

The ALICE Upgrades in LS2

Stefania Beole, Christian Lippmann, David Rohr CERN Detector seminar 15.9.2023





ALICE in Run 3

ALICE

- Targeting to record large minimum bias sample.
- Access low S/B "untriggerable" signals
- All collisions stored \rightarrow no trigger
- Continuous readout → data in drift detectors overlap
- Recording time frames of continuous data, instead of events
- 100x more collisions, much more data
- Cannot store all raw data → online compression
- → Use GPUs to speed up online (and offline) processing

- Overlapping events in TPC with realistic bunch structure @ 50 kHz Pb-Pb.

- Timeframe of 2 ms shown (will be 10 – 20 ms in production).



LS2 ALICE Upgrades

All-pixel Inner Tracking System

GEM-based TPC readout



• New detectors:

- Improve tracking resolution at low p_T
 - → thinner, more granular

.....

- Enable continuous read-out
- New online-offline computing system for synchronous and asynchronous processing

ALICE



.. and much more:

Pixel Muon Forward Tracker

- Fast Interaction Trigger
- New 50x faster readout system
- Readout upgrade of MUON, TOF, EMCAL, PHOS

LS2 ALICE Upgrades





NEW MUON FORWARD

Five disks of monolithic active silicon pixel sensors, installed in front of the muon spectrometer to extend precision measurements to the forward rapidity region.



NEW READOUT SYSTEM

The new readout system is designed to handle increased data throughput by combining all the computing functionalities needed in the experiment.

870-mm-long central beryllium section that has an inner radius of 18.2 mm and measures 0.8 mm in thickness.



ALICE Data Flow in Run 3

FIP

CTP Central Trigger Processor Distribution of timing info, heartbeat trigger

O²/FLP (First Level Processors) ~200 2-socket Dell R740

up to 3 CRU per FLP

~3.5 TB/s

Zero suppression





ALICE Data Flow in Run 3







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ALICE Data Flow in Run 3





Synchronous and Asynchronous Processing





Synchronous and Asynchronous Processing







O² Processing steps



Synchronous processing (what we called online before): Extract information for detector calibration:

- Needs tracking of 1% of tracks
- Previously performed in 2 offline passes over the data after the data taking
- Run 3 avoids / reduces extra passes over the data but extracts all information in the sync. processing
- An intermediate step between sync. and async. processing produces the final calibration objects.
- The most complicated calibration is the correction for the TPC space charge distortions





O² Processing steps



Particle Track from Collision Synchronous processing (what we called online before): Needs tracking of Reconstructed Track 1% of tracks Extract information for detector calibration: e cloud Cathode Previously performed in 2 offline passes over the data after the data taking Run 3 avoids / reduces extra passes over the data but extracts all information in the sync. processing An intermediate step between sync. and async. processing produces the final calibration objects. End Plant The most complicated calibration is the correction for the TPC space charge distortions Data compression: Local distortions X. Y. Z Row, Pad, Time TPC is the largest contributor of raw data, and we employ sophisticated algorithms like storing space point coordinates as residuals to tracks to reduce the entropy and remove hits not attached to physics tracks Forward-transfor Rows Needs 100% We use ANS entropy encoding for all detectors Track in distort TPC tracking coordin k-transformation Track

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- Data compression:
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 - We use ANS entropy encoding for all detectors
- Event reconstruction (tracking, etc.):
 - Required for calibration, compression, and online quality control
 - Need full TPC tracking for data compression
 - Need tracking in all detectors for ~1% of the tracks for calibration
 - **TPC tracking dominant part, rest** almost negligible (< 5%) \rightarrow





TPC tracking

1% of tracks

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 - Need tracking in all detectors for ~1% of the tracks for calibration
 - **TPC tracking dominant part, rest** almost negligible (< 5%) \rightarrow
- Asynchronous processing (what we called offline before):
 - Full reconstruction, full calibration, all detectors
 - TPC part faster than in synchronous processing (less hits, no clustering, no compression)
 - Different relative importance of GPU / CPU algorithms compared to synchronous processing \rightarrow





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1% of tracks



• The table below shows the relative compute time (linux cpu time) of the processing steps running on the processor.

Synchronous processing (50 kHz Pb-Pb, MC data)

Processing step	% of time
TPC Processing (Tracking, Clustering, Compression)	99.37 %
EMCAL Processing	0.20 %
ITS Processing (Clustering + Tracking)	0.10 %
TPC Entropy Encoder	0.10 %
ITS-TPC Matching	0.09 %
MFT Processing	0.02 %
TOF Processing	0.01 %
TOF Global Matching	0.01 %
PHOS / CPV Entropy Coder	0.01 %
ITS Entropy Coder	0.01 %
Rest	0.08 %

Asynchronous processing (650 kHz pp, real data, calorimeters not in run)

Processing step	% of time
TPC Processing (Tracking)	61.41 %
ITS TPC Matching	6.13 %
MCH Clusterization	6.13 %
TPC Entropy Decoder	4.65 %
ITS Tracking	4.16 %
TOF Matching	4.12 %
TRD Tracking	3.95 %
MCH Tracking	2.02 %
AOD Production	0.88 %
Quality Control	4.00 %
Rest	2.32 %

Only data processing steps Quality control, calibration, event building excluded!

Totally dominated by TPC: >99%



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Synchronous processing :

- **99%** of compute time spent for **TPC**.
- EPN farm build for synchronous processing!
- Asynchronous reprocessing :
 - More detectors with significant computing contribution.
 - To be kept in mind, as EPNS also run async. Reco.
- **GPUs** well suited for **TPC** reco (from Run 1 and 2 experience).
- **GPUs** provide the **required compute power**.
- Time frame concepts yields large enough GPU data chunks.
- Following up **2 scenarios** for EPN GPU processing:

Baseline solution (available today): - Mandatory for synchronous processing TPC sync. reco on GPU

Optimistic solution (under development): - Achieve best GPU usage in async phase

- Run most of tracking + X on GPU

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- Central barrel tracking chosen as best candidate for optimistic scenario for asynchronous reco:
 - Mandatory baseline scenario includes everything that must run on the GPU during synchronous reconstruction.
 - **Optimistic scenario** includes everything related to the barrel tracking.







- Baseline scenario fully implemented.
 - Not mandatory to speed up the synchronous GPU code further.





- TPC synchronous processing almost fully on the GPU.
 - 2 optional parts still being investigated for sync. reco on GPU: TPC entropy encoding / Looper identification < 10 MeV.







Several steps missing in asynchronous reconstruction:

Vertexing

- Matching to ITS
- Matching to TOF ٠
- Secondary vertexing ٠
- TPC interpolation for SCD calibration ٠

In operation Work in progress

Under study



Matching

Sorting

Afterburner

Material Lookup

Finding

GPU API Framework

Track Fit

Finding

Common GPU

Components:

Calibration

Memory Reuse



- The table below shows the relative compute time (linux cpu time) of the processing steps running on the processor.
 - Synchronous reconstruction fully dominated by the TPC (99%), no reason to offload anything else to the GPU.
- In async reco, currently the 61.4% TPC are on the GPU, with the full optimistic scenario (full barrel tracking) it will be 79.77%.
 - Offloading 60% of the workload to GPU, should yield a speed-up of 2.5x (since async reco is CPU-bound).

Synchronous processing (50 kHz Pb-Pb, MC data, processing only)

Asynchronous processing (650 kHz pp, real data, calorimeters not in run)

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Running on GPU in baseline scenario

Running on GPU in optimistic scenario

GPU Processing Design principles

- 1. GPU code should be modular, such that individual parts can run independently.
 - Multiple consecutive components on the GPU should operate with as little host interaction as possible.
- 2. GPU code should be generic C++ and not depend on one particular vendor or API. (O² supports CUDA, HIP, OpenCL)
 - No usage of special features that are not portable.
- 3. GPU usage should be optional and transparent: running O² should not require any vendor libraries installed.
 - All GPU code is contained in plugins, with a common interface.
 - Even multiple plugins (GPU backends) can run on the same node.
- 4. Minimize time spent for memory management.
 - We allocate one large memory segment, and then distribute memory chunks internally.
- 5. Processing on GPU and data transfer should overlap, such that the GPU does not idle while waiting for data.
 - This is implemented via a pipelined processing within time frames, and we also overlap consecutive time frames.
- 6. Data chunks processed by the GPU must be large enough to exploit the full parallelism.
 - Fulfilled by design with TFs containing > 100 collisions.
- 7. GPU and CPU output should be as close as possible.
 - But small differences due to concurrency or non-associative floating point arithmetic cannot be avoided.

For details on GPU implementation see CERN compute accelerator talk: https://indico.cern.ch/event/1264298/



Usage of EPNs for sync/async reconstruction



- For asynchronous reconstruction, EPN nodes are used as GRID nodes.
 - Identical workflow as on other GRID sites, only different configuration using GPU, more memory, more CPU cores.
 - EPN farm split in **2 scheduling pools**: synchronous and asynchronous.
 - Unused nodes in the synchronous pool are moved to the asynchronous pool.
 - As needed for data-taking, nodes are moved to the synchronous pool with lead time to let the current jobs finished.
 - If needed immediately, GRID jobs are killed and nodes moved immediately.

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- A new common software framework was developed for O² processing.
 - Same software for synchronous and asynchronous reconstruction.
 - Same framework runs on the online computing farm, in the GRID, and on the laptop.
 - Layered approach developed jointly with GSI.
 - Reconstruction steps in the processing graph are independent operating system processes called devices.



O² Data Processing Layer - Declarative approach





O² Data Processing Layer - Generating workflows







EPN Server Architecture

- Multiple GPUs in a server minimize the cost.
 - Less servers, less network.
 - **Synergies** of using the **same CPU components** for multiple GPUs, same for memory.
- Splitting the node into 2 NUMA domains minimizes inter-socket communication
 - → 2 virtual EPNs.
 - Still only **1 HCA** for the input \rightarrow writing to shared memory segment in **interleaved memory**.
- GPUs are processing individual time frames \rightarrow no inter-GPU communication.
 - Host processes can drive 1 GPU each, or run CPU only tasks.
- GPUs can be shared between algorithms.
 - With **memory reuse** if within the same process.
 - With separate memory in case of multiple processes (Not done at the moment).





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 - With memory reuse if within the same process.
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- Benchmarked with MC data: For 100% utilization of 8 GPUs (AMD MI50), we need:
 - ~50 CPU cores, ~400 GB of memory, 30 GB/s network input speed, GPU PCIe negligible.
- Selected server:
 - Supermicro AS-4124GS-TNR, 8 * MI50 GPU, 2 * 32 core AMD Rome 7452 CPU (2.35 GHz), 512 GB RAM (16 * 32GB)
 - Infiniband HDR / HDR100 network.



Synchronous processing DPL workflow





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Synchronous processing DPL workflow







Performance of EPN servers



- Performance of EPN servers in synchronous reconstruction mostly evaluated processing 50 kHz Pb-Pb MC data.
- **GPU-bound**, CPU resource usage is ~44 cores (out of 64 cores available).
- Evaluated several GPU models, current farm has 280 EPN servers with MI50 GPUs and 70 newer servers with MI100 GPUs.
- **1 MI50 GPU** replaces ~**80 CPU cores** in **synchronous reconstruction** and ~**55 cores** in **asynchronous reconstruction**. (measured against the AMD Rome 7452 cores in the EPN server).
- Current EPN farm has the capacity to process the estimated 50 kHz Pb-Pb rate with a **30% margin**.
- Without GPUs, would need >2000 64-core servers.





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- Current EPN farm has the capacity to process the estimated 50 kHz Pb-Pb rate with a **30% margin**.
- Without GPUs, would need >2000 64-core servers.
- Asynchronous Performance benchmarks cover multiple cases (In all cases server fully loaded with identical jobs):
 - EPN split into 16 * 8 cores, or into 8 * 16 cores, ignoring the GPU : to compare CPUs and GPUs.
 - EPN split into 8 or 2 identical fractions: 1 NUMA domain (4 GPUs) or 1 GPU.

Configuration (2022 pp, 650 kHz)	Time per TF (11ms, 1 instance)	Time per TF (11ms, full server)
CPU 8 core	76.91s	4.81s
CPU 16 core	34.18s	4.27s
1 GPU + 16 CPU cores	14.60s	1.83s
1 NUMA domain (4 GPUs + 64 cores)	3.5s	1.70s

Configuration used for production

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2.5

factor

expected

Matches





ITS2 design objectives

Improve impact parameter resolution by a factor ~3(5) in $r\varphi(z)$ at $p_T = 500 \text{ MeV}/c$

- get closer to IP:
 39 mm → 23 mm (innermost layer)
- reduce material budget:
 - ~ 1.14% X_0 : $\rightarrow 0.36\%$ X_0 per layer (for the inner layers)
- reduce pixel size: 50 μ m × 425 μ m → O(30 × 30 μ m²)

Improve tracking efficiency and $p_{\rm T}$ resolution at low $p_{\rm T}$

- Increase granularity: 6 layers \rightarrow 7 pixel layers

Fast readout

- Readout of Pb-Pb at up to 100 kHz (previously 1 kHz) and 400 kHz for pp









pixel

track

Detector performance in Run 3 — simulations



- Improved tracking efficiency (95% instead of 60% at 200 MeV/c)
- Pointing resolution 3x better in transverse plane (6x along beam axis) at 200 MeV/c



ITS2 layout

- 7 layers (inner/middle/outer): 3/2/2 from R = 23 mm to R = 400 mm
- 192 staves (IL/ML/OL): 48/54/90
- Ultra-lightweight support structure and cooling

10 m² active silicon area, 12.5×10⁹ pixels

more details on construction, installation and commissioning in Felix Reidt's seminar https://indico.cern.ch/event/1210704/





The ALPIDE chip: A Monolithic Active Pixel Sensor (MAPS) developed within the ITS2 project





Technology

- TowerJazz 180 nm CMOS Imaging Process
- High-resistivity (> $1k\Omega$ cm) p-type epitaxial layer (25 μ m) on p-type substrate
- Small n-well diode (2 µm diameter), ~100 times smaller than pixel (~30 µm)
 → low capacitance (~fF)
- Reverse bias voltage (-6 V < $V_{\rm BB}$ < 0 V) to substrate to increase depletion zone around NWELL collection diode
- Deep PWELL shields NWELL of PMOS transistors

Key features

- In-pixel amplification and shaping, discrimination and Multiple-Event Buffers (MEB)
- In-matrix data sparsification
- On-chip high-speed link (1.2 Gbps)
- Low total power consumption < 47 mW/cm²

ALPIDE chip used for many other applications: ALICE MFT, sPHENIX MVTX, etc...



ALPIDE performance — detection efficiency and fakehit rate



Availability and excellent support from test-beam facilities all over the world have been key for the development of this chip: BTF Frascati, CERN, DESY, LBNL, UC Louvain la Neuve, Pohang (Korea), Rez (Czech Republic), SLRI (Thailand)

ALICE



- 13 ITS First Level Processors (FLPs)
 - Online quality control tasks: hit occupancy and front-end electronics diagnostics.
- 350 Event Processing Nodes (total EPN from ALICE farm)
 - Online quality control tasks: reconstructed ITS2 tracks, clusters and decoding errors.
- Synchronous reconstruction, calibration and data compression (\rightarrow GPUs)
- Asynchronous stage: reconstruction with final calibration \rightarrow final Analysis Object Data (AOD)

Detector Control System (DCS) - a quick view



- User Interface developed in WinCC detector logic implemented in a Finite State Machine
- Detector operation, monitoring and archiving of detector data
- Deal with ~110000 data points (ITS only)
- \rightarrow typical monitoring frequency of 1 Hz
- Built as a hierarchical system (partitioned with system of locks) → ITS occupies a big slice of the ALICE hierarchy
- An independent safety system (ITS2S) interlocks power channels based on stave temperatures and cooling status



TTS_CARN_INFR

ITS2 calibration (1)

Main ITS calibration features:

- Masking of noisy pixels
- Tuning of in-pixel discriminating thresholds
- Optimise power supply voltage
- Measure on-chip temperatures

Threshold calibration of 24120 chips is challenging:

- Online on 40 EPNs in parallel
- ~1% pixels pulsed: ~252Ghits
- Threshold target: 100e⁻ (chip-to-chip RMS < 6 e⁻ ; on-chip RMS ~20 e⁻) -

Non-working pixels: ~0.2 %



THRESHOLDS



Thresholds stable during 2023 without retuning Fluctuations of 2-3 e⁻ due to optimizations of the voltage to chips

ITS2 calibration (2)





Noisy pixel definition:

- IB: occupancy > 10^{-2} hits/event
- OB: occupancy > 10⁻⁶ hits/event

Percentage of noisy pixels masked per stave is extremely small: ~0.02-0.03 ‰

Fake-hit rate trend during cosmic runs (tuned thresholds + noise masks): stable and < 10⁻⁶ hits/event/pixel (design requirement) by masking only ~0.03‰ of the pixels (15 pixels)

Preliminary performance

- ITS tracking: excellent performance with current detector alignment
 - Cellular automaton algorithm
 - Online tracking for quick QA of the data
 - Angular distribution of tracks of good quality \rightarrow good detector acceptance



 Online physics performance from QC through Λ and KO_s invariant mass peaks

 10^{3}

 10^{2}

Performance: impact parameter



Impact parameter resolution measured with Run3 pp data \rightarrow excellent performance

- About 2.5x improvement at $p_T = 500 \text{ MeV/c}$
- Detector alignment, space charge corrections and calibrations still continuously improving

mpact parameter resolution (µm) **ALICE** Performance Run 3 pp, $\sqrt{s} = 13.6$ TeV $|\eta| < 0.8$ 10³ ro-projection Run 3 data Run 2 data 10² 10^{-1} 10 $p_{_{T}}$ (GeV/c) ALI-PERF-558822

Global tracks with at least 1 hit in Inner Barrel (Run 3) or in the two innermost pixel layers (Run 2)

Performance: impact parameter



Impact parameter resolution measured with Run3 pp data \rightarrow excellent performance

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remaining ~20% discrepancy with MC







TPC



- A large tracking and PID device in the central barrel of ALICE
 - Cylindrical drift volume, 5 m long, 5 m diameter
 - Two sides, split by central drift electrode
 - 18 azimuthal sectors with readout chambers per side
 - ~100 us electron drift time for max. drift distance
- The past: MWPC readout until 2018
 - < 2 kHz event readout rate with Pb–Pb collisions</p>
- The present: Continuous readout
 - 50 kHz collision rate with the requirement to read out ALL min. bias Pb—Pb collisions
 - No dead time allowed, no triggering, no gating



The ALICE TPC



[ALICE TPC Collaboration – JINST 16 – 2021]



The past: Example heavy-ion collision. One triggered event

Run:280235 Timestamp:2017-10-12 20:57:22(UTC) Colliding system:Xe-Xe Energy: 5.44 TeV





The present: Continuous stream of overlapping heavy-ion collisions (simulation)

10 × TPC drift time (= 1 ms)





Simulated avalanche in a GEM hole

- GEM = Gas Electron Multiplier
- Stacks of 4 GEM foils
- 3 stacks for the large Outer ReadOut Chambers (OROC)
- 1 stack for the smaller Inner ReadOut Chambers (IROC)



Performance with optimised HV configuration

IBF = Ion BackFlow

 σ = energy resolution for ⁵⁵Fe



Schematic view of pad plane and 4-GEM stack GEMs 1 and 4: Standard large-area single-mask GEM foils GEMs 2 and 3: Large-pitch GEM foils

Highly optimized HV settings

ALICE

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2019: GEM stacks mirrored on aluminised central drift electrode before installation of last IROC



CAEN

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ON

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CFG COMMECTOR 1-3 SHIELD-AGHD 1-1 SHIELD-AGHD 1-1 SHIELD-AGHD 1-1 SHIELD-AGHD 1-1 SHIELD-AGHD 1-2 SHIELD-AGHD

DUAL PS



HV system

- Cascaded power supply units
- Designed for the operation of quadruple-GEM systems

CAEN A1515



• SAMPA ASIC

- 130 nm TSMC CMOS
- 32 channels with preamplifier, shaper, 10 bit ADC
- Continuous (or triggered) readout

• Front-End Cards (FECs)

- 5 SAMPA chips per FEC
- Continuous sampling at 5 MHz
- All ADC values read out
- Readout link: CERN GBT / Versatile link system
- 3276 FECs in total, 3.3 TB/s total data rate





TPC readout electronics

Noise on one side of TPC



Excellent mean noise: 670 e⁻ @18 pF





2020: After FEE and services installation

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Data processing chain



- Continuous readout
- CRU (FPGA-based readout cards) installed in the FLPs
 - Receive the data through 6552 optical links
 - Data processing (see next 3 slides) and reduction (zero suppression)
- Further data reduction in online farm



Common mode (CM) effect



- Capacitors in HV distribution often used to reduce CM effect
- But such capacitors would lead to potential problems with discharges
 - At high occupancy the CM signals from many tracks will superimpose and lead to a baseline shift



Ion tail!



Linear component due to marginal amplification in the induction gap (specific to our HV settings)



Online data processing in FPGAs

Building blocks of the data processing implemented in firmware in the CRU FPGAs

- Resync TTC PON Controller GBT Core - Decoder Offset 10 links Dense Packing Common Global Pedestal Threshold Threshold Mode x20 Alianer Subtraction Check Filter Check Filter Dense Packing Scaling Threshold 10 links PCIe CM Pedestal Threshold GBT Core - Decoder Parameters Values Values Factors Values Pattern Generator Processing Stage Packing Stage
- Additional tasks: data alignment, pedestal subtraction, zero suppression, efficient packing of the data

- The (negative) baseline shift due to the dommon mode effect is measured and removed
- The ion tail is removed as well



 With remaining ion back flow still considerable space charge distortions up to few cm



Distortions

- Correction using track interpolation (experience TOF from Runs 1 and 2)
- Calculate average distortion map which is slowly changing with collision rate
- In addition, fluctuations around the average distortions are important to reach intrinsic TPC resolution
- Fluctuations can be extracted by
 - integrating the ADC values over the ion drift time (Integrated Digital Currents) or by
 - measuring the analog currents at the GEM 4 top electrodes of all GEM stacks





Work in progress: Dist. calibrations

- Calibration of the space-charge distortions started using an analytical map
- With the envisaged interpolation method (here only ITS) the performance improves
- DCA_r = Distance of Closest Approach to beam line for reconstructed tracks
- Also time variations important







TPC performance

- TPC GEM upgrade successfully concluded. System behaving according to expectations
- The performance is according to expectations
- Remaining: Calibration of spacecharge distortions (electrostatic deflection of drifting electrons by ions present in the drift volume)



Summary

- The construction, commissioning, installation, and start of operation of the upgraded ALICE detector and data processing chain were successfully achieved
- ALICE employs GPUs heavily to speed up online and offline processing
 - 99% of synchronous reconstruction on GPUs
 - 60% of asynchronous processing on GPUs (2.5x speedup), will be ~80% in future (optimistic scenario)
- ITS2 shows an excellent performance in RUN 3
 - Based on ALPIDE pixel chip
 - Closer to beam pipe, reduced material budget, reduced pixel size
- The upgraded TPC is ready for Pb-Pb collisions in October!
 - Continuous readout
 - Ion backflow suppression built into GEM chambers
 - Extensive data processing and reduction in FPGAs
 - Distortions due to remaining space charge under control
- We're looking forward to the starting of the Pb-Pb collisions

back up

Impact parameter

