Progress on modeling atmospheric leptons at the surface and underground using MCEq; and CHROMO

Anatoli Fedynitch

High-Energy Theory Group, Institute of Physics, Academia Sinica, Taipei

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Overview

- New applications of the Matrix-Cascade Equations Code (MCEq)
 - High-precision atmospheric lepton flux model DAEMONFLUX (w/ Juan Pablo Yanez Garza)
 - Deep underground muon using MUTE (w/ William Woodley and Marie-Cecile Piro)
 - 2D MCEq (w/ Tetiana Kozynets and J. Koskinen) (see PRD 108 (2023) or 2306.15263)
- CHROMO: The Cosmic ray and HadROnic interaction Monte-carlo frontend (w/ Anton Prosekin and Hans Dembinski)



What is MCEq?

- 1. Open-source iterative cascade equation solver
- 2. Cascade equations = transport equations (solved by CORSIKA using a Monte Carlo method)
- 3. Mainly used in atmospheric lepton and neutrino telescope community
- 4. Potentially interesting for
 - Atmospheric leptons > 1 GeV
 - Underground muons
 - Cascade eqn. solver in CORSIKA8
 - Air shower & cosmic ray "theory"
 - Beyond standard model/Pheno
 - Astrophysics



Transport equations (hadronic cascade equations) in 1D



MCEq vs CORSIKA8 particle spectrum (for average air shower)

R. Ulrich et al. for C8 Coll. PoS(ICRC 2021) 474





Available models

Hadronic interaction models are:

- SIBYLL*
- SIBYLL-2.3c/d + 2.1
- EPOS-LHC
- QGSJet-II-03/-04
- QGSJet-01c
- DPMJET-III-3.0.6
- DPMJET-III-19.1/-3
- FLUKA (work in progress)
- UrQMD (not public)
- Pythia 8 (not public)

Cosmic ray flux models distributed in <u>an independent</u> <u>crflux module</u>.



Atmosphere models from

- CORSIKA7 (multiple locations)
- NRLMSISE-00 (global, "static")
- Some special cases and interface to tabulated atm.

The comparisons with surface muon fluxes were known to undershoot data



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...even when building a hadronic interaction model directly from NA49/61 data (DDM)

See AF & M. Huber, PRD, arXiv:2205.14766

daemonflux: Data-driven muon-calibrated neutrino flux



Experiment	Energy (GeV)	Measurements	Unit	Systematics	Location	Altitude	Zenith range
BESS-TeV [44]	0.6-400	Φ_{μ}	p_{μ}	С	36.2°N, 140.1°W	30 m	$0-25.8^{\circ}$
CMS [45]	5-1000	R_{μ^+/μ^-}	p_{μ}	\mathbf{Q}	$46.31^{\circ}N, 6.071^{\circ}E$	420 m	$p\cos heta_z$
L3+C [46]	20-3000	$\Phi_{\mu}, R_{\mu^+/\mu^-}$	p_{μ}	\mathbf{C}	$46.25^{\circ}N, 6.02^{\circ}E$	$450 \mathrm{m}$	$0-58^{\circ}$
DEIS [47]	5-10000	Φ_{μ}	p_{μ}	\mathbf{Q}	$32.11^{\circ}N, 34.80^{\circ}E$	$5 \mathrm{m}$	$78.1-90^{\circ}$
MUTRON [48]	80-10000	R_{μ^+/μ^-}	p_{μ}	\mathbf{Q}	$35.67^{\circ}N, 139.70^{\circ}E$	$5 \mathrm{m}$	$87-90^{\circ}$
MINOS [49]	1000-7000	R_{μ^+/μ^-}	E_{μ}	\mathbf{C}	$47.82^{\circ}N, 92.24^{\circ}W$	$5 \mathrm{m}$	unfolded
OPERA [50]	891-7079	R_{μ^+/μ^-}	E_{μ}	Q	$42.42^{\circ}N, 13.51^{\circ}E$	$5 \mathrm{m}$	$E\cos heta^*$

See also Albrecht et al., Muon Puzzle review, 2105.06148

Hadron production phase space seen by neutrino detectors

AF & M. Huber, arXiv:2205.14766

- Oscillation target energies covered by data from fixed target experiments
- IceCube energies not well covered by accelerator data
- LHC energies too high
- Shared production phase-space for parent mesons of muons and neutrinos
- Optimizal description of atm. Muon data → imporved atm. neutrinos



DeepCore : tracks, E_{reco} < 60 GeV (osc.) IceCube Northern Tracks (muon neutrinos)

See also Albrecht et al., Muon Puzzle review, 2105.06148

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Integrated muon flux at the surface: E > 40 GeV

Integrated muon flux at the surface: E > 1 TeV

Select data & test compatibility



• Fit spline in common zenith band with the only requirement that flux has to be smooth. Fit systematic corrections.



- **Exclude experiment**s, which either are
 - not mutually compatible, or
 - statistically not significant
- or
 - AMS (unpublished PhD thesis)
 - MARS (no competition to BESS)
 - MUTRON (unclear systematics)
 - DEIS (formally OK, but induces strange pulls)

Resulting muon fluxes and cross-calibrated data



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SIBYLL* vs data-driven muon-calibrated model (daemonflux)

F. Riehn, AF, R. Engel, Astropart. Phys. 2024

Daemonflux vs models underground and underwater data



F. Riehn, AF, R. Engel, Astropart. Phys. 2024

High energy constraints from underground μ ?

W. Woodley (UofA), TeVPa 2022



W. Woodley, AF, M.C. Piro, submitted to PRD, (2024) 2406.10339

Relation of depth to surface and CR energy



MUTE v1: Studying vertical-equivalent muon intensities

AF, W. Woodley, M.-C. Piro, ApJ 928 27 (2022)



- Labs under mountains correct or "unfold" the data to equivalent depths
- \rightarrow not very direct measurement

MUTE v2-3: Muon flux for labs under mountains

$$\Phi^{u} = \iint_{\Omega} I^{u}(X(\theta, \phi), \theta) \mathrm{d}\Omega.$$



Angular dependence in LVD (Gran Sasso)



W. Woodley, AF, M.C. Piro, submitted to PRD, (2024) 2406.10339

Calculation of total muon fluxes

• Need to model chemical composition

- Data has to be corrected from multiple muon hits
- Overburden uncertainties complicated (often underestimated)
- Factoring in everything -> daemonflux is compatible < 6 km.w.e
- At large depths (~10-50 TeV @ surface) muon fluxes somewhat overestimated

Daemonflux at the surface



Calculation of total muon fluxes

SIBYLL 2.3d at the surface

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Open-source code developed together with: Hans Dembinski, Anton Prosekin and others

Cosmic ray and HadROnic interaction Monte-carlo frontend

- Python frontend to generators written in Fortran & C++
 - DPMJet-III*, PhoJet*, EPOS-LHC, Pythia-6.4, Pythia-8.3, QGSJet*, QGSJet- II*, SIBYLL*, • SOPHIA, UrQMD 3.4 (* = several versions)
 - Use as Python library or command-line interface
- Open source development on Github
 - https://github.com/impy-project/chromo
 - BSD 3-clause license, contributions welcome ٠
- Main authors
 - Anatoli Fedynitch (project lead), Hans Dembinski, Anton Prosekin
- Available on PyPI
 - Authors already use it for science projects ٠
 - pip install chromo to install •
 - For installation from source, see **README.md**





See for more details A. Prosekin's talk at the "Workshop on the tuning of hadronic interaction models" in Wuppertal 24

Supported models

DPMJET Models :	PYTHIA Models :	QGSJet Models :	SIBYLL Models :	Other Models:
 DPMJET-III 3.0.6 PHOJET 1.12-35 DPMJET-III 19.1 PHOJET 19.1 DPMJET-III 19.3 PHOJET 19.3 	PYTHIA 6.4PYTHIA 8.3	 QGSJet-01 QGSJet-II-03 QGSJet-II-04 	 SIBYLL-2.1 SIBYLL-2.3 SIBYLL-2.3c SIBYLL-2.3d SIBYLL* 	 EPOS-LHC SOPHIA 2.0 UrQMD 3.4 FLUKA (in progress)

MCEq matrices are calculated using this code.

Main components

- Core library:
 - python scripts
 - jupiter notebooks
- Command line interface (CLI):
 - pipeline with other programs
 - drop-in substitution CRMC
- Writers (Formatted I/O representation of events)
 - SVG
 - Hepmc
 - Root



Events accessible directly in Python and in various common formats

• Writer is abstract class for wrapper classes over libraries that write to the corresponding formats



Performance: CHROMO vs CRMC

- Python code "glue" fast compiled libraries written in Fortran/C++
- Runtime is limited below by the runtime of Fortran/C++ code performance of wrapped event generator
- NumPy array view (pointers) into hepevt common block if possible
- Avoid copy and hot Python loops
- Buffering of output
- Further optimization: put all heavy lifting of EventKinematics into C++ code

Cosmic Ray Monte Carlo Package, CRMC

Ulrich, Ralf¹ (D; Pierog, Tanguy¹ (D; Baus, Colin¹



CHROMO is built for simplicity in application

Installation

(env_test) -bash-4.2\$ pip install chromo

Collecting chromo

Downloading chromo-0.4.0-cp39-cp39-manylinux_2_17_x86_64.manylinux2014_x86_64.whl (23.7 MB) _______ 23.7/23.7 MB 4.7 MB/s eta 0:00:00

Installing collected packages: chromo Successfully installed chromo-0.4.0

Typical workflow

from chromo.kinematics import CenterOfMass
from chromo.models import EposLHC

kinematics = CenterOfMass(100, "p", "p")
event_generator = EposLHC(kinematics)

for event in event_generator(1000):
 # process the result of the collision
 # represented by 'event' object

And that's it: no compilation, no Fortran, and no specific knowledge required.

Event under the hood

class EventData:

```
.....
```

Data structure to keep filtered data.

generator: Tuple[str, str] kin: EventKinematics nevent: int impact parameter: float n wounded: Tuple[int, int] pid: np.ndarray status: np.ndarray charge: np.ndarray px: np.ndarray py: np.ndarray pz: np.ndarray en: np.ndarray m: np.ndarray vx: np.ndarray vy: np.ndarray vz: np.ndarray vt: np.ndarray mothers: Optional[np.ndarray] daughters: Optional[np.ndarray] **Event properties**

class EventData:

```
@property
def p_tot(self):
    """Return total momentum in
GeV/c."""
```

@property
def eta(self):
 """Return pseudorapidity."""

@property
def y(self):
 """Return rapidity."""

```
@property
def xf(self):
    """Return Feynman x_F."""
```

Summary

- MCEq is a generic tool, validated against data and other simulations
- Atm. Leptons are a different channel to study very forward hadronic interactions (mostly p-air)
- "Differences" seen in comparisons with muon data at the surface and underground
- Validation/calibration via muon surface fluxes is challenging if performed rigorously (need to rely on old data and documentation; systematics often not discussed in detail)
- Models 30-35% lower than muon data above a few GeV (FLUKA is somewhat better at low energies but at higher energies also lower than data)
- Discrepancy in neutrinos (sensitive to kaon production) experimentally not established → needs more work
- Origin of discrepancies different from the muon excess in air showers (SIBYLL*)
- Underground/-water data confirms these findings with different detection principles

Inclusive atm. leptons air showers: different "astroparticle observable"

- Inclusive fluxes sensitive to "first interaction"
- Air shower muons at the surface mostly from pion interactions
- Reason: competition between falling CR flux vs falling forward cross section
- Problems in incl. leptons distinct should be distinct from air showers



The Global Spline Fit (parameterization of CR fluxes)



Pros:

- Parameterizes data
- AND uncertainty
- AND covariance matrix
- Can be updated "easily"
 Cons:
- Many parameters
- ~5 * 20 😳
- Not all equally important for ν fluxes
- Splines somewhat sensitive choice

Dimensionality reduction of nucleon flux to 6 parameters



Principal components of CR nucleon fluxes



Zenith-averaged muon neutrinos

- Component 1 is a "global" spectral index correction
- Sum of components can reproduce 90% allowed shapes from the 1sigma range of GSF
- → CR nucleon flux represented by weighted sum of 6 base vectors
- → GSF is meant to be updated once new data comes in
- → Optimal CR nucleon flux model for neutrino flux calculations

The Global Spline Fit – nucleon fluxes (MCEq input)



- Most contribution from proton and helium flux
- Correlations between H and He affect
 - CR neutron fraction
 - Muon charge ratio
 - Neutrino/Antineutrino ratio
- → Need to model two correlated components
- \rightarrow technically ~80 parameters

Sparse matrix structure





high performance

matrices are sparse

MCEq: Matrix Cascade Equations

A. Fedynitch, R. Engel, T. K. Gaisser, F. Riehn and S. Todor PoS ICRC 2015, 1129 (2015), EPJ Web Conf. 99, 08001 (2015) and EPJ Web Conf. 116, 11010 (2016)



State (or flux) vector

$$\vec{\Phi} = \begin{pmatrix} \vec{\Phi}^{\mathrm{p}} & \vec{\Phi}^{\mathrm{n}} & \vec{\Phi}^{\pi^{+}} & \cdots & \vec{\Phi}^{\bar{\nu}_{\mu}} & \cdots \end{pmatrix}^{T} \vec{\Phi}^{\mathrm{p}} = \begin{pmatrix} \Phi^{\mathrm{p}}_{E_{0}} & \Phi^{\mathrm{p}}_{E_{1}} & \cdots & \Phi^{\mathrm{p}}_{E_{N}} \end{pmatrix}^{T}$$

"Matrix form"
$$\begin{split} \frac{\mathrm{d}}{\mathrm{d}X} \vec{\Phi} &= -\vec{\nabla}_E (\mathrm{diag}(\vec{\mu})\vec{\Phi}) + (-\mathbf{1} + \mathbf{C})\mathbf{\Lambda}_{\mathrm{int}}\vec{\Phi} \\ &+ \frac{1}{\rho(X)} (-\mathbf{1} + \mathbf{D})\mathbf{\Lambda}_{\mathrm{dec}}\vec{\Phi} \end{split}$$

High energy lepton spectrum



AF, F. Riehn, R. Engel, T.K. Gaisser, T. Stanev, PRD 100 2019



Bands (zenith-enhancement):

- Lower boundary $\cos \theta = 1$, vertical
- Upper boundary $\cos \theta = 0$, horizontal

Different weight of hadrons in lepton production, due to:

- Hadron production cross sections
- Branching ratio & decay kinematics

Zenith angle dependence at higher-E is sensitive to hadron production



MCEq vs CORSIKA7 inclusive spectra

Inclusive muon neutrino flux ratio CORSIKA/MCEQ. QGSJET-II-03 + H3a.



Above 100 TeV: territory of the (undiscovered) prompt muons and neutrinos



Prompt muons more production channels than prompt neutrinos:

- Rare decays of unflavored mesons e.g., $\eta \rightarrow \mu^+ \mu^-$
- EM pair production $\gamma \rightarrow \mu^+ \mu^-$

- Large uncertainties from pQCD
- pQCD might be incomplete (intrinsic charm)
- The fragmentation $(c \rightarrow D)$ function is a choice

Data-Driven Hadronic Interaction Model (DDM)



Data-driven Hadronic interaction model (DDM)



- Inclusive particle production cross sections from data at 31, 158 and 350 GeV (lab.)
- Interpolated linearly in log(E)
- Isospin symmetry for neutrons and π^-
- Feynman scaling at higher energy
- Errors from fiting splines to data
- Additional free parameters by "cloning" cross sections to higher energies
- → Optimal hadronic model for calibrating neutrino flux calculations

Experiment	beam	$E_{\rm beam}/{\rm GeV}$	Secondaries	Variables
NA49	pC	158	$\pi^{\pm}, {\stackrel{_{\leftarrow}}{\mathrm{p}}}, n$	$x_{ m F}, p_{\perp}$
NA49	pp	158	K^{\pm}	$x_{ m F}, p_{\perp}$
NA61/SHINE	pC	31	$\pi^{\pm}, K^{\pm}, K^0_S, \Lambda$	p, heta
NA61/SHINE	$\pi^{-}C$	158,350	$\pi^{\pm}, K^{\pm}, {\stackrel{\scriptscriptstyle ()}{\mathrm{p}}}$	p, p_\perp

AF & M. Huber, PRD106 2022, arXiv:2205.14766

Data-driven model (DDM) built in incl. cross sections



- Uncertainties conservatively scale up in absence of forward data
- K⁺⁻ data at 158 GeV extrapolated from pp→pC
 - \rightarrow + 5-7% error from MC
- Carbon to air correction < 1%
- + proton and neutron secondaries , & π^- projectiles (not shown)
- Neutron (and π^+ projectiles) via isospin relations
- K⁰ via isospin

Building the DDM



Energy inter- and extrapolation



- 1 or 2 cross section "shapes" @ 31 & 158 GeV
- Interpolates linearly in log(E) between those
- Assumes Feynman scaling (shape of longitudinal spectrum constant)
- More points can be added to complicate energy dependence
 → daemonflux

Atm.-flux-relevant phase space → Spectrum-weighted moment:

$$Z_{\mathrm{N}h}(E_{\mathrm{N}}) = \int_0^1 \mathrm{d}x_{\mathrm{Lab}} \ x_{\mathrm{Lab}}^{\gamma(E_{\mathrm{N}})-1} \frac{\mathrm{d}N_{\mathrm{N}\to h}}{\mathrm{d}x_{\mathrm{Lab}}}(E_{\mathrm{N}})$$

Charm production cross section inaccessible to present-day colliders



- Each line represents a collider running at fixed \sqrt{s}
- Gap in x between LHC coverage is due to the beam pipe
- Detectors need particle ID capability & sufficient luminosity
- Indirect constraints from new forward detectors like FASER and the proposed FPF (see 2203.05090)
- New insights expected from proton-oxygen collisions in Run3



Systematic parameters and Fit quality



Physics parameter part of the correlation matrix: Total 34 parameters: 18 hadrons + 6 GSF + 10 experimental J. P. Yanez & AF, arXiv:2303.00022

Chi² 199/ 217 dof (approximate) P-value = 81%

Neutrino fluxes

Muon neutrinos

hatched area: uncertainty from Barr et al. PRD74, 094009 (2006) & AF, Huber PRD (2022)

Electron neutrinos



Neutrino ratios

3.0 daemonflux **HKKMS 2015** Bartol 2004 2.5 DDM +++ S2.3d+Barto $u_{\mu}/ar{v}_{\mu}$ ratio 2.0 1.5 .0 1 Model / daemon 1.2 1.0 8.0 10^{1} 10² 10³ 10⁴ 10⁵ $E_{\nu_{\mu}}$ (GeV)

Numu/numubar ratio

hatched area: uncertainty from Barr et al. PRD74, 094009 (2006) & AF, Huber PRD (2022)

Flavor ratio



J. P. Yanez & AF, arXiv:2303.00022

Total uncertainty of daemonflux (DDM+GSF+Fit)



J. P. Yanez & AF, arXiv:2303.00022

Choice of extrapolation parameters above "DDM energies"



J. P. Yanez & AF, arXiv:2303.00022



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MUTE (Muon inTnsity codE): fast convolutions

https://github.com/wjwoodley/mute

AF, **W. Woodley**, M.-C. Piro, *ApJ* **928** 27 (2022)

W. Woodley, TeVPa 2022 and Woodley, AF, Piro in prep.



