



Recent results on strangeness enhancement in small collision systems with ALICE

Sara Pucillo^{1,2} on behalf of the ALICE Collaboration

ICNFP 2024 - Kolymbari





Introduction - QGP



QCD: theory that describes strong interactions among quarks and gluons \rightarrow confinement.

T ~ 160 MeV [1], ε ~ 1 GeV/fm³: phase transition: quark–gluon plasma (QGP) \rightarrow state of matter where quarks and gluons are deconfined.

Experimentally → ultrarelativistic heavy-ion collisions: **ALICE** (A Large Ion Collider Experiment).





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- "Fireball" in local thermal equilibrium that expands hydrodynamically: partonic degrees of freedom
- chemical freeze-out: hadrons are formed
- kinetical freeze-out: all particles cease to interact

Short lifetime ($\tau \sim 10^{-23}$ s) of the QGP: **no direct detection** \rightarrow characterization from the determination of the properties and modifications of final-state observables.

Historical QGP signatures include strangeness enhancement (topic of this talk).





Strangeness Enhancement

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Proposed signature for QGP formation: relative abundance of particles containing strange quarks is expected to be higher in AA collisions than in pp interactions.

(<u>Rafelski J., Phys.Rev.Lett. 48, 1066 (1982)</u>)

Energy needed to produce pairs of strange particles (e.g. K^+K^-) in a partonic medium (degrees of freedom: quark and gluons \rightarrow gluon fusion processes) < in a gas of hadrons (degrees of freedom: hadrons \rightarrow direct production).



Strangeness Enhancement

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Recently, **statistical models** (e.g arxiv.org/1610.03001) have been effective in replicating strangeness production in heavy-ion collisions without the need to assume the formation of the QGP.

> QGP → (foreseen) altered chemical composition altered chemical composition QGP <



Strangeness Enhancement by ALICE

ALICE observed that the ratio of strange to non-strange hadron yields (h/π) : [2,3]

- increases with midrapidity multiplicity
- **evolves independently on the** different **energies and collision systems** (the origin of this effect is unexpected and unclear in smaller systems)
- shows a **hierarchy with** the hadron **strangeness content**







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Model comparison:

- in-vacuum hadronization (e.g. <u>Pythia8 Monash</u>, <u>Pythia8 + color ropes</u>, <u>HERWIG7</u> ...)
- two-component models (e.g. <u>EPOS LHC</u> ...)

[2] ALICE Coll., <u>Nature Physics 13 (2017) 535–539</u> [3] ALICE Coll., <u>Eur. Phys. J. C 80 (2020) 2, 167</u>





Strangeness Enhancement by ALICE

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ALICE observed that the ratio of strange to non-strange hadron yields (h/π) : [2,3]

How can we improve our understanding of strangeness production mechanisms in pp collisions?

- Can this behaviour be characterized by other properties than a difference in $\langle dN_{ch}/d\eta \rangle$?
 - classify high-multiplicity (HM) events based on event topology (transverse spherocity)
 - decouple global properties and local effects (effective energy)
- Are models able to describe multiple strange hadron production probability?
 - measure the (multi-)strange particle multiplicity distribution

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] ALICE Coll., <u>Nature Physics 13 (2017) 535–539</u>] ALICE Coll., Eur. Phys. J. C 80 (2020) 2, 167



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The concept of transverse spherocity

- Can this behaviour be characterized by other properties than a difference in $\langle dN_{ch}/d\eta \rangle$?
 - classify HM events^{*} based on event topology

TRANSVERSE SPHEROCITY estimation
$$S_0^{p_{\rm T}=1} = \frac{\pi^2}{4} \min_{\hat{n}} \sum_i \left(\frac{|\hat{p}_{{\rm T},i} \times \hat{n}|}{N_{\rm trk}} \right)$$

→ categorize events by their azimuthal topology



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 \rightarrow categorize events by their azimuthal topology

Jet-like events

- topology similar to a pair of back-to-back jets
- all tracks are parallel in the azimuthal plane
- particle production mainly driven by hard processes (in-vacuum hadonization)



Isotropic events

- symmetric azimuthal topology
- all tracks are uniformly distributed in the azimuthal plane
- particle production mainly driven by **multiple softer collisions** (hadronization in a medium – QGP)



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Transverse spherocity - multiplicity estimation





ALICE can measure:

- midrapidity multiplicity (SPD)
 - forward multiplicity (Vo detectors)



Transverse spherocity - multiplicity estimation

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ALICE can measure:

- midrapidity multiplicity (SPD)
- forward multiplicity (Vo detectors)

- Selecting the high multiplicity at midrapidity in conjunction with spherocity selection:
 - large differences in $\langle p_{\pi} \rangle$ among the event classes 0
 - small shift in yields 0

 \rightarrow best at separating events based on their hardness

- Selecting the high **multiplicity at forward** in conjunction with spherocity selection:
 - similar $\langle p_{\pi} \rangle$ among the event classes 0
 - large variations in yields 0





- Trends are consistent between all observed particle species:
 - for jet-like events: suppression at low- $p_{\rm T}$, but enhancement at high- $p_{\rm T}$ (viceversa for isotropic events)
- <u>Model comparison</u>:
 - none of the available models (see slide 27 for <u>EPOS LHC</u> and <u>Herwing 7.2</u> predictions) is able to reproduce the absolute trends



- Enhancement of strangeness yield in isotropic events, suppression in jet-like events → strange particle production is driven by isotropic topologies
- selecting top 1% of $N_{\text{tracklets}}|_{\eta|<0.8}$, 1% S₀^{*p*T=1} classes (see slide 29): more pronounced suppression in jet-like events
- <u>Model comparison</u>:
 - none of the available models (see slide 28 for <u>EPOS LHC</u> and <u>Herwing 7.2</u> predictions) is able to reproduce the absolute trends



ALICE Coll., <u>JHEP 05 (2024) 184</u>

- Strangeness production is suppressed in jet-like events $(S_0^{pT=1} \rightarrow 0)$, slightly enhanced in softer, isotropic events $(S_0^{o pT=1} \rightarrow 1)$
- **increase** as a function of S₀^{pT=1} with indications of an **ordering with strangeness content**
 - Proton is mostly unmodified









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 - <u>PYTHIA 8.2 Ropes</u> qualitative predicts the trends, but not catching strangeness ordering, while <u>PYTHIA 8.2 Monash</u> is unable to capture the trends









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 - Also <u>EPOS LHC</u> qualitative predicts the trends, but not catching strangeness ordering, while <u>Herwig 7.2</u> predicts opposite trends







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MESSAGE #1

One is able to **control the degree of QGP-like effects in small systems** by categorizing events based on the **azimuthal topology.** S₀^{pT=1} integrated HM events are dominated by isotropic processes





The concept of effective energy

- Can this behaviour be characterized by other properties than a difference in $\langle dN_{ch}/d\eta \rangle$?
 - decouple global properties and local effects

The charged-particle multiplicity produced in pp collisions is:

- a characteristic of the **final** state
- strongly correlated to the **initial effective energy**

EFFECTIVE ENERGY: energy available for particle production in the initial stages of the collision

 $\rm E_{eff}{<}\sqrt{s}$ due to the **emission of leading baryons** at very forward rapidity





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Is strangeness production correlated with E_{eff}, which is connected with the initial stage of the collision?

ALICE can measure:

- midrapidity multiplicity (SPD)
- forward multiplicity (Vo detectors)
- leading energy (ZDC)

$$E_{eff} = \sqrt{s} - E_{leading} \sim \sqrt{s} - E_{ZDC}$$





Eur.Phys.J.C 50, 341-352 (2007)

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Leading energy vs multiplicity

Multiplicity and effective energy are











ALICE measured that the **forward** energy **decreases with increasing particle** multiplicity produced at **midrapidity**







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Strangeness production in standalone (VOM) classes



Yields of strange hadrons normalised to the charged particle multiplicity (proxy for pions):

- increase with the multiplicity at midrapidity (the well known strangeness enhancement!) left
- are **anticorrelated** with the **ZDC energy** right



There is a **hierarchy**: increase/decrease with strangeness content



Ξ yields normalised to the charged particle multiplicity, **fixing the multiplicity at midrapidity**:

- increase for decreasing forward energy (increasing E_{eff}) left
- scaling trends with ZDC energy are **compatible with standalone classes** right





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 $13/2^{-1}$

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Model comparison:

• <u>Pythia8 Monash</u> tune fails to reproduce the results

 $13/2^{-1}$

including QCD-CR + ropes (<u>Pythia8 Ropes</u>) in the model improves the agreement with data





 Ξ yields normalised to the charged particle multiplicity, reducing the effective energy span:

- increase with multiplicity is reduced left
- within the small ZDC energy range, scaling trends are **compatible with standalone classes** right





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 $14/2^{-1}$



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- Are models able to describe multiple strange hadron production probability?
 - measure the (multi-)strange particle multiplicity distribution (extending beyond the average value of the production rate – previous results –) counting the number of strange particles event-by-event in pp collisions



 $P(Sig) = fsignal(m_i, p_T) / fsum(m_i, p_T)$

 $P(Bkg) = fbkg(m_i, p_T) / fsum(m_i, p_T)$



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- Are models able to describe multiple strange hadron production probability?
 - measure the (multi-)strange particle multiplicity distribution (extending beyond the average value of the production rate – previous results –) counting the number of strange particles event-by-event in pp collisions





Correction for the detector response (Monte Carlo simulation featuring realistic p_{T} distribution)



(multi-) Strange particle multiplicity distribution: P(n_s)





Probability to produce *n* particles of a given species per event $P(n_s)$

- As expected at the LHC energies, a good agreement between particle and antiparticle was obtained from the highest to the lowest multiplicity class
- Spanning across large ranges of strange/multiplicity variations, all the way to very "extreme" situations (e.g. 7 K^o_s at low average charged-particle multiplicity, 0 K^o_s at high average charged-particle multiplicity)



Multiple strange hadron production yields





Average production yield of 1, 2, 3, ... particles/event:

$$< Y_{k-part} > = \sum_{n=k}^\infty rac{n!}{k!(n-k)!} P(n)$$

- The increase with multiplicity of the probability to produce multiple strange hadrons is more than linear
- NOTE: checked and verified the good agreement between <Y_{1-part}> and previous results ([2,3])
- <u>Model comparison</u>:
 - K^o_S: no difference between <u>Pythia 8 Monash</u> and <u>Pythia 8 QCD-CR Ropes</u>
 - for baryons: <u>Pythia 8 QCD-CR Ropes</u> approaches the data at high multiplicity; <u>Epos LHC</u> does a rather good job at high multiplicity, but shows larger discrepancy at low multiplicity

[2] ALICE Coll., <u>Nature Physics 13 (2017) 535–539</u> [3] ALICE Coll., <u>Eur. Phys. J. C 80 (2020) 2, 167</u>



Yield ratios with $\triangle S = 0$



 $n\Lambda/nK^{o}_{s}$ - important to factor-out non strangeness related effects

- Increase of Λ/K⁰_s vs multiplicity when looking at multiple hadron production!
- Is it baryon enhancement?





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 $m \ baryons/n K^o{}_s$ - testing hadron production at fixed S and with different light quark content

- larger number of light quarks involved in the denominator → decreasing trend
 - High multiplicity: it is simpler to pair *s*-quarks with light quarks (very abundant)
 - Low multiplicity: the shortage of light quarks enhances the probability of multi-strange baryon formation ICNFP 2024 - 02/09/2024



Yield ratios with $\Delta S = 0$

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Model comparison:

All trends are rather well reproduced by Pythia 8 QCD-CR Ropes → strange quark production rate remains a puzzle, but once S is created the model of re-connection with light quarks catches the trends observed in the data



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Yield ratios with $\triangle S = 0$

Pythia 8 Monash

– – · Epos LHC

Pythia 8 QCD-CR Ropes

ALICE Preliminary pp $\sqrt{s} = 5.02 \text{ TeV}, |y| < 0.5$



 $n\Lambda/nK_{s}^{0}$ - important to factor-out non strangeness related effects

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ALICE Preliminary

pp $\sqrt{s} = 5.02 \text{ TeV}, |v| < 0.5$

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probability of multi-strange baryon formation ICNFP 2024 - 02/09/2024

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 $\langle \Upsilon^{m \text{ baryon } \prime}$

 10^{-2}

 10^{-3}

(Y₁) Y

Υ_{3 Λ}

(Y_{4 A} (Y_{4 K}⁰

Pythia 8 Monash

- · Epos LHC

Pythia 8 QCD-CR Ropes







- Classifying HM events using the transverse spherocity (azimuthal topology):
 - \circ S₀^{pT=1} can be used to select strangeness enhanced/suppressed events
 - **topologies driven by soft physics** are consistent with the average HM events, **jet-like events** seem to be clear **outliers** (rare hard processes play little role for bulk observables)







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- Trying to decouple global properties and locally effects using the effective energy:
 - strangeness enhancement in pp collisions was observed at fixed midrapidity multiplicity and shows a strong correlation with the effective energy (initial stage)







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- Trying to decouple global properties and locally effects using the effective energy:
 - strangeness enhancement in pp collisions was observed at fixed midrapidity multiplicity and shows a strong correlation with the effective energy (initial stage)
- Measuring (multi-)strange particle multiplicity distribution:
 - relevant extension of the traditional yield determination, as it tests at a higher order the strange hadron production mechanisms
 - **multiple strange hadron production yields** ratio with $\Delta S = 0$:
 - 2-, 3-, $4 \Lambda/K_{s}^{0}$ yield ratios increase with multiplicity (baryon-related effect)
 - m multi-strange baryons/n K⁰_s decrease with multiplicity → decreasing the charged-particle multiplicity means depleting the number of light quarks, while keeping the number of s quarks fixed in the event
 - outlook: ratios with $\Delta S > 0 \rightarrow$ strangeness enhancement at its extremes!





Outlook - Run 3





- During the Run 3 data taking campaign started in 2022, ALICE plans to collect O(10¹²) collisions (x 3000 wrt Run 2)
- In order to cope with the available storage resources, events are selected using software triggers (*filters*) that exploit the full reconstruction of each event
- Several software filters have been developed for the selection of events with strange hadron candidates e.g. events containing multiple strange hadron candidates

Larger available statistics (3/4 order of
magnitude higher) will be especially useful for multi-strange analyses



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Thank you



Back up



Strangeness Enhancement observation: historical point of view



ALICE Coll., <u>arxiv.org/1307.5543</u>



average number of nucleons that participate to the collision





stange particle yields in A-A collisions / Npart stange particle yields in pp/p-Be collisions / Npart

- For a given collision energy the relative strange hadron yield grows with the centrality of the collision and with the strangeness content of the baryons
- Energy hierarchy problem: ratio larger for lower energy collisions
 - If SE is related to an energy balance in a saturated medium, different enhancements depending on √s would not be expected: the Q-value to produce an ss pair is the same for all √s.
 - If the difference comes from a non complete saturation at lower energies, it should lead to larger enhancement as $√s_{NN}$ increases.





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Strangeness Enhancement: ALICE collaboration

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For all systems the number of charged-particles produced at central rapidity enhances as \sqrt{s} increases.

- **explanation of the energy hierarchy**: the higher the energy in the pp reference, the higher the average charged-particle multiplicity and, hence, the lower the ratio between yields in A-A and pp
 - pp is not an ideal reference



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Kinematical and geometrical criteria are used to reconstruct candidates for strange hadrons

Identification of (multi-)strange hadrons is based on two topologies:

• <u>Vo</u> neutral particle decaying weakly into a pair of charged particles (V-shaped decay)

 $\begin{array}{c} K^{o}{}_{S} \rightarrow \pi^{+} + \pi^{-} \\ \Lambda \rightarrow p + \pi^{-} \end{array}$

• <u>Cascade</u>

charged particle decaying weakly into a V0 + charged particle





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 $S_0^{pT=1}$ is measured as S_0 , but only considers the angular component

$$S_{0} = \frac{\pi^{2}}{4} \min_{\hat{n}} \left(\frac{\Sigma_{i} | p_{T} \ge \hat{n} |}{\Sigma_{i} p_{T_{i}}} \right)^{2} \rightarrow S_{0}^{p_{T}=1} = \frac{\pi^{2}}{4} \min_{\hat{n}} \left(\frac{\Sigma_{i} | \hat{p}_{T} \ge \hat{n} |}{N_{trk}} \right)^{2}$$

$$S_{0,1} \inf_{\substack{\pi^{+} \pi^{0} \\ \text{describe two} \\ \text{completely different topologies!}} \int_{\pi^{0} \pi^{+} \pi^{-}}^{\pi^{-}} \int_{S_{0,2}}^{\pi^{-}} S_{0,1}^{p_{T}=1} \inf_{\substack{S_{0,2}^{p_{T}=1} \\ \pi^{0} \pi^{+} \pi^{-}}} \int_{S_{0,2}}^{\pi^{0} \pi^{+} \pi^{-}}} \int_{S_{0,2}^{p_{T}=1}}^{\pi^{-}} S_{0,2}^{p_{T}=1} \int_{S_{0,2}^{p_{T}=1}}^{\pi^{-}} \int_{S_$$







- Trends are consistent between all observed particle species:
 - for jet-like events: suppression at low- $p_{\rm T}$, but enhancement at high- $p_{\rm T}$ (viceversa for isotropic events)
- <u>Model comparison</u>:
 - EPOS LHC overestimates the total yields, but is able to describe the $S_0^{pT=1}$ differential interplay for the mesons quite well



- Enhancement of strangeness yield in isotropic events, suppression in jet-like events → strange particle production is favored in isotropic topologies
- Selecting top 1% of $N_{\text{tracklets}}|_{\eta|<0.8}$, 1% S₀ ^{pT=1} classes (see slide 29): more pronounced suppression in jet–like events
- <u>Model comparison</u>:
 - <u>EPOS LHC</u> and <u>Herwing 7.2</u> are able to qualitatively describe some trends, but are not able to describe the full evolution, mainly towards larger *p*_T



Selecting top 1% of N_{tracklets} |η|<0.8, 1% S₀^{pT=1} classes: more pronounced suppression in jet-like events → the abundance of strange hadrons in high-multiplicity events are produced in events that are associated to soft physics in terms of azimuthal topology



• Broadened multiplicity range (0-10% of $N_{\text{tracklets}}|\eta|<0.8}$, 1% S₀^{*p*T=1} classes) in order to include resonances





• Estimating the multiplicity at forward using V0 detectors the observed effects is comparatively weak to either percentile of tracklets

- Selecting high multiplicity with VoM detectors the evolution as a function of S₀^{*p*T=1} is almost flat
- <u>Model comparison</u>:
 - Both <u>EPOS LHC</u> and <u>PYTHIA 8.2 Ropes</u> hadronization framework are able to qualitatively predict the insensitivity of strange particle production



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 Ω yields normalised to the charged particle multiplicity, fixing the multiplicity at midrapidity:

- increase for decreasing forward energy (increasing E_{eff}) left
- scaling trends with ZDC energy are **compatible with standalone classes** right



Run 3 data will allow to extend this approach to more classes having larger available statistics



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Bayesian unfolding



Iterative procedure based on the Bayes' theorem using a picture of causes C ("true values") and effects E ("observed values")

$$P(C_i|E_j) = rac{P(E_j|C_i)\cdot \pi(C_i)}{\sum\limits_{i=1}^{n_C} P(E_j|C_i)\cdot \pi(C_i)}$$
 .

 $P(E_i|C_i)$ estimated by using Monte Carlo (response matrix)

 $P(C_i|E_j) \rightarrow \text{probability that different } C_i \text{ were responsible for the observed effect } E_i \rightarrow \text{GOAL}$

 $\pi(C_i) \rightarrow \text{prior probabilities}$ (initially arbitrary, but updated on subsequent iterations)

- Choosing a prior distribution in order to apply Bayes' theorem → posterior probability matrix obtained
- Applied to "observed spectra" → 1st estimation of the corrected spectra
- The corrected spectra obtained in the previous step becomes the prior probability and the correction proceeds as before
- Procedure is re-iterated until stability is achieved (regularization parameter: n_{iter})

$$\hat{n}(C_i) = \frac{1}{\epsilon_i} \sum_{j=1}^{n_E} n(E_j) \cdot P(C_i | E_j) = \sum_{j=1}^{n_E} M_{ij} \cdot n(E_j)$$
expected number of events in the cause bin *i*

$$\Rightarrow M_{ij} \text{ is the unfolding matrix:} \quad M_{ij} = \frac{P(E_j | C_i) \cdot \pi(C_i)}{\epsilon_i \cdot \sum_{i=1}^{n_C} P(E_j | C_i) \cdot \pi(C_i)}$$

$$\Rightarrow n(E_j) \text{ measurements (effects)}$$

$$\Rightarrow \mathcal{E} \text{ efficiencies}$$

unfolding errors: covariance matrix

$$V(\hat{n}(C_k),\hat{n}(C_l)) = \sum_{i,j=1}^{n_E} rac{\partial \hat{n}(C_k)}{\partial n(E_i)} V(n(E_i),n(E_j)) rac{\partial \hat{n}(C_l)}{\partial n(E_j)}$$

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