

# Gaussian Formalism:

From Heisenberg's Uncertainty Principle  
to Time-Boundary Effect  
and Lorentz-Covariant Complete Basis for Spinors

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with

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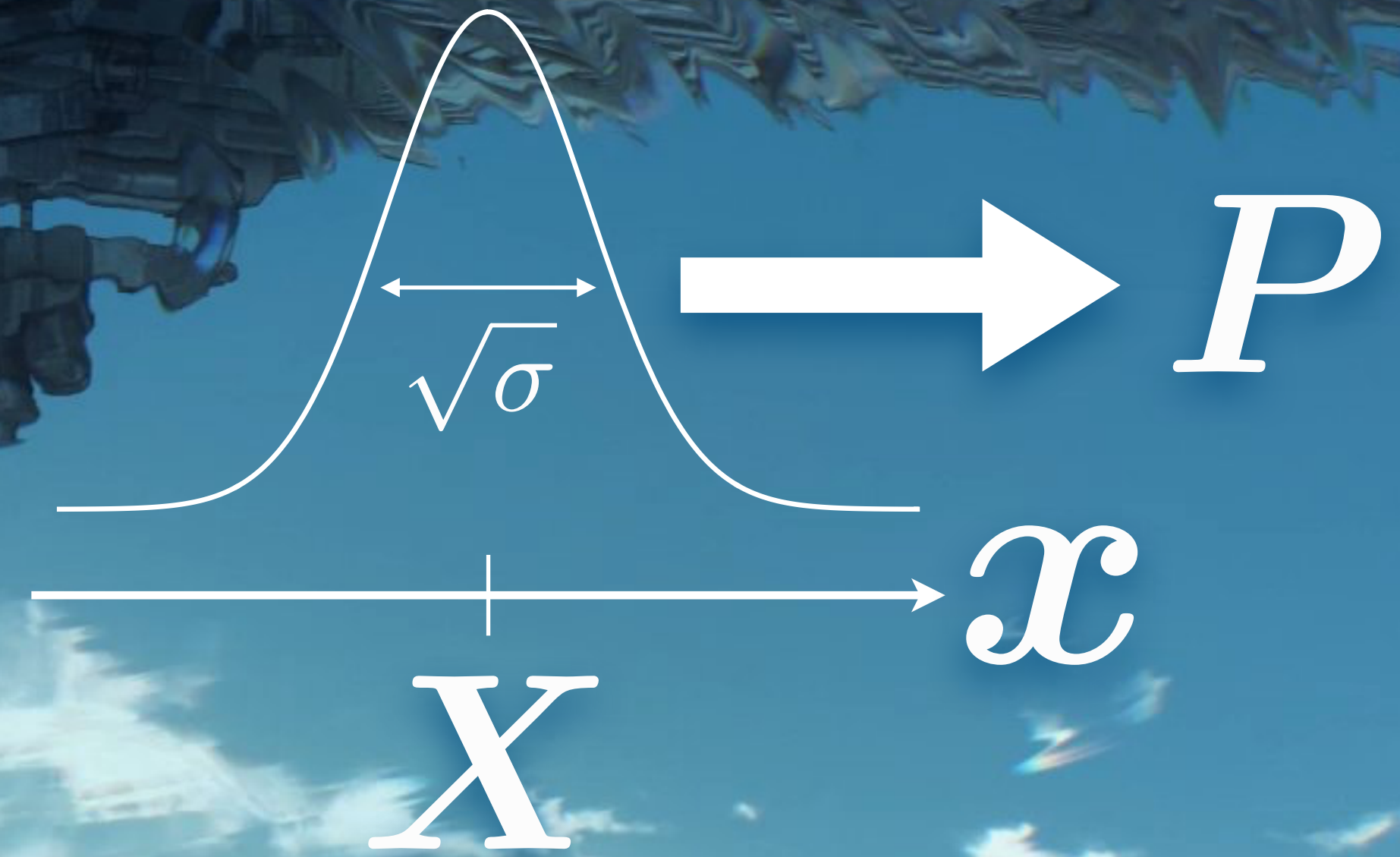
# Pheno's put aside in this talk

- Gaussian QM: **Neutrino decoherence**
  - With **Haruhi Mitani** (TWCU) [[PLB, 2023](#)]
- Gaussian QFT: **Isospin anomalies** (at up to  $9.5\sigma$ !) in vector meson decay
  - Explained in region where **time-boundary effect** (discussed below) dominates
  - With **Ishikawa, Jinnouchi, Nishiwaki** [[EPJC, 2023](#)]

# Gaussian wave packet

$$\langle x | X, P; \sigma \rangle \propto e^{iP \cdot x - \frac{1}{2\sigma} (x - X)^2}$$

- So what?
- We've all learnt it in QM kindergarten



# Three theoretical applications

# Plan

1. **Joint measurement** of position and momentum (quantum information)
2. **Time-Boundary Effect** proven! (QFT)
3. **Lorentz-invariant/covariant complete basis** for scalar/spinor (QFT)

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# Lee-Tsutsui formalism

[Lee, Tsutsui, 2020; Lee, 2022]

- Pro: Claimed to include all preceding **uncertainty relations**:
  - **Kennard-Robertson** and **Schroedinger** (in any QM textbook)
  - **Arthus-Kelly-Goodman** (errors and cost of measurement)
  - **Ozawa** (errors and disturbances)
  - **Yuen-Lux** and **Watanabe-Sagawa-Ueda** (estimation theory)
- Con: Too **abstract**, lacks **concrete implementation**
  - Only simplest **two-level system** so far (before ours)

# Errors and uncertainty relation (just to show how it looks)

- Lee-Tsutsui error and uncertainty:

$$\varepsilon_{\hat{\rho}_{\text{in}}}[\hat{A}] := \sqrt{\text{Tr}[\hat{A}^2 \hat{\rho}_{\text{in}}] - \int_{\Omega} d\omega \left( M_{\hat{\rho}_{\text{in}} \star} \hat{A}(\omega) \right)^2 p_{\text{in}}(\omega)}$$

$$\varepsilon_{\hat{\rho}_{\text{in}}}[\hat{x}] \varepsilon_{\hat{\rho}_{\text{in}}}[\hat{p}] \geq \sqrt{\mathcal{I}_{\hat{\rho}_{\text{in}}}^2[\hat{x}, \hat{p}] + \mathcal{R}_{\hat{\rho}_{\text{in}}}^2[\hat{x}, \hat{p}]}$$

where

$$\mathcal{I}_{\hat{\rho}}[\hat{A}, \hat{B}; M] := \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_{\hat{\rho}} - \left\langle \frac{[\widehat{M^* M_* \hat{A}}, \hat{B}]}{2i} \right\rangle_{\hat{\rho}} - \left\langle \frac{[\hat{A}, \widehat{M^* M_* \hat{B}}]}{2i} \right\rangle_{\hat{\rho}},$$

$$\mathcal{R}_{\hat{\rho}}[\hat{A}, \hat{B}; M] := \left\langle \frac{\{\hat{A}, \hat{B}\}}{2} \right\rangle_{\hat{\rho}} - \left\langle M_* \hat{A}, M_* \hat{B} \right\rangle_{M\hat{\rho}}.$$

[Lee, Tsutsui, 2020]

- Lee error and uncertainty:

$$\tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}[\hat{A}] := \sqrt{\int_{\Omega} d\omega \left( M_{\hat{\rho}_{\text{in}}}^{*-1} \hat{A}(\omega) \right)^2 p_{\text{in}}(\omega) - \text{Tr}[\hat{A}^2 \hat{\rho}_{\text{in}}]}$$

$$\tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}[\hat{x}] \tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}[\hat{p}] \geq \sqrt{\mathcal{I}_0^2[\hat{x}, \hat{p}] + \tilde{\mathcal{R}}^2[\hat{x}, \hat{p}]}$$

where

$$\mathcal{I}_0[\hat{A}, \hat{B}] := \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_{\hat{\rho}},$$

$$\tilde{\mathcal{R}}[\hat{A}, \hat{B}; M] := \left\langle \frac{\{\hat{A}, \hat{B}\}}{2} \right\rangle_{\hat{\rho}} - \left\langle M^{*-1} \hat{A}, M^{*-1} \hat{B} \right\rangle_{M\hat{\rho}}.$$

[Lee, 2022]



# Set of Gaussian packets

- Non-orthogonal:

$$\langle \mathbf{X}', \mathbf{P}'; \sigma \mid \mathbf{X}, \mathbf{P}; \sigma \rangle = e^{i \frac{\mathbf{P} + \mathbf{P}'}{2} \cdot (\mathbf{X}' - \mathbf{X}) - \frac{1}{4\sigma} (\mathbf{X}' - \mathbf{X})^2 - \frac{\sigma}{4} (\mathbf{P}' - \mathbf{P})^2}$$

- Over-completeness in free one-particle subspace: For any fixed  $\sigma$ ,

$$\int \frac{d^d \mathbf{X} d^d \mathbf{P}}{(2\pi)^d} |\mathbf{X}, \mathbf{P}; \sigma\rangle \langle \mathbf{X}, \mathbf{P}; \sigma| = \hat{1}$$

- Naturally leads to **positive-operator-valued measure (POVM)**:

[KO, Ogawa, 2024]

$$\left\{ |\mathbf{X}, \mathbf{P}; \sigma\rangle \langle \mathbf{X}, \mathbf{P}; \sigma| \right\}_{(\mathbf{X}, \mathbf{P}) \in \mathbb{R}^{2d}}$$

# POVM measurement [KO, Ogawa, 2024]

- We propose POVM measurement

$$M: \hat{\rho} \mapsto p$$

with

$$p(\mathbf{X}, \mathbf{P}) = \text{Tr} \left[ \hat{\rho} | \mathbf{X}, \mathbf{P}; \sigma \rangle \langle \mathbf{X}, \mathbf{P}; \sigma | \right]$$

from any quantum state (density operator)  $\rho$  to classical state (PDF)  $p$  in phase space

- Resultant function  $p$  properly satisfies condition as PDF:

$$\int \frac{d^d \mathbf{X} d^d \mathbf{P}}{(2\pi)^d} p(\mathbf{X}, \mathbf{P}) = 1$$

# Position and momentum operators in phase space

[KO, Ogawa, 2024]

- We obtain natural expressions analogous to those in plane-wave basis:

$$\hat{x}_i = \int \frac{d^d \mathbf{X} d^d \mathbf{P}}{(2\pi)^d} X_i |\mathbf{X}, \mathbf{P}; \sigma\rangle \langle \mathbf{X}, \mathbf{P}; \sigma|$$

$$\hat{p}_i = \int \frac{d^d \mathbf{X} d^d \mathbf{P}}{(2\pi)^d} P_i |\mathbf{X}, \mathbf{P}; \sigma\rangle \langle \mathbf{X}, \mathbf{P}; \sigma|$$

$$(i = 1, \dots, d)$$

**How about some  
concrete initial state?**

# Setup

- Initial state: Gaussian pure state

$$\hat{\rho}_{\text{in}} = |\mathbf{X}_{\text{in}}, \mathbf{P}_{\text{in}}; \sigma_{\text{in}}\rangle \langle \mathbf{X}_{\text{in}}, \mathbf{P}_{\text{in}}; \sigma_{\text{in}}|$$

- Measurement by POVM:

$$\left\{ |\mathbf{X}, \mathbf{P}; \sigma\rangle \langle \mathbf{X}, \mathbf{P}; \sigma| \right\}_{(\mathbf{X}, \mathbf{P}) \in \mathbb{R}^{2d}}$$

# Basic parameters: spatial width-squared

[KO, Ogawa, 2024]

- Input:
  - $\sigma_{\text{in}}$  for **initial** state uncertainty
  - $\sigma$  for **detector resolution**
- Output:
  - **Summed**  $\sigma_{\text{sum}}$  for **position** errors
  - **Reduced**  $\sigma_{\text{red}}$  for **momentum** errors

$$\sigma_{\text{sum}} = \sigma_{\text{in}} + \sigma$$

$$\sigma_{\text{red}} = \frac{\sigma_{\text{in}}\sigma}{\sigma_{\text{in}} + \sigma}$$

# Intermediate steps (just to show how it looks)

[KO, Ogawa, 2024]

$$\begin{aligned}
 \langle X \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= X_{\text{in}}, & \langle P \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= P_{\text{in}}, & \langle XP \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= X_{\text{in}} P_{\text{in}}, \\
 \langle \bar{X} \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= X_{\text{in}}, & \langle \bar{P} \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= P_{\text{in}}, & \langle \bar{X} \bar{P} \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= X_{\text{in}} P_{\text{in}}, \\
 \langle X^2 \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= X_{\text{in}}^2 + \frac{\sigma_{\text{sum}}}{2}, & \langle P^2 \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= P_{\text{in}}^2 + \frac{1}{2\sigma_{\text{red}}}, \\
 \langle \bar{X}^2 \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= X_{\text{in}}^2 + \frac{\sigma_{\text{in}}^2}{2\sigma_{\text{sum}}}, & \langle \bar{P}^2 \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} &= P_{\text{in}}^2 + \frac{\sigma}{2\sigma_{\text{in}}\sigma_{\text{sum}}},
 \end{aligned}$$

$$[M_{\text{ph}\star} \hat{x}](X, P) = \bar{X},$$

$$[M_{\text{ph}\star} \hat{p}](X, P) = \bar{P},$$

$$[M_{\text{ph}}^{\star-1} \hat{x}](X, P) = X,$$

$$[M_{\text{ph}}^{\star-1} \hat{p}](X, P) = P.$$

$$\|M_{\text{ph}\star} \hat{x}\|_{M_{\text{ph}} \hat{\rho}_{\text{in}}}^2 = X_{\text{in}}^2 + \frac{\sigma_{\text{in}}^2}{2\sigma_{\text{sum}}},$$

$$\|M_{\text{ph}\star} \hat{p}\|_{M_{\text{ph}} \hat{\rho}_{\text{in}}}^2 = P_{\text{in}}^2 + \frac{\sigma}{2\sigma_{\text{in}}\sigma_{\text{sum}}},$$

$$\|M_{\text{ph}}^{\star-1} \hat{x}\|_{M_{\text{ph}} \hat{\rho}_{\text{in}}}^2 = X_{\text{in}}^2 + \frac{\sigma_{\text{sum}}}{2},$$

$$\|M_{\text{ph}}^{\star-1} \hat{p}\|_{M_{\text{ph}} \hat{\rho}_{\text{in}}}^2 = P_{\text{in}}^2 + \frac{1}{2\sigma_{\text{red}}},$$

$$\langle M_{\text{ph}\star} \hat{x}, M_{\text{ph}\star} \hat{p} \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} = X_{\text{in}} P_{\text{in}}.$$

$$\langle M_{\text{ph}}^{\star-1} \hat{x}, M_{\text{ph}}^{\star-1} \hat{p} \rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} = X_{\text{in}} P_{\text{in}}.$$

$$\begin{aligned}
 \widehat{M_{\text{ph}}^{\star} M_{\text{ph}\star} \hat{x}} &= \int_{\mathbb{R}^2} \frac{dX dP}{2\pi} \bar{X} |X, P; \sigma\rangle \langle X, P; \sigma|, & \left\langle \frac{M_{\text{ph}}^{\star} M_{\text{ph}\star} \hat{x}, \hat{p}}{2i} \right\rangle_{\hat{\rho}_{\text{in}}} &= \Im \left\langle \bar{X} \left( \bar{P} + i \frac{X - X_{\text{in}}}{\sigma_{\text{sum}}} \right) \right\rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} = \frac{\sigma_{\text{in}}}{2\sigma_{\text{sum}}}, \\
 \widehat{M_{\text{ph}}^{\star} M_{\text{ph}\star} \hat{p}} &= \int_{\mathbb{R}^2} \frac{dX dP}{2\pi} \bar{P} |X, P; \sigma\rangle \langle X, P; \sigma|, & \left\langle \frac{\hat{x}, M_{\text{ph}}^{\star} M_{\text{ph}\star} \hat{p}}{2i} \right\rangle_{\hat{\rho}_{\text{in}}} &= \Im \left\langle (\bar{X} - i\sigma_{\text{red}}(P - P_{\text{in}}))^* \bar{P} \right\rangle_{M_{\text{ph}} \hat{\rho}_{\text{in}}} = \frac{\sigma}{2\sigma_{\text{sum}}},
 \end{aligned}$$

LT error and inequality

Lee error and inequality

# Result

[KO, Ogawa, 2024]

## Errors:

LT errors:  $\varepsilon_{\hat{\rho}_{\text{in}}}^2[\hat{x}] = \frac{\sigma\sigma_{\text{in}}}{2(\sigma + \sigma_{\text{in}})}$

$$\varepsilon_{\hat{\rho}_{\text{in}}}^2[\hat{p}] = \frac{1}{2(\sigma + \sigma_{\text{in}})}$$

Errors, in opposite way,  
can be made **infinitely small**

Lee errors:

$$\tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}^2[\hat{x}] = \frac{\sigma}{2}$$

$$\tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}^2[\hat{p}] = \frac{1}{2\sigma}$$

Errors governed by **detector resolution**

## Uncertainty:

[LT lower bound] = 0

Trivial?

[Lee lower bound] = 1/2

Recovers Heisenberg's!



# Summary of this part

- Gaussian **POVM** as **joint measurement** in phase space
- First realization of **Lee-Tsutsui formalism** in infinite-dimensional space
- **Lee errors** and **inequality** seem more plausible than LT ones.

# Plan

1. **Joint measurement** of position and momentum (quantum information)
2. **Time-Boundary Effect** proven! (QFT)
3. **Lorentz-invariant/covariant complete basis** for scalar/spinor (QFT)

# Gaussian basis applicable to QFT

- Gaussian basis can expand any quantum field by

$$\hat{A}^\dagger(X, \mathbf{P}; \sigma) |0\rangle = |X, \mathbf{P}; \sigma\rangle$$

with commutator

$$\left[ \hat{A}(X, \mathbf{P}; \sigma), \hat{A}^\dagger(X', \mathbf{P}'; \sigma') \right] = \langle X, \mathbf{P}; \sigma | X', \mathbf{P}'; \sigma' \rangle$$

such that

$$\hat{\phi}(x) = \int \frac{d^d \mathbf{X} d^d \mathbf{P}}{(2\pi)^d} \left[ f_{X, \mathbf{P}; \sigma}(x) \hat{A}(X, \mathbf{P}; \sigma) + \text{h.c.} \right]$$

and similarly for complex scalar, spinor and vector fields.

# Backup

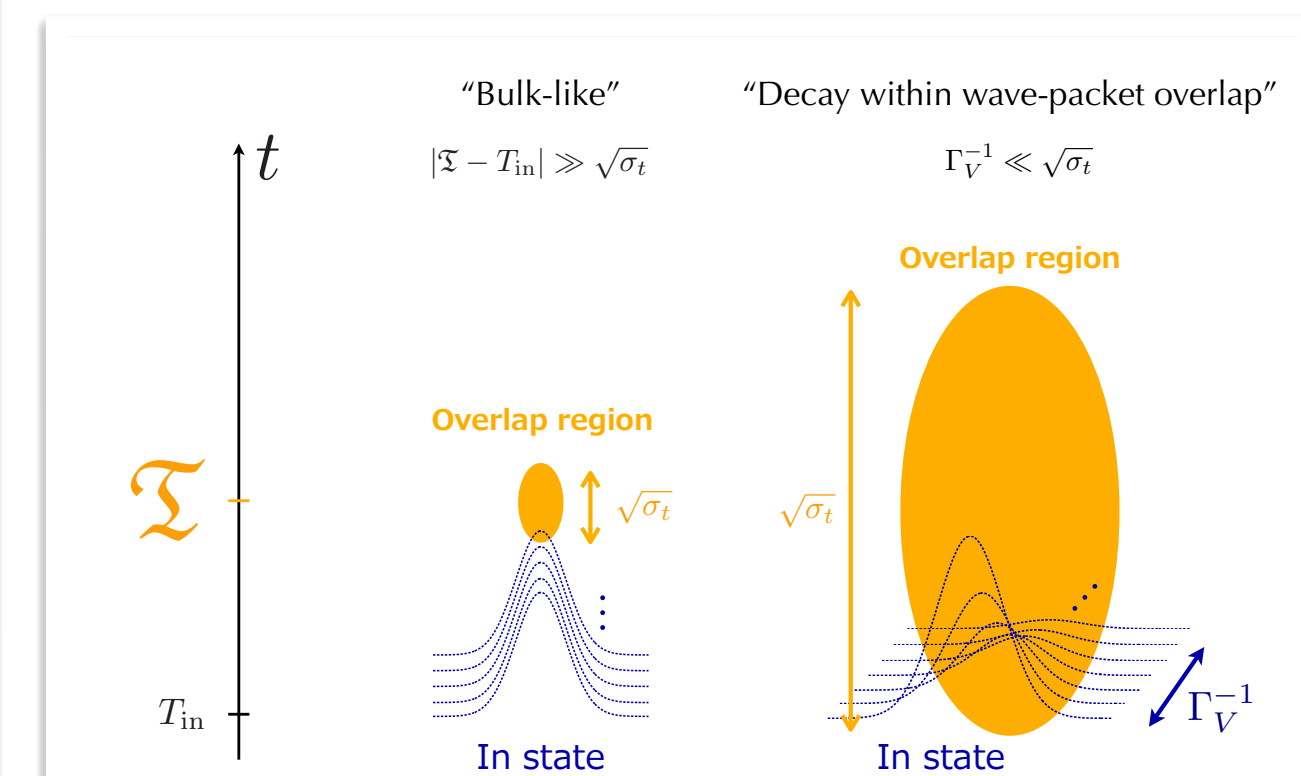
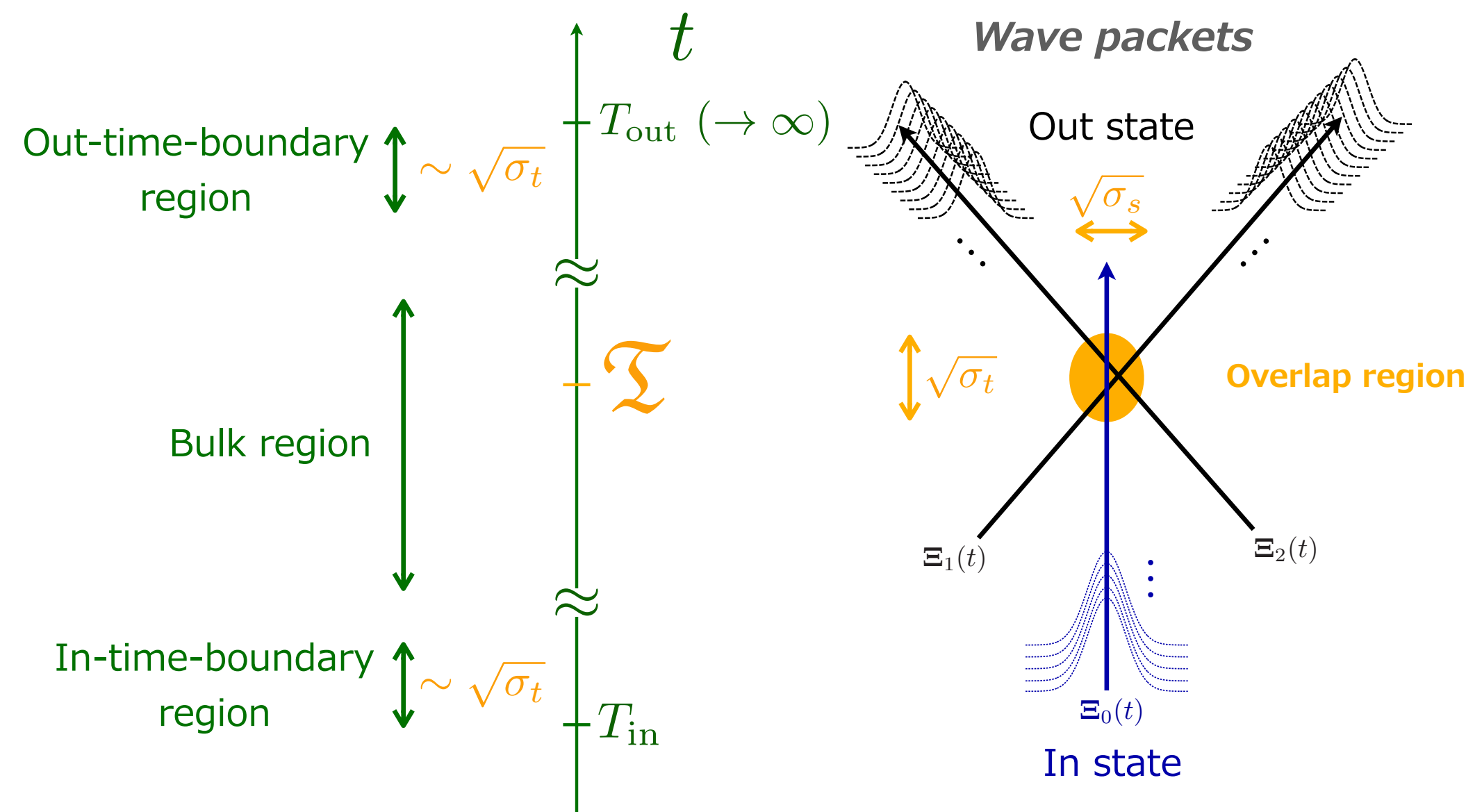
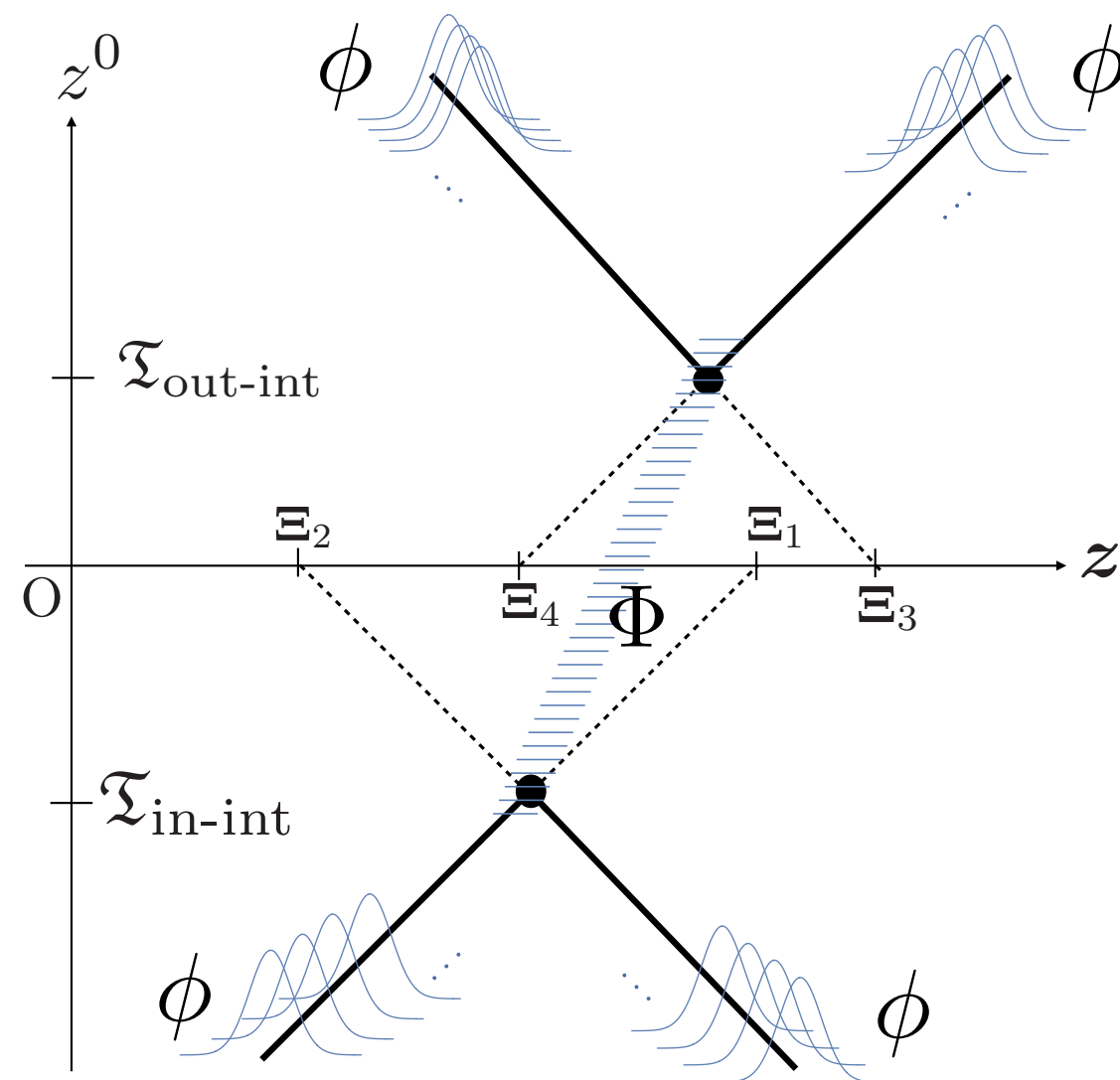
- Expansion coefficient is known function:

$$\begin{aligned} f_{\varphi, \sigma; X, \mathbf{P}}(x) &:= \int \frac{d^3 \mathbf{p}}{\sqrt{2E_{\varphi}(\mathbf{p})}} \langle \varphi; x | \varphi; \mathbf{p} \rangle \langle \varphi; \mathbf{p} | \varphi, \sigma; \Pi \rangle \\ &= \left( \frac{\sigma}{\pi} \right)^{3/4} \int \frac{d^3 \mathbf{p}}{\sqrt{2p^0} (2\pi)^{3/2}} e^{i\mathbf{p} \cdot (x - X) - \frac{\sigma}{2} (\mathbf{p} - \mathbf{P})^2} \Big|_{p^0 = E_{\varphi}(\mathbf{p})} \end{aligned}$$

# Setup

[Ishikawa, Jinnouchi, Nishiwaki, **KO**, 2023]

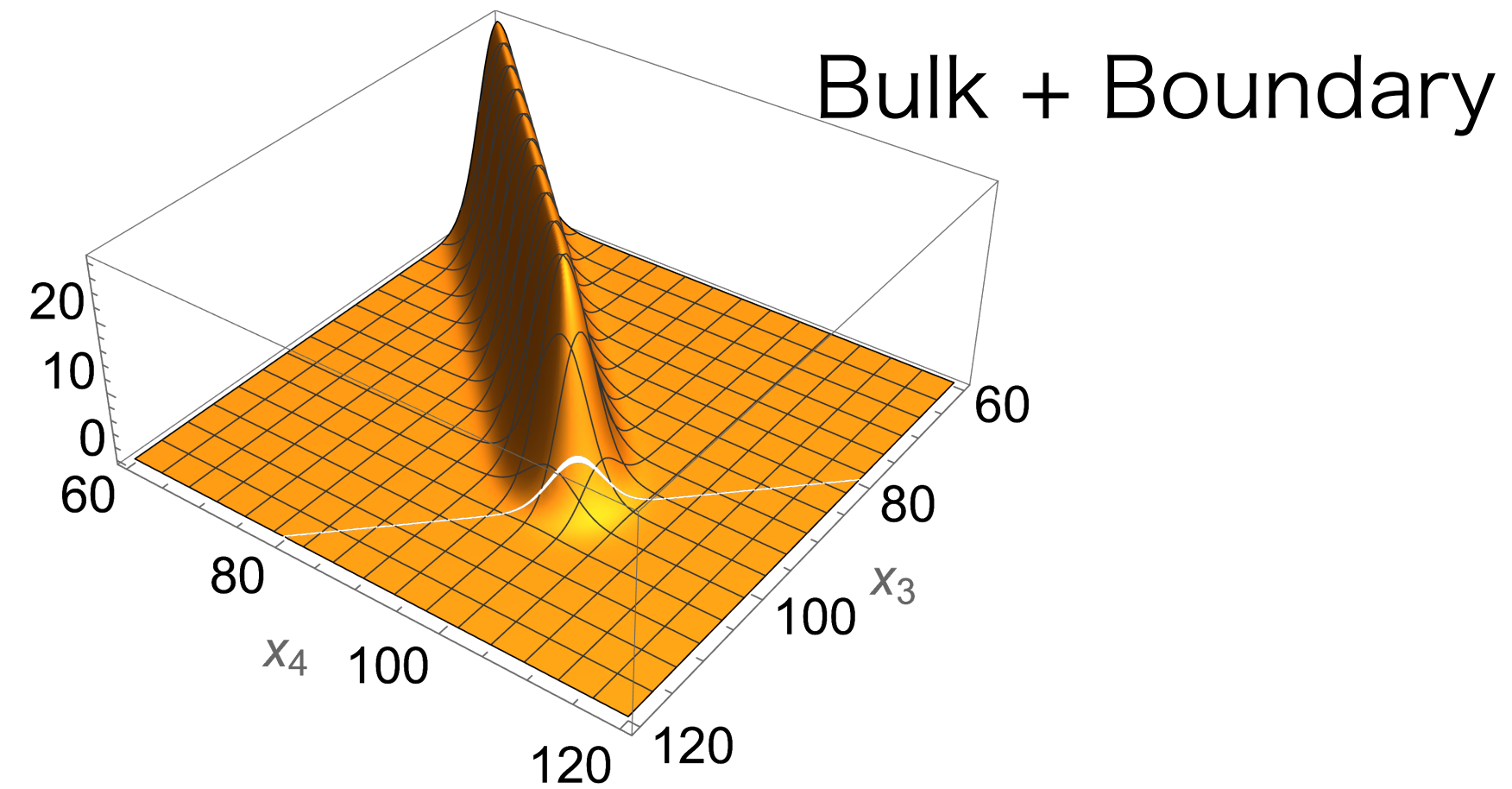
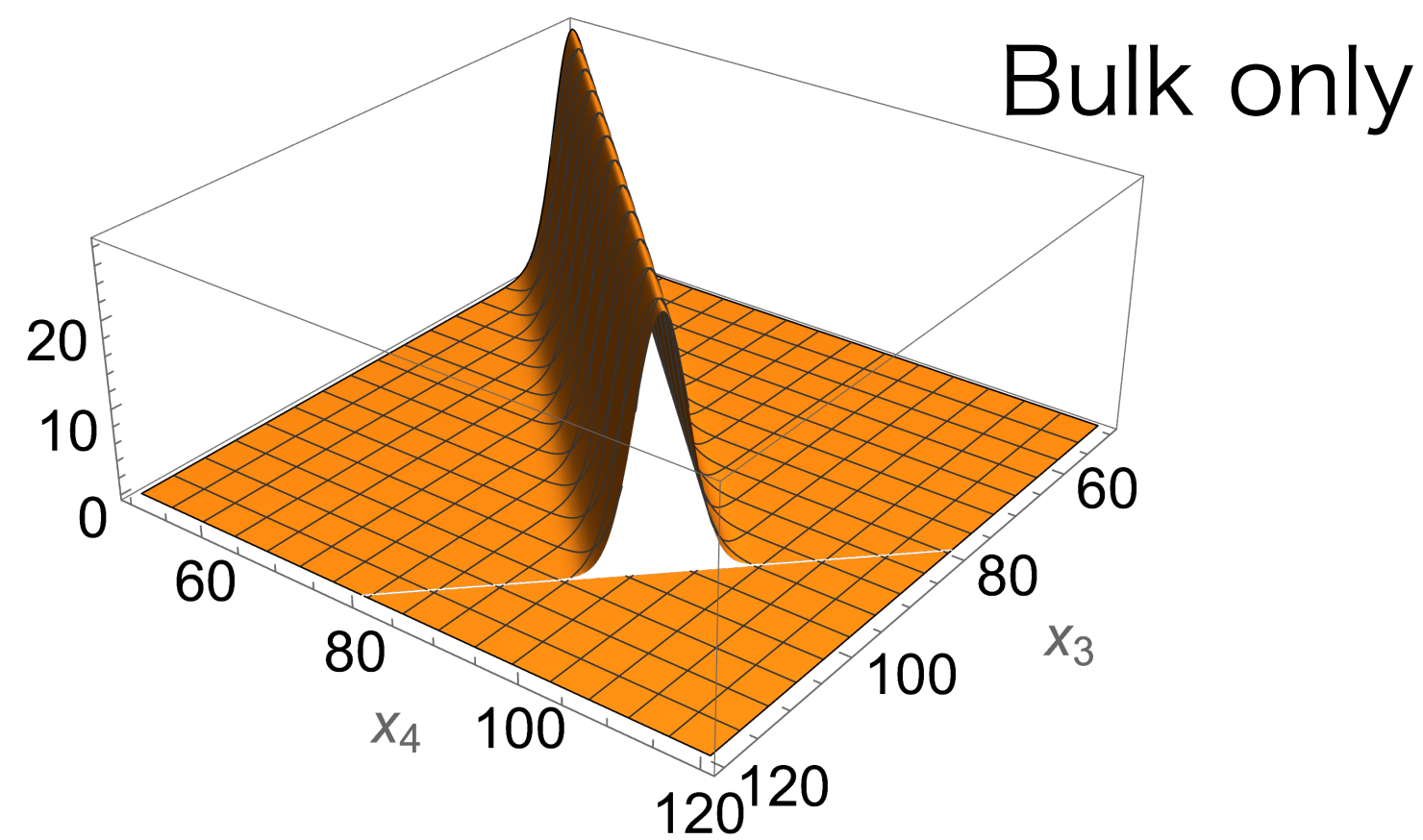
- Tree-level two-to-two **scattering**:  $\phi \phi \rightarrow \Phi \rightarrow \phi \phi$ 
  - External states  $\phi \phi$  are treated by **Gaussian wave packets**
- See if initial **time-boundary effect** for  $\Phi \rightarrow \phi \phi$  **decay** emerges
  - In some configurations in final-state integral



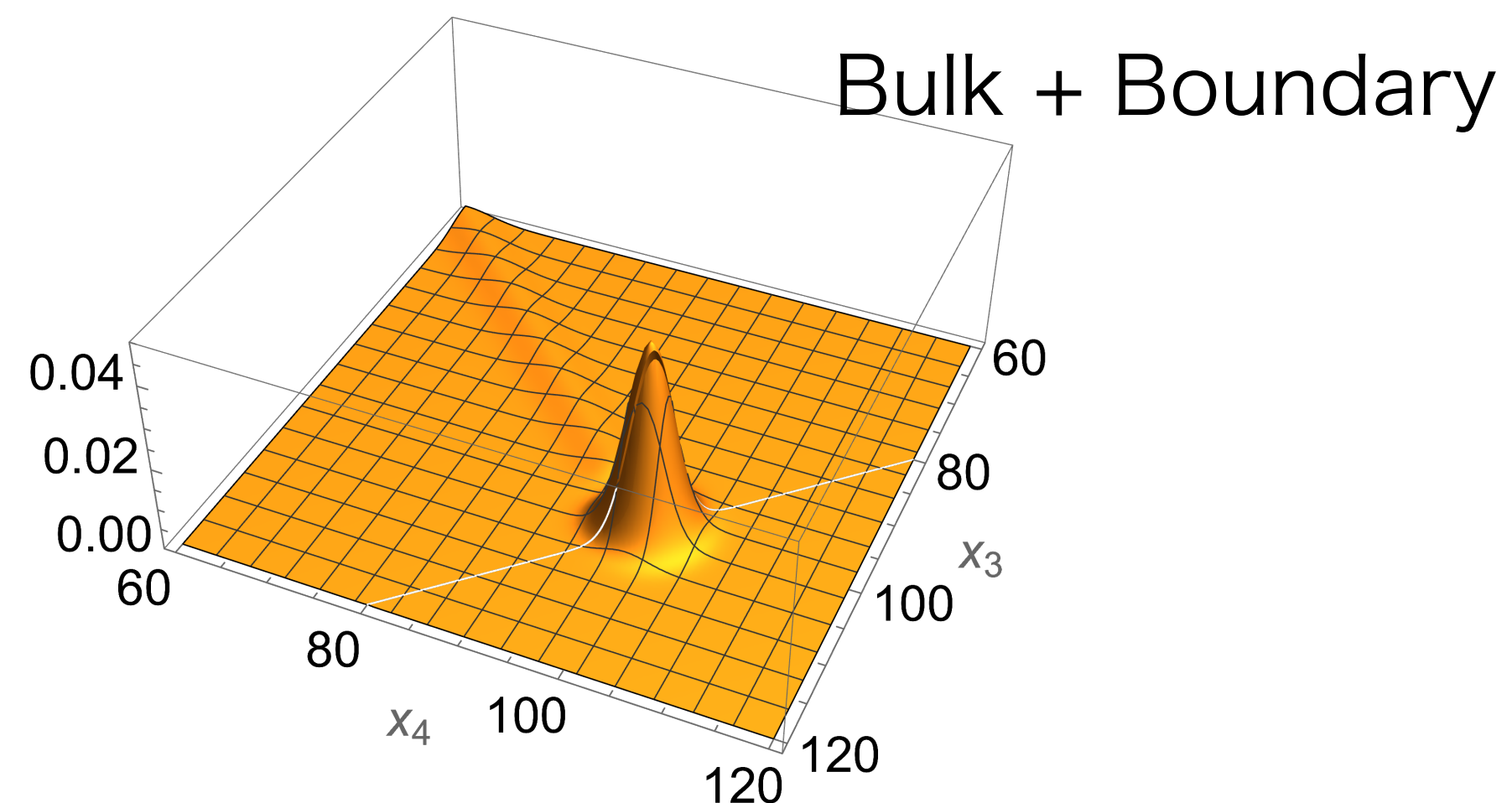
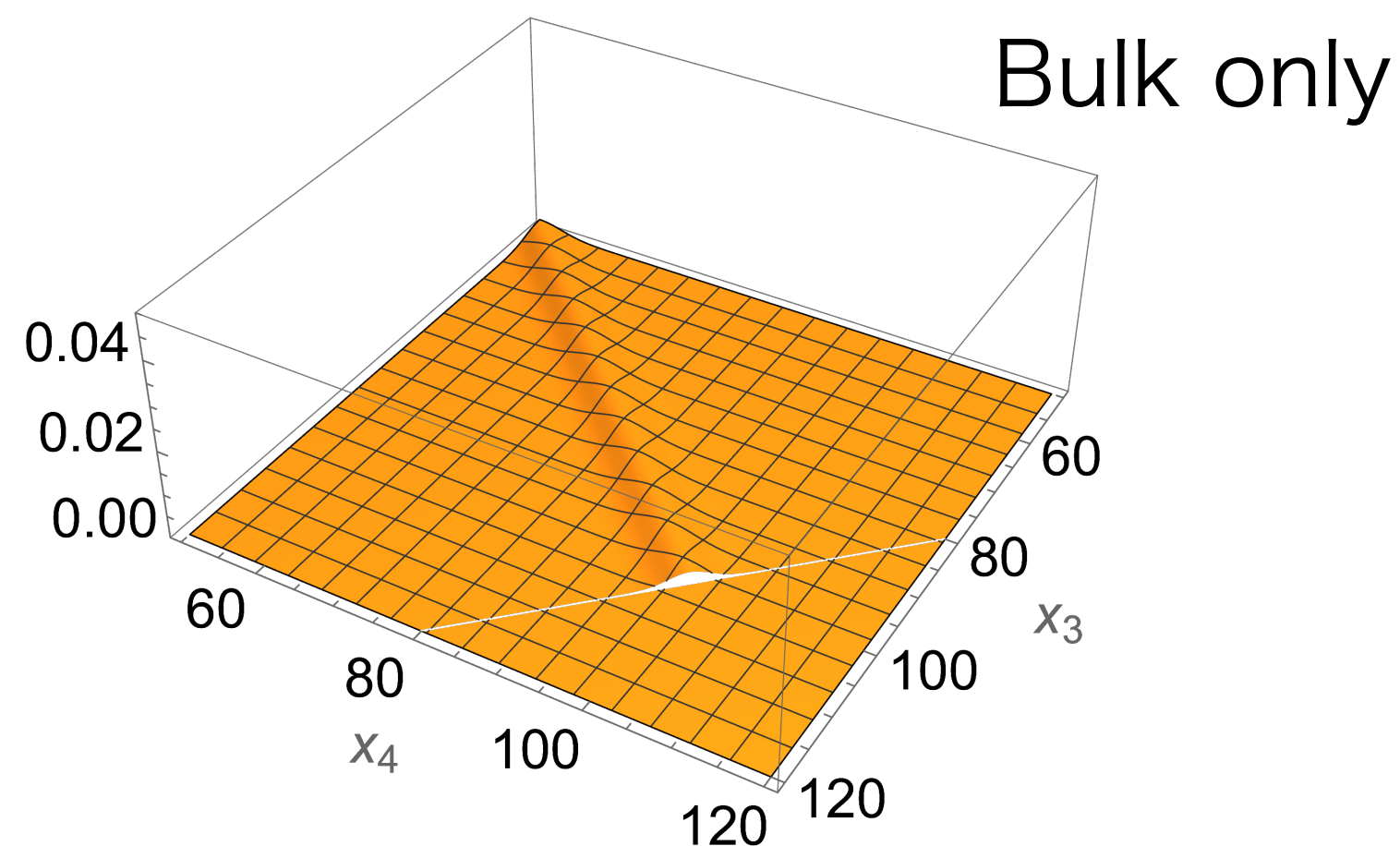
# Results for overlap regions in position space

[Ishikawa, Jinnouchi, Nishiwaki, **KO**, 2023]

- Momenta **on resonance**:



- Momenta **off resonance**:



- On resonance, **bulk contribution** dominates
- **Boundary contribution** smoothes out time boundary

- Off resonance, **boundary contribution** dominates over **bulk contribution**
- Though overall size is smaller than on resonance

# Summary of this part

- Existence of **time-boundary effect** proven!

# Plan

1. **Joint measurement** of position and momentum (quantum information)
2. **Time-Boundary Effect** proven! (QFT)
3. **Lorentz-invariant/covariant complete basis** for scalar/spinor (QFT)



# Lorentz-invariant generalization

- Lorentz invariant wave packet [Kaiser, 1977, 1978; Naumov, Naumov, 2010]

$$\langle\langle p | \Pi \rangle\rangle \propto e^{-ip \cdot (X + i\sigma P)}$$

where

$$\Pi := (X, P)$$

- This reduces to Gaussian basis in non-relativistic limit.

# Manifestly Lorentz-invariant completeness

[KO, Wada, 2021]

- We have obtained manifestly **Lorentz-invariant completeness** relation:  
In free one-particle subspace,

$$\int d^{2d} \Pi_\phi |\Pi\rangle\rangle \langle\langle \Pi| = \hat{1}$$

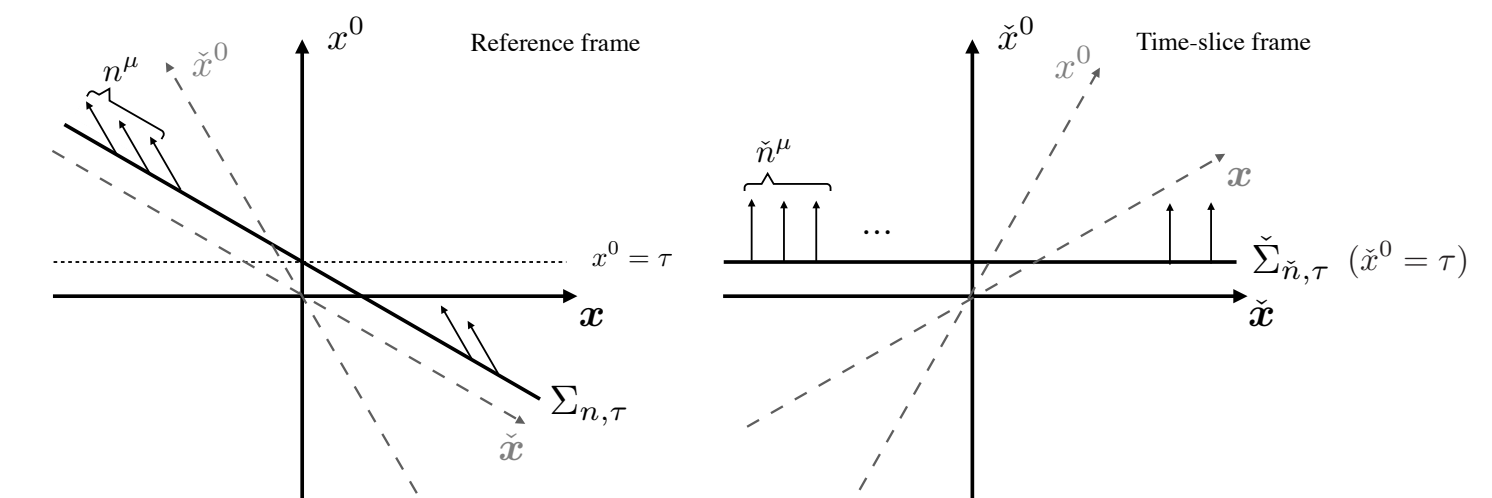
$$\Pi := (X, P)$$

where (whole mess is hidden inside)

$$\int d^{2d} \Pi_\phi := \frac{1}{\mathcal{M}_\phi} \int \frac{d^d \Sigma_X^\mu}{(2\pi)^d} (-2P_\mu) \frac{d^d P}{2P^0} \quad \text{with} \quad d^d \Sigma_X^\mu := d^{d+1} X \delta(N \cdot X + T) N^\mu$$

- Volume element** reduces to ordinary Gaussian phase space integral

$$\propto \int \frac{d^d X d^d P}{(2\pi)^d} \quad \text{on equal-time hyperplane}$$



- Can be used to expand **scalar fields**.

# Manifestly Lorentz-covariant packet

- **Spin-diagonal** representation in literature [Naumov, Naumov, 2010; Ishikawa, KO, 2018]

$$\langle\langle p, s | \Pi, S \rangle\rangle_D := \langle\langle p | \Pi \rangle\rangle \delta_{sS}$$

$$\Pi := (X, P)$$

$$\langle\langle p | \Pi \rangle\rangle \propto e^{-ip \cdot (X + i\sigma P)}$$

- Difficulty: Its Lorentz transformation **mixes** wave-packet states with **different positions and momenta**

- We propose **phase-space-diagonal** representation: [KO, Wada, 2023]

$$\langle\langle p, s | \Pi, S \rangle\rangle \propto \langle\langle p | \Pi \rangle\rangle \bar{u}(p, s) u(P, S)$$

and similarly for anti-particles.

# Manifestly Lorentz-covariant completeness

[KO, Wada, 2023]

- We now have, in free one-particle subspace,

$$\sum_S \int d^{2d} \Pi_\psi \, |\Pi, S\rangle\rangle \langle\langle \Pi, S| = \hat{1}$$

where (whole mess is hidden inside)

$$\int d^{2d} \Pi_\psi := \frac{1}{\mathcal{M}_\psi} \int_{\Sigma_{N,T}} \frac{d^d \Sigma_X^\mu}{(2\pi)^d} (-2P_\mu) \frac{d^d P}{2P^0}$$
$$= \frac{\mathcal{M}_\phi}{\mathcal{M}_\psi} \int d^{2d} \Pi_\phi,$$

# Summary of this part

- Found **Lorentz-invariant/covariant** wave packets that can expand **scalar/spinor** fields

# Summary: Gaussian Formalism

1. Furnishes **POVM** for joint measurement of position and momentum
  - **Heisenberg's uncertainty principle** in new perspective
2. Proves **Time-Boundary Effect** in QFT
3. Generalized to **Lorentz-invariant/covariant complete basis** for scalar/spinor

## Future directions

1. **Time-energy** uncertainty. **Bell-CHSH** inequality.
2. Interference with **no-scattering**?! On-shell t-channel scattering? (Thanks B. Grzadkowski)  
(And of course more concrete phenomenology involving neutrinos etc.)
3. **Massless** packets including **vector** fields



Thank you!

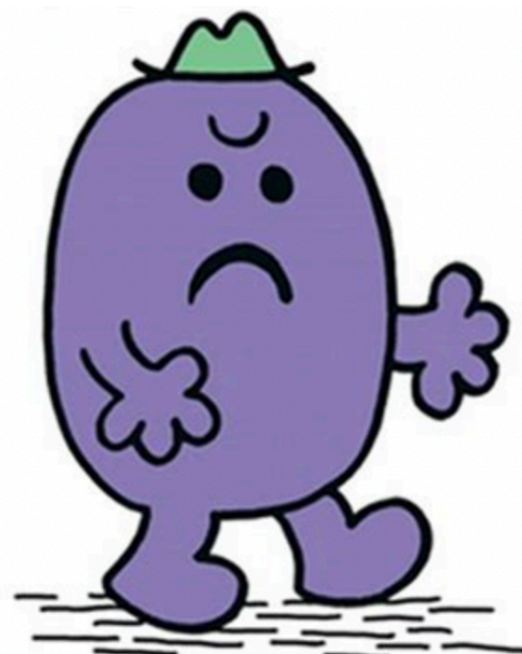
**Backup**



# Phenomenological applications

- Tend to be accepted quicker
- Even **before** their **theoretical basis**
- E.g. **time-boundary effect** took 2.5 years to be published
- Even though being immediately recommended by Referees A & C (B & D were nasty)

Better have scientist editor



[Picture from web]

**Referee A:** All remarks of previous reports have been fully addressed and the revised manuscript has been tremendously improved by streamlining the discussion. In this way the manuscript is accessible to the larger community. As the overlooked effect has a vast impact on various branches of physics such as neutrino physics, astrophysics and biophysics I can fully recommend this article for publication in Physical Review Letters.

**Referee C:** In my understanding, this result sheds new light on several problems of quantum field theory and the related topics. In particular, the interpretation in terms of Lefschetz thimble decomposition is what the physicists should have done in old days. The paper is well written and organized so as to be readable for the readers from other fields. I recommend the editor to publish the paper in this journal.

Referees B says, *I find it hard to conclude whether this result has the relevance and depth that would make me say it «“should be” as opposed to “could be”» published in PRL*, and finishes the letter by the following sentence: *But of course I leave to the Editor the final decision based also on the other referees' observations.* Referee D did not recommend, the whole report being as follows:

**Referee D:** I would agree with the assessment of Referee B. This is a very old subject and its not clear to me that the intricacies the authors are concerned with are of sufficient interest to a wide enough audience. Nor do I think will it have any overwhelming impact in a sub-field that would overcome the aforementioned shortcoming.

# Uncertainty relations for quantum fluctuations

- Kennard-Robertson and Schrödinger inequalities:

Putting  $\psi_A := \left( \hat{A} - \langle \hat{A} \rangle \right) |\psi\rangle$

Into ordinary Cauchy-Schwarz inequality

$$\|\psi_A\|^2 \|\psi_B\|^2 \geq |\langle \psi_A | \psi_B \rangle|^2$$

$$\sigma_\psi^2[\hat{A}] \sigma_\psi^2[\hat{B}] \geq \left| \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_\psi \right|^2 + \left| \left\langle \frac{\{\hat{A}, \hat{B}\}}{2} \right\rangle_\psi - \langle \hat{A} \rangle_\psi \langle \hat{B} \rangle_\psi \right|^2$$

KR (1927, 1929)

Purely quantum correlation

Schrödinger (1930)

Semi-classical correlation

# Uncertainty relations for errors

- Arthurs-Kelly-Goodman (AKG 1965, 1988) takes into account

$$\varepsilon_{\rho} \left[ \text{M}; \hat{A} \right] \varepsilon_{\rho} \left[ \text{M}; \hat{B} \right] \geq \left| \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_{\rho} \right|$$

measurement errors:

- Ozawa (2004):

$$\varepsilon_{\rho} \left[ \text{M}; \hat{A} \right] \varepsilon_{\rho} \left[ \text{M}; \hat{B} \right] + \varepsilon_{\rho} \left[ \text{M}; \hat{A} \right] \sigma_{\rho} \left[ \hat{B} \right] + \sigma_{\rho} \left[ \hat{A} \right] \varepsilon_{\rho} \left[ \text{M}; \hat{B} \right] \geq \left| \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_{\rho} \right|$$

- Watanabe-Sagawa-Ueda (2011): AGK from quantum estimation theory

# Lee-Tsutsui (or Lee) inequality, claimed to include all of them!

E.g. Ozawa inequality [from Lee's slide 2021]

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$$\underline{\varepsilon(A)\varepsilon(B)} \geq \underline{\varepsilon_\rho(A)\varepsilon_\rho(B)} \geq \sqrt{\mathcal{R}^2 + \mathcal{I}^2} \geq |\mathcal{I}| \geq \underline{|\langle [A, B] \rangle_\rho|/2 - \varepsilon(A)\sigma(B) - \sigma(A)\varepsilon(B)}$$

# Observable and state spaces

	Observables	States
Quantum	$S(\mathcal{H}) \ni \hat{A}$ (self-adjoint operator)	$Z(\mathcal{H}) \ni \hat{\rho}$ (density operator)
Classical	$R(\Omega) \ni f$ (real function)	$W(\Omega) \ni p$ (PDF)

$$\hat{A}^\dagger = \hat{A}$$

$$\text{Tr}[\hat{\rho}] = 1 \quad \hat{\rho} \geq 0$$

Typically pure state  $\hat{\rho} = |\psi\rangle\langle\psi|$

$$f^*(\omega) = f(\omega)$$

$$\int_{\Omega} d\omega p(\omega) = 1 \quad p(\omega) \geq 0$$

# Quantum measurement

- Affine map  $\mathbf{M}: \hat{\rho} \mapsto p$  that allows probability mixture:  $\forall \lambda \in [0, 1]$ ,

$$\mathbf{M}(\lambda \hat{\rho}_1 + (1 - \lambda) \hat{\rho}_2) = \lambda \mathbf{M} \hat{\rho}_1 + (1 - \lambda) \mathbf{M} \hat{\rho}_2$$

Hereafter, we write  $M\hat{\rho} := \mathbf{M}\hat{\rho}$

Density operators

$$Z(\mathcal{H}) \ni \hat{\rho}$$

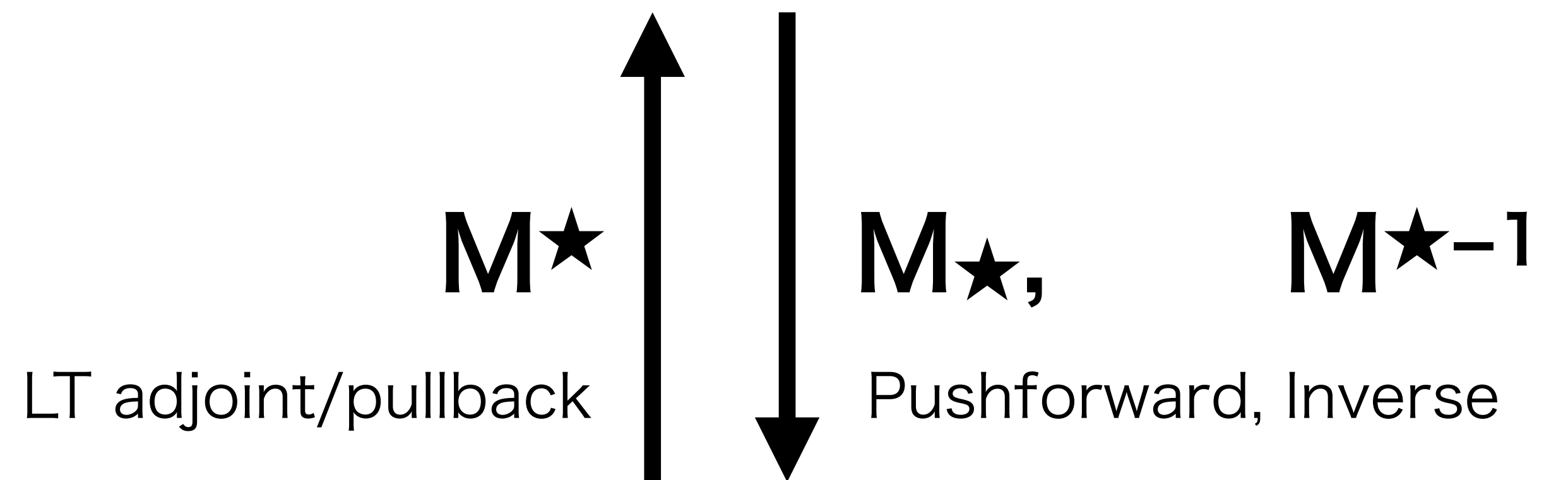


$$W(\Omega) \ni p$$

PDFs

Self-adjoint operators

$$S(\mathcal{H}) \ni \hat{A}$$



$$R(\Omega) \ni f$$

Real functions

# Backup: Measurement in Lee-Tsutsui formalism

[Lee, Tsutsui, 2020]

- Quantum state = density operator  $\rho$ , where  $\text{Tr}[\rho]=1$ 
  - (For pure state, it is just  $\rho = |\psi\rangle\langle\psi|$ .)
- Classical state = PDF  $p(\omega)$ , where  $\omega = (\mathbf{X}, \mathbf{P}) \in [\text{phase space } \Omega] = \mathbb{R}^{2d}$
- Measurement = Affine map  $M: \rho \rightarrow p$  ( $= M(\rho) =: M\rho$ )
  - That naturally admits **probability mixture**:
  - $M(\lambda \rho_1 + (1-\lambda) \rho_2) = \lambda M\rho_1 + (1-\lambda) M\rho_2$  ( $0 \leq \lambda \leq 1$ )

# Backup: Pullback of classical observable $f$

[Lee, Tsutsui, 2020]

- Quantum observable = Self-adjoint operator  $A$  ( $=A^\dagger$ )
- Classical observable = Real function  $f(\omega)$ , where  $\omega=(\mathbf{X},\mathbf{P}) \in [\text{phase space } \Omega] = \mathbb{R}^{2d}$
- Pullback of  $f$ : For any  $\rho$ ,

$$\langle \widehat{M^* f} \rangle_{\hat{\rho}} = \langle f \rangle_{M\hat{\rho}}$$

- $M^*f$  gives the same quantum expectation value (under  $\rho$ ) as classical expectation value of  $f$  (under  $M\rho$ )



# LT adjoint/pullback

- Lee-Tsutsui adjoint  $M^\star$ :  $\forall \hat{\rho} \in Z(\mathcal{H})$ ,

$$\left\langle \overbrace{M^\star f} \right\rangle_{\hat{\rho}} = \langle f \rangle_{M\hat{\rho}}$$

Given **measurement M**,

**LT adjoint** of a **real function** is

the **operator** that gives the same expectation values

for all **quantum states**.

$$\langle f \rangle_p := \int_{\Omega} d\omega f(\omega)p(\omega)$$

# Pullback and pushforward

- **Pullback** is defined for equivalence classes (crucial for LT but irrelevant for us)

$$\hat{A} \stackrel{\hat{\rho}}{\sim} \hat{B} \iff \|\hat{A} - \hat{B}\|_{\hat{\rho}} = 0,$$

$$f \stackrel{\rho}{\sim} g \iff \|f - g\|_{\rho} = 0.$$

- **Pushforward  $M_{\star}$**  is defined by,

$$\left\langle \hat{A}, \widehat{M^{\star} f} \right\rangle_{\hat{\rho}} = \left\langle M_{\star} \hat{A}, f \right\rangle_{M\hat{\rho}}$$

$$\text{Tr} \left[ \frac{\{\hat{A}, \widehat{M^{\star} f}\}}{2} \hat{\rho} \right] = \int_{\Omega} d\omega [M_{\star} \hat{A}](\omega) f(\omega) [M\hat{\rho}](\omega)$$

# Explicit form of Lee-Tsutsui inequality

- LT error:

$$\varepsilon_{\hat{\rho}}[\hat{A}; \mathbf{M}] := \sqrt{\|\hat{A}\|_{\hat{\rho}}^2 - \|M_{\star}\hat{A}\|_{M\hat{\rho}}^2} = \sqrt{\text{Tr}[\rho \hat{A}^2] - \int_{\Omega} d\omega [M_{\star}\hat{A}]^2(\omega) [M\hat{\rho}](\omega)}$$

- LT inequality:

$$\varepsilon_{\hat{\rho}}[\hat{A}; \mathbf{M}] \varepsilon_{\hat{\rho}}[\hat{B}; \mathbf{M}] \geq \sqrt{\mathcal{I}_{\hat{\rho}}^2[\hat{A}, \hat{B}; \mathbf{M}] + \mathcal{R}_{\hat{\rho}}^2[\hat{A}, \hat{B}; \mathbf{M}]},$$

where

$$\mathcal{I}_{\hat{\rho}}[\hat{A}, \hat{B}; \mathbf{M}] := \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_{\hat{\rho}} - \left\langle \frac{[M^{\star}M_{\star}\hat{A}, \hat{B}]}{2i} \right\rangle_{\hat{\rho}} - \left\langle \frac{[\hat{A}, M^{\star}M_{\star}\hat{B}]}{2i} \right\rangle_{\hat{\rho}},$$

$$\mathcal{R}_{\hat{\rho}}[\hat{A}, \hat{B}; \mathbf{M}] := \left\langle \frac{\{\hat{A}, \hat{B}\}}{2} \right\rangle_{\hat{\rho}} - \left\langle M_{\star}\hat{A}, M_{\star}\hat{B} \right\rangle_{M\hat{\rho}}.$$

# Meaning of LT error

$$\begin{aligned}\varepsilon_{\hat{\rho}}[\hat{A}; M, f] &:= \sqrt{\left\| \hat{A} - \widehat{M^* f} \right\|_{\hat{\rho}}^2 + \left( \sigma_{M\hat{\rho}}^2[f] - \sigma_{\hat{\rho}}^2[\widehat{M^* f}] \right)} \\ &= \sqrt{\varepsilon_{\hat{\rho}}^2[\hat{A}; M] + \left\| M_{\star} \hat{A} - f \right\|_{M\hat{\rho}}^2}\end{aligned}$$

- Choosing test function  $f$  to be pushforward  $M_{\star}A$  gives the optimum.

# Explicit form of Lee inequality

- Lee error:

$$\tilde{\varepsilon}_{\hat{\rho}}[\hat{A}; \mathbf{M}] := \sqrt{\|M^{*-1}\hat{A}\|_{M\hat{\rho}}^2 - \|\hat{A}\|_{\hat{\rho}}^2}$$

- Lee inequality:

$$\tilde{\varepsilon}_{\hat{\rho}}[\hat{A}; \mathbf{M}] \tilde{\varepsilon}_{\hat{\rho}}[\hat{B}; \mathbf{M}] \geq \sqrt{\mathcal{I}_{0\hat{\rho}}^2[\hat{A}, \hat{B}] + \tilde{\mathcal{R}}_{\hat{\rho}}^2[\hat{A}, \hat{B}; \mathbf{M}]}$$

where

$$\mathcal{I}_{0\hat{\rho}}[\hat{A}, \hat{B}] := \left\langle \frac{[\hat{A}, \hat{B}]}{2i} \right\rangle_{\hat{\rho}},$$

$$\tilde{\mathcal{R}}_{\hat{\rho}}[\hat{A}, \hat{B}; \mathbf{M}] := \left\langle \frac{\{\hat{A}, \hat{B}\}}{2} \right\rangle_{\hat{\rho}} - \langle M^{*-1}\hat{A}, M^{*-1}\hat{B} \rangle_{M\hat{\rho}}.$$

# Our proposal: POVM measurement for Heisenberg's uncertainty

- We propose (non-projective) POVM:

$$\left\{ \left| X, P; \sigma \right\rangle \left\langle X, P; \sigma \right| \right\}_{(X, P) \in \mathbb{R}^2}$$

- POVM measurement:

$$[M_{\text{ph}} \hat{\rho}](X, P) = \text{Tr} \left[ \left| X, P; \sigma \right\rangle \left\langle X, P; \sigma \right| \hat{\rho} \right]$$

- Here (for simplicity), we focus on the pure state:

$$\hat{\rho}_{\text{in}} = \left| X_{\text{in}}, P_{\text{in}}; \sigma_{\text{in}} \right\rangle \left\langle X_{\text{in}}, P_{\text{in}}; \sigma_{\text{in}} \right|$$

# Measurement result

$$\sigma_{\text{sum}} := \sigma + \sigma_{\text{in}},$$

$$\sigma_{\text{red}} := \frac{1}{\frac{1}{\sigma} + \frac{1}{\sigma_{\text{in}}}} = \frac{\sigma\sigma_{\text{in}}}{\sigma + \sigma_{\text{in}}}.$$

$$[M_{\text{ph}}\hat{\rho}_{\text{in}}](X, P) =$$

$$|\langle X, P; \sigma | X_{\text{in}}, P_{\text{in}}; \sigma_{\text{in}} \rangle|^2 = 2\pi \left( \frac{1}{\sqrt{\pi\sigma_{\text{sum}}}} e^{-\frac{1}{\sigma_{\text{sum}}}(X-X_{\text{in}})^2} \right) \left( \sqrt{\frac{\sigma_{\text{red}}}{\pi}} e^{-\sigma_{\text{red}}(P-P_{\text{in}})^2} \right)$$

- Limit of **spatially finer** detector than original wave-packet size  $\sigma \ll \sigma_{\text{in}}$ ,
  - Spatial part governed by  $\sigma_{\text{in}}$
  - Momentum part governed by  $\sigma$  (information of original packet lost)
- Vice versa

# Concrete realization of pullback and pushforward:

- LT adjoint/pullback:

$$\widehat{M_{\text{ph}}^*} f = \int_{\mathbb{R}^2} \frac{dX dP}{2\pi} f(X, P) |X, P; \sigma\rangle \langle X, P; \sigma|$$

- Decomposition of position and momentum operators:

$$\hat{x} = \int_{\mathbb{R}^2} \frac{dX dP}{2\pi} X |X, P; \sigma\rangle \langle X, P; \sigma|, \quad \hat{p} = \int_{\mathbb{R}^2} \frac{dX dP}{2\pi} P |X, P; \sigma\rangle \langle X, P; \sigma|,$$

- Giving their inverse:  $[M_{\text{ph}}^{*-1} \hat{x}](X, P) = X,$   $[M_{\text{ph}}^{*-1} \hat{p}](X, P) = P.$

- Pushforward:  $[M_{\text{ph}*} \hat{x}](X, P) = \bar{X},$   $[M_{\text{ph}*} \hat{p}](X, P) = \bar{P},$

$$\bar{X} := \sigma_{\text{red}} \left( \frac{X}{\sigma} + \frac{X_{\text{in}}}{\sigma_{\text{in}}} \right),$$

$$\bar{P} := \frac{\sigma P + \sigma_{\text{in}} P_{\text{in}}}{\sigma + \sigma_{\text{in}}}.$$



# Our result for LT errors and inequality

- LT errors:

$$\varepsilon_{\hat{\rho}_{\text{in}}}^2[\hat{x}; M_{\text{ph}}] = \frac{\sigma_{\text{red}}}{2},$$

$$\varepsilon_{\hat{\rho}_{\text{in}}}^2[\hat{p}; M_{\text{ph}}] = \frac{1}{2\sigma_{\text{sum}}}.$$

- LT inequality:

$$\frac{1}{2} \sqrt{\frac{\sigma_{\text{red}}}{\sigma_{\text{sum}}}} \geq 0,$$

$$\sigma_{\text{sum}} := \sigma + \sigma_{\text{in}},$$

$$\sigma_{\text{red}} := \frac{1}{\frac{1}{\sigma} + \frac{1}{\sigma_{\text{in}}}} = \frac{\sigma\sigma_{\text{in}}}{\sigma + \sigma_{\text{in}}}.$$

- **No lower bound!**
- Product of errors takes maximum at  $\sigma = \sigma_{\text{in}}$ .
- For projective measurement of position  $\sigma \rightarrow 0$ , (or momentum  $\sigma \rightarrow \infty$ ), it becomes **trivial**  $0=0$ !

# Our result for Lee errors and inequality

- Lee errors:

$$\tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}^2[\hat{x}; M_{\text{ph}}] = \frac{\sigma}{2},$$

$$\tilde{\varepsilon}_{\hat{\rho}_{\text{in}}}^2[\hat{p}; M_{\text{ph}}] = \frac{1}{2\sigma}.$$

- Depends only on detector resolution!
- Lee inequality:  $1/2 \geq 1/2$ .
  - For initial pure Gaussian state  $\rho_{\text{in}}$ ,
  - Lee inequality saturated regardless of detector resolution  $\sigma$