Luis Ibáñez

Instituto de Física Teórica UAM-CSIC, Madrid

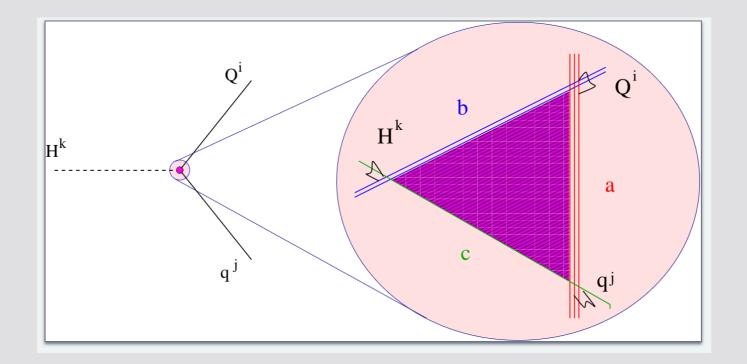




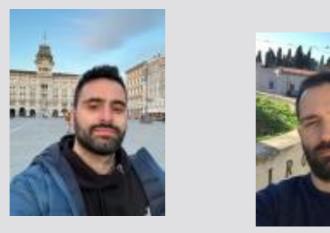
Planck 2024, Lisbon, June 2024







Work in collaboration with:



G.F. Casas, F. Marchesano, L.E.I. arXiv: 2403.09775 and 2406.XXXX to appear





H. Bosch $\sim 1490 - 1500$

Some classical Swampland lore

Vafa 2005, Palti (2019), van Beest et al. (2021), Graña, Herraez (2021)

U(1) WGC: a charged particle exists with

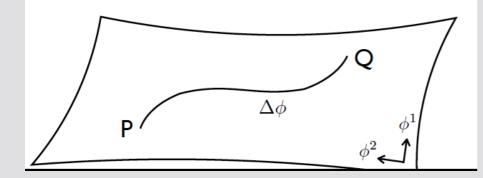
$$m \leq qgM_p$$

- Consistency under dimensional reduction in a circle: (sub)Lattice/Tower WGC $m_n = nqM_p$ $m_n = nqM_p$ Montero et al. (2015, 2017);Montero et al. (2016); Andriolo et al. (2018);
 - The limit $g \rightarrow 0$ is singular

A tower of particles should become massless: limit at infinite distance

• Related: as a modulus goes to infinity a tower arises:

$$\Delta \phi
ightarrow \infty$$
 $\,$ Ooguri. Vafa 2006



$$m(Q) \simeq m(P)e^{-\lambda\Delta\phi}$$

 $\lambda \sim 1$

Tower: either strings or decompactification

Lee, Lerche, Weigand (2019)

The case of 4D, N=1 and Yukawas

- Not much studied. New features like 4d CHIRALITY
 - Also Yukawa couplings of charged matter fields

 $Y_{abc}(\Phi^a\Phi^b\Phi^c)$

• Although less SUSY, results reliable in the perturbative regime

Questions:

Is $Y_{abc} \longrightarrow 0$ at infinite distance?

• What goes wrong if at all in that limit ? Are there towers of particles becoming massless?

• If there are towers, what is their structure?

• Is there a constraint of the WGC type for Yukawas? (no BH argument for that but...)

$$m \leq YM_p$$

(like insisting Yukawas cannot be weaker than gravity?)

• Do towers of particles arise in the limit $\ Y \longrightarrow 0$?

A good reason to study the small Yukawa limit:

• Most Yukawas of the SM are small:

 $Y_e \simeq 10^{-6} ; Y_u, Y_d \simeq 10^{-5} ; Y_\mu \simeq 10^{-4}$

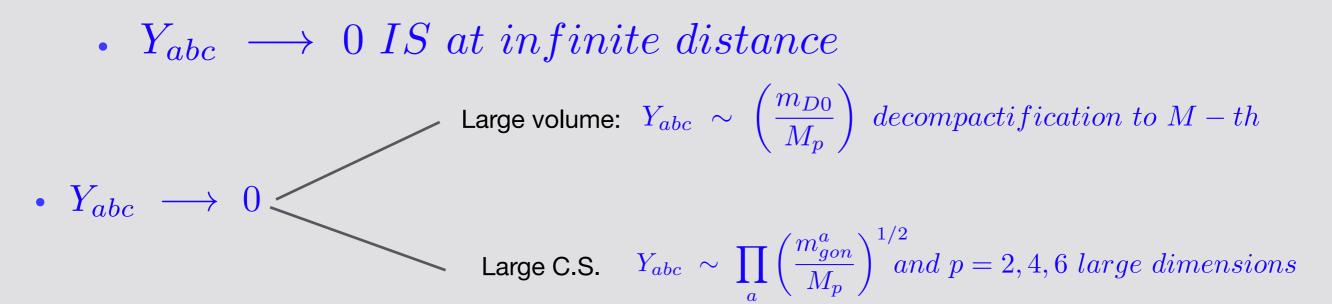
• If neutrinos are Dirac, tiny Yukawa couplings are needed

 $Y_{\nu} \simeq 10^{-12} \sim 10^{-13}$

• E.G.do these small Yukawas of the SM come along with some tower of states?

Some answers we find :

• We use Type IIA CY orientifolds with chiral matter at intersecting branes



• 'Gonions' are oscillator states localised at intersections,

$$m_{gon}^2 \simeq n \ \theta \ M_s^2$$

Gonions have all same charge (violate Lattice/Tower WGC) and not extremal

$$m_{gon} \simeq g_*^2 M_p$$

...but no violation of WGC under dimensional reduction

• For limits parametrised by a single growing c.s. field u

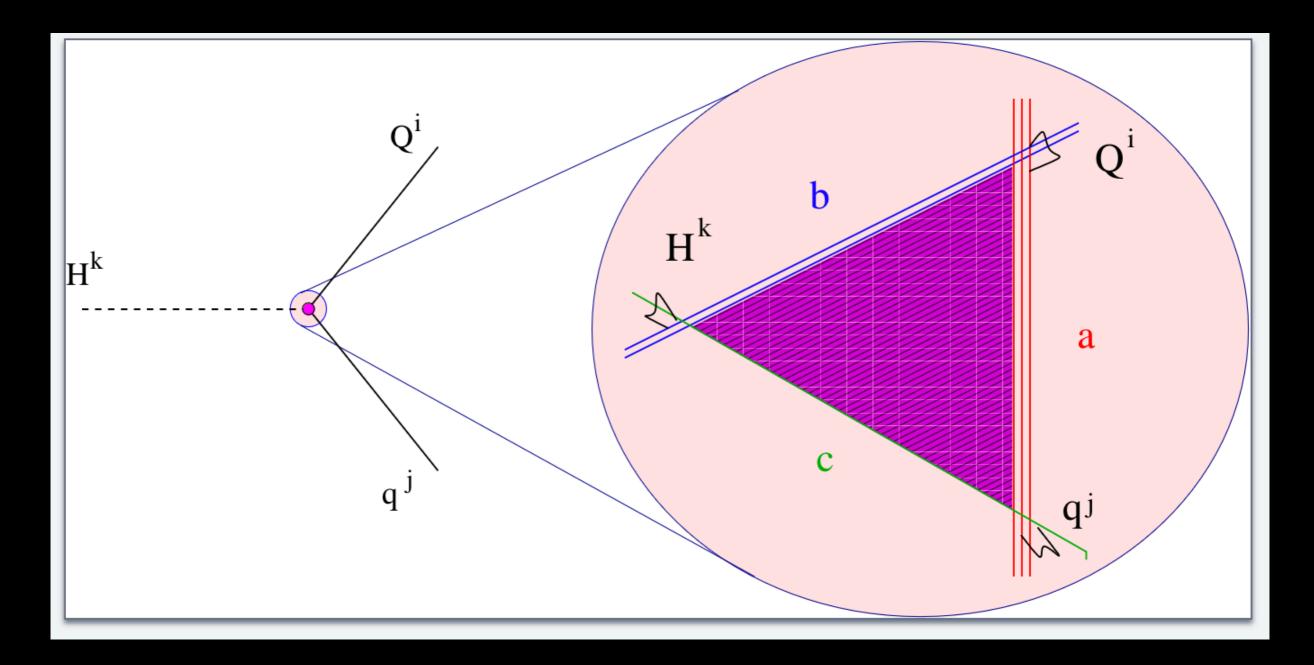
$$Y \sim \frac{1}{u^r}$$
 $Y \sim g_*^{2r}$ $r = 1/4, 1/2, 3/4, 1$
Explains what goes wrong...

Dirac Neutrinos at infinite distance:

- If neutrinos are Dirac $Y_{
 u} \simeq 10^{-12} \gg Y_{U,D,E}$
- Unique way to obtain tiny Yukawa : 2 large dimensions and a single gonion tower

$$Y_{\nu} \simeq \left(\frac{m_{gon}^{\nu}}{M_p}\right)^{1/2} \simeq g_* \sim \frac{1}{u^{1/2}} \sim 7 \times 10^{-13}$$

*A tower of ν_R – like gonions at scale ~ 500eV *Two large dimensions at $m_{KK} \simeq 500 \ eV$ *A new gauge boson $U(1)_{\nu}$ with mass $M_V \ge 0.1 \ eV$ *String scale $M_s \simeq 700 \ TeV$



4d, N=1 Type IIA CY Orientifolds as a laboratory

- CY compactification of IIA with orientifold quotient $\Omega_{ws}(-1)^{F_L}\mathcal{R}$, $\mathcal{R}(J,\Omega) = (-J,\overline{\Omega})$
- There are O(6) planes and D6-branes wrapping 3-cycles $~\Pi_{lpha}~and~mirrors~\Pi_{lpha^*}$

$$[\Pi_{\alpha}] = P_{\alpha J}[\Sigma_{+}^{J}] + Q_{\alpha}^{K}[\Sigma_{K}^{-}] \qquad [\Sigma_{K}^{-}] \cdot [\Sigma_{+}^{J}] = 2\delta_{K}^{J}$$

Closed string moduli:

Kahler: $T^a = b^a + it^a$, where $J_c \equiv B + iJ = (b^a + it^a)\omega_a$ $C.S: U^K = \zeta^K + iu^K$, where $\Omega_c \equiv C_3 + ie^{-\phi}Re\Omega = (\zeta^K + iu^K)\alpha_K$

• Closed string moduli: (up to w.s. and D2 instantons)

$$K_K \equiv -\log\left(\operatorname{Vol}_{X_6}\right) = -\log\left(\frac{i}{48}\mathcal{K}_{abc}(T^a - \bar{T}^a)(T^b - \bar{T}^b)(T^c - \bar{T}^c)\right)$$
$$K_Q \equiv -2\log\mathcal{H} = -2\log\left(\frac{i}{8\ell_s^6}\int_{X_6}e^{-2\phi}\Omega\wedge\bar{\Omega}\right) = 4\phi_4$$

 $D6_{\beta}$ $D6_{\alpha}$ (also SO, Sp) $U(N_{\beta})$ $U(N_{\alpha})$ $I_{\alpha\beta} \times (N_{\alpha}, \overline{N}_{\beta})$ $2\pi i f_{\alpha\alpha} = P_{\alpha K} U^K$ chiral bi $\frac{1}{4}$ fundamentals at intersections Berkooz, Douglas and Leigh, (1996) Reviews: Blumenhagen et al (2006), Marchesano (2007), Ibañez, Uranga (2012), Marchesano, Schellekns, Weigand (2024) Quarks, leptons

U(1)'s

• Some U(1)'s become massive getting Stuckelberg mass mixing with 2-forms:

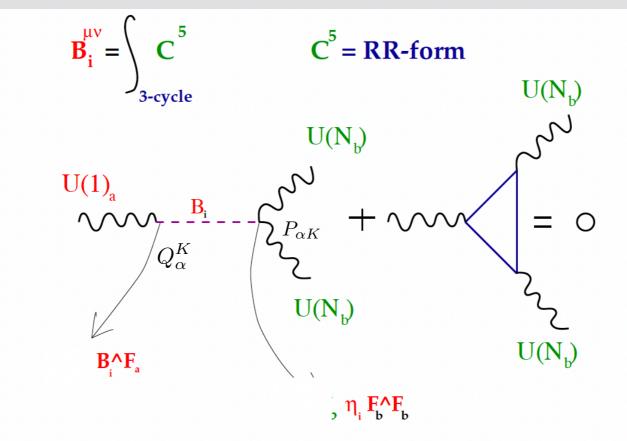


- Stuckelberg masses: $M^2_{lphaeta} = 4 G_{KL} Q^K_lpha Q^L_eta g_lpha g_eta M^2_{
m P}$

 $\begin{array}{ccc} U(1)_{\alpha} & B_{i}^{\mu\nu} & U(1)_{\beta} \\ & & \\ Q_{\alpha}{}^{i} & B_{i}^{} \wedge F_{\alpha} & Q_{\beta}{}^{i} & B_{i}^{} \wedge F_{\beta} \end{array}$

(may have massless eigenvalues, e.g. hypercharge in SM)

• Green-Schwarz cancel some U(1) pure and mixed anomalies



Gonions: flavour towers at intersections

• At an intersection local geometry in a CY specified by 3 angles

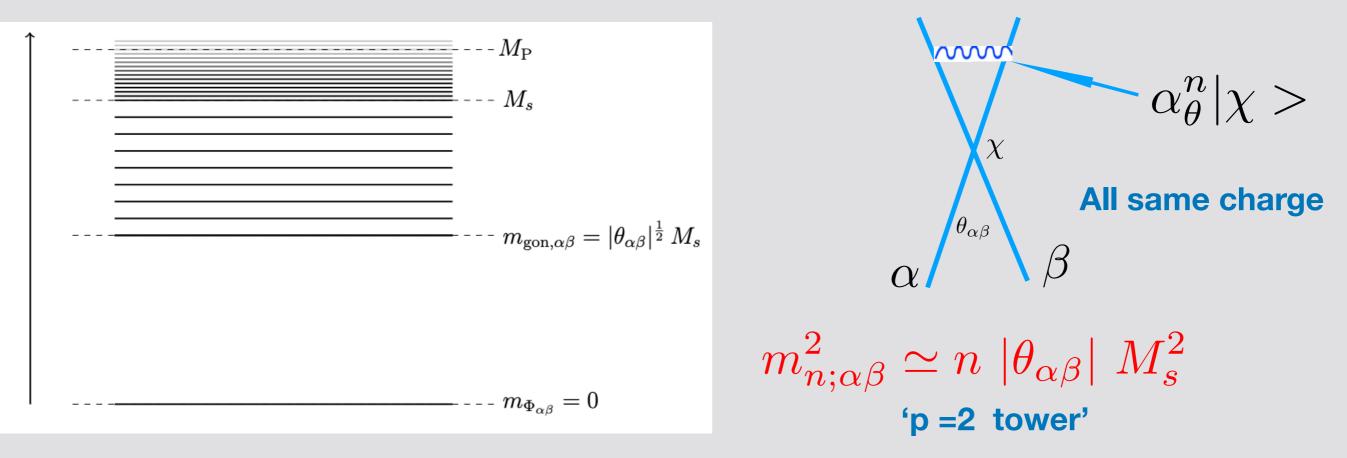
 $M_{4} = R^{2} = R^{2} = R^{2}$

Berkooz, Douglas and Leigh, (1996)

- SUSY preserved at intersection: $\ \ \theta_1 + \theta_2 + \theta_3 \ \in 2{f Z}$

Aldazabal et al (2001)

• In addition to massless chiral bifundamental, a tower of bi-fundamentals: 'Gonions'



(They are dual to Landau levels in the dual given by magnetized D9 branes)

• Gonion masses may be understood as coming from FI-terms associated to U(1)'s of the branes:

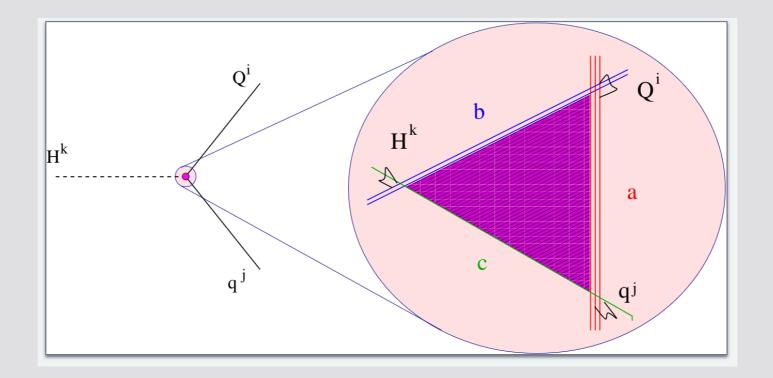
• Vanishes in a SUSY configuration in which a complex scalar partner of the chiral fermion becomes massless

$$m_{\alpha\beta}^2 \simeq (\theta_{\alpha\beta}^1 + \theta_{\alpha\beta}^2 + \theta_{\alpha\beta}^3) M_s^2 = 0$$

• still the FI induced scalar mass fixes the scale of the gonion towers, schematically

$$m_{gon}^2 \simeq g_*^2 \xi_{FI}^* \simeq g_*^2 \frac{Q_*^u}{u} M_p^2$$

Yukawas in toroidal and CY orientifolds



Aldazabal et al(2001), Cremades et al(2003), Cvetic et al (2003), Lust et al (2004))

E.g. $T^2 \times T^2 \times T^2$ orientifolds (or Abelian orbifolds)

$$Y_{ijk} = e^{\phi_4/2} \prod_{r=1}^3 \left(\operatorname{Im} T^r \right)^{-1/2} \left[\Theta^{(r)} \right]^{1/4} W_{ijk}^{(r)}$$

(ignoring open string moduli)

$$\Theta^{(r)} = 2\pi \frac{\Gamma(1 - |\chi_{ab}^r|)}{\Gamma(|\chi_{ab}^r|)} \frac{\Gamma(1 - |\chi_{bc}^r|)}{\Gamma(|\chi_{bc}^r|)} \frac{\Gamma(1 - |\chi_{ca}^r|)}{\Gamma(|\chi_{ca}^r|)}$$

$$|\chi^r_{lphaeta}| = | heta^r_{lphaeta}| ext{ or } 1 - | heta^r_{lphaeta}|$$

• Essentially depends only on local geometry

Recall $\Gamma(\theta) \simeq \frac{1}{\theta}$

• Depends only on local geometry: Expect structure valid for general CY:

$$Y_{ijk} = \frac{e^{\phi_4/2}}{Vol_X^{1/2}} \Theta_{ijk}^{1/4} W_{ijk}$$

• N=1 supergravity: canonically normalized Yukawas given by

$$Y_{ijk} = e^{K/2} \left(K_{i\bar{i}} K_{j\bar{j}} K_{k\bar{k}} \right)^{-1/2} W_{ijk}$$

• Gives information about Kahler metric of chiral matter fields:

$$K_{i\bar{i}}K_{j\bar{j}}K_{k\bar{k}} = e^{3\phi_4}\Theta_{ijk}^{-1/2}$$

Kinetic terms of chiral fields

• Thus for the toroidal case, recalling

$$\Theta^{(r)} = 2\pi \frac{\Gamma(1 - |\chi_{ab}^r|)}{\Gamma(|\chi_{ab}^r|)} \frac{\Gamma(1 - |\chi_{bc}^r|)}{\Gamma(|\chi_{bc}^r|)} \frac{\Gamma(1 - |\chi_{ca}^r|)}{\Gamma(|\chi_{ca}^r|)}$$

$$K_{i\bar{i}} = e^{\phi_4} (2\pi)^{-1/2} \prod_{r=1}^3 \left(\frac{\Gamma(|\chi_i^r|)}{\Gamma(1-|\chi_i^r|)} \right)^{1/2}$$

$$|\chi^r_{lphaeta}| = | heta^r_{lphaeta}| ext{ or } 1 - | heta^r_{lphaeta}|$$

• For small angles, recalling $\theta^r \simeq (m_{gon}^r/M_s)^2$

$$K_{i\bar{i}} \simeq \frac{e^{\phi_4}}{(\theta_{\alpha\beta}^{min})^{1/2}} \simeq e^{2\phi_4} \frac{M_p}{m_{gon,\alpha\beta}^{min}}$$

- Will give rise to a singular behaviour as $\ m_{gon,\alpha\beta}^{min}
ightarrow 0$

The $Y \longrightarrow 0$ limit and infinite distance

$$Y_{ijk} = \frac{W_{ijk}}{Vol_X^{1/2}} e^{\phi_4/2} \Theta_{ijk}^{1/4}$$

Infinite distance and Kahler moduli (fixed c.s.)

$$\frac{Y_{ijk}}{Vol_X^{1/2}} \longrightarrow 0 \quad \longrightarrow \quad Vol_X \longrightarrow \infty$$

 $(W_{ijk} \rightarrow 0 \ typically \ requires \ non-generic \ fine-tuning)$

• These limits are at infinite distance: SDC a tower of particles should become massles: the D0's

$$m_{D0}^2 \simeq \frac{M_p^2}{Vol_X} \longrightarrow |Y| \simeq \frac{m_{D0}}{M_p}$$

• So this limit is the M-theory limit

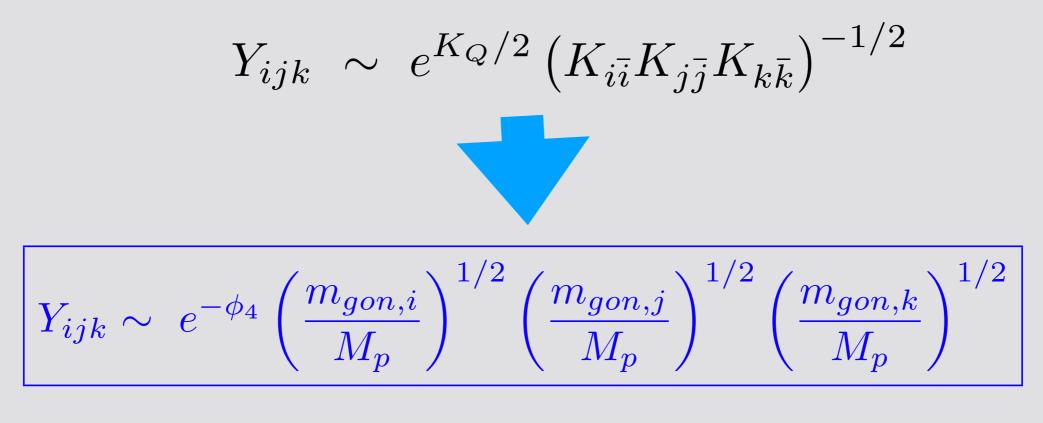
A tower of particles should become massless

Small Yukawas and gonion masses

Infinite distance and complex structure (fixed Kahler moduli)

$$Y_{ijk} \simeq B e^{\phi_4/2} \Theta_{ijk}^{1/4}$$

With e.g. at least one small angle per complex plane and SUSY (No N=2 planes)



See also Castellano, Herraez, Ibañez (2023)

• Simple example with a dominant small angle:

j 👖

Y

$$\mathbf{k} = e^{-\phi_4} \left(\frac{m_{gon,i}}{M_p}\right)^{1/2} \left(\frac{M_s}{M_p}\right)^{1/2} \left(\frac{M_s}{M_p}\right)^{1/2} (recall \ M_s = e^{\phi_4} M_p)$$

$$Y_{ijk} \simeq \left(\frac{m_{gon,i}}{M_p}\right)^{1/2}$$

• Small Yukawas imply a tower of light particles with same charge as the massless field

• In EFT gonion masses come from FI-term of U(1) gauge group felt at the intersection

$$\begin{array}{c}
 M_{p} \\
 \frac{M_{p}}{u^{1/2}} \\
 \frac{M_{p}}{u} \\
 \frac{M_{p}}{u} \\
 M_{gon}, m_{KK}, m_{w}
\end{array} \qquad \begin{array}{c}
 \theta \sim 1/u \\
 m_{gon}^{2} \simeq g_{*}^{2}\xi_{FI}^{*} \simeq g_{*}^{2}\frac{Q_{*}^{u}}{u}M_{p}^{2} \simeq \frac{Q_{*}^{u}}{u^{2}}M_{p}^{2} \\
 Ref_{*} \simeq \frac{1}{g_{*}^{2}} \simeq u
\end{array}$$

 g_{st} is the gauge coupling of the U(1) (sub)group under which the leading gonions transform

General asymptotic behaviour of Yukawas

• We have considered the infinite c.s. limit in several settings/examples:

• 'STU' Type IIA orientifold models models, dual to magnetized Type I and SO(32) models with U(1) bundles *Blumenhagen*, *Honecker*, *Weigand* (2005)

• 'EFT String Limits of ref. Lanza, Marchesano, Martucci, Valenzuela (2021)

Specific toroidal Type IIA orientifolds (e.g. Pati-Salam-like) Cremades, Ibañez, Marchesano (2002)

• For limits parametrized by a single growing c.s. field u :

$$Y_* \sim \frac{1}{u^r}$$
 $r = \frac{1}{4}, \frac{1}{2}, \frac{3}{4}, 1$
(Recall Y~ $e^{\phi_4/2}\Theta_{ijk}^{1/4}$)

• Reminds us of recent results in heterotic Yukawa couplings in CY's with U(1) bundles

• Thus in limits parametrized by a single growing c.s. field u in general one will have

$$Y_* \sim g_*^{2r}$$

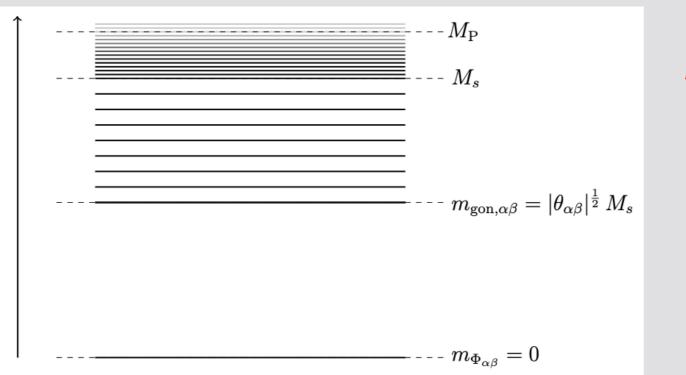
 g_* is the gauge coupling under which the leading gonions transform

• Thus in a vanishing Yukawa coupling limit :

$$Y_* \to 0 \longrightarrow g_* \to 0$$

• This explains why this limit is singular: the gauge group would survive as a global symmetry, which is forbidden in QG

More about the gonion towers



$$m_{n;\alpha\beta}^2 \simeq n |\theta_{\alpha\beta}| M_s^2$$

'p =2 tower'

All same charge: not BPS

• Thus e.g. in the simple class of models with a single leading gonion tower (along with two large dimensions) one has

$$m_{
m gon} \sim g_* M_s \sim g_*^2 M_{
m P} \,, \qquad Y_* \sim g_* \,, \qquad g_* \sim e^{\phi_4}$$

The WGC condition $m \leq \sqrt{2}gM_p$ verified with room to spare

• The (sub)Lattice/Tower WGC not realised here (all gonions have same charge)

Neutrinos at infinite distance?

If neutrinos are Dirac tiny Yukawas ~ 10^{-13} needed....



Neutrinos and String Theory

$$\mathcal{L}_{\nu} = K_{ii}^{\nu_R}(\nu_R^i \ \partial \nu_R^i) + M_R^i(\nu_R^i \nu_R^i) + W_{ij}(\nu_R^i \nu_L^i)\overline{H} + \dots$$

- In presence of \mathcal{V}_{R} , essentially two ways to get tiny neutrino masses with $|W_{ij}| \simeq \mathcal{O}(1)$
 - See-saw mechanism: $M_R \gg |H| \longrightarrow M_{\nu}^{Maj} \simeq \frac{|H|^2}{M_R}$

Possible, but not easy, from stringy (charged) instantons (E2 in IIA orientifolds) $\mathcal{L}.7.$, $\mathcal{A}.$ Uranga (2006); Elumenhagen et al (2006)

• Dirac neutrino mass:

 $K_{ii}^{\nu_R} \gg 1 \qquad M_R^i = 0 \quad \longrightarrow \ M_{\nu}^{Dirac} \ \simeq \ |K_{ii}^{-1}||H|$

We follow here this second path: turns out to be quite predictive !! (Also some swampland arguments select Dirac neutrinos to avoid 3D AdS SM vacua)

Somewhat similar in spirit to Arkani-Hamed et al (1998)

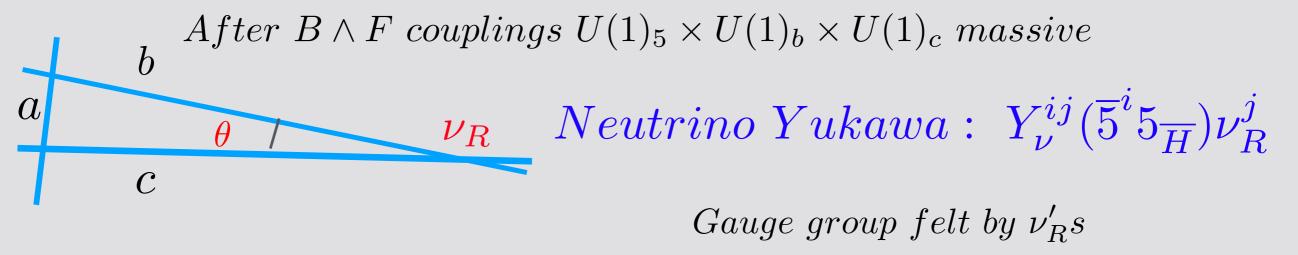
A U(5)xU(1)xU(1) intersecting brane 'quiver' example

• Anastasopoulos, Leontaris, Kiritsis, Schellekens hep-th/1010.5188

Intersection	SU(5)	$U(1)_{5}$	$U(1)_b$	$U(1)_c$	Inter.
(aa^*)	10	2	0	0	+3
(ab)	$\overline{5}$	-1	1	0	-3
(ac)	$5_u + \bar{5}_d$	±1	0		±1
$(bc) \nu_R$	1	0	-1	1	-3

Structure may be obtained
in CFT Type II orientifolds
a la Schellekens et al.

(SM-like quivers also possible !!) (F.G. Casas, L.E.I., F.Marchesano, 2406.XXXX)



 $Q_{\nu} \equiv Q_b - Q_c$

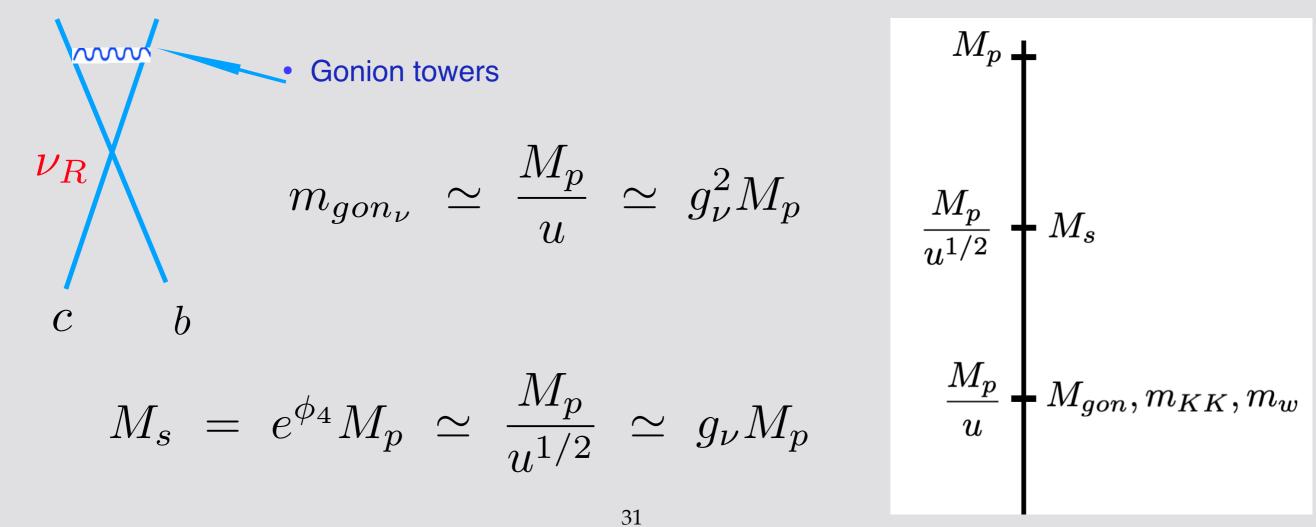
• Direct application of the limit with a single gonion tower, parametrized by a single growing c.s. field u with axion coupling to $U(1)_{\nu}$

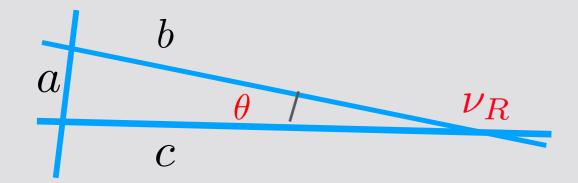
• Consider a c.s. field direction with a single large modulus U, coupling to the U(1) group of generator Q_{ν} through a B^F term:

$$u \equiv Im \ U \longrightarrow \infty \qquad \qquad S_{BF}^{(U)} = Q_U^{\nu} B_U \wedge F_{\nu}$$
$$a_U \longrightarrow a_U + Q_U^{\nu} \Lambda_{\nu}$$

- Cancelation of $U(1)^3_
u$ through a GS mechanism requires a kinetic term

Gauge kinetic term : $f_{Q_{\nu}} \simeq U$ so $g_{\nu} \sim \frac{1}{u^{1/2}} \rightarrow 0$





Neutrino Yukawa couplings

$$Y_{\nu}^{ij} \simeq e^{-\phi_4} \left(\frac{m_{gon}^{\nu}}{M_p}\right)^{1/2} \left(\frac{m_{gon}^{\overline{5}}}{M_p}\right)^{1/2} \left(\frac{m_{gon}^{\overline{5}}}{M_p}\right)^{1/2} \simeq \left(\frac{m_{gon}^{\nu}}{M_p}\right)^{1/2} (\theta_{\overline{5}}\theta_{5_{\overline{H}}})^{1/4}$$

• Thus for the heaviest neutrino:

$$Y_{\nu}^{(3)} \simeq \left(\frac{m_{gon,\nu}}{M_p}\right)^{1/2} \simeq g_{\nu} \longrightarrow m_{\nu}^{(3)} = Y_{\nu}^{(3)} < |\overline{H}| > \simeq g_{\nu} < |\overline{H}| >$$

• Experimentally (for normal hierarchy) one has $m_
u^{(}$

$$\chi^{(3)} \simeq \sqrt{\Delta m_{32}^2} \simeq 5 \times 10^{-2} eV$$

$$Y_{\nu}^3 \simeq g_{\nu} \simeq 6.9 \times 10^{-13}$$

• Instantons which could in principle provide a Majorana mass to u_R negligible: $M_R \sim M_s e^{-u}$

$$Y_3^{\nu} \simeq g_{\nu} \simeq 7 \times 10^{-13}$$

• Then all scales fixed since they are determined by $g_{
u}$

• Structure quite unique:

String Scale	SM gonions	ν_R tower	large dim	Vector boson	Gravitino
M_s	$m^{SM}_{ m gon}$	$m^{ u}_{ m gon}$	$m_{KK/W}$	M_V	$m_{3/2}$
$g_{ u}M_p$	$\lesssim M_s$	$g_ u^2 M_p$	$g_ u^2 M_p$	$g_ u ar{H} - g_ u M_p$	$\lesssim M_s^2/M_p$
$700 { m TeV}$	$\lesssim 700~{\rm TeV}$	500 eV	500 eV	$0.5~{\rm eV}\text{-}$ 700 TeV	$\lesssim 500 \ {\rm eV}$

• Some pheno implications include :

*A tower of ν_R – like gonions at scale ~ 500eV

*Two large dimensions at $m_{KK} \simeq 500 \ eV$

*A new gauge boson $U(1)_{\nu}$ with mass $M_V \geq 0.1 \text{ eV}$, coupling $g_{\nu} \simeq 7 \times 10^{-13}$ *String scale $M_s \simeq 700 \text{ TeV}$

*KK of 4 extra dim ;KK replica $SM :\lesssim 700 \ TeV$

*Neutrinos are Dirac

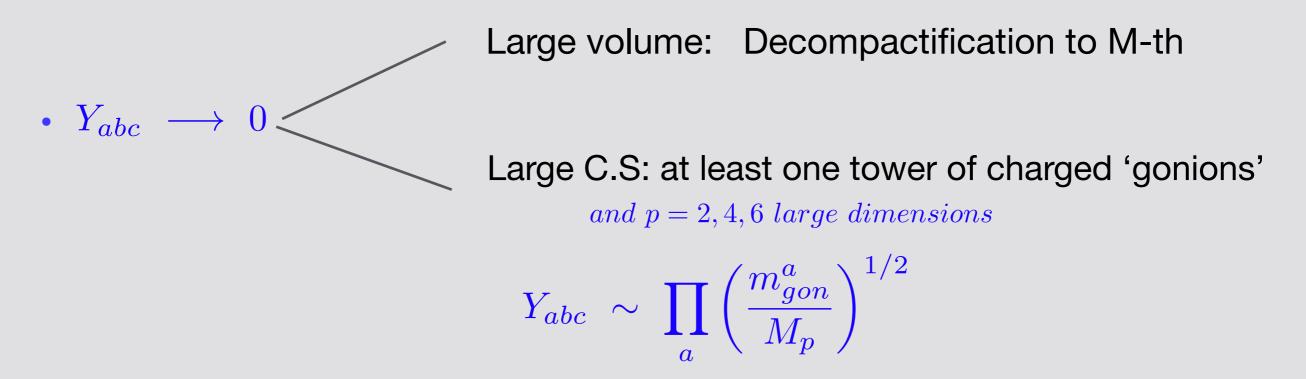
(Stuckelberg mass of $U(1)_{\nu}$ is model dependent)

• Also single large dimension feasible, but difficult not to suppress normal Yukawas!

Conclusions

• Using Type IIA CY N=1 orientifolds as a laboratory:

 $Y_{abc} \longrightarrow 0 \ IS \ at \ infinite \ distance$



 Gonions have all same charge (violate Lattice/Tower WGC) and not extremal, e.g. for a single tower of gonions

 $m_{gon} \simeq g_*^2 M_p \qquad \qquad M_s \simeq g_* M_p$

No violation of emergent string conjecture: a p=2 gonion tower comes along with p=2 extra dimensions

•Simplest examples parametrized by a single growing c.s. field u :

$$Y \sim \frac{1}{u^r}$$
 $r = 1/4, 1/2, 3/4, 1$

Also the gauge coupling of (sub)group felt at the intersection

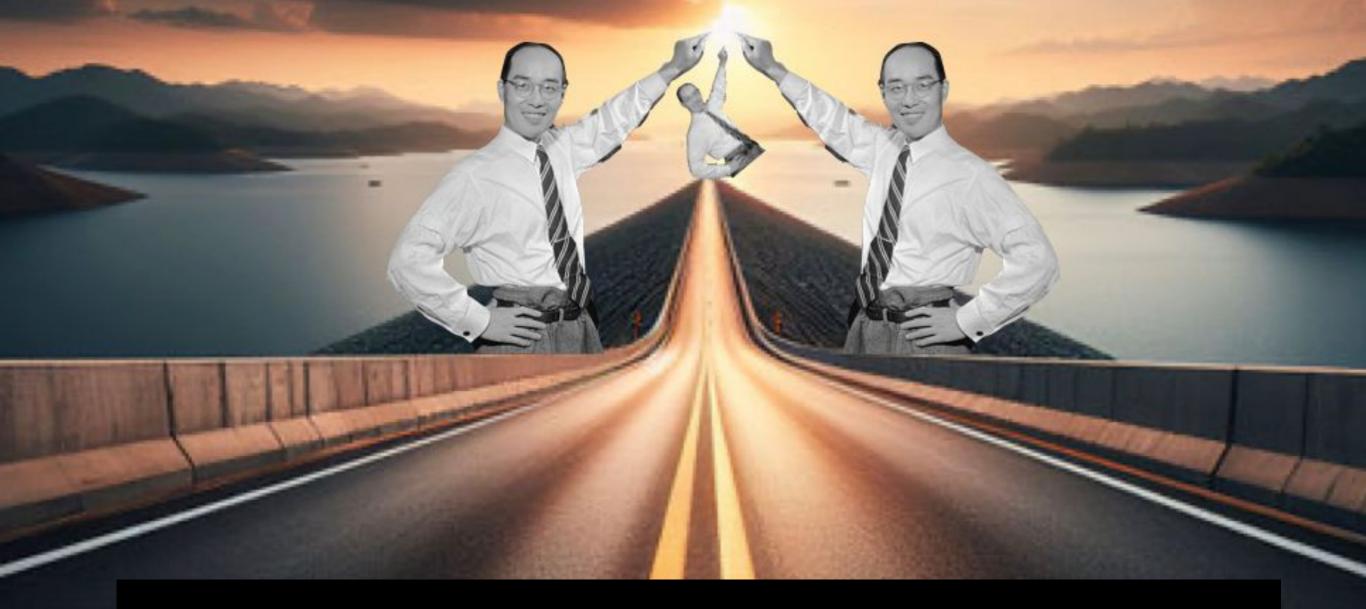


Application to small Dirac neutrino masses: rather unique setting with

$$Y_{\nu} \simeq \left(\frac{m_{gon}^{\nu}}{M_p}\right)^{1/2} \simeq g_{\nu} \simeq 6.9 \times 10^{-13} \ (exp.)$$

• A number of phenomenological consequences with a lowered string scale, a tower of neutrino gonions, a possibly light and very weakly coupled vector boson and two large dimensions. Two important scales:

 $M_s \simeq Y_{\nu}M_p \simeq 700 \ TeV \ ; \ m_{gon,\nu} \simeq Y_{\nu}^2M_p \simeq 500 \ eV$



Thank you !!