

# From QED atoms to QCD hadrons

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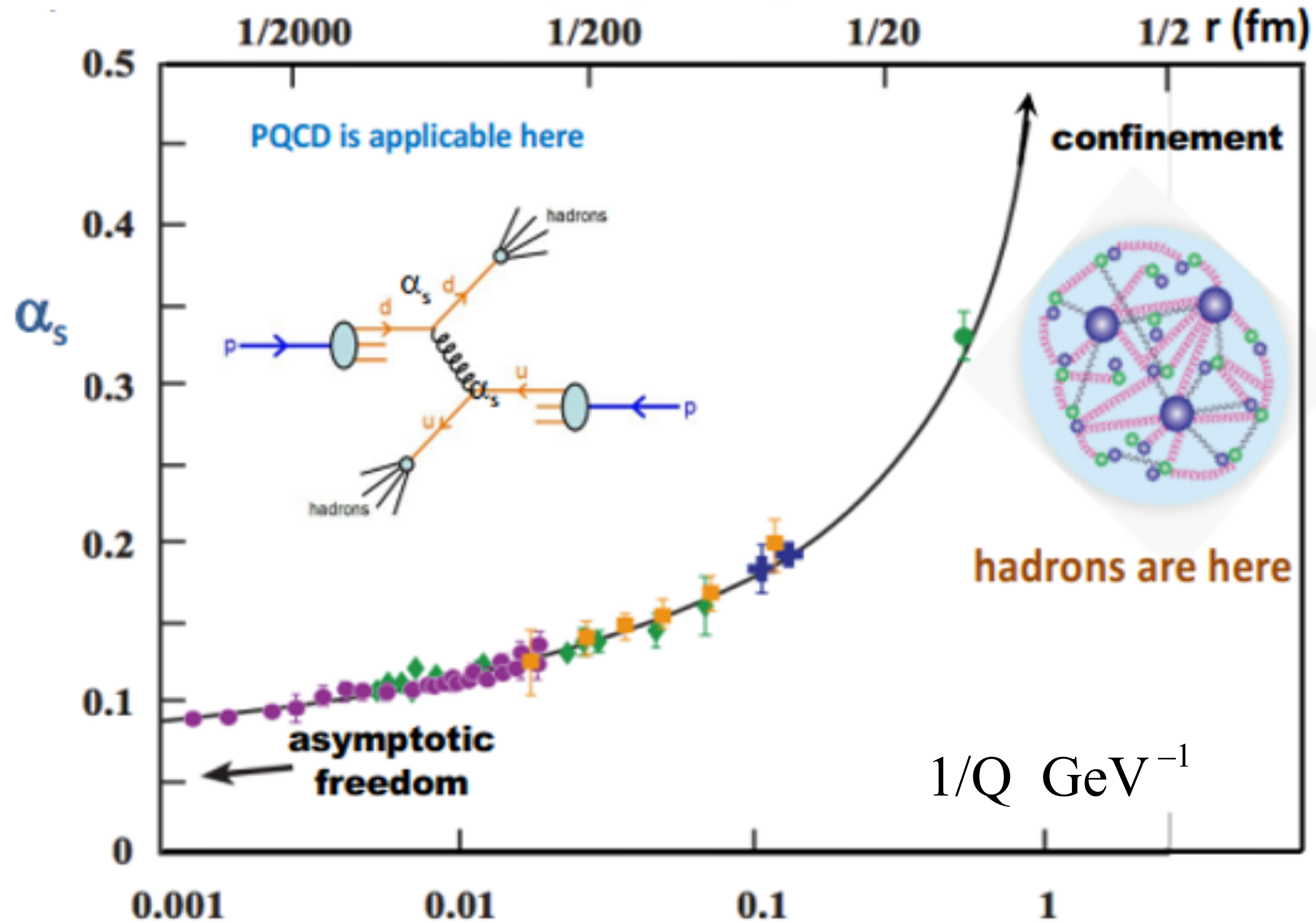
Bound state perturbation theory ??

QED atoms: Yes, but tricky ...

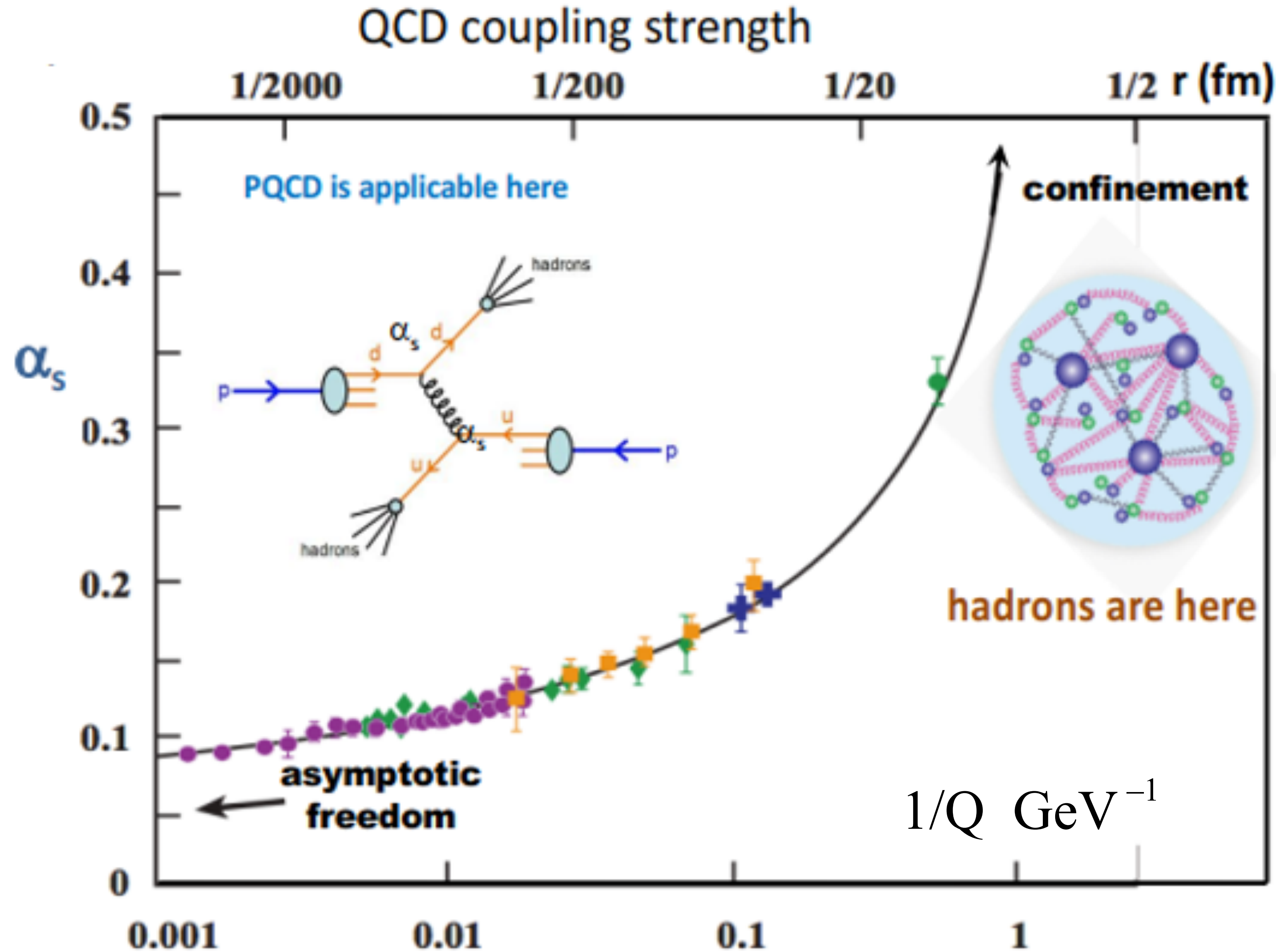
QCD hadrons: Non-perturbative!?

Bound state derivations based on the QED action, (*c.f.* the  $S$ -matrix) are needed for textbooks and QCD.

# QCD coupling strength



# Strongly bound $q\bar{q}$ and $qqq$ states??



$n^{2s+1} \ell_J$	$J^{PC}$	$I = 1$
		$u\bar{d}, \bar{u}d,$
		$\frac{1}{\sqrt{2}}(d\bar{d} - u\bar{u})$
$1^1 S_0$	$0^{-+}$	$\pi(140)$
$1^3 S_1$	$1^{--}$	$\rho(770)$
$1^3 P_0$	$0^{++}$	$a_0(1450)$
$1^1 P_1$	$1^{+-}$	$b_1(1235)$
$1^3 P_1$	$1^{++}$	$a_1(1260)$
$1^3 P_2$	$2^{++}$	$a_2(1320)$
$1^3 D_1$	$1^{--}$	$\rho(1700)$
$1^1 D_2$	$2^{-+}$	$\pi_2(1670)$
$1^3 D_3$	$3^{--}$	$\rho_3(1690)$
$1^3 F_4$	$4^{++}$	$a_4(1970)$
$1^3 G_5$	$5^{--}$	$\rho_5(2350)$
$2^1 S_0$	$0^{-+}$	$\pi(1300)$
$2^3 S_1$	$1^{--}$	$\rho(1450)$
$2^3 P_1$	$1^{++}$	$a_1(1640)$
$2^3 P_2$	$2^{++}$	$a_2(1700)$
$2^1 D_2$	$2^{-+}$	$\pi_2(1880)$
$3^1 S_0$	$0^{-+}$	$\pi(1800)$

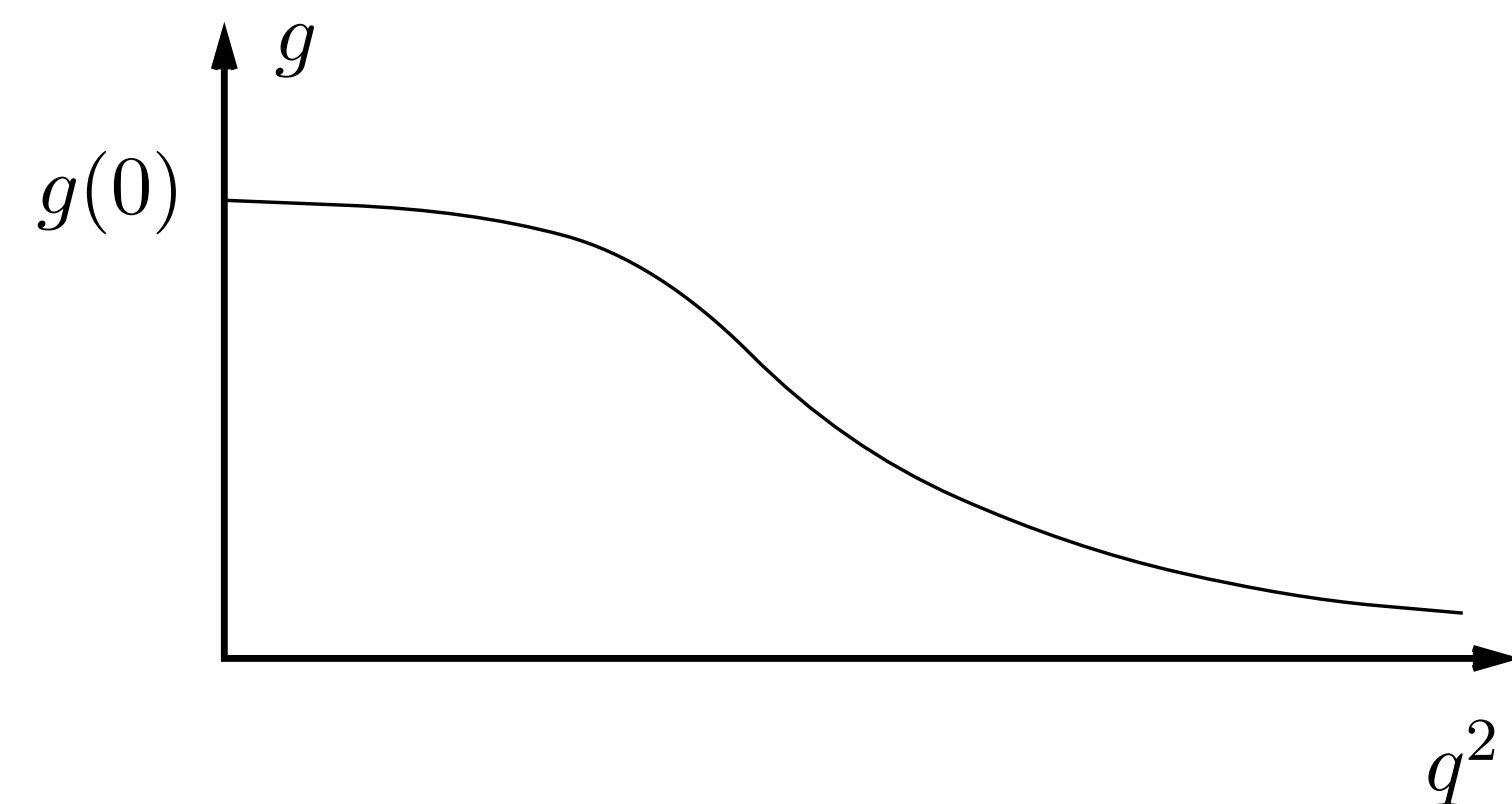
# Gribov's perturbative confinement

There is a critical value of  $\alpha_s$  :

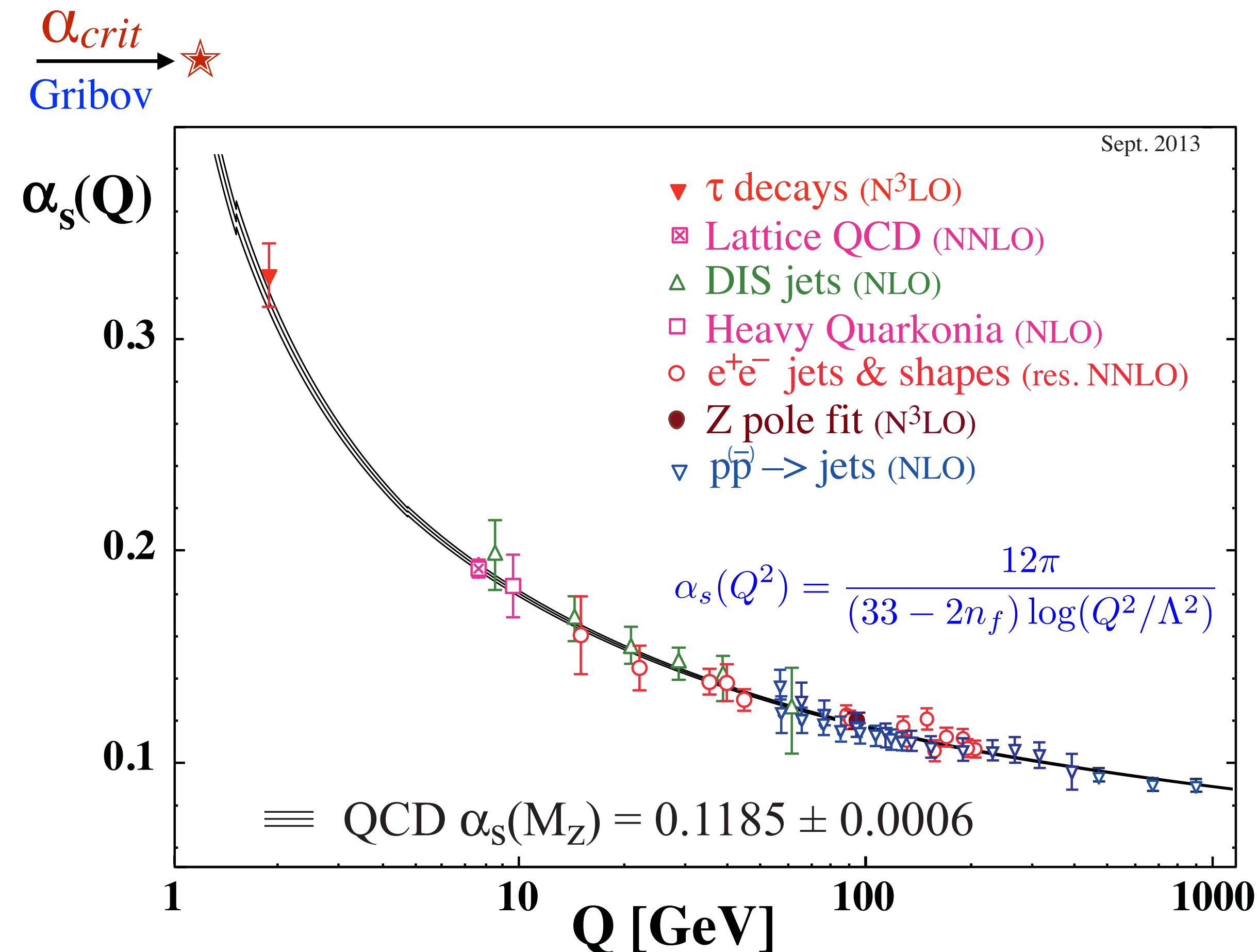
$$\alpha_s^{crit} = \pi C_F^{-1} (1 - \sqrt{2/3}) \simeq 0.43$$

At  $\alpha_s^{crit}$  the structure of the vacuum changes and the coupling freezes.

**D&K:** “This number ... is numerically small. Gribov's ideas ... offer an intriguing possibility to address all the diversity and complexity of the hadron world from within the field theory with a reasonably small effective interaction strength.”



Gribov, hep-ph/9807224 and hep-ph/9902279  
Dokshitzer and Kharzeev, hep-ph/0404216



# Positronium in QED (1)

$$|e^-e^+, \mathbf{P} = \mathbf{t} = 0\rangle \equiv \int dx_1 dx_2 \bar{\psi}(x_1) \Gamma F(x_1 - x_2) \psi(x_2) |0\rangle$$

$$\Gamma_{Para} = \gamma_5 \quad J^{PC} = 0^{-+} \quad H_{QED} |e^-e^+\rangle = (2m + E_B) |e^-e^+\rangle$$

$$\Gamma_{Ortho}^\lambda = \mathbf{e}_\lambda \cdot \gamma^0 \boldsymbol{\gamma} \quad J^{PC} = 1^{--} \quad \alpha \ll 1 \quad \text{NR approximation}$$

$$\left( -\frac{\nabla^2}{m_e} - \frac{\alpha}{r} \right) F(r) = E_B F(r)$$

$$F(r) = N \exp(-\alpha m r/2)$$
$$E_B = -\alpha^2 m/4$$

Analytic, non-perturbative solution for  $e^-e^+$  eigenstates in the classical potential.

May serve as the starting point for a formally exact perturbative expansion.

# Positronium in QED (2)

**NRQED** is used to calculate the perturbative expansion Caswell and Lepage (1986)

Positronium hyperfine splitting  $\Delta E = M(^3S_1) - M(^1S_0)$  is given by a power expansion in  $\alpha$

$$\frac{\Delta E}{m_e} = \frac{7}{12}\alpha^4 - \left(\frac{8}{9} + \frac{\ln 2}{2}\right)\frac{\alpha^5}{\pi} - \frac{5}{24}\alpha^6 \ln \alpha + \left[\frac{1367}{648} - \frac{5197}{3456}\pi^2 + \left(\frac{221}{144}\pi^2 + \frac{1}{2}\right)\ln 2 - \frac{53}{32}\zeta(3)\right]\frac{\alpha^6}{\pi^2}$$

$$- \frac{7\alpha^7}{8\pi} \ln^2 \alpha + \left(\frac{17}{3} \ln 2 - \frac{217}{90}\right)\frac{\alpha^7}{\pi} \ln \alpha + \mathcal{O}(\alpha^7)$$

Agrees with experiment

Adkins, Cassidy, and Pérez-Ríos (2022)

**QED calculations for atoms are done in the rest frame only**

Poincaré covariance is challenging for bound states (spatially extended)

**In IF:** Does the potential  $-\alpha/|\mathbf{x}|$  remain instantaneous when  $|\mathbf{P}| \gg M$ ? [Yes]

Do other Fock states than  $|e^-e^+\rangle$  contribute when  $|\mathbf{P}| \gg M$ ? [Yes]

# Instantaneous potential (QED in IF)

No physical particle can move faster than light.

Gauge theory Lagrangians lack  $\partial_t A^0$  and  $\nabla \cdot \mathbf{A}$  terms.

$\Rightarrow$   $A^0$  and  $A_L$  do not propagate in space-time. They are gauge dependent:

$\nabla \cdot \mathbf{A}(t, \mathbf{x}) = 0$  Coulomb gauge

$A^0(t, \mathbf{x}) = 0$  Temporal gauge

Gauge condition for all  $\mathbf{x}$  at the same  $t$   
induces an instantaneous potential

Consider here **temporal gauge**: quantization without constraints,  $\mathbf{E} = -\partial_t \mathbf{A}$

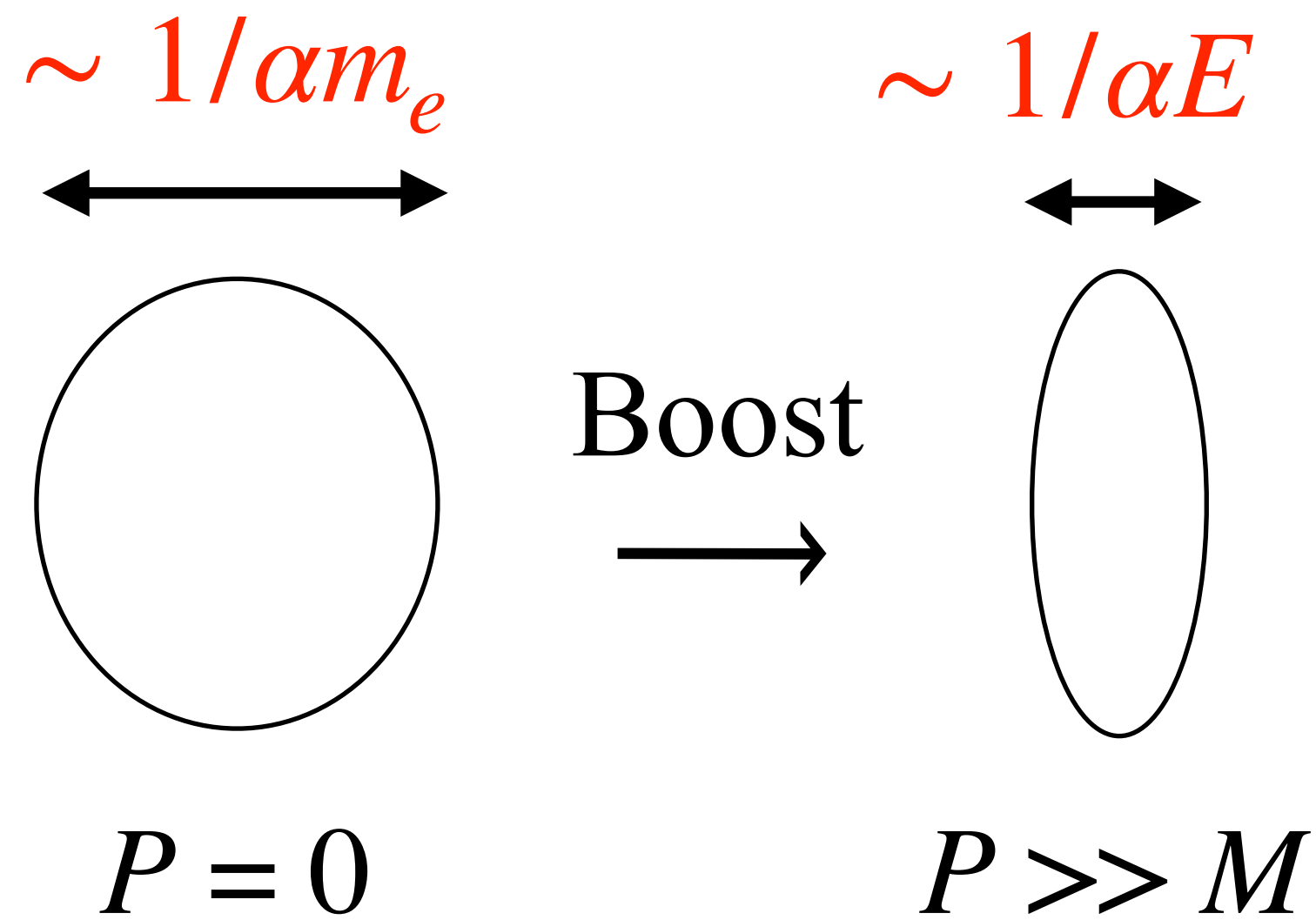
Invariance of physical states under  $t$ -independent gauge transformations requires:

$(\nabla \cdot \mathbf{E} - e\psi^\dagger \psi) |phys\rangle = 0$  Determines  $E_L$  from instantaneous electron positions:

$$E_L(t, \mathbf{x}) |phys\rangle = -\nabla_x \int d\mathbf{y} \frac{e}{4\pi |\mathbf{x} - \mathbf{y}|} \psi^\dagger \psi(t, \mathbf{y}) |phys\rangle$$

# Positronium in motion (IF)

Lorentz contraction



The Coulomb potential  $-\frac{\alpha}{z} \frac{E}{M}$  grows with  $P$ , whereas excitation energies decrease with  $P$ :

$$\sqrt{P^2 + (2m + E_B)^2} - \sqrt{P^2 + 4m^2} \simeq \frac{2m E_B}{P}$$

The Poincaré covariance of atoms is realised dynamically in the IF.

QED:  $|e^-e^+\gamma\rangle$  Fock state contributes to  $E_B$  at leading  $\mathcal{O}(\alpha^2)$  for  $P > 0$ .

It subtracts the large Coulomb energy, ensuring  $E = \sqrt{M^2 + P^2}$

M. Järvinen, Phys. Rev. D71 (2005) 085006 [hep-ph/0411208]



# Fock expansion in $A^0=0$ gauge (QED)

$$H(t) |M, \mathbf{P}, t\rangle = \sqrt{M^2 + \mathbf{P}^2} |M, \mathbf{P}, t\rangle$$

$$|M, \mathbf{P}, t\rangle = \sum_j \Phi_j |\{e^-\}, \{e^+\}, \{\gamma\}\rangle_j$$

$$\text{Recall: } (\nabla \cdot \mathbf{E} - e\psi^\dagger\psi) |phys\rangle = 0$$

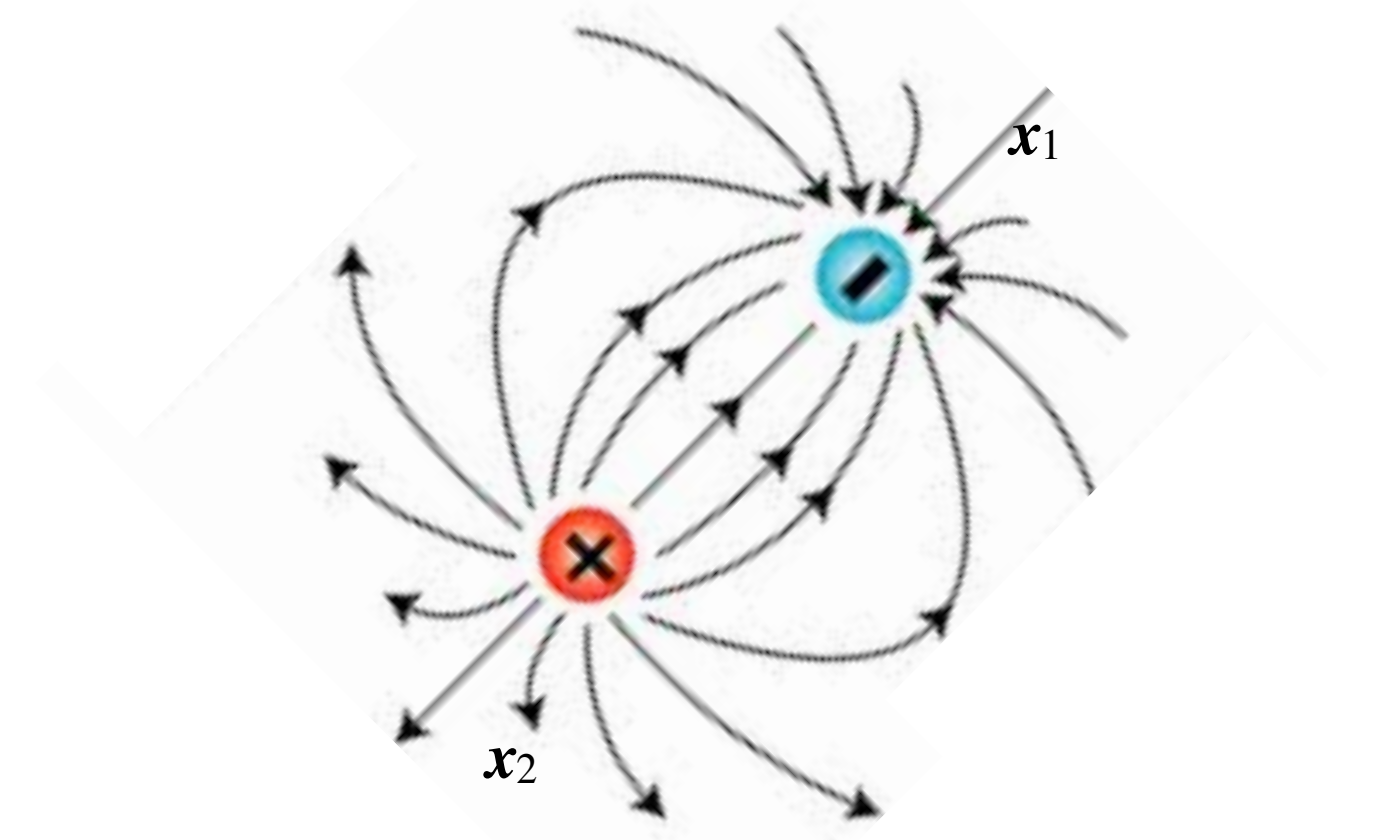
$$\mathbf{E}_L(t, \mathbf{x}) |phys\rangle = -\nabla_x \int d\mathbf{y} \frac{e}{4\pi |\mathbf{x} - \mathbf{y}|} \psi^\dagger\psi(t, \mathbf{y}) |phys\rangle$$

$$H(t) = \int d\mathbf{x} [H_0 + H_V + H_{int}] \quad H_V = \int d\mathbf{x} \frac{1}{2} \mathbf{E}_L^2 \quad H_{int} = -e \int d\mathbf{x} \psi^\dagger \boldsymbol{\alpha} \cdot \mathbf{A} \psi$$

$M$ : Rest mass    $\mathbf{P}$ : CM momentum

Fock expansion in terms of  $e^-$ ,  $e^+$ ,  $\gamma$   
in **temporal gauge** ( $A^0 = 0$ )

Electrons in every Fock state interact  
through their instantaneous electric field:



# Perturbative bound state expansion (QED)

Start from the  $|e^-e^+\rangle$  Fock state of Positronium with momentum  $\mathbf{P}$

$$|e^-e^+; \mathbf{P}, t = 0\rangle \equiv \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}(\mathbf{x}_1) e^{i\mathbf{P}\cdot(\mathbf{x}_1+\mathbf{x}_2)/2} \Phi^{(\mathbf{P})}(\mathbf{x}_1 - \mathbf{x}_2) \psi(\mathbf{x}_2) |0\rangle$$

Caswell and Lepage (1978)

Operating with  $H(t)$  creates an  $|e^-e^+\gamma\rangle$  Fock component at  $\mathcal{O}(e)$

Operating with  $H(t)$  on  $c_{e^+e^-}|e^-e^+\rangle + c_{e^+e^-\gamma}|e^-e^+\gamma\rangle$  creates  $|e^-e^+\gamma\gamma\rangle$  and  $|e^-e^+e^-e^+\rangle$  components at  $\mathcal{O}(e^2)$ , etc.

Form eigenstates of  $H(t)$  at  $\mathcal{O}(e^n)$  by including a sufficient number of Fock states.

This defines a perturbative expansion for bound states of any CM momentum  $\mathbf{P}$

A systematic PQED approach to atoms allows to consider an extension to hadrons.

# Quarkonia

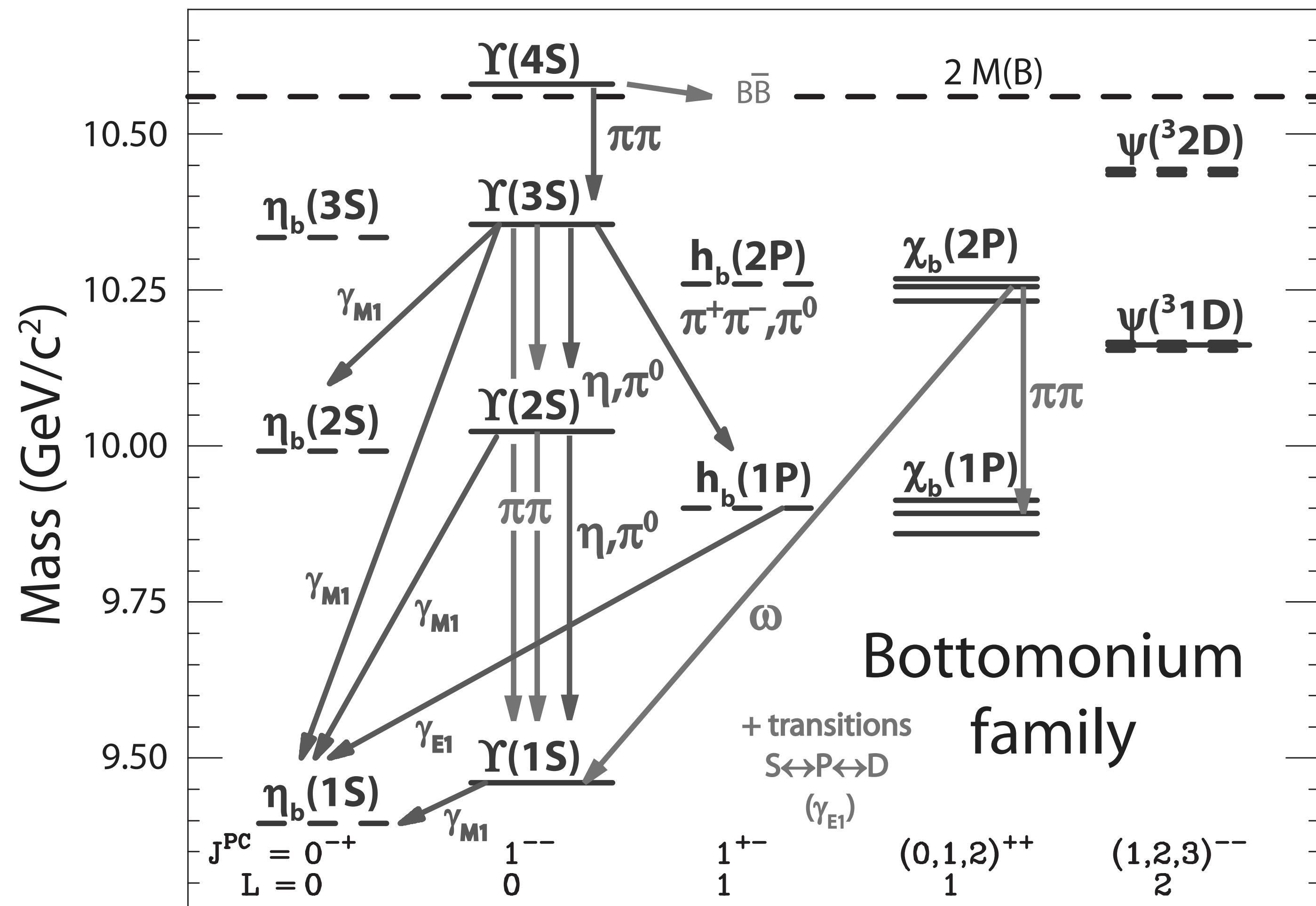
The  $c\bar{c}$  and  $b\bar{b}$  states are described by the **Schrödinger eq.** with the “Cornell potential”

$$V(r) = V' r - \frac{4\alpha_s}{3r}$$

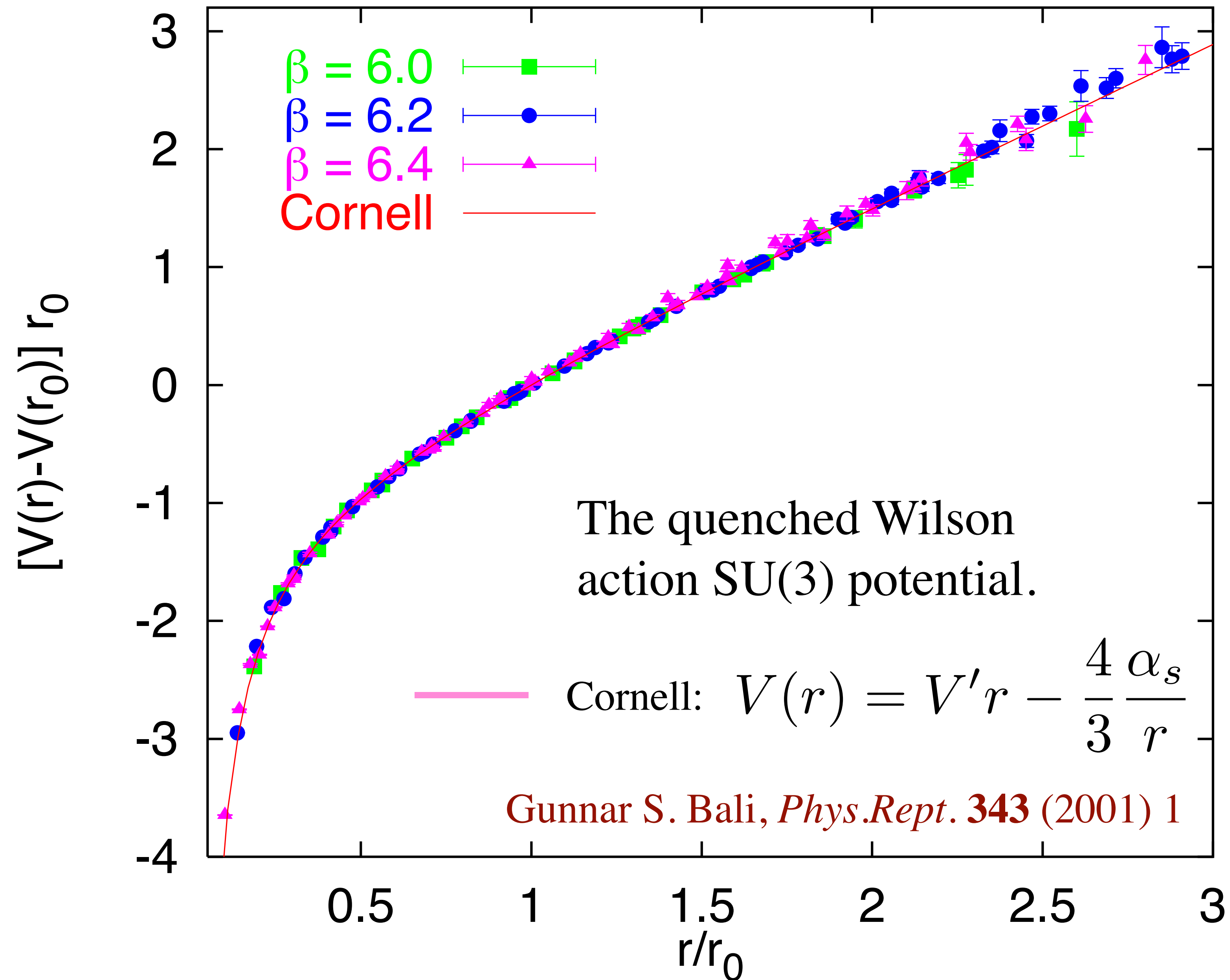
$$V' \simeq 0.18 \text{ GeV}^2, \quad \alpha_s = \alpha_s(m_Q^2)$$

$V' r$  is treated as a **classical potential**.  
It involves the **confinement scale  $V'$** .

Transitions are calculated  
perturbatively in  $\alpha_s$ , as in QED.



# Lattice QCD confirmed the Cornell potential



# Transitions are determined perturbatively

$\eta_c \rightarrow$  hadrons is calculated perturbatively, as  $\eta_c \rightarrow gg$ :

$$\frac{\Gamma(\eta_c \rightarrow gg)}{\Gamma(\eta_c \rightarrow \gamma\gamma)} = \frac{9[\alpha_S(m_c^2)]^2}{8\alpha^2} \left[ 1 + 8.2 \frac{\alpha_S(m_c^2)}{\pi} \right]$$

**No  $V'$  dependence: The confining interaction does not create gluons**

Consistent with the dominance of  $q\bar{q}$  and  $qqq$  constituents also for strongly bound states.

# The inclusion of $\Lambda_{QCD}$

$\mathcal{L}_{QCD}$  does not have the confinement scale  $\Lambda_{QCD}$ .

Neither do the field equations of motion, nor the  $E_L$  constraint of  $A^0 = 0$  gauge:

$$(\nabla \cdot \mathbf{E}_L^a + gf_{abc} \mathbf{A}_b \cdot \mathbf{E}_c - g\psi^\dagger T^a \psi) |phys\rangle = 0$$

The confinement scale must be introduced without changing  $\mathcal{L}_{QCD}$ :

Steven Weinberg:

Quantum Theory of Fields (Vol. I)

“... Quantum field theory is the way it is because ... it is the only way to reconcile the principles of quantum mechanics ... with those of special relativity.”

$\Lambda_{QCD}$  may be introduced through a **boundary condition**:  $E_L^a(|\mathbf{x}| \rightarrow \infty) \neq 0$ .

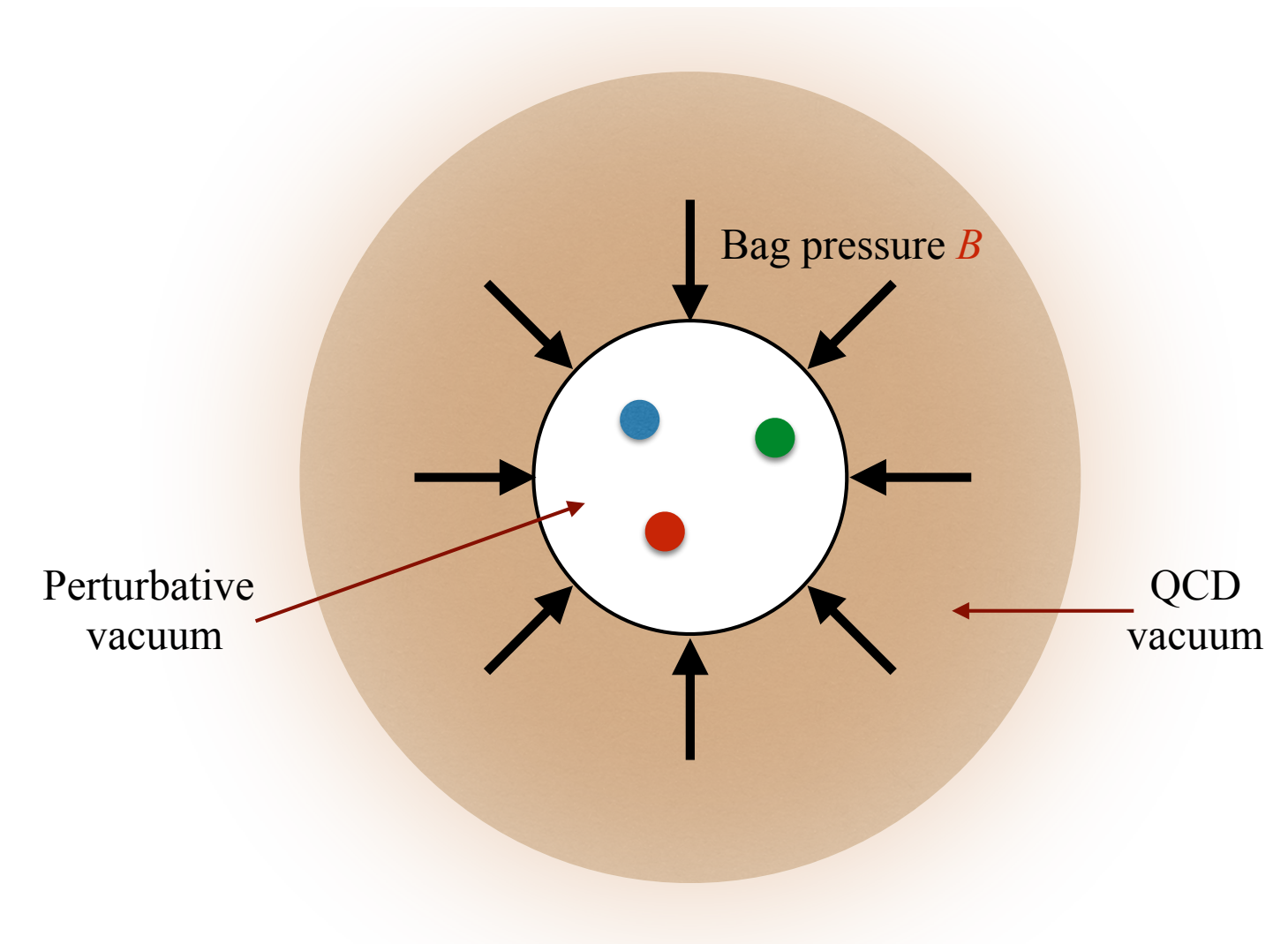
This implies a **non-vanishing gluon field energy** in the vacuum.

# A non-vanishing field energy of the vacuum

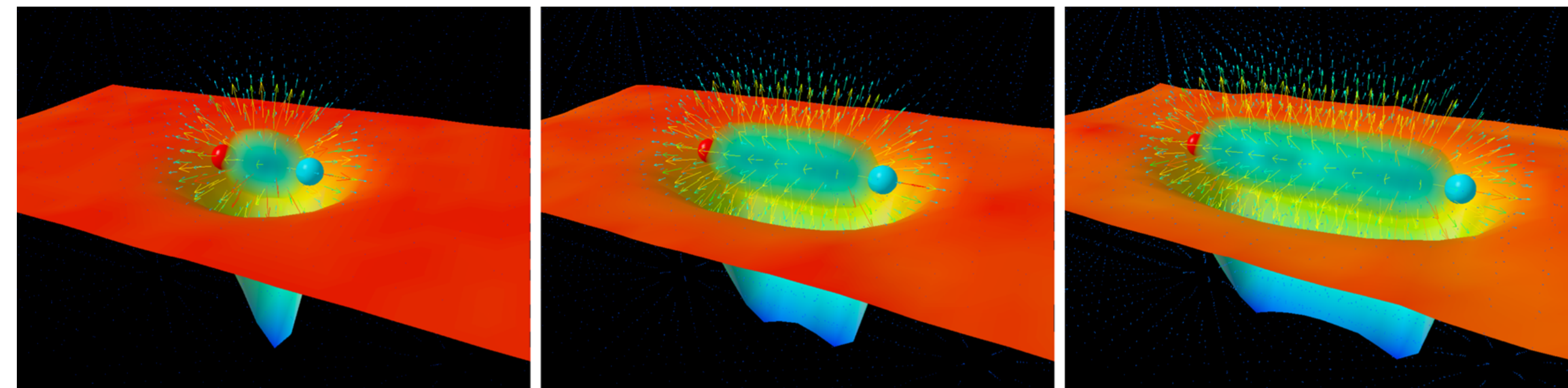
Recall the “Bag model”:  $\mathcal{L}_{bag} = (\mathcal{L}_{QCD} - B) \theta(bag)$

A. Chodos, et al., Phys. Rev. **D9** (1974) 3471

However, this modified  $\mathcal{L}_{QCD}$ .



Lattice QCD supports the physical picture of the bag model.



F. Gross, et al., Eur.Phys.J.C 83 (2023) 1125 [2212.11107]

# Long-range effects from $E_L^a(|\mathbf{x}| \rightarrow \infty) \neq 0$ ?

QED:  $E_L(t, \mathbf{x}) |phys\rangle = -\nabla_x \int dy \frac{e}{4\pi |\mathbf{x} - \mathbf{y}|} \psi^\dagger \psi(t, \mathbf{y}) |phys\rangle \rightarrow 0$  for  $|\mathbf{x}| \rightarrow \infty$

This gives the  $-\alpha/r$  potentials of the  $e^-$  and  $e^+$  in  $\bar{\psi}(\mathbf{x}_1)\psi(\mathbf{x}_2) |0\rangle$

An external observer sees a **dipole field**, which must vanish for  $|\mathbf{x}| \rightarrow \infty$

QCD: Color singlet states give  $E_L^a(\mathbf{x}) = 0$  at all  $\mathbf{x}$  (after summing over quark colors)

In  $\bar{\psi}^A(\mathbf{x}_1)\psi^A(\mathbf{x}_2) |0\rangle$  the  $q^A(\mathbf{x}_1)$  quark sees the  $E_L^a(\mathbf{x})$  field of the  $\bar{q}^A(\mathbf{x}_2)$  anti-quark

The  $E_L^a(\mathbf{x})$  field of  $\bar{q}^A(\mathbf{x}_2)$  need not, separately for each color  $A$ , vanish as  $|\mathbf{x}| \rightarrow \infty$ .

Consider a homogenous solution  $\nabla \cdot E_L^a(\mathbf{x}) |phys\rangle = 0$  in solving for  $E_L^a(\mathbf{x})$  from

$$\nabla \cdot E_L^a(\mathbf{x}) |phys\rangle = g \left[ -f_{abc} \mathbf{A}_b \cdot \mathbf{E}_c + \psi^\dagger T^a \psi(\mathbf{x}) \right] |phys\rangle$$



# $\Lambda_{QCD}$ from a boundary condition

Poincaré symmetry restricts the form of the **homogeneous solution**:

$$\mathbf{E}_L^a(\mathbf{x}) |phys\rangle = \nabla_x \int d\mathbf{y} \left[ \kappa \mathbf{x} \cdot \mathbf{y} + \frac{g}{4\pi |\mathbf{x} - \mathbf{y}|} \right] \left[ f_{abc} \mathbf{A}_b \cdot \mathbf{E}_c(\mathbf{y}) - \psi^\dagger T^a \psi(\mathbf{y}) \right] |phys\rangle$$

The contribution to the Hamiltonian is

$$\mathcal{H}_V \equiv \frac{1}{2} \int d\mathbf{x} \sum_a \mathbf{E}_L^a \cdot \mathbf{E}_L^a = \int d\mathbf{y} d\mathbf{z} \left\{ \mathbf{y} \cdot \mathbf{z} \left[ \frac{1}{2} \kappa^2 \int d\mathbf{x} + g\kappa \right] + \frac{1}{2} \frac{\alpha_s}{|\mathbf{y} - \mathbf{z}|} \right\} \mathcal{E}_a(\mathbf{y}) \mathcal{E}_a(\mathbf{z})$$

The term  $\propto \kappa^2$  gives an  $\mathbf{x}$ -independent field energy density:  $H_V \propto \int d\mathbf{x}$

For each state  $|phys\rangle$ ,  $\kappa$  is determined such that the energy density is universal.

This leaves one physical scale  $\Lambda_{QCD}$ , given by the energy density of the vacuum

# $q\bar{q}$ Fock state potential

$$|q(\mathbf{x}_1)\bar{q}(\mathbf{x}_2)\rangle \equiv \sum_A \bar{\psi}^A(\mathbf{x}_1)\psi^A(\mathbf{x}_2)|0\rangle \quad \text{globally color singlet } q\bar{q} \text{ state}$$

$$\mathcal{H}_V \equiv \frac{1}{2} \int d\mathbf{x} \sum_a \mathbf{E}_L^a \cdot \mathbf{E}_L^a \quad \mathcal{H}_V |q\bar{q}\rangle = V_{q\bar{q}} |q\bar{q}\rangle \quad \text{Eigenstate of } \mathcal{H}_V$$

$$V_{q\bar{q}}(\mathbf{x}_1, \mathbf{x}_2) = \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| - C_F \frac{\alpha_s}{|\mathbf{x}_1 - \mathbf{x}_2|} \quad \text{Cornell potential}$$

This potential is valid also for **relativistic**  $q\bar{q}$  Fock states, in **any frame**

The linear, confining part  $\propto \Lambda^2$  is of  $\mathcal{O}(\alpha_s^0)$ .

The universal vacuum energy density is 
$$E_\Lambda = \frac{\Lambda^4}{2g^2 C_F}$$

# Baryon Fock state potential

$$|q(\mathbf{x}_1)q(\mathbf{x}_2)q(\mathbf{x}_3)\rangle \equiv \sum_{A,B,C} \epsilon_{ABC} \psi_A^\dagger(\mathbf{x}_1) \psi_B^\dagger(\mathbf{x}_2) \psi_C^\dagger(\mathbf{x}_3) |0\rangle \quad \text{Baryon Fock state}$$

$$V_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) = \Lambda^2 d_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) - \frac{2}{3} \alpha_s \left( \frac{1}{|\mathbf{x}_1 - \mathbf{x}_2|} + \frac{1}{|\mathbf{x}_2 - \mathbf{x}_3|} + \frac{1}{|\mathbf{x}_3 - \mathbf{x}_1|} \right)$$

$$d_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3) \equiv \frac{1}{\sqrt{2}} \sqrt{(\mathbf{x}_1 - \mathbf{x}_2)^2 + (\mathbf{x}_2 - \mathbf{x}_3)^2 + (\mathbf{x}_3 - \mathbf{x}_1)^2} \quad \text{Confining potential}$$

When two of the quarks coincide the potential reduces to the  $q\bar{q}$  potential:

$$V_{qqq}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_2) = \Lambda^2 |\mathbf{x}_1 - \mathbf{x}_2| - \frac{4}{3} \frac{\alpha_s}{|\mathbf{x}_1 - \mathbf{x}_2|} = V_{q\bar{q}}(\mathbf{x}_1, \mathbf{x}_2)$$

Analogous potentials are obtained for any globally color singlet quark and gluon Fock state, such as  $q\bar{q}g$  and  $gg$ .

$$|q\bar{q}, \mathbf{P} = t = 0\rangle \equiv \int d\mathbf{x}_1 d\mathbf{x}_2 \bar{\psi}_\alpha^A(\mathbf{x}_1) \delta^{AB} \Phi_{\alpha\beta}(\mathbf{x}_1 - \mathbf{x}_2) \psi_\beta^B(\mathbf{x}_2) |0\rangle$$

The bound state condition  $H |q\bar{q}\rangle = M |q\bar{q}\rangle$  gives

$$[i\gamma^0 \boldsymbol{\gamma} \cdot \vec{\nabla} + m\gamma^0] \Phi(\mathbf{x}) + \Phi(\mathbf{x}) [i\gamma^0 \boldsymbol{\gamma} \cdot \overleftarrow{\nabla} - m\gamma^0] = [M - V(|\mathbf{x}|)] \Phi(\mathbf{x})$$

where  $\mathbf{x} \equiv \mathbf{x}_1 - \mathbf{x}_2$  and  $V(\mathbf{x}) = \Lambda^2 |\mathbf{x}|$

In the non-relativistic limit ( $m \gg \Lambda$ ) this reduces to the Schrödinger equation.

$\Rightarrow$  The quarkonium phenomenology with the Cornell potential.

Example:  $-\eta_P = \eta_C = (-1)^j$  states at  $\mathcal{O}(\alpha_s^0)$

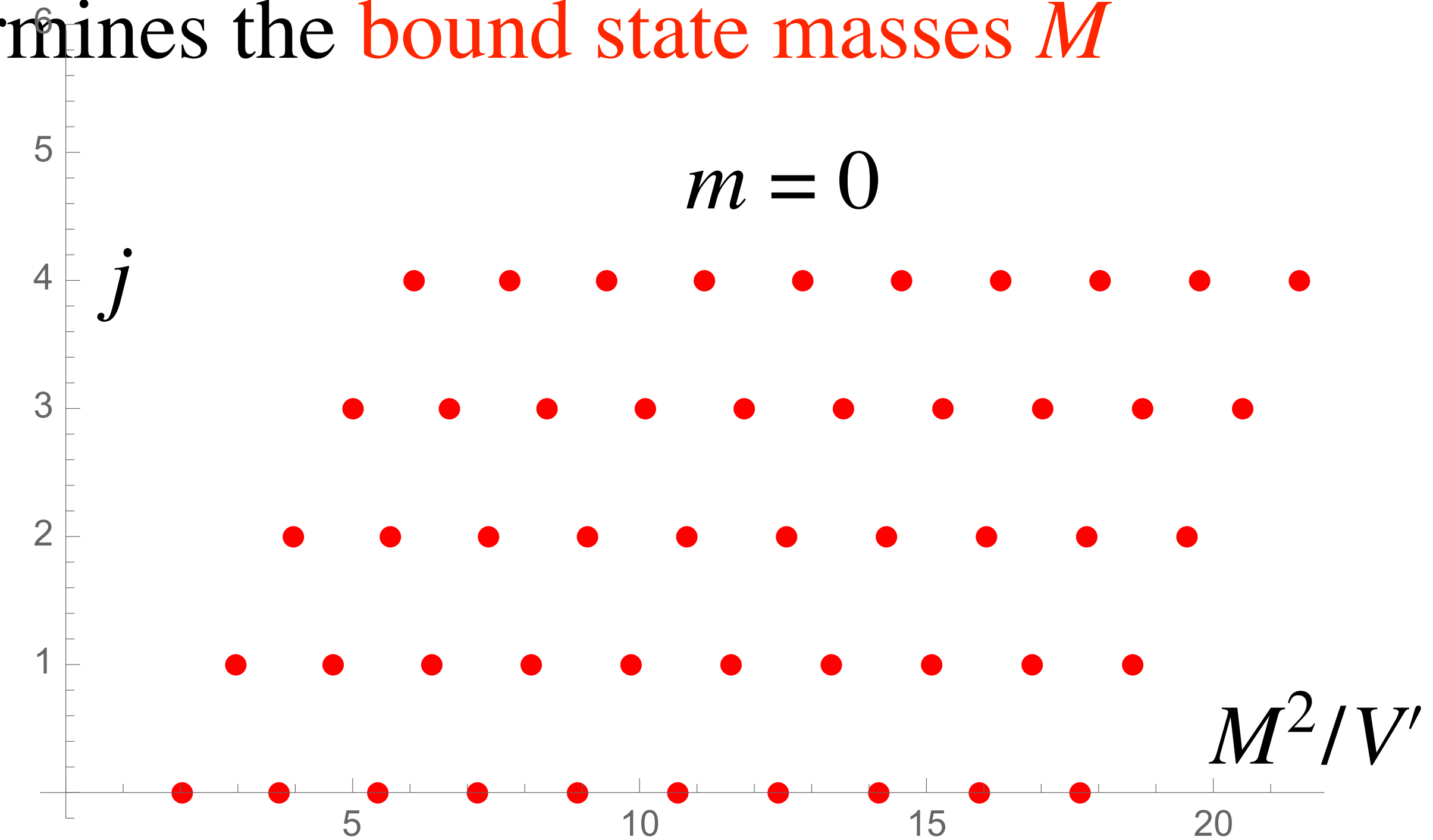
$$\Phi_{-+}(\mathbf{x}) = \left[ \frac{2}{M - V} (i\boldsymbol{\alpha} \cdot \vec{\nabla} + m\gamma^0) + 1 \right] \gamma_5 F_1(r) Y_{j\lambda}(\hat{\mathbf{x}})$$

$$F_1'' + \left( \frac{2}{r} + \frac{V'}{M - V} \right) F_1' + \left[ \frac{1}{4}(M - V)^2 - m^2 - \frac{j(j+1)}{r^2} \right] F_1 = 0 \quad \text{Radial equation}$$

Regularity of the wave function determines the **bound state masses  $M$**

Linear Regge trajectories  
with daughters:

**Spectrum similar to  
dual models**



In a perturbative expansion each order in  $\alpha_s$ , including  $\mathcal{O}(\alpha_s^0)$ , must have **exact Poincaré covariance**. Boost covariance is dynamical in IF.

Check with electromagnetic form factor for any states  $A, B$ :

$$F_{AB}^\mu(y) = \langle B, \mathbf{P}_B | j^\mu(y) | A, \mathbf{P}_A \rangle = e^{i(P_B - P_A) \cdot y} \langle B, \mathbf{P}_B | j^\mu(0) | A, \mathbf{P}_A \rangle$$

$$\partial_\mu F_{AB}^\mu = 0 \quad \text{Gauge invariance OK}$$

$$F_{AB}^\mu \quad \text{Transforms as a 4-vector under boosts: Poincaré invariance OK}$$

# The three main points

1. **Systematic perturbative methods bound states**, based on the action, should be developed for bound states.  
*Cf.* the derivation of the perturbative S-matrix in the Interaction Picture.
2. The **Poincaré covariance** of bound states merits attention.
3. The confinement scale  $\Lambda_{QCD}$  must be introduced without changing  $\mathcal{L}_{QCD}$ .

PH: 2101.06721, 2304.11903