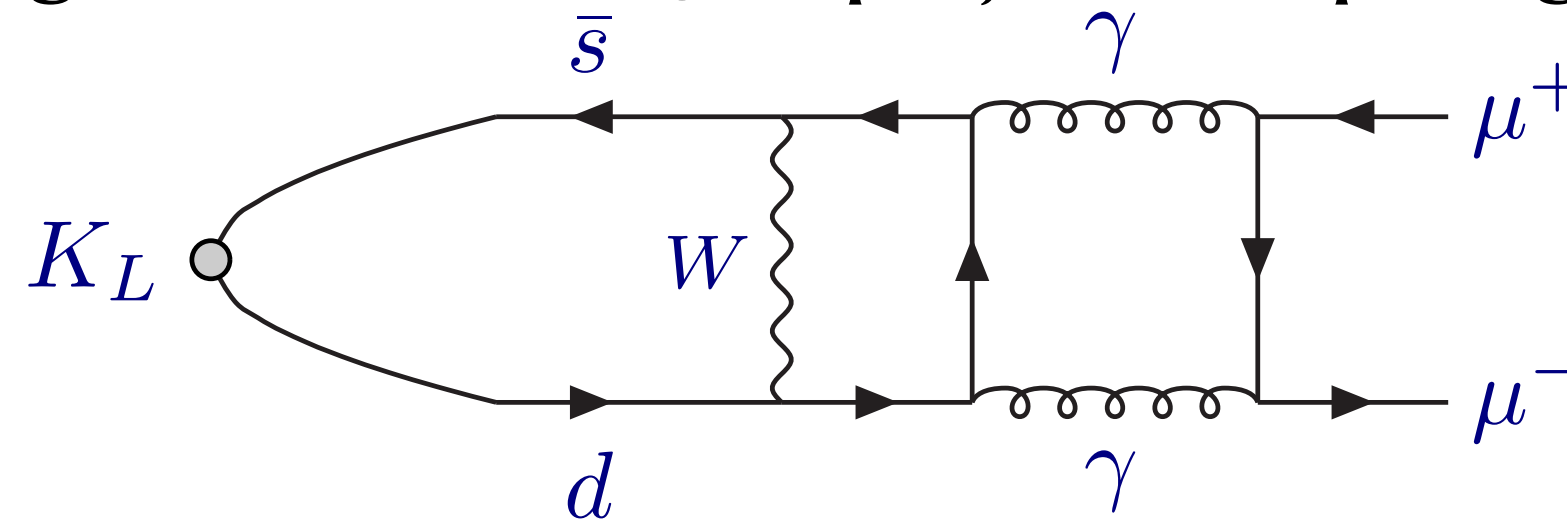
RBC & UKQCD Collaborations, T.Blum et al., arXiv:2203.10998

8. Summary and Conclusions

- I have sketched the very significant recent progress towards computing the long-distance hadronic effects in a selection of important quantities in kaon physics, including Δm_K , ϵ_K , the amplitudes for the rare kaon decays $K \rightarrow \pi \ell^+ \ell^-$ and $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ as well as the direct CP-violating parameter ϵ'/ϵ and the $\Delta I = 1/2$ rule.
 - These rare FCNC processes and small quantities are excellent places to search for the effects of new physics Beyond the Standard Model.
 - I have also mentioned some discrepancies in the theoretical predictions and experimental measurements in radiative kaon decays $K \rightarrow \ell \nu \ell \gamma$.
- In all cases the framework allowing lattice QCD computations of the non-perturbative hadronic effects to be possible has been developed.
- Work now continues to improve the precision, as sketched in the talk above.
- It would be great if other collaborations would join the effort to compute hadronic effects in rare kaon processes.

Summary and Conclusions (cont.)

- I have not been able to discuss the long-term RBC-UKQCD project, computing the two-photon contribution to the decay $K_L \rightarrow \mu^+ \mu^-$ from diagrams such as



- Experimental result:
 $B(K_L \rightarrow \mu^+ \mu^-) = (6.84 \pm 0.11) \times 10^{-9}$

- A number of preparatory/exploratory studies have been performed:

- A calculation of the amplitude for the related, but simpler process $\pi^0 \rightarrow e^+ e^-$ has been performed with physical pion masses, on a series of lattices so that the continuum limit can be taken:

$$\text{Re} A = 18.60 (1.19)_{\text{stat}} (1.04)_{\text{syst}} \text{ eV}, \quad \text{Im} A = 32.59 (1.50)_{\text{stat}} (1.65)_{\text{syst}} \text{ eV}, \quad \frac{\text{Re} A}{\text{Im} A} = 0.571 (10)_{\text{stat}} (4)_{\text{syst}}$$

to be compared to the experimental numbers:

$$\text{Re} A = 24.10 (2.0) \text{ eV}, \quad \text{Im} A = 35.07 (37) \text{ eV}$$

N.H.Christ, X.Feng, L.Jin, C.Tu and Y.Zhao, 2208.03834

- A strategy and exploratory calculation of the amplitude for the CP-concerning contribution to the amplitude for the $K_L \rightarrow \gamma\gamma$ decay was also presented.

N.H.Christ and Y.Zhao, PoS (Lattice 2021) 2022 451

- At Lattice 2023 an update on the project was presented by En-Hung Chao with a focus on the $\pi\pi\gamma$ intermediate state.