

**PETRA IV.**  
NEW DIMENSIONS

# Design and challenges of PETRA IV

9<sup>th</sup> General Low-Emittance Rings Workshop

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PETRA IV Machine Project Leader

CERN, Geneva, February 14<sup>th</sup>, 2024

**HELMHOLTZ** RESEARCH FOR  
GRAND CHALLENGES



# Outline



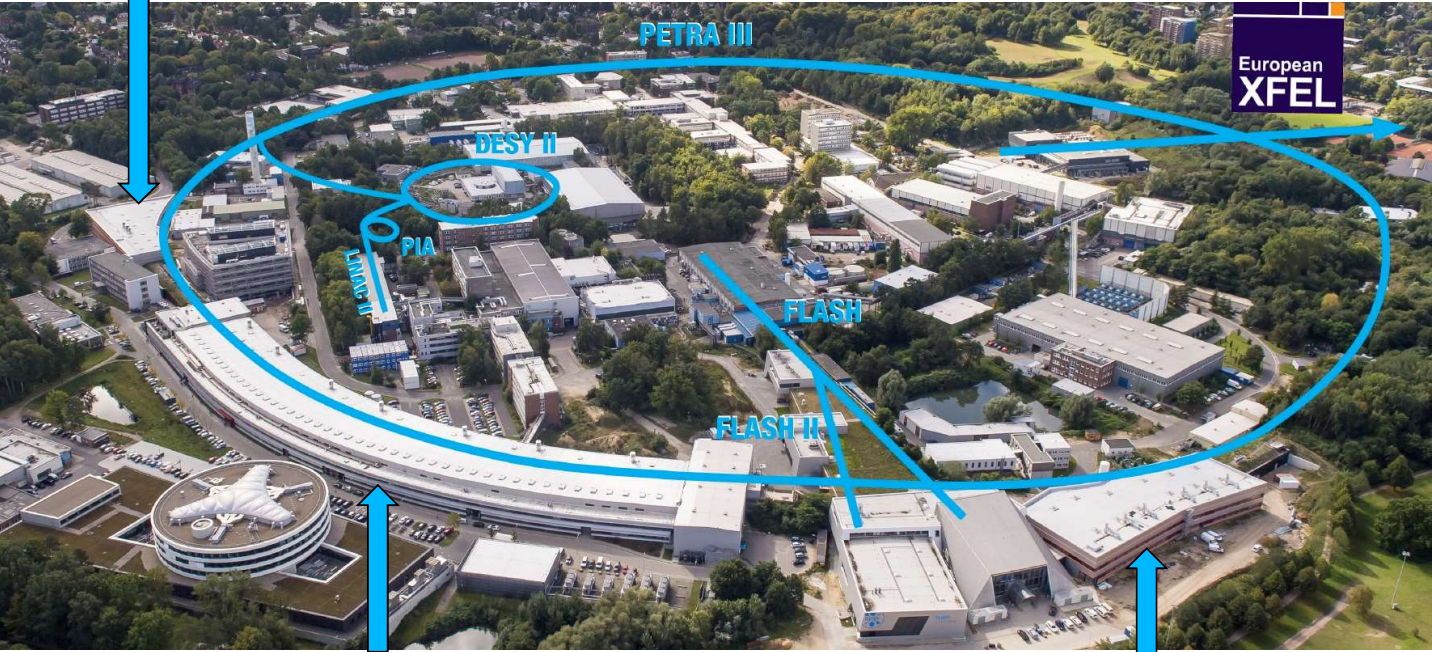
- Description of PETRA IV machine project
- Design challenges
- Highlights of technical subsystems and prototype programme
- Alternatives for the pre-injector
- Conclusions and future work

# PETRA III is one of the core facilities at DESY

Each year ~5000h of operation serve more than 3300 users



Ada Yonath Hall  
Extension Hall East



Max von Laue Hall

Paul P. Ewald Hall  
Extension Hall North

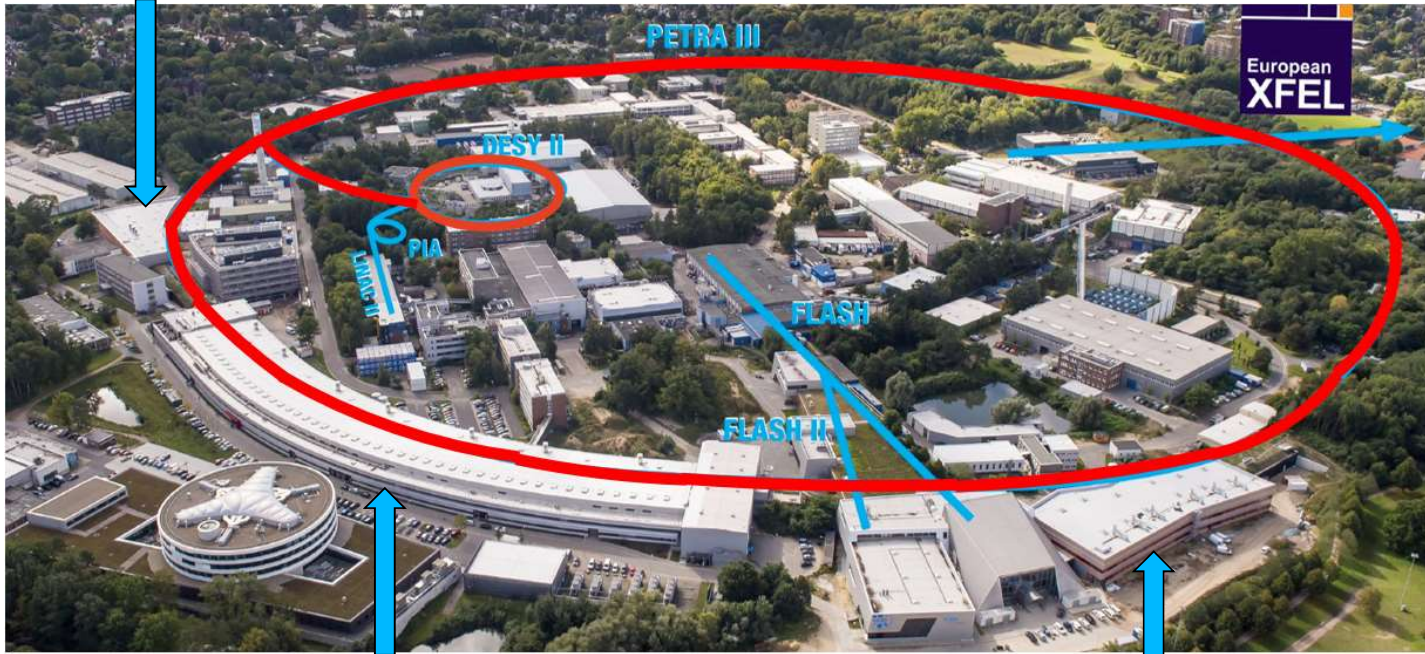
Parameter	PETRA III
Energy [GeV]	6
Circumference [m]	2304
Emittance (hor./vert.) [nm]	1.3 / 0.013
Total current [mA]	100

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Parameter	PETRA III
Energy [GeV]	6
Circumference [m]	2304
Emittance (hor./vert.) [nm]	1.3 / 0.013
Total current [mA]	100

PETRA III emittance 1300 pm



65 times smaller

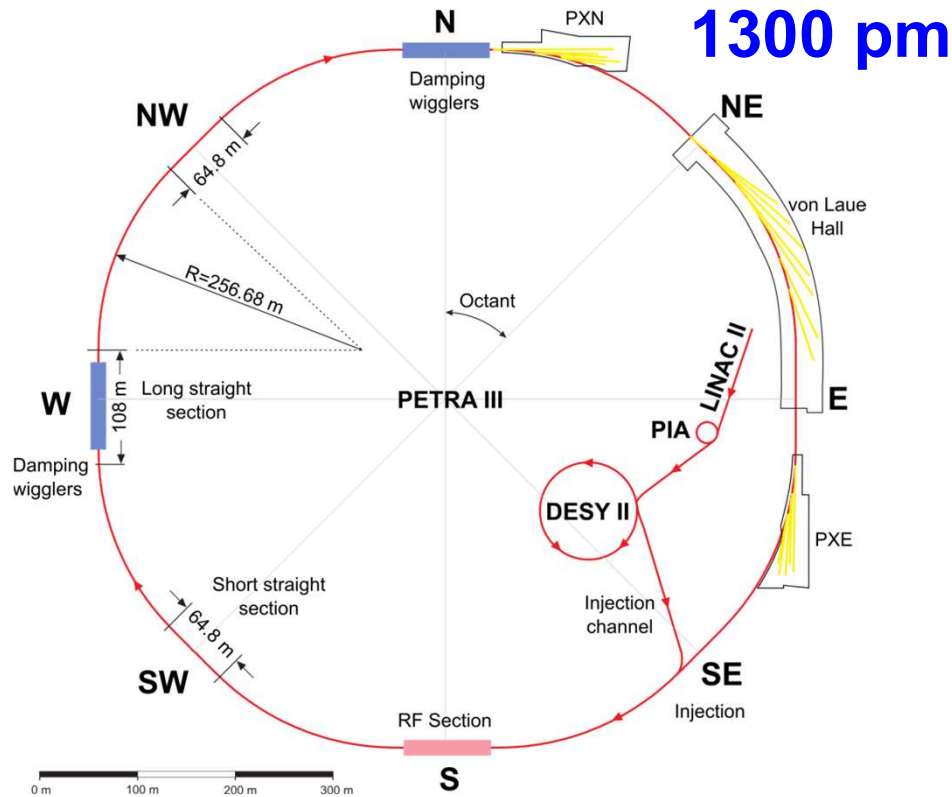
PETRA IV emittance 20 pm

enabling 500 times larger  
X-ray beams brightness

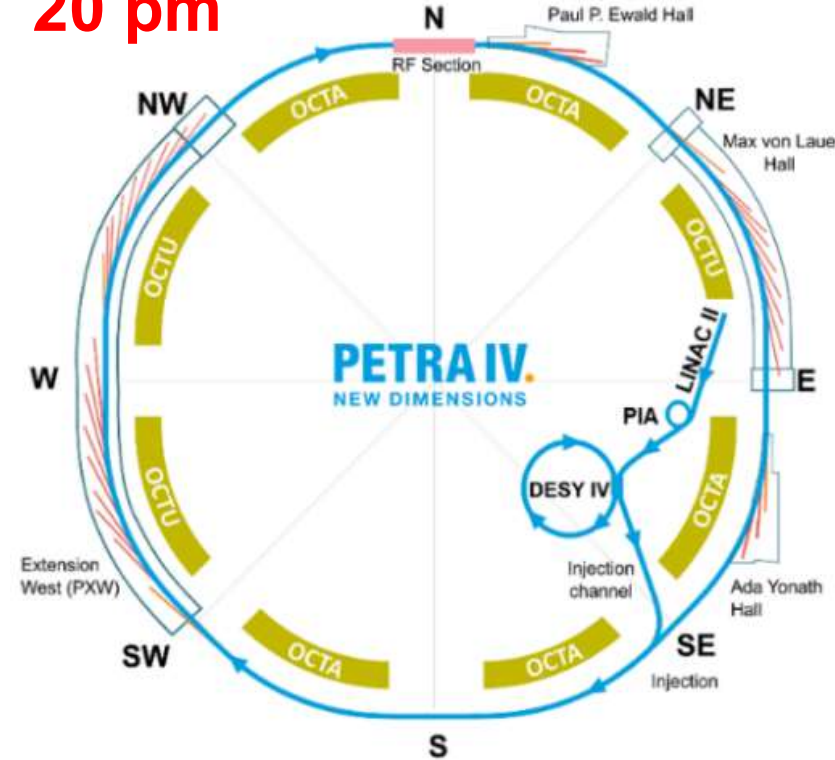
## PETRA IV project:

replacing PIII with an ultra-low emittance ring, adding a new Experimental Hall in two more octants, replacing DESY II with a new low emittance booster

# from PETRA III to PETRA IV



1300 pm → 20 pm



**Eight Arcs (45°), 201.6 m long**

One arc build from 9 **DBA cells**  $L_{cell} = 23$  m, 5 m ID straight sections  
5 pure FODO-arcs + 2 modified FODO arcs with 2 **DBA cells**

## Beamlines

Max von-Laue Hall:14; PXN:5; PXE: 7

**Eight Arcs (45°), 201.6 m long**

72 cells **H6BA cells**  
9 cells per arc  $L_{cell} = 22.75$  m, 4.3 (TBC) m ID straight sections

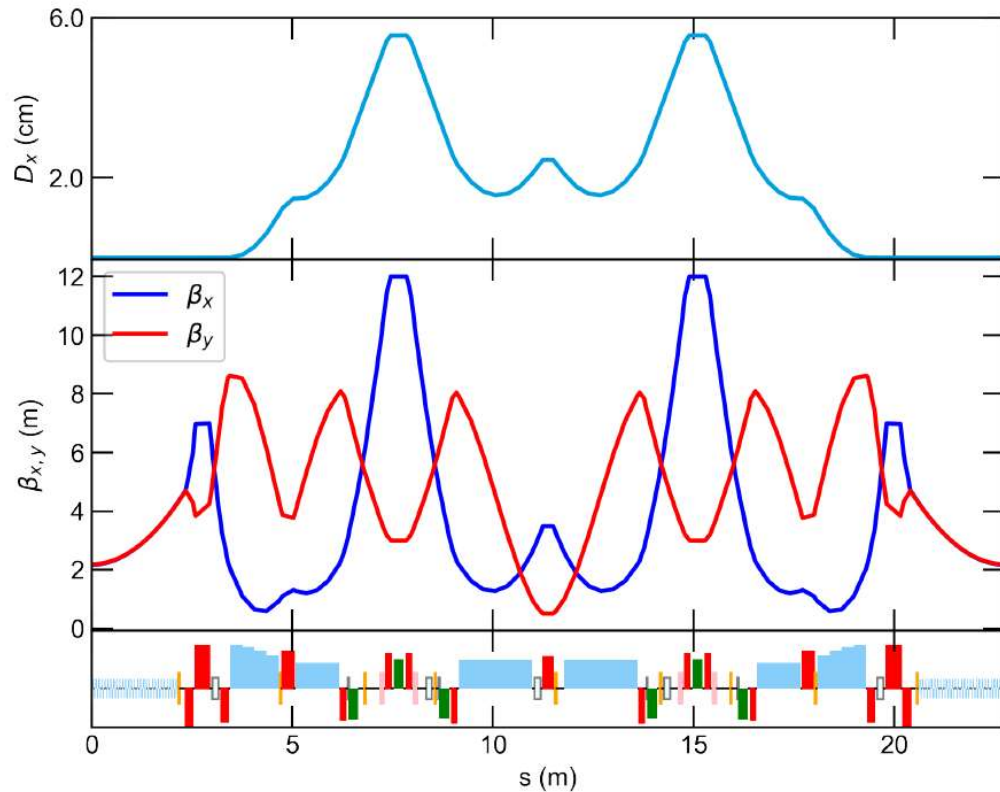
## Straights for Beamlines

Max von-Laue Hall: 9; PXN: 3; PXE: 3 + New Hall: 9 + 9(8)

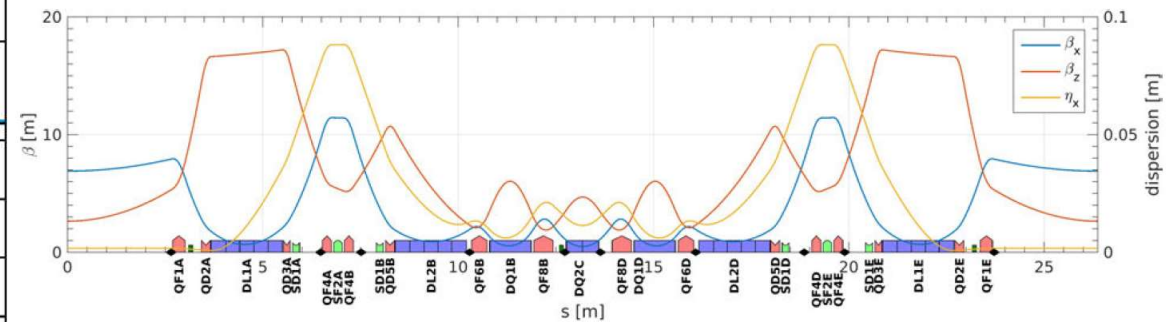
# The PETRA IV H6BA cell



The lattice is based on a novel cell structure (**H6BA**) that is replicated identical across all octants (72 cells)



The lattice cell is an evolution from the pioneering hybrid multi-bend achromat cell (H7BA) proposed by P. Raimondi



In the H6BA cell, the dispersion bump is increased to compensate for the weaker dipoles (because of the 72 cells/36 cells ratio)

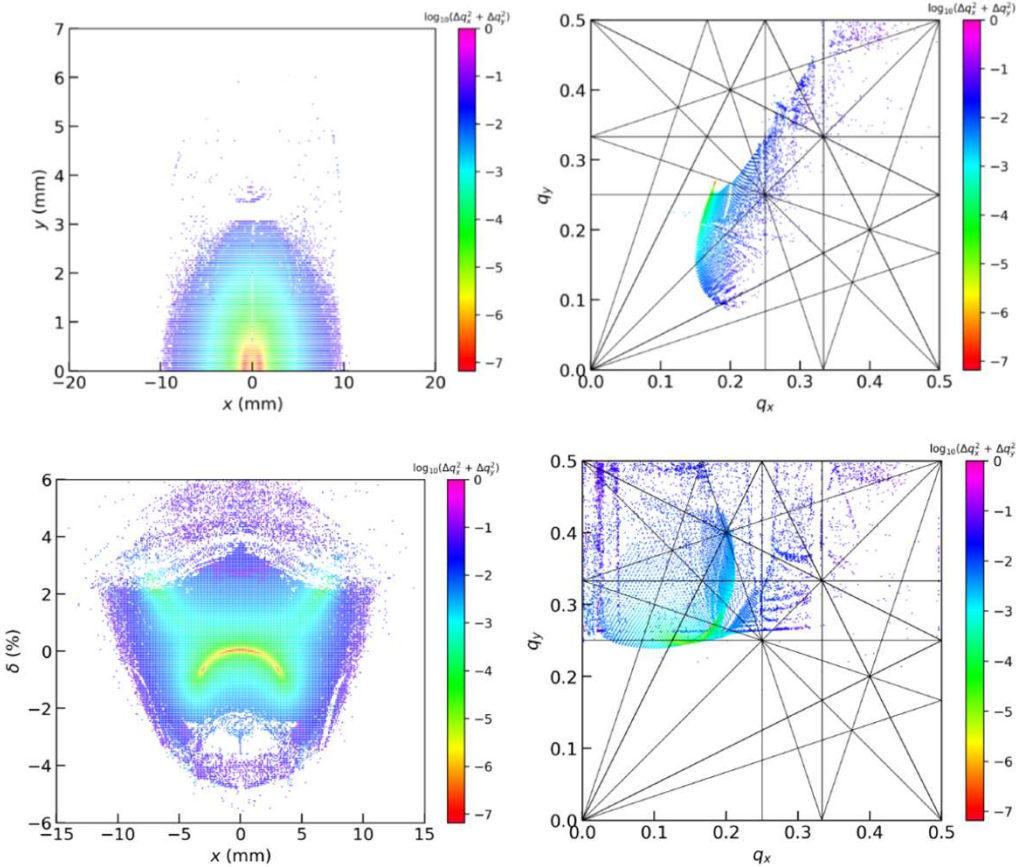
emittance 42 pm decreased to 20 pm with damping wigglers in the straight with no undulators  
 $U_0 = 1 \text{ MeV/turn} \rightarrow 4 \text{ MeV/turn}$

# PETRA IV beam dynamics

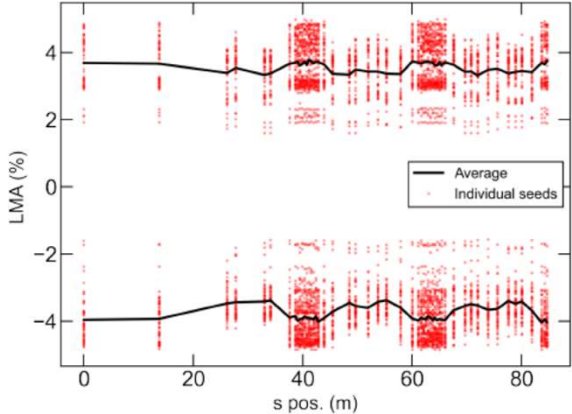
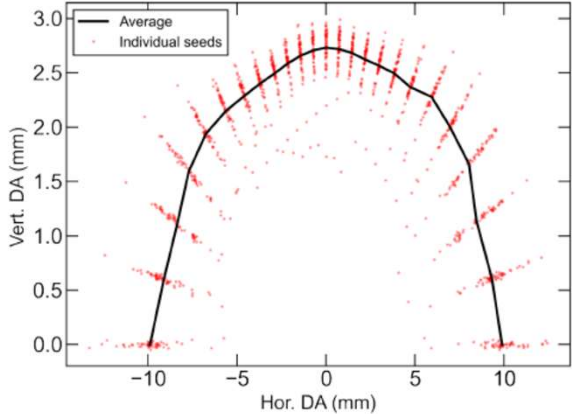


FMA shows strong second order chromaticity limits the apertures.

Octupoles have opposite effects on MA and LMA:  
a trade off is found



Error analysis supported by extensive  
“commissioning simulations”



# PIV operating modes: beam parameters at the source point



PETRA IV will operate in two modes

**brightness mode:** 1900 bunches 4 ns spacing 200 mA (1 nC per bunch)  
emittance 20 pm; 20% coupling (17pm/3pm); energy spread 0.1 %

	$\beta_x$ (m)	$\beta_y$ (m)	$\sigma_x$ ( $\mu\text{m}$ )	$\sigma_x'$ ( $\mu\text{rad}$ )	$\sigma_y$ ( $\mu\text{m}$ )	$\sigma_y'$ ( $\mu\text{rad}$ )	$\sigma_s$ (ps)	$\epsilon_s$ ( $10^{-3}$ )
Standard straights	2.2	2.2	6.1	2.8	2.7	1.2	35	0.96
Long straights	4.0	4.0	8.2	2.0	3.7	0.9	35	0.96
Long straight north	5.0	5.0	9.1	1.8	4.1	0.8	35	0.96

All other optics functions are zero:  $\alpha_x = \alpha_y = D_x = D_x' = D_y = D_y' = 0$

**timing mode:** 80 bunches 96 ns spacing 80 mA (7.8 nC per bunch)  
emittance (charge dependent  $\rightarrow$  34pm/7pm); energy spread 0.12 %

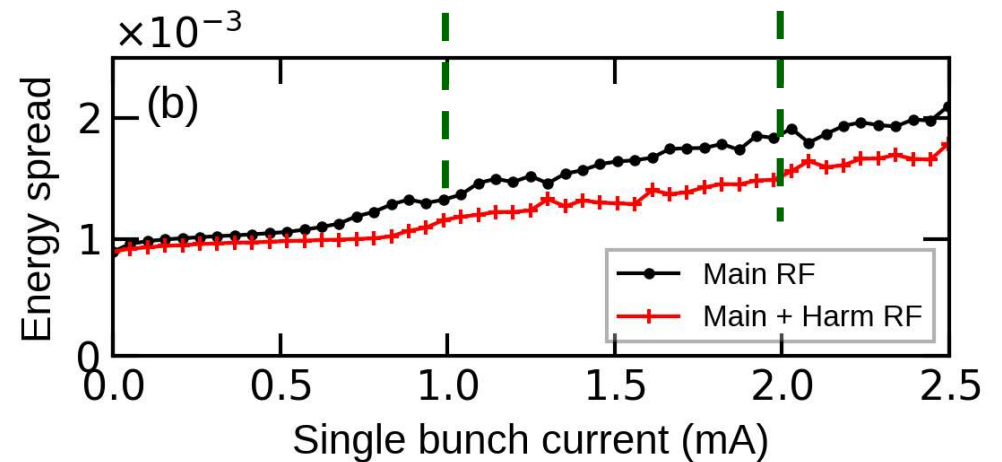
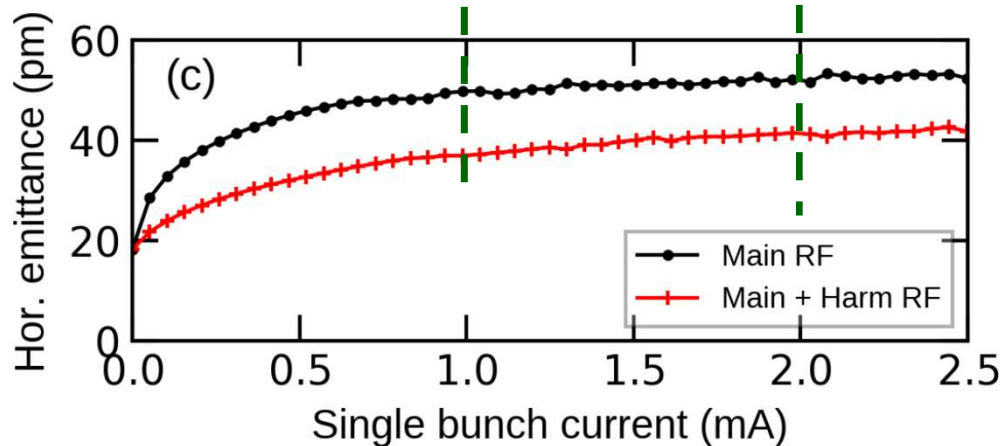
	$\beta_x$ (m)	$\beta_y$ (m)	$\sigma_x$ ( $\mu\text{m}$ )	$\sigma_x'$ ( $\mu\text{rad}$ )	$\sigma_y$ ( $\mu\text{m}$ )	$\sigma_y'$ ( $\mu\text{rad}$ )	$\sigma_s$ (ps)	$\epsilon_s$ ( $10^{-3}$ )
Standard straights	2.2	2.2	8.6	3.9	3.8	1.7	68	1.20
Long straights	4.0	4.0	11.6	2.9	5.2	1.3	68	1.20
Long straight north	5.0	5.0	12.9	2.6	5.8	1.2	68	1.20



# operating modes under consideration

**brightness mode full coupling:** 1900 bunches    4 ns spacing    200 mA (1 nC per bunch)  
emittance 20 pm; 100% coupling (12pm/12pm); energy spread 0.1 %

**timing mode:**            40 bunches            192 ns spacing            80 mA (15.6 nC per bunch)  
emittance (charge dependent → 38pm/8pm); energy spread 0.15 %

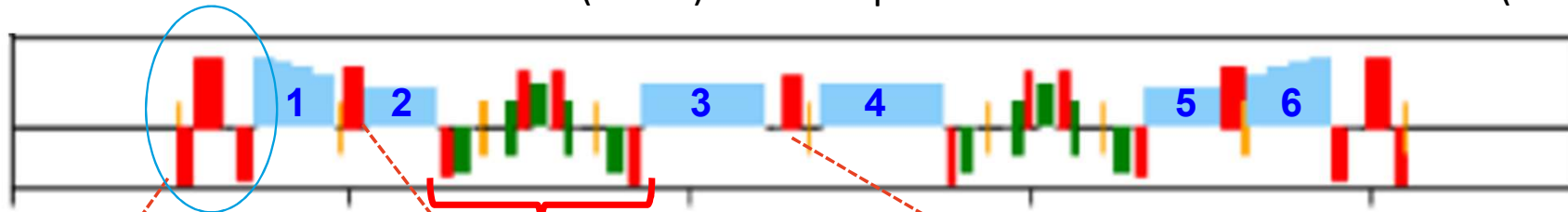


Emittance increase due to IBS limited by extensive use of Damping Wigglers

# Layout of the PETRA IV cell: main parameters



The lattice is based on a novel cell structure (**H6BA**) that is replicated identical across all octants (72 cells)



<p><b>PQA:</b>          L = 229 mm          r = 12.5 mm          115 T/m          860 W          0.55 I/m          PV poles</p>	<p><b>PQB:</b>          L = 404 mm          r = 11 mm          112 T/m          1100 W          0.79 I/m          PV poles</p>	<p><b>PQC:</b>          L = 220 mm          r = 12.5 mm          89 T/m          900 W          0.56 I/m</p>	<p><b>PQD:</b>          L = 338 mm          r = 12.5 mm          97 T/m          1200 W          0.86 I/m          PV poles</p>	<p><b>PQE:</b>          L = 167 mm          r = 12.5 mm          84 T/m          700 W          0.37 I/m          PV poles</p>	<p><b>PQF:</b>          L = 309 mm          r = 12.5 mm          69 T/m          900 W          0.49 I/m</p>	<p><b>DLQ 1-2-3:</b>          L = 0.30-0.27 mm per module          0.3-0.2 T          6-11 T/m          permanent magnets</p>
		<p><b>PSA:</b>          L = 314 mm          r = 12.5 mm          2500 T/m<sup>2</sup>          115 W          0.55 I/m</p>	<p><b>PO:</b>          L = 153 mm          r = 12.5 mm          120000 T/m<sup>3</sup>          30 W          air cooled</p>	<p><b>Standalone correctors:</b>          L = 150 mm          r = 12.5 mm          650 urad          115 W          air cooled</p>		

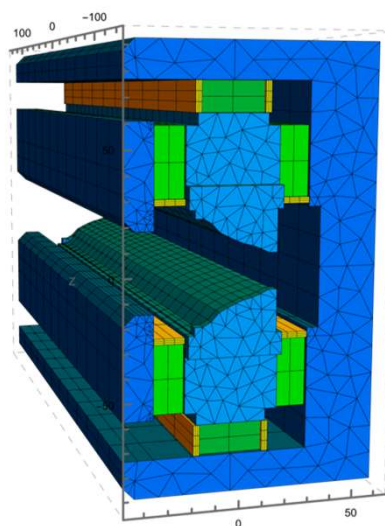
# Challenging magnets are prototyped and new concepts are developed based on the extensive use of permanent magnets

Magnet machining precision  $< 20 \mu\text{m}$  required to minimise magnetic errors

prototype PQA 115 T/m



Permanent magnet dipoles DLQs



The **arcs** contains (cell\*72):

432	DLQs
1224	quadrupoles
432	sextupoles
288	octupoles
792	correctors

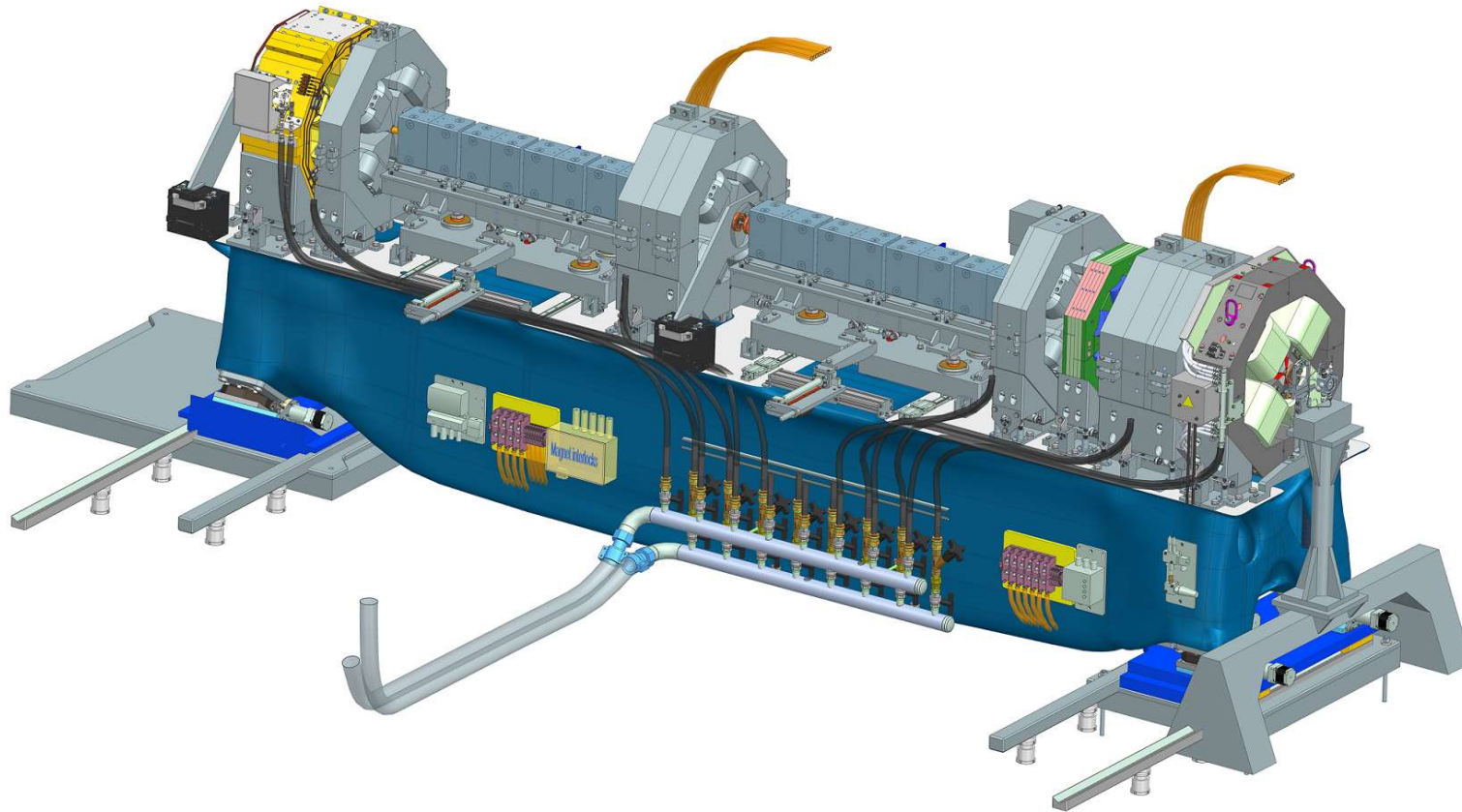
Including the straight sections,  
**PETRA IV will have ~3000 magnets**

The **power consumption** of the resistive magnets in PETRA IV is about **2.3 MW**. The design has been optimized to reduce the power consumption. The PM dipoles will save **~1.6 MW**

# PETRA IV girders prototype casted



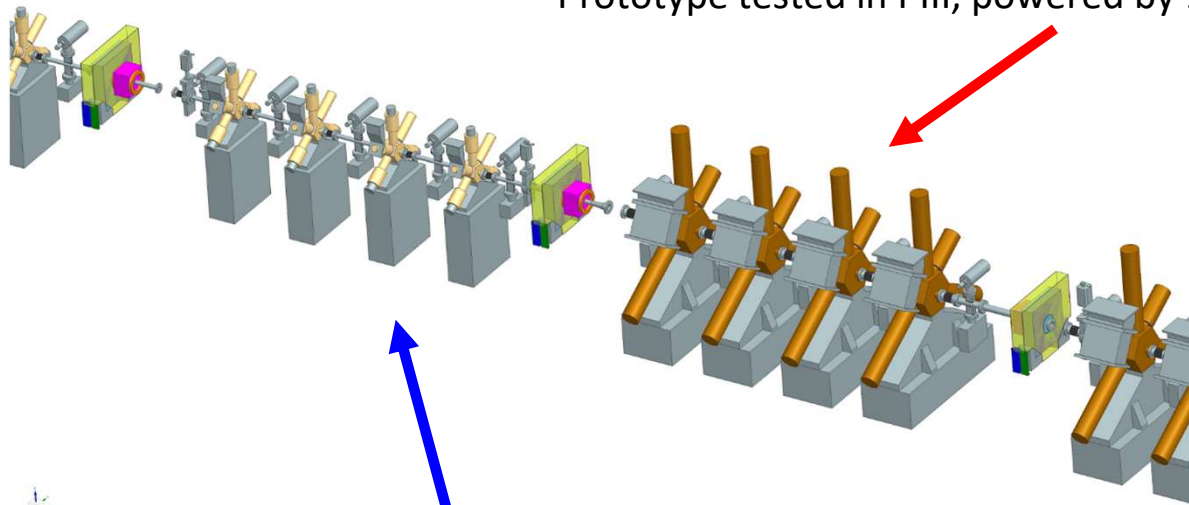
Topological optimised prototype girder has been casted  
Girder mock-up assembly to start when girder pedestals and movers are on site



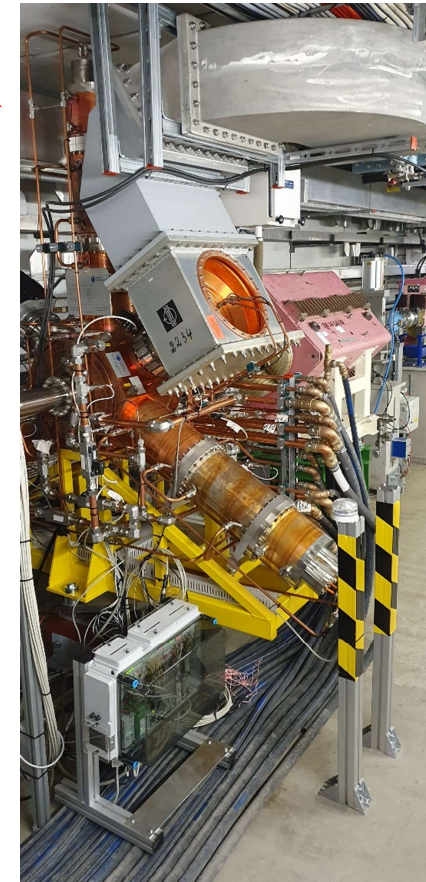
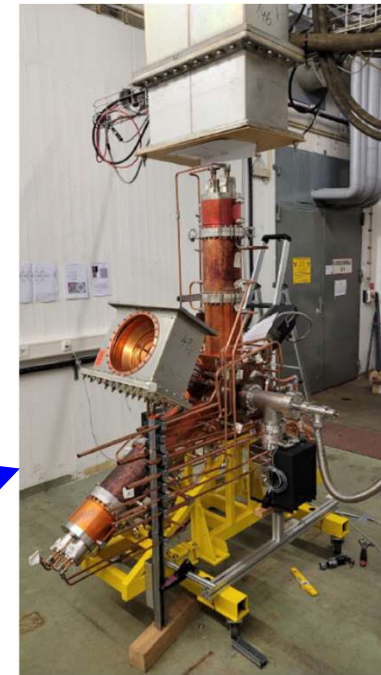
# The RF system is designed. Prototypes are on-site and tested

The RF system provides the RF power necessary to keep the beam at the right energy in the machine. It will be installed in the straight section (North) and contains

**24 RF cavities:** 500 MHz cavities  
Prototype tested in PIII, powered by 120 kW SS amplifiers



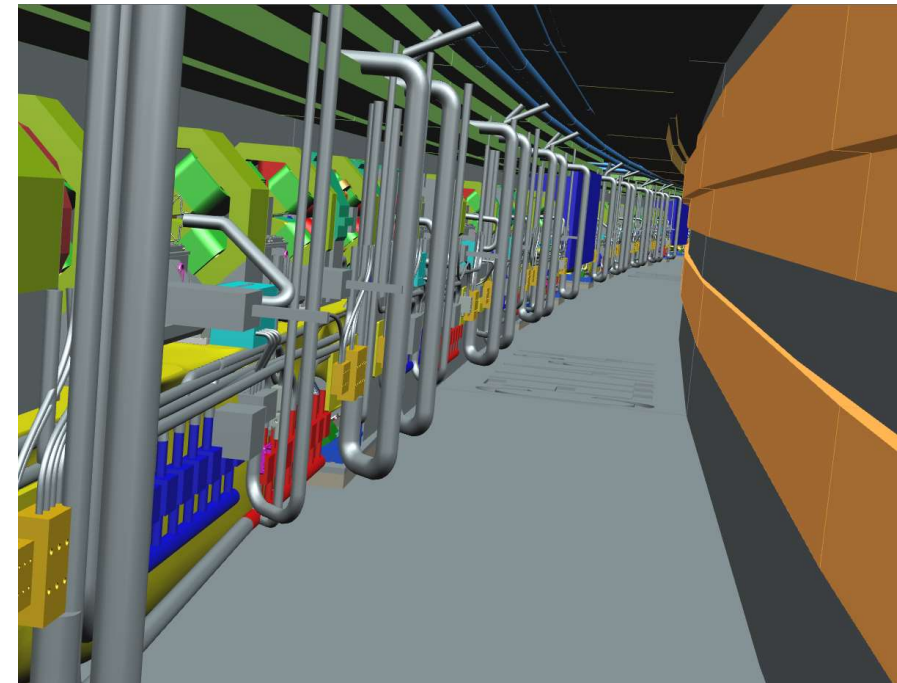
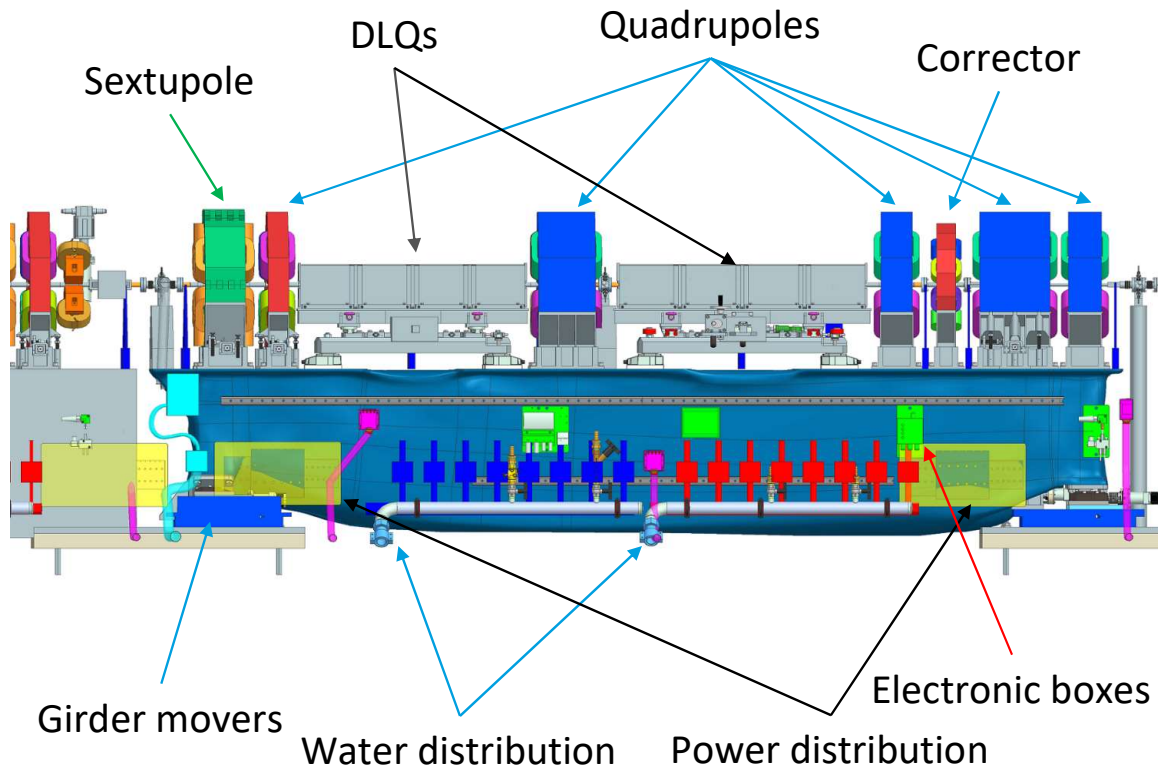
**24 harmonic RF cavities:** 1.5 GHz third harmonic cavities  
Collaboration ALBA – HZB \_ DESY; tested at BESSY II



# Engineering integration well advanced

Magnets, vacuum equipment, diagnostics will be assembled, aligned and tested on girder support structures.

**PETRA IV will have 288 girders.** Girder assembly will be done in the girder assembly building (GAB)



The precision of positioning and alignment of magnets on girders will be **<30  $\mu\text{m}$**

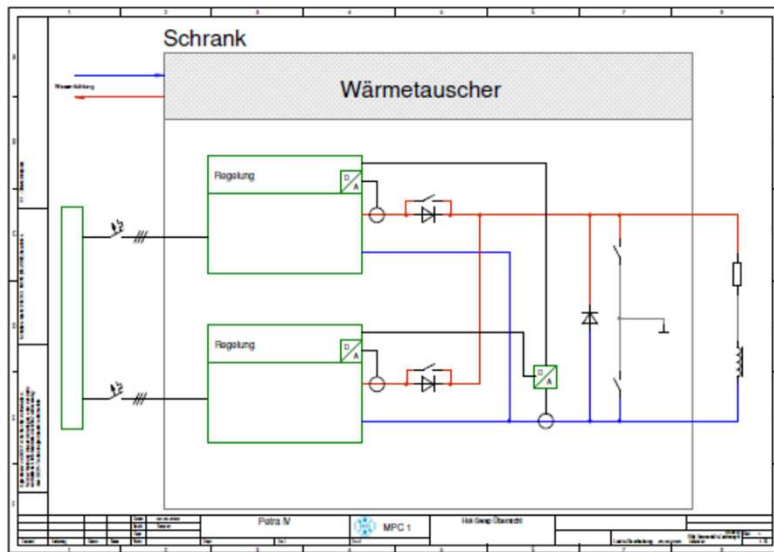
Girder-to-girder alignment precision needs to be **<100  $\mu\text{m}$**

# Status of design: hot swap system

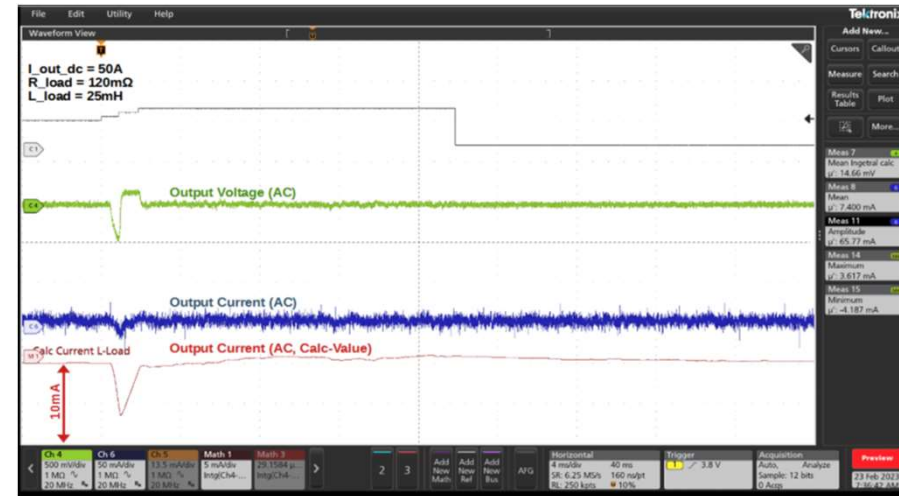


PS reliability at PIII is already very high: unlikely to improve reliability of a single unit  
PIV will have 4400 PS more than PIII 859 – MTBF reduced accordingly

Hot swap is the only viable solution to further improve the reliability



Hot-swap tests at PETRA III were successful



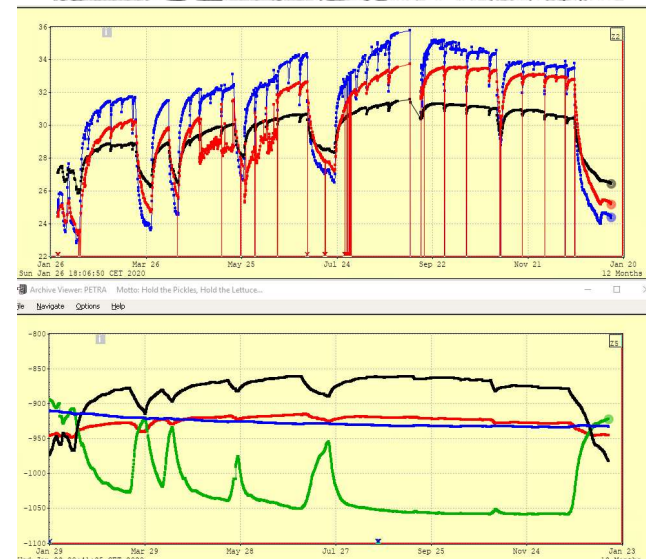
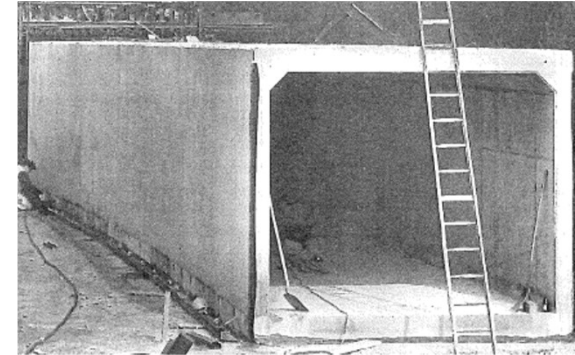
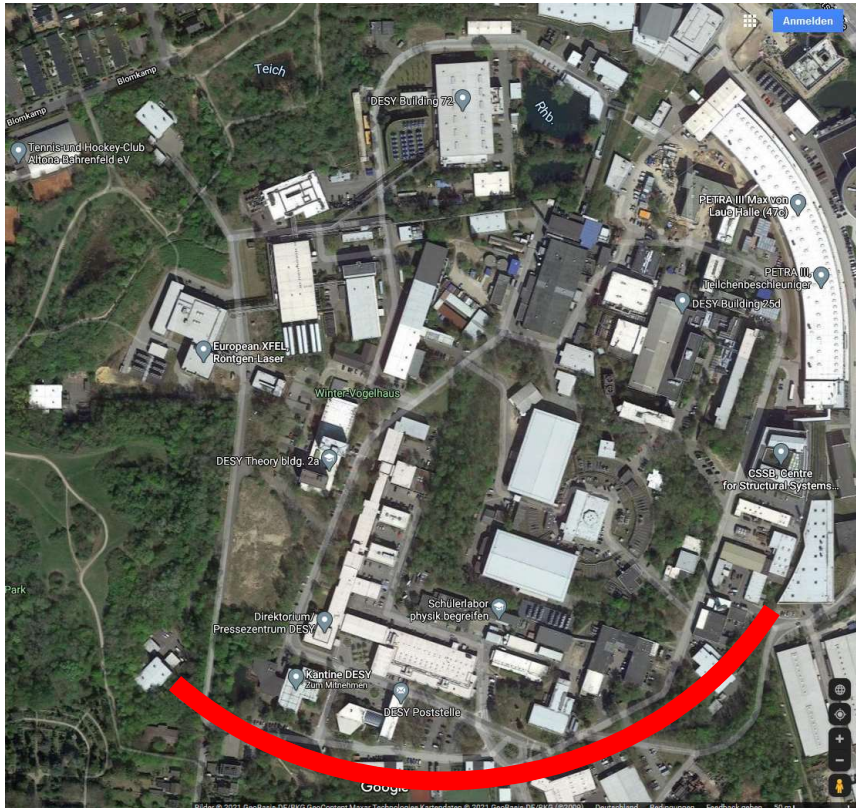
Current deviation  $6e-4$  over 2.5 ms during the hot-swap

**Collaboration with ESRF: under analysis: reduction of the hot-swap topology from 1:1 to 1:n for different PS type, their size, the rack capacity required, the cooling demands:**

# Accelerator stability is crucial for the success of PETRA IV



PETRA IV will reuse a large part of the original PETRA tunnel



Temperature up to  $\Delta T \sim 10 \text{ }^\circ\text{C}$

Movement up to  $200 \mu\text{m}$

**The infrastructure upgrade (water cooling and ventilation) will guarantee the necessary temperature stability  $\pm 0.5 \text{ }^\circ\text{C}$  across the whole tunnel**

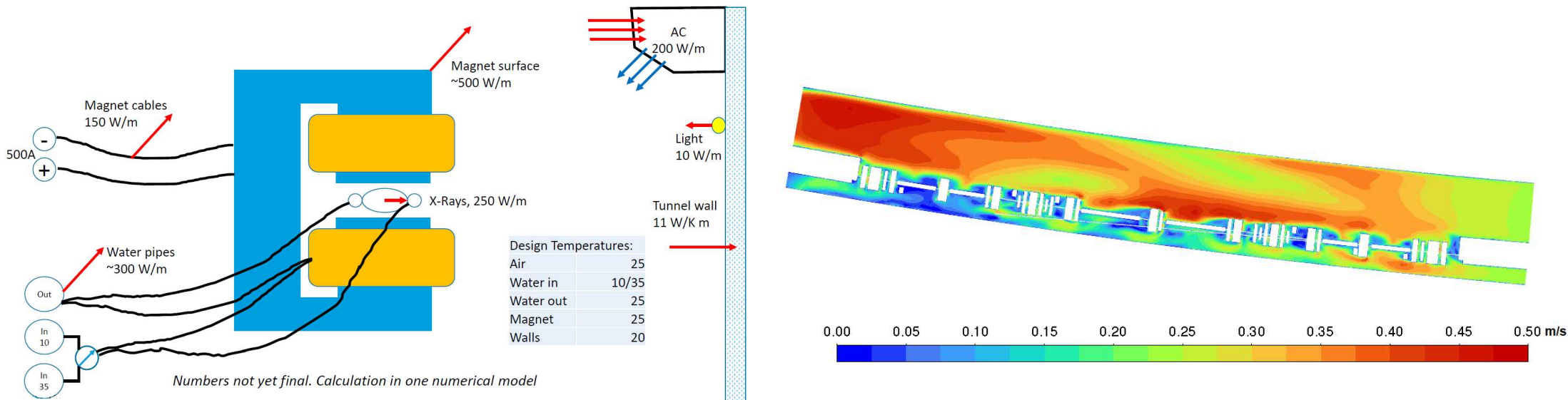


# The infrastructure upgrade will guarantee temperature stability across the whole tunnel

Temperature stability to guarantee the stable operation of the accelerator is 0.5 °C locally (and 1.5 °C across the whole ring)

Water cooling of magnets, and vacuum system required (4 bars)  
Air Handling Units necessary to guarantee local temperature stability

Complex thermal and fluidodynamic calculations are done in collaboration with Fraunhofer Institute Magdeburg to guarantee the required thermal stability



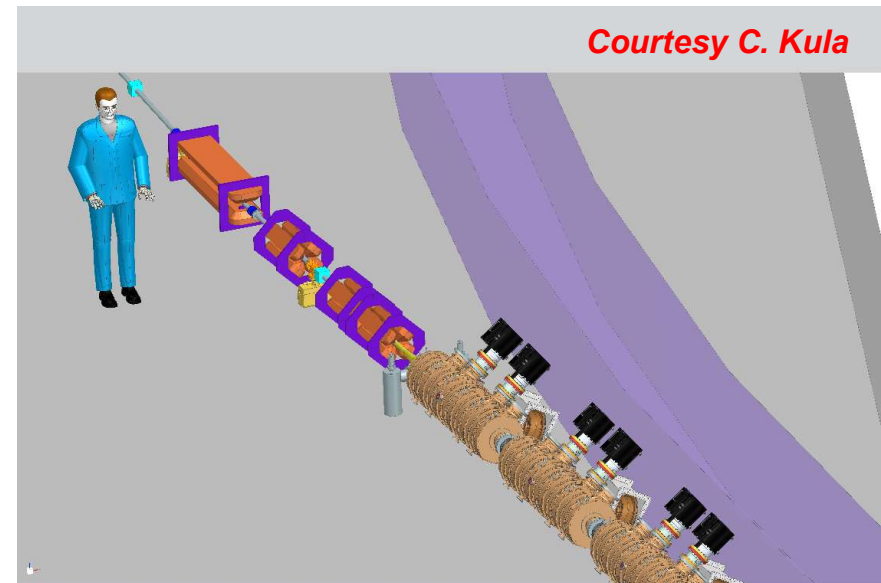
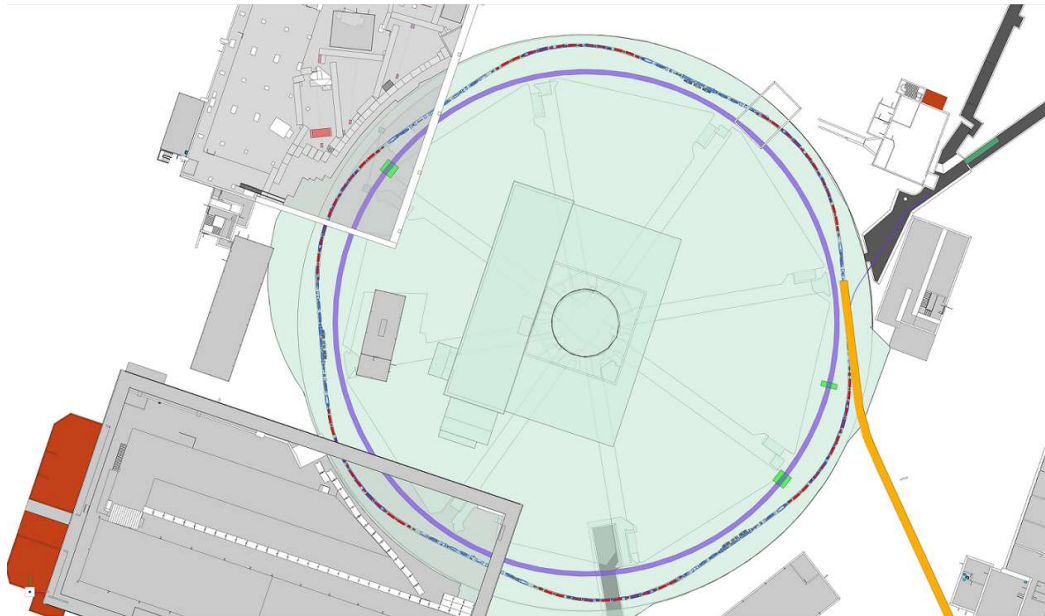
# Status of DESY IV



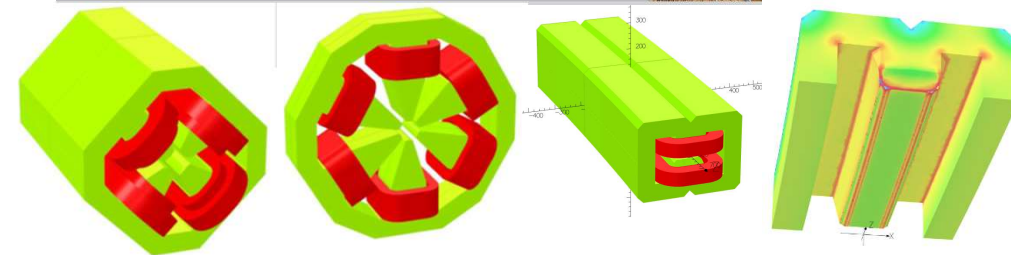
The PETRA IV project proposal includes the construction of a new booster DESY IV

The lattice was frozen last year with a design delivering 20 nm – 1 nC single bunch operation reusing the LINAC-II and PIA ring

System design and CAD integration progressed



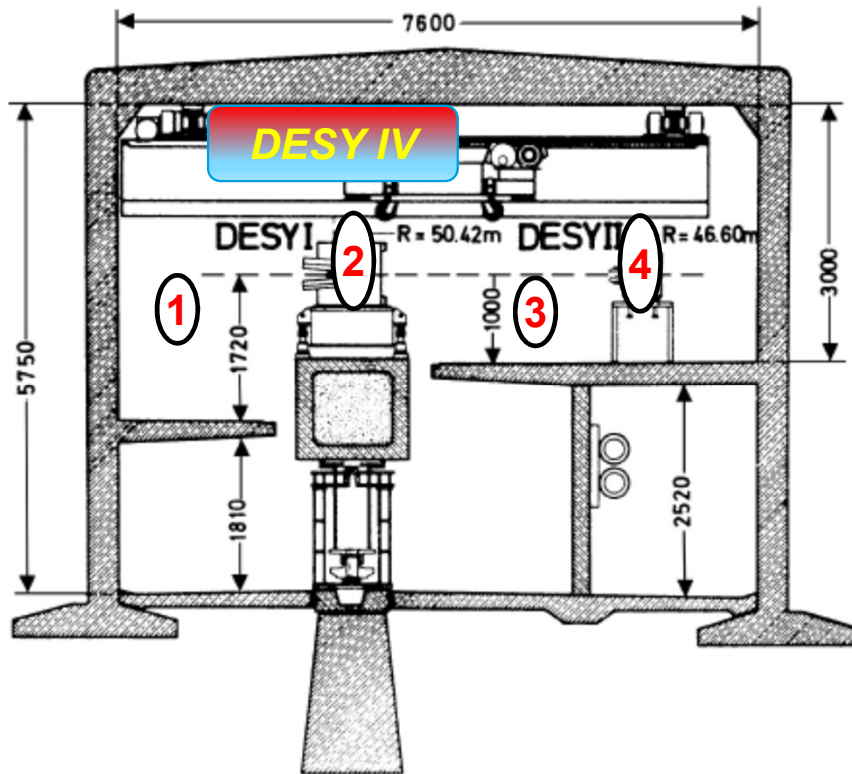
The DESY IV booster (316.8m) will have **252 magnets** and **9 RF cavities**



# DESY IV: installation issues

The definition of detailed installation plans highlighted several issues:

Inherited building layout poses several constraints: installation on the ceiling turns out to be more costly than planned and with a complex logistics – not impossible, but triggered a review...



Different options were analysed in terms of lattice layout, stability and installation procedure:

Installation issues:

- Close to the maximum load:  
1.5 tons per magnet + 1.5 tons support
- Difficulties in drilling the holes on the ceiling due to the reinforced steel rod pattern on the ceiling (no wet drilling)
- Logistic concept requires a transport and lifting system and the outer floor load must be reinforced

Option 1: not favoured as the outer wall has no support pillars underneath the floor

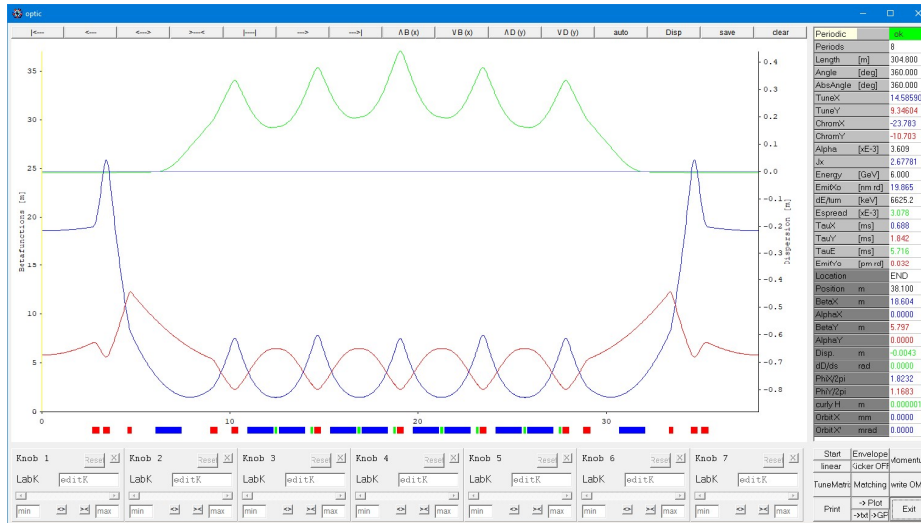
Option 2: Ringtrager discarded on the basis of stability issues

Option 4: not favoured as the removal of DESY II does not allow start of installation before the PIII shutdown

# DESY IV lattice revisited



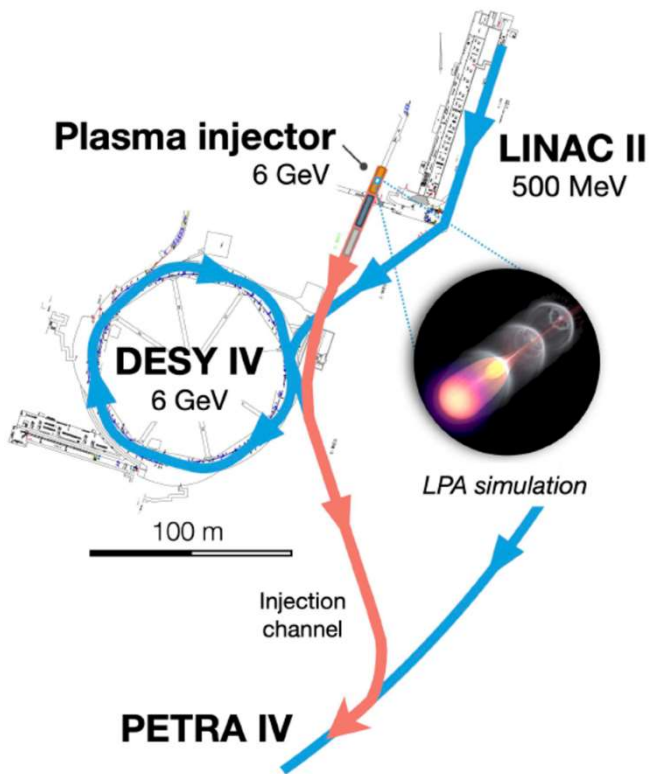
The Beam Physics group provided lattices for all options with equivalent performance to the baseline DESY IV: Moving from hexagonal to octogonal symmetry to follow the floor layout (and to provide better compatibility with the test beamlines)



Parameter	3h3l-v8 ceiling	Option 3 Intermediate
Circumference $C$	316.8 m	304.8 m
Nat. emittance $\epsilon_{x,0}$	19.0 nm	19.0 nm
Tune $Q_x/Q_y$	17.37 / 12.15	15.18 / 8.27
Nat. chromaticity $\xi_x/\xi_y$	-41.7 / -13.8	-24.4 / -10.9
MCF $\alpha_c$	$3.2 \cdot 10^{-3}$	$3.2 \cdot 10^{-3}$
Hor. damp. par. nr. $J_x$	2.56	2.29
Rel. energy spread $\sigma_E$	$2.6 \cdot 10^{-3}$	$2.1 \cdot 10^{-3}$
Energy loss/turn $\Delta E$	6.5 MeV	6.6 MeV

DESY IV 8-fold symmetry is the new baseline lattice:  
Engineering integration ongoing

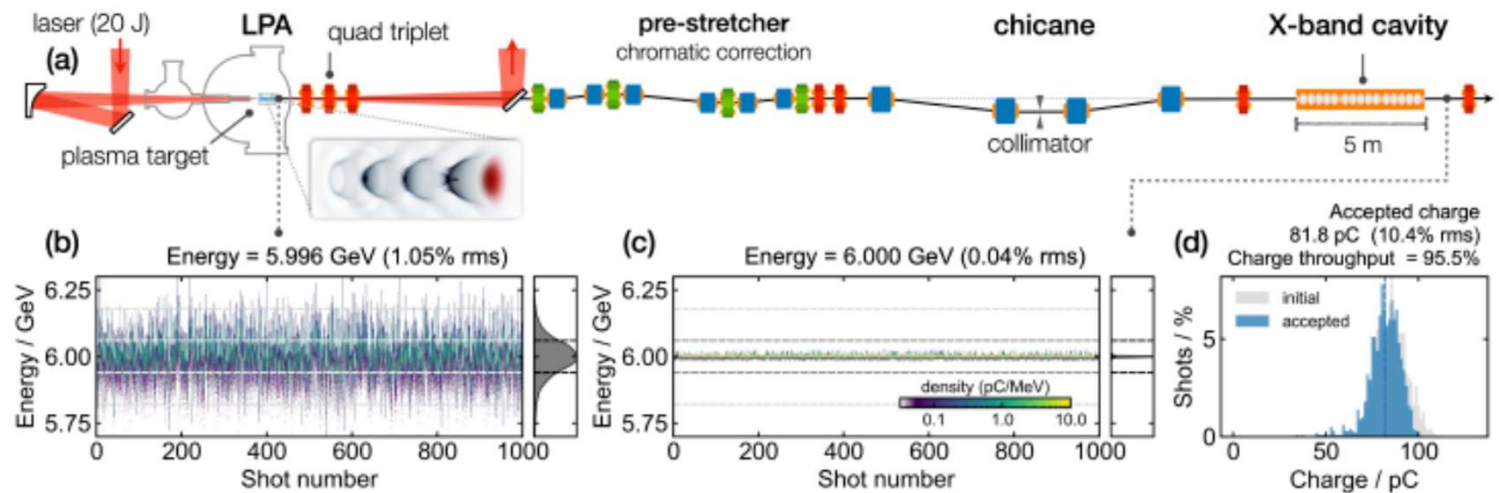
# Alternative options for the pre-accelerator: LPA injector



Building on the strong R&D for the development of LPA at DESY, We propose to build a 6 GeV LPA injector for PETRA IV.

The next 3 years will be focused to the demonstration of high quality beam suitable for high efficiency injection in PIV AND high reliability of the injector

Challenges addressed with the RF dechirper experiment at LUX DESY and 450 MeV injection in DESY II by mid 2026

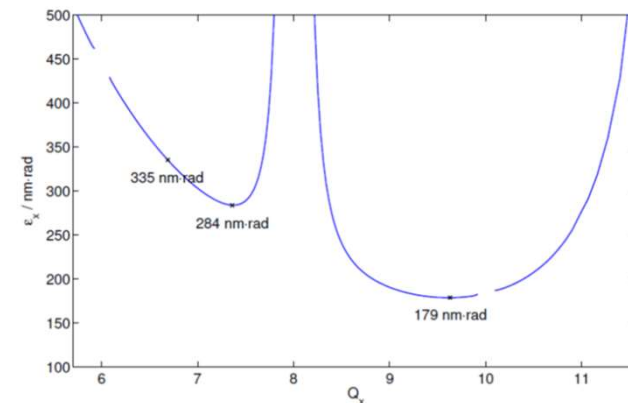


## Alternative options for the pre-accelerator: reuse of DESY II



Analysis of reusing DESY II pointed out that the large emittance 350 nm is unsuitable for injection in PIV. However, the idea of limited modifications to DESY II, could be appealing as a measure to save on the large investment for the DESY IV booster (76 M€ including refurbishment of the DESY tunnel infrastructure).

The emittance of DESY II can be reduced with new power supplies for the quadrupoles – J. Keil IPAC17 and operating off-energy down to 120 nm.



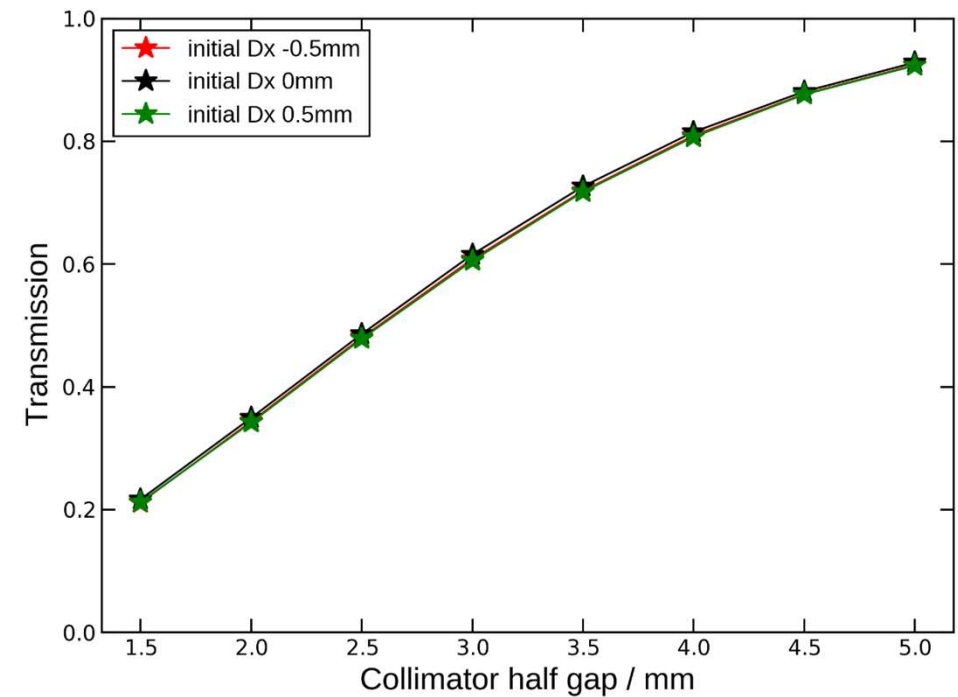
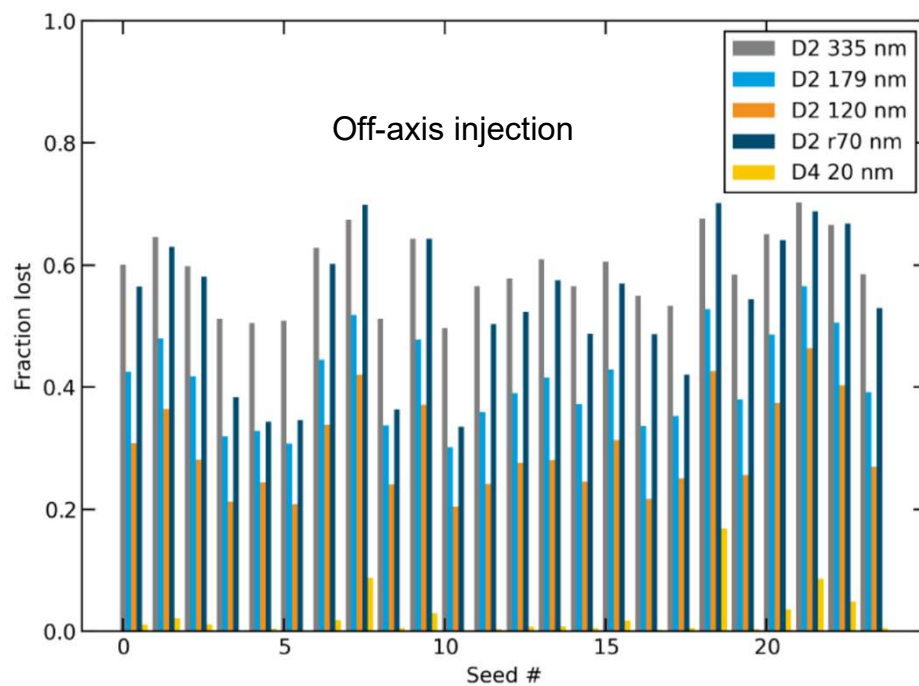
However a (strong) collimation in the transfer lines can be used to reduce the emittance of DESY IV to the level acceptable for injection in PETRA IV and reduce radiation issue at the injection point.

Currently a max of 3 nC can be accelerated in DESY II: Level of losses that can be tolerated under analysis (WIP)

**This poses the question whether DESY II operation can be extended for few years, with limited refurbishment, until the LPA delivers a beam suitable as full injector for PETRA IV**

## Using existing DESY II as booster for PETRA IV reevaluated

- Simulation of injection of DESY II emittance-optimized beams into PETRA IV. Optics errors in main ring included, no transfer line and injection errors included. Assume 3 nC max bunch charge.
- Roughly 60% of the beam is lost during injection with present DESY II parameters.
- Strong collimation in the transfer line is necessary - WIP



## Conclusions and future work



- PETRA IV is the highest priority project at DESY
- TDR ready
- Storage ring lattice well defined. Many elements of the machine are close to their final design.
- Prototypes are on the way
- DESY programme under revision – new lattice done
- Plans for a Laser Plasma injector strongly supported
- Timescale still unclear depending on funding agency decision.
- Present plans hinge on project approval 2025 and PIII shutdown in 2028-2029



**Thanks to many colleagues that provided material for  
this summary**

**Thank you for your attention!**