

# Neutrino flavor oscillations in supernovae

Meng-Ru Wu (Institute of Physics, Academia Sinica)

The International Joint Workshop on the Standard Model and Beyond 2024  
3rd Gordon Godfrey Workshop on Astroparticle Physics  
December 9–13, 2024, UNSW, Sydney, Australia



中央研究院物理研究所  
INSTITUTE OF PHYSICS, ACADEMIA SINICA



 **NSTC** 國家科學及技術委員會  
National Science and Technology Council

**NCTS**

## Outline of this talk

- Introduction & motivation
- Quantum kinetic transport & collective neutrino fast flavor conversions (FFC)
- FFC in a local sub-grid: depolarization & coarse graining
- Global simulations of FFC and effective model

MRW, M. George, C.-Y. Lin, Z. Xiong, 2108.09886

Z. Xiong, MRW, S. Abbar, et al, 2307.11129

Z. Xiong, MRW, M. George, et al, 2402.19252

Z. Xiong, MRW, M. George, C.-Y. Lin, 2403.17269

## Core-collapse supernovae (SNe)

- the death of massive stars  $\gtrsim 8 M_{\odot}$
- important multimessenger source  
( $E_{\gamma} \sim 10^{49}$  erg,  $E_{\text{expl}} \sim 10^{51}$  erg,  
 $E_{\nu} \sim 10^{53}$  erg,  $E_{\text{GW}} \sim 10^{45-48}$  erg)
- producing nature's elements after BBN
- excellent “astro lab” to probe fundamental physics:



© Australian Astronomical Observatory

# Core-collapse supernovae (SNe)

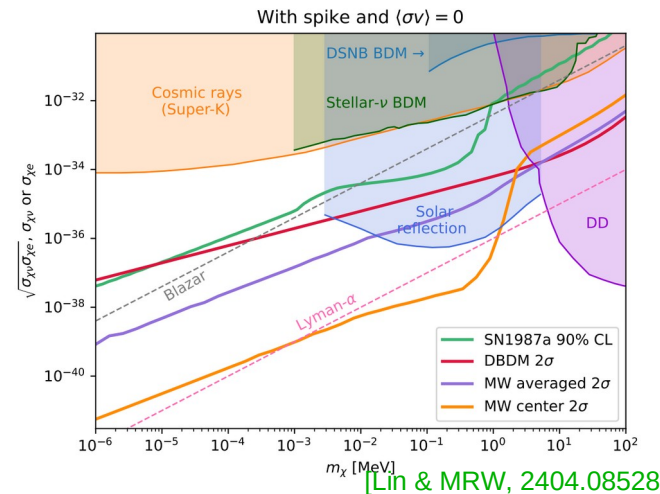
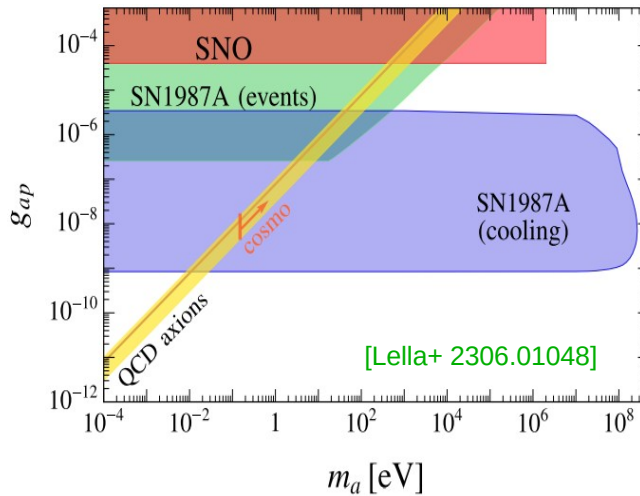
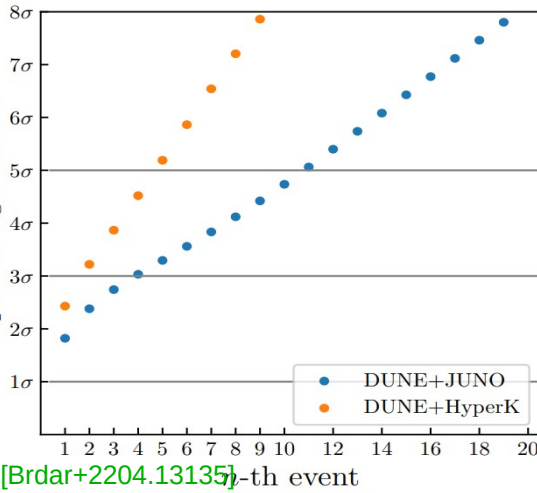
- the death of massive stars  $\gtrsim 8 M_{\odot}$
- important multimessenger source  
 $(E_{\gamma} \sim 10^{49} \text{ erg}, E_{\text{expl}} \sim 10^{51} \text{ erg},$   
 $E_{\nu} \sim 10^{53} \text{ erg}, E_{\text{GW}} \sim 10^{45-48} \text{ erg})$
- producing nature's elements after BBN
- excellent “astro lab” to probe fundamental physics:

$\nu$  mass ordering; absolute  $\nu$  mass; light sterile  $\nu$ ; non-standard  $\nu$  interaction; light bSM particle emission;  $\nu$ -DM interaction; ...

(From AAO website) SN1987a



For axion-like particles



[Lin & MRW, 2404.08528] (see Yen-Hsun Lin's talk on Tuesday)

Need robust theory prediction for  $\mathcal{O}(10^4)$   $\nu$  events from the next galactic SN

# SN explosion and $\nu$ transport

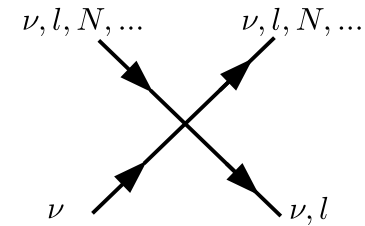
In SN explosions:  $E_\nu \sim E_{G,NS} \sim 10^{53} \text{ erg} \gg E_{\text{expl}} \sim 10^{51} \text{ erg}$

→ a few percent of neutrino energy deposition is enough to deliver the explosion

→ needs to model the neutrino transport accurately

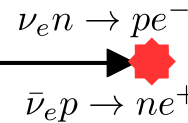
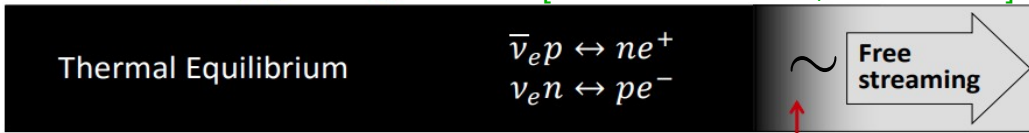
State-of-the-art general relativistic (magneto-) hydrodynamic simulations of supernovae include approximate treatment of **classical Boltzmann transport of neutrinos**

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}} + \mathbf{F} \cdot \partial_{\mathbf{p}}) f_{\nu_\alpha}(\mathbf{x}, \mathbf{p}, t) = \mathcal{C}$$



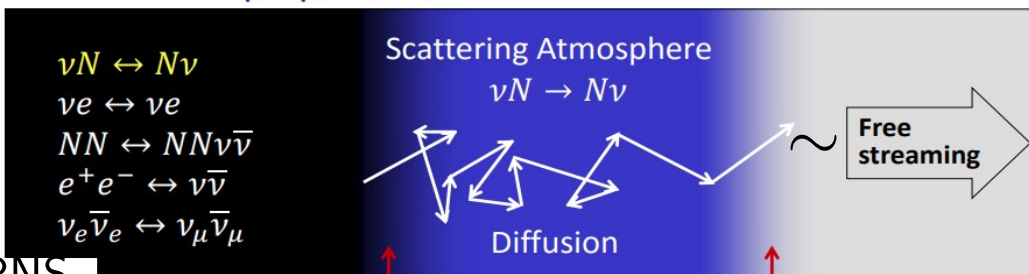
**Electron flavor ( $\nu_e$  and  $\bar{\nu}_e$ )**

[Janka 1702.08713, Raffelt 2012]



$\nu_e$  and  $\bar{\nu}_e$  dominate the energy deposition to the shock

**Other flavors ( $\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$ )**



**Neutrino sphere (lower temperature)**

**Energy sphere (higher temperature)**

**Transport sphere**

**shock**

PNS center

# SN explosion and $\nu$ transport

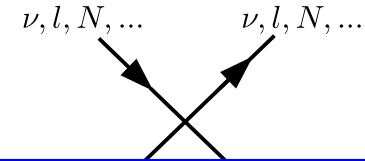
In SN explosions:  $E_\nu \sim E_{G,NS} \sim 10^{53} \text{ erg} \gg E_{\text{expl}} \sim 10^{51} \text{ erg}$

→ a few percent of neutrino energy deposition is enough to deliver the explosion

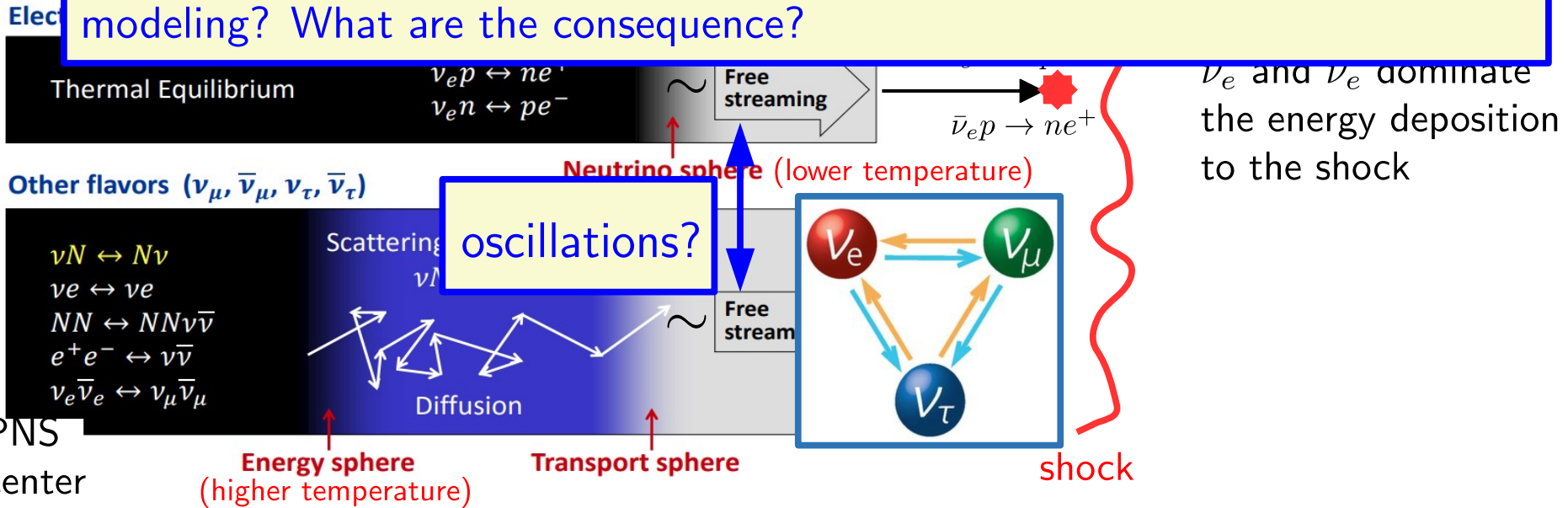
→ needs to model the neutrino transport accurately

State-of-the-art general relativistic (magneto-) hydrodynamic simulations of supernovae include approximate treatment of **classical Boltzmann transport of neutrinos**

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}} + \mathbf{F} \cdot \partial_{\mathbf{p}}) f_{\nu_\alpha}(\mathbf{x}, \mathbf{p}, t) = \mathcal{C} + ?$$



Are flavor oscillations important? How to include them in theoretical modeling? What are the consequence?

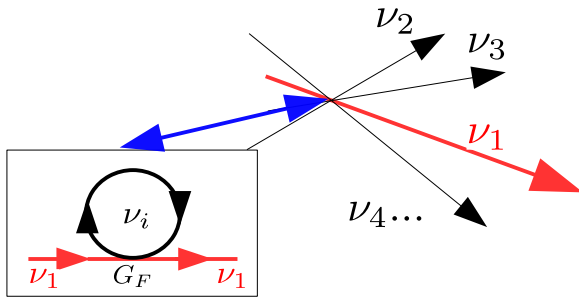


# Collective fast neutrino oscillations

Oscillations (quantum nature of  $\nu$ )  $\rightarrow$  density matrix  $\varrho(t, \mathbf{x}, \mathbf{p}) = \begin{bmatrix} f_{\nu_e} & \varrho_{e\mu} & \varrho_{e\tau} \\ \varrho_{e\mu}^* & f_{\nu_\mu} & \varrho_{\mu\tau} \\ \varrho_{e\tau}^* & \varrho_{\mu\tau}^* & f_{\nu_\tau} \end{bmatrix}$

$\rightarrow$  requires solving **neutrino quantum kinetic equation ( $\nu$ QKE)** (extended Boltzmann equation):

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}} + \mathbf{F} \cdot \partial_{\mathbf{p}}) \varrho(\mathbf{x}, \mathbf{p}, t) = -i[H_{\text{vac}} + H_{\text{m}} + H_{\nu\nu}, \varrho(\mathbf{x}, \mathbf{p}, t)] + \mathcal{C}(\varrho)$$



$$H_{\nu\nu}(\mathbf{x}, \mathbf{p}, t) = \frac{\sqrt{2}G_F}{(2\pi)^3} \int d^3q (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{q}}) [\varrho - \bar{\varrho}^*]$$

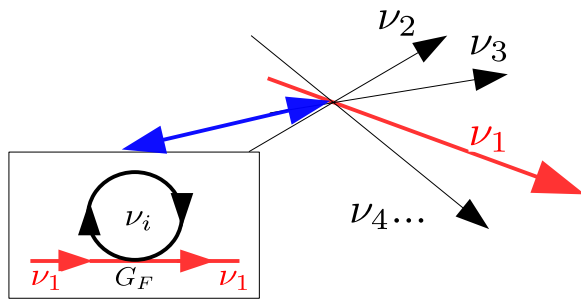
$\rightarrow$  a new scale associated with  $H_{\nu\nu}$ ,  $\sim \sqrt{2}G_F n_\nu \gtrsim \mathcal{O}(1) \text{ cm}^{-1}$

# Collective fast neutrino oscillations

Oscillations (quantum nature of  $\nu$ )  $\rightarrow$  density matrix  $\varrho(t, \mathbf{x}, \mathbf{p}) = \begin{bmatrix} f_{\nu_e} & \varrho_{e\mu} & \varrho_{e\tau} \\ \varrho_{e\mu}^* & f_{\nu_\mu} & \varrho_{\mu\tau} \\ \varrho_{e\tau}^* & \varrho_{\mu\tau}^* & f_{\nu_\tau} \end{bmatrix}$

$\rightarrow$  requires solving **neutrino quantum kinetic equation ( $\nu$ QKE)** (extended Boltzmann equation):

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}} + \mathbf{F} \cdot \partial_{\mathbf{p}})\varrho(\mathbf{x}, \mathbf{p}, t) = -i[H_{\text{vac}} + H_{\text{m}} + H_{\nu\nu}, \varrho(\mathbf{x}, \mathbf{p}, t)] + \mathcal{C}(\varrho)$$



$$H_{\nu\nu}(\mathbf{x}, \mathbf{p}, t) = \frac{\sqrt{2}G_F}{(2\pi)^3} \int d^3q (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{q}}) [\varrho - \bar{\varrho}^*]$$

$\rightarrow$  a new scale associated with  $H_{\nu\nu}$ ,  $\sim \sqrt{2}G_F n_\nu \gtrsim \mathcal{O}(1) \text{ cm}^{-1}$

- $G_F n_\nu \gg \delta m^2 / (2E_\nu) \rightarrow$  “strong” coupling in flavor space  $\rightarrow$  collective system
- $(G_F n_\nu)^{-1} \ll \tau_{\text{hydro}}, \tau_{\text{advection}}, \tau_{\text{collision}}$  and the associated length scales

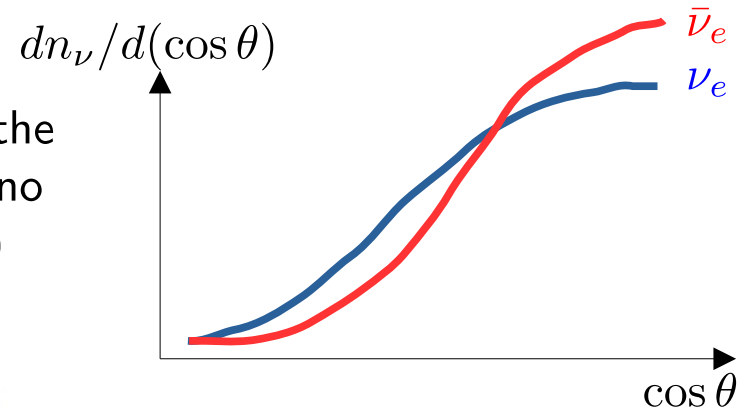
Can flavor oscillations of such a time scale happen? If so, does it require ultra-high spatial resolution (sub-cm) and tiny time step (sub-ns) to fully solve  $\nu$ QKE?



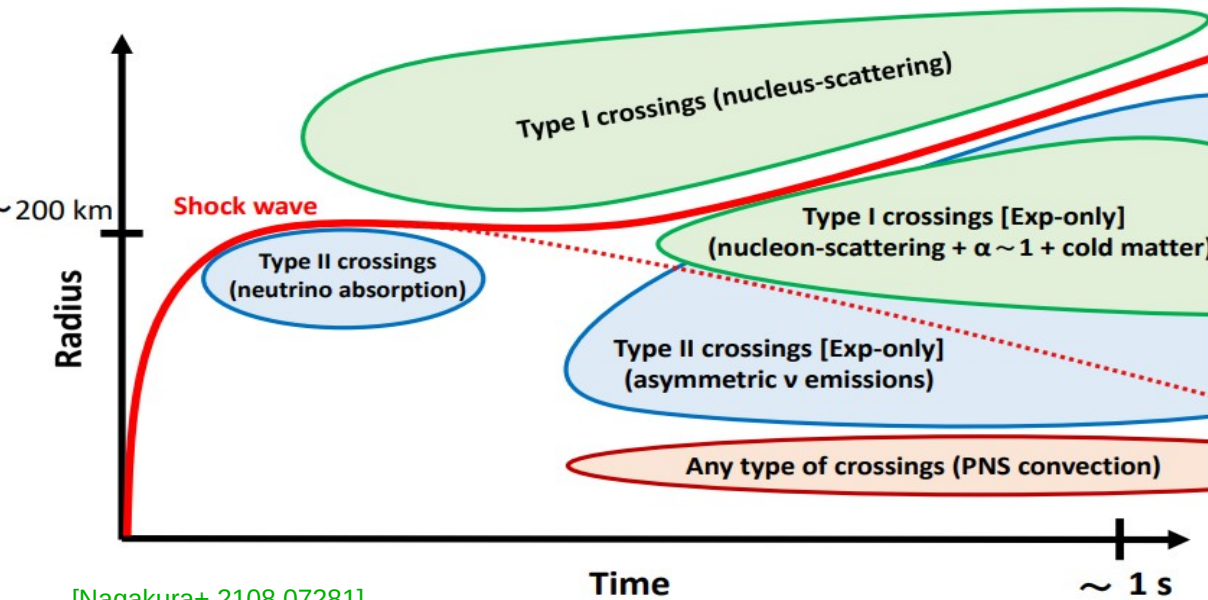
# Fast flavor conversions (FFC)

Fast flavor conversions can occur at regions where the “ $e - \mu$  lepton number crossing” exists in the neutrino angular distribution, causing  $\nu_e \leftrightarrow \nu_{\mu,\tau}$  ( $\bar{\nu}_e \leftrightarrow \bar{\nu}_{\mu,\tau}$ )

[Sawyer+, Izaguirre+, Dasgupta+,...many others]



Space-time diagram of ELN-angular crossings in CCSNe



[Nagakura+ 2108.07281]

(based on simulation results w/o oscillations)

→ generally happens in regions below the shock, not only affect  $\nu$  signals, but also the shock revival, i.e., the SN dynamics [see e.g., Ehring+ 2305.11207]

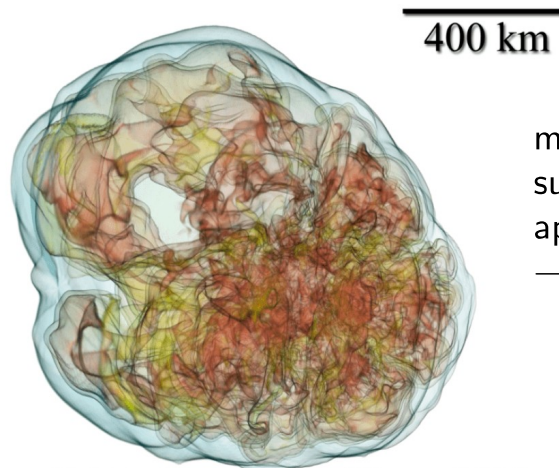
→ CANNOT avoid dealing with flavor oscillations in modeling supernovae

# A big challenge in practice

Can we directly solve the extended  $\nu$  transport equation in supernova simulations?

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}} + \mathbf{F} \cdot \partial_{\mathbf{p}})\varrho(\mathbf{x}, \mathbf{p}, t) = -i[H_{\text{vac}} + H_m + H_{\nu\nu}, \varrho(\mathbf{x}, \mathbf{p}, t)] + \mathcal{C}(\varrho)$$

Unfortunately this is NOT possible as it'd require resolving length scale of sub-centimeters

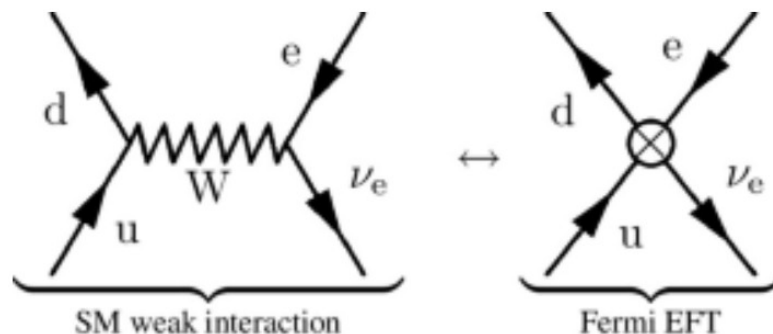


**C15-3D** Time = 345.1 ms  
[From Exascale Computing Project website]

multi-dimensional +  
sub km spatial resolution +  
approximate  $\nu$  transport  
→  $\approx$  millions CPU hours

Can we leverage the scale separation nature of the problem?

→ needs to develop effective (sub-grid) model

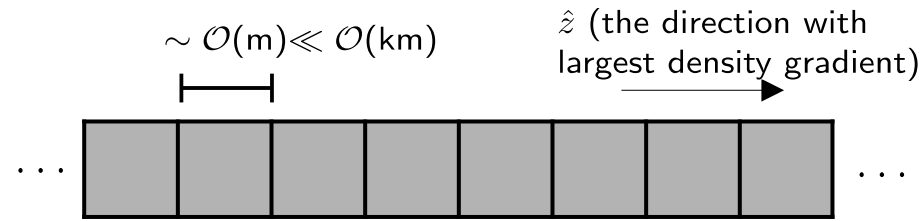


[From Toni Mäkelä]

## Fast flavor conversion (FFC) within a sub-grid

Assuming within length scale  $\ll \mathcal{O}(\text{km})$  the system is nearly homogeneous, one may use periodic boundary condition to study how fast flavor conversions evolves within the box mimicking a tiny volume inside a supernova

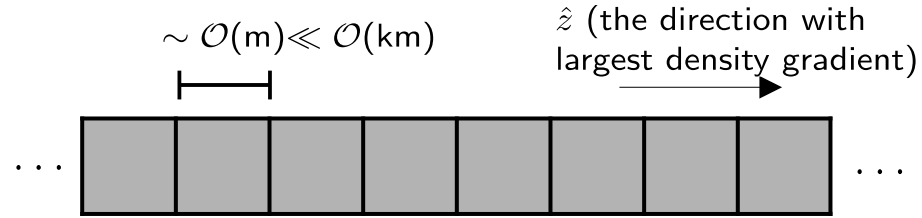
[Bhattacharyya+, Richers+, MRW+, Martin+; see Richers+ 2205.06282 for detailed comparison of simulation results]



# Fast flavor conversion (FFC) within a sub-grid

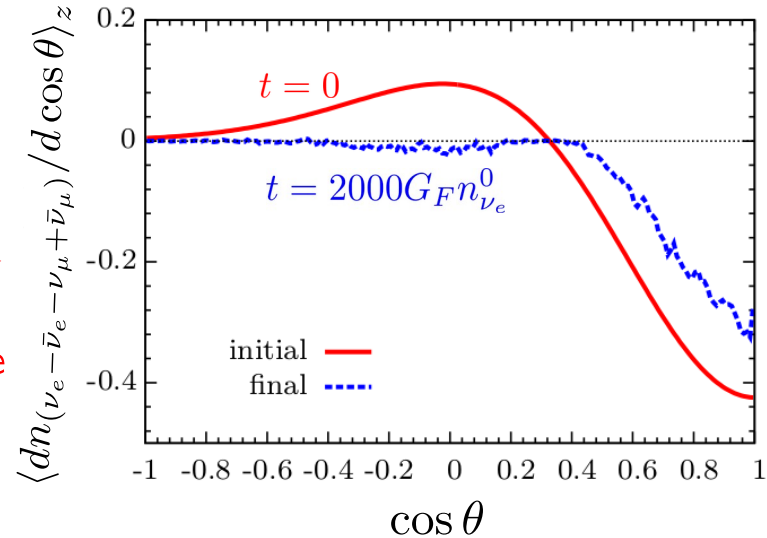
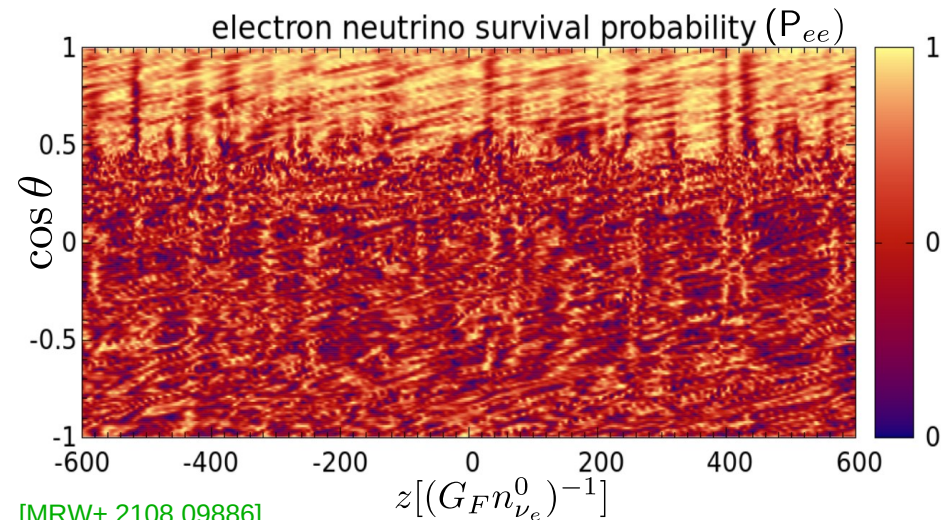
Assuming within length scale  $\ll \mathcal{O}(\text{km})$  the system is nearly homogeneous, one may use periodic boundary condition to study how fast flavor conversions evolves within the box mimicking a tiny volume inside a supernova

[Bhattacharyya+, Richers+, MRW+, Martin+; see Richers+ 2205.06282 for detailed comparison of simulation results]



→ FFC occurs and drives the system evolving toward a quasi-stationary state

→ In the quasi-stationary state, “flavor depolarization” happens, leading to coarse-grained flavor equipartition on one side of the  $e - \mu$  crossing



$$\left\langle \frac{dn_{\nu_e}}{d \cos \theta} \right\rangle_z \simeq \left\langle \frac{dn_{\nu_\mu}}{d \cos \theta} \right\rangle_z, \quad \left\langle \frac{dn_{\bar{\nu}_e}}{d \cos \theta} \right\rangle_z \simeq \left\langle \frac{dn_{\bar{\nu}_\mu}}{d \cos \theta} \right\rangle_z \quad \text{for } \cos \theta \lesssim 0.35$$

[MRW+ 2108.09886]

# Fast flavor conversion (FFC) within a sub-grid

Taking periodic boundary condition, the equation of motion demands the conservation of the  $e - \mu$  lepton number in the entire simulation domain:

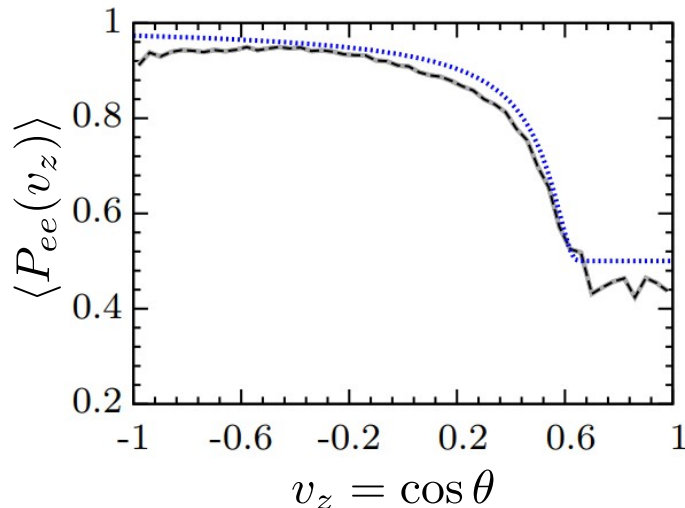
$$\int d(\cos \theta) \langle dn_{(\nu_e - \bar{\nu}_e - \nu_\mu + \bar{\nu}_\mu)} / d \cos \theta \rangle_z = \text{constant}$$

One can analytically parametrize the coarse-grained survival probability function  $\langle P_{ee} \rangle$  as

– on the flavor-equilibration side:  $P_{ee} = 0.5$

– on the other side:  $P_{ee}(v_z) = 1 - 0.5h(|v_z - v_c|/a)$ , where  $h(x) = (x^2 + 1)^{-1/2}$

[Xiong+ 2307.11129]



→ gives  $\lesssim 3\%$  errors in angular moments when averaged over  $\sim 10^4$  different initial conditions

# Beyond sub-grid – FFC in global transport

Although it is not possible to fully solve  $\nu$ QKE with required resolution, one can **artificially quench**  $H_{\nu\nu}$  to solve the  $\nu$ QKE with static SN profiles

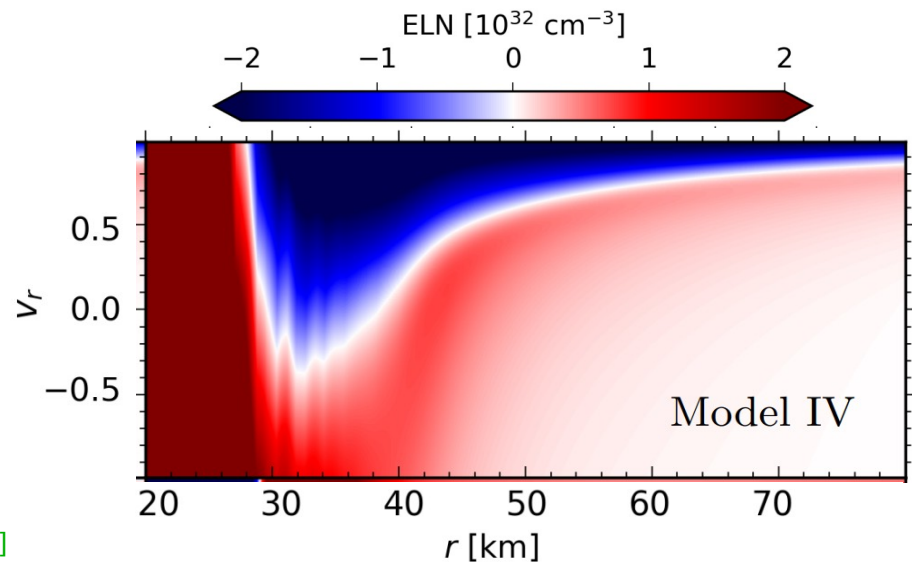
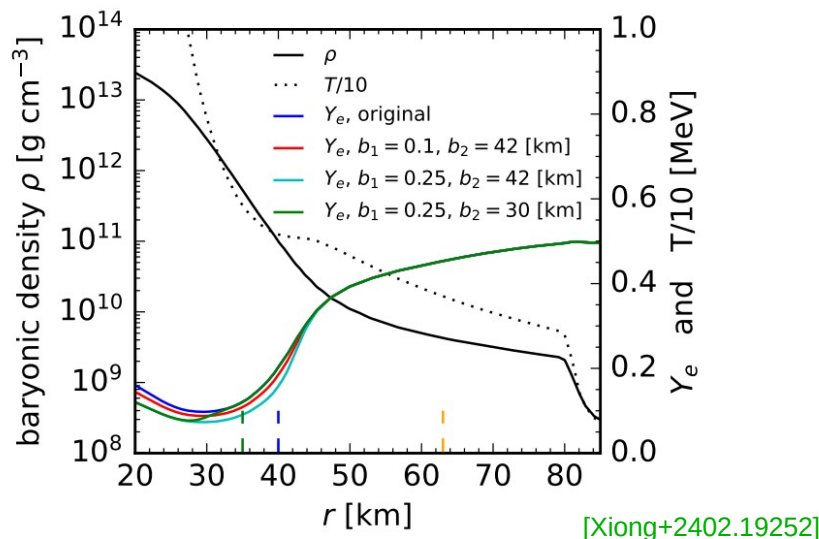
– Take SN matter background profiles ( $\rho_b, T, Y_e, \dots$ ) from spherically symmetric SN simulations

– Solve classical neutrino transport equations to obtain neutrino distributions without considering flavor oscillations

$$(\partial_t + v_r \partial_r + \frac{1-v_r^2}{r} \partial_{v_r}) f_\nu = \mathcal{C}$$

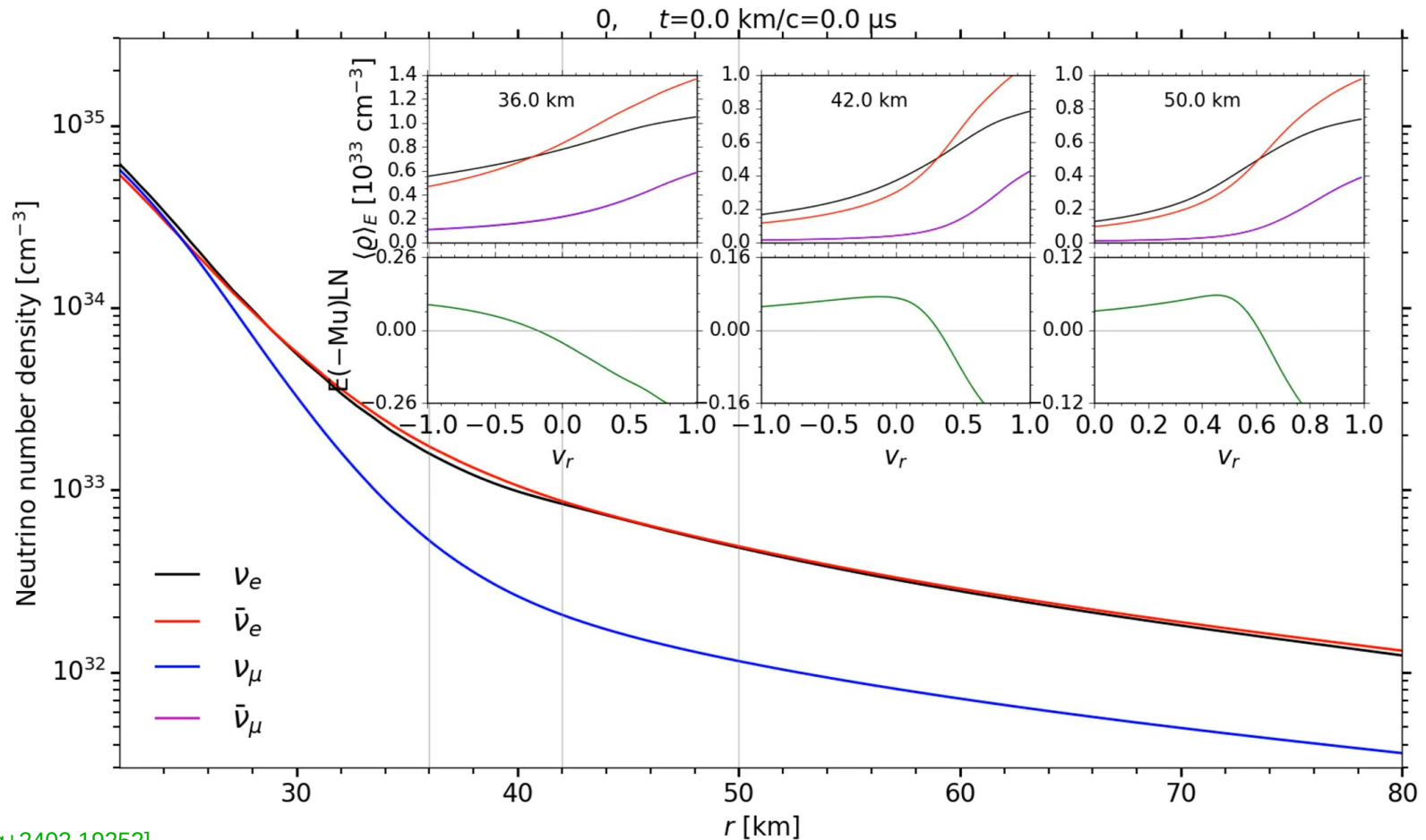
– Use the above steady-state solution as initial condition for  $\nu$ QKE simulations

$$(\partial_t + v_r \partial_r + \frac{1-v_r^2}{r} \partial_{v_r}) \varrho_\nu = -i[H_{\text{vac}} + H_m + a H_{\nu\nu}, \varrho_\nu] + \mathcal{C} \text{ with } a \sim 10^{-3}$$



# Beyond sub-grid – FFC in global transport

- FFC occurs promptly and erase  $e - \mu$  crossings across all radii
- neutrino emissions/absorptions inside the neutrinosphere replenish  $\nu_e$  and  $\bar{\nu}_e$ , driving gradual evolution of  $e - \mu$  distributions, but no new  $e - \mu$  crossings appear



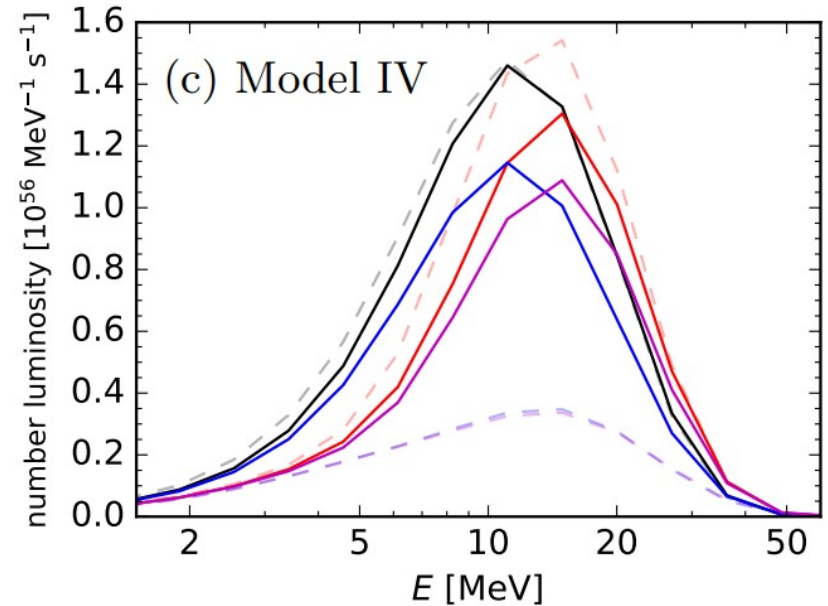
# Beyond sub-grid – FFC in global transport

- Globally FFC reduces the amount  $\nu_e$  and  $\bar{\nu}_e$ , while enhances that of heavy-lepton flavors

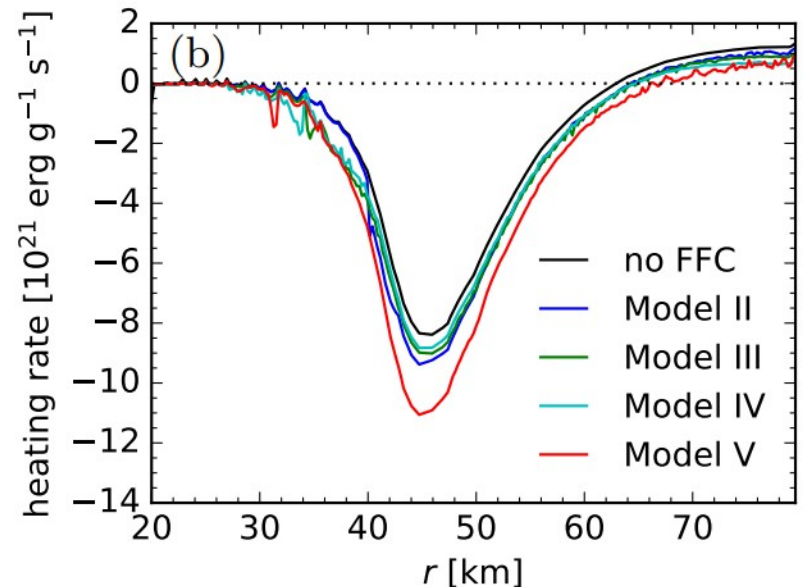
→ emerging neutrino energy spectra of different flavors become more similar

- reduce the net-heating rate between the  $\nu$ -sphere and shock

→ the exact consequence can only be understood when FFC is implemented consistently in SN simulations



[Xiong+2402.19252]



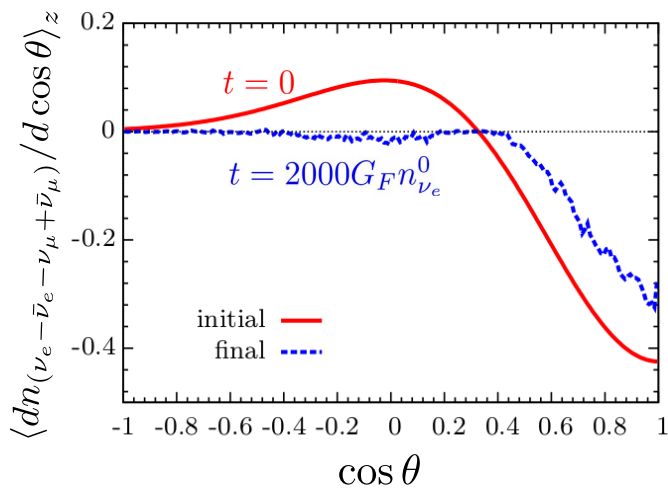


# Effective classical transport model including FFC

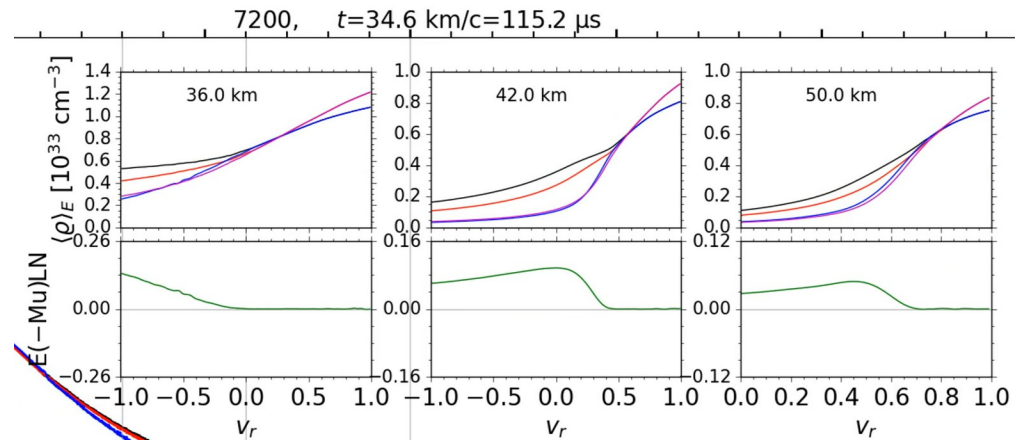
(i) local periodic box simulations suggest the system quickly relaxed to coarse-grained stationary state, where the initial  $e - \mu$  angular crossings are erased and can be parametrized analytically

(ii) global simulations seem to observe similar outcome across the simulation domain, with feedback effect coming from collisions that keep driving the flavor evolution

If we implement the analytical prescriptions learned from (i) into global classical transport simulations at everywhere that contains a  $e - \mu$  crossing, can it work well as an effective model?



?



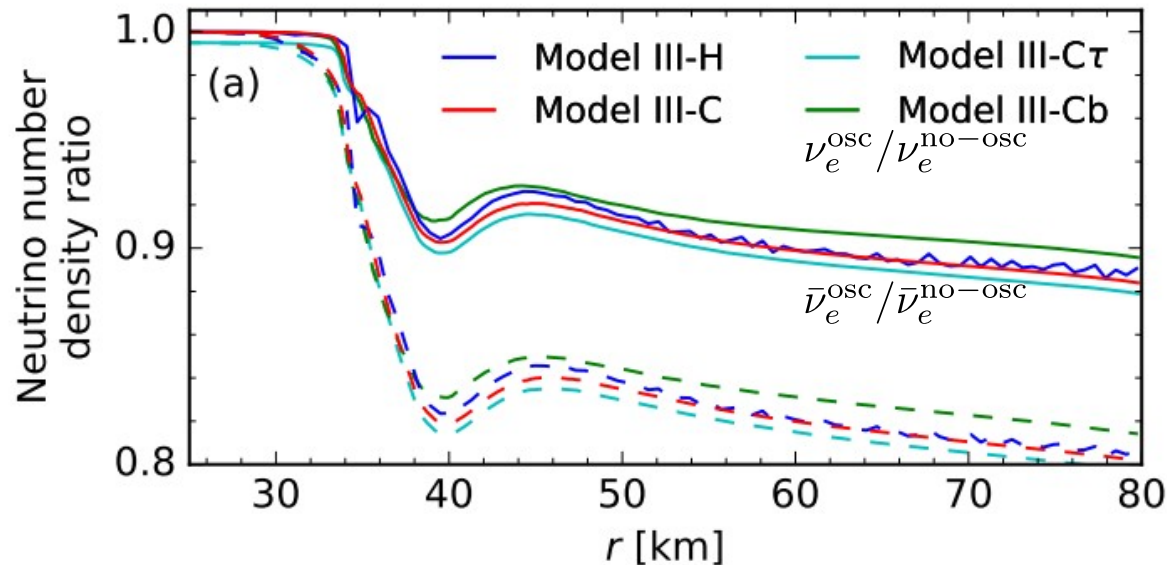
# Effective classical transport model including FFC

- **Model III-H:** solves  $(\partial_t + v_r \partial_r + \frac{1-v_r^2}{r} \partial_{v_r}) \varrho_\nu = -i[H_{\text{vac}} + H_m + H_{\nu\nu}, \varrho_\nu] + \mathcal{C}$

$$H_{\nu\nu}(\mathbf{x}, \mathbf{p}, t) = \frac{\sqrt{2}G_F}{(2\pi)^3} \int d^3q (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{q}}) [\varrho - \bar{\varrho}^*], \quad \varrho(t, \mathbf{x}, \mathbf{p}) = \begin{bmatrix} f_{\nu_e} & \varrho_{e\mu} & \varrho_{e\tau} \\ \varrho_{e\mu}^* & f_{\nu_\mu} & \varrho_{\mu\tau} \\ \varrho_{e\tau}^* & \varrho_{\mu\tau}^* & f_{\nu_\tau} \end{bmatrix}$$

- **Model III-C:** solves  $(\partial_t + v_r \partial_r + \frac{1-v_r^2}{r} \partial_{v_r}) f_\nu = \mathcal{C}$  with:

$f_\nu$  replaced by  $f_\nu^{\text{eq}}$  computed using the analytical formula at each time and location where  $e - \mu$  crossing is found



The effective model takes  
 $\sim 100$  less radial grids  
 $\sim 10$  bigger time step size  
 $\rightarrow$  speed-up factor  $\gtrsim 10^3$

a promising step toward fully including FFC in SN simulations!

## Summary & outlook

- The collective flavor oscillations of neutrinos in SNe is a challenging issue that needs to be taken care of in SN modeling. In particular, fast flavor conversions (FFC) can happen deep inside a SN and can affect its evolution.
- Studies performing “local” simulations within a “sub-grid” found that the FFC evolves to a quasistationary state such that the  $e - \mu$  lepton number angular crossing is erased in a coarse-grained sense. The outcome can be parametrized by analytical formulas subject to the conservation law.
- Global  $\nu$ QKE transport simulations found similar features:  $e - \mu$  crossings are erased, with important collisional feedback that continues to drive the evolution of the system.
- Effective classical transport models that utilize the scale separation nature of the problem can robustly reproduce the outcome from solving the global  $\nu$ QKE. This is done by implementing the coarse-grained outcome learned from the local sub-grid simulations in global classical transport simulations. This method adds very little computational burden to classical transport, suggesting that it may be directly implemented in SN simulations.

## Summary & outlook

- for general cases without imposed symmetries? [\[George+ 2409.08833\]](#)
- does it work without knowing the full angular distribution of neutrinos?  
[\[Abbar+2311.15656, 2401.17424\]](#)
- limitation of the effective models? Do the separation of scales and coarse-graining always work?
- “thermodynamics” of neutrino oscillations? [\[Johns 2306.14982\]](#)