### Imperial College London

 $u_{\mu}$  Oscillation
An independent analysis

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#### Outline

#### Introduction

 $u_{\mu}$  oscillation Signal and backgrounds at Super-K

#### Analysis

Unbinned Likelihood method PDF construction and prediction of expected number of events at Super-K Systematic uncertainties

#### Results

Monte-Carlo study for first T2K results

#### Summary

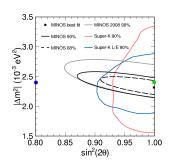


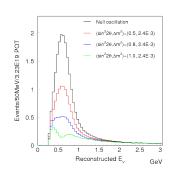
#### $\nu_{\mu}$ oscillation

•  $\nu_{\mu} \rightarrow \nu_{\tau}$  oscillation probability in two flavour approximation:

$$P(\nu_{\mu} \to \nu_{\tau}) = \sin^2 2\theta_{23} \sin^2 \left( \frac{1.27 \Delta m_{23}^2 (\text{eV}^2) L(\text{km})}{E_{\nu}(\text{GeV})} \right)$$

- Oscillation varies with values of oscillation parameters  $\sin^2 2 heta_{23}$  and  $\Delta m_{23}^2$ :
- MINOS experiment:  $\sin^2 2\theta = 1.0$ ,  $\Delta m^2 = 2.32 \times 10^{-3}$
- Accumulated data corresponding to 22.8 expected events in the Null hypothesis case (0.3% of total requested data)





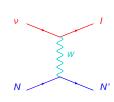


## Signal and backgrounds at Super-K

#### Signal

- $\nu_{\mu}$  Charged Current Quasi Elastic (CCQE)
  - $\mu$ -like ring at Super-K, reconstructed using two body kinematics

$$E_{\nu} = \frac{m_p^2 - (m_n - V)^2 + 2(m_n - V)E_{\mu} - m_{\mu}^2}{2(m_n - V - E_{\mu} + p\cos\theta_{\mu})}$$

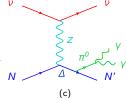


#### Backgrounds

- ν<sub>μ</sub>:
  - CC1 $\pi$  (Dominant background)
  - CCOther (Multi- $\pi$ , Charged Current Coherent  $\pi$ ...)
  - $NC\pi^0$



- ullet  $ar
  u_\mu$  and  $u_e$ :
  - ullet CCQE + same as  $u_{\mu}$





#### Unbinned Likelihood method

The Likelihood function is the product of spectrum shape and normalisation terms and penalty terms to constrain systematic errors:

$$L(\sin^2 2\theta, \Delta m^2, f) = L_{\text{Norm}}(\sin^2 2\theta, \Delta m^2, f) \times L_{\text{Shape}}(\sin^2 2\theta, \Delta m^2, f) \times L_{\text{Pen}}(f)$$

L<sub>Norm</sub> assuming Poisson probability:

$$L_{\mathrm{Norm}} = \frac{(N_{\mathrm{SK}}^{\mathrm{exp}} (\sin^2 2\theta, \Delta m^2, \mathbf{f}))^{N_{\mathrm{SK}}^{\mathrm{obs}}}}{N_{\mathrm{SK}}^{\mathrm{obs}}!} e^{-N_{\mathrm{SK}}^{\mathrm{exp}} (\sin^2 2\theta, \Delta m^2, \mathbf{f})}$$

ullet  $L_{
m Shape}$  calculated from spectrum shape Probability Density Function:

$$L_{
m Shape} = \prod_{i=1}^{N_{
m SKS}^{
m out}} P(E_{
m Recon} | \sin^2 2\theta_{23}, \Delta m_{23}^2, {
m f})$$

ullet  $L_{\mathrm{Pen}}$  assuming Gaussian systematic errors

$$L_{\rm Pen} = \prod_{\rm pen} e^{-\left(\frac{\Delta f}{\sigma_{\rm f}}\right)^2}$$



PDF Construction

Super-K un-oscillated true MC data (NEUT generator)



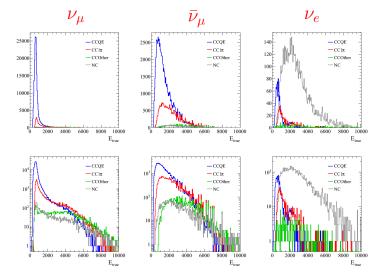
- Super-K un-oscillated true MC data (NEUT generator)
- Super-K cuts to select muon like particles



- 1 Super-K un-oscillated true MC data (NEUT generator)
- Super-K cuts to select muon like particles
- 3 Seperation of signal and backgrounds
  - 12 Un-oscillated histograms (1 signal, 11 backgrounds)



## True Un-oscillated histograms from Super-K MC





- Super-K un-oscillated true MC data (NEUT generator)
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- 7 Charged Current PDFs multiplied by oscillation probability PDF
  - Obtain true oscillated energy PDF



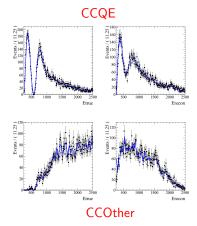
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- Charged Current PDFs multiplied by oscillation probability PDF
  - Obtain true oscillated energy PDF
- True energy converted to recon energy with conversion matrices
  - Obtain reconstructed oscillated spectra

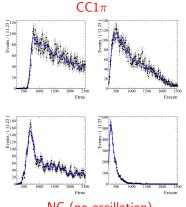


#### True to Reconstructed oscillated spectra

 $u_{\mu}$  spectra

- PDFs built using linear interpolation
- 10000 fake events generate below from the PDFs for each mode
- PDF accuracy for each mode limited by SK MC statistics
- Kernel method should smooth PDF





NC (no oscillation)

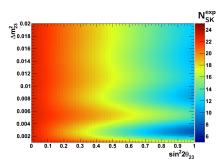


- Super-K un-oscillated true MC data (NEUT generator)
- 2 Super-K cuts to select muon like particles
- 3 Seperation of signal and backgrounds
  - 12 Un-oscillated histograms (1 signal, 11 backgrounds)
- 4 Flux correction applied by re-weighting histograms
- 6 ND280 data driven correction factor on the flux×cross-section product
- 6 Histograms turned into PDFs with RooFit
- Charged Current PDFs multiplied by oscillation probability PDF
  - Obtain true oscillated energy PDF
- 8 True energy converted to recon energy with conversion matrices
  - Obtain reconstructed oscillated spectra
- Add signal and background PDFs
  - Obtain final reconstructed oscillated spectra (without systematics)



 $N_{
m SK}^{
m exp}$  prediction

	Un-Oscillated	Oscillated
Total	22.8167	6.3332
$ u_{\mu}$		
CCQE	18.0705 (79.199%)	3.5907 (56.697%)
$CC1\pi$	3.0891 (13.538%)	1.5143 (23.911%)
CCOther	0.5230 (2.292%)	0.4062 (6.414%)
NC	0.3777 (1.655%)	0.3777 (5.959%)
$ar{ u}_{\mu}$		
CCQE	0.5026 (2.203%)	0.2523 (3.983%)
$CC1\pi$	0.1882 (0.825%)	0.1317 (2.079%)
CCOther	0.0281 (0.123%)	0.02486 (0.393%)
NC	0.0219 (0.096%)	0.0219 (0.346%)
$ u_e$		
CCQE	0.0017 (0.008%)	0.0006 (0.009%)
$CC1\pi$	0.0010 (0.004%)	0.0005 (0.009%)
CCOther	0.0003 (0.001%)	0.0002 (0.004%)
NC	0.0124 (0.055%)	0.0124 (0.196%)





### Systematic uncertainties

#### Uncertainties currently in the model:

- Super-K efficiency uncertainties:
  - CCQE: 7.8%
  - CCnonQE: 25.5%
  - NC: 115.1%
  - ν<sub>e</sub> CC: 100%

- Cross section uncertainties:
  - CCQE cross section uncertainty (Shape only effect)
  - fractional uncertainties (Shape+Normalisation effect):
    - CC1 $\pi$ /CCQE:  $30\%E_{\rm true}$  below 2 GeV, 20% above
    - CCOther/CCQE:  $30\%E_{\rm true}$  below 2 GeV, 25% above
    - NC/CCQE: 36%

#### Other Uncertainties

- ND280: efficiency error
- Flux uncertainties: flux shape and normalisation uncertainties
- Neutrino generator model uncertainty: effect of Final State Interaction

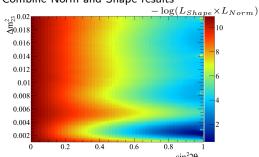


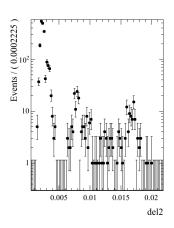
### Monte-Carlo study for first T2K results

Shape and Normalisation Likelihoods. No Systematics

#### Fake Data studies:

- $\begin{tabular}{ll} \bf 0 & {\tt Generate Poisson number of expected events} \\ {\tt from $E_{\rm Recon PDF}$}. \\ \end{tabular}$
- 2 Likelihood scan over parameter space
- 3 Repeat thousands of times.
- Ombine likelihoods from each fake experiment.
- 5 Combine Norm and Shape results

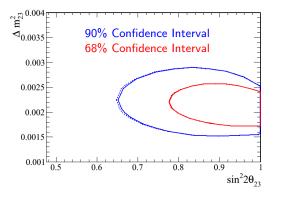






## Monte-Carlo study for first T2K results

Sensitivity with and without systematics



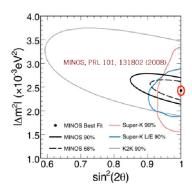
INPUT PARAMETERS		
$\sin^2 2\theta$	1.00	
$\Delta m^2$	$(2.4).10^{-3}$	

FITTED PARAMETERS		
Stats Only		
$\sin^2 2\theta$	$1.00 \pm 0.04$	
$\Delta m^2$	$(2.14 \pm 0.49).10^{-3}$	
Systs + Stats		
$ m SK^{eff}_{CCQE}$	$(9.06 \pm 0.77).10^{-1}$	
$\mathrm{SK}^{\mathrm{eff}}_{\mathrm{CC1}\pi}$	$(9.97 \pm 2.54).10^{-1}$	
$ m SK^{eff}_{CCQther}$	$(9.74 \pm 2.54).10^{-1}$	
$ m SK^{eff}_{NC}$	$2.45 \pm 0.87$	



### Summary

- Presented MC analysis coresponding to data from first T2K run
  - · 23 expected events at SK in null oscillation hypothesis
- Currently T2K has collected 5 times more data
- 5 year results will have the best sensitivity for both oscillation parameters





### **Appendix**

#### PDF construction

Super-K selection cuts
True Un-oscillated histograms from Super-K MC

Flux Correction

 $E_{true}$  to  $E_{recon}$  conversion matrices True to Reconstructed oscillated spectra



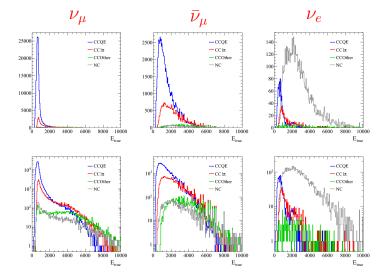
### Super-K selection cuts

#### Fully Contained Full Volume (FCFV) 1 ring $\mu$ -like tight cuts

- Number of PMT hits in highest charge Outer Detector cluster <= 15</li>
- Vertex from Inner Detector wall > 2m
- Visible energy > 30MeV
- Exactly 1 ring
- Particle ID of a muon
- Momentum of the muon like ring > 200MeV
- 0 or 1 decay electron



### True Un-oscillated histograms from Super-K MC

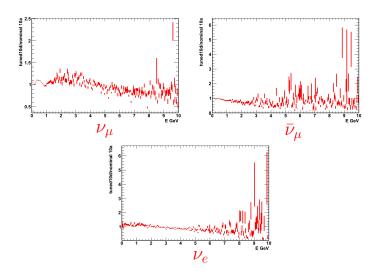


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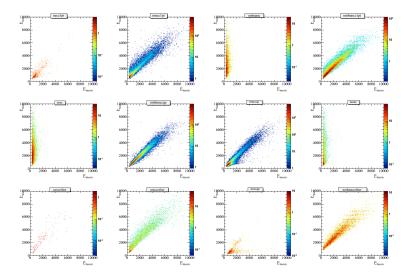
#### Flux Correction

Re-weighting of 10a data with ratio of 2010d\_v2/10a Nominal





### $E_{true}$ to $E_{recon}$ conversion matrices

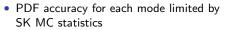




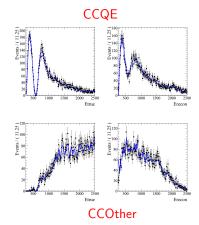
#### True to Reconstructed oscillated spectra

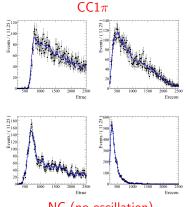
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Kernel method should smooth PDF





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