Neutrinos: an open window for the next discoveries. The DUNE experiment as a cornerstone.

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The three big questions/issues in HEP

1. The DARK Universe: what is it? How does it shape the Universe? How does it interact with standard matter?





Universe

The DARK Universe - II

How it works the Cosmological Standard Model, ACDM (6 parameters)





The three big questions/issues in HEP

2. The Flavour problem: why quarks and leptons look so uneven? MIXING of FLAVOR STATES against MASS STATES







The Flavour problem - II

- Strong hierarchical pattern of quark and charged-lepton masses
- Almost diagonal CKM (quark-mixing) matrix



- LARGE mixing angles in the neutrino sector, and not so precisely known
- Neutrino spectrum not fully known yet, but certainly not very hierarchical





The three big questions/issues in HEP

3. The Antimatter: where is it? It mystifies us due to its apparent absence in the Universe

C.L.)

(c)

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~105

We observe an overwhelming abundance of matter in the Universe

- A tiny imbalance in the M and AM of the early Universe
- The Charge-Parity violation implies an asymmetry among MATTER and ANTIMATTER Established in 1964 for neutral Kaons, in 2001 for B and in 2019 for D decays A single independent phase δ_{CP} comes out from the Quark Sector CP_{quark} violation is too small to justify the missing antimatter in the Universe
- What about the Lepton Sector?



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AMS-02

a) Buffington etal 198 Golden etal 100



The three big questions/issues in HEP

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Neutrino sources





Neutrino fluxes





Neutrino cross-sections

(un)fortunately its cross-section is quite small





v cross-sections - II



n: number of molecules per unit of volume

Compute probability of 1 neutrino interaction in 1 human body in 1 year



Neutrino detection



Coincidence of the prompt positron and the delayed (5 μs) of the neutron, captured by the cadmio and its further release of a photon



History was not so simple...

Although neutrinos are very abundant:

- Until 1998 nobody knew that neutrinos have masses and they could oscillate among them
- How do they oscillate?
- Mass states and Flavour states
 - LS, Rev. in Phys. 1 (2016) 90



A neutrino with flavor α can be expressed as a combination of mass states:

$$_{\alpha} >= \sum_{I=1}^{3} U_{\alpha i} |\nu_{i} >$$



Бруно Понтекори Pontecorvo, 1957



Standard Neutrino Oscillations

The recent Neutrino History







Panorama





Neutrino oscillations in the 3-neutrino framework

From the PMNS Matrix

<mark>∆m²_{ij} = m²_i-m²_j</mark>

$$\begin{split} P_{\nu_{\mu} \to \nu_{e}, (\bar{\nu}_{\mu} \to \bar{\nu}_{e})} &\approx 4 \sin^{2} \theta_{13} \sin^{2} \theta_{23} \frac{\sin^{2} \Delta}{(1-A)^{2}} + \alpha^{2} \sin^{2} 2\theta_{12} \cos^{2} \theta_{23} \frac{\sin^{2} A \Delta}{A^{2}} \\ &+ 8 \alpha J_{\rm CP}^{\max} \cos(\Delta \pm \delta_{\rm CP}) \frac{\sin \Delta A}{A} \frac{\sin \Delta (1-A)}{1-A} \end{split}$$
$$\Delta &\equiv \frac{\Delta m_{31}^{2} L}{4E_{\nu}} \quad A \equiv \frac{2E_{\nu} V}{\Delta m_{31}^{2}} \qquad \alpha \equiv \Delta m_{21}^{2} / \Delta m_{31}^{2} \qquad V_{C} = \sqrt{2}G_{F} n_{e} \,. \end{split}$$

 v_e appearance : v_e mass ordering, β_{CP} , octant of θ_{23}

 $v_{\mu} \text{ disappearance:} |\Delta m_{32}^2|, \sin \vartheta_{23}^2,$ constrain octant

for
$$\overline{\nu}$$

• «minus» sign
• V - -V

$$lpha$$
 , Δ , A are sensitive to the sign of Δm^2_{31}



Thus...



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Is it really true? Yes! They oscillate

Survival probability of reactor antineutrino-electron (KAMLAND exp. 2013)





Impressive progress since 1998



comes from this matrix (together with the smallness of the cross-section)



Neutrinos: What we do & do not know

- Neutral leptons with 3 active flavors
- Tiny small masses
 - Existing limits on sum of neutrino masses are order few hundred meV/c²
- Flavor eigenstates (ν_e, ν_µ, ν_τ) ≠ mass eigenstates (ν₁, ν₂, ν₃)
 - Mixing described by PMNS matrix
 - All mixing angles and mass splittings have been measured
- Neutrinos detected via their interaction products
 - Neutrino interaction cross sections are small, O(10⁻³⁸ cm²/nucleon) at 1 GeV
- May be Majorana or Dirac

- Other kind of "Sterile"
 neutrinos?
- Direct measurement limits currently $\leq 1 \text{ eV/c}^2$
- *More precise measurements of mixing angles needed
- *CP violation in PMNS?
- *Unitarity?

*Very large detectors are needed

- Neutrino-nucleus interaction model has large uncertainties
- Majorana phases?



The importance of measuring δ_{CP}

- Matter/antimatter asymmetry in the Universe requires CP violation
- CP violation in the quark sector has been measured the first time 59 years ago, but this violation does not help very much in understanding what happened soon after the Big Bang (J_{CKM}≈3x10⁻⁵)
- Through leptogenesis, theory links the *v*-mass generation to the generation of baryon asymmetry of the Universe (Fukugita and Yanagida, 1986).
- The Dirac phase δ_{CP} can be one of the ingredients of these mechanisms (and J_{PMNS}≈0.033 sinδ_{CP})
- It is mandatory to measure its value... also because it is one of the few unknowns of the Standard Model (together with neutrino masses)



... not a complete clear picture yet





The «anomalies»

ref. ICARUS at FNAL





The present scenario

We are entering in the precision era, but there are still 4 results to be obtained, at least at first order :

- 1) Leptonic CP violation (phase δ_{CP})
- 2) Mass ordering (MO)
- 3) (θ_{23} octant)
- 4) Presence or not of more (sterile ?) neutrinos states





Measuring MH AND δ_{CP}

• In the last couple of years oscillation analysis is showing up rather controversial effects, due to correlations and degeneracies



electron-v appearance events, in several years of data taking

• It seems mandatory to measure both MH and δ_{CP} in the same experiment



Comparing scenarios



With 2 or 4 dects, 100 kt-MW-y, shared between FHC and RHC, in 3 y ramp-up

DUNE simulation

T2K -> Hyper-K:

Same baseline Same beam spectrum Same detector technology

NoVA -> DUNE:

Longer baseline Wideband beam Better event reconstruction

> FHC: Forward Horn Current RHC: Reverse Horn Current



DUNE : enhanced by the wide-band beam





DUNE: What, How, When?

What to measure? How to measure it? When be available?



DUNE/LBNF, a multi-B\$ project, to measure missing parameters of the 3 ν picture, with high precision the others, and sensitivity to SNB and BSM

With artificial beam from 1.2 to 2.4 MW proton beam and multi-kton Liquid-Argon detectors

Starting data taking for oscillations in 8 years from now (or less)



DUNE: Key Features and Physics Program

Long- baseline wide-band neutrino beam

- Measurement of CP violation phase and determination of the neutrino mass ordering in a single experiment with spectral information
- Underground location \rightarrow access to astrophysical neutrinos
 - Supernova neutrino burst detection sensitive to the ν_e component
 - Atmospheric neutrino capability of v_{τ} identification
 - Solar neutrinos potential for detection of hep flux
- Massive detectors with excellent tracking and calorimetric information
 - Search for baryon number violating processes p \rightarrow v K+, n \overline{n}
- Long baseline + higher energy neutrino beam
 - ν_{τ} appearance, NSI searches
- Capable Near Detector Complex
 - Precise neutrino physics
 - BSM searches





The LBNF/DUNE project





Long-Baseline Neutrino Facility (LBNF) and Deep Underground Neutrino Experiment (DUNE)



The DUNE Experimental Design

- DUNE TDR (Vol I,III,IV <u>https://iopscience.iop.org/journal/1748-0221/page/extraproc95</u>, Vol II arxiv:2002.03005 for Physics)
- ND CDR (arXiv:2103.13910, Instrument 5 (2021) 4, 31)

Neutrino Oscillations in DUNE





beam spectrum covers the full neutrino oscillation curve

not simply a counting experiment



The LBNF beamline at FNAL



- LBNF will use protons from the Main Injector
 - MI will start at
 1.2 MW and be
 upgradeable to
 2.4 MW
 - Proton Improvement Plan (PIP II): upgrade of LINAC to reach 1.2 MW

•



Long-Baseline Neutrino Facility (LBNF) Neutrino Beam



- ✓ LBNF will house and deliver beam to detectors built by DUNE Collaboration
- ✓ 60-120 GeV protons from Fermilab's Main Injector
- ✓ Initial power: 1.2 MW (@120 GeV); plan to upgrade to 2.4 MW
- ✓ 200 m decay pipe, angled at South Dakota (Sanford Underground Research Facility- SURF)
- \checkmark Separate v and v-bar and running modes

LBNF wide-band beam

At FNAL, Fermi NAtional Lab



Horn-focused neutrino beam line optimized for CP violation sensitivity using genetic algorithm

1.2MW @ 100% efficiency=2x10²¹ pot/year The 3-year ramp-up is equivalent to one year of operation at 1.2MW from Day 1

The LBNF neutrino beam will provide neutrinos and antineutrinos with energies from 0 to 8 GeV



Reverse Horn Current (RHC)





Reconstructed Energy (GeV)



SURF

• LZ
 LUXZEPLIN
 Proposed second generation dark matter
 R&D opportunities

 Davis Campus
 · LUX
 Large Underground Xenon Laboratory
 First generation dark matter

 · MJD
 Macroma Demonstratore
 Neutrinoless double-beta decay

- Deepest laboratory in the US: 1.5 km underground
- Three main caverns: 4 detectors halls in 2 caverns and 1 support cavern (cryogenics and services)
- Excavation is ongoing (875,000 tons of rock to be excavated)
- FD first module installation second half of 2020's

Previously known as Homestake (gold) Mine close to Lead, in the Black Hills (South Dakota),

50 miles from Mount Rushmore and Crazy Horse statues







Future Laboratories

Ross Shaft

- Experiment Hall Proposed third generation dark matter and/or
- 1 T neutrinoless double-beta decay
- DUNE at LBNF Proposed Deep Underground Neutrino Experiment at the Long-Baseline Neutrino Facility 4650 Level – four 10kT liquid argon detectors

Ross Campus

- BHSU Underground Campus
 Low-Background Counting
- CASPAR Compact Accelerator System for Performing Astrophysical Research

MJD MAJORANA DEMONSTRATOR Electroforming laboratory

Excavation at SURF is ongoing





Sanford Underground Research Facility (SURF)

Excavation Progress – Reached 80% on 25 September 2023





Near Site Conventional Facilities

Status:

Decay pipe

Absorber

- 100% final *design* completed on 28 Sep 2021 for the **Beamline Complex and Near Detector Complex**
- NSCF will start construction upon funding availability (possibly better sooner than later)





Schedule summary: LBNF/DUNE





DUNE collaboration



New Institutions:

- Institute of Nuclear Physics, Almaty, Kazakhastan
- Hong Kong University of Science and Technology, China

Over 1,701 scientists, from more than 209 Institutions, 38 countries plus CERN



DUNE coll. Meet at FNAL, May. 2023



DUNE – Phase I

- LBNF will provide caverns for 4 detector modules at SURF
 - 1st detector to be installed in NE cavern has horizontal drift (like ICARUS and MicroBooNE)
 - 2nd detector will go into SE cavern and has vertical drift (capitalizing on elements of the dual phase development)





DUNE- Phase I (cont.)

DUNE Phase I:

- Neutrino beam with 1.2 MW intensity
- Two 17kt LAr TPC FD modules
- Underground facilities and cryogenic infrastructure to support four modules
- Near detector: ND-LAr + TMS (movable) + SAND
- The US DOE scope of Phase I was approved in July 2022 (CD1-RR)





DUNE – Phase II (cont.)

DUNE Phase II:

- Fermilab proton beam upgrade to 2.4 MW
- Two additional 17kt FD modules
- Near detector: ND-LAr + MCND (movable) + SAND
- ND upgrade is driven by improved performance at reducing systematics, not driven by increased beam intensity
- DUNE Phase II will collect twice as many neutrinos







DUNE Far Detector (FD)

DUNE Far Detector Technical Design Reports in arXiv:2002.02967, 2002.03005, Four 17-kt liquid argon TPC modules 2002.03008, 2002.03010 (1483 pages) Horizontal and Vertical-drift detector LAr for the first 2 modules Integrated photon detection Modules will not be identical **TPC Critical features:** LAr ultra-high purity Cathode E Field: Anode uniformity and stability ~ 500 V/cm Horizontal drift: modular Can drift electrons for very large distances wire-plane readout Horizontal drift: 17 kt module 384,000 readout wires 50 "APAs" (2.3 m x 6 m) 12 m high Fiducial 15.5 m wide volume 58 m long Foam Insulation Concrete Liner



Dune at work





 v_e appearance from v_μ beam after 3.5 years (staged)

Need maximal control of prediction under PMNS parameters: fluxes, cross-sections, detector responses

To maximize deconvolution of intrinsic degeneracies perform single measurements for as many as possible sources of systematics effects region Near Detector complex



Near Detector (ND) – Phase I

- Role: constrain systematic uncertainties
 needed to oscillation analyses
 - Measure unoscillated beam flux
 - Measure multiple interaction crosssection channels
- Hall location
 - 574 m from LBNF target
 - ~60 m underground
- Multi-component Near detector
 - Highly segmented LArTPC
 - Magnetized tracker
 - Electromagnetic calorimeter
- Move (part of) ND detectors for off-axis measurements (PRISM concept)













ND continues

Multi-component near detector will ensure excellent control of systematics

- ND-LAr: Modular TPC construction, pixel readout
- ND-GAr: HP GAr TPC + magnet
- System for on-Axis Neutrino Detection (SAND), tracker and ECAL in magnetic field

DUNE-PRISM: ND-LAr and ND-GAr can move sideways to constrain flux and interaction uncertainties.

DUNE-SAND: event-by-event allows exclusive channels control





DUNE ND capabilities

>100 million interactions will enable a rich non-oscillation physics program



Capability to move ND (LAr+TMS) for off-axis measurements (DUNE-Prism)





System on Axis for Neutrino Detection



- 1. It **should** monitor the (relevant) beam changes on a **weekly basis** with sufficient sensitivity
- 2. It **should** contribute to remove **degeneracies** when the other components are off-axis (50% of the time)
- 3. It **should** provide an independent measurement of the **flux** and measure the **flavor** content of the neutrino beam on event-by-event basis.
- 4. It **would** add robustness to the ND complex to keep **systematics** and **background** under control
- 5. While delivering all of the above, it **would** contribute to **oscillation analysis** and enjoy the high statistics to perform a plethora of **other physics** measurements.

As a matter of fact SAND will be a multipurpose detector (with innovative compromises between mass, ID and tracking)



$\textbf{KLOE} \rightarrow \textbf{SAND}$

v-beam 🗕 – – 🕨







KLOE experiment run at Laboratori Nazionali di Frascati(Rome) Italy from 1999 until 2018, at DAΦNE e⁺e⁻ collider,

for physics of K and Φ mesons.

Electromagnetic calorimeter

- Lead/scintillating fibers
- 4880 PMT's

Superconducting coil (5 m bore) B = 0.6 T (\int B dI = 2.2 T.m)

- Energy resolution σ/E=5.7%/ √ E(GeV)
- Time resolution $\sigma=54 \text{ ps}/\sqrt{\text{E(GeV)} \oplus 50 \text{ ps}}$



~200K Straw Tubes

Liquid Argon target



Proto-DUNE detectors R&D and goals

- Prototyping production and installation procedures for DUNE Far Detector Design *many of the components for the far detector prototyping at 1:1 scale*
- Validating design from perspective of basic detector performance
- Accumulating test-beam data to understand/calibrate response of detector to different particle species
- Demonstrating long term operational stability of the detector



1 kton massive Liquid Argon detectors



DUNE prototypes

- ProtoDUNEs at CERN with charged particle beam
- 2x1kton cryostats used to validate FD components at full scale.
- Successful run from 2018-2020
- Next phase starting in spring 2023





First R&D and physics results published [JINST 15 (2020) 12, P12004] (see backup for full list) ArgonCube 2x2 ND-LAr Demonstrator.

4 independent modules, test In NuMI beam scheduled in 2022



Perspectives



Perspectives...

"Experiments" in running:

- NOvA
- T2K
- Cosmology
- SuperNovae



DUNE Physics Program – Neutrino Oscillation

Three-neutrino oscillations: v_{μ} / \bar{v}_{μ} disappearance, v_{e}/\bar{v}_{e} appearance

- Charge-Parity symmetry violation (CPV): δ_{CP}
- Neutrino mass ordering: normal or inverted
- Neutrino mixing parameters

[Eur. Phys. J. C 80 (2020) 10, 978]



Mass ordering and CP violation induce different shapes in v_e , \overline{v}_e oscillation probabilities

DUNE's unique capability: with a wide band beam measures these shapes over more than a full period, resolving degeneracies and measure Mass Ordering, CP-Violation and θ_{23} with a single experiment



Oscillation Sensitivity for DUNE

[Eur. Phys. J. C 80 (2020) 10, 978]

- Reconstructed spectra of selected CC-like events
- Sensitivity assessment includes full FD systematics treatment
- 3.5 years neutrino beam mode
- 3.5 years anti-neutrino beam mode
- ~1000 $\nu_{\rm e}/\nu_{\rm e}$ -bar events in 7years
- ~10,000 ν_{μ}/ν_{μ} -bar events in 7years



Simultaneous fit to four spectra to extract oscillation parameters



Sensitivity to CPV for DUNE

- 5 σ discovery potential for CP violation over >50% of δ_{CP} values
- 7-16° resolution to δ_{CP} , with external input for only solar parameters.



- Simultaneous measurement of neutrino mixing angles and δ_{CP}
- Width of band indicates variation in possible central values of θ_{23}



Perspectives for MH

Many different ways to define "sensitivity"



Only above 5 σ we are "unsensitive" to methodology

- NOvA: Degeneracy with δ_{CP} ?
- PINGU/ORCA: funded ? systematics ? Degeneracy with δ_{CP} and θ_{23} ?
- INO: really ?
- JUNO: technical challenge on energy resolution ? Degeneracy with Δm^2_{atm} ?

DUNE: ok, it will get it



MH and θ_{23} Oscillation Physics



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Oscillation Parameter Sensitivity



- Excellent on Δm_{32}^2 and θ_{23} , including octant, and unique PRISM measurement technique that is less sensitive to systematic effects
- Ultimate reach does not depend on external θ_{13} measurements, and comparison with reactor data directly tests PMNS unitarity



Solar neutrinos



- DUNE should be sensitive to solar neutrinos, with a potential to observe hep neutrinos
- Main backgrounds: neutrons and Rn-induced alpha-gamma interactions



SNB neutrinos

In LArTPC, SNB signal dominated by $v_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$ electron neutrinos:



Observation of early time development yields sensitivity to neutrino mass ordering and details of SNB model.

BSM searches

- DUNE sensitive to many BSM particles and processes
 - Light dark matter
 - Boosted dark matter
 - Sterile neutrinos
 - Non-standard interactions, non-unitary mixing, CPT violation
 - Neutrino trident searches
 - Large extra dimensions
 - Neutrinos from dark matter annihilation in sun
- Active area of research within phenomenology community as well as the DUNE collaboration
- GLoBES configurations arXiv:1606.09550



Summary for DUNE

- LBNF/DUNE: the ultimate neutrino facility/observatory
- DUNE will enable very rich physics program in the next decades (LifeCycle 20 years):
 - Neutrino oscillations
 - Studies of MeV-scale neutrinos
 - Many BSM searches
- LBNF and DUNE making rapid progress on facility construction, detector design, and physics analysis
- Expect first DUNE FD data in 2029, oscillation physics starts end of this decade





Neutrino Oscillation industry

(in the 3 neutrino framework)





