

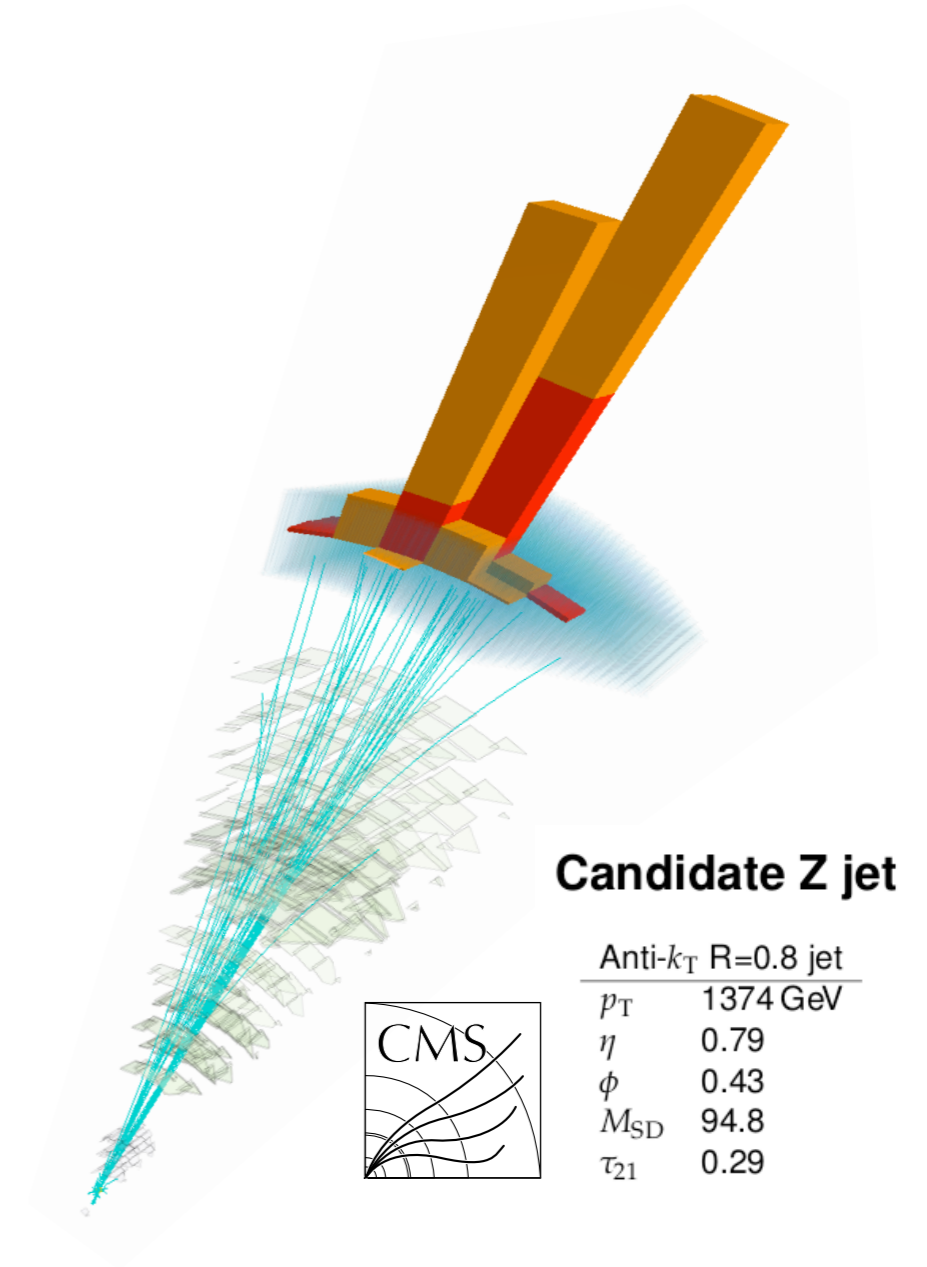
The Coming of Age of Jet Substructure at the LHC

Roman Kogler
DESY and Universität Hamburg

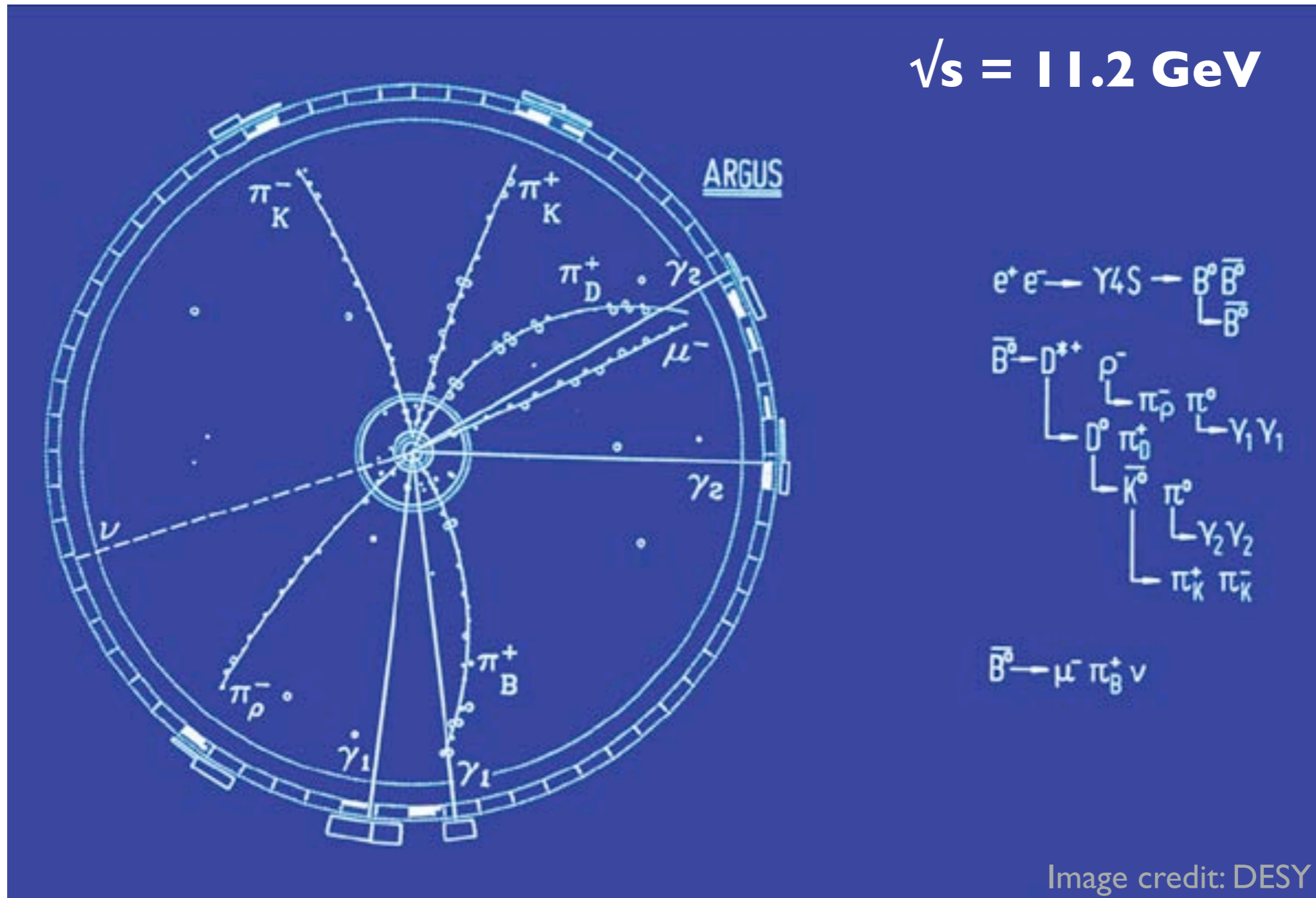
Universität Zürich
Sep 18, 2023

Overview

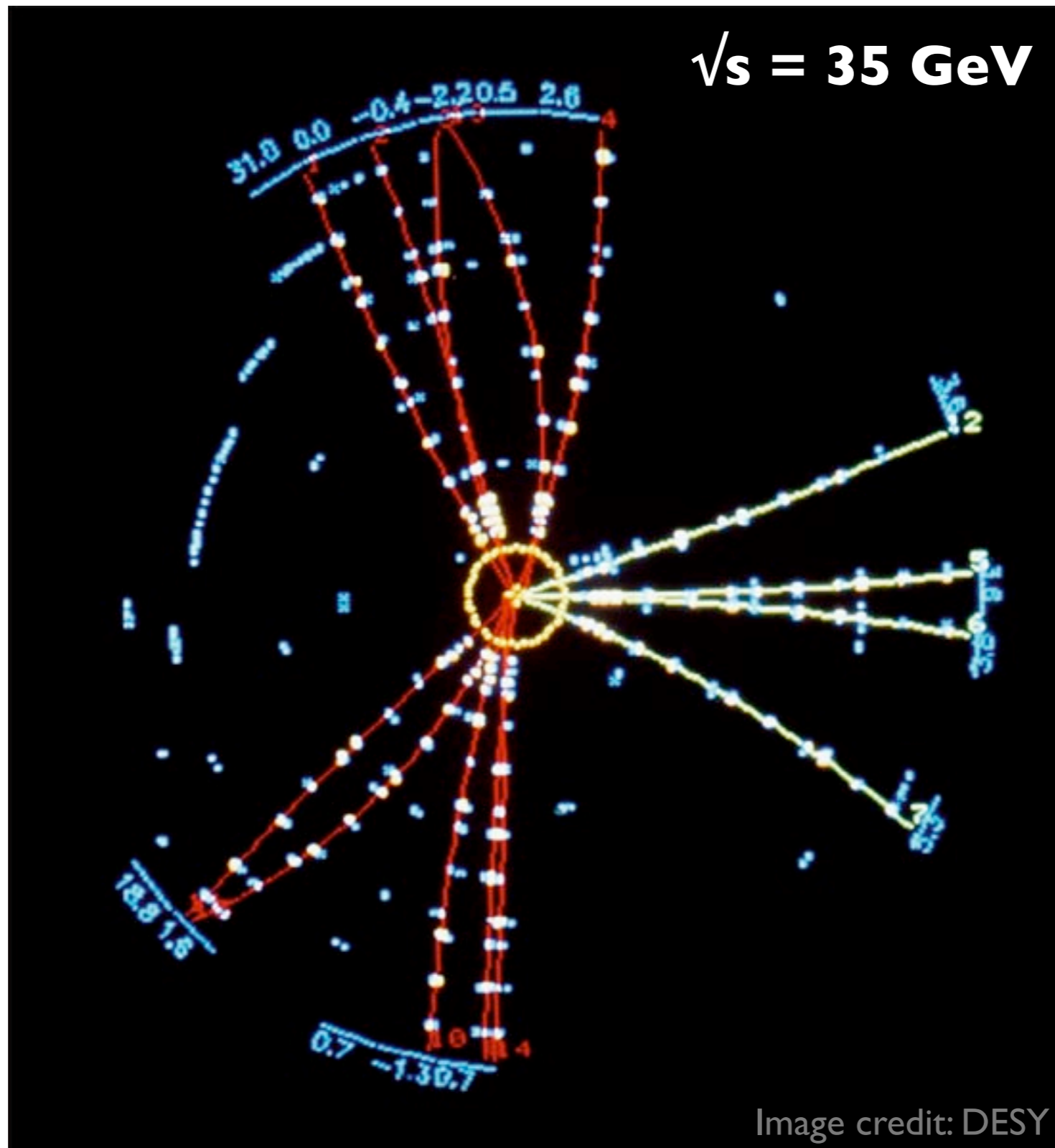
- ▶ Introduction
- ▶ Jet algorithms and substructure
- ▶ Jet tagging with substructure
- ▶ Calibration and commissioning
- ▶ Standard model measurements
- ▶ Searching for new phenomena
- ▶ Summary



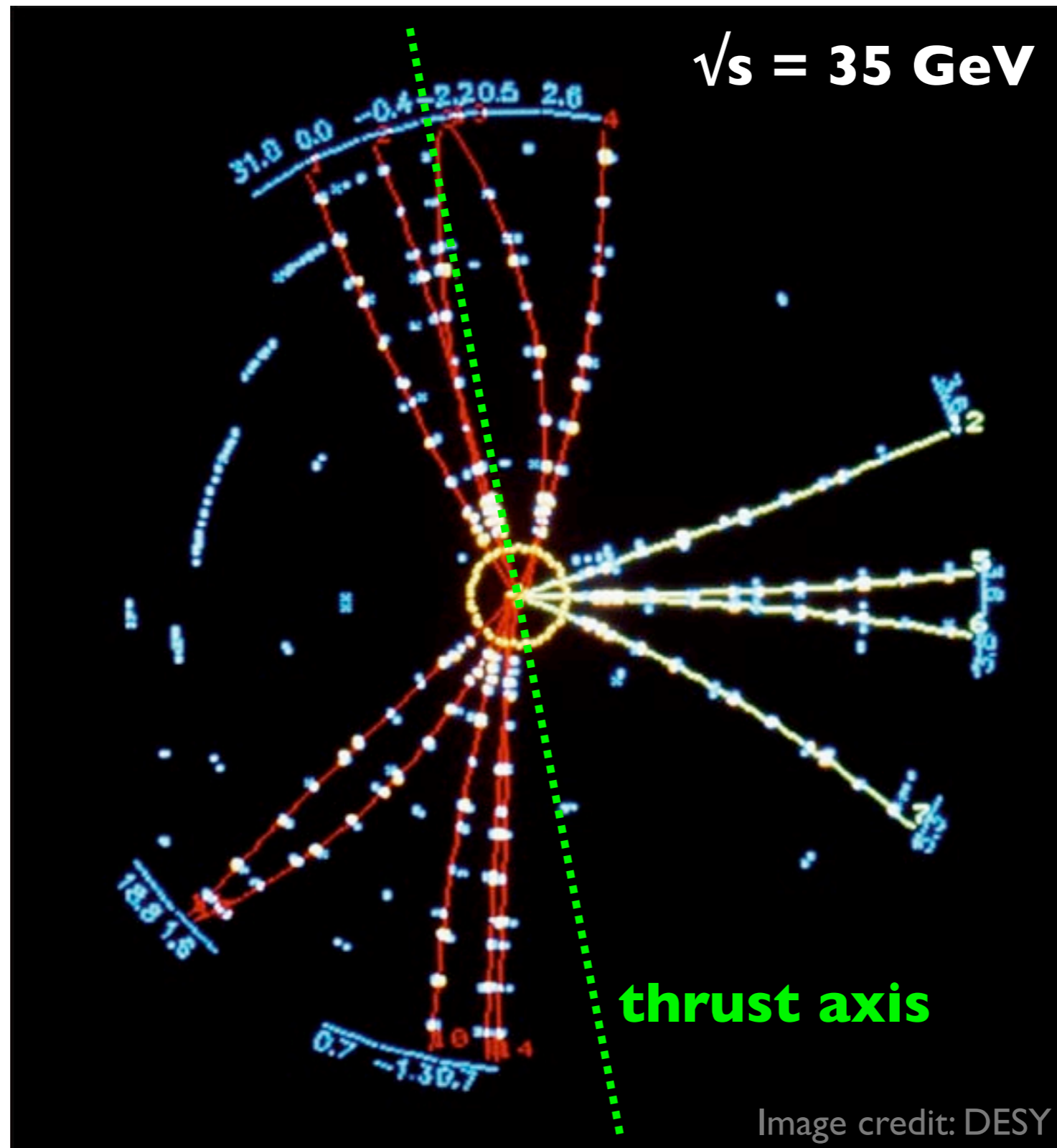
ARGUS at DORIS, 1987



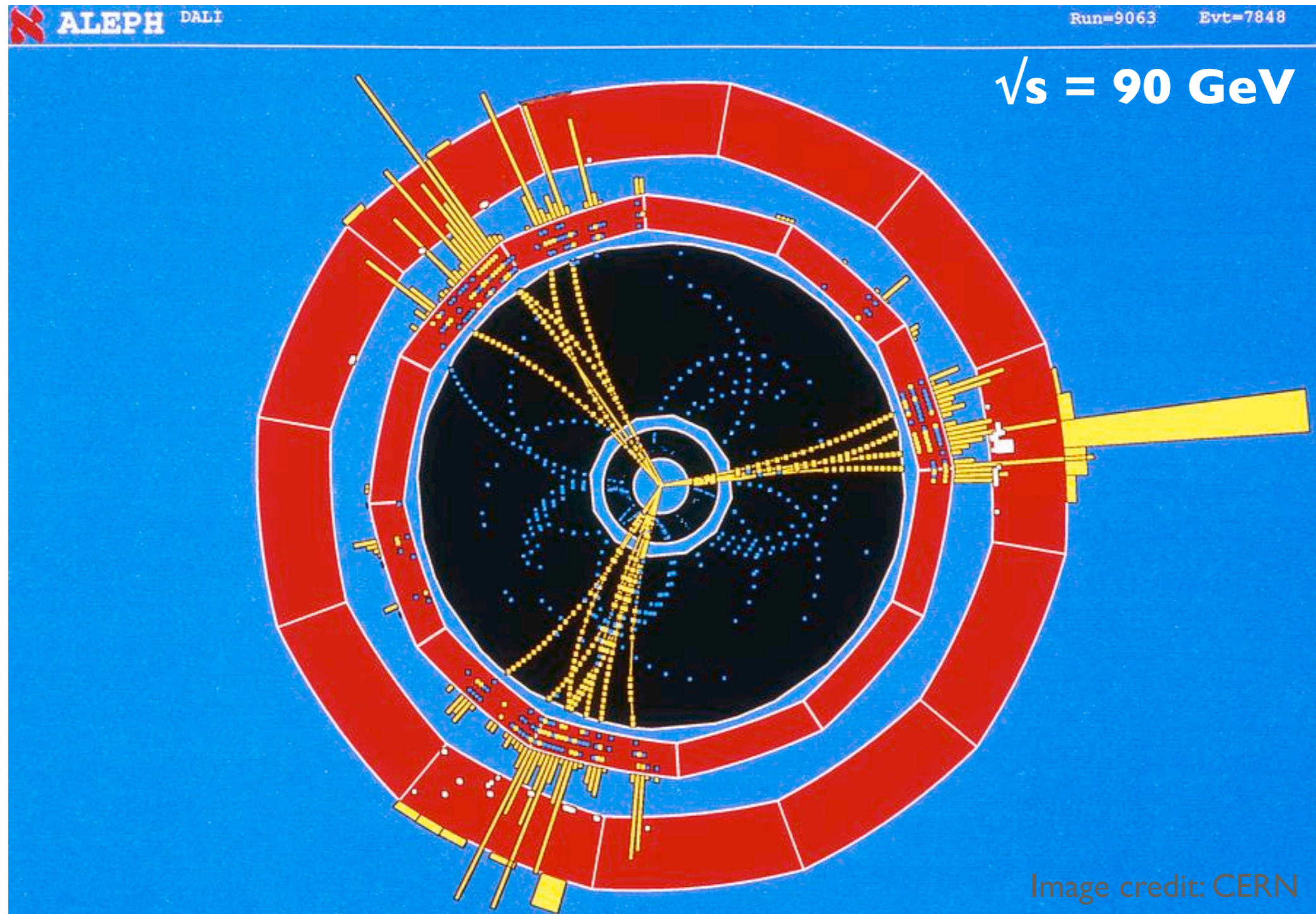
TASSO at PETRA, 1979



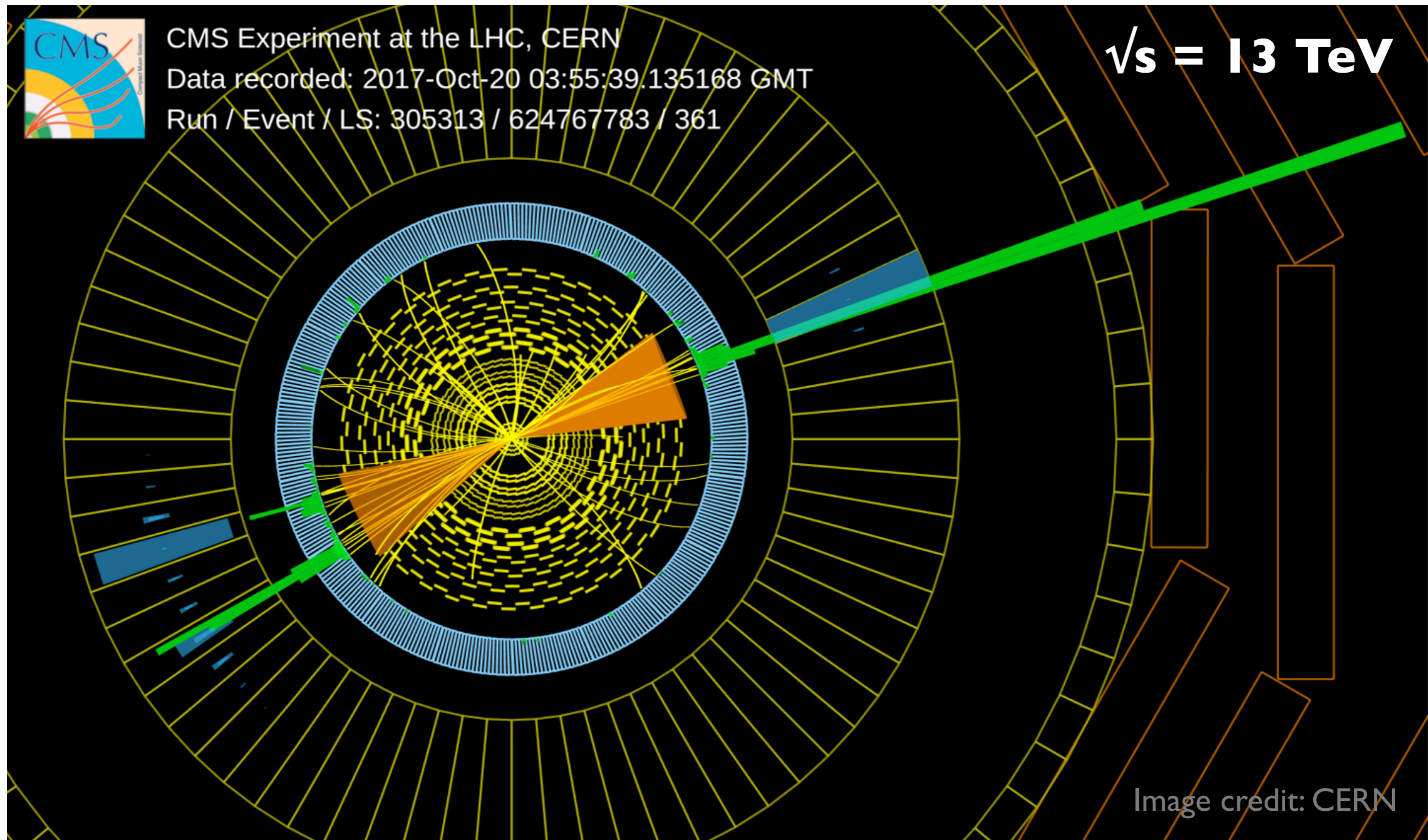
TASSO at PETRA, 1979



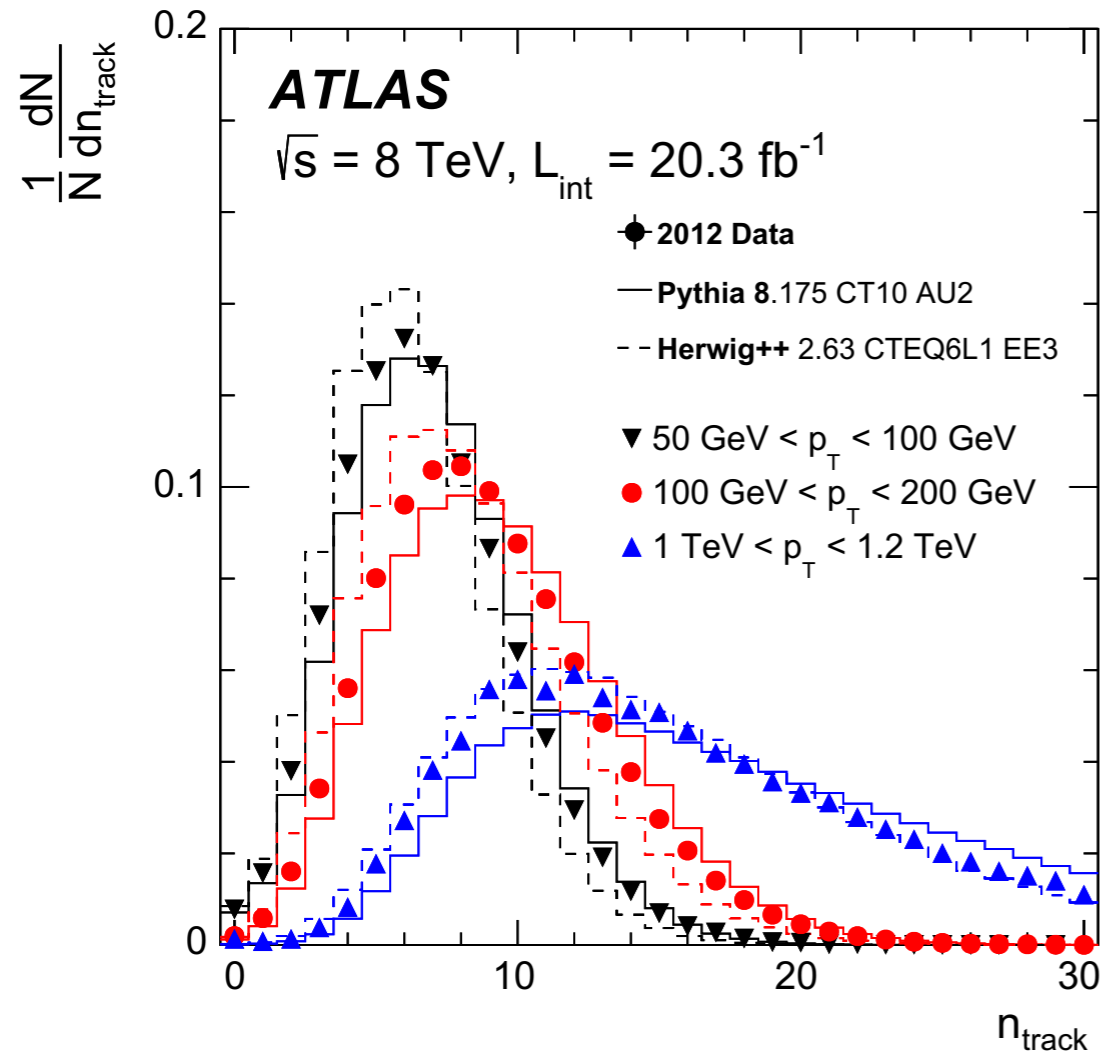
ALEPH at LEP, 1992



CMS at LHC, 2017



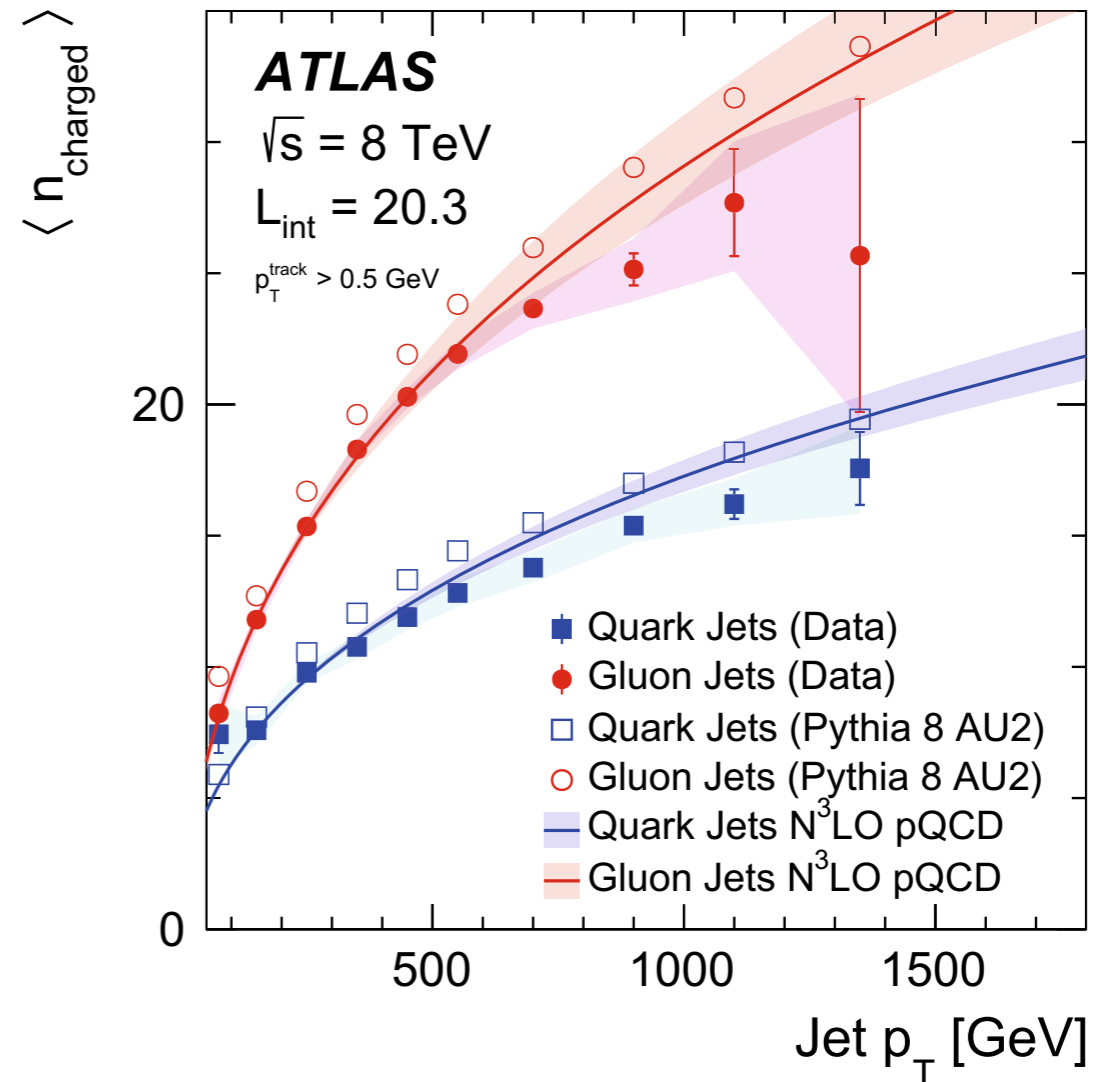
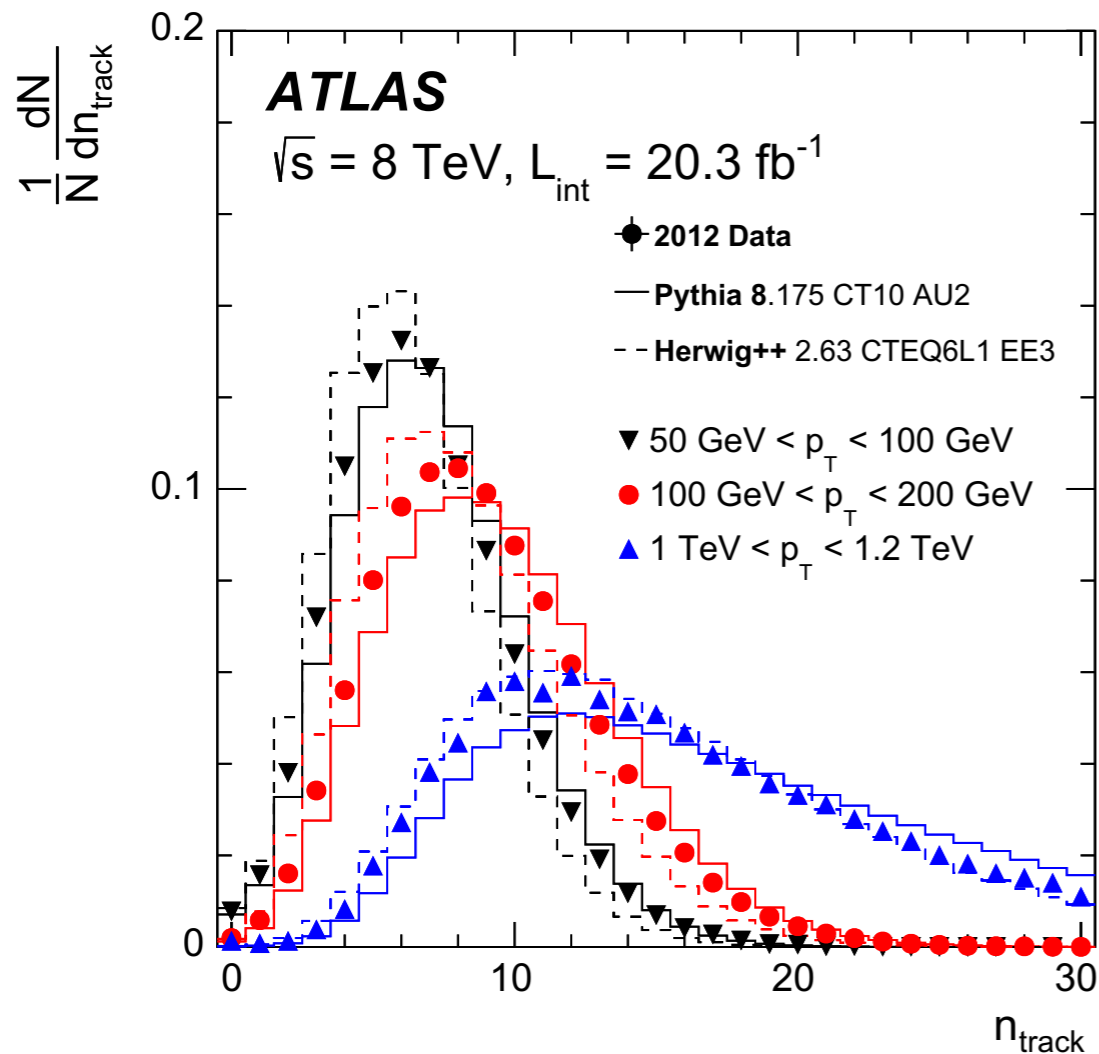
Charged particles in jets



- ▶ Approximate particle content in a jet: $\pi^+ : \pi^- : \pi^0 = 1 : 1 : 1$ (+10% Kaons, Protons...)

[ATLAS, EPJC 76, 322 (2016)]

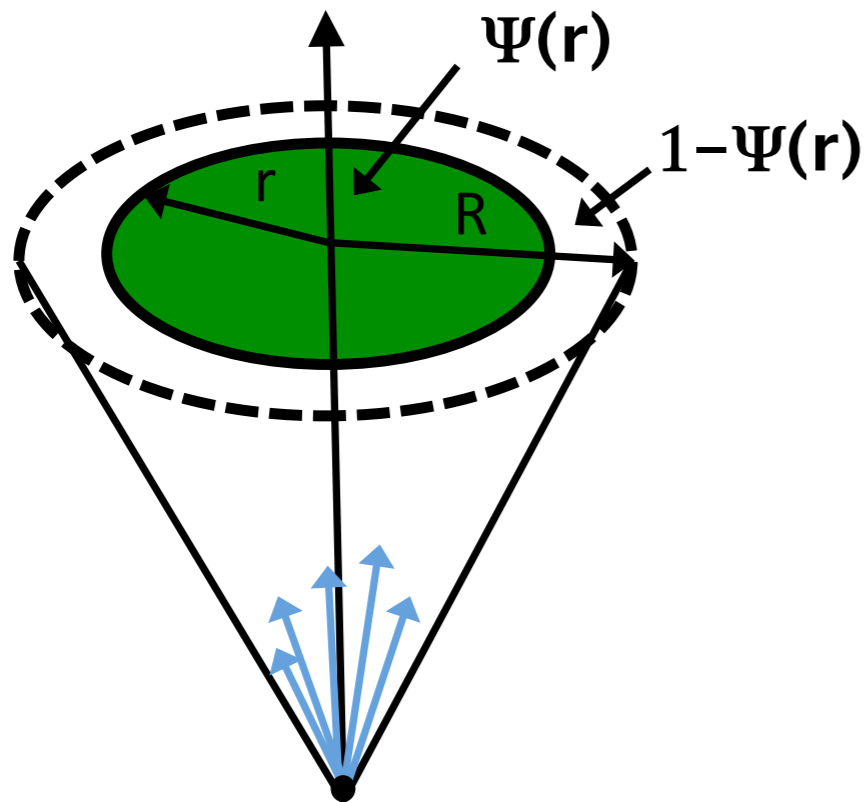
Charged particles in jets



- ▶ Approximate particle content in a jet: $\pi^+ : \pi^- : \pi^0 = 1 : 1 : 1$ (+10% Kaons, Protons...)
- ▶ Gluon jets have higher multiplicity (colour factor C_A compared to C_F)

[ATLAS, EPJC 76, 322 (2016)]

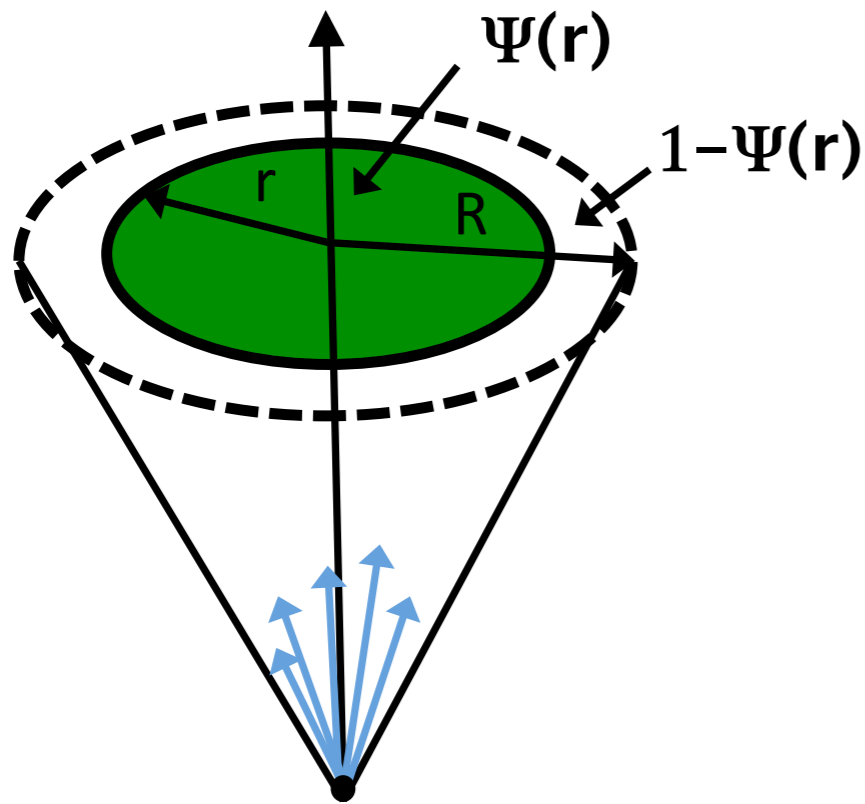
Jet substructure



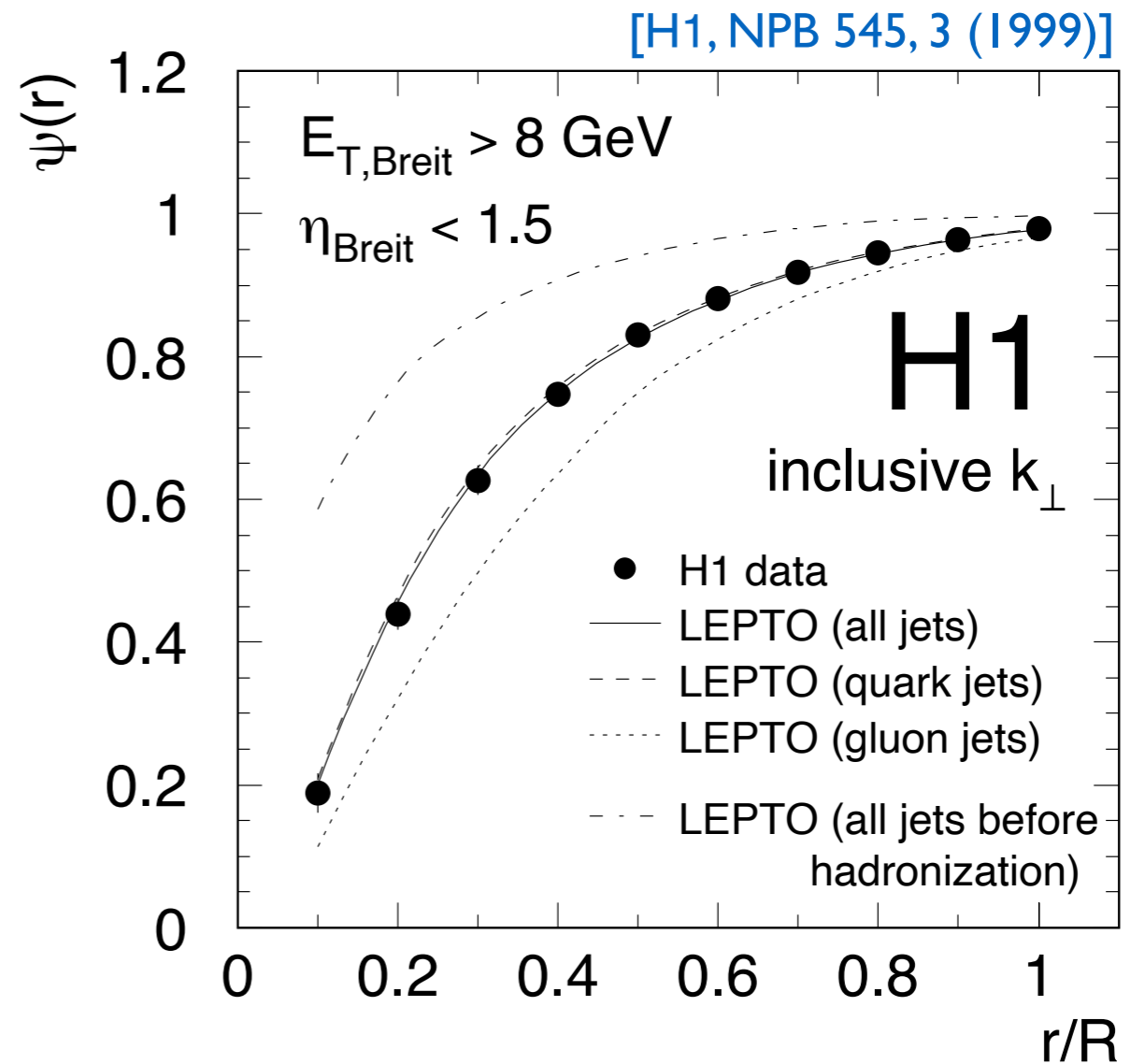
$$\text{Jet shape } \Psi(r) = \frac{\sum_{r_i < r} p_{T,i}}{\sum_{r_i < R} p_{T,i}}$$

[CMS, JHEP 06, 160 (2012)]

Jet substructure



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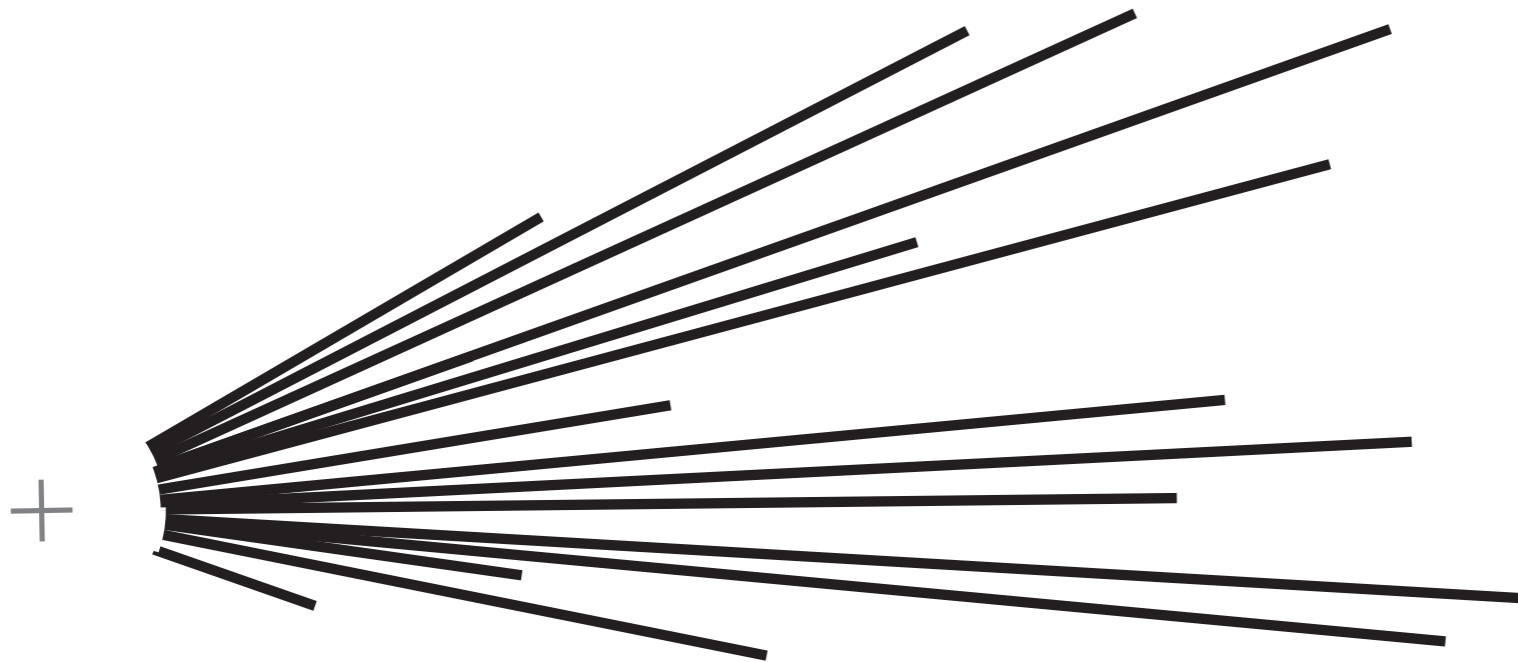


► Quark jets are narrower than gluon jets

[CMS, JHEP 06, 160 (2012)]

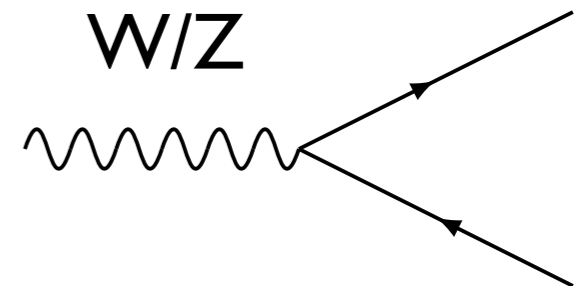
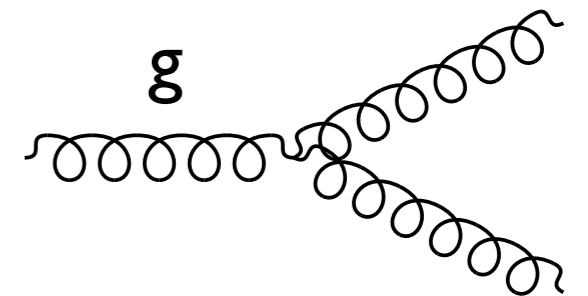
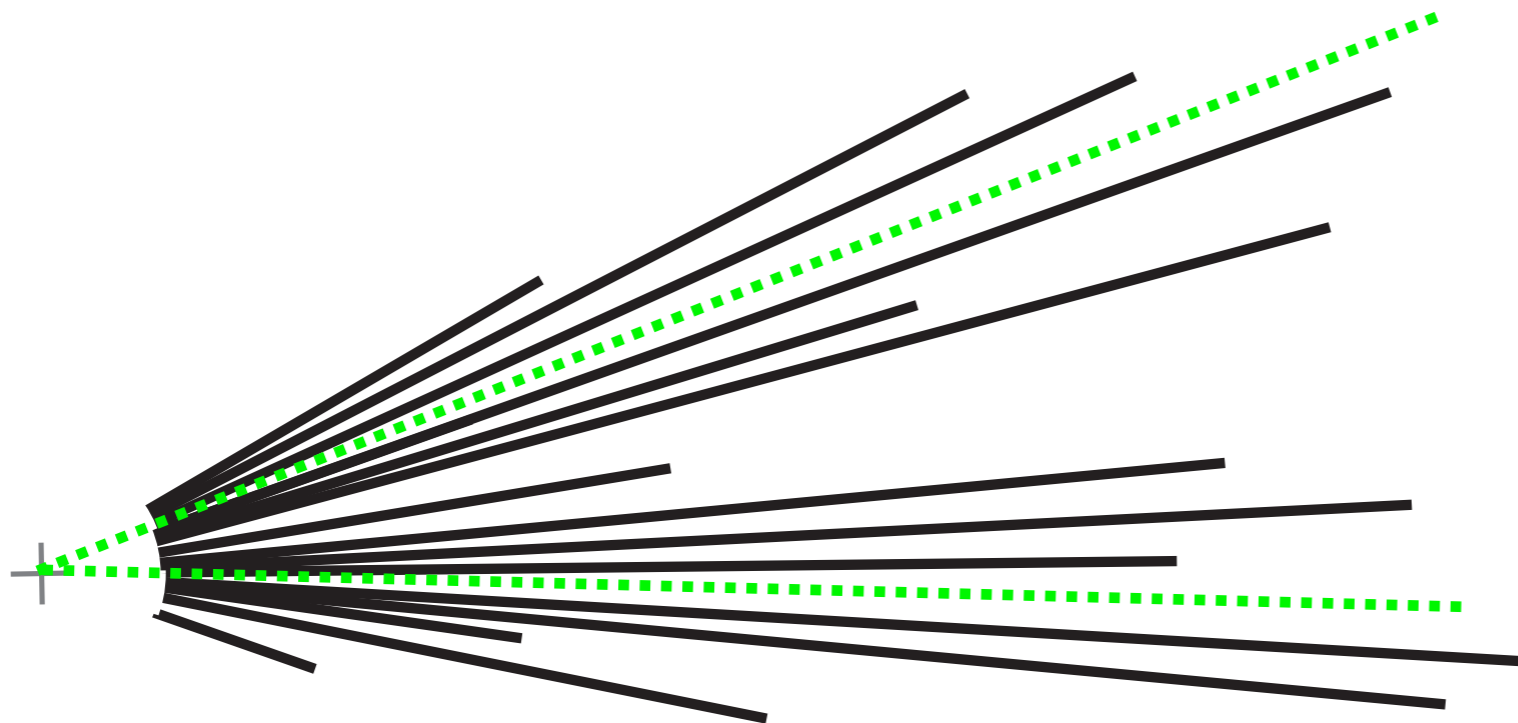
Jet substructure today

- ▶ Remove unwanted / soft radiation from jets
- ▶ Aid the jet reconstruction and calibration
- ▶ Distinguish quark/gluon jets
- ▶ Tagging of fully merged W, Z, H and top jets



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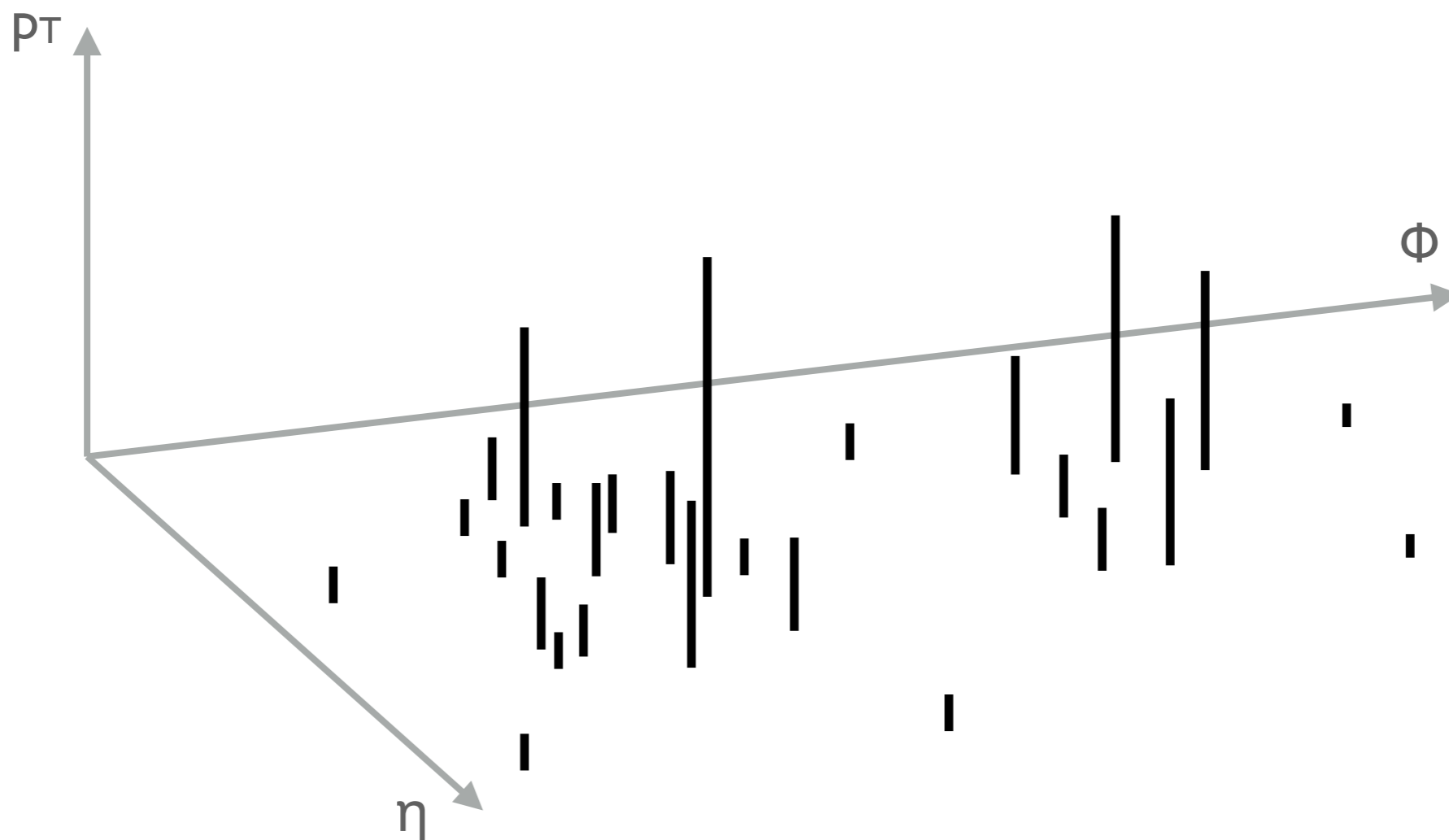


Jet algorithms and substructure

Jet clustering (anti- k_T)

$$d_{ij} = \min(p_{t,i}^{2k}, p_{t,j}^{2k}) \frac{\Delta R^2}{R^2} \quad \text{with } k = -1, \quad \Delta R^2 = (y_i - y_j)^2 + (\phi_i - \phi_j)^2$$

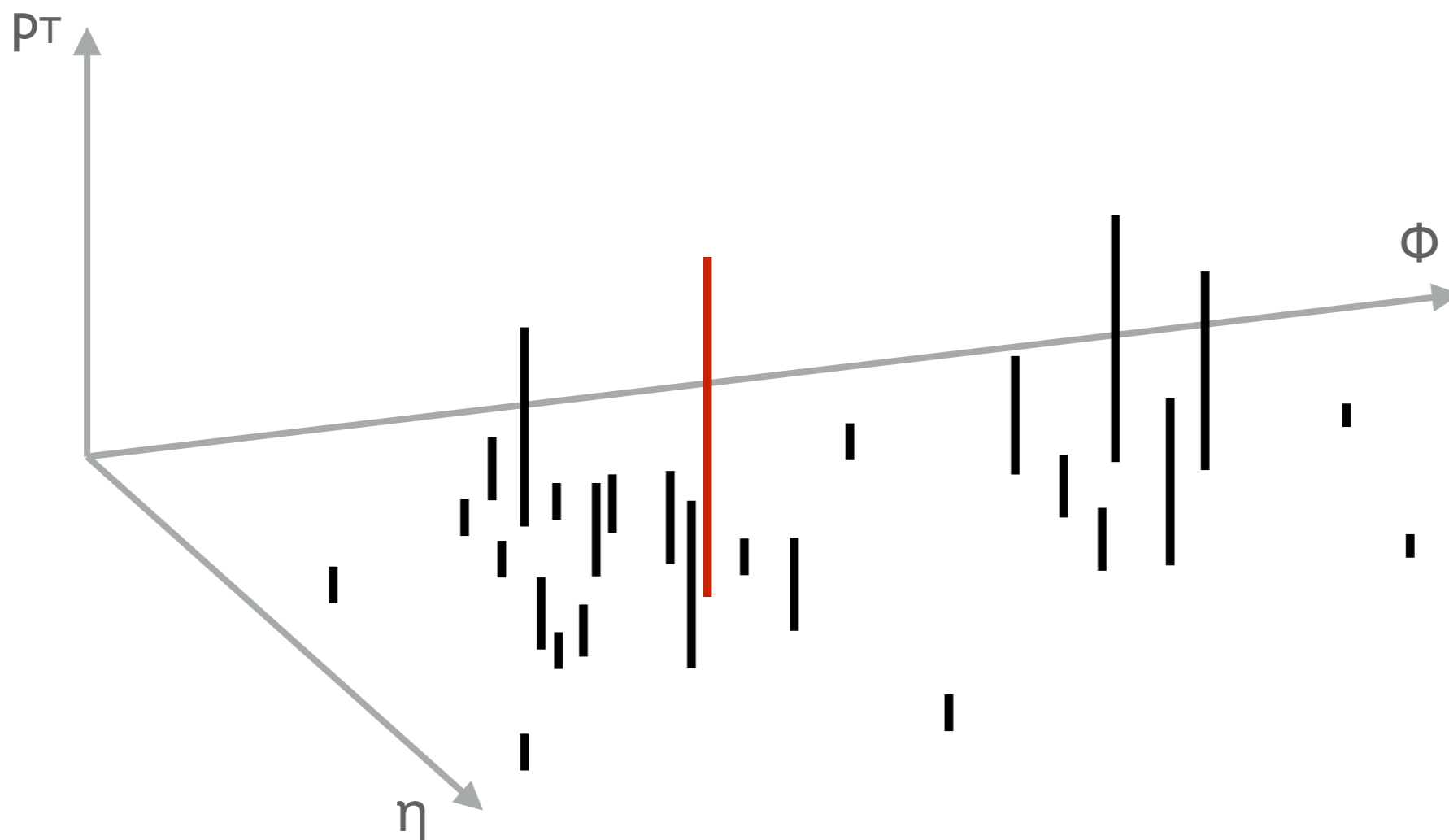
$$d_{iB} = p_{t,i}^{2k}$$



Jet clustering (anti- k_T)

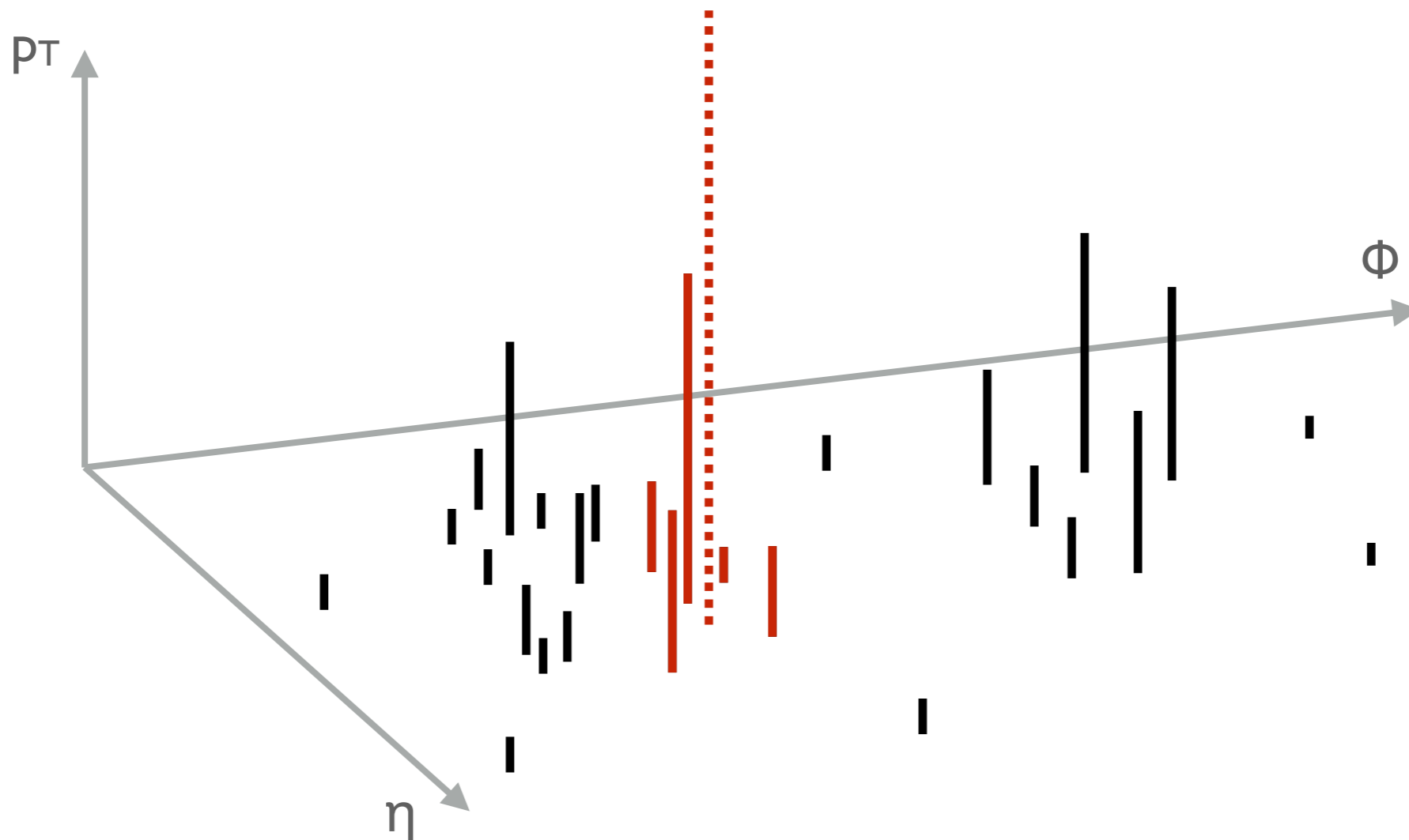
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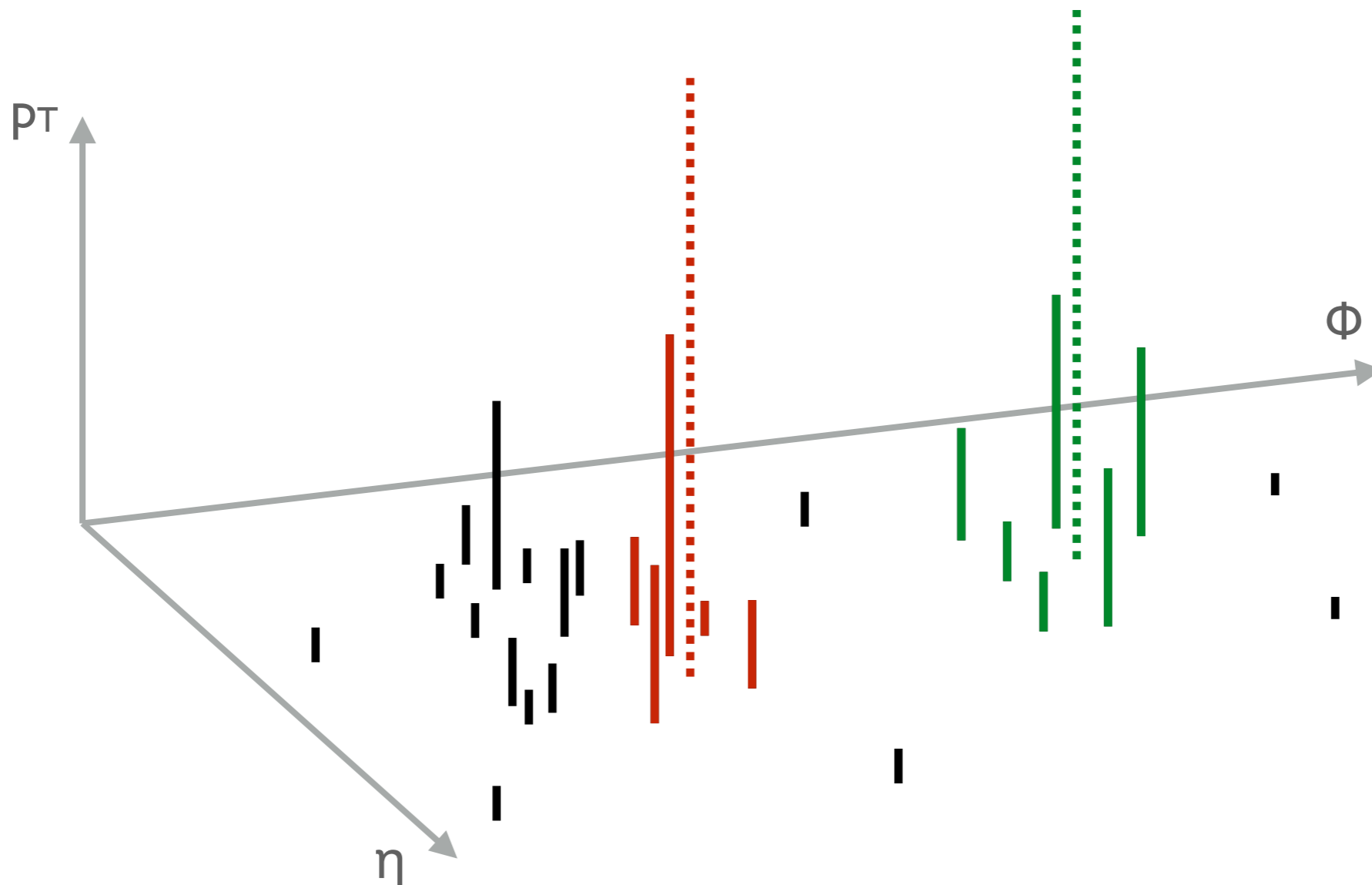
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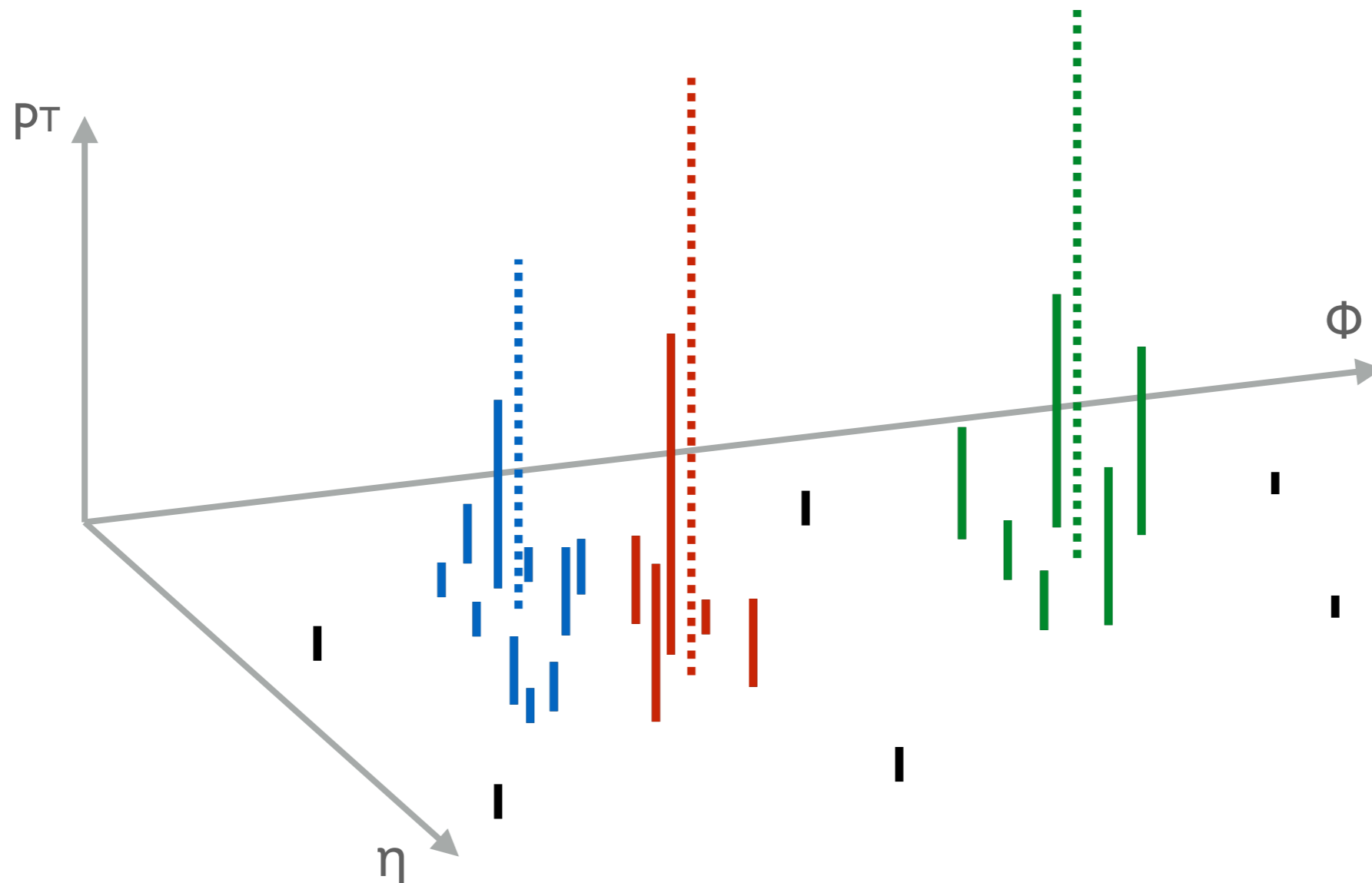
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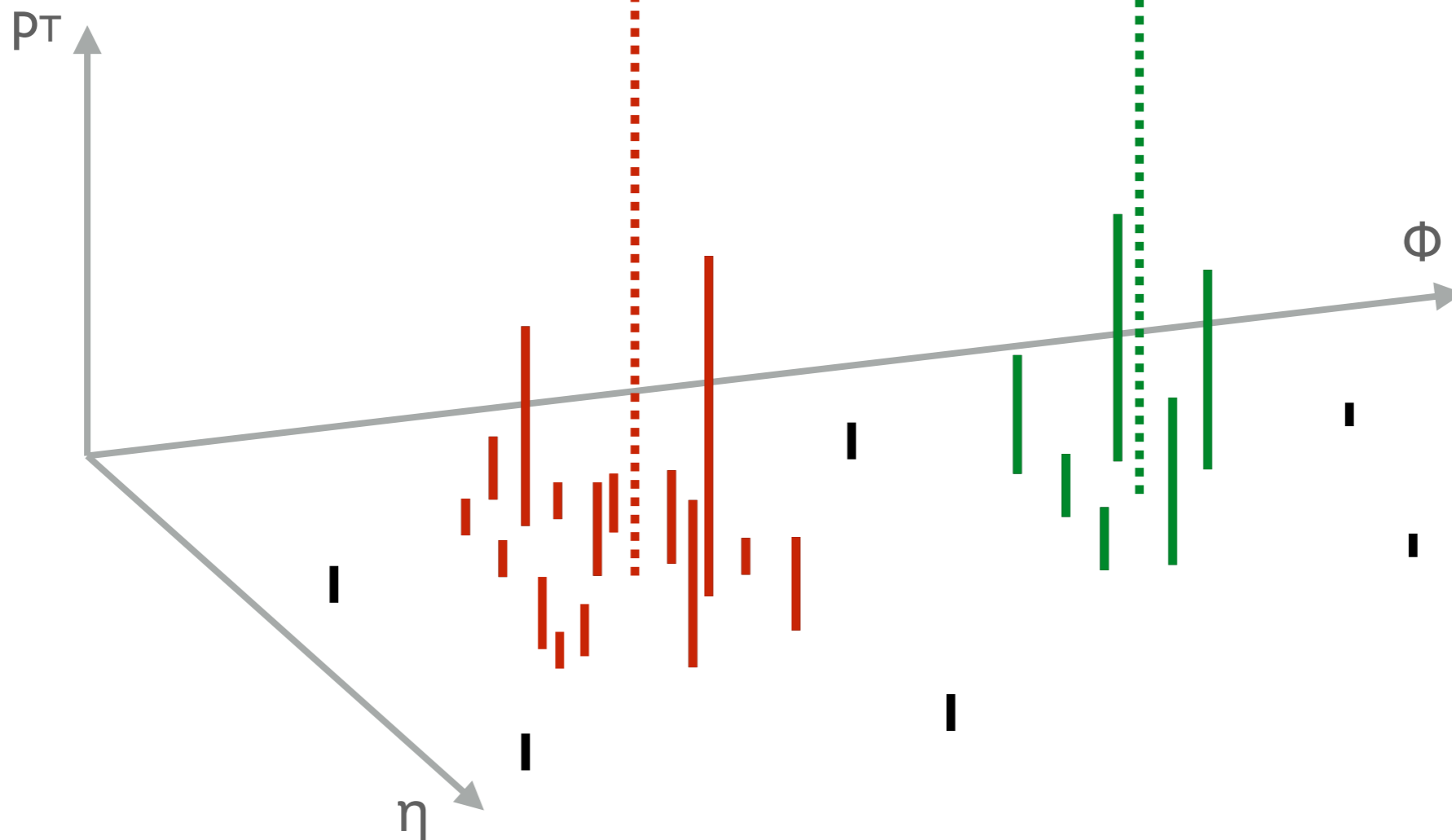
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Jet clustering (anti- k_T)

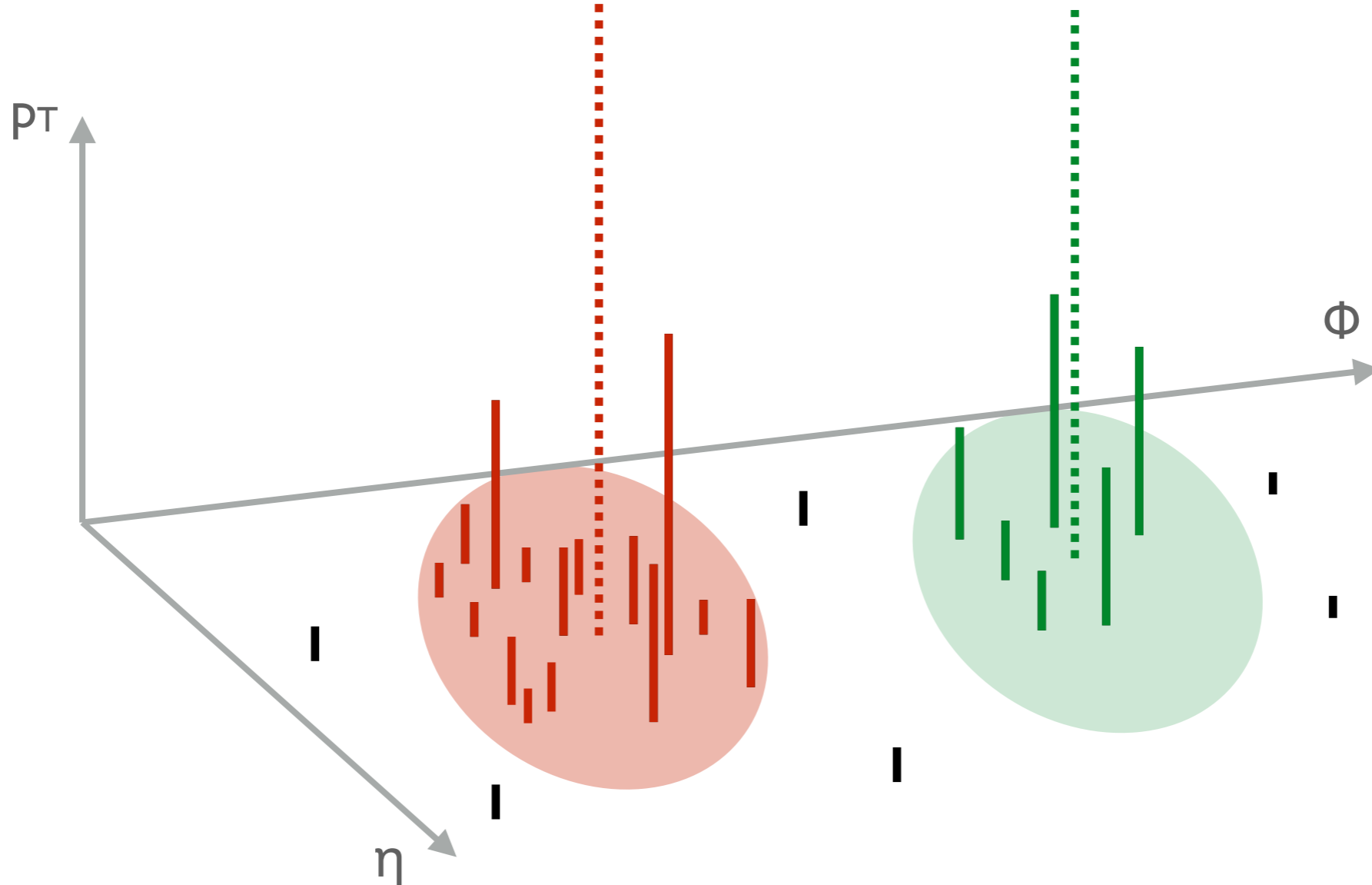
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Jet clustering (C/A)

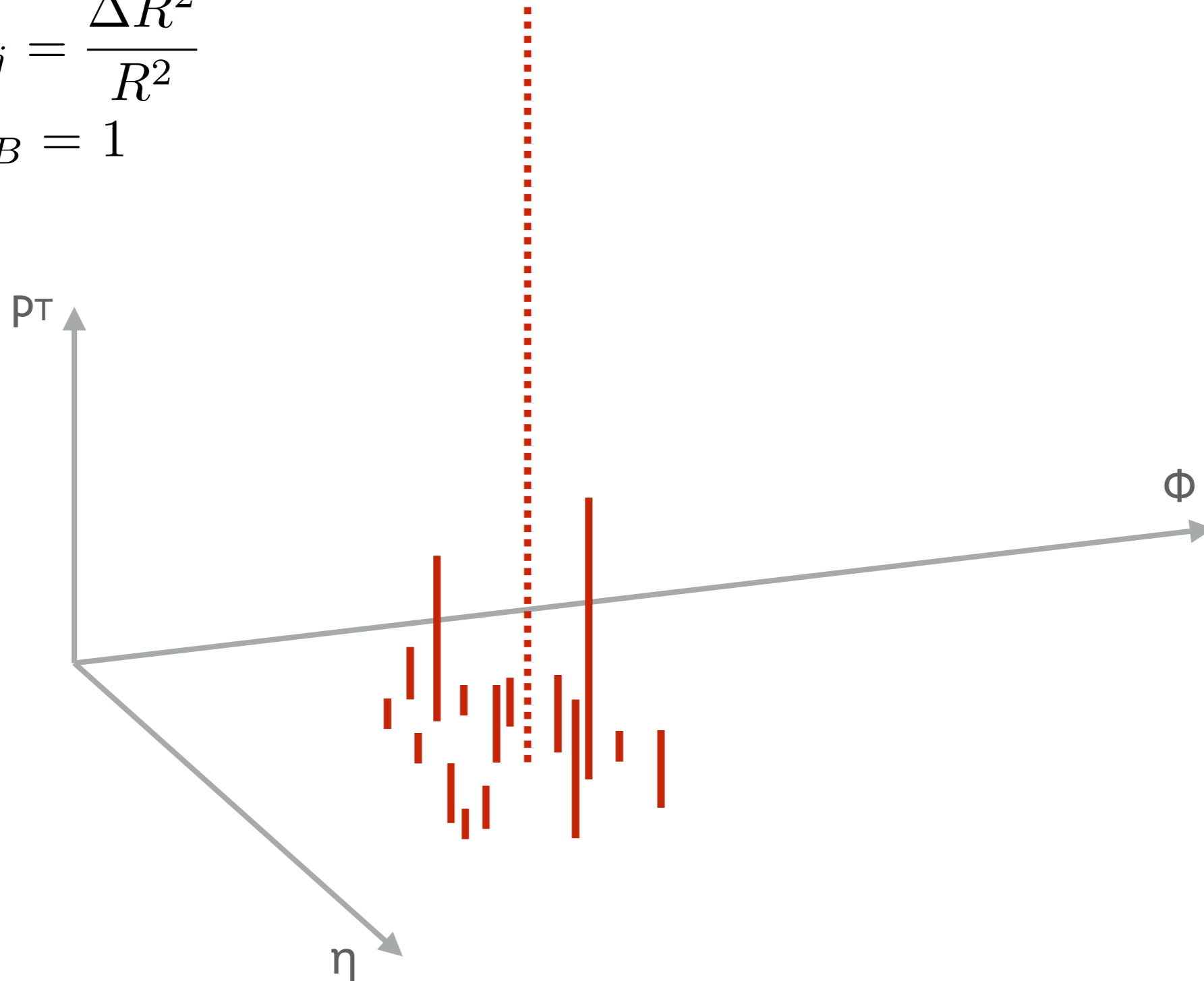
$$d_{ij} = \frac{\Delta R^2}{R^2}$$

$$d_{iB} = 1$$

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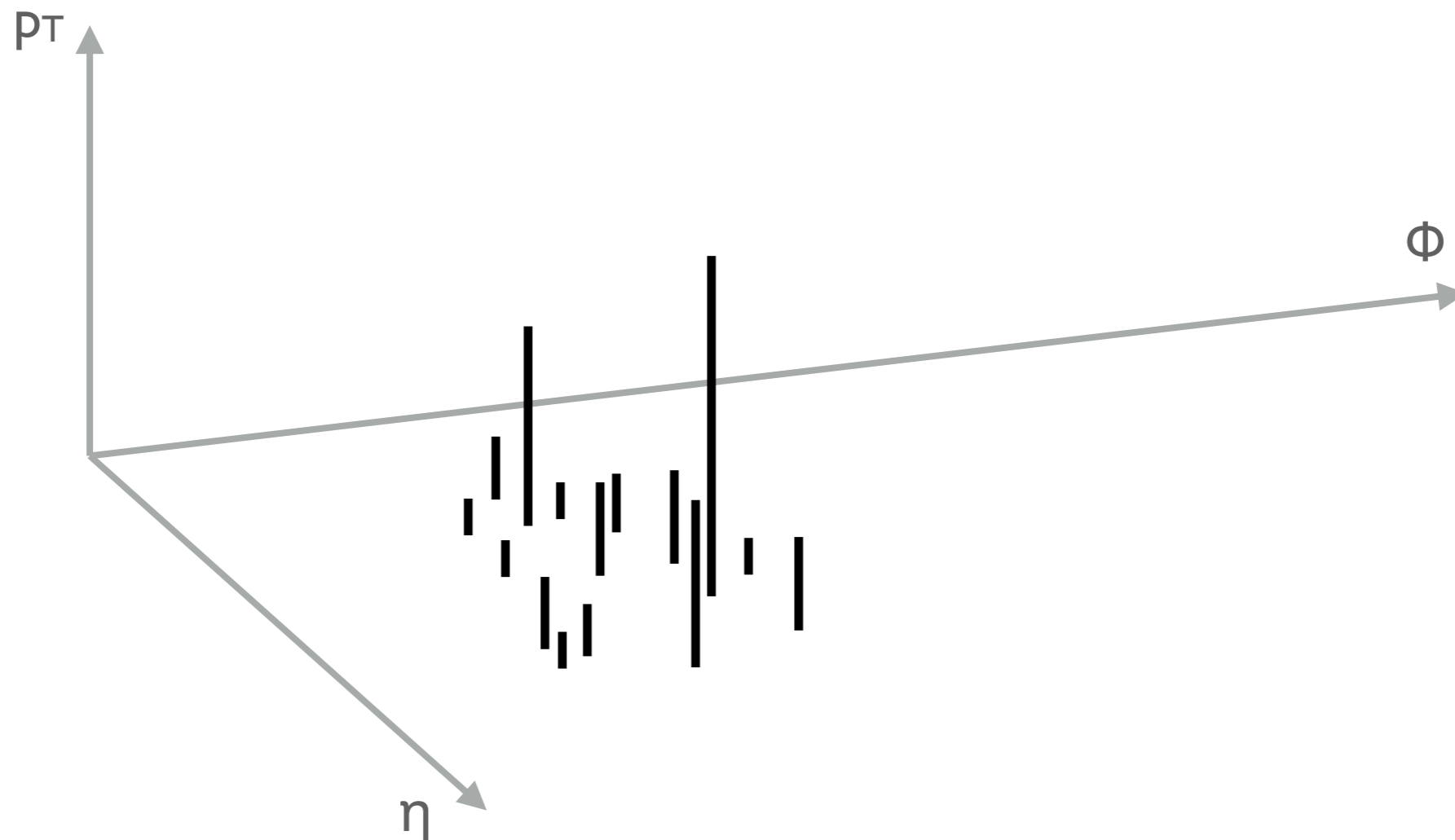
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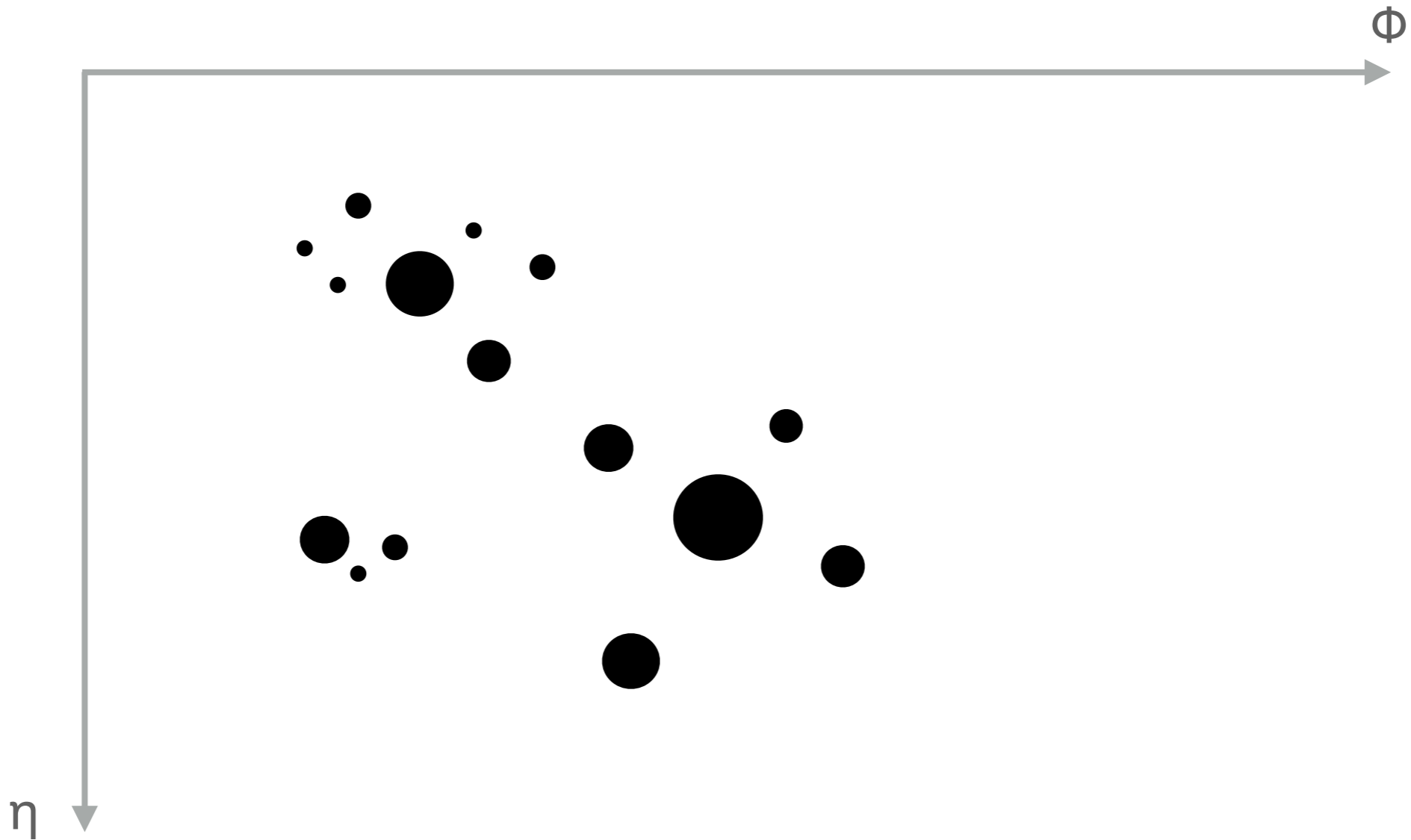
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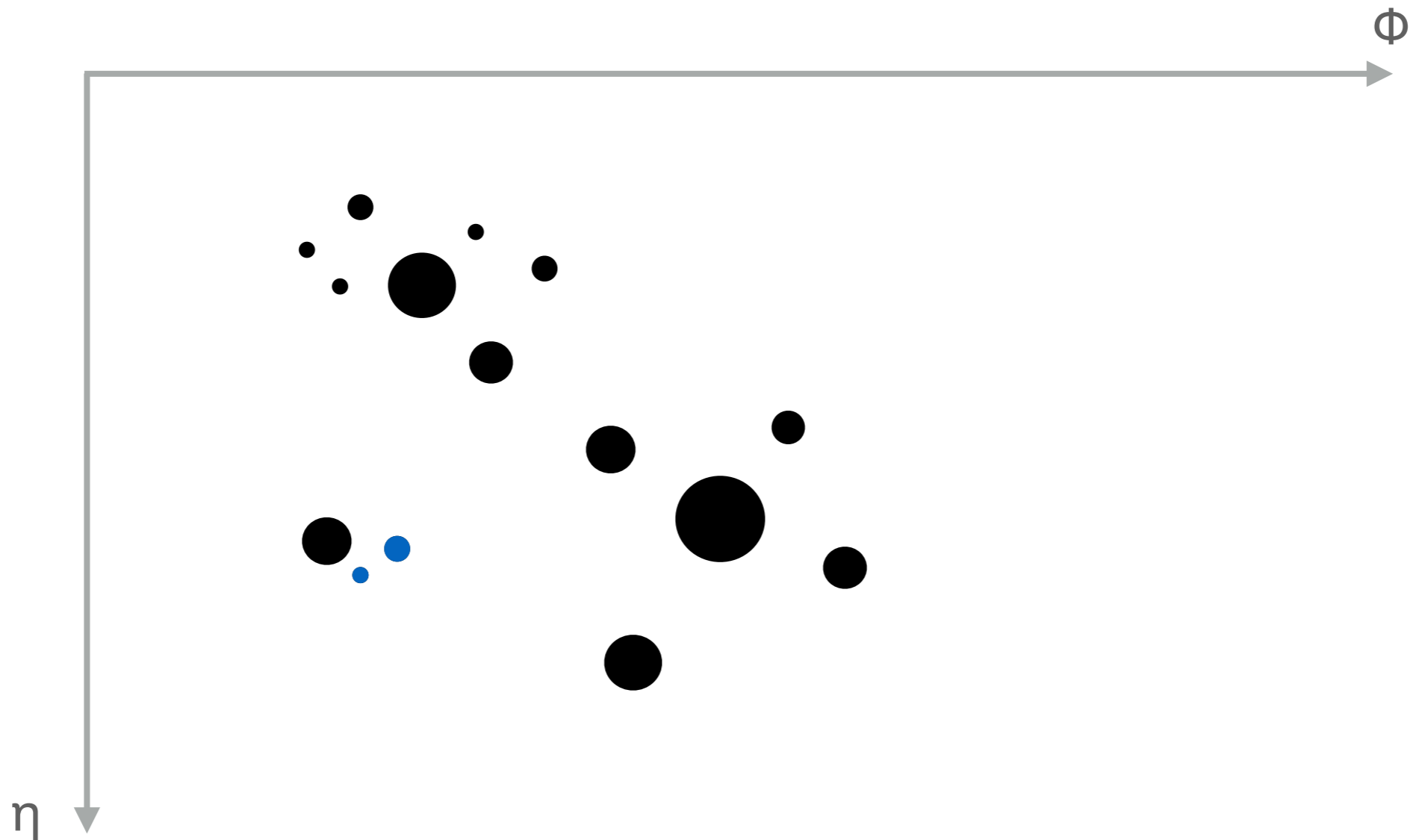
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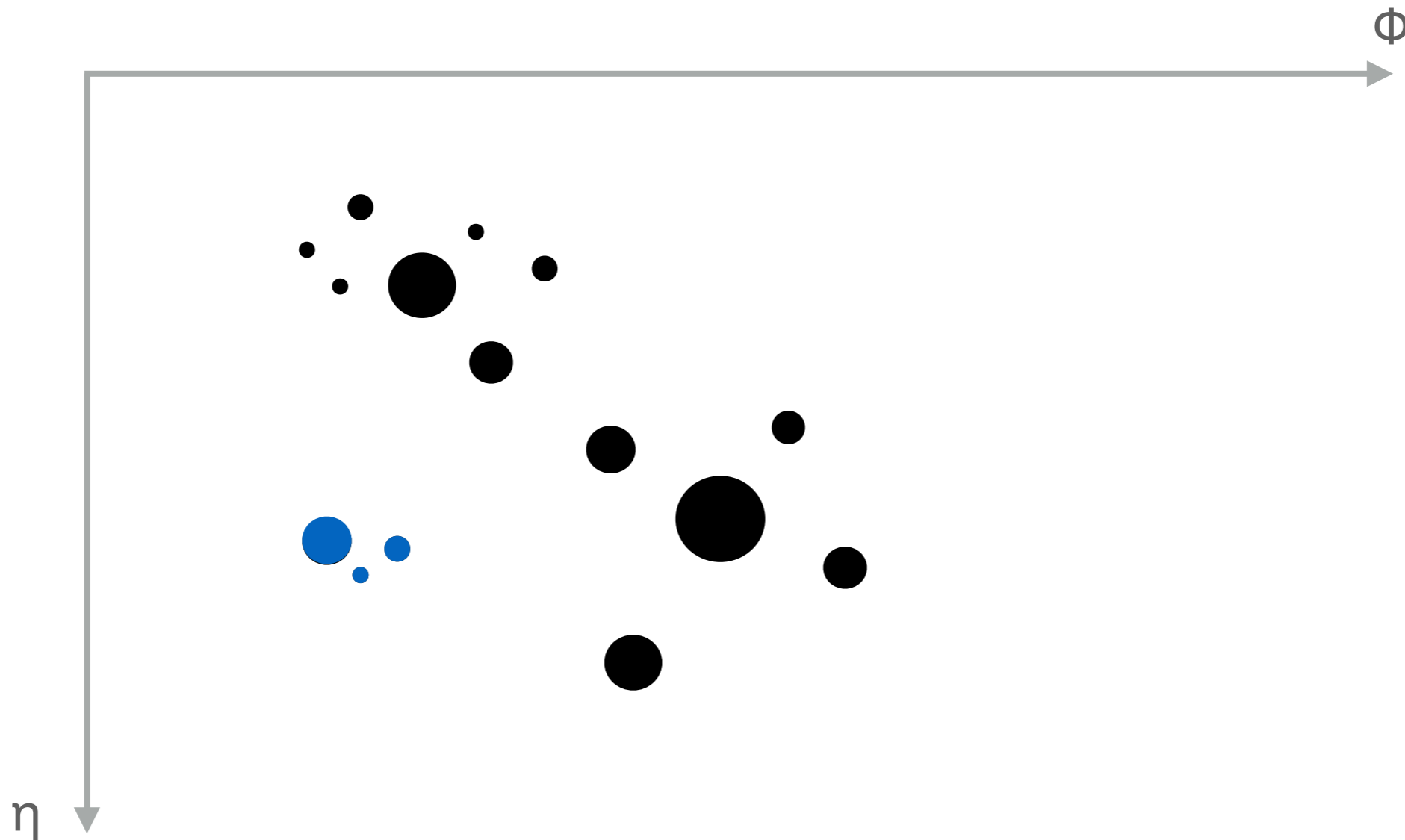
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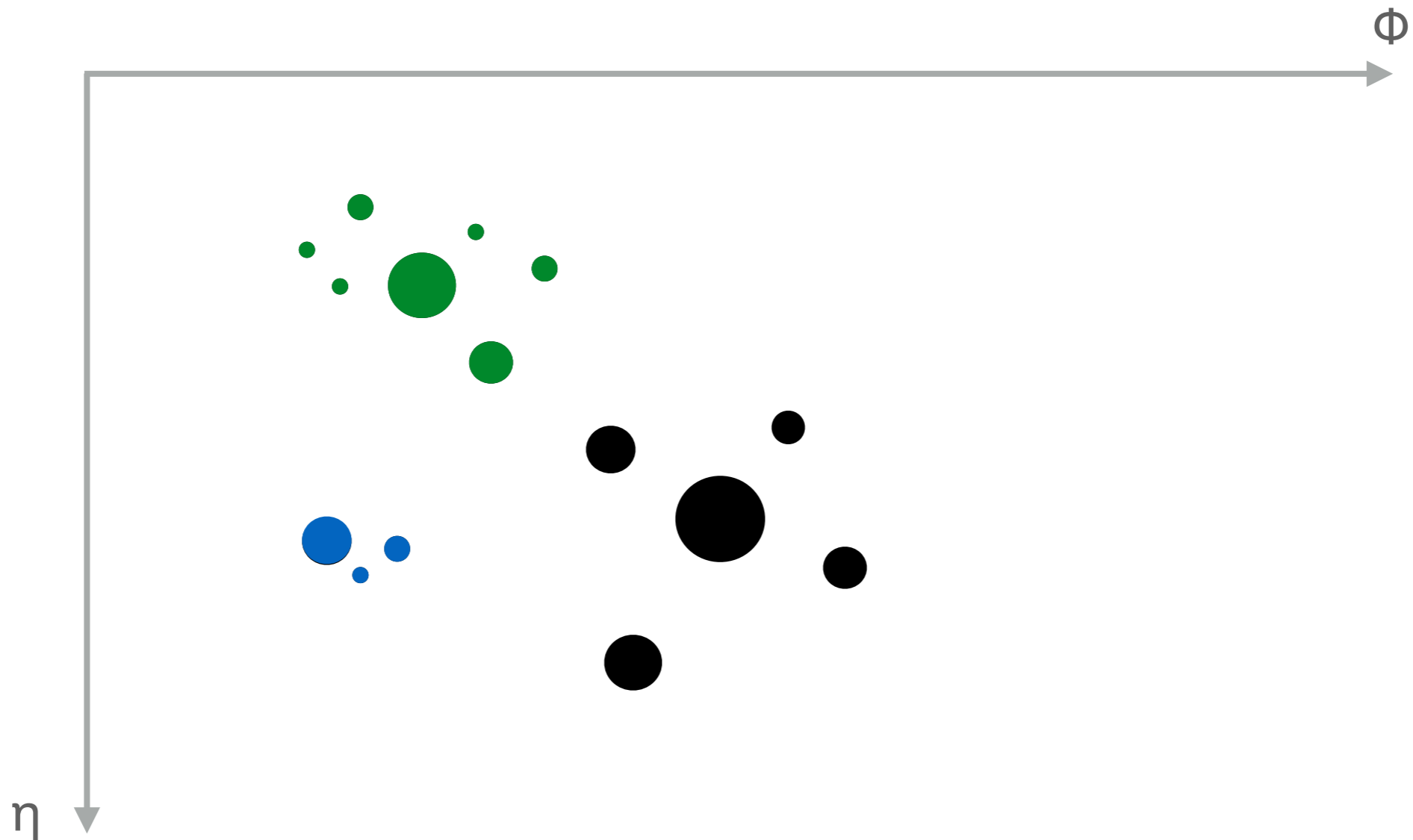
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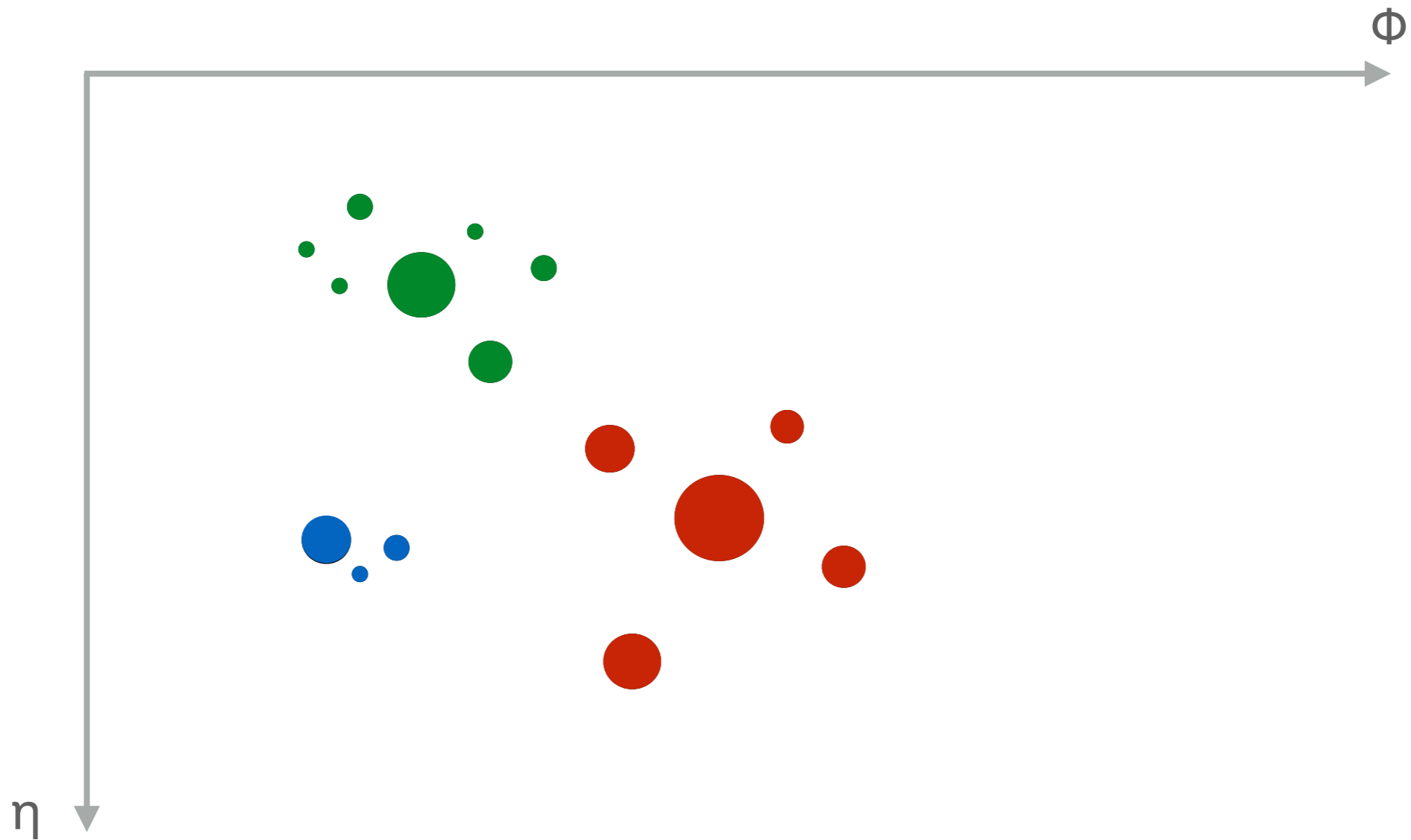
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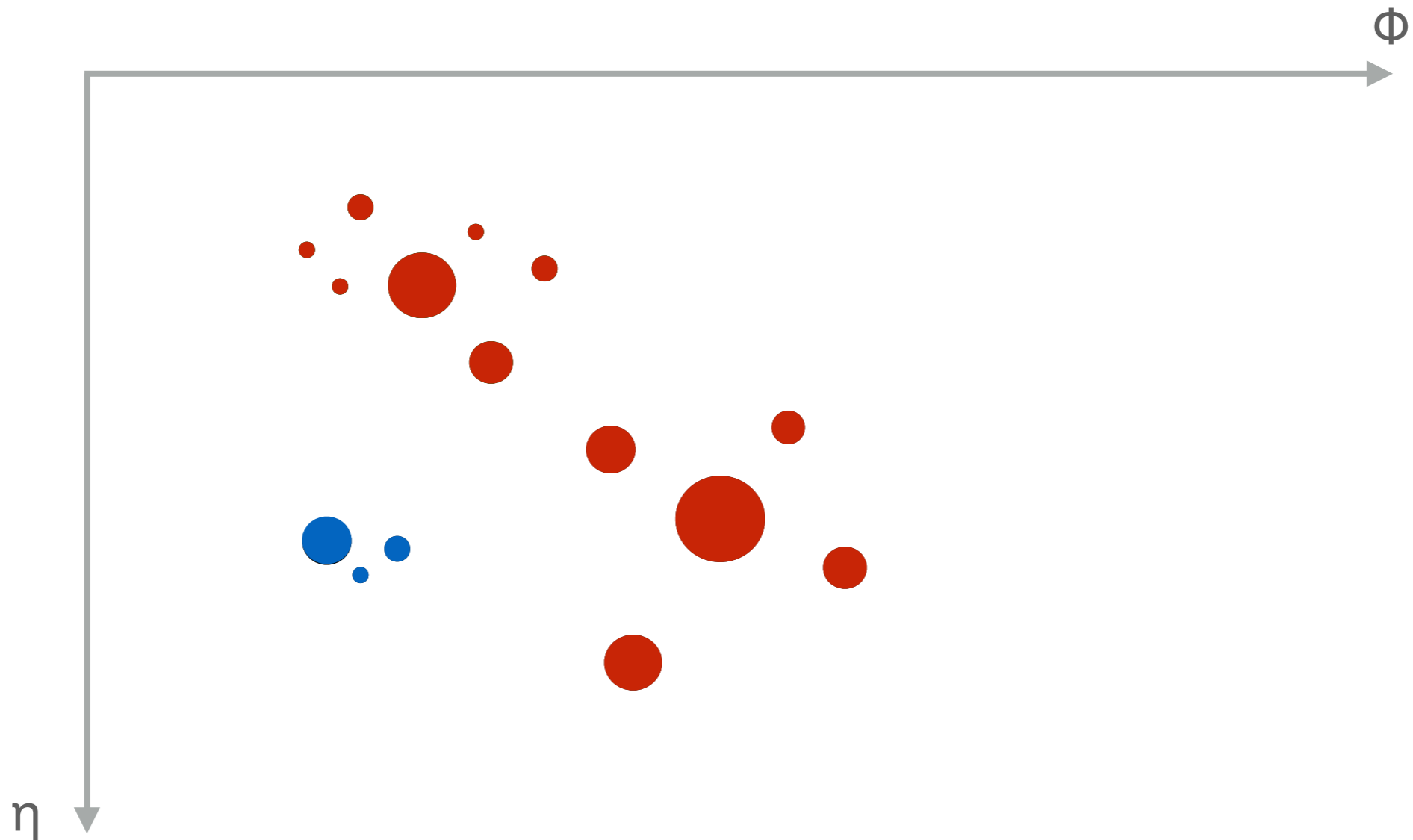
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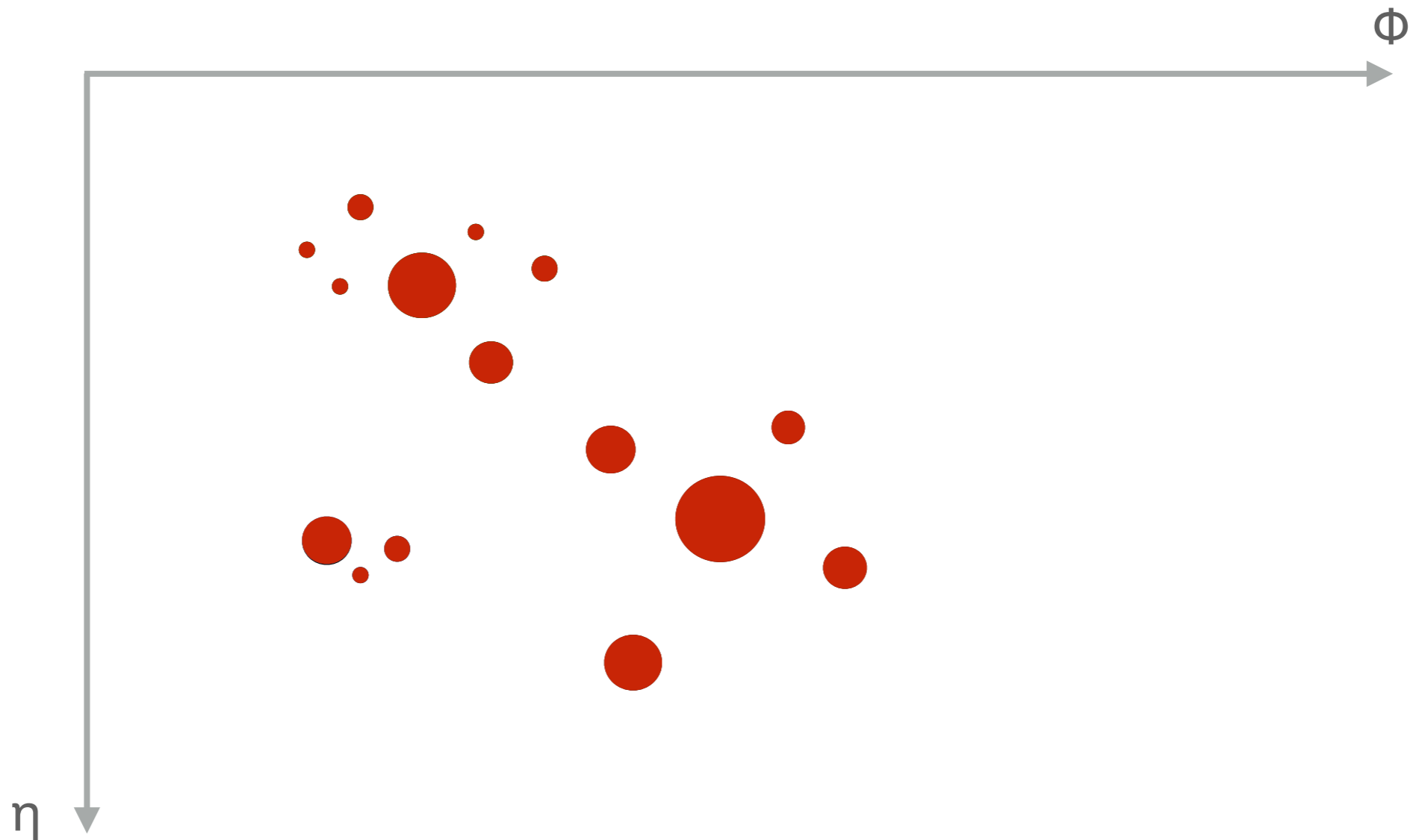
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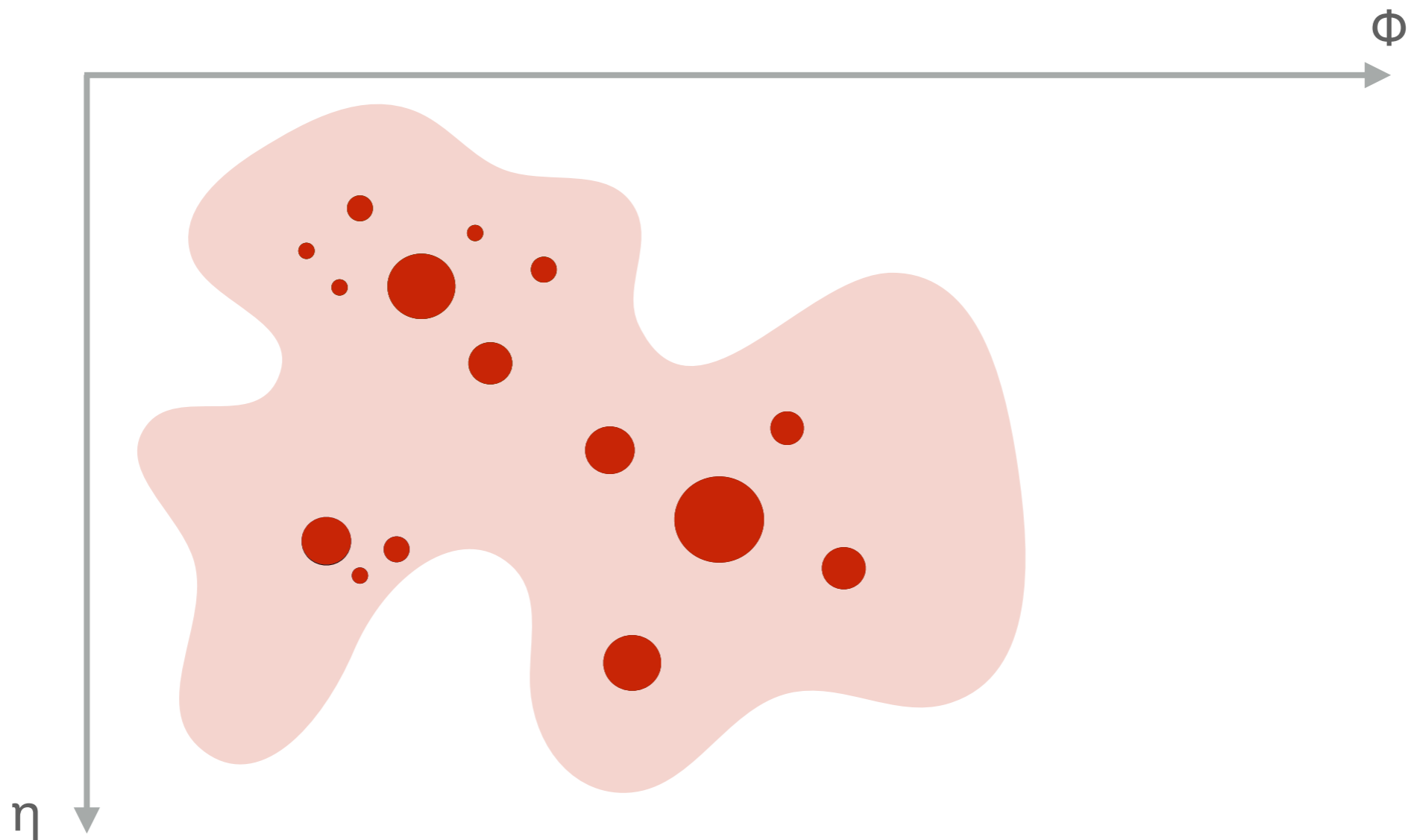
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Jet grooming (soft drop)

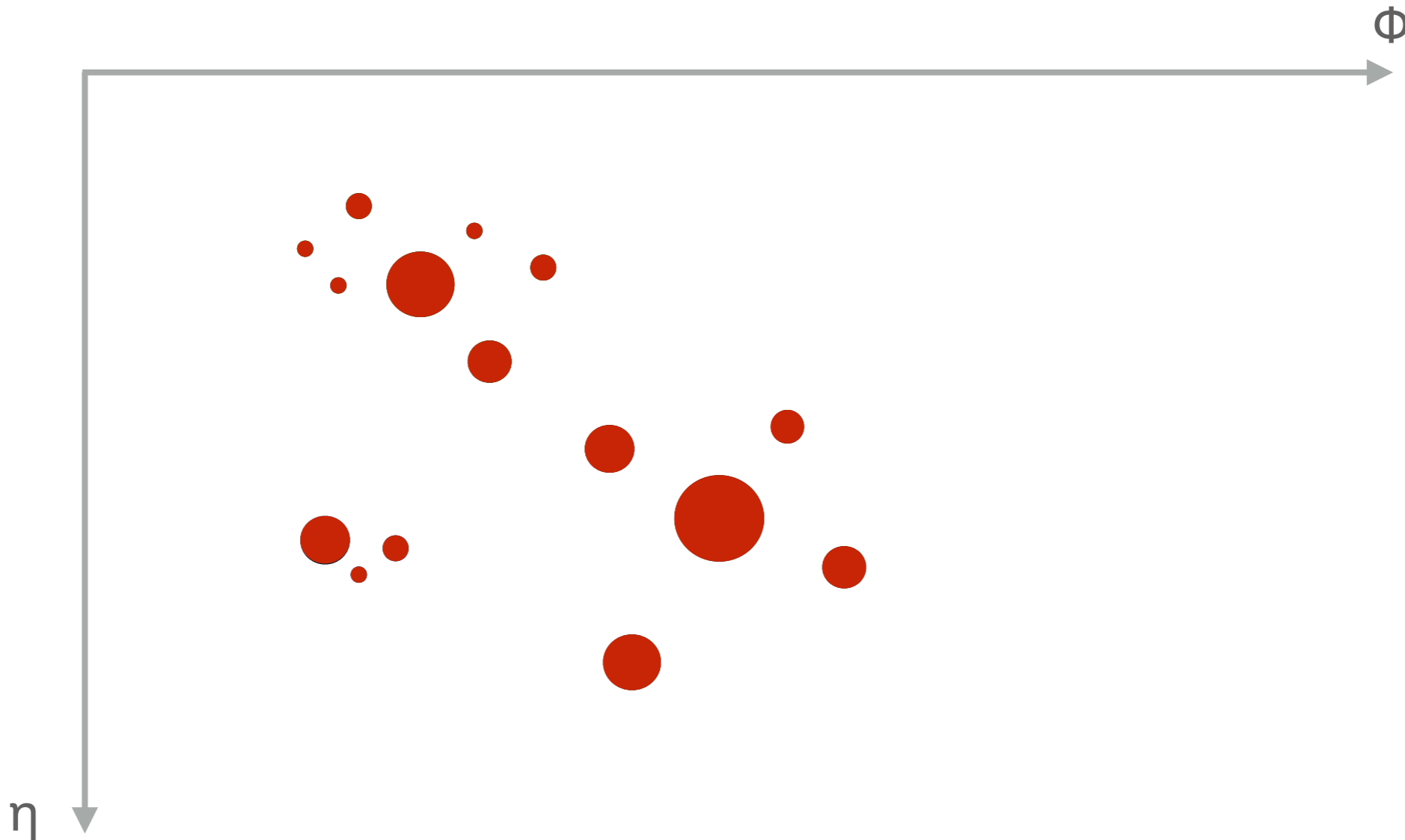
Invert clustering history with a veto condition:

$$\min(p_{T,i}, p_{T,j}) > z_{\text{cut}} (p_{T,i} + p_{T,j}) \left(\frac{\Delta R_{ij}}{R} \right)^\beta$$

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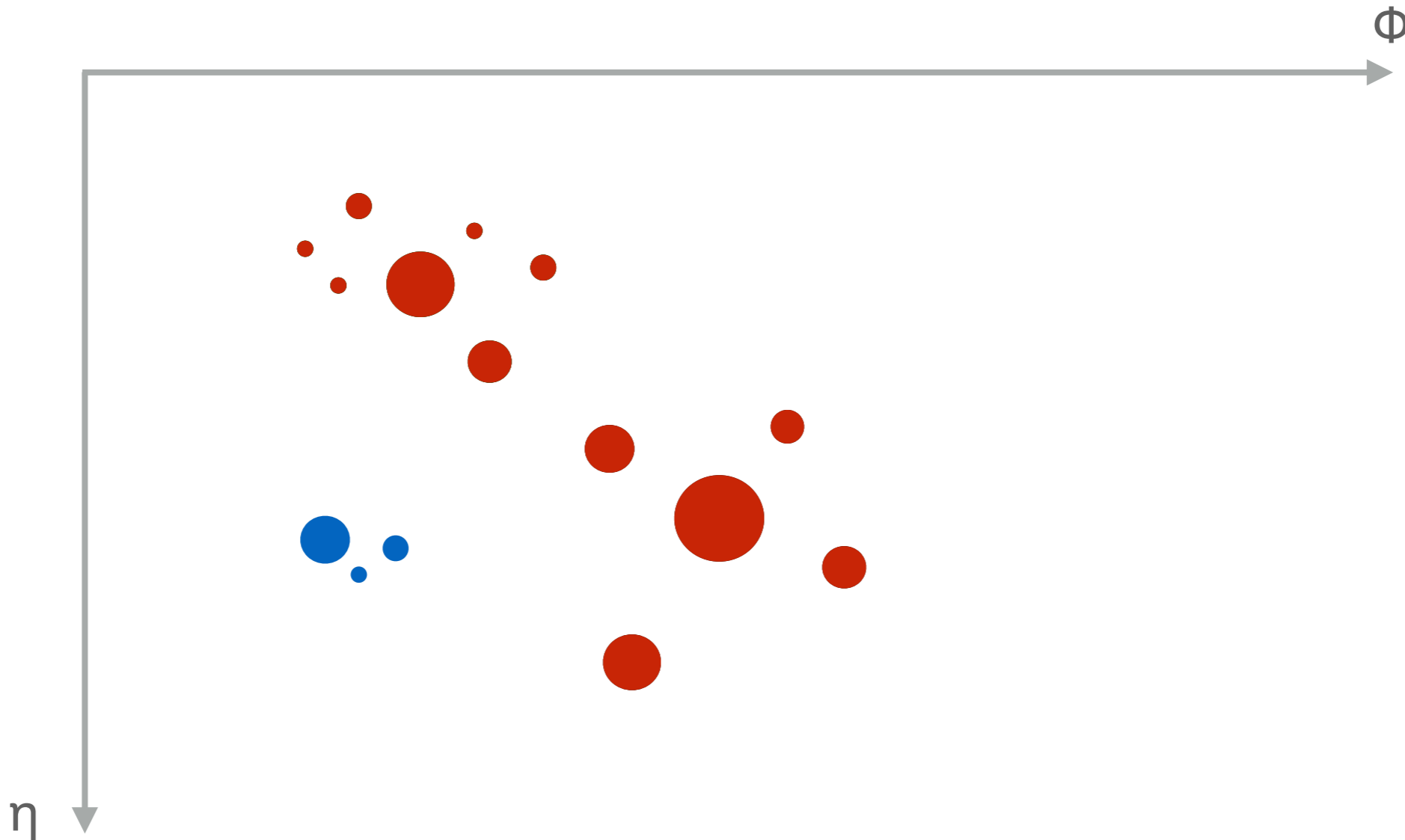
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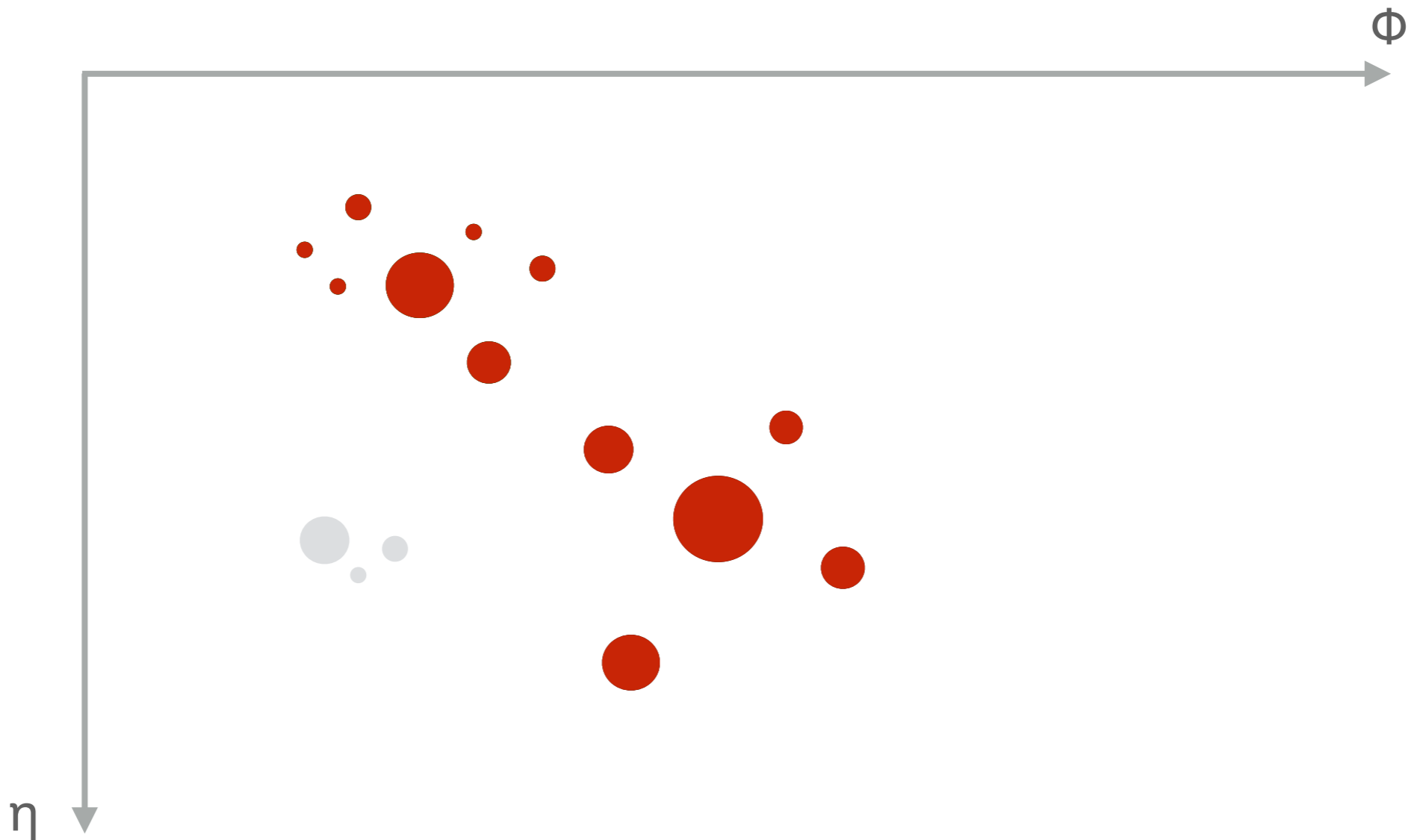
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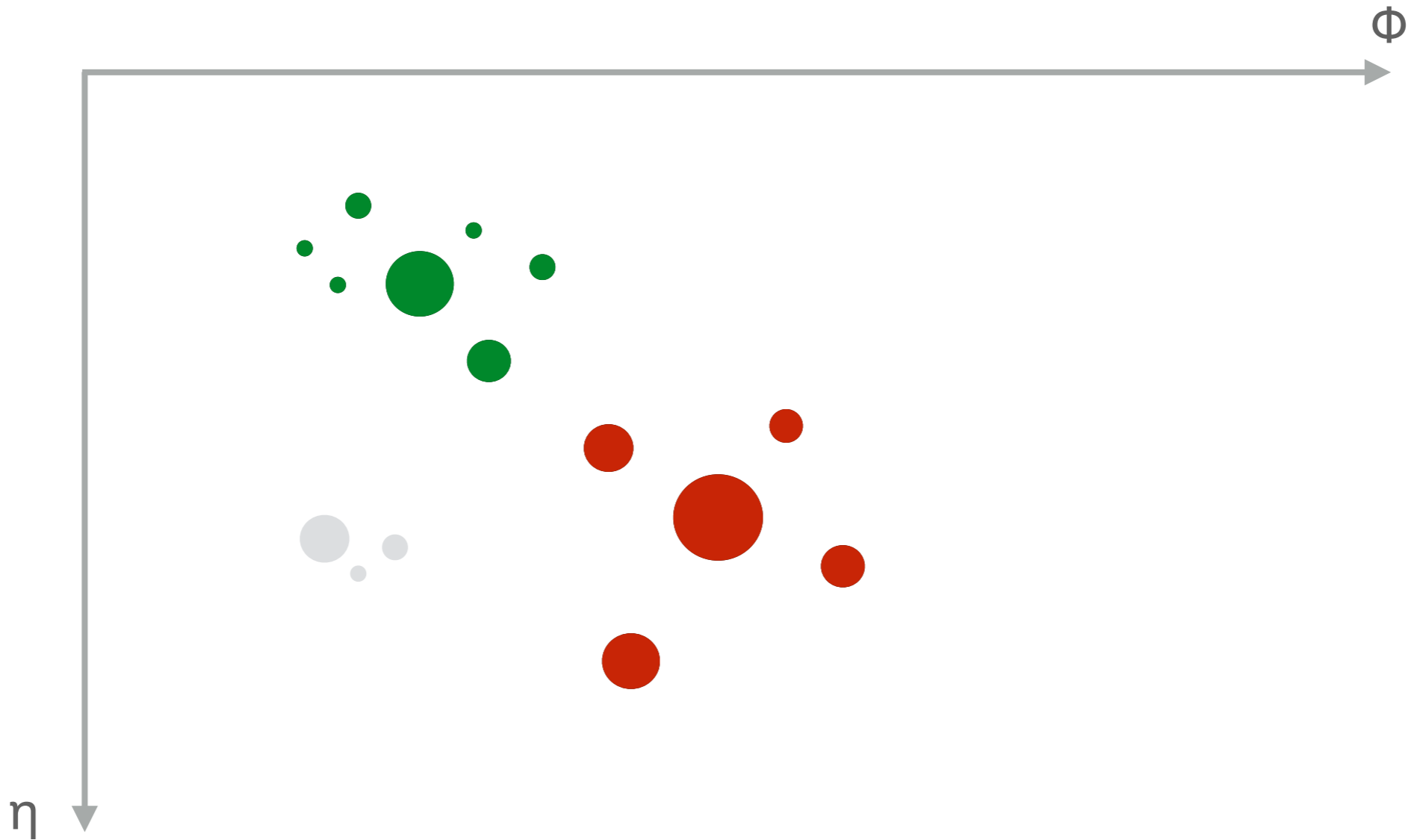
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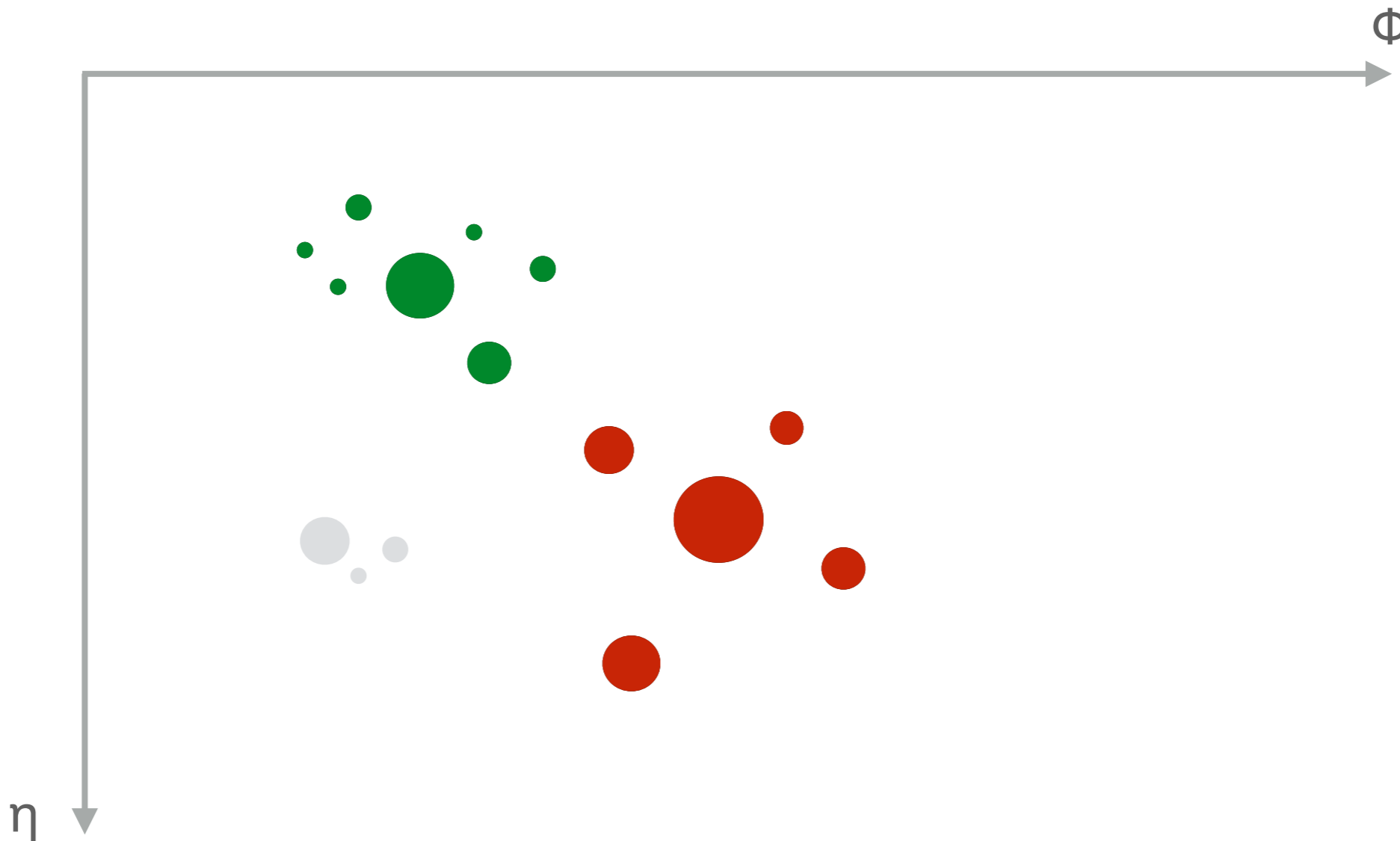
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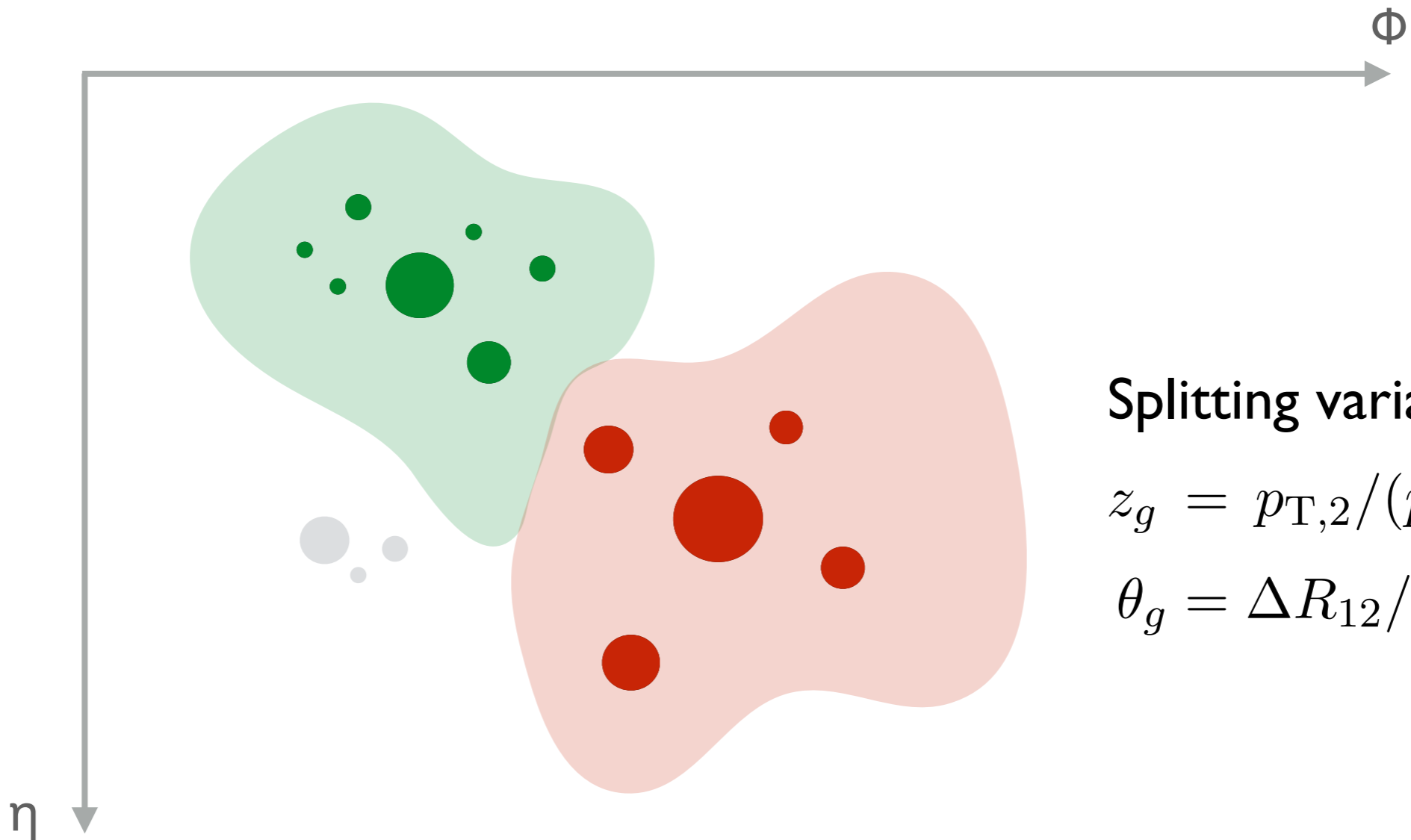
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Splitting variables:

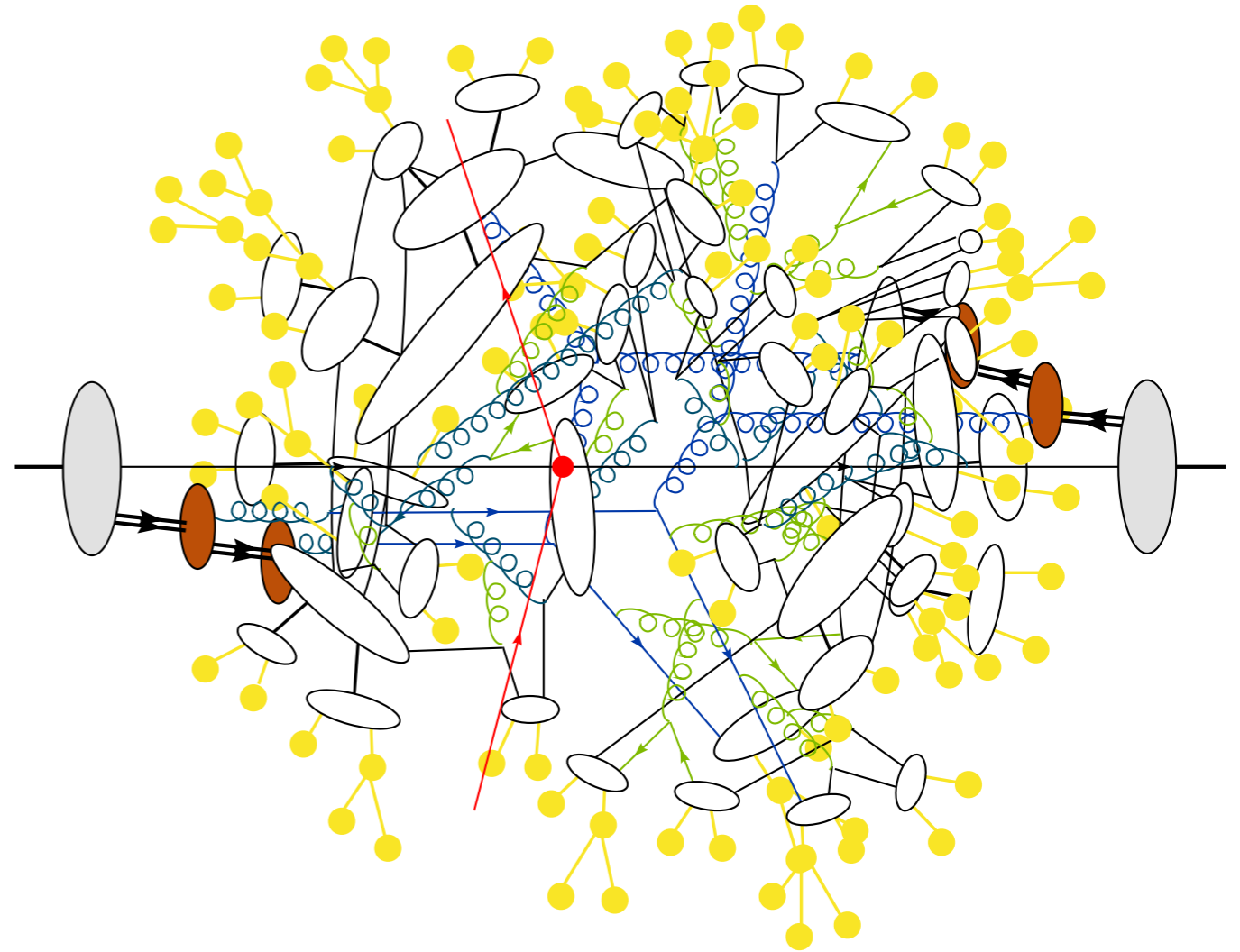
$$z_g = p_{T,2} / (p_{T,1} + p_{T,2})$$

$$\theta_g = \Delta R_{12} / R$$

Importance of grooming

Jet kinematics affected by

- ▶ Perturbative radiation
- ▶ Hadronisation
- ▶ Multiple pp interactions
- ▶ Pileup



[S. Gieseke, MCNet 2010]

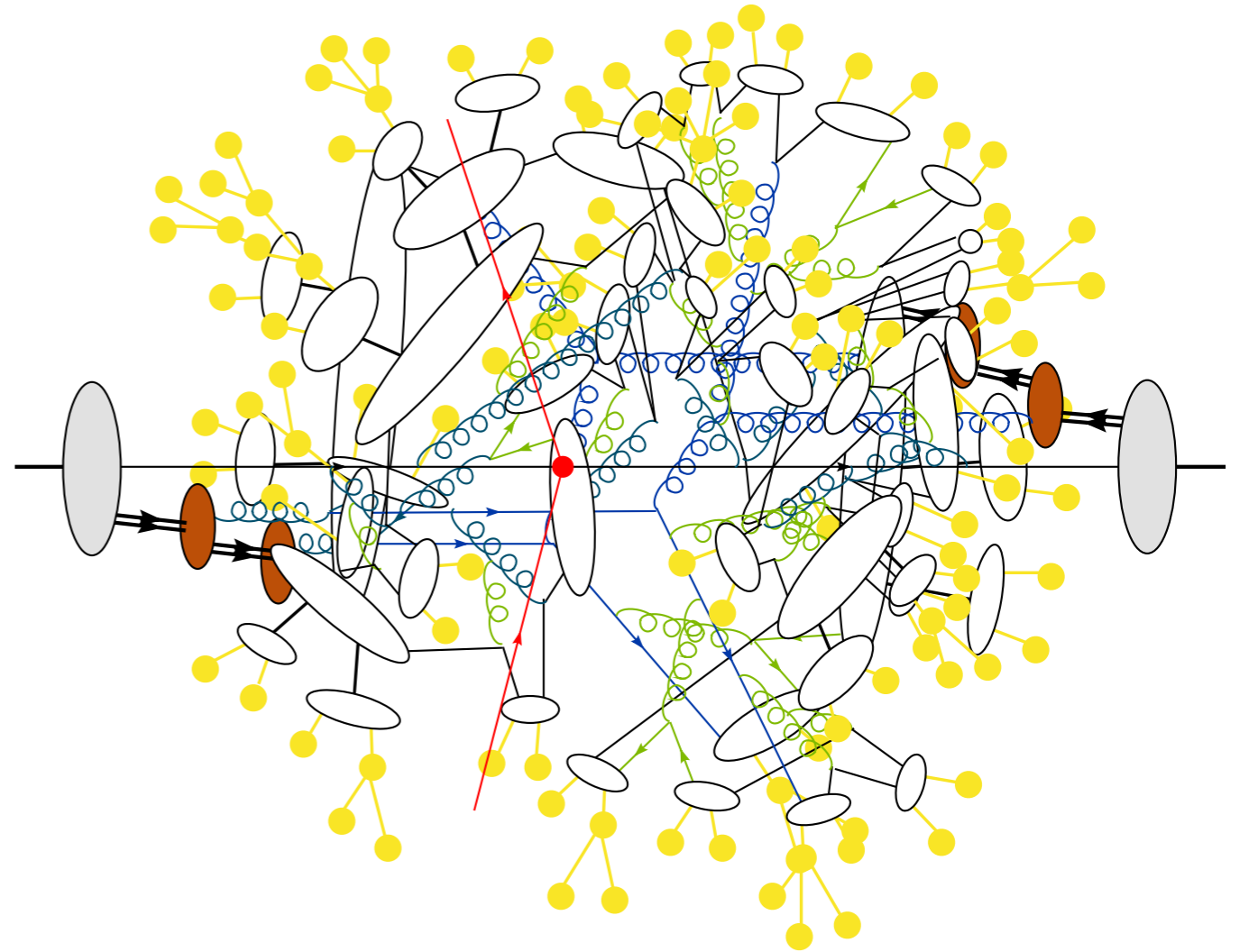
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$$\langle \delta p_T \rangle_h = 2C_R A(\mu_I) M \left(-\frac{1}{R} + \mathcal{O}(R) \right)$$

$$\langle \delta p_T \rangle_{\text{UE}} = \frac{\Lambda_{\text{UE}}}{2} \left(R^2 - R^4/8 + \mathcal{O}(R^6) \right)$$



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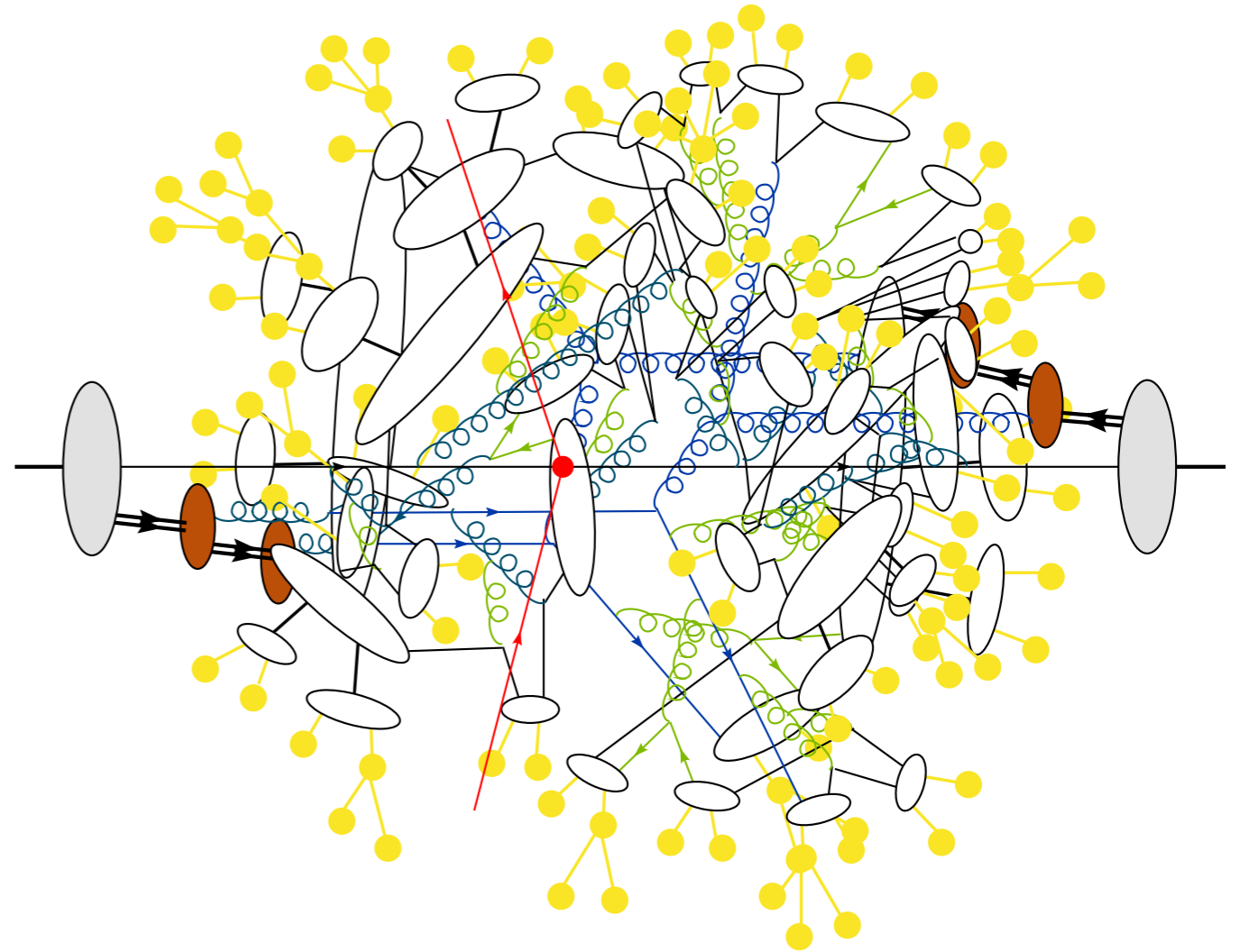
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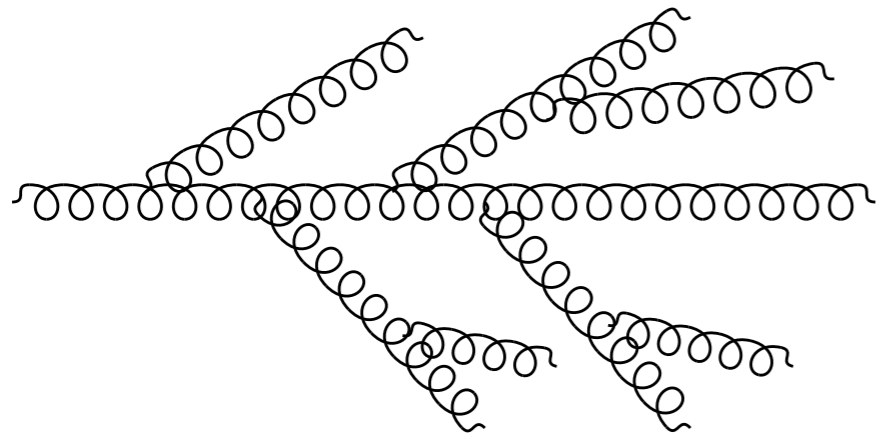
[S. Gieseke, MCNet 2010]

Grooming

- ▶ Reduces non-perturbative effects
- ▶ Gives access to internal jet structure

Jet mass

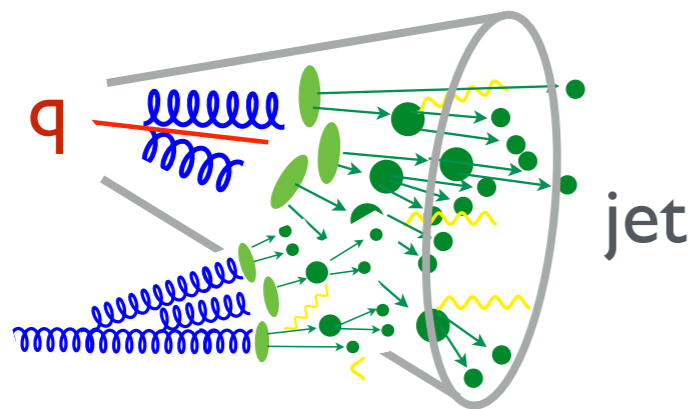
Perturbative effects



Mass generated at each splitting:

$$m^2 = (p_1 + p_2)^2 \approx p_{T,1} p_{T,2} R_{12}^2$$

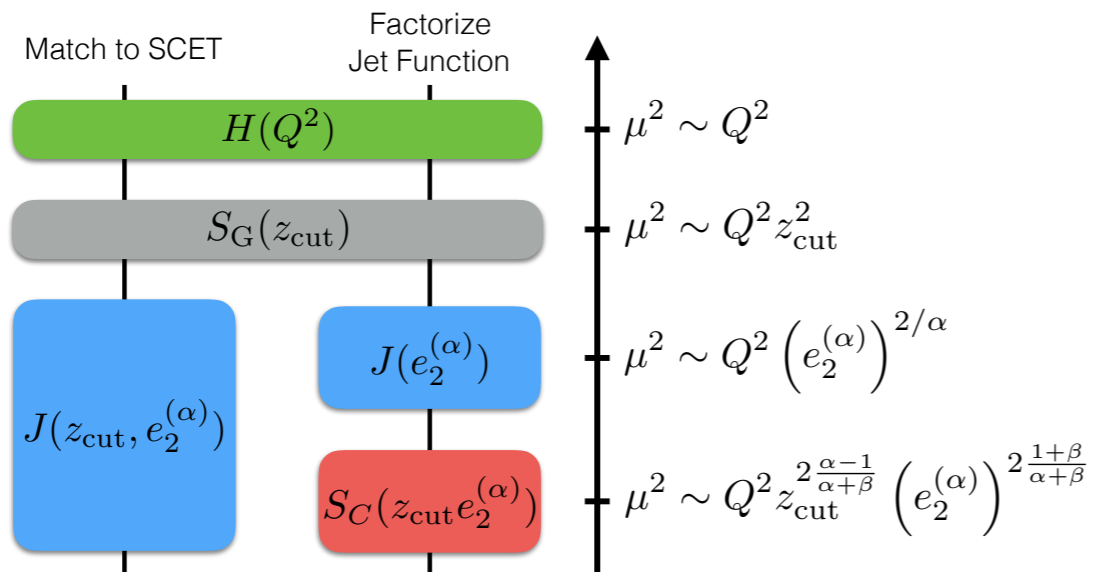
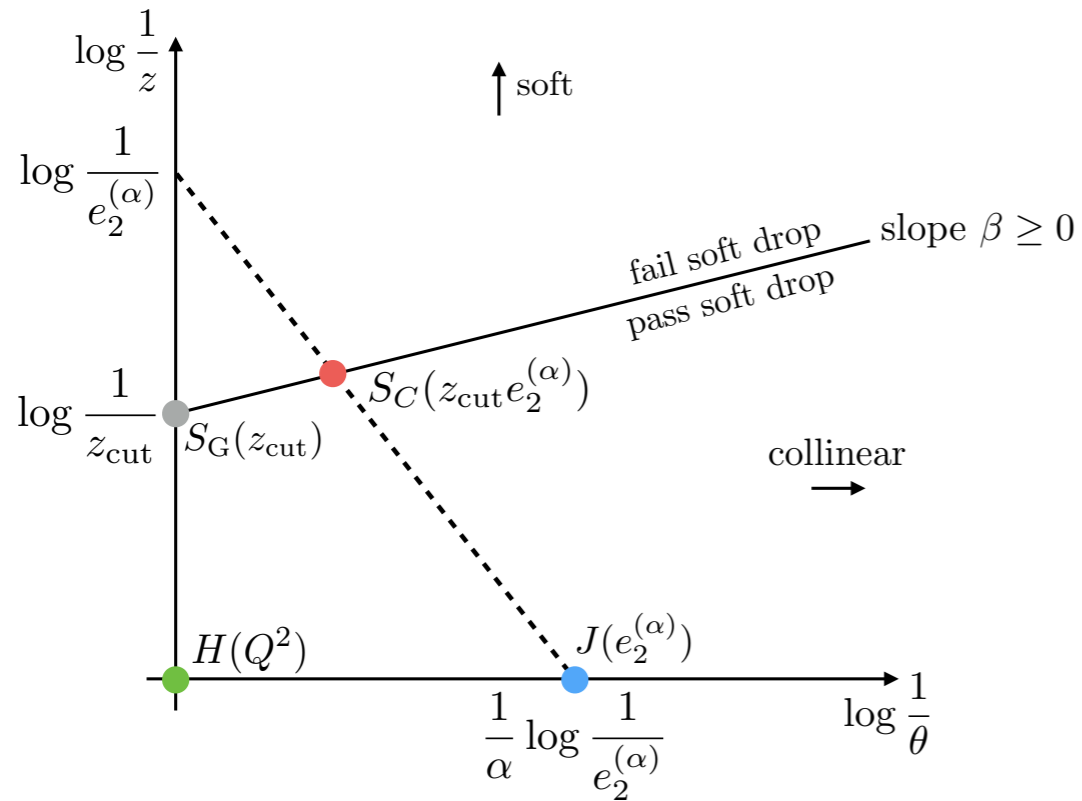
Non-perturbative effects



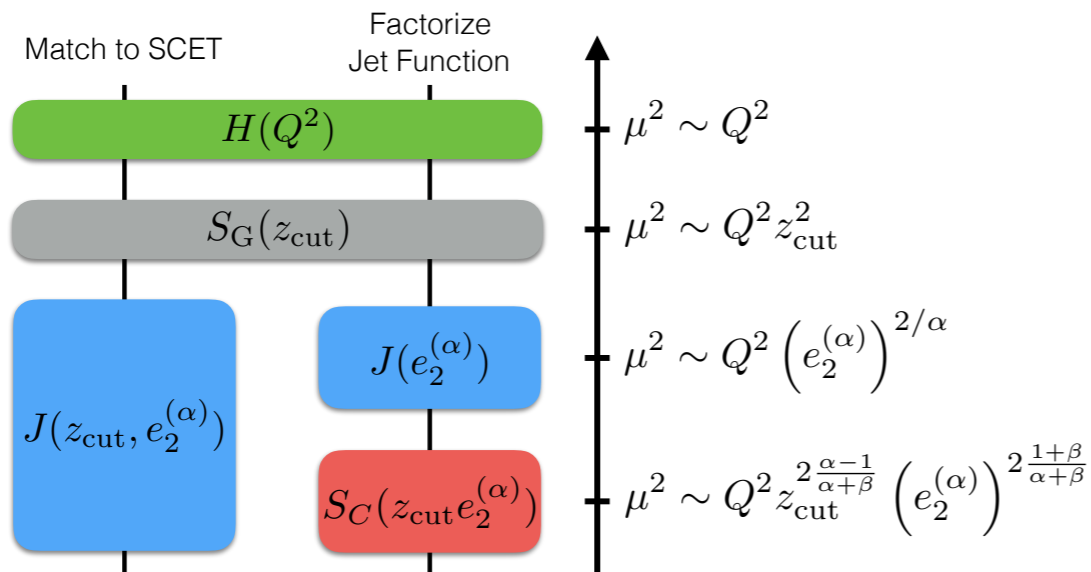
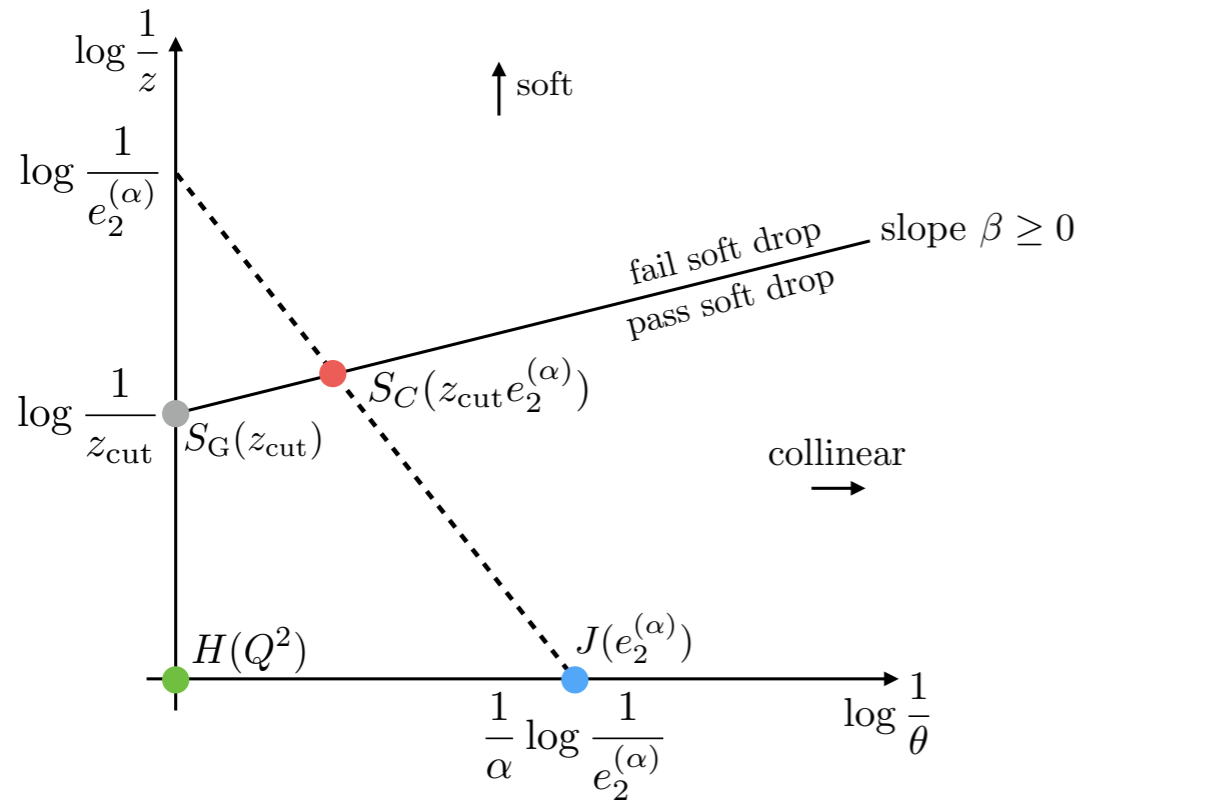
$$\langle \delta m^2 \rangle_h = 2C_R A(\mu_I) M p_T (R + \mathcal{O}(R^3))$$

$$\langle \delta m^2 \rangle_{\text{UE}} = C_R \frac{A(\mu_I)}{4} (p_T R^4 + \mathcal{O}(R^6))$$

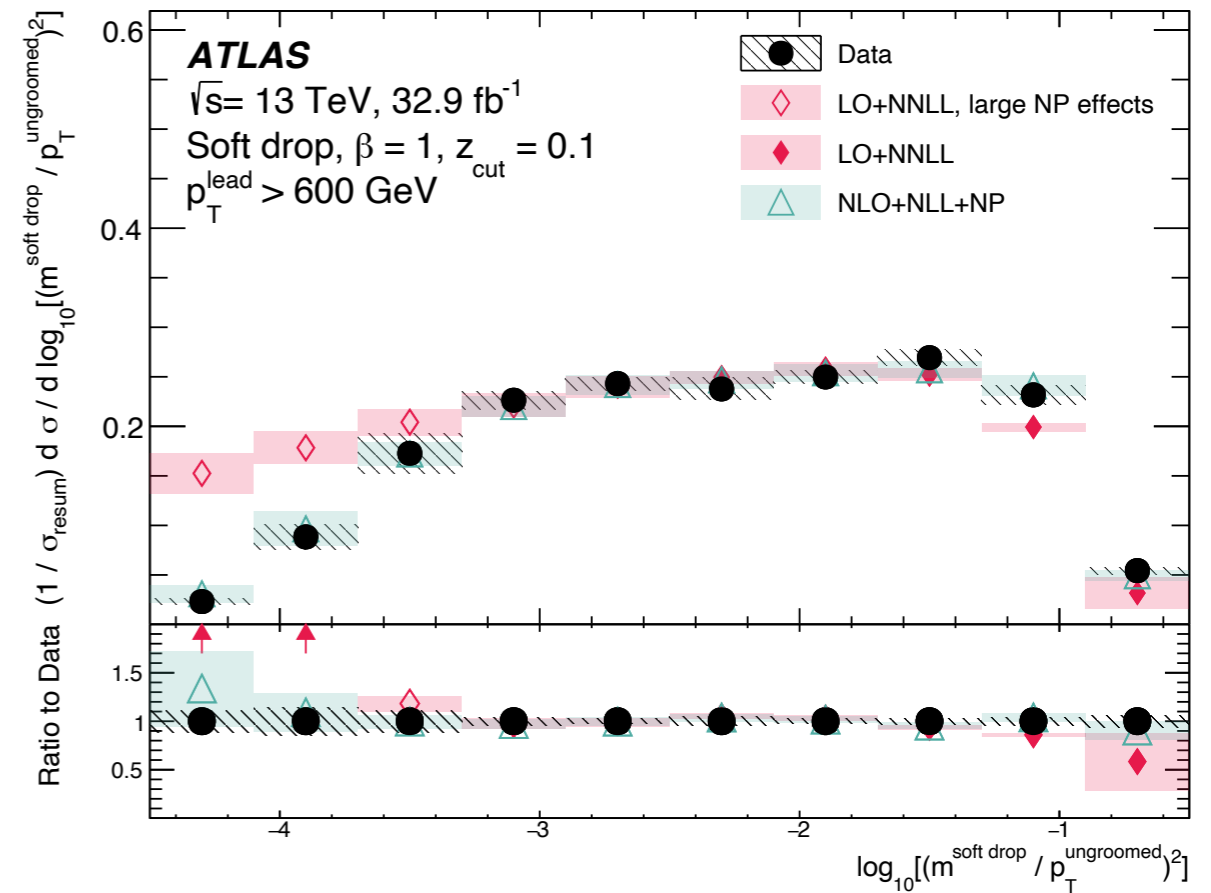
Jet mass



Jet mass



[ATLAS, PRL 121, 092001 (2018)]



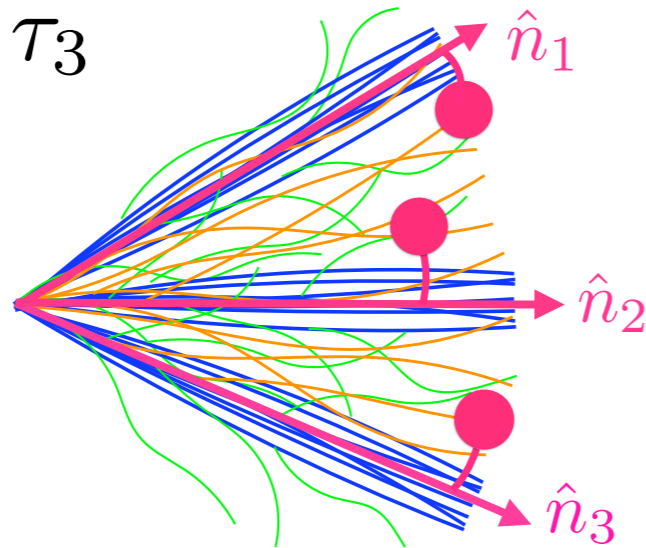
Soft drop

- ▶ Enables precise calculations
- ▶ Allows tests of QCD in different energy regimes

Jet substructure observables

1. Angularities and energy correlations

N-subjettiness \mathcal{T}_3

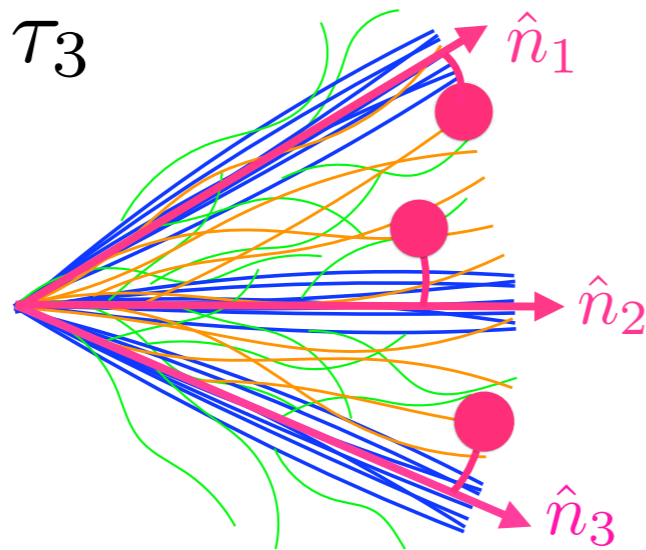


[I. Moult et al, JHEP 12, 153 (2016)]

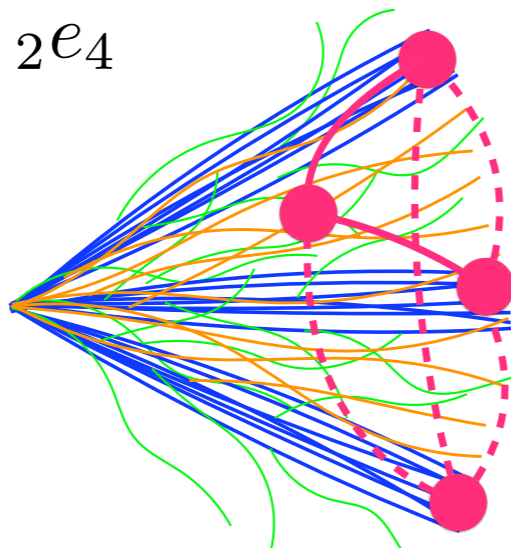
Jet substructure observables

1. Angularities and energy correlations

N-subjettiness \mathcal{T}_3



Normalized energy correlation functions $2e_4$

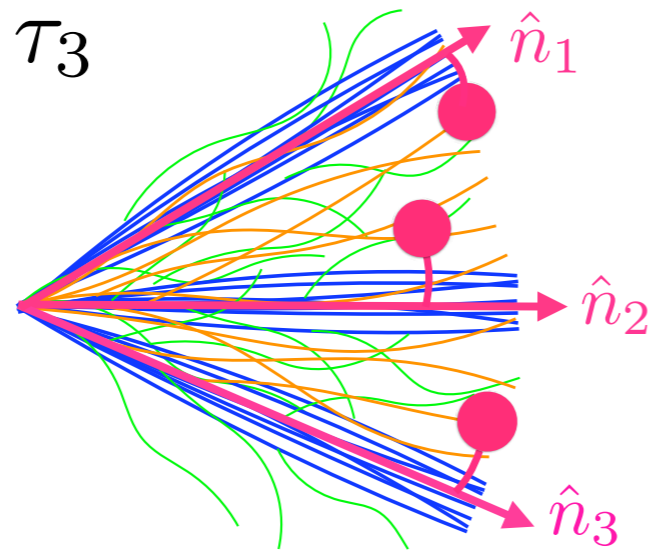


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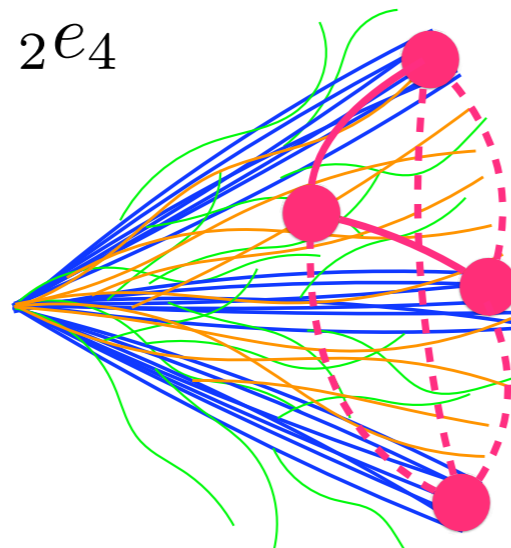
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Energy Flow Polynomials

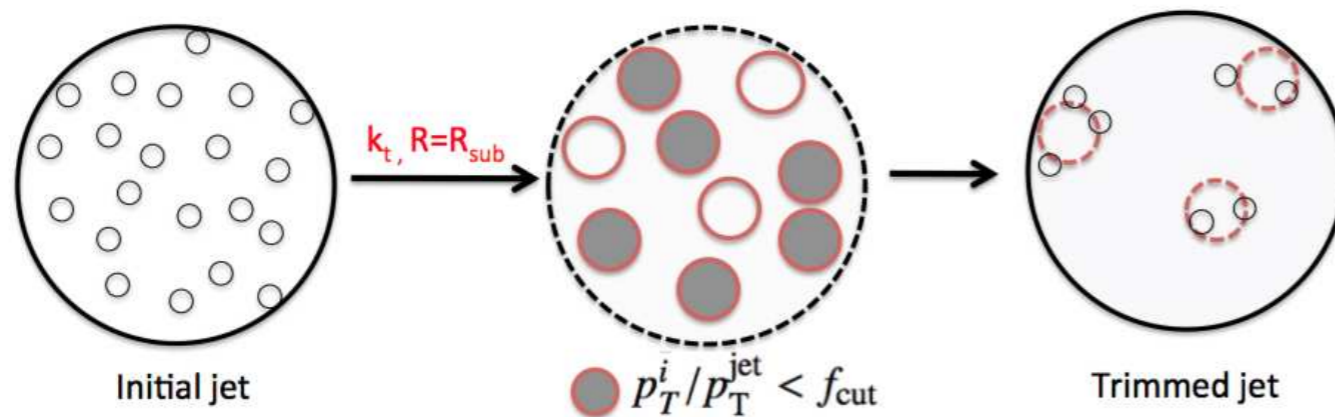
$$\text{EFP}_G = \sum_{i_1=1}^M \cdots \sum_{i_N=1}^M z_{i_1} \cdots z_{i_N} \prod_{(k,\ell) \in G} \theta_{i_k i_\ell}$$

Degree	Connected Multigraphs
$d = 0$	
$d = 1$	
$d = 2$	
$d = 3$	

[P.T. Komiske et al, JHEP 12, 153 (2016)]

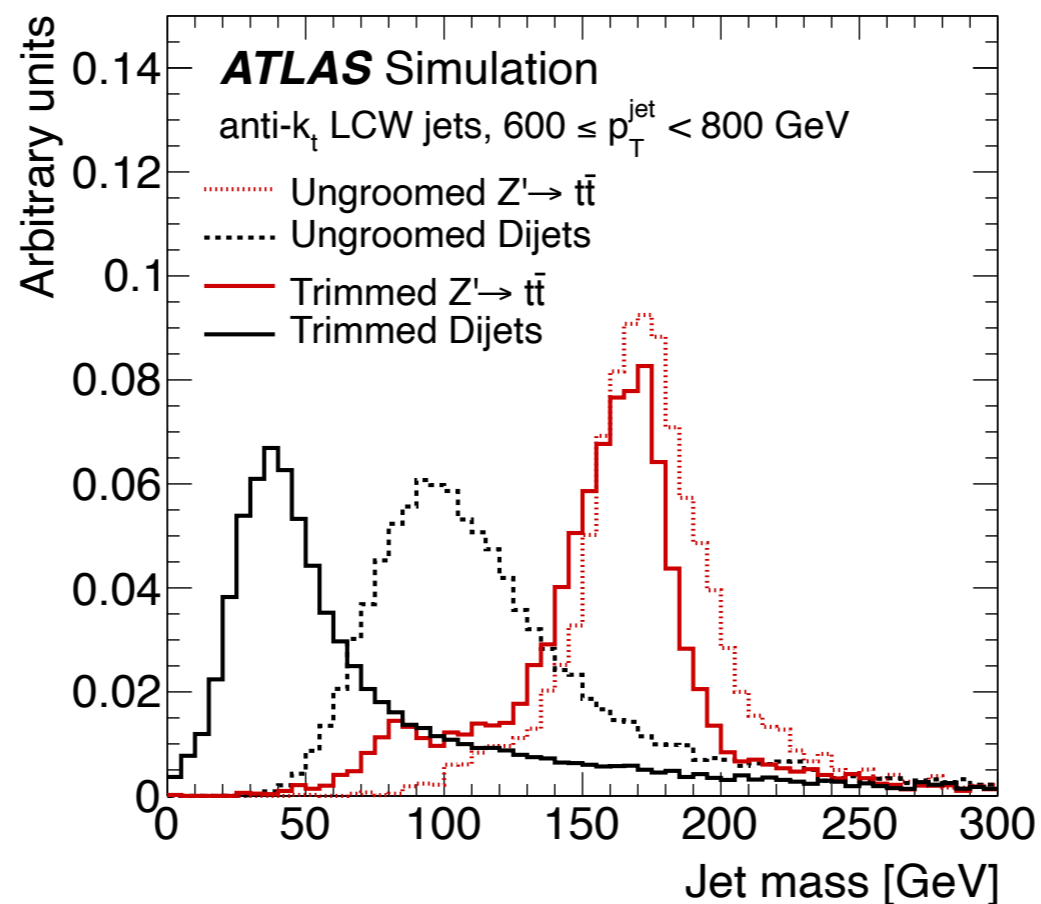
Jet substructure observables

2. Grooming and N-prong taggers



Trimming
(standard in ATLAS)

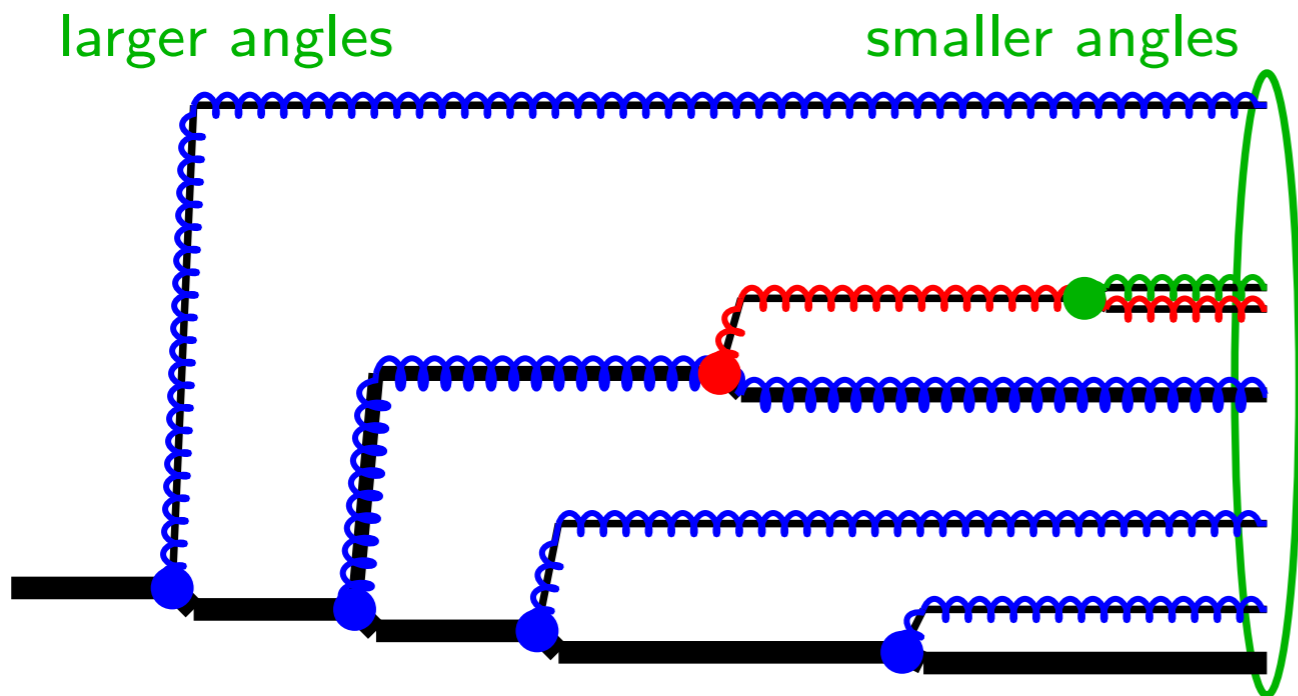
also studied:
Filtering, Pruning,
soft drop...



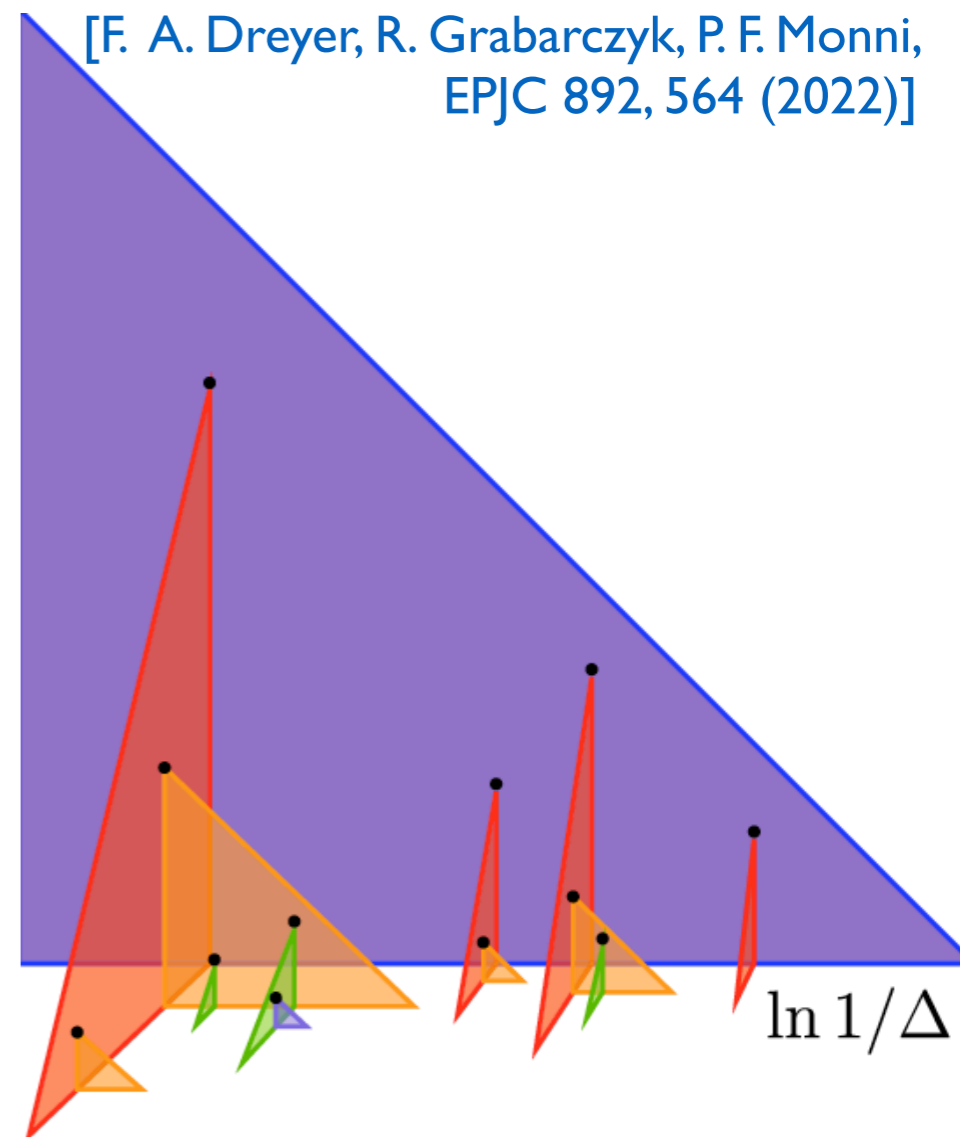
[ATLAS, JHEP 09, 076 (2013)]

Lund jet plane

[G. Soyez, 2022]



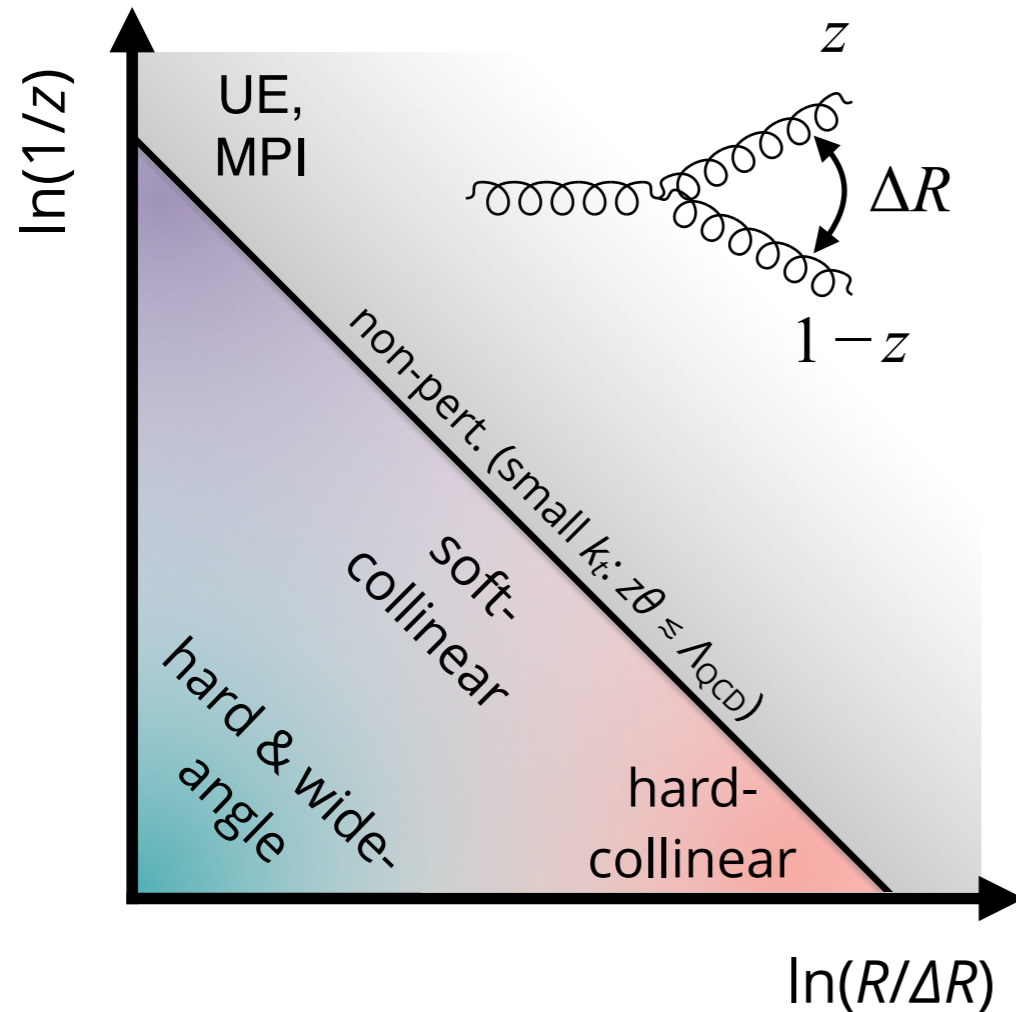
[F. A. Dreyer, R. Grabarczyk, P. F. Monni, EPJC 892, 564 (2022)]



- ▶ Decluster a jet to access shower history
- ▶ Separate emissions in angular scale and hardness

[F. A. Dreyer, G. P. Salam, G. Soyez, JHEP 12, 064 (2018)]

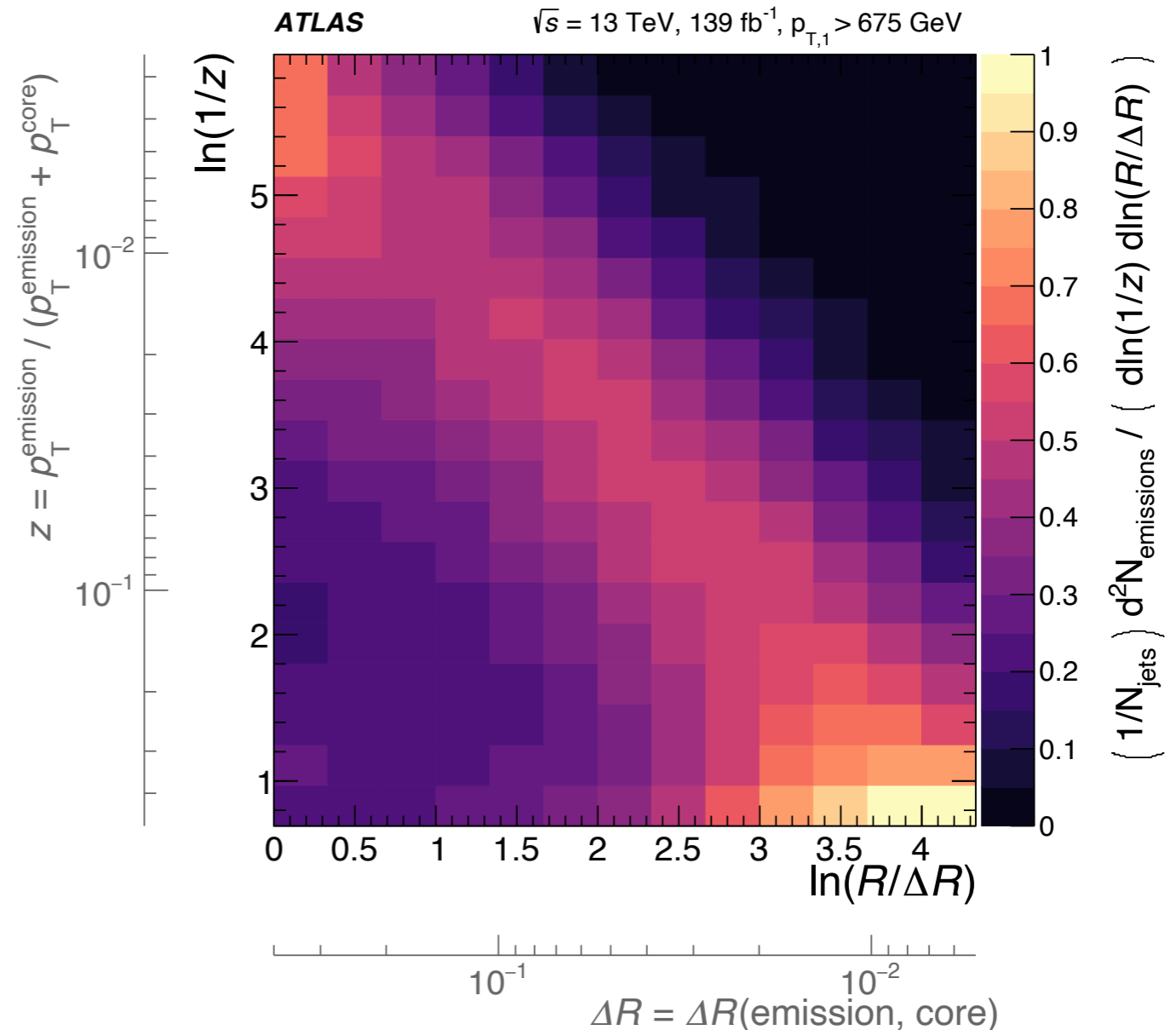
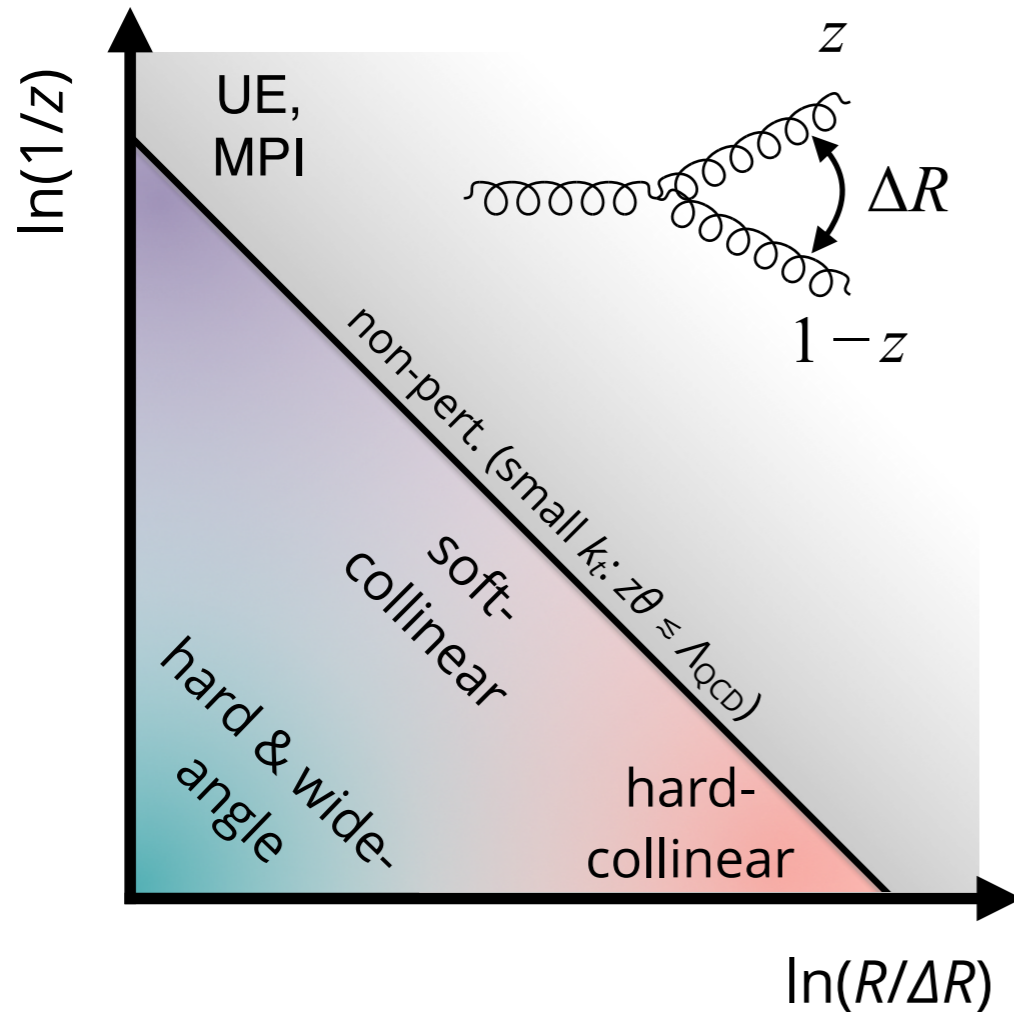
Lund jet plane



- ▶ Access splitting function, jet fragmentation and non-pert. radiation

[ATLAS, PRL 124, 22202 (2020)]

Lund jet plane



- ▶ Access splitting function, jet fragmentation and non-pert. radiation
- ▶ Soft-wide angle and hard-collinear radiation dominant

[ATLAS, PRL 124, 22202 (2020)]

Jet tagging with substructure

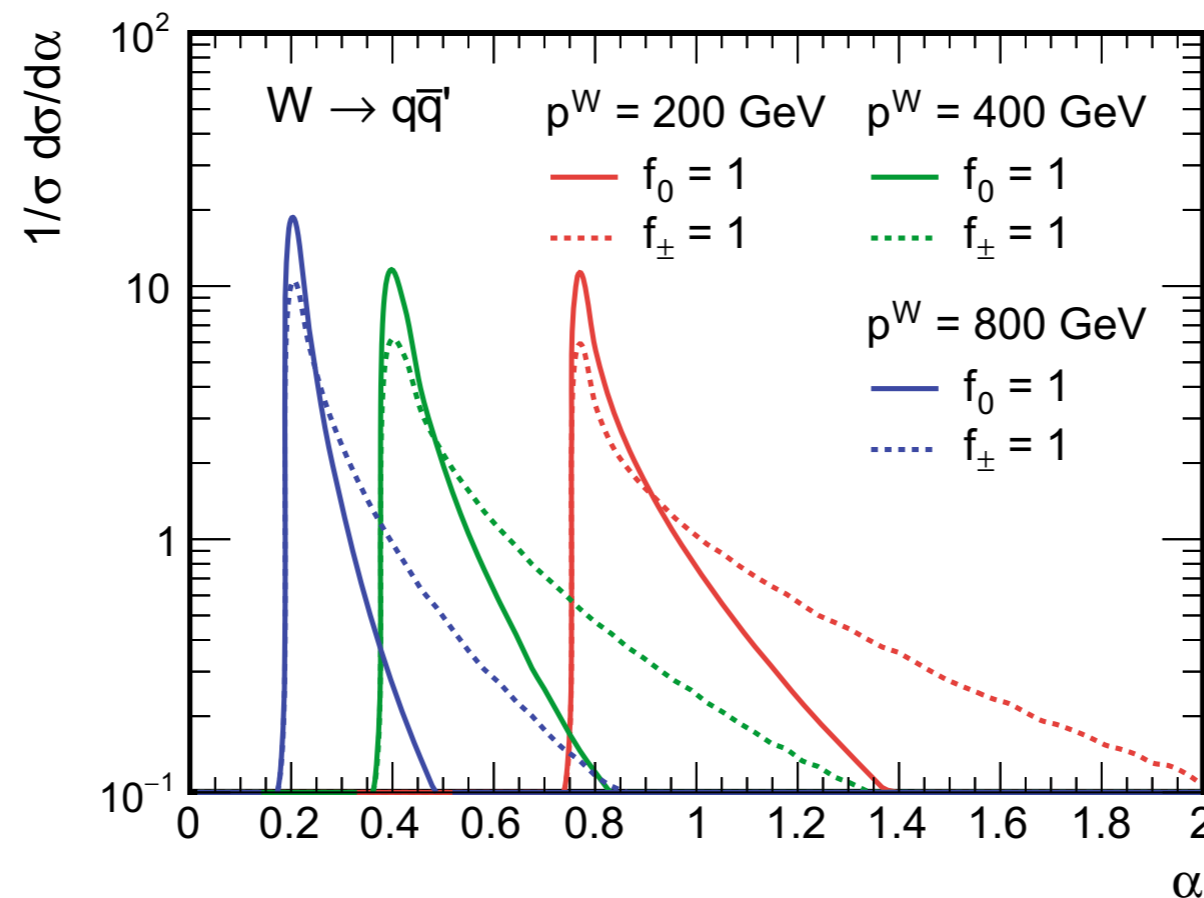
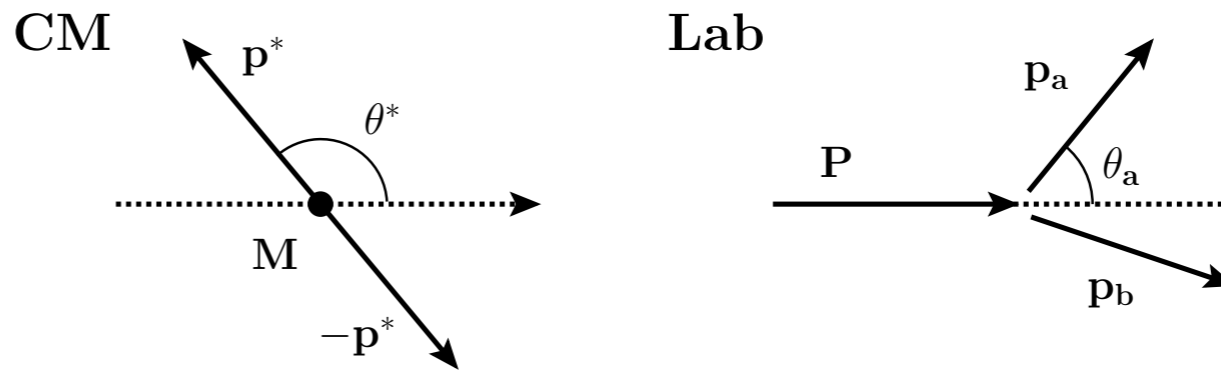
Particle Decays

W and Z bosons

$$B_{W \rightarrow \text{had}} = 67.5\%$$

$$B_{Z \rightarrow \text{had}} = 69.2\%$$

$$\frac{1}{\sigma} \frac{d\sigma}{d|\cos \theta^*|} = f_{\pm} \frac{3}{4} (1 + |\cos \theta^*|^2) + f_0 \frac{3}{2} |\sin \theta^*|^2$$



$$\alpha_{\min} \approx \frac{2M}{P}$$

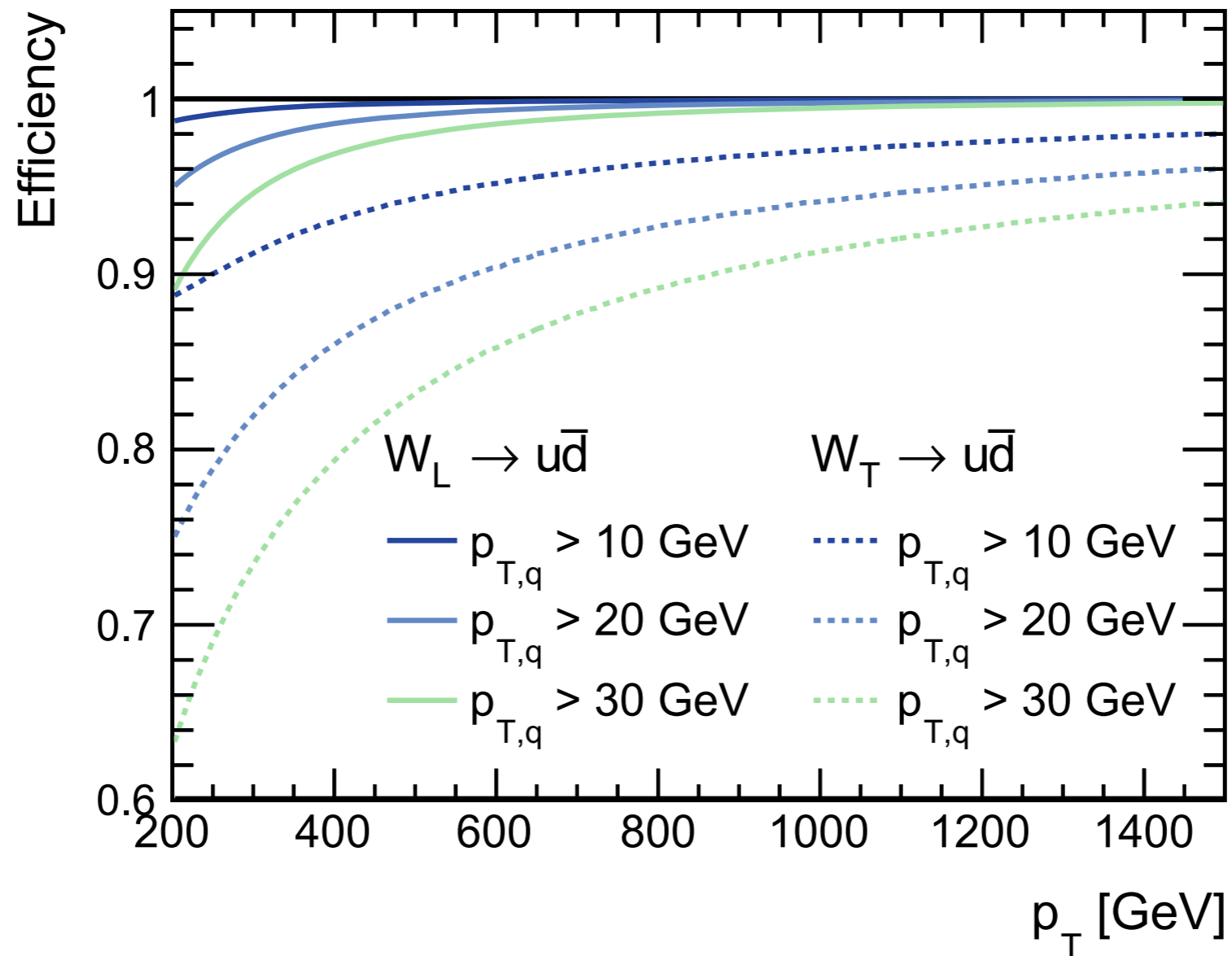
and consequently

$$\Delta R \approx \frac{2M}{P_T}$$

(holds for $P_T \gg M$)

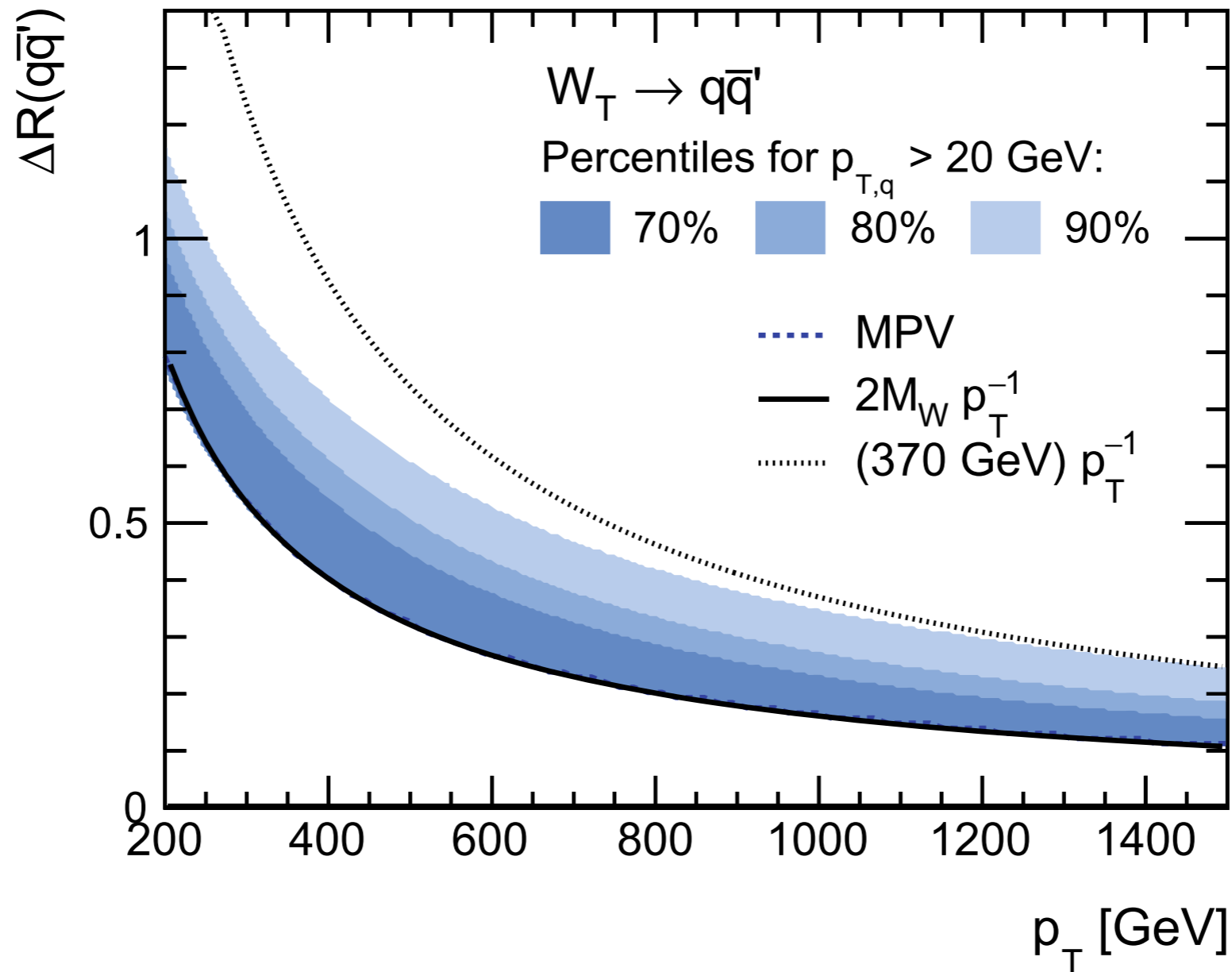
[RK, STMP 284, 2021]

Quark (subjet) p_T thresholds



[RK, STMP 284, 2021]

Decay distance



▶ Similar picture for top quarks

[RK, STMP 284, 2021]

Heavy Object Tagger with Variable R

One-pass clustering with integrated subjet finding

- ▶ jet distance measures (with variable R)

$$d_{ij} = \min[p_{T,i}^{2n}, p_{T,j}^{2n}] \Delta R_{ij}^2$$

$$d_{iB} = p_{T,i}^{2n} R_{\text{eff}}^2 \quad R_{\text{eff}} = \frac{\rho}{p_T}$$

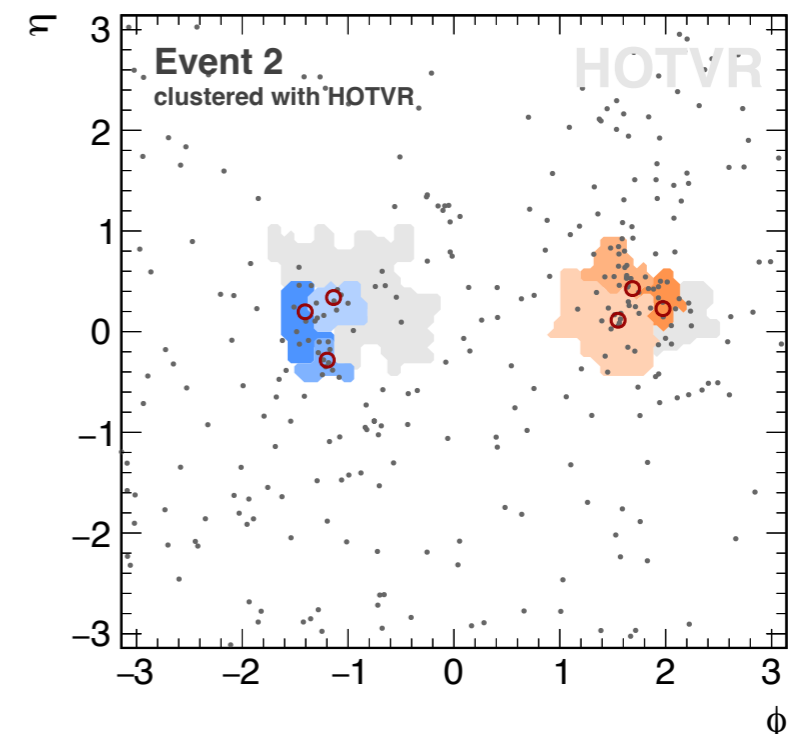
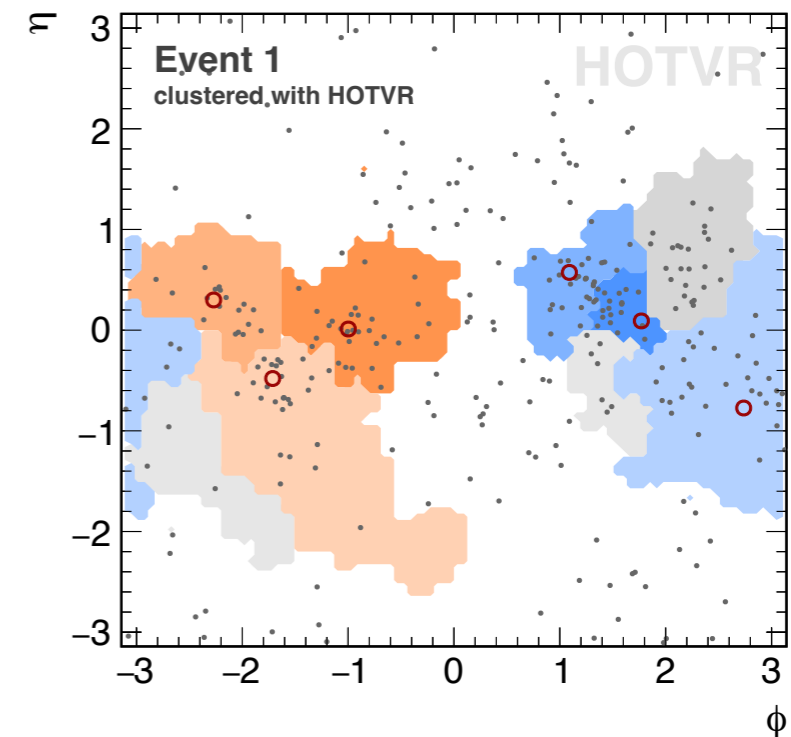
- ▶ clustering veto at each step

$$m_{ij} < \mu$$

$$\theta \cdot m_{ij} > \max[m_i, m_j]$$

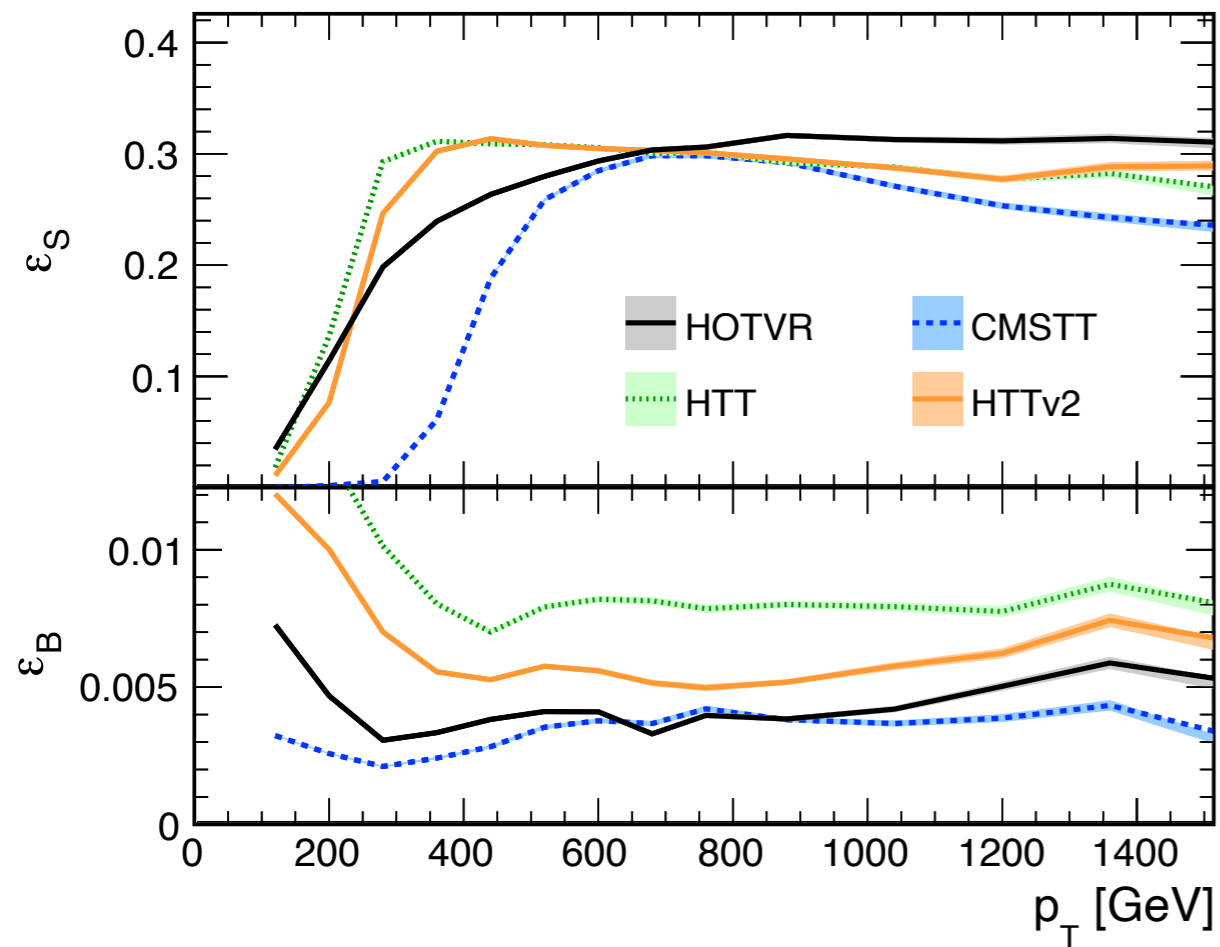
- ▶ store objects i and j as subjets if

$$p_{T,i}, p_{T,j} > \rho_{T,\text{sub}}$$



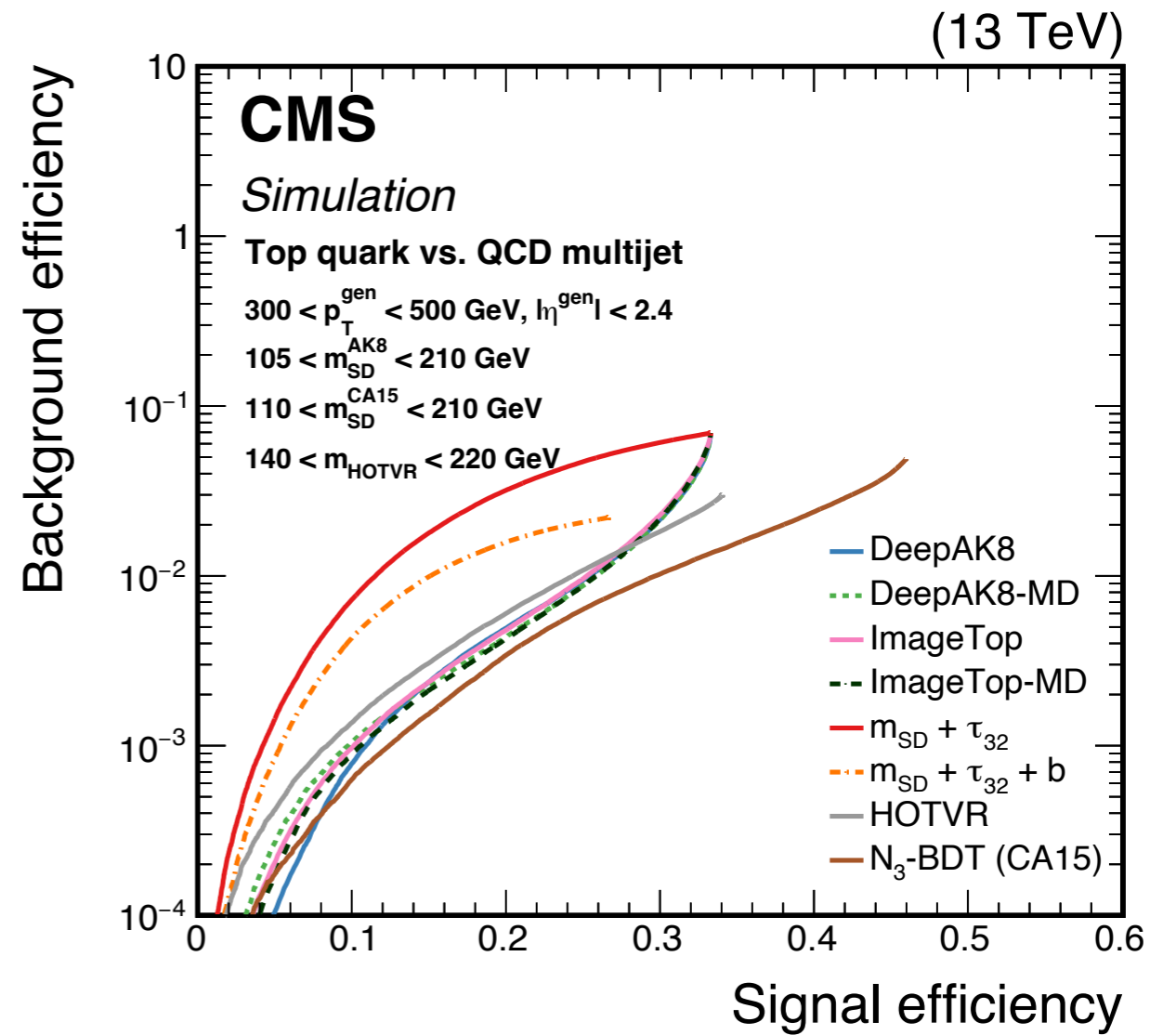
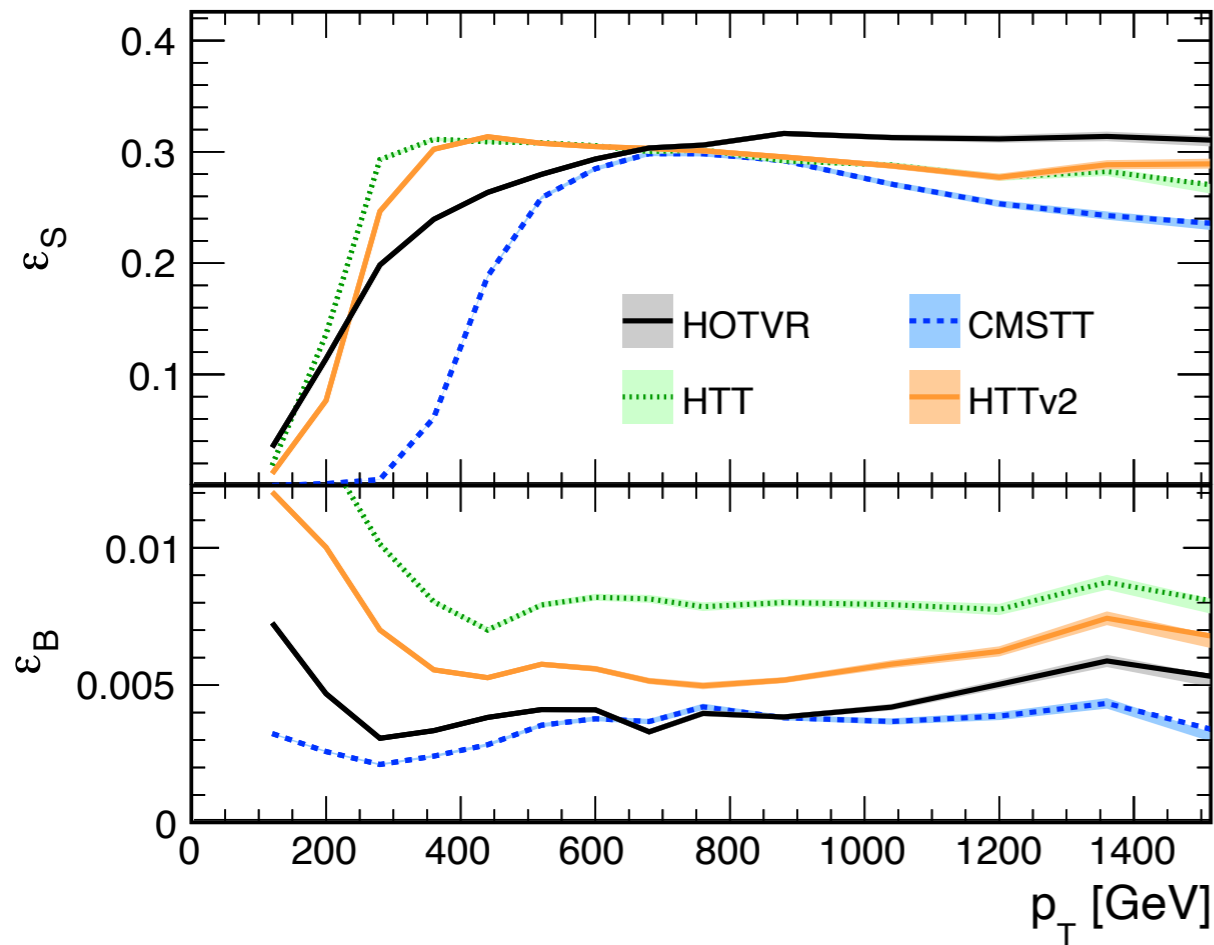
[T. Lapsien et al, EPJC 76, 600 (2016)]

Top Quark Tagging



[RK, STMP 284, 2021]

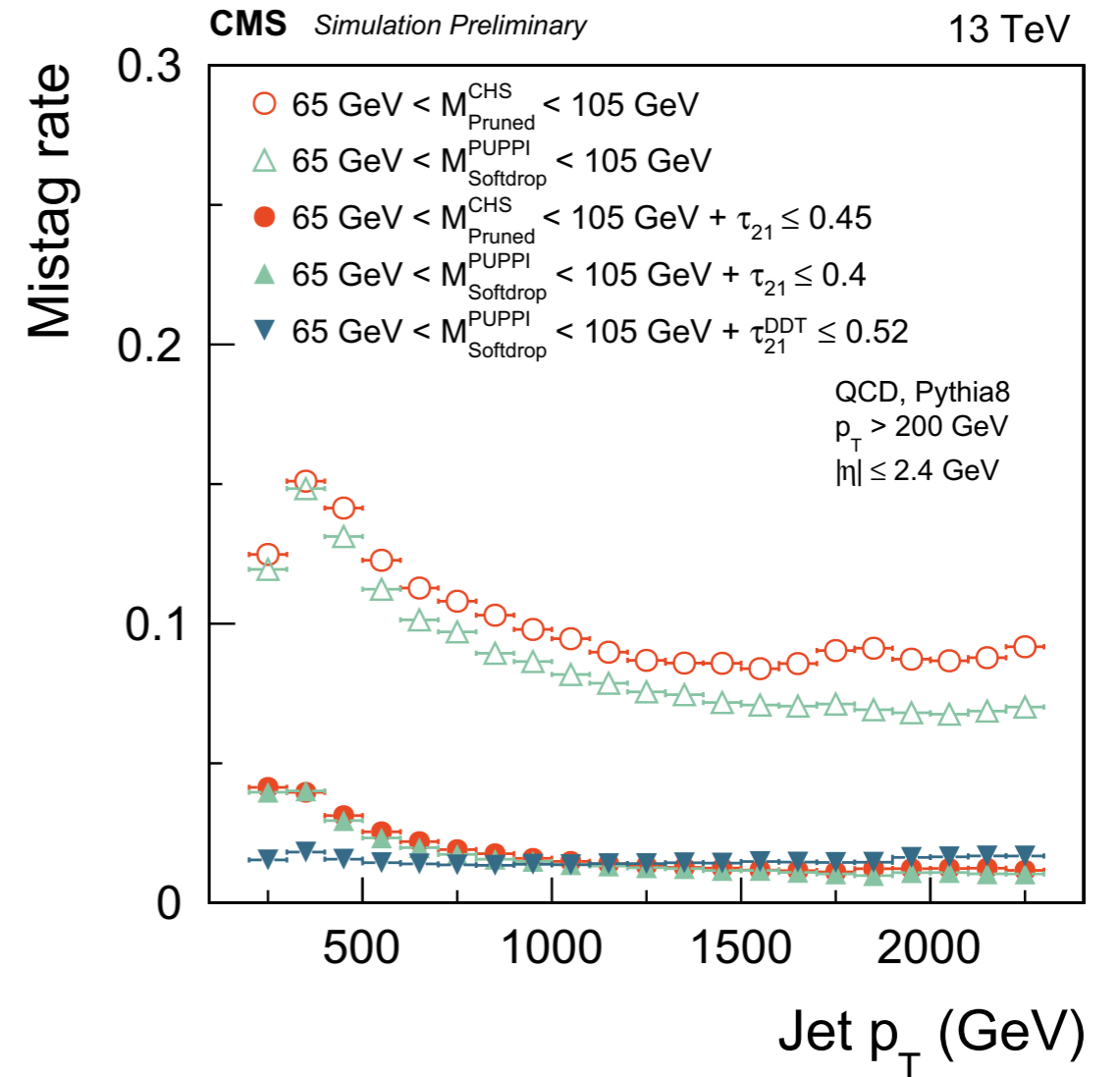
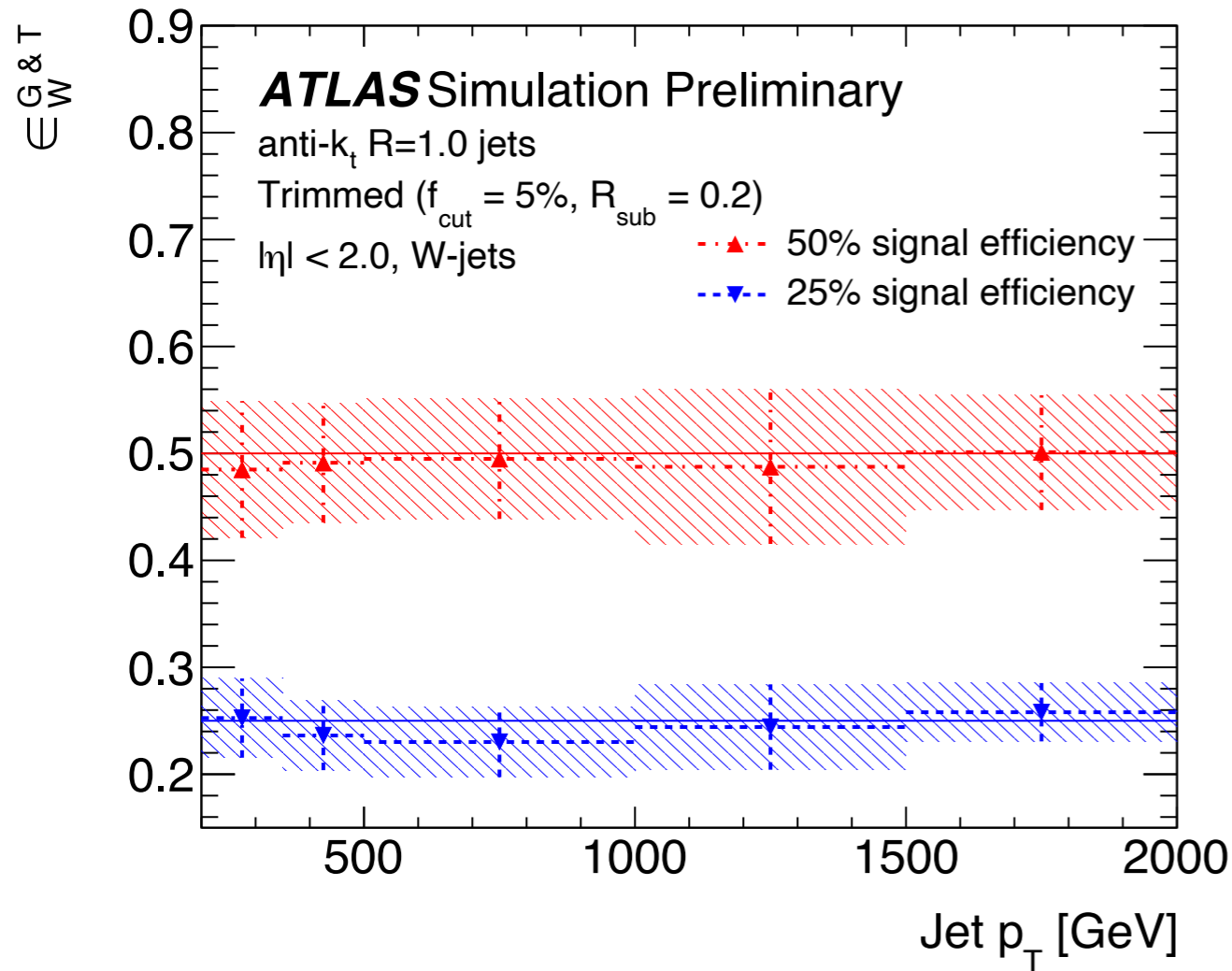
Top Quark Tagging



[RK, STMP 284, 2021]

[CMS, JINST 15, P06005 (2020)]

W/Z Tagging



- ▶ Constant signal efficiency by p_T -dependent selection
- ▶ Constant background efficiency with DDT technique

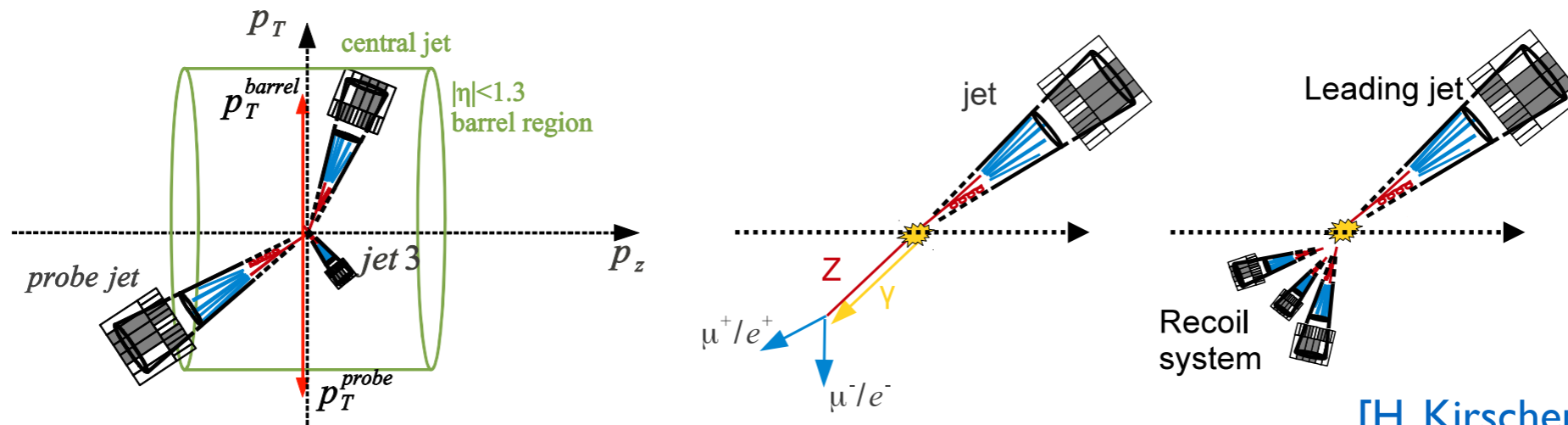
[ATL-PHYS-PUB-2015-033]

[CMS-PAS-JME-16-003]

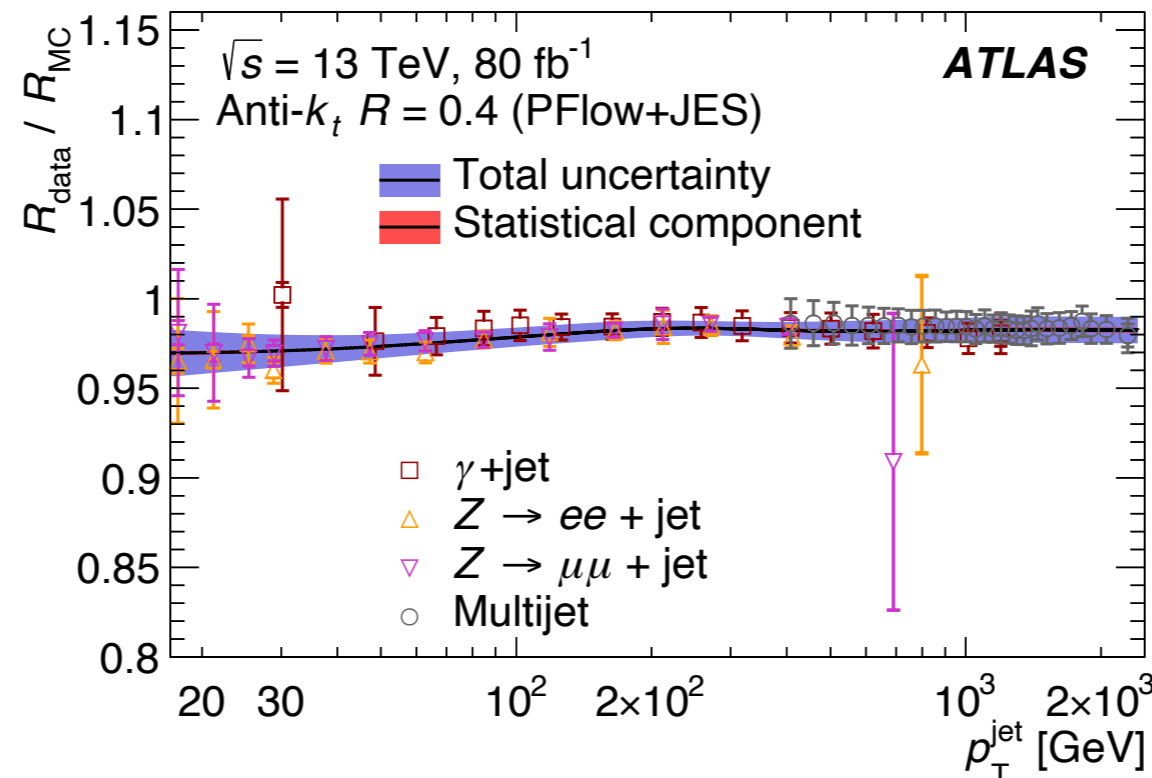
Calibration and Commissioning

Jet calibration

- ▶ Local calorimeter calibration based on test beam data and simulation
- ▶ Pileup corrections based on jet area and average energy density

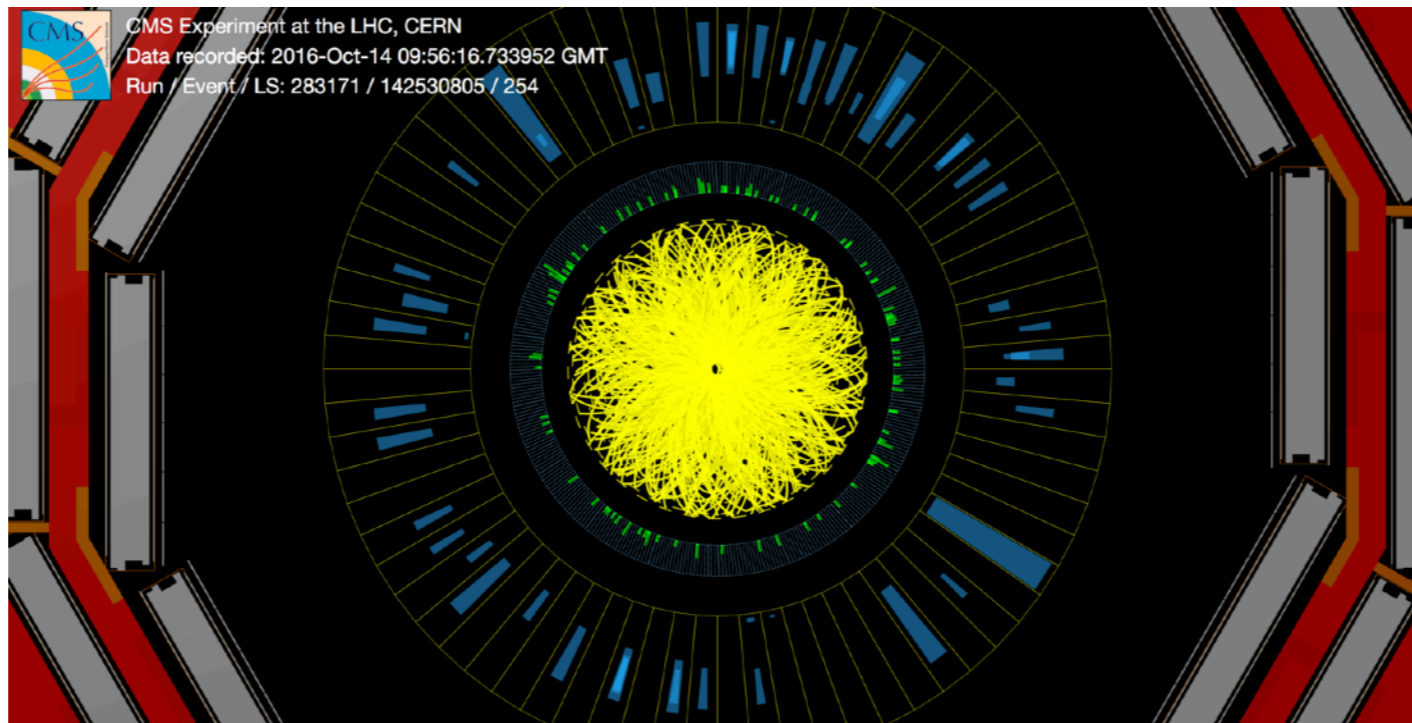
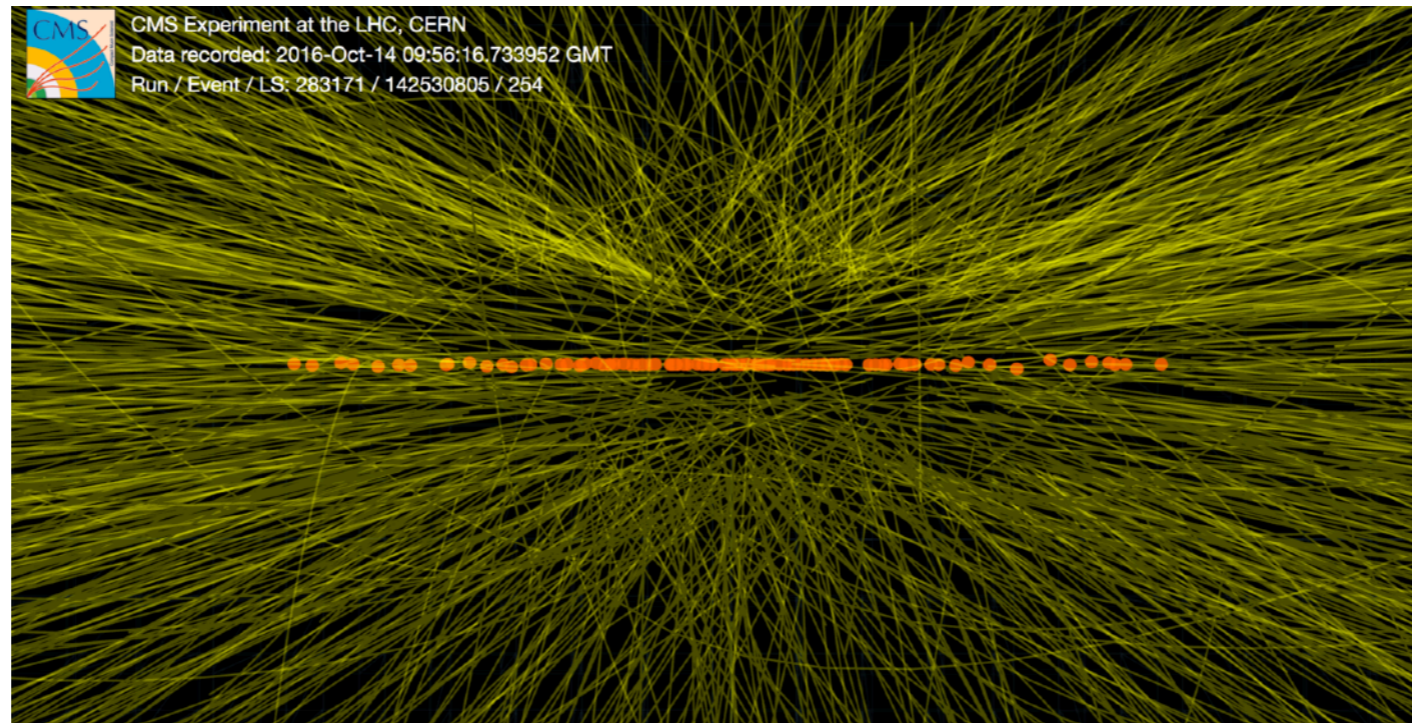


[H. Kirschenmann, M. Schröder]



[ATLAS, EPJC 81, 689 (2021)]

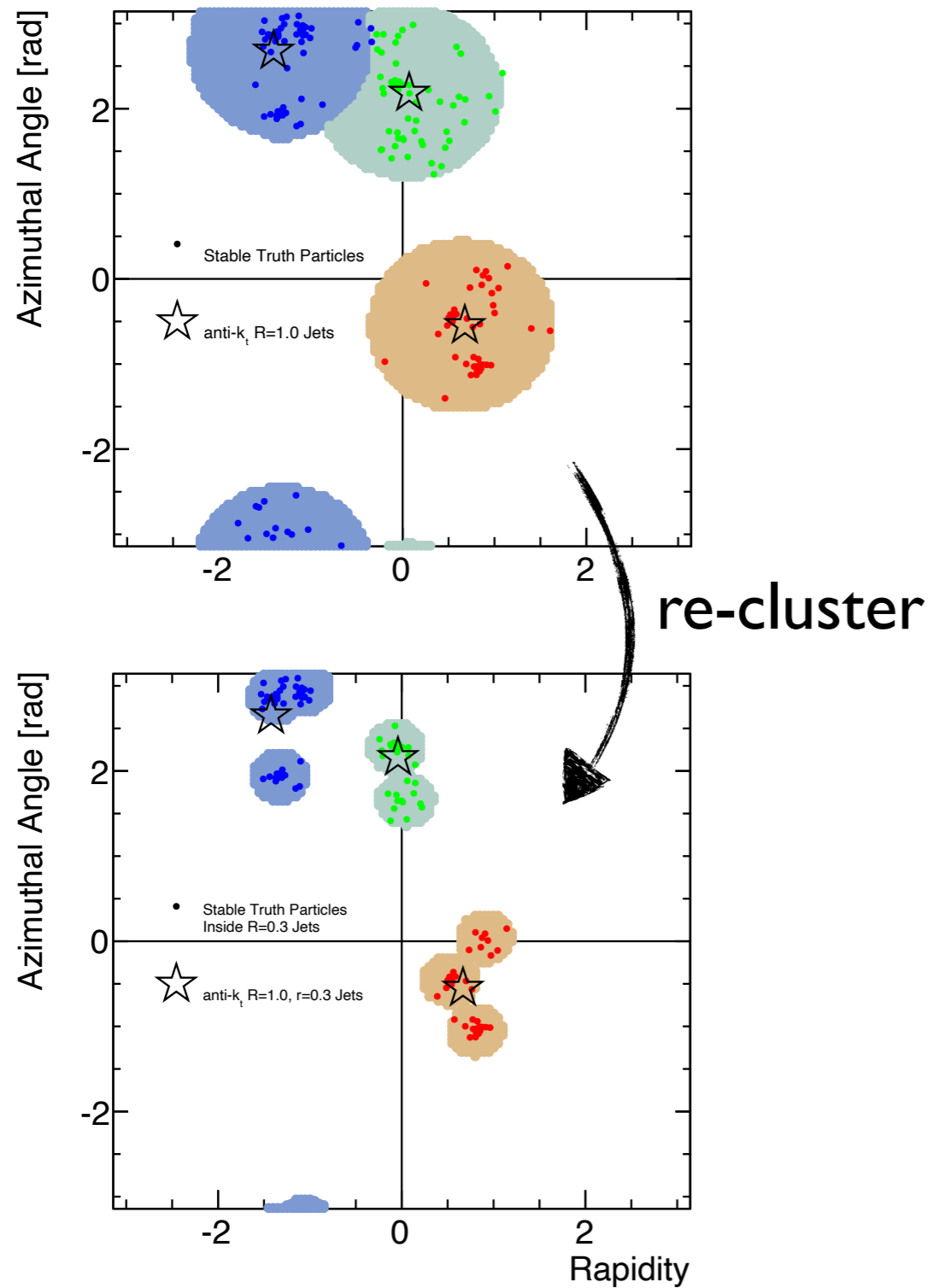
Pileup mitigation



13 TeV, 2016
high-PU fill

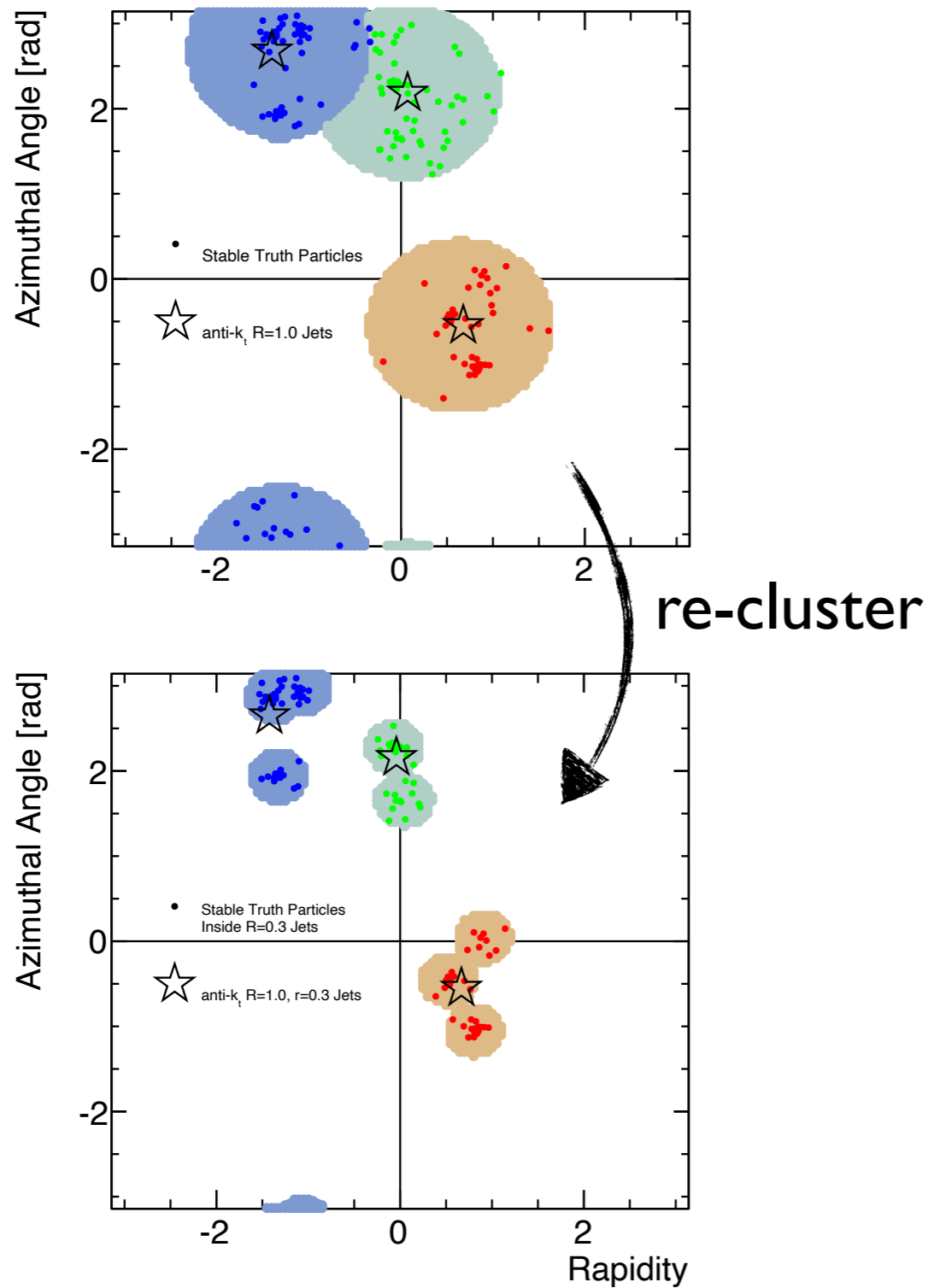
Image credit: CERN

Pileup mitigation

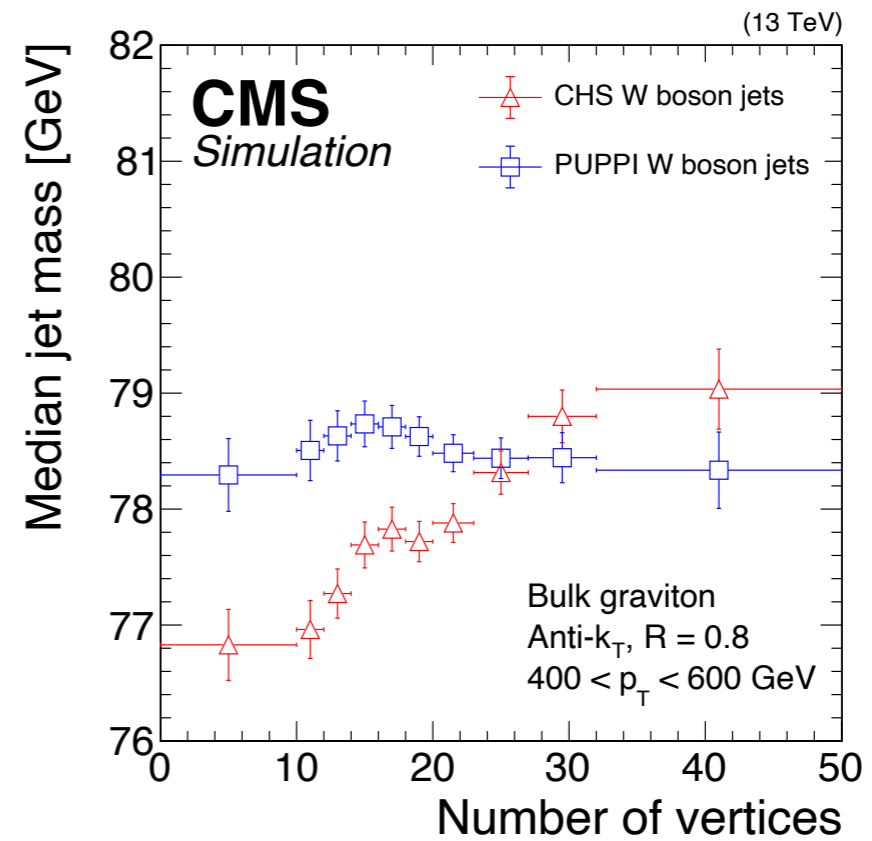
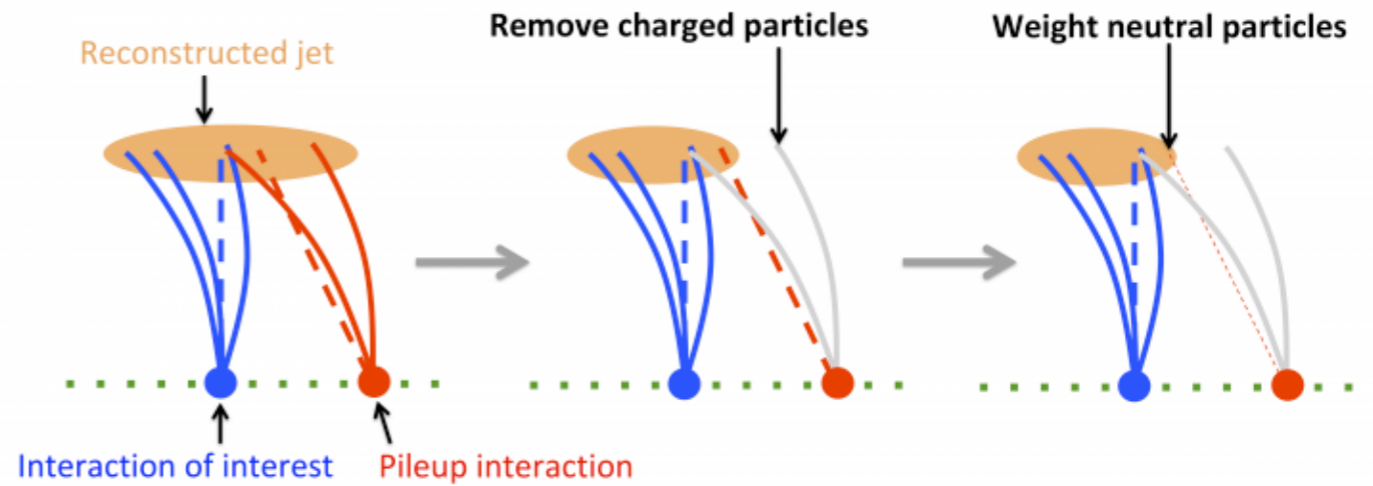


[B. Nachmann, JHEP 02, 075 (2015)]

Pileup mitigation



Pileup-per-particle identification (PUPPI)



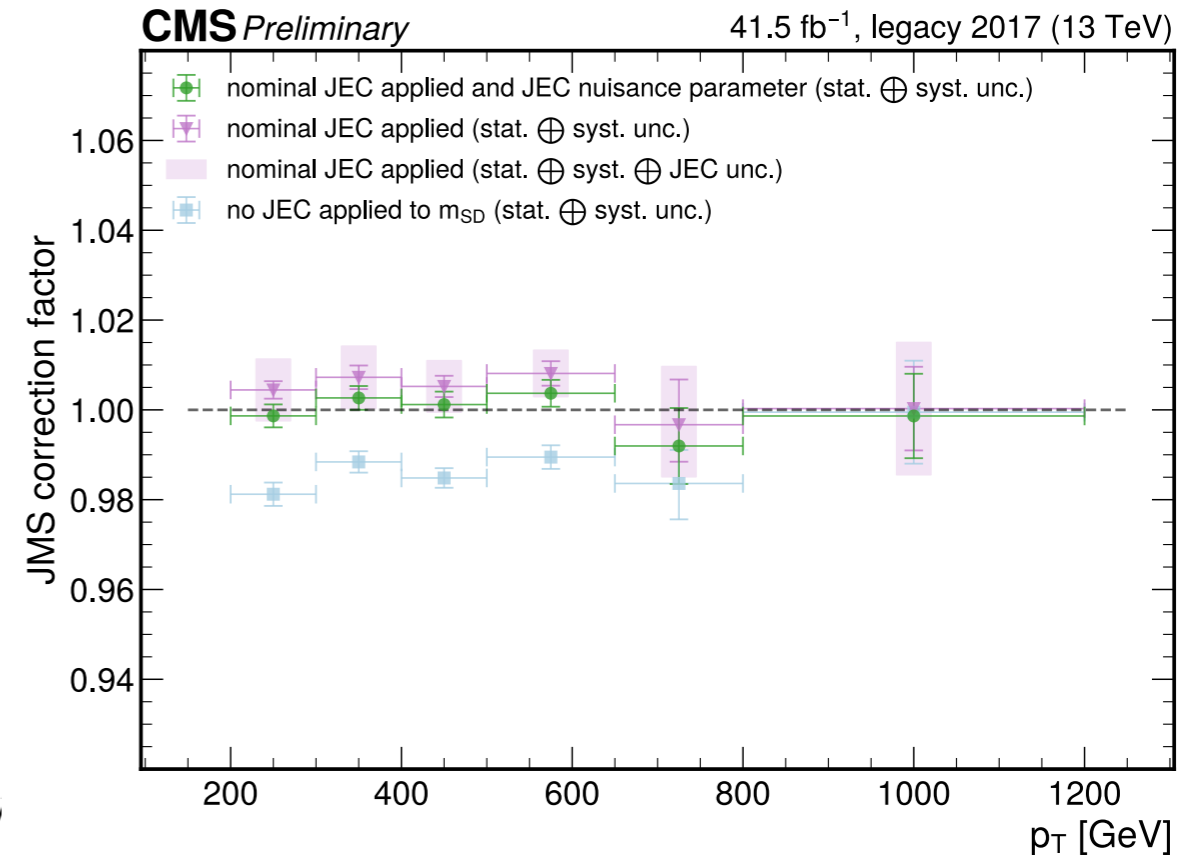
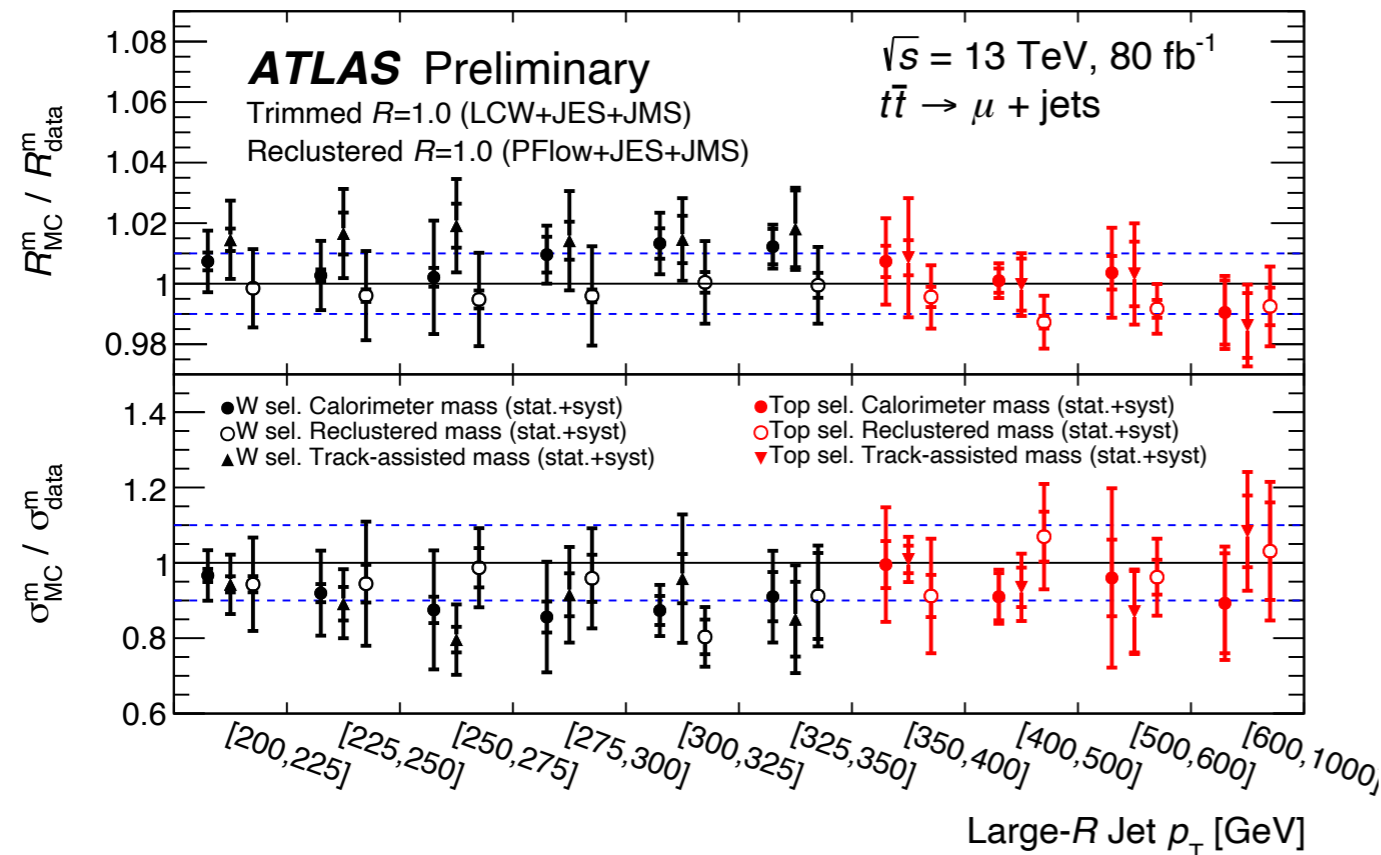
[B. Nachmann, JHEP 02, 075 (2015)]

[CMS, JINST 15, P09018 (2020)]

Jet mass calibration

[ATLAS-CONF-2020-022]

[CMS, DP-2023/044]

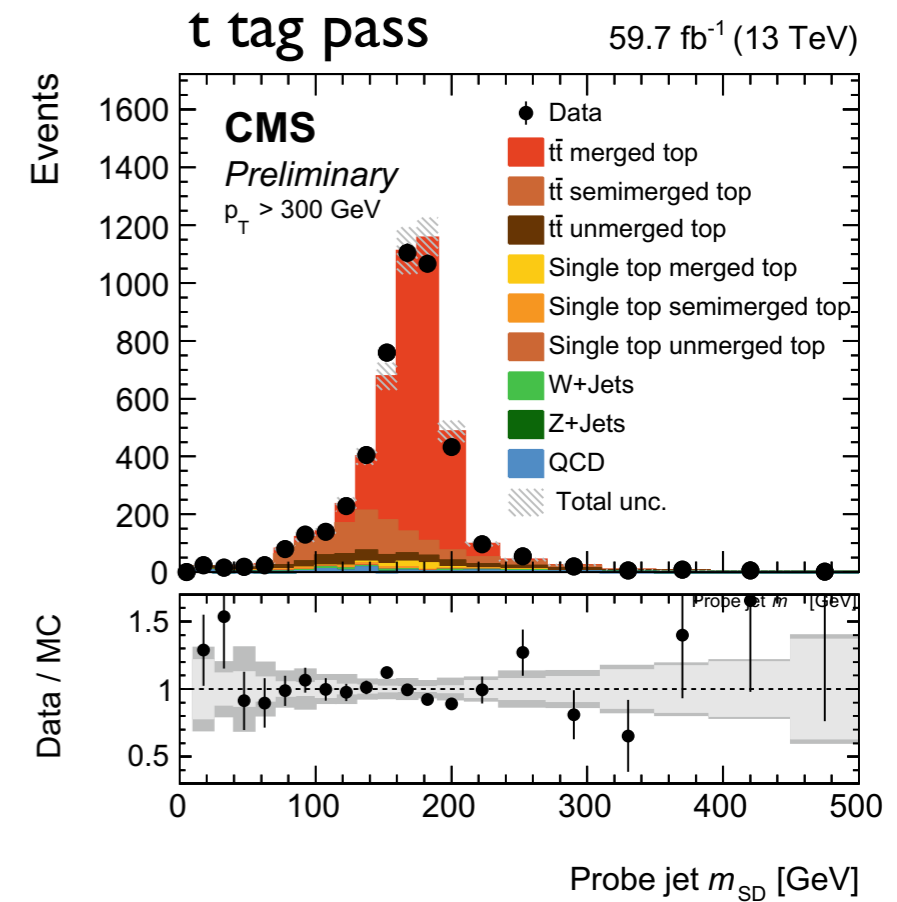
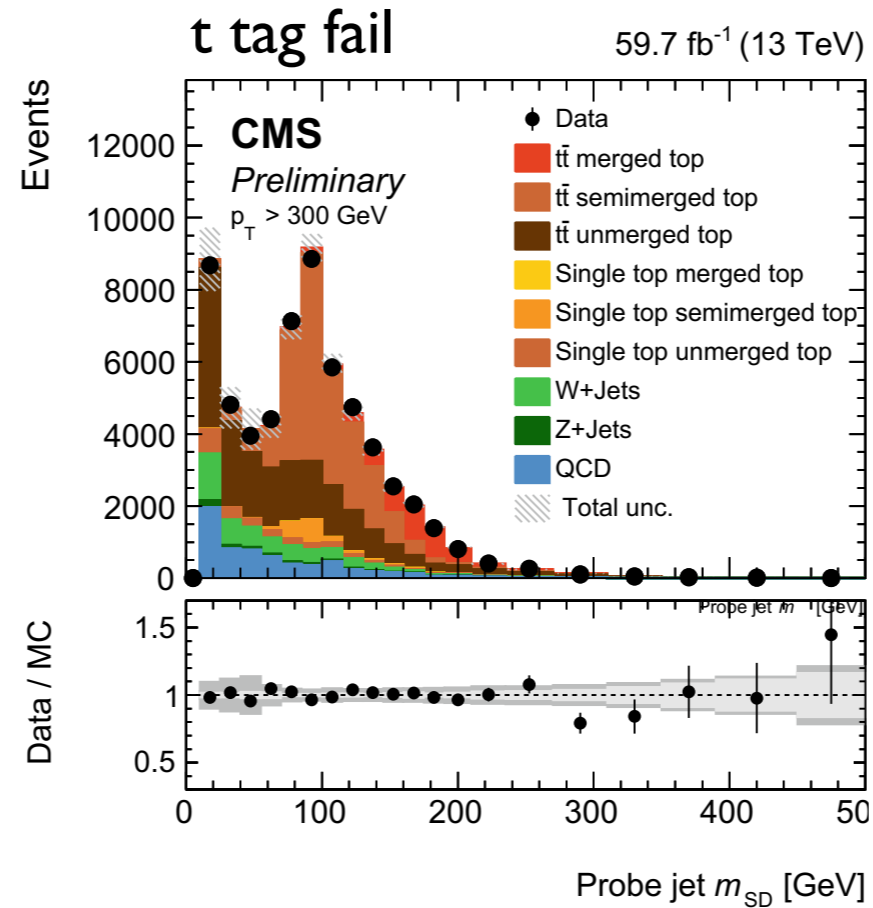
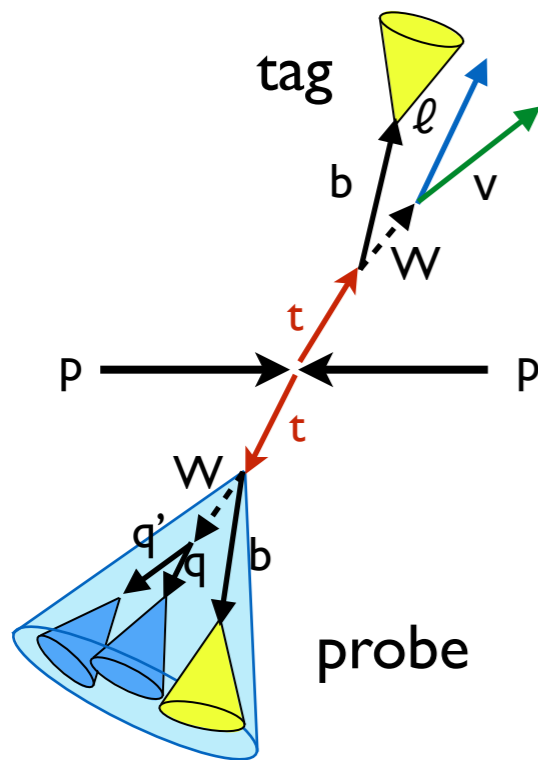


- ▶ ATLAS: Forward-folding approach for absolute scale and resolution
- ▶ CMS: Template fit to W peak in $t\bar{t}$ and W +jets
- ▶ Uncertainties of 1-2% (scale) and 10-20% (resolution) achieved

Tagging Efficiencies

Tag-and-probe measurements

- ▶ $t\bar{t}$ production for W and t tagging



- ▶ Extrapolations to Z and H from simulation

[CMS, DP-2020/025]

Standard model measurements

The Standard Model

$$\begin{aligned} \mathcal{L} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\ & + i \bar{\psi} \not{D} \psi + \text{h.c.} \\ & + \bar{\psi}_i Y_{ij} \psi_j \phi + \text{h.c.} \\ & + |D_\mu \phi|^2 - V(\phi) \end{aligned}$$

c (SLAC, Brookhaven '74)

τ (SLAC '75)

b (Fermilab '77)

g (DESY, '78-79)

W/Z (CERN '83)

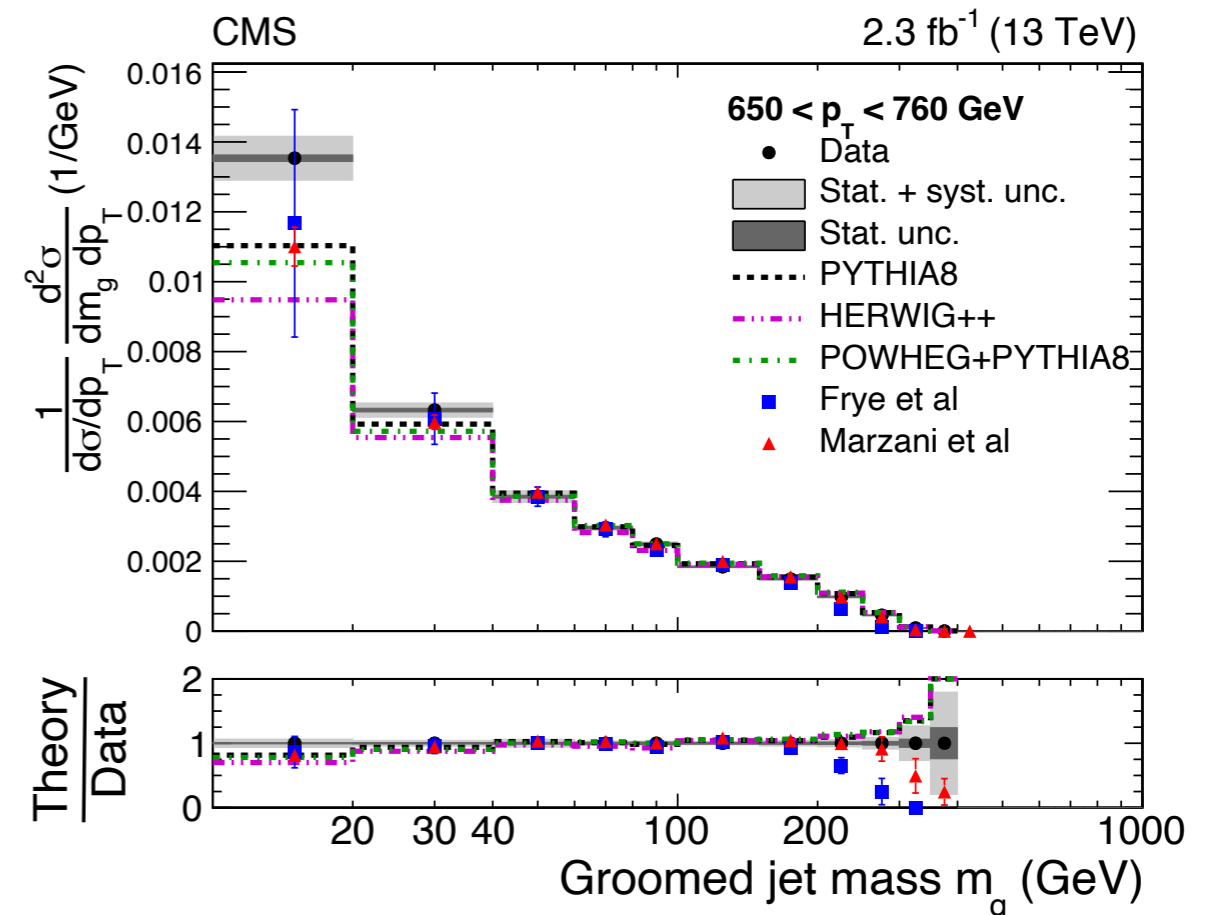
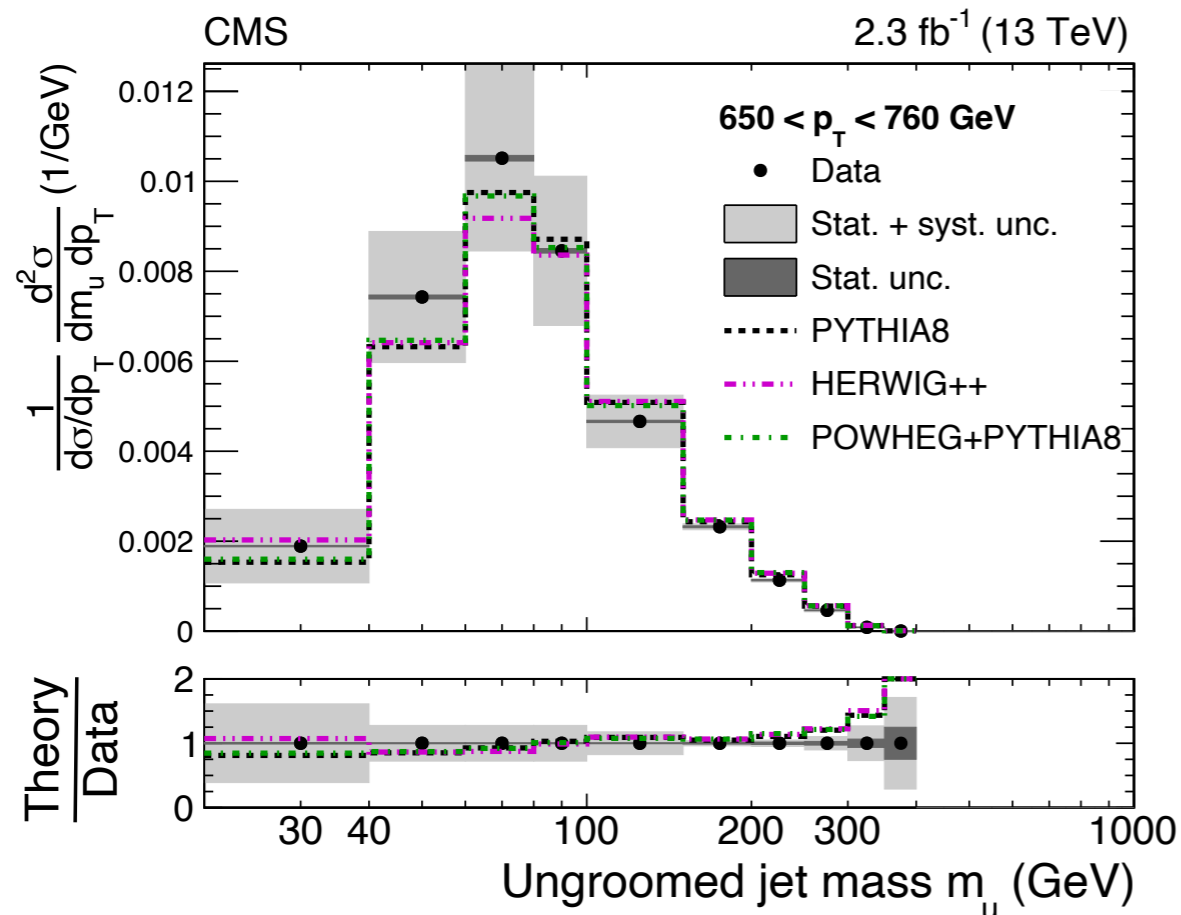
t (Fermilab '95)

... did not mention the ν sector

Yukawa interactions
(CERN '16-18)

H (CERN '12)
and its gauge interactions

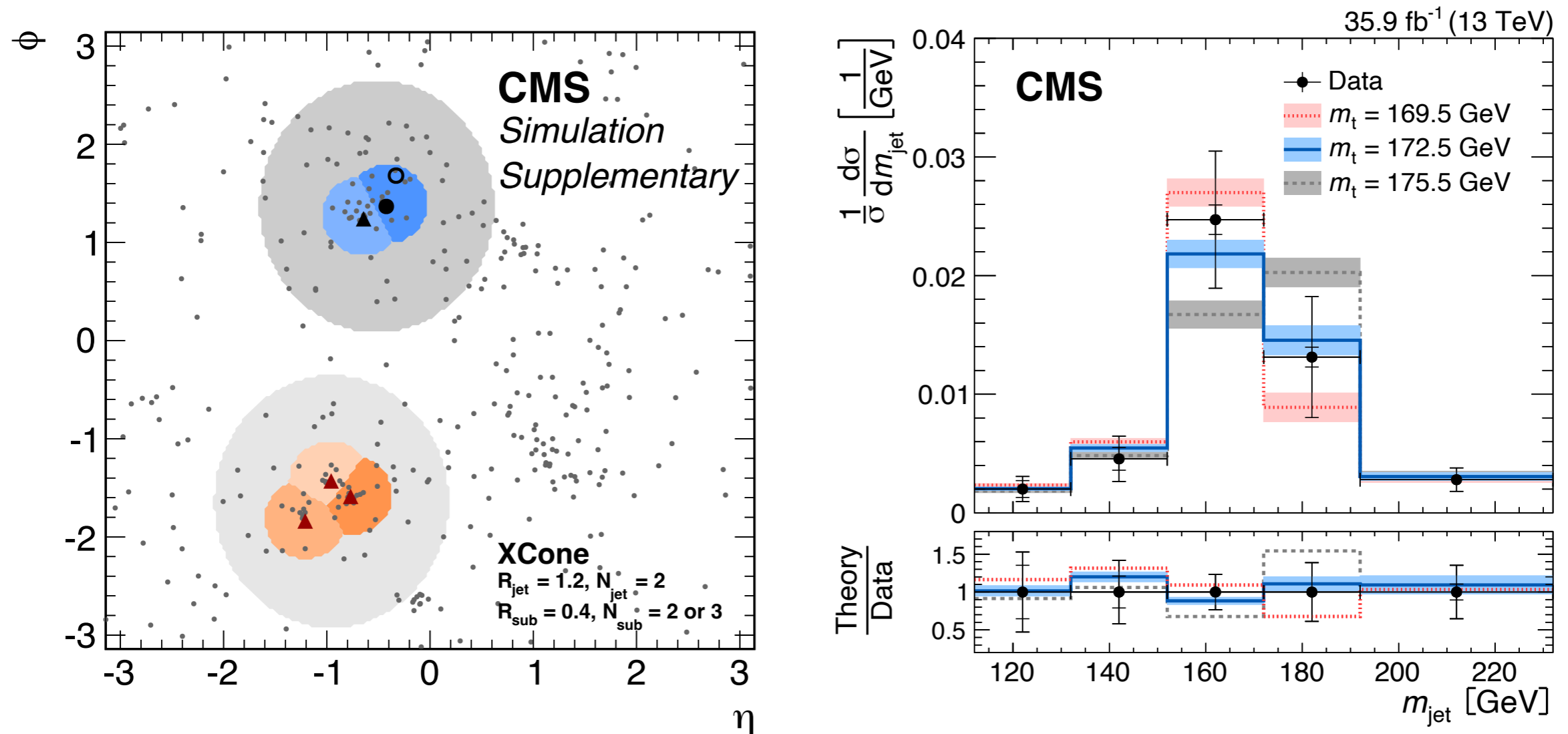
Jet mass of q/g jets



- ▶ Sudakov peak shifts to smaller values for soft drop grooming
- ▶ Soft drop grooming reduces modelling uncertainties

[CMS, JHEP 11, 113 (2018)]

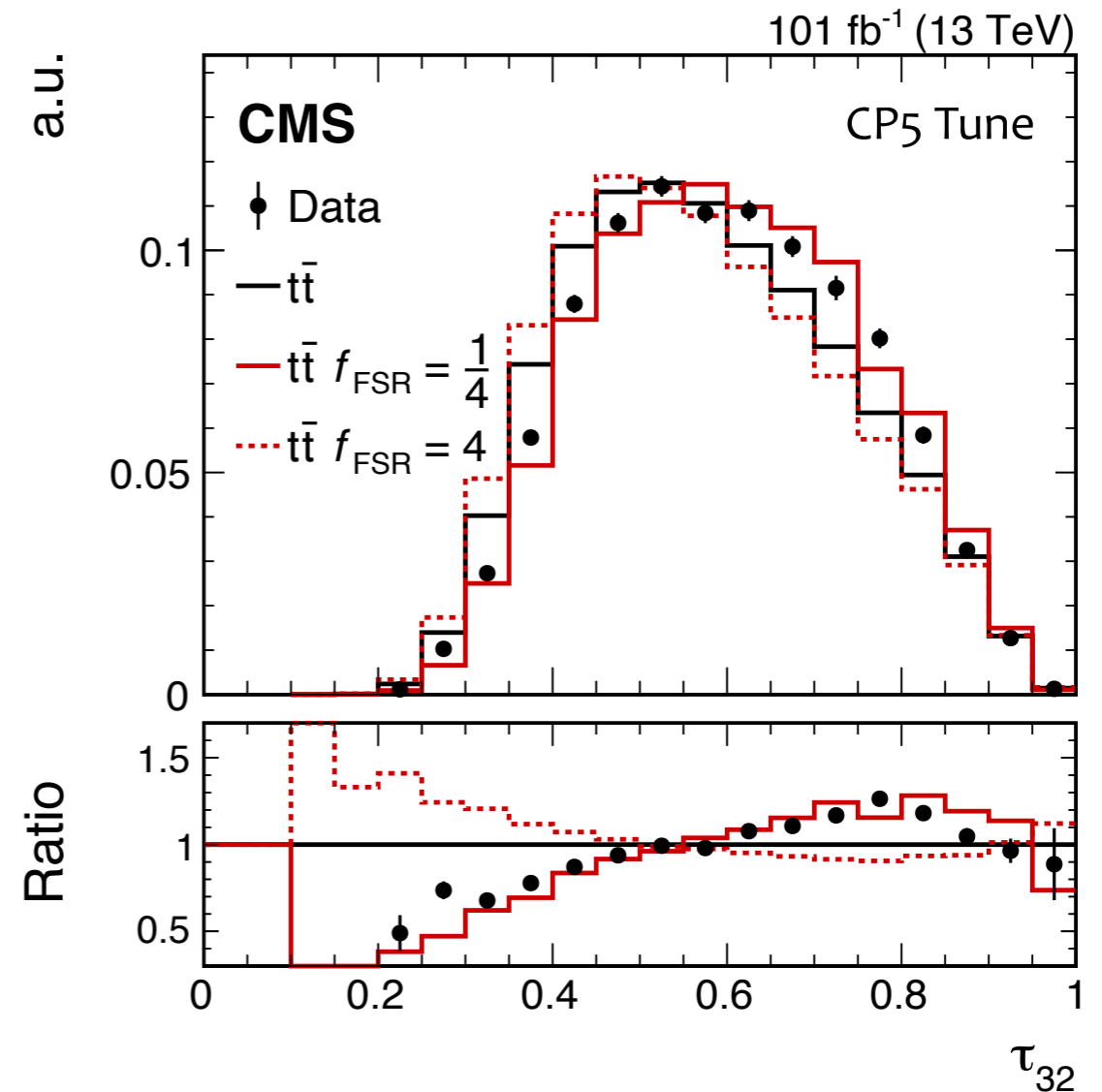
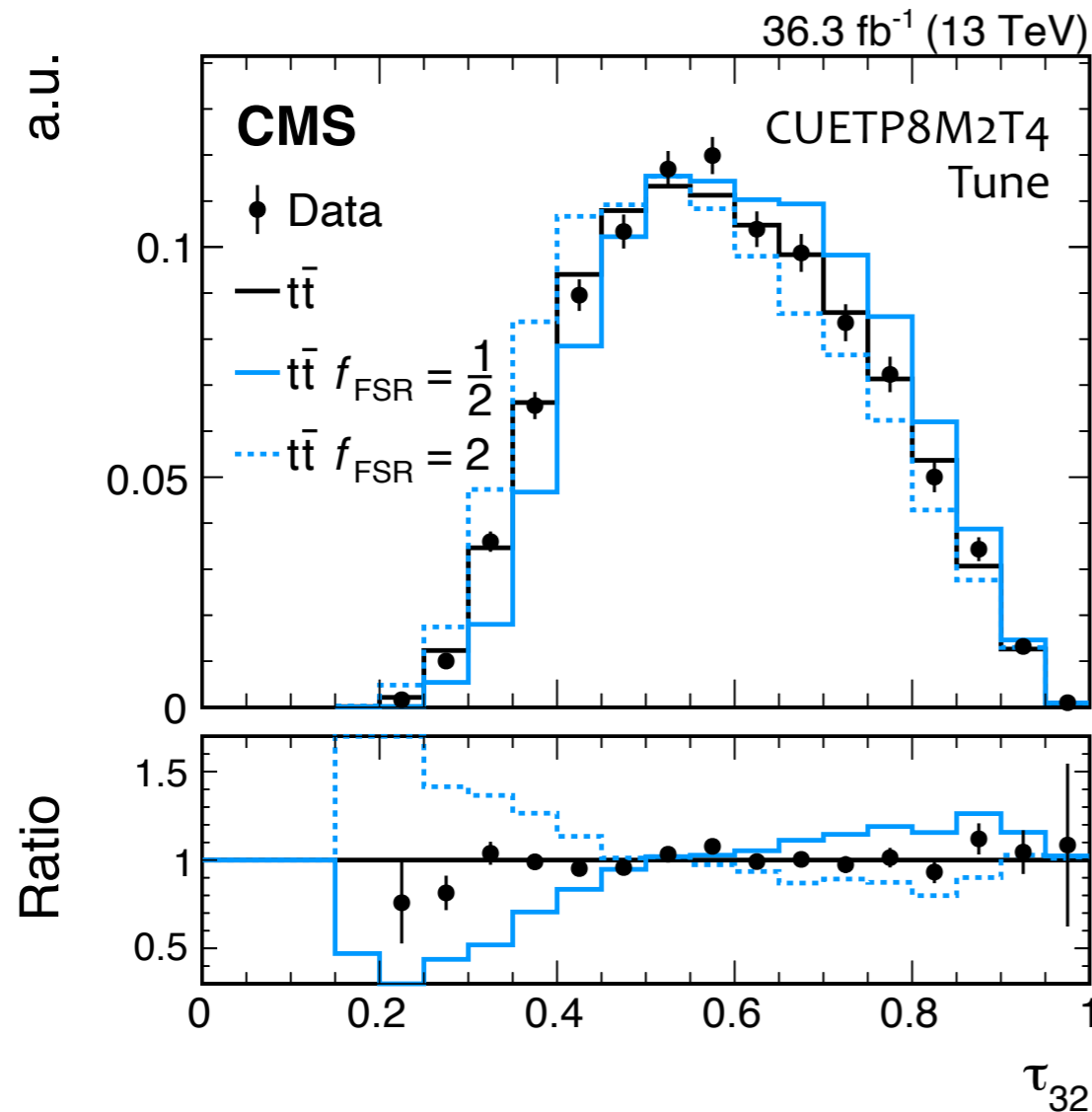
Jet mass of top quark jets



- ▶ X Cone clustering improves mass resolution by a factor of two
- ▶ Measurement of top quark mass: $m_t = 172.6 \pm 2.5 \text{ GeV}$
 - Equal size of stat, modelling and JES uncertainties

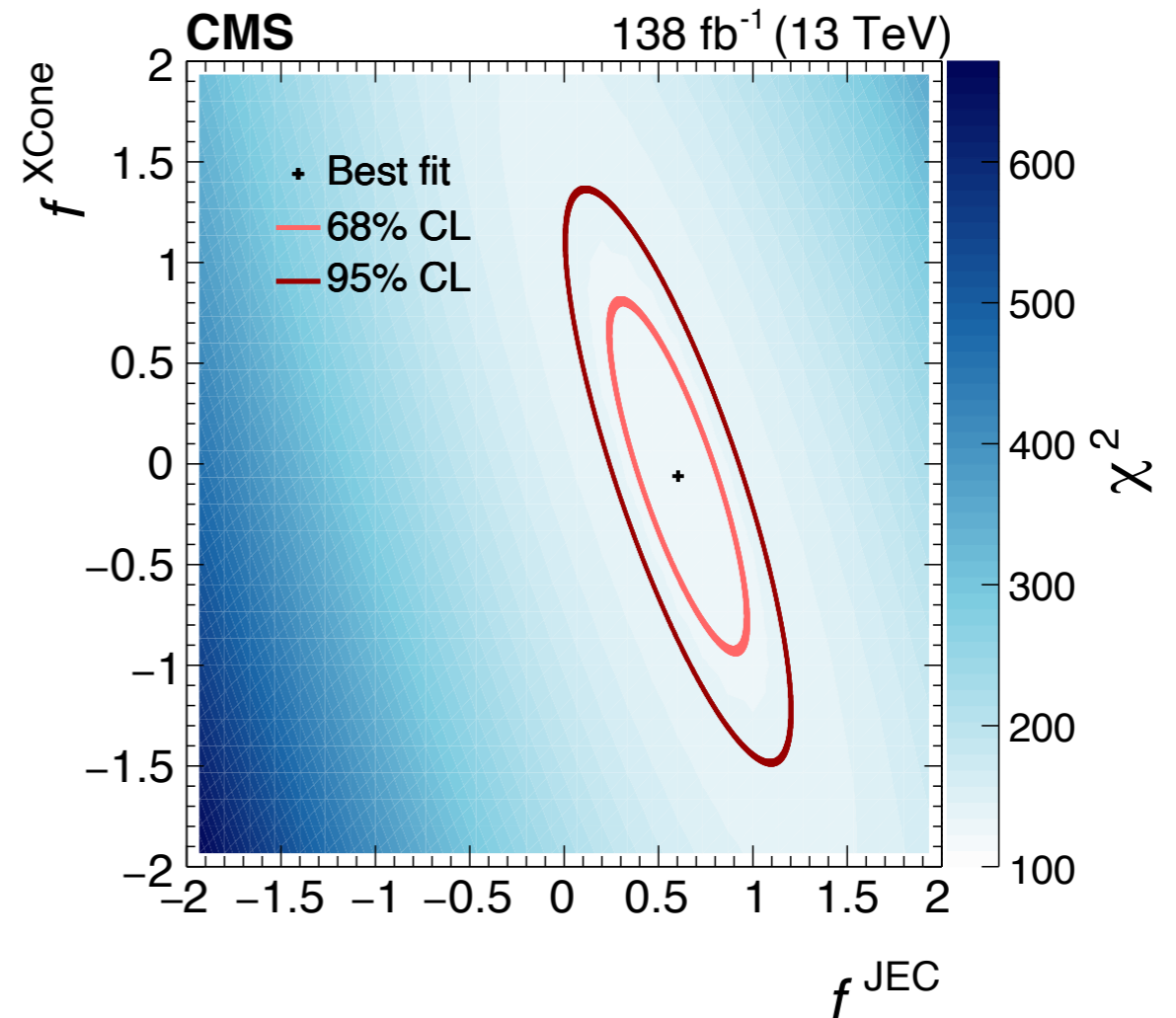
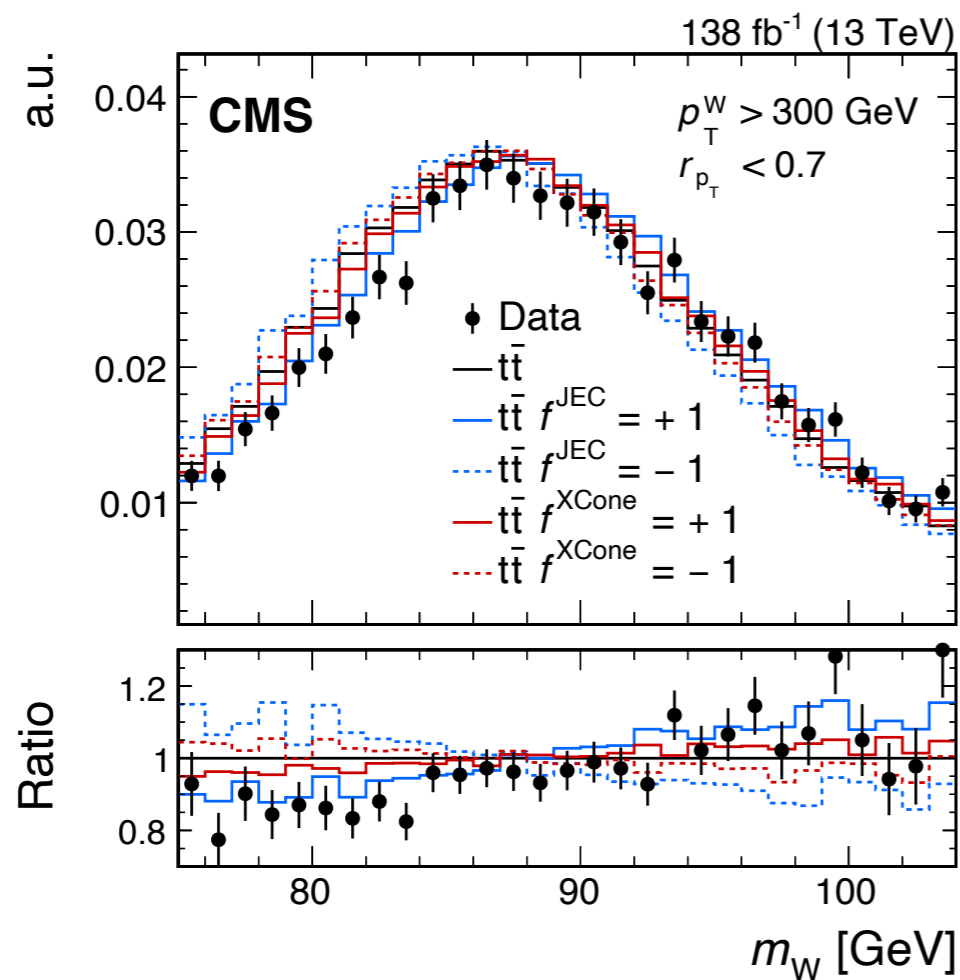
[CMS, PRL 124, 202001 (2020)]

Constraining the Parton Shower



- ▶ Adjust f_{FSR} in $\alpha_s^{\text{FSR}}(f_{\text{FSR}} \mu_0)$, equivalent to choosing different $\alpha_s^{\text{FSR}}(M_Z)$

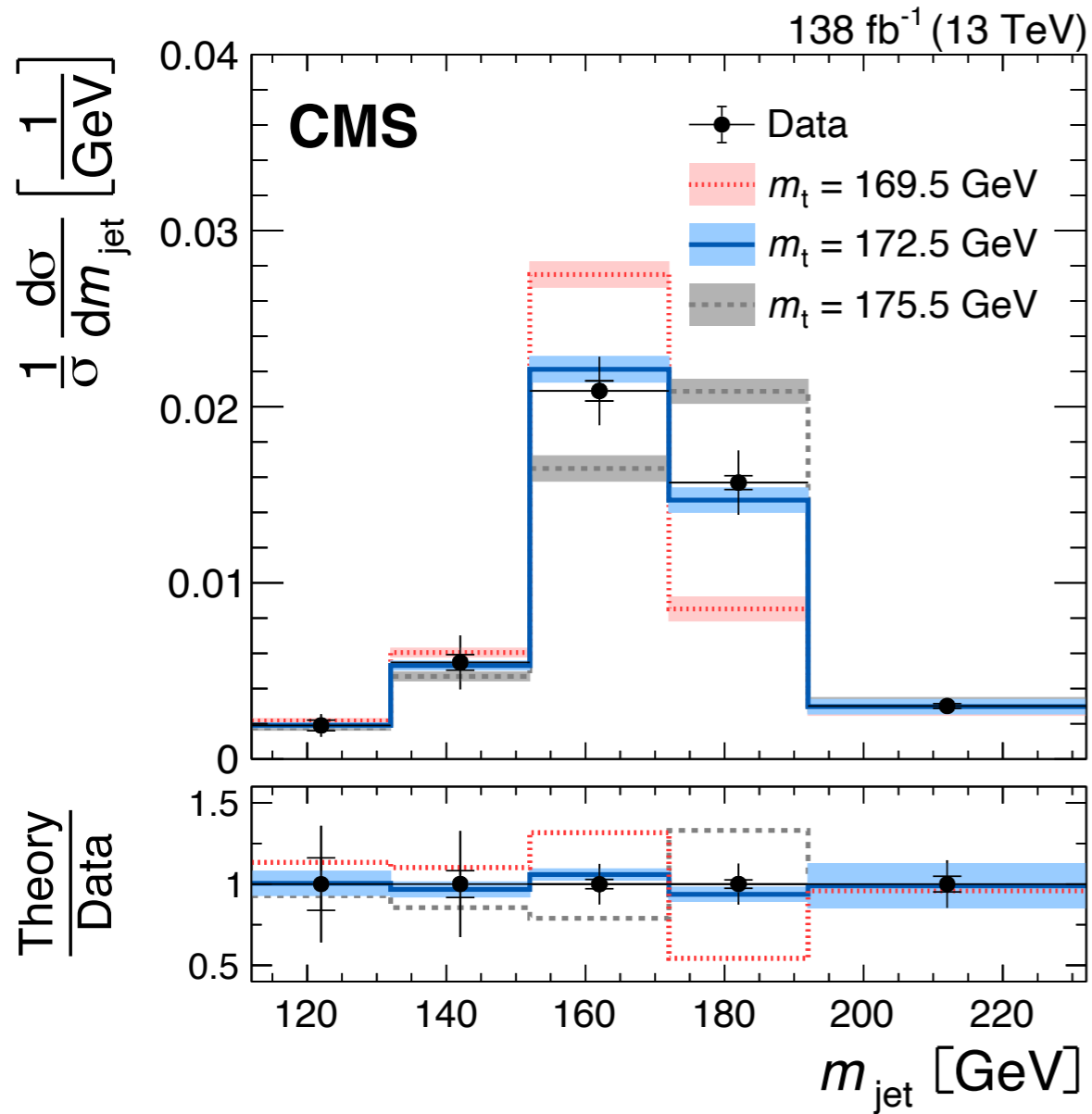
Jet mass calibration



- ▶ Reconstruct m_W using the two light-flavoured subjets
- ▶ Measure m_W in four regions
- ▶ Measure jet mass scale (JMS) by adjusting X Cone and JES corrections

[CMS, EPJC 83, 560 (2023)]

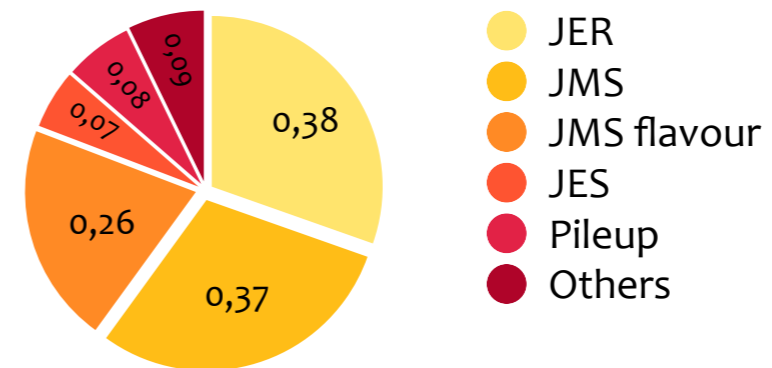
After many improvements...



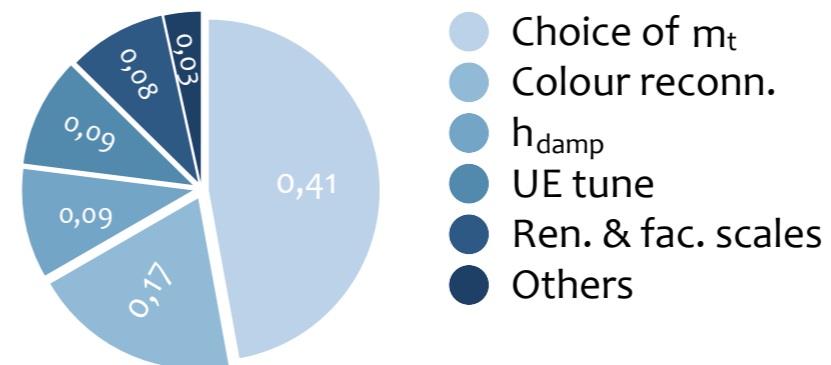
$$m_t = 173.06 \pm 0.84 \text{ GeV}$$

$$\pm 0.24 \text{ (stat)} \pm 0.61 \text{ (exp)} \pm 0.47 \text{ (model)} \pm 0.23 \text{ (theo)} \text{ GeV}$$

Experimental [GeV]

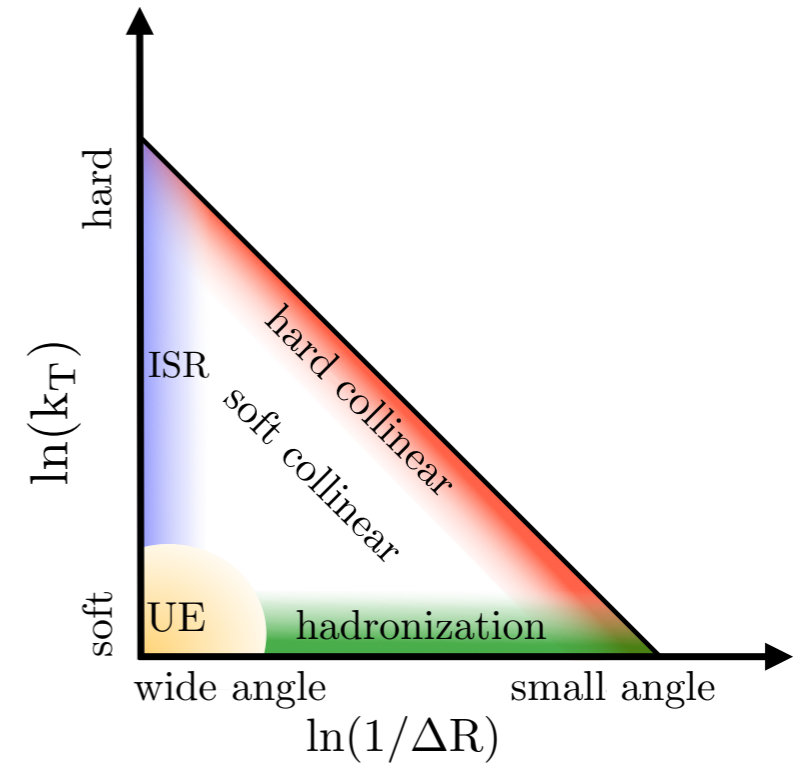
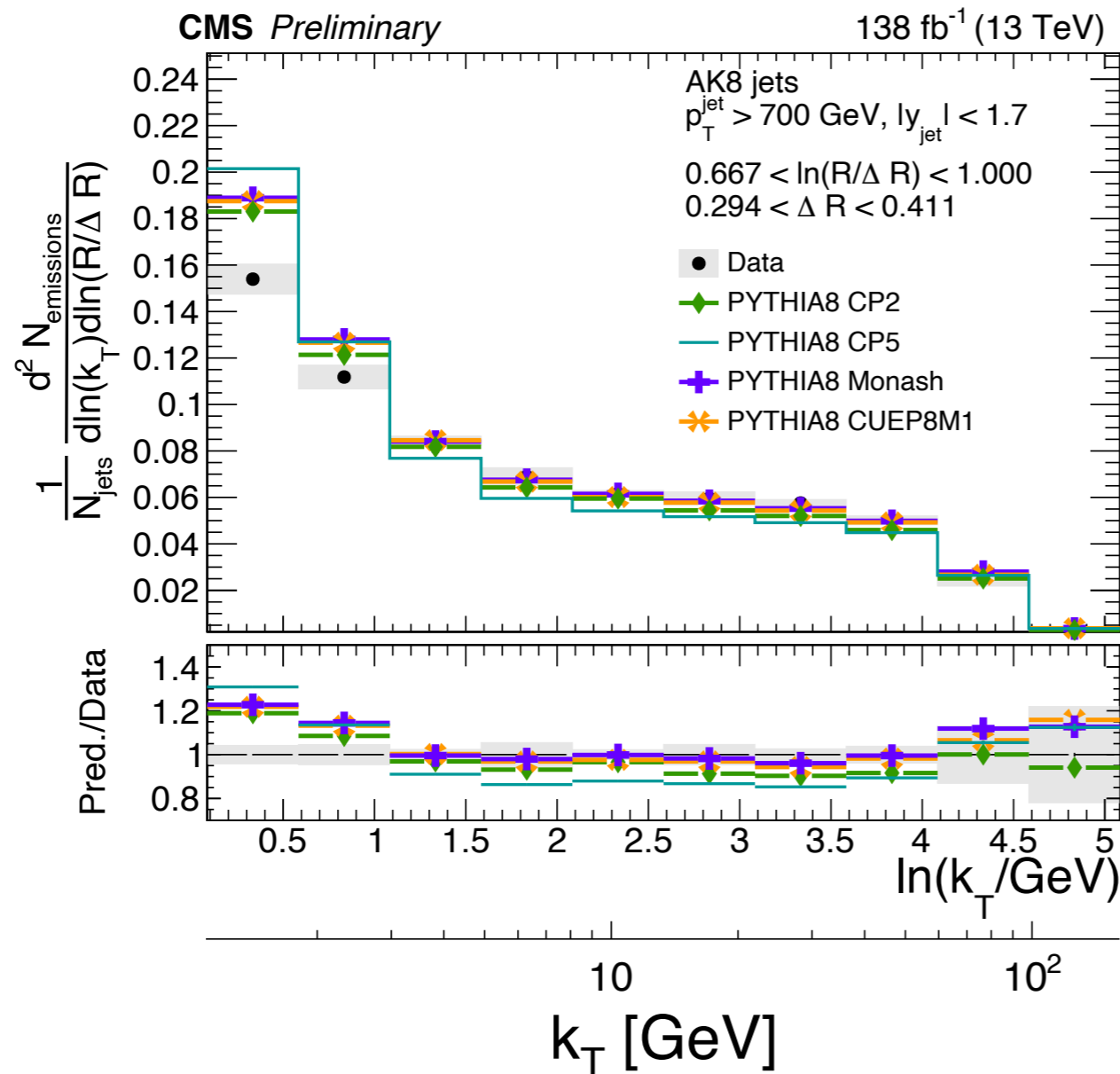


Model [GeV]



[CMS, EPJC 83, 560 (2023)]

Dedicated Measurements

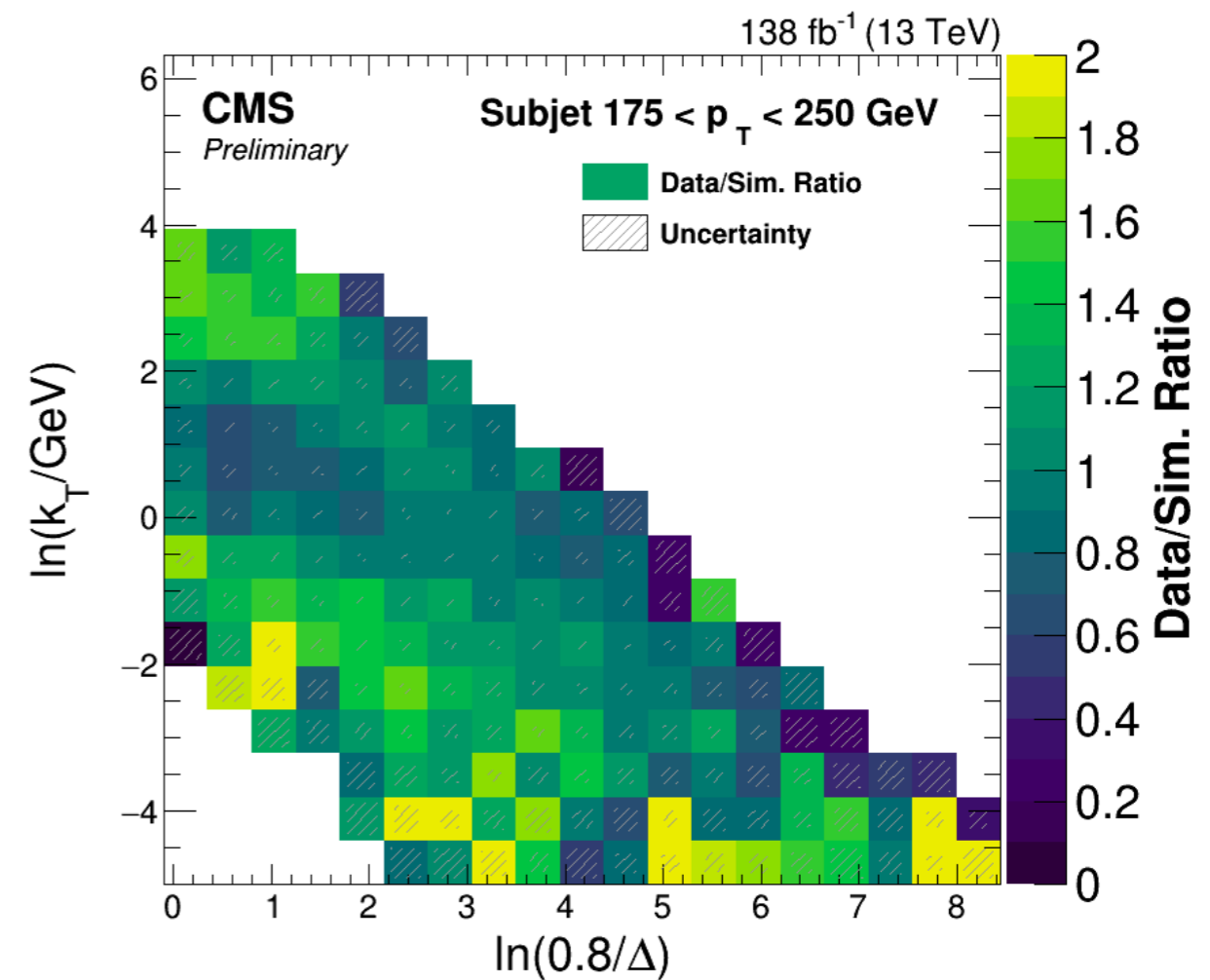
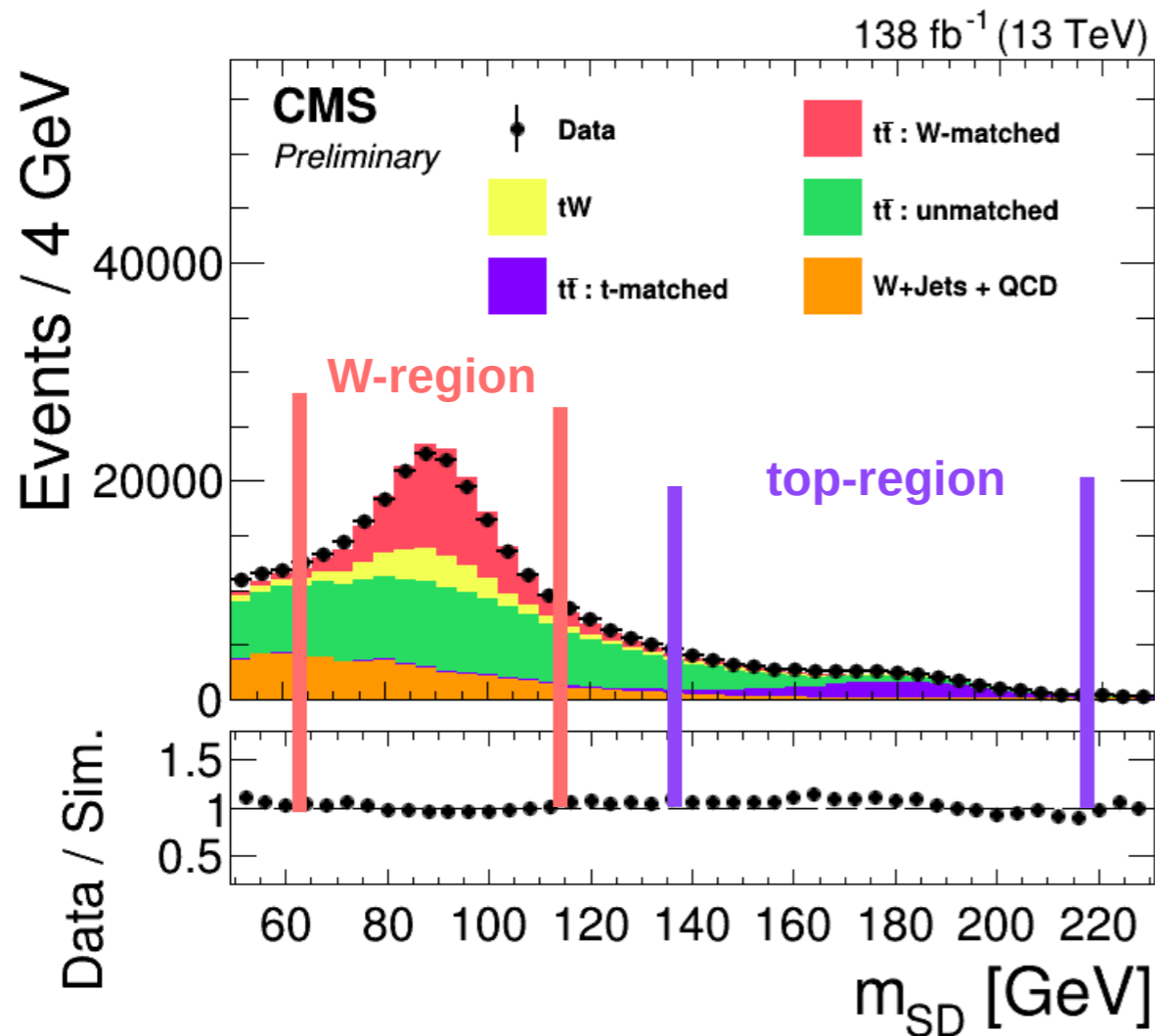


- ▶ Unfolded measurement of Lund jet plane
- ▶ Can be used to test new PS / hadronization models and tunes

[CMS, PAS-SMP-22-007 (2023)]

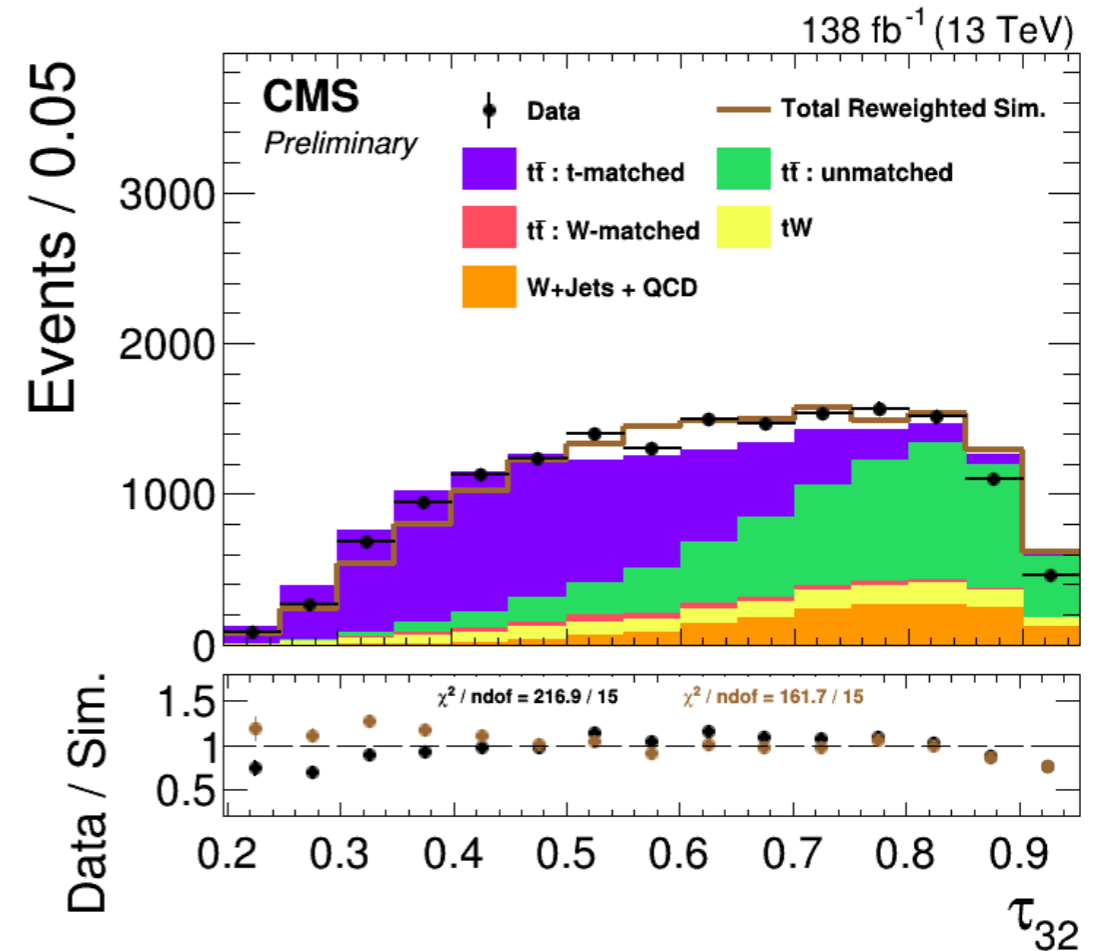
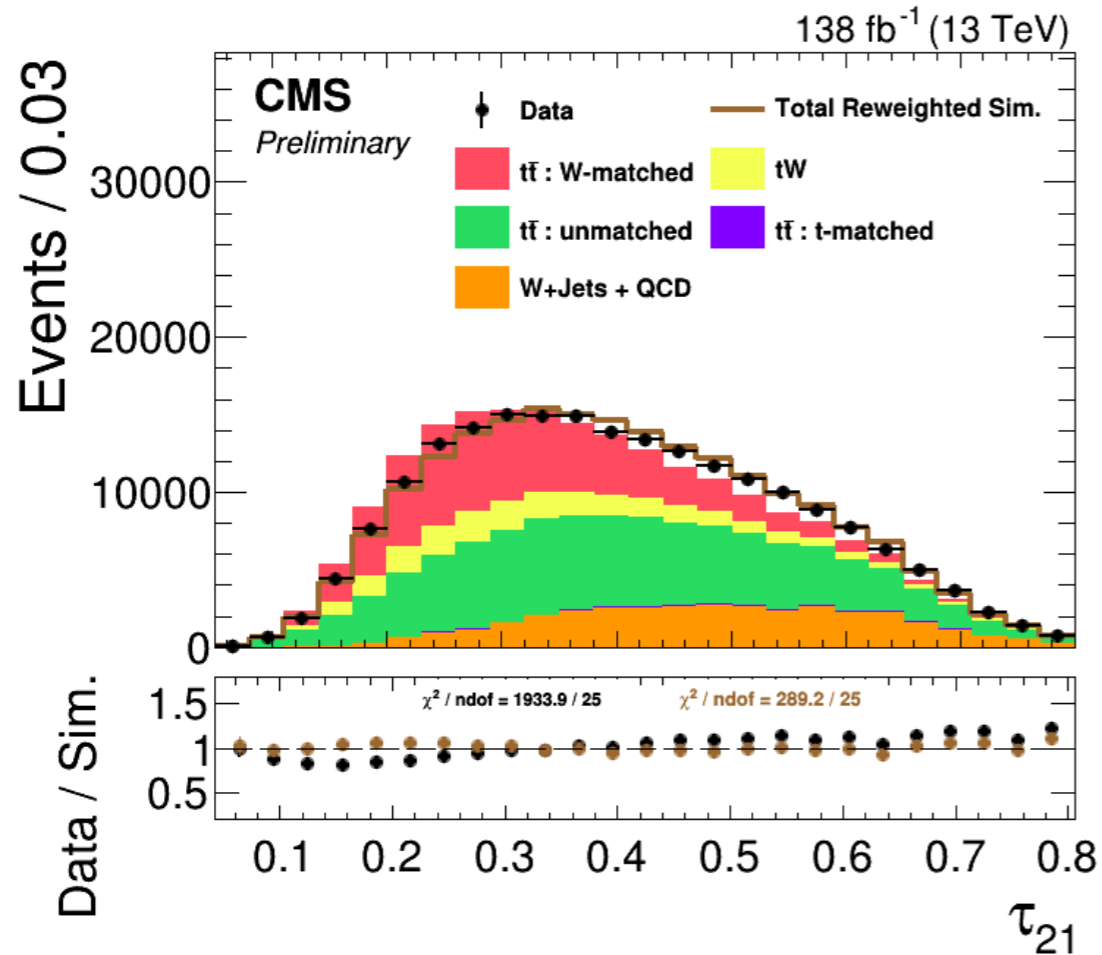
Ad-hoc Corrections with LJP

- ▶ Derive corrections ‘per prong’ to calibrate $N > 3$ prong jets
- ▶ Use merged W two-prongs to derive corrections, test on top quarks



[CMS, DP-2023/046]

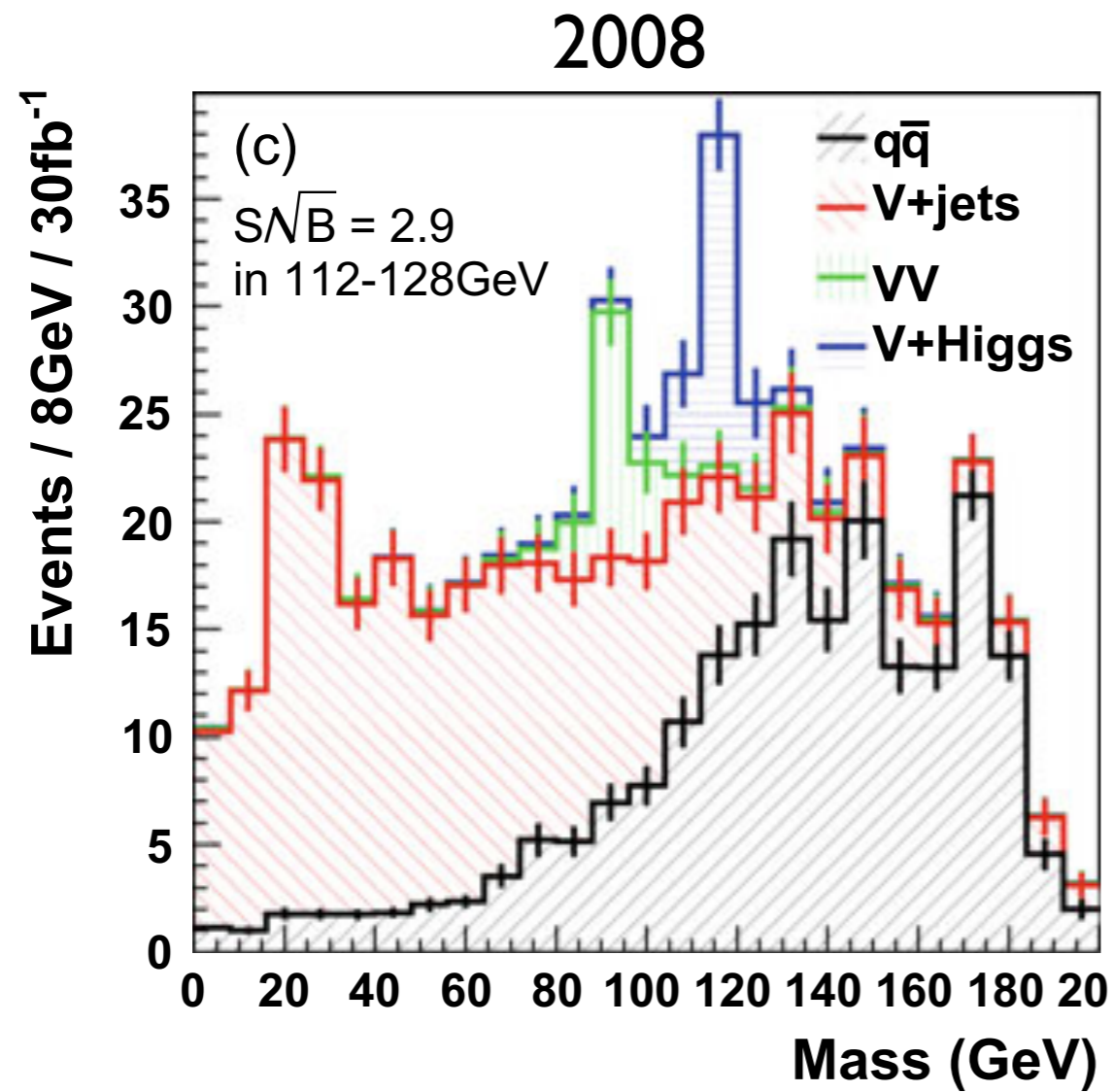
Ad-hoc Corrections with LJP



- ▶ Correction works perfectly for boosted Ws
- ▶ Improvement for boosted top quarks, but uncertainties of 15-20%
- ▶ Best way to calibrate high-prong decays ($H \rightarrow 4q$, BSM)

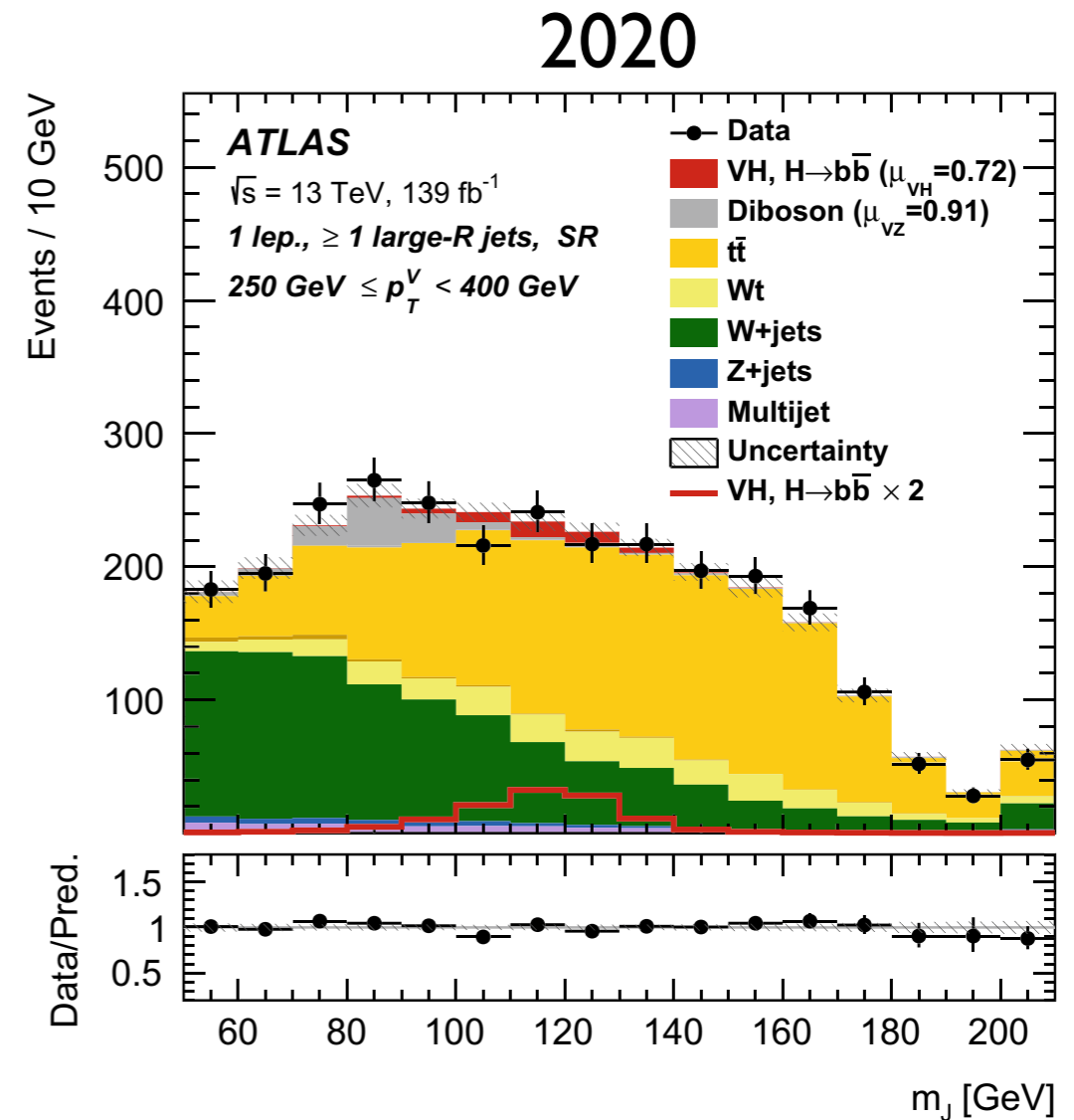
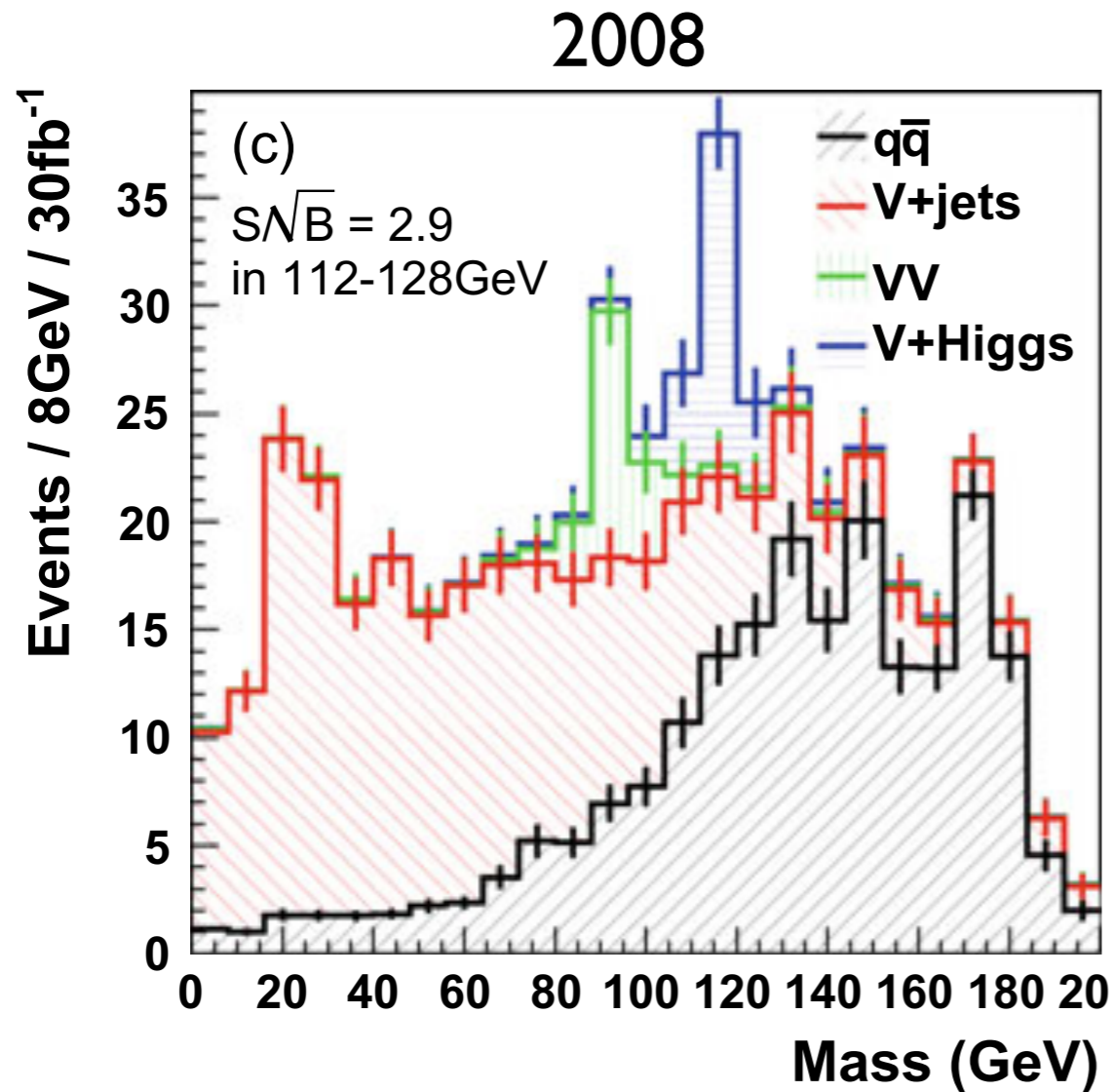
[CMS, DP-2023/046]

Higgs production in $VH(b\bar{b})$



[J. M. Butterworth et al, PRL 100, 242001 (2008)]

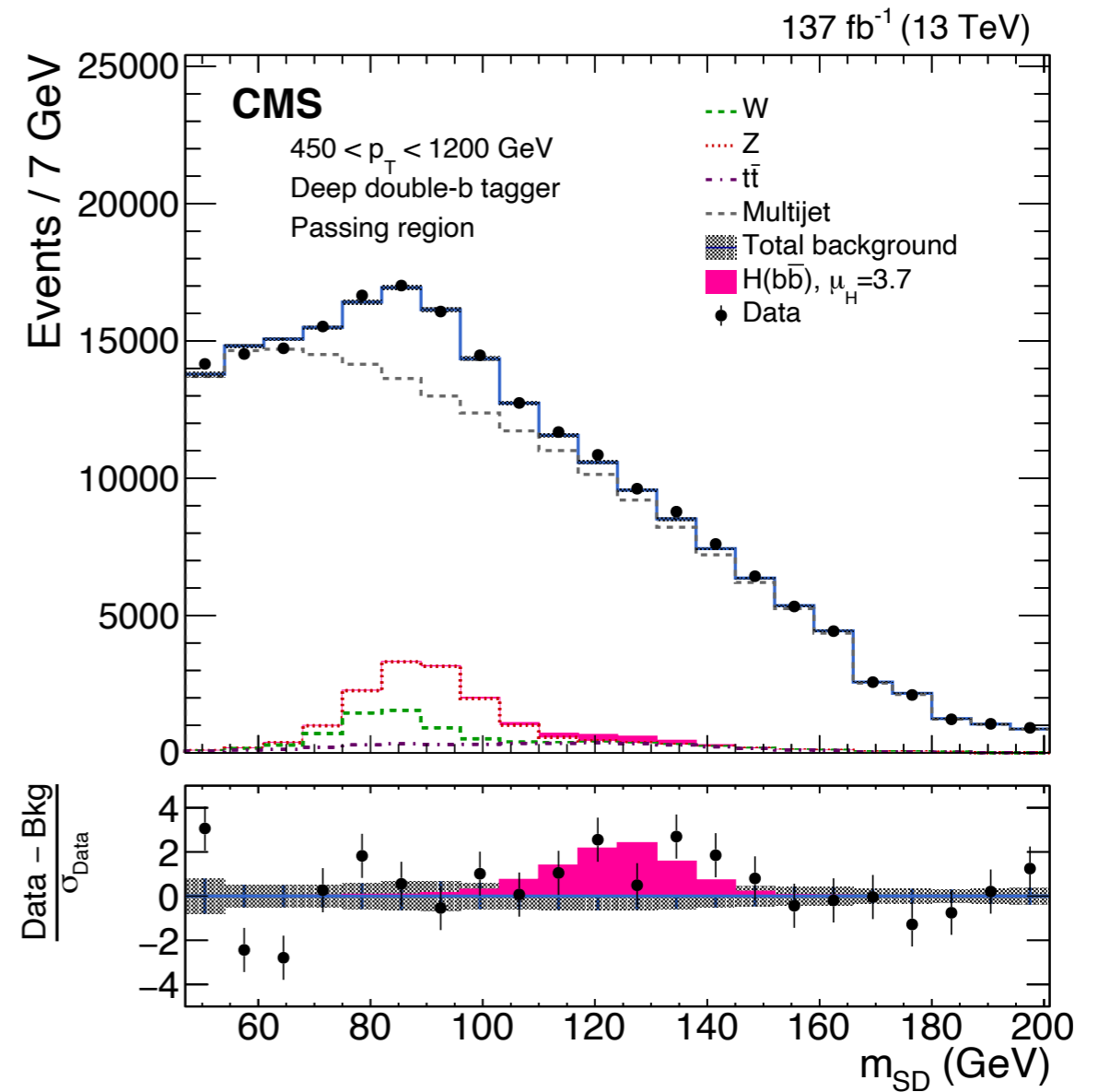
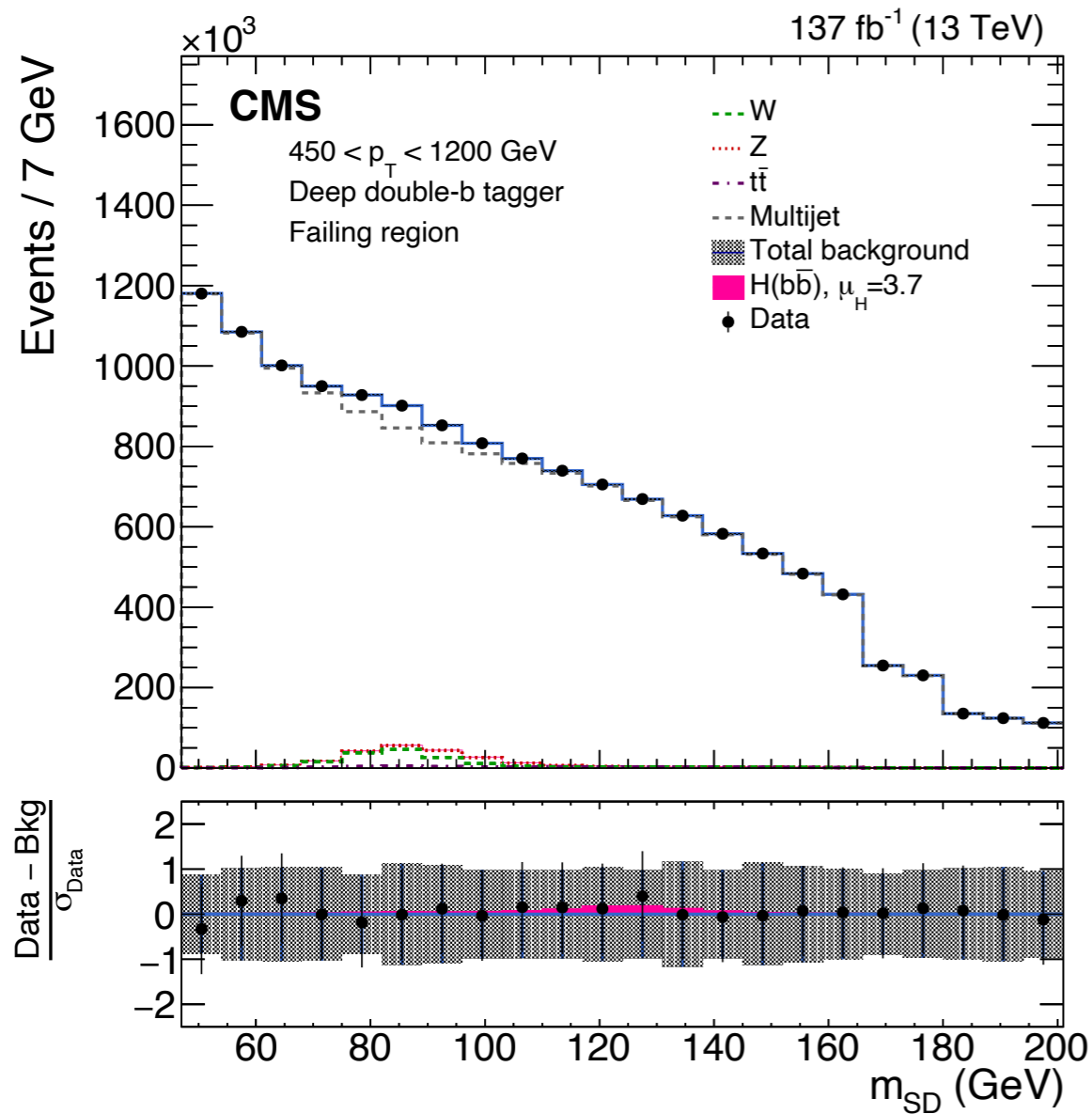
Higgs production in $VH(b\bar{b})$



[J. M. Butterworth et al, PRL 100, 242001 (2008)]

[ATLAS, PLB 816, 136204 (2021)]

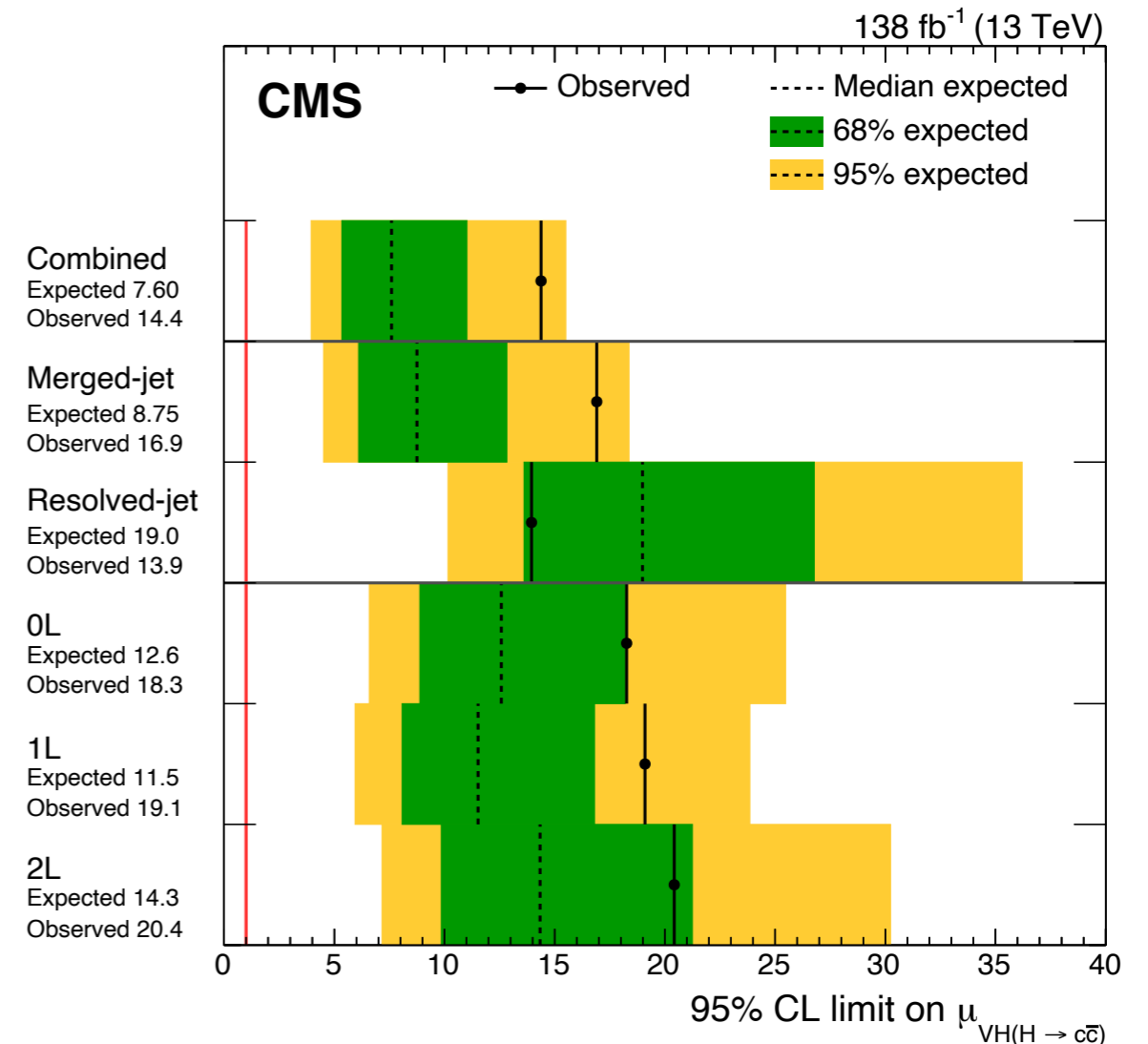
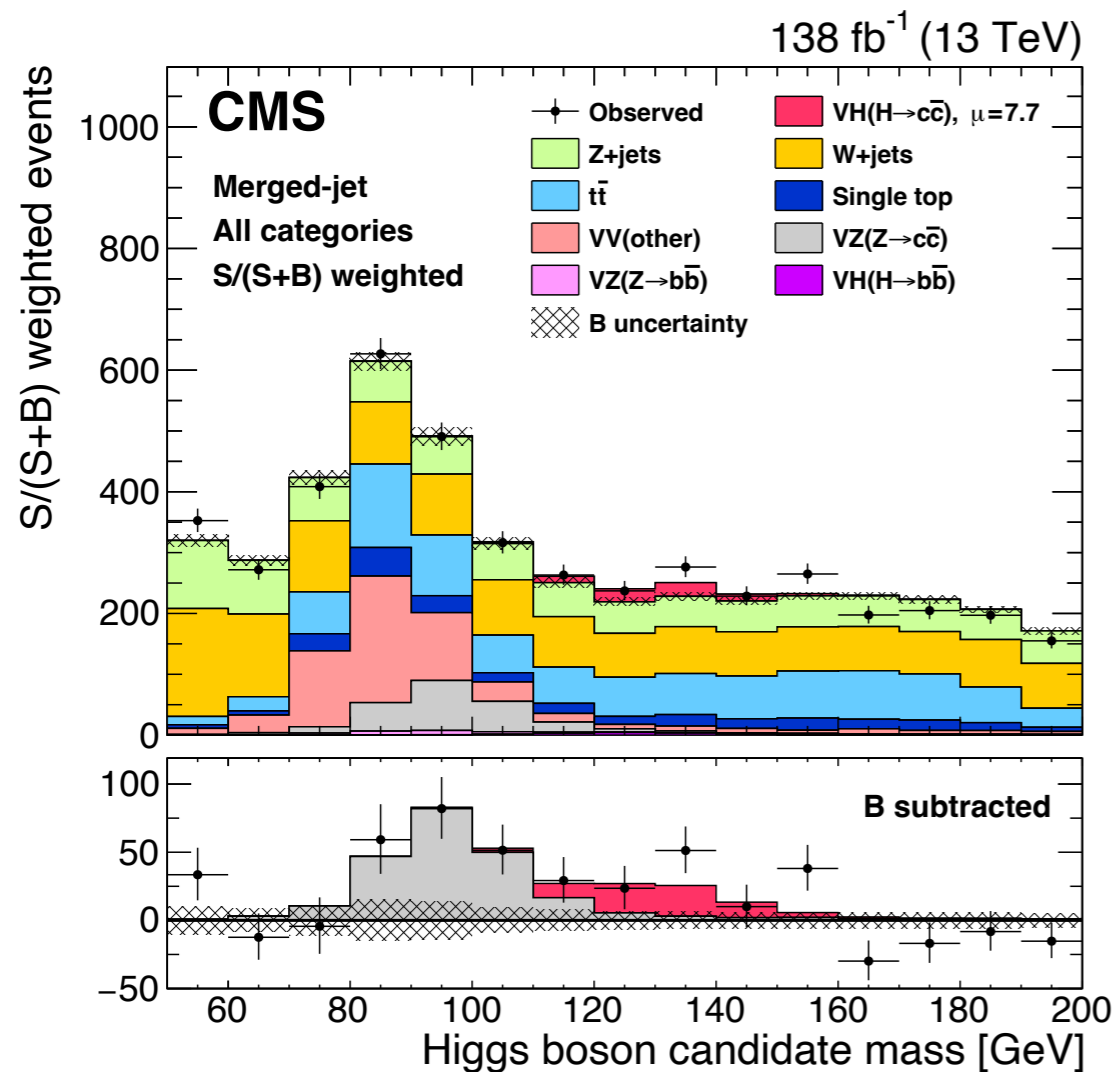
H(bb) in ggF



- ▶ Multijet background prediction from pass-to-fail ratio
- ▶ Observed significance of 2.5σ (0.7 expected)

[CMS, JHEP 12, 085 (2020)]

Doing the impossible: $H(c\bar{c})$

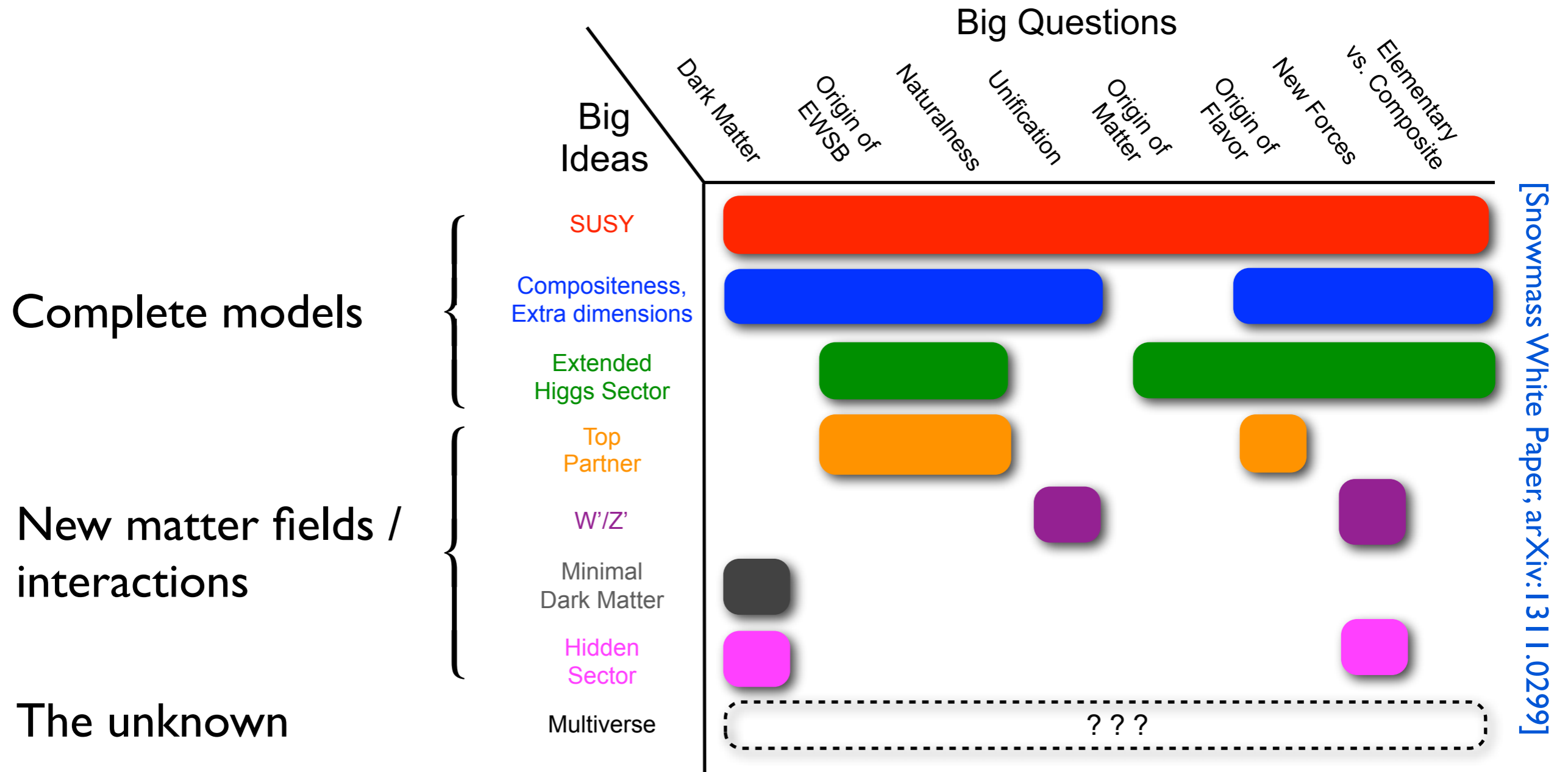


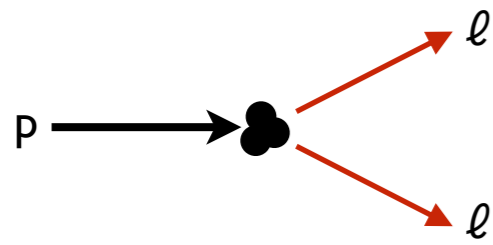
- ▶ Made possible by ParticleNet tagger (graph-based NN)
- ▶ Observed significance $14 \times$ SM ($7.6 \times$ SM expected)

[CMS, PRL 131, 061801 (2023)]

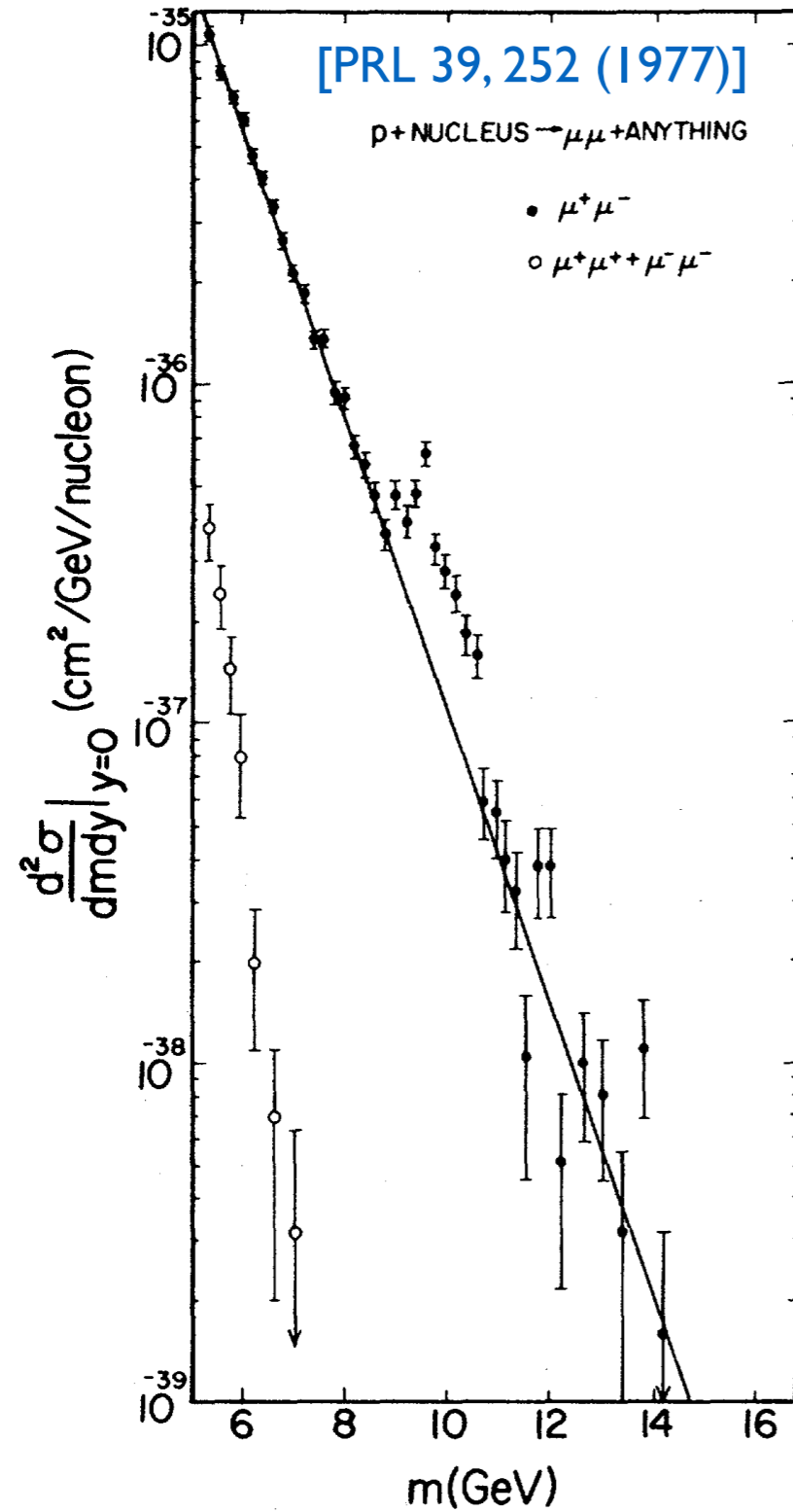
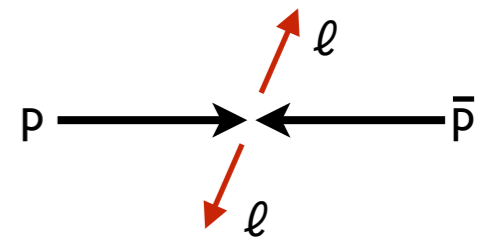
Searches for new phenomena

Beyond the Standard Model

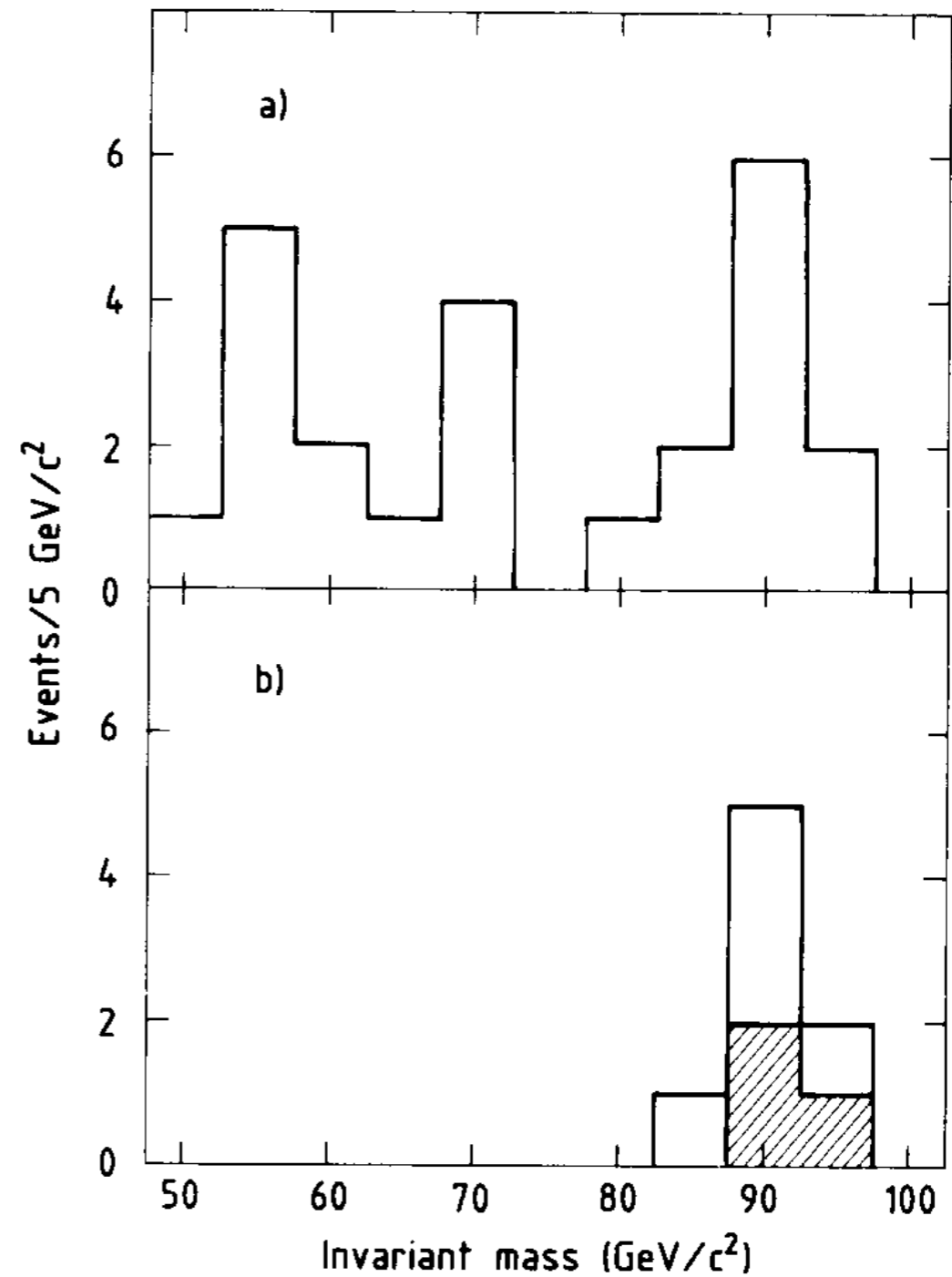


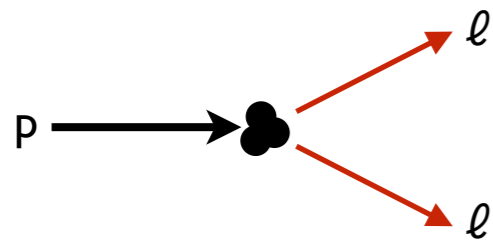


$l\bar{l}$ Resonances

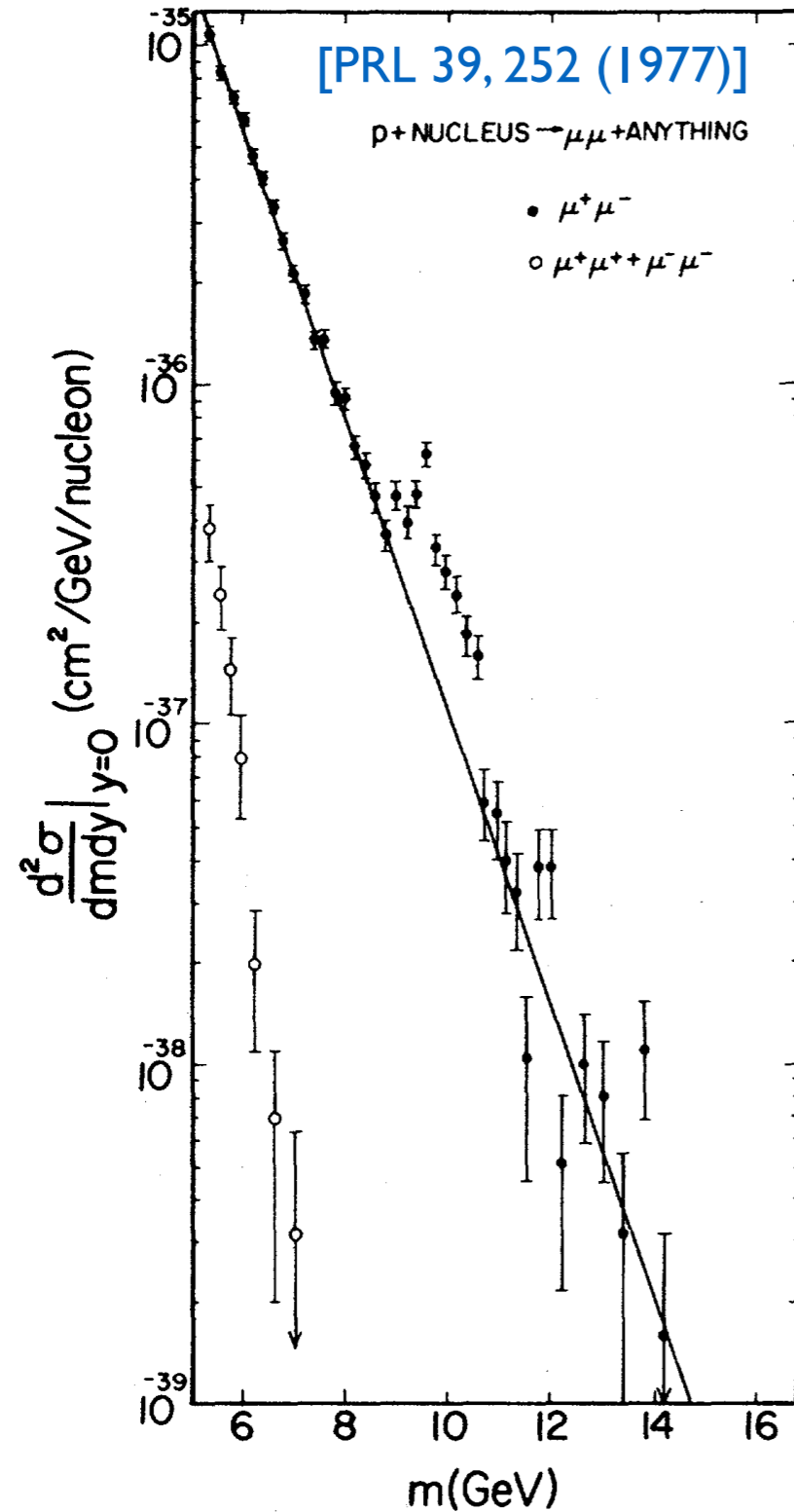
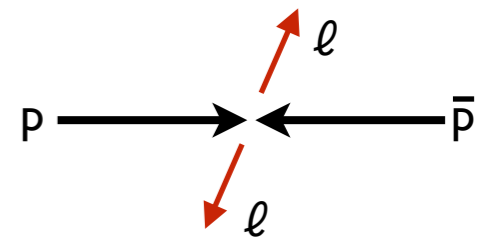


[UAI, PLB126, 398 (1983)
UA2, PLB129, 130 (1983)]

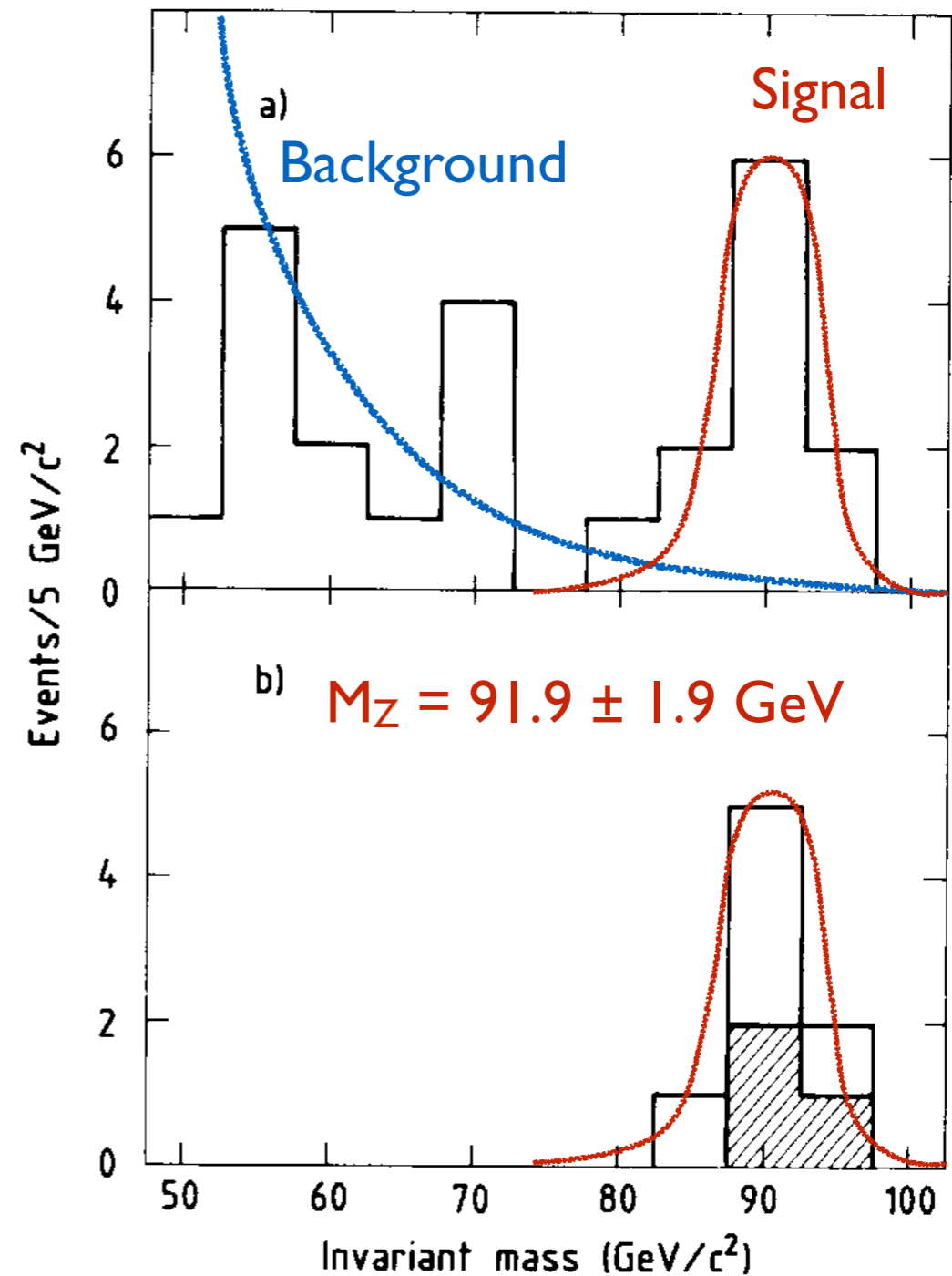




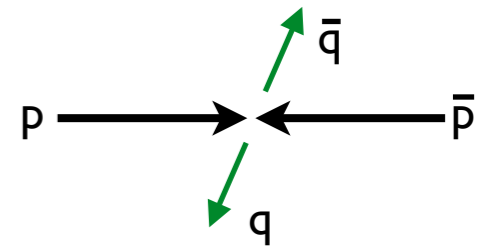
$l\bar{l}$ Resonances



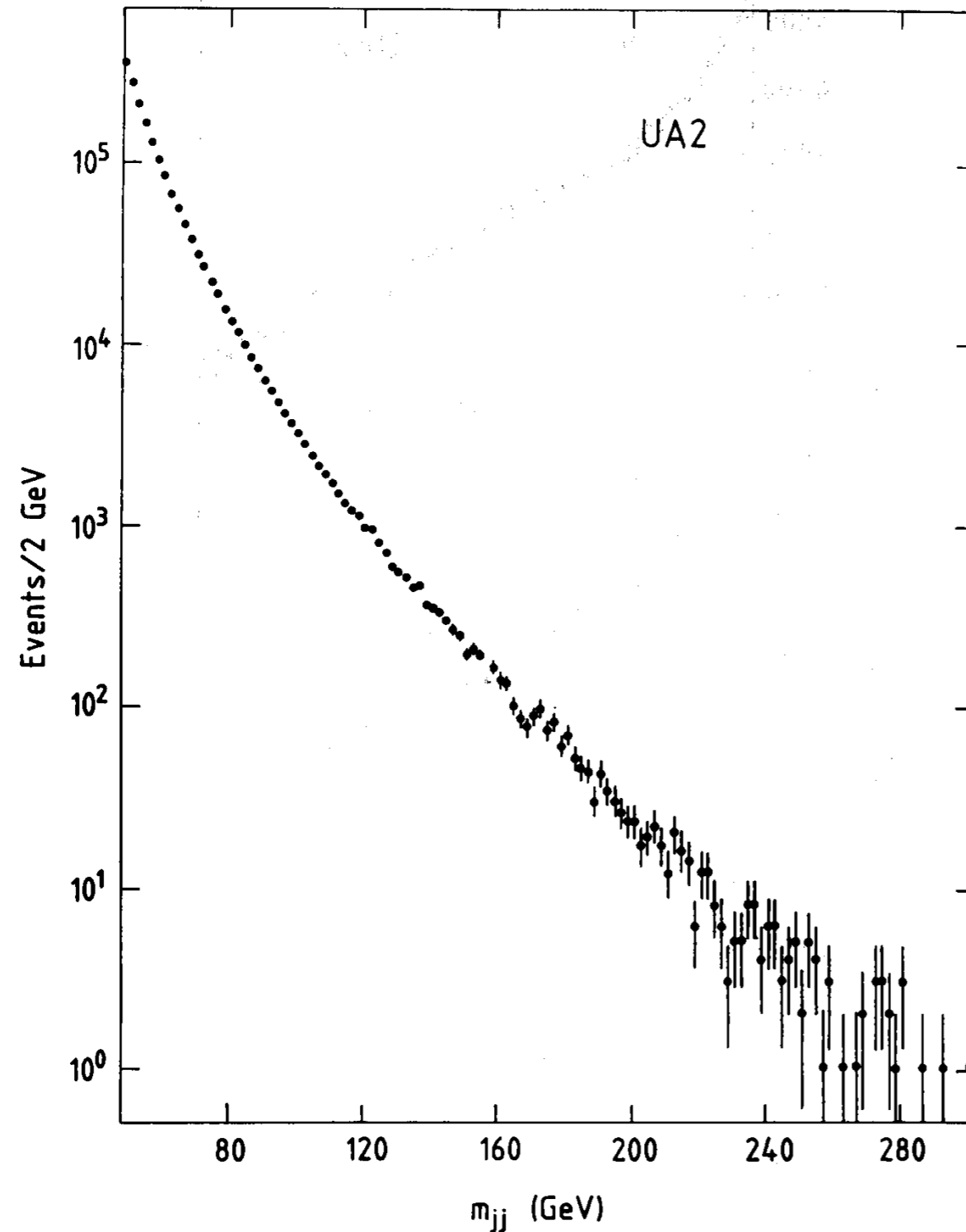
[UA1, PLB126, 398 (1983)
UA2, PLB129, 130 (1983)]



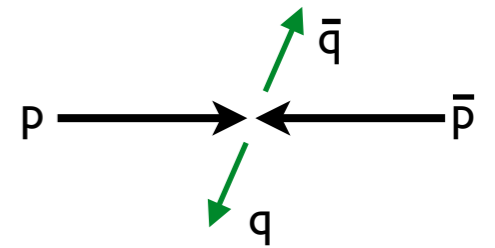
qq/gg Resonances



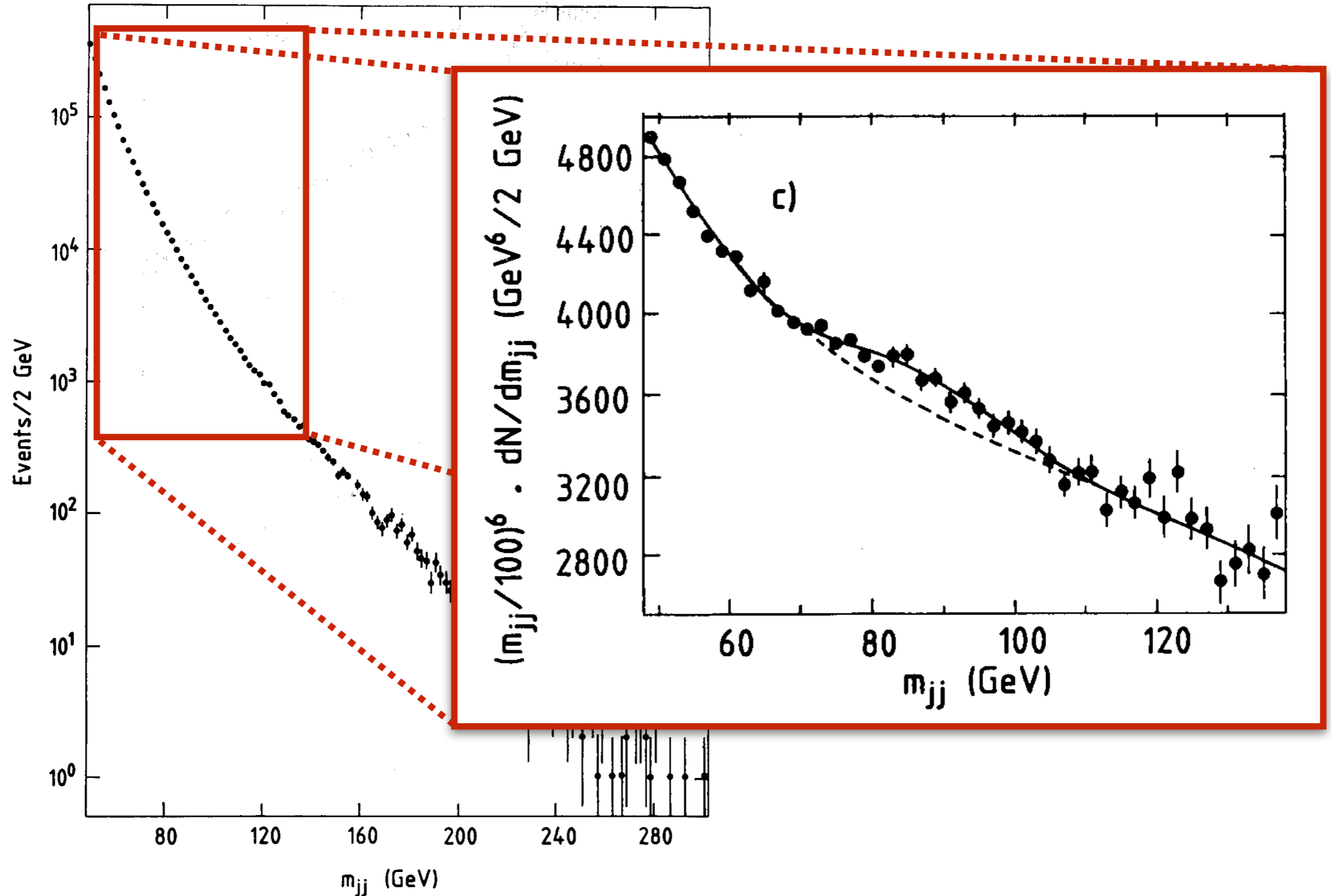
[UA2, Z. Phys. C 49, 17 (1991)]



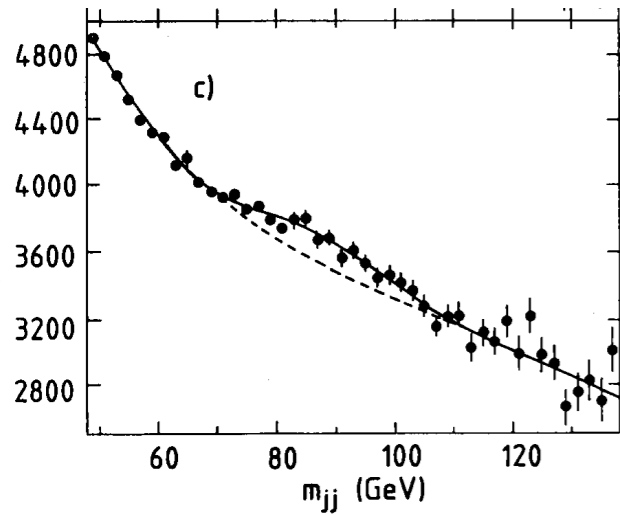
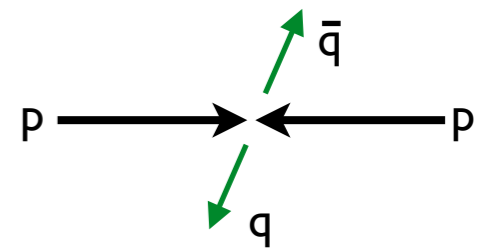
qq/gg Resonances



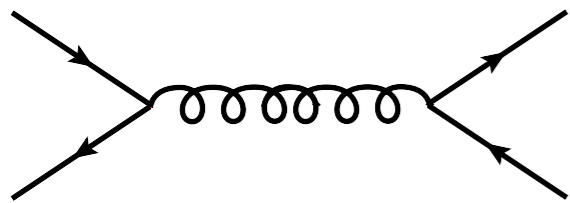
[UA2, Z. Phys. C 49, 17 (1991)]



qq/gg Resonances



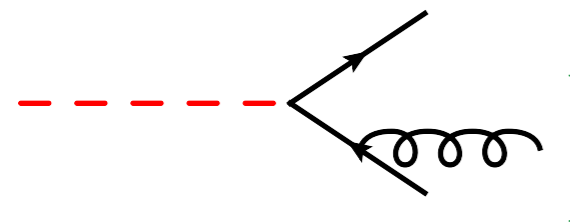
SM



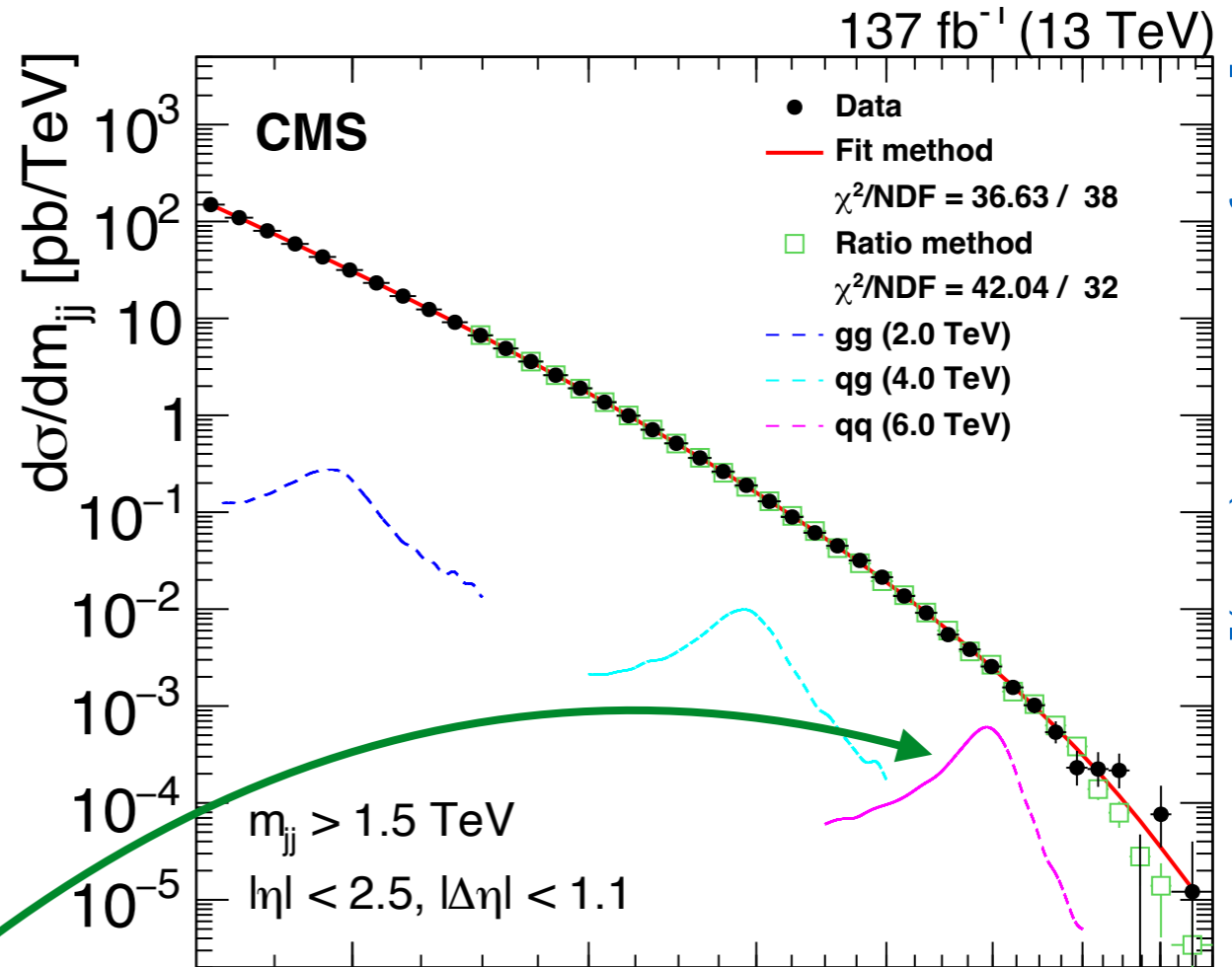
BSM



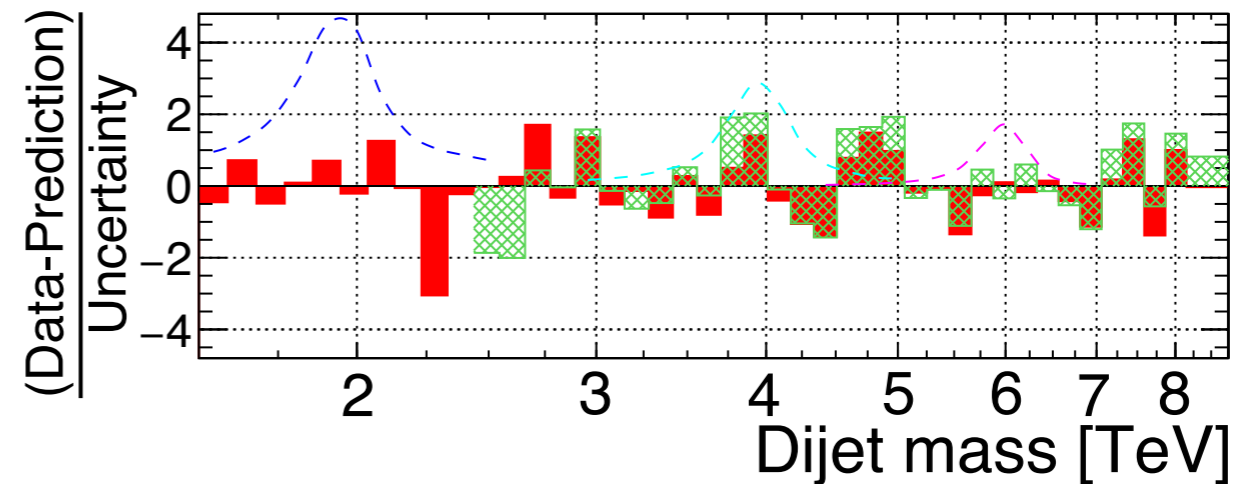
H.O.



combine

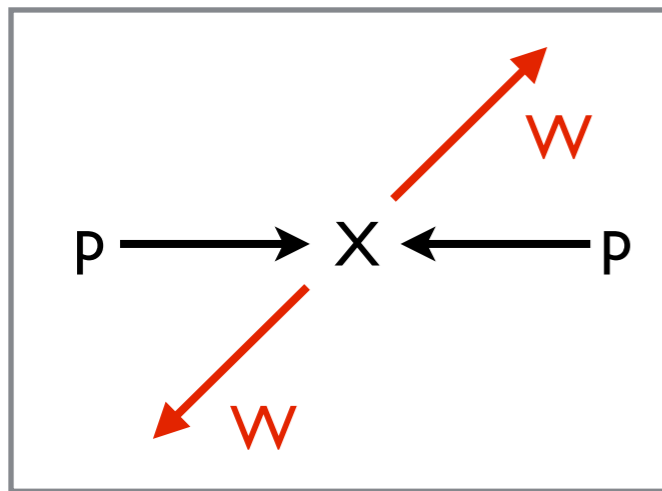


[CMS, JHEP 05, 033 (2020)]

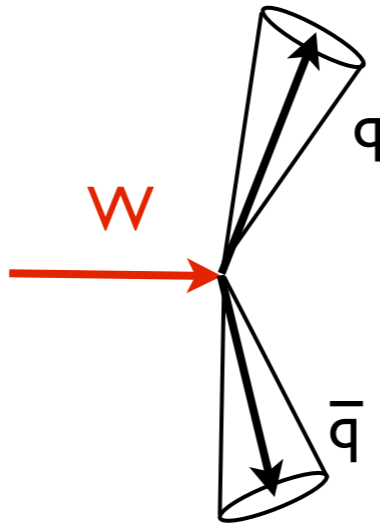


Boost!

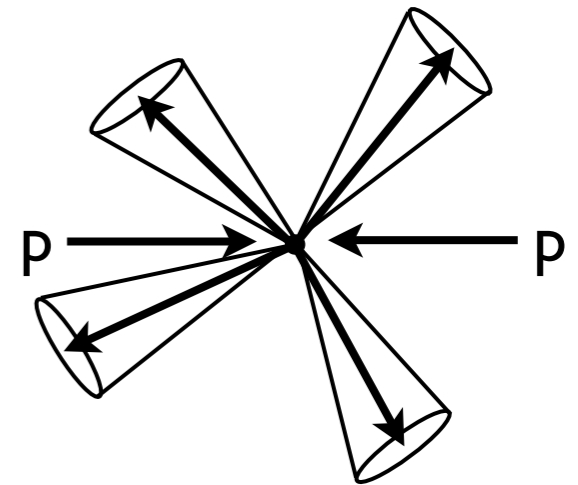
$pp \rightarrow X \rightarrow WW \rightarrow \text{Jets}$



$M_X \sim 2 M_W$
 p_T^W small, $\gamma \approx 5$



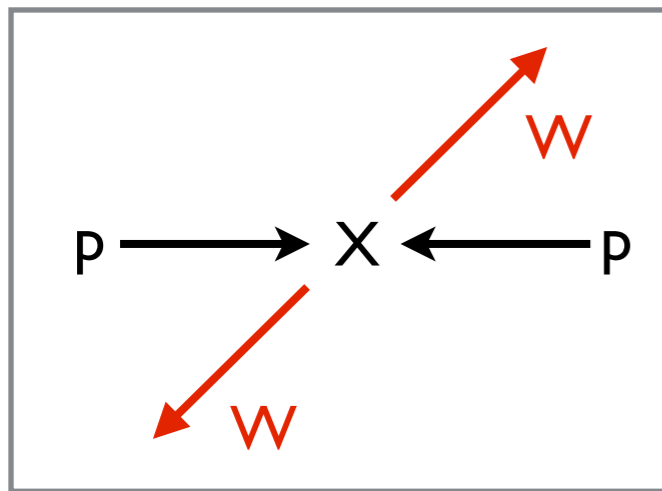
4 jet final state



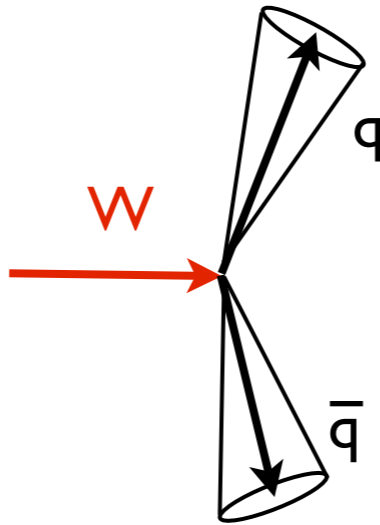
combinatorics, background!

Boost!

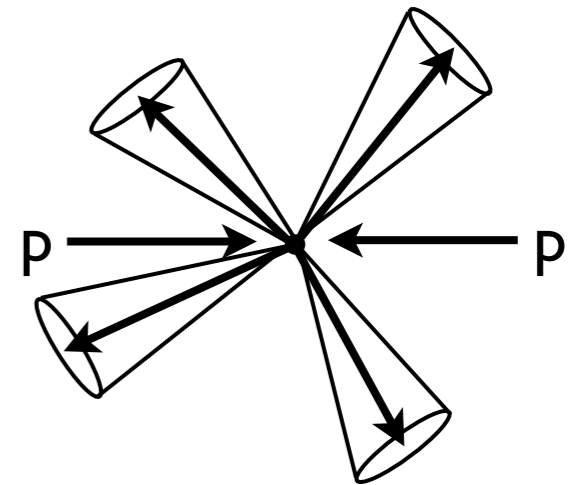
$pp \rightarrow X \rightarrow WW \rightarrow \text{Jets}$



$M_X \sim 2 M_W$
 p_T^W small, $\gamma \approx 5$

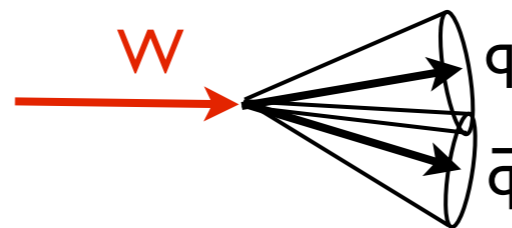


4 jet final state

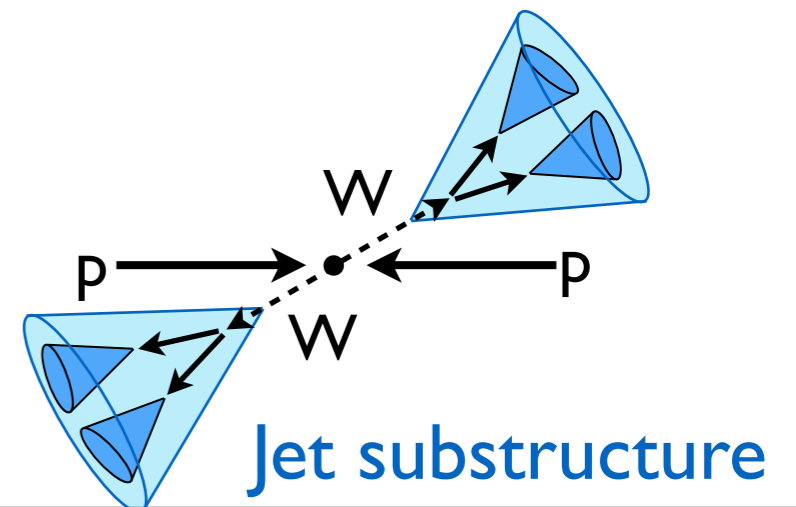


combinatorics, background!

$M_X \gg 2 M_W$
 p_T^W large, $\gamma \gg 5$



Dijet final state



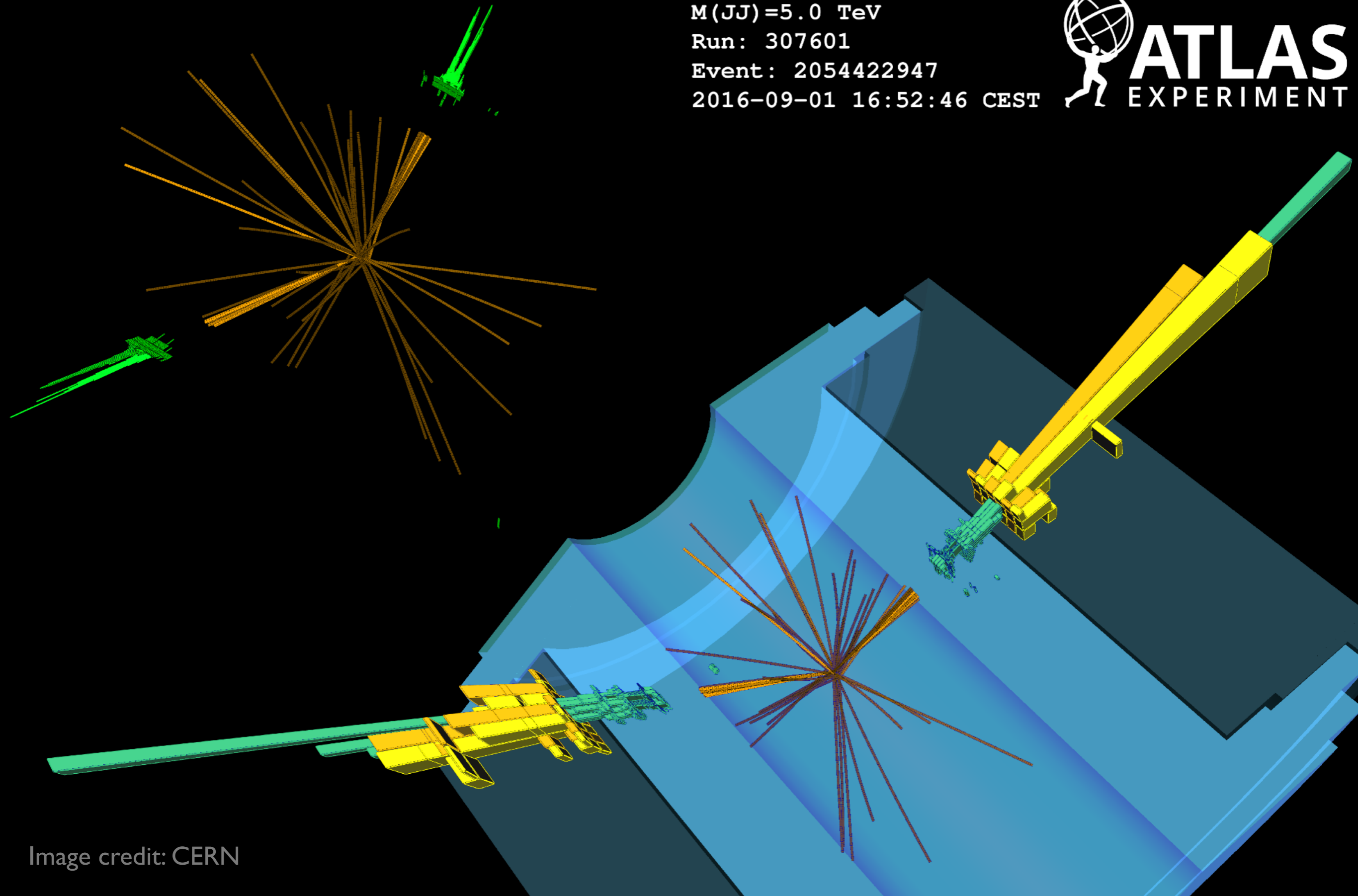
Diboson-tagged dijet event, $M_{JJ} = 5.0 \text{ TeV}$

$M(JJ) = 5.0 \text{ TeV}$

Run: 307601

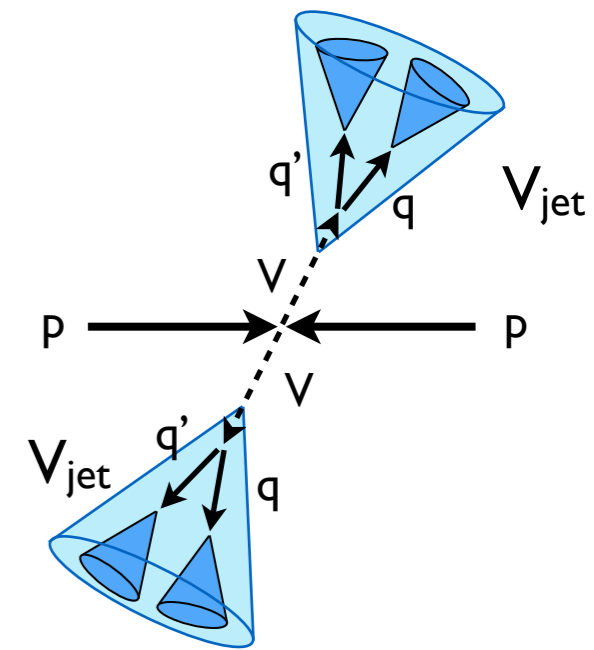
Event: 2054422947

2016-09-01 16:52:46 CEST

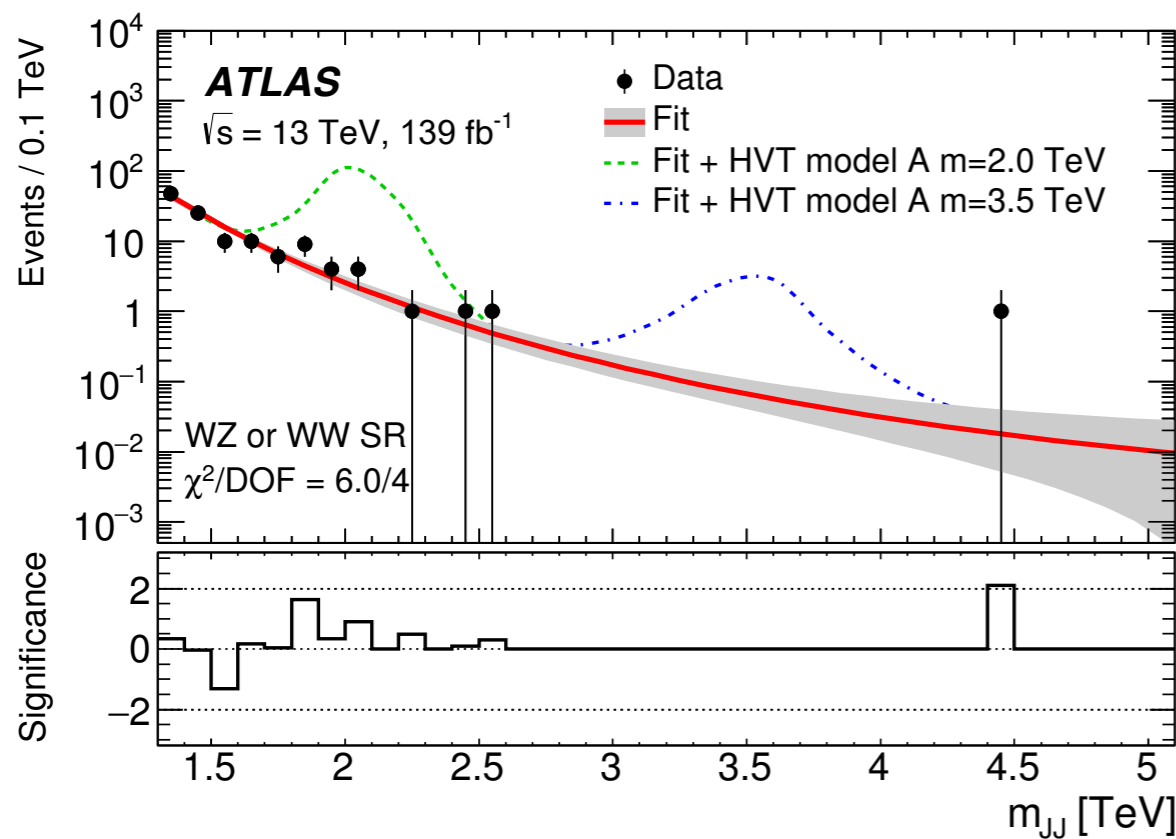


VV Resonances

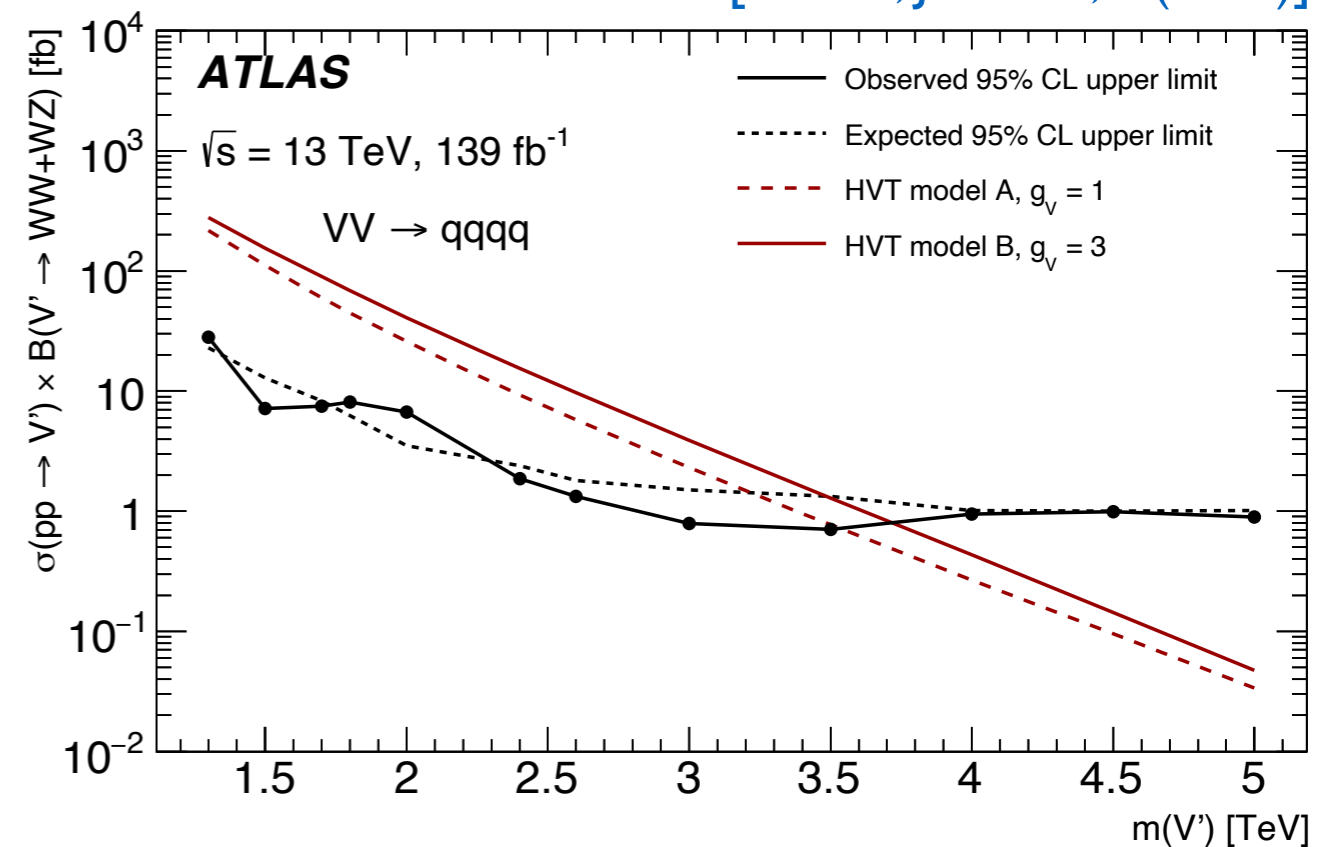
Improved jet substructure resolution with tracking information (TCCs): **50% improvement at high p_T**



Optimal S/B with p_T dependent mass and D_2 selections

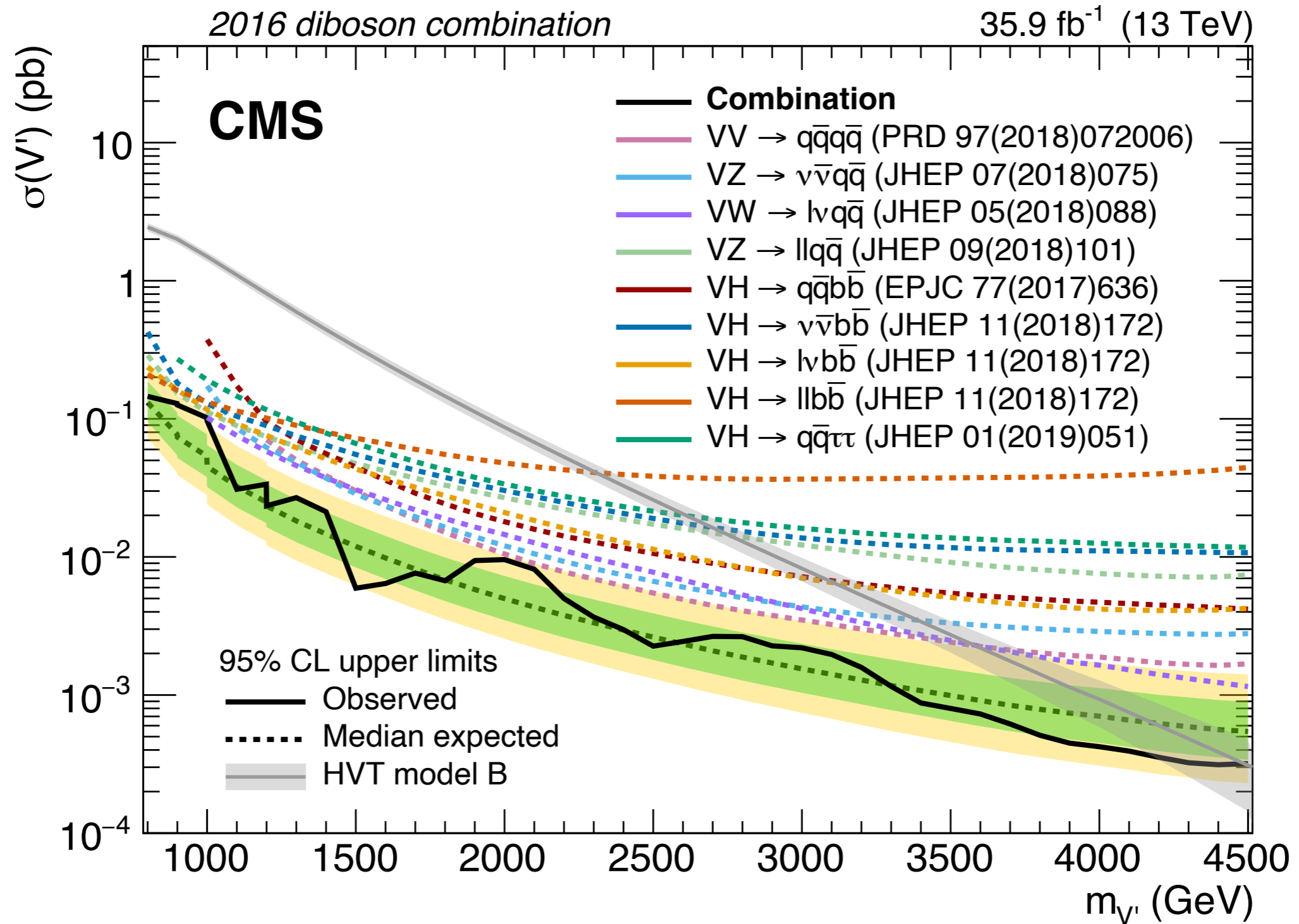


[ATLAS, JHEP 09, 1 (2019)]



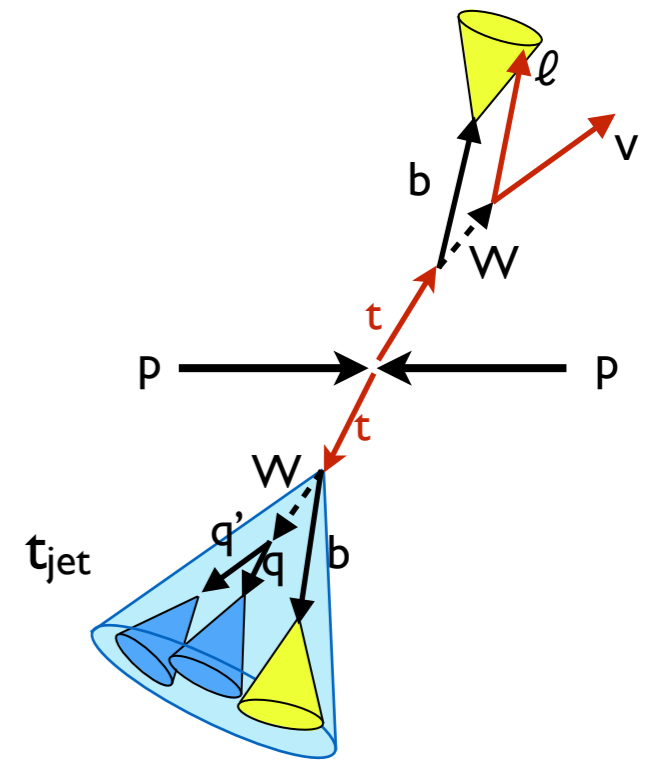
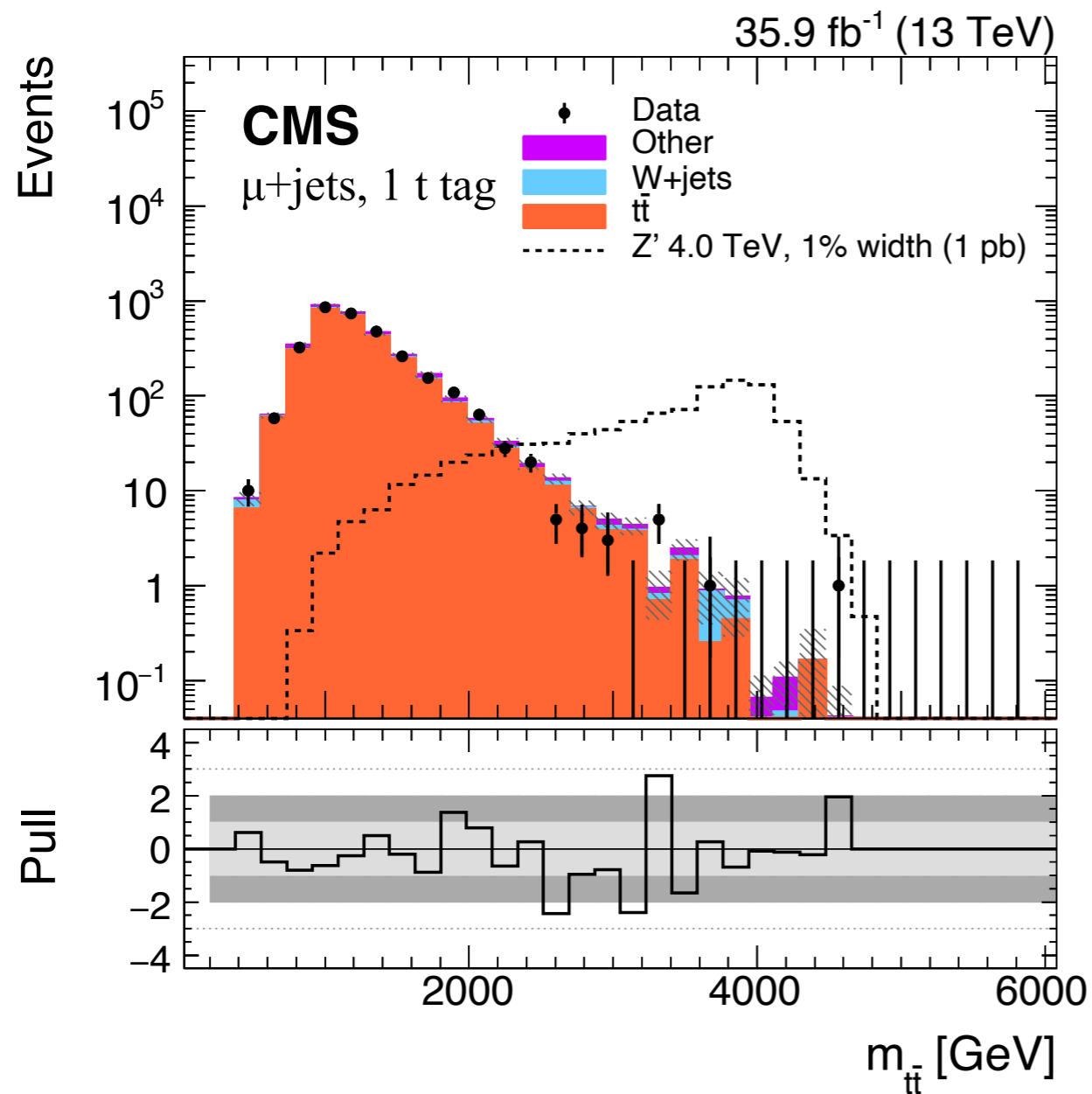
Extension to 4- and 5-prongs: [CMS, PRL 121, 141802 (2018)]

Combination



[CMS, PLB 798, 134952 (2019)]

$t\bar{t}$ Resonances

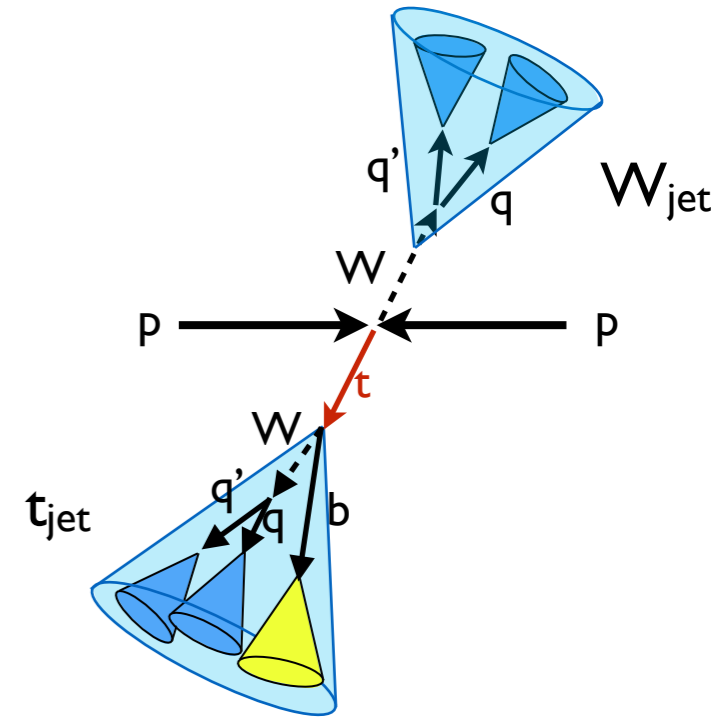
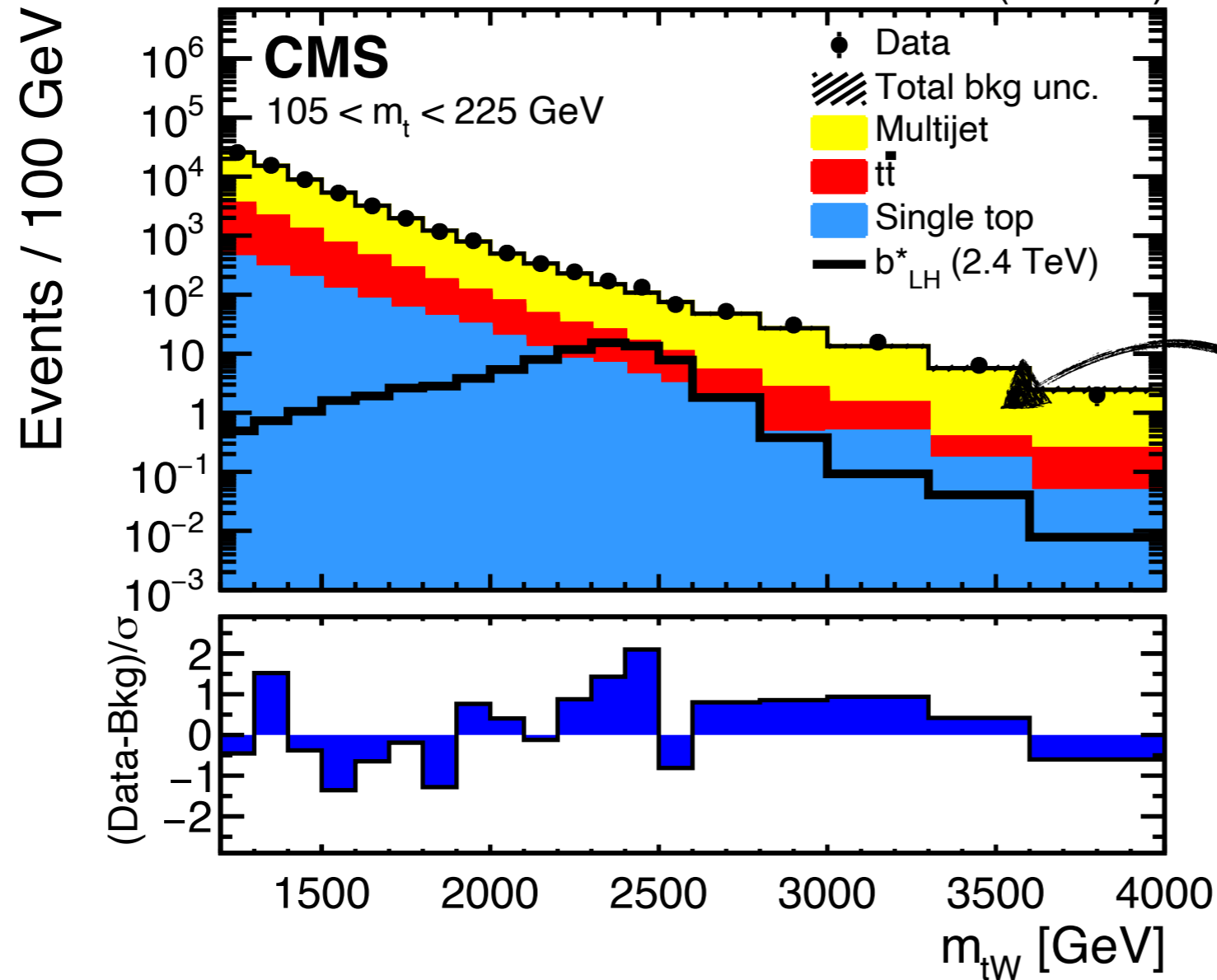


Combination of $l\bar{l}$, l +jets and all-hadronic channels:
 Kaluza-Klein gluons excluded below **4.6 TeV**

- ▶ BDT for W+jet suppression
- ▶ Sidebands to constrain backgrounds

[CMS, JHEP 04, 031 (2019)]

tW resonances

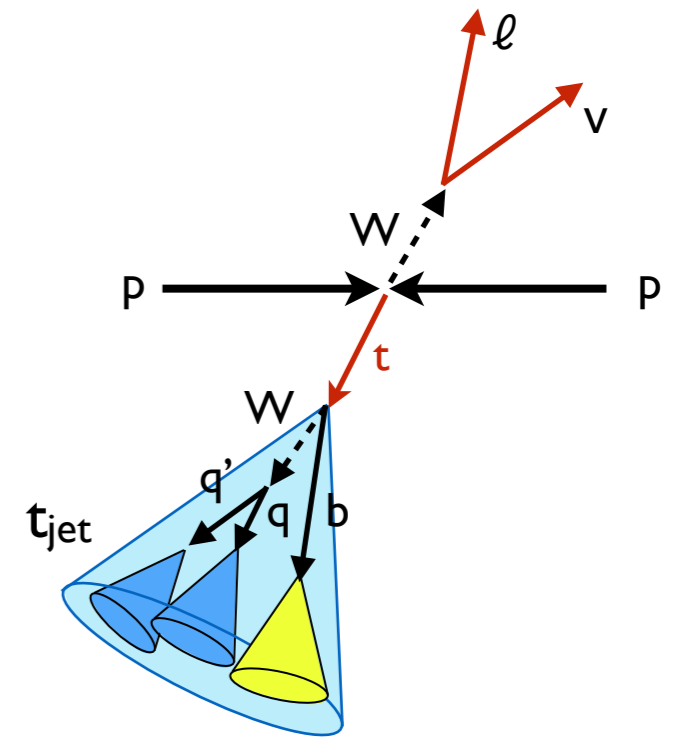
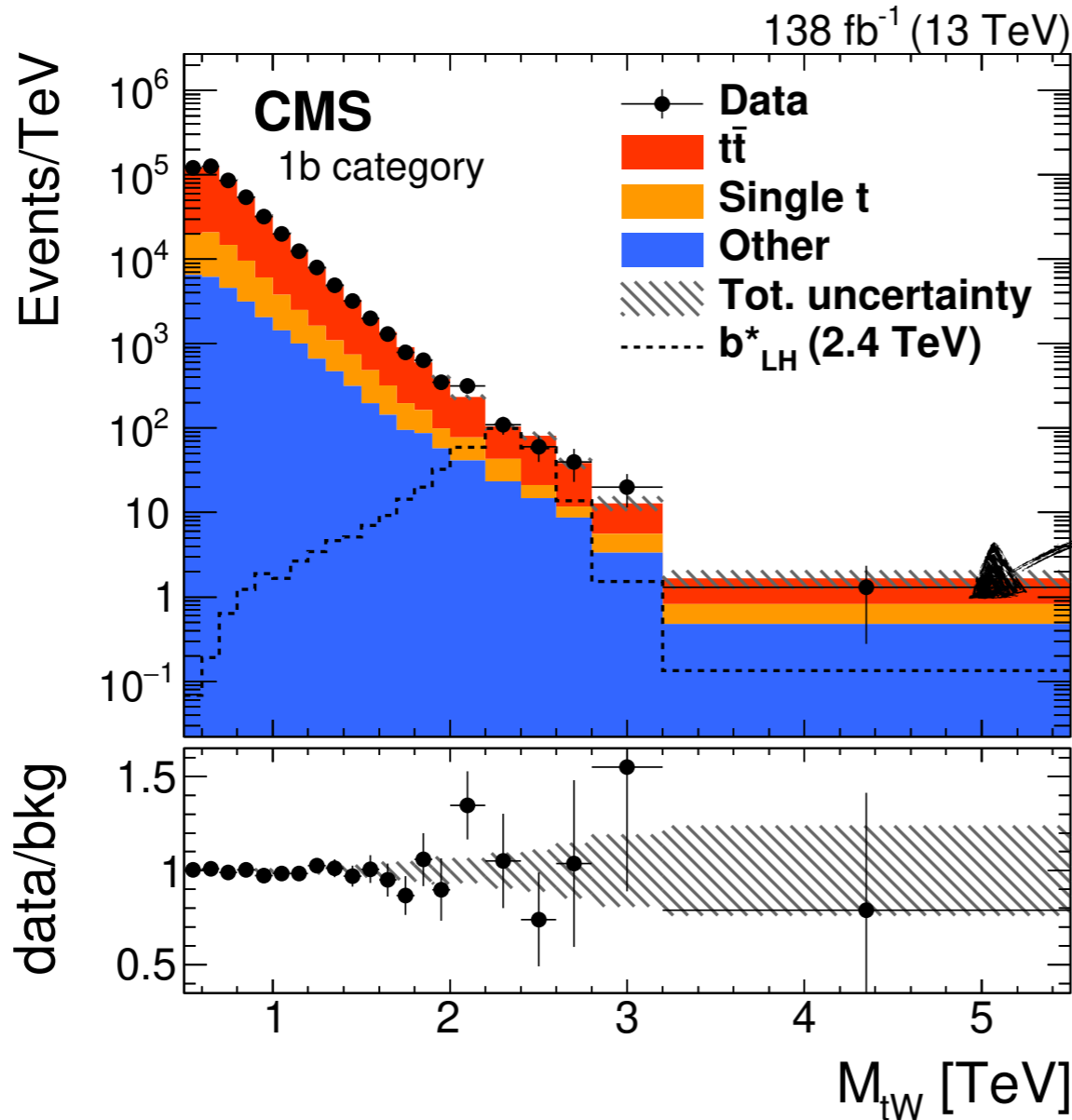


Multijet background estimated from a 2D pass-fail ration in (m_t, m_{tW}) plane

► Sensitivity to excited b^* quarks and vector-like quarks

[CMS, JHEP 12, 106 (2021)]

tW resonances

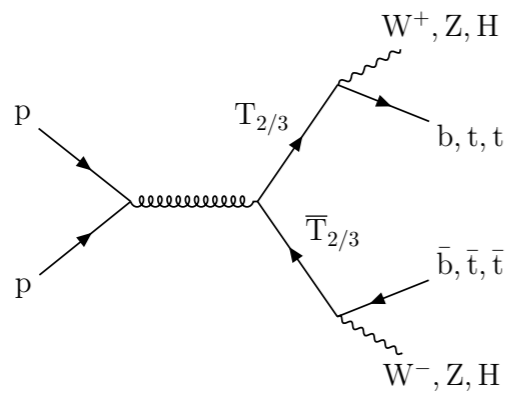


Genuine top quark background dominates (tt, tW)

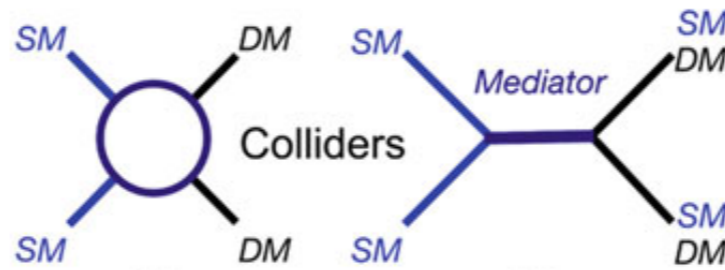
- ▶ Extend sensitivity down to 700 GeV using lepton triggers and HOTVR
- ▶ Background from misidentified t jets extrapolated from sideband

[CMS, JHEP 04, 048 (2022)]

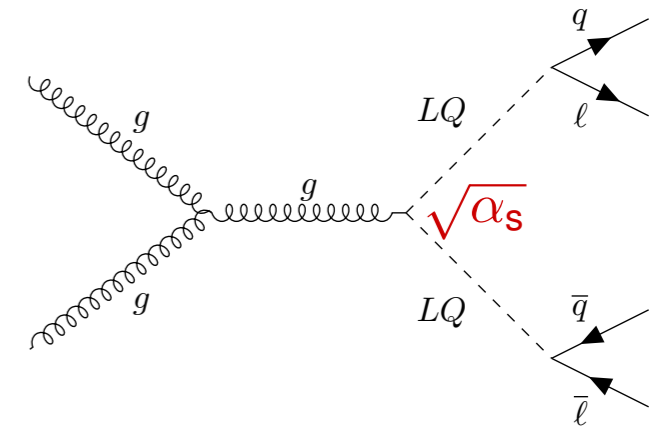
Searches, Searches, Searches



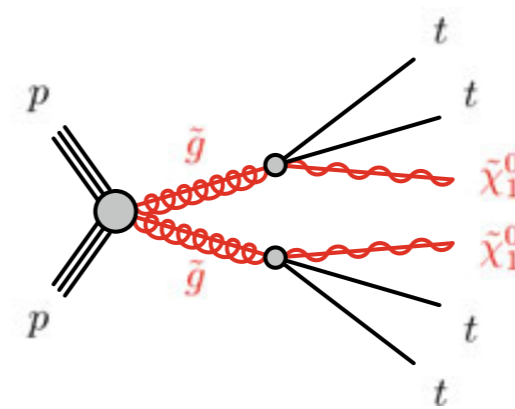
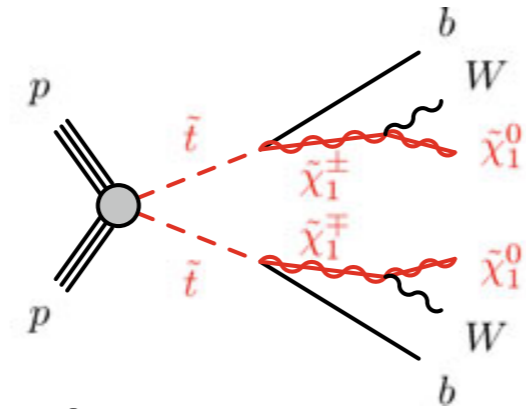
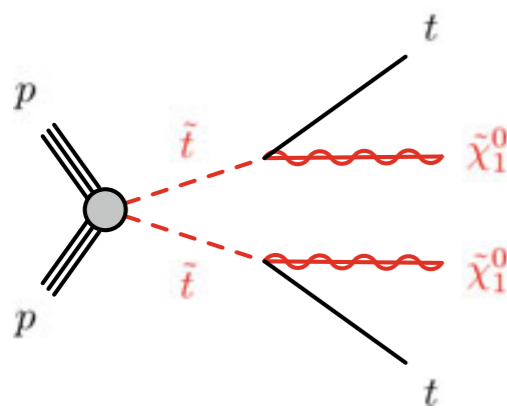
Vector-like quarks



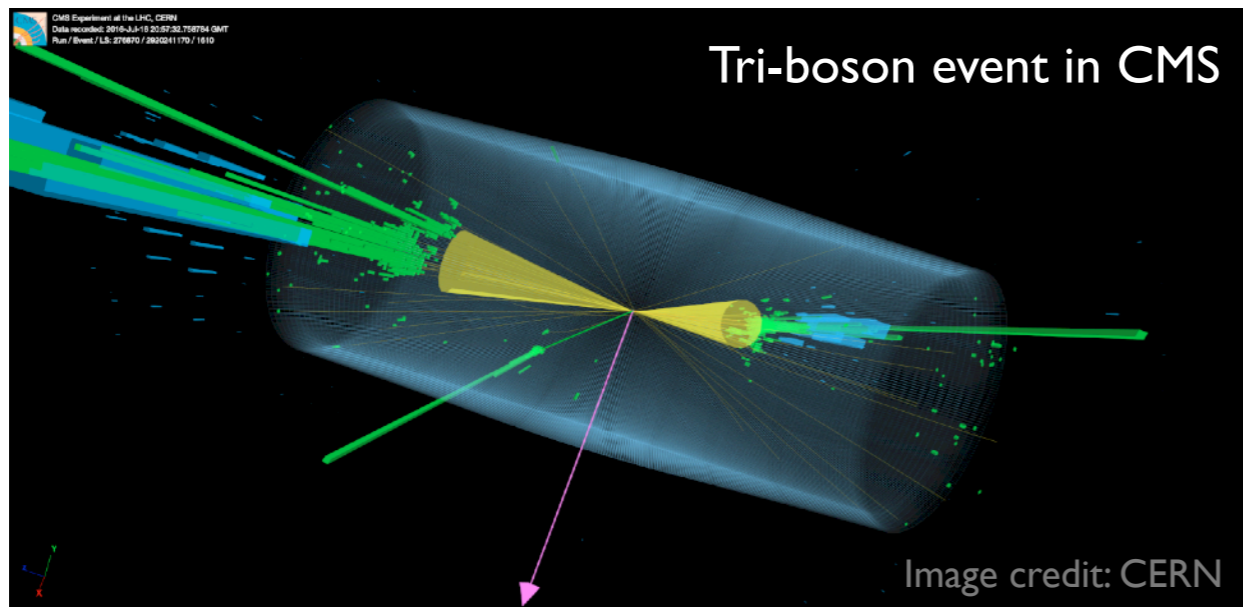
Dark matter



Leptoquarks



Supersymmetry



[CMS, JHEP 08, 177 (2018)]

[<https://atlas.cern/updates/atlas-feature/dark-matter>]

[ATLAS, JHEP 06, 108 (2018)]

[ATLAS, JHEP 10, 062 (2020)]

Summary

Jet substructure ubiquitous at the LHC

- ▶ Remarkable progress in the last 15 years
- ▶ Exciting interplay between:
 - theory
 - model building
 - tools development
 - commissioning
 - application

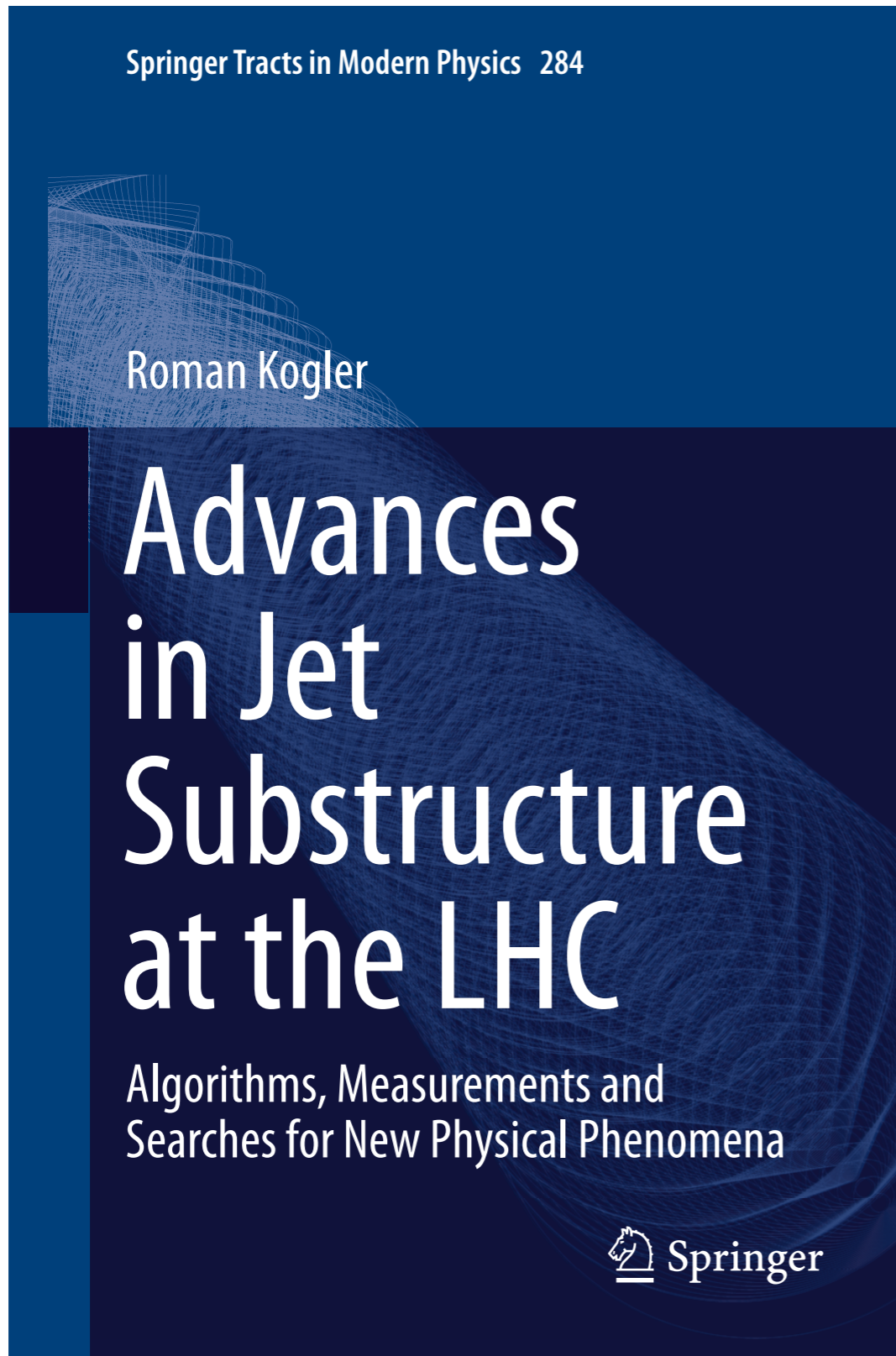


[M. Schwartz at BOOST 2012]

- ▶ Jet substructure is past its adolescence: Precision QCD!
- ▶ Coming years will bring many novel measurements, searches and algorithms with jet substructure



Image credit: Acton Memorial Library



Thanks

CMS group of the University of Hamburg:

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CMS Physics Group “Beyond 2 Generations”

CMS Physics Group “Top quarks”

CMS Object Group “Jets and Missing Energy”

All colleagues from ATLAS, CMS and Theory

My family and friends