

# Factorization (and Fragmentation)

Muon Collider Benchmarks Workshop

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## 0. Factorization?

1. Outline of factorization in an unbroken gauge theory
2. A “broken gauge theory”: what happens when your beam is all one color?
3. Just a comment on fragmentation

Questions, corrections, discussion

One view of how concepts underlying factorization perturbative QCD can be thought of at as applying at a very high-energy lepton collider. Quite frankly based on an incomplete consultation of the literature, & non-expert interpretation of what I've seen so far. Discussion (& correction) is very much welcome. **What's correct below has surely appeared in the literature.**

## 0. Factorization?

- **The template: At the LHC (and before):**

$$\sigma_{pp \rightarrow Q}(Q) = \sum_{i,j=u,d,s\dots G} \int dx_1 dx_2 f_{i/P}(x_1, \mu) \hat{\sigma}_{ij \rightarrow Q}(x_1 p_1, x_2 p_s, \mu) f_{j/P}(x_2, \mu)$$

where  $f_{i/P}(x_1, \mu)$  is not perturbatively calculable, but evolves in a calculable way:

$$\frac{d}{d \ln(\mu)} f_{i/P}(x_1, \mu) = P_{ik} \otimes f_{k/P}(x_1, \mu)$$

which enables us to extrapolate in energy.

- This factorization also holds with incoming, color-averaged partonic reactions ( $p \rightarrow u, d, s \dots G$ ), which is how we compute  $\hat{\sigma}$ , using dimensional or other regularization. (Not QCD, but has the same short-distance structure. Actually, close to the topic at hand ...)
- What about at a lepton collider? We ought to have:

$$\sigma_{l\bar{l} \rightarrow Q}(Q) = \sum_{i,j=u,d,s\dots G} \int dx_1 dx_2 f_{i/l}(x_1, \mu) \hat{\sigma}_{ij \rightarrow Q}(x_1 p_1, x_2 p_s, \mu) f_{j/\bar{l}}(x_2, \mu)$$

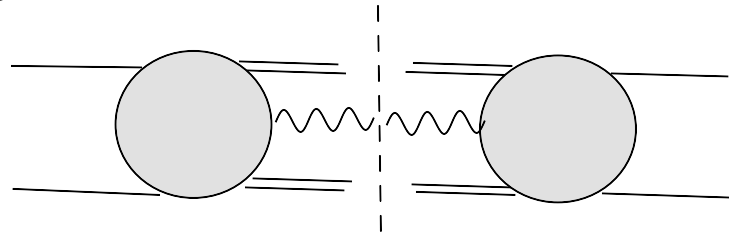
where now we can compute everything in principle! We know our initial state really well, and EW theory is perturbative in the Standard Model.

- **But it's natural to ask, when we calculate in EW theory, will it really have this form? Let's go down this road, starting with a QCD-like example.**

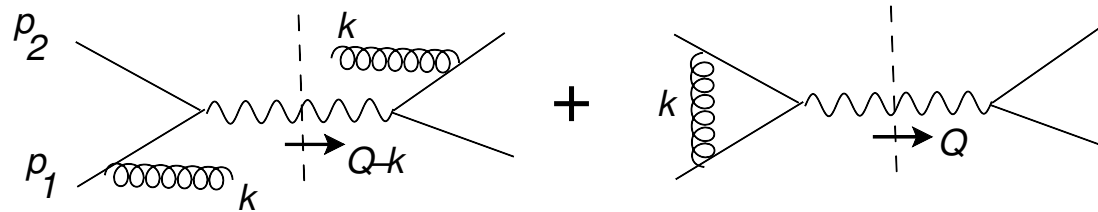
## 1. Outline of factorization in an unbroken gauge theory

- Suppose we had an unbroken  $SU(2)$  Dirac-gauge theory, with massless “quarks” of two colors. The quarks have EM charge, not part of the gauge group.
- How would factorization go? Say for  $q\bar{q} \rightarrow \gamma^*(Q^2) + X$  (“Drell Yan-like”)
- Strategy (to be illustrated next slide):
  - **Locality and analyticity**: separate loop momentum and phase space into separate regions that give long-distance behavior in an arbitrary diagram. Call each such region a “part”, defined using a mass scale. A single part includes a number of final states. Work on each part and then combine them.
- **Unitarity**: Sum over diagrams that have the same “parts” :  
cancels “final state” singularities.
- **Causality and gauge invariance**: use gauge invariance to isolate soft-collinear-hard subprocesses.
- **Causality and gauge invariance**: Cancel soft so only collinear-hard is left.
- Combine different “parts” to get the whole: **factorized cross section**
- Demand independence of the mass scale used to define the “parts”: **evolution**.

The process



Simple but typical parts

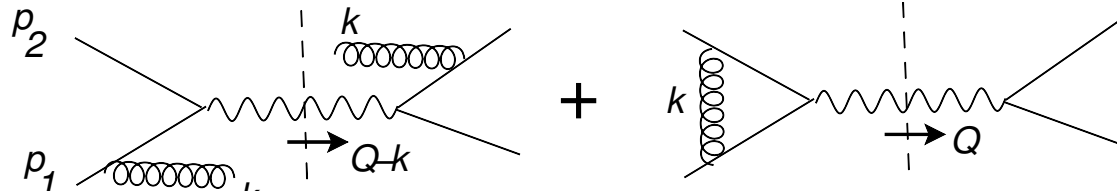


$k$  may be collinear to  $p_1$  or  $p_2$  or soft.

All three parts appear in both diagrams

- Take  $p_1^\mu = Q\delta_{\mu+}$ ,  $p_2^\mu = Q\delta_{\mu-}$ , so  $p_1^2 = p_2^2 = 0$ , but suppose  $m_{\text{vector}}^2 = m^2$ .
- Let's concentrate on  $k$  parallel to  $p_1$ :  $k^+ > k_\perp$ ,  $Q \gg k^-$ . Also require  $k_\perp < \mu$  to “define the part”.

- The two integrals are almost identical:



$$\begin{aligned}
 \text{Real} + \text{Virtual} &= Q^2 \int \frac{d^4 k}{(2\pi)^4} (2\pi) \delta(2k^+ k^- - k_\perp^2 - m^2) \theta(Q - k^-) \theta(k^+ - k_\perp) \theta(\mu - k_\perp) \\
 &\times \left( \frac{1}{2k^-(k^+ - Q) - k_\perp^2} \right) \left( \frac{1}{-2Qk^+} \right) \left( \frac{1}{2Q(Q - k^+)} - \frac{1}{Q^2} \right) \\
 &\approx \frac{Q^2}{(2\pi)^2} \int_{m^2}^{\mu^2} \frac{dk_\perp^2}{k_\perp^2 + m^2} \int_{k_\perp}^Q \frac{dk^+}{2k^+} \left( \frac{1}{2Q(Q - k^+)} - \frac{1}{Q^2} \right)
 \end{aligned}$$

- Or, defining  $x = k^+/Q$ , **this is just like a parton distribution convoluted with a smooth function** ( $1/x$ , in this simplified case).

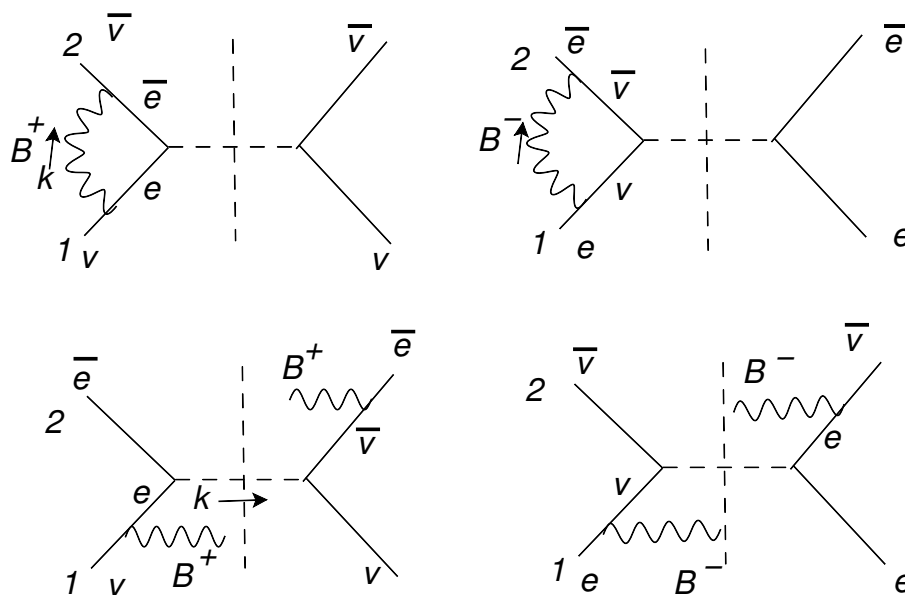
$$\text{Real} + \text{Virtual} \approx \ln \frac{\mu^2}{m^2} \int \frac{dx}{[1-x]_+} \frac{1}{x}$$

- Other “parts” follow suit, and can be reassembled into the whole factorized cross section.  $d/d\mu \rightarrow 0$  on the cross section implies evolution.

- Call our SU(2) gauge field  $B$ , and our 2-color “quark field”,  $L$ :

$$L = \begin{pmatrix} \nu \\ e \end{pmatrix}$$

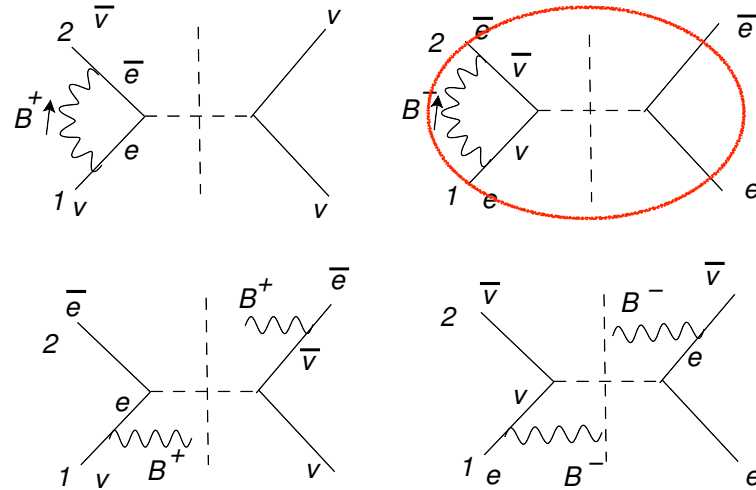
- In the cross section, we always average over our “colors”  $\nu$  and  $e$ , and get four terms



- Cancellations occur between top left, bottom left and top right, bottom right to get “parton distributions” as above in the  $k$  collinear to  $p_1$  region. Nice!
- This is how factorization works. Then evolution follows from independence of  $\mu$ .

## 2. Broken gauge theories: what happens when your beam is all one color?

- But suppose, we could only build a beam of “e-quarks”. We’d only have one of the processes.



- From this one diagram, we get just the “virtual” integral from the  $k$  collinear to  $p_1$  region, which doesn’t have the cancellation we found before:

$$\begin{aligned} \text{Virtual alone} &\approx -\frac{1}{(2\pi)^2} \int_{m^2}^{\mu^2} \frac{dk_{\perp}^2}{k_{\perp}^2 + m^2} \int_{k_{\perp}}^Q \frac{dk^+}{2k^+} \\ &\approx -\frac{1}{2(2\pi)^2} \ln \frac{\mu^2}{m^2} \ln \frac{Q^2}{m^2} \end{aligned}$$

- A typical “Sudakov” double log – we haven’t allowed our “e-quark” to radiate, because in the opposing “ $\bar{e}$ -quark” beam, this radiation can’t be absorbed – you need a “ $\bar{\nu}$ -quark” beam!

- What to do? Very schematically ...
- Add the  $\bar{\nu}$ -quark beam, and then subtract it. And while we're at it, add a  $\nu$ -quark beam, and then subtract that too.
- In other words, rewrite the  $e\bar{e}$  beam is a fully symmetric cross section plus cross sections cross sections in which there are one or two antisymmetric components.
- Like this ...

$$\sigma_{e\bar{e}} = \frac{1}{4} \left( \sigma_{(e+\nu)(\bar{e}+\bar{\nu})} + \sigma_{(e-\nu)(\bar{e}+\bar{\nu})} + \sigma_{(e+\nu)(\bar{e}-\bar{\nu})} + \sigma_{(e-\nu)(\bar{e}-\bar{\nu})} \right)$$

- The first term is “the unbroken theory” and factorizes into parton distributions that evolve according to familiar DGLAP equations.
- The other three always involve a difference rather than a sum of real and virtual:

$$\text{Virtual} - \text{Real} \approx -2 \times \frac{1}{2(2\pi)^2} \ln \frac{\mu^2}{m^2} \ln \frac{Q^2}{m^2}$$

- Terms like  $\sigma_{(e-\nu)(\bar{e}-\bar{\nu})}$  should all be suppressed by exponentials of double logs at large  $Q$ , but at finite  $Q \not\gg v$  provide the approach to asymptotic behavior.



- The factorization procedure outlined at the start can be applied to the “antisymmetric” terms.
- I expect an analogy to  $Q_T$ -resummation factorization in impact parameter space, but with  $1/m_B$  replacing the impact parameter.
- I believe these effects are built into more practical treatments: Ciafaloni, Ciafaloni and Comelli (hep-ph/0505047; Bauer, Ferland & Webber (1703.08562), Han, Ma & Xi (2007.14300) ...

### 3. Just a few comments on fragmentation

- Once organized as above, inclusive sums over final states should result in “standard” jet and fragmentation functions.
- In particular, fragmentation functions will have the same “universality” properties if defined as single-particle inclusive
- With observed “colors” in the final state, however,  $\nu$  vs.  $e$ , etc., some of the features familiar from parton distributions should recur, as noted in Chien & Li (1801.00395) Baumgarten, Erdogan, Rothstein & Vaidya (1811.04120).

Questions, corrections or discussion? ...