

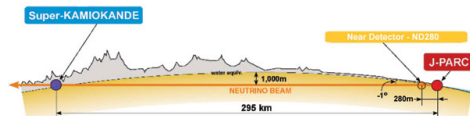
Axial form factors of the nucleon

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Motivation: neutrino oscillation experiments



T2K: Tokai to Super-Kamiokande,
 $E = 0.6 \text{ GeV}$, $L/E \approx 500 \text{ km/GeV}$.

Also NOvA, $L/E \approx 400 \text{ km/GeV}$, DUNE $L/E \approx 520 \text{ km/GeV}$, HK(=T2K).

Muon neutrino beam: proton on nucleus \rightarrow pions and kaons $\rightarrow \mu^+ \nu_\mu$ or $\mu^- \bar{\nu}_\mu$.

Near and far detectors.

$$N_{\text{far}}^\mu(E_\nu) = N_{\text{near}}^\mu(E_\nu) \times [\text{flux}(L)] \times [\text{detector}] \times \left[1 - \sum_{\beta} P_{\mu \rightarrow \beta}(E_\nu) \right]$$

E_ν has to be reconstructed from the momentum of the detected charged lepton.

$$\nu_\mu + n \rightarrow \mu^- + p$$

But...

The neutrino beam is not monochromatic but has a momentum distribution.

The nucleon is bound in a nucleus and has $|\mathbf{p}_{\text{Fermi}}| \sim 200 \text{ MeV}$.

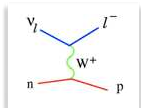
The lepton momentum reconstruction is often incomplete.

Misidentification of inelastic scattering as elastic scattering.

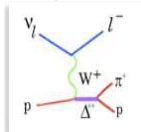
Monte-Carlo simulation needs input regarding the differential cross section.

Neutrino-nucleon scattering cross-section

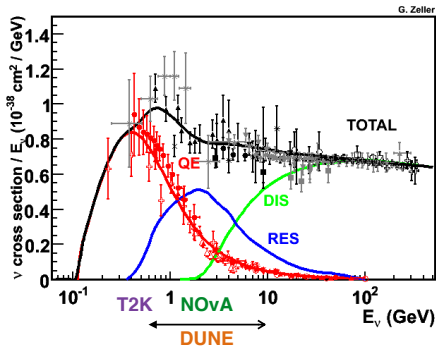
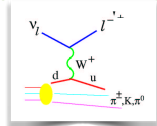
Quasi-elastic scattering (QE)



Resonance production (RES)



Deep Inelastic scattering (DIS)



J.A. Formaggio, G. Zeller, Reviews of Modern Physics, 84 (2012)

Overview: Quasi-elastic scattering (axial form factors), excited state contamination.
Steps towards $N \rightarrow N\pi$ matrix elements.

Quasi-elastic scattering

Relevant $V - A$ matrix element in the isospin limit:

$$\langle \mathbf{p}(\mathbf{p}') | \bar{u} \gamma_\mu (\mathbf{1} - \gamma_5) \mathbf{d} | \mathbf{n}(\mathbf{p}) \rangle = \bar{u}_p(p') \left[\gamma_\mu \mathbf{F}_1(Q^2) + \frac{i \sigma_{\mu\nu} q^\nu}{2m_N} \mathbf{F}_2(Q^2) \right. \\ \left. + \gamma_\mu \gamma_5 \mathbf{G}_A(Q^2) + \frac{q^\mu}{2m_N} \gamma_5 \tilde{\mathbf{G}}_P(Q^2) \right] u_n(p)$$

$q_\mu = p'_\mu - p_\mu$, virtuality $Q^2 = -q^2 > 0$.

Dirac and Pauli form factors $F_{1,2}$ are reasonably well determined experimentally from lepton-nucleon scattering for range of $Q^2 \sim (0.1 - 1) \text{ GeV}^2$ relevant for the long-baseline experiments.

Axial form factor: forward limit: $G_A(Q^2) \rightarrow g_A$ (well determined from β -decay).

Shape at low Q^2 , $\langle r_A^2 \rangle = -6 \frac{dG_A(Q^2)}{dQ^2}$:

$$G_A(Q^2) = G_A(0) \left[1 - \frac{1}{6} \langle r_A^2 \rangle Q^2 + \dots \right]$$

Parameterisation: dipole form $G_A(q^2) = \frac{g_A}{(1 + \frac{q^2}{M_A^2})^2}$, $M_A = 12 / \langle r_A^2 \rangle^{1/2}$, z-expansion.

Axial and induced pseudoscalar form factors

$G_A(Q^2)$: information from old $\bar{\nu}$ - p and ν - d scattering data.

Over-constrained dipole fits performed: e.g. [Bernard et al., hep-ph/0107088]

$M_A = 1.03(2)$ GeV.

z-expansion analysis from [Meyer, 1603.03048] $M_A = 1.01(24)$ GeV.

Neutrino scattering with nuclear targets, e.g. [MiniBooNE, 1002.2680] $M_A = 1.35(17)$ GeV (using the dipole form).

$\tilde{G}_P(Q^2)$:

Impact on the cross section is suppressed by a factor $m_\ell^2/m_N^2 \approx 0.01$ for $\ell = \mu$.

Only relevant for very small Q^2 , where this formfactor is large.

Not well constrained: experimentally measured at the muon capture point. In muonic hydrogen, $\mu^- + p \rightarrow \nu_\mu n$.

[MuCAP, 1210.6545]: $g_P^* = m_\mu \tilde{G}_P(0.88m_\mu^2)/(2m_N) = 8.06 \pm 0.48 \pm 0.28$.

Additional indirect information on G_A and \tilde{G}_P via low energy theorems from pion electroproduction $e^- + N \rightarrow \pi + N + e^-$.

PCAC relation and pion pole dominance

For nucleon matrix elements: $A_\mu = \bar{u}\gamma_\mu\gamma_5 d$, $P = \bar{u}i\gamma_5 d$.

$$2m_q \langle N(\vec{p}') | \mathbf{P} | N(\vec{p}) \rangle = \langle N(\vec{p}') | \partial_\mu \mathbf{A}_\mu | N(\vec{p}) \rangle + \mathcal{O}(a^2)$$

leads to

$$m_q G_P(Q^2) = m_N G_A(Q^2) - \frac{Q^2}{4m_N} \tilde{G}_P(Q^2)$$

where the **pseudoscalar form factor**: $\langle p(p') | \mathbf{P} | n(p) \rangle = \bar{u}_p i\gamma_5 \mathbf{G}_P(Q^2) u_n$.

SU(2) chiral limit: $\tilde{G}_P(Q^2) = 4m_N^2 G_A(Q^2)/Q^2$

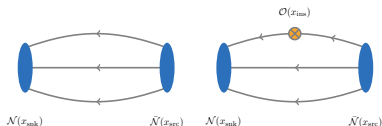
Pion pole dominance (LO ChPT): only an approximation.

$$\tilde{G}_P(Q^2) = G_A(Q^2) \frac{4m_N^2}{Q^2 + m_\pi^2} + \text{corrections}$$

PCAC+pion pole dominance (PPD) \rightarrow one independent form factor

$$G_P(Q^2) = G_A(Q^2) \frac{m_N}{m_\ell} \frac{m_\pi^2}{Q^2 + m_\pi^2} + \text{corrections}$$

Lattice details:



Three-point functions are evaluated with the sequential source method

[Martinelli,Sachrajda,(1989)].

For each t , $t > \tau > 0$. Choose $\vec{p}' = \vec{0}$ with $\vec{p} = -\vec{q}$.

Extra propagator inversion for every sink t .

Spectral decomposition:

$$C_{2pt}^{\vec{p}}(t) = Z_{\vec{p}} Z_{\vec{p}}^* \frac{E_{\vec{p}} + m_N}{E_{\vec{p}}} e^{-E_{\vec{p}} t} [1 + b_1 e^{-t \Delta_{\vec{p}}} + \dots]$$

Overlap factors: $Z_{\vec{p}} u_N(\vec{p}) = \langle 0 | \mathcal{N} | N(\vec{p}) \rangle$, $b_1 \propto |Z_{\vec{p}'}|^2 / |Z_{\vec{p}}|^2$.

Energy difference between first excited and ground state: $\Delta_{\vec{p}} = E_{\vec{p}}^1 - E_{\vec{p}}$.

$$C_{3pt, \Gamma_i}^{\vec{p}', \vec{p}, J}(t, \tau) = \frac{Z_{\vec{p}'} Z_{\vec{p}}^*}{2E_{\vec{p}'} 2E_{\vec{p}}} e^{-E_{\vec{p}'}(t-\tau)} e^{-E_{\vec{p}} \tau} B_{\Gamma_i, J}^{\vec{p}', \vec{p}} \cdot [1 + c_{10} e^{-(t-\tau) \Delta_{\vec{p}'}} + c_{01} e^{-\tau \Delta_{\vec{p}}} + c_{11} e^{-(t-\tau) \Delta_{\vec{p}'}} e^{-\tau \Delta_{\vec{p}}} \dots]$$

where $B_{\Gamma_i, J}^{\vec{p}', \vec{p}} \propto \langle N | J | N \rangle$, $c_{10} \propto \langle N_1 | J | N \rangle$, $c_{01} \propto \langle N | J | N_1 \rangle$, $c_{11} \propto \langle N_1 | J | N_1 \rangle$.

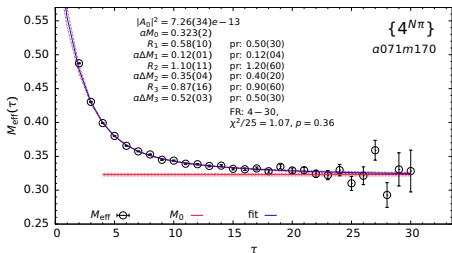
Smearred interpolators reduce $|Z_{\vec{p}'}|^2 / |Z_{\vec{p}}|^2$, however, $\langle N | J | N_1 \rangle$ etc may be large.

Challenges

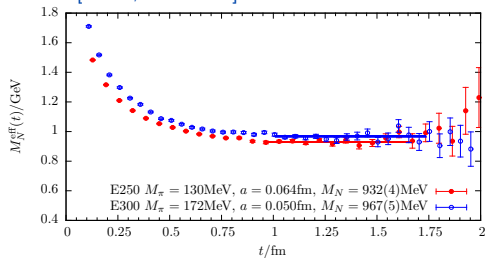
Statistical noise: signal vs noise decays with $e^{-(E-3m_\pi/2)t}$ for large t .

$$\vec{p} = \vec{0}$$

[NME,2103.05599]



[Mainz,2212.09940]



NME: 1 fm $\sim 14a$.

Wuppertal (Gaussian) smearing of nucleon interpolators using APE smeared gauge transporters.

NME: $\langle r^2 \rangle_{\psi^2}^{1/2} \sim 0.76 \text{ fm}$, Mainz: $\langle r^2 \rangle_{\psi^2}^{1/2} \sim 0.50 \text{ fm}$.

Challenges

Excited state pollution: significant since t in $C_{3pt}^N(t, \tau)$ cannot be too large.

Spectrum contains resonances and **multi-particle states**. Latter will be lowest excitations for ensembles with pion masses close to m_π^{phys} and $Lm_\pi \gtrsim 4$.

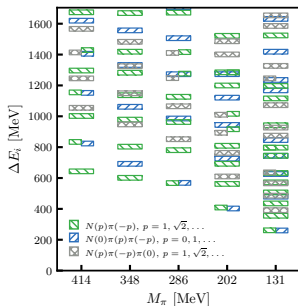
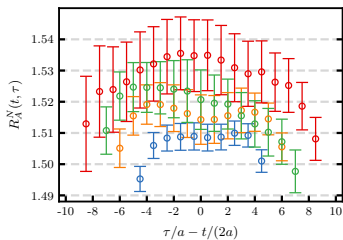
Source: $\vec{p} \neq 0$, parity not a good QN, $N(\vec{p})\pi(\vec{0})$, $N(\vec{0})\pi(\vec{p})$, ...

Sink: $\vec{p}' = \vec{0}$, parity is a good QN, $N(\vec{p})\pi(-\vec{p})$, $N(\vec{0})\pi(\vec{0})\pi(\vec{0})$ and $N\pi\pi\pi$ etc + momentum combinations.

CLS ensembles:

$m_\pi = 286$ MeV, $a = 0.064$ fm.

Forward limit: $C_{3pt}(t, \tau)/C_{2pt}(t) \rightarrow g_A$.



Assume for $Lm_\pi \gtrsim 4$: $E_{N\pi} \approx E_N + E_\pi$.

Challenges

Additional systematics to be controlled.

★ **Discretisation effects:** $\mathcal{O}(a)$ or $\mathcal{O}(a^2)$. More important as \vec{p} becomes large.

★ **Quark mass dependence:** not clear how well ChPT describes the quark mass dependence in the range $m_\pi \sim (m_\pi^{phys} - 300 \text{ MeV})$.

E.g. NNLO SU(2) covariant BChPT [Schindler et al.,nucl-th/0611083]

$$G_A(0) \equiv g_A = g_A^{(0)} + g_A^{(1)} m_\pi^2 + g_A^{(2)} m_\pi^2 \ln\left(\frac{m_\pi}{\mu}\right) + g_A^{(3)} m_\pi^3$$

In ChPT, the $g_A^{(2)}$ log term gives a large positive contribution.

Lattice results find a mild dependence on m_π with a negative slope, large cancellation between terms.

The Δ resonance also needs to be considered.

Need $m_\pi \approx m_\pi^{phys}$.

★ **Finite volume effects:** exponentially suppressed $\sim m_\pi^2 e^{-Lm_\pi} / (m_\pi L)^{3/2}$, want $Lm_\pi \gtrsim 4$.

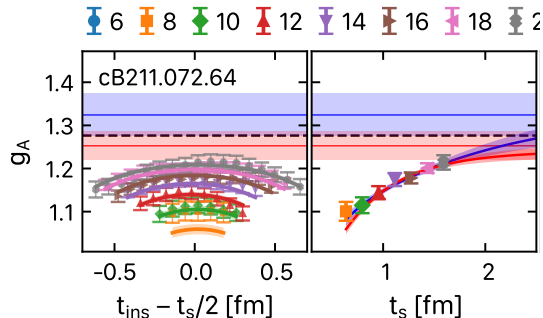
★ Parameterisation of Q^2 dependence.

Recent progress

Statistical noise and excited state pollution:

More source-sink separations, $t_{max} = 1.4 - 1.6$ fm. More measurements for larger t .

[ETMC,2309.05774] $m_\pi = 140$ MeV, $a = 0.09$ fm



cB211.072.64		
750 configurations		
t_s/a	t_s [fm]	n_{src}
8	0.64	1
10	0.80	2
12	0.96	5
14	1.12	10
16	1.28	32
18	1.44	112
20	1.60	128

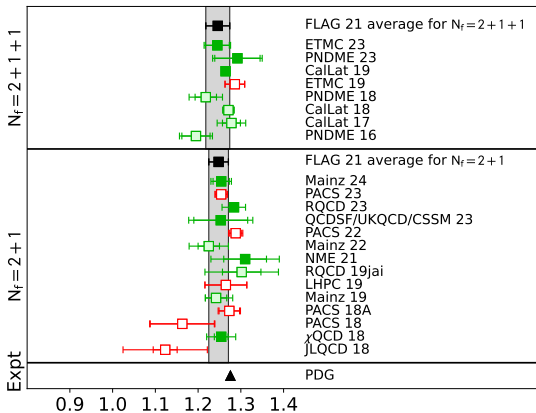
[Mainz,2207.03440]: $m_\pi = 129$ MeV, $t_{max} = 1.4$ fm, 102k measurements on 400 configs..

[PNDME,2305.11330]: $m_\pi = 128$ MeV, $t_{max} = 1.4$ fm, 170k measurements on 1290 configs..

Both Mainz and PNDME use TSM/AMA [Bali et al.,0910.3970], [Blum et al.,1208.4349].

Systematics from finite a and V and m_q dependence: most studies 3-5 lattice spacings, $m_\pi^{min} \approx m_\pi^{phys}$ and $Lm_\pi^{min} \gtrsim 3.5$.

Forward limit: axial charge, $G_A(0) \equiv g_A$



Not a FLAG plot, however, the FLAG criteria are applied.

ETMC 23, PNDME 23, Mainz 22 and RQCD 19 results obtained from data for $Q^2 > 0$ as well as $Q^2 = 0$.

Rest: $Q^2 = 0$ only.

PCAC relation: $\partial^\mu A_\mu = 2m_\ell P + \mathcal{O}(a^2)$

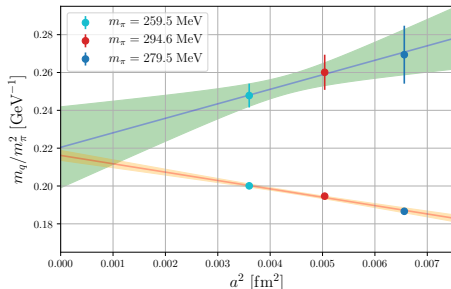
m_q extracted using **pion two-point correlation functions**:

$$\text{zero momentum : } 2m_\ell = \frac{\partial_t \langle A_4(t) P^\dagger(0) \rangle}{\langle P(t) P^\dagger(0) \rangle} = \frac{\partial_t C_{2pt}^{PA_4}(t)}{C_{2pt}^{PP}(t)}$$

Using **nucleon three-point correlation functions**:

$$\text{finite } \vec{q} : 2m_\ell = \frac{\langle \mathcal{N}_{snk} \partial_\mu A_\mu(x) \bar{\mathcal{N}}_{src} \rangle}{\langle \mathcal{N}_{snk} P(x) \bar{\mathcal{N}}_{src} \rangle} = \frac{\partial_\mu C_{3pt, P_i}^{\vec{0}, \vec{p}, A_\mu}(t, \tau)}{C_{3pt, P_i}^{\vec{0}, \vec{p}, P}(t, \tau)}$$

[RQCD,1810.05569]



PCAC relation

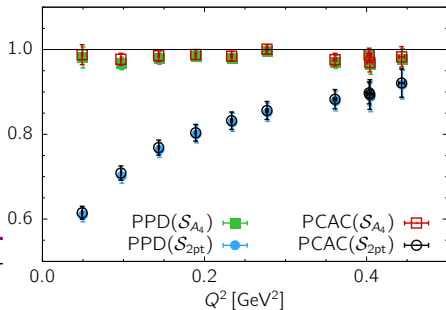
$$r_{\text{PCAC}} = \frac{m_q G_P(Q^2) + \frac{Q^2}{4m_N} \tilde{G}_P(Q^2)}{m_N G_A(Q^2)} = 1 + \mathcal{O}(a^2) \quad r_{\text{PPD}} = \frac{m_\pi^2 + Q^2}{4m_N^2} \frac{\tilde{G}_P(Q^2)}{G_A(Q^2)} = 1 + \dots$$

[Jang et al.,1905.06470]

$m_\pi \sim m_\pi^{\text{phys}}$, $a = 0.09$ fm.

Circles: excited state spectrum extracted from C_{2pt} and used in fit of C_{3pt} .

Lowest $N\pi$ state(s) not resolved in C_{2pt} .
Size of excited state contributions underestimated.



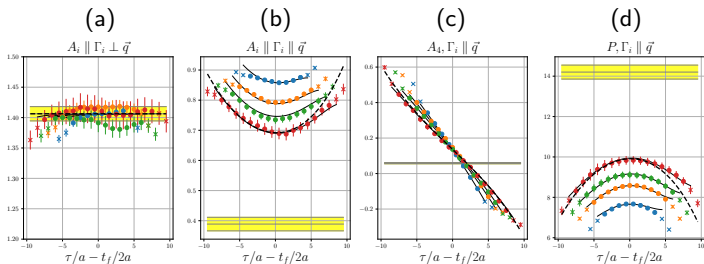
Discrepancy biggest for low Q^2 and $m_\pi \rightarrow m_\pi^{\text{phys}}$.

No improvement with smaller a , see, e.g., [RQCD,1911.13150].

Excited state contamination

$$m_\pi = 200 \text{ MeV}, a = 0.064 \text{ fm}, |\vec{q}| = 2\pi/(64a)$$

[RQCD,1911.13150]



$$R_{\mathcal{J}, \Gamma_i, \vec{q}} = C_{3pt, \Gamma_i}^{\vec{p}', \vec{p}, J} / C_{2pt}^{\vec{p}} \times (\text{factor}) \rightarrow \text{constant}$$

$$(a) R_{A_i || \Gamma_i \perp \vec{q}} \propto G_A(Q^2)$$

$$(b) R_{A_i || \Gamma_i || \vec{q}} \propto (m_N + E_{\vec{q}}) G_A(Q^2) - \frac{q_i^2}{2m_N} \tilde{G}_P(Q^2)$$

$$(c) R_{A_4, \Gamma_i || \vec{q}} \propto G_A(Q^2) + \frac{(m_N - E_{\vec{q}})}{2m_N} \tilde{G}_P(Q^2) \quad (d) R_{P, \Gamma_i || \vec{q}} \propto G_P(Q^2)$$

Well known problem: e.g. [RQCD,1412.7336], [ETMC,1705.03399], [PNDME,1705.06834], [RQCD,1810.05569], [PACS,1811.07292].

Some works only extract G_A , using $R_{A_i || \Gamma_i \perp \vec{q}}$.

Excited state contamination in ChPT

$N\pi$ excited state contamination in correlation functions can be investigated in ChPT.

For example,

Forward limit (zero recoil):

[Tiburzi,0901.0657,1503.06329] $N\pi$ excited state contribution to $G_A(0) = g_A$ in leading loop order HBChPT.

[Hansen,1610.03843] $N\pi$ excited state contribution to g_A , LO ChPT with finite volume interaction corrections a la Lellouch-Lüscher.

[Bär,1606.09385] BChPT: leading loop order g_A .

Form factors:

[Meyer,1811.03360] $N\pi$ contributions to $G_A(Q^2)$, $\tilde{G}_P(Q^2)$ and $G_P(Q^2)$ computed to tree-level in ChPT.

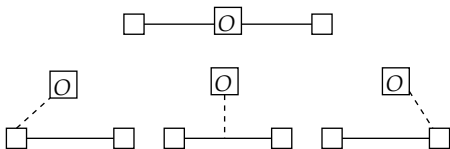
[Bär,1906.03652,1812.09191] $N\pi$ contributions to $G_A(Q^2)$, $\tilde{G}_P(Q^2)$ and $G_P(Q^2)$ computed in leading loop order BChPT.

Limitations to ChPT approach: p and m_π should be small. Applies to large source-sink separation (not always accessible due to deterioration of the signal). When using spatially extended sources $\langle r^2 \rangle_{smear}^{1/2} \ll 1/m_\pi$.

Excited state contamination in ChPT

Ground state (single particle): $N(-\vec{q}) \rightarrow N(\vec{0})$.

Axial and pseudoscalar currents can couple to pions: dominant contributions come from **tree-level diagrams**, where the pion takes the momentum of the current:



Top (ground state), bottom middle (ground+excited states), rest (excited states).

Channels $\mathcal{J} = \mathcal{P}$, \mathcal{A}_4 and $\mathcal{A}_i \parallel \vec{q}$: large $N(\vec{0})\pi(-\vec{q}) \rightarrow N(\vec{0})$ and $N(-\vec{q}) \rightarrow N(-\vec{q})\pi(\vec{q})$ contributions.

Only extraction of G_P and \tilde{G}_P affected.

$\mathcal{J} = \mathcal{A}_i \parallel \vec{q}$: correction to $\tilde{G}_P(t, \tau = t/2) \sim -e^{-E_\pi(\vec{q})t/2}$ for small \vec{q} . [Bär,1906.03652]

No enhanced excited state contributions to G_A .

\rightarrow (moderate) loop contributions to $\mathcal{A}_i \perp \vec{q}_i$.

No enhanced contributions in the forward limit. Consistent with the lattice data.

Excited state fits accounting for $N\pi$ states

[Jang et al.,1905.06470]

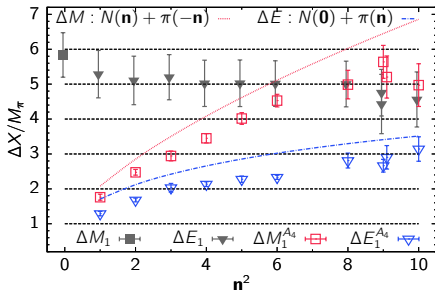
Expected first excitation: $\vec{p} = \vec{n} \frac{2\pi}{L}$

Sink: $N(-\vec{p})\pi(\vec{p})$

Source: $N(0)\pi(\vec{p})$

Fit to C_{3pt} : **first fix excited state energy from A_4 component.** Additional excited states also considered. See also

[PNDME,2305.11330].



[RQCD,1911.13150]: **use tree-level BChPT to determine form of $N\pi$ contributions.** No constraints on the ground state contributions.

$$C_{3pt}^{\Gamma_{\alpha}, A_{\mu}}(\vec{p}', \vec{p}, t_f, \tau) = \frac{\sqrt{Z_{\vec{p}'} Z_{\vec{p}}}}{2E_{\vec{p}'} E_{\vec{p}}} e^{-E_{\vec{p}'}(t_f - \tau)} e^{-E_{\vec{p}}\tau} \times$$

$$\left[B_{\Gamma_i, A_{\mu}}(\vec{p}', \vec{p}) + \frac{E_{\vec{p}'}}{E_{\pi}} r_{+}^{\mu} c^{\vec{p}'} q_{\alpha} e^{-\Delta E_{\vec{p}'}^{N\pi}(t - \tau)} + \frac{E_{\vec{p}}}{E_{\pi}} r_{-}^{\mu} c^{\vec{p}} q_{\alpha} e^{-\Delta E_{\vec{p}}^{N\pi}(\tau)} + \dots \right]$$

Energy gaps $\Delta E_{\vec{p}'}^{N\pi}$ and $\Delta E_{\vec{p}}^{N\pi}$ fixed using priors in the fit.

Second excited state included in the fit with the standard form.

$N\pi$ terms for $C_{3pt}^{\Gamma_{\alpha}, P}$ obtained from the PCAC relation.

Simultaneous fit of C_{3pt} for the A_{μ} and P currents gives a reasonable χ^2/dof .

Recent works with $m_\pi \rightarrow m_\pi^{phys}$, $V \rightarrow \infty$, $a \rightarrow 0$ limits

[ETMC,2309.05774] $N_f = 2 + 1 + 1$, $a = 0.08, 0.07, 0.06$ fm, $m_\pi \sim m_\pi^{phys}$, $Lm_\pi = 3.6 - 3.9$.

Simultaneous fits to C_{2pt} and C_{3pt} for the A_μ and P currents. One and two excited state contributions explored (final results from the one excited state fit difference included in the systematics).

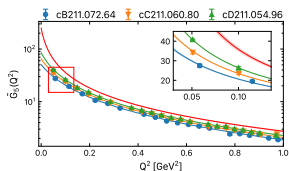
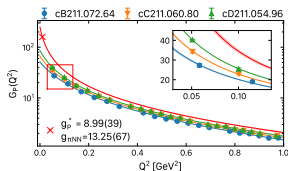
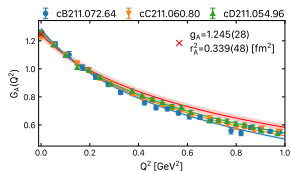
Q^2 parameterisation and $a \rightarrow 0$ limit:

$$G_Z(Q^2, a^2) = g(a^2) \sum_{k=0}^{k_{max}} c_k(a^2) z^k(Q^2) \quad z(t, t_{cut}, t_0) = \frac{\sqrt{t_{cut} - t} - \sqrt{t_{cut} - t_0}}{\sqrt{t_{cut} - t} + \sqrt{t_{cut} - t_0}}$$

$$t = q^2 = -Q^2, \quad t_{cut} = 9m_\pi^2, \quad k_{max} = 3, \quad t_0 = 0.$$

Coefficients constrained by restrictions that expansion converges smoothly to zero as $Q^2 \rightarrow \infty$ following [Lee et al.,1505.01489], [Meyer et al.,1603.03048].

For \tilde{G}_P and $G'_P = 4m_N/m_\pi^2 \times m_q G_P(Q^2)$ use: $G_{wpole}(Q^2, a^2) = \frac{1}{Q^2 + m_\pi^2 + ba^2} G_Z(Q^2, a^2)$



Recent works with $m_\pi \rightarrow m_\pi^{phys}$, $V \rightarrow \infty$, $a \rightarrow 0$ limits

[RQCD,1911.13150]: $N_f = 2 + 1$ $O(a)$ -improved Wilson ensembles (CLS), 5 lattice spacings, $a = 0.09 - 0.04$ fm, $m_\pi = 130 - 410$ MeV, $Lm_\pi^{phys} = 3.5 - 4.1$.

Simultaneous fit to $\mathcal{J} = \mathcal{A}_\mu$ and $\mathcal{P} C_{3pt}/C_{2pt}$ functions (two excited states, first set to $E_{N\pi}$). Four source-sink separations $t = 0.7 - 1.2$ fm.

Combined m_q , V , a^2 and Q^2 (z -expansion) fit of G_A , \tilde{G}_P , G_P (after testing in the continuum limit, the PCAC relation imposed).

Constraints on coefficients from asymptotic behaviour $G_A \propto 1/Q^4$ etc. and the PCAC relation. $k_{max} = 4 + 3$.

[PNDME,2305.11330]: clover fermions on MILC $N_f = 2 + 1 + 1$ ensembles, $m_\pi = 128 - 312$, $m_\pi^{phys} L = 3.9$, 4 lattice spacings, $a = 0.15 - 0.06$ fm

Simultaneous fits to $\mathcal{J} = \mathcal{A}_\mu$ and $\mathcal{P} C_{3pt}$ and C_{2pt} functions (two/three excited states). Three-five source-sink separations $t = 0.8 - 1.4$ fm.

Followed by a three-step procedure:

Q^2 fit to G_A with $k_{max} = 2$.

For 11 reference values of Q^2 , extrapolation with respect to m_q , V and a .

At the physical point: fit to 11 Q^2 values with $k_{max} = 2$. Similarly, for \tilde{G}_P and G_P .

Recent works with $m_\pi \rightarrow m_\pi^{phys}$, $V \rightarrow \infty$, $a \rightarrow 0$ limits

[Mainz,2207.03440]: $N_f = 2 + 1$ $O(a)$ -improved Wilson ensembles (CLS),
4 lattice spacings, $a = 0.09 - 0.05$ fm, $m_\pi = 130 - 350$ MeV, $Lm_\pi^{phys} = 4.0$.

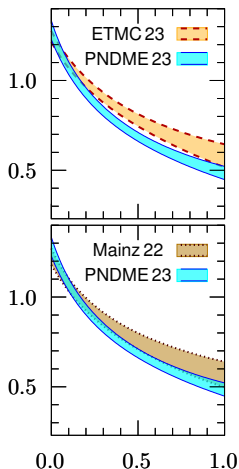
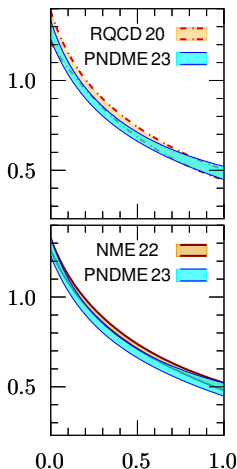
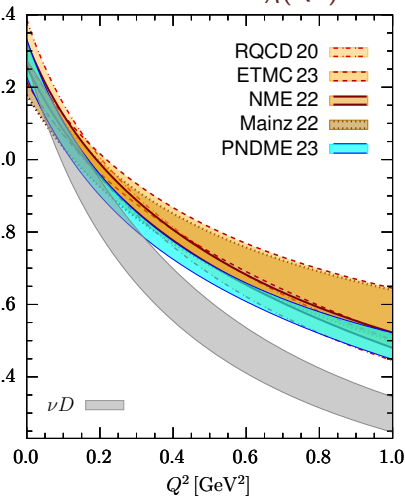
G_A only.

Combined excited state and Q^2 fit with $k_{max} = 2$.

$t = 0.2 - 1.4$ fm, 9-17 source-sink separations with ground state fits to the summed ratio $\sum_\tau C_{3pt}(t, \tau) / C_{2pt}(t)$ (summation method [Maiani et al., Nucl. Phys. B 239 (1987)])

Dependence of the coefficients on m_q , V and a^2 is fitted.

Recent results for $G_A(Q^2)$

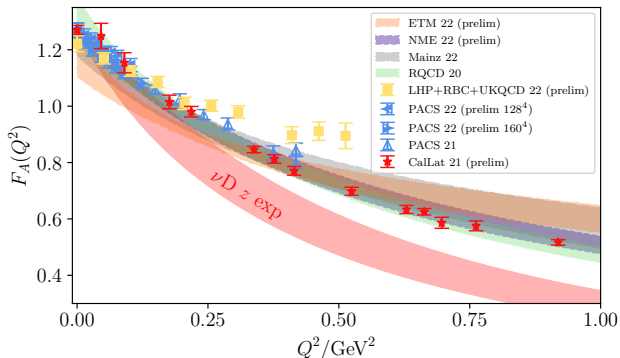


Figures from [Gupta,Lattice 23], νD fit from [Meyer et al.,1603.03048].

Also shown: [NME,2103.05599] clover fermions on MILC $N_f = 2 + 1 + 1$ HISQ ensembles, no $m_\pi \rightarrow m_\pi^{phys}$, $V \rightarrow \infty$, $a \rightarrow 0$ limit. Fit $m_\pi = 170 - 285$ MeV, $a = 0.07 - 0.13$ fm data together. Simultaneous fits to $\mathcal{J} = \mathcal{A}_\mu$ and \mathcal{P} C_{3pt} and C_{2pt} functions (one/three excited states). Four-six source-sink separations $t = 0.8 - 1.5$ fm.

Recent results for $G_A(Q^2)$

[Meyer,2301.04616]

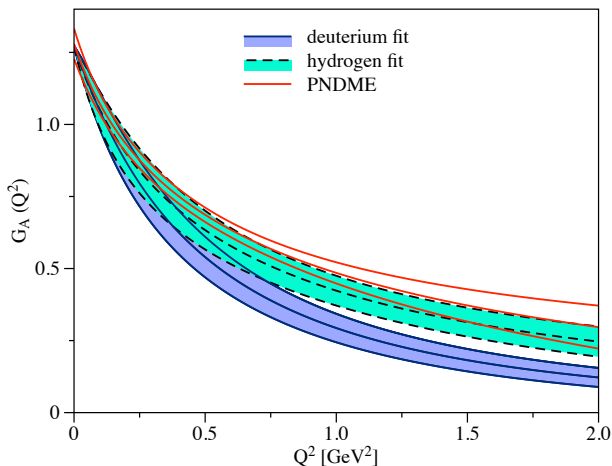


[CalLat,2111.06333], domain wall fermions on MILC $N_f = 2 + 1 + 1$ HISQ ensembles, $m_\pi = 130$ MeV, $Lm_\pi = 3.9$, $a = 0.12$ fm. 10 source-sink separations, $t = 0.3 - 1.4$ fm, 3 excited states fitted to C_{3pt}/C_{2pt} .

[PACS,2311.10345], $O(a)$ improved-Wilson $N_f = 2 + 1$ ensembles, $m_\pi = 138$ MeV, $a = 0.06$ and 0.09 fm. 3 source-sink separations, $t = 0.82 - 1.2$ fm. Ground state fits to ratios.

Recent results for $G_A(Q^2)$

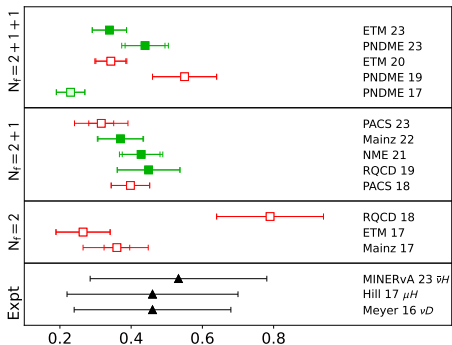
[Tomalak et al., 2307.14920]



Lattice results are more consistent with MINERvA data.

[MINERvA, Nature 614, 48 (2023)]: antineutrino scattering off hydrogen atoms inside hydrocarbon molecules. Monte-Carlo simulations used to remove antineutrino-carbon scattering.

Axial radius: $\langle r^2 \rangle_A$ [fm²]



Lattice results and fits to experiment obtained using the z-expansion.

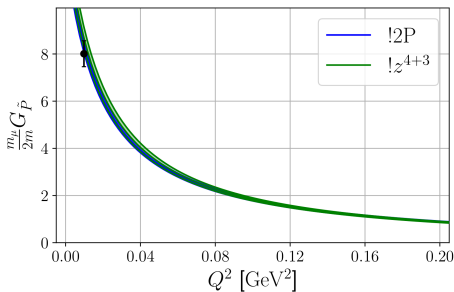
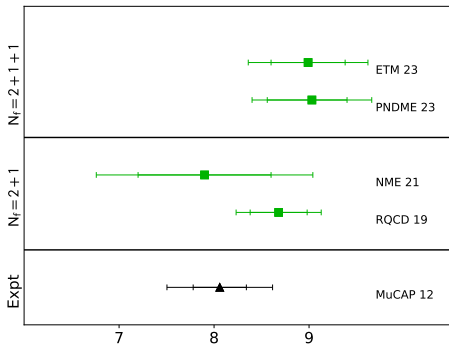
\tilde{G}_P at the muon capture point: g_P^*

\tilde{G}_P not well known from expt: muon capture $\mu^- p \rightarrow \nu_\mu n$ gives

$$g_P^* = \frac{m_\mu}{2m_N} \tilde{G}_P(Q^2 = 0.88 m_\mu^2) = 8.06(55) \text{ [MuCap,1210.6545]}$$

Compatible with pion pole dominance.

[RQCD,1911.13150]



Recap: axial form factors

- ★ Many new lattice studies of the axial form factor, with a focus on increasing precision and controlling all the main systematics. General agreement between results.
- ★ Constraints, such as the PCAC relation on the form factors, provide an important check on the results. Lattice results now show consistency with the PCAC relation in the continuum limit.
- ★ There is very significant excited state contamination of the three-point functions from $N\pi$ states.
- ★ Extraction of \tilde{G}_P and G_P are mostly affected, while excited state contamination in the extraction of G_A is “moderate”. Consistent with LO ChPT analysis.
- ★ Size of the excited state contamination when extracting G_A depends on details of the analysis (choice of nucleon interpolator \mathcal{N} , source-sink separations for C_{3pt} , m_π , L , ...). Still needs to be considered carefully, for precision results.
- ★ Lattice results now reproduce the expt. value for g_P^* . Pion pole dominance in \tilde{G}_P is also found to hold on a few percent level.

Toward N to $N\pi$ matrix elements

Neutrino scattering above the pion-production threshold ($E_\nu \sim 1 - 10$ GeV):

- ★ $N \rightarrow N\pi$ transition matrix elements: $N \rightarrow \Delta(1232)$, N^* resonances for vector and axial currents.
- ★ Straightforward if m_π is large enough for the resonance to be stable, e.g. [ETMC,0710.4621,0706.3011] ($N \rightarrow \Delta$), [Lin, Cohen,1108.2528] ($N \rightarrow$ Roper) and earlier $N_f = 0$ works.
- ★ $N \rightarrow$ resonance requires finite volume formalism for $N\pi \rightarrow N\pi$ scattering as well as $N \rightarrow N\pi$ [Bernard et al.,1205.4642], [Agadjanov et al.,1405.3476], [Briceno, Hansen,1502.04314].
- ★ Elastic $N\pi$ scattering in $I = 1/2$ and $3/2$, see e.g. [Lang et al.,1610.01422], [Anderson et al.,1710.01557], [Bulava et al.,2208.03867], [ETMC,2307.12846].

Toward N to $N\pi$ matrix elements

Computing $N \rightarrow N\pi$ matrix elements:

[Barca et al.,2211.12278] $N_f = 3$ ($m_\ell = m_s$) $m_\pi = 420$ MeV, $a = 0.098$ fm.

[ETMC,2312.15737] $N_f = 2 + 1 + 1$ $m_\pi = 346$ MeV, $a = 0.095$ fm, $N_f = 2$
 $m_\pi = 131$ MeV, $a = 0.094$ fm.

- ★ First step: evaluating GEVP improved $N \rightarrow N$ axial form factors with reduced excited state contributions.
- ★ Next step: $N \rightarrow N\pi$ matrix elements from the GEVP.

Matrix of correlation functions: $C_{ij}(\vec{p}, t) = \langle O_i(\vec{p}, t) \overline{O}_j(\vec{p}, 0) \rangle$, $O_i \in \{O_{3q}, O_{5q}\}$.

$O_{3q,5q}$ must be projected onto the relevant lattice irreducible representation to give spin 1/2: ($\vec{p} = \vec{0}$) G_1^+ of O_h , ($\vec{p} \neq \vec{0}$) G_1 of the little group C_{4v} .

Similarly, for isospin:

e.g. for the neutron ($I = -I_z = 1/2$) $O_{5q}^n = -\frac{1}{\sqrt{3}} O_{3q}^n O_{\bar{q}q}^{\pi^0} + \sqrt{\frac{2}{3}} O_{3q}^p O_{\bar{q}q}^{\pi^-}$

GEVP: $C(t)V(t, t_0) = C(t_0)V(t, t_0)\Lambda(t, t_0)$,

$V(t, t_0) = (v^1(t, t_0), v^2(t, t_0))$

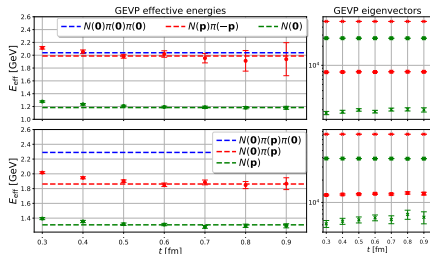
$\Lambda(t, t_0) = \text{diag}(\lambda^1(t, t_0), \lambda^2(t, t_0))$,

$\lambda^\alpha(t, t_0) \approx d_\alpha(t_0) e^{-E_\alpha t}$

Setup: $t_0 = 2a$, $|\vec{p}| = 2\pi/L$

(top) $O_{5q}(\vec{0}) = O_{3q}(\vec{p}) O_{\bar{q}q}(-\vec{p})$

(bottom) $O_{5q}(\vec{p}) = O_{3q}(\vec{0}) O_{\bar{q}q}(\vec{p})$



Note $E_2 \approx E_N + E_\pi$ and $v_i^1 \overline{O}_i |\Omega\rangle \approx |N\rangle$, $v_i^2 \overline{O}_i |\Omega\rangle \approx |N\pi\rangle$.

Little $N\pi$ in $\overline{O}_{3q} |\Omega\rangle \Rightarrow N\pi$ not visible in $\langle O_{3q}(\vec{p}, t) \overline{O}_{3q}(\vec{p}, 0) \rangle$.

GEVP-projected correlation functions

Use eigenvectors $v^{1,2} \sim v^{N, N\pi}$ to obtain GEVP-improved two- and three-point functions for $\alpha, \beta \in \{N, N\pi\}$:

$$C_{2pt}^\alpha(\vec{p}, t) = v_i^\alpha(\vec{p}, t; t_0) C_{ij}(\vec{p}, t) v_j^\alpha(\vec{p}, t; t_0)$$
$$C_{3pt}^{\alpha, \beta}(\vec{p}, t, \vec{q}, \tau; P_k; \mathcal{J}) = v_i^\alpha(\vec{p}', t; t_0) C_{ij}^{3pt}(\vec{p}, t, \vec{q}, \tau; P_k; \mathcal{J}) v_j^\beta(\vec{p}, t; t_0)$$

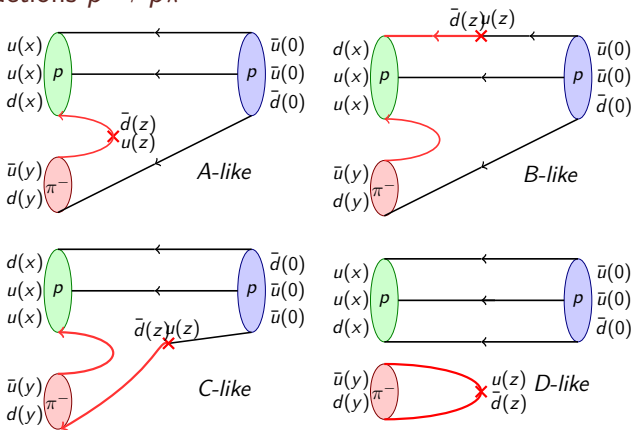
$|v_2^N|$ and $|v_1^{N\pi}|$ are small. However, there is an enhancement of $N \xrightarrow{\mathcal{J}} N\pi$.

Neglect $\sim |v_2^N|^2$ (small)² terms, i.e. $\langle O_{5q} \mathcal{J} \bar{O}_{5q} \rangle$ is not computed.

Improved nucleon matrix elements can be obtained from

$$R^{k, \mathcal{J}}(\vec{p}', t; \vec{q}, \tau)^{NN} = \frac{C_{3pt}^{k, \mathcal{J}}(\vec{p}', t; \vec{q}, \tau)^{NN}}{C_{2pt}(\mathbf{p}', t)^N} \sqrt{\frac{C_{2pt}(\mathbf{p}', \tau)^N C_{2pt}(\mathbf{p}', t)^N C_{2pt}(\mathbf{p}, t - \tau)^N}{C_{2pt}(\mathbf{p}, \tau)^N C_{2pt}(\mathbf{p}, t)^N C_{2pt}(\mathbf{p}', t - \tau)^N}}.$$

Wick contractions $p \rightarrow p\pi^-$



$p \rightarrow n\pi^0$: A, B, C diagrams but no D diagram.

Largest contribution from the D diagram: we find D diagram

$$\approx C_{2pt}^N(\vec{p}'_N, t; \vec{p}, 0) \times C_{2pt}^\pi(\vec{p}'_\pi, t; \vec{q}, \tau) \stackrel{\mathcal{J}=\mathcal{A}_\mu}{\propto} \delta_{\vec{p}'_N, \vec{p}} \delta_{\vec{p}'_\pi, \vec{q}} q_\mu e^{-E_N t} e^{-E_\pi(t-\tau)}.$$

Two-point functions: same topologies, current at $\tau \rightarrow$ smeared pion interpolator at $\tau = 0$.

A, B, C diagrams evaluated using the sequential source method. D diagram, one-end trick [Foster, Michael, hep-lat/9810021] for the pion part.

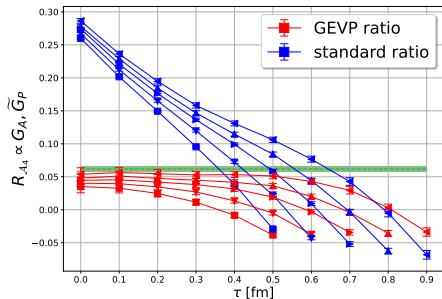
$R^{k, \mathcal{J}}(\vec{p}', t; \vec{q}, \tau)^{NN}$ with momentum transfer

Set up:

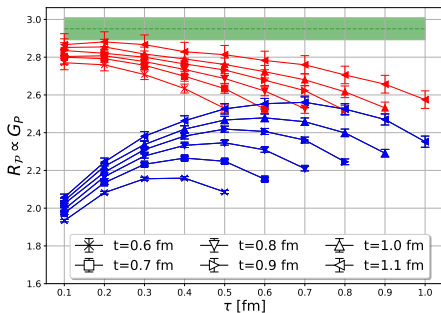
Source (left): $\vec{p} = -\vec{q} = \hat{e}_z 2\pi/L$, sink (right): $\vec{p}' = 0$.

Source: most excitations removed, sink (at rest): some excitations remain.

$$R^{\mathcal{A}_4} \propto G_A, \tilde{G}_P + \dots$$



$$R^{\mathcal{P}} \propto G_P + \dots$$



Blue: Large contributions from $\langle N(\vec{0})\pi(\hat{e}_z)|\mathcal{A}_4|N(\vec{0})\rangle$ and $\langle N(\hat{e}_z)|\mathcal{A}_4|N(\hat{e}_z)\pi(-\hat{e}_z)\rangle$ due to the D diagram etc.

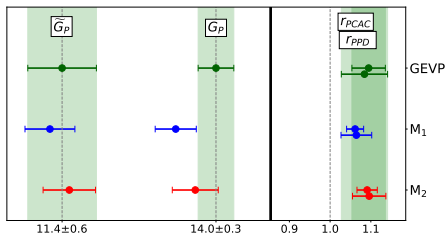
Green bands: ground state matrix element obtained from fit **M2**, see below.

PCAC and PPD relations

$$N_f = 3 \quad (m_\ell = m_s) \quad m_\pi = 420 \text{ MeV}, \quad a = 0.098 \text{ fm}$$

GEVP-improved $N \rightarrow N$ form factors consistent with PCAC and PPD relations up to around 10%.

Note: the axial form factor G_A does not change significantly, see ETMC results below.



Fitting analyses of $\langle O_{3q} \mathcal{J} \bar{O}_{3q} \rangle$ correlation functions also give consistent results:

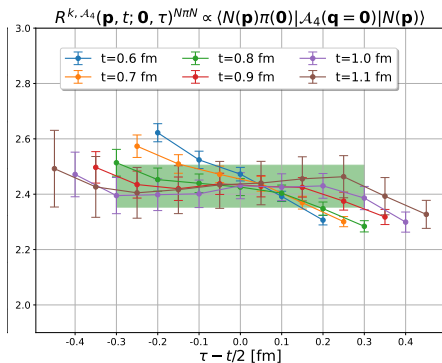
M1: fit used in [RQCD,1911.13150], guided by ChPT with mass gap to 1st excited state fixed with a prior to $E_{N\pi}$.

M2: combined one excited state fit to three- to two-point function ratios for $\mathcal{J} = \mathcal{A}_\mu$ and \mathcal{P} , similar to [Jang et al.,1905.06470] and [ETMC,2309.05774].

$N \rightarrow N\pi$ transition matrix elements from the GEVP

Setup: $\vec{q} = \vec{0}$, $\vec{p}' = \vec{p} = \hat{e}_z \times 2\pi/L$, $Q^2 = m_\pi^2$.

Only moderate excited state contributions to $R^{\mathcal{P}, \mathcal{A}_4}(\vec{p}, t; \vec{0}, \tau)^{N\pi N}$.



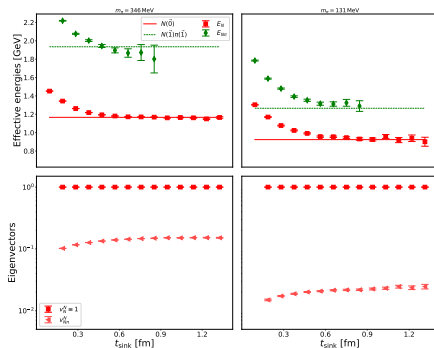
Note that the Lorentz decomposition of the $N \rightarrow N\pi$ matrix elements is different to that for $N \rightarrow N$.

GEVP improved form factors from ETMC

[ETMC,2312.15737] Effective energies of eigenvalues and eigenvector for the lowest eigenenergy.

(Left) $N_f = 2 + 1 + 1$, $m_\pi = 346$ MeV, $a = 0.095$ fm

(Right) $N_f = 2$, $m_\pi = 131$ MeV, $a = 0.094$ fm.



Omitted: additional diagrams involving pion loops arising from the breaking of isospin symmetry with twisted mass fermions. Also $\langle O_{5q} \mathcal{J} O_{5q} \rangle$ correlation functions.

GEVP improved form factors from ETMC

Forward limit: no significant change in the excited state contributions when using GEVP improved three-point functions to extract g_A

With momentum transfer: $|\vec{q}| = 2\pi/L$

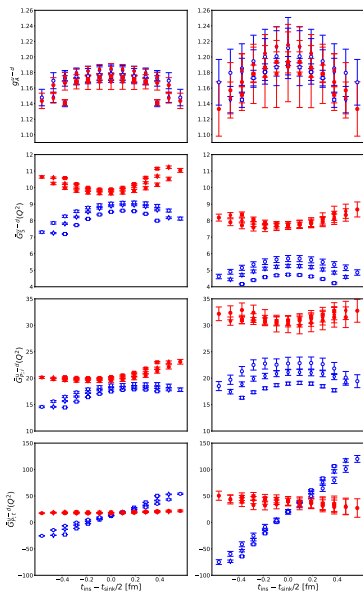
Left: $m_\pi = 346$ MeV, $Q^2 = 0.283$ GeV²

Right: $m_\pi = 131$ MeV, $Q^2 = 0.074$ GeV²

\bar{G}_5^{u-d} denotes the form factor extracted with $\mathcal{J} = \mathcal{P}$ with the pole removed:

$$\bar{G}_5^{u-d} = \frac{m_\pi^2 + Q^2}{F_\pi m_\pi^2} m_q G_5$$

Similarly for $\bar{G}_{P,i}^{u-d}$ and $\bar{G}_{P,t}^{u-d}$ extracted with $\mathcal{J} = \mathcal{A}_i$ and $\mathcal{J} = \mathcal{A}_4$, respectively.



Summary and outlook

- ★ First steps towards computing $N \rightarrow N\pi$ matrix elements relevant for $N \rightarrow \Delta$, ... transitions have been made.
- ★ Large $N\pi$ contributions to the axial and pseudoscalar three-point functions can be removed via the GEVP for $m_\pi \sim 420$ MeV down to 131 MeV.
- ★ Fitting analyses to $\langle O_{3q} \mathcal{J} O_{3q} \rangle$ correlation functions that account for $N\pi$ contributions agree with GEVP results for \tilde{G}_P and G_P . (Limited test at $m_\pi = 420$ MeV).
- ★ No significant change in g_A .

More work to be done:

- ★ $N \rightarrow N\pi$: extended basis of operators for range of \vec{p} , implement the finite volume formalism.
- ★ G_A : how to deal with the “moderate” excited state contamination? Control over other systematics.
- ★ ...