

Fermion mass hierarchy and CP violation in modular symmetry

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1 Modular Symmetry

We can discuss the flavor problem based on "modular symmetry"

> Mass hierarchy Flavor mixing CP violation

of quarks/leptons

Are Yukawa couplings (Mass matrix) modular forms ? F. Feruglio, arXiv:1706.08749

Modular forms meet flavor problem !

What is Modular form?



$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad (a, b, c, d) \text{ are integer and } ad - bc = 1$$

$$\gamma : \begin{bmatrix} z \to \frac{az + b}{cz + d} \end{bmatrix} \quad z \text{ is complex}$$

$$Modular \text{ transformation}$$

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad T : z \to z + 1 \quad \text{shift-symmetry}$$

Modular form f(z) is defined by imposing three conditions $(\mathbf{1})$ f(z) is holomorphic @ Im Z > 0 k: weight (2) f(z) is holomorphic **@** $z \rightarrow i\infty$ $f\left(\frac{az+b}{cz+d}
ight) = \frac{保型因子}{(cz+d)^k}f(z)$ Automorphy factor $f\left(\begin{array}{c}az+b\\ z+d\end{array}\right) = f(z)$ 3

Modular function only constant

Modular forms appear naturally in top-down scenarios based on a class of string compactifications



We get 4D effective Lagrangian by integrating out over 6D.

2D torus has Modular symmetry

2D torus (T^2) is equivalent to parallelogram with identification of confronted sides.

by Feruglio







Two-dimensional torus T² is obtained as $T^2 = \mathbb{R}^2 / \Lambda$

Λ is two-dimensional lattice, which is spanned by two lattice vectors $\alpha_1 = 2\pi R$ and $\alpha_2 = 2\pi RT$

 $(\mathbf{x},\mathbf{y}) \sim (\mathbf{x},\mathbf{y}) + n_1 \alpha_1 + n_2 \alpha_2$

 $T = \frac{\alpha_2}{\alpha_1}$ is a modulus parameter (complex).

The same lattice is spanned by other bases under the transformation

$$\left(\begin{array}{c} \alpha_2'\\ \alpha_1' \end{array}\right) = \left(\begin{array}{cc} a & b\\ c & d \end{array}\right) \left(\begin{array}{c} \alpha_2\\ \alpha_1 \end{array}\right)$$

ad-bc=1 a,b,c,d are integer SL(2,Z)

$$\begin{pmatrix} \alpha'_{2} \\ \alpha'_{1} \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha_{2} \\ \alpha_{1} \end{pmatrix} \quad \text{ad-bc=1} \\ a,b,c,d \text{ are integer} \end{pmatrix}$$
$$\tau = \alpha_{2} / \alpha_{1} \quad \tau \to \tau' = \frac{a\tau + b}{c\tau + d} \quad \text{Modular transformation} \\ \tau \to \tau' \quad \bigcirc_{\tau} = \bigcirc_{\tau'} \\ \text{Modular transf. does not change the lattice (torus)} \end{pmatrix}$$

4D effective theory (depends on τ) must be invariant under modular transf.

e.g.)
$$\mathcal{L}_{eff} \supset Y(\tau)_{ij} \phi \overline{\psi_i} \psi_j$$

The modular transformation is generated by S and T.

$$T : \tau \longrightarrow -\frac{1}{\tau}$$

$$T : \tau \longrightarrow \tau + 1$$

$$T = c + c + 1$$

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generate infinite discrete group

Modular group



Generate finite modular group

Modular group $\Gamma \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}\}$ infinite discrete group

Modular group has subgroups

Impose congruence condition $\Gamma(N) = \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, Z), \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \}$

called principal congruence subgroups (normal subgroup)

 $\Gamma_N \equiv \Gamma / \Gamma(N)$ quotient group finite group of level N

$$\Gamma_{N} \simeq \{S, T | S^{2} = \mathbb{I}, (ST)^{3} = \mathbb{I} \underbrace{T^{N} = \mathbb{I}}$$
$$\Gamma_{2} \simeq S_{3} \qquad \Gamma_{3} \simeq A_{4} \qquad \Gamma_{4} \simeq S_{4} \qquad \Gamma_{5} \simeq A_{5}$$

isomorphic

Consider Yukawa couplings with Γ_N symmetry

Yukawas are given in terms of modular forms with weight k



2 Modular forms with weigh k Let us consider Level 3 (N=3)

$$\Gamma_{\mathsf{N}} \simeq \{S, T | S^2 = \mathbb{I}, (ST)^3 = \mathbb{I}, \mathbb{T}^{\mathsf{N}} = \mathbb{I}\}$$

$${\bf 3} \simeq {\bf A}_4 \ {\it group} \ 1, 1', 1'', 3$$

Number of modular forms depend on weight k (even) k+1 for A_4 (2k+1 for S_4)

For k=0, the modular form is constant (modular function) For k=2, there are 3 linealy independent modular forms, which form a A₄ triplet.

F. Feruglio, arXiv:1706.08749 A₄ triplet of modular forms with weight 2

$$Y_{1}(\tau) = \frac{i}{2\pi} \left(\frac{\eta'(\tau/3)}{\eta(\tau/3)} + \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} - \frac{27\eta'(3\tau)}{\eta(3\tau)} \right),$$

$$Y_{2}(\tau) = \frac{-i}{\pi} \left(\frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega^{2} \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right),$$

$$Y_{3}(\tau) = \frac{-i}{\pi} \left(\frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega^{2} \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right) Y_{2}^{2} + 2Y_{1}Y_{3} = 0$$

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n) \quad \text{Dedekind eta-function}$$
$$Y = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix} = \begin{pmatrix} 1 + 12q + 36q^2 + 12q^3 + \dots \\ -6q^{1/3}(1 + 7q + 8q^2 + \dots) \\ -18q^{2/3}(1 + 2q + 5q^2 + \dots) \end{pmatrix}$$

$$q = e^{2\pi i\tau}$$

$$\rho(\mathbf{S}) = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2\\ 2 & -1 & 2\\ 2 & 2 & -1 \end{pmatrix}, \quad \rho(\mathbf{T}) = \begin{pmatrix} 1 & 0 & 0\\ 0 & \omega & 0\\ 0 & 0 & \omega^2 \end{pmatrix}, \quad \omega = \exp(i\frac{2}{3}\pi)$$

We find easily modular forms with higher weights k=4, 6 ...

of modular forms is k+1

Weight 2 3 Modular forms

$$\mathbf{Y_3}^{(2)} = \begin{pmatrix} Y_1 \\ Y_2 \\ Y_3 \end{pmatrix}$$

Modular forms with higher weights are constructed by the tensor product of modular forms of weight 2

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}_3 \otimes \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix}_3 = (a_1b_1 + a_2b_3 + a_3b_2)_1 \oplus (a_3b_3 + a_1b_2 + a_2b_1)_{1'} \\ \oplus (a_2b_2 + a_1b_3 + a_3b_1)_{1''} \\ \oplus \frac{1}{3} \begin{pmatrix} 2a_1b_1 - a_2b_3 - a_3b_2 \\ 2a_3b_3 - a_1b_2 - a_2b_1 \\ 2a_2b_2 - a_1b_3 - a_3b_1 \end{pmatrix}_3 \oplus \frac{1}{2} \begin{pmatrix} a_2b_3 - a_3b_2 \\ a_1b_2 - a_2b_1 \\ a_3b_1 - a_1b_3 \end{pmatrix}_3 \\ 1 \otimes 1 = 1 , \qquad 1' \otimes 1' = 1'' , \qquad 1'' \otimes 1'' = 1' , \qquad 1' \otimes 1'' = 1 .$$

J.T.Penedo, S.T.Petcov, Nucl.Phys.B939(2019)292

$$\begin{array}{cccc} \mathbf{Y_3^{(2)} \times Y_3^{(2)}} & \Rightarrow & \mathbf{Y_1^{(4)}} = Y_1^2 + 2Y_2Y_3 \ , & \mathbf{Y_{1'}^{(4)}} = Y_3^2 + 2Y_1Y_2 \ , & \mathbf{Y_{1''}^{(4)}} = Y_2^2 + 2Y_1Y_3 = 0 \\ \end{array} \\ \begin{array}{c} \text{Weight 4} \\ \text{5 Modular forms} \\ \mathbf{Y_3^{(4)}} = \begin{pmatrix} Y_1^2 - Y_2Y_3 \\ Y_3^2 - Y_1Y_2 \\ Y_2^2 - Y_1Y_3 \end{pmatrix} \ , \end{array}$$

Modular forms at nearby symmetric points

Consider A_4 triplet modular forms with weigh k=2. (N=3)

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Modular forms are also hierarchical at $\tau=\omega$

$$\rho(ST) = \begin{pmatrix} \omega & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$$

$$T = \omega \quad k=2 \quad Y_3^{(2)} = \frac{3}{2}\omega Y_0 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad Z_3 \text{ symmetry}$$

$$Y_3^{(4)} = \frac{9}{4}Y_0^2 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} , \quad Y_3^{(6)} = 0 , \quad Y_{3'}^{(6)} = \frac{27}{8}\omega^2 Y_0^3 \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

$$k=4 \qquad \qquad k=6$$

3 Mass hierarchy in modular invariance

P.P.Novichkov, J.T.Penedo, S.T.Petcov, JHEP 04(2021)206, arXiv:2102.07488

We can construct the mass matrix with hierarchical masses by using the hierarchical modular forms at nearby $\tau = \infty i$ and ω

$$\mathcal{M}_q \sim v_q \begin{pmatrix} \epsilon^2 & \epsilon & 1\\ \epsilon^2 & \epsilon & 1\\ \epsilon^2 & \epsilon & 1 \end{pmatrix}_{RL}$$

This hierarchical structure is not accidental. Thanks to Residual symmetry Z₃ (N=3)

F. Feruglio, V. Gherardi, A. Romanino,A. Titov, S.T.Petcov, M.Tanimoto S. Kikuchi, T. Kobayashi, K. Nasu, S. Takada, H. Uchida Y. Abe, T. Higaki, J. Kawamurab,T. Kobayashi, S. Kikuchi, T. Kobayashi, K. Nasu, S. Takada, H. Uchida Y. Abe, T. Higaki, J. Kawamura ,T. Kobayashi

Modular invariant mass matrix

$$M(\gamma \tau) = (c\tau + d)^K \rho^c(\gamma)^* M(\tau) \rho(\gamma)^\dagger \qquad K = k^c + k$$

 $\mathbf{T} = \mathbf{i}^{\infty} \quad \mathbf{x} = \mathbf{T} : \mathbf{\tau} \to \mathbf{\tau} + \mathbf{I} \quad \mathbf{c} \mathbf{\tau} + \mathbf{d} = \mathbf{1} \quad \left(M_{ij}(T\tau) = \left(\rho_i^c \rho_j \right)^* M_{ij}(\tau) \right)$ $\rho(T) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}$ $q \xrightarrow{T} q \xi$ $q \equiv exp(i2\pi\tau/N)$ $\xi = \exp(i2\pi/N)$ n-th derivative $M_{ij}(\xi \hat{q}) = (\rho_i^c \rho_j)^* M_{ij}(\hat{q}) \longrightarrow \xi^n M_{ij}^{(n)}(0) = (\rho_i^c \rho_j)^* M_{ij}^{(n)}(0)$ $M_{ii}(q) = a_0 q^{\ell} + a_1 q^{\ell+N} + a_2 q^{\ell+2N} + \dots, \qquad \ell = 0, 1, 2, \dots, N-1,$

For N=3 $M(\tau) \sim \mathcal{O}(\epsilon^{\ell})$ $\ell = 0, 1, 2$ $|\mathbf{q}| = \epsilon$ Z₃ symmetry

Mass hierarchy is also realized close to $T=\omega$

$$M(\gamma \tau) = (c\tau + d)^{K} \rho^{c}(\gamma)^{*} M(\tau) \rho(\gamma)^{\dagger} \qquad K = k^{c} + k$$

mass matrix is invariant under ST transformation (Z_3 symmetry)

Near T=
$$u = \frac{\tau - \omega}{\tau - \omega^2} (u = 0 @ \tau = \omega) |u| = \epsilon$$

ST transformation : $U \rightarrow \omega^2 U$

$$M(ST\tau)_{ij} = M(\omega^2 u)_{ij} = (-(\tau+1))^K [\rho^c(\gamma)_i \rho(\gamma)_j]^* M(u)_{ij}$$

$$M(\tau) \sim \mathcal{O}(\epsilon^{\ell}) \quad \ell = 0, 1, 2$$

due to residual symmetry Z₃

Observed Yukawa ratios at GUT scale with tanβ=10

S. Antusch, V. Maurer, JHEP 1311 (2013) 115 [arXiv:1306.6879].

$$\frac{y_d}{y_b} = 9.21 \times 10^{-4} (1 \pm 0.111), \qquad \frac{y_s}{y_b} = 1.82 \times 10^{-2} (1 \pm 0.055)$$
$$\frac{y_u}{y_t} = 5.39 \times 10^{-6} (1 \pm 0.311), \qquad \frac{y_c}{y_t} = 2.80 \times 10^{-3} (1 \pm 0.043)$$

$$m_{b(t)}: m_{s(c)}: m_{d(u)} \sim 1: |\epsilon|: |\epsilon|^2$$

For down quark sector $\boldsymbol{\varepsilon}_d = 0.02 \sim 0.03$ For up quark sector $\boldsymbol{\varepsilon}_u = 0.002 \sim 0.003$

We have only one \mathcal{E} because of one modulus T $q = e^{2\pi i \tau} = e^{2\pi i \operatorname{Re}\tau} e^{-2\pi \operatorname{Im}\tau}$

4 Examples in A₄ modular symmetry @ τ=ω

	Q	$(u^c,c^c,t^c),(d^c,s^c,b^c)$	H_q	$Y_3^{(6)}, Y_{3'}^{(6)}$	${f Y}_{3}^{(4)}$	${f Y}_{3}^{(2)}$
SU(2)	2	1	2	1	1	1
A_4	3	(1,1'',1')	1	3	3	3
k_I	2	(4, 2, 0)	0	k = 6	k = 4	k = 2

$$W_d = \left[\alpha_d (\mathbf{Y}_3^{(6)}Q)_1 d_1^c + \alpha'_d (\mathbf{Y}_{3'}^{(6)}Q)_1 d_1^c + \beta_d (\mathbf{Y}_3^{(4)}Q)_{1'} s_{1'}^c + \gamma_d (\mathbf{Y}_3^{(2)}Q)_{1''} b_{1'}^c \right] H_d$$

Suppose all coefficients are same order.

$$M_{q} = v_{q} \begin{pmatrix} \alpha_{q} & 0 & 0 \\ 0 & \beta_{q} & 0 \\ 0 & 0 & \gamma_{q} \end{pmatrix} \begin{pmatrix} Y_{1}^{(6)} + g_{q}Y_{1}^{'(6)} & Y_{3}^{(6)} + g_{q}Y_{3}^{'(6)} & Y_{2}^{(6)} + g_{q}Y_{2}^{'(6)} \\ Y_{2}^{(4)} & Y_{1}^{(4)} & Y_{3}^{(4)} \\ Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)} \end{pmatrix}_{RL}$$

 $g_q = \alpha'_q / \alpha_q$

S.T.Petcov, M.Tanimoto, Eur. Phys. J. C 83(2023)579 [arXiv:2212.13336]

$$M_{q} = v_{q} \begin{pmatrix} \alpha_{q} & 0 & 0 \\ 0 & \beta_{q} & 0 \\ 0 & 0 & \gamma_{q} \end{pmatrix} \begin{pmatrix} Y_{1}^{(6)} + g_{q}Y_{1}^{'(6)} & Y_{3}^{(6)} + g_{q}Y_{3}^{'(6)} & Y_{2}^{(6)} + g_{q}Y_{2}^{'(6)} \\ Y_{2}^{(4)} & Y_{1}^{(4)} & Y_{3}^{(4)} \\ Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)} \end{pmatrix}_{RL}$$

At $\tau = \omega$ in the diagonal base of ST

$$\mathbf{Y}_{3}^{(2)} = \frac{3}{2}\omega Y_{0} \begin{pmatrix} 1\\0\\0 \end{pmatrix}, \qquad \mathbf{Y}_{3}^{(4)} = \frac{9}{4}Y_{0}^{2} \begin{pmatrix} 0\\0\\1 \end{pmatrix}, \qquad \mathbf{Y}_{3}^{(6)} = 0, \qquad \mathbf{Y}_{3'}^{(6)} = \frac{27}{8}\omega^{2}Y_{0}^{3} \begin{pmatrix} 0\\1\\0 \end{pmatrix}$$

$$\mathcal{M}_{q}^{(0)} = M_{q} V_{\rm ST}^{\dagger} = v_{q} \begin{pmatrix} 0 & 0 & \frac{27}{8} \hat{\alpha}_{q} g_{q} \, \omega \\ 0 & 0 & \frac{9}{4} \hat{\beta}_{q} \, \omega^{2} \\ 0 & 0 & \frac{3}{2} \, \hat{\gamma}_{q} \end{pmatrix}$$

rank one matrix

very small

$$\tau = \omega + \underline{\epsilon}$$

$$rac{Y_2(au)}{Y_1(au)}\simeq -rac{2}{3}\epsilon_1\,,\qquad rac{Y_3(au)}{Y_1(au)}\simeq rac{2}{9}\epsilon_1^2\qquad egin{array}{c} \epsilon_1\simeq 2.1\,i\,\epsilon \end{array}$$

In the diagonal base of ST

$$\mathcal{M}_{q} \sim v_{q} \begin{pmatrix} \hat{\alpha}_{q} \omega Y_{1}^{3} & 0 & 0\\ 0 & \hat{\beta}_{q} \omega^{2} Y_{1}^{2} & 0\\ 0 & 0 & \hat{\gamma}_{q} Y_{1} \end{pmatrix} \begin{pmatrix} (-3 + \frac{3}{4} g_{q}) \epsilon_{1}^{2} & -\frac{9}{2} \epsilon_{1} (1 + \frac{g_{q}}{2}) & q_{q} \frac{27}{8} \\ -\frac{3}{2} \epsilon_{1}^{2} & \frac{3}{2} \epsilon_{1} & \frac{9}{4} \\ \frac{1}{3} \epsilon_{1}^{2} & -\epsilon_{1} & \frac{3}{2} \end{pmatrix}$$



$$\tau = \omega + \epsilon$$

Real parameters except for T

ϵ	$rac{eta_d}{lpha_d}$	$rac{\gamma_d}{\alpha_d}$	g_d	$\frac{\beta_u}{\alpha_u}$	$rac{\gamma_u}{\alpha_u}$	g_u
0.01779 + i 0.02926	3.26	0.43	-1.40	1.05	0.80	-16.1

 $|g_{d}| \sim 1$ $|g_{u}| \sim 10$

	$\frac{m_s}{m_b} \times 10^2$	$\frac{m_d}{m_b} \times 10^4$	$\frac{m_c}{m_t} \times 10^3$	$\frac{m_u}{m_t} \times 10^6$	$ V_{us} $	$ V_{cb} $	$ V_{ub} $	$J_{\rm CP}$
Fit	1.52	8.62	2.50	5.43	0.2230	0.0786	0.00368	-2.9×10^{-8}
Exp	1.82	9.21	2.80	5.39	0.2250	0.0400	0.00353	2.8×10^{-5}
1σ	± 0.10	± 1.02	± 0.12	± 1.68	± 0.0007	± 0.0008	± 0.00013	$^{+0.14}_{-0.12}{\times}10^{-5}$

CPV is very small !

Why CPV is so small ?

CP phase structure of mass matrix

$$\begin{split} \tau &= \omega + \epsilon \qquad \frac{Y_2(\tau)}{Y_1(\tau)} \simeq -\frac{2}{3}\epsilon_1, \qquad \frac{Y_3(\tau)}{Y_1(\tau)} \simeq \frac{2}{9}\epsilon_1^2 \qquad \epsilon_1 \simeq 2.1 \, i \, \epsilon \\ \mathcal{M}_q^{gen} &= v_q \begin{pmatrix} i^2 \, \epsilon^2 & i \, \epsilon & 1 \\ i^2 \, \epsilon^2 & i \, \epsilon & 1 \\ i^2 \, \epsilon^2 & i \, \epsilon & 1 \end{pmatrix}, \quad q = d, u \\ (\mathcal{M}_q^{gen})^{\dagger} \mathcal{M}_q^{gen} &= v_q^2 \begin{pmatrix} -i \, e^{-i \, \kappa_q} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & i \, e^{i \, \kappa_q} \end{pmatrix} \begin{pmatrix} |\epsilon_q|^4 & |\epsilon_q|^3 & |\epsilon_q|^2 \\ |\epsilon_q|^3 & |\epsilon_q|^2 & |\epsilon_q| \\ |\epsilon_q|^2 & |\epsilon_q| & 1 \end{pmatrix} \begin{pmatrix} i \, e^{i \, \kappa_q} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -i \, e^{-i \, \kappa_q} \end{pmatrix} \\ \mathbf{P}(\mathbf{K}_q) \qquad \qquad \mathbf{\epsilon}_q = \|\mathbf{\epsilon}_q\| e^{i \, \kappa_q} \qquad \mathbf{P}(\mathbf{K}_q)^{\star} \end{split}$$

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$$\begin{split} \mathrm{U}_{\mathrm{CKM}}^{\mathrm{gen}} &= \mathrm{O}_{\mathrm{u}}^{\mathrm{T}} \, \mathrm{P}^*(\kappa_{\mathrm{u}}) \mathrm{P}(\kappa_{\mathrm{d}}) \mathrm{O}_{\mathrm{d}} \\ \mathrm{P}(\kappa_{\mathrm{q}}) &= \mathrm{diag}(\mathrm{e}^{-\mathrm{i}\,(\kappa_{\mathrm{q}} + \pi/2)}, 1, \mathrm{e}^{\mathrm{i}\,(\kappa_{\mathrm{q}} + \pi/2)}) \\ \mathbf{Common} \, \tau \quad \epsilon_{1d} &= \epsilon_{1u} \quad \kappa_{d} \,= \, \kappa_{u} \quad \mathrm{P}^*(\kappa_{\mathrm{u}}) \mathrm{P}(\kappa_{\mathrm{d}}) = \mathbf{1} \\ \mathrm{CP} \, \mathrm{conserving} \text{ if other parameters are real} \end{split}$$

Two different
$$\mathbf{\epsilon}_d \neq \mathbf{\epsilon}_u$$
 $\mathbf{P}^*(\kappa_u)\mathbf{P}(\kappa_d) \neq 1$

CP violation even if other parameters are real Spontaneous CP violation S.T.Petcov, M.Tanimoto, JHEP 08 (2023)086 [arXiv:2306.05730]

(a) $\tau = i^{\infty}$ putting $|g_d| \sim |g_u| \sim 1$

$$\begin{split} & \begin{bmatrix} Q & (d^{c}, s^{c}, b^{c}), (u^{c}, c^{c}, t^{c}) & H_{u} & H_{d} \\ SU(2) & 2 & 1 & 2 & 2 \\ A_{4} & 3 & (1', 1', 1') & (1', 1', 1') & 1 & 1 \\ k & 2 & (4, 2, 0) & (6, 2, 0) & 0 & 0 \end{bmatrix} & \text{Irreducible representations} \\ & \mathbf{A}_{4} : \mathbf{1}, \mathbf{1}', \mathbf{1}'', \mathbf{3} \\ & \text{Weight } \mathbf{k} \text{ is set to vanish} \\ & \text{automorphy factor} (c\tau + d)^{k} \\ & W_{d} = \begin{bmatrix} \alpha_{d}(\mathbf{Y}_{3}^{(6)}Q)_{1}d_{1}^{c} + \alpha'_{d}(\mathbf{Y}_{3'}^{(6)}Q)_{1}d_{1}^{c} + \beta_{d}(\mathbf{Y}_{3}^{(4)}Q)_{1'}s_{1'}^{c} + \gamma_{d}(\mathbf{Y}_{3}^{(2)}Q)_{1''}b_{1'}^{c} \end{bmatrix} H_{d} \\ & \mathbf{A}_{4} & \mathbf{3} \times \mathbf{3} \times \mathbf{1}' & \mathbf{3} \times \mathbf{3} \times \mathbf{1}' & \mathbf{3} \times \mathbf{3} \times \mathbf{1}' \\ & \text{Weight } \mathbf{6} - \mathbf{2} - \mathbf{4} & \mathbf{4} - \mathbf{2} - \mathbf{2} & \mathbf{2} - \mathbf{2} & \mathbf{0} \\ \\ & M_{d} = v_{d} \begin{pmatrix} \hat{\alpha}'_{d} & 0 & 0 \\ 0 & \hat{\beta}_{d} & 0 \\ 0 & 0 & \hat{\gamma}_{d} \end{pmatrix} \begin{pmatrix} \underline{\tilde{Y}_{3}^{(6)} & \underline{\tilde{Y}_{3}^{(6)} & \underline{\tilde{Y}_{1}^{(6)}} \\ \underline{\tilde{Y}_{3}^{(2)} & \underline{Y}_{2}^{(2)} & Y_{1}^{(2)} \\ Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)} \end{pmatrix}, & M_{u} = v_{u} \begin{pmatrix} \hat{\alpha}'_{u} & 0 & 0 \\ 0 & \hat{\beta}_{u} & 0 \\ 0 & 0 & \hat{\gamma}_{u} \end{pmatrix} \begin{pmatrix} \underline{\tilde{Y}_{3}^{(6)} & \underline{\tilde{Y}_{2}^{(6)} & \underline{\tilde{Y}_{1}^{(4)}} \\ \underline{\tilde{Y}_{3}^{(2)} & \underline{Y}_{2}^{(2)} & Y_{1}^{(2)} \end{pmatrix} \\ & \underline{\tilde{Y}_{i}^{(6)} = \mathbf{g}_{d}Y_{i}^{(6)} + Y_{i}^{'(6)}, & \underline{\tilde{Y}_{i}^{(8)} = \mathbf{f}_{u}Y_{i}^{(8)} + Y_{i}^{'(8)}, & g_{d} \equiv \alpha_{d}/\alpha'_{d} & f_{u} \equiv \alpha_{u}/\alpha'_{u} \\ & \text{Det} \left[\mathcal{M}_{u}^{2} \right] = \mathbf{0} & \text{due to} & \underline{Y}_{2}^{2} + 2Y_{1}Y_{3} = \mathbf{0} \\ \end{bmatrix}$$

$$\mathbf{Y}_{3}^{(2)} = \begin{pmatrix} Y_{1} \\ Y_{2} \\ Y_{3} \end{pmatrix} = \begin{pmatrix} 1 + 12q + 36q^{2} + 12q^{3} + \dots \\ -6q^{1/3}(1 + 7q + 8q^{2} + \dots) \\ -18q^{2/3}(1 + 2q + 5q^{2} + \dots) \end{pmatrix}$$

$$q \equiv \exp\left(2i\pi\tau\right) = (p\,\epsilon)^3$$

$$\epsilon = \exp\left(-\frac{2}{3}\pi \operatorname{Im}[\tau]\right), \qquad p = \exp\left(\frac{2}{3}\pi i \operatorname{Re}[\tau]\right)$$

$$\mathbf{T}^{(6)} = Y_0^3 \begin{pmatrix} 1\\0\\0 \end{pmatrix}, \qquad \mathbf{Y}_3^{(4)} = Y_0^2 \begin{pmatrix} 1\\0\\0 \end{pmatrix}$$
$$\mathbf{Y}_3^{(6)} = Y_0^3 \begin{pmatrix} 1\\0\\0 \end{pmatrix}, \qquad \mathbf{Y}_{3'}^{(6)} = 0 \qquad \qquad \mathbf{Y}_3^{(8)} = Y_0^4 \begin{pmatrix} 1\\0\\0 \end{pmatrix}, \qquad \mathbf{Y}_{3'}^{(8)} = 0$$

kinetic terms

Simplest Modular invariant kinetic terms of matters

 $\sum_{I} \frac{|\partial_{\mu}\psi^{(I)}|^2}{\langle -i\tau + i\bar{\tau}\rangle^{k_I}}$

We need overall renomarization

This is not canonical form.

$$\psi^{(I)} \to \sqrt{(2\mathrm{Im}\tau_q)^{k_I}} \,\psi^{(I)}$$

comment

Possible non-minimal additions to Kaehler potential, compatible with the modular symmetry including modular forms Y and Y reduces the predictive power of flavor models, and often assumed to be negligible.



We renormalize superfields to get canonical kinetic terms

$$\psi^{(I)} \to \sqrt{(2\mathrm{Im}\tau_q)^{k_I}} \,\psi^{(I)}$$

 $\begin{aligned} \alpha_u &\to \hat{\alpha}_u = \alpha_u \sqrt{(2 \mathrm{Im}\tau)^8} = \alpha_u (2 \mathrm{Im}\tau)^4, \quad \alpha'_u \to \hat{\alpha}'_u = \alpha'_u \sqrt{(2 \mathrm{Im}\tau)^8} = \alpha'_u (2 \mathrm{Im}\tau)^4, \\ \beta_u &\to \hat{\beta}_u = \beta_u \sqrt{(2 \mathrm{Im}\tau)^4} = \beta_u (2 \mathrm{Im}\tau)^2, \quad \gamma_u \to \hat{\gamma}_u = \gamma_u \sqrt{(2 \mathrm{Im}\tau)^2} = \gamma_u (2 \mathrm{Im}\tau), \\ \alpha_d &\to \hat{\alpha}_d = \alpha_d \sqrt{(2 \mathrm{Im}\tau)^6} = \alpha_d (2 \mathrm{Im}\tau)^3, \quad \alpha'_d \to \hat{\alpha}'_d = \alpha'_d \sqrt{(2 \mathrm{Im}\tau)^6} = \alpha'_d (2 \mathrm{Im}\tau)^3, \\ \beta_d &\to \hat{\beta}_d = \beta_d \sqrt{(2 \mathrm{Im}\tau)^4} = \beta_d (2 \mathrm{Im}\tau)^2, \quad \gamma_d \to \hat{\gamma}_d = \gamma_d \sqrt{(2 \mathrm{Im}\tau)^2} = \gamma_d (2 \mathrm{Im}\tau). \end{aligned}$

³¹ $2 \operatorname{Im} \tau$ is large $\tau \to i^{\infty}$ compared with the case of at $\tau = \omega$

Down type quark mass matrix

In the vicinity of $\tau = \mathbf{i}^{\infty}$ $|\alpha'_q| \sim |\beta_q| \sim |\gamma_q|$

$$\mathcal{M}_{q} = v_{q} \begin{pmatrix} \hat{\alpha}_{q}' & 0 & 0\\ 0 & \hat{\beta}_{q} & 0\\ 0 & 0 & \hat{\gamma}_{q} \end{pmatrix} \begin{pmatrix} 18 (\epsilon p)^{2} (4 - g_{q}) & -6 (\epsilon p) (2 + g_{q}) & g_{q} \\ 54 (\epsilon p)^{2} & 6 (\epsilon p) & 1 \\ -18 (\epsilon p)^{2} & -6 (\epsilon p) & 1 \end{pmatrix}$$
$$\mathcal{M}_{q}^{2} \sim \begin{pmatrix} \epsilon^{4} & \epsilon^{3} p^{*} & \epsilon^{2} p^{*2} \\ \epsilon^{3} p & \epsilon^{2} & \epsilon p^{*} \\ \epsilon^{2} p^{2} & \epsilon p & 1 \end{pmatrix} \qquad m_{q3} : m_{q2} : m_{q1} \simeq 1 : \left| \frac{12\epsilon}{I_{\tau} g_{q}} \right| : \left| \frac{12\epsilon}{I_{\tau} g_{q}} \right|^{2} \qquad I_{\tau} = 2 \mathrm{Im} \, \tau$$

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Up type quark mass matrix

In order to protect a massless quark, we can consider dimesuion 6 mass operator

 $(u^c Q H_u)(H_u H_d)/\Lambda^2$ with $k_Q = 2 - k_{Hd}$, $k_{u^c} = 6 + k_{Hd} - k_{Hu}$ or SUSY breaking by F term F/Λ^2

F. Feruglio, V. Gherardi, A. Romanino and A. Titov, JHEP 05 (2021), 242; arXiv:2101.08718

$$M_{u} = v_{u} \begin{pmatrix} \hat{\alpha}'_{u} & 0 & 0\\ 0 & \hat{\beta}_{u} & 0\\ 0 & 0 & \hat{\gamma}_{u} \end{pmatrix} \begin{pmatrix} \tilde{Y}_{3}^{(8)}(1 + C_{u1}) & \tilde{Y}_{2}^{(8)} & \tilde{Y}_{1}^{(8)}\\ \tilde{Y}_{3}^{(4)}(1 + C_{u2}) & \tilde{Y}_{2}^{(4)} & \tilde{Y}_{1}^{(4)}\\ Y_{3}^{(2)}(1 + C_{u3}) & Y_{2}^{(2)} & Y_{1}^{(2)} \end{pmatrix}$$
$$m_{t} : m_{c} : m_{u} \simeq \left[1 : \left(\frac{12\epsilon}{I_{\tau}f_{u}} \frac{1}{I_{\tau}f_{u}} \right) : \frac{3}{2} \left(\frac{12\epsilon}{I_{\tau}f_{u}} \frac{1}{I_{\tau}f_{u}} \right)^{2} f_{u}^{3}I_{\tau}|C_{u}| \right] I_{\tau}^{4}f_{u}$$
$$C_{u} = 3f_{u} \left(C_{u1} - C_{u2} \right) + \left(-4C_{u1} + 3C_{u2} + C_{u3} \right) \qquad I_{\tau} = 2\mathrm{Im}\,\tau$$

 I_{τ} is a overall normalization factor for canonical kinetic terms

Down type quark masses k=2, 4, 6 modular forms

$$m_{q3}: m_{q2}: m_{q1} \simeq 1: \left| \frac{12\epsilon}{I_{\tau}g_q} \right|: \left| \frac{12\epsilon}{I_{\tau}g_q} \right|^2$$

Up type quark masses k=2, 4, 8 modular forms

$$m_t : m_c : m_u \simeq \left[1 : \left(\frac{12\epsilon}{I_\tau f_u} \frac{1}{I_\tau f_u} \right) : \frac{3}{2} \left(\frac{12\epsilon}{I_\tau f_u} \frac{1}{I_\tau f_u} \right)^2 f_u^3 I(C_u) \right] I_\tau^4 f_u$$
$$I_\tau = 2 \mathrm{Im} \, \tau$$
$$= g_d Y_i^{(6)} + Y_i^{'(6)}, \qquad \tilde{Y}_i^{(8)} = f_u Y_i^{(8)} + Y_i^{'(8)}, \qquad g_d \equiv \alpha_d / \alpha'_d \qquad f_u \equiv \alpha_u / \alpha'_u$$

A successful numerical result

au	$\frac{\beta_d}{\alpha'_d}$	$\frac{\gamma_d}{\alpha'_d}$	g_d	$\frac{\beta_u}{\alpha'_u}$	$\frac{\gamma_u}{\alpha'_u}$	$ f_u $	$\arg\left[f_u\right]$	C_{u1}
-0.3952 + i 2.4039	3.82	1.17	-0.677	1.72	3.21	1.68	127.3°	-0.07147

8 real parameters + 2 phase



Order 1 parameters, β_q/α_q , γ_q/α_q , g_d , f_u $C_{u1} \sim (F/\Lambda^2) / \epsilon^2$

	$\frac{m_s}{m_b} \times 10^2$	$\frac{m_d}{m_b} \times 10^4$	$\frac{m_c}{m_t} \times 10^3$	$\frac{m_u}{m_t} \times 10^6$	$ V_{us} $	$ V_{cb} $	$ V_{ub} $	$ J_{ m CP} $	$\delta_{ m CP}$
Fit	1.89	8.78	2.81	5.52	0.2251	0.0390	0.00364	$2.94{ imes}10^{-5}$	70.7°
Exp	1.82	9.21	2.80	5.39	0.2250	0.0400	0.00353	2.8×10^{-5}	66.2°
1σ	± 0.10	± 1.02	± 0.12	± 1.68	± 0.0007	± 0.0008	± 0.00013	$^{+0.14}_{-0.12}\!\!\times\!\!10^{-5}$	$^{+3.4^{\circ}}_{-3.6^{\circ}}$

3 output	Νσ=2.0
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 $q = e^{2\pi i \tau}$

5 Summary

- Quark mass hierarchy is obtained at nearby symmetric points τ=i[∞] and ω thanks to the residual symmetry.
 Im τ is important for τ=i[∞].
- Spontaneous CP violation ? τ is origin of both CP violation and mass hierarchy ?
- One modulus or multi-modulei ?

Flavor theory with modular forms is developing !

Talks byM. Levy, X. Wang: 6.June,J. Penedo: 7.June

Back-up slides



F. Feruglio, V. Gherardi, A. Romanino and A. Titov, JHEP 05 (2021), 242; arXiv:2101.08718

Consider effective theories with Γ_N symmetry

$$\mathcal{L}_{\rm eff} \in f(\tau) \phi^{(1)} \cdots \phi^{(n)}$$

 $f(\tau), \phi^{(I)}$: non-trivial rep. of Γ_N

Modular form of Level N



Modular transformation of chiral superfields

$$(\phi^{(I)})_i(x) \longrightarrow (c\tau + d)^{-k_I} \rho(\gamma)_{ij} (\phi^{(I)})_j(x)$$

CP invariance and Lepton model

CP transformation in modular invariant theory

P.P.Novichkov, J.T.Penedo, S.T.Petcov, A.V.Titov, JHEP 07(2019)165 [arXiv:1905.11970].

$$\tau \xrightarrow{\mathrm{CP}} -\tau^*, \qquad \psi(x) \xrightarrow{\mathrm{CP}} \overline{\psi}(x_P), \qquad \mathbf{Y}_{\mathbf{r}}^{(\mathbf{k})}(\tau) \xrightarrow{\mathrm{CP}} \mathbf{Y}_{\mathbf{r}}^{(\mathbf{k})}(-\tau^*) = \mathbf{Y}_{\mathbf{r}}^{(\mathbf{k})*}(\tau)$$

bar denotes hermitian conjugation

We can construct CP invariant mass matrices in modular invariant flavor theory.

example
$$M_E(-\tau^*) = M_E(\tau)^*, \qquad M_\nu(-\tau^*) = M_\nu(\tau)^*$$

CP violation could be realized by fixing τ .

Modular transformation is the transformation of modulus ${\boldsymbol{\tau}}$

$$\tau \rightarrow \tau' = \frac{a\tau + b}{c\tau + d} \qquad \begin{array}{l} S: \tau \rightarrow -\frac{1}{\tau}, \\ T: \tau \rightarrow \tau + 1. \end{array} \qquad \begin{array}{l} \text{weight 2; k=2} \\ \textbf{3 modular forms} \end{array}$$

$$\textbf{S} \qquad \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \qquad \textbf{T} \qquad \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \\ f_i(\gamma\tau) = (c\tau + d)^k \rho(\gamma)_{ij} f_j(\tau) \end{aligned}$$

$$\textbf{S transformation} \qquad \textbf{T transformation} \\ \begin{pmatrix} Y_1(-1/\tau) \\ Y_2(-1/\tau) \\ Y_3(-1/\tau) \end{pmatrix} = \underbrace{\tau^2}_{P} \rho(S) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix}, \qquad \begin{pmatrix} Y_1(\tau + 1) \\ Y_2(\tau + 1) \\ Y_3(\tau + 1) \end{pmatrix} = \rho(T) \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \\ Y_3(\tau) \end{pmatrix}. \\ \hline (c\tau + d)^k \qquad \textbf{ct+d} = -\tau \qquad (c\tau + d)^k \qquad \textbf{ct+d} = \textbf{I} \end{aligned}$$

$$\rho(S) = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \quad \omega = \exp(i\frac{2}{3}\pi) \end{aligned}$$
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Flavor symmetry acts non-linealy (Modular forms).

$$\mathbf{Y}_{3}^{(2)} = \begin{pmatrix} Y_{1} \\ Y_{2} \\ Y_{3} \end{pmatrix} = \begin{pmatrix} 1 + 12q + 36q^{2} + 12q^{3} + \dots \\ -6q^{1/3}(1 + 7q + 8q^{2} + \dots) \\ -18q^{2/3}(1 + 2q + 5q^{2} + \dots) \end{pmatrix}$$

$$\mathbf{Y}_{3}^{(4)} = \begin{pmatrix} Y_{1}^{(4)} \\ Y_{2}^{(4)} \\ Y_{3}^{(4)} \end{pmatrix} = \begin{pmatrix} Y_{1}^{2} - Y_{2}Y_{3} \\ Y_{3}^{2} - Y_{1}Y_{2} \\ Y_{2}^{2} - Y_{1}Y_{3} \end{pmatrix}$$

$$\mathbf{Y}_{3}^{(6)} \equiv \begin{pmatrix} Y_{1}^{(6)} \\ Y_{2}^{(6)} \\ Y_{3}^{(6)} \end{pmatrix} = (Y_{1}^{2} + 2Y_{2}Y_{3}) \begin{pmatrix} Y_{1} \\ Y_{2} \\ Y_{3} \end{pmatrix} , \qquad \mathbf{Y}_{3'}^{(6)} \equiv \begin{pmatrix} Y_{1}^{'(6)} \\ Y_{2}^{'(6)} \\ Y_{3}^{'(6)} \end{pmatrix} = (Y_{3}^{2} + 2Y_{1}Y_{2}) \begin{pmatrix} Y_{3} \\ Y_{1} \\ Y_{2} \end{pmatrix}$$

$$\mathbf{Y}_{3}^{(8)} \equiv \begin{pmatrix} Y_{1}^{(8)} \\ Y_{2}^{(8)} \\ Y_{3}^{(8)} \end{pmatrix} = (Y_{1}^{2} + 2Y_{2}Y_{3}) \begin{pmatrix} Y_{1}^{2} - Y_{2}Y_{3} \\ Y_{3}^{2} - Y_{1}Y_{2} \end{pmatrix} , \qquad \mathbf{Y}_{3'}^{(8)} \equiv \begin{pmatrix} Y_{1}^{'(8)} \\ Y_{2}^{'(8)} \\ Y_{3}^{'(8)} \end{pmatrix} = (Y_{3}^{2} + 2Y_{1}Y_{2}) \begin{pmatrix} Y_{2}^{2} - Y_{1}Y_{3} \\ Y_{1}^{2} - Y_{2}Y_{3} \\ Y_{2}^{2} - Y_{1}Y_{3} \end{pmatrix} , \qquad \mathbf{Y}_{3'}^{(8)} \equiv \begin{pmatrix} Y_{1}^{'(8)} \\ Y_{2}^{'(8)} \\ Y_{3}^{'(8)} \end{pmatrix} = (Y_{3}^{2} + 2Y_{1}Y_{2}) \begin{pmatrix} Y_{2}^{2} - Y_{1}Y_{3} \\ Y_{1}^{2} - Y_{2}Y_{3} \\ Y_{2}^{2} - Y_{1}Y_{3} \end{pmatrix} , \qquad \mathbf{Y}_{3'}^{(8)} \equiv \begin{pmatrix} Y_{1}^{'(8)} \\ Y_{2}^{'(8)} \\ Y_{3}^{'(8)} \end{pmatrix} = (Y_{3}^{2} + 2Y_{1}Y_{2}) \begin{pmatrix} Y_{2}^{2} - Y_{1}Y_{3} \\ Y_{1}^{2} - Y_{2}Y_{3} \\ Y_{2}^{2} - Y_{1}Y_{3} \end{pmatrix} ,$$

$$\mathbf{Y}_{3}^{(8)} = (Y_{1}^{2} + 2Y_{2}Y_{3})\mathbf{Y}_{3}^{(4)}$$

Modular group

Three matrices construct **3** (Modular transformation)

$$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} : f(z+1) = f(z) \qquad \mathbf{Z} \to \mathbf{Z+1}$$
$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} : f\left(\frac{1}{-z}\right) = (-z)^k f(z) \qquad \mathbf{Z} \to -1/\mathbf{Z}$$
$$I = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} : f\left(\frac{-z}{-1}\right) = (-1)^k f(z) \qquad \Rightarrow \quad \mathbf{k=even}$$

$$S: \tau \longrightarrow -\frac{1}{\tau}, \qquad \mathbf{T}: \tau \longrightarrow \tau + 1. \qquad \mathbf{T}: \text{modulus}$$

$$S^2 = 1,$$
 $(ST)^3 = 1.$

generate infinite discrete group PSL(2,Z)