

**Measurement of jet production in deep inelastic scattering  
and NNLO determination of the strong coupling at ZEUS<sup>†</sup>  
PDF4LHC meeting**

**Florian Lorkowski on behalf of the ZEUS collaboration**

`florian.lorkowski@physik.uzh.ch`

Deutsches Elektronen-Synchrotron DESY<sup>‡</sup>

ZEUS

**November 17, 2023**

<sup>†</sup>accepted by EPJC. arXiv:2309.02889

<sup>‡</sup>Now at University of Zürich

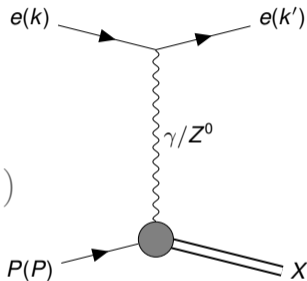
### Deep inelastic scattering

- ▶ Inclusive deep inelastic scattering (DIS) measurements in lepton-hadron collisions ( $ep \rightarrow eX$ ) are essential to determine the parton distribution functions (PDFs) of the proton ( $xf$ ). At leading order:

$$\frac{d^2\sigma_{\text{NC DIS}}^{\pm}}{dx_{\text{Bj}}dQ^2} = \frac{2\pi\alpha^2}{x_{\text{Bj}}Q^4} \left( \underbrace{Y_+ F_2(x_{\text{Bj}}, Q^2)}_{\sim xq+x\bar{q}} \mp \underbrace{Y_- x_{\text{Bj}} F_3(x_{\text{Bj}}, Q^2)}_{\sim xq-x\bar{q}} - \underbrace{y^2 F_L(x_{\text{Bj}}, Q^2)}_{\sim xg \times \alpha_s} \right)$$

⇒ By measuring  $F_2$  and  $F_3$ , the quark- and antiquark-distributions,  $xq$  and  $x\bar{q}$ , can be probed

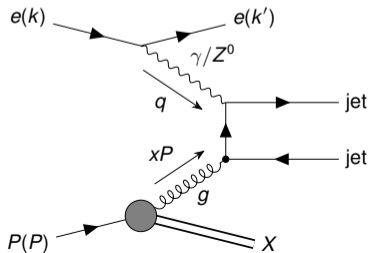
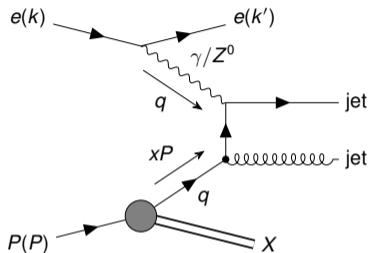
- ▶ By measuring  $F_L$  or using scaling violations in DGLAP equations the product of the gluon distribution  $xg$  and the strong coupling constant  $\alpha_s$  can be determined
- ▶ Using higher-order terms, the two can be disentangled to some extent, but a strong correlation remains



### Jet measurements

- ▶ Already at leading order,<sup>†</sup> jet production in DIS is sensitive to the strong coupling independently of the gluon distribution (upper graph)
- ▶ Additionally, jet production can also be used to further constrain the gluon distribution (lower graph)
- ▶ Inclusive jet measurements are especially well suited for precision determinations of the strong coupling constant due to their small uncertainties on both the experimental and theoretical side

<sup>†</sup> Leading order in the Breit frame; see slide 5



### Deep inelastic scattering

- ▶ Scattering of leptons off hadrons at high momentum transfer  $Q^2$

$$e(k) + P(P) \rightarrow e(k') + p'(p') + X$$

- ▶ Boson acts as point-like probe of the hadron

### Kinematic quantities

$$Q^2 = -q^2 = -(k' - k)^2$$

$$x_{\text{Bj}} = \frac{Q^2}{2P \cdot q}$$

$$y = \frac{P \cdot q}{P \cdot k}$$

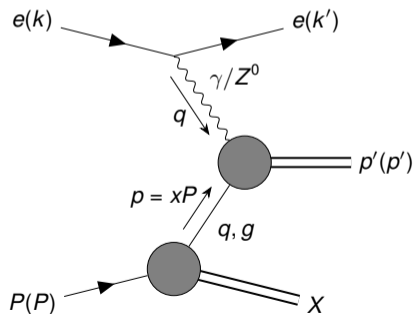
Boson virtuality/  
Momentum transfer

Bjorken scaling  
parameter

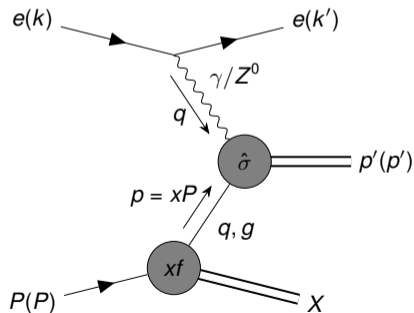
Inelasticity

$p'$  ... Scattered hadronic system

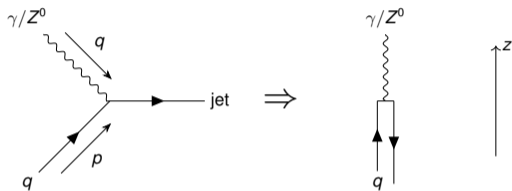
$X$  ... Proton remnant



- ▶ To predict cross sections of lepton-hadron collisions, one needs
  - ▶ The boson-parton cross sections  $\hat{\sigma}$  (calculable using perturbative QCD)
  - ▶ The parton content of the hadron (unknown but assumed to be universal for each hadron); parameterised using PDFs  $xf$
- ▶ PDFs can only be determined from fits to measurements
- ▶ Adding jet data to the fit allows a simultaneous determination of  $\alpha_s$  and the PDFs



- ▶ Single jets may arise purely from QED, which is uninteresting for studies of QCD
- ▶ To suppress these events: require minimum transverse momentum in Breit frame

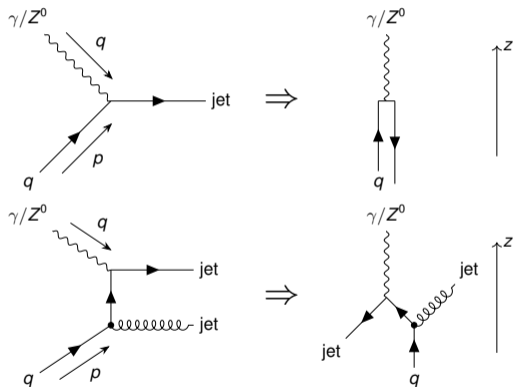


In the **Breit frame**, the parton and boson collide head-on

$$q^\mu = \begin{pmatrix} 0 \\ 0 \\ 0 \\ -Q \end{pmatrix}$$

$$p^\mu = \begin{pmatrix} Q/2 \\ 0 \\ 0 \\ Q/2 \end{pmatrix}$$

- ▶ Single jets may arise purely from QED, which is uninteresting for studies of QCD
- ▶ To suppress these events: require minimum transverse momentum in Breit frame



In the **Breit frame**, the parton and boson collide head-on

$$q^\mu = \begin{pmatrix} 0 \\ 0 \\ 0 \\ -Q \end{pmatrix}$$

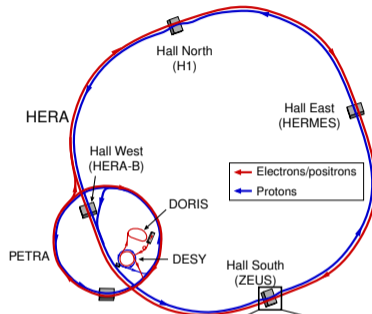
$$p^\mu = \begin{pmatrix} Q/2 \\ 0 \\ 0 \\ Q/2 \end{pmatrix}$$

- ▶ Lowest order process: produce two jets of equal transverse momentum (“dijet”)
- ▶ Inclusive jets: count each jet individually; events can contribute multiple times



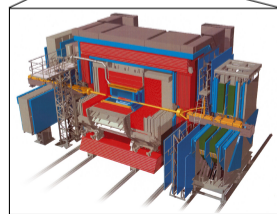
### HERA accelerator

- ▶ World's only lepton-hadron collider so far
- ▶ Located at DESY in Hamburg, Germany
- ▶ Two run periods:
  - ▶ HERA I: 1992 – 2000
  - ▶ HERA II: 2003 – 2007
- ▶ Circular collider of length 6336 m
- ▶ Collide electrons/positrons at 27.5 GeV with protons at 920 GeV  $\rightarrow \sqrt{s} = 318$  GeV



### ZEUS detector

- ▶ General purpose particle detector
- ▶ Integrated luminosity during HERA II:  $347 \text{ pb}^{-1}$
- ▶ High-resolution uranium-scintillator calorimeter allows precise measurement of jet energies



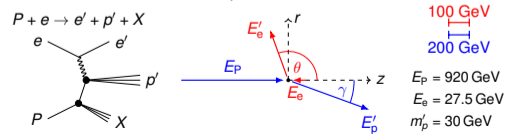
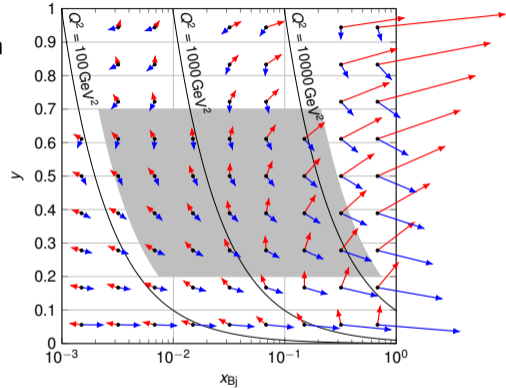


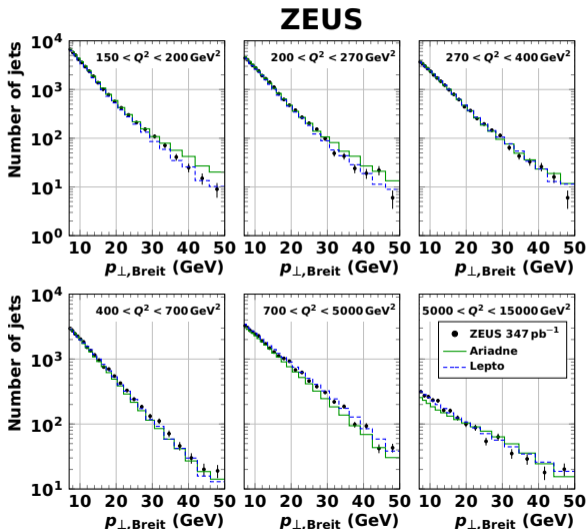
- ▶ Inclusive jets, clustered using  $k_{\perp}$  algorithm and  $p_{\perp}$ -weighted scheme in Breit frame
- ▶ Use entire HERA II dataset ( $347 \text{ pb}^{-1}$ )
- ▶ Analysis phase space
 
$$150 \text{ GeV}^2 < Q^2 < 15\,000 \text{ GeV}^2$$

$$0.2 < y < 0.7$$

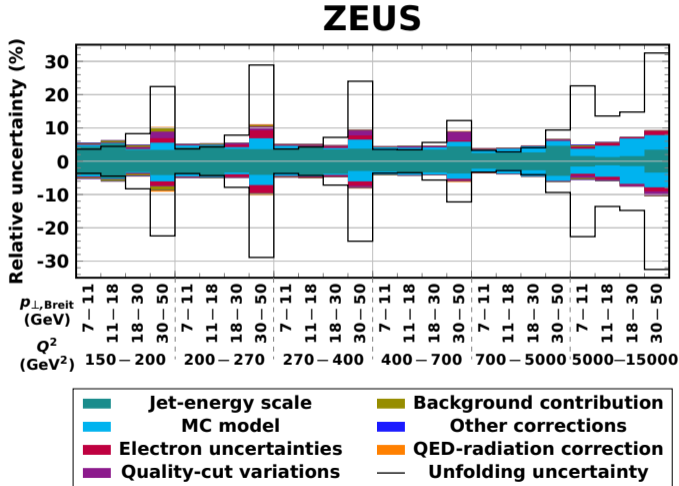
$$7 \text{ GeV} < p_{\perp, \text{Breit}} < 50 \text{ GeV}$$

$$-1 < \eta_{\text{lab}} < 2.5$$
- ▶ Hadron-level jets
- ▶ Weak-boson exchange included
- ▶ QED Born-level (higher-order radiative effects removed)





- ▶ Reconstructed jets corrected to hadron level via two-dimensional matrix unfolding procedure using response matrices obtained from Monte Carlo samples
  - ▶ ARIADNE: colour-dipole model
  - ▶ LEPTO: leading-log parton cascade
  
- ▶ After reweighting, the models give a good description of the data across the entire phase space
  
- ▶ Performed cross-check using bin-by-bin correction; results are very consistent



- ▶ Systematic uncertainty mostly dominated by jet-energy scale (uncertainty of MC detector simulation)
- ▶ In high- $p_{\perp, \text{Breit}}$  or high- $Q^2$  region, other uncertainties become relevant/dominant
- ▶ Unfolding uncertainty appears large in low-statistics region
- ▶ Bins with large unfolding uncertainty usually strongly anti-correlated



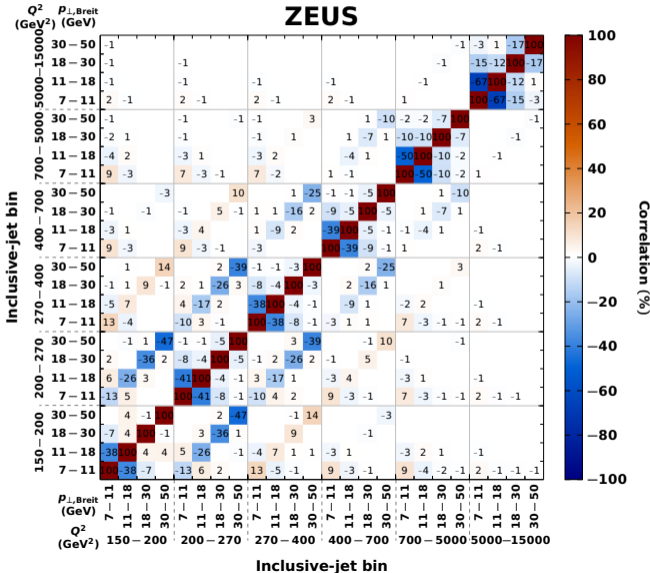
# Measurement Systematic uncertainties



Jet production in DIS at ZEUS

Florian Lorkowski  
2023-11-17

- Motivation
- Theory of DIS
- Experiment
- Measurement
- Simulation
- Systematics**
- NNLO predictions
- Cross sections
- QCD analysis
- Summary



- ▶ Systematic uncertainty mostly dominated by jet-energy scale (uncertainty of MC detector simulation)
- ▶ In high- $p_{\perp, \text{Breit}}$  or high- $Q^2$  region, other uncertainties become relevant/dominant
- ▶ Unfolding uncertainty appears large in low-statistics region
- ▶ Bins with large unfolding uncertainty usually strongly anti-correlated

### Theoretical predictions

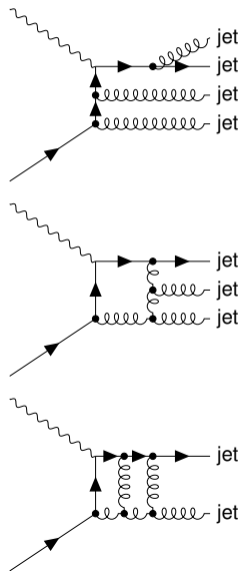
- ▶ Cross section predictions are calculated at NNLO
- ▶ Matrix elements calculated using NNLOJET<sup>†</sup>
- ▶ PDFs taken from HERAPDF2.0Jets NNLO<sup>‡</sup>
- ▶  $\alpha_s(M_Z^2) = 0.1155$ ,  $\mu_r^2 = \mu_f^2 = Q^2 + p_\perp^2$
- ▶ Predictions corrected for hadronisation and  $Z^0$ -exchange

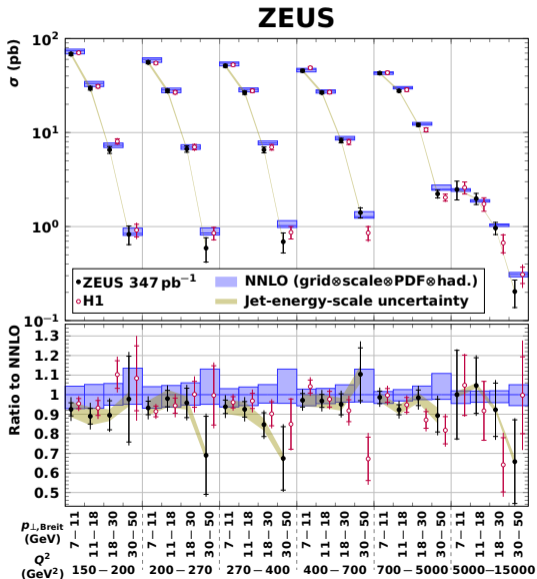
### Theoretical uncertainties

- ▶ Six point scale variation by factor 2
- ▶ PDF uncertainty (fit, model, parameterisation)
- ▶ Statistical uncertainty of matrix element generation
- ▶ Hadronisation correction uncertainty

<sup>†</sup>JHEP 2017, 18 (2017). arXiv:1703.05977

<sup>‡</sup>EPJC 82, 243 (2022). arXiv:2112.01120





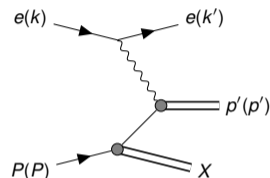
- ▶ Measured cross sections are compatible with previous measurement from H1 collaboration<sup>‡</sup> and uncertainties are comparable
- ▶ Measurements are compatible with NNLO QCD predictions and show similar trends relative to the theory
- ▶ Inner error bars: unfolding uncertainty; outer error bars: total uncertainty

<sup>‡</sup>EPJC 75, 65 (2015). arXiv:1406.4709

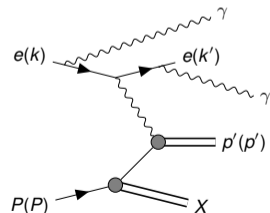
### Treatment of QED radiation

- ▶ Predictions for jet production available at QED Born-level (running coupling included, but no radiative corrections)
  - ▶ In the data, have initial- and final-state QED radiation, especially on the electron line
  - ▶ Standard procedure: apply ‘correction’ to the data, to convert it to QED Born-level
  - ▶ Usually, this cannot be undone, such that data can only ever be compared to QED Born-level predictions
  - ▶ This analysis: apply correction in a reversible way and provide additional, alternative correction that facilitates more comprehensive comparisons
- Data can be compared to NNLO QCD+NLO EW predictions, when they become available in the future<sup>†</sup>

### QED Born-level



### QED radiation

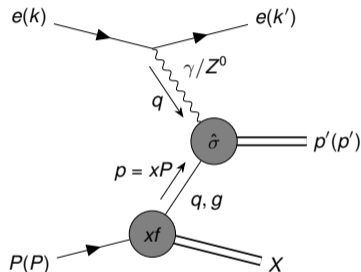


<sup>†</sup> DIS at NLO EW already available: CPC 94, 2 p.128 (1996). arXiv:hep-ph/9511434

- ▶ Simultaneous fit of PDF parameters and  $\alpha_s(M_Z^2)$  at NNLO
- ▶ Datasets used
  - ▶ H1+ZEUS combined inclusive DIS<sup>†</sup>
  - ▶ ZEUS HERA I inclusive jets at high  $Q^2$ <sup>‡</sup>
  - ▶ ZEUS HERA I+II dijets at high  $Q^2$ <sup>§</sup>
  - ▶ **ZEUS HERA II inclusive jets at high  $Q^2$**
- ▶ Inclusion of additional jet data is expected to reduce uncertainty of  $\alpha_s(M_Z^2)$
- ▶ Statistical correlations between ZEUS HERA II jet datasets taken into account via correlation matrix
- ▶ Use HERAPDF parameterisation of PDFs ( $f = g, u_v, d_v, \bar{U}, \bar{D}$ )

$$xf(x) = A_f x^{B_f} (1-x)^{C_f} (1 + D_f x + E_f x^2)$$

- ▶ Use settings similar to HERAPDF2.0Jets NNLO (central scales, cuts, model parameters, treatment of hadronisation and theory grid uncertainty)

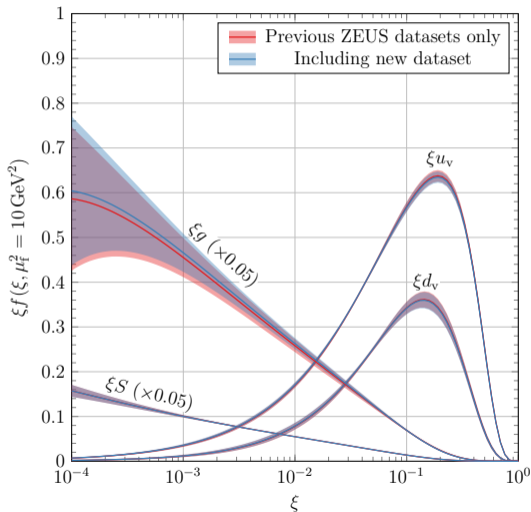


<sup>†</sup>EPJC 75, 580 (2015)  
arXiv::1506.06042

<sup>‡</sup>PLB 547, 164 (2002)  
arXiv::hep-ex/0208037

<sup>§</sup>EPJC 70, 965 (2010)  
arXiv::1010.6167





- ▶ Perform two fits and compare PDFs:

- 1 HERA inclusive DIS dataset + previous ZEUS jet datasets
- 2 Also include newly measured ZEUS HERA II inclusive jet datasets

- ▶ Shown is experimental/fit uncertainty
- ▶ Gluon distribution is slightly constrained
- ▶ As expected, quark distributions are not significantly affected/constrained
- ▶ Uncertainty of gluon distribution appears much larger than in HERAPDF,<sup>†</sup> because  $\alpha_s(M_Z^2)$  is left free in the fit

<sup>†</sup>E.g. fig. 4 of arXiv:2112.01120



For reference, HERAPDF2.0Jets NNLO found

$$\alpha_s(M_Z^2) = \mathbf{0.1156} \pm 0.0011 \text{ (exp/fit)} \begin{matrix} +0.0001 \\ -0.0002 \end{matrix} \text{ (model/parameterisation)} \pm 0.0029 \text{ (scale)}$$

This analysis

$$\alpha_s(M_Z^2) = \mathbf{0.1143} \pm 0.0014 \text{ (exp/fit)} \begin{matrix} +0.0004 \\ -0.0008 \end{matrix} \text{ (model/parameterisation)} \begin{matrix} +0.0012 \\ -0.0005 \end{matrix} \text{ (scale)}$$

- ▶ Central value is compatible with HERAPDF and with PDG world average
- ▶ Increased experimental uncertainty, due to fewer jet datasets used
- ▶ Significantly decreased scale uncertainty, due to absence of low- $Q^2$  jet data
  - ▶ Cross-section scale-dependence assumed as fully correlated between all jet measurements
  - ▶ When fitting points far away from each other in phase space, the cross-section scale-dependence can be much less correlated or even anti-correlated



For reference, HERAPDF2.0Jets NNLO found

$$\alpha_s(M_Z^2) = 0.1156 \pm \mathbf{0.0011 \text{ (exp/fit)}}^{+0.0001}_{-0.0002} \text{ (model/parameterisation)} \pm 0.0029 \text{ (scale)}$$

This analysis

$$\alpha_s(M_Z^2) = 0.1143 \pm \mathbf{0.0014 \text{ (exp/fit)}}^{+0.0004}_{-0.0008} \text{ (model/parameterisation)}^{+0.0012}_{-0.0005} \text{ (scale)}$$

- ▶ Central value is compatible with HERAPDF and with PDG world average
- ▶ Increased experimental uncertainty, due to fewer jet datasets used
- ▶ Significantly decreased scale uncertainty, due to absence of low- $Q^2$  jet data
  - ▶ Cross-section scale-dependence assumed as fully correlated between all jet measurements
  - ▶ When fitting points far away from each other in phase space, the cross-section scale-dependence can be much less correlated or even anti-correlated



For reference, HERAPDF2.0Jets NNLO found

$$\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp/fit)} \begin{matrix} +0.0001 \\ -0.0002 \end{matrix} \text{ (model/parameterisation)} \pm \mathbf{0.0029 \text{ (scale)}}$$

This analysis

$$\alpha_s(M_Z^2) = 0.1143 \pm 0.0014 \text{ (exp/fit)} \begin{matrix} +0.0004 \\ -0.0008 \end{matrix} \text{ (model/parameterisation)} \begin{matrix} +0.0012 \\ -0.0005 \end{matrix} \text{ (scale)}$$

- ▶ Central value is compatible with HERAPDF and with PDG world average
- ▶ Increased experimental uncertainty, due to fewer jet datasets used
- ▶ Significantly decreased scale uncertainty, due to absence of low- $Q^2$  jet data
  - ▶ Cross-section scale-dependence assumed as fully correlated between all jet measurements
  - ▶ When fitting points far away from each other in phase space, the cross-section scale-dependence can be much less correlated or even anti-correlated



- ▶ Alternative treatment: assume scale dependence is half correlated between all measurements
- ▶ Despite absence of low- $Q^2$  jet data in the fit, additional reduction is significant

$$\alpha_s(M_Z^2) = 0.1143 \pm \dots \begin{matrix} +0.0012 \\ -0.0005 \end{matrix} \text{ (scale)}$$

↓

$$\alpha_s(M_Z^2) = 0.1142 \pm \dots \begin{matrix} +0.0006 \\ -0.0004 \end{matrix} \text{ (scale)}$$



# QCD analysis

## Alternative treatment of scale uncertainty



Jet production  
in DIS at ZEUS

Florian Lorkowski  
2023-11-17

Motivation  
Theory of DIS  
Experiment  
Measurement  
Cross sections  
QCD analysis  
Strategy  
PDFs  
Strong coupling  
Running coupling  
Summary

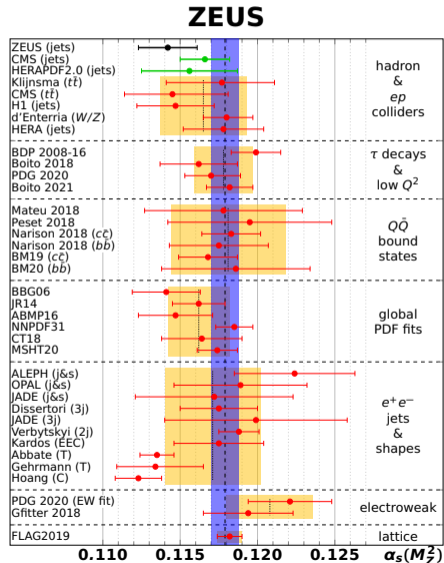
- ▶ Alternative treatment: assume scale dependence is half correlated between all measurements
- ▶ Despite absence of low- $Q^2$  jet data in the fit, additional reduction is significant

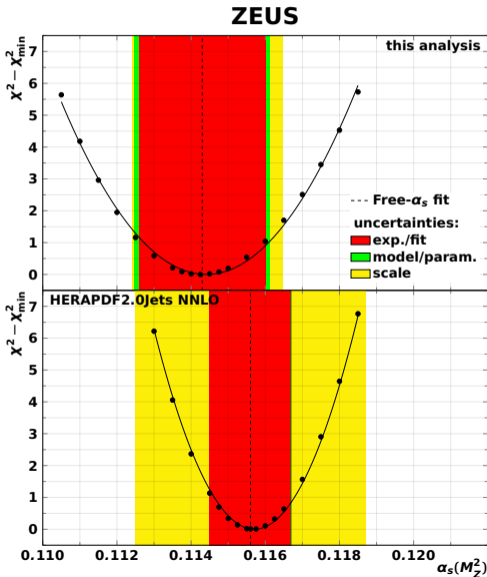
$$\alpha_s(M_Z^2) = 0.1143 \pm \dots \begin{matrix} +0.0012 \\ -0.0005 \end{matrix} \text{ (scale)}$$

↓

$$\alpha_s(M_Z^2) = 0.1142 \pm \dots \begin{matrix} +0.0006 \\ -0.0004 \end{matrix} \text{ (scale)}$$

- ▶ Reduced scale uncertainty leads to one of the most precise collider measurements of  $\alpha_s(M_Z^2)^\dagger$





- ▶ Upper panel:  $\chi^2(\alpha_s(M_Z^2))$ -scan, alongside result from  $\alpha_s(M_Z^2)$ -free fit → excellent agreement
- ▶ Lower panel: analogous figure from HERAPDF2.0Jet NNLO
- ▶ Need better treatment of scale uncertainty, so that we can combine small scale uncertainty from ZEUS with small experimental uncertainty from HERAPDF



# QCD analysis

## Running of the strong coupling



Jet production  
in DIS at ZEUS

Florian Lorkowski  
2023-11-17

Motivation  
Theory of DIS  
Experiment  
Measurement  
Cross sections  
QCD analysis  
Strategy  
PDFs  
Strong coupling  
Running coupling  
Summary

- ▶ Strong coupling depends on the scale at which it is evaluated. At leading order

$$\alpha_s(\mu^2) = \frac{\alpha_s(\mu_0^2)}{1 + \alpha_s(\mu_0^2) b_0 \log\left(\frac{\mu^2}{\mu_0^2}\right)}$$

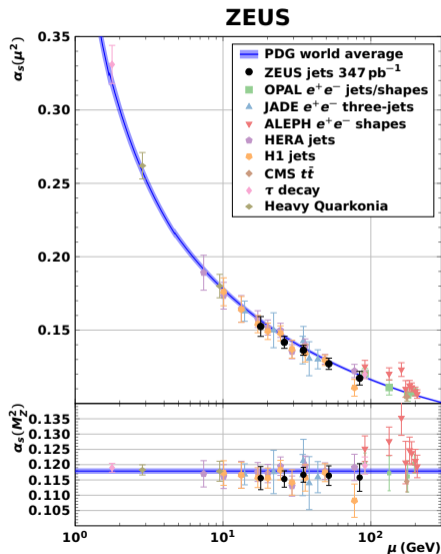
- ▶ 'Measure' this curve to test if QCD is the correct theory to describe strong interaction
  - ▶ Assign each jet point a scale
  - ▶ Form subsets of jet points with similar scales
  - ▶ For each subset, perform a single-parameter  $\alpha_s$  fit using fixed PDFs



- ▶ Strong coupling depends on the scale at which it is evaluated. At leading order

$$\alpha_s(\mu^2) = \frac{\alpha_s(\mu_0^2)}{1 + \alpha_s(\mu_0^2) b_0 \log\left(\frac{\mu^2}{\mu_0^2}\right)}$$

- ▶ ‘Measure’ this curve to test if QCD is the correct theory to describe strong interaction
  - ▶ Assign each jet point a scale
  - ▶ Form subsets of jet points with similar scales
  - ▶ For each subset, perform a single-parameter  $\alpha_s$  fit using fixed PDFs
- ▶ Observe no deviation from QCD prediction





# Summary

## Cross section measurement



Jet production  
in DIS at ZEUS

Florian Lorkowski  
2023-11-17

### Cross section measurement

- ▶ Performed precision measurement of inclusive jet cross sections in deep inelastic scattering at ZEUS
- ▶ Used more than 70% of the entire available luminosity at ZEUS
- ▶ Cross sections are compatible with the corresponding H1 measurement and NNLO QCD theory
- ▶ New dataset is an ideal ingredient for precision determinations of  $\alpha_s(M_Z^2)$  in QCD fits

Motivation

Theory of DIS

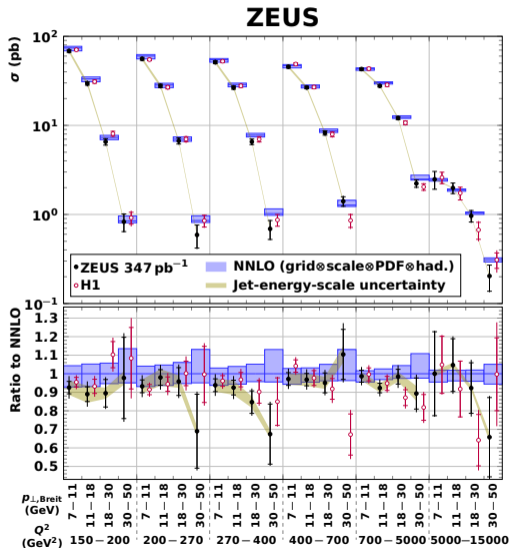
Experiment

Measurement

Cross sections

QCD analysis

Summary





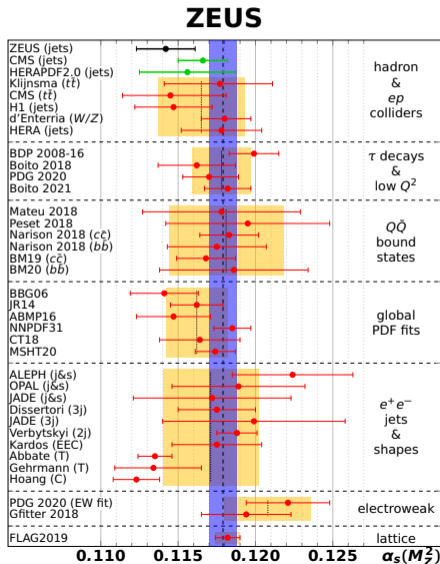
### QCD analysis

- ▶ Dataset used in  $\alpha_s(M_Z^2)$  determination at NNLO
- ▶ Achieved very precise measurement of  $\alpha_s(M_Z^2)$

$$\alpha_s(M_Z^2) = 0.1142 \pm 0.0019$$

due to

- ▶ Newly measured inclusive jet dataset
- ▶ Restriction to high- $Q^2$  jet data in the fit
- ▶ Improved treatment of theoretical uncertainty
- ▶ Investigated scale-dependence of strong coupling and found results consistent with NNLO QCD prediction





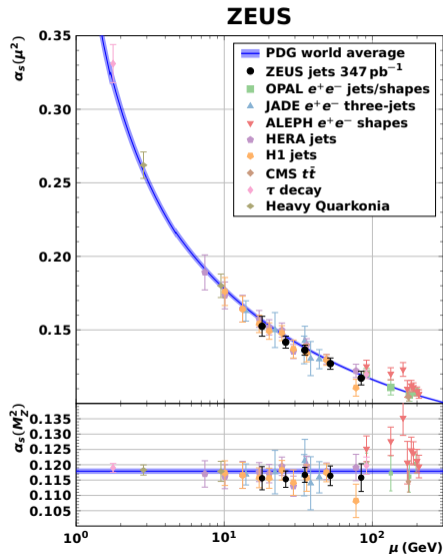
### QCD analysis

- ▶ Dataset used in  $\alpha_s(M_Z^2)$  determination at NNLO
- ▶ Achieved very precise measurement of  $\alpha_s(M_Z^2)$

$$\alpha_s(M_Z^2) = 0.1142 \pm 0.0019$$

due to

- ▶ Newly measured inclusive jet dataset
- ▶ Restriction to high- $Q^2$  jet data in the fit
- ▶ Improved treatment of theoretical uncertainty
- ▶ Investigated scale-dependence of strong coupling and found results consistent with NNLO QCD prediction



### Fit settings

	NLO	NNLO
Model parameters		
$f_s$	$0.4 \pm 0.1$	
$m_c$ [GeV]	$1.46^{+0.04}_{-symmetrise}$	$1.41^{+0.04}_{-symmetrise}$
$m_b$ [GeV]	$4.3 \pm 0.10$	$4.2 \pm 0.10$
$Q_{min}^2$ [GeV <sup>2</sup> ]	$3.5^{+1.5}_{-1.0}$	

### Parameterisation

$\mu_{f0}^2$ [GeV <sup>2</sup> ]	$1.9^{-0.3}_{+symmetrise}$	
Additional parameters	all missing $D$ and $E$ parameters ( $D_g, E_g, D_{u_v}, D_{d_v}, E_{d_v}, E_{\bar{U}}, D_{\bar{D}}, E_{\bar{D}}$ )	

### Scales

$\mu_f^2$	$Q^2$	$Q^2 + p_{\perp}^2$
$\mu_r^2$	$(Q^2 + p_{\perp}^2)/2$	

### Parameterisation

$$xg(x) = A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g}$$

$$xu_v(x) = A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + E_{u_v} x^2)$$

$$xd_v(x) = A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}$$

$$x\bar{U}(x) = A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1 + D_{\bar{U}} x)$$

$$x\bar{D}(x) = A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}$$

### Constraints

$A_g$  determined by sum rules

$A_{u_v}$  determined by sum rules

$A_{d_v}$  determined by sum rules

$$C'_g = 25$$

$$B_{\bar{U}} = B_{\bar{D}}$$

$$A_{\bar{U}} = A_{\bar{D}}(1 - f_s)$$



# QCD analysis

## Goodness of fit



Jet production  
in DIS at ZEUS

Florian Lorkowski  
2023-11-17

QCD analysis  
Fit settings  
Goodness of fit

Dataset	Partial $\chi^2$ / Number of points
HERA NC $e^+p$ DIS, $E_p = 920$ GeV	447.65 / 377
HERA NC $e^+p$ DIS, $E_p = 820$ GeV	64.99 / 70
HERA NC $e^+p$ DIS, $E_p = 575$ GeV	219.16 / 254
HERA NC $e^+p$ DIS, $E_p = 460$ GeV	216.58 / 204
HERA NC $e^-p$ DIS, $E_p = 920$ GeV	219.88 / 159
HERA CC $e^+p$ DIS, $E_p = 920$ GeV	47.52 / 39
HERA CC $e^-p$ DIS, $E_p = 920$ GeV	51.73 / 42
HERA I inclusive jets	26.38 / 30
HERA I/II dijets	14.65 / 16
HERA II inclusive jets	14.98 / 24
Shifts of correlated systematics	96.24
Global $\chi^2$ per degree of freedom	1418.93 / 1200 = 1.182
HERAPDF2.0 NNLO	1363 / 1131 = 1.205
HERAPDF2.0Jets NNLO	1614 / 1348 = 1.197