

# Inferring the Initial Condition for the BK Equation

based on:

Phys.Rev.D 109 (2024) 054018 arXiv:2311.10491 with M.Karhunen and H.Mäntysaari; and,  
(work in progress) with H.Hänninen and H.Mäntysaari

Speaker: Carlisle Casuga

Center of Excellence in Quark Matter, University of Jyväskylä

QCD Master Class 2024

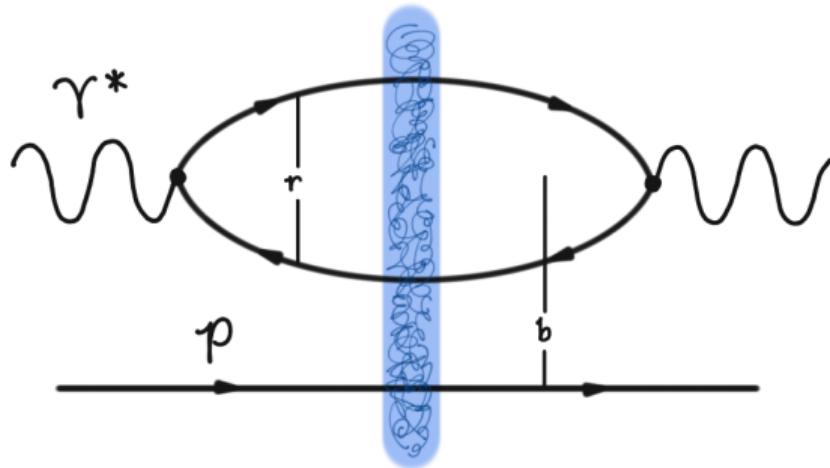


Centre of Excellence  
in Quark Matter

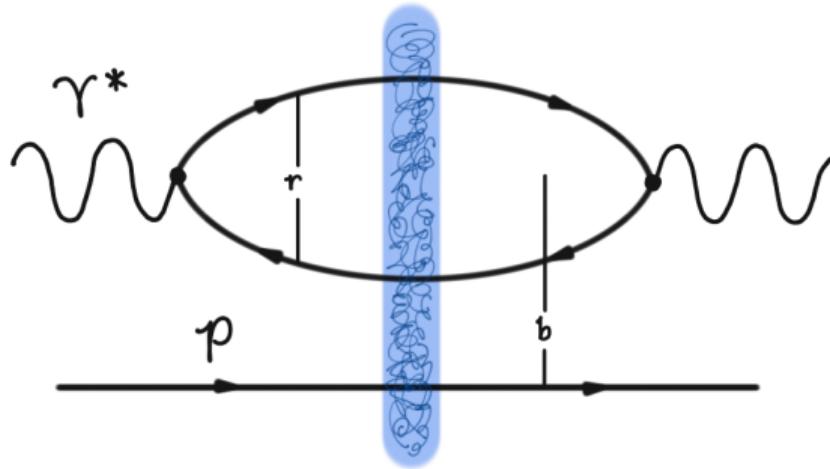


Established by the European Commission

# Deep Inelastic Scattering in the Dipole Picture

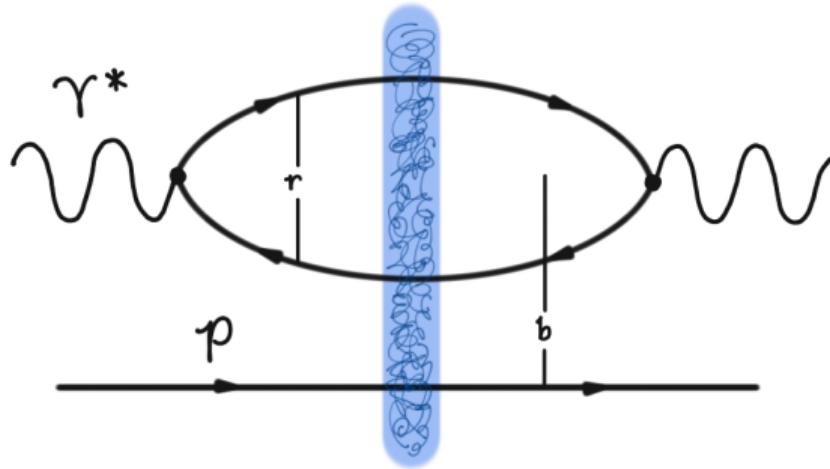


# Deep Inelastic Scattering in the Dipole Picture



$$\sigma_{T,L}^{\gamma^* p}(x, Q^2) \sim \frac{\sigma_0}{2} \otimes \mathcal{N}(r, x) \otimes \{\text{LCWF}\}$$

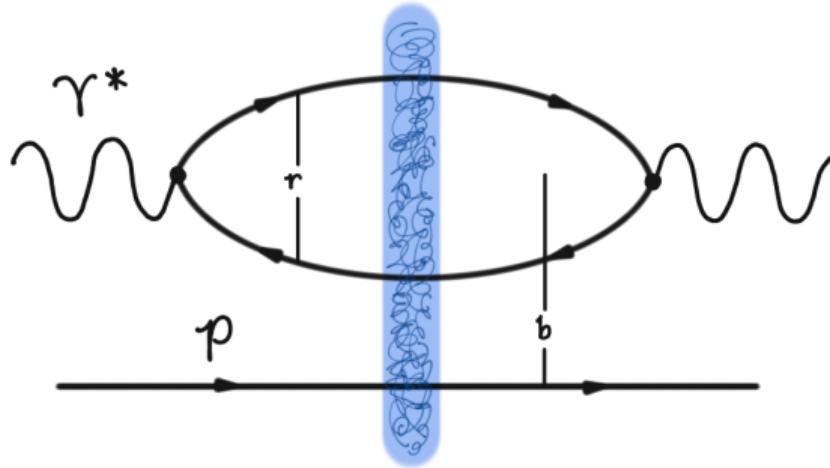
# Deep Inelastic Scattering in the Dipole Picture



proton transverse area

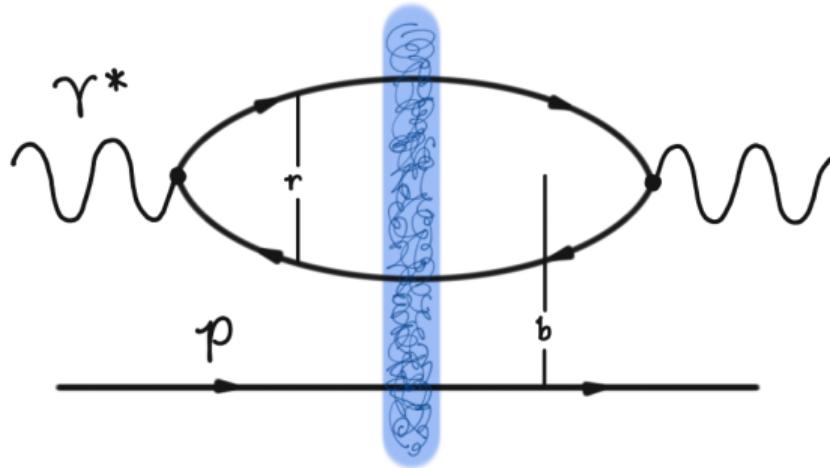
$$\sigma_{T,L}^{\gamma^* p}(x, Q^2) \sim \frac{\sigma_0}{2} \otimes \mathcal{N}(r, x) \otimes \{\text{LCWF}\}$$

# Deep Inelastic Scattering in the Dipole Picture



$$\sigma_{T,L}^{\gamma^* p}(x, Q^2) \sim \frac{\sigma_0}{2} \otimes \text{dipole-target scattering amplitude} \otimes \mathcal{N}(r, x) \otimes \{\text{LCWF}\}$$

# Deep Inelastic Scattering in the Dipole Picture



$$\sigma_{T,L}^{\gamma^* p}(x, Q^2) \sim \frac{\sigma_0}{2} \otimes \mathcal{N}(r, x) \otimes \{\text{LCWF}\}$$

$$\text{rcBK: } \mathcal{N}(r, x = x_0; Q_{s0}^2, \gamma, e_c) \xrightarrow{C^2} \mathcal{N}(r, x)$$

# Objectives

- Constrain model parameters,  $[Q_{s0}^2, \gamma, e_c, C^2, \sigma_0/2]$  against combined HERA reduced cross section data ...

Some previous fits to HERA data:

- ✓ H.Mäntysaari, T. Lappi (2013): 1309.6963
- ✓ AAMQS Collaboration (2010) arXiv:1012.4408
- ✓ H.Hänninen et al. (2020) arXiv:2007.01645

... this work: provides uncertainty for the BK initial condition!

- Tool: Bayesian inference to extract posterior distribution.
- Account for correlated experimental uncertainties in HERA data.
- This talk:
  - 2311.10491 Leading order acc. + light quarks
  - work in progress NLO + charm contribution

# Objectives

- Constrain model parameters,  $[Q_{s0}^2, \gamma, e_c, C^2, \sigma_0/2]$  against combined HERA reduced cross section data ...

Some previous fits to HERA data:

- ✓ H.Mäntysaari, T. Lappi (2013): 1309.6963
- ✓ AAMQS Collaboration (2010) arXiv:1012.4408
- ✓ H.Hänninen et al. (2020) arXiv:2007.01645

... this work: provides uncertainty for the BK initial condition!

- Tool: Bayesian inference to extract posterior distribution.
- Account for correlated experimental uncertainties in HERA data.
- This talk:
  - 2311.10491 Leading order acc. + light quarks
  - work in progress NLO + charm contribution

# Objectives

- Constrain model parameters,  $[Q_{s0}^2, \gamma, e_c, C^2, \sigma_0/2]$  against combined HERA reduced cross section data ...

Some previous fits to HERA data:

- ✓ H.Mäntysaari, T. Lappi (2013): 1309.6963
- ✓ AAMQS Collaboration (2010) arXiv:1012.4408
- ✓ H.Hänninen et al. (2020) arXiv:2007.01645

... this work: provides uncertainty for the BK initial condition!

- Tool: Bayesian inference to extract posterior distribution.
- Account for correlated experimental uncertainties in HERA data.
- This talk:
  - 2311.10491 Leading order acc. + light quarks
  - work in progress NLO + charm contribution

# Objectives

- Constrain model parameters,  $[Q_{s0}^2, \gamma, e_c, C^2, \sigma_0/2]$  against combined HERA reduced cross section data ...

Some previous fits to HERA data:

- ✓ H.Mäntysaari, T. Lappi (2013): 1309.6963
- ✓ AAMQS Collaboration (2010) arXiv:1012.4408
- ✓ H.Hänninen et al. (2020) arXiv:2007.01645

... this work: provides uncertainty for the BK initial condition!

- Tool: Bayesian inference to extract posterior distribution.
- Account for correlated experimental uncertainties in HERA data.
- This talk:
  - 2311.10491 Leading order acc. + light quarks
  - work in progress NLO + charm contribution

# Objectives

- Constrain model parameters,  $[Q_{s0}^2, \gamma, e_c, C^2, \sigma_0/2]$  against combined HERA reduced cross section data ...

Some previous fits to HERA data:

- ✓ H.Mäntysaari, T. Lappi (2013): 1309.6963
- ✓ AAMQS Collaboration (2010) arXiv:1012.4408
- ✓ H.Hänninen et al. (2020) arXiv:2007.01645

... this work: provides uncertainty for the BK initial condition!

- Tool: Bayesian inference to extract posterior distribution.
- Account for correlated experimental uncertainties in HERA data.
- This talk:  
2311.10491 Leading order acc. + light quarks  
work in progress NLO + charm contribution

# Objectives

- Constrain model parameters,  $[Q_{s0}^2, \gamma, e_c, C^2, \sigma_0/2]$  against combined HERA reduced cross section data ...

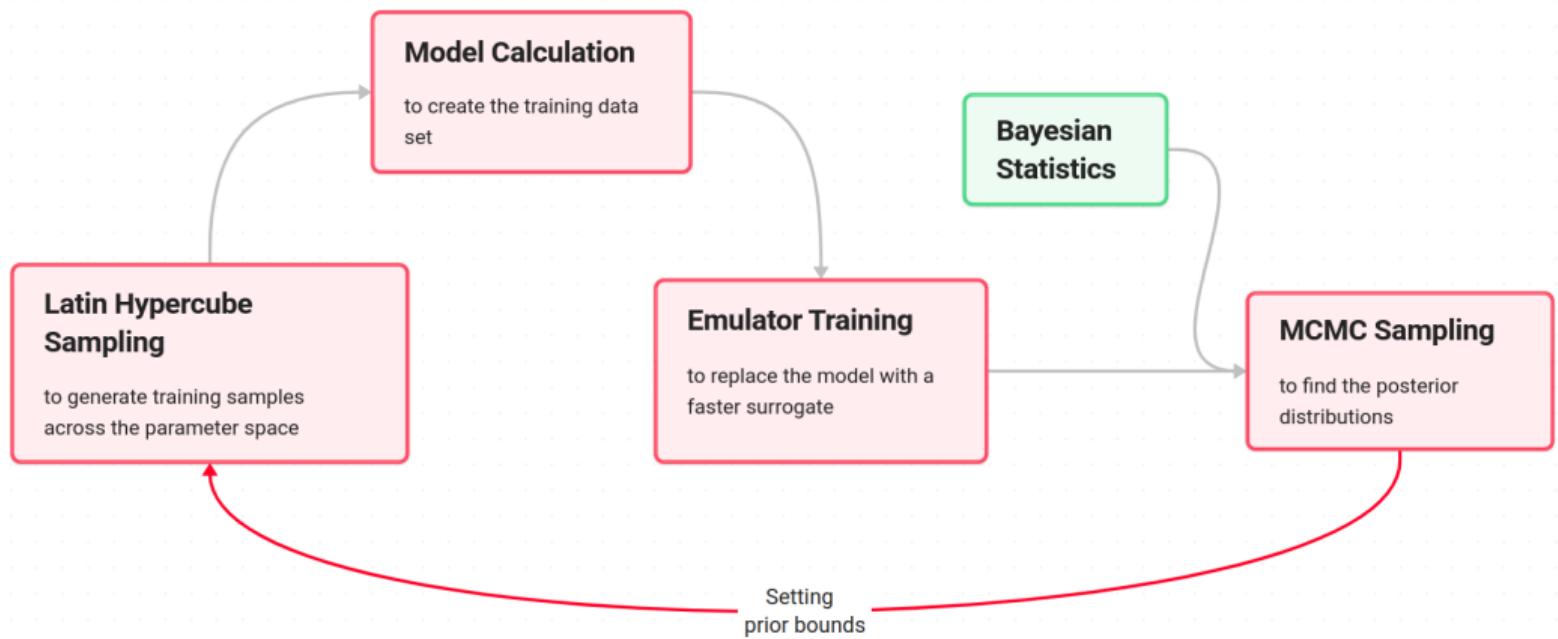
Some previous fits to HERA data:

- ✓ H.Mäntysaari, T. Lappi (2013): 1309.6963
- ✓ AAMQS Collaboration (2010) arXiv:1012.4408
- ✓ H.Hänninen et al. (2020) arXiv:2007.01645

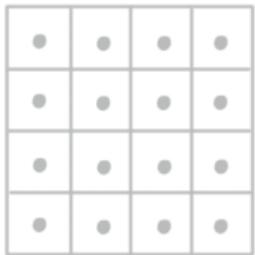
... this work: provides uncertainty for the BK initial condition!

- Tool: Bayesian inference to extract posterior distribution.
- Account for correlated experimental uncertainties in HERA data.
- This talk:  
[2311.10491](#) Leading order acc. + light quarks  
[work in progress](#) NLO + charm contribution

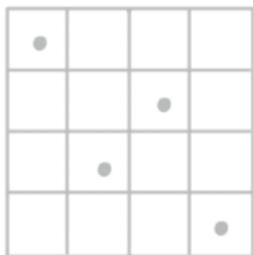
# Typical Bayesian Workflow



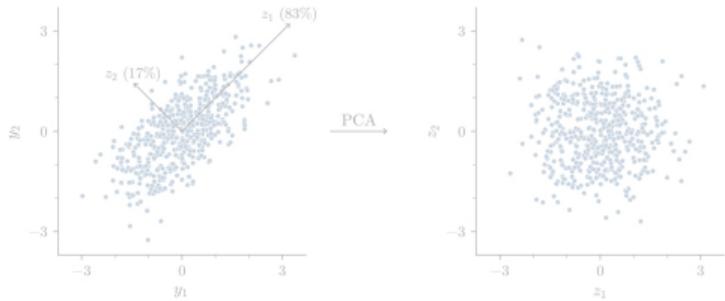
## Latin Hypercube Sampling



VIA



## Principal Component Analysis



\*Image: J.Bernhard PhD Thesis (2018)

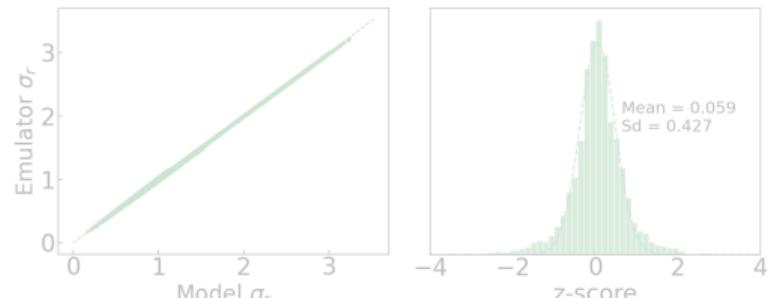
## Gaussian Process Emulator

GPs learn the parameter dependence of the model!

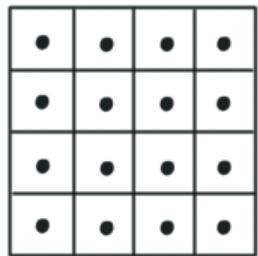


\*Image: J.Bernhard PhD Thesis (2018)

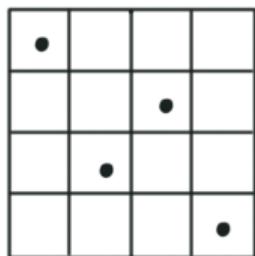
## Validation



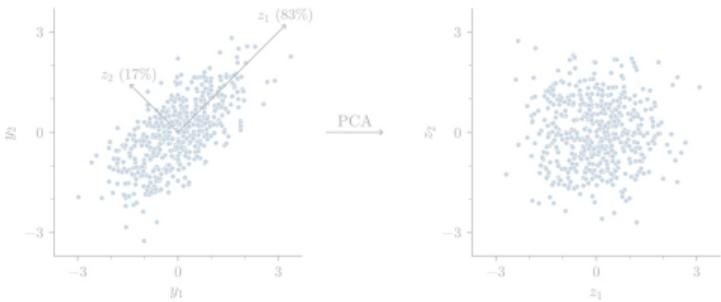
## Latin Hypercube Sampling



VA



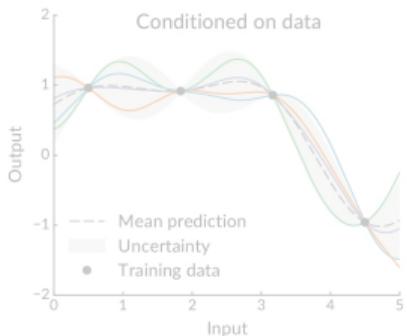
## Principal Component Analysis



\*Image: J.Bernhard PhD Thesis (2018)

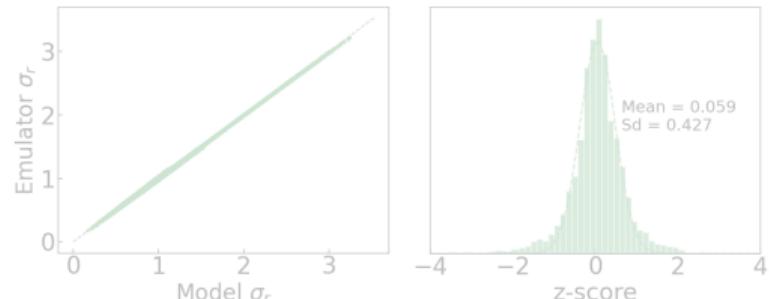
## Gaussian Process Emulator

GPs learn the parameter dependence of the model!

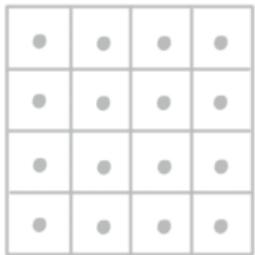


\*Image: J.Bernhard PhD Thesis (2018)

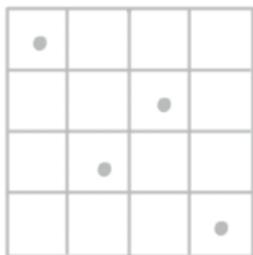
## Validation



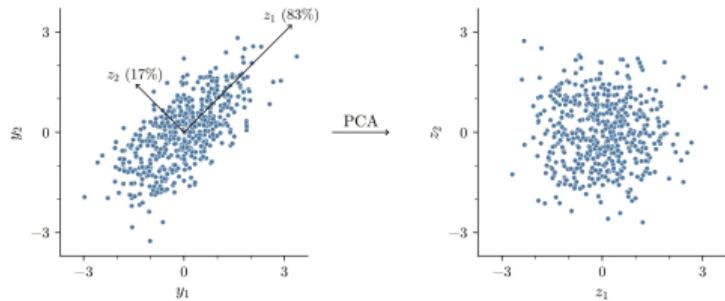
## Latin Hypercube Sampling



VA



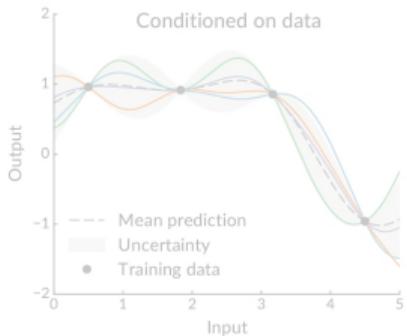
## Principal Component Analysis



\*Image: J.Bernhard PhD Thesis (2018)

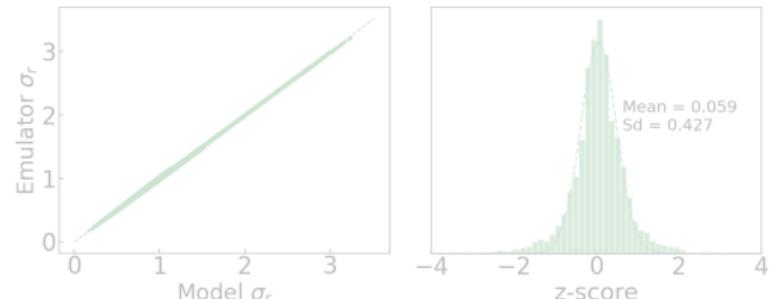
## Gaussian Process Emulator

GPs learn the parameter dependence of the model!

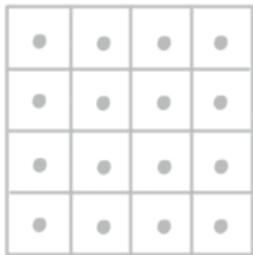


\*Image: J.Bernhard PhD Thesis (2018)

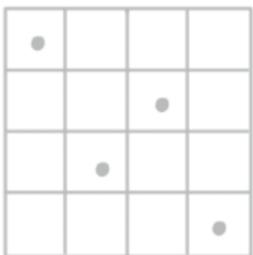
## Validation



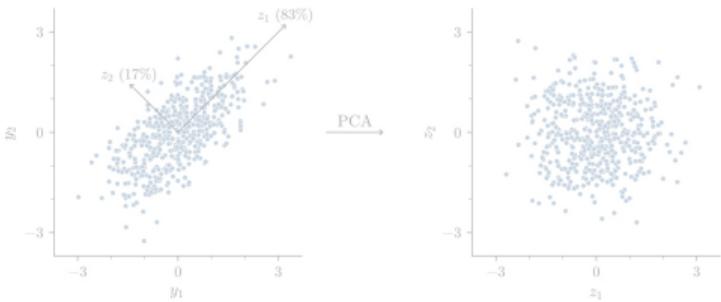
## Latin Hypercube Sampling



VIA



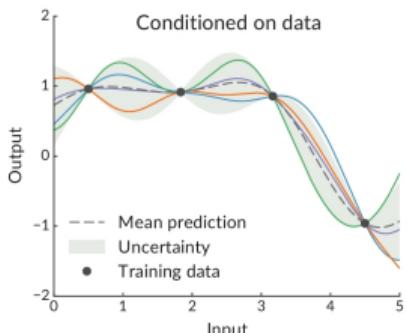
## Principal Component Analysis



\*Image: J.Bernhard PhD Thesis (2018)

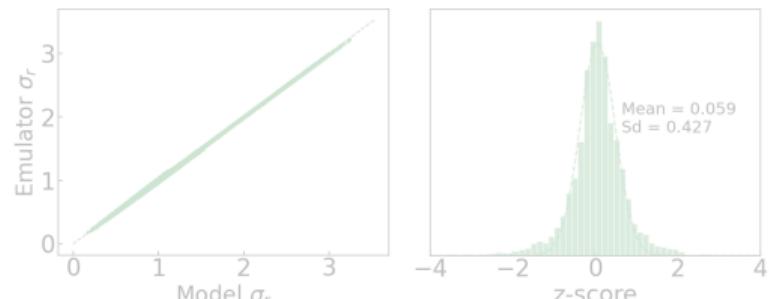
## Gaussian Process Emulator

GPs learn the parameter dependence of the model!

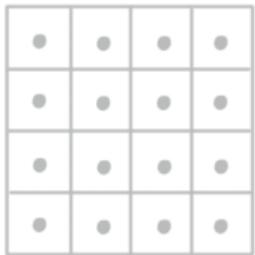


\*Image: J.Bernhard PhD Thesis (2018)

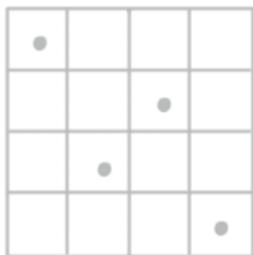
## Validation



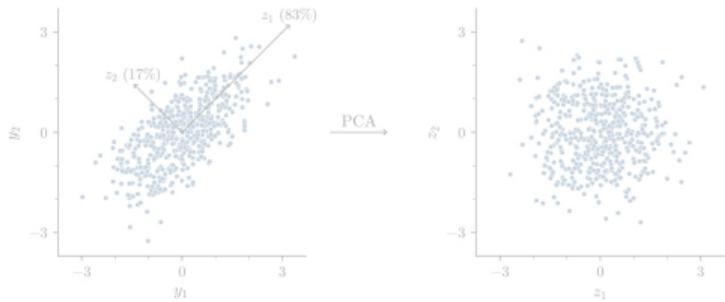
## Latin Hypercube Sampling



VIA



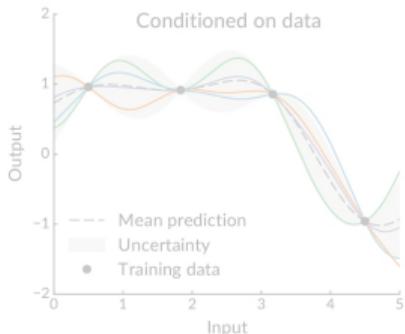
## Principal Component Analysis



\*Image: J.Bernhard PhD Thesis (2018)

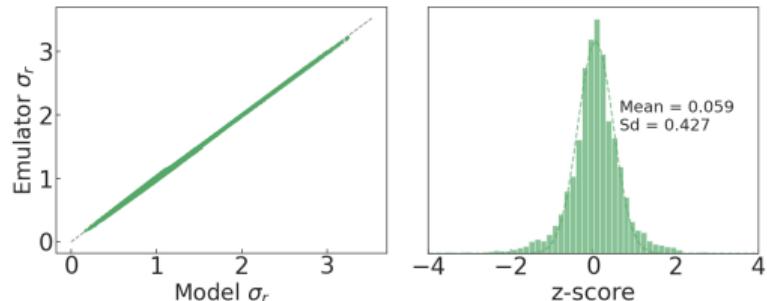
## Gaussian Process Emulator

GPs learn the parameter dependence of the model!



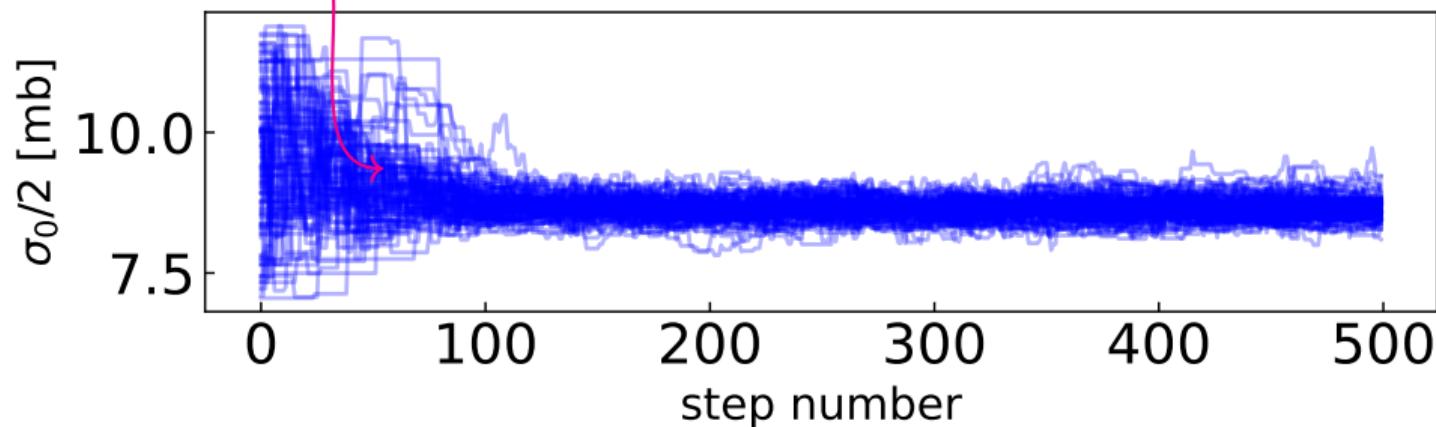
\*Image: J.Bernhard PhD Thesis (2018)

## Validation



Acceptance probability:

$$\alpha = \frac{P(\theta_{x+1})}{P(\theta_x)}$$



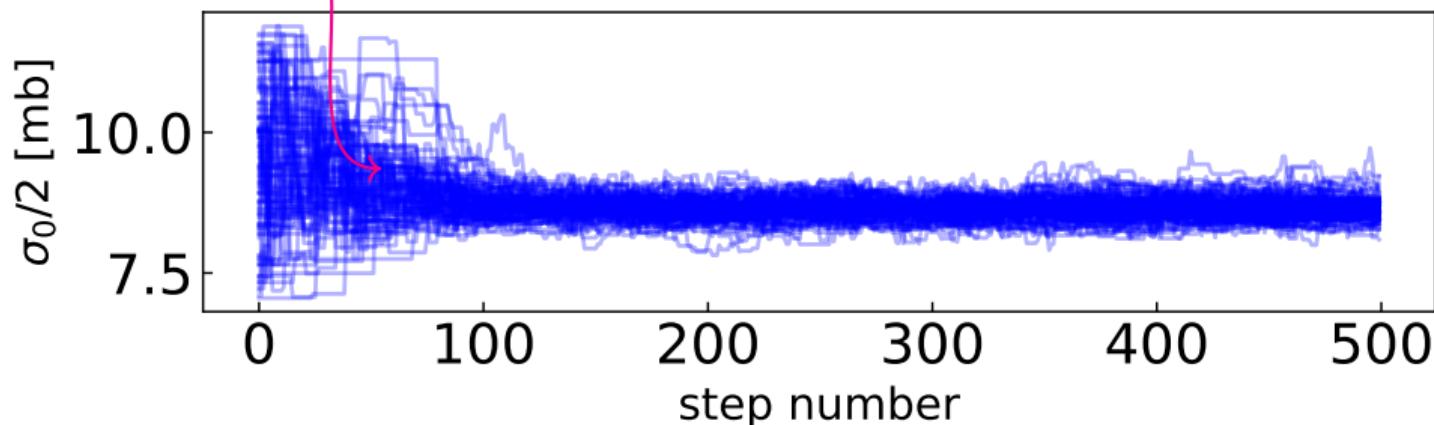
## Bayesian Statistics

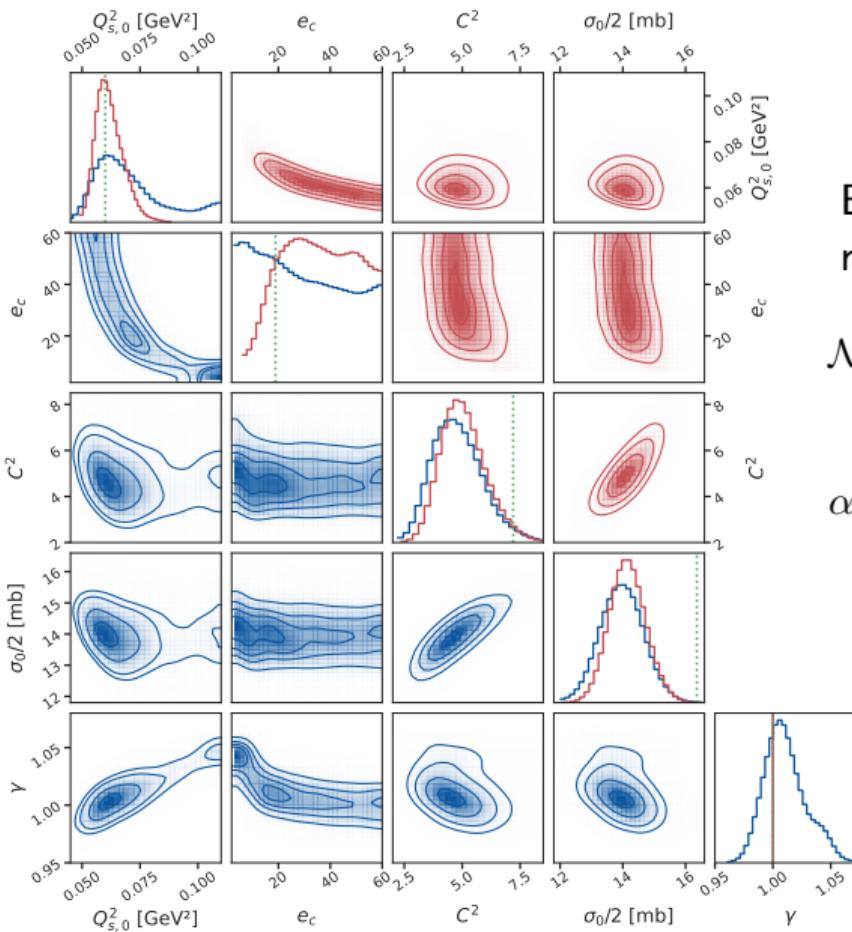
$$P(\theta) = \text{posterior} = \text{likelihood} \times \text{prior}$$

Acceptance probability:

$$\alpha = \frac{P(\theta_{x+1})}{P(\theta_x)}$$

- Likelihood: how well data matches the model at  $\theta$
- Prior: bounds of the parameter space





C.C. M. Karhunen, H. Mäntysaari, 2311.10491

## Posterior Distributions

Explicit expressions for initial amplitude and running coupling:

$$\mathcal{N}(\mathbf{r}, x_0) = 1 - \exp \left[ -\frac{(r^2 Q_{s,0}^2)^\gamma}{4} \ln \left( \frac{1}{|\mathbf{r}| \Lambda_{QCD}} + e_c \cdot e \right) \right]$$

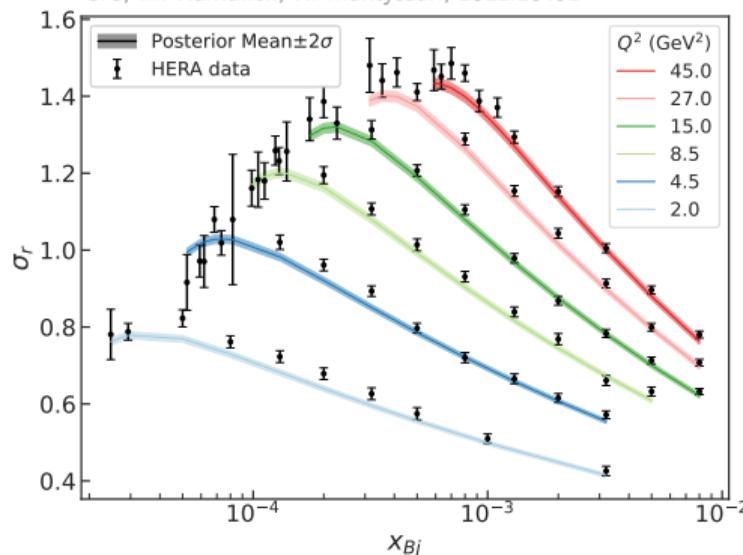
$$\alpha_s(\mathbf{r}) = \frac{12\pi}{(33 - N_f) \log \left( \frac{4C^2}{r^2 \Lambda_{QCD}^2} \right)}$$

- $[Q_{s,0}^2, \gamma, e_c, C^2, \sigma_0/2]$
- $\gamma = 1$
- MV<sup>e</sup> [H.M. & T.L. (2013)]

# Posterior Samples, Median and MAP

5 - parameter	$Q_{s0}^2[\text{GeV}^2]$	$\gamma$	$e_c$	$C^2$	$\sigma_0/2[\text{mb}]$	$\chi^2/\text{dof}$	$Q_s^2$
median	0.067	1.01	27.5	4.72	14.0	1.016	0.288
MAP	0.077	1.01	15.6	4.47	13.9	1.012	0.289

C.C, M. Karhunen, H. Mäntysaari, 2311.10491



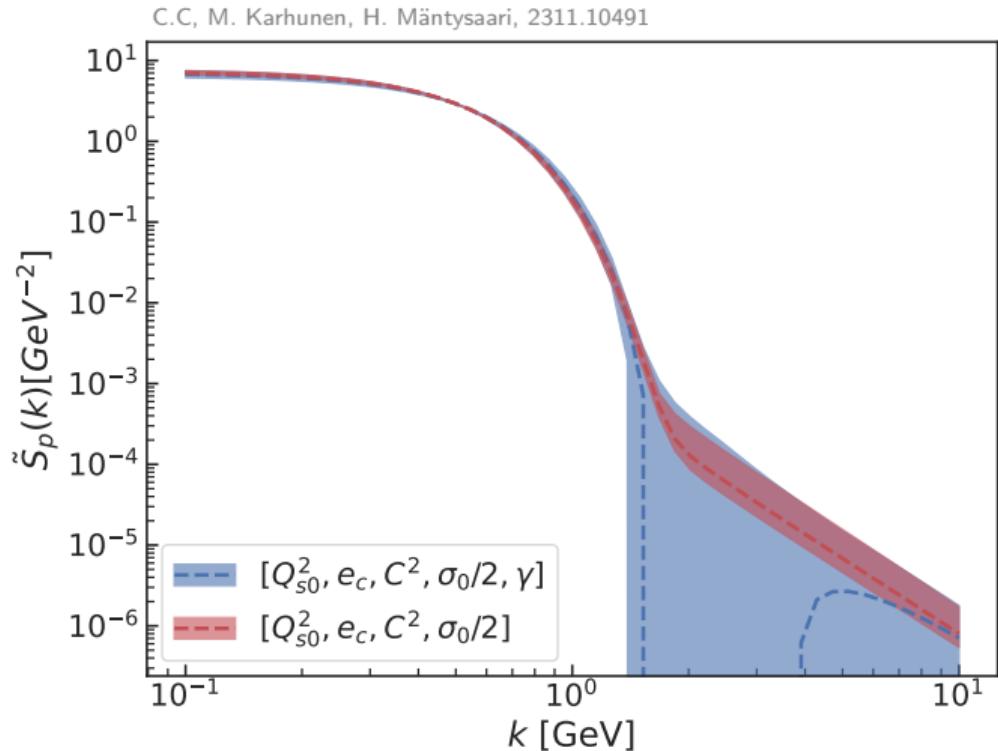
! Good agreement  
with HERA data

# Inclusive quark production

$$d\sigma^{q+A \rightarrow q+X} = xq(x, \mathbf{k}^2) \tilde{S}_p(\mathbf{k})$$

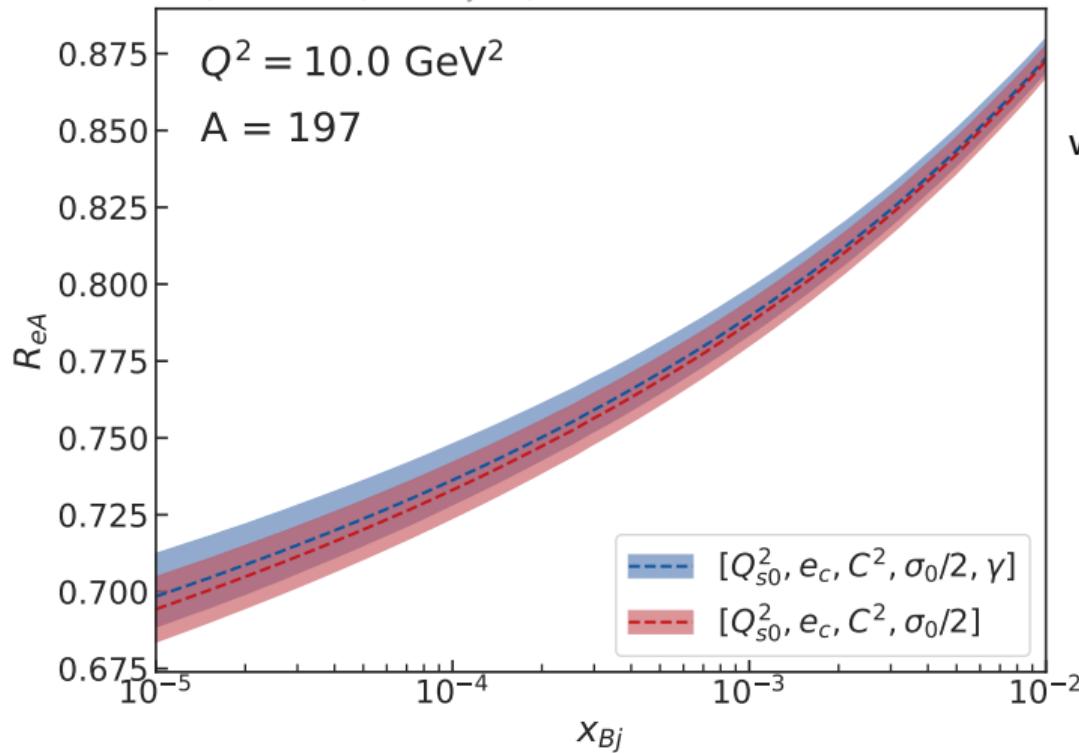
$\tilde{S}_p(\mathbf{k}) \rightarrow$  2DFT of Dipole amplitude

$$= \int d^2\mathbf{r} e^{i\mathbf{k}\cdot\mathbf{r}} \\ \times [1 - \mathcal{N}(\mathbf{r}, x = x_0)]$$



# Nuclear Modification factor at the EIC and beyond

C.C, M. Karhunen, H. Mäntysaari, 2311.10491



$$R_{eA} = \frac{F_{2,A}}{AF_{2,p}}$$

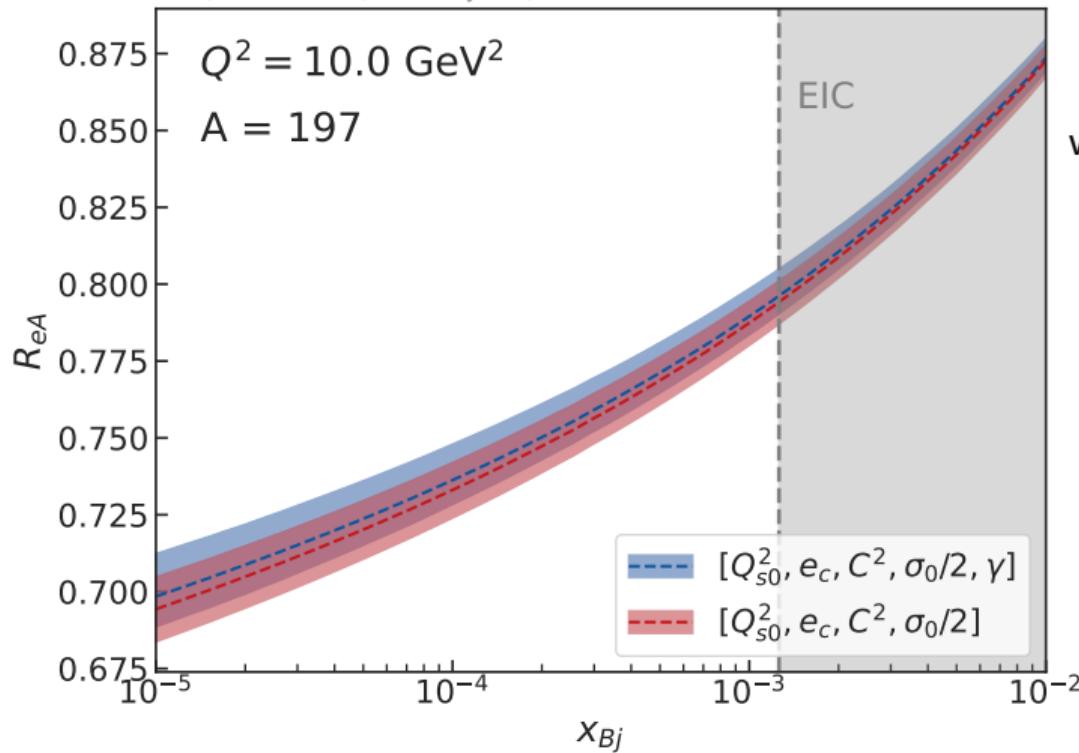
where  $Q_{s,A}^2 = Q_{s,p}^2 \cdot \sigma_0/2 \cdot AT_A(b)$ .

- Evolution speed uncertainty ( $\sim C^2$ ) become more significant towards smaller  $x_{Bj}$

! These measurements could provide further constraint for the initial condition

# Nuclear Modification factor at the EIC and beyond

C.C, M. Karhunen, H. Mäntysaari, 2311.10491



$$R_{eA} = \frac{F_{2,A}}{AF_{2,p}}$$

$$\text{where } Q_{s,A}^2 = Q_{s,p}^2 \cdot \sigma_0 / 2 \cdot AT_A(b).$$

- Evolution speed uncertainty ( $\sim C^2$ ) become more significant towards smaller  $x_{Bj}$

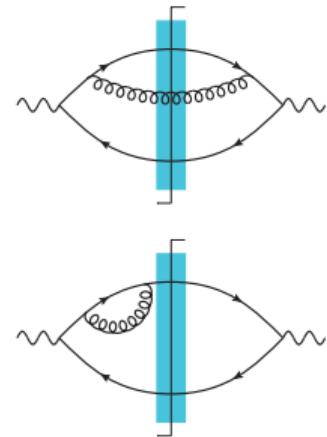
! These measurements could provide further constraint for the initial condition

# Next-to-Leading Order Analysis

## ■ NLO Cross section:

$$\sigma_{L,T}^{\text{NLO}} = \sigma_{L,T}^{\text{IC}} + \sigma_{L,T}^{\text{qg}} + \sigma_{L,T}^{\text{dip}}$$

- 1 Leading order term, amplitude evaluated at IC
- 2 Gluon term, a gluon is emitted into the final state
- 3 Dipole term, NLO  $q\bar{q}$  contribution + virtual corrections



## ■ NLO BK:

- Evolution equation: ResumBK [E. Iancu et. al., 1502.05642]
- Running coupling prescription: Balitsky + smallest dipole
- Model parameters:  $IC \rightarrow [Q_{s0}^2, \gamma, C^2, \sigma_0/2]$  and charm mass  $\rightarrow m_c$

\* Image from H. Hänninen et al. 2211.03504

## ■ First analysis with simultaneous constraint against HERA total reduced cross section + charm quark production

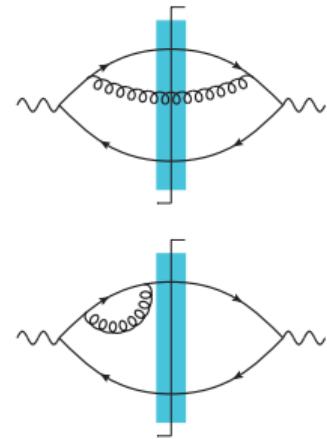
\* set-up and formulations based mostly on G. Beuf, H. Hänninen, T. Lappi, H. Mäntysaari (2020) 2007.01645

# Next-to-Leading Order Analysis

## ■ NLO Cross section:

$$\sigma_{L,T}^{\text{NLO}} = \sigma_{L,T}^{\text{IC}} + \sigma_{L,T}^{\text{qg}} + \sigma_{L,T}^{\text{dip}}$$

- 1 Leading order term, amplitude evaluated at IC
- 2 Gluon term, a gluon is emitted into the final state
- 3 Dipole term, NLO  $q\bar{q}$  contribution + virtual corrections



## ■ NLO BK:

- Evolution equation: ResumBK [E. Iancu et. al., 1502.05642]
- Running coupling prescription: Balitsky + smallest dipole
- Model parameters:  $IC \rightarrow [Q_{s0}^2, \gamma, C^2, \sigma_0/2]$  and charm mass  $\rightarrow m_c$

\* Image from H. Hänninen et al. 2211.03504

## ■ First analysis with simultaneous constraint against HERA total reduced cross section + charm quark production

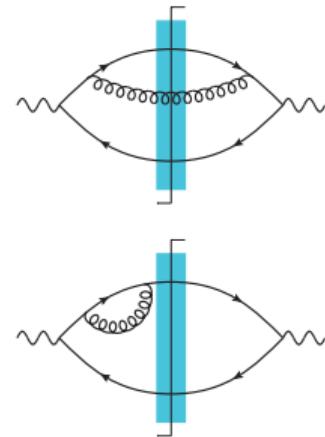
\* set-up and formulations based mostly on G. Beuf, H. Hänninen, T. Lappi, H. Mäntysaari (2020) 2007.01645

# Next-to-Leading Order Analysis

## ■ NLO Cross section:

$$\sigma_{L,T}^{\text{NLO}} = \sigma_{L,T}^{\text{IC}} + \sigma_{L,T}^{\text{qg}} + \sigma_{L,T}^{\text{dip}}$$

- 1 Leading order term, amplitude evaluated at IC
- 2 Gluon term, a gluon is emitted into the final state
- 3 Dipole term, NLO  $q\bar{q}$  contribution + virtual corrections



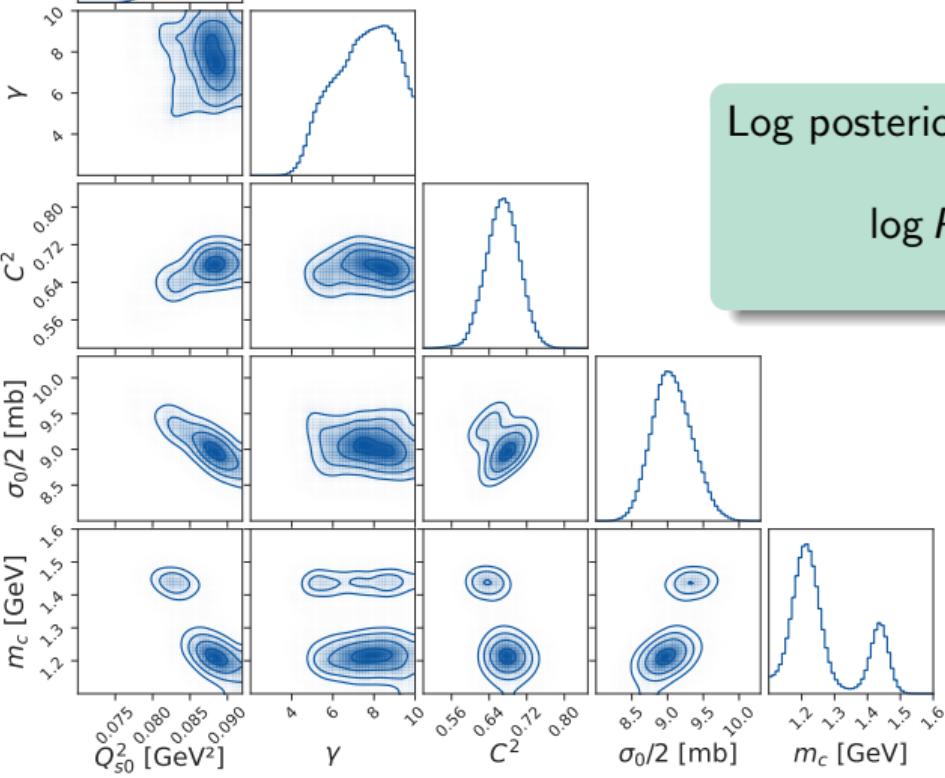
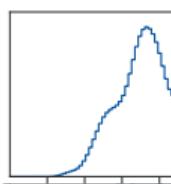
## ■ NLO BK:

- Evolution equation: ResumBK [E. Iancu et. al., 1502.05642]
- Running coupling prescription: Balitsky + smallest dipole
- Model parameters:  $IC \rightarrow [Q_{s0}^2, \gamma, C^2, \sigma_0/2]$  and charm mass  $\rightarrow m_c$

\* Image from H. Hänninen et al. 2211.03504

## ■ First analysis with simultaneous constraint against HERA total reduced cross section + charm quark production

\* set-up and formulations based mostly on G. Beuf, H. Hänninen, T. Lappi, H. Mäntysaari (2020) 2007.01645



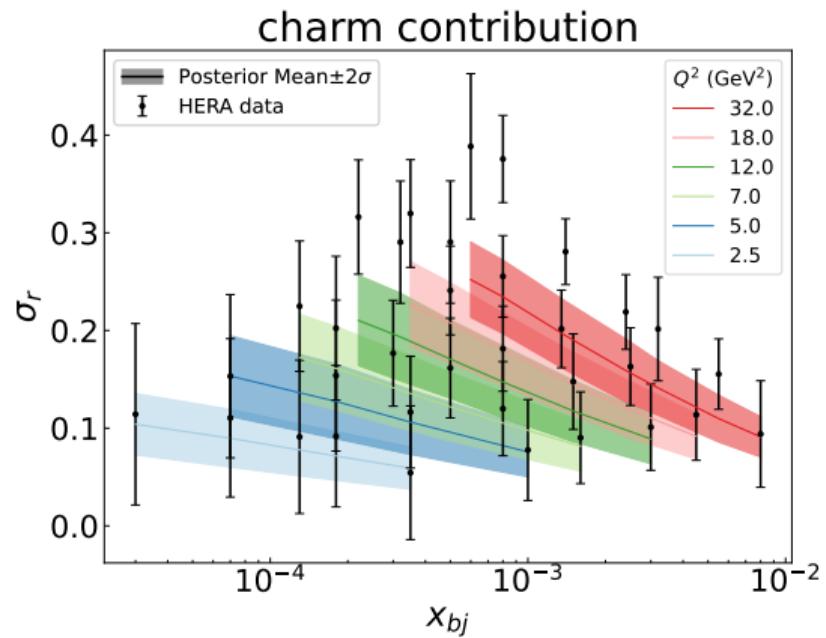
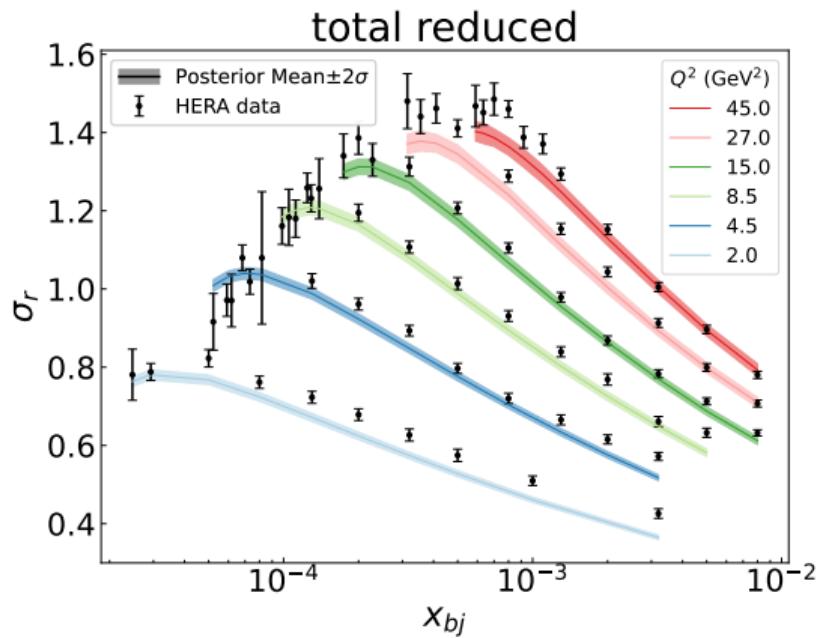
## Initial Results of NLO analysis

Log posterior for multiple constraints:

$$\log P(\theta|X) = \sum_{i=\text{datasets}} \log P(\theta|X_i)$$

# Description of charm data

- $\chi^2/\text{d.o.f.}$  over 100 samples: 1.70 (total reduced) and 1.39 (charm)



## Summary & Outlook

- Posterior distribution for model parameters of BK IC, a necessary input to LO and NLO CGC calculations
- ! First time:
  - Method for propagating the uncertainties BK IC
  - Accounted for correlated errors in HERA data
  - Global fit including heavy quark cross section data

## Further work

- Other IC parametrizations and NLO BK (approximate) prescriptions
- Constrain against beauty production data

## Summary & Outlook

- Posterior distribution for model parameters of BK IC, a necessary input to LO and NLO CGC calculations
- ! First time:
  - Method for propagating the uncertainties BK IC
  - Accounted for correlated errors in HERA data
  - Global fit including heavy quark cross section data

## Further work

- Other IC parametrizations and NLO BK (approximate) prescriptions
- Constrain against beauty production data

## Summary & Outlook

- Posterior distribution for model parameters of BK IC, a necessary input to LO and NLO CGC calculations
- ! First time:
  - Method for propagating the uncertainties BK IC
  - Accounted for correlated errors in HERA data
  - Global fit including heavy quark cross section data

## Further work

- Other IC parametrizations and NLO BK (approximate) prescriptions
- Constrain against beauty production data

## Summary & Outlook

- Posterior distribution for model parameters of BK IC, a necessary input to LO and NLO CGC calculations
- ! First time:
  - Method for propagating the uncertainties BK IC
  - Accounted for correlated errors in HERA data
  - Global fit including heavy quark cross section data

## Further work

- Other IC parametrizations and NLO BK (approximate) prescriptions
- Constrain against beauty production data

## Summary & Outlook

- Posterior distribution for model parameters of BK IC, a necessary input to LO and NLO CGC calculations
- ! First time:
  - Method for propagating the uncertainties BK IC
  - Accounted for correlated errors in HERA data
  - Global fit including heavy quark cross section data

## Further work

- Other IC parametrizations and NLO BK (approximate) prescriptions
- Constrain against beauty production data

# **Back up Slides**

# Parameters

- $\sigma_0/2$ , half of normalization to the cross section, proton transverse area,  
 $2 \int d^2 b \rightarrow \sigma_0$
- $Q_{s,0}^2$ , **related** to the saturation scale at initial  $x$ . Previous fits used GBW parametrization:

$$\mathcal{N}(\mathbf{r}, x_0) = 1 - \exp \left[ -\frac{(\mathbf{r}^2 Q_{s,0}^2)^\gamma}{4} \right]$$

- $C^2$ , connects the running coupling in  $\mathbf{r}$  to its Fourier transform
- $\gamma$ , anomalous dimension, controlling the steepness of the cross section related to its fall-off for small dipoles
- $e_c$ , infrared cut-off in the MV model

## $F_2$ Structure Function for Nucleus

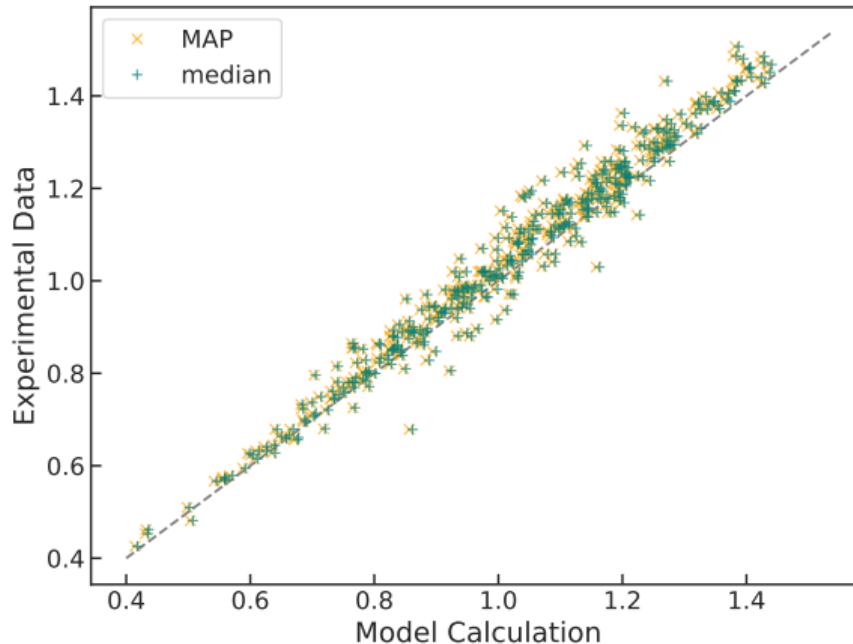
$$N_A(\mathbf{r}, \mathbf{b}, x = x_0) = 1 - \exp \left[ -AT_A(\mathbf{b}) \frac{\sigma_0}{2} \frac{(\mathbf{r}^2 Q_{s,0}^2)^\gamma}{4} \ln \left( \frac{1}{|\mathbf{r}| \Lambda_{\text{QCD}}} + \mathbf{e}_c \cdot \mathbf{e} \right) \right]$$

where  $T_A(\mathbf{b})$  is the transverse thickness function of the nucleus of mass number  $A$ , obtained through integrating the Woods-Saxon distribution

$$\rho_A(\mathbf{b}, z) = \frac{n}{1 + \exp \left[ \frac{\sqrt{\mathbf{b}^2 + z^2} - R_A}{d} \right]}$$

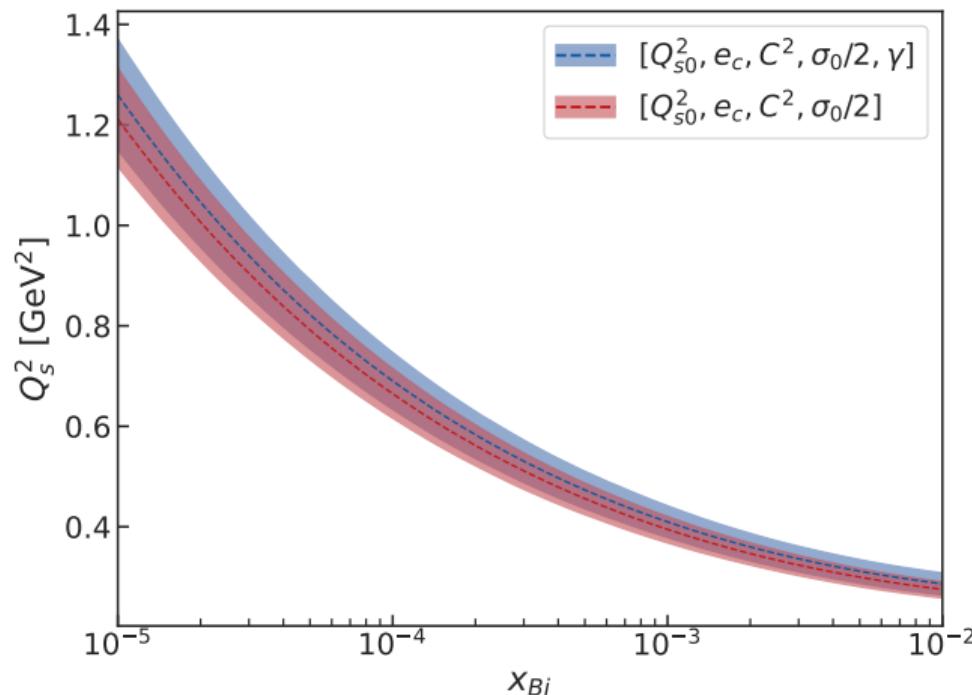
# Emulator vs Model

$$\frac{\chi^2}{\text{d.o.f}} = \frac{1}{N - p} \Delta \mathbf{y}(\boldsymbol{\theta})^T \Sigma_{\text{exp}}^{-1} \Delta \mathbf{y}(\boldsymbol{\theta}),$$

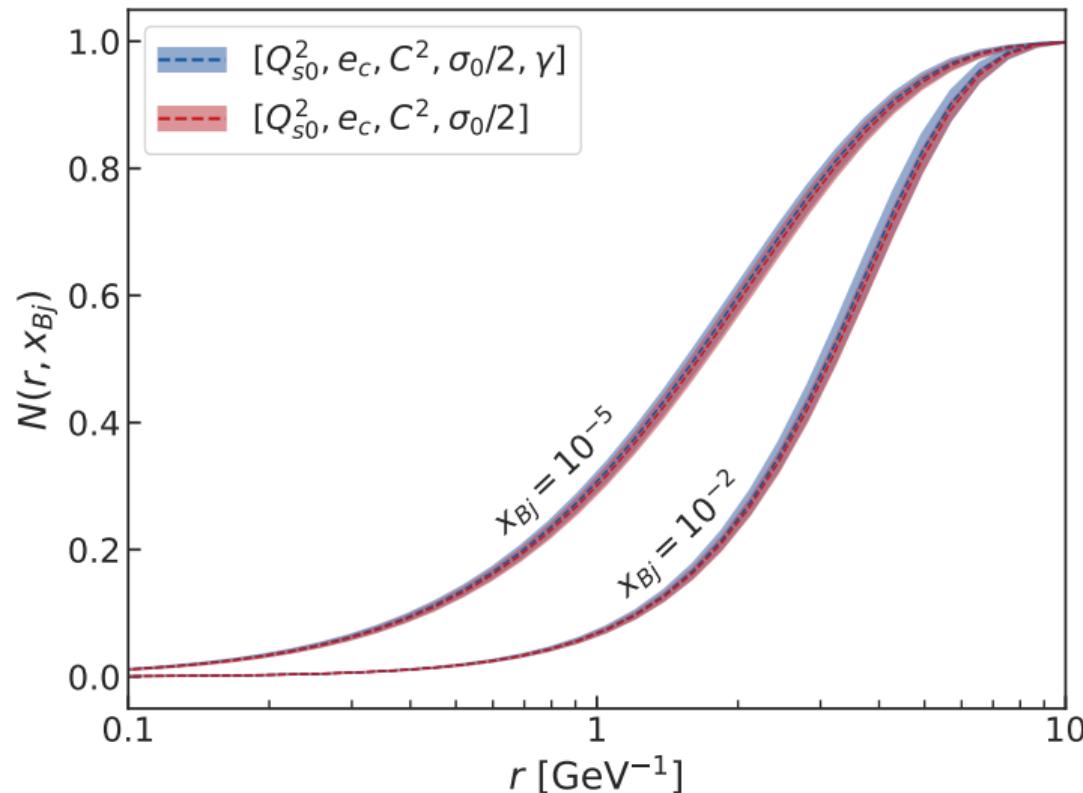


# Initial Saturation Scale

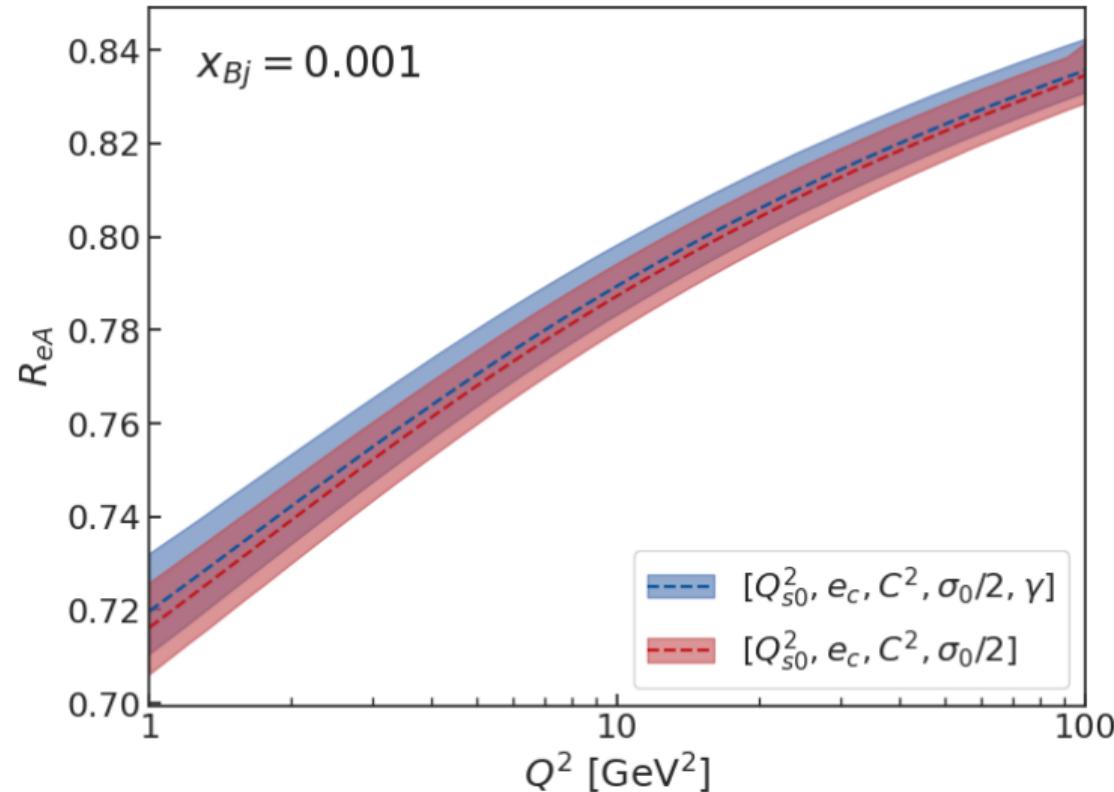
$$N(\mathbf{r}^2 = 2/Q_s^2) = 1 - e^{-1/2}$$



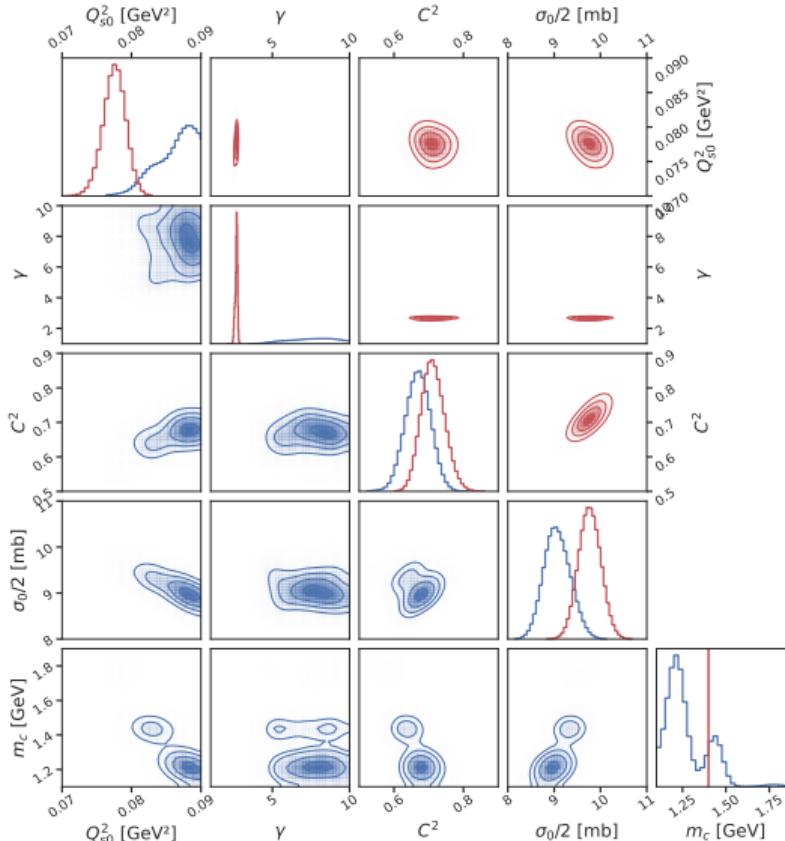
# Initial and Evolved $\mathcal{N}(r, y)$



# Nuclear Modification Ratio



# Comparison to 4-parameter set up (NLO)



# Total reduced cross section constraint only (NLO)

