# Fermion mass hierarchy and CP violation in modular symmetry 

Morimitsu Tanimoto

Niigata University

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Instituto Superior Tecnico, Lisboa, Portugal

## 1 Modular Symmetry

We can discuss the flavor problem based on " modular symmetry"

## Mass hierarchy

Flavor mixing
of quarks/leptons CP violation

Are Yukawa couplings (Mass matrix) modular forms?
F. Feruglio, arXiv:1706.08749

## Modular forms meet flavor problem !

## What is Modular form？

$$
f(x)=\sin 2 \pi x, \quad \mathrm{~T}: x \rightarrow x+1 \Rightarrow f(x+1)=f(x) \quad \text { shift-symmetry }
$$

$$
\begin{aligned}
&\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right) \quad(a, b, c, d) \text { are integer and } a d-b c=1 \\
& \gamma:\left(z \rightarrow \frac{a z+b}{c z+d}\right. \\
& z \text { is complex } \\
& \text { Modular transformation }
\end{aligned}
$$

Modular form $f(z)$ is defined by imposing three conditions
（1）$f(z)$ is holomorphic＠ $\operatorname{Im} Z>0$
（2）$f(z)$ is holomorphic
＠$z \rightarrow i \infty$
$f\left(\frac{a z+b}{c z+d}\right)=\begin{gathered}\text { 保型因子 } \\ =(c z+d)^{k} f(z) \\ \text { Automorphy fac }\end{gathered}$
（3） $f\left(\frac{a z+b}{c z+d}\right)=f(z)$ Modular function only constant

Modular forms appear naturally in top-down scenarios based on a class of string compactifications


We get 4D effective Lagrangian by integrating out over 6D.
$S=\int d^{4} x d^{6} y \mathcal{L}_{10 D} \rightarrow \int d^{4} x \mathcal{L}_{\mathrm{eff}}$

$>4 D$ effective theory depends on internal space

2D torus has Modular symmetry
$2 D$ torus $\left(T^{2}\right)$ is equivalent to parallelogram with identification of confronted sides.

lm

(a)


Two-dimensional torus $\mathrm{T}^{2}$ is obtained as

$$
\mathrm{T}^{2}=\mathbb{R}^{2} / \Lambda
$$

$\Lambda$ is two-dimensional lattice, which is spanned by two lattice vectors

$$
\alpha_{1}=2 \pi R \quad \text { and } \quad \alpha_{2}=2 \pi R T
$$

$$
\begin{aligned}
&(\mathrm{x}, \mathrm{y}) \sim(\mathrm{x}, \mathrm{y})+\mathrm{n}_{1} \alpha_{1}+n_{2} \alpha_{2} \\
& \tau=\alpha_{2} / \alpha_{1} \text { is a modulus parameter (complex). }
\end{aligned}
$$

The same lattice is spanned by other bases under the transformation

$$
\binom{\alpha_{2}^{\prime}}{\alpha_{1}^{\prime}}=\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right)\binom{\alpha_{2}}{\alpha_{1}} \quad \begin{aligned}
& \mathbf{a d}-\mathbf{b} c=1 \\
& \mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d} \text { are integer } \operatorname{SL}(2, Z)
\end{aligned}
$$



Modular transf. does not change the lattice (torus)
$4 D$ effective theory (depends on $\tau$ ) must be invariant under modular transf.

$$
\text { e.g.) } \mathcal{L}_{\mathrm{eff}} \supset Y(\tau)_{i j} \phi \overline{\psi_{i}} \psi_{j}
$$

The modular transformation is generated by $S$ and $T$.

$$
\begin{gathered}
S: \tau \underset{\text { duality }}{\sim}-\frac{1}{\tau} \\
\left(\begin{array}{ll}
a \\
c & d
\end{array}\right)=\left(\begin{array}{cc}
0 & 1 \\
-1 & 0
\end{array}\right) \\
\begin{array}{c}
T: \tau \longrightarrow \tau+1 \\
\text { Discrete shift symmetry }
\end{array} \\
\mathbf{\alpha}_{\mathbf{2}}^{\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right)=\left(\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right)} \\
\binom{\alpha_{2}^{\prime}}{\alpha_{1}^{\prime}}=\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right)\left(\begin{array}{ll}
\alpha_{2} \\
\alpha_{1} \\
\alpha_{1}
\end{array}\right)
\end{gathered}
$$

$$
\begin{aligned}
S: \tau \longrightarrow-\frac{1}{\tau}, & \text { Duality } \\
T: \tau \longrightarrow & \tau+1 . \\
& \text { Dicrete shift symmetry } \\
& S^{2}=1,
\end{aligned} \quad(S T)^{3}=1 .
$$

generate infinite discrete group

## Modular group

Fundamental Domain of $\tau \quad \begin{aligned} & S: \tau \rightarrow-\frac{1}{\tau} . \\ & T: \tau \rightarrow \tau+1 .\end{aligned}$


-     - Symmetric point of $\tau$
(Residual symmetry)


## Generate finite modular group

$$
\begin{aligned}
& \text { Modular group } \\
& \Gamma \simeq\left\{S, T \mid S^{2}=\mathbb{I},(S T)^{3}=\mathbb{I}\right\} \quad \text { infinite discrete group }
\end{aligned}
$$

Modular group has subgroups Impose
congruence conditiol $\Gamma(N)=\left\{\left(\begin{array}{ll}a & b \\ c & d\end{array}\right) \in S L(2, Z),\left(\begin{array}{ll}a & b \\ c & d\end{array}\right)=\left(\begin{array}{ll}1 & 0 \\ 0 & 1\end{array}\right) \quad(\bmod N)\right\}$ called principal congruence subgroups (normal subgroup)

$$
\Gamma_{N} \equiv \Gamma / \Gamma(N) \text { quotient group finite group of level } N
$$

$$
\Gamma_{\mathrm{N}} \simeq\left\{S, T \mid S^{2}=\mathbb{I},(S T)^{3}=\mathbb{I} T^{N}=\mathbb{I}\right\}
$$

$$
\Gamma_{2} \simeq S_{3} \quad \Gamma_{3} \simeq A_{4} \quad \Gamma_{4} \simeq S_{4} \quad \Gamma_{5} \simeq A_{5}
$$

## Consider Yukawa couplings with $\Gamma_{\mathrm{N}}$ symmetry

Yukawas are given in terms of modular forms with weight $k$


Modular transformation $\quad Y^{(k)} \rightarrow(c \tau+d)^{k} Y^{(k)}$


Modular invariance gives

$$
k=k_{Q}+k_{q^{c}}+k_{H_{q}}
$$

Automorphy factor vanishes!

Weights satisfy this strictly.

## 2 Modular forms with weigh $k$

## Let us consider Level 3 ( $\mathrm{N}=3$ )

$$
\Gamma_{N} \simeq\left\{S, T \mid S^{2}=\mathbb{I},(S T)^{3}=\mathbb{I}, T^{N}=\mathbb{D}\right\}
$$

$$
\Gamma_{3} \simeq \mathbf{A}_{4} \text { group } 1,1^{\prime}, 1^{\prime \prime}, 3
$$

Number of modular forms depend on weight $k$ (even)

$$
k+1 \text { for } A_{4} \quad\left(2 k+1 \text { for } S_{4}\right)
$$

For $\mathrm{k}=0$, the modular form is constant (modular function)
For $\mathrm{k}=2$, there are 3 linealy independent modular forms, which form a $A_{4}$ triplet.

## F. Feruglio, arXiv:1706.08749

## $A_{4}$ triplet of modular forms with weight 2

$$
\begin{aligned}
& Y_{1}(\tau)=\frac{i}{2 \pi}\left(\frac{\eta^{\prime}(\tau / 3)}{\eta(\tau / 3)}+\frac{\eta^{\prime}((\tau+1) / 3)}{\eta((\tau+1) / 3)}+\frac{\eta^{\prime}((\tau+2) / 3)}{\eta((\tau+2) / 3)}-\frac{27 \eta^{\prime}(3 \tau)}{\eta(3 \tau)}\right), \\
& Y_{2}(\tau)=\frac{-i}{\pi}\left(\frac{\eta^{\prime}(\tau / 3)}{\eta(\tau / 3)}+\omega^{2} \frac{\eta^{\prime}((\tau+1) / 3)}{\eta((\tau+1) / 3)}+\omega \frac{\eta^{\prime}((\tau+2) / 3)}{\eta((\tau+2) / 3)}\right), \\
& Y_{3}(\tau)=\frac{-i}{\pi}\left(\frac{\eta^{\prime}(\tau / 3)}{\eta(\tau / 3)}+\omega \frac{\eta^{\prime}((\tau+1) / 3)}{\eta((\tau+1) / 3)}+\omega^{2} \frac{\eta^{\prime}((\tau+2) / 3)}{\eta((\tau+2) / 3)}\right) Y_{2}^{2}+2 Y_{1} Y_{3}=0
\end{aligned}
$$

$$
\eta(\tau)=q^{1 / 24} \prod_{n=1}^{\infty}\left(1-q^{n}\right) \quad \text { Dedekind eta-function }
$$

$$
Y=\left(\begin{array}{l}
Y_{1}(\tau) \\
Y_{2}(\tau) \\
Y_{3}(\tau)
\end{array}\right)=\left(\begin{array}{c}
1+12 q+36 q^{2}+12 q^{3}+\ldots \\
-6 q^{1 / 3}\left(1+7 q+8 q^{2}+\ldots\right) \\
-18 q^{2 / 3}\left(1+2 q+5 q^{2}+\ldots\right)
\end{array}\right) \quad q=e^{2 \pi i \tau}
$$

$$
\rho(\mathrm{S})=\frac{1}{3}\left(\begin{array}{ccc}
-1 & 2 & 2 \\
2 & -1 & 2 \\
2 & 2 & -1
\end{array}\right), \quad \rho(\mathrm{T})=\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & \omega & 0 \\
0 & 0 & \omega^{2}
\end{array}\right), \quad \omega=\exp \left(i \frac{2}{3} \pi\right)
$$

## We find easily modular forms with higher weights $k=4,6$...

## \# of modular forms is $\mathbf{k + 1}$

Weight 2 3 Modular forms

$$
\mathbf{Y}_{3}{ }^{(2)}=\left(\begin{array}{l}
Y_{1} \\
Y_{2} \\
Y_{3}
\end{array}\right)
$$

Modular forms with higher weights are constructed by the tensor product of modular forms of weight 2

$$
\begin{aligned}
\left(\begin{array}{l}
a_{1} \\
a_{2} \\
a_{3}
\end{array}\right)_{3} \otimes\left(\begin{array}{l}
b_{1} \\
b_{2} \\
b_{3}
\end{array}\right)_{3} & =\left(a_{1} b_{1}+a_{2} b_{3}+a_{3} b_{2}\right)_{1} \oplus\left(a_{3} b_{3}+a_{1} b_{2}+a_{2} b_{1}\right)_{1^{\prime}} \\
& \oplus\left(a_{2} b_{2}+a_{1} b_{3}+a_{3} b_{1}\right)_{1^{\prime \prime}} \\
& \oplus \frac{1}{3}\left(\begin{array}{l}
2 a_{1} b_{1}-a_{2} b_{3}-a_{3} b_{2} \\
2 a_{3} b_{3}-a_{1} b_{2}-a_{2} b_{1} \\
2 a_{2} b_{2}-a_{1} b_{3}-a_{3} b_{1}
\end{array}\right)_{3} \oplus \frac{1}{2}\left(\begin{array}{l}
a_{2} b_{3}-a_{3} b_{2} \\
a_{1} b_{2}-a_{2} b_{1} \\
a_{3} b_{1}-a_{1} b_{3}
\end{array}\right)_{3}
\end{aligned}
$$

$$
1 \otimes 1=1, \quad 1^{\prime} \otimes 1^{\prime}=1^{\prime \prime}, \quad 1^{\prime \prime} \otimes 1^{\prime \prime}=1^{\prime}, \quad 1^{\prime} \otimes 1^{\prime \prime}=1
$$

J.T.Penedo, S.T.Petcov, Nucl.Phys.B939(2019)292

$$
Y_{\mathbf{3}}^{(2)} \times \mathbf{Y}_{\mathbf{3}}^{(2)} \quad \Rightarrow \quad \mathbf{Y}_{1}^{(4)}=Y_{1}^{2}+2 Y_{2} Y_{3}, \quad \mathbf{Y}_{1^{\prime}}^{(4)}=Y_{3}^{2}+2 Y_{1} Y_{2}, \quad \mathbf{Y}_{1^{\prime \prime}}^{(4)}=Y_{2}^{2}+2 Y_{1} Y_{3}=0
$$

Weight 4
5 Modular forms

$$
\mathbf{Y}_{3}^{(4)}=\left(\begin{array}{l}
Y_{1}^{2}-Y_{2} Y_{3} \\
Y_{3}^{2}-Y_{1} Y_{2} \\
Y_{2}^{2}-Y_{1} Y_{3}
\end{array}\right)
$$

## Modular forms at nearby symmetric points

Consider $A_{4}$ triplet modular forms with weigh $k=2$. $(N=3)$

$$
\begin{aligned}
& Y_{1}(\tau)=1+12 q+36 q^{2}+12 q^{3}+\cdots \\
& Y_{2}(\tau)=-6 q^{1 / 3}\left(1+7 q+8 q^{2}+\cdots\right) \\
& Y_{3}(\tau)=-18 q^{2 / 3}\left(1+2 q+5 q^{2}+\cdots\right)
\end{aligned}
$$

$$
q=e^{2 \pi i \tau}=e^{2 \pi i \operatorname{Re} \tau} e^{-2 \pi \operatorname{Im} \tau}
$$

$$
\varepsilon=6|q|^{1 / 3}
$$

$\tau \rightarrow \infty \quad \underset{A_{i}}{\left(Y_{1}, Y_{2}, Y_{3}\right)^{\top}} \rightarrow \underset{A_{4} \text { triplet }}{(1,-\varepsilon,-1 / 2} \underset{|\varepsilon| \ll 1}{2} \varepsilon^{\top} \rightarrow(1,0,0)^{\top}$
$\mathbf{k}=4 \quad \mathbf{Y}_{3}^{(4)}=Y_{0}^{2}\left(\begin{array}{l}1 \\ 0 \\ 0\end{array}\right), \quad \mathbf{Y}_{1}^{(4)}=Y_{0}^{2}, \quad \mathbf{Y}_{1^{\prime}}^{(4)}=0, \quad \rho(T)=\left(\begin{array}{ccc}1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^{2}\end{array}\right)$
$k=6$ $\mathbf{Y}_{3}^{(6)}=Y_{0}^{3}\left(\begin{array}{l}1 \\ 0 \\ 0\end{array}\right)$,
$\mathrm{Y}_{3^{\prime}}^{(6)}=0$,
$\mathbf{Y}_{1}^{(6)}=Y_{0}^{3}$,
$\mathrm{Z}_{3}$ symmetry
$\mathbf{k}=8 \quad \mathbf{Y}_{3}^{(8)}=Y_{0}^{4}\left(\begin{array}{l}1 \\ 0 \\ 0\end{array}\right), \quad \mathbf{Y}_{3^{\prime}}^{(8)}=0, \quad \mathbf{Y}_{1}^{(8)}=Y_{0}^{4}, \quad \mathbf{Y}_{1^{\prime}}^{(8)}=0, \quad \mathbf{Y}_{1^{\prime \prime}}^{(8)}=0$

$$
\begin{aligned}
& Y_{1}(\tau)=1+12 q+36 q^{2}+12 q^{3}+\cdots, \\
& Y_{2}(\tau)=-6 q^{1 / 3}\left(1+7 q+8 q^{2}+\cdots\right), \\
& Y_{3}(\tau)=-18 q^{2 / 3}\left(1+2 q+5 q^{2}+\cdots\right) .
\end{aligned}
$$

$$
q=e^{2 \pi i \tau}=e^{2 \pi i \operatorname{Re} \tau} e^{-2 \pi \operatorname{Im} \tau}
$$

## $\varepsilon=6|q|^{1 / 3}$

## Modular forms are also hierarchical at $\mathrm{T}=\omega$

$$
\begin{gathered}
\rho(S T)=\left(\begin{array}{ccc}
\omega & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & \omega^{2}
\end{array}\right) \\
\mathbf{\tau}=\boldsymbol{\omega} \quad \mathbf{k}=\mathbf{2} \quad \mathbf{Y}_{3}^{(2)}=\frac{3}{2} \omega Y_{0}\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right) \quad \mathbf{Z}_{3} \text { symmetry } \\
\mathbf{Y}_{3}^{(4)}=\frac{9}{4} Y_{0}^{2}\left(\begin{array}{l}
0 \\
0 \\
\mathbf{k}=\mathbf{4}
\end{array}\right), \quad \mathbf{Y}_{3}^{(6)}=0, \quad \mathbf{Y}_{3^{\prime}}^{(6)}=\frac{27}{8} \omega^{2} Y_{0}^{3}\left(\begin{array}{l}
0 \\
1 \\
0
\end{array}\right)
\end{gathered}
$$

## 3 Mass hierarchy in modular invariance

P.P.Novichkov, J.T.Penedo, S.T.Petcov, JHEP 04(202I)206, arXiv:2 I 02.07488

We can construct the mass matrix with hierarchical masses by using the hierarchical modular forms at nearby $\tau=\infty \mathbf{i}$ and $\omega$

$$
\mathcal{M}_{q} \sim v_{q}\left(\begin{array}{ccc}
\epsilon^{2} & \epsilon & 1 \\
\epsilon^{2} & \epsilon & 1 \\
\epsilon^{2} & \epsilon & 1
\end{array}\right)_{R L}
$$

This hierarchical structure is not accidental. Thanks to Residual symmetry $\mathbf{Z}_{3} \quad(N=3)$
F. Feruglio, V. Gherardi, A. Romanino,A. Titov, S.T.Petcov, M.Tanimoto
S. Kikuchi, T. Kobayashi, K. Nasu, S. Takada, H. Uchida
Y. Abe, T. Higaki, J. Kawamurab,T. Kobayashi,
S. Kikuchi, T. Kobayashi, K. Nasu, S. Takada, H. Uchida

## Modular invariant mass matrix

$$
M(\gamma \tau)=(c \tau+d)^{K} \rho^{c}(\gamma)^{*} M(\tau) \rho(\gamma)^{\dagger} \quad K=k^{c}+k
$$

$$
\begin{aligned}
& \mathbf{\tau}=\mathbf{i} \infty \quad \boldsymbol{\gamma}=\mathbf{T}: \mathbf{\tau} \rightarrow \mathbf{\tau + \mathbf { I }} \quad \mathbf{C T}+\mathbf{d}=\mathbf{1} \quad M_{i j}(T \tau)=\left(\rho_{i}^{c} \rho_{j}\right)^{*} M_{i j}(\tau) \\
& \mathrm{q} \xrightarrow{\mathrm{~T}} \mathrm{q} \xi \\
& q \equiv \exp (i 2 \pi \tau / N) \quad \xi=\exp (i 2 \pi / N) \\
& \rho(T)=\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & \omega & 0 \\
0 & 0 & \omega^{2}
\end{array}\right) \\
& \text { nth derivative } \\
& M_{i j}(\xi \ddot{q})=\left(\rho_{i}^{c} \rho_{j}\right)^{*} M_{i j}(\bar{q}) \longrightarrow \xi^{n} M_{i j}^{(n)}(0)=\left(\rho_{i}^{c} \rho_{j}\right)^{*} M_{i j}^{(n)}(0) \\
& M_{i j}(q)=a_{0} q^{\ell}+a_{1} q^{\ell+N}+a_{2} q^{\ell+2 N}+\ldots, \quad \ell=0,1,2, \ldots, N-1,
\end{aligned}
$$

For $\mathbf{N}=\mathbf{3} \quad M(\tau) \sim \mathcal{O}\left(\epsilon^{\ell}\right) \quad \ell=0,1,2 \quad|\mathbf{q}|=\boldsymbol{\varepsilon} \quad \mathbf{z}_{3}$ symmetry

## Mass hierarchy is also realized close to $\tau=\omega$

$$
M(\gamma \tau)=(c \tau+d)^{K} \rho^{c}(\gamma)^{*} M(\tau) \rho(\gamma)^{\dagger} \quad K=k^{c}+k
$$

mass matrix is invariant under ST transformation ( $Z_{3}$ symmetry $)$

$$
\text { Near } \tau=\omega \quad u=\frac{\tau-\omega}{\tau-\omega^{2}}(u=0 @ \tau=\omega) \quad|u|=\epsilon
$$

ST transformation : $u \rightarrow \omega^{2} u$

$$
M(S T \tau)_{i j}=M\left(\omega^{2} u\right)_{i j}=(-(\tau+1))^{K}\left[\rho^{c}(\gamma)_{i} \rho(\gamma)_{j}\right]^{*} M(u)_{i j}
$$

$$
M(\tau) \sim \mathcal{O}\left(\epsilon^{\ell}\right) \quad \ell=0,1,2
$$

due to residual symmetry $Z_{3}$

## Observed Yukawa ratios at GUT scale with $\tan \beta=10$

S. Antusch, V. Maurer, JHEP 1311 (2013) 115 [arXiv:1306.6879].

$$
\begin{array}{ll}
\frac{y_{d}}{y_{b}}=9.21 \times 10^{-4}(1 \pm 0.111), & \frac{y_{s}}{y_{b}}=1.82 \times 10^{-2}(1 \pm 0.055) \\
\frac{y_{u}}{y_{t}}=5.39 \times 10^{-6}(1 \pm 0.311), & \frac{y_{c}}{y_{t}}=2.80 \times 10^{-3}(1 \pm 0.043)
\end{array}
$$

$$
m_{b(t)}: m_{s(c)}: m_{d(u)} \sim 1:|\epsilon|:|\epsilon|^{2}
$$

For down quark sector $\varepsilon_{d}=0.02 \sim 0.03$
For up quark sector $\quad \varepsilon_{u}=0.002 \sim 0.003$
We have only one $\varepsilon$ because of one modulus $\mathbf{T} \quad|q|=\varepsilon$

$$
q=e^{2 \pi i \tau}=e^{2 \pi i \operatorname{Re} \tau} e^{-2 \pi \operatorname{Im} \tau}
$$

## 4 Examples in $\mathrm{A}_{4}$ modular symmetry

@ $\quad \mathrm{T}=\boldsymbol{\omega}$

|  | $Q$ | $\left(u^{c}, c^{c}, t^{c}\right),\left(d^{c}, s^{c}, b^{c}\right)$ | $H_{q}$ | $\mathrm{Y}_{3}^{(6)}, \mathrm{Y}_{3^{\prime}}^{(6)}$ | $\mathrm{Y}_{3}^{(4)}$ | $\mathrm{Y}_{3}^{(2)}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $S U(2)$ | 2 | 1 | 2 | 1 | 1 | 1 |
| $\mathrm{~A}_{4}$ | 3 | $\left(1,1^{\prime \prime}, 1^{\prime}\right)$ | 1 | 3 | 3 | 3 |
| $k_{I}$ | 2 | $(4,2,0)$ | 0 | $k=6$ | $k=4$ | $k=2$ |

$W_{d}=\left[\alpha_{d}\left(\mathbf{Y}_{3}^{(6)} Q\right)_{1} d_{1}^{c}+\alpha_{d}^{\prime}\left(\mathbf{Y}_{3^{\prime}}^{(6)} Q\right)_{1} d_{1}^{c}+\beta_{d}\left(\mathbf{Y}_{3}^{(4)} Q\right)_{1^{\prime}} s_{1^{\prime}}^{c}+\gamma_{d}\left(\mathbf{Y}_{3}^{(2)} Q\right)_{1^{\prime \prime}} b_{1^{\prime}}^{c}\right] H_{d}$

## Suppose all coefficients are same order.

$$
M_{q}=v_{q}\left(\begin{array}{ccc}
\alpha_{q} & 0 & 0 \\
0 & \beta_{q} & 0 \\
0 & 0 & \gamma_{q}
\end{array}\right)\left(\begin{array}{ccc}
Y_{1}^{(6)}+g_{q} Y_{1}^{\prime(6)} & Y_{3}^{(6)}+g_{q} Y_{3}^{\prime(6)} & Y_{2}^{(6)}+g_{q} Y_{2}^{\prime(6)} \\
Y_{2}^{(4)} & Y_{1}^{(4)} & Y_{3}^{(4)} \\
Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)}
\end{array}\right)_{R I}
$$

$$
\mathrm{g}_{\mathrm{q}}=\alpha_{q}^{\prime} / \alpha_{q} \quad \begin{aligned}
& \text { S.T.Petcov, M.Tanimoto, Eur. Phys. J. C 83(2023)579 } \\
& \text { [arXiv:2212.13336 ] }
\end{aligned}
$$

$$
M_{q}=v_{q}\left(\begin{array}{ccc}
\alpha_{q} & 0 & 0 \\
0 & \beta_{q} & 0 \\
0 & 0 & \gamma_{q}
\end{array}\right)\left(\begin{array}{ccc}
Y_{1}^{(6)}+g_{q} Y_{1}^{\prime(6)} & Y_{3}^{(6)}+g_{q} Y_{3}^{\prime(6)} & Y_{2}^{(6)}+g_{q} Y_{2}^{\prime(6)} \\
Y_{2}^{(4)} & Y_{1}^{(4)} & Y_{3}^{(4)} \\
Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)}
\end{array}\right)_{R L}
$$

At $\tau=\omega \quad$ in the diagonal base of ST

$$
\mathbf{Y}_{3}^{(2)}=\frac{3}{2} \omega Y_{0}\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right), \quad \mathbf{Y}_{3}^{(4)}=\frac{9}{4} Y_{0}^{2}\left(\begin{array}{l}
0 \\
0 \\
1
\end{array}\right), \quad \mathbf{Y}_{3}^{(6)}=0, \quad \mathbf{Y}_{3^{\prime}}^{(6)}=\frac{27}{8} \omega^{2} Y_{0}^{3}\left(\begin{array}{l}
0 \\
1 \\
0
\end{array}\right)
$$

$$
\mathcal{M}_{q}^{(0)}=M_{q} V_{\mathrm{ST}}^{\dagger}=v_{q}\left(\begin{array}{ccc}
0 & 0 & \frac{27}{8} \hat{\alpha}_{q} g_{q} \omega \\
0 & 0 & \frac{9}{4} \hat{\beta}_{q} \omega^{2} \\
0 & 0 & \frac{3}{2} \hat{\gamma}_{q}
\end{array}\right)
$$

rank one matrix

## very small

$$
\tau=\omega+\epsilon
$$

$$
\frac{Y_{2}(\tau)}{Y_{1}(\tau)} \simeq-\frac{2}{3} \epsilon_{1}, \quad \frac{Y_{3}(\tau)}{Y_{1}(\tau)} \simeq \frac{2}{9} \epsilon_{1}^{2} \quad \epsilon_{1} \simeq 2.1 i \epsilon
$$

## In the diagonal base of ST

$$
\mathcal{M}_{q} \sim v_{q}\left(\begin{array}{ccc}
\hat{\alpha}_{q} \omega Y_{1}^{3} & 0 & 0 \\
0 & \hat{\beta}_{q} \omega^{2} Y_{1}^{2} & 0 \\
0 & 0 & \hat{\gamma}_{q} Y_{1}
\end{array}\right)\left(\begin{array}{ccc}
\left(-3+\frac{3}{4} g_{q}\right) \epsilon_{1}^{2} & -\frac{9}{2} \epsilon_{1}\left(1+\frac{g_{q}}{2}\right) & \frac{3}{2} \frac{27}{8} \\
-\frac{3}{2} \epsilon_{1}^{2} & \frac{9}{2} \epsilon_{1} & \frac{1}{3} \\
\frac{1}{3} \epsilon_{1}^{2} & -\epsilon_{1} & \frac{3}{2}
\end{array}\right)
$$

$$
\begin{gathered}
\mathbf{g}_{\mathbf{q}} \sim \mathbf{1} \\
m_{q 3}: m_{q 2}: m_{q 1} \simeq 1:\left|\epsilon_{1}\right|:\left|\epsilon_{1}\right|^{2} \simeq 1:|\epsilon|:|\epsilon|^{2} \\
\mathbf{g}_{\mathbf{q}} \gg 1 \\
m_{q 3}: m_{q 2}: m_{q 1} \simeq 1:\left(\frac{\left|\epsilon_{1}\right|}{\left|g_{q}\right|}:\left(\frac{\left|\epsilon_{1}\right|}{\left|g_{q}\right|}\right)^{2}\right.
\end{gathered}
$$

$$
\tau=\omega+\epsilon
$$

## Real parameters except for $T$

| $\epsilon$ | $\frac{\beta_{d}}{\alpha_{d}}$ | $\frac{\gamma_{d}}{\alpha_{d}}$ | $g_{d}$ | $\frac{\beta_{u}}{\alpha_{u}}$ | $\frac{\gamma_{u}}{\alpha_{u}}$ | $g_{u}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $0.01779+i 0.02926$ | 3.26 | 0.43 | -1.40 | 1.05 | 0.80 | -16.1 |

$$
\left|g_{d}\right| \sim 1 \quad\left|g_{u}\right| \sim 10
$$

|  | $\frac{m_{s}}{m_{b}} \times 10^{2}$ | $\frac{m_{d}}{m_{b}} \times 10^{4}$ | $\frac{m_{c}}{m_{t}} \times 10^{3}$ | $\frac{m_{u}}{m_{t}} \times 10^{6}$ | $\left\|V_{u s}\right\|$ | $\left\|V_{c b}\right\|$ | $\left\|V_{u b}\right\|$ | $J_{\mathrm{CP}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Fit | 1.52 | 8.62 | 2.50 | 5.43 | 0.2230 | 0.0786 | 0.00368 | $-2.9 \times 10^{-8}$ |
| Exp | 1.82 | 9.21 | 2.80 | 5.39 | 0.2250 | 0.0400 | 0.00353 | $2.8 \times 10^{-5}$ |
| $1 \sigma$ | $\pm 0.10$ | $\pm 1.02$ | $\pm 0.12$ | $\pm 1.68$ | $\pm 0.0007$ | $\pm 0.0008$ | $\pm 0.00013$ | ${ }_{-0.12}^{+0.14} \times 10^{-5}$ |

CPV is very small!

## Why CPV is so small?

## CP phase structure of mass matrix

$$
\begin{aligned}
& \tau=\omega+\epsilon \quad \frac{Y_{2}(\tau)}{Y_{1}(\tau)} \simeq-\frac{2}{3} \epsilon_{1}, \quad \frac{Y_{3}(\tau)}{Y_{1}(\tau)} \simeq \frac{2}{9} \epsilon_{1}^{2} \quad \epsilon_{1} \simeq 2.1 i \epsilon \\
& \mathcal{M}_{q}^{\text {gen }}=v_{q}\left(\begin{array}{ccc}
i^{2} \epsilon^{2} & i \epsilon & 1 \\
i^{2} \epsilon^{2} & i \epsilon & 1 \\
i^{2} \epsilon^{2} & i \epsilon & 1
\end{array}\right), \quad q=d, u \\
& \begin{array}{c}
\left(\mathcal{M}_{q}^{g e n}\right)^{\dagger} \mathcal{M}_{q}^{g e n}=v_{q}^{2} \underbrace{\left(\begin{array}{ccc}
-i e^{-i \kappa_{q}} & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & i e^{i \kappa_{q}}
\end{array}\right)}_{\mathbf{P}\left(\mathbf{K}_{q}\right)}\left(\begin{array}{cc}
\left|\epsilon_{q}\right|^{4} & \left|\epsilon_{q}\right|^{3} \\
\left(\left.\epsilon_{q}\right|^{2}\right. \\
\left|\epsilon_{q}\right|^{3} & \left|\epsilon_{q}\right|^{2} \\
\left|\epsilon_{q}\right|^{2} & \left|\epsilon_{q}\right| \\
\left|\epsilon_{q}\right| & 1
\end{array}\right)\left(\epsilon_{q} \mid e^{i \kappa_{q}} \quad \mathrm{P}\left(\mathbf{K}_{q}\right)^{\star}\right.
\end{array}
\end{aligned}
$$

$$
\begin{gathered}
\mathrm{U}_{\mathrm{CKM}}^{\mathrm{gen}}=\mathrm{O}_{\mathrm{u}}^{\mathrm{T}} \mathrm{P}^{*}\left(\kappa_{\mathrm{u}}\right) \mathrm{P}\left(\kappa_{\mathrm{d}}\right) \mathrm{O}_{\mathrm{d}} \\
\mathrm{P}\left(\kappa_{\mathrm{q}}\right)=\operatorname{diag}\left(\mathrm{e}^{-\mathrm{i}\left(\kappa_{\mathrm{q}}+\pi / 2\right)}, 1, \mathrm{e}^{\mathrm{i}\left(\kappa_{\mathrm{q}}+\pi / 2\right)}\right)
\end{gathered}
$$

Common t $\quad \epsilon_{1 d}=\epsilon_{1 u} \quad \kappa_{d}=\kappa_{u} \quad \mathrm{P}^{*}\left(\kappa_{\mathrm{u}}\right) \mathrm{P}\left(\kappa_{\mathrm{d}}\right)=1$
CP conserving if other parameters are real

Two different $\tau \quad \epsilon_{d} \neq \epsilon_{u} \quad \mathrm{P}^{*}\left(\kappa_{\mathrm{u}}\right) \mathrm{P}\left(\kappa_{\mathrm{d}}\right) \neq 1$
CP violation even if other parameters are real Spontaneous CP violation
S.T.Petcov, M.Tanimoto, JHEP 08 (2023)086 [arXiv:2306.05730]
@ $\tau=i \infty$ putting $\left|g_{d}\right| \sim\left|g_{u}\right| \sim 1$

|  | $Q$ | $\left(d^{c}, s^{c}, b^{c}\right),\left(u^{c}, c^{c}, t^{c}\right)$ | $H_{u}$ | $H_{d}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $S U(2)$ | 2 | 1 |  | 2 | 2 |
| $A_{4}$ | 3 | $\left(1^{\prime}, 1^{\prime}, 1^{\prime}\right)$ | $\left(1^{\prime}, 1^{\prime}, 1^{\prime}\right)$ | 1 | 1 |
| $k$ | 2 | $(4,2,0)$ | $(6,2,0)$ | 0 | 0 |

Irreducible representations

$$
A_{4}: 1,1^{\prime}, 1^{\prime \prime}, 3
$$

Weight $k$ is set to vanish automorphy factor $(c \tau+d)^{k}$

$$
\begin{gathered}
W_{d}=\left[\alpha_{d}\left(\mathbf{Y}_{3}^{(6)} Q\right)_{1} d_{1}^{c}+\alpha_{d}^{\prime}\left(\mathbf{Y}_{3^{\prime}}^{(6)} Q\right)_{1} d_{1}^{c}+\beta_{d}\left(\mathbf{Y}_{3}^{(4)} Q\right)_{1^{\prime}} s_{1^{\prime}}^{c}+\gamma_{d}\left(\mathbf{Y}_{3}^{(2)} Q\right)_{1^{\prime \prime}} b_{1^{\prime}}^{c}\right] H_{d} \\
\mathbf{A}_{4} 3 \times 3 \times 1^{\prime} \\
\text { Weight } 6-2-4
\end{gathered}
$$

$$
M_{d}=v_{d}\left(\begin{array}{ccc}
\hat{\alpha}_{d}^{\prime} & 0 & 0 \\
0 & \hat{\beta}_{d} & 0 \\
0 & 0 & \hat{\gamma}_{d}
\end{array}\right)\left(\begin{array}{ccc}
\tilde{Y}_{3}^{(6)} & \tilde{Y}_{2}^{(6)} & \tilde{Y}_{1}^{(6)} \\
\tilde{Y}_{3}^{(4)} & \tilde{Y}_{2}^{(4)} & \tilde{Y}_{1}^{(4)} \\
Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)}
\end{array}\right), \quad M_{u}=v_{u}\left(\begin{array}{ccc}
\hat{\alpha}_{u}^{\prime} & 0 & 0 \\
0 & \hat{\beta}_{u} & 0 \\
0 & 0 & \hat{\gamma}_{u}
\end{array}\right)\left(\begin{array}{lll}
\tilde{Y}_{3}^{(8)} & \tilde{Y}_{2}^{(8)} & \tilde{Y}_{1}^{(8)} \\
\tilde{Y}_{3}^{(4)} & \tilde{Y}_{2}^{(4)} & \tilde{Y}_{1}^{(4)} \\
Y_{3}^{(2)} & Y_{2}^{(2)} & Y_{1}^{(2)}
\end{array}\right)
$$

$$
\tilde{Y}_{i}^{(6)}=g_{d} Y_{i}^{(6)}+Y_{i}^{\prime(6)}, \quad \tilde{Y}_{i}^{(8)}=f_{u} Y_{i}^{(8)}+Y_{i}^{\prime(8)}, \quad g_{d} \equiv \alpha_{d} / \alpha_{d}^{\prime} \quad f_{u} \equiv \alpha_{u} / \alpha_{u}^{\prime}
$$

$$
Y_{2}^{2}+2 Y_{1} Y_{3}=0
$$

$$
\begin{gathered}
\mathbf{Y}_{3}^{(2)}=\left(\begin{array}{l}
Y_{1} \\
Y_{2} \\
Y_{3}
\end{array}\right)=\left(\begin{array}{c}
1+12 q+36 q^{2}+12 q^{3}+\ldots \\
-6 q^{1 / 3}\left(1+7 q+8 q^{2}+\ldots\right) \\
-18 q^{2 / 3}\left(1+2 q+5 q^{2}+\ldots\right)
\end{array}\right) \\
q \equiv \exp (2 i \pi \tau)=(p \epsilon)^{3}
\end{gathered}
$$

$$
\epsilon=\exp \left(-\frac{2}{3} \pi \operatorname{Im}[\tau]\right), \quad p=\exp \left(\frac{2}{3} \pi i \operatorname{Re}[\tau]\right)
$$

$$
\begin{array}{ll}
\mathbf{\tau}=\mathbf{i} \infty & \mathbf{Y}_{3}^{(2)}=Y_{0}\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right),
\end{array} \mathbf{Y}_{3}^{(4)}=Y_{0}^{2}\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right), \quad \begin{aligned}
& \mathbf{Y}_{3}^{(8)}=Y_{0}^{3}\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right), \quad Y_{3^{\prime}}^{(6)}=0
\end{aligned}\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right), \quad \mathbf{Y}_{3^{\prime}}^{(8)}=0, ~ l
$$

## kinetic terms

## Simplest Modular invariant kinetic terms of matters

$$
\sum_{I} \frac{\left|\partial_{\mu} \psi^{(I)}\right|^{2}}{\langle-i \tau+i \bar{\tau}\rangle^{k_{I}}}
$$

This is not canonical form.
We need overall renomarization

$$
\psi^{(I)} \rightarrow \sqrt{\left(2 \operatorname{Im} \tau_{q}\right)^{k}} \psi^{(I)}
$$

## comment

Possible non-minimal additions to Kaehler potential, compatible with the modular symmetry including modular forms $Y$ and $\bar{Y}$ reduces the predictive power of flavor models, and often assumed to be negligible.

## Superpotential

$$
W_{d}=\left[\alpha_{d}\left(\mathbf{Y}_{3}^{(6)} Q\right)_{1} d_{1}^{c}+\alpha_{d}^{\prime}\left(\mathbf{Y}_{3^{\prime}}^{(6)} Q\right)_{1} d_{1}^{c}+\beta_{d}\left(\mathbf{Y}_{3}^{(4)} Q\right)_{1^{\prime}} s_{1^{\prime}}^{c}+\gamma_{d}\left(\mathbf{Y}_{3}^{(2)} Q\right)_{1^{\prime \prime}} b_{1^{\prime}}^{c}\right] H_{d}
$$

## Kinetic terms

$$
\sum_{I} \frac{\left|\partial_{\mu} \psi^{(I)}\right|^{2}}{\langle-i \tau+i \bar{\tau}\rangle^{k_{I}}}
$$

We renormalize superfields to get canonical kinetic terms

$$
\psi^{(I)} \rightarrow \sqrt{\left(2 \operatorname{Im} \tau_{q}\right)^{k_{I}}} \psi^{(I)}
$$

$$
\begin{array}{ll}
\alpha_{u} \rightarrow \hat{\alpha}_{u}=\alpha_{u} \sqrt{(2 \operatorname{Im} \tau)^{8}}=\alpha_{u}(2 \operatorname{Im} \tau)^{4}, & \alpha_{u}^{\prime} \rightarrow \hat{\alpha}_{u}^{\prime}=\alpha_{u}^{\prime} \sqrt{(2 \operatorname{Im} \tau)^{8}}=\alpha_{u}^{\prime}(2 \operatorname{Im} \tau)^{4}, \\
\beta_{u} \rightarrow \hat{\beta}_{u}=\beta_{u} \sqrt{(2 \operatorname{Im} \tau)^{4}}=\beta_{u}(2 \operatorname{Im} \tau)^{2}, & \gamma_{u} \rightarrow \hat{\gamma}_{u}=\gamma_{u} \sqrt{(2 \operatorname{Im} \tau)^{2}}=\gamma_{u}(2 \operatorname{Im} \tau), \\
\alpha_{d} \rightarrow \hat{\alpha}_{d}=\alpha_{d} \sqrt{(2 \operatorname{Im} \tau)^{6}}=\alpha_{d}(2 \operatorname{Im} \tau)^{3}, & \alpha_{d}^{\prime} \rightarrow \hat{\alpha}_{d}^{\prime}=\alpha_{d}^{\prime} \sqrt{(2 \operatorname{Im} \tau)^{6}}=\alpha_{d}^{\prime}(2 \operatorname{Im} \tau)^{3}, \\
\beta_{d} \rightarrow \hat{\beta}_{d}=\beta_{d} \sqrt{(2 \operatorname{Im} \tau)^{4}}=\beta_{d}(2 \operatorname{Im} \tau)^{2}, & \gamma_{d} \rightarrow \hat{\gamma}_{d}=\gamma_{d} \sqrt{(2 \operatorname{Im} \tau)^{2}}=\gamma_{d}(2 \operatorname{Im} \tau) .
\end{array}
$$

$2 \operatorname{Im} \tau$ is large $\tau \rightarrow i \infty$ compared with the case of at $\tau=\omega$

## Down type quark mass matrix

$$
\begin{aligned}
\text { At } \mathbf{\tau}=\mathbf{i} \infty \quad M_{q}=v_{q}\left(\begin{array}{ccc}
g_{q} \hat{\alpha}_{q}^{\prime} & 0 & 0 \\
0 & \hat{\beta}_{q} & 0 \\
0 & 0 & \hat{\gamma}_{q}
\end{array}\right)\left(\begin{array}{ccc}
0 & 0 & 1 \\
0 & 0 & 1 \\
0 & 0 & 1
\end{array}\right)_{R L} \quad \text { rank one } \\
\mathcal{M}_{q}^{2(0)} \equiv M_{q}^{\dagger} M_{q}=v_{q}^{2}\left(\begin{array}{ccc}
0 & 0 & 0 \\
0 & 0 & 0 \\
0 & 0 & \left|g_{q}\right|^{2} \hat{\alpha}_{q}^{\prime 2}+\hat{\beta}_{q}^{2}+\hat{\gamma}_{q}^{2}
\end{array}\right)
\end{aligned}
$$

In the vicinity of $\tau=\mathbf{i} \infty \quad\left|\alpha_{q}^{\prime}\right| \sim\left|\beta_{q}\right| \sim\left|\gamma_{q}\right|$

$$
\begin{aligned}
& \mathcal{M}_{q}=v_{q}\left(\begin{array}{ccc}
\hat{\alpha}_{q}^{\prime} & 0 & 0 \\
0 & \hat{\beta}_{q} & 0 \\
0 & 0 & \hat{\gamma}_{q}
\end{array}\right)\left(\begin{array}{ccc}
18(\epsilon p)^{2}\left(4-g_{q}\right) & -6(\epsilon p)\left(2+g_{q}\right) & g_{q} \\
54(\epsilon p)^{2} & 6(\epsilon p) & 1 \\
-18(\epsilon p)^{2} & -6(\epsilon p) & 1
\end{array}\right) \\
& \mathcal{M}_{q}^{2} \sim\left(\begin{array}{ccc}
\epsilon^{4} & \epsilon^{3} p^{*} & \epsilon^{2} p^{* 2} \\
\epsilon^{3} p & \epsilon^{2} & \epsilon p^{*} \\
\epsilon^{2} p^{2} & \epsilon p & 1
\end{array}\right) m_{q 3}: m_{q 2}: m_{q 1} \simeq 1:\left|\frac{12 \epsilon}{I_{\tau} g_{q}}\right|:\left|\frac{12 \epsilon}{I_{\tau} g_{q}}\right|^{2} I_{\tau}=2 \operatorname{Im} \tau \\
& g_{q}>\mathcal{O}(1)
\end{aligned}
$$

## Up type quark mass matrix

In order to protect a massless quark, we can consider dimesuion 6 mass operator

$$
\left(u^{c} Q H_{u}\right)\left(H_{u} H_{d}\right) / \Lambda^{2} \text { with } \quad k_{Q}=2-k_{H d}, \quad k_{u^{c}}=6+k_{H d}-k_{H u}
$$

or SUSY breaking by F term $\mathrm{F} / \Lambda^{2}$
F. Feruglio, V. Gherardi, A. Romanino and A. Titov, JHEP 05 (2021), 242; arXiv:2101.08718

$$
M_{u}=v_{u}\left(\begin{array}{ccc}
\hat{\alpha}_{u}^{\prime} & 0 & 0 \\
0 & \hat{\beta}_{u} & 0 \\
0 & 0 & \hat{\gamma}_{u}
\end{array}\right)\left(\begin{array}{ccc}
\tilde{Y}_{3}^{(8)}\left(1+C_{u 1}\right) & \tilde{Y}_{Y^{(8)}}^{(8)} & \tilde{Y}_{1}^{(8)} \\
\tilde{Y}_{3}^{(4)}\left(1+C_{u 2}\right) & \tilde{Y}_{2}^{(4)} & \tilde{Y}_{1}^{(4)} \\
Y_{3}^{(2)}\left(1+C_{u 3}\right) & Y_{2}^{(2)} & Y_{1}^{(2)}
\end{array}\right)
$$

$$
\begin{aligned}
& m_{t}: m_{c}: m_{u} \simeq\left[1:\left(\frac{12 \epsilon}{I_{\tau} f_{u}} \frac{1}{I_{\tau} f_{u}}\right): \frac{3}{2}\left(\frac{12 \epsilon}{I_{\tau} f_{u}} \frac{1}{I_{\tau} f_{u}}\right)^{2} f_{u}^{3} I_{\tau}\left|C_{u}\right|\right] I_{\tau}^{4} f_{u} \\
& C_{u}=3 f_{u}\left(C_{u 1}-C_{u 2}\right)+\left(-4 C_{u 1}+3 C_{u 2}+C_{u 3}\right) \quad I_{\tau}=2 \operatorname{Im} \tau
\end{aligned}
$$

Down type quark masses $k=2,4,6$ modular forms

$$
m_{q 3}: m_{q 2}: m_{q 1} \simeq 1:\left|\frac{12 \epsilon}{I_{\tau} g_{q}}\right|:\left|\frac{12 \epsilon}{I_{\tau} g_{q}}\right|^{2}
$$

Up type quark masses $k=2,4,8$ modular forms

$$
\begin{gathered}
m_{t}: m_{c}: m_{u} \simeq\left[1:\left(\frac{12 \epsilon}{I_{\tau} f_{u}} \frac{1}{I_{\tau} f_{u}}\right): \frac{3}{2}\left(\frac{12 \epsilon}{I_{\tau} f_{u}} \frac{1}{I_{\tau} f_{u}}\right)^{2} f_{u}^{3} r\left(\mid C_{u}\right)\right] I_{\tau}^{4} f_{u} \\
I_{\tau}=2 \operatorname{Im} \tau
\end{gathered}
$$

$$
\tilde{Y}_{i}^{(6)}=g_{d} Y_{i}^{(6)}+Y_{i}^{\prime(6)}, \quad \tilde{Y}_{i}^{(8)}=f_{u} Y_{i}^{(8)}+Y_{i}^{\prime(8)}, \quad g_{d} \equiv \alpha_{d} / \alpha_{d}^{\prime} \quad f_{u} \equiv \alpha_{u} / \alpha_{u}^{\prime}
$$

## A successful numerical result

| $\tau$ | $\frac{\beta_{d}}{\alpha_{d}^{\prime}}$ | $\frac{\gamma_{d}}{\alpha_{d}^{\prime}}$ | $g_{d}$ | $\frac{\beta_{u}}{\alpha_{u}^{\prime}}$ | $\frac{\gamma_{u}}{\alpha_{u}^{\prime}}$ | $\left\|f_{u}\right\|$ | $\arg \left[f_{u}\right]$ | $C_{u 1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $-0.3952+i 2.4039$ | 3.82 | 1.17 | -0.677 | 1.72 | 3.21 | 1.68 | 127.39 | -0.07147 |

$$
8 \text { real parameters }+2 \text { phase }
$$

## $q=e^{2 \pi i \tau}$

Order 1 parameters, $\beta_{q} / \alpha_{q}, \gamma_{q} / \alpha_{q}, g_{d}, f_{u}$
$C_{u 1} \sim\left(F / \Lambda^{2}\right) / \varepsilon^{2}$

|  | $\frac{m_{s}}{m_{b}} \times 10^{2}$ | $\frac{m_{d}}{m_{b}} \times 10^{4}$ | $\frac{m_{c}}{m_{t}} \times 10^{3}$ | $\frac{m_{u}}{m_{t}} \times 10^{6}$ | $\left\|V_{u s}\right\|$ | $\left\|V_{c b}\right\|$ | $\left\|V_{u b}\right\|$ | $\left\|J_{\mathrm{CP}}\right\|$ | $\delta_{\mathrm{CP}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Fit | 1.89 | 8.78 | 2.81 | 5.52 | 0.2251 | 0.0390 | 0.00364 | $2.94 \times 10^{-5}$ | $70.7^{\circ}$ |
| $\operatorname{Exp}$ | 1.82 | 9.21 | 2.80 | 5.39 | 0.2250 | 0.0400 | 0.00353 | $2.8 \times 10^{-5}$ | $66.2^{\circ}$ |
| $1 \sigma$ | $\pm 0.10$ | $\pm 1.02$ | $\pm 0.12$ | $\pm 1.68$ | $\pm 0.0007$ | $\pm 0.0008$ | $\pm 0.00013$ | ${ }_{-0.12}^{+0.14} \times 10^{-5}$ | ${ }_{-3.6^{\circ}}^{+3 . .^{\circ}}$ |

8 output $\quad$ No=2.0

## 5 Summary

- Quark mass hierarchy is obtained at nearby symmetric points $\tau=i^{\infty}$ and $\omega$ thanks to the residual symmetry.

Im $T$ is important for $\tau=i^{\infty}$.

- Spontaneous CP violation?
$\tau$ is origin of both CP violation and mass hierarchy?
- One modulus or multi-modulei?

Flavor theory with modular forms is developing!
Talks by M. Levy, X. Wang: 6.June,
J. Penedo: 7.June

## Back-up slides

## Modular forms at $\mathrm{T}=\mathrm{i}$

$$
\mathrm{Z}_{2} \text { symmetry }
$$

$$
\begin{array}{cc}
\rho(S)=\frac{1}{3}\left(\begin{array}{ccc}
-1 & 2 & 2 \\
2 & -1 & 2 \\
2 & 2 & -1
\end{array}\right) & \\
\mathbf{\tau}=\mathbf{I} \\
\mathbf{Y}_{3}^{(2)}=\left(\begin{array}{c}
1 \\
1-\sqrt{3} \\
-2+\sqrt{3}
\end{array}\right) & \left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & -1 & 0 \\
0 & 0 & -1
\end{array}\right) \\
\mathbf{k}=\mathbf{4} & \left.\begin{array}{c} 
\\
\mathbf{Y}_{3}^{(4)}=\left(\begin{array}{l}
1 \\
1 \\
1
\end{array}\right) \\
\sqrt{3 / 2}
\end{array}\right) \\
&
\end{array}
$$

F. Feruglio, V. Gherardi, A. Romanino and A. Titov, JHEP 05 (2021), 242; arXiv:2101.08718

## Consider effective theories with $\Gamma_{N}$ symmetry

$$
\begin{aligned}
& \quad \mathcal{L}_{\text {eff }} \in f(\tau) \phi^{(1)} \cdots \phi^{(n)} \quad f(\tau), \phi^{(I)} \text { : non-trivial rep. of } \Gamma_{\mathrm{N}} \\
& \text { Modular form of Level } \mathrm{N}
\end{aligned}
$$

$$
\begin{aligned}
& \qquad \begin{array}{l}
\tau \longrightarrow \tau^{\prime}=\gamma \tau=\frac{a \tau+b}{c \tau+d} \quad \text { Modular transformation } \\
\text { Automorphy factor }
\end{array} \\
& \qquad f_{i}(\tau) \longrightarrow f_{i}(\gamma \tau)=(c \tau+d){ }_{l}^{k} f_{j}(\tau) \\
& \text { modular form of weight } \mathbf{k} \quad \begin{array}{l}
\text { Representation matrix } \\
\text { for finite groups of } \mathrm{N}
\end{array} \\
& \mathrm{k} \text { is modular weight } \begin{array}{l}
\text { Phase for } \mathrm{N}=1 \text { full modular group }
\end{array}
\end{aligned}
$$

Modular transformation of chiral superfields

$$
\left(\phi^{(I)}\right)_{i}(x) \longrightarrow(c \tau+d)^{-k_{I}} \rho(\gamma)_{i j}\left(\phi^{(I)}\right)_{j}(x)
$$

## CP invariance and Lepton model

## CP transformation in modular invariant theory

P.P.Novichkov, J.T.Penedo, S.T.Petcov, A.V.Titov, JHEP 07(2019)|65 [arXiv:I905.I I970].

$$
\tau \xrightarrow{\mathrm{CP}}-\tau^{*}, \quad \psi(x) \xrightarrow{\mathrm{CP}} \bar{\psi}\left(x_{P}\right), \quad \mathbf{Y}_{\mathrm{r}}^{(\mathrm{k})}(\tau) \xrightarrow{\mathrm{CP}} \mathbf{Y}_{\mathrm{r}}^{(\mathrm{k})}\left(-\tau^{*}\right)=\mathbf{Y}_{\mathrm{r}}^{(\mathrm{k}) *}(\tau)
$$

We can construct CP invariant mass matrices in modular invariant flavor theory.

$$
\begin{array}{l|l}
\text { example } & M_{E}\left(-\tau^{*}\right)=M_{E}(\tau)^{*}, \quad M_{\nu}\left(-\tau^{*}\right)=M_{\nu}(\tau)^{*}
\end{array}
$$

$C P$ violation could be realized by fixing $\tau$.

Modular transformation is the transformation of modulus $\tau$

$$
\tau \longrightarrow \tau^{\prime}=\frac{a \tau+b}{c \tau+d} \quad \begin{aligned}
& S: \tau \longrightarrow-\frac{1}{\tau} \\
& T: \tau \longrightarrow \tau+1
\end{aligned}
$$

weight 2; $\mathbf{k}=\mathbf{2}$
3 modular forms

$$
\begin{gathered}
\mathbf{S}\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right)=\left(\begin{array}{cc}
0 & 1 \\
-1 & 0
\end{array}\right) \quad \mathbf{T}\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right)=\left(\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right) \\
f_{i}(\gamma \tau)=(c \tau+d)^{k} \rho(\gamma)_{i j} f_{j}(\tau)
\end{gathered}
$$

S transformation
T transformation

$$
\begin{array}{rl}
\left(\begin{array}{l}
Y_{1}(-1 / \tau) \\
Y_{2}(-1 / \tau) \\
Y_{3}(-1 / \tau)
\end{array}\right) & =\left(\tau^{2} \rho(S)\left(\begin{array}{c}
Y_{1}(\tau) \\
Y_{2}(\tau) \\
Y_{3}(\tau)
\end{array}\right), \quad\left(\begin{array}{l}
Y_{1}(\tau+1) \\
Y_{2}(\tau+1) \\
Y_{3}(\tau+1)
\end{array}\right)=\rho(T)\left(\begin{array}{l}
Y_{1}(\tau) \\
Y_{2}(\tau) \\
Y_{3}(\tau)
\end{array}\right)\right. \\
(c \tau+d)^{k} & \mathbf{c \tau + d}=\boldsymbol{- \tau} \\
\rho(\mathrm{S})=\frac{1}{3}\left(\begin{array}{ccc}
-1 & 2 & 2 \\
2 & -1 & 2 \\
2 & 2 & -1
\end{array}\right), \quad \rho(\mathrm{T})=\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & \omega & 0 \\
0 & 0 & \omega^{2}
\end{array}\right), \quad \omega=\exp \left(i \frac{2}{3} \pi\right)
\end{array}
$$

$$
\mathbf{Y}_{3}^{(2)}=\left(\begin{array}{l}
Y_{1} \\
Y_{2} \\
Y_{3}
\end{array}\right)=\left(\begin{array}{c}
1+12 q+36 q^{2}+12 q^{3}+\ldots \\
-6 q^{1 / 3}\left(1+7 q+8 q^{2}+\ldots\right) \\
-18 q^{2 / 3}\left(1+2 q+5 q^{2}+\ldots\right)
\end{array}\right)
$$

$$
\mathbf{Y}_{3}^{(4)}=\left(\begin{array}{c}
Y_{1}^{(4)} \\
Y_{2}^{(4)} \\
Y_{3}^{(4)}
\end{array}\right)=\left(\begin{array}{l}
Y_{1}^{2}-Y_{2} Y_{3} \\
Y_{3}^{2}-Y_{1} Y_{2} \\
Y_{2}^{2}-Y_{1} Y_{3}
\end{array}\right)
$$

$$
\mathbf{Y}_{3}^{(6)} \equiv\left(\begin{array}{c}
Y_{1}^{(6)} \\
Y_{2}^{(6)} \\
Y_{3}^{(6)}
\end{array}\right)=\left(Y_{1}^{2}+2 Y_{2} Y_{3}\right)\left(\begin{array}{c}
Y_{1} \\
Y_{2} \\
Y_{3}
\end{array}\right), \quad \mathbf{Y}_{3^{\prime}}^{(6)} \equiv\left(\begin{array}{c}
Y_{1}^{\prime(6)} \\
Y_{2}^{\prime(6)} \\
Y_{3}^{\prime(6)}
\end{array}\right)=\left(Y_{3}^{2}+2 Y_{1} Y_{2}\right)\left(\begin{array}{c}
Y_{3} \\
Y_{1} \\
Y_{2}
\end{array}\right)
$$

$$
\mathbf{Y}_{3}^{(8)} \equiv\left(\begin{array}{c}
Y_{1}^{(8)} \\
Y_{2}^{(8)} \\
Y_{3}^{(8)}
\end{array}\right)=\left(Y_{1}^{2}+2 Y_{2} Y_{3}\right)\left(\begin{array}{c}
Y_{1}^{2}-Y_{2} Y_{3} \\
Y_{3}^{2}-Y_{1} Y_{2} \\
Y_{2}^{2}-Y_{1} Y_{3}
\end{array}\right), \quad \mathbf{Y}_{3^{\prime}}^{(8)} \equiv\left(\begin{array}{c}
Y_{1}^{\prime(8)} \\
Y_{2}^{\prime(8)} \\
Y_{3}^{\prime(8)}
\end{array}\right)=\left(Y_{3}^{2}+2 Y_{1} Y_{2}\right)\left(\begin{array}{c}
Y_{2}^{2}-Y_{1} Y_{3} \\
Y_{1}^{2}-Y_{2} Y_{3} \\
Y_{3}^{2}-Y_{1} Y_{2}
\end{array}\right)
$$

$$
\mathbf{Y}_{3}^{(8)}=\left(Y_{1}^{2}+2 Y_{2} Y_{3}\right) \mathbf{Y}_{3}^{(4)}
$$

## Modular group

## Three matrices construct $\boldsymbol{\gamma}$ (Modular transformation)

$$
\begin{aligned}
& S: \tau \longrightarrow-\frac{1}{\tau}, \quad \boldsymbol{\tau}: \text { modulus } \\
& T: \tau \longrightarrow \tau+1 .
\end{aligned}
$$

$$
S^{2}=1, \quad(S T)^{3}=1 .
$$

generate infinite discrete group PSL(2,Z)

$$
\begin{aligned}
& T=\left(\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right): f(z+1)=f(z) \quad \mathbf{z} \rightarrow \mathbf{z + 1} \\
& S=\left(\begin{array}{cc}
0 & 1 \\
-1 & 0
\end{array}\right): f\left(\frac{1}{-z}\right)=(-z)^{k} f(z) \quad z \rightarrow-1 / \mathbf{z} \\
& I=\left(\begin{array}{cc}
-1 & 0 \\
0 & -1
\end{array}\right): f\left(\frac{-z}{-1}\right)=(-1)^{k} f(z) \quad \Rightarrow \quad \mathrm{k}=\text { even }
\end{aligned}
$$

