

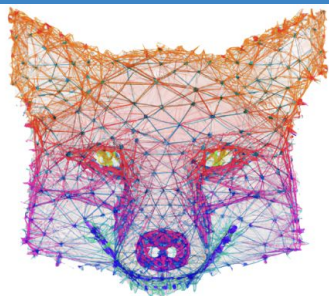


Supervised versus unsupervised learning

Ana Peixoto (University of Washington)

CHACAL 2024: Computing in HEP
and Applications CNRS-Africa Lectures

15-27 Jan 2024, University of Witwatersrand, Johannesburg, South Africa



About me

Natural from Paredes de Coura, Portugal

2015: Joined the ATLAS Experiment working on top FCNC

2016: Finished Master in Experimental Physics with the Universidade do Minho (Portugal)

2021: Finished PhD on the search for new interactions in the top quark sector in UM (Portugal) - Won one of the [ATLAS PhD Grant](#)

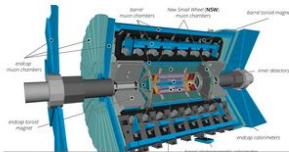
2021-2023: Postdoc in the Laboratoire de Physique Subatomique et de Cosmologie (France)

2023-Present: Postdoc with the University of Washington (United States of America)

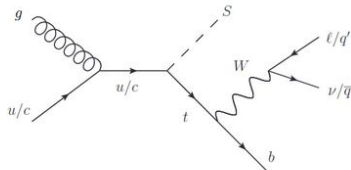


Twitter: [@_ana_peixoto](#)
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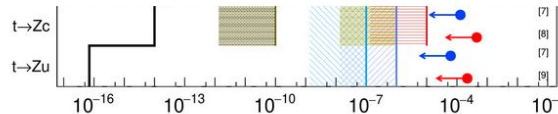
About me



Joined ATLAS Collaboration as a master student on FCNC $t \rightarrow Zq$ search

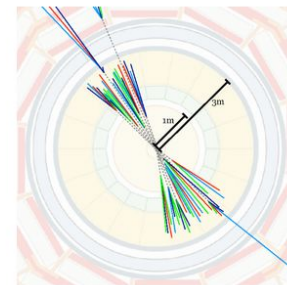


Phenomenological study on FCNC interferences



Most stringent limits on $t \rightarrow Zq$ with ATLAS Run-2 data

Started postdoc at LPSC on jets calibration and dark matter searches



Started PhD in new interactions with the top quark

Theory and phenomenological paper on $t \rightarrow Sq$ search prospects

Pythia validation with dark shower Snowmass project

2015 2016 2019 2020 2021 2023

Besides Physics, I also love listening to Jazz, dancing, practicing Martial arts and reading

Reminder about Machine Learning

Huge variety of choice in goals, formulations, training procedures, ...

- Mathematical structure of model: (deep) neural networks, convolutional networks, transformer models, (boosted) decision trees, and many others
- Input data: supervised (learn from simulation with truth-labels) and unsupervised learning (learn from data without truth labels)
- Learning goals: classification, regression
- Scope of model: discrimination or generative

Classes of Statistical Learning algorithms

Supervised:

- If we know the probability density of S and B, or if at least we can estimate it
- E.g. we use "labeled" training events ("Signal" or "Background") to estimate $p(x|S)$, $p(x|B)$ or their ratio

Semi-supervised:

- It has been shown that even knowing the label for part of the data is sufficient to construct a classifier

Unsupervised:

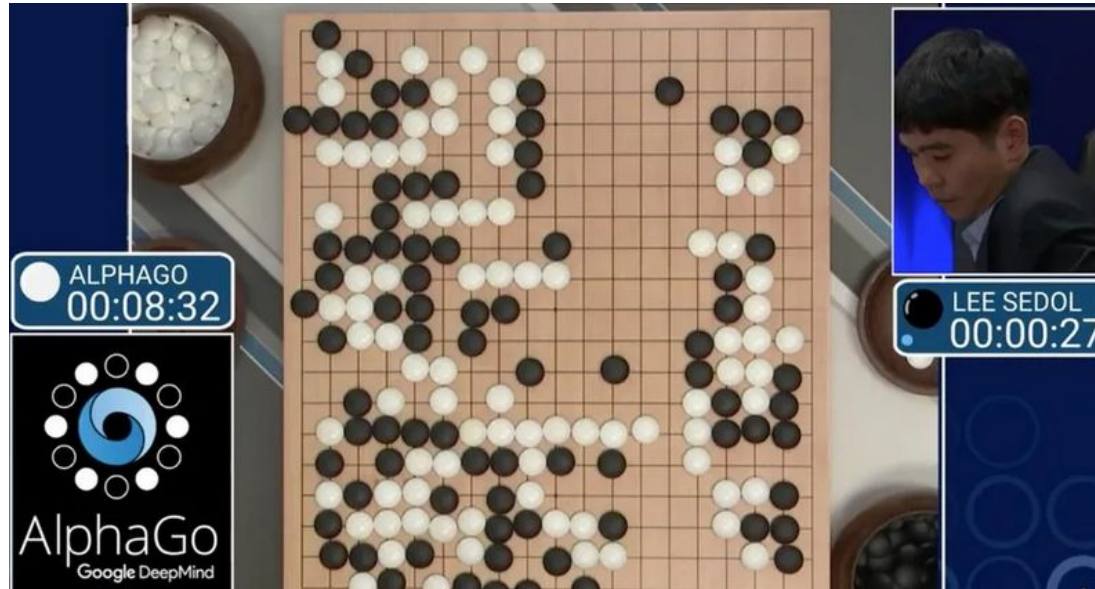
- If we lack an a-priori notion of the structure of the data, and we let an algorithm discover it without e.g. labeling classes cluster analysis, anomaly detection, unconditional density estimation.

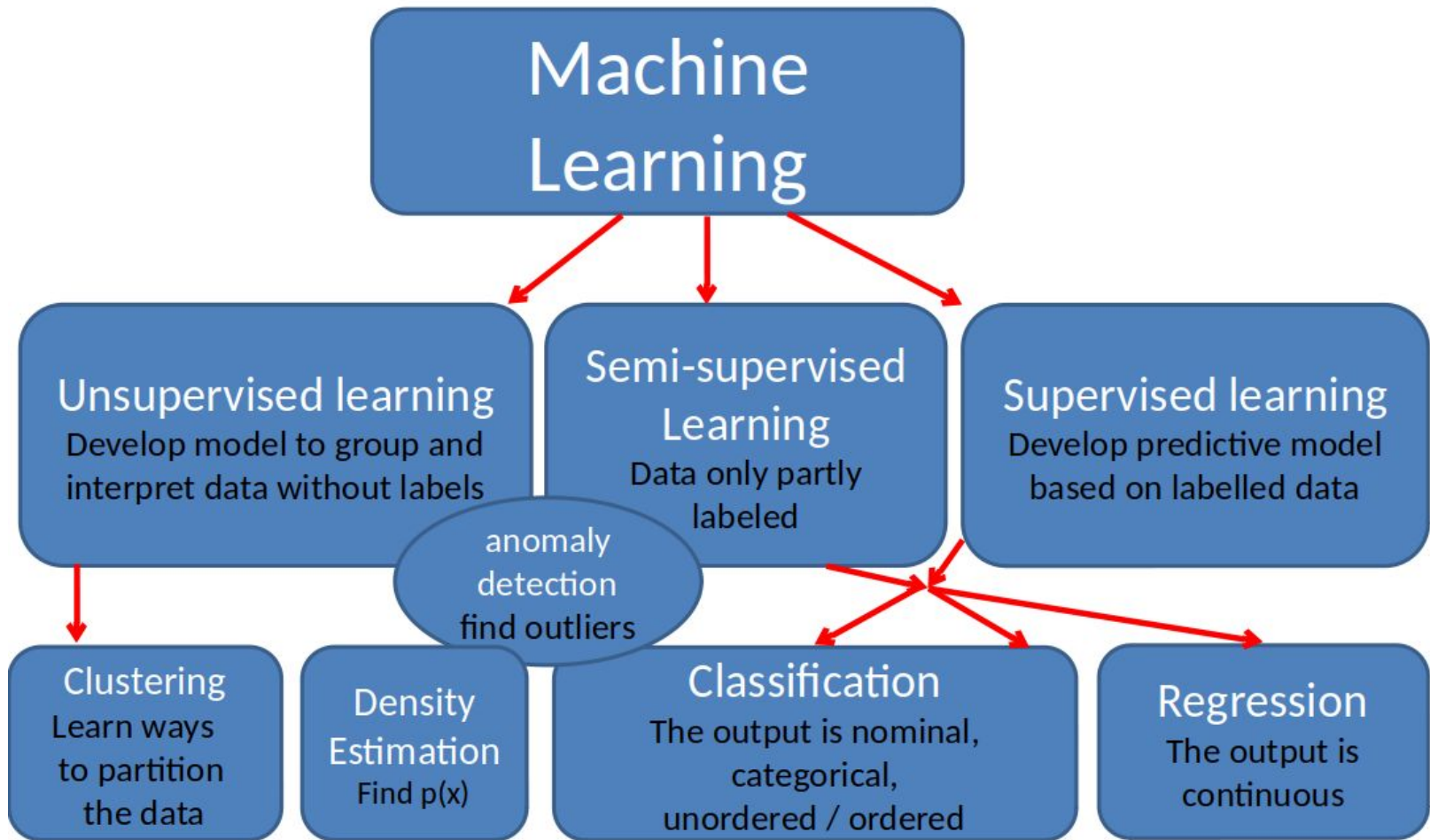
Classes of Statistical Learning algorithms

We may also single out (as discussed by David Rousseau on Saturday)

Reinforcement learning

- The algorithm learns from the success or failure of its own actions
- E.g. a robot reaches its goal or fails



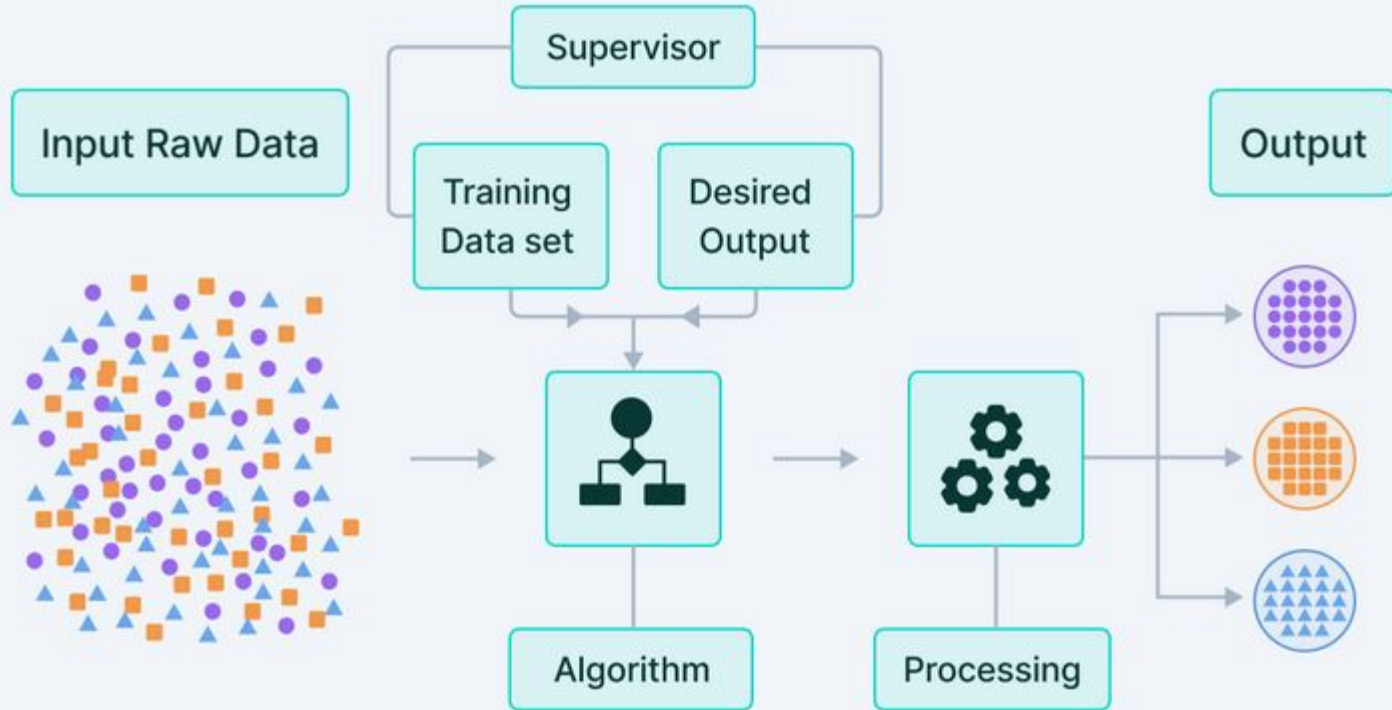


Supervised Learning

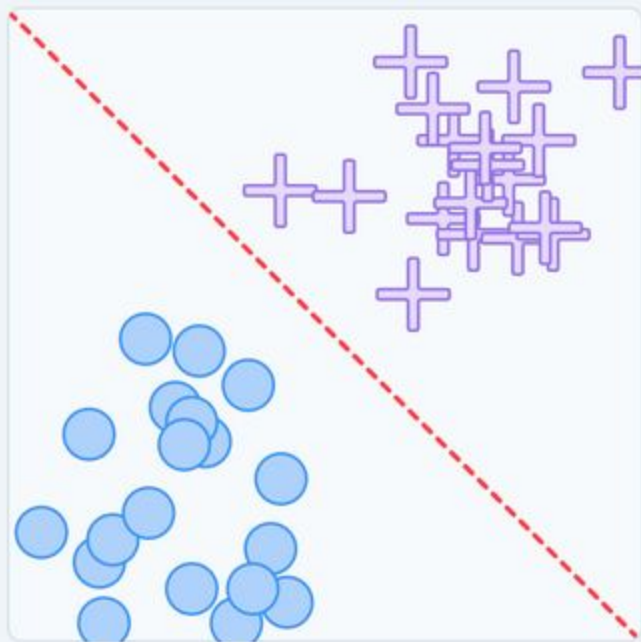
Starting point:

- A vector of n predictor measurements X (inputs, regressors, covariates, features, independent variables)
- One has training data $\{(x,y)\}$: events (or examples, instances, observations...)
- The outcome measurement Y (dependent variable, response or target)
 - In classification problems Y can take a discrete, unordered set of values (signal/background, index, type of class)
 - In regression, Y has a continuous value

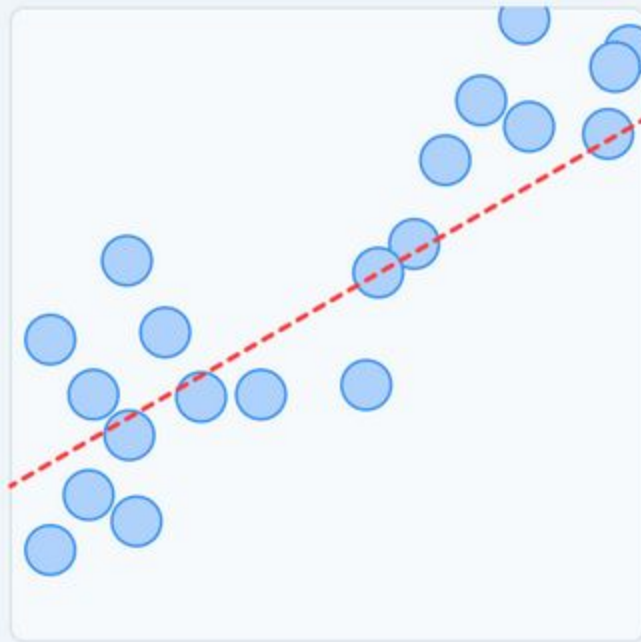
Supervised Learning



Classification



Regression



V7 Labs

Supervised Learning

Objective:

- Using the data at hand, we want to predict y^* given x^* , when (x^*, y^*) does not necessarily belong to the training set.
- The prediction should be accurate: $|f(x^*) - y^*|$ must be small according to some useful metric (se later)

We would like to also:

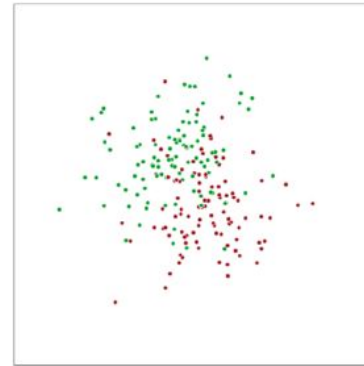
- Understand what feature of X affects the outcome
- How assess the quality of our prediction

Random forests

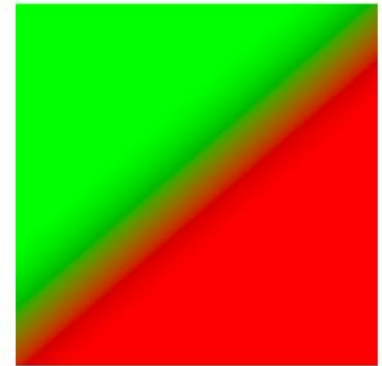
- Random Forest algorithm was first proposed by Breiman (2001), but is based on a 1995 idea of Tim Kan Ho
- RF employs two ensemble techniques: The first is bagging of the training sample, to grow a forest of different trees based on different training data. The second is the subsampling of the feature space.
- If I choose a subset of the variables (e.g. x_1, x_3, x_7) to create a split in a node of a decision tree, and another subset (x_2, x_4, x_5, x_7) to create a different one, there will be events that get classified in a different way by the two nodes
- Often there is a dominant variables that is used to decide the split, offsetting the power of the subdominant ones. RF avoids that problem by reducing the correlation of different trees

Random forests

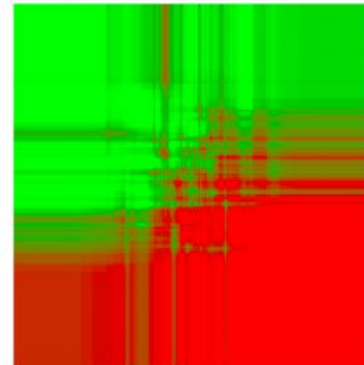
- Tree ensembles (like the Random Forest algorithm) have a number of attractive properties
 - usually do not overfit
 - powerful learners
- In addition they retain the advantages of DTs:
 - simple to understand and interpret
 - easy to train
 - work equally well with continuous as well as categorical data types
 - no need to pre-process the data (e.g. invariant to standardization)



data

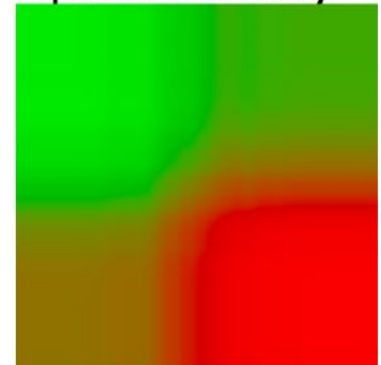


optimal boundary



50 trees

Random Forest

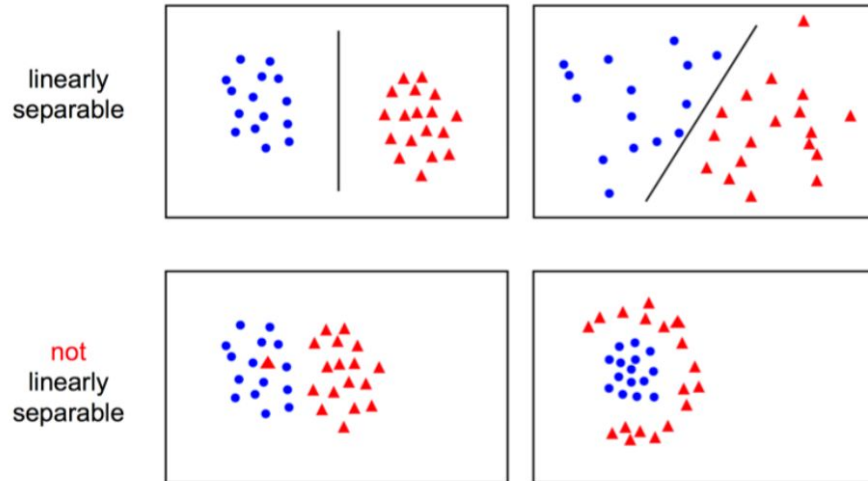


2000 trees

[Rogozhnikov]

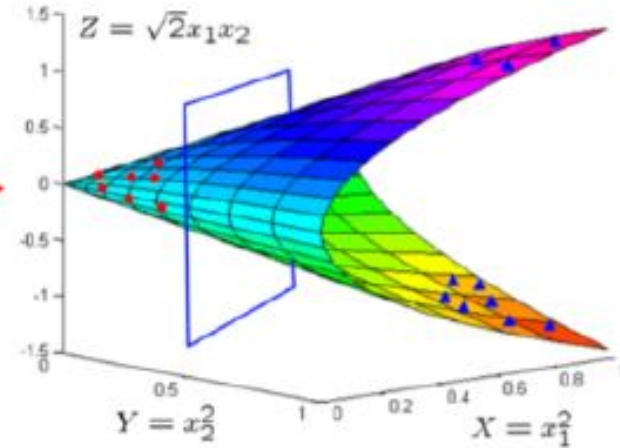
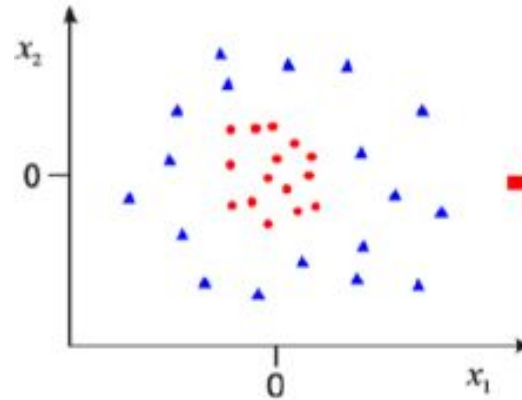
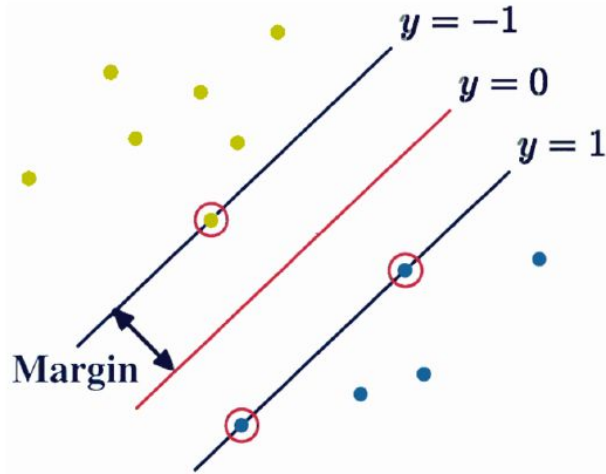
Support vector machines

- Binary linear classifier that tries to find the best separation between two classes of data
- Basic concept can be again explained with linear separation between the classes (a hyperplane in the feature space)
- Non-linear separation is possible by extending the technique to additional dimensions (more complex data)



Support vector machines

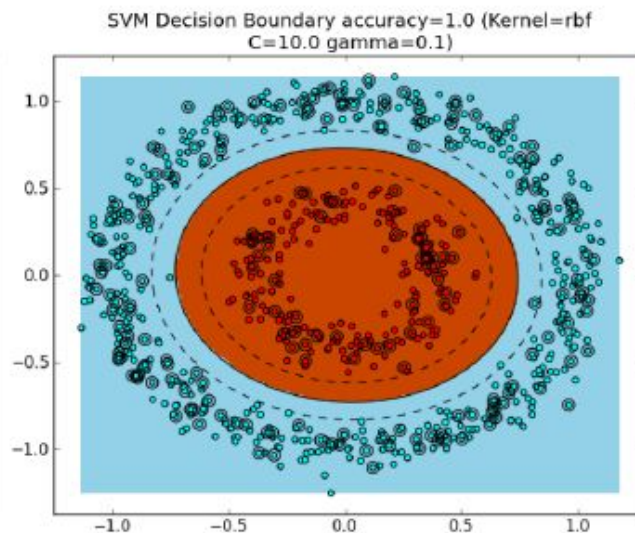
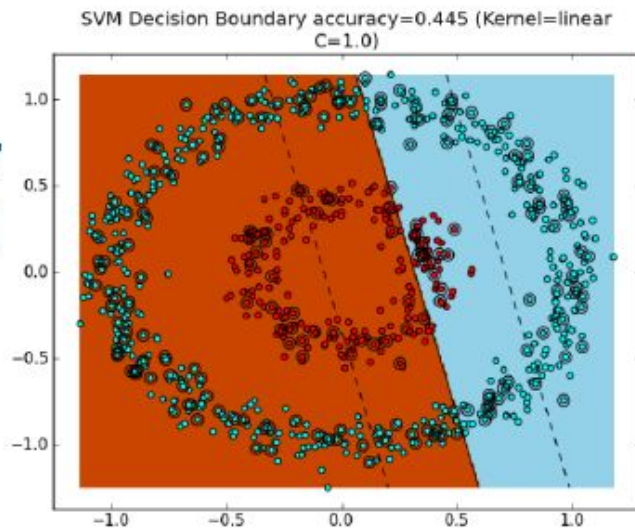
- Which hyperplane to use?
- A linear SVM is the simplest hypothesis but a non-linear SVM can provide better results



Support vector machines

- Which hyperplane to use?
- A linear SVM is the simplest hypothesis but a non-linear SVM can provide better results
- Kernel trick: by transforming the data with a map $\phi(x)$, we may find a hyperplane in the larger dimensions space where the classes are linearly separable

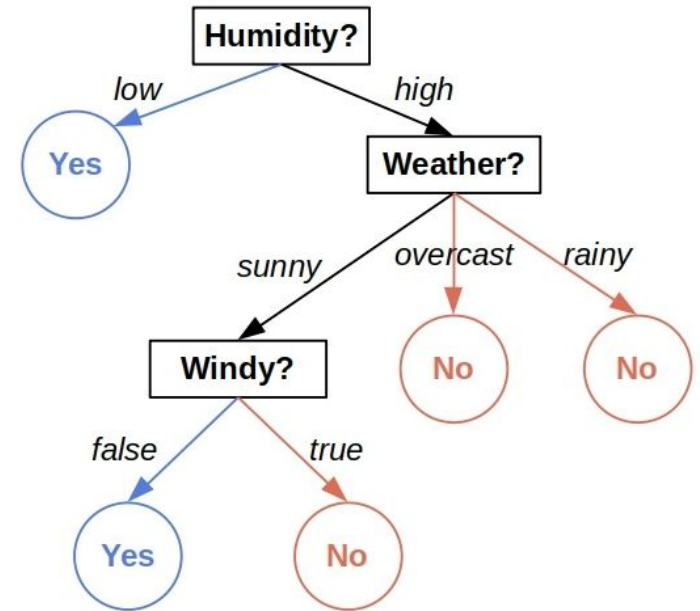
Linear
kernel



Gaussian
kernel

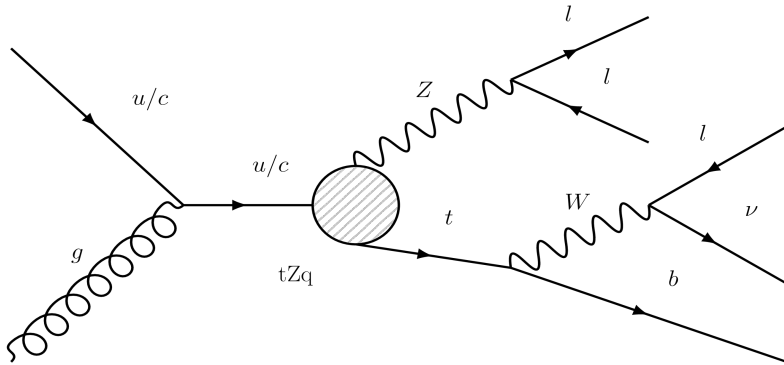
Boosted Decision Trees

- A "decision tree" is a tree constructed by "leaves" that are rules to split the data in the different classes, based on the data features
- If each event to be classified has variables $x_1, x_2, x_3, x_4, \dots$ (I can create a tree by posing conditions on each variable, in a chain)
- Idea of Boosting is instead to train a sequence of models, each of which gives more weight to events not classified correctly by the previous ones

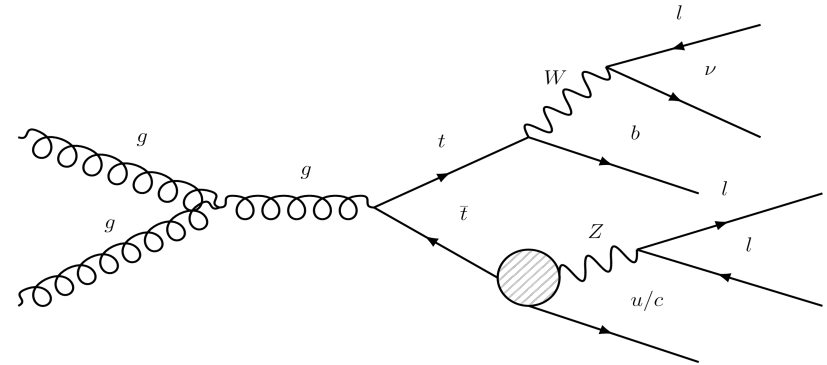


Boosted Decision Trees

- Top quark decay via FCNC processes present a powerful probe of new physics and it can occur in two modes:
 - In **production**: $t+X$ production with $X = H, Z, g, \gamma$
 - In **decay**: tt production (with $t \rightarrow qX$) with $q = u, c$

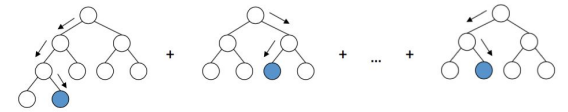


Feynman diagram at
Leading-Order for FCNC tZ
production



Feynman diagram at
Leading-Order for FCNC tt decay

Boosted Decision Trees



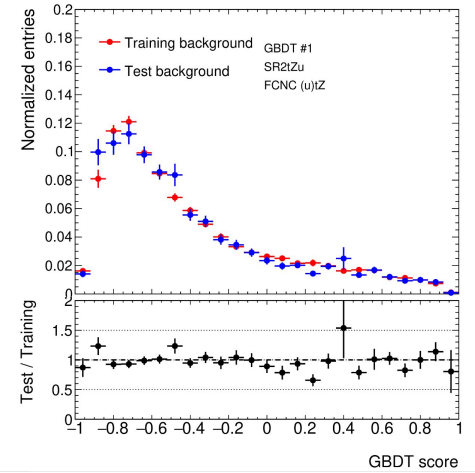
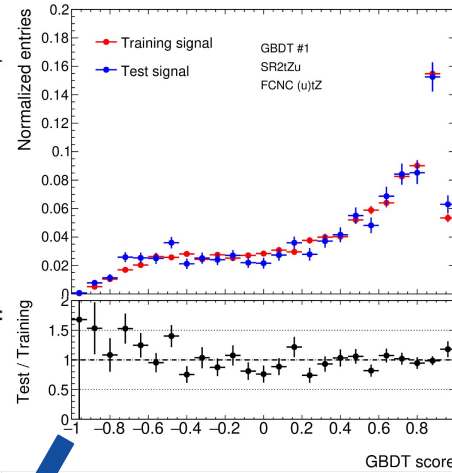
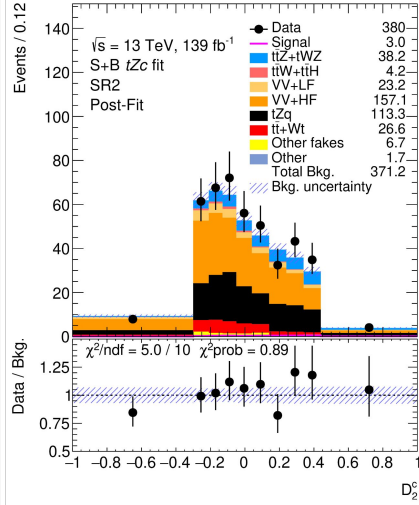
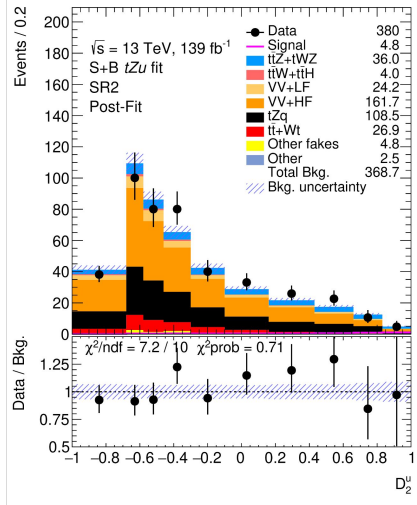
- **Three multivariate discriminants** defined using **Gradient Boosted Decision Trees (GBDT)**: FCNC tZu and tZc in decay, FCNC tZu in production and FCNC tZc in decay and production

GBDT discriminant	Training Region	Training signal	Search coupling
D_1	Full SR1	FCNC tZu and tZc in $t\bar{t}$ decays	tZu, tZc
D_2^U	Full SR2	FCNC tZu in tZ production	tZu
D_2^C	Full SR2	FCNC tZc in $t\bar{t}$ decays and tZ production	tZc

- All the signal and background events selected by the signal regions divided into five equal parts and used for the training (80%) or for the testing (20%)
- Choice of the input variables taking into account the separation power, the correlation with other variables and the performance loss

Boosted Decision Trees

SR1		SR2 tZu		SR2 tZc	
Variable	$\langle s^2 \rangle$	Variable	$\langle s^2 \rangle$	Variable	$\langle s^2 \rangle$
$m_{b\ell\nu}$	0.1364	p_{τ}^Z	0.3104	p_{τ}^Z	0.07408
p_{τ}^q	0.07345	p_{τ}^b	0.175	p_{τ}^b	0.05261
N_{jets}	0.05747	$\Delta R(b, Z)$	0.08017	$m_{b\ell\nu}$	0.02282
$m_{q\ell\ell}$	0.04173	$m_{b\ell\nu}$	0.04636	$\Delta R(b, Z)$	0.02143
$\Delta R(t_{SM}, t_{FCNC})$	0.0410	χ_{tZ}^2	0.03171	χ_{tZ}^2	0.01561
$\Delta R(\ell, Z)$	0.02441	$\Delta R(\ell, Z)$	0.024	$\Delta R(\ell, Z)$	0.008783

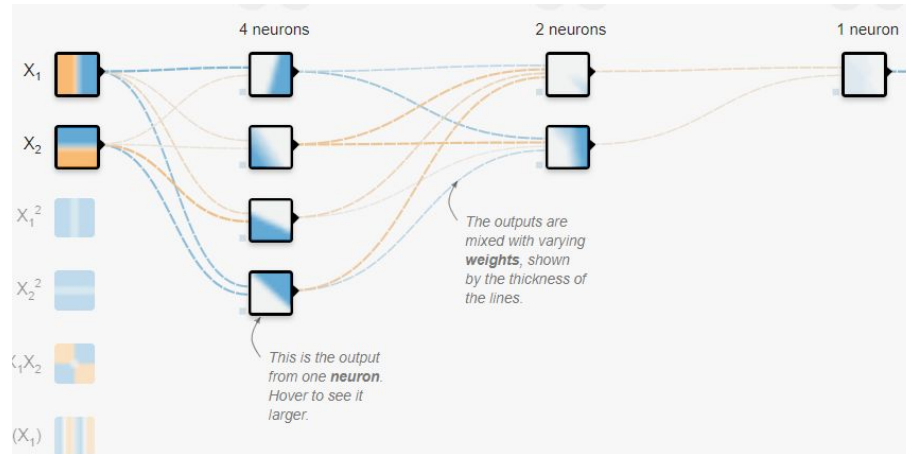


Training and test comparison for signal and background for the discriminant with tZu coupling on production

[PRD 108, 032019 \(2023\)](#)

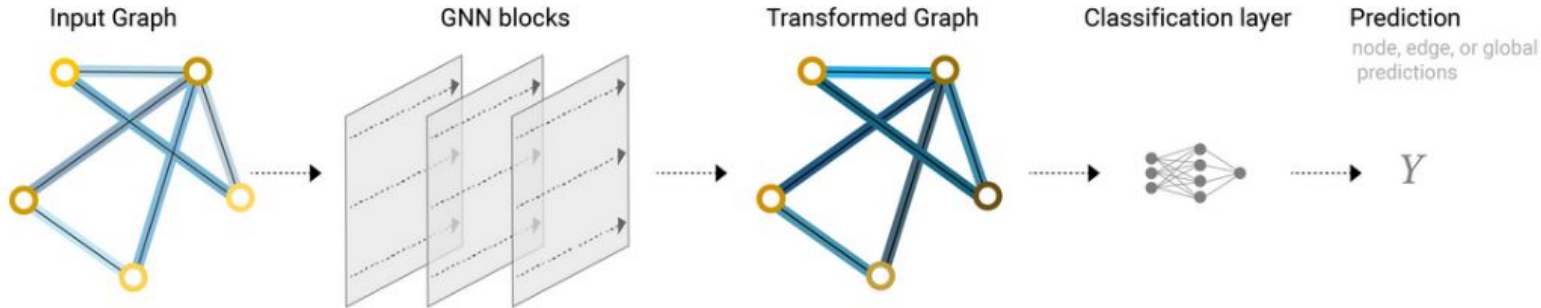
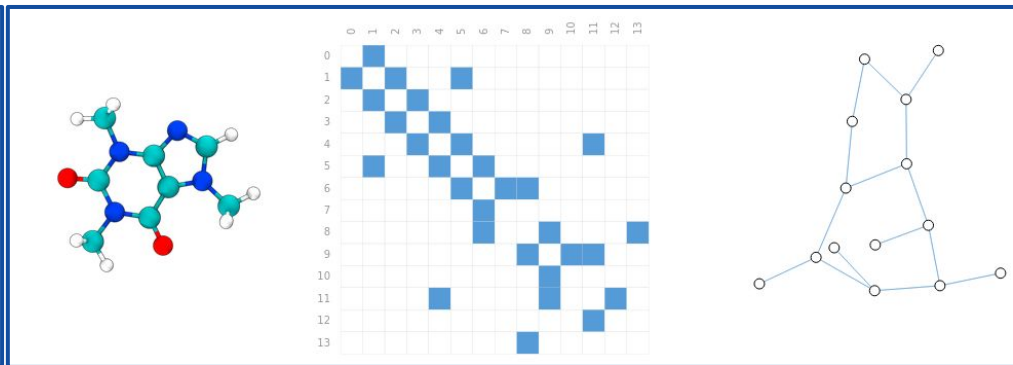
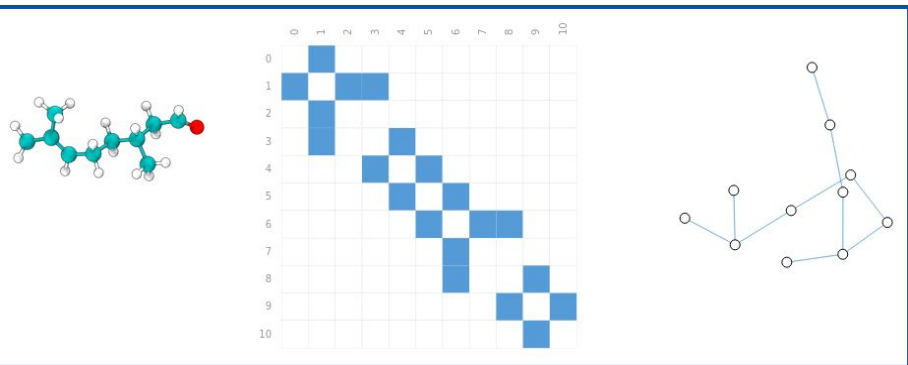
Neural Networks

- A neural network (NN) is a program that simulates the behaviour of a series of neurons and their connections
- NNs are capable of producing very flexible functions of the feature space variables
- At the heart of the NN there is an architecture of nodes organized in layers. Every "neuron" of a layer receives inputs from some of (or all) the neurons of the previous layer



Graph Neural Networks

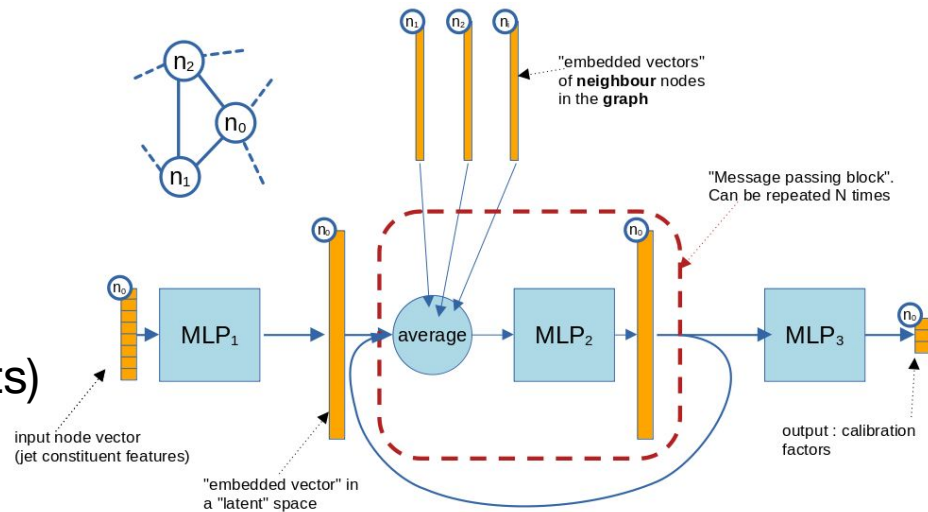
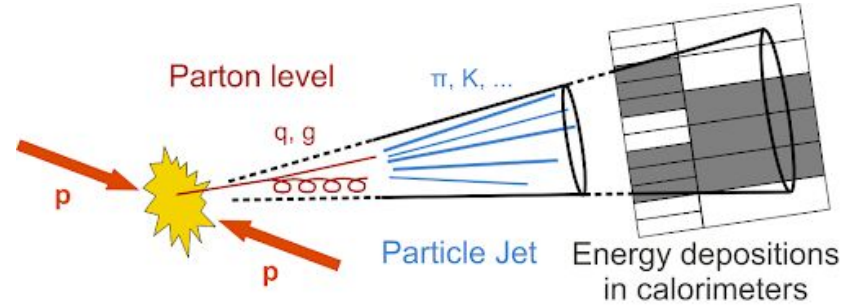
- NNs that can be represented as graphs



Suggested reading: <https://distill.pub/2021/gnn-intro/>

Graph Neural Networks

- But jets can also be represented as a graph!
- Calibration of jet constituents \rightarrow Better reconstruction of the energy flow details
- Using Graph Neural Networks to calibrate jet constituents: performing node-level (constituents) regression from graph-level (jets) constraints

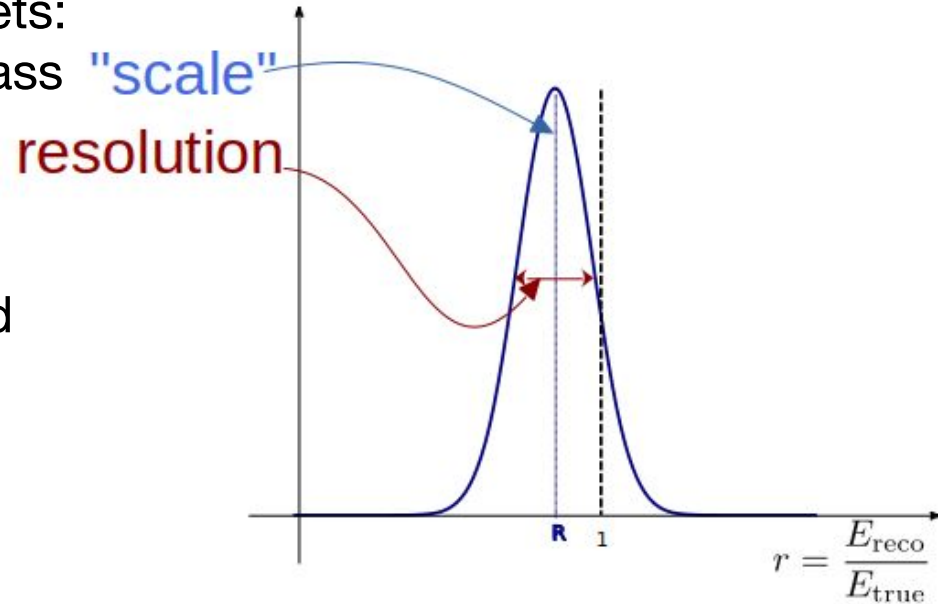


Graph Neural Networks

Evaluation of the calibration

performances with $R=1.0$ and $R=0.4$ jets:

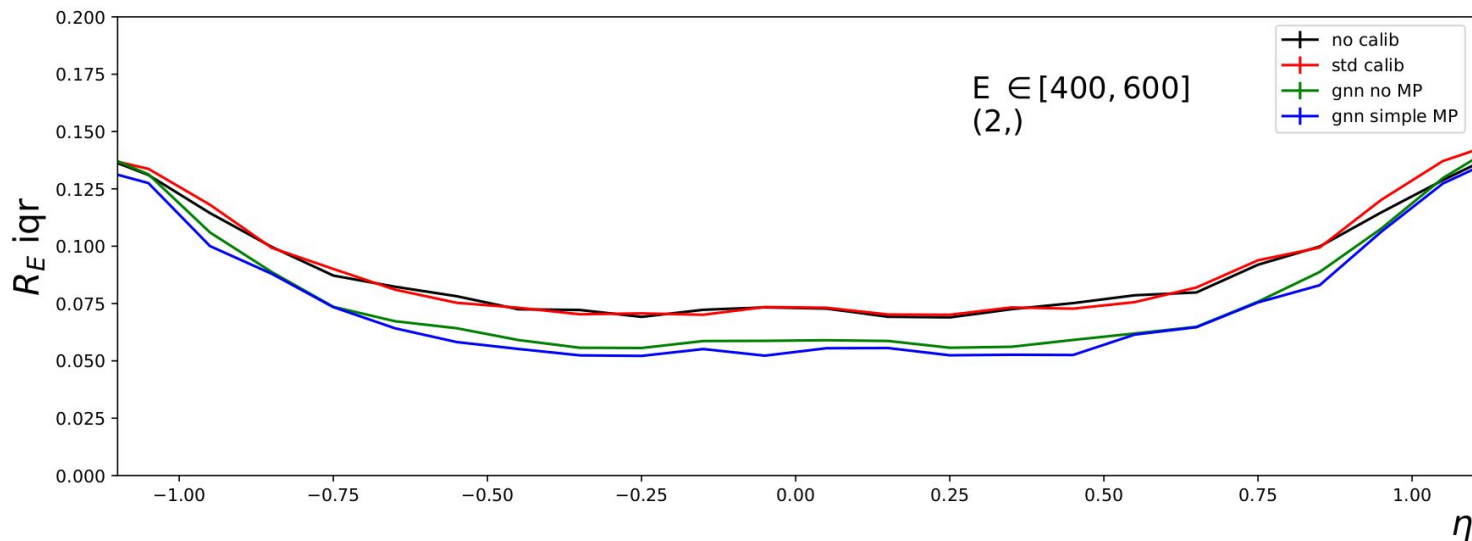
- Check physics jets energy and mass response
 - Rebuild jets with GNN calibrated constituents
 - Distributions of ratio E_{calib}/E_{true} and M_{calib}/M_{true}
 - Consider scale and resolution
- ⇒ Get this response in many energy and/or mass bins!



Graph Neural Networks

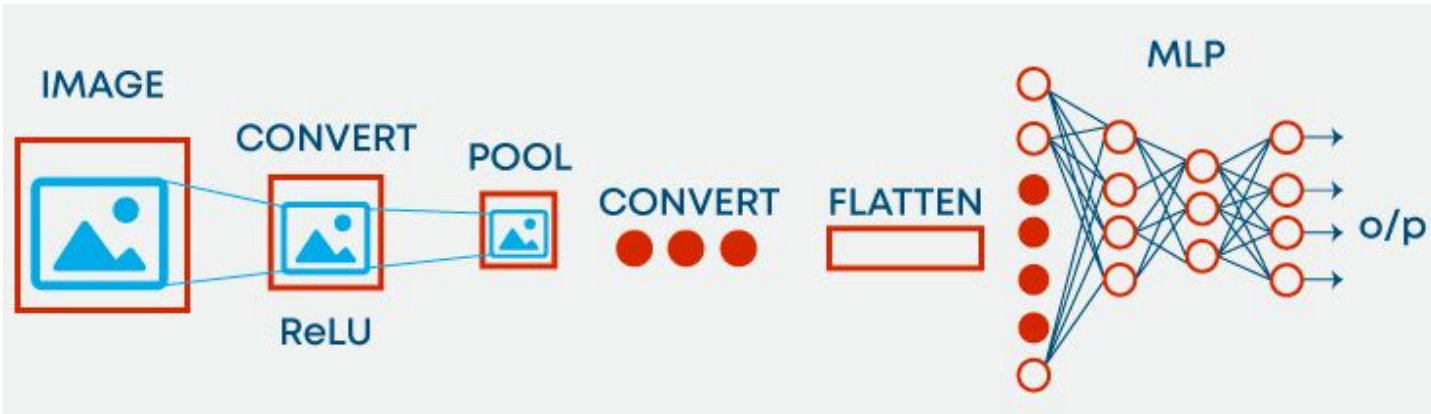
For the case of ATLAS:

- Energy scale is well reconstructed - almost as well as standard ATLAS calibration
- Energy resolution is much improved!



Convolutional Neural Networks

- Contains a three-dimensional arrangement of neurons instead of the standard two-dimensional array
- Each neuron in the convolutional layer (1st) only processes the information from a small part of the visual field
- Understands the images in parts and can compute these operations multiple times to complete the full image processing



Convolutional Neural Networks

Applications:

Image processing

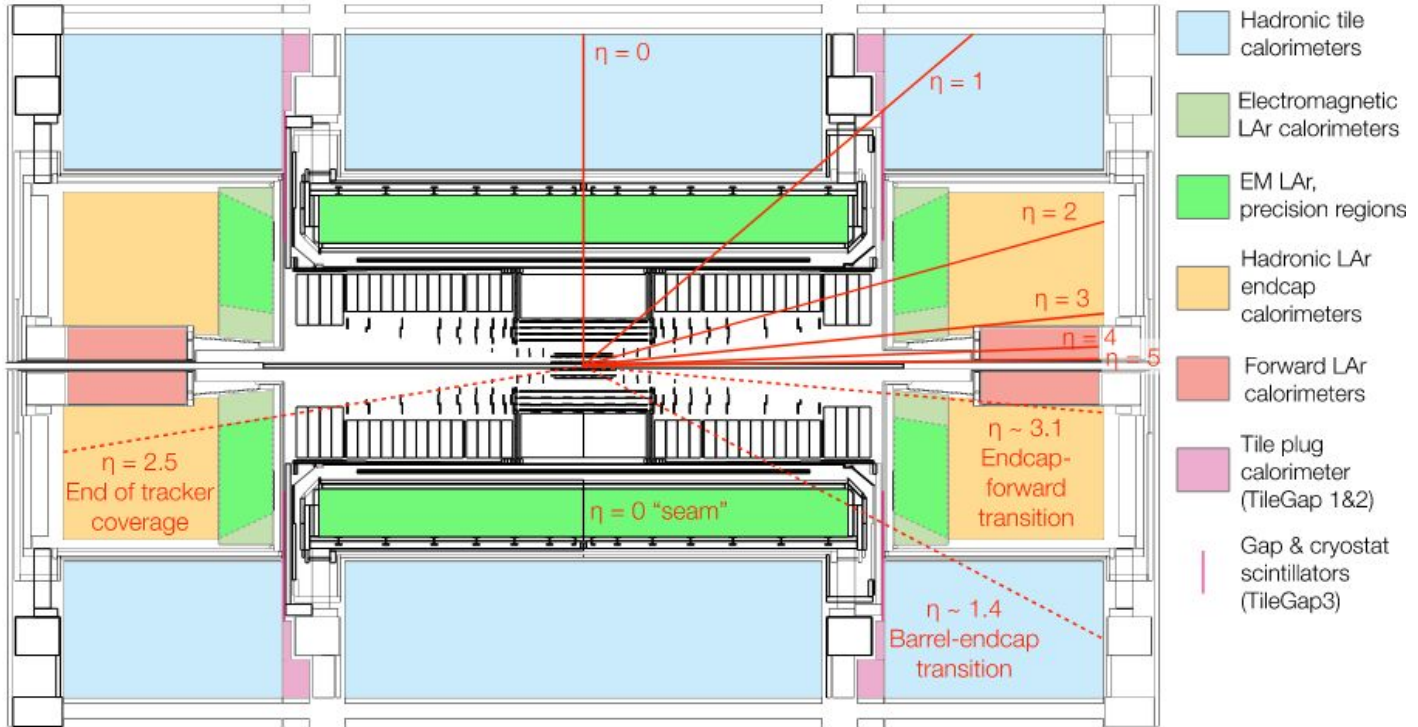
- Computer Vision (the usual cats vs dogs challenge)
- Speech Recognition
- Machine translation

In HEP:

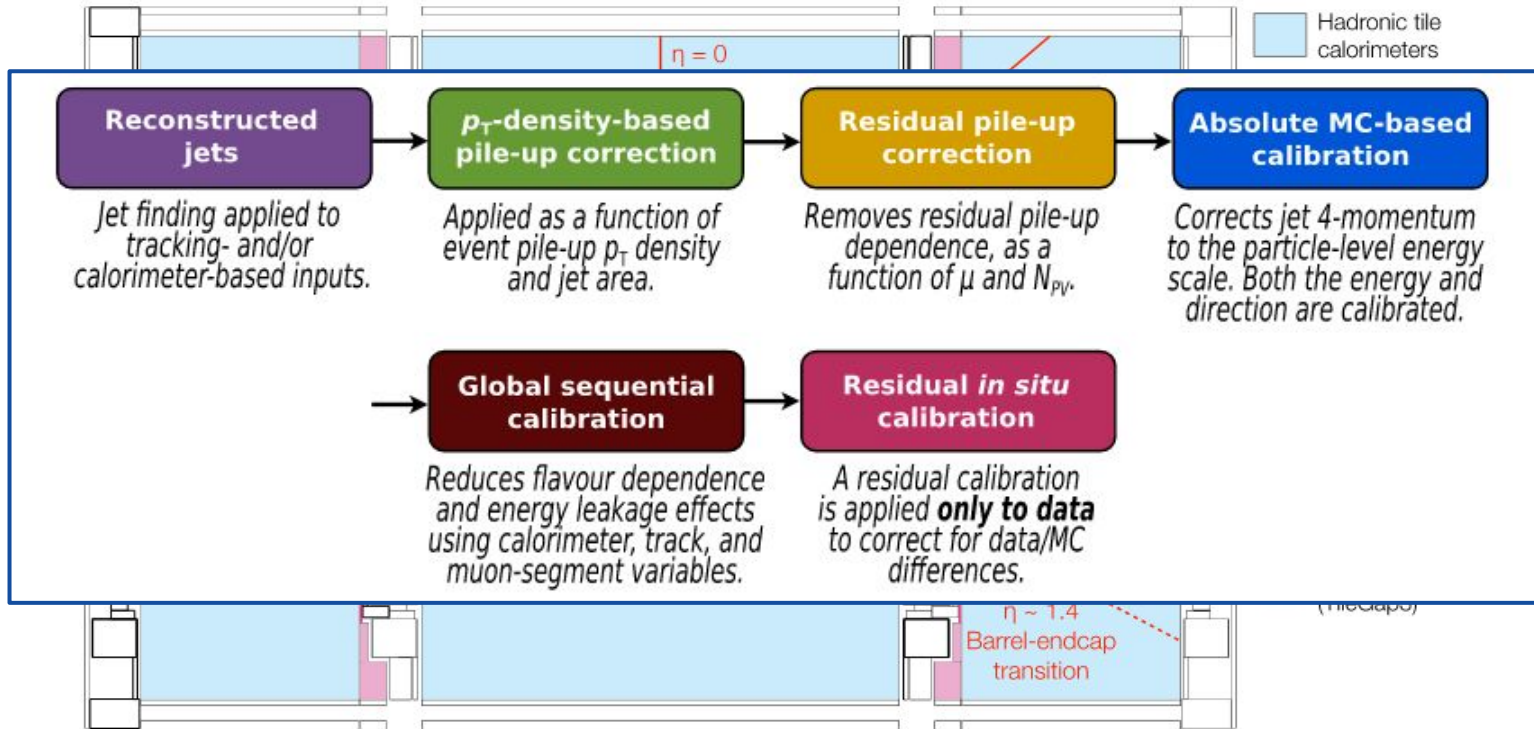
- Calibration of jets again...
- And much more!



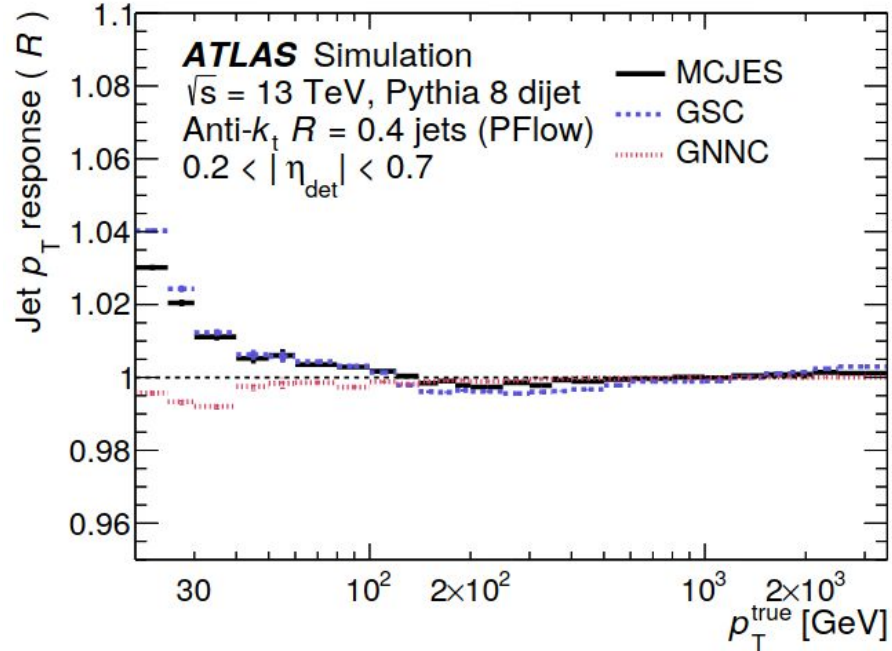
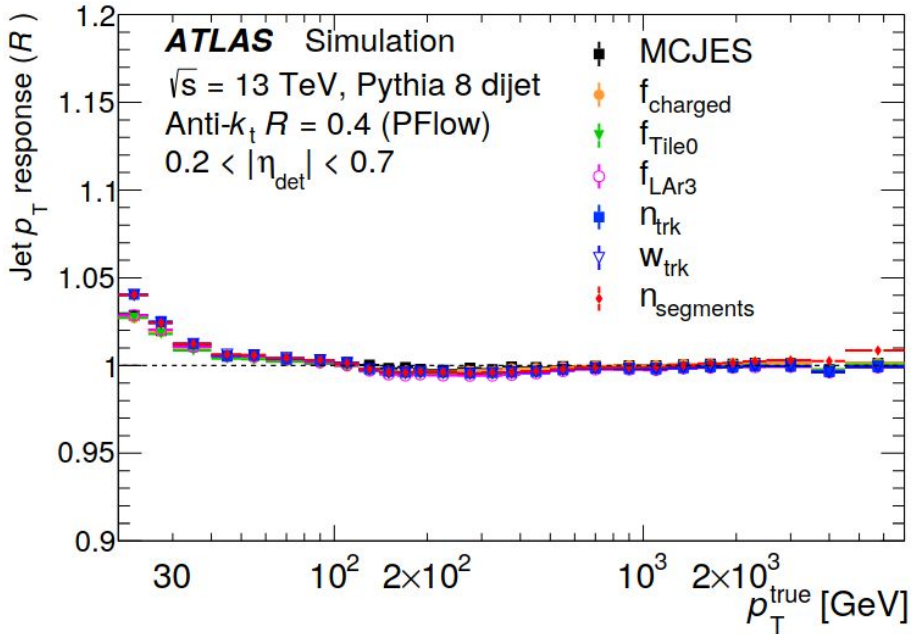
Convolutional Neural Networks



Convolutional Neural Networks



Convolutional Neural Networks

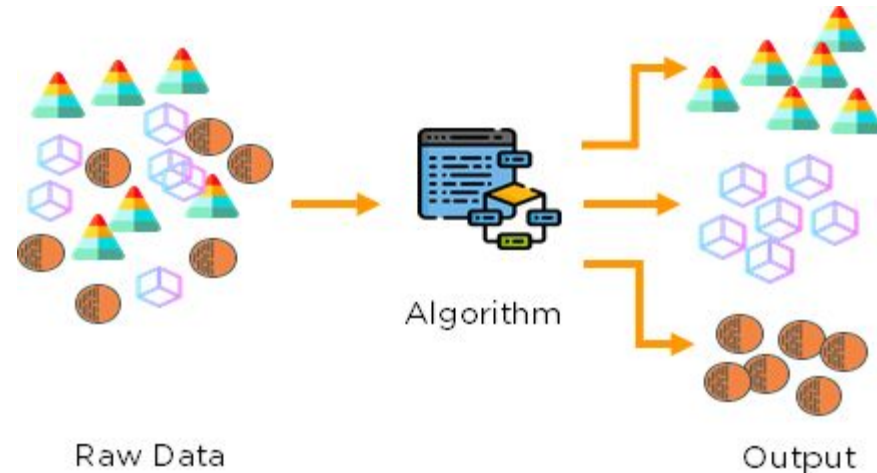


“New techniques for jet calibration with the ATLAS detector”

Unsupervised Learning

Starting point:

- A vector of n predictor measurements X (inputs, regressors, covariates, features, independent variables).
- One has training data $\{x\}$: events (or examples, instances, observations...)
- There is no outcome variable Y



Unsupervised Learning

Objective is much fuzzier:

- Using the data at hand
- Find groups of events that behave similarly
- Find features that behave similarly
- Find linear combinations of features exhibiting largest variation

Challenges:

- Hard to find a metric to see how well you are doing

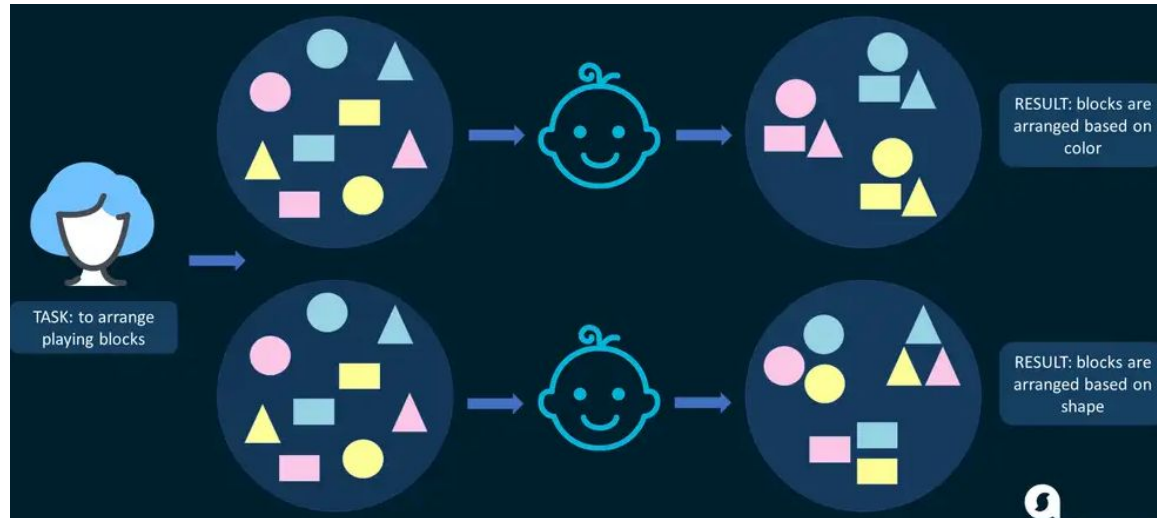
Advantage:

- Result can be useful as a pre-processing step for supervised learning

Unsupervised Learning

Classification and Regression are important tasks belonging to the "supervised" realm. But there are many other tasks where the unsupervised learning can help:

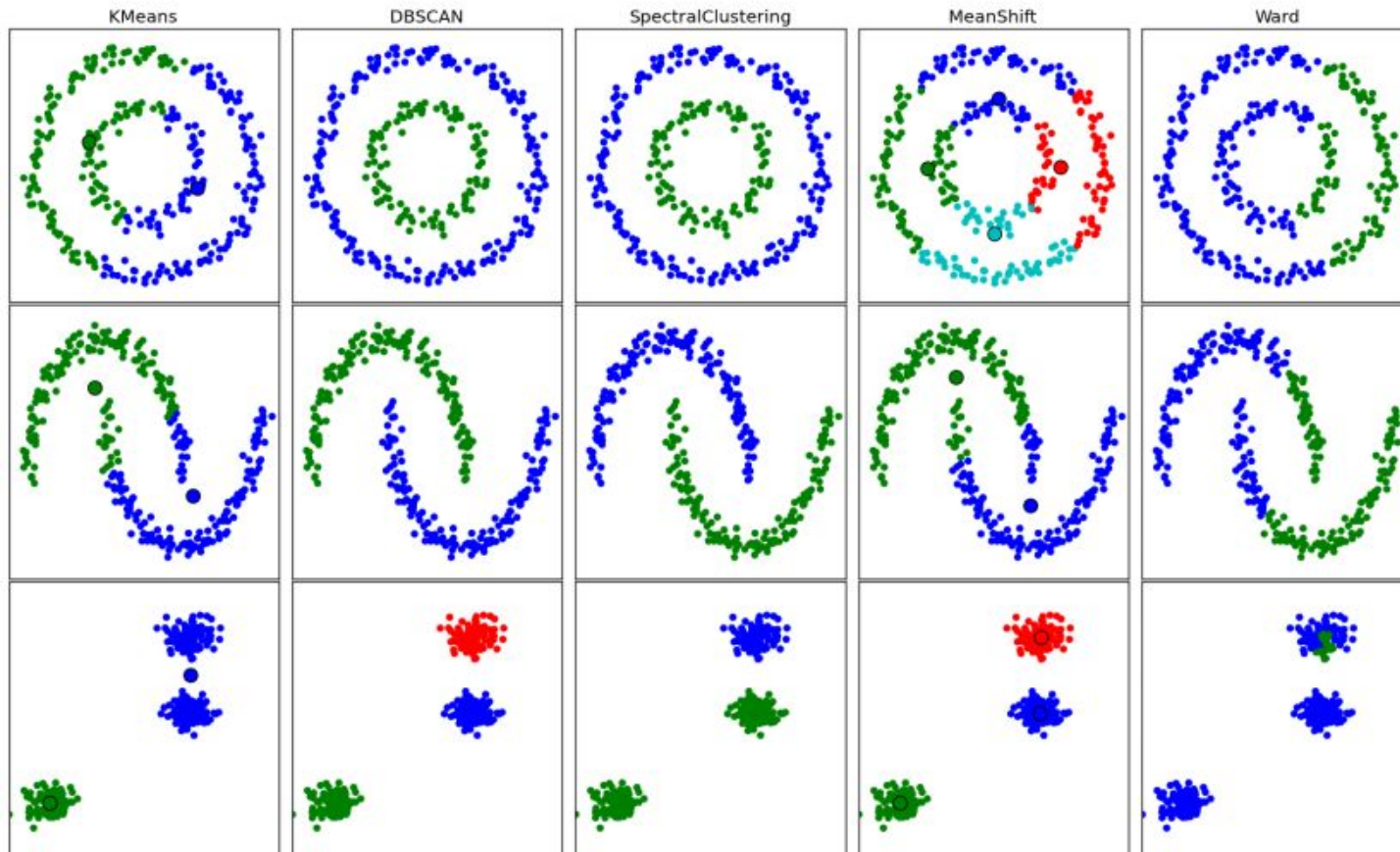
- Clustering
- Association rules
- Dimensionality reduction



Clustering

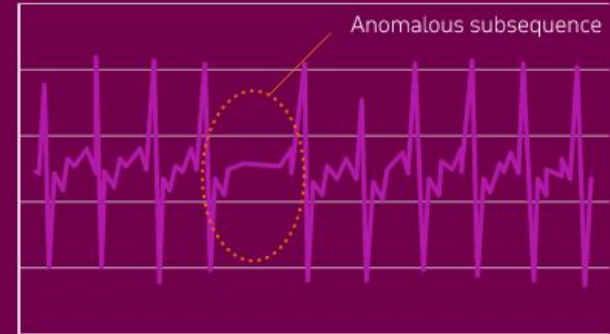
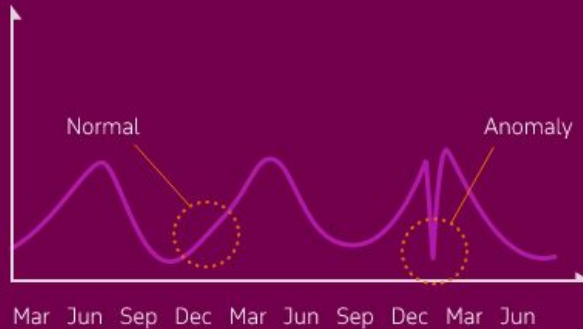
- Find structures in the data, organize by similarity
- An ML model finds any patterns, similarities, and/or differences within uncategorized data structure by itself
- Often can be a useful input to other tasks
- Real-life applications:
 - **Customer and market segmentation:** can help group people that have similar traits and create customer personas for more efficient marketing and targeting campaigns
 - **Clinical cancer studies:** used to study cancer gene expression data (tissues) and predict cancer at early stages
 - **Anomaly detection**

Clustering



Anomaly detection

- With clustering, it is possible to detect any sort of outliers in data
- For example, companies engaged in transportation and logistics may use anomaly detection to identify logistical obstacles or expose defective mechanical parts
- Financial organizations may utilize the technique to spot fraudulent transactions and react promptly, which ultimately can save lots of money
- From the modeling of purchasing habits; or new physics searches!



Clustering types

Exclusive clustering

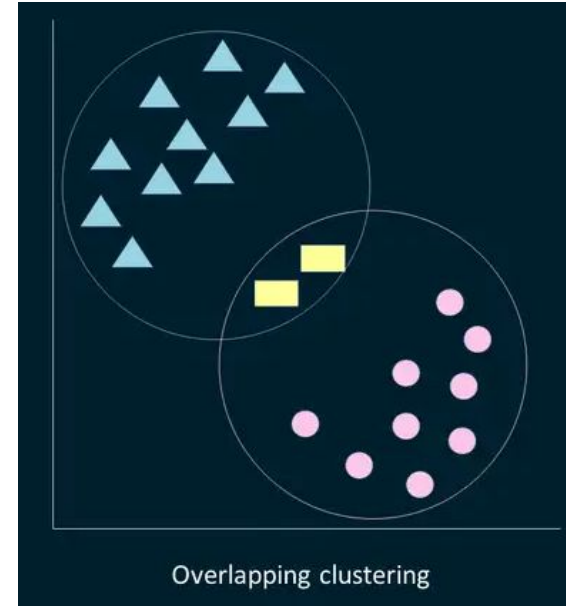
- A “hard” clustering where a grouping in which one piece of data can belong only to one cluster
- **Using mostly K-means:** an algorithm for exclusive clustering, also known as partitioning or segmentation
- It puts the data points into the predefined number of clusters known as K
- K in the K-means algorithm is the input since you tell the algorithm the number of clusters you want to identify in your data
- Each data item then gets assigned to the nearest cluster center, called centroids and the latter act as data accumulation areas

K-Means: <https://www.naftaliharris.com/blog/visualizing-k-means-clustering/>

Clustering types

Overlapping clustering:

- “Soft” clustering allows data items to be members of more than one cluster with different degrees of belonging
- Fuzzy K-means are often used in this case: an extension of the K-means algorithm used to perform overlapping clustering
- Unlike the K-means algorithm, it implies that data points can belong to more than one cluster with a certain level of closeness towards each
- The closeness is measured by the distance from a data point to the centroid of the cluster



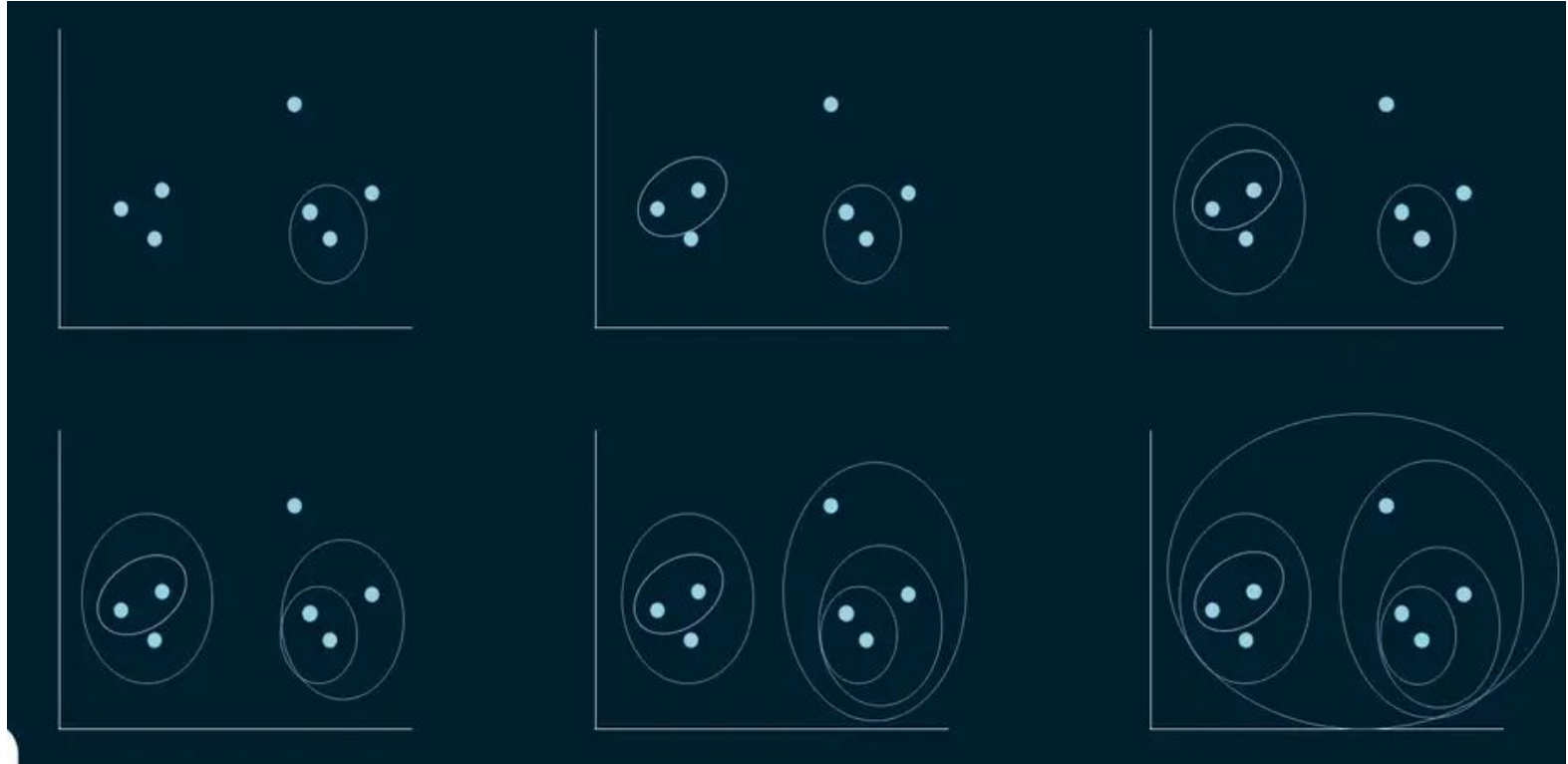
Clustering types

Hierarchical clustering:

- Creating a hierarchy of clustered data items
- To obtain clusters, data items are either decomposed or merged based on the hierarchy
- Such approach may start with each data point assigned to a separate cluster
- Two clusters that are closest to one another are then merged into a single cluster
- The merging goes on iteratively till there's only one cluster left at the top
- Such an approach is known as bottom-up or agglomerative

Clustering types

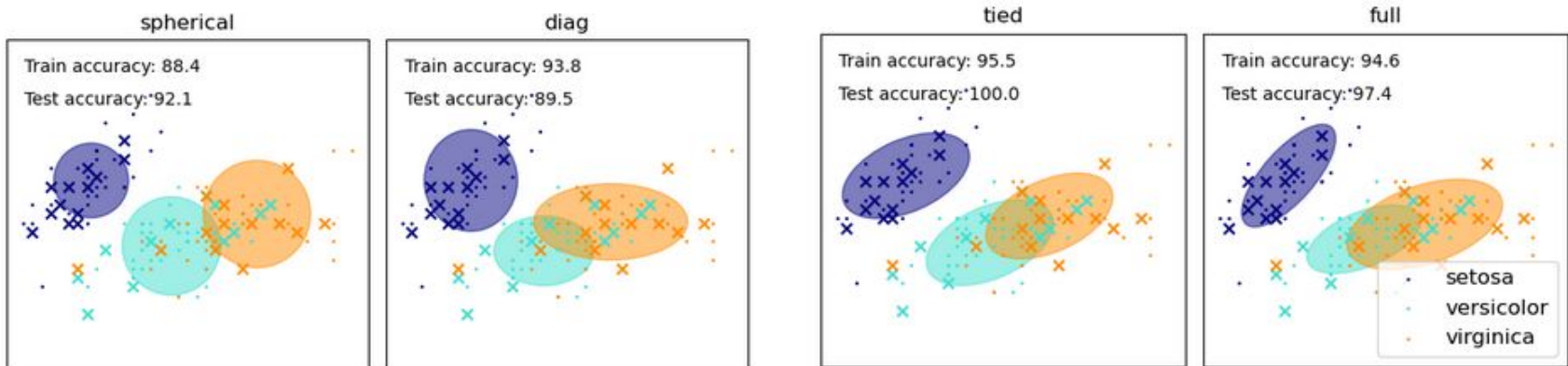
Hierarchical clustering:



Clustering types

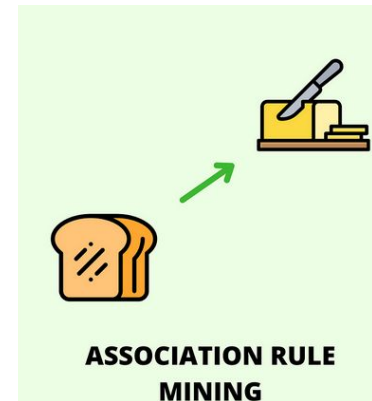
Gaussian Mixture Models

- Used in probabilistic clustering (diagonal, spherical, tied and full covariance matrices supported)
- Since the mean or variance is unknown, the models assume that there is a certain number of Gaussian distributions, each representing a separate cluster
- The algorithm is basically used to decide which cluster a particular data point belongs to



Association rules

- Rule-based unsupervised learning method aimed at discovering relationships and associations between different variables in large-scale datasets
- The rules present how often a certain data item occurs in datasets and how strong and weak the connections between different objects are
- Widely used to analyze customer purchasing habits, allowing companies to understand relationships between different products and build more effective business strategies



Dimensionality reduction

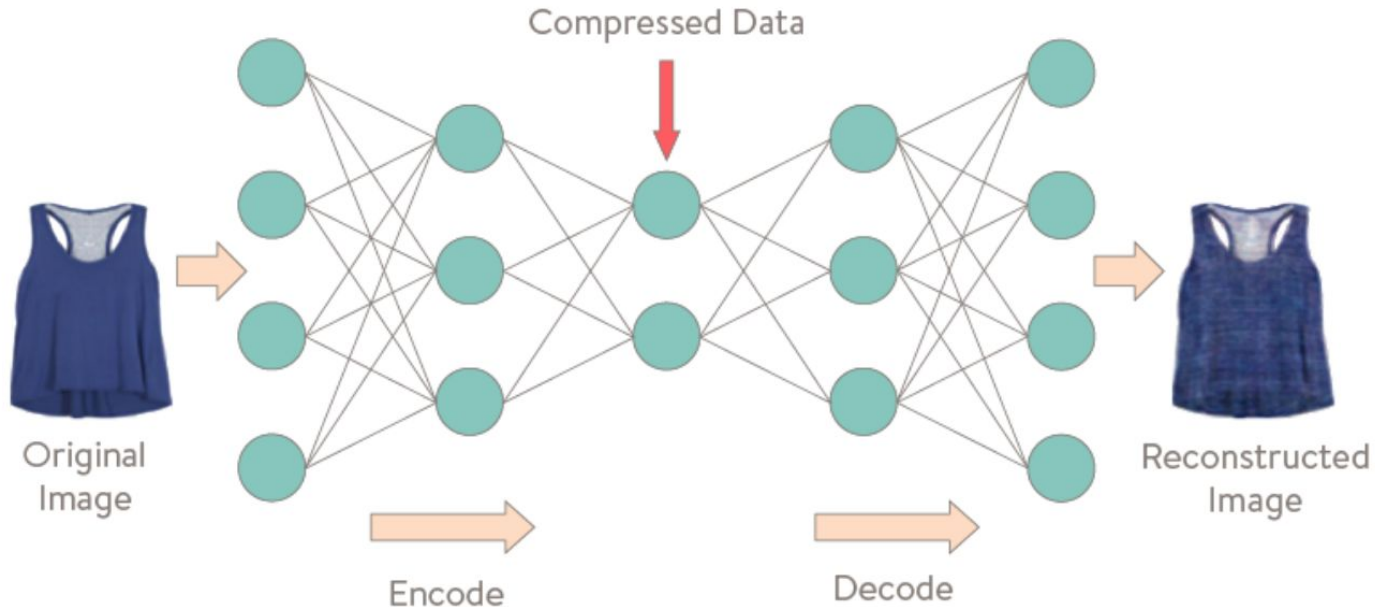
- Sometimes, the number of dimensions gets too high, resulting in the performance reduction of ML algorithms and data visualization hindering
- It makes sense to reduce the number of features – or dimensions – and include only relevant data ⇒ **Dimensionality reduction**
- Number of data inputs becomes manageable while the integrity of the dataset is not lost

Density-based approach:

<https://www.naftaliharris.com/blog/visualizing-dbscan-clustering/>

Auto encoders

- Compressed data is treated as a result of an algorithm
- Trained representations can be made stable against different noise

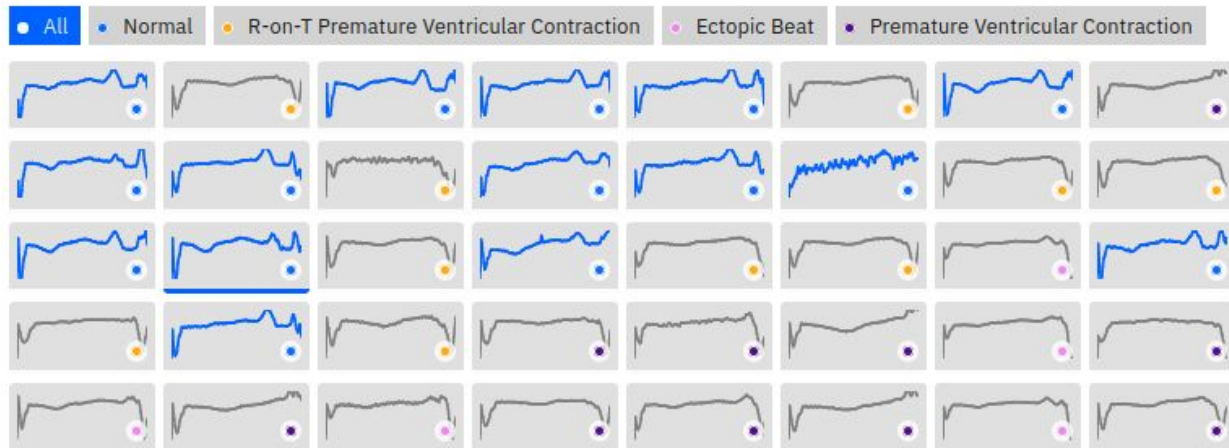


Auto encoders architecture

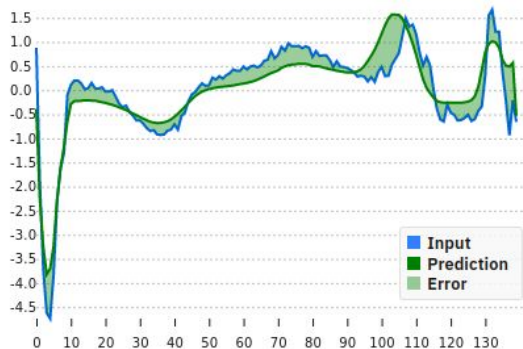
- 1- **Encoder:** In which the model learns how to reduce the input dimensions and compress the input data into an encoded representation
- 2- **Bottleneck:** which is the layer that contains the compressed representation of the input data (the lowest possible dimensions of the input data)
- 3- **Decoder:** In which the model learns how to reconstruct the data from the encoded representation to be as close to the original input as possible
- 4- **Reconstruction Loss:** This is the method that measures measure how well the decoder is performing and how close the output is to the original input

Auto encoders applications

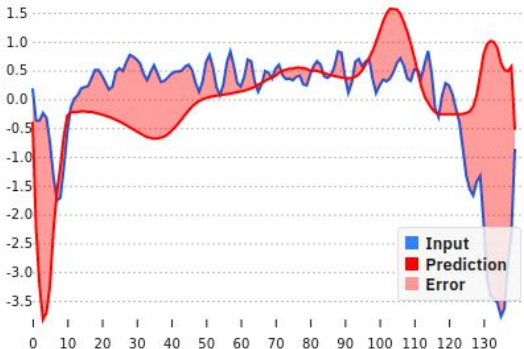
- Detect anomalies in electrocardiograms ⇒ Play it [here](#)
- Anomalies in ISS Telemetry Data [with Airbus](#)



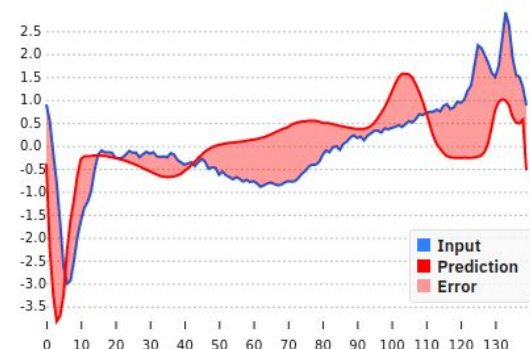
0.005 mse
MODEL PREDICTION : NORMAL
Explanation: [mse = 0.005] is below the 0.007 threshold



0.035 mse
MODEL PREDICTION : ABNORMAL
Explanation: [mse = 0.035] is above the 0.007 threshold

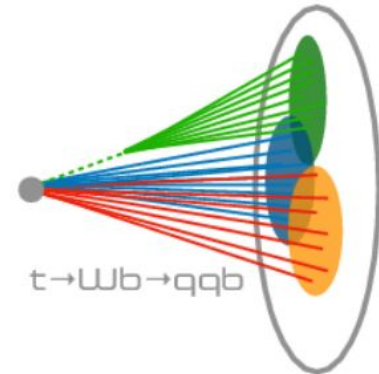


0.028 mse
MODEL PREDICTION : ABNORMAL
Explanation: [mse = 0.028] is above the 0.007 threshold

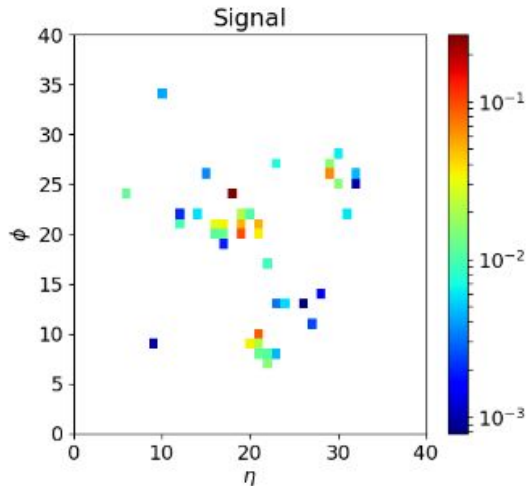


Auto encoders applications

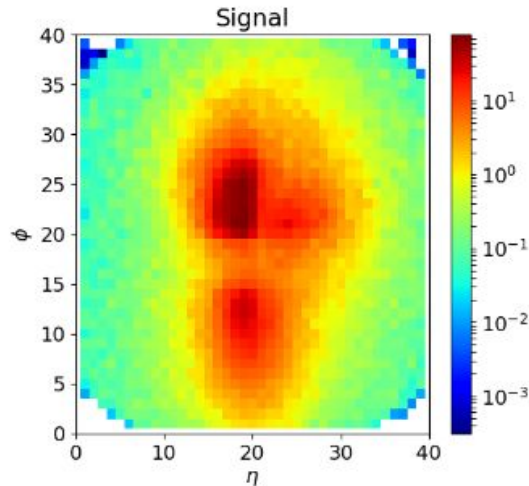
- On HEP, one example would be the [top-tagging](#)



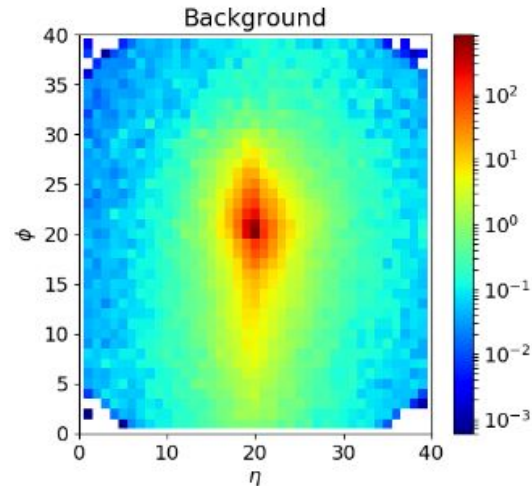
Typical single top-jet



Average top-jet

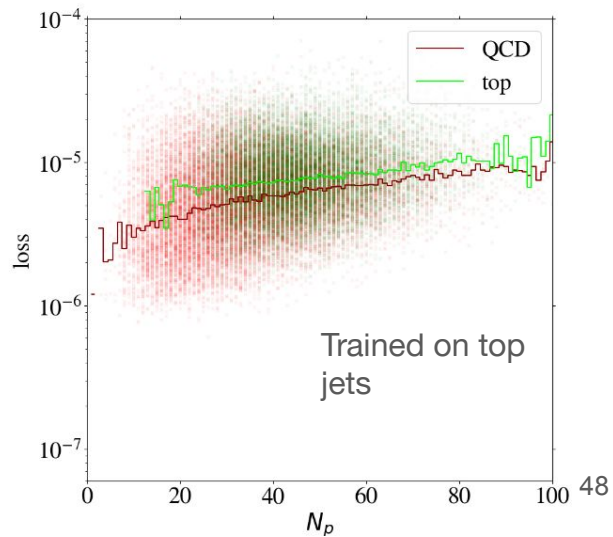
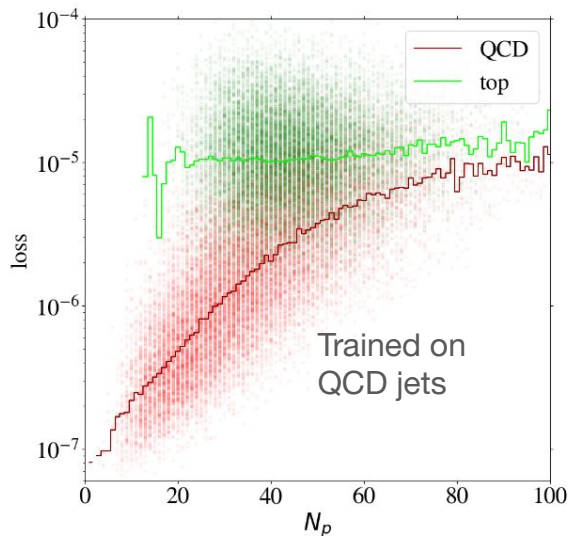
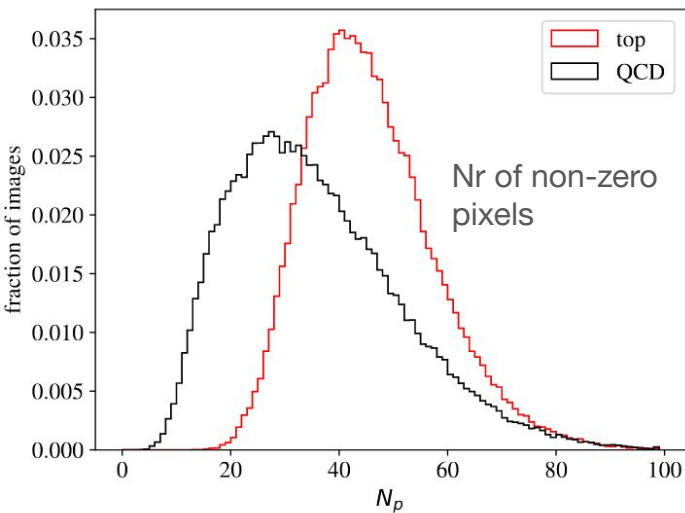
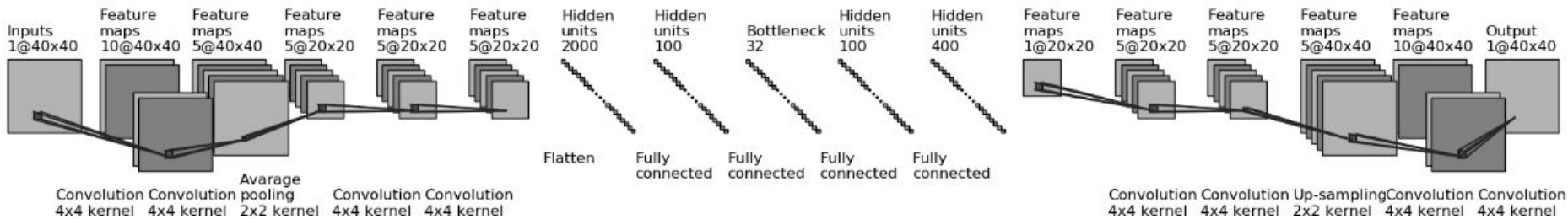


Average QCD-jet



Auto encoders applications

- On HEP, one example would be the [top-tagging](#)



Limitations

To supervised learning:

- Slow (it requires human experts to manually label training examples one by one)
- Costly (a model should be trained on the large volumes of hand-labeled data to provide accurate predictions)

To unsupervised learning:

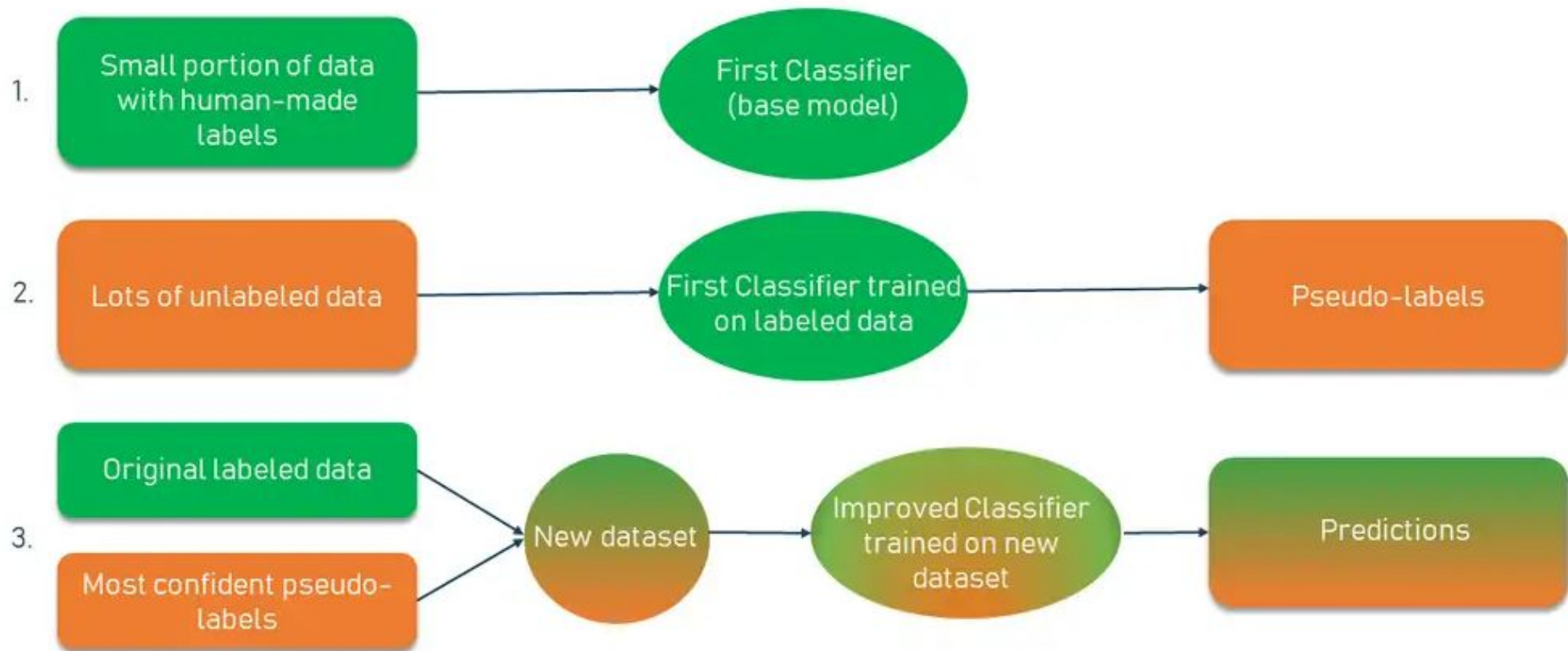
- Has a limited area of applications (mostly for clustering purposes)
- Provides less accurate results

Semi-supervised Learning

- It is used in scenarios where we have access to large amounts of data, and only a small portion of that is labeled. The more (relevant) data we use for training, the more robust our model becomes.
- Semi-Supervised Learning works by initially training the model using the labeled dataset, just like Supervised Learning. Once we get the model performing well, we use it to predict the remaining unlabeled data points and label them with the corresponding predictions.

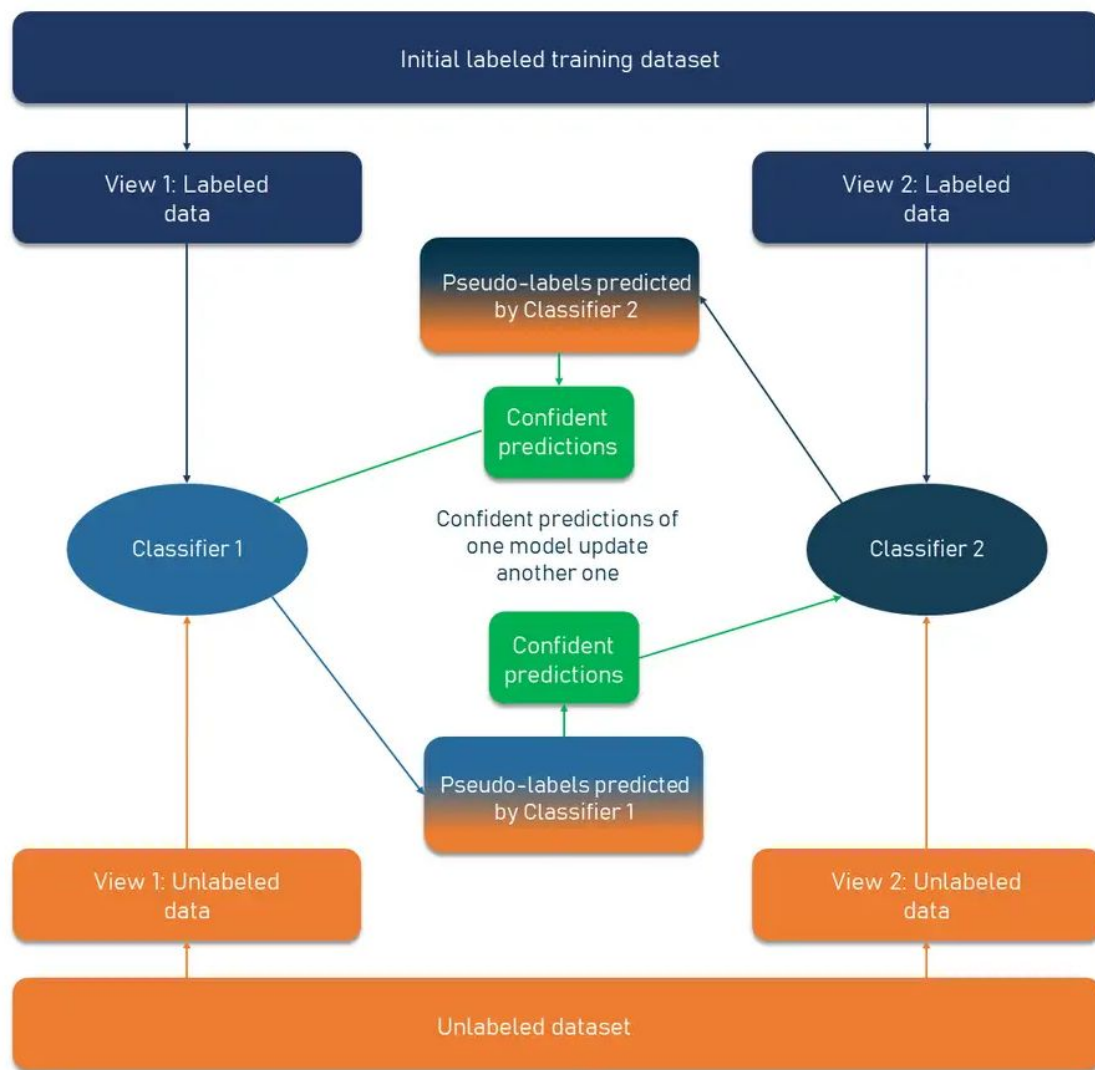


Self-training



Co-training

- Used when only a small portion of labeled data is available
- Trains two individual classifiers based on two views of data
- The class of sample data can be accurately predicted from each set of features alone



Transformers

- Special case of a graph neural network
- Self attention is implemented via message passing on the fully connected graph
- Strength comes from:
 - Multi-headed attention operation
 - Dense network node updates
 - More efficient to train than a full GNN
- Widely used in Natural language processing
 - Introduced in 2017 by a team at Google Brain to replace RNNs
 - e.g. [DALL-E 2](#) is also transformer based



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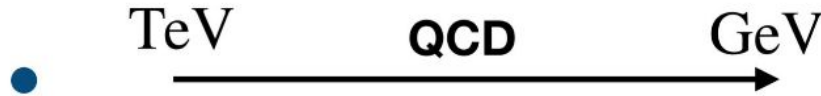


Our take with DALL-E-2: oil painting of Transformers at CERN

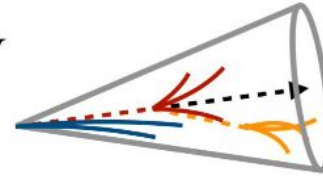
Transformers

An example from flavour tagging in ATLAS:

quarks:
“elementary”



jets:
observables

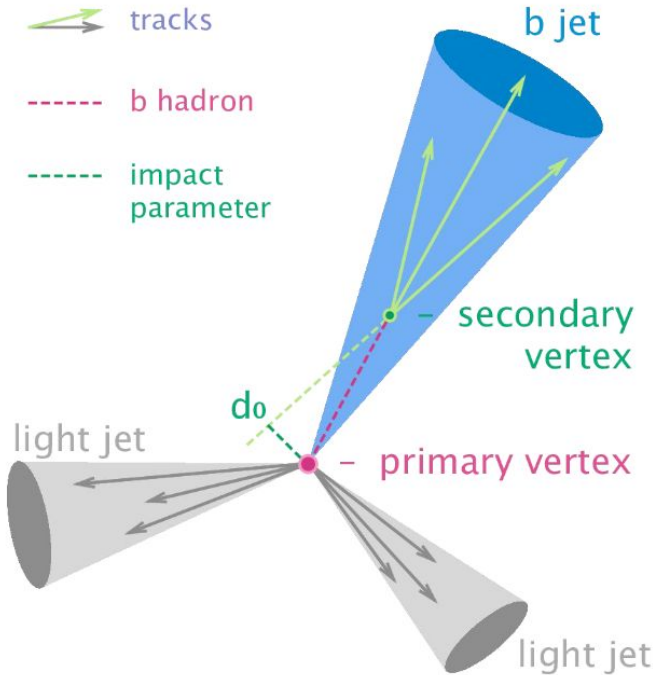


From 1992!

MULTIVARIATE ANALYSIS METHODS TO TAG
b QUARK EVENTS AT LEP/SLC
B. Brandl⁺, A. Falvard⁺⁺, C. Guicheney⁺⁺,
P. Henrard⁺⁺, J. Jousset⁺⁺, J. Proriot⁺⁺

- After the hard interaction, quarks hadronise into hadrons
- Heavy hadrons can decay into lighter hadrons/leptons → Cascade-like behaviour
- Goal of (heavy) flavour tagging → Identify the type of the quark which instantiated the jet
- Focusing on the identification of jets initiated by b-jets (aka b-tagging)

Transformers



Use the topology of heavy-flavour jets

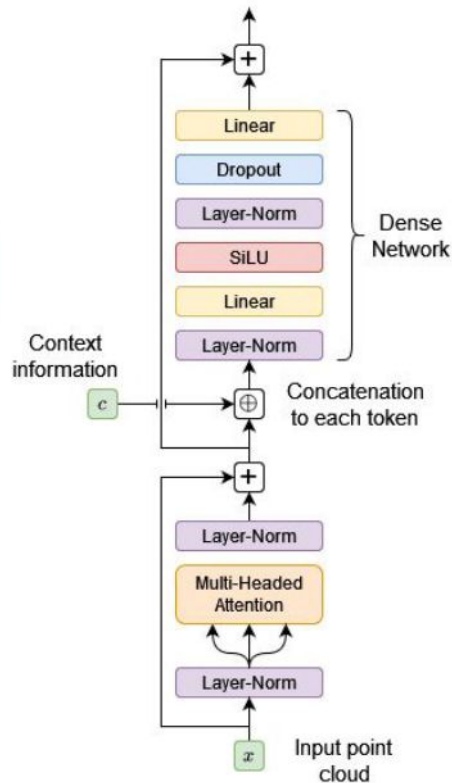
- Lifetime of b-hadrons ($c \cdot \tau \approx 5\text{mm}$ at $p_T = 50\text{ GeV}$)

Information about the topology is provided via track and jet variables

- Track variables
 - Impact parameter (d_0/z_0)
 - Number of inner detector hits
 - Fraction of p_T coming from the track
- Jet variables
 - Jet kinematics ($p_T, |\eta|$)

Transformers

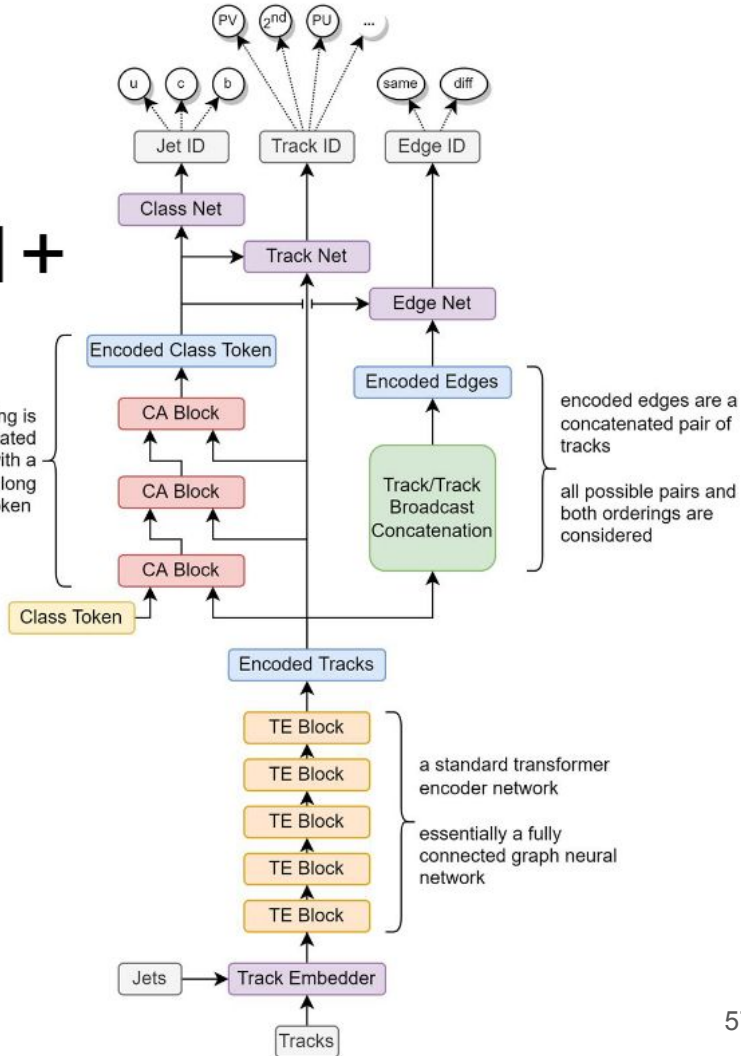
Transformer Encoder Block



More information [here](#)

GNI+

global pooling is achieved via repeated cross attention with a one-sequence long learnable class token

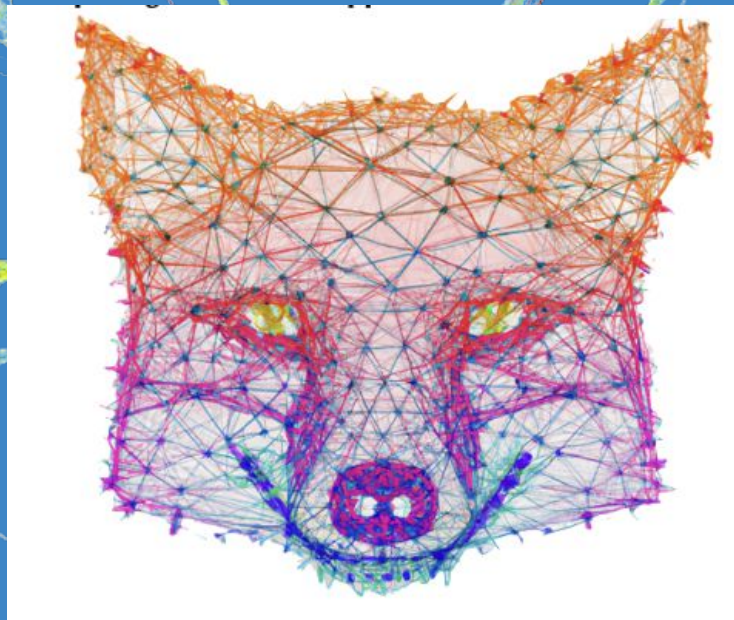


Semi-supervised learning examples

- **Speech recognition:** Labeling audio is a very resource- and time-intensive task, so semi-supervised learning can be used to overcome the challenges and provide better performance (as Meta)
- **Web content classification:** With billions of websites presenting all sorts of content out there, classification would take a huge team of human resources to organize information on web pages by adding corresponding labels (example of Google search)
- **Text document classification:** Training a train a base long short-term memory model on a few text examples with hand-labeled most relevant words and then apply it to a bigger number of unlabeled samples

Conclusions

- **Supervised:** All data is labeled and the algorithms learn to predict the output from the input data ⇒ You know what you are looking for in data and provides more accurate results
- **Unsupervised:** All data is unlabeled and the algorithms learn to inherent structure from the input data ⇒ Results may be less accurate and training process is relatively time-consuming because algorithms need to analyze and calculate all existing possibilities
- **Semi-supervised:** Some data is labeled but most of it is unlabeled and a mixture of supervised and unsupervised techniques can be used ⇒ Promising results in classification tasks with a minimal amount of labeled data and plenty of unlabeled data



Thanks for the attention!