

Possible strategy for $\alpha_s(m_Z)$ extraction using secondary Lund jet planes

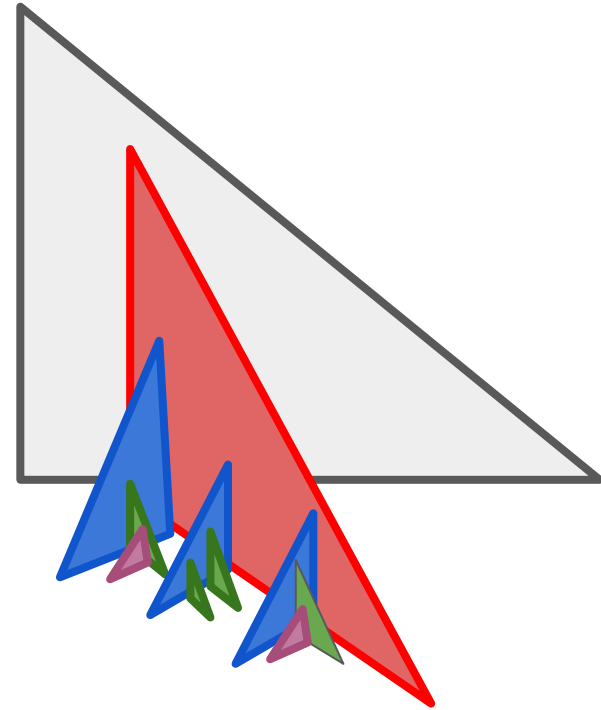
(based on *preliminary* work)

Cristian Baldenegro (Sapienza)

$\alpha_S(m_Z)$ -2024 workshop, Trento, Feb 5th-9th



SAPIENZA
UNIVERSITÀ DI ROMA



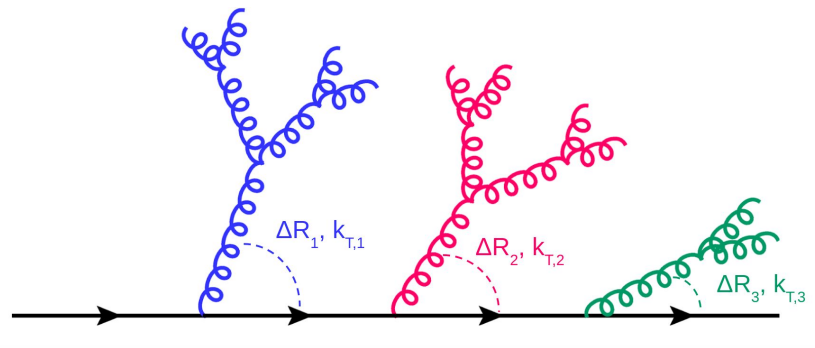
Thanks to Jacob March for early contributions

& to Alba Soto, Gregory Soyez, Leticia Cunqueiro, Matt Nguyen for their feedback

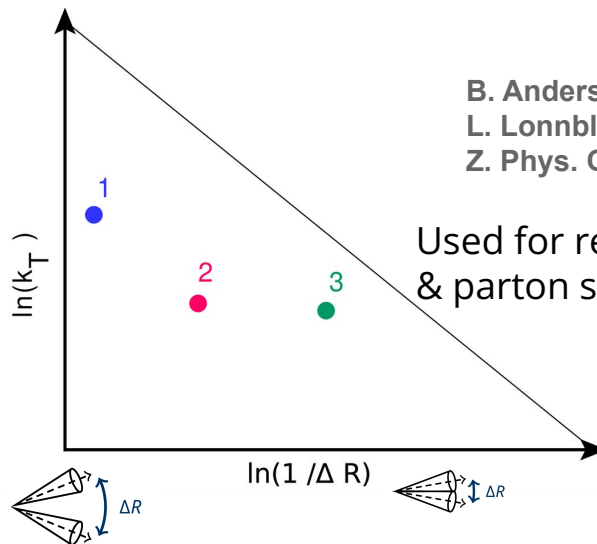
This talk (jet substructure):

- Introducing the Lund jet plane & the “primary” Lund jet plane
- Quick recap of quark/gluon jet fraction issue at hadron colliders
- “Secondary” Lund jet planes
- Average Lund multiplicity of the secondary Lund jet plane for a possible $\alpha_S(m_Z)$ extraction

The Lund plane: 2D phase-space of QCD branchings



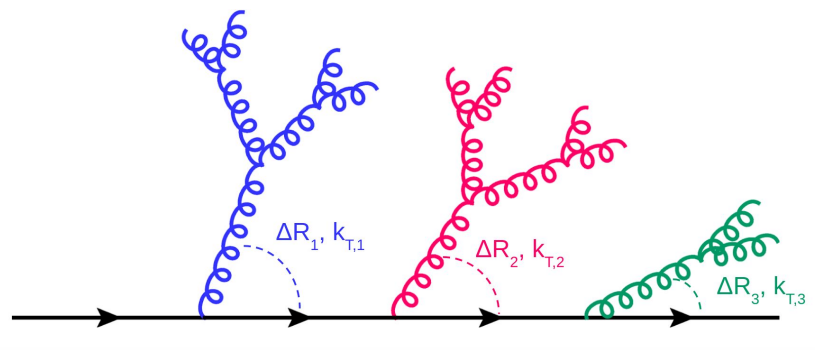
k_T : relative transverse momentum of emission
 ΔR : angular opening of emission and core



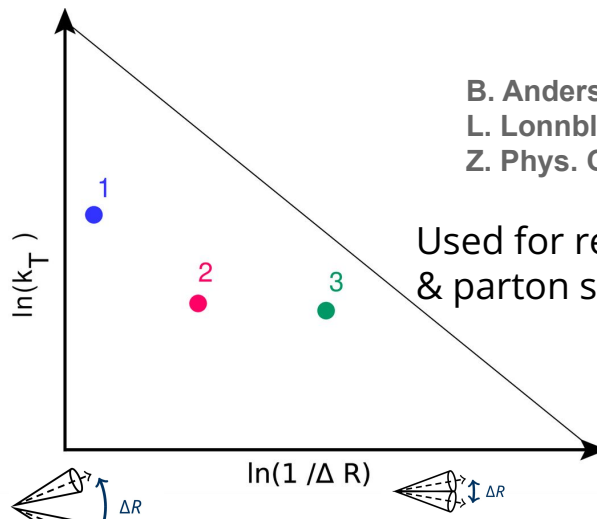
B. Andersson, G. Gustafson,
L. Lonnblad, and U. Pettersson,
Z. Phys. C43 (1989) 625

Used for resummation
& parton showers

The Lund plane: 2D phase-space of QCD branchings



k_T : relative transverse momentum of emission
 ΔR : angular opening of emission and core



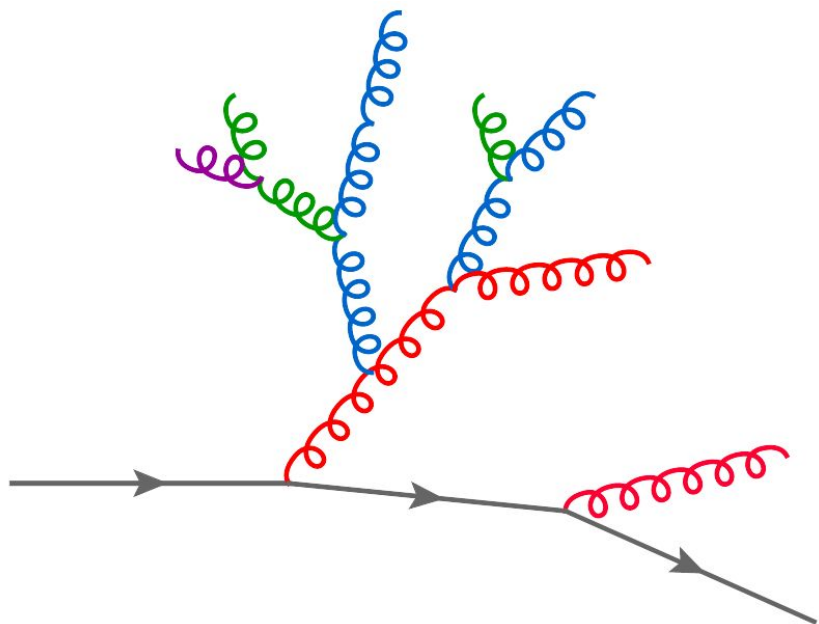
B. Andersson, G. Gustafson,
 L. Lonnblad, and U. Pettersson,
 Z. Phys. C43 (1989) 625

Used for resummation
 & parton showers

In soft & collinear limit of QCD, emissions fill the double-logarithmic plane of k_T and ΔR uniformly

$$\mathcal{P} \propto \alpha_s \frac{dk_T}{k_T} \frac{d\Delta R}{\Delta R} = \alpha_s d \ln(k_T) d \ln(\Delta R) \leftarrow \text{approximate self-similarity of QCD}$$

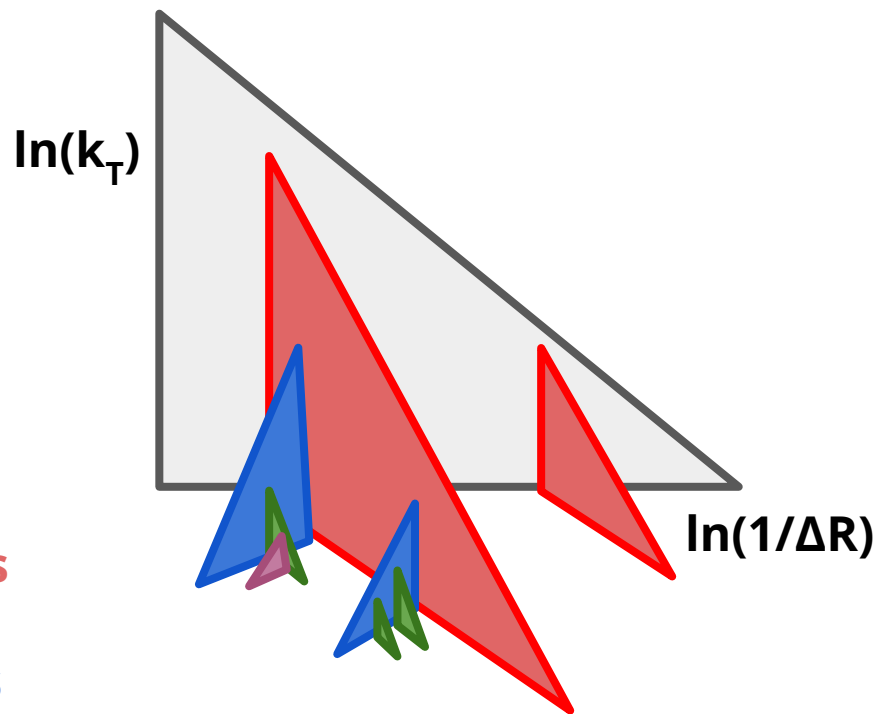
Each QCD emission spans its own Lund plane



Emissions in **red** are the **“primary”** emissions

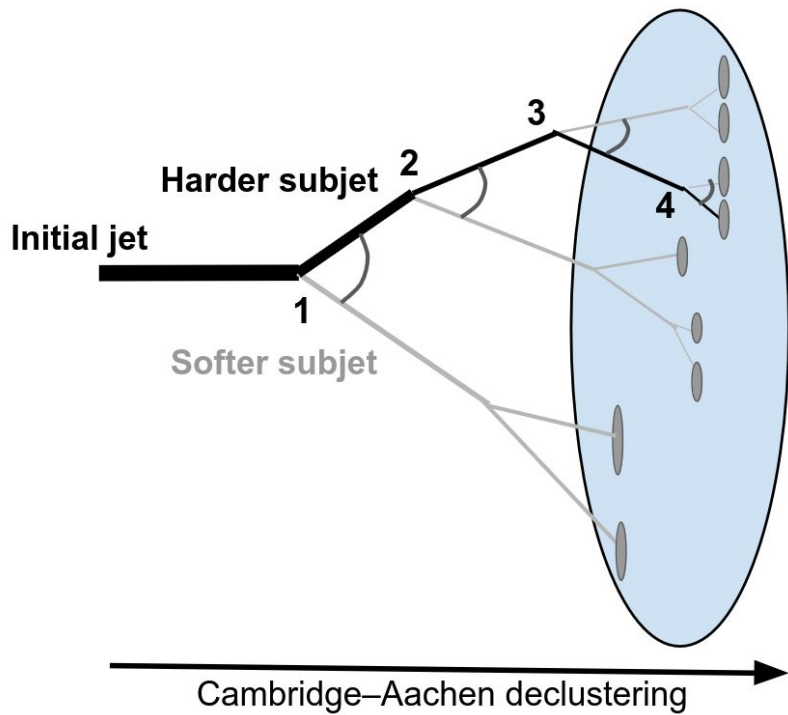
Emissions in **blue** are **“secondary”** emissions

Other colors are **“subsidiary”** emissions



Promotion to a practical tool: the primary Lund jet plane

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064



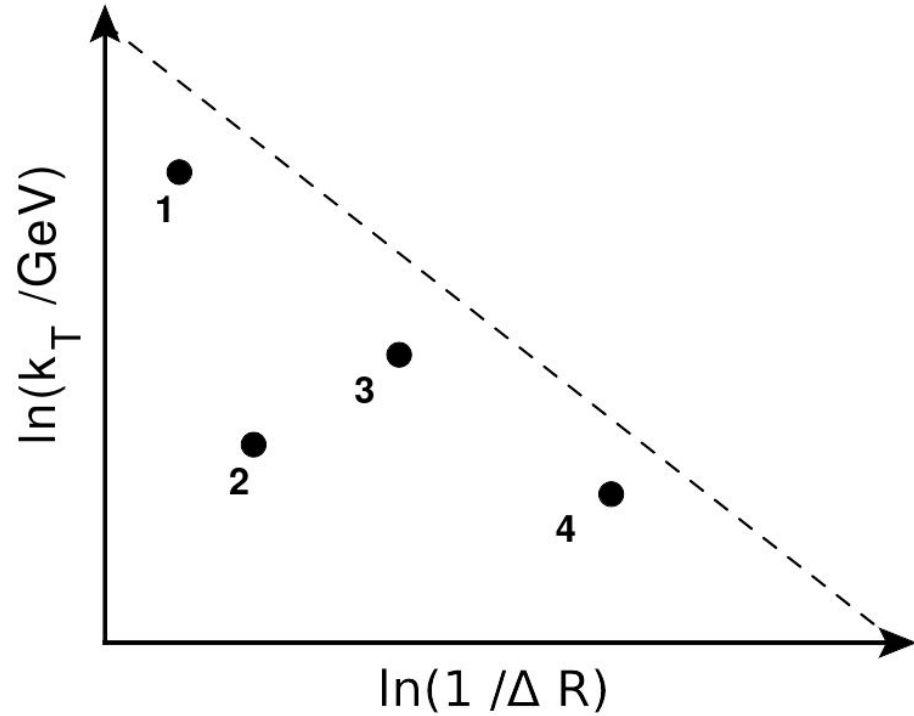
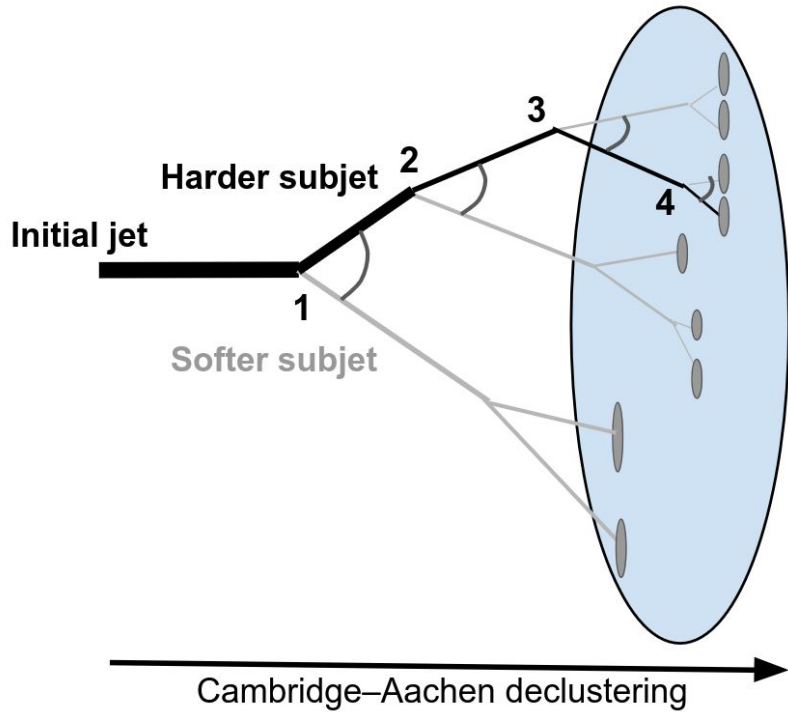
1. A given jet is reclustered with the Cambridge/Aachen algorithm (pairwise clustering by proximity in rapidity-azimuth)
2. Follow Cambridge/Aachen clustering history in reverse, **along the hardest branch (hence “primary”)**
3. k_T and ΔR coordinates registered at each step

$$\Delta R = \sqrt{(y^{\text{softer}} - y^{\text{harder}})^2 + (\phi^{\text{softer}} - \phi^{\text{harder}})^2}$$

$$k_T = p_T^{\text{softer}} \Delta R$$

4. Done until the harder branch has a single constituent

A given jet is represented as a series of points in the Lund *jet* plane



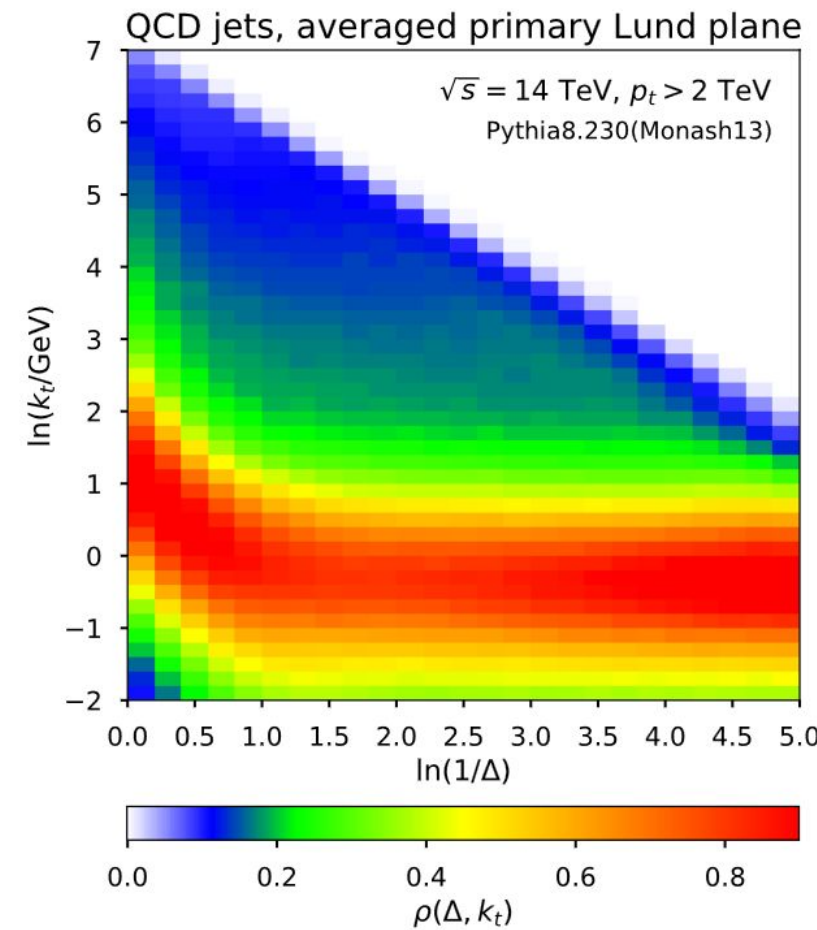
Define the *jet-averaged* number of emissions, the **primary Lund jet plane density**

$$\rho(k_T, \Delta R) \equiv \frac{1}{N_{\text{jets}}} \frac{d^2 N_{\text{emissions}}}{d \ln(k_T/\text{GeV}) d \ln(R/\Delta R)}$$

At leading order, it's “sculpted” by the running of $\alpha_S(k_T)$

$$\rho(k_T, \Delta R)_{\text{LO}} \approx \frac{2}{\pi} C_R^{\text{eff}} \alpha_S(k_T)$$

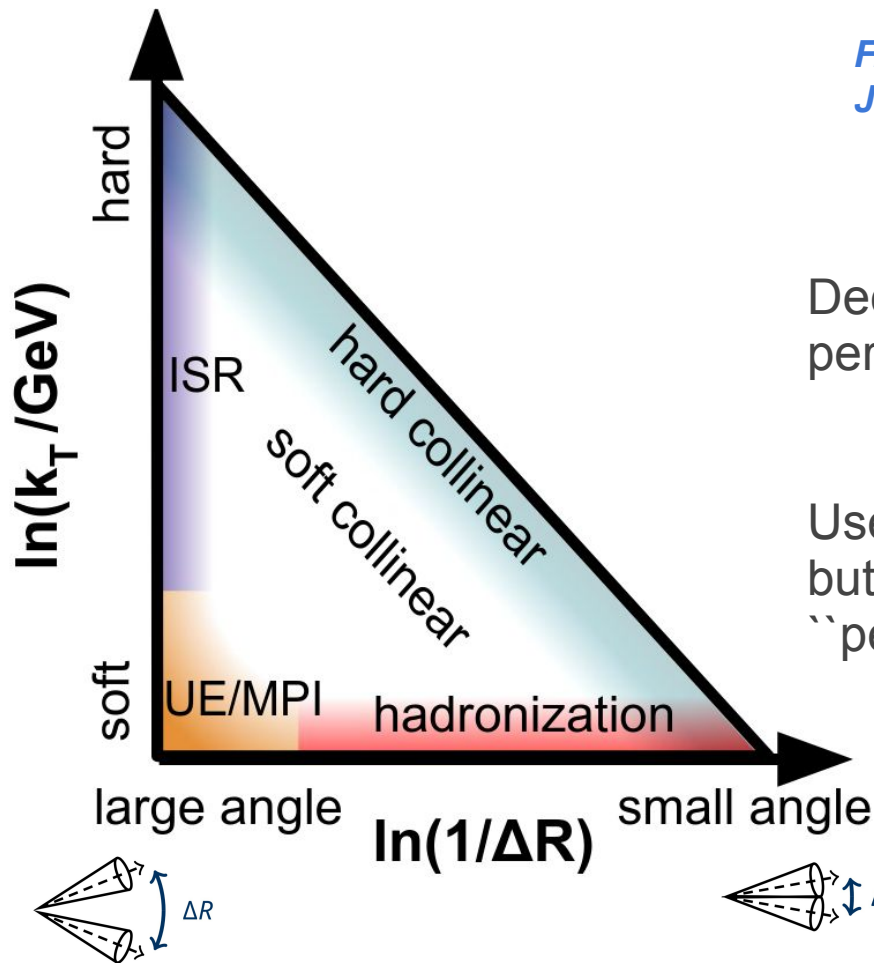
With $C_R = C_A = 3$ for $g \rightarrow gg$ or $C_F = 4/3$ for $q \rightarrow qq$ splittings



F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064

Physical mechanisms are “factorized” in the Lund jet plane

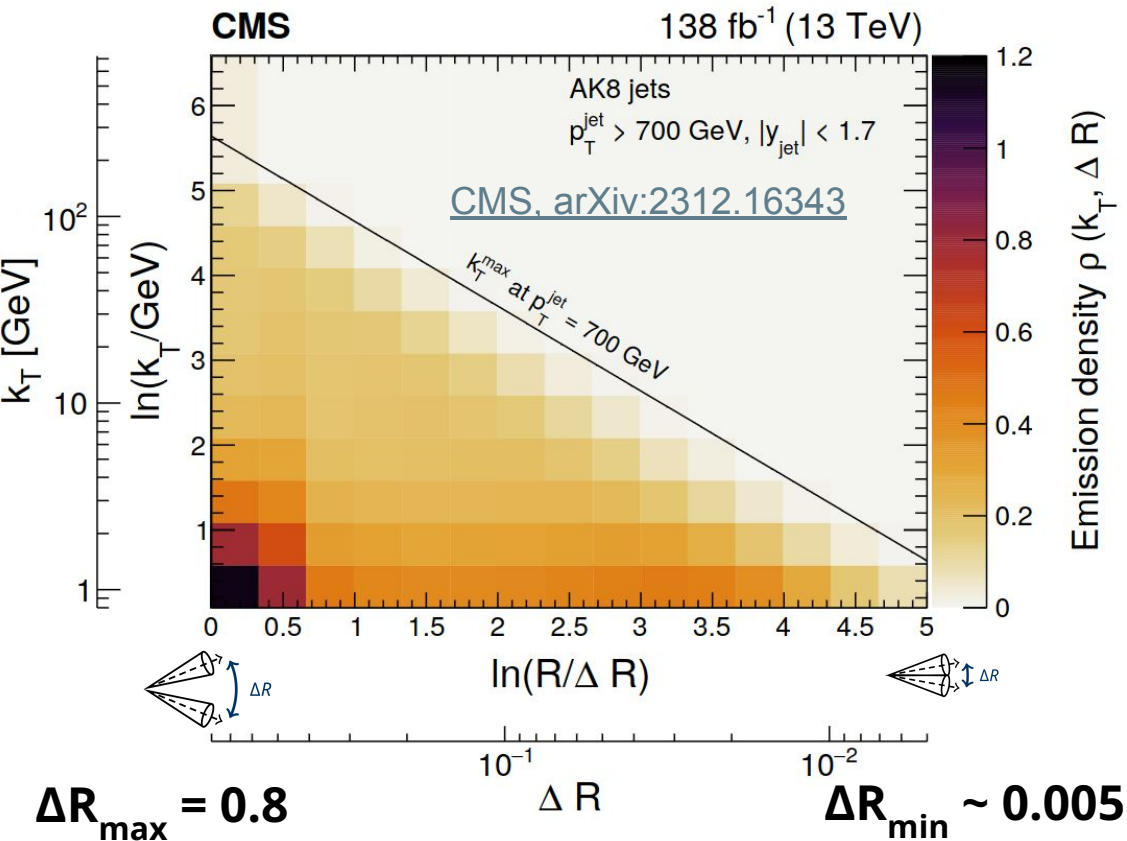
*F. Dreyer, G. Salam, G. Soyez,
JHEP12(2018)064*



Decoupling of nonperturbative and perturbative contributions

Useful for MC tuning,
but also for comparison with
“pen-and-paper” theory

measured primary Lund jet plane densities



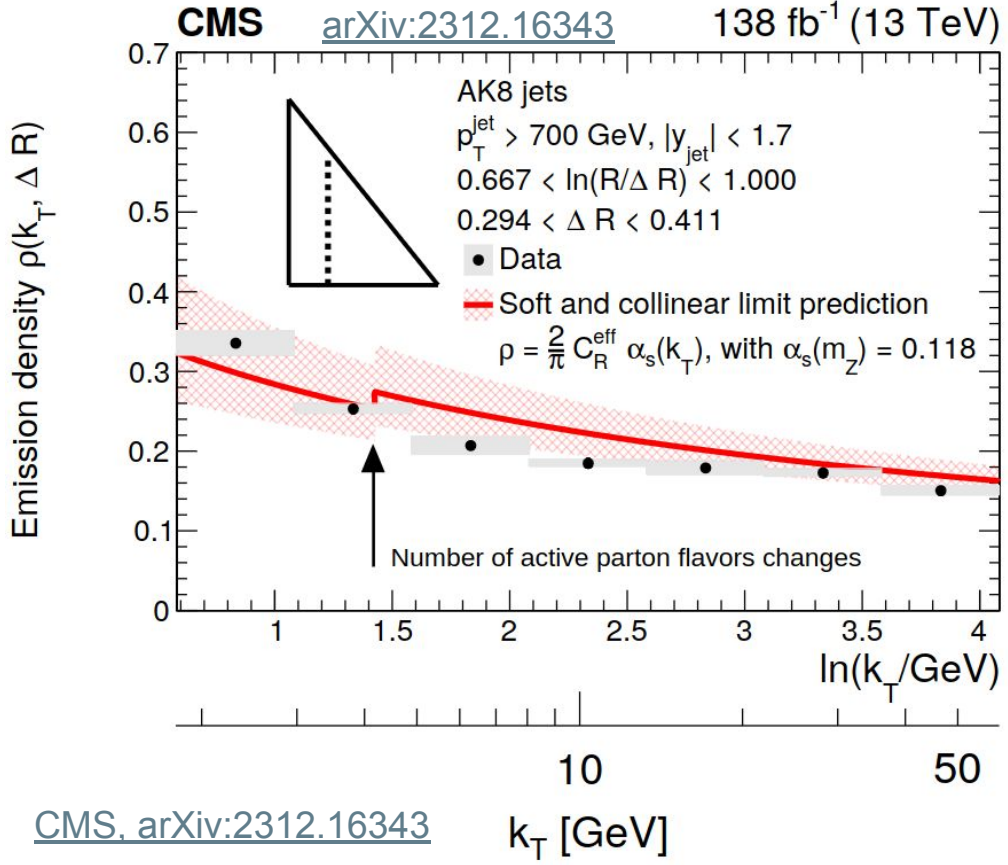
Also measured by ATLAS [PRL 124, 222002 \(2020\)](#), & [ALICE-PUBLIC-2021-002](#)

CMS measurement setup (13 TeV):

- Inclusive jet selection:
 $p_T^{\text{jet}} > 700 \text{ GeV}$, $|y^{\text{jet}}| < 1.7$,
anti- k_T with $R = 0.4$ (or $R = 0.8$)
- charged-particles for jet substructure

Approximately flat for hard&collinear emissions due to running $\alpha_s(k_T) \sim 1/\ln(k_T/\Lambda_{\text{QCD}})$

Comparison to pocket-formula predictions



Recall LO pocket formula for Lund density:

$$\rho(k_T, \Delta R)_{\text{LO}} \approx \frac{2}{\pi} C_R^{\text{eff}} \alpha_s(k_T)$$

Running $\alpha_s(k_T)$ from few GeV to ~60 GeV qualitatively describes the data

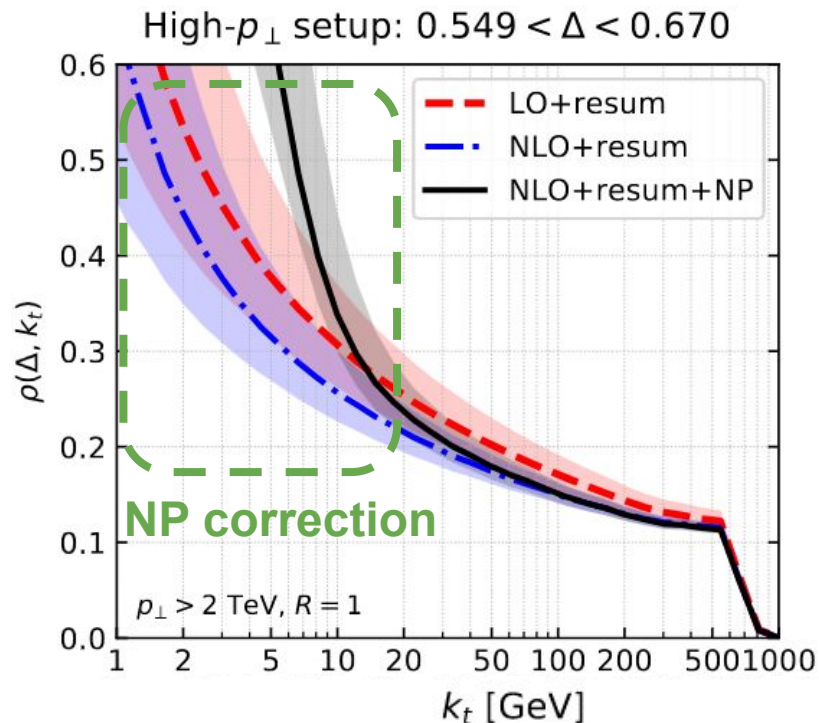
Quark/gluon fractions from PYTHIA8:

$$C_R^{\text{eff}} = f_q C_F + f_g C_A \sim 2$$

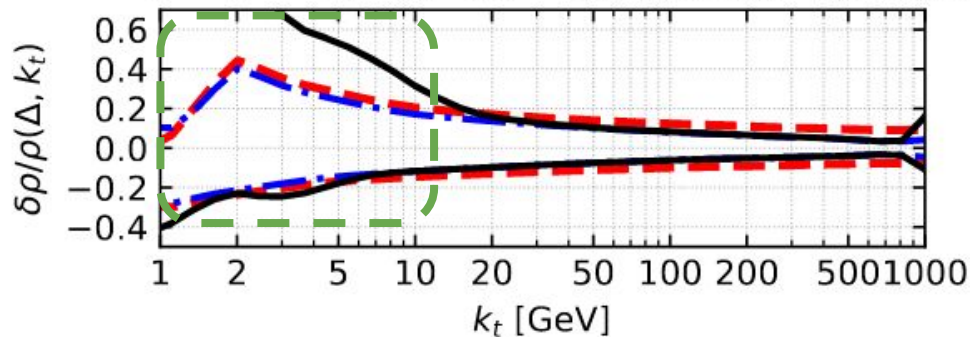
$$f_q = 0.59, f_g = 0.41$$

Analytical calculation (NLO+NLL+NP)

Lifson, Salam, Soyez JHEP 10 (2020) 170



NP correction



Uncertainties dominated by NP corrections at low $k_T \sim 1$ GeV (20–40%)

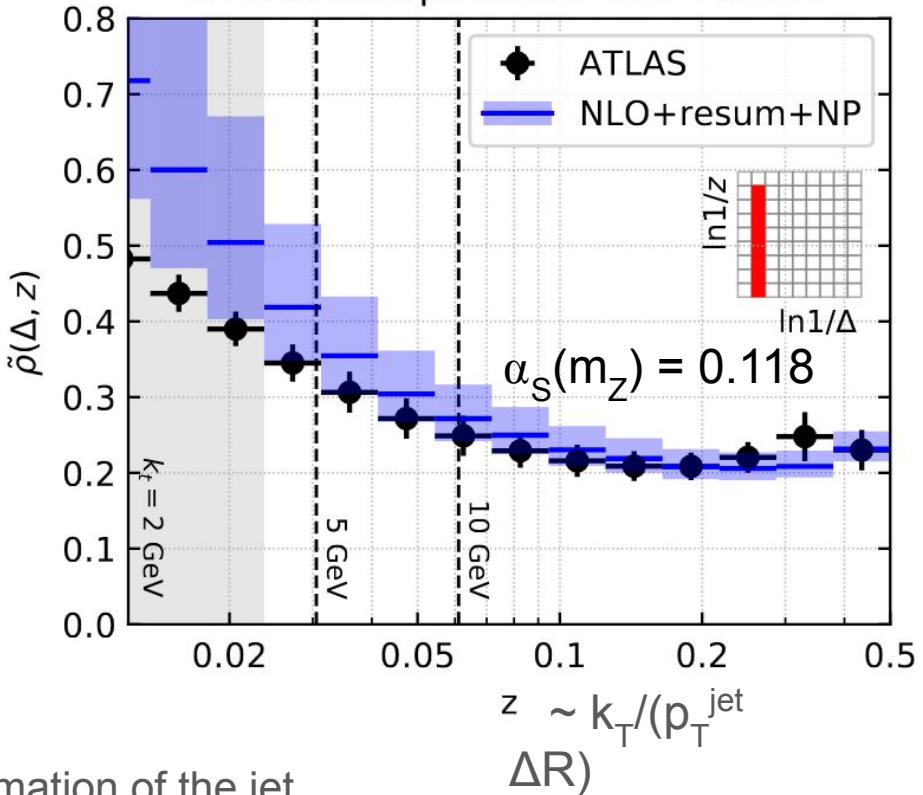
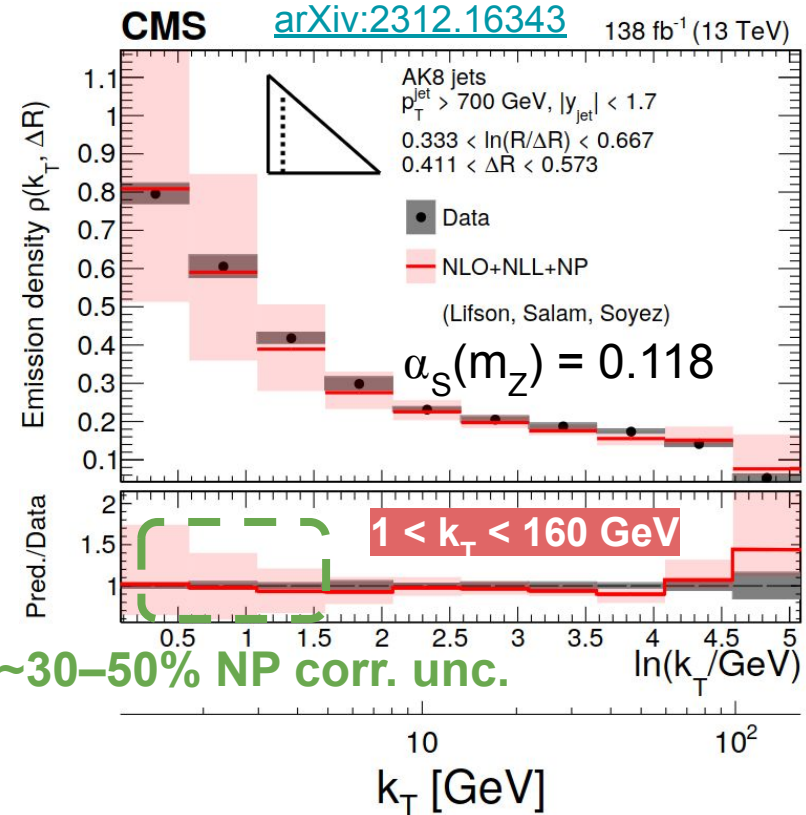
Dominated by pQCD uncertainties for high $k_T \gg 1$ GeV (5–10%)

Resummation of full set of single-logarithms at NLL, two-loop beta function

Theory (NLO+NLL+NP) versus LHC data

Lifson, Salam, Soyez JHEP 10 (2020) 170

ATLAS setup: $0.205 < \Delta < 0.287$



First-principles understanding of the formation of the jet.

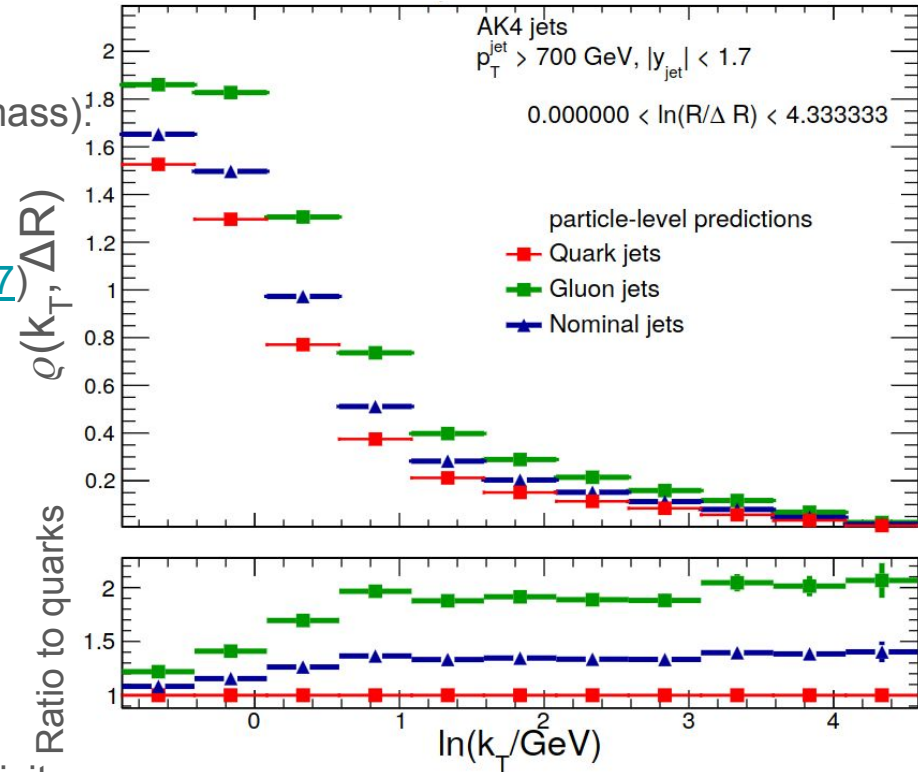
Could we use primary Lund jet plane data to extract $\alpha_S(m_Z)$?

Quark/gluon jet fraction issue

“ α_S always paired with a color factor, $C_f \alpha_S$ ”

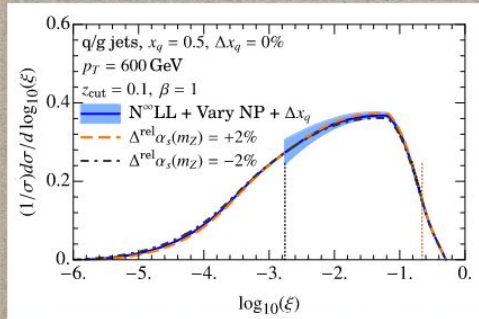
Different strategies have been adopted (w/ soft-drop mass).

- PDF uncertainties
(H. S. Hannesdottir, A. Pathak, M. D. Schwartz, I. W. Stewart, [arXiv:2210.04901](https://arxiv.org/abs/2210.04901), [Les Houches 2017](#))
- Fit $\alpha_S(m_Z)$ and quark/gluon fraction
([Les Houches 2017](#))
- Statistically “demix” quark- and gluon-like samples
([arXiv:2206.10642](https://arxiv.org/abs/2206.10642))
- Design observables with reduced q/g fraction sensitivity
(cf [Meng Xiao](#)’s talk on energy correlators, $\delta\alpha_S/\alpha_S \sim 4\%$)



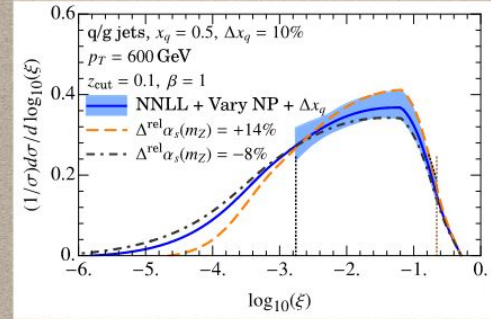
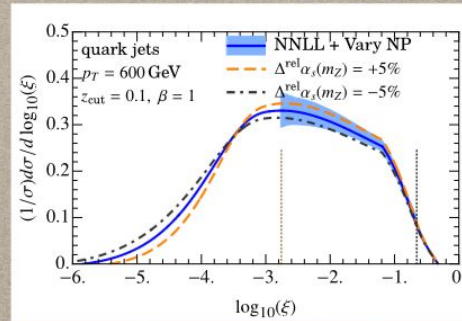
HOW WELL CAN WE DO?

- work in progress to consider α_s sensitivity using state-of-the-art calculations



varying only the 6 non-pert parameters

including NNLL variations

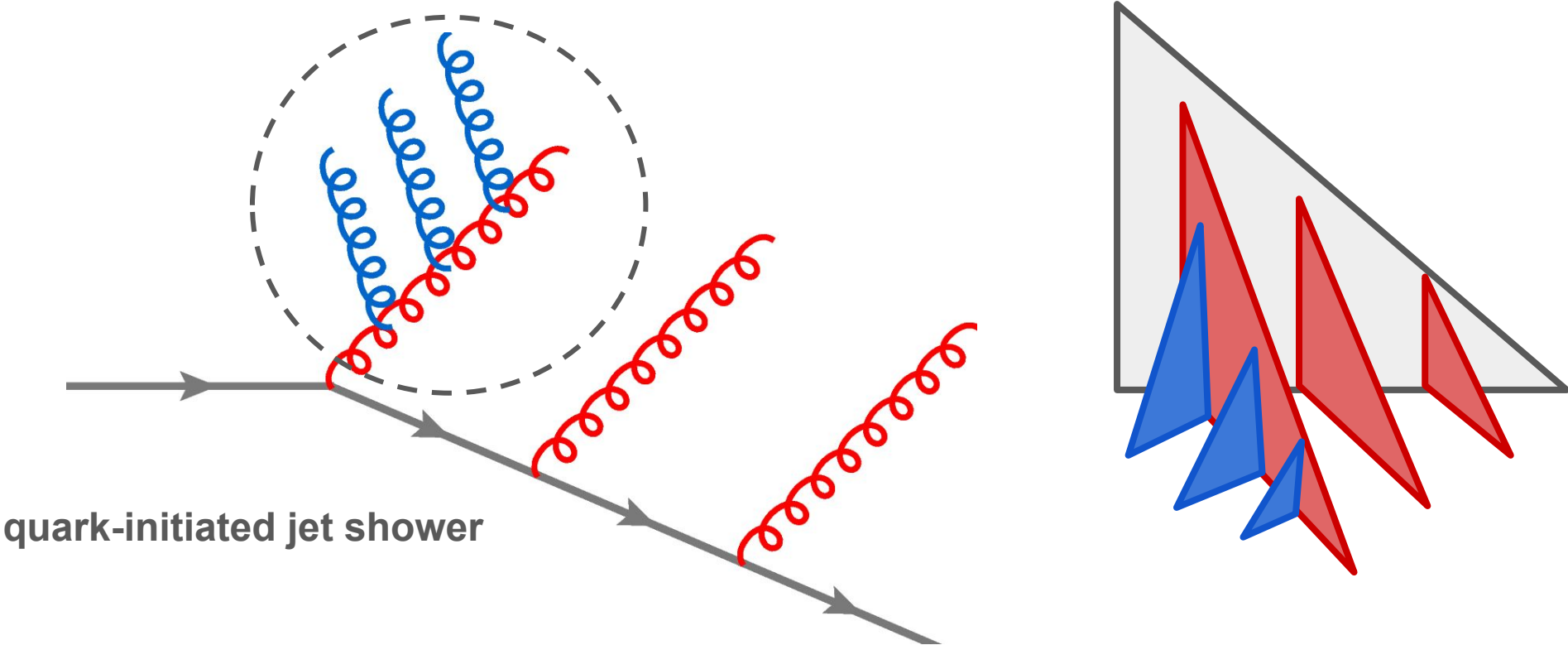


including 10% q/g fraction uncertainty

- without fitting for non-perturbative parameters, one gets 2% uncertainty
- perturbative and non perturbative uncertainties total to 5%
- this increases to 15% when considering quark/gluon mixtures

Hannesdottir, Pathak, Schwartz, Stewart (in preparation)

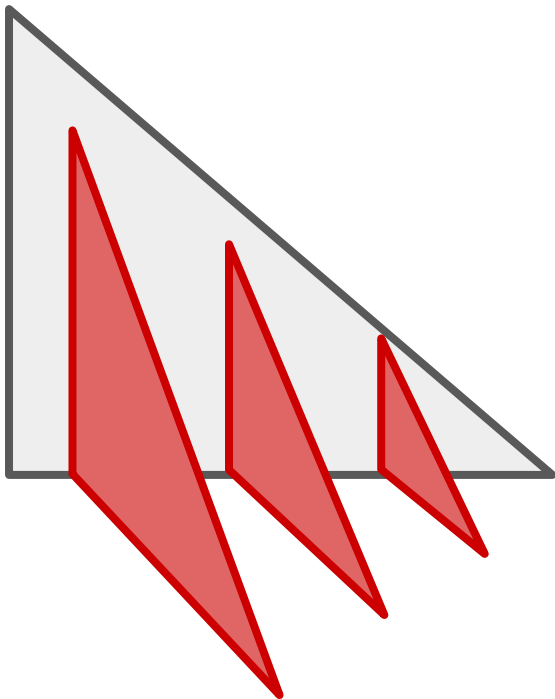
Secondary Lund planes



quark-initiated jet shower

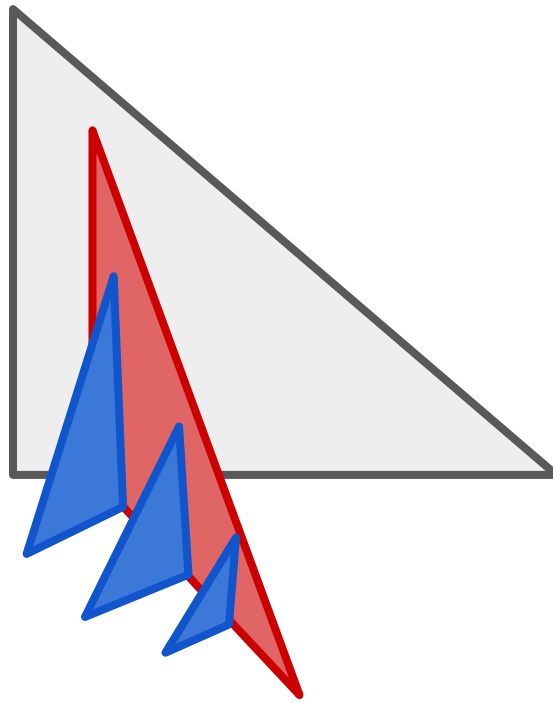
Primary Lund plane density

Average map for **mixture**
of quark/gluon jets at high- p_T



Secondary Lund jet plane density

If **primary emission** is chosen
judiciously, can obtain gluon-rich jet
sample



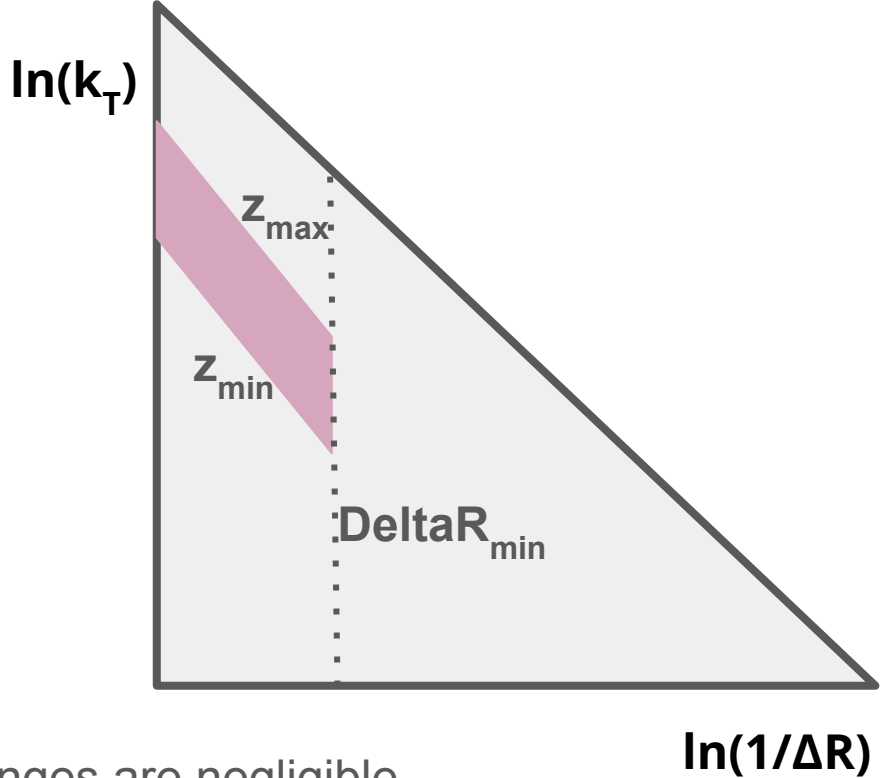
Which primary emission should be selected?

Collinear:

Emission should be collinear, but at sufficiently large angles for phase space (e.g., $\Delta R_{\min} \sim \frac{1}{2} R$, $\Delta R_{\max} < R$)

Soft (exploit $1/z$ pole):

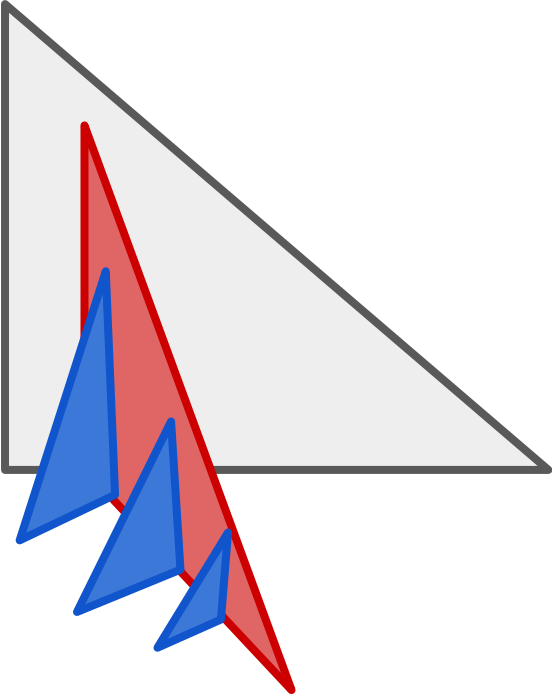
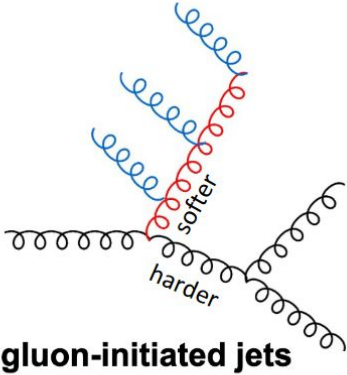
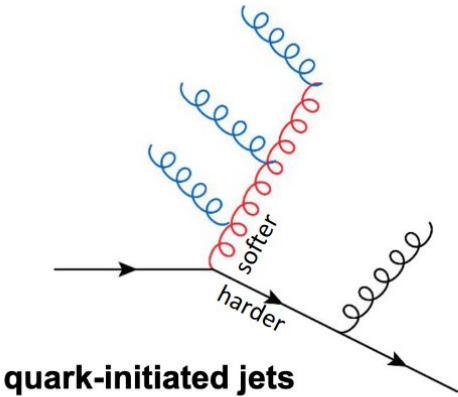
Asymmetric momentum balance, $z = p_{T,\text{soft}} / (p_{T,\text{soft}} + p_{T,\text{hard}})$ (e.g., $0.2 < z < 0.25$)



Phase-space region where parton flavor changes are negligible (e.g., $q \rightarrow qg$, $g \rightarrow qq\bar{q}$, which would make secondary LJP's quark-like)

Choose **primary emission** is soft & collinear, i.e.,

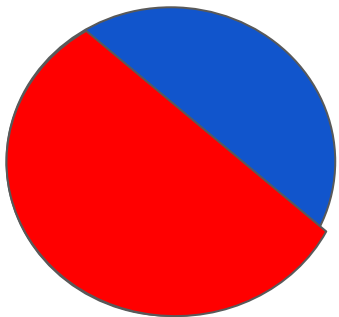
$$z = p_{T,\text{emission}} / (p_{T,\text{emission}} + p_{T,\text{emitter}}) \ll 1/2$$



exploit infrared & collinear divergences

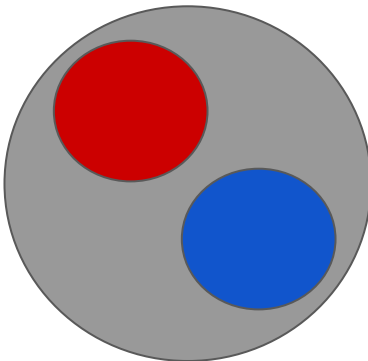
At least three setups

1. SoftDrop-like setup
(Cambridge/Aachen tree)



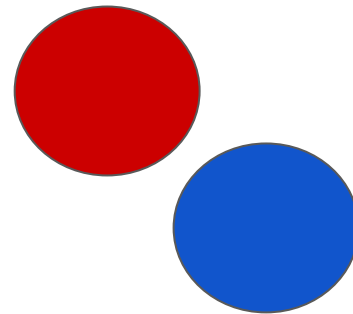
large $R = 1.2$ jet,
undo clustering history

2. Trimming
(reclustering with smaller R)



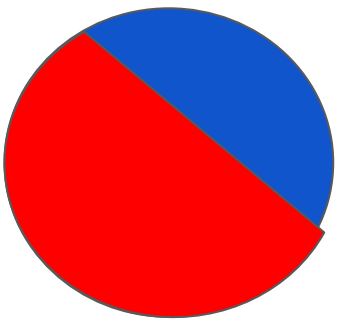
large R jet
→recluster w/ small R jets

3. anti- k_T dijet selection
(or multijet)



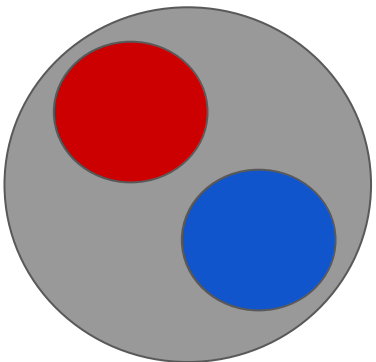
At least three setups

1. SoftDrop-like setup
(Cambridge/Achen tree)

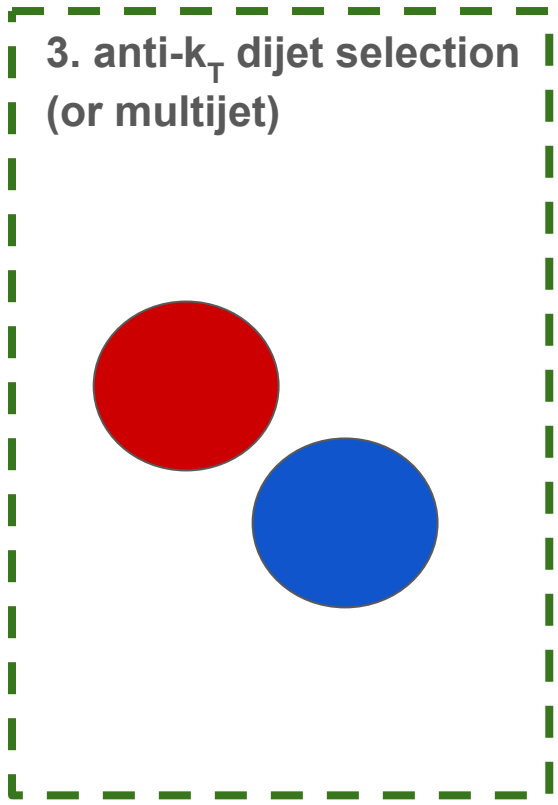


large R = 1.2 jet,
undo clustering history

2. Trimming
(reclustering with smaller R)

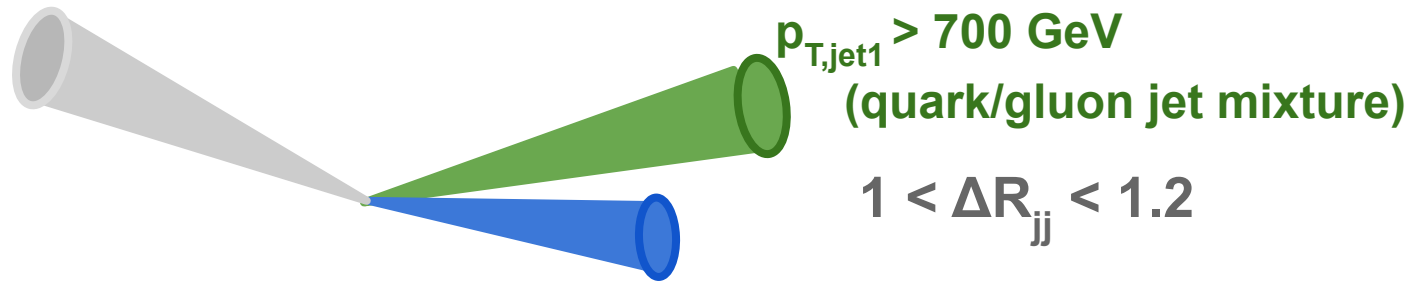


large R jet
→recluster w/ small R jets



3. anti- k_T dijet selection
(or multijet)

dijet selection (baseline, can be optimized)



Treat recoiling jets inclusively
(dijet+X)

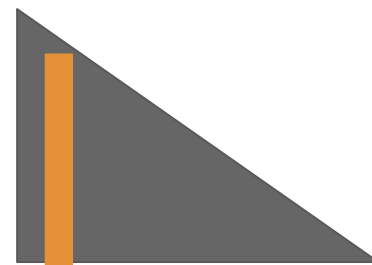
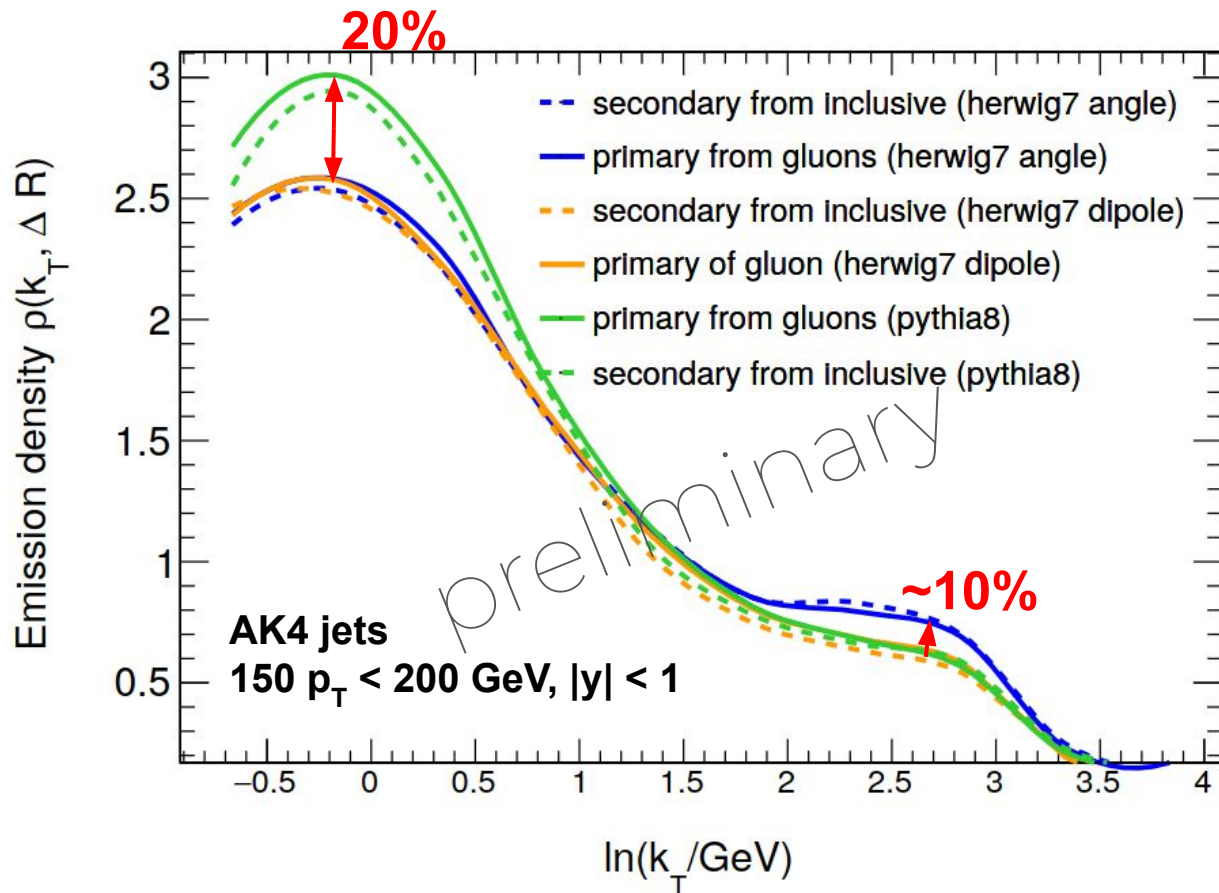
$150 < p_{T,jet2} < 200 \text{ GeV}$
(proxy for gluon-initiated jet)

Two anti- k_T jets: **harder** with $p_{T,1} > 700 \text{ GeV}$,
softer with $150 < p_{T,2} < 200 \text{ GeV}$
and $1 < \Delta R_{jj} < 1.2$ between the two

“inclusive” dijet selection (i.e., ≥ 1 pair per event)

Compute the substructure of the **softer jet**

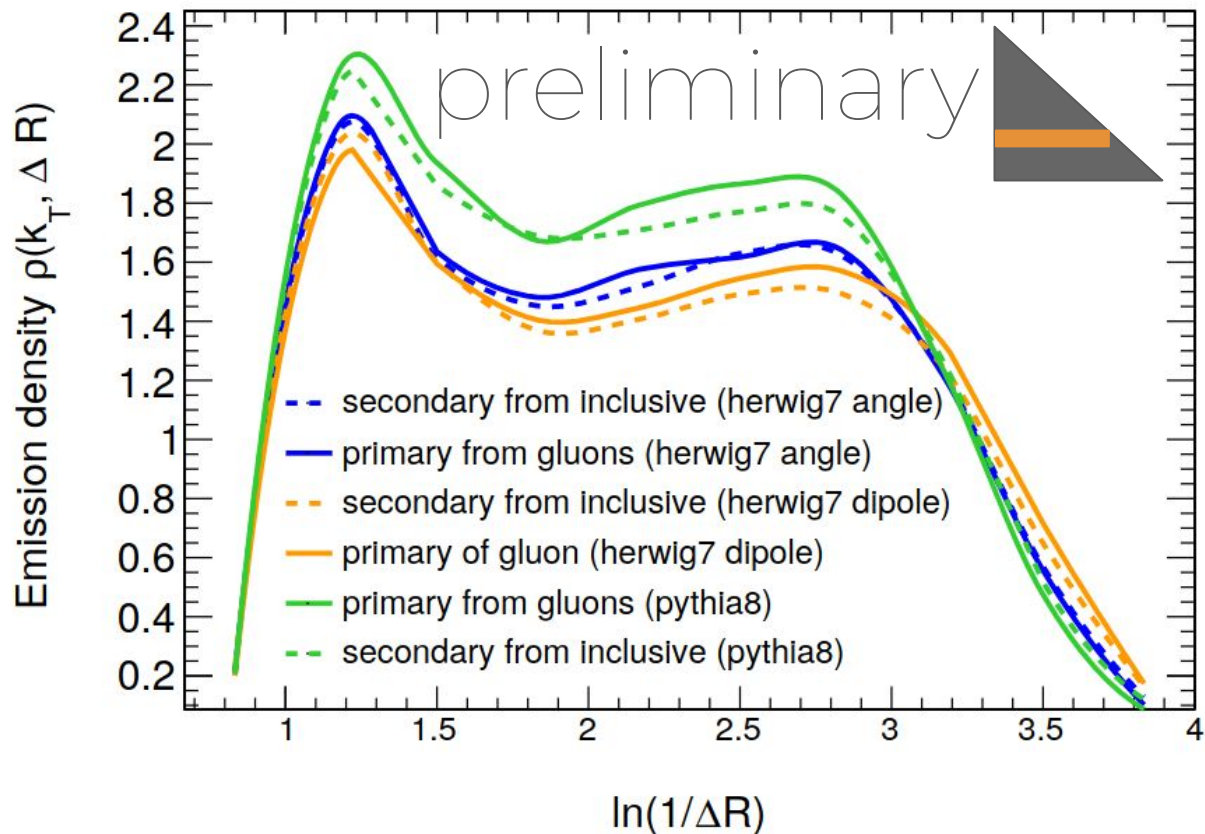
Similar model discrimination as with gluon primary LJPs (from $gg \rightarrow gg$)



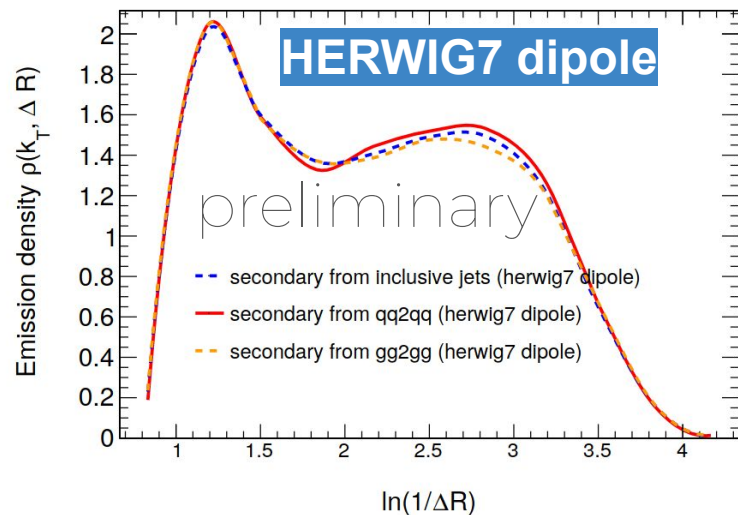
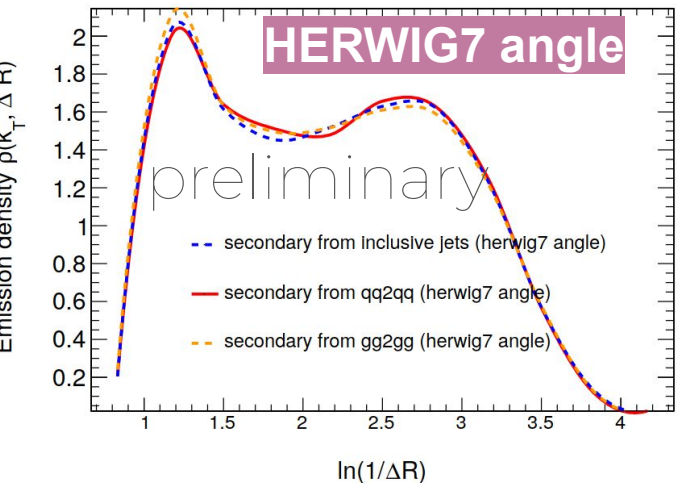
Similar model discrimination with gluon primary LJPs

AK4 jets

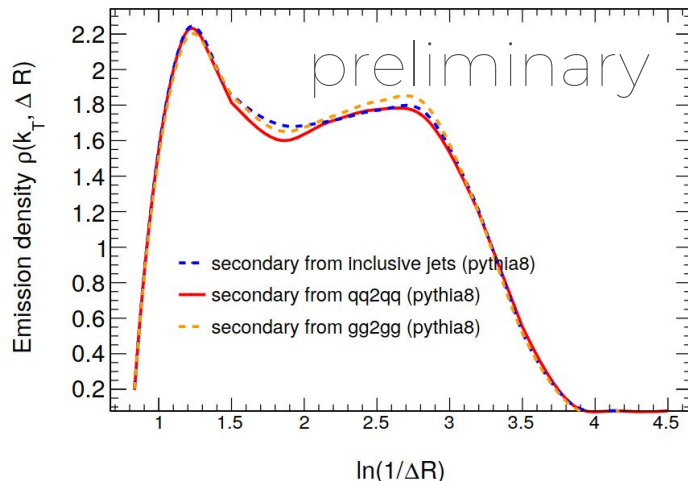
$150 p_T < 200 \text{ GeV}$, $|y| < 1$



Process-independent Lund-plane densities



PYTHIA8



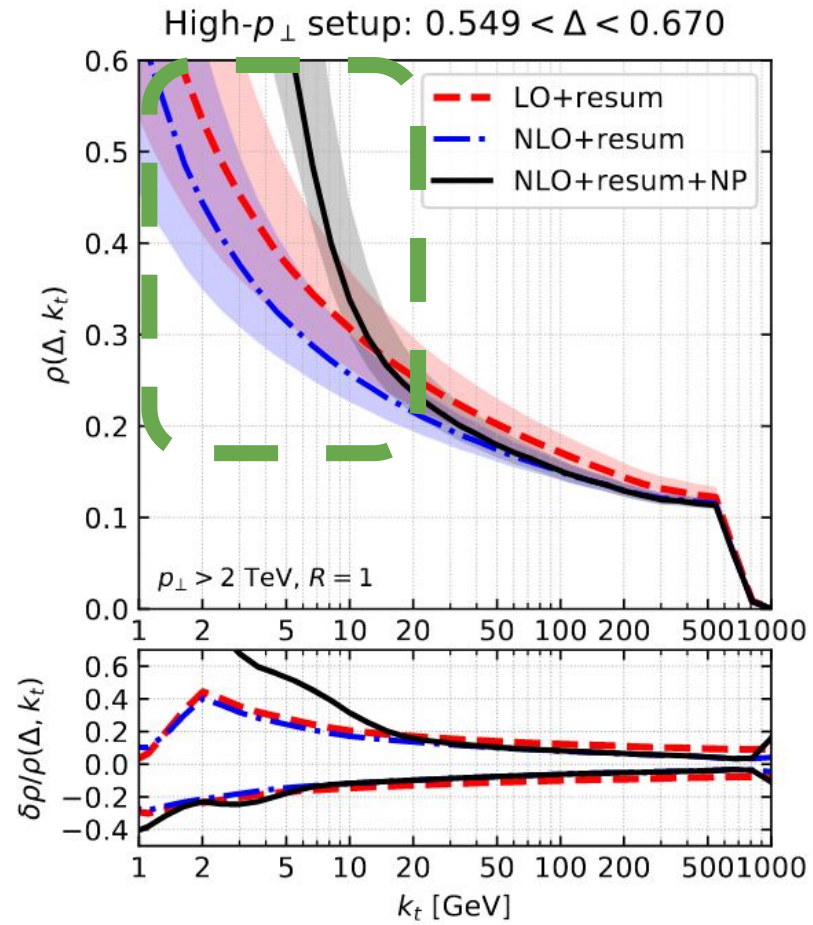
Secondary LJP from inclusive jets
Secondary LJP from quarks ($qq \rightarrow qq$)
Secondary LJP from gluons ($gg \rightarrow gg$)

Differences negligible within expected sensitivity

Shape and normalization resilient to underlying q/g fraction

Not very sensitive to quark/gluon fraction with secondary Lund jet plane densities

However, still limited by size of pQCD uncertainties (about 20% at $k_T \sim 5$ GeV), and NP corrections are large at low k_T



(a) large angles: $0.549 < \Delta < 0.670$

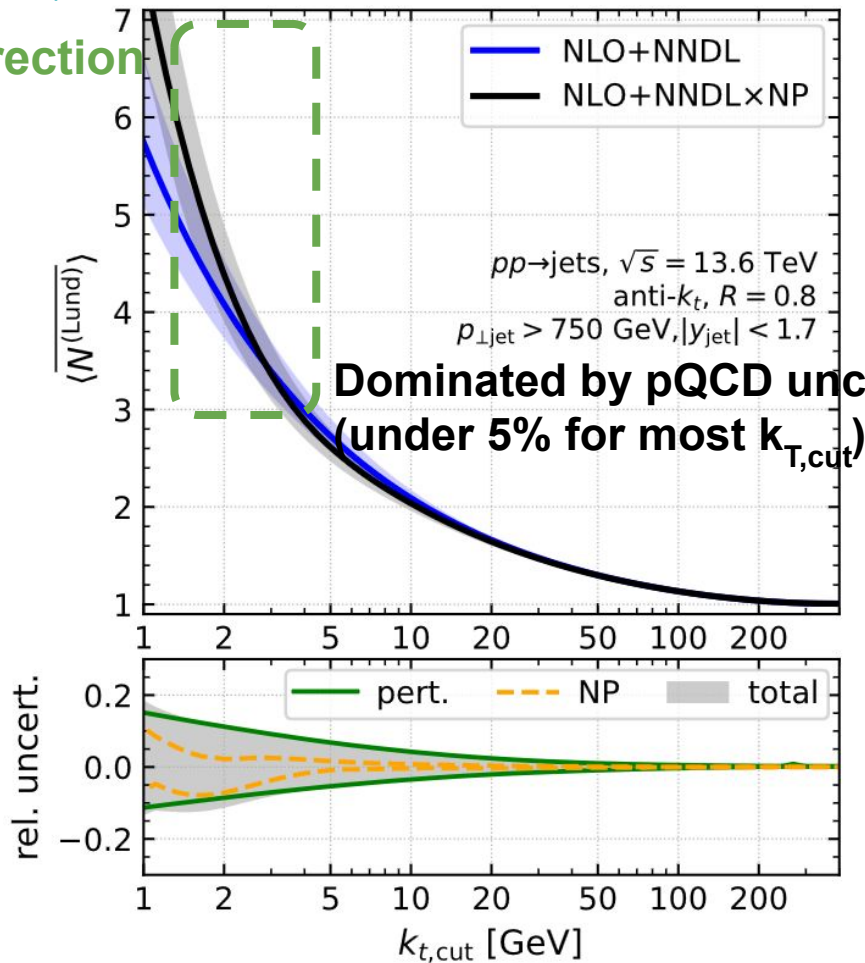
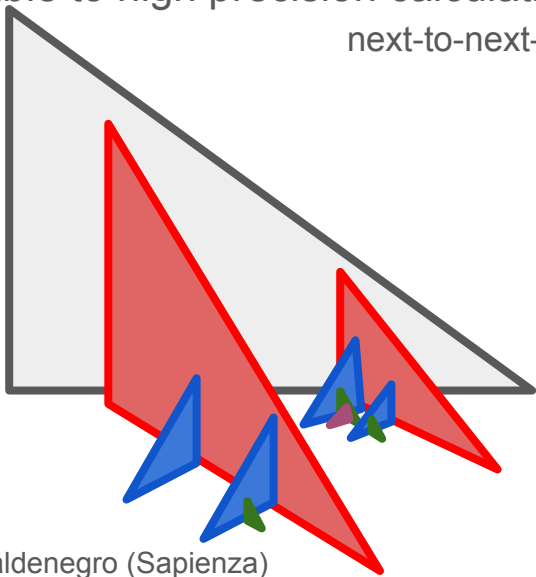
Average Lund multiplicity

[A. Soto-Ontoso, R. Medves, G. Soyez](#)
[JHEP04\(2023\)104](#)

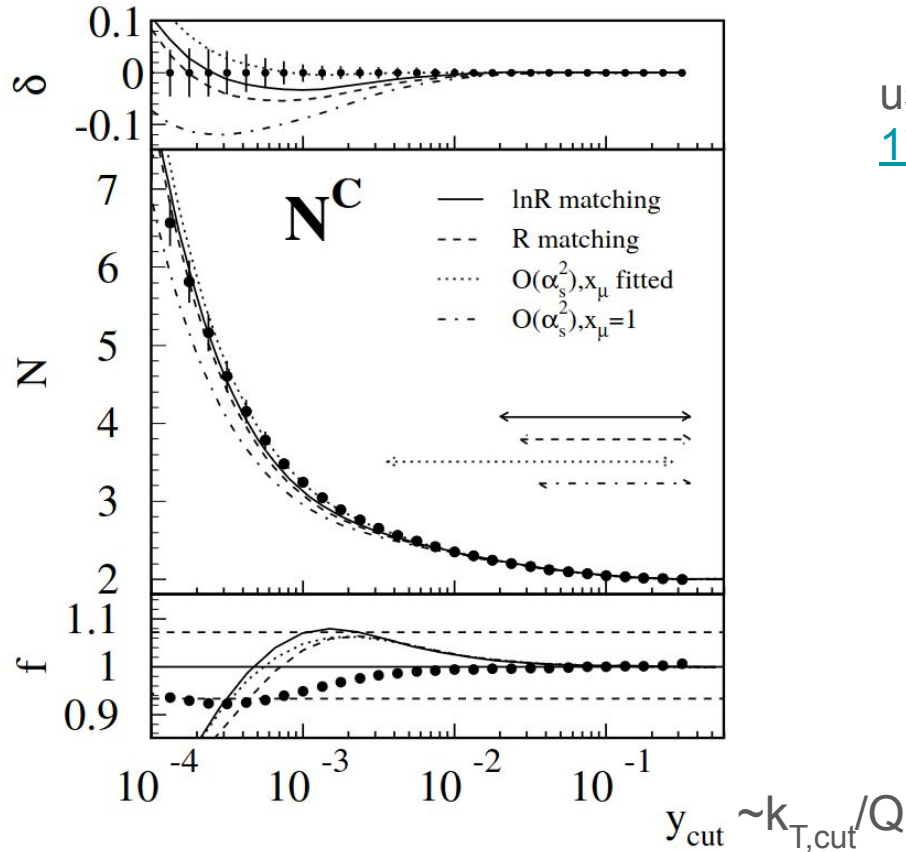
1. Undo the *full* Lund tree
2. Count # of emissions with $k_T > k_{T,\text{cut}}$
3. Average over *all* jets in the sample

5% NP correction

Amenable to high precision calculations (NLO+NNDL)
next-to-next-to-double logarithmic



Similar jet multiplicity observable measured at LEP



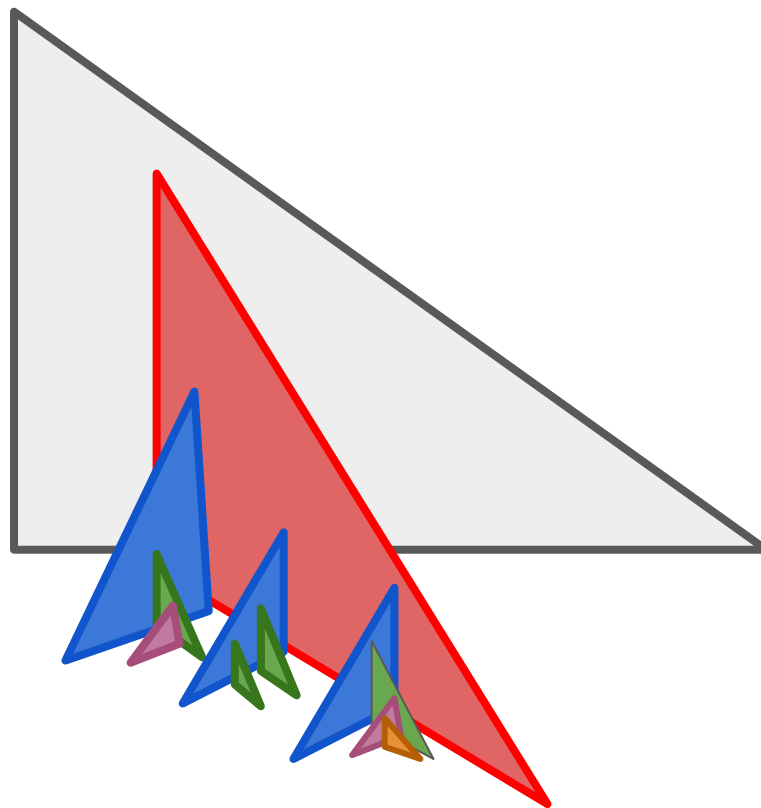
used for $\alpha_s(m_Z)$ extractions by [JADE&OPAL, EPJC 17:19-51, 2000](#)

$$\alpha_s(M_{Z^0}) = 0.1187^{+0.0034}_{-0.0019}$$

Average Lund multiplicity of the secondary Lund plane

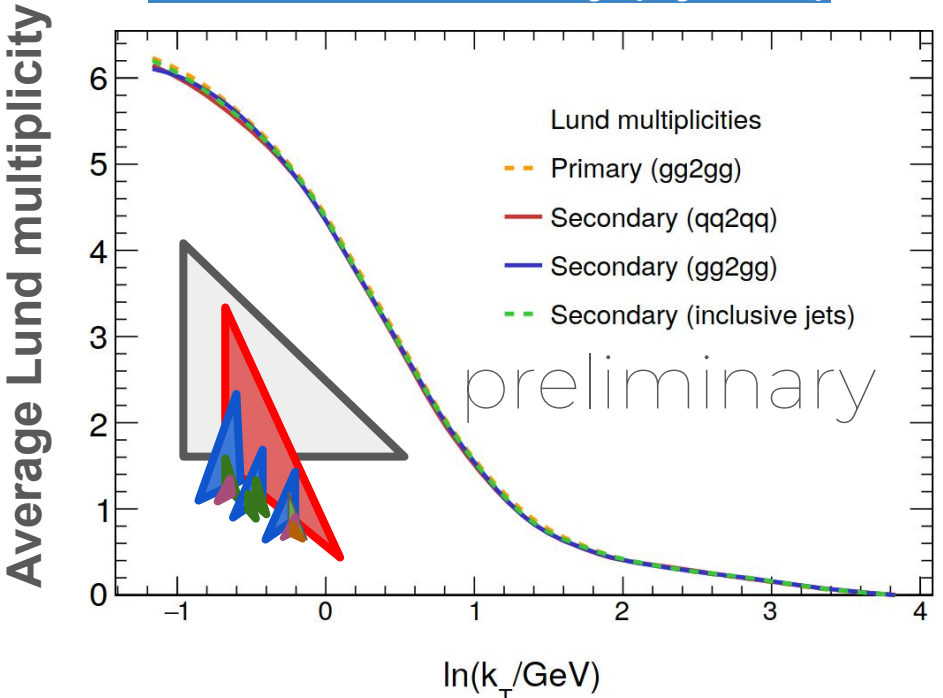
Decompose the full Lund tree of the **primary emission**

Use as proxy for average Lund multiplicity of gluon-initiated jets



average Lund multiplicity of gluons

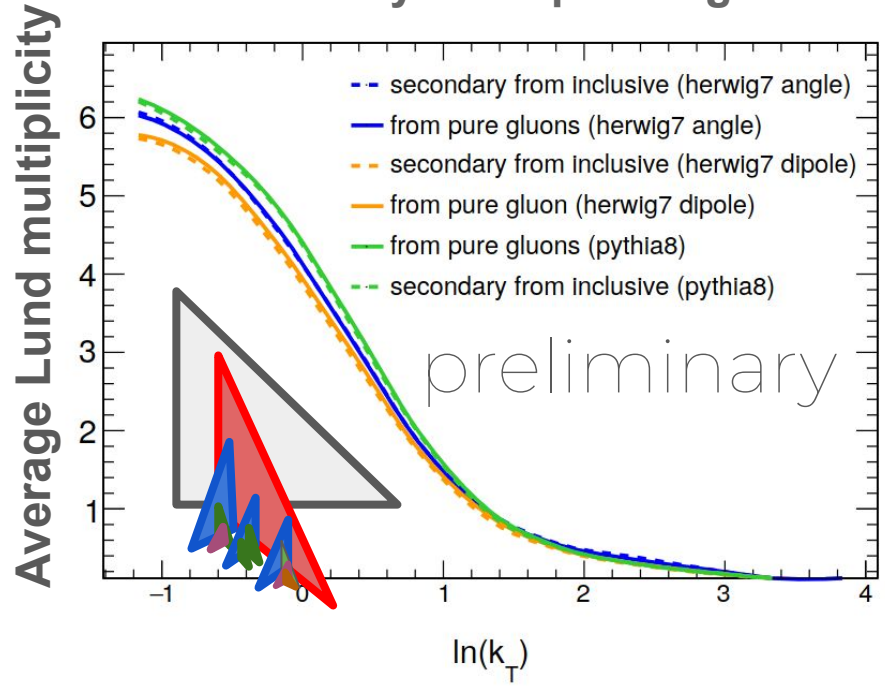
Process universality (Pythia8)



Robust to to q/g fraction & PDFs uncertainties

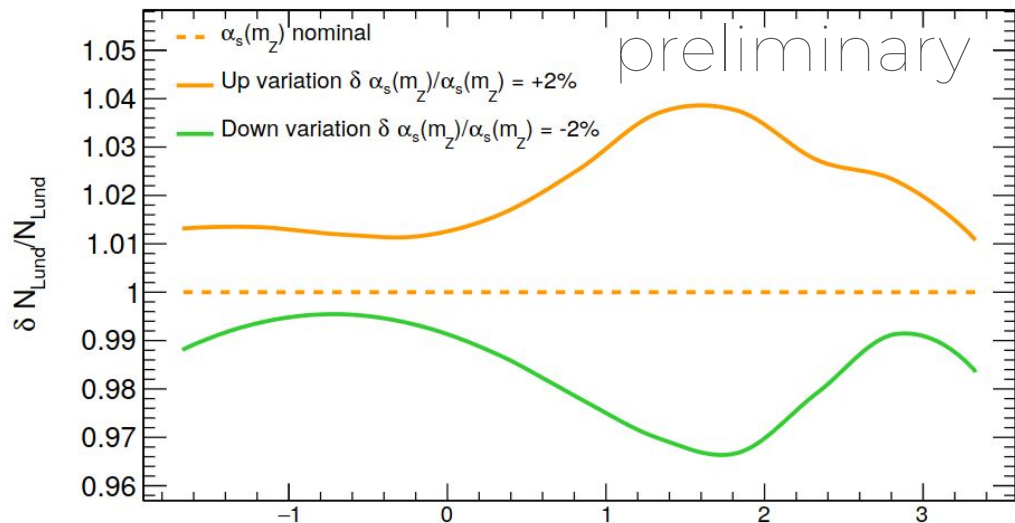
coincides with Lund multiplicity from **Born-level gluons!**

MC comparison of pure gluons vs “secondary Lund plane” gluons



Solid lines: $gg \rightarrow gg$ primary
Dashed lines: incl. jets secondary

Sensitivity to $\alpha_s^{MC}(m_Z)$ variations (NB: used PYTHIA8 for proof of concept)



Nonperturbative
($k_T \ll 1 \text{ GeV}$)

$\ln(k_T/\text{GeV})$ **Perturbative**
($k_T \gg 1 \text{ GeV}$)

+/- 2% shifts on $\alpha_s(m_Z)$ results in O(3-4%) changes on Lund multiplicity for gluons

[does not scale linearly with $\alpha_s(m_Z)$, “cumulative” $g \rightarrow gg$ splittings]

Summary

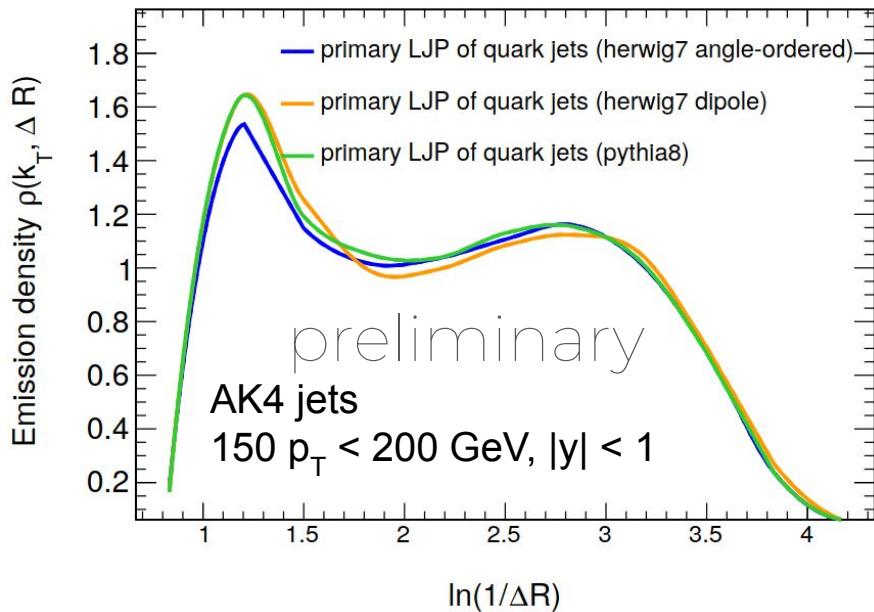
- Lund jet plane used to explore the radiation pattern of jets, used to test parton showers & resummation
- **Secondary Lund jet planes** for gluon-rich radiation, large reduction on quark/gluon jet fraction (PDF) sensitivity
- Average Lund multiplicity could be used for an $\alpha_S(m_Z)$ extraction, Nonperturbative & perturbative corrections are “factorized” in k_T
- Other substructure observables can be considered (e.g., soft-drop groomed mass, generalized angularities, dynamical k_T , ...)

Quarks vs gluon primary LJPs at the LHC

Same Lund plane slice at low $k_T \sim 1-2$ GeV

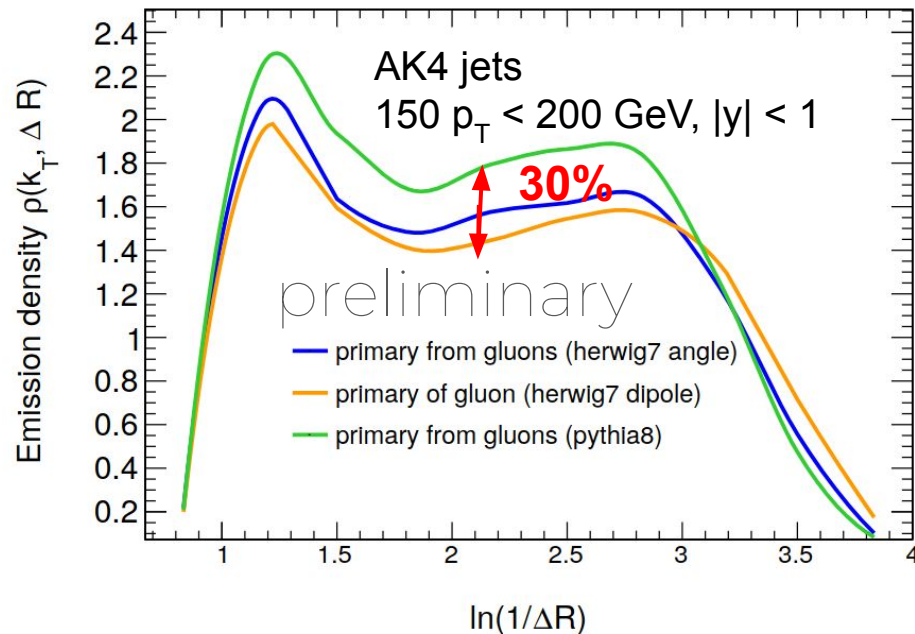


Quark jets



Spread of 1-5%, thx to LEP constraints

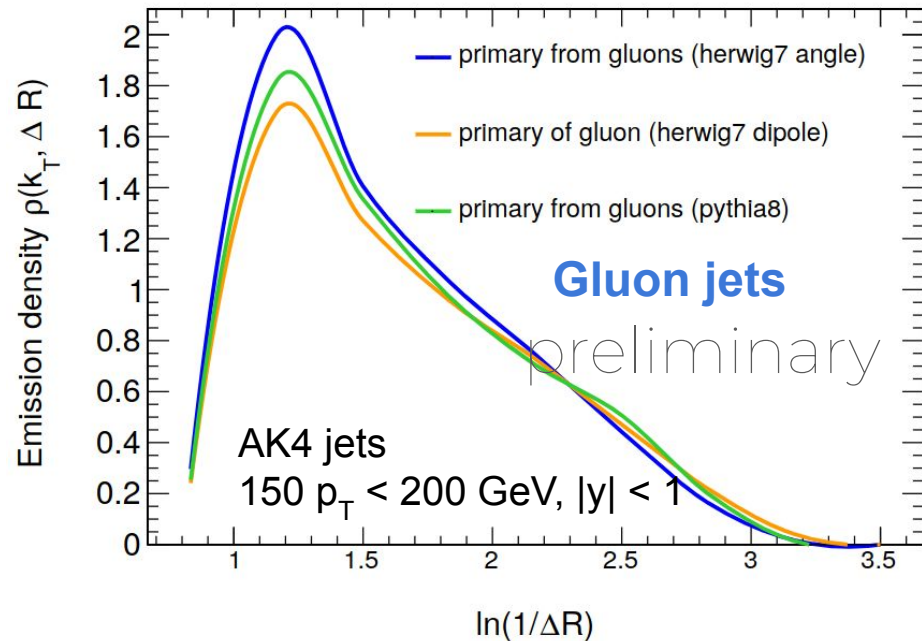
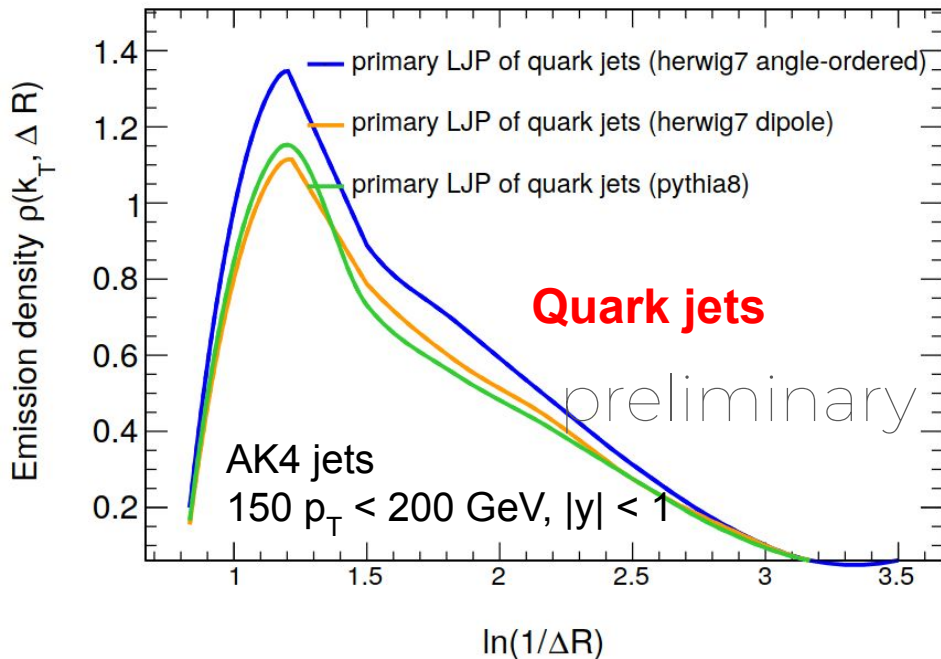
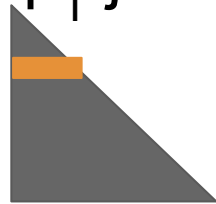
Gluon jets



Much larger spread, up to 30% differences.
Not as constrained by LEP!

“Quark jets constrained by LEP” mostly accurate for low p_T jets (at LEP, $p_{T,\text{max}} = m_Z/2 \sim 45$ GeV)

Differences in perturbative regime ($k_T > \sim 5$ GeV) for quark and gluon jet showers

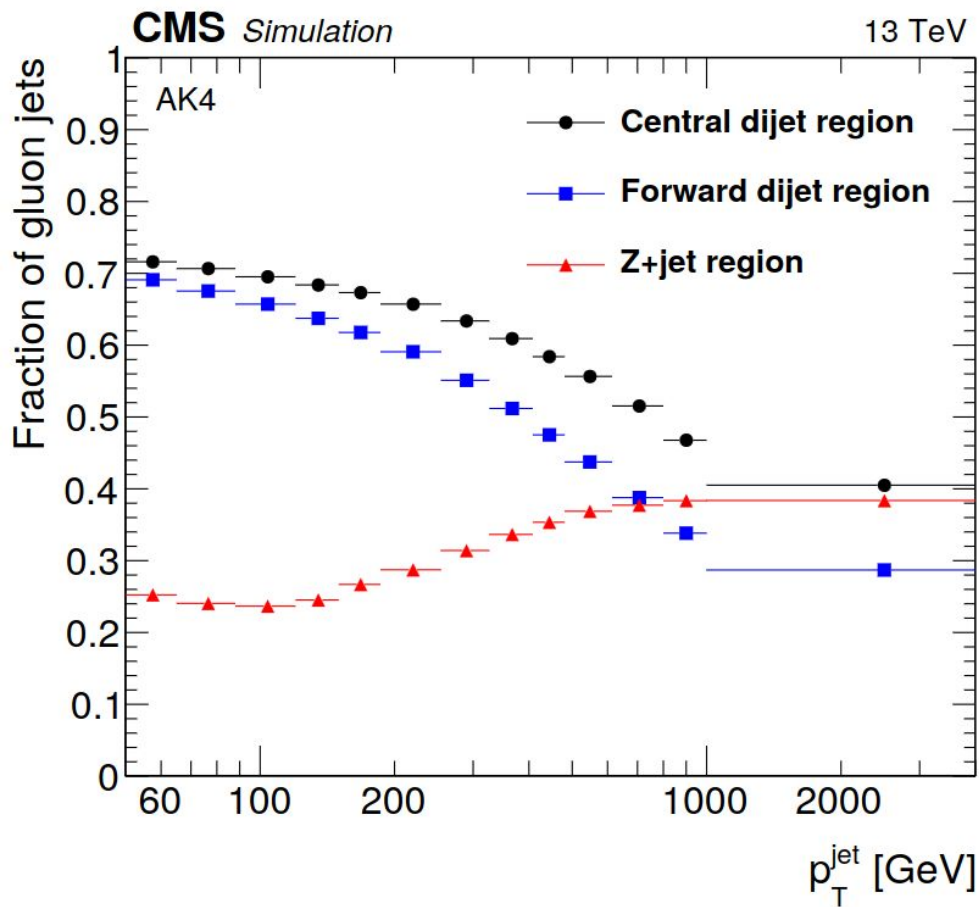


Herwig7 dipole usually closer to Pythia8 in the perturbative region

Herwig7 angle-ordered usually higher in perturbative region

Quark/gluon composition in Z+jet and dijet at the LHC

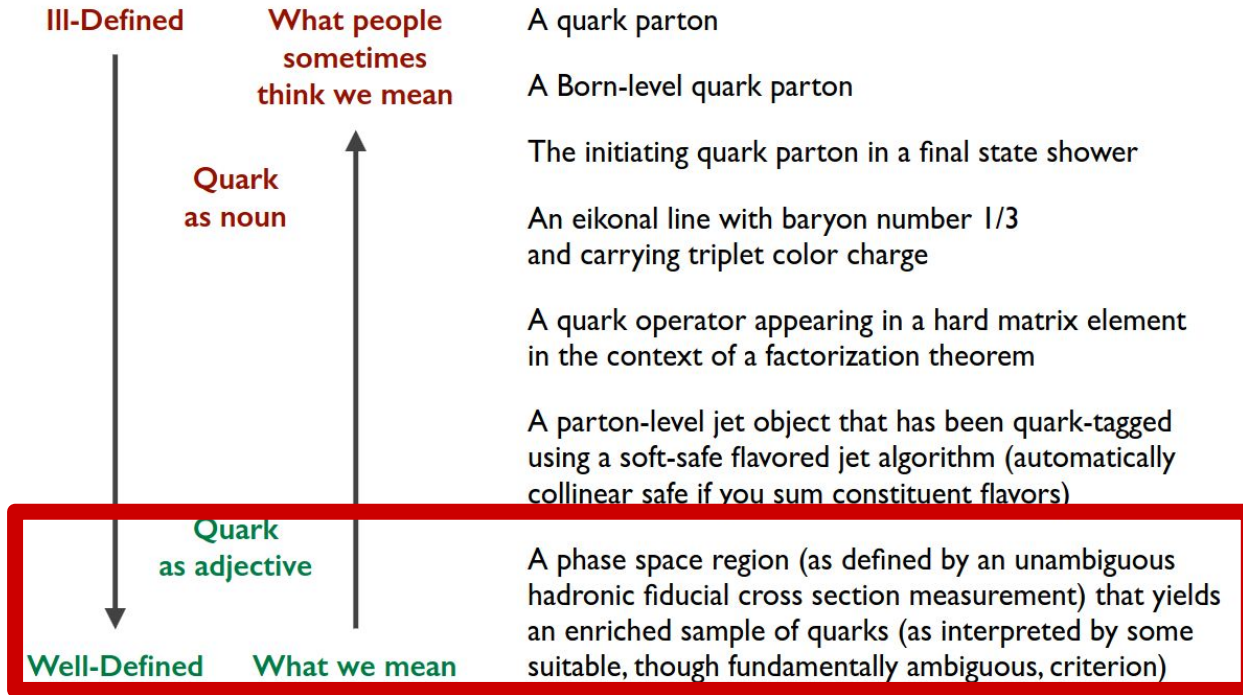
Up to ~70% gluons in dijet
Up to ~75% quarks in Z+jet



From Les Houches 2015

What is a Quark Jet? (Or gluon jet)

From lunch/dinner discussions



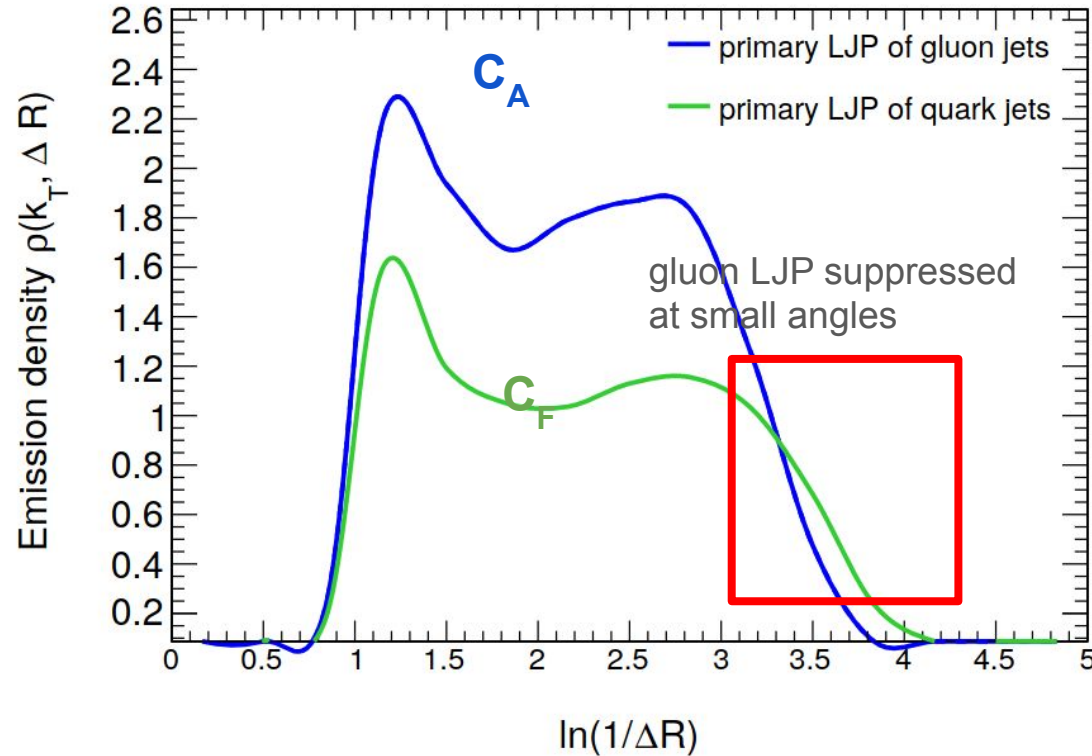
Quarks vs gluon Lund planes



preliminary

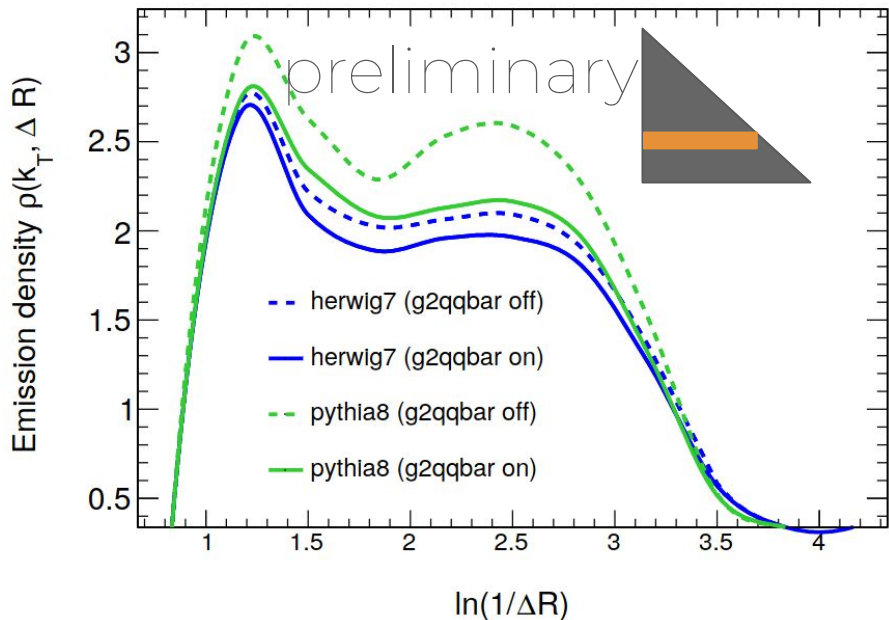
Not *just* C_A/C_F scaling! Leading parton momentum loss in the Lund tree histogram soft&collinear divergences, color reconnection effects, ...

Gluon LJP is suppressed at small angles wrt quark LJP

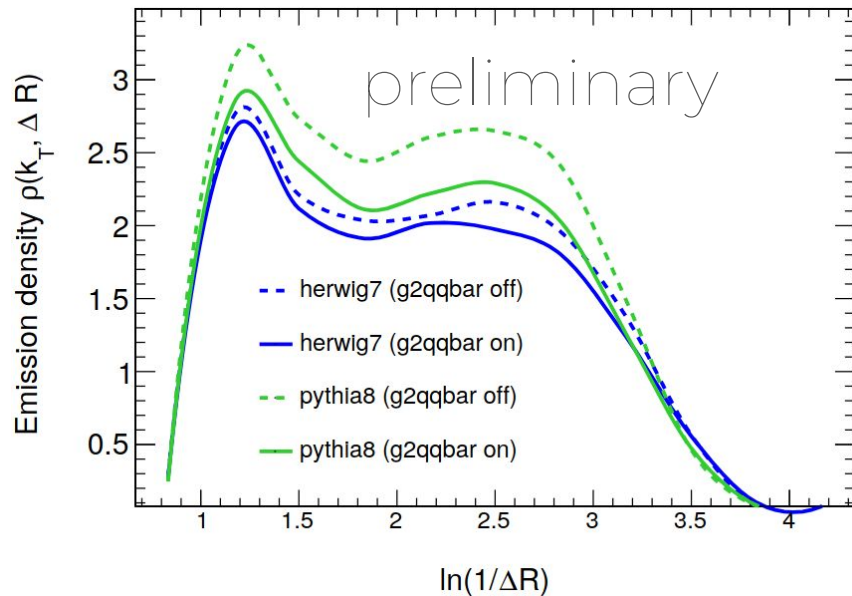


$g \rightarrow qq$ off / $g \rightarrow qq$ on check

Effect on secondary LJP



Effect on the gluon primary LJP



Turning off $g \rightarrow qq$ increases the density of emissions by a similar magnitude for **both** secondary LJP and *gluon* primary LJPs

More dramatic effect for pythia8 (~25%) than herwig7 (~5%)