

# A bottom-up approach to nucleon decay. RGEs and correlations

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Based on work in collaboration with J. Gargalionis, J. Herrero-García,  
M. A. Schmidt, A. Santamaria [2312.13361] (Accepted for publication in JHEP)



# Standard story

$$\mathcal{L}_{SM}$$

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

+

$$H, Q_L^i, u_R^i, d_R^i, L_L^i, e_R^i, i = 1, 2, 3$$

Individual Flavour Symmetries



Yukawa couplings

$$U(1)_{L_e} \times U(1)_{L_\mu} \times U(1)_{L_\tau} \times U(1)_B$$



$\nu$  oscillations

[Super-K 1999,  
KamLAND 2003...]

$$U(1)_L \times U(1)_B$$

B and L accidentally conserved

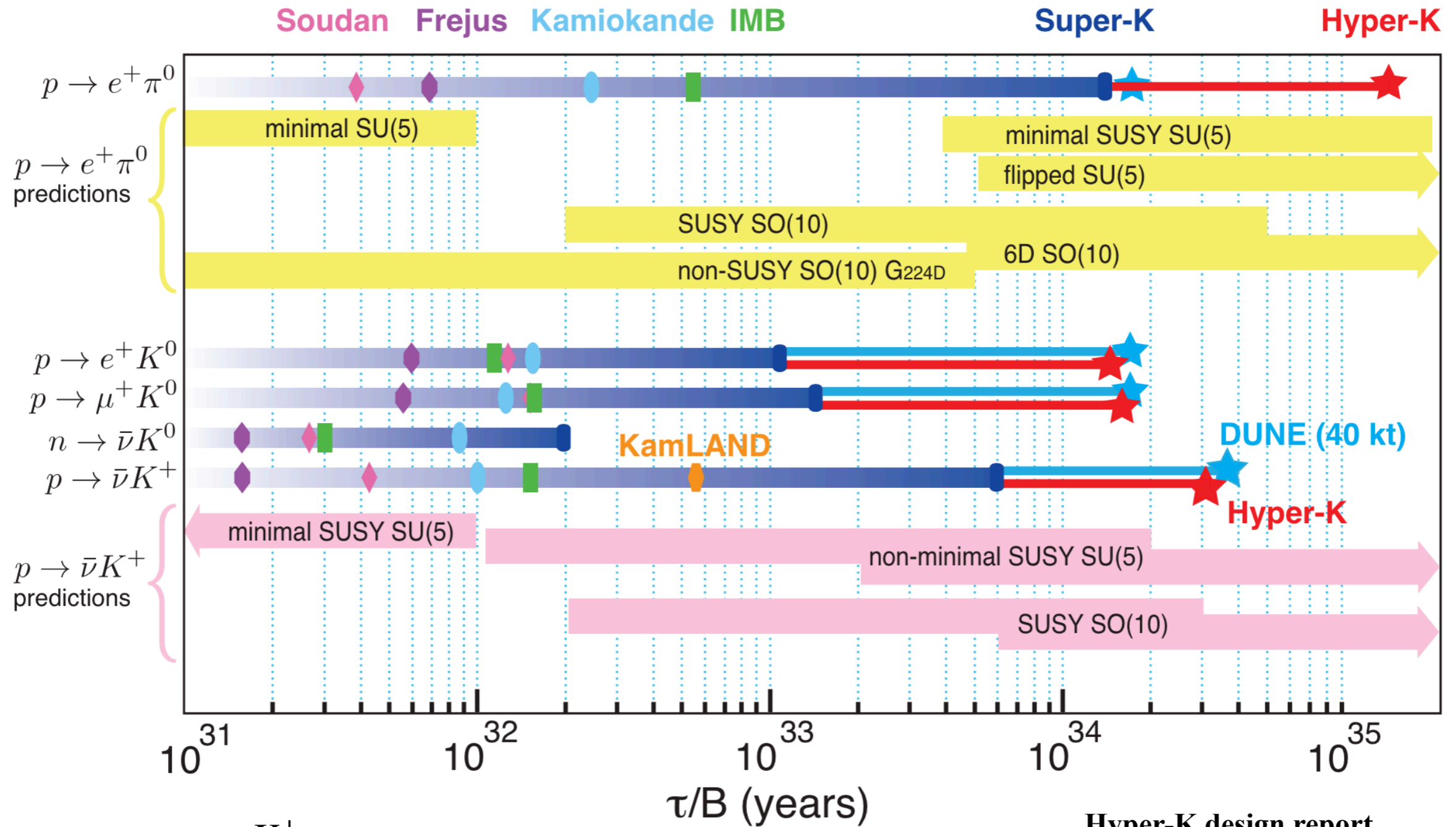
(B + L violated in 3 units  
by sphaleron transitions)



**Proton stable**



# Experimental perspectives



Sensitivity of  $n \rightarrow K^+ e^-$  increased by  $10^3$  in HK !

Hyper-K design report

BNV nucleon decay could be the next big discovery

# Then... why nucleon decay?

- There is **no fundamental reason** to have B and L conserved (Leptoquarks, Seesaw particles, SUSY, GUTs...)
- Experimental probes of BNV and LNV constitute one of the **strongest** evidence for physics beyond SM (BSM) → PD will be looked for in future experiments (HK, DUNE...)

## Grand Unified Theories (GUTs)

[Georgi et al. 1973, Pati et al. 1974]

## Baryogenesis

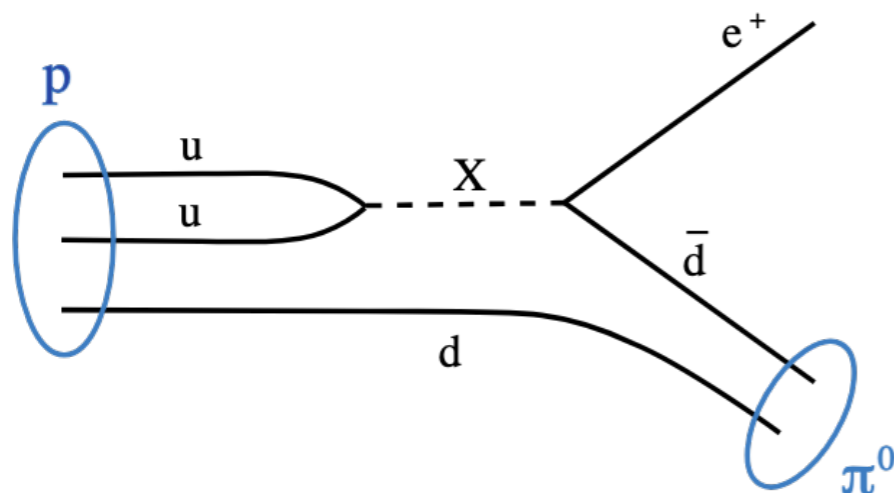
[Sakharov 1967]

## Baryon Number violation (BNV)

E.g. proton decay (PD), neutron-antineutron oscillations

Large number of UV theories predicting PD

Systematic study of PD in a model-independent way (bottom-up)



# BNV within the SMEFT

Parametrization of new physics through Effective operators ( $d > 4$ )  
**SM Effective Field Theory (SMEFT)**

Bounds on SMEFT WCs serve as a **bridge** to specific UV models

[S. Weinberg 1979 ,  
 B. Grzadkowski et al. 2010,  
 W. Buchmuller et al. 1986,  
 Brivio et al. 2019,  
 B. Henning et al. 2016,  
 De Gouvea et al. 2014]

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{c^{d=5}}{\Lambda} \mathcal{O}_W + \left( \frac{c^{d=6}}{\Lambda^2} \mathcal{O}^{d=6} + \frac{c^{d=7}}{\Lambda^3} \mathcal{O}^{d=7} \right) + \dots$$

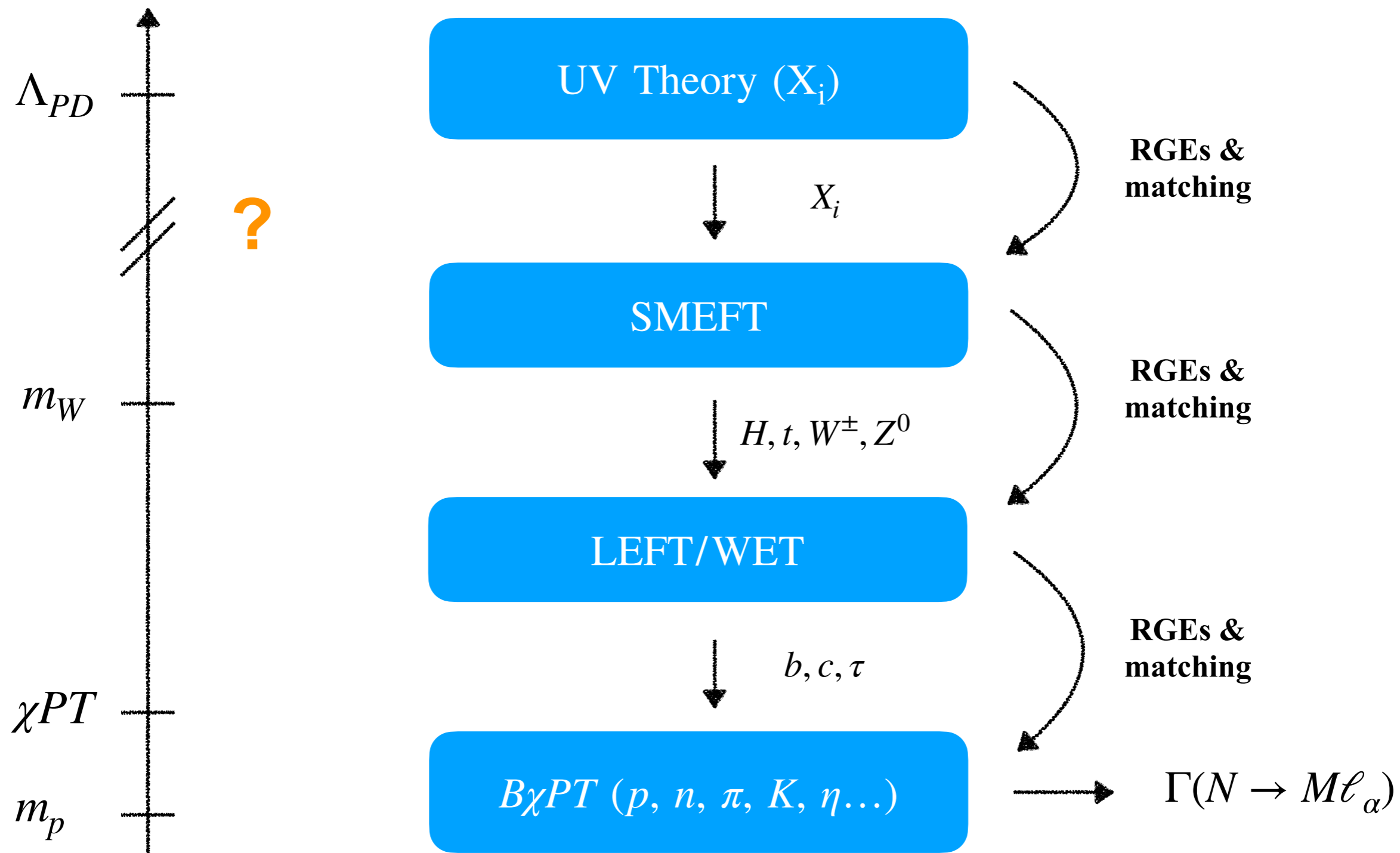
$\Delta L = 2$                        $\Delta(B - L) = 0$                        $\Delta(B - L) = 2$   
 $\downarrow$                                        $\downarrow$                                        $\downarrow$   
 $\downarrow$                                        $\downarrow$                                        $\downarrow$

Different phenomenology  $\longrightarrow$

$$\Lambda \gtrsim 10^{15} \text{ GeV} \quad \Lambda \gtrsim 10^{10} \text{ GeV}$$

$$p \rightarrow \pi^0 e^+, p \rightarrow K^+ \bar{\nu} \quad n \rightarrow \pi^+ e^-, p \rightarrow K^+ \nu$$

# BNV within the SMEFT



• Assumptions: Energy Desert and no SUSY in the TeV scale/RpV

[S. Antusch et al. 2021,  
H. Dreiner et al. 2020]

# SMEFT

$d = 6 \rightarrow 4$  (273) operators

[B. Grzadkowski et al. 2010, L. F. Abbott et al. 1980]

$$\begin{aligned} \mathcal{O}_{qqql,pqrs} &= (Q_p^i Q_q^j)(Q_r^l L_s^k) \epsilon_{ik} \epsilon_{jl}, & \mathcal{O}_{qqe,pqrs} &= (Q_p^i Q_q^j)(\bar{u}_r^\dagger \bar{e}_s^\dagger) \epsilon_{ij}, \\ \mathcal{O}_{duue,pqrs} &= (\bar{d}_p^\dagger \bar{u}_q^\dagger)(\bar{u}_r^\dagger \bar{e}_s^\dagger), & \mathcal{O}_{duql,pqrs} &= (\bar{d}_p^\dagger \bar{u}_q^\dagger)(Q_r^i L_s^j) \epsilon_{ij}, \end{aligned}$$

$d = 7 \rightarrow 6$  (297) operators

[L. Lehman 2014, Yi Liao et al. 2016]

$$\begin{aligned} \mathcal{O}_{\bar{l}dddH,pqrs} &= (L_p^\dagger \bar{d}_q^\dagger)(\bar{d}_r^\dagger \bar{d}_s^\dagger) H, & \mathcal{O}_{\bar{l}dq\tilde{H},pqrs} &= (L_p^\dagger \bar{d}_q^\dagger)(Q_r Q_s^i) \tilde{H}^j \epsilon_{ij}, \\ \mathcal{O}_{\bar{e}qdd\tilde{H},pqrs} &= (\bar{e}_p Q_q^i)(\bar{d}_r^\dagger \bar{d}_s^\dagger) \tilde{H}^j \epsilon_{ij}, & \mathcal{O}_{\bar{l}dud\tilde{H},pqrs} &= (L_p^\dagger \bar{d}_q^\dagger)(\bar{u}_r^\dagger \bar{d}_s^\dagger) \tilde{H}, \\ \mathcal{O}_{\bar{l}qdDd,pqrs} &= (L_p^\dagger \bar{d}_q^\dagger)(\bar{d}_r^\dagger i \overleftrightarrow{D}_\mu \bar{d}_s^\dagger), & \mathcal{O}_{\bar{e}dddD,pqrs} &= (\bar{e}_p \sigma^{\mu\nu} \bar{d}_q^\dagger)(\bar{d}_r^\dagger i \overleftrightarrow{D}_\mu \bar{d}_s^\dagger), \end{aligned}$$

$$\left. \begin{aligned} c^{d=6}(m_W) &\sim (2 - 4) c^{d=6}(10^{15} \text{ GeV}) \\ c^{d=7}(m_W) &\sim (1 - 2) c^{d=7}(10^{11} \text{ GeV}) \end{aligned} \right\} \begin{array}{l} \text{From gauge interactions and } y_t \\ \text{(Operator mixing subdominant)} \end{array}$$

- RGEs for  $d = 6$  SMEFT [Manohar et al. 2014]
- RGEs for  $d = 7$  SMEFT [Yi Liao et al. 2016]

# LEFT

288  $\Delta(B - L) = 0$  operators  $\rightarrow$  14 operators

228  $\Delta(B + L) = 0$  operators  $\rightarrow$  9 operators

LEFT operators involved in nucleon decay at tree-level

Name [52]	SMEFT matching
$[O_{udd}^{S,LL}]_{pqrs}$	$V_{q'q}V_{r'r}(C_{qqql,r'q'ps} - C_{qqql,q'r'ps} + C_{qqql,q'pr's})$
$[O_{duu}^{S,LL}]_{pqrs}$	$V_{p'p}(C_{qqql,rqp's} - C_{qqql,qrp's} + C_{qqql,qp'rs})$
$[O_{duu}^{S,LR}]_{pqrs}$	$-V_{p'p}(C_{qqqe,p'qrs} + C_{qqqe,qp'rs})$
$[O_{duu}^{S,RL}]_{pqrs}$	$C_{duql,pqrs}$
$[O_{dud}^{S,RL}]_{pqrs}$	$-V_{r'r}C_{duql,pqrs}$
$[O_{duu}^{S,RR}]_{pqrs}$	$C_{duue,pqrs}$
$[O_{udd}^{S,LR}]_{pqrs}$	$-V_{q'q}C_{\bar{l}dq\bar{q}\tilde{H},rspq'}\frac{v}{\sqrt{2}\Lambda}$
$[O_{ddd}^{S,LR}]_{pqrs}$	$V_{p'p}V_{q'q}(C_{\bar{l}dq\bar{q}\tilde{H},rsq'p'} - C_{\bar{l}dq\bar{q}\tilde{H},rsp'q'})\frac{v}{2\sqrt{2}\Lambda}$
$[O_{ddd}^{S,RL}]_{pqrs}$	$V_{s's}(C_{\bar{e}qdd\tilde{H},rs'qp} - C_{\bar{e}qdd\tilde{H},rs'pq})\frac{v}{\sqrt{2}\Lambda}$
$[O_{udd}^{S,RR}]_{pqrs}$	$C_{\bar{l}dud\tilde{H},rspq}\frac{v}{\sqrt{2}\Lambda}$
$[O_{ddd}^{S,RR}]_{pqrs}$	$C_{\bar{l}ddd\tilde{H},rspq}\frac{v}{\sqrt{2}\Lambda}$

Name [52] ([12])	Operator	Flavour
$[O_{udd}^{S,LL}]_{111r}$	$(O_{LL}^\nu)$	$(ud)(d\nu_r)$ (8, 1)
$[O_{udd}^{S,LL}]_{121r}$	$(\tilde{O}_{LL1}^\nu)$	$(us)(d\nu_r)$ (8, 1)
$[O_{udd}^{S,LL}]_{112r}$	$(\tilde{O}_{LL2}^\nu)$	$(ud)(s\nu_r)$ (8, 1)
$[O_{duu}^{S,LL}]_{111r}$	$(O_{LL}^e)$	$(du)(ue_r)$ (8, 1)
$[O_{duu}^{S,LL}]_{211r}$	$(\tilde{O}_{LL}^e)$	$(su)(ue_r)$ (8, 1)
$[O_{duu}^{S,LR}]_{111r}$	$(O_{LR}^e)$	$(du)(\bar{u}^\dagger\bar{e}_r^\dagger)$ ( $\bar{3}$ , 3)
$[O_{duu}^{S,LR}]_{211r}$	$(\tilde{O}_{LR}^e)$	$(su)(\bar{u}^\dagger\bar{e}_r^\dagger)$ ( $\bar{3}$ , 3)
$[O_{duu}^{S,RL}]_{111r}$	$(O_{RL}^e)$	$(\bar{d}^\dagger\bar{u}^\dagger)(ue_r)$ (3, $\bar{3}$ )
$[O_{duu}^{S,RL}]_{211r}$	$(\tilde{O}_{RL}^e)$	$(\bar{s}^\dagger\bar{u}^\dagger)(ue_r)$ (3, $\bar{3}$ )
$[O_{dud}^{S,RL}]_{111r}$	$(O_{RL}^\nu)$	$(\bar{d}^\dagger\bar{u}^\dagger)(d\nu_r)$ (3, $\bar{3}$ )
$[O_{dud}^{S,RL}]_{211r}$	$(\tilde{O}_{RL1}^\nu)$	$(\bar{s}^\dagger\bar{u}^\dagger)(d\nu_r)$ (3, $\bar{3}$ )
$[O_{dud}^{S,RL}]_{112r}$	$(\tilde{O}_{RL2}^\nu)$	$(\bar{d}^\dagger\bar{u}^\dagger)(s\nu_r)$ (3, $\bar{3}$ )
<del><math>[O_{duu}^{S,RL}]_{121r}</math></del>	<del><math>(\bar{d}^\dagger\bar{u}^\dagger)(ue_r)</math></del>	<del>(3, <math>\bar{3}</math>)</del>
$[O_{duu}^{S,RR}]_{111r}$	$(O_{RR}^e)$	$(\bar{d}^\dagger\bar{u}^\dagger)(\bar{u}^\dagger\bar{e}_r^\dagger)$ (1, 8)
$[O_{duu}^{S,RR}]_{211r}$	$(\tilde{O}_{RR}^e)$	$(\bar{s}^\dagger\bar{u}^\dagger)(\bar{u}^\dagger\bar{e}_r^\dagger)$ (1, 8)

Name	Operator	Flavour
<del><math>[O_{ddd}^{S,LL}]_{121r1}</math></del>	<del><math>(ds)(\bar{e}_r^\dagger\bar{d}^\dagger)</math></del>	<del>(8, 1)</del>
$[O_{udd}^{S,LR}]_{11r1}$	$(ud)(\nu_r^\dagger\bar{d}^\dagger)$	( $\bar{3}$ , 3)
$[O_{udd}^{S,LR}]_{12r1}$	$(us)(\nu_r^\dagger\bar{d}^\dagger)$	( $\bar{3}$ , 3)
$[O_{udd}^{S,LR}]_{11r2}$	$(ud)(\nu_r^\dagger\bar{s}^\dagger)$	( $\bar{3}$ , 3)
<del><math>[O_{duu}^{S,LR}]_{121r1}</math></del>	<del><math>(ds)(\bar{u}^\dagger\bar{e}_r^\dagger)</math></del>	<del>(<math>\bar{3}</math>, 3)</del>
$[O_{ddd}^{S,LR}]_{121r1}$	$(ds)(e_r^\dagger\bar{d}^\dagger)$	( $\bar{3}$ , 3)
$[O_{ddd}^{S,RL}]_{121r1}$	$(\bar{d}^\dagger\bar{s}^\dagger)(\bar{e}_r^\dagger\bar{d}^\dagger)$	(3, $\bar{3}$ )
$[O_{udd}^{S,RR}]_{11r1}$	$(\bar{u}^\dagger\bar{d}^\dagger)(\nu_r^\dagger\bar{d}^\dagger)$	(1, 8)
$[O_{udd}^{S,RR}]_{12r1}$	$(\bar{u}^\dagger\bar{s}^\dagger)(\nu_r^\dagger\bar{d}^\dagger)$	(1, 8)
$[O_{udd}^{S,RR}]_{11r2}$	$(\bar{u}^\dagger\bar{d}^\dagger)(\nu_r^\dagger\bar{s}^\dagger)$	(1, 8)
$[O_{ddd}^{S,RR}]_{121r1}$	$(\bar{d}^\dagger\bar{s}^\dagger)(e_r^\dagger\bar{d}^\dagger)$	(1, 8)

- RG effects universal in the LEFT

$$c(2 \text{ GeV}) \sim 1.26 c(m_W)$$

~~Not generated~~ Not generated by D = 6, 7 SMEFT ops.



# B $\chi$ PT

$$M = \sum_{a=1}^8 M_a \frac{\lambda_a}{\sqrt{2}} = \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta}{\sqrt{6}} & \pi^+ & K^+ \\ \pi^- & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta}{\sqrt{6}} & K^0 \\ K^- & \bar{K}^0 & -\sqrt{\frac{2}{3}}\eta \end{pmatrix} \quad B = \sum_{a=1}^8 B_a \frac{\lambda_a}{\sqrt{2}} = \begin{pmatrix} \frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda^0}{\sqrt{6}} & \Sigma^+ & p \\ \Sigma^- & -\frac{\Sigma^0}{\sqrt{2}} + \frac{\Lambda^0}{\sqrt{6}} & n \\ \Xi^- & \Xi^0 & -\sqrt{\frac{2}{3}}\Lambda^0 \end{pmatrix}$$

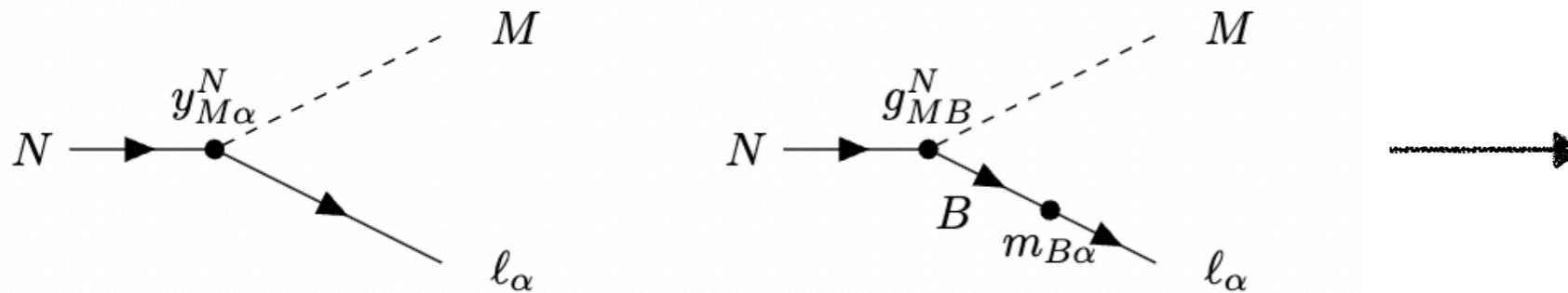
Flavour group  $U(3)_L \times U(3)_R$

**BNC interactions**

**BNV interactions**

$$\mathcal{L} = g_{MB}^N \bar{B} \gamma^\mu \gamma_5 N \partial_\mu M + m_{B\alpha, X} \bar{\ell}_\alpha P_{\bar{X}} B + iy_{M\alpha, X}^N \bar{\ell}_\alpha P_{\bar{X}} N M$$

[M. Claudson et al. 1981,  
P. Nath et al. 2007]



$$\Gamma(N \rightarrow M \ell_\alpha)$$

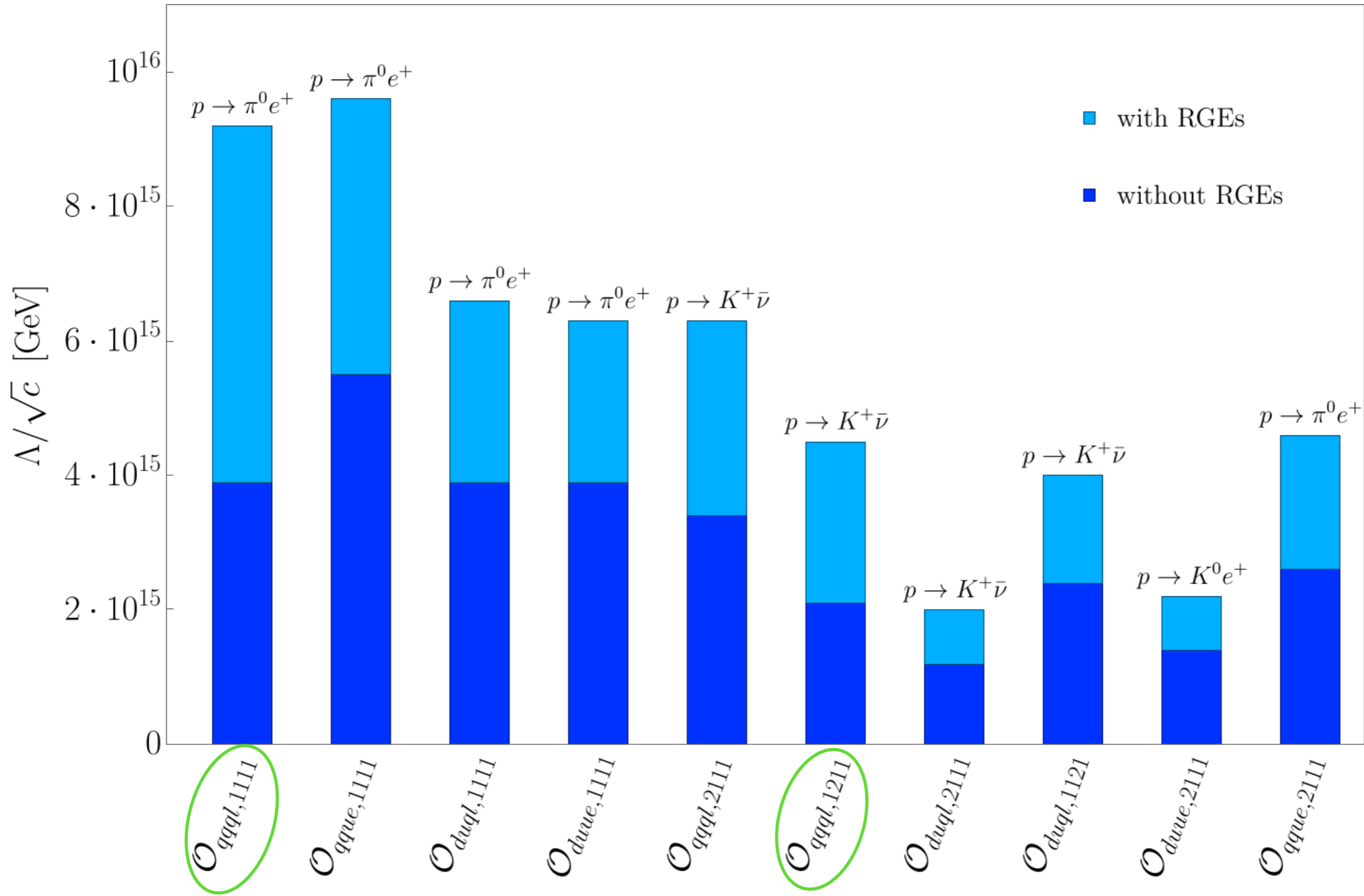
**2 inputs from lattice  $\alpha, \beta$**

[JLQCD 2000,  
Y. Aoki et al. 2017,]

(First-time computation of  $|\Delta(B - L)| = 2$  two-body decays in the B $\chi$ PT formalism)

# D = 6 limits

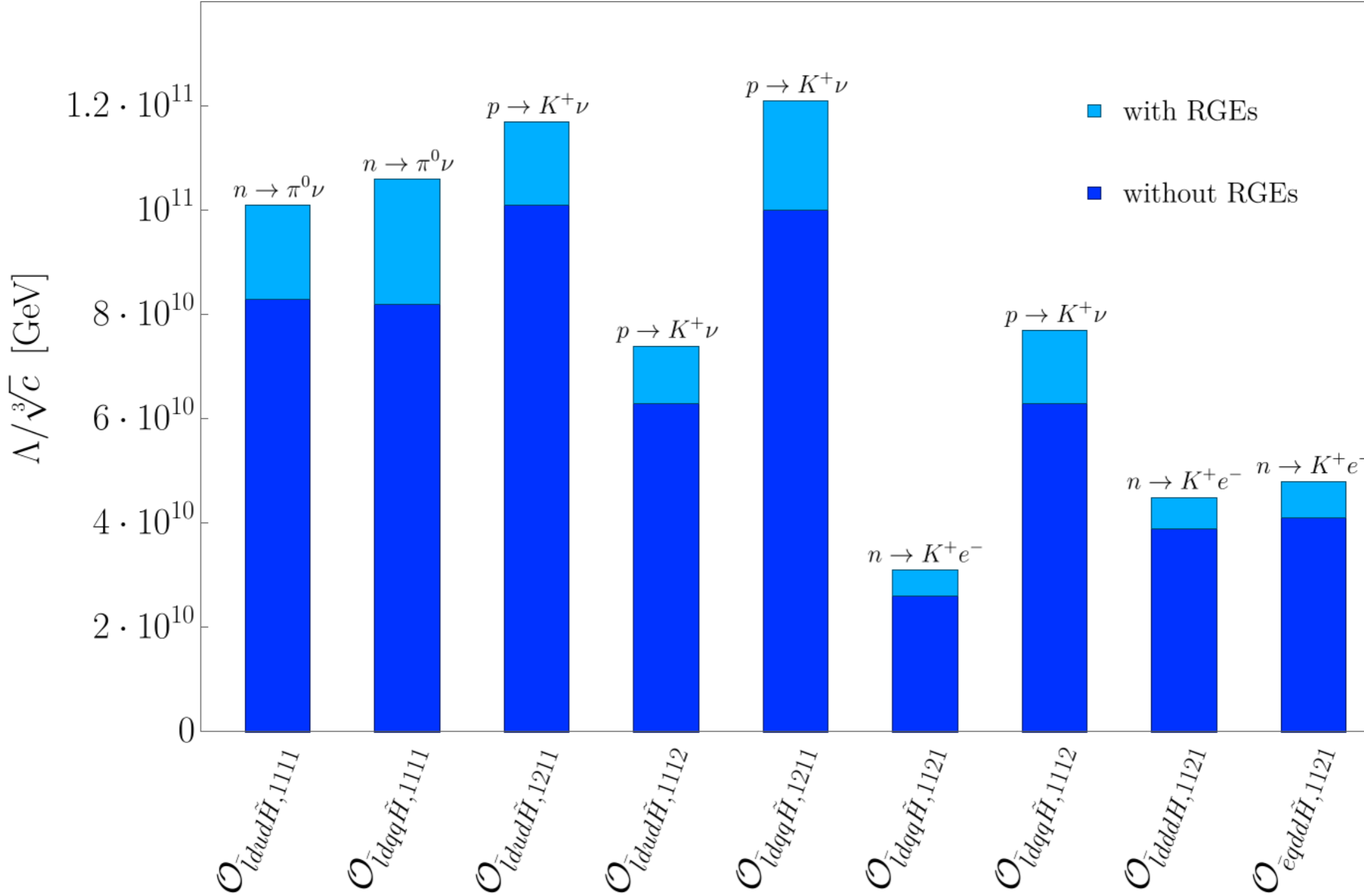
Bounds on other flavor components can be found in [J. Gargalionis et al. 2024]



$$\Lambda/\sqrt{c} \sim (1 \sim 10) \times 10^{15} \text{ GeV}$$

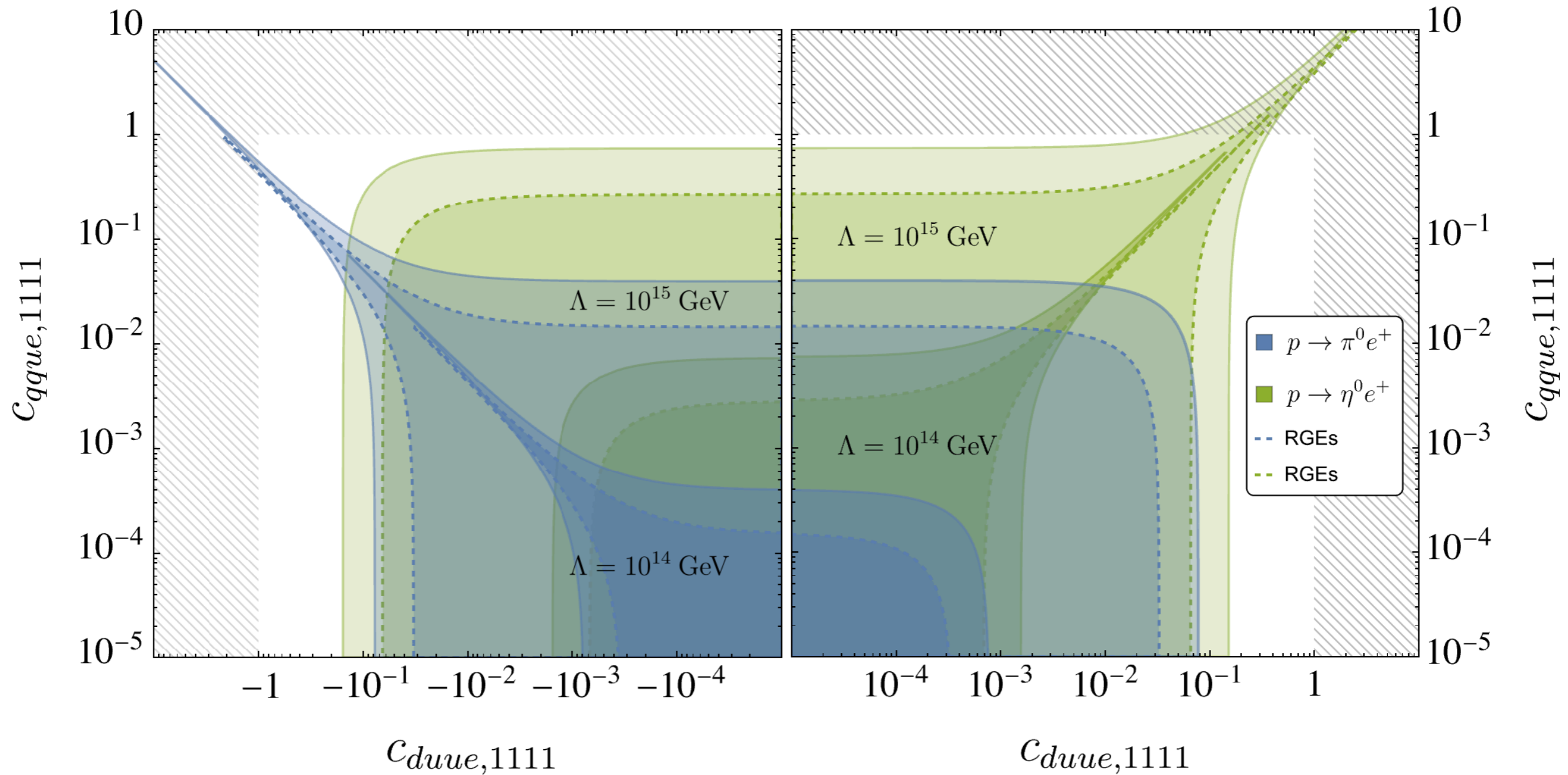
# D = 7 limits

Bounds on other flavor components can be found in [J. Gargalionis et al. 2024]



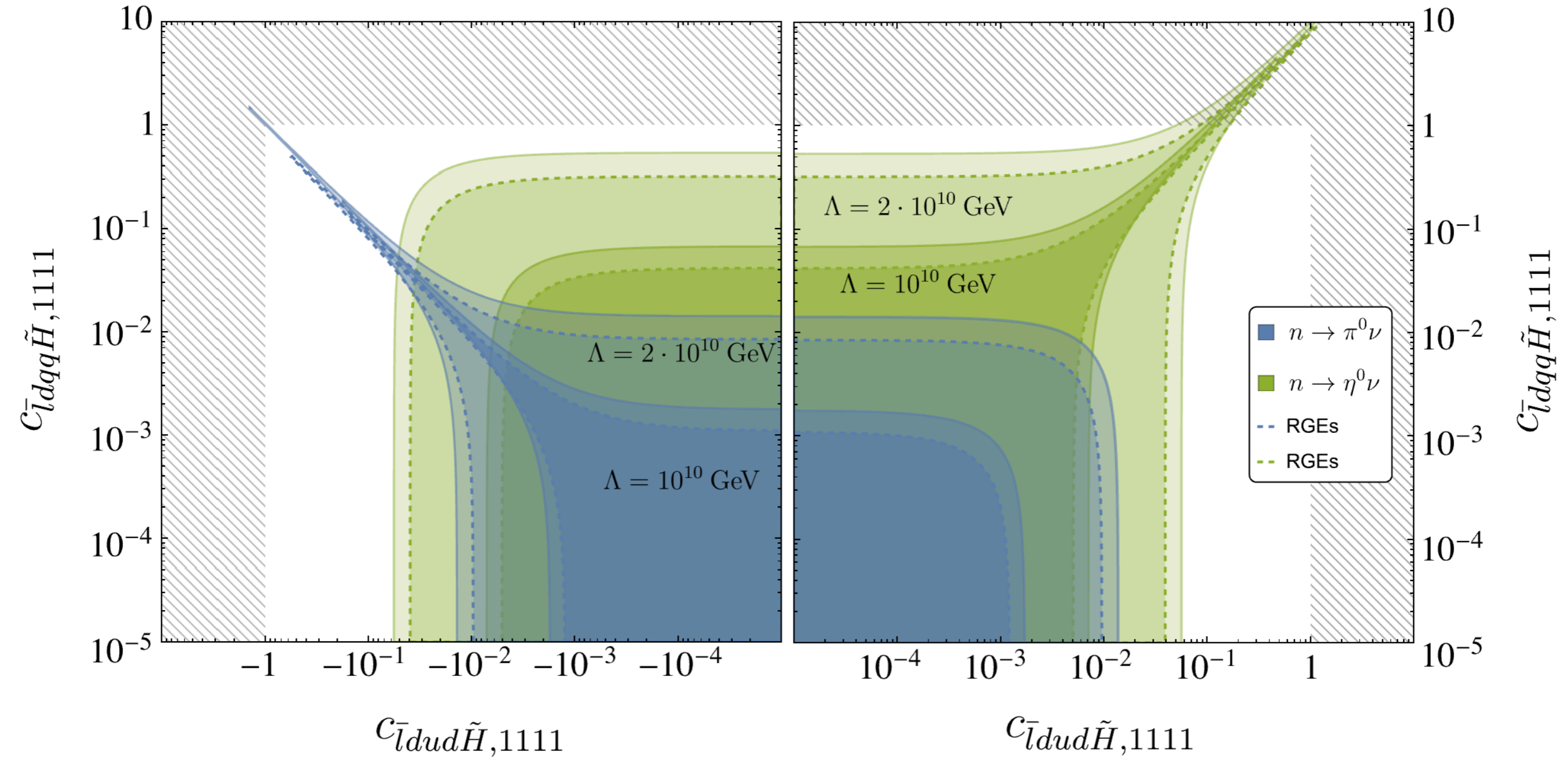
$$\Lambda/\sqrt[3]{c} \sim (2 \sim 12) \times 10^{10} \text{ GeV}$$

# D = 6 pairs of WCs

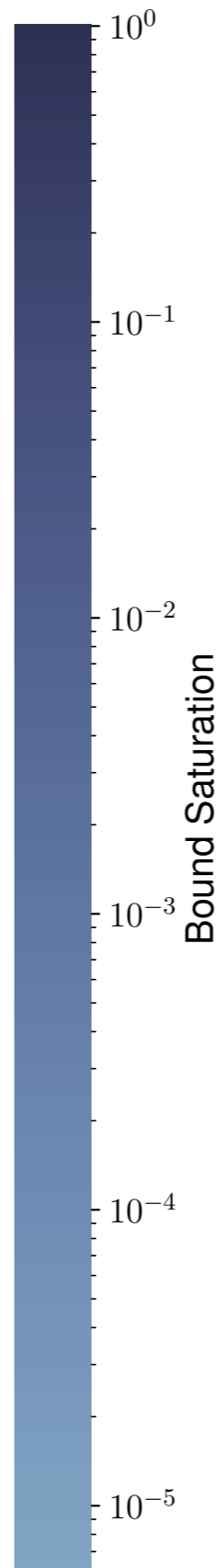


- Different search channels provide complementary constraints
- No flat directions

# D = 7 pairs of WCs



- Different search channels provide complementary constraints
- No flat directions

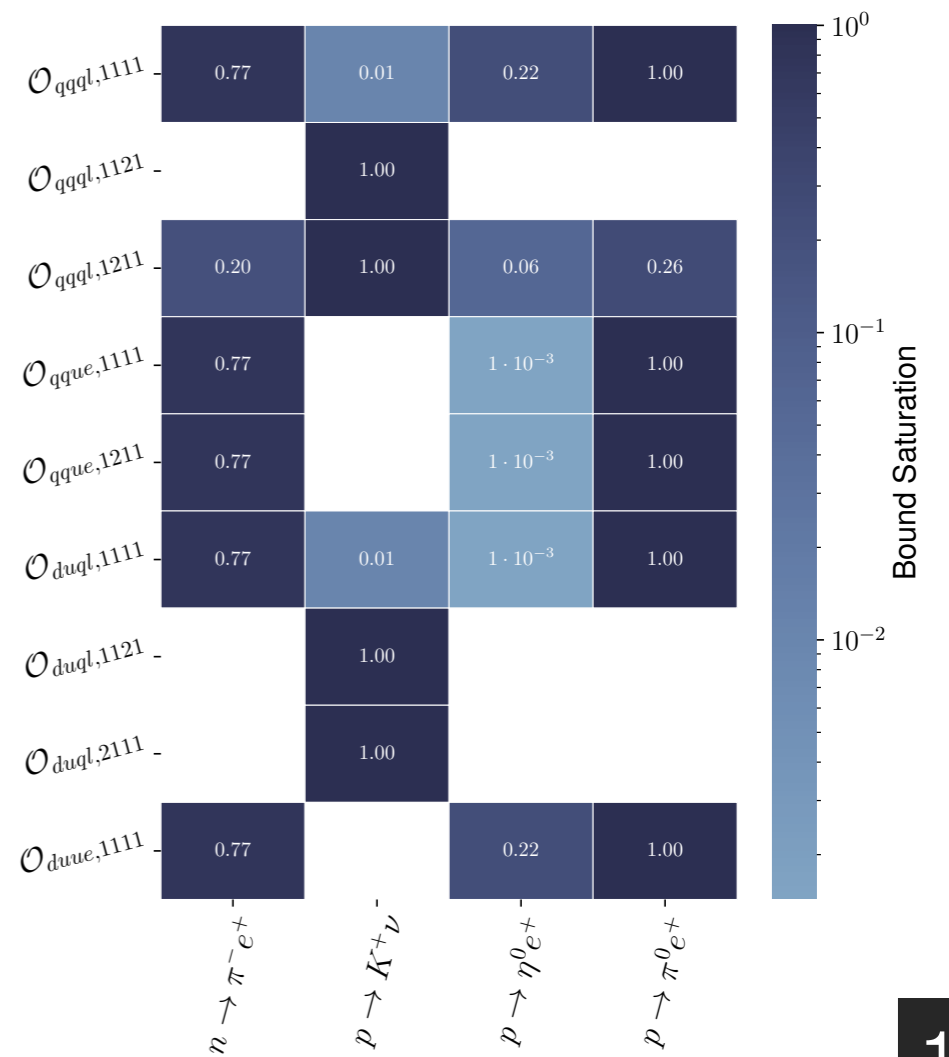


$$\text{Bound Saturation} \equiv \frac{\Gamma_{i,\text{th}}/\Gamma_{\text{max,th}}}{\Gamma_{i,\text{exp}}/\Gamma_{\text{max,exp}}}$$

$$\tau_{p \rightarrow \pi^0 e^+} > 24 \cdot 10^{33} \text{ years}$$

$$\tau_{p \rightarrow K^+ \nu} > 6.61 \cdot 10^{33} \text{ years}$$

$$\tau_{n \rightarrow K^+ e^-} > 0.032 \cdot 10^{33} \text{ years}$$



# Phenomenological matrices

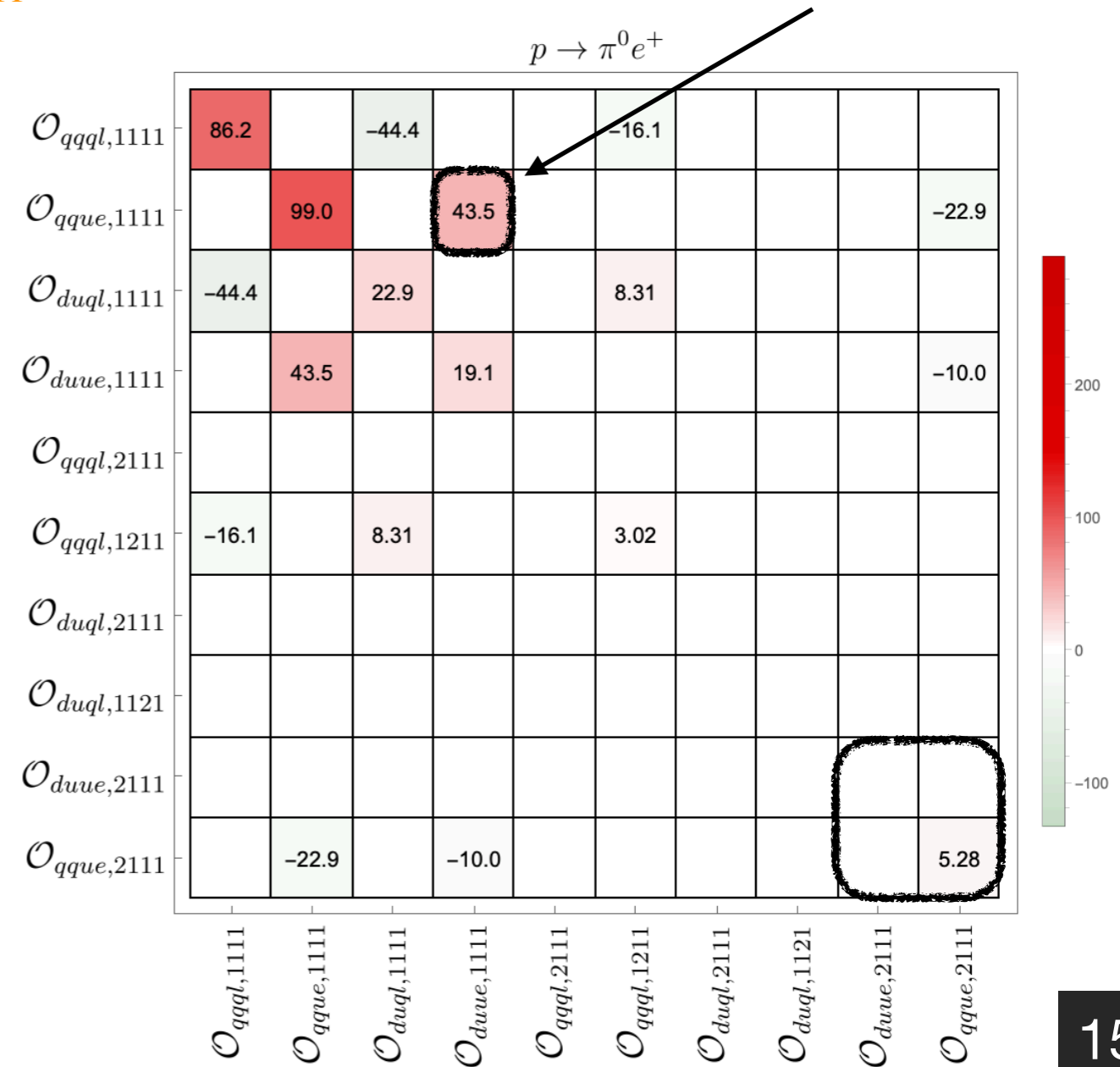
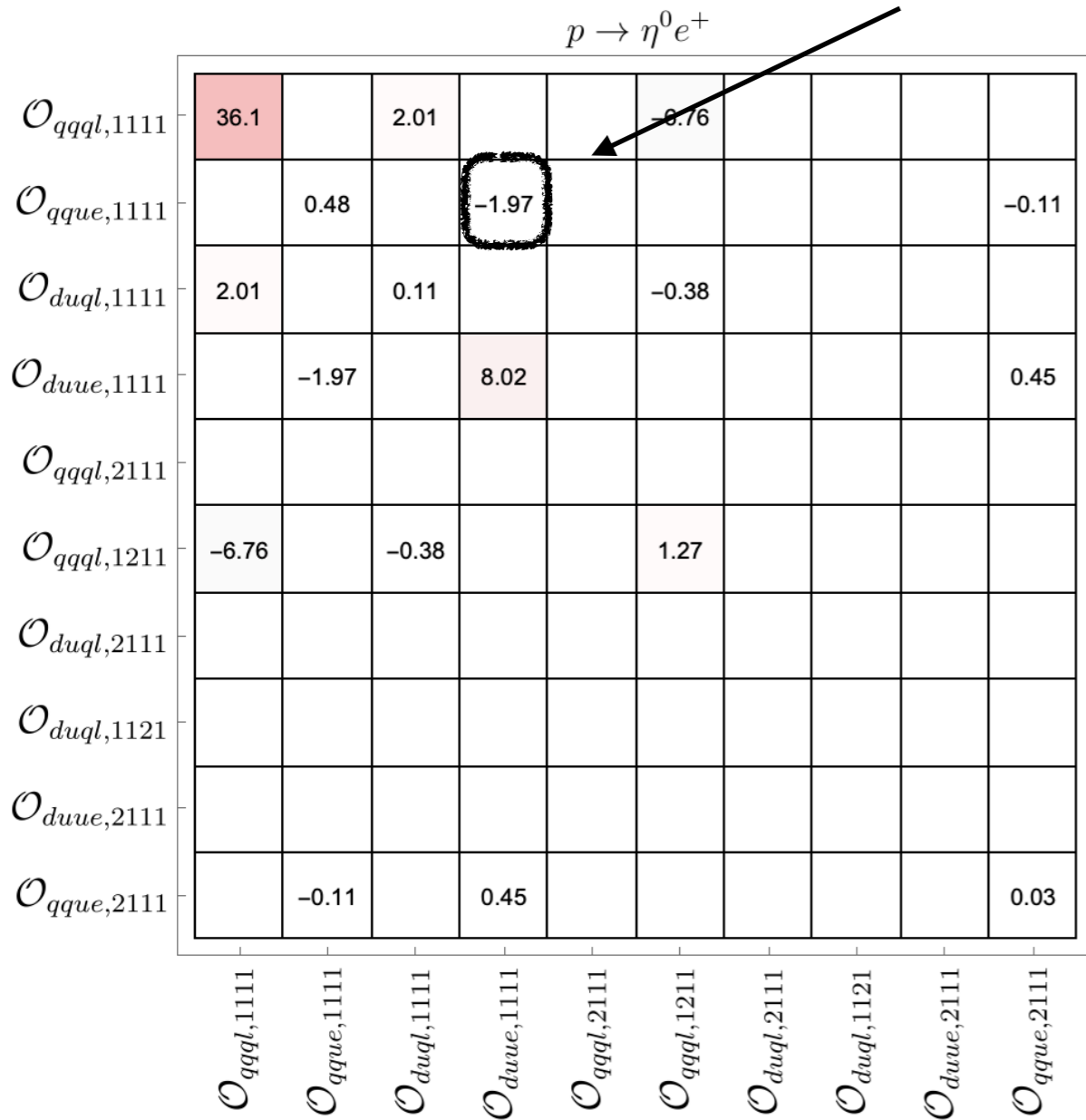
Numerical  $\kappa$ -matrices  
available online

$$\Gamma_{(i)}^{\Delta(B-L)=0} \equiv 10^{-4} c_j^* \kappa_{(i)}^{jk} c_k \frac{m_p^5}{\Lambda^4} \quad \text{for} \quad i = p \rightarrow \pi^0 e^+, p \rightarrow K^+ \nu \dots \quad (9 \text{ matrices})$$

$$\Gamma_{(i)}^{|\Delta(B-L)|=2} \equiv 10^{-4} c_j^* \kappa_{(i)}^{jk} c_k \frac{m_p^7}{\Lambda^6} \quad \text{for} \quad i = p \rightarrow K^+ \nu, n \rightarrow K^+ e^- \dots \quad (6 \text{ matrices})$$

$$c_{qqe,1111} \sim 4.1 c_{duue,1111}$$

$$c_{qqe,1111} \sim -0.44 c_{duue,1111}$$



# Example UV model

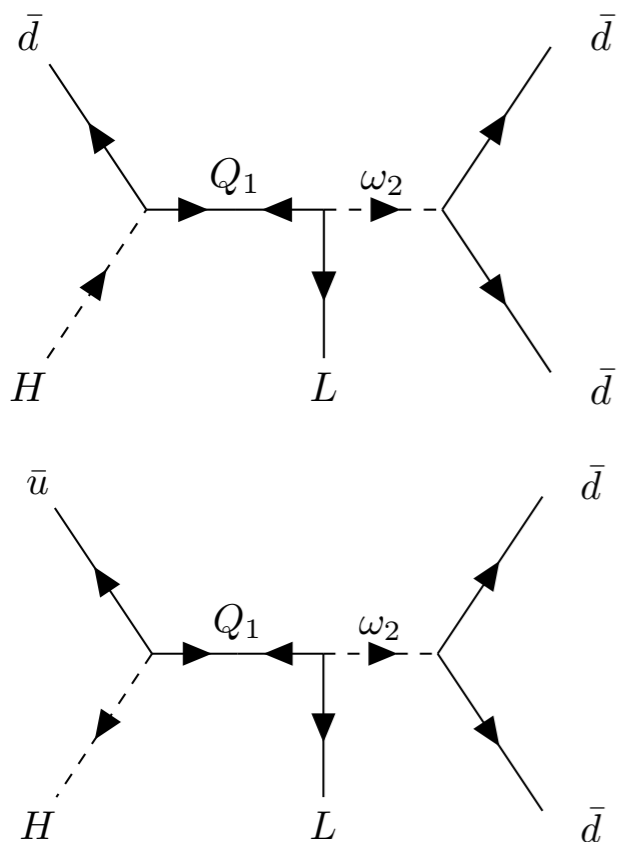
SM enhanced by a scalar LQ  $\omega_2$  and a VLF  $Q_1$

$$\omega_2 \sim (3, 1, 2/3), \quad Q_1 + \bar{Q}_1^\dagger \sim (3, 2, 1/6)$$

$$\mathcal{L}_{\text{int}} = y_{1,ij} \omega_2 \bar{d}^{\dagger i} \bar{d}^{\dagger j} + y_{2,k} H^\dagger Q_1 \bar{d}^k + y_{3,k} Q_1 \epsilon H \bar{u}^k + y_{4,l} \omega_2 \bar{Q}_1 L^l + \text{h.c.}$$

$$\mathcal{L}_{\text{eff}} \supset C_{\bar{l}dddH,pqrs} \mathcal{O}_{\bar{l}dddH,pqrs} + C_{\bar{l}dud\tilde{H},pqrs} \mathcal{O}_{\bar{l}dud\tilde{H},pqrs} + \text{h.c.}$$

$$y_1 \text{ antisymmetric} \left\{ \begin{array}{l} p \rightarrow K^+\nu \quad n \rightarrow K^0\nu \quad n \rightarrow K^+e^- \\ C_{\bar{l}dud\tilde{H},1211} = -C_{\bar{l}dud\tilde{H},1112} \end{array} \right.$$



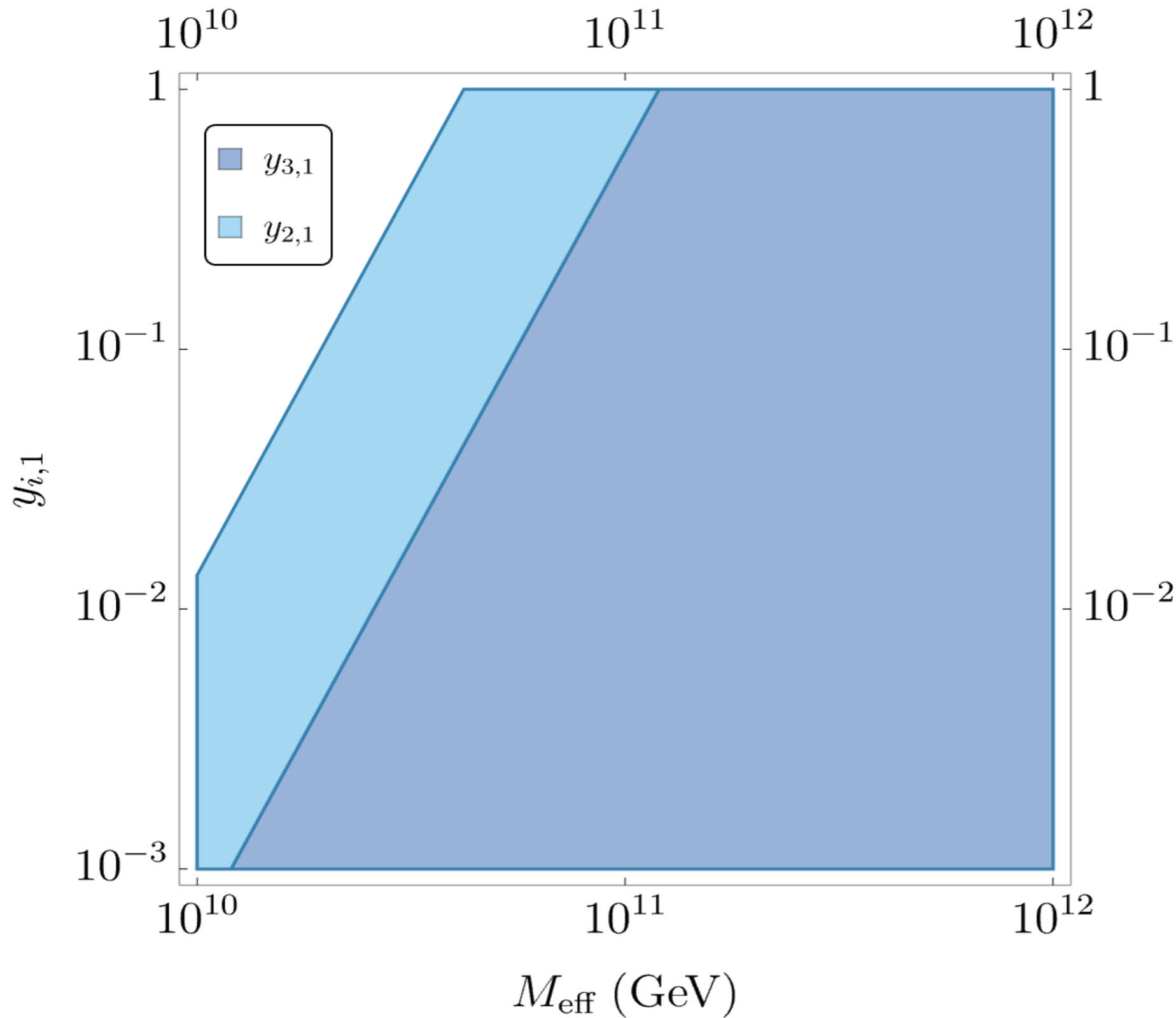
$n \rightarrow K^0\nu$

$\mathcal{O}_{\bar{l}dud\tilde{H},1111}$								
$\mathcal{O}_{\bar{l}dqq\tilde{H},1111}$	0.08	-0.48	-0.36	-0.51		0.28		
$\mathcal{O}_{\bar{l}dud\tilde{H},1211}$	-0.48	3.09	2.33	3.25		-1.80		
$\mathcal{O}_{\bar{l}dud\tilde{H},1112}$	-0.36	2.33	1.76	2.45		-1.36		
$\mathcal{O}_{\bar{l}dqq\tilde{H},1211}$	-0.51	3.25	2.45	3.42		-1.90		
$\mathcal{O}_{\bar{l}dqq\tilde{H},1121}$								
$\mathcal{O}_{\bar{l}dqq\tilde{H},1112}$	0.28	-1.80	-1.36	-1.90		1.06		
$\mathcal{O}_{\bar{l}dddH,1121}$								
$\mathcal{O}_{\bar{e}qdd\tilde{H},1121}$								
	$\mathcal{O}_{\bar{l}dud\tilde{H},1111}$	$\mathcal{O}_{\bar{l}dqq\tilde{H},1111}$	$\mathcal{O}_{\bar{l}dud\tilde{H},1211}$	$\mathcal{O}_{\bar{l}dud\tilde{H},1112}$	$\mathcal{O}_{\bar{l}dqq\tilde{H},1211}$	$\mathcal{O}_{\bar{l}dqq\tilde{H},1121}$	$\mathcal{O}_{\bar{l}dddH,1121}$	$\mathcal{O}_{\bar{e}qdd\tilde{H},1121}$

$\kappa$ -matrices for the 3 processes above and compute  $\Gamma \longrightarrow p \rightarrow K^+\nu$  the most constraining



# Example UV model



$$M_{\text{eff}}^3 \equiv \frac{M_{\omega}^2 M_Q}{y_{1,12} y_{4,1}^*}$$

# Main results of this work

- **Model-independent** analysis on nucleon decay
- RG effects important: limits enhanced by **30% - 130% (d=6)** , and **20 - 30% (d=7)**
- **Complementary analysis** → Correlations and flat directions
- $\kappa$ -matrices: SMEFT WC at  $\Lambda \leftrightarrow$  observables at  $m_p$
- Positive signals in 2-3 channels → SMEFT operators → GUT/Models

Main source of uncertainty: nuclear matrix elements  $\alpha, \beta$

An aerial view of Lisbon, Portugal, showing a dense cluster of colorful buildings with red-tiled roofs on a hillside. In the background, the Vasco da Gama Bridge spans across the water. The sky is clear and blue.

Muito obrigado!

Backup slides

# RGEs

$$\left( \dot{C}_i \equiv 16\pi^2 \mu \frac{dC_i}{d\mu} = \sum_j \gamma_{ij} C_j \right)$$

$$\dot{C}_{duue,prst} = (-4g_3^2 - 2g_1^2) C_{duue,prst} - \frac{20}{3} g_1^2 C_{duue,psrt}$$

$$\dot{C}_{duq\ell,prst} = \left( -4g_3^2 - \frac{9}{2}g_2^2 - \frac{11}{6}g_1^2 \right) C_{duq\ell,prst}$$

$$\dot{C}_{qqe,prst} = \left( -4g_3^2 - \frac{9}{2}g_2^2 - \frac{23}{6}g_1^2 \right) C_{qqe,prst}$$

$$\dot{C}_{qqq\ell,prst} = \left( -4g_3^2 - 3g_2^2 - \frac{1}{3}g_1^2 \right) C_{qqq\ell,prst} - 4g_2^2 \left( C_{qqq\ell,rpst} + C_{qqq\ell,srpt} + C_{qqq\ell,psrt} \right)$$

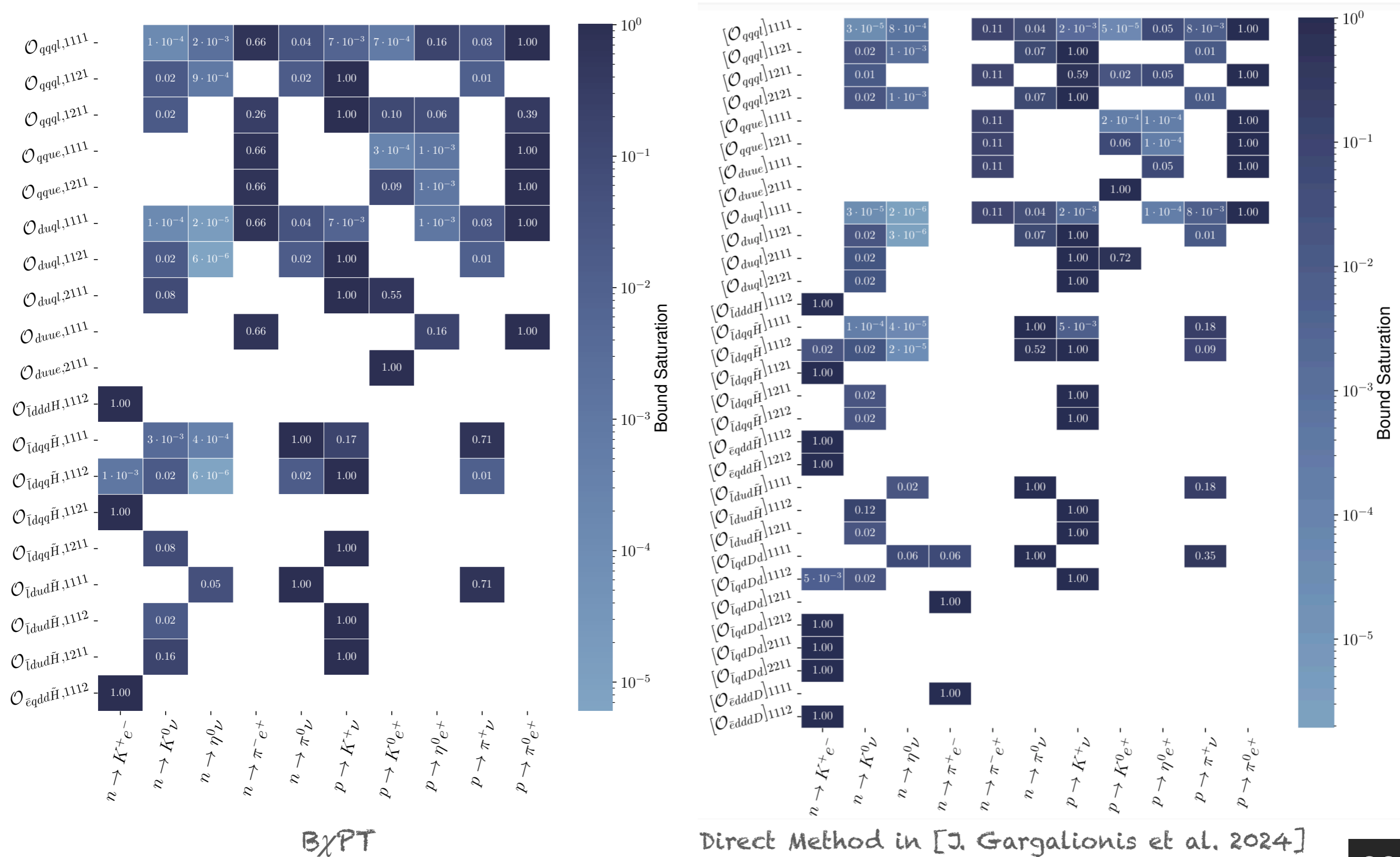
$$\dot{C}_{\bar{l}dud\tilde{H},prst} = \left( -4g_3^2 - \frac{9}{4}g_2^2 - \frac{17}{12}g_1^2 + y_t^2 \right) C_{\bar{l}dud\tilde{H},prst} - \frac{10}{3}g_1^2 C_{\bar{l}dud\tilde{H},ptsr} ,$$

$$\dot{C}_{\bar{l}dddH,prst} = \left( -4g_3^2 - \frac{9}{4}g_2^2 - \frac{13}{12}g_1^2 + y_t^2 \right) C_{\bar{l}dddH,prst} ,$$

$$\dot{C}_{\bar{e}qdd\tilde{H},prst} = \left( -4g_3^2 - \frac{9}{4}g_2^2 + \frac{11}{12}g_1^2 + y_t^2 \right) C_{\bar{e}qdd\tilde{H},prst} ,$$

$$\dot{C}_{\bar{l}dq\tilde{q}\tilde{H},prst} = \left( -4g_3^2 - \frac{15}{4}g_2^2 - \frac{19}{12}g_1^2 + y_t^2 \right) C_{\bar{l}dq\tilde{q}\tilde{H},prst} - 3g_2^2 C_{\bar{l}dq\tilde{q}\tilde{H},prts} .$$

# Direct vs Indirect method



# Direct VS Indirect method

$$\Gamma(N \rightarrow M + \ell) = \frac{m_N}{32\pi} \left(1 - \frac{m_M^2}{m_N^2}\right)^2 \left| \sum_I C_I W_0^I(N \rightarrow M) \right|^2$$

$W_0^I(N \rightarrow M)$  computed in the Lattice  
(Several parameters)

$$\Gamma(p \rightarrow \pi^+ \nu_r) = (32\pi f_\pi^2 m_p^3)^{-1} (m_p^2 - m_\pi^2)^2 \left| \alpha [L_{udd}^{S,LR}]_{11r1} + \beta [L_{udd}^{S,RR}]_{11r1} \right|^2 (1 + D + F)^2$$

$$\Gamma(n \rightarrow K^+ e_r^-) = (32\pi f_\pi^2 m_n^3)^{-1} (m_n^2 - m_K^2)^2 \times$$

$$\left\{ \left| \beta [L_{ddd}^{S,LL}]_{12r1} - \alpha [L_{ddd}^{S,RL}]_{12r1} + \frac{m_n}{m_\Sigma} \left( \alpha [L_{ddd}^{S,RL}]_{12r1} + \beta [L_{ddd}^{S,LL}]_{12r1} \right) (D - F) \right|^2 \right. \\ \left. + \left| \beta [L_{ddd}^{S,RR}]_{12r1} - \alpha [L_{ddd}^{S,LR}]_{12r1} + \frac{m_n}{m_\Sigma} \left( \alpha [L_{ddd}^{S,LR}]_{12r1} + \beta [L_{ddd}^{S,RR}]_{12r1} \right) (D - F) \right|^2 \right\}$$

$D, F, f_\pi$

Low-energy B $\chi$ PT constants

$\alpha, \beta$

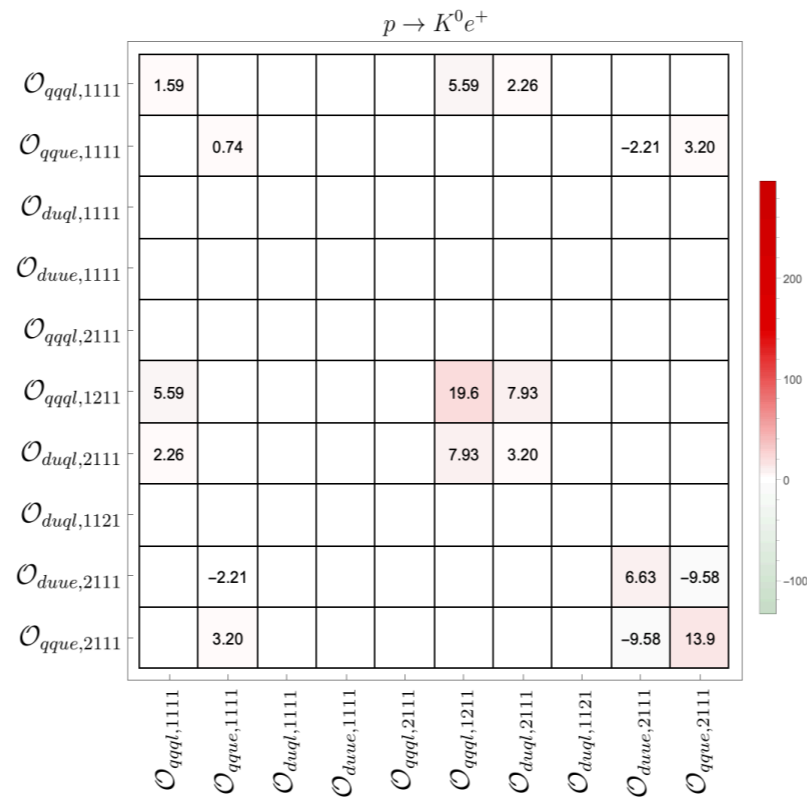
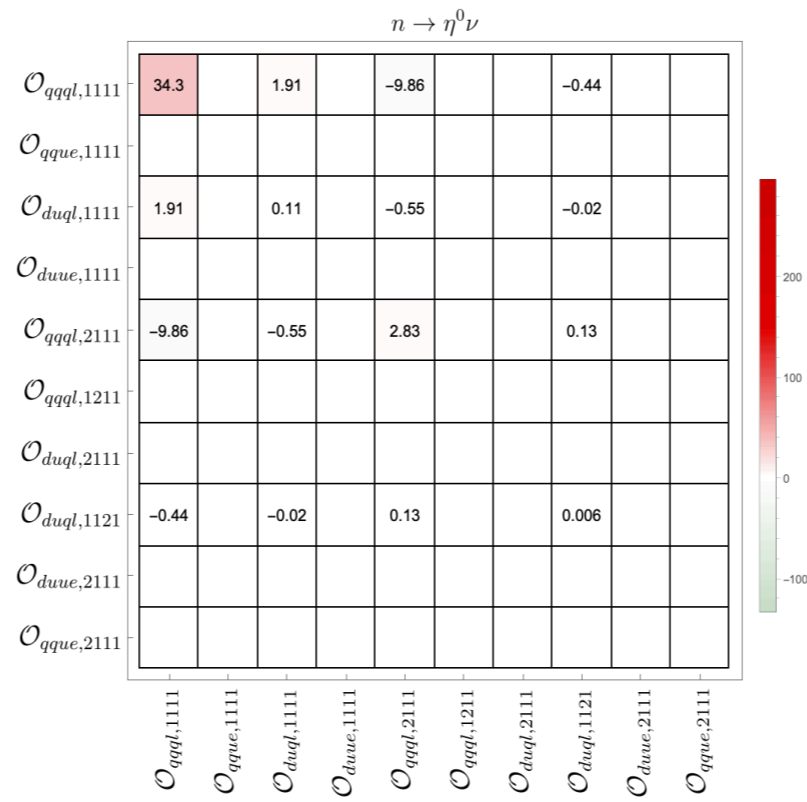
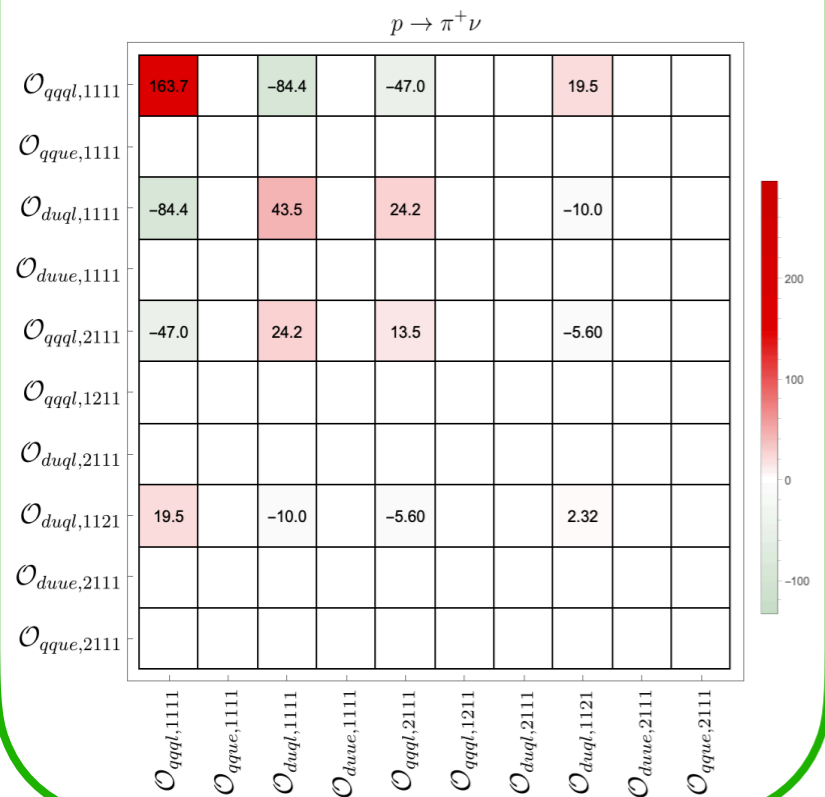
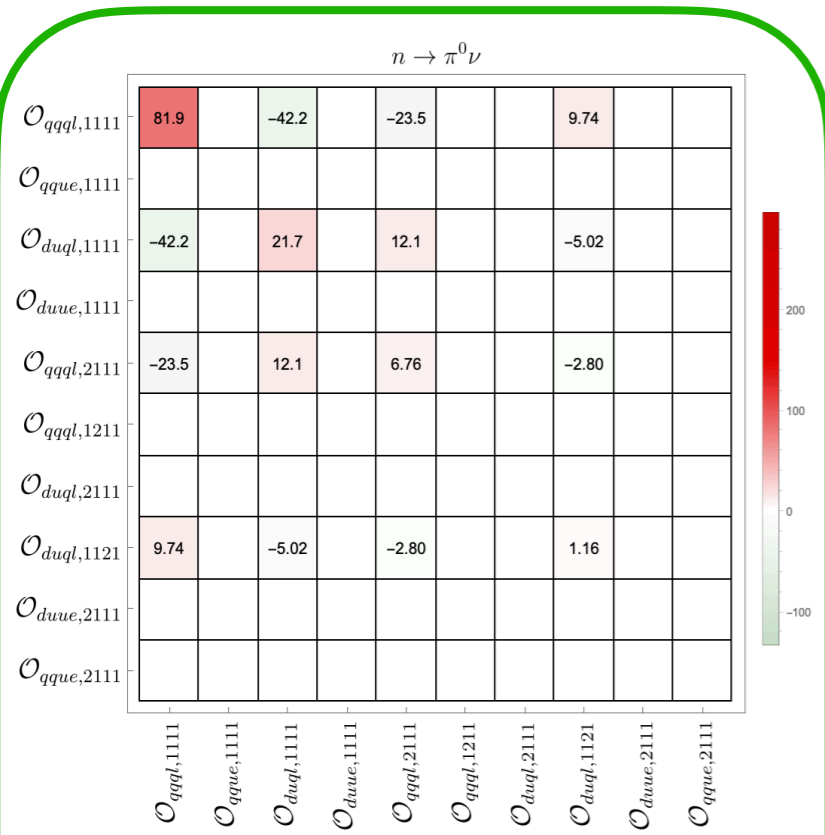
$$\Gamma(n \rightarrow K^0 \nu_r) = (32\pi f_\pi^2 m_n^3)^{-1} (m_n^2 - m_K^2)^2 \times$$

$$\left| -\alpha [L_{udd}^{S,LR}]_{12r1} + \beta [L_{udd}^{S,RR}]_{12r1} + \alpha [L_{udd}^{S,LR}]_{11r2} + \beta [L_{udd}^{S,RR}]_{11r2} \right. \\ \left. - \frac{m_n}{2m_\Sigma} \left( \alpha [L_{udd}^{S,LR}]_{12r1} + \beta [L_{udd}^{S,RR}]_{12r1} \right) (D - F) \right. \\ \left. + \frac{m_n}{6m_\Lambda} \left( \alpha [L_{udd}^{S,LR}]_{12r1} + \beta [L_{udd}^{S,RR}]_{12r1} + 2\alpha [L_{udd}^{S,LR}]_{11r2} + 2\beta [L_{udd}^{S,RR}]_{11r2} \right) (D + 3F) \right|^2$$

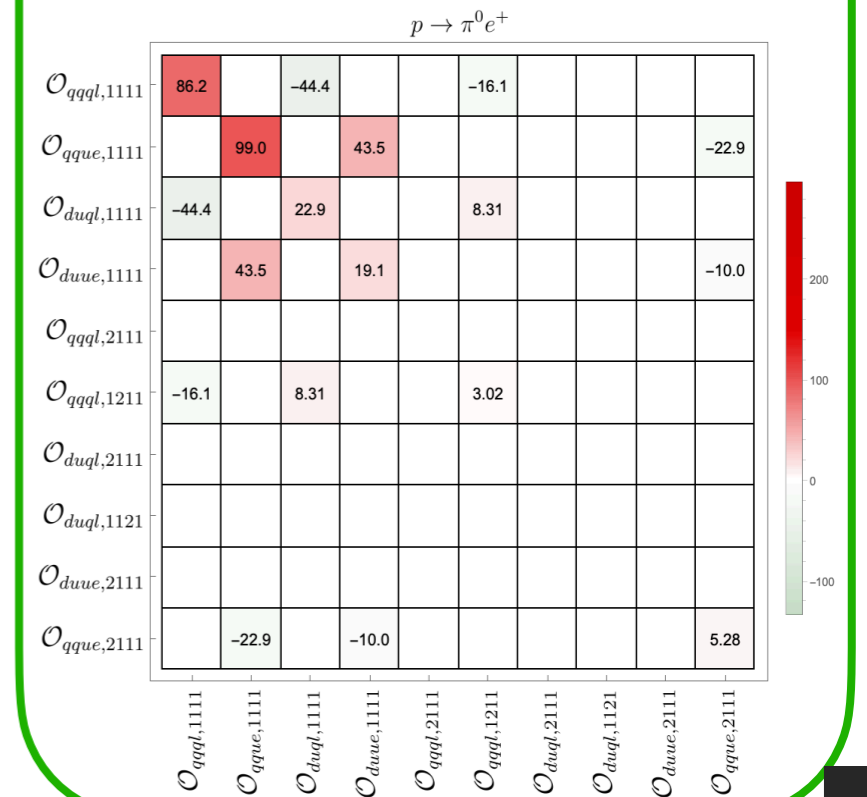
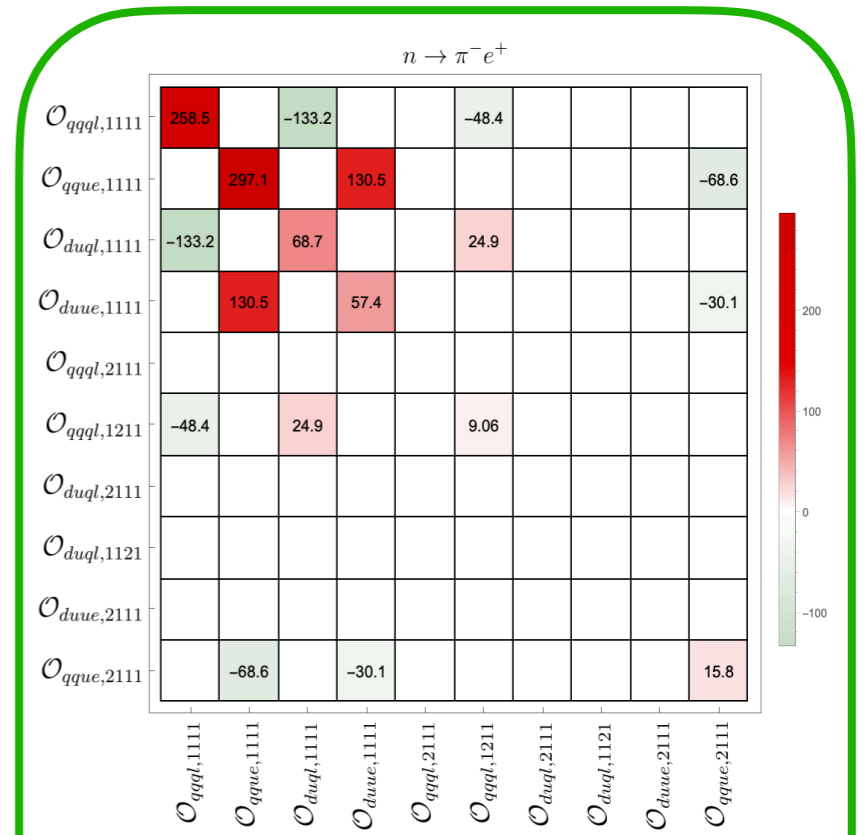
computed in the Lattice

# K-matrices

$$\Gamma(p \rightarrow \pi^+ \nu) = 2 \Gamma(n \rightarrow \pi^0 \nu)$$



$$\Gamma(n \rightarrow \pi^- e^+) = 3 \Gamma(p \rightarrow \pi^0 e^+)$$





## BNC Lagrangian

$$\begin{aligned}
\mathcal{L}_0 \supset & \left( \frac{D-F}{f_\pi} \bar{\Sigma}^+ \gamma^\mu \gamma_5 p - \frac{D+3F}{\sqrt{6}f_\pi} \bar{\Lambda}^0 \gamma^\mu \gamma_5 n - \frac{D-F}{\sqrt{2}f_\pi} \bar{\Sigma}^0 \gamma^\mu \gamma_5 n \right) \partial_\mu \bar{K}^0 \\
& + \left( \frac{D-F}{\sqrt{2}f_\pi} \bar{\Sigma}^0 \gamma^\mu \gamma_5 p - \frac{D+3F}{\sqrt{6}f_\pi} \bar{\Lambda}^0 \gamma^\mu \gamma_5 p + \frac{D-F}{f_\pi} \bar{\Sigma}^- \gamma^\mu \gamma_5 n \right) \partial_\mu K^- \\
& + \frac{3F-D}{2\sqrt{6}f_\pi} (\bar{p} \gamma^\mu \gamma_5 p + \bar{n} \gamma^\mu \gamma_5 n) \partial_\mu \eta \\
& + \frac{D+F}{f_\pi} \bar{p} \gamma^\mu \gamma_5 n \partial_\mu \pi^+ \\
& + \frac{D+F}{2\sqrt{2}f_\pi} (\bar{p} \gamma^\mu \gamma_5 p - \bar{n} \gamma^\mu \gamma_5 n) \partial_\mu \pi^0 + \text{h.c.} .
\end{aligned}$$

$$\xi B \xi \rightarrow L \xi B \xi R^\dagger$$

$$\xi^\dagger B \xi^\dagger \rightarrow R \xi^\dagger B \xi^\dagger L^\dagger$$

$$\xi B \xi^\dagger \rightarrow L \xi B \xi^\dagger L^\dagger$$

$$\xi^\dagger B \xi \rightarrow R \xi^\dagger B \xi R^\dagger$$

$$\xi B \xi \sim (\mathbf{3}, \bar{\mathbf{3}}), \quad \xi^\dagger B \xi^\dagger \sim (\bar{\mathbf{3}}, \mathbf{3}), \quad \xi B \xi^\dagger \sim (\mathbf{8}, \mathbf{1}), \quad \xi^\dagger B \xi \sim (\mathbf{1}, \mathbf{8})$$

$$\alpha \cdot \nu \text{tr}(\xi B \xi^\dagger P_{32}) = -(du)(d\nu) = [\mathcal{O}_{udd}]_{1111}^{S,LL}$$

$$\langle 0 | \epsilon^{abc} (\bar{u}_a^\dagger \bar{d}_b^\dagger) u_c | p^{(s)} \rangle = \alpha P_L u_p^{(s)}$$

$$\langle 0 | \epsilon^{abc} (u_a d_b) u_c | p^{(s)} \rangle = \beta P_L u_p^{(s)}$$

## BNV Lagrangian

Name	LEFT	Flavour/B $\chi$ PT
$[\mathcal{O}_{udd}^{S,LL}]_{rstu}$	$(u_r d_s)(d_t \nu_u)$	(8, 1)
$[\mathcal{O}_{udd}^{S,LL}]_{111r}$	$(ud)(d\nu_r)$	$-\beta \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{32}) \supset -\beta \bar{\nu}_{Lr}^c n - \frac{i\beta}{f_\pi} \bar{\nu}_{Lr}^c \left( \sqrt{\frac{3}{2}} n\eta - \frac{1}{\sqrt{2}} n\pi^0 + p\pi^- \right)$
$[\mathcal{O}_{udd}^{S,LL}]_{121r}$	$(us)(d\nu_r)$	$-\beta \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi^\dagger \tilde{P}_{22}) \supset -\beta \bar{\nu}_{Lr}^c \left( -\frac{\Lambda^0}{\sqrt{6}} + \frac{\Sigma^0}{\sqrt{2}} \right) - \frac{i\beta}{f_\pi} \bar{\nu}_{Lr}^c n \bar{K}^0$
$[\mathcal{O}_{udd}^{S,LL}]_{112r}$	$(ud)(s\nu_r)$	$-\beta \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{33}) \supset \beta \sqrt{\frac{2}{3}} \bar{\nu}_{Lr}^c \Lambda^0 - \frac{i\beta}{f_\pi} \bar{\nu}_{Lr}^c (n \bar{K}^0 + p K^-)$
$[\mathcal{O}_{duu}^{S,LL}]_{rstu}$	$(d_r u_s)(u_t e_u)$	(8, 1)
$[\mathcal{O}_{duu}^{S,LL}]_{111r}$	$(du)(ue_r)$	$-\beta \bar{e}_{Lr}^c \text{tr}(\xi B \xi^\dagger \tilde{P}_{31}) \supset \beta \bar{e}_{Lr}^c p + \frac{i\beta}{f_\pi} \bar{e}_{Lr}^c \left( \sqrt{\frac{3}{2}} p\eta + \frac{1}{\sqrt{2}} p\pi^0 + n\pi^+ \right)$
$[\mathcal{O}_{duu}^{S,LL}]_{211r}$	$(su)(ue_r)$	$-\beta \bar{e}_{Lr}^c \text{tr}(\xi B \xi^\dagger P_{21}) \supset -\beta \bar{e}_{Lr}^c \Sigma^+ + \frac{i\beta}{f_\pi} \bar{e}_{Lr}^c p \bar{K}^0$
$[\mathcal{O}_{uud}^{S,LR}]_{[rs]tu}$	$(u_r u_s)(\bar{d}_t^\dagger \bar{e}_u^\dagger)$	—
$[\mathcal{O}_{duu}^{S,LR}]_{rstu}$	$(d_r u_s)(\bar{u}_t^\dagger \bar{e}_u^\dagger)$	( $\bar{\mathbf{3}}$ , 3)
$[\mathcal{O}_{duu}^{S,LR}]_{111r}$	$(du)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\alpha \bar{e}_{Rr}^c \text{tr}(\xi^\dagger B \xi^\dagger \tilde{P}_{31}) \supset -\alpha \bar{e}_{Rr}^c p + \frac{i\alpha}{f_\pi} \bar{e}_{Rr}^c \left( -\frac{1}{\sqrt{6}} p\eta + \frac{1}{\sqrt{2}} p\pi^0 + n\pi^+ \right)$
$[\mathcal{O}_{duu}^{S,LR}]_{211r}$	$(su)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\alpha \bar{e}_{Rr}^c \text{tr}(\xi^\dagger B \xi^\dagger P_{21}) \supset \alpha \bar{e}_{Rr}^c \Sigma^+ - \frac{i\alpha}{f_\pi} \bar{e}_{Rr}^c p \bar{K}^0$
$[\mathcal{O}_{uud}^{S,RL}]_{[rs]tu}$	$(\bar{u}_r^\dagger \bar{u}_s^\dagger)(d_t e_u)$	—
$[\mathcal{O}_{duu}^{S,RL}]_{rstu}$	$(\bar{d}_r^\dagger \bar{u}_s^\dagger)(u_t e_u)$	(3, $\bar{\mathbf{3}}$ )
$[\mathcal{O}_{duu}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(ue_r)$	$-\alpha \bar{e}_{Lr}^c \text{tr}(\xi B \xi \tilde{P}_{31}) \supset \alpha \bar{e}_{Lr}^c p + \frac{i\alpha}{f_\pi} \bar{e}_{Lr}^c \left( -\frac{1}{\sqrt{6}} p\eta + \frac{1}{\sqrt{2}} p\pi^0 + n\pi^+ \right)$
$[\mathcal{O}_{duu}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(ue_r)$	$-\alpha \bar{e}_{Lr}^c \text{tr}(\xi B \xi P_{21}) \supset -\alpha \bar{e}_{Lr}^c \Sigma^+ - \frac{i\alpha}{f_\pi} \bar{e}_{Lr}^c p \bar{K}^0$
$[\mathcal{O}_{dud}^{S,RL}]_{rstu}$	$(\bar{d}_r^\dagger \bar{u}_s^\dagger)(d_t \nu_u)$	(3, $\bar{\mathbf{3}}$ )
$[\mathcal{O}_{dud}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(d\nu_r)$	$\alpha \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi \tilde{P}_{32}) \supset -\alpha \bar{\nu}_{Lr}^c n + \frac{i\alpha}{f_\pi} \bar{\nu}_{Lr}^c \left( \frac{1}{\sqrt{6}} n\eta + \frac{1}{\sqrt{2}} n\pi^0 - p\pi^- \right)$
$[\mathcal{O}_{dud}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(d\nu_r)$	$\alpha \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi P_{22}) \supset \alpha \bar{\nu}_{Lr}^c \left( \frac{\Lambda^0}{\sqrt{6}} - \frac{\Sigma^0}{\sqrt{2}} \right) + \frac{i\alpha}{f_\pi} \bar{\nu}_{Lr}^c n \bar{K}^0$
$[\mathcal{O}_{dud}^{S,RL}]_{112r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(s\nu_r)$	$\alpha \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi \tilde{P}_{33}) \supset \alpha \bar{\nu}_{Lr}^c \sqrt{\frac{2}{3}} \Lambda^0 - \frac{i\alpha}{f_\pi} \bar{\nu}_{Lr}^c (n \bar{K}^0 + p K^-)$
$[\mathcal{O}_{dud}^{S,RL}]_{212r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(s\nu_r)$	$\alpha \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi P_{23}) \supset \alpha \bar{\nu}_{Lr}^c \Xi^0$
$[\mathcal{O}_{ddu}^{S,RL}]_{[rs]tu}$	$(\bar{d}_r^\dagger \bar{d}_s^\dagger)(u_t \nu_u)$	(3, $\bar{\mathbf{3}}$ )
$[\mathcal{O}_{ddu}^{S,RL}]_{[12]1r}$	$(\bar{d}^\dagger \bar{s}^\dagger)(u\nu_r)$	$-\alpha \bar{\nu}_{Lr}^c \text{tr}(\xi B \xi P_{11}) \supset \alpha \bar{\nu}_{Lr}^c \left( \frac{\Lambda^0}{\sqrt{6}} + \frac{\Sigma^0}{\sqrt{2}} \right) - \frac{i\alpha}{f_\pi} \bar{\nu}_{Lr}^c p K^-$
$[\mathcal{O}_{duu}^{S,RR}]_{rstu}$	$(\bar{d}_r^\dagger \bar{u}_s^\dagger)(\bar{u}_t^\dagger \bar{e}_u^\dagger)$	(1, 8)
$[\mathcal{O}_{duu}^{S,RR}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\beta \bar{e}_{Rr}^c \text{tr}(\xi^\dagger B \xi \tilde{P}_{31}) \supset -\beta \bar{e}_{Rr}^c p + \frac{i\beta}{f_\pi} \bar{e}_{Rr}^c \left( \sqrt{\frac{3}{2}} p\eta + \frac{1}{\sqrt{2}} p\pi^0 + n\pi^+ \right)$
$[\mathcal{O}_{duu}^{S,RR}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\beta \bar{e}_{Rr}^c \text{tr}(\xi^\dagger B \xi P_{21}) \supset \beta \bar{e}_{Rr}^c \Sigma^+ + \frac{i\beta}{f_\pi} \bar{e}_{Rr}^c p \bar{K}^0$

Name	LEFT	Flavour/B $\chi$ PT
$[\mathcal{O}_{udd}^{S,LL}]_{rstu}$	$(u_r d_s)(d_t \nu_u)$	$(\mathbf{8}, \mathbf{1})$
$[\mathcal{O}_{udd}^{S,LL}]_{111r}$	$(ud)(d\nu_r)$	$-\beta \overline{\nu_{Lr}^c} \text{tr}(\xi B \xi^\dagger P_{32}) \supset -\beta \overline{\nu_{Lr}^c} n - \frac{i\beta}{f_\pi} \overline{\nu_{Lr}^c} \left( \sqrt{\frac{3}{2}} n \eta - \frac{1}{\sqrt{2}} n \pi^0 + p \pi^- \right)$
$[\mathcal{O}_{udd}^{S,LL}]_{121r}$	$(us)(d\nu_r)$	$-\beta \overline{\nu_{Lr}^c} \text{tr}(\xi B \xi^\dagger \tilde{P}_{22}) \supset -\beta \overline{\nu_{Lr}^c} \left( -\frac{\Lambda^0}{\sqrt{6}} + \frac{\Sigma^0}{\sqrt{2}} \right) - \frac{i\beta}{f_\pi} \overline{\nu_{Lr}^c} n \bar{K}^0$
$[\mathcal{O}_{udd}^{S,LL}]_{112r}$	$(ud)(s\nu_r)$	$-\beta \overline{\nu_{Lr}^c} \text{tr}(\xi B \xi^\dagger P_{33}) \supset \beta \sqrt{\frac{2}{3}} \overline{\nu_{Lr}^c} \Lambda^0 - \frac{i\beta}{f_\pi} \overline{\nu_{Lr}^c} (n \bar{K}^0 + p K^-)$
$[\mathcal{O}_{duu}^{S,LL}]_{rstu}$	$(d_r u_s)(u_t e_u)$	$(\mathbf{8}, \mathbf{1})$
$[\mathcal{O}_{duu}^{S,LL}]_{111r}$	$(du)(ue_r)$	$-\beta \overline{e_{Lr}^c} \text{tr}(\xi B \xi^\dagger \tilde{P}_{31}) \supset \beta \overline{e_{Lr}^c} p + \frac{i\beta}{f_\pi} \overline{e_{Lr}^c} \left( \sqrt{\frac{3}{2}} p \eta + \frac{1}{\sqrt{2}} p \pi^0 + n \pi^+ \right)$
$[\mathcal{O}_{duu}^{S,LL}]_{211r}$	$(su)(ue_r)$	$-\beta \overline{e_{Lr}^c} \text{tr}(\xi B \xi^\dagger P_{21}) \supset -\beta \overline{e_{Lr}^c} \Sigma^+ + \frac{i\beta}{f_\pi} \overline{e_{Lr}^c} p \bar{K}^0$
$[\mathcal{O}_{uud}^{S,LR}]_{[rs]tu}$	$(u_r u_s)(\bar{d}_t^\dagger \bar{e}_u^\dagger)$	—
$[\mathcal{O}_{duu}^{S,LR}]_{rstu}$	$(d_r u_s)(\bar{u}_t^\dagger \bar{e}_u^\dagger)$	$(\bar{\mathbf{3}}, \mathbf{3})$
$[\mathcal{O}_{duu}^{S,LR}]_{111r}$	$(du)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\alpha \overline{e_{Rr}^c} \text{tr}(\xi^\dagger B \xi^\dagger \tilde{P}_{31}) \supset -\alpha \overline{e_{Rr}^c} p + \frac{i\alpha}{f_\pi} \overline{e_{Rr}^c} \left( -\frac{1}{\sqrt{6}} p \eta + \frac{1}{\sqrt{2}} p \pi^0 + n \pi^+ \right)$
$[\mathcal{O}_{duu}^{S,LR}]_{211r}$	$(su)(\bar{u}^\dagger \bar{e}_r^\dagger)$	$\alpha \overline{e_{Rr}^c} \text{tr}(\xi^\dagger B \xi^\dagger P_{21}) \supset \alpha \overline{e_{Rr}^c} \Sigma^+ - \frac{i\alpha}{f_\pi} \overline{e_{Rr}^c} p \bar{K}^0$
$[\mathcal{O}_{uud}^{S,RL}]_{[rs]tu}$	$(\bar{u}_r^\dagger \bar{u}_s^\dagger)(d_t e_u)$	—
$[\mathcal{O}_{duu}^{S,RL}]_{rstu}$	$(\bar{d}_r^\dagger \bar{u}_s^\dagger)(u_t e_u)$	$(\mathbf{3}, \bar{\mathbf{3}})$
$[\mathcal{O}_{duu}^{S,RL}]_{111r}$	$(\bar{d}^\dagger \bar{u}^\dagger)(ue_r)$	$-\alpha \overline{e_{Lr}^c} \text{tr}(\xi B \xi \tilde{P}_{31}) \supset \alpha \overline{e_{Lr}^c} p + \frac{i\alpha}{f_\pi} \overline{e_{Lr}^c} \left( -\frac{1}{\sqrt{6}} p \eta + \frac{1}{\sqrt{2}} p \pi^0 + n \pi^+ \right)$
$[\mathcal{O}_{duu}^{S,RL}]_{211r}$	$(\bar{s}^\dagger \bar{u}^\dagger)(ue_r)$	$-\alpha \overline{e_{Lr}^c} \text{tr}(\xi B \xi P_{21}) \supset -\alpha \overline{e_{Lr}^c} \Sigma^+ - \frac{i\alpha}{f_\pi} \overline{e_{Lr}^c} p \bar{K}^0$

# Nucleon decay channels

$$\begin{array}{l}
 \Gamma(N \rightarrow M\ell_\alpha) \\
 \Delta(B-L) = 0
 \end{array}
 \left\{
 \begin{array}{l}
 n \rightarrow \eta^0\nu \\
 n \rightarrow \pi^0\nu \\
 p \rightarrow \pi^+\nu \\
 n \rightarrow \pi^-e^+ \\
 p \rightarrow \eta^0e^+ \\
 p \rightarrow \pi^0e^+ \\
 p \rightarrow K^0e^+ \\
 n \rightarrow K^0\nu \\
 p \rightarrow K^+\nu
 \end{array}
 \right.
 \quad
 \begin{array}{l}
 \Gamma(N \rightarrow M\ell_\alpha) \\
 |\Delta(B-L)| = 2
 \end{array}
 \left\{
 \begin{array}{l}
 n \rightarrow \eta^0\nu \\
 n \rightarrow \pi^0\nu \\
 p \rightarrow \pi^+\nu \\
 n \rightarrow K^0\nu \\
 p \rightarrow K^+\nu \\
 n \rightarrow K^+e^-
 \end{array}
 \right.$$

• All 2-body PS decays except for  $p \rightarrow \bar{K}^0e^+$   $n \rightarrow \bar{K}^0\nu$   $n \rightarrow K^-e^+$   $n \rightarrow \pi^+e^-$

(No B $\chi$ PT formalism developed for PD into vector mesons, e.g.  $p \rightarrow \rho^0e^+$ )

# SMEFT

- RGEs dominated by the SM gauge couplings → **enhancement**
- **QCD contributions universal** and dominant, BUT electroweak contributions are relevant for  $\mathcal{O}_{qqql,1111}$
- **top-loop contributions universal** and suppressive for  $d = 7$  WCs
- Operator Mixing subdominant for proton decay

$$c(m_W) \sim (2 - 4) c(10^{15} \text{ GeV})$$

- RGEs for  $d = 6$  SMEFT Manohar et. al. [2014]
- RGEs for  $d = 7$  SMEFT Yi Liao et. al. [2016]

# Phenomenological matrices

$$\Gamma_{(i)}^{\Delta(B-L)=0} \equiv 10^{-4} c_j^* \kappa_{(i)}^{jk} c_k \frac{m_p^5}{\Lambda^4} \quad \text{for} \quad i = p \rightarrow \pi^0 e^+, p \rightarrow K^+ \nu \dots \quad (9 \text{ matrices})$$

$$\Gamma_{(i)}^{|\Delta(B-L)|=2} \equiv 10^{-4} c_j^* \kappa_{(i)}^{jk} c_k \frac{m_p^7}{\Lambda^6} \quad \text{for} \quad i = p \rightarrow K^+ \nu, n \rightarrow K^+ e^- \dots \quad (6 \text{ matrices})$$

(Numerical  $\kappa$ -matrices available online)

$n \rightarrow K^0 \nu$

$p \rightarrow K^+ \nu$

