

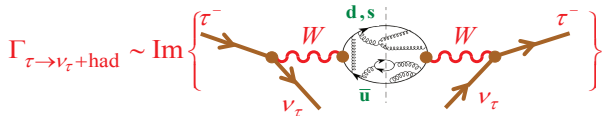
Violations of quark-hadron duality in low-energy determinations of α_s

Antonio Pich
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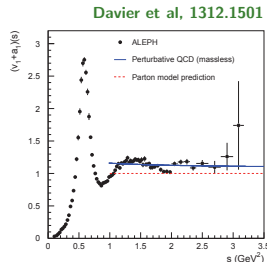


alphas-2024: Workshop on precision measurements of the QCD coupling constant
ECT* Trento, 5 – 9 February 2024

τ Hadronic Width: R_τ



$$\Pi^{(J)}(s) \equiv |V_{ud}|^2 \left(\Pi_{ud,V}^{(J)}(s) + \Pi_{ud,A}^{(J)}(s) \right) + |V_{us}|^2 \Pi_{us,V+A}^{(J)}(s)$$



$$v_1 = 2\pi \text{Im} \Pi_{ud,V}^{(1)}(s) \quad , \quad a_1 = 2\pi \text{Im} \Pi_{ud,A}^{(1)}(s)$$

Braaten-Narison-Pich, 1992

$$R_\tau = \frac{\Gamma[\tau^- \rightarrow \nu_\tau \text{hadrons}]}{\Gamma[\tau^- \rightarrow \nu_\tau e^- \bar{\nu}_e]} = R_{\tau,V} + R_{\tau,A} + R_{\tau,S}$$

$$= 12\pi \int_0^{m_\tau^2} \frac{ds}{m_\tau^2} \left(1 - \frac{s}{m_\tau^2}\right)^2 \left[\left(1 + 2\frac{s}{m_\tau^2}\right) \text{Im} \Pi^{(0+1)}(s) - 2\frac{s}{m_\tau^2} \text{Im} \Pi^{(0)}(s) \right]$$

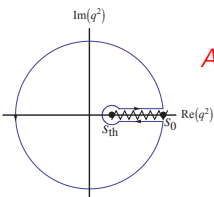
Theoretical Framework

$$i \int d^4x e^{iqx} \langle 0 | T [\mathcal{J}_{ij}^\mu(x) \mathcal{J}_{ij}^{\nu\dagger}(0)] | 0 \rangle = (-g^{\mu\nu} q^2 + q^\mu q^\nu) \Pi_{ij,\mathcal{J}}^{(1)}(q^2) + q^\mu q^\nu \Pi_{ij,\mathcal{J}}^{(0)}(q^2)$$

$$s \Pi_{ud,\mathcal{J}}^{(0)}(s) = \mathcal{O}(m_{u,d}) \approx 0$$



$$\Pi_{\mathcal{J}}(s) \equiv \Pi_{ud,\mathcal{J}}^{(0+1)}(s)$$



$$A_{\mathcal{J}}^\omega(s_0) \equiv \int_{s_{th}}^{s_0} \frac{ds}{s_0} \omega(s) \operatorname{Im} \Pi_{\mathcal{J}}(s) = \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \Pi_{\mathcal{J}}(s)$$

$$\Pi_{\mathcal{J}}(s) \approx \Pi_{\mathcal{J}}^{\text{OPE}}(s) = \sum_{D=2n} \frac{\mathcal{O}_{D,\mathcal{J}}}{(-s)^{D/2}}$$

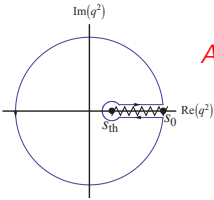
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$$\Pi_{\mathcal{J}}(s) \approx \Pi_{\mathcal{J}}^{\text{OPE}}(s) = \sum_{D=2n} \frac{\mathcal{O}_{D,\mathcal{J}}}{(-s)^{D/2}}$$

$$\rho_{\mathcal{J}}(s) \equiv \frac{1}{\pi} \operatorname{Im} \Pi_{\mathcal{J}}(s)$$

,

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) \equiv \rho_{\mathcal{J}}(s) - \rho_{\mathcal{J}}^{\text{OPE}}(s)$$



$$A_{\mathcal{J}}^\omega(s_0) \equiv \underbrace{\pi \int_{s_{th}}^{s_0} \frac{ds}{s_0} \omega(s) \rho_{\mathcal{J}}(s)}_{\text{Experiment}} = \underbrace{\frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \Pi_{\mathcal{J}}^{\text{OPE}}(s)}_{\text{OPE}} + \underbrace{\Delta A_{\mathcal{J}}^\omega(s_0)}_{\text{DV}}$$

$$\Delta A_{\mathcal{J}}^\omega(s_0) = \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \{ \Pi_{\mathcal{J}}(s) - \Pi_{\mathcal{J}}^{\text{OPE}}(s) \} = -\pi \int_{s_0}^{\infty} \frac{ds}{s_0} \omega(s) \Delta\rho_{\mathcal{J}}^{\text{DV}}(s)$$

- **Standard Strategy:** Minimize $\Delta A_{\mathcal{J}}^{\omega}(s_0)$

Take s_0 large enough and/or pinched weights, $\omega(s_0) = 0$, so that the tiny correction $\Delta A_{\mathcal{J}}^{\omega}(s_0)$ can be neglected

- **DV Approach:** Maximize and “measure” $\Delta A_{\mathcal{J}}^{\omega}(s_0)$

Boito et al

Non-protected weights. Usual default: $\omega(s) = 1$

Modelling of $\Delta \rho_{\mathcal{J}}^{\text{DV}}(s)$ needed \rightarrow Additional fit parameters

QCD precision can never be better than the reached control on $\Delta \rho_{\mathcal{J}}^{\text{DV}}(s)$
(but high accuracy on α_s claimed)

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LO OPE approximation: $\mathcal{P}_{D,\mathcal{J}} = 0$

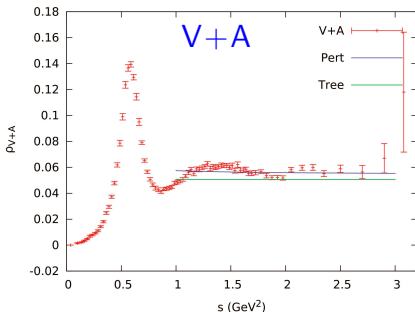
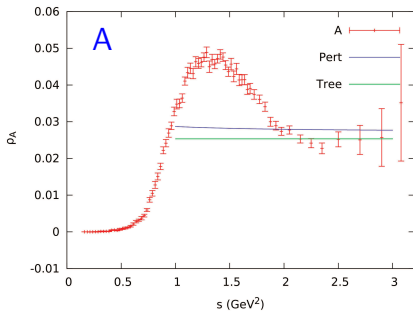
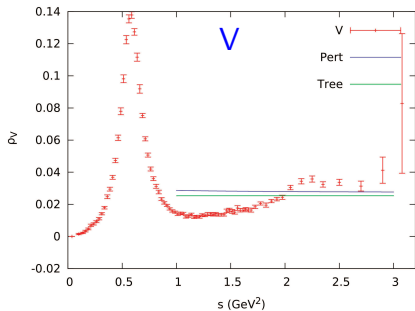
$$\Pi_{\mathcal{J}}^{\text{OPE}}(s)\Big|_{D>0}^{\text{NLO}} = \sum_{D>0} \frac{\mathcal{O}_{D,\mathcal{J}}(\mu) + \mathcal{P}_{D,\mathcal{J}} \log(-s/\mu^2)}{(-s)^{D/2}}$$

$$\omega_n(s) = \left(\frac{s}{s_0}\right)^n \rightarrow \Delta A_{\mathcal{J}}^{(n)}(s_0)\Big|_{D>0}^{\text{OPE}} = -\pi \sum_{p=2} \frac{d_{p,\mathcal{J}}^{(n)}}{(-s_0)^p}, \quad d_{p,\mathcal{J}}^{(n)} = \begin{cases} \mathcal{O}_{2p,\mathcal{J}}(s_0) & \text{if } p = n+1 \\ \frac{\mathcal{P}_{2p,\mathcal{J}}}{n-p+1} & \text{if } p \neq n+1 \end{cases}$$

$\mathcal{P}_{D,\mathcal{J}} = 0$ justified if OPE is well behaved. Meaningless otherwise

ALEPH Spectral Functions

Davier et al. 2014



— $\alpha_s(m_\tau^2) = 0.329$

— Parton Model

➔ $\alpha_s(m_\tau^2) = 0.332 \pm 0.012$

(Standard approach)

Detailed analysis of ALEPH data

Standard Approach

Rodríguez-Sánchez, Pich, arXiv:1605.06830

Method (V + A)	$\alpha_s(m_\tau^2)$		
	CIPT	FOPT	Average
ALEPH moments ¹	$0.339^{+0.019}_{-0.017}$	$0.319^{+0.017}_{-0.015}$	$0.329^{+0.020}_{-0.018}$
Mod. ALEPH moments ²	$0.338^{+0.014}_{-0.012}$	$0.319^{+0.013}_{-0.010}$	$0.329^{+0.016}_{-0.014}$
$A^{(2,m)}$ moments ³	$0.336^{+0.018}_{-0.016}$	$0.317^{+0.015}_{-0.013}$	$0.326^{+0.018}_{-0.016}$
s_0 dependence ⁴	0.335 ± 0.014	0.323 ± 0.012	0.329 ± 0.013
Borel transform ⁵	$0.328^{+0.014}_{-0.013}$	$0.318^{+0.015}_{-0.012}$	$0.323^{+0.015}_{-0.013}$
Combined value	0.335 ± 0.013	0.320 ± 0.012	0.328 ± 0.013



$$\alpha_s(M_Z^2) = 0.1197 \pm 0.0015$$

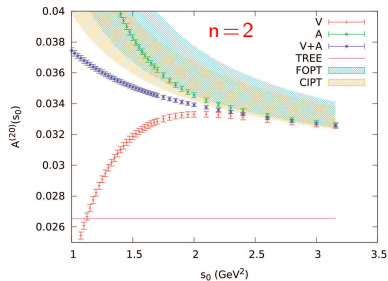
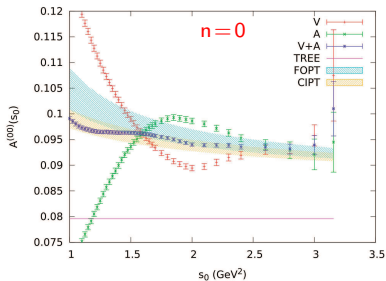
- $\omega_{kl}(x) = (1+2x)(1-x)^{2+k}x^l$ $(k, l) = (0, 0), (1, 0), (1, 1), (1, 2), (1, 3)$ $x \equiv s/s_0$
- $\tilde{\omega}_{kl}(x) = (1-x)^{2+k}x^l$ $(k, l) = (0, 0), (1, 0), (1, 1), (1, 2), (1, 3)$
- $\omega^{(2,m)}(x) = (1-x)^2 \sum_{k=0}^m (k+1)x^k = 1 - (m+2)x^{m+1} + (m+1)x^{m+2}$, $1 \leq m \leq 5$
- $\omega^{(2,m)}(x)$ $0 \leq m \leq 2$, 1 single moment in each fit
- $\omega_a^{(1,m)}(x) = (1-x^{m+1})e^{-ax}$ $0 \leq m \leq 6$

Experiment vs. (pinched) Perturbation Theory (only)

Rodríguez-Sánchez, A.P.

$$\omega^{(n,0)}(s = s_0 x) = (1 - x)^n \rightarrow \mathcal{O}_{D \leq 2(n+1)}$$

$$\alpha_s(m_\tau^2) = 0.329^{+0.020}_{-0.018}$$



No OPE corrections at LO

Not protected against DV

Beautiful DV in V & A
(below 2.4 GeV^2)

DV largely cancels in $V+A$

Pinched weight (protected against DV)

No obvious signal of DV seen

Clear $D = 6$ OPE correction with
opposite signs in V & A which
cancels to a large extent in $V+A$

Non-Perturbative Contributions Neglected

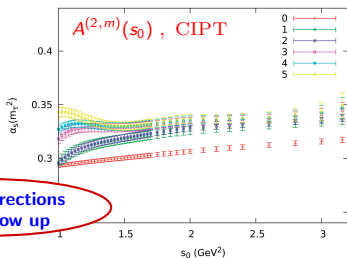
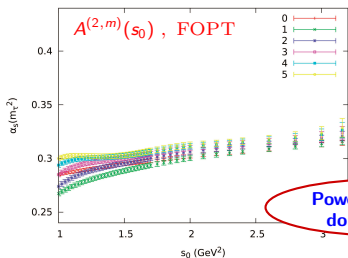
Rodríguez-Sánchez, A.P.

$$\omega^{(1,m)}(x) = 1 - x^{m+1} \rightarrow \mathcal{O}_{2m+4}$$

$$\omega^{(2,m)}(x) = (1-x)^2 \sum_{k=0}^m (k+1)x^k = 1 - (m+2)x^{m+1} + (m+1)x^{m+2} \rightarrow \mathcal{O}_{2m+4, 2m+6}$$

Moment (n, m)	$\alpha_s(m_\tau^2)$		Moment (n, m)	$\alpha_s(m_\tau^2)$	
	FOPT	CIPT		FOPT	CIPT
(1,0)	0.315 ^{+0.012} _{-0.007}	0.327 ^{+0.012} _{-0.009}	(2,0)	0.311 ^{+0.015} _{-0.011}	0.314 ^{+0.013} _{-0.009}
(1,1)	0.319 ^{+0.010} _{-0.006}	0.340 ^{+0.011} _{-0.009}	(2,1)	0.311 ^{+0.011} _{-0.006}	0.333 ^{+0.009} _{-0.007}
(1,2)	0.322 ^{+0.010} _{-0.008}	0.343 ^{+0.012} _{-0.010}	(2,2)	0.316 ^{+0.010} _{-0.005}	0.336 ^{+0.011} _{-0.009}
(1,3)	0.324 ^{+0.011} _{-0.010}	0.345 ^{+0.013} _{-0.011}	(2,3)	0.318 ^{+0.010} _{-0.006}	0.339 ^{+0.011} _{-0.008}
(1,4)	0.326 ^{+0.011} _{-0.011}	0.347 ^{+0.013} _{-0.012}	(2,4)	0.319 ^{+0.009} _{-0.007}	0.340 ^{+0.011} _{-0.009}
(1,5)	0.327 ^{+0.015} _{-0.013}	0.348 ^{+0.014} _{-0.012}	(2,5)	0.320 ^{+0.010} _{-0.008}	0.341 ^{+0.011} _{-0.009}

Amazing stability



Power corrections don't show up

V+A

Exp. errors only

Modelling Duality Violations

$$\Delta A_{\mathcal{J}}^{\omega}(s_0) = \frac{i}{2} \oint_{|s|=s_0} \frac{ds}{s_0} \omega(s) \{ \Pi_{\mathcal{J}}(s) - \Pi_{\mathcal{J}}(s)^{\text{OPE}} \} = -\pi \int_{s_0}^{\infty} \frac{ds}{s_0} \omega(s) \Delta \rho_{\mathcal{J}}^{\text{DV}}(s)$$

$$\text{Ansatz: } \Delta \rho_{\mathcal{J}}^{\text{DV}}(s) = \mathcal{G}_{\mathcal{J}}(s) e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$

Algorithmic procedure:

- $\omega_0(x) = 1 \rightarrow$ no OPE corrections at LO (assumes well-behaved OPE)
- Fit s_0 dependence in $\hat{s}_0 < s_0 < m_{\tau}^2$ (bin by bin) \rightarrow Direct fit of $\rho(s)_{\text{exp}}$

$$\omega_n(x) = x^n \rightarrow \text{Im } \Pi_{\mathcal{J}}(s_0) = \frac{1}{s_0^n} \frac{d [s_0^{n+1} A_{\mathcal{J}}^{\omega_n}(s_0)]}{ds_0}$$

Direct fit of ansatz parameters (largely insensitive to α_s , OPE not valid in \mathbb{R} axis)

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- $\forall \omega$ & $\forall s_0 \geq \hat{s}_0$, the fitted ansatz determines $\Delta A_{\mathcal{J}}^{\omega}(s_0)$ **Modelling error?**
- α_s mainly extracted from $A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)_{\text{pert}} \approx A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)_{\text{exp}} - \Delta A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)$

Low scale $\hat{s}_0^{1/2} \sim 1.2 \text{ GeV} < m_{\tau} \rightarrow$ **Large theory uncertainties**

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Low scale $\hat{s}_0^{1/2} \sim 1.2 \text{ GeV} < m_{\tau} \rightarrow$ **Large theory uncertainties**

- Taking additional weights $\omega_n(x)$: $A_{\mathcal{J}}^{\omega_n}(\hat{s}_0) \rightarrow \mathcal{O}_{2n}$

Boito et al ansatz:

$$\mathcal{G}_{\mathcal{J}}(s) = 1 \quad , \quad \hat{s}_0 = 1.55 \text{ GeV}^2 \quad , \quad \mathcal{J} = V$$

ALEPH data, FOPT

$\alpha_s^{(n_f=3)}(m_\tau^2)$	δ_V	γ_V	α_V	β_V	p-value (%)
0.298 ± 0.010	3.6 ± 0.5	0.6 ± 0.3	-2.3 ± 0.9	4.3 ± 0.5	5.3

Numerical size of duality violations

n	$A_V^{\omega_n}(\hat{s}_0)$			
	$\hat{s}_0 = 1.55 \text{ GeV}^2$		$s_0 = 2.8 \text{ GeV}^2$	
	DV	Exp	DV	Exp
0	0.00288	0.09735 (51)	0.00142	0.09340 (114)
1	0.00228	0.04447 (34)	0.00143	0.04529 (100)
4	-0.00032	0.01049 (20)	0.00133	0.01896 (77)

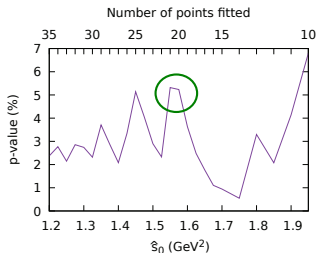
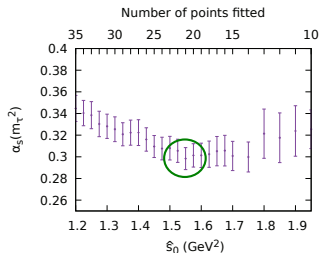
$$\omega_n(x) = x^n$$

n	$A_V^{\hat{\omega}_n}(\hat{s}_0)$			
	$\hat{s}_0 = 1.55 \text{ GeV}^2$		$s_0 = 2.8 \text{ GeV}^2$	
	DV	Exp	DV	Exp
1	0.00061	0.05288 (24)	-0.00001	0.04810 (24)
2	0.00139	0.07356 (36)	0.00000	0.06342 (36)
4	0.00320	0.08686 (52)	0.00008	0.07444 (51)

$$\hat{\omega}_n(x) = 1 - x^n$$

Pinching suppresses duality violations very efficiently at $\hat{s}_0 \sim m_\tau^2$

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) = e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$



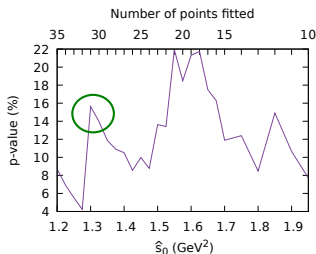
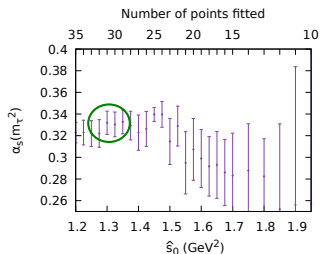
Rodríguez-Sánchez, A.P.
2205.07587

$$\mathcal{J} = V$$

$$\hat{s}_0^V = 1.55 \text{ GeV}^2$$

$$\alpha_s^V(m_\tau^2) = 0.298 \pm 0.010$$

(Boito et al. value)



$$\mathcal{J} = A$$

$$\hat{s}_0^A = 1.30 \text{ GeV}^2$$

$$\alpha_s^A(m_\tau^2) = 0.332 \pm 0.011$$

Bad quality fit (Model dependence. Instabilities. Very low p-value)

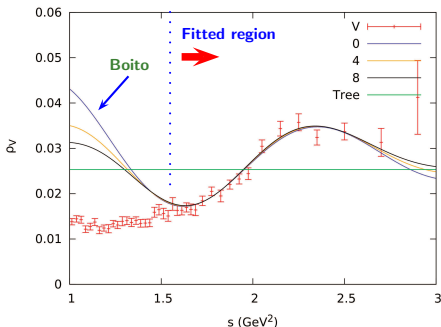
Sensitivity to the Assumed Ansatz

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) = s^{\lambda_{\mathcal{J}}} e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$

$$\lambda_V \geq 0 \quad , \quad \hat{s}_0 \sim 1.55 \text{ GeV}^2 \quad , \quad \omega_0(x) = 1$$

Rodríguez-Sánchez, A.P.

	λ_V	$\alpha_s(m_\tau^2)^{\text{FOPT}}$	δ_V	γ_V	α_V	β_V	p-value
Boito	0	0.298 ± 0.010	3.6 ± 0.5	0.6 ± 0.3	-2.3 ± 0.9	4.3 ± 0.5	5.3%
	2	0.302 ± 0.011	2.9 ± 0.5	1.6 ± 0.3	-2.2 ± 0.9	4.2 ± 0.5	6.0%
	4	0.306 ± 0.013	2.3 ± 0.5	2.6 ± 0.3	-1.9 ± 0.9	4.1 ± 0.5	6.6%
	8	0.314 ± 0.015	1.0 ± 0.5	4.6 ± 0.3	-1.5 ± 1.1	3.9 ± 0.6	7.7%



- Fitted α_s is **model dependent**
- $\lambda_V = 0$ (Boito) gives the worse fit
- **Fit quality & α_s increase with λ_V**
 ➔ closer to data at $s < \hat{s}_0$
- $\Delta\hat{s}_0$ ➔ 3 times larger errors

Not competitive & unreliable

Sensitivity to the Assumed Ansatz

$$\Delta\rho_{\mathcal{J}}^{DV}(s) = s^{\lambda_{\mathcal{J}}} e^{-(\delta_{\mathcal{J}}+\gamma_{\mathcal{J}}s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}}s) \quad , \quad s > \hat{s}_0$$

$\lambda_V \geq 0$, $\hat{s}_0 \sim 1.55 \text{ GeV}^2$, additional weights

Rodríguez-Sánchez, A.P.

Boito et al →

λ_V	$\mathcal{O}_{6,V}$	$\mathcal{O}_{8,V}$	$\mathcal{O}_{10,V}$	$\mathcal{O}_{12,V}$
0	-0.0082	0.014	-0.019	0.023
4	-0.0064	0.010	-0.012	0.014
8	-0.0037	0.004	0.001	-0.0099

GeV units

Fitted condensates are model dependent

The size of $\mathcal{O}_{2n,V}$ decreases when α_s (& fit quality) increases

Huge condensates claimed by Boito et al (OPE breakdown), but:

- $|\mathcal{O}_{6,V/A}| < |\mathcal{O}_{6,V-A}| = |(-3.5 \pm 0.9)| \times 10^{-3} \text{ GeV}^6$
- Opposite signs found with e^+e^- data: $\mathcal{O}_6^{\text{EM}} \sim +0.008$, $\mathcal{O}_8^{\text{EM}} \sim -0.03$

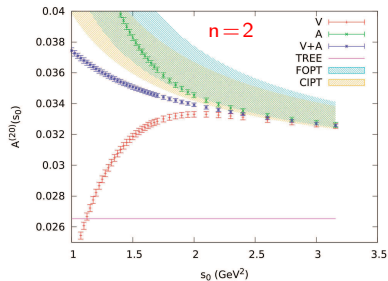
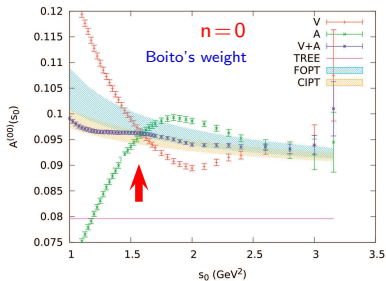
(Boito et al, 1805.08176)

Experiment vs. (pinched) Perturbation Theory (only)

Rodríguez-Sánchez, A.P.

$$\omega^{(n,0)}(s = s_0 x) = (1 - x)^n \rightarrow \mathcal{O}_{D \leq 2(n+1)}$$

$$\alpha_s(m_\tau^2) = 0.329^{+0.020}_{-0.018}$$



No OPE corrections at LO

Not protected against DV

Beautiful DV in V & A
(below 2.4 GeV^2)

DV largely cancels in $V+A$

Pinched weight (protected against DV)

No obvious signal of DV seen

Clear $D = 6$ OPE correction with
opposite signs in V & A which
cancels to a large extent in $V+A$

Sensitivity to the Assumed Ansatz

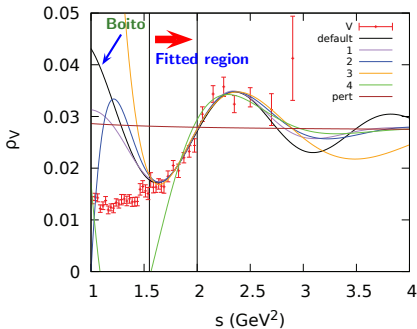
$\omega_0(x) = 1$

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) = \mathcal{G}_{\mathcal{J}}(s) e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$

GeV² units

Rodríguez-Sánchez, A.P.

Variation	$\mathcal{G}_V(s)$	\hat{s}_0	$\alpha_s(m_\tau^2)^{\text{FOPT}}$	δ_V	γ_V	α_V	β_V	p-value
Default	1	1.55	0.298	3.6	0.6	-2.3	4.3	5.3%
1	s^8	1.55	0.314	1.0	4.6	-1.5	3.9	7.7%
2	$1 - \frac{1.35}{s}$	1.55	0.319	-0.19	1.8	-0.8	3.5	7.8%
3	$1 - \frac{2}{s}$	1.55	0.260	0.23	1.2	3.2	2.1	6.4%
4	1	2	0.320	0.56	1.9	0.15	3.1	6.9%



- Fitted α_s is **model dependent**
- Higher p-values than default fit
- Bad behaviour outside fitted region
Below \hat{s}_0 data deviate from DV models much more than from OPE at $\sim m_\tau^2$
- Models with low values of α_s (def, 3) have large bumps above m_τ^2

Sensitivity to the Assumed Ansatz

$\omega_n(x) = x^n$

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) = \mathcal{G}_{\mathcal{J}}(s) e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$

GeV² units

Rodríguez-Sánchez, A.P.

Variation	$\mathcal{O}_{4,V}$	$\mathcal{O}_{6,V}$	$\mathcal{O}_{8,V}$	$\mathcal{O}_{10,V}$	$\mathcal{O}_{12,V}$	$\mathcal{O}_{14,V}$	$\mathcal{O}_{16,V}$	α_s
Default	0.0016	-0.0082	0.014	-0.019	0.023	-0.028	0.037	0.298
1	-0.0003	-0.0037	0.004	0.001	-0.010	0.006	0.079	0.314
2	-0.0009	-0.0023	0.001	0.008	-0.026	0.046	-0.032	0.319
3	0.0079	-0.0318	0.091	-0.25	0.59	-1.04	0.24	0.260
4	-0.0009	-0.0012	-0.003	0.021	-0.06	0.13	-0.20	0.320

Variation	$\mathcal{O}_{4,A}$	$\mathcal{O}_{6,A}$	$\mathcal{O}_{8,A}$	$\mathcal{O}_{10,A}$	$\mathcal{O}_{12,A}$	$\mathcal{O}_{14,A}$	$\mathcal{O}_{16,A}$	α_s
Default	0.0006	-0.0016	0.016	-0.052	0.11	-0.11	-0.28	0.298
1	-0.0015	0.0039	0.0024	-0.023	0.060	-0.084	-0.037	0.314
2	-0.0021	0.0055	-0.0012	-0.015	0.047	-0.074	-0.000	0.319
3	0.0054	-0.017	0.058	-0.16	0.31	-0.16	-2.15	0.260
4	-0.0014	0.0048	-0.0005	-0.016	0.048	-0.08	0.028	0.320

Minor ansatz modifications can generate huge changes of $\mathcal{O}_{2n,\mathcal{J}}$

Once the ansatz and α_s get fixed, $\mathcal{O}_{2n,\mathcal{J}}$ must reabsorb all perturbative (through a slightly off α_s) and DV deformations introduced by the models

Numerical Size of Duality Violations: $\hat{\omega}_N(x) = 1 - x^N$

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) = \mathcal{G}_{\mathcal{J}}(s) e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$

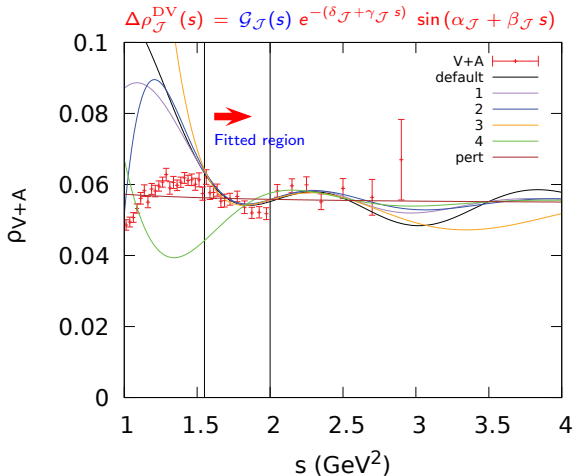
Rodríguez-Sánchez, A.P.

Ansatz	N	$A_V^{\hat{\omega}_N}(s_0)$			
		\hat{s}_0		$s_0 = 2.8 \text{ GeV}^2$	
		DV	Exp	DV	Exp
Default $\mathcal{G}_V(s) = 1$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	1	0.00061	0.05288 (24)	-0.00001	0.04810 (24)
	2	0.00139	0.07356 (36)	0.00000	0.06342 (36)
	4	0.00320	0.08686 (52)	0.00008	0.07444 (51)
1 $\mathcal{G}_V(s) = s^8$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	1	0.00151	0.05288 (24)	-0.00008	0.04810 (24)
	2	0.00358	0.07356 (36)	-0.00016	0.06342 (36)
	4	0.00859	0.08686 (52)	-0.00031	0.07444 (51)
2 $\mathcal{G}_V(s) = 1 - \frac{1.35}{s}$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	1	0.00177	0.05288 (24)	-0.00011	0.04810 (24)
	2	0.00421	0.07356 (36)	-0.00021	0.06342 (36)
	4	0.01053	0.08686 (52)	-0.00040	0.07444 (51)
3 $\mathcal{G}_V(s) = 1 - \frac{2}{s}$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	1	-0.00470	0.05288 (24)	-0.00071	0.04810 (24)
	2	0.00358	0.07356 (36)	-0.00137	0.06342 (36)
	4	-0.073411	0.08686 (52)	-0.00191	0.07444 (51)
4 $\mathcal{G}_V(s) = 1$ $\hat{s}_0 = 2 \text{ GeV}^2$	1	0.00064	0.05019 (24)	-0.00009	0.04810 (24)
	2	0.00126	0.06707 (32)	-0.00018	0.06342 (36)
	4	0.00214	0.07720 (38)	-0.00039	0.07444 (51)

Pinching suppresses duality violations very efficiently at $s_0 \sim m_\tau^2$

DV modelling of the $V + A$ Spectral Function

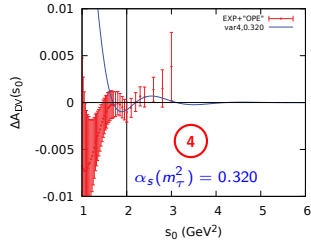
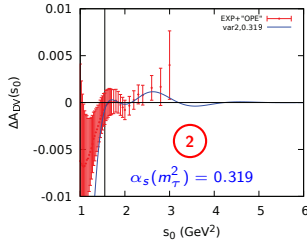
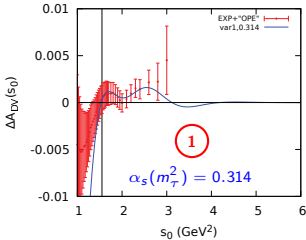
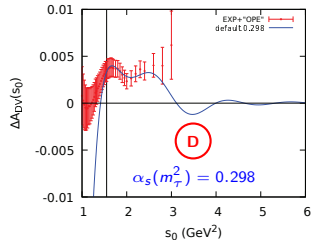
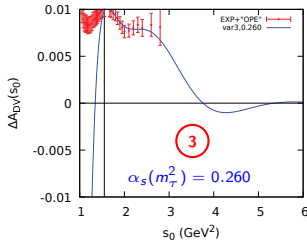
Rodríguez-Sánchez, A.P.



- Big disagreement with data below fitted region
- Fake violation of duality at $s \gtrsim m_{\tau}^2$ (larger than a_1 resonance peak) in models 'default' & '3' (the models giving low values of α_s)

Predicted DV Shapes

$$\begin{aligned}\Delta A_{V+A}^{\omega_0}(s_0) &= -\pi \int_{s_0}^{\infty} \frac{ds}{s_0} \Delta \rho_{V+A}^{DV} \\ &= A_{V+A}^{\omega_0}(s_0)_{\text{exp}} - A_{V+A}^{\omega_0}(s_0)_{\text{OPE}}\end{aligned}$$



- **Unphysical behaviour outside fitted region for models D & 3**

Huge DV at m_τ^2 needs to fall down abruptly to zero to comply with Asymptotic Freedom

- **The non-pathological scenarios 1, 2 & 4 give values of α_s within our estimated 1σ interval (standard approach)**

Numerical Size of Power Corrections

$$\Delta \rho_{\mathcal{J}}^{\text{DV}}(s) = \mathcal{G}_{\mathcal{J}}(s) e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s), \quad s > \hat{\sigma}_0$$

$$\omega^{(2,n)}(x) = 1 - (n+2)x^{n+1} + (n+1)x^{n+2}$$

Rodríguez-Sánchez, A.P.

Weight	Model	Pert	$\mathcal{O}_{2(n+2),V+A}$	$\mathcal{O}_{2(n+3),V+A}$	DV	Exp
$A_{V+A}^{\omega^{(2,1)}}(m_{\tau}^2)$	D	0.0938 (5)	0.0029	-0.0019	-0.0001	0.0954 (3)
	1	0.0952 (7)	-0.0001	-0.0004	-0.0000	0.0954 (3)
	2	0.0957 (8)	-0.0010	0.0000	-0.0000	0.0954 (3)
	3	0.0908 (2)	0.0145	-0.0095	-0.0007	0.0954 (3)
	4	0.0958 (8)	-0.0011	-0.0005	-0.0000	0.0954 (3)
$A_{V+A}^{\omega^{(2,4)}}(m_{\tau}^2)$	D	0.1316 (4)	0.0025	-0.0007	0.0001	0.1344 (8)
	1	0.1331 (5)	0.0009	-0.0004	0.0001	0.1344 (8)
	2	0.1336 (5)	0.0004	-0.0001	0.0000	0.1344 (8)
	3	0.1282 (2)	0.0171	-0.0061	-0.0056	0.1344 (8)
	4	0.1337 (5)	-0.0002	0.0002	0.0001	0.1344 (8)

Weight	Model	Pert	$\mathcal{O}_{2(n+2),V+A}$	$\mathcal{O}_{2(n+3),V+A}$	DV	Exp
$A_{V+A}^{\omega^{(2,1)}}(\hat{\sigma}_0)$	D	0.1010 (18)	0.0248	-0.0326	0.0062	0.0994 (4)
	1	0.1043 (28)	-0.0006	-0.0071	0.0028	0.0994 (4)
	2	0.1054 (32)	-0.0081	0.0003	0.0018	0.0994 (4)
	3	0.0948 (06)	0.1221	-0.1629	0.0452	0.0994 (4)
	4	0.1010 (18)	-0.0042	0.0015	-0.0001	0.0980 (3)
$A_{V+A}^{\omega^{(2,4)}}(\hat{\sigma}_0)$	D	0.1391 (10)	0.1808	-0.1012	-0.0787	0.1401 (5)
	1	0.1424 (14)	0.0676	-0.0572	-0.0128	0.1401 (5)
	2	0.1434 (16)	0.0281	-0.0203	-0.0112	0.1401 (5)
	3	0.1327 (05)	1.2216	-0.8833	-0.3309	0.1401 (5)
	4	0.1392 (11)	-0.0036	0.0058	-0.0034	0.1378 (4)

Summary

- **Violations of quark-hadron duality are interesting per se, but they cannot be used to determine α_s precisely**
 - Strong sensitivity to the assumed DV model
 - Unstable algorithmic procedure
 - Accuracy necessarily limited by our lack of control of DV effects
- **A precise determination of α_s requires minimizing DV**
 - Use inclusive observables (moments)
 - Take s_0 large enough (exponential suppression)
 - Use pinched weights (with low values of n)
- **R_τ ideally suited** (doubly pinched, m_τ^2 large enough, $V + A$)
- **Accurate, robust and reliable determination:** (standard approach)

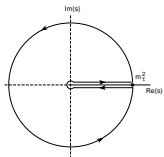
$$\alpha_s(m_\tau^2) = \begin{cases} 0.320 \pm 0.012 \\ 0.335 \pm 0.013 \end{cases} \quad \Rightarrow \quad \alpha_s(M_Z^2) = \begin{cases} 0.1187 \pm 0.0015 & \text{(FOPT)} \\ 0.1205 \pm 0.0015 & \text{(CIPT)} \end{cases}$$

Backup



alphas-2024: Workshop on precision measurements of the QCD coupling constant
ECT* Trento, 5 – 9 February 2024

R_τ suitable for a precise α_s determination



$$R_\tau = 6\pi i \oint_{|x|=1} (1-x)^2 \left[(1+2x) \Pi^{(0+1)}(m_\tau^2 x) - 2x \Pi^{(0)}(m_\tau^2 x) \right]$$

$$\Pi_{\mathcal{J}}^{(J)}(s) \approx \Pi_{\mathcal{J}}^{(J)}(s)^{\text{OPE}} = \sum_D \frac{\mathcal{O}_{D,\mathcal{J}}^{(J)}}{(-s)^{D/2}}$$

- Known to $\mathcal{O}(\alpha_s^4)$. Sizeable $\delta_P \sim 20\%$. Strong sensitivity to α_s
- m_τ large enough to safely use the OPE. Flat V + A distribution
- OPE only valid away from real axis: $(1-x)^2$ pinched at $s = m_\tau^2$
- $m_{u,d} = 0 \Rightarrow s \Pi^{(0)} = 0 \Rightarrow R_{\tau,V+A} = 6\pi i \oint_{|x|=1} (1-3x^2+2x^3) \Pi_{ud,V+A}^{(0+1)}(m_\tau^2 x)$
- $\Rightarrow \delta_{NP} \sim 1/m_\tau^6$ Strong suppression of non-perturbative effects
- D = 6 OPE contributions have opposite sign for V & A. Cancellation
- δ_{NP} can be determined from data: $\delta_{NP} = -0.0064 \pm 0.0013$ Davier et al

$R_\tau \Rightarrow$

$$\alpha_s(m_\tau^2) = 0.331 \pm 0.013$$

Pich 2014

Unjustified complaints (M. Golterman, TAU 2023)

● Criticism 1: DV model is not stable against variations

$$\Delta\rho^{\text{DV}}(s) = \left(1 + \frac{c}{s}\right) e^{-(\delta+\gamma s)} \sin(\alpha + \beta s) \quad , \quad s > \hat{s}_0$$

$c \sim -2 \text{ GeV}^2$ is not a small correction for $s \sim 2 \text{ GeV}^2$

None of the analysed ansatzs is theoretically justified at $\hat{s}_0 \sim 1.55 \text{ GeV}^2$

The large variations exhibit the very strong dependence on the assumed model
Ansatz can be adapted to reproduce small changes of data and input value of α_s

Regge-inspired model: (Boito et al, 1711.10316)

$$\Delta\rho^{\text{DV}}(s) = e^{-(\delta+\gamma s)} \sin(\alpha + \beta s) \left\{ 1 + \frac{a}{\log\left(\frac{\beta s}{2\pi}\right)} + \frac{b}{\log^2\left(\frac{\beta s}{2\pi}\right)} + \dots \right\}$$

$$\frac{1}{\log\left(\frac{\beta s}{2\pi}\right)} < \infty \quad \Rightarrow \quad s > \frac{2\pi}{\beta} \quad \Rightarrow \quad \begin{cases} s > 1.7 \text{ GeV}^2 & (\beta_V = 3.8 \text{ GeV}^2; \text{Boito et al, 2012.10440}) \\ s > 1.5 \text{ GeV}^2 & (\beta_V = 4.3 \text{ GeV}^2; \text{Boito et al, 1410.3528}) \end{cases}$$

$$\frac{1}{\log\left(\frac{\beta s}{2\pi}\right)} < \frac{1}{2} \quad \Rightarrow \quad s > \frac{2\pi}{\beta} e^2 \quad \Rightarrow \quad \begin{cases} s > 12.2 \text{ GeV}^2 & (\beta_V = 3.8 \text{ GeV}^2; \text{Boito et al, 2012.10440}) \\ s > 10.8 \text{ GeV}^2 & (\beta_V = 4.3 \text{ GeV}^2; \text{Boito et al, 1410.3528}) \end{cases}$$

Unjustified complaints (M. Golterman, TAU 2023)

• Criticism 2: Logarithmic corrections to OPE

Higher-order logarithms ($\mathcal{P}_{D,\mathcal{J}}$) affect sum rules with low-degree (n) polynomials

$$\Pi_{\mathcal{J}}^{\text{OPE}}(s) \Big|_{D>0}^{\text{NLO}} = \sum_{D>0} \frac{\mathcal{O}_{D,\mathcal{J}}(\mu) + \mathcal{P}_{D,\mathcal{J}} \log(-s/\mu^2)}{(-s)^{D/2}}$$

$$\omega_n(s) = \left(\frac{s}{s_0}\right)^n \quad \rightarrow \quad \Delta A_{\mathcal{J}}^{(n)}(s_0) \Big|_{D>0}^{\text{OPE}} = -\pi \sum_{p=2} \frac{d_{p,\mathcal{J}}^{(n)}}{(-s_0)^p} \quad , \quad d_{p,\mathcal{J}}^{(n)} = \begin{cases} \mathcal{O}_{2p,\mathcal{J}}(s_0) & \text{if } p = n+1 \\ \frac{\mathcal{P}_{2p,\mathcal{J}}}{n-p+1} & \text{if } p \neq n+1 \end{cases}$$

$\mathcal{P}_{D,\mathcal{J}} = 0$ justified if OPE is well behaved. Meaningless otherwise:

$$\text{OPE validity} \quad \rightarrow \quad \frac{|\mathcal{O}_{D+2k,\mathcal{J}}|}{s_0^k} \lesssim |\mathcal{O}_{D,\mathcal{J}}| \quad , \quad |\mathcal{P}_{D,\mathcal{J}}| \equiv \xi_{D,\mathcal{J}} |\mathcal{O}_{D,\mathcal{J}}| \quad , \quad \xi_{D,\mathcal{J}} \sim \mathcal{O}\left(\frac{\alpha_s}{\pi}\right)$$

$$|\mathcal{P}_{D,\mathcal{J}}| \ll \frac{|\mathcal{O}_{D+2k,\mathcal{J}}|}{s_0^k} \quad \forall k \quad \rightarrow \quad \left| \frac{\mathcal{O}_{D+2k,\mathcal{J}}}{\mathcal{O}_{D,\mathcal{J}}} \right|^{1/(2k)} < \sqrt{s_0} \ll \underbrace{\xi_{D,\mathcal{J}}^{-1/(2k)}}_{\approx 1} \left| \frac{\mathcal{O}_{D+2k,\mathcal{J}}}{\mathcal{O}_{D,\mathcal{J}}} \right|^{1/(2k)}$$

Educated guess estimate: $\xi_{D,\mathcal{J}} \sim \mathcal{O}(0.1 - 0.2)$ [$|\xi_{6,V+A}| \sim 0.15$, (Boito-Hornung-Jamin, 1510.03812)]

Golterman's justification based on a large- N_C estimate $\xi_6 \sim 0.03$, while assuming $|\mathcal{O}_{6,V+A}| \sim 200 |\mathcal{O}_6|_{N_C \rightarrow \infty}$

Unjustified complaints (M. Golterman, TAU 2023)

● **Criticism 3: DV strategy is “tautological” - additional weights do not add information**

● $\omega_0(x) = 1 \rightarrow$ no OPE corrections at LO (assumes well-behaved OPE)

● Fit s_0 dependence in $\hat{s}_0 < s_0 < m_\tau^2$ (bin by bin) \rightarrow Direct fit of $\rho(s)_{\text{exp}}$

$$\omega_n(x) = x^n \rightarrow \text{Im } \Pi_{\mathcal{J}}(s_0) = \frac{1}{s_0^n} \frac{d [s_0^{n+1} A_{\mathcal{J}}^{\omega_n}(s_0)]}{ds_0}$$

Direct fit of ansatz parameters (largely insensitive to α_s , OPE not valid in \mathbb{R} axis)

● $\forall \omega$ & $\forall s_0 \geq \hat{s}_0$, the fitted ansatz determines $\Delta A_{\mathcal{J}}^{\omega}(s_0)$ **Modelling error?**

● α_s mainly extracted from $A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)_{\text{pert}} \approx A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)_{\text{exp}} - \Delta A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)$

Low scale $\hat{s}_0^{1/2} \sim 1.2 \text{ GeV} < m_\tau \rightarrow$ **Large theory uncertainties**

● Taking additional weights $\omega_n(x)$: $A_{\mathcal{J}}^{\omega_n}(\hat{s}_0) \rightarrow \mathcal{O}_{2n}$

Golterman takes $n = 0, 2$ and claims that the wrong correction \hat{C}_6/s_0^5 (why doesn't call it C_{10} ?) modifies α_s . He is actually enforcing $C_6 = 0$ ($\hat{C}_6 \equiv C_{10}$ does not contribute to those moments).

Therefore, **he constraints α_s with two sum rules without any other free parameters**

Unjustified complaints (M. Golterman, TAU 2023)

- **Criticism 4: DV strategy determines α_s at 1.55 GeV²**

- $\omega_0(x) = 1 \rightarrow$ no OPE corrections at LO (assumes well-behaved OPE)

- Fit s_0 dependence in $\hat{s}_0 < s_0 < m_\tau^2$ (bin by bin) \rightarrow Direct fit of $\rho(s)_{\text{exp}}$

$$\omega_n(x) = x^n \rightarrow \text{Im } \Pi_{\mathcal{J}}(s_0) = \frac{1}{s_0^n} \frac{d [s_0^{n+1} A_{\mathcal{J}}^{\omega_n}(s_0)]}{ds_0}$$

Direct fit of ansatz parameters (largely insensitive to α_s , OPE not valid in \mathbb{R} axis)

- $\forall \omega$ & $\forall s_0 \geq \hat{s}_0$, the fitted ansatz determines $\Delta A_{\mathcal{J}}^{\omega}(s_0)$ **Modelling error?**

- α_s mainly extracted from $A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)_{\text{pert}} \approx A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)_{\text{exp}} - \Delta A_{\mathcal{J}}^{\omega_0}(\hat{s}_0)$

Low scale $\hat{s}_0^{1/2} \sim 1.2 \text{ GeV} < m_\tau \rightarrow$ **Large theory uncertainties**

- Taking additional weights $\omega_n(x)$: $A_{\mathcal{J}}^{\omega_n}(\hat{s}_0) \rightarrow \mathcal{O}_{2n}$

All parameters obtained from fits using all data. True, but...

Data above \hat{s}_0 fix the ansatz. $A_{\mathcal{J}}^{\omega_n}(\hat{s}_0)$ are the ONLY additional information

Numerical Size of Duality Violations:

$$\omega_N(x) = x^N$$

$$\Delta\rho_{\mathcal{J}}^{\text{DV}}(s) = \mathcal{G}_{\mathcal{J}}(s) e^{-(\delta_{\mathcal{J}} + \gamma_{\mathcal{J}} s)} \sin(\alpha_{\mathcal{J}} + \beta_{\mathcal{J}} s) \quad , \quad s > \hat{s}_0$$

Rodríguez-Sánchez, A.P.

Ansatz	N	$A_V^{\omega_N}(s_0)$			
		\hat{s}_0		$s_0 = 2.8 \text{ GeV}^2$	
		DV	Exp	DV	Exp
Default $\mathcal{G}_V(s) = 1$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	0	0.00288	0.09735 (51)	0.00142	0.09340 (114)
	1	0.00228	0.04447 (34)	0.00143	0.04529 (100)
	4	-0.00032	0.01049 (20)	0.00133	0.01896 (77)
1 $\mathcal{G}_V(s) = s^8$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	0	0.00132	0.09735 (51)	0.00088	0.09340 (114)
	1	-0.00019	0.04447 (34)	0.00096	0.04529 (100)
	4	-0.00726	0.01049 (20)	0.00119	0.01896 (77)
2 $\mathcal{G}_V(s) = 1 - \frac{1.35}{s}$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	0	0.00082	0.09735 (51)	0.00088	0.09340 (114)
	1	-0.00095	0.04447 (34)	0.00098	0.04529 (100)
	4	-0.00970	0.01049 (20)	0.00128	0.01896 (77)
3 $\mathcal{G}_V(s) = 1 - \frac{2}{s}$ $\hat{s}_0 = 1.55 \text{ GeV}^2$	0	0.00611	0.09735 (51)	0.00429	0.09340 (114)
	1	0.01081	0.04447 (34)	0.00500	0.04529 (100)
	4	0.07952	0.01049 (20)	0.00620	0.01896 (77)
4 $\mathcal{G}_V(s) = 1$ $\hat{s}_0 = 2 \text{ GeV}^2$	0	-0.00511	0.08944 (52)	0.00042	0.09340 (114)
	1	-0.00575	0.03926 (40)	0.00051	0.04529 (100)
	4	-0.00725	0.01224 (27)	0.00081	0.01896 (77)