

Extending the SM with Vector-Like Quarks: consequences for CKM unitarity and CP violation

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Based on:
[2111.15401]
[2210.14248]



Motivation

A fourth chiral generation of quarks is ruled out, but the quark sector can be extended with VLQs.

VLQs take part in many models from GUTs, to the Nelson-Barr solutions to the strong CP problem. They have a rich phenomenology that can be used to try to explain several types of anomalies/ tensions.

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Cabibbo Angle Anomaly (CAA): The independent determinations of $|V_{us}|$ (semi-leptonic kaon decays), the ratio $|V_{us}/V_{ud}|$ (kaon and pion leptonic decays) and $|V_{ud}|$ (β decays) are not in agreement with each other within the framework of the CKM unitarity of SM (discrepancy of $\sim 3\sigma$). These values fit best to the relation

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 - \Delta^2, \quad \Delta \approx 0.04$$

Extensions with VLQs iso-singlets are natural candidates to explain this anomaly because they introduce deviations to CKM unitarity.

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CP Violation: The introduction of VLQs leads to larger mass matrices and, in principle, more physical phases which could lead to the enhancement of CP violation in the quark sector.

Solving the CAA with an up-type VLQ iso-singlet

Introducing a $Q = 2/3$ VLQ iso-singlet $T = T_L + T_R$ with mass m_T leads to:

- $-\mathcal{L}_Y = y_{ij}^u \overline{Q'_{iL}} \tilde{\Phi} u'_{jR} + y_{i4}^u \overline{Q'_{iL}} \tilde{\Phi} T'_R + y_{ij}^d \overline{Q'_{iL}} \Phi d'_{jR} + \underbrace{M_i^u \overline{T'_L} u'_{iR} + M_4^u \overline{T'_L} T'_R}_{\text{Bare mass terms}} + h.c.$
- $-\mathcal{L}_m = \left(\overline{u'_L} \quad \overline{T'_L} \right) \mathcal{M}_u \begin{pmatrix} u'_R \\ T'_R \end{pmatrix} + \overline{d'_L} m_d d'_R + h.c.$

$$m_{u,d} = v y_{u,d}$$

$$\mathcal{M}_u = \left(\begin{array}{c} m_u \\ M_u \end{array} \right) \left. \begin{array}{l} \} 3 \\ \} 1 \end{array} \right\} \underbrace{\hspace{1cm}}_4$$

Both T_L and T_R have the same quantum numbers as u_R .

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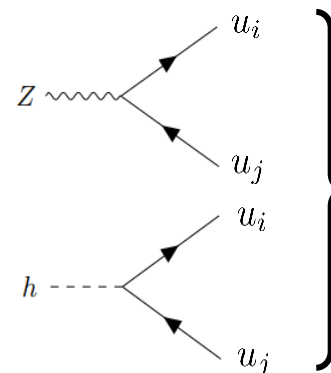
$$\mathcal{M}_u = \underbrace{\begin{pmatrix} m_u \\ M_u \end{pmatrix}}_4 \Bigg\}^3_1$$

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In the mass basis we get **non-unitary mixing** and **tree-level FCNCs**:

- $$\mathcal{L}_W = -\frac{g}{\sqrt{2}} W^+ \left(\overline{u}_L \quad \overline{T}_L \right) V_{\text{CKM}} \gamma_\mu d_L + h.c. \longrightarrow \text{Non-Unitary 4x3 CKM matrix}$$

- $$\mathcal{L}_Z = \frac{g}{2c_W} Z^\mu \left[\left(\overline{u}_L \quad \overline{T}_L \right) F_u \gamma_\mu \begin{pmatrix} u_L \\ T_L \end{pmatrix} - \overline{d}_L \gamma_\mu d_L - 2s_W^2 J_{\text{EM}}^\mu \right]$$



- $$-\mathcal{L}_h = \frac{h}{v} \left[\left(\overline{u}_L \quad \overline{T}_L \right) F_u \begin{pmatrix} D_u & 0 \\ 0 & m_T \end{pmatrix} \begin{pmatrix} u_R \\ T_R \end{pmatrix} + \overline{d}_L D_d d_R \right] + h.c.$$

Solving the CAA with an up-type VLQ iso-singlet

The mixing can be parametrized as:

- Auxiliary Unitary Matrix:

$$\mathcal{V} = \underbrace{\begin{pmatrix} A_u \\ B_u \end{pmatrix}}_4 \begin{matrix} \} 3 \\ \} 1 \end{matrix}$$
- Non-Unitary 4x3 CKM Matrix:

$$V_{\text{CKM}} = A_u^\dagger$$
- Matrix Controlling FCNCs:

$$F_u = V_{\text{CKM}} V_{\text{CKM}}^\dagger$$

$$\mathcal{V}^\dagger = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & c_{34} & s_{34} \\ 0 & 0 & -s_{34} & c_{34} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c_{24} & 0 & s_{24}e^{-i\delta_{24}} \\ 0 & 0 & 1 & 0 \\ 0 & -s_{24}e^{i\delta_{24}} & 0 & c_{24} \end{pmatrix} \begin{pmatrix} c_{14} & 0 & 0 & s_{14}e^{-i\delta_{14}} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s_{14}e^{i\delta_{14}} & 0 & 0 & c_{14} \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c_{23} & s_{23} & 0 \\ 0 & -s_{23} & c_{23} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} & 0 \\ 0 & 1 & 0 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 & 0 \\ -s_{12} & c_{12} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \begin{matrix} \theta_{ij} \in [0, \pi/2] \\ \delta_{ij} \in [0, 2\pi] \end{matrix}$$

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We have for the first row of the mixing: $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 - s_{14}^2 \xrightarrow{\text{CAA}} s_{14} \approx 0.04 \sim \lambda^2$

General solution and its parameter space analyzed in **Branco et al. [2103.13409]** for $m_T > 1$ TeV

At first glance, a “minimal” solution to the CAA could be: $s_{14} \approx 0.04 \quad s_{24}, s_{34} = 0 \quad \delta_{14}, \delta_{24} \longrightarrow$ Factored out
 We study this case in **Botella et al. [2111.15401]**.

Phenomenology: the s_{14} - dominance limit

$$V_{\text{CKM}} = \begin{pmatrix} c_{12}c_{13}c_{14} & s_{12}c_{13}c_{14} & s_{13}c_{14}e^{-i\delta} \\ -s_{12}c_{23} - e^{i\delta}c_{12}s_{13}s_{23} & c_{12}c_{23} - e^{i\delta}s_{12}s_{13}s_{23} & c_{13}s_{23} \\ s_{12}s_{23} - e^{i\delta}c_{12}s_{13}c_{23} & -c_{12}s_{23} - e^{i\delta}s_{12}s_{13}c_{23} & c_{13}c_{23} \\ -c_{12}c_{13}s_{14} & -s_{12}c_{13}s_{14} & -s_{13}s_{14}e^{-i\delta} \end{pmatrix} \quad F_u = \begin{pmatrix} c_{14}^2 & 0 & 0 & -s_{14}c_{14} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s_{14}c_{14} & 0 & 0 & s_{14}^2 \end{pmatrix}$$

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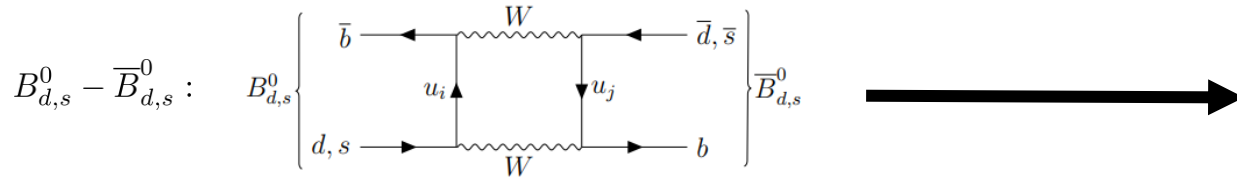
- The VLQ decays predominantly to the first generation: $\text{Br}(T \rightarrow dW^+) + \text{Br}(T \rightarrow uZ) + \text{Br}(T \rightarrow uh) \simeq 1 \implies m_T > 0.685 \text{ TeV}$
Sirunyan et al. (CMS) [1708.02510]
- Typically searches assume: $\text{Br}(T \rightarrow bW^+) + \text{Br}(T \rightarrow tZ) + \text{Br}(T \rightarrow th) \simeq 1 \implies m_T > 1 - 1.3 \text{ TeV}$

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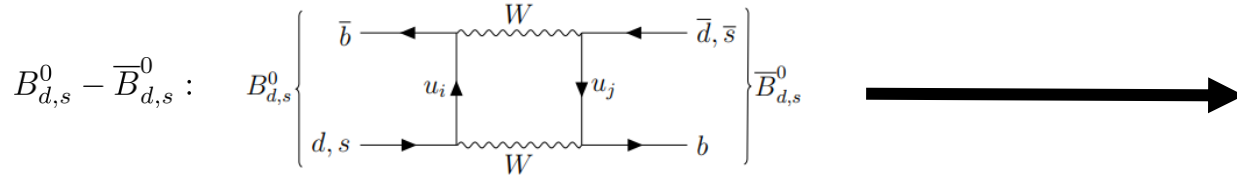
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$B_d^0 - \bar{B}_d^0$:			$\sim \lambda^4$
$B_s^0 - \bar{B}_s^0$:			$\sim \lambda^6$

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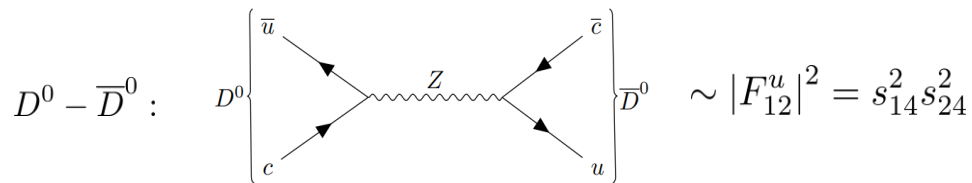
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- No NP contribution to $D^0 - \bar{D}^0$ in the s_{14} - dominance limit:



or to $K_L \rightarrow \pi^0 \bar{\nu} \nu$ or ε'/ε , since $\text{Im}(V_{Td}V_{Ts}^*) = 0$

	NP	SM	NP/SM
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$B_s^0 - \bar{B}_s^0$:			$\sim \lambda^6$

Phenomenology: Kaon Physics

The kaon system imposes the most stringent constraints.

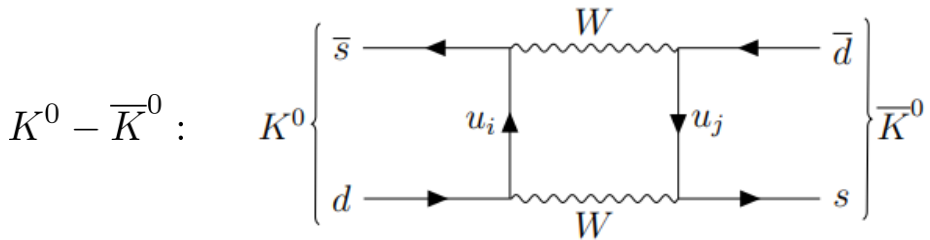
$$K^0 - \bar{K}^0 : \quad \left(\begin{array}{c} \bar{s} \leftarrow \text{---} \overbrace{\text{---} W \text{---}}^{\text{---}} \leftarrow \bar{d} \\ \uparrow u_i \quad \downarrow u_j \\ d \rightarrow \text{---} \underbrace{\text{---} W \text{---}}_{\text{---}} \rightarrow s \end{array} \right) \bar{K}^0$$

$$\left. \begin{array}{l} |\varepsilon_K^{\text{exp}}| \simeq (2.228 \pm 0.011) \times 10^{-3} \\ |\varepsilon_K^{\text{SM}}| \simeq (2.16 \pm 0.18) \times 10^{-3} \end{array} \right\} |\varepsilon_K^{\text{NP}}| \approx (0.68 \pm 1.80) \times 10^{-4} \implies \begin{array}{l} s_{24} \neq 0 \\ \sin(\delta_{24} - \delta_{14}) \neq 0 \\ \Downarrow \\ \text{Use instead } s_{24}, s_{34} \ll s_{14} \end{array}$$

SM prediction from **Brod et al. [1911.06822]**

Phenomenology: Kaon Physics

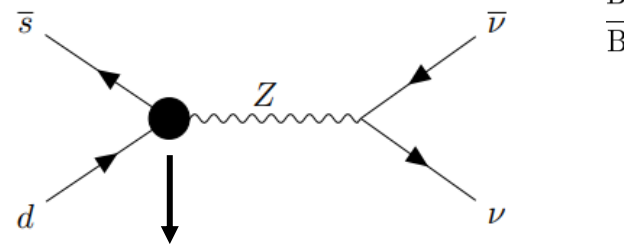
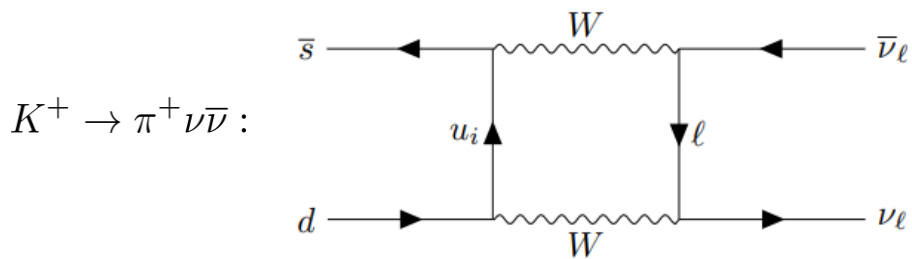
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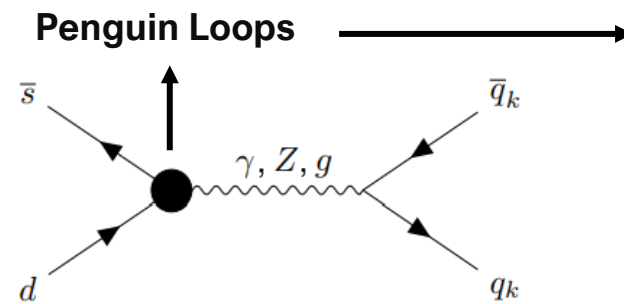
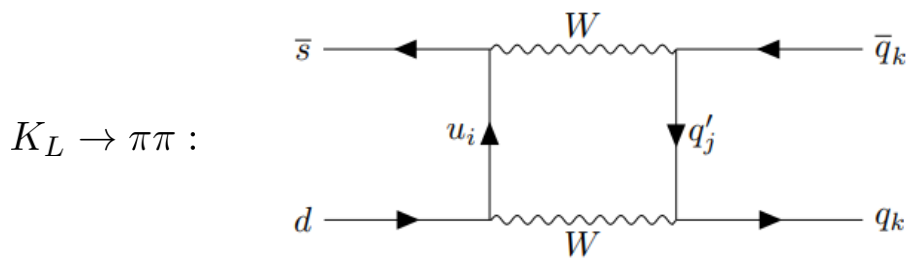
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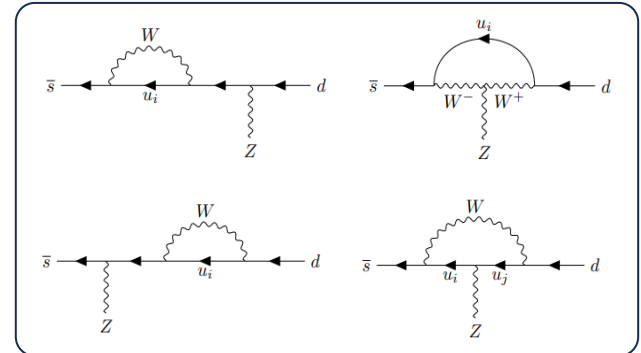
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$$\frac{\text{Br}(K^+ \rightarrow \pi^+ \bar{\nu} \nu)_{\text{exp}}}{\text{Br}(K^+ \rightarrow \pi^+ \bar{\nu} \nu)_{\text{SM}}} = \frac{(10.6_{-3.4}^{+4.0} \pm 0.9) \times 10^{-11}}{(8.4 \pm 0.1) \times 10^{-11}} = 1.26 \pm 0.51$$



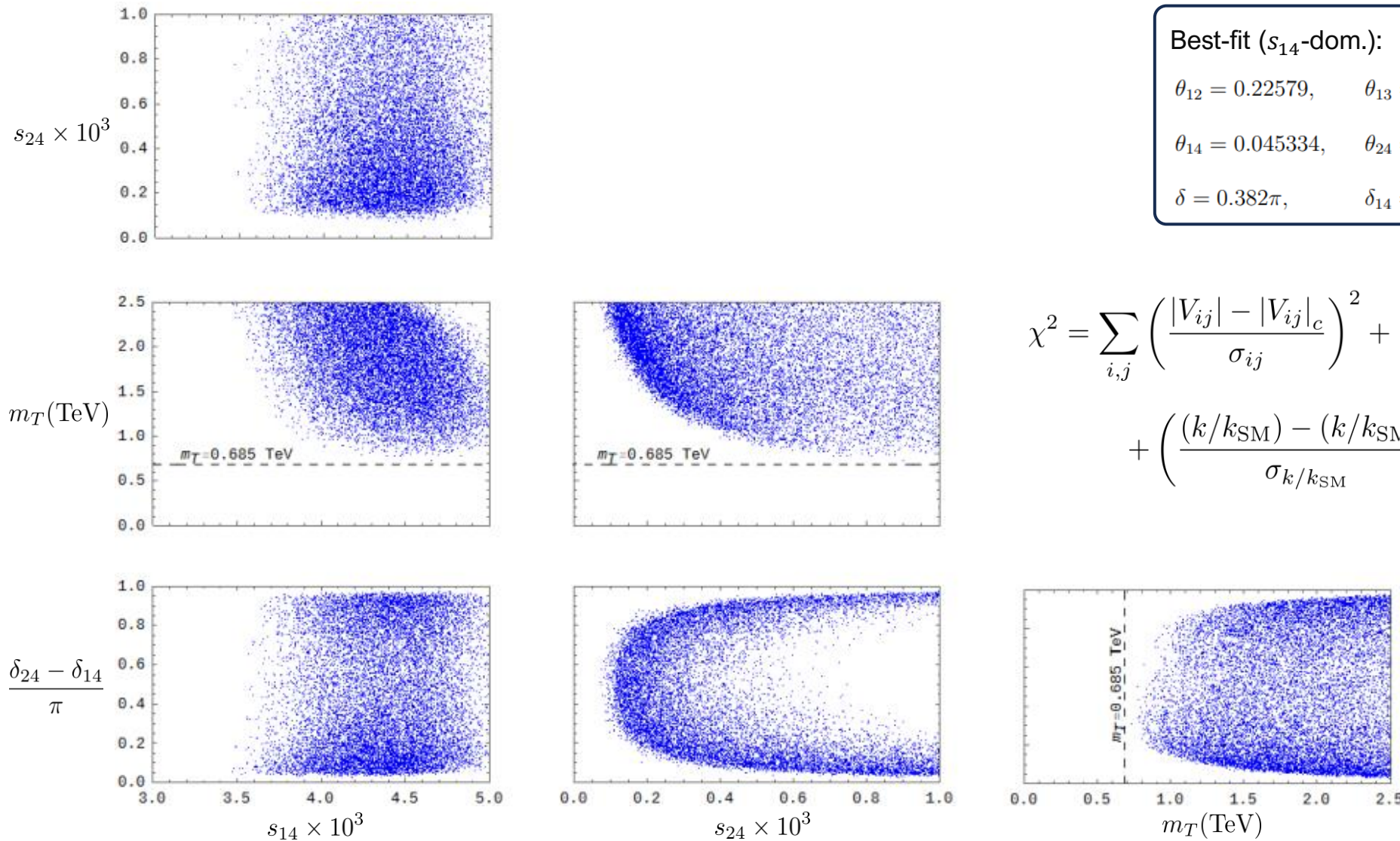
EW Penguin Loops



$$-4 \times 10^{-4} \lesssim \left(\frac{\epsilon'}{\epsilon} \right)_{1\sigma}^{\text{NP}} \lesssim 10 \times 10^{-4}$$

[Aebischer et al. 2005.0597]

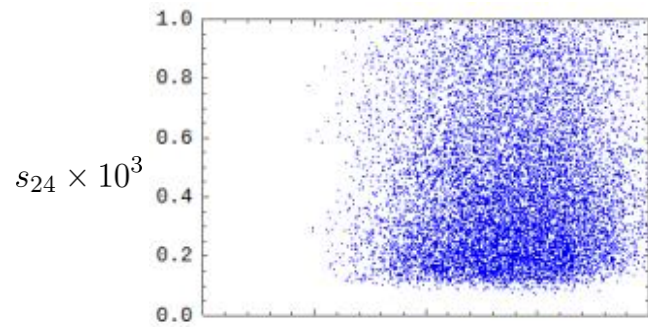
Phenomenology: s_{14} -dominance Fit



Constraints:

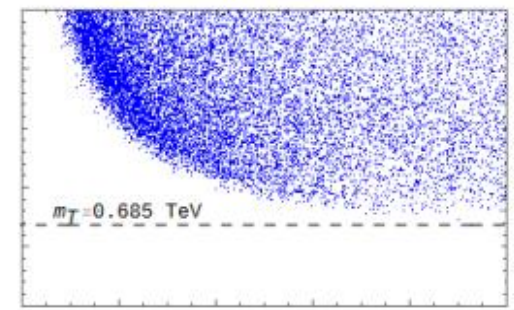
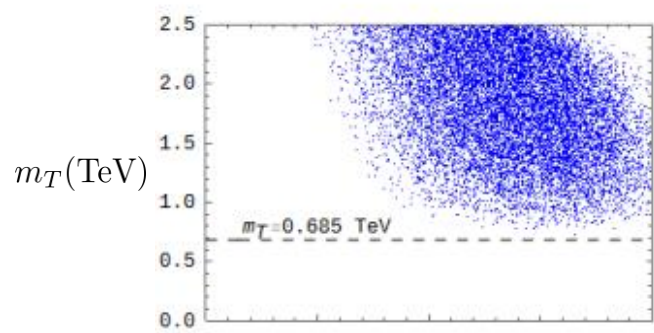
- $(\Delta m_K^{\text{NP}})_{\text{SD}} < \Delta m_K^{\text{exp}}$
- $\sqrt{\chi^2} < 3$
- $m_T \in [0.685, 2.5] \text{ TeV}$
- $s_{24}, s_{34} \in [0, 0.001]$

Phenomenology: s_{14} -dominance Fit



Mass Lower Bound:
 $m_T \approx 800 \text{ GeV}$

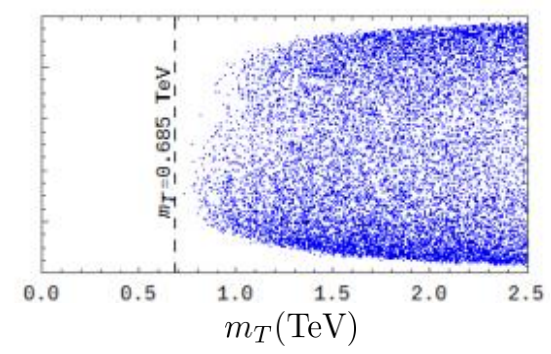
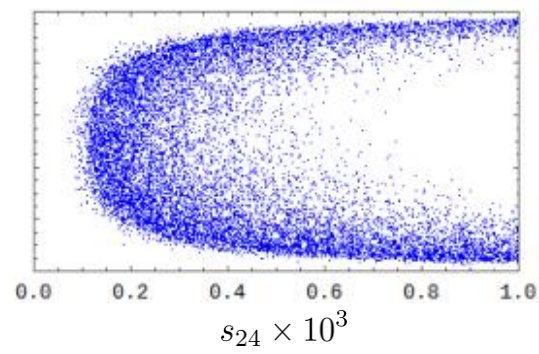
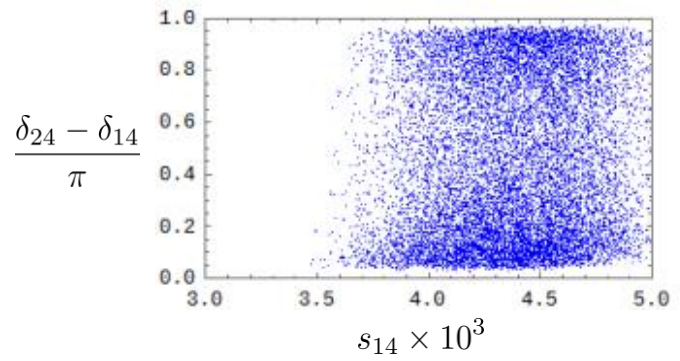
Best-fit (s_{14} -dom.): $\sqrt{\chi^2} \simeq 2.25$ $m_T = 1477 \text{ GeV}$
 $\theta_{12} = 0.22579,$ $\theta_{13} = 0.0038275,$ $\theta_{23} = 0.039524,$
 $\theta_{14} = 0.045334,$ $\theta_{24} = 7.412 \times 10^{-4},$ $\theta_{34} = 2.346 \times 10^{-4},$
 $\delta = 0.382\pi,$ $\delta_{14} = 1.872\pi,$ $\delta_{24} = 1.979\pi.$



$$\chi^2 = \sum_{i,j} \left(\frac{|V_{ij}| - |V_{ij}|_c}{\sigma_{ij}} \right)^2 + \left(\frac{\gamma - \gamma_c}{\sigma_\gamma} \right)^2 + \left(\frac{|\varepsilon_K^{\text{NP}}| - |\varepsilon_K^{\text{NP}}|_c}{\sigma_\varepsilon} \right)^2$$

$$+ \left(\frac{(k/k_{\text{SM}}) - (k/k_{\text{SM}})_c}{\sigma_{k/k_{\text{SM}}}} \right)^2 + \left(\frac{(\varepsilon'/\varepsilon)^{\text{NP}} - (\varepsilon'/\varepsilon)_c}{\sigma_{\varepsilon'/\varepsilon}} \right)^2$$

$k \equiv \text{Br}(K^+ \rightarrow \pi^+ \bar{\nu} \nu)$



Constraints:

- $(\Delta m_K^{\text{NP}})_{\text{SD}} < \Delta m_K^{\text{exp}}$
- $\sqrt{\chi^2} < 3$
- $m_T \in [0.685, 2.5] \text{ TeV}$
- $s_{24}, s_{34} \in [0, 0.001]$

Weak-Basis Invariants (WBIs) – Standard Model

Weak-basis invariant quantities can relate the parameters in any WB to physical quantities (masses and mixing).

WBIs remain unchanged under weak-basis transformations (WBTs) which leave EW currents flavor-diagonal.

- WBTs in the SM: $Q'_L \rightarrow W_L Q'_L, \quad u'_R \rightarrow W_R^u u'_R, \quad d'_R \rightarrow W_R^d d'_R$ $W_L, W_R^{u,d} \longrightarrow$ 3x3 unitary matrices

- Hermitian “building blocks”: $(h_u)^n = (m_u m_u^\dagger)^n \rightarrow W_L^\dagger h_u^n W_L$

$$(h_d)^n = (m_d m_d^\dagger)^n \rightarrow W_L^\dagger h_d^n W_L$$

- Moduli of CKM determined by CP-even WBIs: $\text{tr}(h_u^n h_d^m) = \sum_{\alpha,i=1}^3 m_{u_\alpha}^{2n} m_{d_i}^{2m} |V_{\alpha i}|^2$

- CP violating is determined by a single CP-odd WBI:

$$\text{tr}[h_u, h_d]^3 = 6i(m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)(m_b^2 - m_s^2)(m_b^2 - m_d^2)(m_s^2 - m_d^2) I_{\text{CP}}$$

$$I_{\text{CP}} = \text{Im}(V_{\alpha i} V_{\beta j} V_{\alpha j}^* V_{\beta i}^*) = s_{12} s_{13} s_{23} c_{12} c_{13}^2 c_{23} \sin \delta$$

- The masses are determined by:

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- More CP violating phases imply more independent CP-odd WBI. The WBI of lowest mass dimension is:

$$\text{tr} \left([h_u, h_d] h_u^{(2)} \right) = 2i \sum_{i=1}^3 \sum_{\alpha, \beta=1}^4 m_{d_i}^2 m_{u_\alpha}^4 m_{u_\beta}^2 \text{Im} (F_{\alpha\beta}^u V_{\alpha i}^* V_{\beta i}) \sim M^8$$

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$$\mathcal{H}_u = \mathcal{M}_u^\dagger M_u$$

$$\det (\mathcal{H}_u) = m_u^2 m_c^2 m_t^2 m_T^2$$

$$\chi_2 (\mathcal{H}_u) = m_u^2 m_c^2 m_t^2 + m_u^2 m_c^2 m_T^2 + m_u^2 m_t^2 m_T^2 + m_c^2 m_t^2 m_T^2$$

WBIs – Enhancement of CP Violation with VLQs

CP violation should depend on dimensionless quantities such as

$$\mathcal{I}_{\text{SM}} = \text{tr} \left[y_u y_u^\dagger, y_d y_d^\dagger \right]^3 = \frac{\overbrace{\text{tr} [h_u, h_d]^3}^{\sim M^{12}}}{v^{12}} \sim 10^{-25}$$

$$\mathcal{I}_{\text{VLQ}} = \frac{\overbrace{\text{tr} \left([h_u, h_d] h_u^{(2)} \right)}^{\sim M^8}}{v^6 m_T^2}$$

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Some CP-odd dimensionless WBIs from VLQ extensions can be significantly larger than the SM one:

Best-fit (s_{14} -dom.): $\sqrt{\chi^2} \simeq 2.25$		$m_T = 1477 \text{ GeV}$
$\theta_{12} = 0.22579,$	$\theta_{13} = 0.0038275,$	$\theta_{23} = 0.039524,$
$\theta_{14} = 0.045334,$	$\theta_{24} = 7.412 \times 10^{-4},$	$\theta_{34} = 2.346 \times 10^{-4},$
$\delta = 0.382\pi,$	$\delta_{14} = 1.872\pi,$	$\delta_{24} = 1.979\pi.$

$$\mathcal{I}_{\text{VLQ}} = \frac{\text{tr} \left([h_u, h_d] h_u^{(2)} \right)}{v^6 m_T^2} \simeq 2.02 \times 10^{-10}$$

$$\mathcal{I}'_{\text{VLQ}} = \frac{\text{tr} \left([h_u^2, h_d] h_u^{(2)} \right)}{v^8 m_T^2} \simeq 1.16 \times 10^{-10}$$

Important for Baryogenesis??

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In these extensions we can even obtain CP violation in the limit of extremely high energies (extreme chiral limit) where $m_u = m_c = m_d = m_s = 0$ and $\mathcal{I}_{\text{SM}} = 0$ (also pointed out in **del Aguila et al. [hep-ph/9703410]**).

$$\text{tr} \left([h_u, h_d] h_u^{(2)} \right) = 2i m_b^2 m_t^2 m_T^2 (m_T^2 - m_t^2) I_{\text{ECL}}$$

$$I_{\text{ECL}} = c_{23} c_{14}^2 c_{24}^2 c_{34} s_{23} s_{24} s_{34} \sin \delta_{\text{ECL}}$$

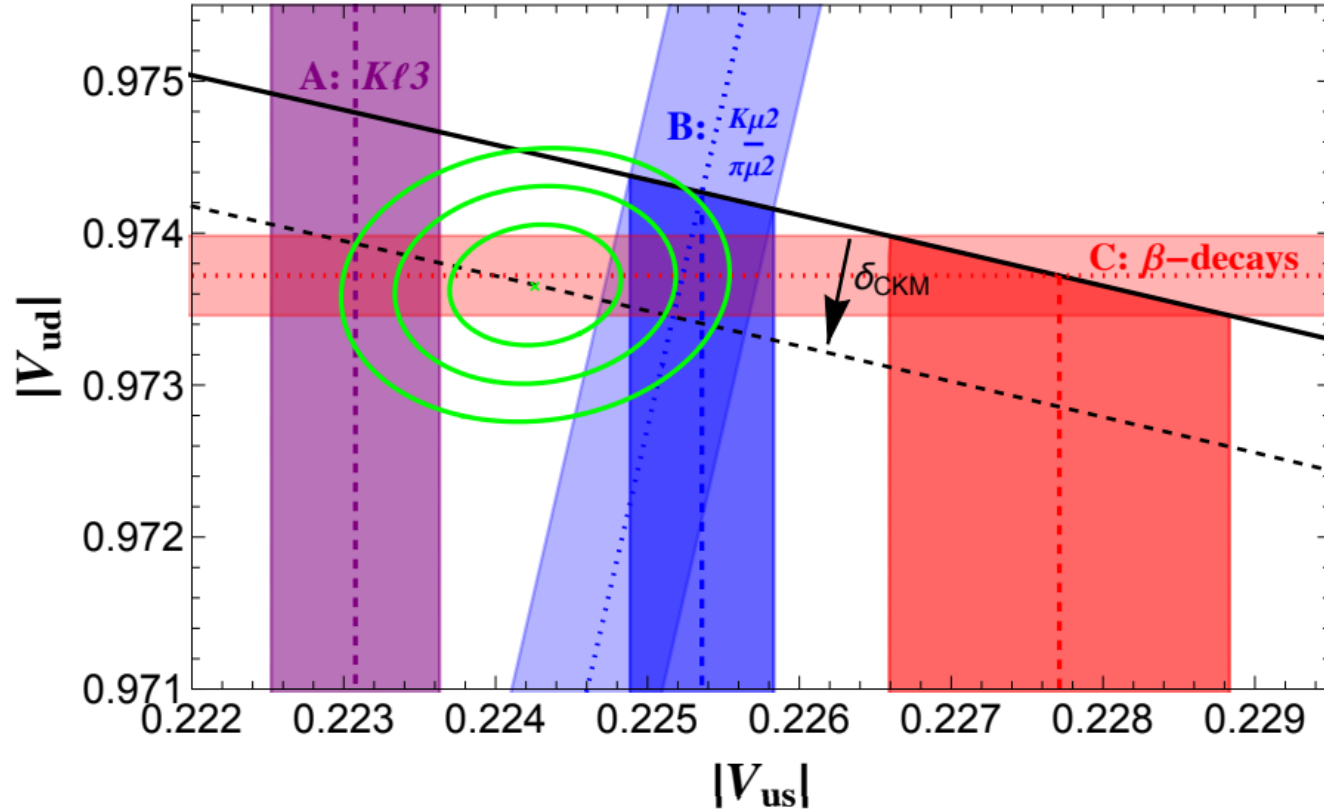
$$V_{\text{CKM}} = \begin{pmatrix} c_{14} & 0 & 0 \\ -s_{14} s_{24} & c_{23} c_{24} & s_{23} c_{24} \\ -s_{14} c_{24} s_{34} e^{i\delta_{\text{CL}}} & -s_{23} c_{34} - c_{23} s_{24} s_{34} e^{i\delta_{\text{CL}}} & c_{23} c_{34} - s_{23} s_{24} s_{34} e^{i\delta_{\text{CL}}} \\ -s_{14} c_{24} c_{34} e^{i\delta_{\text{CL}}} & s_{23} s_{34} - c_{23} s_{24} c_{34} e^{i\delta_{\text{CL}}} & -c_{23} s_{34} - s_{23} s_{24} c_{34} e^{i\delta_{\text{CL}}} \end{pmatrix}$$

Summary/Conclusions

- Extension with VLQs can provide very simple solutions to the CAA.
- The s_{14} -dominance limit is particularly safe in relation to a large variety of pheno. constraints and is related to an unusual decay pattern for the VLQ.
- The assumption of dominant decays of VLQs to the first generation has been largely overlooked but should be more seriously considered.
- The introduction of VLQs to the theory could significantly enhance CP violation in the quark sector and even achieve CP violation at very high energies. This is also a consequence of CKM non-unitarity.

Thank You!

B. Belfatto and S. Trifinopoulos [2302.14097]



From unitarity:

$$|V_{us}|_A = 0.22308(55)$$

$$|V_{us}|_B = 0.22536(47)$$

$$|V_{us}|_C = 0.2277(11)$$

$$|V_{us}|_{A+B} = 0.22440(51)$$

vs

$$|V_{us}|_C = 0.2277(11)$$

} CAA1: $\sim 2.7\sigma$

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} CAA2: $\sim 3.1\sigma$

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vs

$$|V_{us}|_C = 0.2277(11)$$

} $\sim 3.7\sigma$

Neutral Meson Mixings

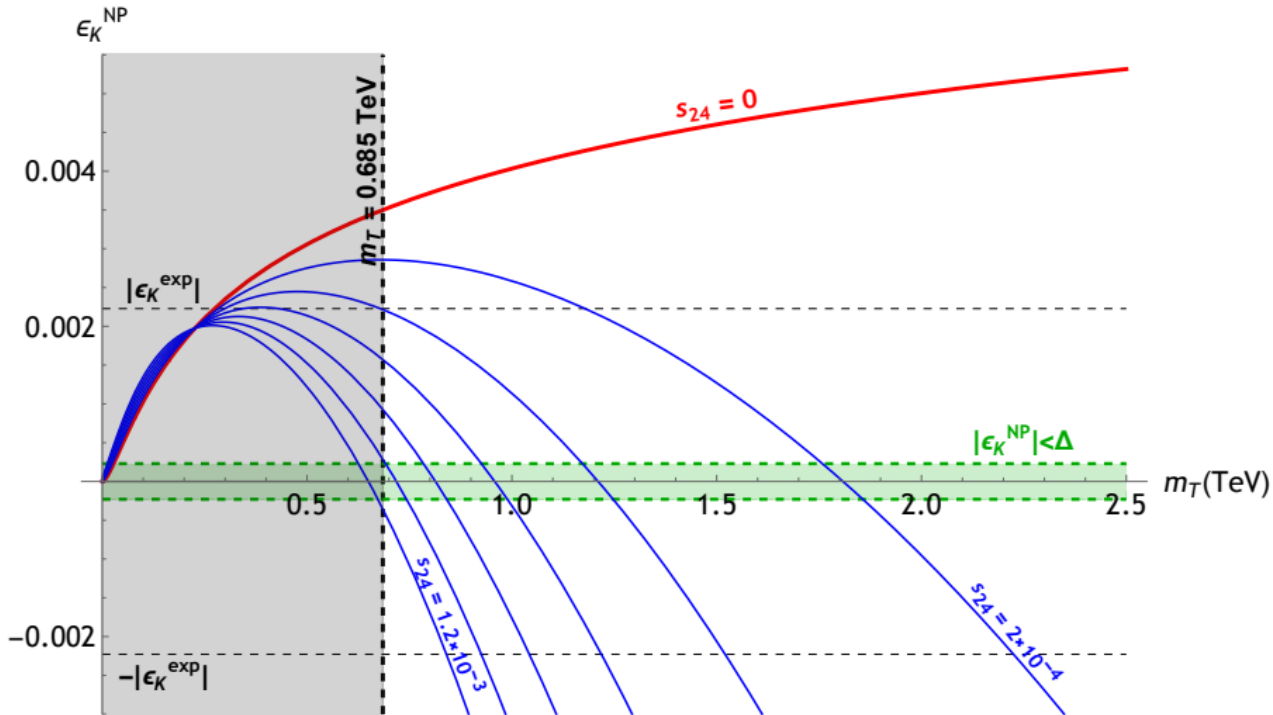
$$\Delta m_N^{\text{NP}} \simeq \frac{G_F^2 M_W^2 m_N f_N^2 B_N}{6\pi^2} |2\eta_{cT}^N S_{cT} \lambda_c^N \lambda_T^N + 2\eta_{tT}^N S_{tT} \lambda_t^N \lambda_T^N + \eta_{TT}^N S_T (\lambda_T^N)^2|$$

$$\lambda_i^K = V_{id} V_{is}^*$$

$$|\epsilon_K^{\text{NP}}| \simeq \frac{G_F^2 M_W^2 m_K f_K^2 B_K \kappa_\epsilon}{12\sqrt{2}\pi^2 \Delta m_K} |\text{Im} [2\eta_{cT}^K S_{cT} \lambda_c^K \lambda_T^K + 2\eta_{tT}^K S_{tT} \lambda_t^K \lambda_T^K + \eta_{TT}^K S_T (\lambda_T^K)^2]| = \frac{G_F^2 M_W^2 m_K f_K^2 B_K \kappa_\epsilon}{12\sqrt{2}\pi^2 \Delta m_K} \mathcal{F}$$

$$\lambda_i^{B_d} = V_{id} V_{ib}^*$$

$$\lambda_i^{B_s} = V_{is} V_{ib}^*$$



- $s_{14} \sim \lambda^2, s_{24} = 0$: $\mathcal{F} \approx 2\eta_{tT}^K S_{tT} s_{12} s_{14}^2 s_{13} s_{23} \sin \delta$
- $s_{14} \sim \lambda^2, s_{24} \sim \lambda^4$:
 $\mathcal{F} \approx 2s_{12} s_{14}^2 (\eta_{tT}^K S_{tT} s_{13} s_{23} \sin \delta - \eta_{TT}^K S_{TT} s_{14} s_{24} \sin \delta')$

$$\delta' = \delta_{24} - \delta_{14}$$

Kaon Decays

- $$\frac{\text{Br}(K^+ \rightarrow \pi^+ \bar{\nu} \nu)}{\text{Br}(K^+ \rightarrow \pi^+ \bar{\nu} \nu)_{\text{SM}}} = \left| \frac{\lambda_c^K X^{\text{NNL}}(x_c) + \lambda_t^K X(x_t) + \lambda_T^K X(x_T) + A_{ds}}{\lambda_c^K X^{\text{NNL}}(x_c) + \lambda_t^K X(x_t)} \right|^2$$

$$A_{ds} = \sum_{i,j=c,t,T} V_{is}^* (F^u - I)_{ij} V_{jd} N(x_i, x_j) \simeq -\frac{x_T}{8} c_{14}^2 c_{24}^2 \lambda_T^K$$

$$N(x_i, x_j) = \frac{x_i x_j}{8} \left(\frac{\log x_i - \log x_j}{x_i - x_j} \right)$$

$$N(x_i, x_i) \equiv \lim_{x_j \rightarrow x_i} N(x_i, x_j) = \frac{x_i}{8}$$

- $$\left(\frac{\epsilon'}{\epsilon} \right)^{\text{NP}} \simeq \tilde{F}(x_i) \text{Im}(\lambda_T^K) \simeq -\tilde{F}(x_i) c_{12}^2 s_{14} s_{24} \sin \delta'$$

$$\tilde{F}(x_T) \equiv F(x_T) - \frac{x_T}{8} (P_X + P_Y + P_Z)$$

$$F(x_i) = P_0 + P_X X(x_i) + P_Y Y(x_i) + P_Z Z(x_i) + P_E E(x_i)$$