

# Trace anomaly and induced action for metric-scalar backgrounds

**Manuel Asorey**

COLLABORATORS:

W. Silva , I. Shapiro & P. do Vale

Workshop on Standard Model and Beyond-Corfu, September 2023



Centro de Astropartículas y  
Física de Altas Energías  
Universidad Zaragoza



CENTRO DE CIENCIAS  
DE BENASQUE  
PEDRO PASCUAL

# Some small numbers in physics

- QCD  $\vartheta$  parameter

$$\vartheta < 10^{-10}$$

# Some small numbers in physics

- QCD  $\vartheta$  parameter

$$\vartheta < 10^{-10}$$

- Cosmological constant  $\Lambda$ ,

$$\Lambda_{\text{exp}}/\Lambda \approx 10^{-123}$$

- ...

# Some small numbers in physics

- QCD  $\vartheta$  parameter  $\vartheta < 10^{-10}$
- Cosmological constant  $\Lambda$ ,  $\Lambda_{\text{exp}}/\Lambda \approx 10^{-123}$
- ...
- Starobinsky inflation parameter  $m_s/M_P < 10^{-8}$

# Some small numbers in physics

- QCD  $\vartheta$  parameter  $\vartheta < 10^{-10}$
- Cosmological constant  $\Lambda$ ,  $\Lambda_{\text{exp}}/\Lambda \approx 10^{-123}$
- ...
- Starobinsky inflation parameter  $m_s/M_P < 10^{-8}$

## Particle Physics XXI:

Science of measuring 0 with increasing levels of precision

# Metric-scalar background

# Metric-scalar background

- After the Higgs boson discovery scalar fields become real
- In the Standard Model their role as background fields is essential

# Metric-scalar background

- After the Higgs boson discovery scalar fields become real
- In the Standard Model their role as background fields is essential
- Gravity also induces non-trivial metric backgrounds
- All other fields: fermions and gauge fields seem to have trivial backgrounds in vacuum
- The quantum effective action of scalar fields have relevant implication on the stability of th SM



# Metric-scalar background

- After the Higgs boson discovery scalar fields become real
- In the Standard Model their role as background fields is essential
- Gravity also induces non-trivial metric backgrounds
- All other fields: fermions and gauge fields seem to have trivial backgrounds in vacuum
- The quantum effective action of scalar fields have relevant implication on the stability of th SM
- Effective action of gravity can have cosmological implications

# Conformal anomaly in $SU(3)$ theory

$$\mathcal{L} = -\frac{1}{4e^2} G_{\mu\nu}^a G^{a\mu\nu} + i \sum_{k=1}^N \bar{\Psi}_k^a \left( \gamma^\mu \mathcal{D}_\mu^{ab} - h \varepsilon^{acb} \Phi^c \right) \Psi_k^b$$

$$+ \frac{1}{2} (\mathcal{D}^\mu \Phi)^a (\mathcal{D}_\nu \Phi)^a + \frac{1}{6} R \Phi^a \Phi^a - \frac{1}{4!} \lambda (\Phi^a \Phi^a)^2 + \tau \square \Phi^a \Phi^a$$

+ N fermions +1 scalar field in the adjoint representation

The action

$$S(\Phi, \Psi, g) = \int d^4x \sqrt{-g} \mathcal{L} = \int_{\mathcal{M}} \mathcal{L}$$

is invariant under Weyl transformations

$$g_{\mu\nu} = e^{2\sigma} \bar{g}_{\mu\nu}, \quad \Phi = e^{-\sigma} \bar{\Phi}, \quad \Psi = e^{-\frac{3}{2}\sigma} \bar{\Psi}, \quad \bar{\Psi}^* = e^{-\frac{3}{2}\sigma} \bar{\Psi}^*, \quad A = \bar{A},$$

# Conformal anomaly in a $SU(3)$ model

$$\bar{\Gamma}_{div}^{(1)} = -\frac{\mu^{n-4}}{n-4} \int d^n x \sqrt{-g} \left\{ wC^2 + bE_4 + c \square R \right. \\ \left. + \gamma_\Phi \left[ (D\Phi)^2 + \frac{1}{6} R\Phi^2 \right] - \frac{1}{4!} \tilde{\beta}_\lambda \Phi^4 + \beta_\tau \square \Phi^2 \right\},$$

where

$$C^2(4) = R_{\alpha\beta\mu\nu} R^{\alpha\beta\mu\nu} - 2R_{\alpha\beta} R^{\alpha\beta} + \frac{1}{3} R^2$$

is the square of the **Weyl tensor** and

$$E_4 = R_{\alpha\beta\mu\nu} R^{\alpha\beta\mu\nu} - 4R_{\alpha\beta} R^{\alpha\beta} + R^2$$

is the **Gauss-Bonnet** density topological term.

# Conformal anomaly in $SU(3)$ model

$$w = \frac{1}{(4\pi)^2} \left( \frac{1}{15} + \frac{2N}{5} + \frac{4}{5} \right)$$

$$b = -\frac{1}{(4\pi)^2} \left( \frac{1}{45} + \frac{11N}{45} + \frac{62}{45} \right),$$

$$c = \frac{1}{(4\pi)^2} \left( \frac{2}{45} + \frac{4N}{15} - \frac{4}{5} \right).$$

$$\gamma_{\Phi} = -\frac{8}{(4\pi)^2} (b^2 - e^2),$$

$$\tilde{\beta}_{\lambda} = \beta_{\lambda} + 4\lambda\gamma_{\Phi} = \frac{1}{(4\pi)^2} \left( \frac{11}{3}\lambda^2 - 8\lambda e^2 + 72e^4 - 96b^4 \right),$$

$$\beta_{\tau} = \frac{1}{(4\pi)^2} \left( \frac{5}{36}\lambda^2 + \frac{11}{3}e^2 - 4b^2 \right).$$

# Conformal anomaly with scalar fields

Renormalization requires to add a vacuum term.

$$S_{cv} = \int d^4x \sqrt{-g} \left\{ a_1 C^2 + a_2 E_4 + a_3 \square R \right\} \quad [\text{M. Duff}]$$

## Renormalization

$$\Gamma_{\text{ren}}^{(1)} = S + S_{cv} + \bar{\Gamma}_{\text{div}}^{(1)} + \bar{\Gamma}_{\text{fin}}^{(1)} + \Delta S^{(1)},$$

The surface terms  $\square \Phi^2$ ,  $E_4$ , and  $\square R$  are not Weyl invariant, but **Noether identity** holds.

# Noether identities

$$\begin{aligned}
 & -\frac{2}{\sqrt{-g}} g_{\mu\nu} \frac{\delta S(g_{\mu\nu}, \Phi, \Psi, A)}{\delta g_{\mu\nu}} + \frac{1}{\sqrt{-g}} \Phi \frac{\delta S(g_{\mu\nu}, \Phi, \Psi, A)}{\delta \Phi} \\
 & + \frac{3}{2} \frac{1}{\sqrt{-g}} \Psi \frac{\delta S(g_{\mu\nu}, \Phi, \Psi, A)}{\delta \Psi} = 0.
 \end{aligned}$$

$$-\frac{1}{\sqrt{-\bar{g}}} e^{-4\sigma} \left. \frac{\delta \bar{\Gamma}_{\text{div}}^{(1)}}{\delta \sigma} \right| = -\frac{1}{\sqrt{-\bar{g}}} e^{-4\sigma} \left. \frac{\delta \Delta \mathcal{S}^{(1)}}{\delta \sigma} \right| = 0$$

# Conformal anomaly

$$\begin{aligned}\langle \mathcal{T} \rangle &= -\frac{1}{\sqrt{-\bar{g}}} e^{-4\sigma} \frac{\delta \bar{\Gamma}_{\text{ren}}^{(1)}}{\delta \sigma} \Big| = -\frac{1}{\sqrt{-\bar{g}}} e^{-4\sigma} \frac{\delta \bar{\Gamma}_{\text{fin}}^{(1)}}{\delta \sigma} \Big| \\ &= -wC^2 - bE_4 - c\Box R + \frac{1}{4!} \tilde{\beta}_\lambda \Phi^4 - \beta_\tau \Box \Phi^2 \\ &\quad - \gamma_\Phi \left[ (\nabla \Phi)^2 + \frac{1}{6} R \Phi^2 \right].\end{aligned}$$

# Scalar Structures of Conformal Anomaly

## i) Real conformal terms

$$X_c = (\nabla \Phi)^2 + \frac{1}{6} R \Phi^2.$$

$$Y(g_{\mu\nu}, \Phi) = w C^2 + \gamma_\Phi X_c - \frac{1}{4!} \tilde{\beta}_\lambda \Phi^4.$$

## ii) Topological term

$$E_4$$

## iii) Total derivative terms

$$\square R \quad \square \Phi^2$$



# Effective Induced Action

$$-\frac{2}{\sqrt{-g}} g_{\mu\nu} \frac{\delta \Gamma_{ind}}{\delta g_{\mu\nu}} + \frac{1}{\sqrt{-g}} \Phi \frac{\delta \Gamma_{ind}}{\delta \Phi} = -\frac{1}{\sqrt{-g}} e^{-4\sigma} \frac{\delta \Gamma_{ind}}{\delta \sigma} \Big| = \langle \mathcal{T} \rangle,$$

i) Total derivative terms

$$-\frac{2}{\sqrt{-g}} g_{\mu\nu} \frac{\delta}{\delta g_{\mu\nu}} \int d^4x \sqrt{-g} R^2 = 12 \square R$$

$$\left( -\frac{2}{\sqrt{-g}} g_{\mu\nu} \frac{\delta}{\delta g_{\mu\nu}} + \frac{1}{\sqrt{-g}} \Phi \frac{\delta}{\delta \Phi} \right) \int d^4x \sqrt{-g} R \Phi^2 = 6 \square \Phi^2.$$

Paneitz operator

$$\Delta_4 = \square^2 + 2R^{\mu\nu} \nabla_\mu \nabla_\nu - \frac{2}{3} R \square + \frac{1}{3} (\nabla^\mu R) \nabla_\mu,$$

# Effective Induced Action

$$g_{\mu\nu} = e^{2\sigma} \bar{g}_{\mu\nu}, \quad \Phi = e^{-\sigma} \bar{\Phi},$$

$$\sqrt{-g} \left( E_4 - \frac{2}{3} \square R \right) = \sqrt{-\bar{g}} \left( \bar{E}_4 - \frac{2}{3} \square \bar{R} + 4\bar{\Delta}_4 \sigma \right),$$

Fundamental relation

$$\frac{\delta}{\delta\sigma} \int_{\mathcal{M}} \mathcal{F}[g_{\mu\nu}, \Phi] \left( E_4 - \frac{2}{3} \square R \right) \Big| = 4\sqrt{-g} \Delta_4 \mathcal{F}[g_{\mu\nu}, \Phi],$$

where

$$\mathcal{F}[g_{\mu\nu}, \Phi] = \mathcal{F}[\bar{g}_{\mu\nu}, \bar{\Phi}]$$

# Effective Induced Action

$$\Gamma_{ind} = S_c[\bar{g}_{\mu\nu}, \bar{\Phi}] - \int_{\mathcal{M}} \left\{ \frac{2b+3c}{36} R^2 + \frac{\beta_\tau}{6} R \Phi^2 \right\} \\ + \int_{\mathcal{M}} \left\{ \sigma Y(\bar{g}_{\mu\nu}, \bar{\Phi}) + b\sigma \left( \bar{E} - \frac{2}{3} \square \bar{R} \right) + 2b\sigma \bar{\Delta}_4 \sigma \right\},$$

or the non-local solution

$$\Gamma_{ind} = S_c + \frac{b}{8} \int_{\mathcal{M}} \left( E_4 - \frac{2}{3} \square R \right) \Delta_4^{-1} \left( E_4 - \frac{2}{3} \square R \right) \\ + \frac{1}{4} \int_{\mathcal{M}} Y \Delta_4^{-1} \left( E_4 - \frac{2}{3} \square R \right) \\ - \int_{\mathcal{M}} \left( \frac{2b+3c}{36} R^2 + \frac{\beta_\tau}{6} R \Phi^2 \right). \quad \text{[Riegert]}$$

# Effective Induced Action

Local expression in terms of two extra auxiliary scalar fields  $\varphi$  and  $\psi$

$$\Gamma_{ind} = S_c[g_{\mu\nu}, \Phi] - \int_{\mathcal{M}} \left\{ \frac{2b + 3c}{36} R^2 + \frac{\beta_\tau}{6} R \Phi^2 \right\} \\ + \int_{\mathcal{M}} \left\{ \frac{1}{2} \varphi \Delta_4 \varphi - \frac{1}{2} \psi \Delta_4 \psi + \frac{\sqrt{-b}}{2} \varphi \left( E_4 - \frac{2}{3} \square R + \frac{1}{b} Y \right) \right\}$$

# Universality and Ambiguities

- |                             |               |
|-----------------------------|---------------|
| i) Real Conformal terms     | UNIVERSAL     |
| ii) Topological terms       | UNIVERSAL     |
| iii) Total derivative terms | NON-UNIVERSAL |

where the ambiguities associated to total derivative terms come from?

# Pauli-Villars Ambiguities

Pauli-Villars regulators (scalar)

$$S_{reg}^{(i)} = \int_{\mathcal{M}} \left\{ \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi_i \partial_\nu \varphi_i + \frac{\xi_i}{2} R \varphi_i^2 - \frac{m_i^2}{2} \varphi_i^2 - \frac{\kappa}{2} \Phi^2 \varphi_i^2 \right\},$$

$$\begin{aligned} \bar{\Gamma}_{scal}^{(1)} = & \frac{1}{2(4\pi)^2} \int_{\mathcal{M}} \left\{ m_i^4 \left( \frac{1}{2\varepsilon} + \frac{3}{4} \right) + \tilde{\xi}_i m_i^2 R \left( \frac{1}{\varepsilon} + 1 \right) \right. \\ & + C_{\mu\nu\alpha\beta} \left[ \frac{1}{120\varepsilon} + \frac{1}{2} k_W(\tau_i) \right] C^{\mu\nu\alpha\beta} + R \left[ \frac{1}{2\varepsilon} \tilde{\xi}_i^2 + k_R(\tau_i) \right] R \\ & \left. - \frac{\kappa}{2\varepsilon} m_i^2 \Phi^2 + \Phi^2 \left[ \frac{\kappa^2}{8\varepsilon} + k_\kappa(\tau_i) \right] \Phi^2 + \Phi^2 \left[ -\frac{\kappa}{2\varepsilon} \tilde{\xi}_i + k_\xi(\tau_i) \right] R \right\} \end{aligned}$$

# Pauli-Villars Ambiguities

where  $\tau_i = \square / m_i^2$  and we use the compact notations

$$\tilde{\xi}_i = \left( \xi_i - \frac{1}{6} \right), \quad \frac{1}{\varepsilon} \equiv \frac{2}{4-n} + \ln \left( \frac{4\pi\mu^2}{m_i^2} \right) - \gamma$$

In the conformal limit,  $m_i \rightarrow 0$  and  $\tilde{\xi}_i \rightarrow 0$ , the finite part boils down to

$$\bar{\Gamma}_{UV}^{(1)} = -\frac{1}{2(4\pi)^2} \int_{\mathcal{M}} \left\{ \frac{1}{120} C_{\mu\nu\alpha\beta} \ln \left( \frac{\square}{4\pi\mu^2} \right) C^{\mu\nu\alpha\beta} + \frac{\varkappa^2}{8} \Phi^2 \ln \left( \frac{\square}{4\pi\mu^2} \right) \Phi^2 + \frac{1}{1080} R^2 + \frac{\varkappa}{36} \Phi^2 R \right\}$$

# Pauli-Villars Ambiguities

Then the Pauli-Villars regularized effective action can be defined as

$$\bar{\Gamma}_{\text{reg}}^{(1)} = \sum_{i=0}^N s_i \bar{\Gamma}_i^{(1)}(m_i, \tilde{\xi}_i, n).$$

Cancelation of divergences conditions ( $\mu_i = m_i/M$ )

$$\sum_{i=1}^N s_i = -s_0 = -1; \quad \sum_{i=1}^N s_i \mu_i^2 = 0, \quad \sum_{i=1}^N s_i \tilde{\xi}_i = 0;$$

$$\sum_{i=1}^N s_i \mu_i^4 = 0, \quad \sum_{i=1}^N s_i \tilde{\xi}_i^2 = 0.$$



# Pauli-Villars Ambiguities

A possible solution to these conditions corresponds to  $N = 5$  and

$$\begin{aligned} s_1 &= 1, & s_2 &= 4, & s_3 &= s_4 = s_5 = -2; \\ \mu_1^2 &= \mu_5^2 = 4, & \mu_2^2 &= \mu_4^2 = 3, & \mu_3^2 &= 1; \\ \tilde{\xi}_i &= \mu_i^2. \end{aligned}$$

$$\langle \mathcal{T} \rangle = \frac{\beta_\lambda}{4!} \Phi^4 - \gamma_\Phi X_c - wC^2 - bE_4 - (c - 6\delta) \square R - (\beta_\tau + 3\rho) \square \Phi^2$$

where we define

$$\rho = \frac{1}{2(4\pi)^2} \sum_{i=1}^N s_i \tilde{\xi}_i \ln \mu_i^2; \quad \delta = \frac{1}{2(4\pi)^2} \sum_{i=1}^N s_i \tilde{\xi}_i^2 \ln \mu_i^2.$$

# Effective Induced Action

$$\begin{aligned}\Gamma_{ind} = & S_c + \frac{b}{8} \int_{\mathcal{M}} \left( E_4 - \frac{2}{3} \square R \right) \Delta_4^{-1} \left( E_4 - \frac{2}{3} \square R \right) \\ & + \frac{1}{4} \int_{\mathcal{M}} Y \Delta_4^{-1} \left( E_4 - \frac{2}{3} \square R \right) \\ & - \int_{\mathcal{M}} \left( \frac{2b + 3(c - 6\delta)}{36} R^2 + \frac{\beta_\tau + 3\rho}{6} R\Phi^2 \right).\end{aligned}$$

The ambiguities in the  $R^2$  and  $R\Phi^2$  terms allows a very large  $R^2$  terms which will drive cosmological inflation according to Starobinsky scenario.

# Effective action in the infrared

Assumptions ( $10^{10} \text{Gev} < E < 10^{19} \text{Gev}$ ):

i) All matter field are massless and  $\xi \approx \frac{1}{6}$

ii) Scalar terms  $\Phi^4$  and  $X_c$  are dominating over the curvature terms

$$\left| \Phi^2 \right| \gg \left| R_{\dots} \right| \quad \text{and} \quad \left| (\nabla \Phi)^2 \right| \gg \left| R^2_{\dots} \right|$$

iii) Gravitational field is weak  $|\square R| \gg |R^2_{\dots}|$  for all curvature contractions.

# Effective action in the infrared

$$\Delta_4^{-1} = \left( \square^2 + 2R^{\mu\nu}\nabla_\mu\nabla_\nu - \frac{2}{3}R\square + \frac{1}{3}R^{;\mu}\nabla_\mu \right)^{-1} \approx \square^{-2}$$

The leading terms in the expression  $E_4$  are those with  $\Phi$  and  $\square R$ ,

$$E_4 - \frac{2}{3}\square R + \frac{1}{b}Y \approx -\frac{2}{3}\square R + \frac{1}{b}\left(\gamma_\Phi X_c - \frac{1}{4!}\tilde{\beta}_\lambda\Phi^4\right)$$

$$\Gamma_{ind,nl} \approx \frac{3(c - 6\delta)}{36} \int_{\mathcal{M}} R^2 + \frac{\tilde{\beta}_\tau}{6} \int_{\mathcal{M}} R\Phi^2 \\ + \frac{1}{6} \int_{\mathcal{M}} \left( \frac{1}{4!}\tilde{\beta}_\lambda\Phi^4 - \gamma_\Phi X_c \right) \frac{1}{\square^2} \square R$$

# Effective action in the infrared

$$\Gamma_2 \approx \frac{1}{6} \int_{\mathcal{M}} \left( \frac{1}{4!} \tilde{\beta}_\lambda \Phi^4 - \gamma_\Phi X_c \right) \frac{1}{\square} R.$$

[E. Mottola and R. Vaulin]

$$\frac{1}{6} \int_{\mathcal{M}} \left( \frac{1}{4!} \tilde{\beta}_\lambda \Phi^4 - \frac{1}{6} \gamma_\Phi R \Phi^2 \right) \frac{1}{\square} R.$$

Using scaling relations

$$\frac{1}{\square} = e^{2\sigma} \frac{1}{\bar{\square}} \quad R = e^{-2\sigma} \left[ \bar{R} - 6\bar{\square}\sigma + O(\sigma^2) \right]$$

$$\Gamma_2 = \int_{\mathcal{M}} \left( \gamma_\Phi \bar{X}_c - \frac{1}{4!} \tilde{\beta}_\lambda \bar{\Phi}^4 \right) \sigma$$

# Effective action in the infrared

## Scalar field effective action

$$X_c \longrightarrow \bar{X}_c(1 + \gamma_\Phi \sigma), \quad \lambda \Phi^4 \longrightarrow \bar{\Phi}^4(\lambda + \tilde{\beta}_\lambda \sigma)$$

as it should be under the renormalization group - based improvement.

## Effective potential

$$\bar{\Phi} \longrightarrow \Phi, \quad \sigma \longrightarrow \ln \frac{\Phi}{\mu},$$

$$V_{eff}^{(1)} = \frac{1}{4!} \left( \lambda + \frac{1}{2} \tilde{\beta}_\lambda \ln \frac{\Phi^2}{\mu^2} \right) \Phi^4 - \frac{1}{12} \left( 1 + \gamma_\Phi \ln \frac{\Phi^2}{\mu^2} \right) R \Phi^2,$$

# Effective action in the infrared

## Scalar field effective action

$$X_c \longrightarrow \bar{X}_c(1 + \gamma_\Phi \sigma), \quad \lambda \Phi^4 \longrightarrow \bar{\Phi}^4(\lambda + \tilde{\beta}_\lambda \sigma)$$

as it should be under the renormalization group - based improvement.

## Effective potential

$$\bar{\Phi} \longrightarrow \Phi, \quad \sigma \longrightarrow \ln \frac{\Phi}{\mu},$$

$$V_{eff}^{(1)} = \frac{1}{4!} \left( \lambda + \frac{1}{2} \tilde{\beta}_\lambda \ln \frac{\Phi^2}{\mu^2} \right) \Phi^4 - \frac{1}{12} \left( 1 + \gamma_\Phi \ln \frac{\Phi^2}{\mu^2} \right) R \Phi^2,$$

## Coleman-Weinberg

# CONCLUSIONS



# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions

# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions
- Non-local terms are universal but local terms are ambiguous

# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions
- Non-local terms are universal but local terms are ambiguous
- We have explicitly found ambiguities in the local terms using Pauli-Villars regulators

# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions
- Non-local terms are universal but local terms are ambiguous
- We have explicitly found ambiguities in the local terms using Pauli-Villars regulators
- The ambiguous terms correspond to pure derivative terms in the anomaly

# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions
- Non-local terms are universal but local terms are ambiguous
- We have explicitly found ambiguities in the local terms using Pauli-Villars regulators
- The ambiguous terms correspond to pure derivative terms in the anomaly
- Room for stable Starobinsky inflation

# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions
- Non-local terms are universal but local terms are ambiguous
- We have explicitly found ambiguities in the local terms using Pauli-Villars regulators
- The ambiguous terms correspond to pure derivative terms in the anomaly
- Room for stable Starobinsky inflation
- At low energies the effective potential can be fully recovered

# CONCLUSIONS

- Covariant form of the metric-scalar induced effective actions
- Non-local terms are universal but local terms are ambiguous
- We have explicitly found ambiguities in the local terms using Pauli-Villars regulators
- The ambiguous terms correspond to pure derivative terms in the anomaly
- Room for stable Starobinsky inflation
- At low energies the effective potential can be fully recovered
- Beyond one loop the situation is less clear