

# Neutrino oscillations in the interaction picture\*

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\*M. B., F. Giacosa, L. Smaldone and G. Torrieri, EPJC (2023)

# Summary

1. Quantum Field Theory of neutrino mixing and oscillations
2. Mixing in the interaction picture: QM toy model, boson field, neutrinos
3. Chiral oscillations, neutrino entanglement, etc.

# Motivations

- CKM quark mixing, meson mixing, massive neutrino mixing (and oscillations) play a crucial role in phenomenology;
- Theoretical interest: origin of mixing in the Standard Model;
- Bargmann superselection rule<sup>†</sup>: coherent superposition of states with different masses is not allowed in non-relativistic QM;
- Necessity of a QFT treatment: problems in defining Hilbert space for mixed particles<sup>‡</sup>; oscillation formulas<sup>§</sup>.

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<sup>†</sup>V. Bargmann, *Ann. Math.* (1954); D.M. Greenberger, *Phys. Rev. Lett.* (2001).

<sup>‡</sup>C.W. Kim and A. Pevsner, *Neutrinos in Physics and Astrophysics*, (Harwood, 1993). C. Giunti, *J. Phys. G* (2007).

<sup>§</sup>M. Beuthe, *Phys. Rept.* (2003).

# Neutrino oscillations in QFT: a brief (early) history

- Pontecorvo theory\*
- Vacuum-condensate structure and neutrino oscillations†
- First attempts to define flavor Fock space‡§
- External wavepackets¶
- Flavor Fock space approach||.

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\*V. Gribov and B. Pontecorvo, Phys. Lett. B (1969).

†L.N. Chang and N.P. Chang, Phys. Rev. Lett. (1980).

‡P.T. Mannheim, Phys. Rev. D **37**, 1935 (1988).

§C. Giunti, C.W. Kim and U.W. Lee, Phys. Rev. D (1992).

¶C. Giunti, C.W. Kim, J.A. Lee and U.W. Lee, Phys. Rev. D (1993).

||M.B. and G.Vitiello, Ann. Phys. (1995).

For a review see M.Beuthe, Phys. Rept. (2003).

# Lagrangian (flavor basis)

Free Lagrangian:

$$\mathcal{L}_0 = \sum_{\sigma, \rho=e, \mu} [\bar{\nu}_\sigma (i\gamma_\mu \partial^\mu - M_\nu^{\sigma\rho}) \nu_\rho + \bar{l}_\sigma (i\gamma_\mu \partial^\mu - M_l^{\sigma\rho}) l_\rho]$$

where  $l_e \equiv e$ ,  $l_\mu \equiv \mu$ , and:

$$M_\nu = \begin{bmatrix} m_e & m_{e\mu} \\ m_{e\mu} & m_\mu \end{bmatrix} ; \quad M_l = \begin{bmatrix} \tilde{m}_e & 0 \\ 0 & \tilde{m}_\mu \end{bmatrix}$$

Interaction term (charged current):

$$\mathcal{L}_{int} = \frac{g}{2\sqrt{2}} \sum_{\sigma=e, \mu} [W_\mu^+(x) \bar{\nu}_\sigma \gamma^\mu (1 - \gamma^5) l_\sigma + h.c.]$$

# Mixing transformation

Kinetic part diagonalized by mixing transformation

$$\nu_\sigma(x) = \sum_j U_{\sigma j} \nu_j(x)$$

between flavor fields  $\nu_\sigma$  and mass fields  $\nu_j$ .  $U$  is the mixing matrix

$$U = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

with

$$\tan 2\theta = 2m_{e\mu}/(m_e - m_\mu)$$

# Lagrangian (mass basis)

In the mass basis

$$\mathcal{L}_0 = \sum_{j=1,2} \bar{\nu}_j (i\gamma_\mu \partial^\mu - m_j) \nu_j + \sum_{\sigma=e,\mu} \bar{l}_\sigma (i\gamma_\mu \partial^\mu - \tilde{m}_\sigma) l_\sigma$$

where

$$\begin{bmatrix} m_1 \\ m_2 \end{bmatrix} = \begin{bmatrix} \cos^2 \theta & \sin^2 \theta \\ \sin^2 \theta & \cos^2 \theta \end{bmatrix} \begin{bmatrix} m_e \\ m_\mu \end{bmatrix}$$

Interaction term is no-more diagonal

$$\mathcal{L}_{int} = \frac{g}{2\sqrt{2}} \sum_{\sigma=e,\mu} \sum_{j=1,2} [W_\mu^+(x) \bar{\nu}_j U_{j\sigma}^* \gamma^\mu (1 - \gamma^5) l_\sigma + h.c.]$$

In computing an amplitude  $\langle \nu_\sigma l_\sigma^+ P_F | S | P_I \rangle$ , what is definition of  $|\nu_\sigma\rangle$ ?

# Pontecorvo flavor states

Pontecorvo states\*:

$$|\nu_{\mathbf{k},e}^r\rangle_P = \cos\theta |\nu_{\mathbf{k},1}^r\rangle + \sin\theta |\nu_{\mathbf{k},2}^r\rangle$$

$$|\nu_{\mathbf{k},\mu}^r\rangle_P = -\sin\theta |\nu_{\mathbf{k},1}^r\rangle + \cos\theta |\nu_{\mathbf{k},2}^r\rangle$$

Consider the amplitude of the neutrino detection process  
 $\nu_\sigma + X_i \rightarrow e^- + X_f$ :

$$\langle e_{\mathbf{q},-}^s | \bar{e}(x) \gamma^\mu (1 - \gamma^5) \nu_e(x) | \nu_{\mathbf{k},\sigma}^r \rangle_P h_\mu(x) \not\propto \delta_{\sigma e}$$

where  $h_\mu$  are the matrix elements of the  $X$  part.

**Problem:** since neutrino flavor is defined by the charged-lepton, the above amplitude should be proportional to  $\delta_{\sigma e}$ .

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\*S.M. Bilenky and B. Pontecorvo, Phys. Rep. **41**, 225 (1978)



# Weak process states

Other proposal: Production (detection) states<sup>†</sup>:

$$|\nu_\sigma^r\rangle_{P(D)} \equiv \left( \sum_j |\mathcal{A}_{\sigma j}^{P(D)}|^2 \right)^{-\frac{1}{2}} \sum_j \mathcal{A}_{\sigma j}^{P(D)} |\nu_j^r\rangle$$

where  $\mathcal{A}_{\sigma j}^P = \langle \nu_j l_\sigma^+ P_F | S | P_I \rangle$  and  $\mathcal{A}_{\sigma j}^D = \langle l_\sigma^+ X_F | S | X_I \nu_j \rangle$ . Flavor states definition depends on the process.

Oscillation probability

$$P_{\sigma \rightarrow \rho}(L) = N \sum_{j,k} \mathcal{A}_{\sigma j}^P \mathcal{A}_{\rho j}^{D*} \mathcal{A}_{\sigma k}^{P*} \mathcal{A}_{\rho k}^D e^{-i \frac{\delta m_{kj}^2 L}{2E}}$$

with  $\delta m_{kj}^2 \equiv m_k^2 - m_j^2$  and

$$N \equiv \left( \sum_j |\mathcal{A}_{\sigma j}^P|^2 \right)^{-\frac{1}{2}} \left( \sum_k |\mathcal{A}_{\rho k}^D|^2 \right)^{-\frac{1}{2}}$$

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<sup>†</sup>C. Giunti and C.W. Kim, *Fundamentals of Neutrino Physics and Astrophysics* (Oxford Univ. Press, 2007)

# Quantum Field Theory of neutrino mixing and oscillations

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# Neutrino mixing in QFT

- Mixing relations for two Dirac fields

$$\nu_e(x) = \cos \theta \nu_1(x) + \sin \theta \nu_2(x)$$

$$\nu_\mu(x) = -\sin \theta \nu_1(x) + \cos \theta \nu_2(x)$$

can be written as\*

$$\nu_e^\alpha(x) = G_\theta^{-1}(t) \nu_1^\alpha(x) G_\theta(t)$$

$$\nu_\mu^\alpha(x) = G_\theta^{-1}(t) \nu_2^\alpha(x) G_\theta(t)$$

– Mixing generator:

$$G_\theta(t) = \exp \left[ \theta \int d^3 \mathbf{x} \left( \nu_1^\dagger(x) \nu_2(x) - \nu_2^\dagger(x) \nu_1(x) \right) \right]$$

For  $\nu_e$ , we get  $\frac{d^2}{d\theta^2} \nu_e^\alpha = -\nu_e^\alpha$  with i.c.  $\nu_e^\alpha|_{\theta=0} = \nu_1^\alpha$ ,  $\frac{d}{d\theta} \nu_e^\alpha|_{\theta=0} = \nu_2^\alpha$ .

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\*M.B. and G.Vitiello, *Annals Phys.* (1995)

- The vacuum  $|0\rangle_{1,2}$  is not invariant under the action of  $G_\theta(t)$ :

$$|0(t)\rangle_{e,\mu} \equiv G_\theta^{-1}(t) |0\rangle_{1,2}$$

- Relation between  $|0\rangle_{1,2}$  and  $|0(t)\rangle_{e,\mu}$ : **orthogonality!** (for  $V \rightarrow \infty$ )

$$\lim_{V \rightarrow \infty} {}_{1,2} \langle 0 | 0(t) \rangle_{e,\mu} = \lim_{V \rightarrow \infty} e^{V \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \ln(1 - \sin^2 \theta |V_{\mathbf{k}}|^2)^2} = 0$$

with

$$|V_{\mathbf{k}}|^2 \equiv \sum_{r,s} |v_{-\mathbf{k},1}^{r\dagger} u_{\mathbf{k},2}^s|^2 \neq 0 \quad \text{for } m_1 \neq m_2$$

.

# Quantum Field Theory vs. Quantum Mechanics

- Quantum Mechanics:
  - finite  $\#$  of degrees of freedom.
  - unitary equivalence of the representations of the canonical commutation relations (von Neumann theorem).
- Quantum Field Theory:
  - infinite  $\#$  of degrees of freedom.
  - $\infty$  many unitarily inequivalent representations of the field algebra  $\Leftrightarrow$  many vacua .
  - The mapping between interacting and free fields is “weak”, i.e. representation dependent (LSZ formalism)\*. Example: theories with spontaneous symmetry breaking.

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\*F. Strocchi, *Elements of Quantum Mechanics of Infinite Systems* (W. Sc., 1985).

- The “flavor vacuum”  $|0(t)\rangle_{e,\mu}$  is a  $SU(2)$  generalized coherent state<sup>†</sup>:

$$|0\rangle_{e,\mu} = \prod_{\mathbf{k},r} \left[ (1 - \sin^2 \theta |V_{\mathbf{k}}|^2) - \epsilon^r \sin \theta \cos \theta |V_{\mathbf{k}}| (\alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger} + \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger}) \right. \\ \left. + \epsilon^r \sin^2 \theta |V_{\mathbf{k}}| |U_{\mathbf{k}}| (\alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger} - \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger}) + \sin^2 \theta |V_{\mathbf{k}}|^2 \alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger} \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger} \right] |0\rangle_{1,2}$$

- Condensation density:

$${}_{e,\mu} \langle 0(t) | \alpha_{\mathbf{k},i}^{r\dagger} \alpha_{\mathbf{k},i}^r | 0(t) \rangle_{e,\mu} = {}_{e,\mu} \langle 0(t) | \beta_{\mathbf{k},i}^{r\dagger} \beta_{\mathbf{k},i}^r | 0(t) \rangle_{e,\mu} = \sin^2 \theta |V_{\mathbf{k}}|^2$$

vanishing for  $m_1 = m_2$  and/or  $\theta = 0$  (in both cases no mixing).

- Condensate structure as in systems with SSB (e.g. superconductors)
- Exotic condensate: mixed pairs
- Note that  $|0\rangle_{e\mu} \neq |a\rangle_1 \otimes |b\rangle_2 \Rightarrow$  entanglement.

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<sup>†</sup>A. Perelomov, *Generalized Coherent States*, (Springer V., 1986)

- Structure of the annihilation operators for  $|0(t)\rangle_{e,\mu}$ :

$$\alpha_{\mathbf{k},e}^r(t) = \cos \theta \alpha_{\mathbf{k},1}^r + \sin \theta \left( U_{\mathbf{k}}^*(t) \alpha_{\mathbf{k},2}^r + \epsilon^r V_{\mathbf{k}}(t) \beta_{-\mathbf{k},2}^{r\dagger} \right)$$

$$\alpha_{\mathbf{k},\mu}^r(t) = \cos \theta \alpha_{\mathbf{k},2}^r - \sin \theta \left( U_{\mathbf{k}}(t) \alpha_{\mathbf{k},1}^r - \epsilon^r V_{\mathbf{k}}(t) \beta_{-\mathbf{k},1}^{r\dagger} \right)$$

$$\beta_{-\mathbf{k},e}^r(t) = \cos \theta \beta_{-\mathbf{k},1}^r + \sin \theta \left( U_{\mathbf{k}}^*(t) \beta_{-\mathbf{k},2}^r - \epsilon^r V_{\mathbf{k}}(t) \alpha_{\mathbf{k},2}^{r\dagger} \right)$$

$$\beta_{-\mathbf{k},\mu}^r(t) = \cos \theta \beta_{-\mathbf{k},2}^r - \sin \theta \left( U_{\mathbf{k}}(t) \beta_{-\mathbf{k},1}^r + \epsilon^r V_{\mathbf{k}}(t) \alpha_{\mathbf{k},1}^{r\dagger} \right)$$

- Mixing transformation = Rotation + Bogoliubov transformation .

– Bogoliubov coefficients:

$$U_{\mathbf{k}}(t) = u_{\mathbf{k},2}^{r\dagger} u_{\mathbf{k},1}^r e^{i(\omega_{\mathbf{k},2} - \omega_{\mathbf{k},1})t} \quad ; \quad V_{\mathbf{k}}(t) = \epsilon^r u_{\mathbf{k},1}^{r\dagger} v_{-\mathbf{k},2}^r e^{i(\omega_{\mathbf{k},2} + \omega_{\mathbf{k},1})t}$$

$$|U_{\mathbf{k}}|^2 + |V_{\mathbf{k}}|^2 = 1$$

# Decomposition of mixing generator \*

The mixing generator can be expressed in terms of a rotation and a Bogoliubov transformation. Define:

$$R(\theta) \equiv \exp \left\{ \theta \sum_{\mathbf{k}, r} \left[ \left( \alpha_{\mathbf{k},1}^{r\dagger} \alpha_{\mathbf{k},2}^r + \beta_{\mathbf{k},1}^{r\dagger} \beta_{\mathbf{k},2}^r \right) e^{i\psi_{\mathbf{k}}} - \left( \alpha_{\mathbf{k},2}^{r\dagger} \alpha_{\mathbf{k},1}^r + \beta_{\mathbf{k},2}^{r\dagger} \beta_{\mathbf{k},1}^r \right) e^{-i\psi_{\mathbf{k}}} \right] \right\},$$

$$B_i(\Theta_i) \equiv \exp \left\{ \sum_{\mathbf{k}, r} \Theta_{\mathbf{k},i} \epsilon^r \left[ \alpha_{\mathbf{k},i}^r \beta_{-\mathbf{k},i}^r e^{-i\phi_{\mathbf{k}i}} - \beta_{-\mathbf{k},i}^{r\dagger} \alpha_{\mathbf{k},i}^{r\dagger} e^{i\phi_{\mathbf{k},i}} \right] \right\}, \quad i = 1, 2$$

Since  $[B_1, B_2] = 0$  we put  $B(\Theta_1, \Theta_2) \equiv B_1(\Theta_1) B_2(\Theta_2)$ .

• We find:

$$G_\theta = B(\Theta_1, \Theta_2) R(\theta) B^{-1}(\Theta_1, \Theta_2)$$

which is realized when the  $\Theta_{\mathbf{k},i}$  are chosen as:

$$U_{\mathbf{k}} = e^{-i\psi_{\mathbf{k}}} \cos(\Theta_{\mathbf{k},1} - \Theta_{\mathbf{k},2}); \quad V_{\mathbf{k}} = e^{\frac{(\phi_{\mathbf{k},1} + \phi_{\mathbf{k},2})}{2}} \sin(\Theta_{\mathbf{k},1} - \Theta_{\mathbf{k},2})$$

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\*M. B., M.V. Gargiulo and G. Vitiello, Phys. Lett. B (2017)



# Bogoliubov vs Pontecorvo

- Bogoliubov and Pontecorvo do not commute!

$$[\text{Bogoliubov}, \text{Pontecorvo}] \neq 0$$

As a result, flavor vacuum gets a non-trivial term:

$$|0\rangle_{e,\mu} \equiv G_\theta^{-1}|0\rangle_{1,2} = |0\rangle_{1,2} + [B(m_1, m_2), R^{-1}(\theta)] \tilde{|0}\rangle_{1,2}$$

- Non-diagonal Bogoliubov transformation

$$|0\rangle_{e,\mu} \cong \left[ \mathbb{1} + \theta a \int \frac{d^3\mathbf{k}}{(2\pi)^{\frac{3}{2}}} \tilde{V}_{\mathbf{k}} \sum_r \epsilon^r \left( \alpha_{\mathbf{k},1}^{r\dagger} \beta_{-\mathbf{k},2}^{r\dagger} + \alpha_{\mathbf{k},2}^{r\dagger} \beta_{-\mathbf{k},1}^{r\dagger} \right) \right] |0\rangle_{1,2},$$

with  $a \equiv \frac{(m_2 - m_1)^2}{m_1 m_2}$ .

## Currents and charges for mixed fermions \*

– Lagrangian in the mass basis:

$$\mathcal{L} = \bar{\nu}_m (i \not{\partial} - M_d) \nu_m$$

where  $\nu_m^T = (\nu_1, \nu_2)$  and  $M_d = \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix}$ .

•  $\mathcal{L}$  invariant under global  $U(1)$  with conserved charge  $Q =$  total charge.

– Consider now the  $SU(2)$  transformation:

$$\nu'_m = e^{i\alpha_j \tau_j} \nu_m \quad ; \quad j = 1, 2, 3.$$

with  $\tau_j = \sigma_j/2$  and  $\sigma_j$  being the Pauli matrices.

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\*M. B., P. Jizba and G. Vitiello, Phys. Lett. B (2001)

The associated currents are:

$$\delta\mathcal{L} = i\alpha_j \bar{\nu}_m [\tau_j, M_d] \nu_m = -\alpha_j \partial_\mu J_{m,j}^\mu$$

$$J_{m,j}^\mu = \bar{\nu}_m \gamma^\mu \tau_j \nu_m$$

– The charges  $Q_{m,j}(t) \equiv \int d^3\mathbf{x} J_{m,j}^0(x)$ , satisfy the  $su(2)$  algebra:

$$[Q_{m,j}(t), Q_{m,k}(t)] = i \epsilon_{jkl} Q_{m,l}(t).$$

– Casimir operator proportional to the total charge:  $C_m = \frac{1}{2}Q$ .

•  $Q_{m,3}$  is conserved  $\Rightarrow$  charge conserved separately for  $\nu_1$  and  $\nu_2$ :

$$Q_1 = \frac{1}{2}Q + Q_{m,3} = \int d^3\mathbf{x} \nu_1^\dagger(x) \nu_1(x)$$

$$Q_2 = \frac{1}{2}Q - Q_{m,3} = \int d^3\mathbf{x} \nu_2^\dagger(x) \nu_2(x).$$

These are the flavor charges in the absence of mixing.

## The currents in the flavor basis

- Lagrangian in the flavor basis:

$$\mathcal{L} = \bar{\nu}_f (i \not{\partial} - M) \nu_f$$

where  $\nu_f^T = (\nu_e, \nu_\mu)$  and  $M = \begin{pmatrix} m_e & m_{e\mu} \\ m_{e\mu} & m_\mu \end{pmatrix}$ .

- Consider the  $SU(2)$  transformation:

$$\nu'_f = e^{i\alpha_j \tau_j} \nu_f \quad ; \quad j = 1, 2, 3.$$

with  $\tau_j = \sigma_j/2$  and  $\sigma_j$  being the Pauli matrices.

- The charges  $Q_{f,j} \equiv \int d^3\mathbf{x} J_{f,j}^0$  satisfy the  $su(2)$  algebra:

$$[Q_{f,j}(t), Q_{f,k}(t)] = i \epsilon_{jkl} Q_{f,l}(t).$$

- Casimir operator proportional to the total charge  $C_f = C_m = \frac{1}{2}Q$ .

- $Q_{f,3}$  is not conserved  $\Rightarrow$  exchange of charge between  $\nu_e$  and  $\nu_\mu$ .

Define the flavor charges as:

$$Q_e(t) \equiv \frac{1}{2}Q + Q_{f,3}(t) = \int d^3\mathbf{x} \nu_e^\dagger(x) \nu_e(x)$$

$$Q_\mu(t) \equiv \frac{1}{2}Q - Q_{f,3}(t) = \int d^3\mathbf{x} \nu_\mu^\dagger(x) \nu_\mu(x)$$

where  $Q_e(t) + Q_\mu(t) = Q$ .

– We have:

$$Q_e(t) = \cos^2 \theta Q_1 + \sin^2 \theta Q_2 + \sin \theta \cos \theta \int d^3\mathbf{x} \left[ \nu_1^\dagger \nu_2 + \nu_2^\dagger \nu_1 \right]$$

$$Q_\mu(t) = \sin^2 \theta Q_1 + \cos^2 \theta Q_2 - \sin \theta \cos \theta \int d^3\mathbf{x} \left[ \nu_1^\dagger \nu_2 + \nu_2^\dagger \nu_1 \right]$$

In conclusion:

– In presence of mixing, neutrino flavor charges are defined as

$$Q_e(t) \equiv \int d^3\mathbf{x} \nu_e^\dagger(x) \nu_e(x) \quad ; \quad Q_\mu(t) \equiv \int d^3\mathbf{x} \nu_\mu^\dagger(x) \nu_\mu(x)$$

– They are not conserved charges  $\Rightarrow$  flavor oscillations.

– They are still (approximately) conserved in the vertex  $\Rightarrow$  define flavor neutrinos as their eigenstates

• Problem: find the eigenstates of the above charges.

- Flavor charge operators are diagonal in the flavor ladder operators:

$$\begin{aligned} \text{:} Q_\sigma(t) \text{:} &\equiv \int d^3\mathbf{x} \text{:} \nu_\sigma^\dagger(x) \nu_\sigma(x) \text{:} \\ &= \sum_r \int d^3\mathbf{k} \left( \alpha_{\mathbf{k},\sigma}^{r\dagger}(t) \alpha_{\mathbf{k},\sigma}^r(t) - \beta_{-\mathbf{k},\sigma}^{r\dagger}(t) \beta_{-\mathbf{k},\sigma}^r(t) \right), \quad \sigma = e, \mu. \end{aligned}$$

Here  $\text{:} \dots \text{:}$  denotes normal ordering w.r.t. flavor vacuum:

$$\text{:} A \text{:} \equiv A - e, \mu \langle 0|A|0\rangle_{e, \mu}$$

- Define flavor neutrino states with definite momentum and helicity:

$$|\nu_{\mathbf{k},\sigma}^r\rangle \equiv \alpha_{\mathbf{k},\sigma}^{r\dagger}(0) |0\rangle_{e,\mu}$$

– Such states are eigenstates of the flavor charges (at  $t=0$ ):

$$\text{:} Q_\sigma \text{:} |\nu_{\mathbf{k},\sigma}^r\rangle = |\nu_{\mathbf{k},\sigma}^r\rangle$$

# Neutrino oscillation formula (QFT)

– We have, for an electron neutrino state:

$$\begin{aligned} Q_{\mathbf{k},\sigma}(t) &\equiv \langle \nu_{\mathbf{k},e}^r | \text{:} Q_{\sigma}(t) \text{:} | \nu_{\mathbf{k},e}^r \rangle \\ &= \left| \left\{ \alpha_{\mathbf{k},\sigma}^r(t), \alpha_{\mathbf{k},e}^{r\dagger}(0) \right\} \right|^2 + \left| \left\{ \beta_{-\mathbf{k},\sigma}^{r\dagger}(t), \alpha_{\mathbf{k},e}^{r\dagger}(0) \right\} \right|^2 \end{aligned}$$

with  $Q_{\sigma}(t) \equiv \int d^3\mathbf{x} \nu_{\sigma}^{\dagger}(x) \nu_{\sigma}(x)$ .

• Neutrino oscillation formula (exact result)\*:

$$Q_{\mathbf{k},e}(t) = 1 - |U_{\mathbf{k}}|^2 \sin^2(2\theta) \sin^2\left(\frac{\omega_{k,2} - \omega_{k,1}}{2} t\right) - |V_{\mathbf{k}}|^2 \sin^2(2\theta) \sin^2\left(\frac{\omega_{k,2} + \omega_{k,1}}{2} t\right)$$

- For  $k \gg \sqrt{m_1 m_2}$ ,  $|U_{\mathbf{k}}|^2 \rightarrow 1$  and  $|V_{\mathbf{k}}|^2 \rightarrow 0 \Rightarrow$  Pontecorvo formula is recovered.

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\*M.B., P.Henning and G.Vitiello, Phys. Lett. **B** (1999).



# Lepton charge violation for Pontecorvo states<sup>†</sup>

– Pontecorvo states:

$$|\nu_{\mathbf{k},e}^r\rangle_P = \cos\theta |\nu_{\mathbf{k},1}^r\rangle + \sin\theta |\nu_{\mathbf{k},2}^r\rangle$$

$$|\nu_{\mathbf{k},\mu}^r\rangle_P = -\sin\theta |\nu_{\mathbf{k},1}^r\rangle + \cos\theta |\nu_{\mathbf{k},2}^r\rangle,$$

are *not* eigenstates of the flavor charges.

⇒ *violation of lepton charge conservation* in the production/detection vertices, at tree level:

$${}_P\langle\nu_{\mathbf{k},e}^r| : Q_e(0) : |\nu_{\mathbf{k},e}^r\rangle_P = \cos^4\theta + \sin^4\theta + 2|U_{\mathbf{k}}| \sin^2\theta \cos^2\theta < 1,$$

for any  $\theta \neq 0$ ,  $\mathbf{k} \neq 0$  and for  $m_1 \neq m_2$ .

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<sup>†</sup>M. B., A. Capolupo, F. Terranova and G. Vitiello, Phys. Rev. **D** (2005)  
C. C. Nishi, Phys. Rev. **D** (2008).

# Neutrino ontology: flavor or mass?

- In view of the unitary inequivalence of mass and flavor representations, we have the problem of the fundamental (ontological) nature of neutrino.

*Flavor or mass, that is the question...*



# Neutrino ontology: research directions

- How to verify the fundamental nature of neutrino states?

Two directions:

- Investigate the phenomenology of flavor neutrinos, with corrections expected in the non-relativistic regime: oscillations, beta decay endpoint, quantum correlations, ...
- Use the formal consistency of QFT, by comparing neutrino processes in two different frames (inertial and comoving) for accelerated particle: Unruh effect.\*

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\*M. B., G. Lambiase, G. Luciano and L.Petruzziello, Phys. Rev. D (2018);  
G.Cozzella, S.A.Fulling, A.G.S.Landulfo, G.E.A.Matsas and D.A.T.Vanzella,  
Phys.Rev. (2018)

M. B., G.Lambiase, G. Luciano and L.Petruzziello, Phys. Lett. B (2020)

Mixing in the interaction picture:  
QM toy model, boson field,  
neutrinos

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# Motivations

- Both Pontecorvo neutrino states and weak process states are not eigenstates of flavor charge;
- Exact flavor (lepton) charge eigenstates require the introduction of the flavor vacuum which breaks Poincaré invariance<sup>†</sup>.

Consider another approach: treat the mixing term of the Lagrangian as a perturbation and compute oscillation formula from QFT at finite time<sup>‡</sup>.

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<sup>†</sup>M. B., P. Jizba, N.E. Mavromatos and L. Smaldone, Phys. Rev. D (2020);

<sup>‡</sup>M. B., F. Giacosa, L. Smaldone and G.Torrieri, EPJC (2023)

# Neutrino mixing and time-evolution operator

- Decompose neutrino Lagrangian as ( $g = 0$ )

$$\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_{int}$$

with

$$\mathcal{L}_0 = \sum_{\sigma=e,\mu} \bar{\nu}_\sigma (i\not{\partial} - m_\sigma) \nu_\sigma$$

$$\mathcal{L}_{int} = -m_{e\mu} (\bar{\nu}_e \nu_\mu + \bar{\nu}_\mu \nu_e)$$

Time-evolution operator

$$U(t_i, t_f) = \mathcal{T} \exp \left[ -i \int_{t_i}^{t_f} d^4x : \mathcal{H}_{int}(x) : \right]$$

$$\mathcal{H}_{int}(x) = -\mathcal{L}_{int}(x).$$

Why finite time? Analogy with unstable particles<sup>§</sup>.

Flavor-energy uncertainty relation<sup>¶</sup>

$$\langle \Delta H \rangle \langle \Delta Q_{\nu\sigma}(t) \rangle \geq \frac{1}{2} \left| \frac{d\langle Q_{\nu\sigma}(t) \rangle}{dt} \right|$$

It follows (at  $T_h$  oscillation probability =  $\frac{1}{2}$ )

$$\Delta E T_h \geq \frac{1}{2}$$

For unstable particles:

$$\Delta E \approx \frac{1}{2\tau}$$

where the  $\tau$  is the particle life-time.

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<sup>§</sup>C.Bernardini, L.Maiani and M.Testa, Phys. Rev. Lett. (1993).

P. Facchi and S. Pascazio, La regola d'oro di Fermi, (Bibliopolis, 1999).

<sup>¶</sup>M. B., P. Jizba and L. Smaldone, Phys. Rev. D (2019)

# A toy model

0 + 1D field theory (QM)

$$L = \frac{1}{2} \left( \frac{dx_A}{dt} \right)^2 - \frac{\omega_A^2}{2} x_A^2 + \frac{1}{2} \left( \frac{dx_B}{dt} \right)^2 - \frac{\omega_B^2}{2} y^2 - \omega_{AB}^2 x_A x_B$$

In the interaction picture

$$x_\sigma(t) = \frac{1}{\sqrt{2\omega_A}} (a_\sigma e^{-i\omega_\sigma t} + a_\sigma^\dagger e^{i\omega_\sigma t}), \quad \sigma = A, B$$

“Flavor” states

$$|A\rangle = a_A^\dagger |0\rangle, \quad |B\rangle = a_B^\dagger |0\rangle$$

Interaction term

$$H_{int} = \omega_{AB}^2 x_A(t) x_B(t) = \frac{\omega_{AB}^2}{2\sqrt{\omega_A \omega_B}} \left[ a_B^\dagger a_A e^{i(\omega_B - \omega_A)t} + a_B^\dagger a_A^\dagger e^{i(\omega_A + \omega_B)t} + h.c. \right]$$



# Decay probability

- Consider the process

$$|A\rangle \rightarrow |B\rangle$$



Amplitude at first order in  $\omega_{AB}^2$

$$\langle B|U(t_f, t_i)|A\rangle = \frac{\omega_{AB}^2}{\sqrt{2\omega_A}\sqrt{2\omega_B}} \frac{e^{-i(\omega_A - \omega_B)t_f} - e^{-i(\omega_A - \omega_B)t_i}}{(\omega_A - \omega_B)}$$

Transition probability

$$\mathcal{P}_{A \rightarrow B}(\Delta t) = \frac{\omega_{AB}^4}{\omega_A \omega_B} \frac{\sin^2 \left[ \frac{(\omega_A - \omega_B)\Delta t}{2} \right]}{(\omega_A - \omega_B)^2} \quad \Delta t = t_f - t_i$$

# Decay probability

- The other non-trivial process

$$|A\rangle \rightarrow |A\rangle|A\rangle|B\rangle$$



Normalized amplitude (first order in  $\omega_{AB}^2$ )

$$\frac{1}{\sqrt{2}} \langle 0 | a_B a_A^2 U(t_f, t_i) a_A^\dagger | 0 \rangle = \frac{\sqrt{2} \omega_{AB}^2}{\sqrt{2} \omega_A \sqrt{2} \omega_B} \frac{e^{-i(\omega_A + \omega_B)t_f} - e^{-i(\omega_A + \omega_B)t_i}}{(\omega_A + \omega_B)}$$

Hence

$$\mathcal{P}_{A \rightarrow AAB}(\Delta t) = \frac{2\omega_{AB}^4}{\omega_A \omega_B} \frac{\sin^2 \left[ \frac{(\omega_A + \omega_B)\Delta t}{2} \right]}{(\omega_A + \omega_B)^2}$$

# Decay probability

Flavor transition (decay) probability  $\mathcal{P}_{A \rightarrow B}(\Delta t) + \mathcal{P}_{A \rightarrow AAB}(\Delta t)$

$$\mathcal{P}_D^A(\Delta t) = \frac{\omega_{AB}^4}{\omega_A \omega_B} \left[ \frac{\sin^2 \left[ \frac{(\omega_A - \omega_B) \Delta t}{2} \right]}{(\omega_A - \omega_B)^2} + 2 \frac{\sin^2 \left[ \frac{(\omega_A + \omega_B) \Delta t}{2} \right]}{(\omega_A + \omega_B)^2} \right]$$

# Survival probability

## Survival amplitude

$$\langle A|U(t_f, t_i)|A\rangle = 1 - i\mathcal{T}\langle 0|a_A \int_{t_i}^{t_f} dt_1 H_{int}(t_1) \int_{t_i}^{t_1} dt_2 H_{int}(t_2) a_A^\dagger |0\rangle$$

one gets the survival probability of the state  $|A\rangle$  as:

$$\begin{aligned} \mathcal{P}_{A\rightarrow A}(\Delta t) &= \left| 1 - \frac{\omega_{AB}^4}{4\omega_A\omega_B} \left[ 2\frac{t}{i(\omega_A + \omega_B)} - 2\frac{e^{-i(\omega_A + \omega_B)\Delta t} - 1}{(\omega_A + \omega_B)^2} \right. \right. \\ &\quad \left. \left. + \frac{t}{-i(\omega_A - \omega_B)} - \frac{e^{i(\omega_A - \omega_B)\Delta t} - 1}{(\omega_A - \omega_B)^2} \right] \right|^2 \\ &= |1 - R - iI|^2 = 1 - 2R + \dots, \end{aligned}$$

with

$$R = \frac{\omega_{AB}^4}{2\omega_A\omega_B} \left( \frac{\sin^2 \left[ \frac{(\omega_A - \omega_B)\Delta t}{2} \right]}{(\omega_A - \omega_B)^2} + 2\frac{\sin^2 \left[ \frac{(\omega_A + \omega_B)\Delta t}{2} \right]}{(\omega_A + \omega_B)^2} \right),$$

# Survival probability

$$\mathcal{P}_S^A(\Delta t) = 1 - \frac{\omega_{AB}^4}{\omega_A \omega_B} \left( \frac{\sin^2 \left[ \frac{(\omega_A - \omega_B) \Delta t}{2} \right]}{(\omega_A - \omega_B)^2} + 2 \frac{\sin^2 \left[ \frac{(\omega_A + \omega_B) \Delta t}{2} \right]}{(\omega_A + \omega_B)^2} \right)$$

Unitarity

$$\mathcal{P}_S^A(\Delta t) + \mathcal{P}_D^A(\Delta t) = 1$$

# Diagonalization

Of course, the problem can be also solved by introducing the rotation

$$\begin{pmatrix} x_A \\ x_B \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

with

$$\begin{aligned} \tan 2\theta &= \frac{2\omega_{AB}^2}{\omega_B^2 - \omega_A^2}, \\ \omega_A^2 &= \omega_1^2 \cos^2 \theta + \omega_2^2 \sin^2 \theta, \\ \omega_B^2 &= \omega_1^2 \sin^2 \theta + \omega_2^2 \cos^2 \theta. \end{aligned}$$

Denoting  $|\Omega\rangle$  the vacuum of the full Hamiltonian ( $a_i |\Omega\rangle$ ,  $i = 1, 2$ ), one may also consider the state

$$|a\rangle = \cos \theta a_1^\dagger |\Omega\rangle + \sin \theta a_2^\dagger |\Omega\rangle,$$

yet it is clear that  $|a\rangle \neq |A\rangle = a_A^\dagger |a\rangle$ ,

# Diagonalization

In terms of  $|a\rangle$ , the survival probability takes the form:

$$\mathcal{P}_S^a(\Delta t) = 1 - \sin^2 2\theta \sin^2 \left[ \frac{(\omega_1 - \omega_2)\Delta t}{2} \right].$$

In the limit of small  $\theta$ , the previous expression is approximated by:

$$\begin{aligned} \mathcal{P}_S^a(\Delta t) &\simeq 1 - \frac{4\omega_{AB}^4}{(\omega_B^2 - \omega_A^2)^2} \sin^2 \left[ \frac{(\omega_A - \omega_B)\Delta t}{2} \right] \\ &= 1 - \frac{4\omega_{AB}^4}{(\omega_B + \omega_A)^2} \frac{\sin^2 \left[ \frac{(\omega_A - \omega_B)\Delta t}{2} \right]}{(\omega_B - \omega_A)^2}. \end{aligned}$$

which is different from the perturbative formula both for the absence of the fast oscillating term and for the amplitude of the standard oscillating term.

# Scalar field mixing

Lagrangian

$$\mathcal{L} = \frac{1}{2} (\partial_\alpha \phi_A)^2 - \frac{m_A^2}{2} \phi_A^2 + \frac{1}{2} (\partial_\alpha \phi_B)^2 - \frac{m_B^2}{2} \phi_B^2 - m_{AB}^2 \phi_A \phi_B$$

In the interaction picture (in a volume  $V$  box)

$$\phi_A(x) = \frac{1}{\sqrt{V}} \sum_{\mathbf{k}=2\pi\mathbf{n}/L} \frac{1}{\sqrt{2\omega_{\mathbf{k},A}}} \left( a_{\mathbf{k},A} e^{-ikx} + a_{\mathbf{k},A}^\dagger e^{ikx} \right)$$

One-particle state

$$|A, \mathbf{p}\rangle = a_{\mathbf{p},A}^\dagger |0\rangle$$

The same for  $\phi_B$ .



# Decay probability

- Process  $|A, \mathbf{p}\rangle \rightarrow |B, \mathbf{k}\rangle$

$$\mathcal{A}_{A \rightarrow B}(\mathbf{p}, \mathbf{k}; t_i, t_f) = \frac{m_{AB}^2}{\sqrt{2\omega_{\mathbf{p},A}}\sqrt{2\omega_{\mathbf{k},B}}} \delta_{\mathbf{k},\mathbf{p}} \frac{e^{-i(\omega_{\mathbf{p},A}-\omega_{\mathbf{k},B})t_f} - e^{-i(\omega_{\mathbf{p},A}-\omega_{\mathbf{k},B})t_i}}{\omega_{\mathbf{p},A} - \omega_{\mathbf{k},B}}$$

Probability

$$\mathcal{P}_{A \rightarrow B}(\mathbf{p}; \Delta t) = \sum_{\mathbf{k}} |\mathcal{A}_{A \rightarrow B}(\mathbf{p}, \mathbf{k}; t_i, t_f)|^2$$

Then

$$\mathcal{P}_{A \rightarrow B}(\mathbf{p}; \Delta t) = \frac{m_{AB}^4}{\omega_{\mathbf{p},A}\omega_{\mathbf{p},B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p},A}-\omega_{\mathbf{p},B})\Delta t}{2} \right]}{(\omega_{\mathbf{p},A} - \omega_{\mathbf{p},B})^2}$$

# Decay probability

- The other non-trivial process

$$|A, \mathbf{p}\rangle \rightarrow |A, \mathbf{k}_1\rangle |A, \mathbf{k}_2\rangle |B, \mathbf{k}_3\rangle$$

- When  $\mathbf{k}_1 \neq \mathbf{k}_2$

$$\mathcal{P}_{A \rightarrow AAB}^{\mathbf{k}_1 \neq \mathbf{k}_2}(\mathbf{p}; \Delta t) = \sum_{\mathbf{k}_3} \frac{m_{AB}^4}{\omega_{\mathbf{k}_3, A} \omega_{\mathbf{k}_3, B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{k}_3, A} + \omega_{\mathbf{k}_3, B}) \Delta t}{2} \right]}{(\omega_{\mathbf{k}_3, A} + \omega_{\mathbf{k}_3, B})^2} - \frac{m_{AB}^4}{\omega_{\mathbf{p}, A} \omega_{\mathbf{p}, B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p}, A} + \omega_{\mathbf{p}, B}) \Delta t}{2} \right]}{(\omega_{\mathbf{p}, A} + \omega_{\mathbf{p}, B})^2}$$

Large  $V$

$$\mathcal{P}_{A \rightarrow AAB}^{\mathbf{k}_1 \neq \mathbf{k}_2}(\mathbf{p}; \Delta t) = V \int \frac{d^3 \mathbf{k}_3}{(2\pi)^3} \frac{m_{AB}^4}{\omega_{\mathbf{k}_3, A} \omega_{\mathbf{k}_3, B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{k}_3, A} + \omega_{\mathbf{k}_3, B}) \Delta t}{2} \right]}{(\omega_{\mathbf{k}_3, A} + \omega_{\mathbf{k}_3, B})^2} - \frac{m_{AB}^4}{\omega_{\mathbf{p}, A} \omega_{\mathbf{p}, B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p}, A} + \omega_{\mathbf{p}, B}) \Delta t}{2} \right]}{(\omega_{\mathbf{p}, A} + \omega_{\mathbf{p}, B})^2}$$

First piece on the r.h.s. IR divergent vacuum contribution

# Total decay probability

- When  $\mathbf{k}_1 = \mathbf{k}_2$

$$\mathcal{P}_{A \rightarrow AAB}^{\mathbf{k}_1 = \mathbf{k}_2}(\mathbf{p}, \Delta t) = 2 \frac{m_{AB}^4}{\omega_{\mathbf{p},A} \omega_{\mathbf{p},B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p},A} + \omega_{\mathbf{p},B}) \Delta t}{2} \right]}{(\omega_{\mathbf{p},A} + \omega_{\mathbf{p},B})^2}.$$

- Total decay probability

$$\mathcal{P}_D^A(\mathbf{p}; \Delta t) = \mathcal{P}_{A \rightarrow B}(\mathbf{p}; \Delta t) + \mathcal{P}_{A \rightarrow AAB}^{\mathbf{k}_1 \neq \mathbf{k}_2}(\mathbf{p}; \Delta t) + \mathcal{P}_{A \rightarrow AAB}^{\mathbf{k}_1 = \mathbf{k}_2}(\mathbf{p}; \Delta t)$$

Subtracting the divergent term

$$\mathcal{P}_D^A(\mathbf{p}; \Delta t) = \frac{m_{AB}^4}{\omega_{\mathbf{p},A} \omega_{\mathbf{p},B}} \left( \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p},A} - \omega_{\mathbf{p},B}) \Delta t}{2} \right]}{(\omega_{\mathbf{p},A} - \omega_{\mathbf{p},B})^2} + \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p},A} + \omega_{\mathbf{p},B}) \Delta t}{2} \right]}{(\omega_{\mathbf{p},A} + \omega_{\mathbf{p},B})^2} \right)$$

- Survival process

$$|A, \mathbf{p}\rangle \rightarrow |A, \mathbf{k}\rangle$$

Amplitude

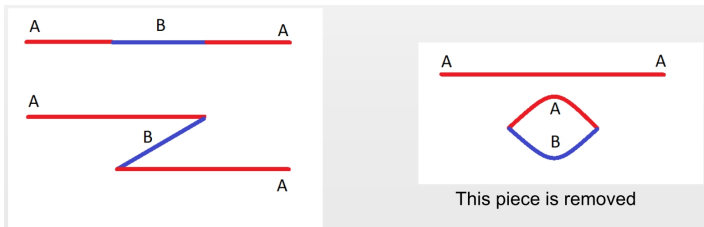
$$\mathcal{A}_{A \rightarrow A}(\mathbf{p}, \mathbf{k}; t_i, t_f) = \delta_{\mathbf{k}, \mathbf{p}} + (-i)^2 \int_{t_i}^{t_f} dt_1 \int_{t_i}^{t_1} dt_2 \langle 0 | a_{\mathbf{k}, A} H_{int}(t_1) H_{int}(t_2) a_{\mathbf{p}, A}^\dagger | 0 \rangle$$

Unitarity

$$\mathcal{P}_D^A(\mathbf{p}; \Delta t) + \mathcal{P}_S^A(\mathbf{p}; \Delta t) = 1$$

- Survival probability

$$\begin{aligned}
 \mathcal{P}_S^A(\mathbf{p}; \Delta t) &= \sum_{\mathbf{k}} |\mathcal{A}_{A \rightarrow A}(\mathbf{p}, \mathbf{k}; t_i, t_f)|^2 \\
 &= 1 - \frac{m_{AB}^4}{\omega_{\mathbf{p},A}\omega_{\mathbf{p},B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p},A} - \omega_{\mathbf{p},B})\Delta t}{2} \right]}{(\omega_{\mathbf{p},A} - \omega_{\mathbf{p},B})^2} - \frac{m_{AB}^4}{\omega_{\mathbf{p},A}\omega_{\mathbf{p},B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{p},A} + \omega_{\mathbf{p},B})\Delta t}{2} \right]}{(\omega_{\mathbf{p},A} + \omega_{\mathbf{p},B})^2} \\
 &\quad - V \int \frac{d^3 \mathbf{q}_1}{(2\pi)^3} \frac{m_{AB}^4}{\omega_{\mathbf{q}_1,A} 2\omega_{\mathbf{q}_1,B}} \frac{\sin^2 \left[ \frac{(\omega_{\mathbf{q}_1,A} + \omega_{\mathbf{q}_1,B})\Delta t}{2} \right]}{(\omega_{\mathbf{q}_1,A} + \omega_{\mathbf{q}_1,B})^2},
 \end{aligned}$$



# Neutrino oscillations in the interaction picture

Neutrino fields

$$\nu_\sigma(x) = \frac{1}{\sqrt{V}} \sum_{\mathbf{k}, r} \left[ u_{\mathbf{k}, \sigma}^r(t) \alpha_{\mathbf{k}, \sigma}^r + v_{-\mathbf{k}, \sigma}^r(t) \beta_{-\mathbf{k}, \sigma}^{r\dagger} \right] e^{i\mathbf{k} \cdot \mathbf{x}}$$

with  $\sigma = e, \mu$ . Spinor normalization

$$u_{\mathbf{k}, \rho}^{r\dagger} u_{\mathbf{k}, \rho}^s = v_{\mathbf{k}, \rho}^{r\dagger} v_{\mathbf{k}, \rho}^s = \delta_{rs} \quad , \quad u_{\mathbf{k}, \rho}^{r\dagger} v_{-\mathbf{k}, \rho}^s = 0$$

Neutrino flavor state

$$|\nu_{\mathbf{p}, \sigma}^r\rangle \equiv \alpha_{\mathbf{p}, \sigma}^{r\dagger} |0\rangle$$

## Interaction Hamiltonian

$$\begin{aligned}
 H_{int}(t) = m_{e\mu} \sum_{s,s'=1,2} \sum_{\mathbf{p}} & \left[ \beta_{\mathbf{p},\mu}^s \beta_{\mathbf{p},e}^{s\dagger} \delta_{ss'} W_{\mathbf{p}}^*(t) + \alpha_{\mathbf{p},\mu}^{r\dagger} \alpha_{\mathbf{p},e}^r \delta_{ss'} W_{\mathbf{p}}(t) \right. \\
 & \left. + \beta_{-\mathbf{p},\mu}^s \alpha_{e,\mathbf{p}}^{s'} \left( Y_{\mathbf{p}}^{ss'}(t) \right)^* + \alpha_{\mathbf{p},\mu}^{s\dagger} \beta_{-\mathbf{p},e}^{s'\dagger} Y_{\mathbf{p}}^{ss'}(t) + e \leftrightarrow \mu \right]
 \end{aligned}$$

where

$$\begin{aligned}
 W_{\mathbf{p}}(t) &= \bar{u}_{\mathbf{p},\mu}^s u_{\mathbf{p},e}^s e^{i(\omega_{\mathbf{k},\mu} - \omega_{\mathbf{k},e})t} = W_{\mathbf{p}} e^{i(\omega_{\mathbf{p},\mu} - \omega_{\mathbf{p},e})t} \\
 Y_{\mathbf{p}}^{ss'}(t) &= \bar{u}_{\mathbf{p},\mu}^s v_{-\mathbf{p},e}^{s'} e^{i(\omega_{\mathbf{k},\mu} + \omega_{\mathbf{k},e})t} = Y_{\mathbf{p}}^{ss'} e^{i(\omega_{\mathbf{p},\mu} + \omega_{\mathbf{p},e})t}
 \end{aligned}$$

Explicit form of coefficients:

$$W_{\mathbf{p}} = \sqrt{\frac{(\omega_{\mathbf{p},e} + m_e)(\omega_{\mathbf{p},\mu} + m_\mu)}{4\omega_{\mathbf{p},e}\omega_{\mathbf{p},\mu}}} \left( 1 - \frac{|\mathbf{p}|^2}{(\omega_{\mathbf{p},e} + m_e)(\omega_{\mathbf{p},\mu} + m_\mu)} \right)$$

$$Y_{\mathbf{p}}^{22} = -Y_{\mathbf{p}}^{11} = \frac{p_3}{\sqrt{4\omega_{\mathbf{p},e}\omega_{\mathbf{p},\mu}}} \left( \sqrt{\frac{\omega_{\mathbf{p},\mu} + m_\mu}{\omega_{\mathbf{p},e} + m_e}} + \sqrt{\frac{\omega_{\mathbf{p},e} + m_e}{\omega_{\mathbf{p},\mu} + m_\mu}} \right)$$

$$Y_{\mathbf{p}}^{12} = (Y_{\mathbf{p}}^{21})^* = -\frac{p_1 - ip_2}{\sqrt{4\omega_{\mathbf{p},e}\omega_{\mathbf{p},\mu}}} \left( \sqrt{\frac{\omega_{\mathbf{p},\mu} + m_\mu}{\omega_{\mathbf{p},e} + m_e}} + \sqrt{\frac{\omega_{\mathbf{p},e} + m_e}{\omega_{\mathbf{p},\mu} + m_\mu}} \right)$$



# Decay probability

- Amplitude of the  $|\nu_{\mathbf{p},e}^r\rangle \rightarrow |\nu_{\mathbf{k},\mu}^s\rangle$  process

$$\mathcal{A}_{e\rightarrow\mu}^{rs}(\mathbf{p}, \mathbf{k}; t_i, t_f) = \delta_{rs}\delta_{\mathbf{k},\mathbf{p}} \frac{m_{e\mu} W_{\mathbf{p}}}{\omega_{\mathbf{p},e} - \omega_{\mathbf{p},\mu}} \left( e^{i(\omega_{\mathbf{p},\mu} - \omega_{\mathbf{p},e})t_f} - e^{i(\omega_{\mathbf{p},\mu} - \omega_{\mathbf{p},e})t_i} \right)$$

## Probability

$$\mathcal{P}_{e\rightarrow\mu}(\mathbf{p}; \Delta t) = \sum_{\mathbf{k},s} |\mathcal{A}_{e\rightarrow\mu}^{rs}(\mathbf{p}, \mathbf{k}; t_i, t_f)|^2$$

## Explicitly

$$\mathcal{P}_{e\rightarrow\mu}(\mathbf{p}; \Delta t) = W_{\mathbf{p}}^2 \frac{4m_{e\mu}^2}{(\omega_{\mathbf{p},e} - \omega_{\mathbf{p},\mu})^2} \sin^2 \left[ \frac{(\omega_{\mathbf{p},\mu} - \omega_{\mathbf{p},e}) \Delta t}{2} \right]$$

# Decay probability

- Consider the process

$$|\nu_{\mathbf{p},e}^r\rangle \rightarrow |\nu_{\mathbf{k}_1,e}^{s_1}\rangle |\nu_{\mathbf{k}_2,e}^{s_2}\rangle |\bar{\nu}_{\mathbf{k}_3,\mu}^{s_3}\rangle, \quad \mathbf{k}_1 \neq \mathbf{k}_2 \vee s_1 \neq s_2.$$

Probability (After non-trivial subtractions!)

$$\mathcal{P}_{e \rightarrow ee\bar{\mu}}(\mathbf{p}; \Delta t) = \frac{4m_{e\mu}^2 Y_{\mathbf{p}}^2}{(\omega_{\mathbf{p},e} + \omega_{\mathbf{p},\mu})^2} \sin^2 \left( \frac{(\omega_{\mathbf{p},\mu} + \omega_{\mathbf{p},e}) \Delta t}{2} \right)$$

where

$$Y_{\mathbf{p}}^2 = \sum_{\mathbf{s}} (Y_{\mathbf{p}}^{rs})^* Y_{\mathbf{p}}^{rs}$$

# Neutrino oscillation formula

Total flavor transition probability

$$\mathcal{P}_D^e(\mathbf{p}; \Delta t) = 4m_{e\mu}^2 \left[ \frac{W_{\mathbf{p}}^2}{(\omega_{\mathbf{p}}^-)^2} \sin^2 \left( \frac{\omega_{\mathbf{p}}^- \Delta t}{2} \right) + \frac{Y_{\mathbf{p}}^2}{(\omega_{\mathbf{p}}^+)^2} \sin^2 \left( \frac{\omega_{\mathbf{p}}^+ \Delta t}{2} \right) \right]$$

with  $\omega_{\mathbf{p}}^\pm \equiv \omega_{\mathbf{p},e} \pm \omega_{\mathbf{p},\mu}$ . Note that

$$|U_{\mathbf{p}}| = W_{\mathbf{p}} \frac{m_\mu - m_e}{\omega_{\mathbf{p}}^-}, \quad |V_{\mathbf{p}}| = Y_{\mathbf{p}} \frac{m_\mu - m_e}{\omega_{\mathbf{p}}^+}$$

when  $m_1 \approx m_e$ ,  $m_2 \approx m_\mu$ . Then

$$\mathcal{P}_D^e(\mathbf{p}; \Delta t) = \sin^2(2\theta) \left[ |U_{\mathbf{p}}|^2 \sin^2 \left( \frac{\omega_{\mathbf{p}}^- \Delta t}{2} \right) + |V_{\mathbf{p}}|^2 \sin^2 \left( \frac{\omega_{\mathbf{p}}^+ \Delta t}{2} \right) \right]$$

with  $\theta = m_{e\mu}/(m_\mu - m_e) \approx \sin \theta$ . Oscillation formula of the flavor Fock-space approach!!

# Survival probability

- The amplitude of  $|\nu_{\mathbf{p},e}^r\rangle \rightarrow |\nu_{\mathbf{k},e}^s\rangle$  is decomposed as

$$\mathcal{A}_{e \rightarrow e}^{rs}(\mathbf{p}, \mathbf{k}; t_i, t_f) = \delta_{\mathbf{k}, \mathbf{p}} \delta_{rs} + \frac{1}{2} \mathcal{A}_{e \rightarrow e}^{(2)rs}(\mathbf{p}, \mathbf{k}; t_i, t_f)$$

## Probability

$$\mathcal{P}_S^e(\mathbf{p}; \Delta t) = \sum_{\mathbf{k}, s} \mathcal{A}_{e \rightarrow e}^{rs}(\mathbf{p}, \mathbf{k}, t_i, t_f) \approx 1 + 2 \Re e \left( \tilde{\mathcal{A}}_{e \rightarrow e}^{(2)}(\mathbf{p}; t_i, t_f) \right)$$

with

$$\tilde{\mathcal{A}}_{e \rightarrow e}^{(2)}(\mathbf{p}; t_i, t_f) \equiv \sum_{\mathbf{k}, s} \mathcal{A}_{e \rightarrow e}^{(2)rs}(\mathbf{p}, \mathbf{k}; t_i, t_f)$$

$$\mathcal{P}_D^e(\mathbf{p}; \Delta t) + \mathcal{P}_S^e(\mathbf{p}; \Delta t) = 1.$$

# Conclusions and perspectives

- The interaction picture approach\* matches results of the flavor Fock space approach, at the lowest order in  $m_{e\mu}$
- It should be possible to sum up the perturbative series and recover the flavor space (nonperturbative) result.
- Chiral oscillations should be also accommodated in this scheme

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\*M.B., F.Giacosa, L.Smaldone and G.Torrieri, EPJC (2023)

Chiral oscillations, neutrino  
entanglement, etc.

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# Chiral oscillations

- Taking into account (bi)spinorial nature of neutrinos and chiral nature of weak interaction, one naturally gets chiral oscillations <sup>\*</sup>
- Interplay with flavor oscillations in the non-relativistic region <sup>†</sup>
- For  $C\nu B$ , chiral oscillations reduce detection by a factor of 2. <sup>‡</sup>
- Application: lepton-antineutrino entanglement and chiral oscillations in pion decay. <sup>§</sup>

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<sup>\*</sup>A. Bernardini and S. De Leo, Phys. Rev. D (2005)

<sup>†</sup>V.A.Bittencourt, A.Bernardini and M.B., Eur.Phys.J.C(2021); EPL Persp.(2022);

<sup>‡</sup>S.-F. Ge and P.Pasquini, Phys. Lett. B (2020)

<sup>§</sup>V.A.Bittencourt, A.Bernardini and M.B., Universe (2021)

# Chiral oscillations

Chiral representation of the Dirac matrices

$$\alpha_i = \begin{bmatrix} \sigma_i & 0 \\ 0 & -\sigma_i \end{bmatrix}, \quad \beta = \begin{bmatrix} 0 & I_2 \\ I_2 & 0 \end{bmatrix},$$

and  $\gamma_5 = (I_2, -I_2)$ . Any bispinor  $|\xi\rangle$  can be written in this representation as

$$|\xi\rangle = \begin{bmatrix} |\xi_R\rangle \\ |\xi_L\rangle \end{bmatrix},$$

The Dirac equation  $H_D |\xi\rangle = i\dot{|\xi\rangle}$  can then be written as

$$\begin{aligned} i\partial_t |\xi_R\rangle - \mathbf{p} \cdot \boldsymbol{\sigma} |\xi_R\rangle &= m |\xi_L\rangle, \\ i\partial_t |\xi_L\rangle + \mathbf{p} \cdot \boldsymbol{\sigma} |\xi_L\rangle &= m |\xi_R\rangle, \end{aligned}$$

- Evolution under the free Dirac Hamiltonian  $\hat{H}_D$  induces left-right chiral oscillations.



Take initial state  $|\psi(0)\rangle = [0, 0, 0, 1]^T$  which has negative helicity and negative chirality:  $\hat{\gamma}_5 |\psi(0)\rangle = -|\psi(0)\rangle$ .

The time evolved state  $|\psi_m(t)\rangle = e^{-i\hat{H}_{D^t}t} |\psi(0)\rangle$  is given by

$$|\psi_m(t)\rangle = \sqrt{\frac{E_{p,m} + m}{4E_{p,m}}} \left[ \left(1 + \frac{p}{E_{p,m} + m}\right) e^{-iE_{p,m}t} |u_-(p, m)\rangle - \left(1 - \frac{p}{E_{p,m} + m}\right) e^{iE_{p,m}t} |v_-(-p, m)\rangle \right],$$

with (for one-dimensional propagation along the  $\mathbf{e}_z$  direction)

$$|u_{\pm}(p, m)\rangle = \sqrt{\frac{E_{p,m} + m}{4E_{p,m}}} \left[ \begin{array}{l} \left(1 \pm \frac{p}{E_{p,m} + m}\right) |\pm\rangle \\ \left(1 \mp \frac{p}{E_{p,m} + m}\right) |\pm\rangle \end{array} \right],$$

$$|v_{\pm}(p, m)\rangle = \sqrt{\frac{E_{p,m} + m}{4E_{p,m}}} \left[ \begin{array}{l} \left(1 \pm \frac{p}{E_{p,m} + m}\right) |\pm\rangle \\ -\left(1 \mp \frac{p}{E_{p,m} + m}\right) |\pm\rangle \end{array} \right],$$

- Survival probability of initial left-handed state

$$\mathcal{P}(t) = |\langle \psi_m(0) | \psi_m(t) \rangle|^2 = 1 - \frac{m^2}{E_{p,m}^2} \sin^2(E_{p,m}t),$$

Average value of the chiral operator  $\langle \hat{\gamma}_5 \rangle(t)$

$$\langle \hat{\gamma}_5 \rangle(t) = \langle \psi_m(t) | \hat{\gamma}_5 | \psi_m(t) \rangle = -1 + \frac{2m^2}{E_{p,m}^2} \sin^2(E_{p,m}t).$$

– Chiral oscillation period:  $T_{coh} = \frac{2\pi}{E_{p,m}}$

– Chiral oscillation length:  $L_{coh} = v \frac{2\pi}{E_{p,m}} = \frac{2\pi p}{E_{p,m}^2}$

# Chiral and flavor oscillations

- State of a neutrino of flavor  $\alpha$  at a given  $t$ :

$$|\nu_\alpha(t)\rangle = \sum_i U_{\alpha,i} |\psi_{m_i}(t)\rangle \otimes |\nu_i\rangle,$$

where  $|\psi_{m_i}(t)\rangle$  are bispinors.

- The state at  $t = 0$  reads

$$|\nu_\alpha(0)\rangle = |\psi(0)\rangle \otimes \sum_i U_{\alpha,i} |\nu_i\rangle = |\psi(0)\rangle \otimes |\nu_\alpha\rangle,$$

where  $|\psi(0)\rangle$  is a left handed bispinor.

- Survival probability:

$$\mathcal{P}_{\alpha \rightarrow \alpha} = |\langle \nu_\alpha(0) | \nu_\alpha(t) \rangle|^2 = \left| \sum_i |U_{\alpha,i}|^2 \langle \psi(0) | \psi_{m_i}(t) \rangle \right|^2.$$

Two flavor mixing:

$$|\nu_e(t)\rangle = [\cos^2 \theta |\psi_{m_1}(t)\rangle + \sin^2 \theta |\psi_{m_2}(t)\rangle] \otimes |\nu_e\rangle \\ + \sin \theta \cos \theta [|\psi_{m_1}(t)\rangle - |\psi_{m_2}(t)\rangle] \otimes |\nu_\mu\rangle,$$

- The survival probability can be decomposed as

$$\mathcal{P}_{e \rightarrow e}(t) = \mathcal{P}_{e \rightarrow e}^S(t) + \mathcal{A}_e(t) + \mathcal{B}_e(t).$$

$\mathcal{P}_{e \rightarrow e}^S(t)$  is the standard flavor oscillation formula

$$\mathcal{P}_{e \rightarrow e}^S(t) = 1 - \sin^2 2\theta \sin^2 \left( \frac{E_{p,m_2} - E_{p,m_1}}{2} t \right)$$

and

$$\mathcal{A}_e(t) = - \left[ \frac{m_1}{E_{p,m_1}} \cos^2 \theta \sin(E_{p,m_1} t) + \frac{m_2}{E_{p,m_2}} \sin^2 \theta \sin(E_{p,m_2} t) \right]^2,$$

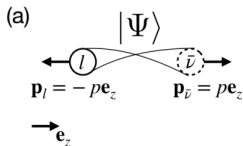
$$\mathcal{B}_e(t) = \frac{1}{2} \sin^2 2\theta \sin(E_{p,m_1} t) \sin(E_{p,m_2} t) \left( \frac{p^2 + m_1 m_2}{E_{p,m_1} E_{p,m_2}} - 1 \right),$$



are correction terms due to the bispinorial structure.

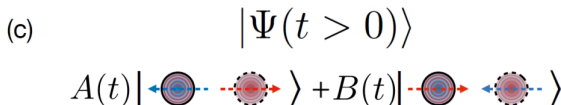
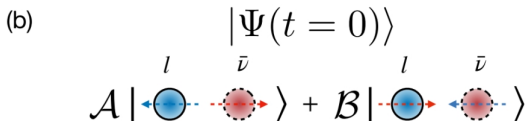
- Agreement with the QFT formula.

# Lepton-antineutrino entanglement and chiral oscillations\*

- As an application of chiral oscillations, we consider induced spin correlations in pion decay products ( $\pi \rightarrow l + \bar{\nu}$ )



	Chiralities $\langle \hat{\gamma}_5 \rangle$ :
	-1 (left handed)
	+1 (right handed)



\*V.A.Bittencourt, A.Bernardini and M.B., Universe (2021)

V.A.Bittencourt, M.B. and G.Zanfardino, arXiv:2308.14574

# Entanglement in neutrino mixing<sup>†</sup>

- Flavor mixing (neutrinos)

$$|\nu_e\rangle = \cos\theta |\nu_1\rangle + \sin\theta |\nu_2\rangle$$

$$|\nu_\mu\rangle = -\sin\theta |\nu_1\rangle + \cos\theta |\nu_2\rangle$$

- Correspondence with two-qubit states:

$$|\nu_1\rangle \equiv |1\rangle_1|0\rangle_2 \equiv |10\rangle, \quad |\nu_2\rangle \equiv |0\rangle_1|1\rangle_2 \equiv |01\rangle,$$

$|\rangle_i$  denotes states in the Hilbert space for neutrinos with mass  $m_i$ .

$\Rightarrow$  flavor states are entangled superpositions of the mass eigenstates:

$$|\nu_e\rangle = \cos\theta |10\rangle + \sin\theta |01\rangle.$$

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<sup>†</sup>M.B., F.Dell'Anno, S.De Siena and F.Illuminati, EPL (2009).

# Composite structure of Hilbert space for neutrinos

- Necessity of tensor-product structure of Hilbert space for two generations:

Orthogonality of Hilbert spaces for fields with different masses<sup>‡</sup>

Example: two scalar fields with different masses

$$(\square + \mu_1^2)\phi_1(x) = 0 \quad , \quad (\square + \mu_2^2)\phi_2(x) = 0$$

with boundary conditions  $\phi_1(0, \mathbf{x}) = \phi_2(0, \mathbf{x})$  and  $\dot{\phi}_1(0, \mathbf{x}) = \dot{\phi}_2(0, \mathbf{x})$

One obtains

$${}_1\langle 0|0\rangle_2 \simeq \exp \left\{ -\frac{V}{64\pi^2} \int_0^\infty dk \frac{(\mu_1^2 - \mu_2^2)^2}{k^2} \right\}$$

which vanishes in the infinite volume limit.

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<sup>‡</sup>G.Barton, *Introduction to Advanced Field Theory*, Intersc. Publ. (1963)

# (Flavor) Entanglement in neutrino oscillations

- Two-flavor neutrino states

$$|\underline{\nu}^{(f)}\rangle = \mathbf{U}(\theta, \delta) |\underline{\nu}^{(m)}\rangle$$

where  $|\underline{\nu}^{(f)}\rangle = (|\nu_e\rangle, |\nu_\mu\rangle)^T$  and  $|\underline{\nu}^{(m)}\rangle = (|\nu_1\rangle, |\nu_2\rangle)^T$  and

$$\mathbf{U}(\theta) = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}.$$

- Flavor states at time  $t$ :

$$|\underline{\nu}^{(f)}(t)\rangle = \mathbf{U}(\theta, \delta) \mathbf{U}_0(t) \mathbf{U}(\theta, \delta)^{-1} |\underline{\nu}^{(f)}\rangle \equiv \tilde{\mathbf{U}}(t) |\underline{\nu}^{(f)}\rangle,$$

with  $\mathbf{U}_0(t) = \begin{pmatrix} e^{-iE_1 t} & 0 \\ 0 & e^{-iE_2 t} \end{pmatrix}.$



- Transition probability for  $\nu_\alpha \rightarrow \nu_\beta$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t) = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = |\tilde{\mathbf{U}}_{\alpha\beta}(t)|^2.$$

- We now take the flavor states at initial time as our qubits:

$$|\nu_e\rangle \equiv |1\rangle_e |0\rangle_\mu \equiv |10\rangle_f, \quad |\nu_\mu\rangle \equiv |0\rangle_e |1\rangle_\mu \equiv |01\rangle_f,$$

- Starting from  $|10\rangle_f$  or  $|01\rangle_f$ , time evolution generates the (entangled) Bell-like states:

$$|\nu_\alpha(t)\rangle = \tilde{\mathbf{U}}_{\alpha e}(t) |1\rangle_e |0\rangle_\mu + \tilde{\mathbf{U}}_{\alpha\mu}(t) |0\rangle_e |1\rangle_\mu, \quad \alpha = e, \mu.$$

# Entanglement measure: linear entropy

- Let  $\rho = |\psi\rangle\langle\psi|$  be the density operator for a pure state  $|\psi\rangle$

Bipartition of the  $N$ -partite system  $S = \{S_1, S_2, \dots, S_N\}$  in two subsystems  $S_{A_n}, S_{B_{N-n}}$

- Reduced density matrix of  $S_{A_n}$  after tracing over  $S_{B_{N-n}}$ :

$$\rho_{A_n} \equiv \rho_{i_1, i_2, \dots, i_n} = \text{Tr}_{B_{N-n}}[\rho] = \text{Tr}_{j_1, j_2, \dots, j_{N-n}}[\rho]$$

- Linear entropy associated to such a bipartition:

$$S_L^{(A_n; B_{N-n})}(\rho) = \frac{d}{d-1}(1 - \text{Tr}_{A_n}[\rho_{A_n}^2]),$$

$d$  is the Hilbert-space dimension.

# Entanglement in neutrino oscillations: two-flavors

Consider the density matrix for the electron neutrino state  $\rho^{(e)} = |\nu_e(t)\rangle\langle\nu_e(t)|$ , and trace over mode  $\mu \Rightarrow \rho_e^{(e)}$ .

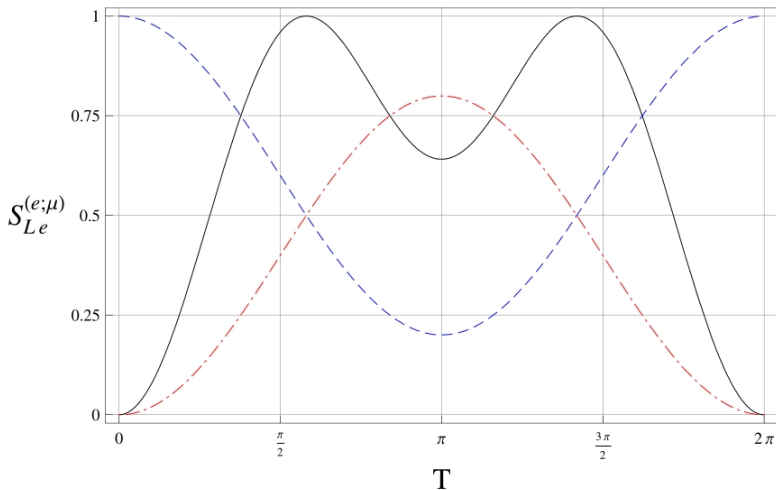
- The associated linear entropy is :

$$S_L^{(e;\mu)}(\rho^{(e)}) = 4 |\tilde{\mathbf{U}}_{e\mu}(t)|^2 |\tilde{\mathbf{U}}_{ee}(t)|^2 = 4 P_{\nu_e \rightarrow \nu_e}(t) P_{\nu_e \rightarrow \nu_\mu}(t)$$

The linear entropy for the state  $\rho^{(\alpha)}$  is:

$$\begin{aligned} S_{L\alpha}^{(e;\mu)} &= S_{L\alpha}^{(\mu;e)} = 4 |\tilde{\mathbf{U}}_{\alpha\mu}(t)|^2 |\tilde{\mathbf{U}}_{\alpha e}(t)|^2 \\ &= 4 |\tilde{\mathbf{U}}_{\alpha e}(t)|^2 (1 - |\tilde{\mathbf{U}}_{\alpha e}(t)|^2) \\ &= 4 |\tilde{\mathbf{U}}_{\alpha\mu}(t)|^2 (1 - |\tilde{\mathbf{U}}_{\alpha\mu}(t)|^2). \end{aligned}$$

- Linear entropy given by product of transition probabilities



Linear entropy  $S_{Le}^{(e;\mu)}$  (full) as a function of the scaled time  $T = \frac{2Et}{\Delta m_{12}^2}$ , with  $\sin^2 \theta = 0.314$ . Transition probabilities  $P_{\nu_e \rightarrow \nu_e}$  (dashed) and  $P_{\nu_e \rightarrow \nu_\mu}$  (dot-dashed) are reported for comparison.

# Quantum correlations in neutrino oscillations

- Recently, quantum correlations have been investigated in the context of high-energy particle physics;

Focus on neutrinos and mesons, which are candidates for quantum information applications beyond photons.

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M.B., F.Illuminati, L.Petruzziello and L.Smaldone, *Leggett-Garg inequalities in the quantum field theory of neutrino oscillations*, arXiv preprint arXiv:2111.09979

M. Blasone, F. Illuminati, L. Petruzziello, K. Simonov and L. Smaldone, *No-signaling-in-time as a condition for macrorealism: the case of neutrino oscillations*, Eur. Phys. J. C (2023)