

# ATLAS+CMS EFT combination

Kirill Skovpen (Ghent University)

Baptiste Ravina (University of Göttingen)

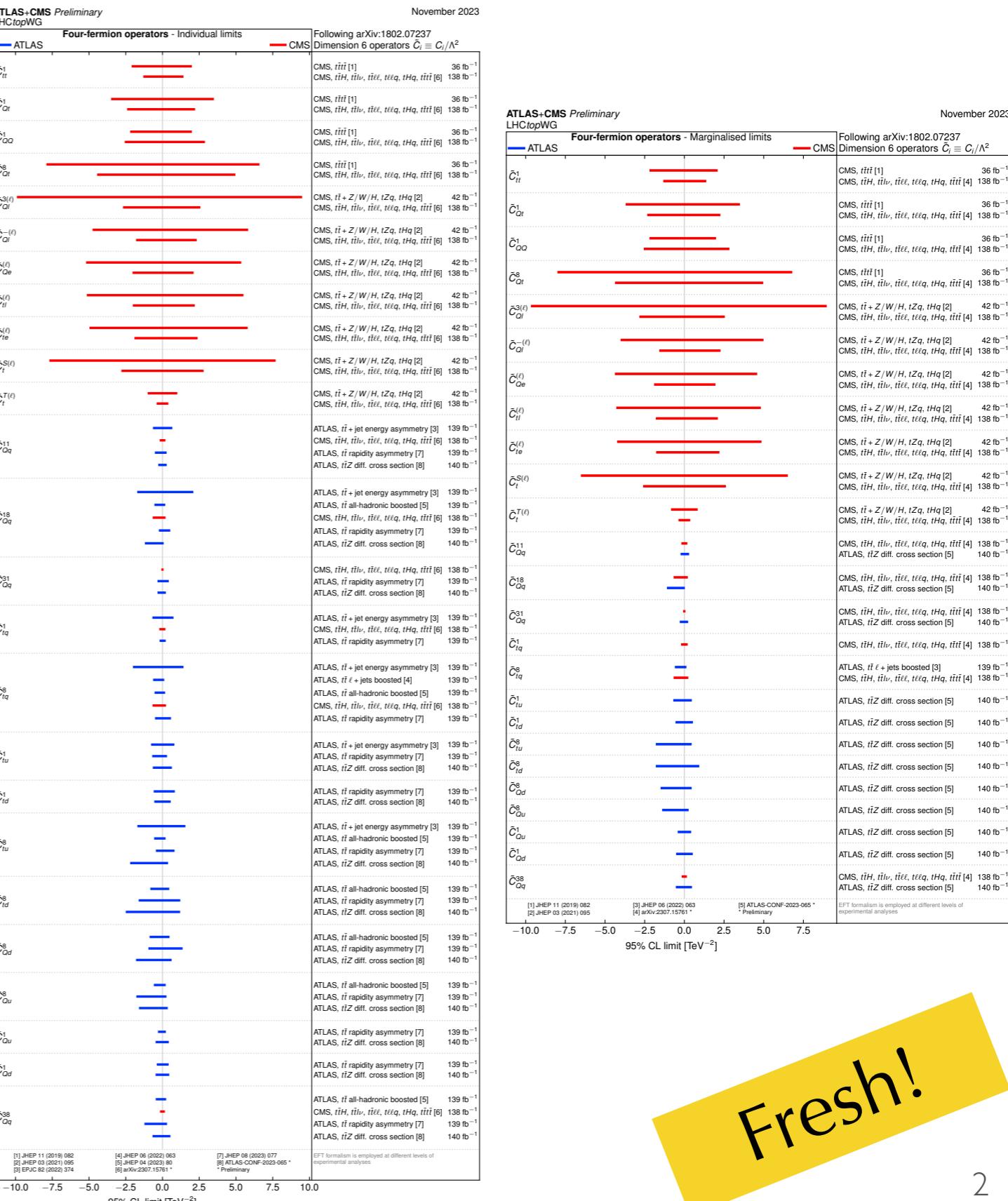
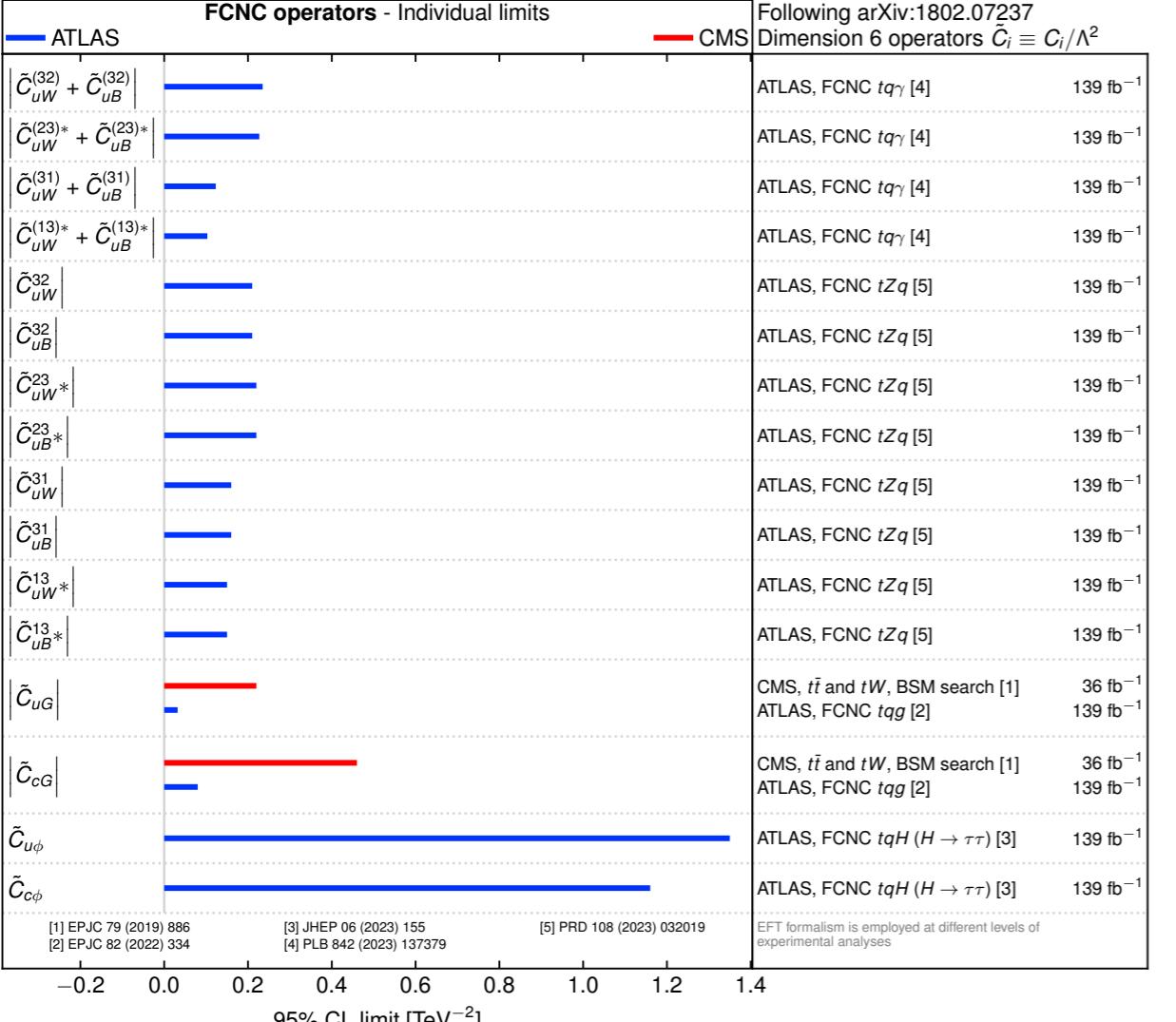
LHCtopWG meeting

Nov 29, 2023

# LHC TOP EFT results

ATLAS+CMS Preliminary  
LHCtopWG

November 2023

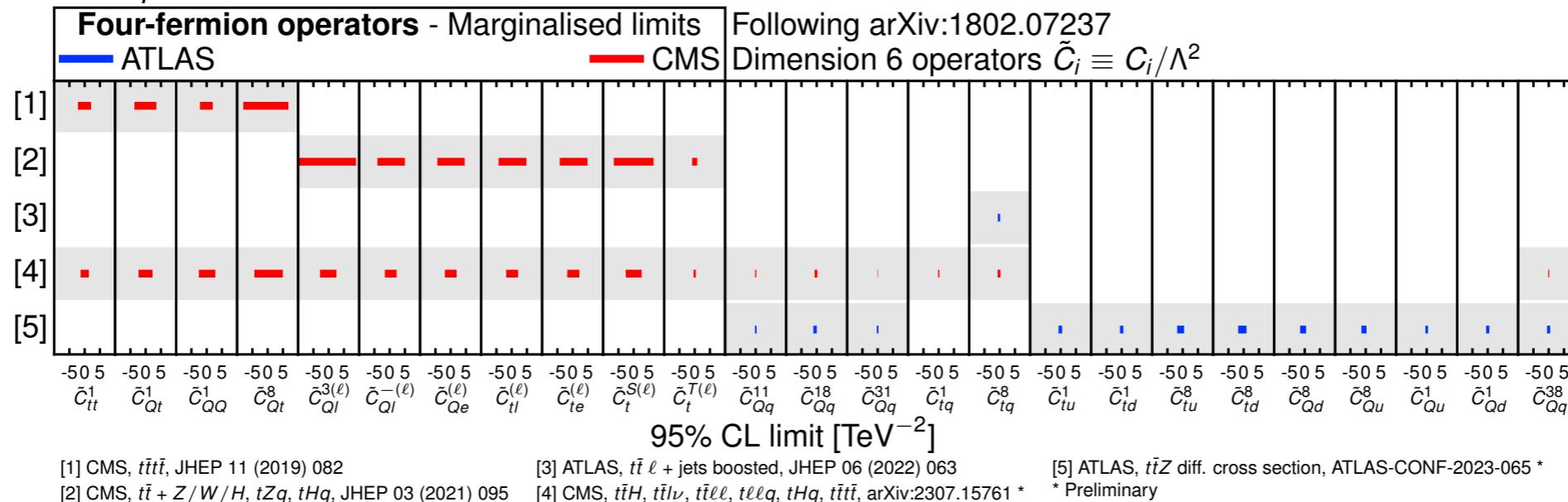


Fresh!

# LHC TOP EFT results

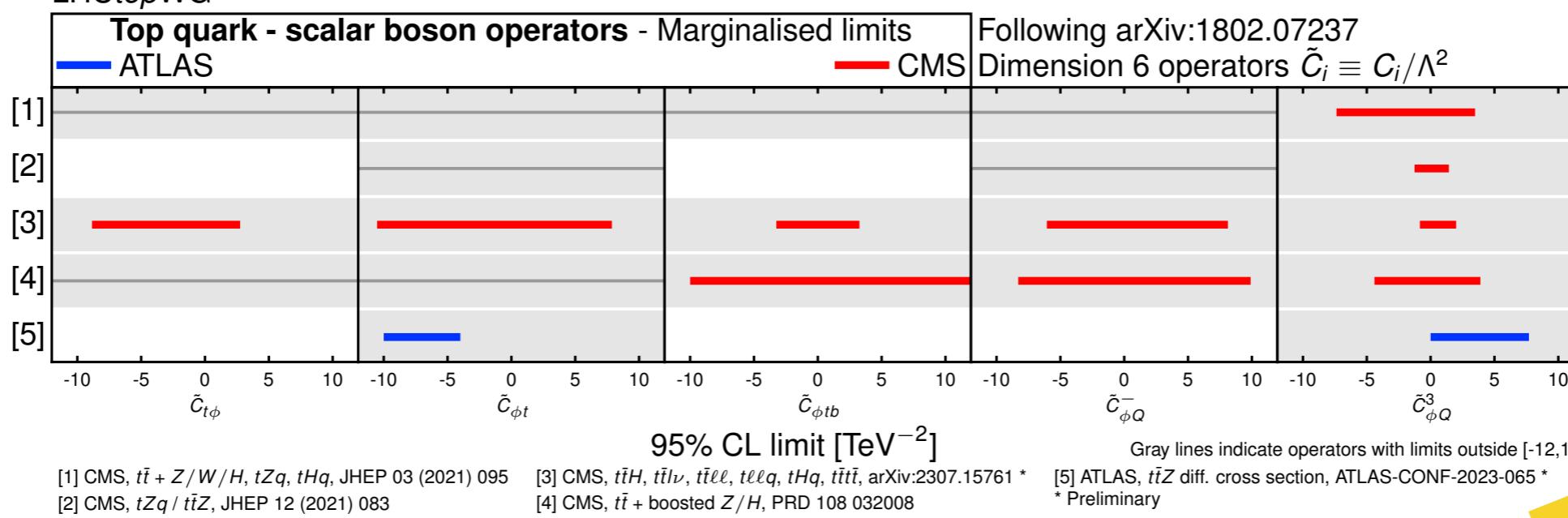
ATLAS+CMS Preliminary  
LHCtopWG

November 2023



ATLAS+CMS Preliminary  
LHCtopWG

November 2023



Fresh!

# How to combine?

# Combination story: Top

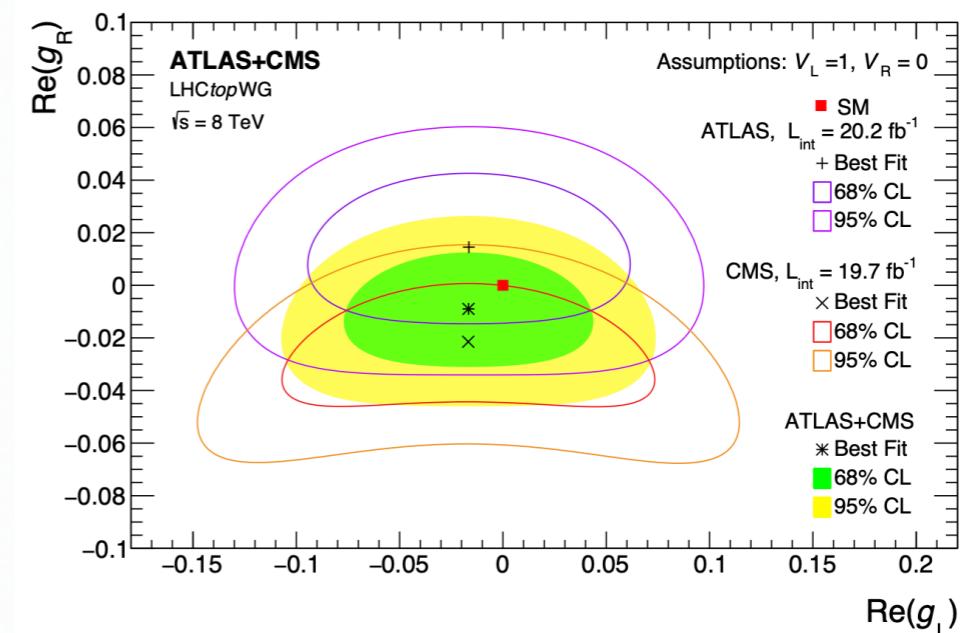
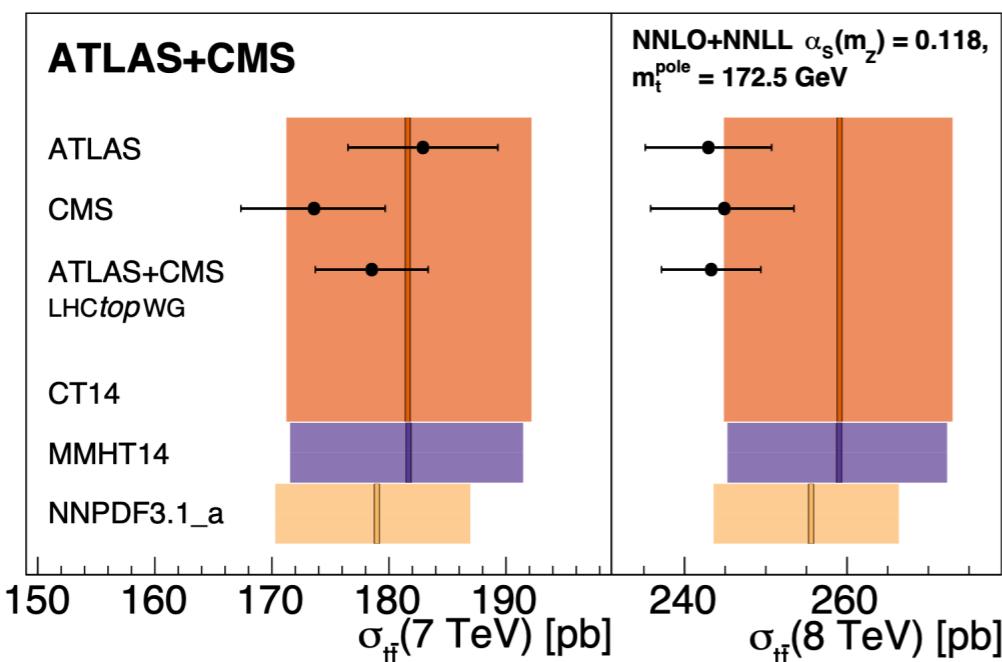
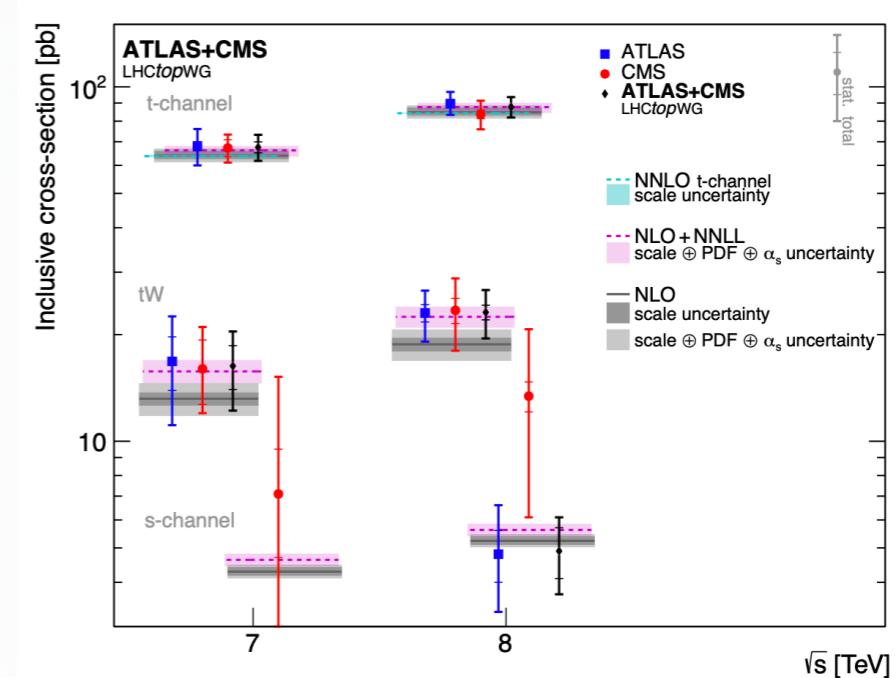
- Combinations of ATLAS and CMS results are steered by **LHCtopWG**
- Mainly based on best linear unbiased estimator (**BLUE**) and simplified-likelihood fits (**Convino**)
- **Many** dedicated efforts:
  - single top (Run I)
  - $t\bar{t}$  inclusive (Run I)
  - charge asymmetry (Run I)
  - W boson helicity (8 TeV)
  - Top mass and spin correlations (ongoing)
- **EFT interpretation** of the W boson helicity ATLAS+CMS result (**EFTfitter**)



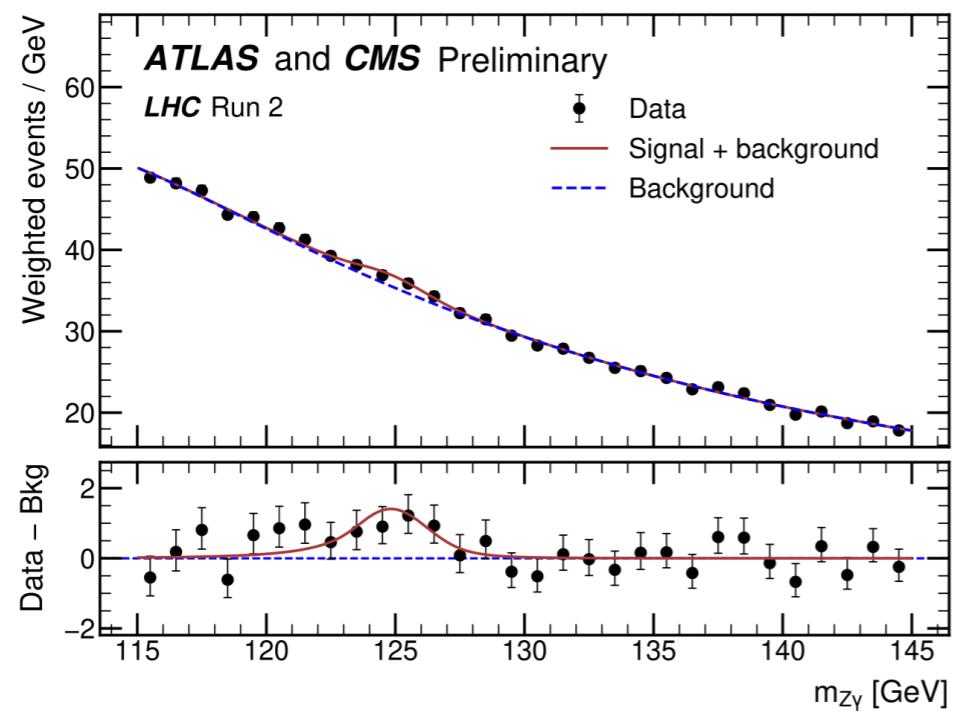
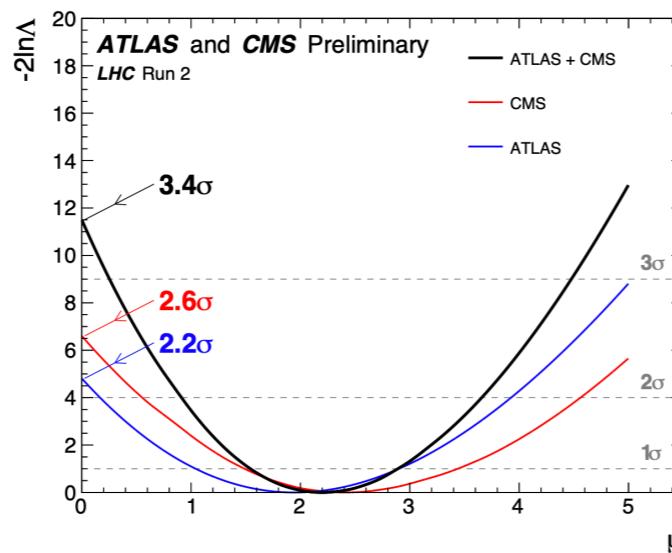
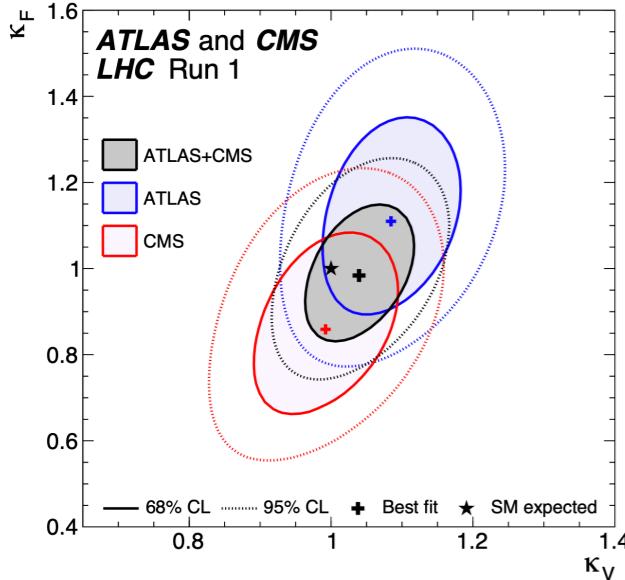
[JHEP 05 \(2019\) 088](#)

[JHEP 07 \(2023\) 213](#)

[JHEP 08 \(2020\) 051](#)



# Combination story: Higgs

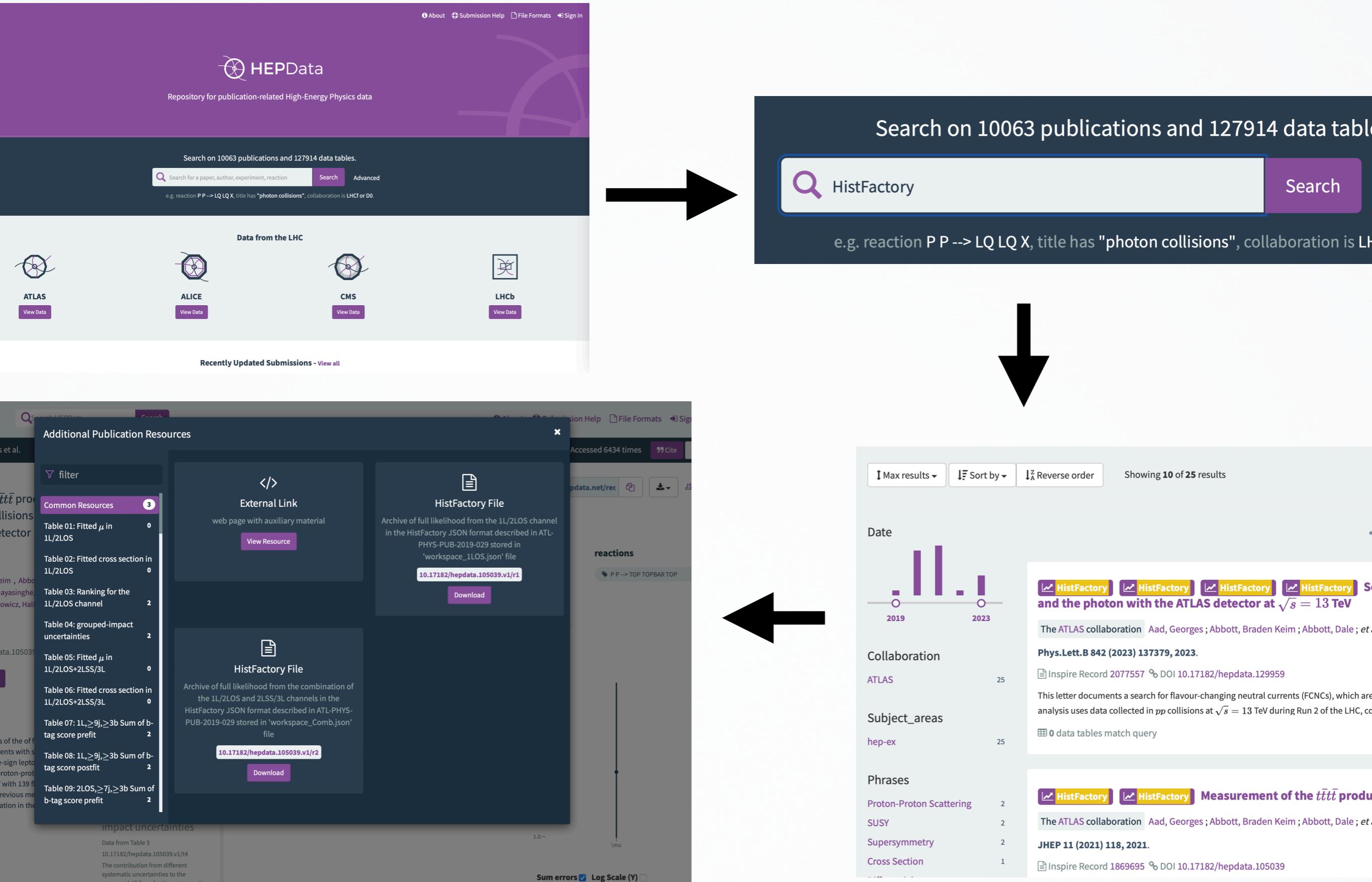


[JHEP 08 \(2016\) 045](#)  
[CMS-PAS-HIG-23-002](#)  
[ATLAS-CONF-2023-025](#)  
[PRL 114 \(2015\) 191803](#)

- Combinations of ATLAS and CMS results:
  - Higgs **mass** (Run I)
  - Higgs **couplings** (Run I)
  - $h \rightarrow Z\gamma$  (evidence in Run 2)
- Uses  $\kappa$ -framework formalism: [ATLAS-PHYS-PUB-2011-11](#); [CMS-NOTE-2011-005](#)
- Built on **RooStats** workspaces with more than **4000** nuisance parameters (Higgs couplings)
- Treat experimental uncertainties **uncorrelated** ( $h \rightarrow Z\gamma$ )
- Done by **experts** from both experiments directly involved in these studies

These fits are rather challenging, involving many parameters of interest and a very large number of nuisance parameters. All the fit results were independently cross-checked to a very high level of precision by ATLAS and CMS, both for the combination and for the individual results. In particular, fine likelihood scans of all the parameters of interest were inspected to verify the convergence and stability of the fits.

# Full likelihoods



# Input data

```
imax 1 number of bins
jmax 1 number of processes minus 1
kmax 1 number of nuisance parameters
-----
shapes * ch1 one-bin-sys-histosys-corr.root ch1/$PROCESS ch1/$PROCESS_$SYSTEMATIC
-----
bin          ch1
observation -1
-----
bin          ch1 ch1
process      sig bkg
process      0 1
rate         -1 -1
-----
sys   shape   1.0 1.0
```

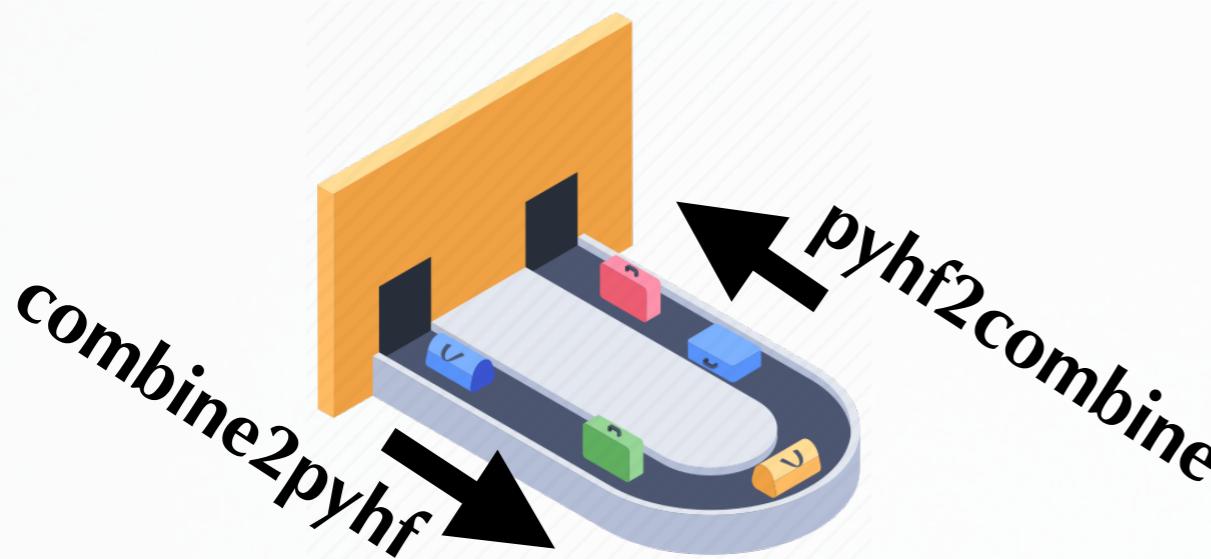
**CMS Combine datacard:**  
plain ASCII text + ROOT  
shape files

**HistFactory JSON**  
schema (**ATLAS** results)

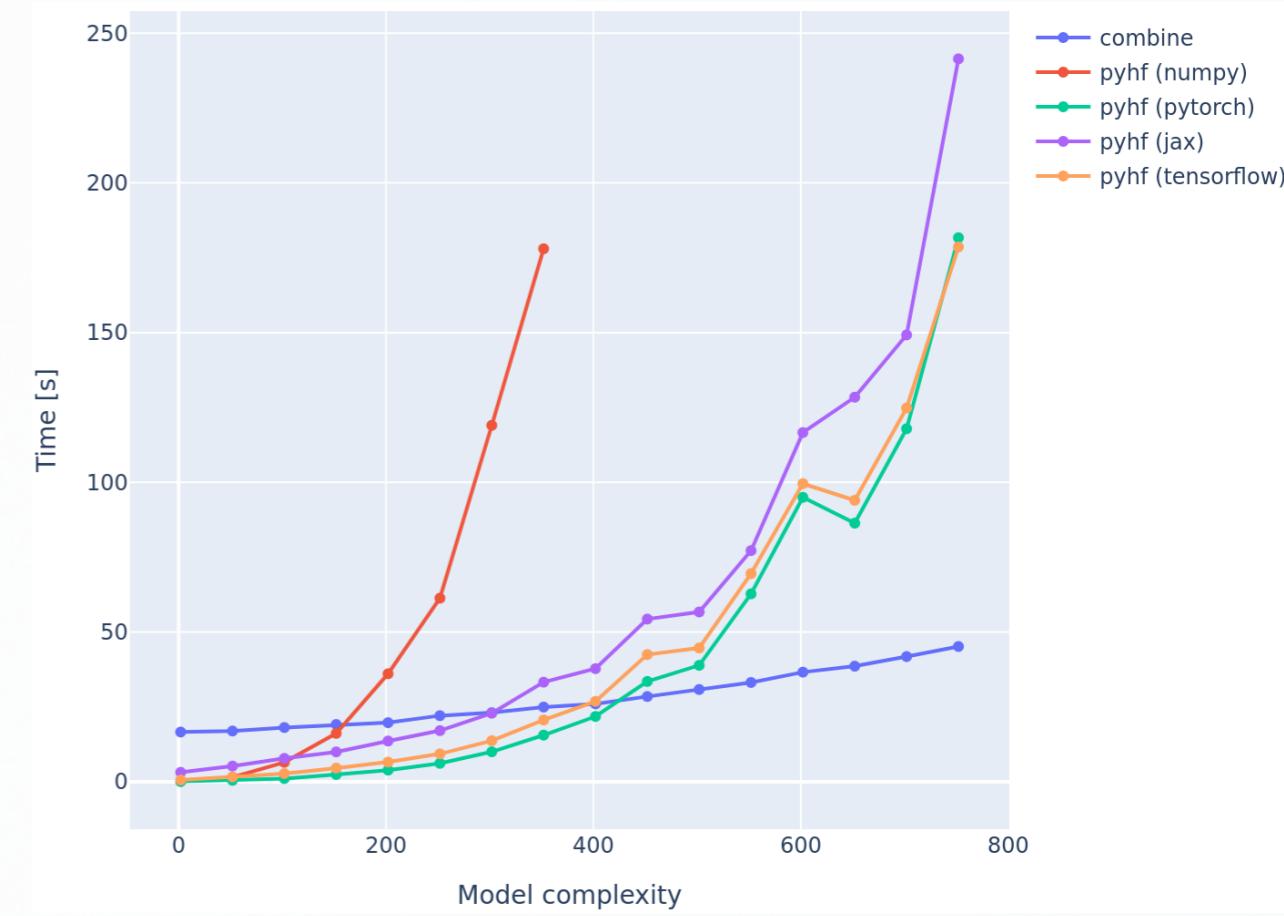
```
{
  "channels": [
    {
      "name": "ch1",
      "samples": [
        {
          "name": "sig",
          "data": [
            148.8058319091797
          ],
          "modifiers": [
            {
              "data": null,
              "name": "r_sig",
              "type": "normfactor"
            },
            {
              "name": "sigsys",
              "type": "histosys",
              "data": {"hi_data": [163.68641510009767], "lo_data": [133.92524871826173]}
            },
            {
              "name": "sigsys",
              "type": "normsys",
              "data": {"hi": 1.1, "lo": 0.9}
            }
          ]
        },
        {
          "name": "bkg",
          "data": [
            43.84315872192383
          ],
        }
      ]
    }
  ]
}
```

# Full likelihood translation

- A tool for a carousel **model conversion** for Combine and pyhf inputs
- **Validate** translated inputs and physics results (likelihood scans, impacts, etc.)
- **Automated** fitting tests and performance comparisons
- **Helps** to understand the fitting procedure in ATLAS and CMS collaborations
- **Implemented** as combine2pyhf package

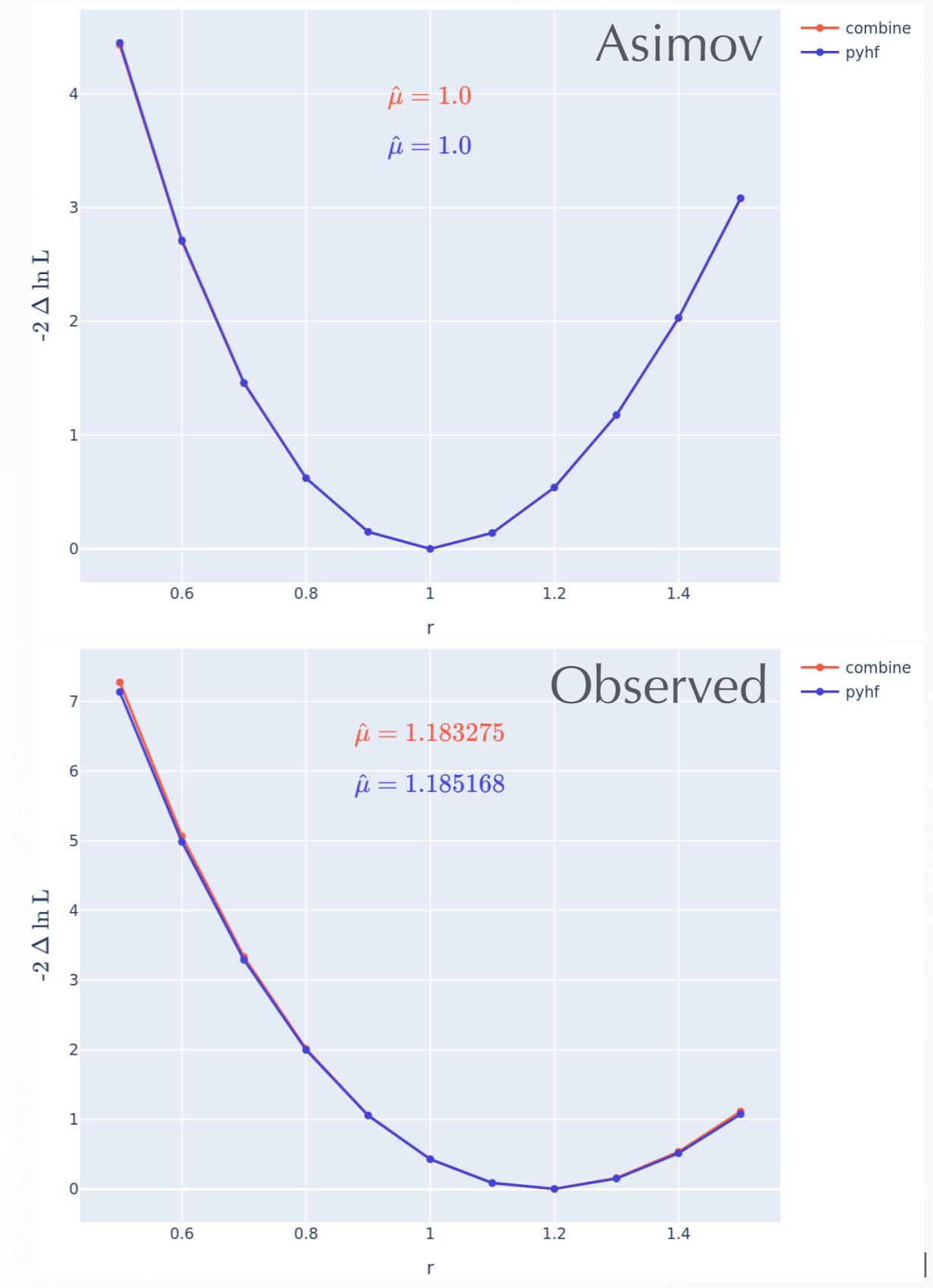
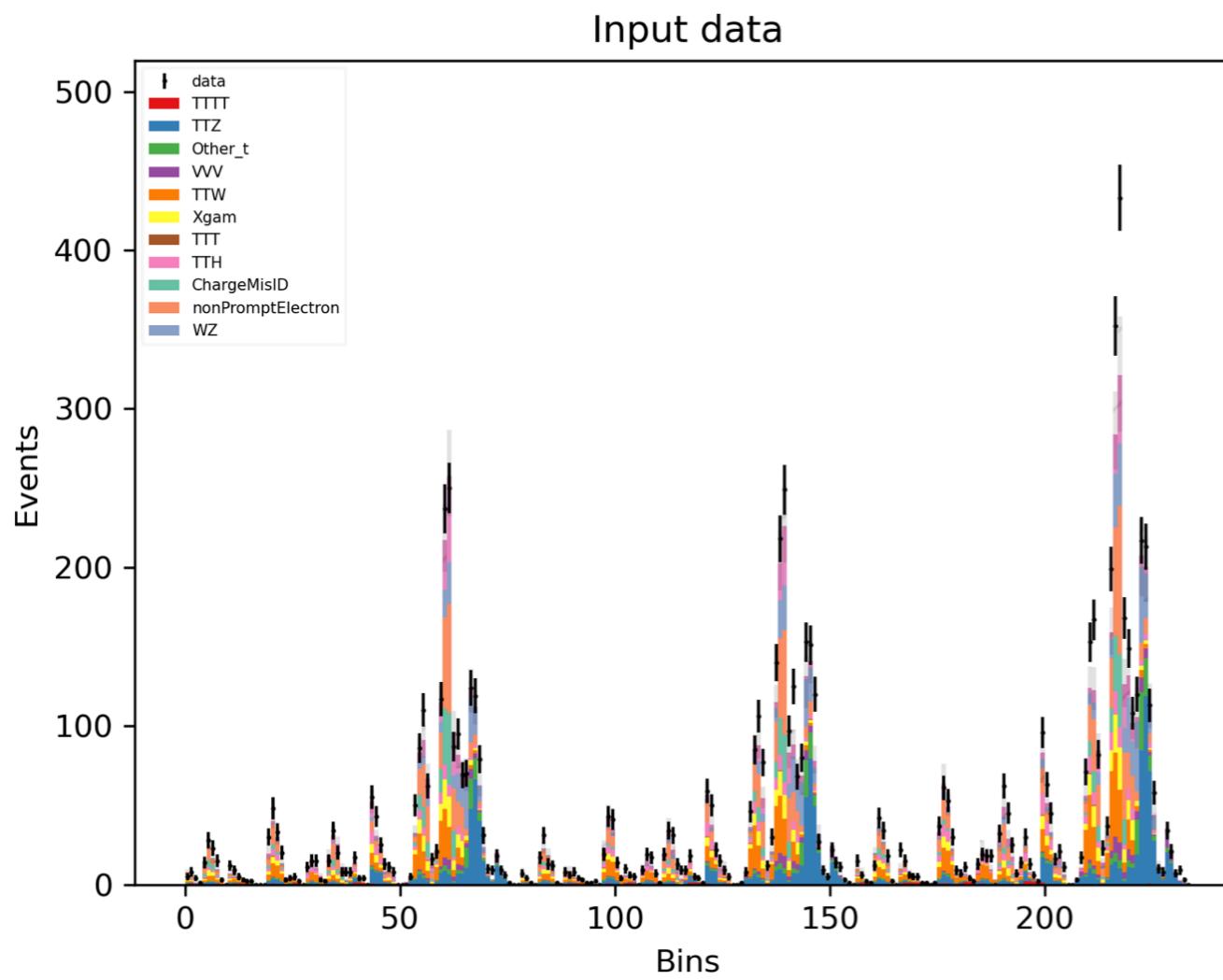


Looking  
forward to  
more inputs!



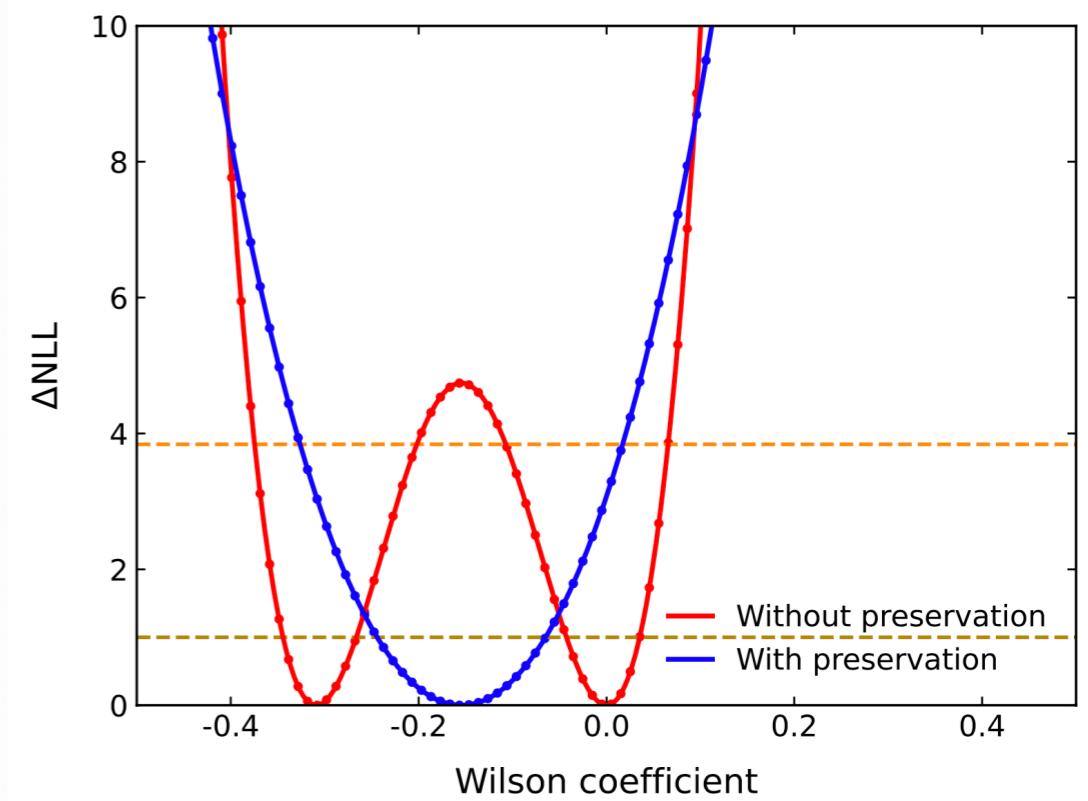
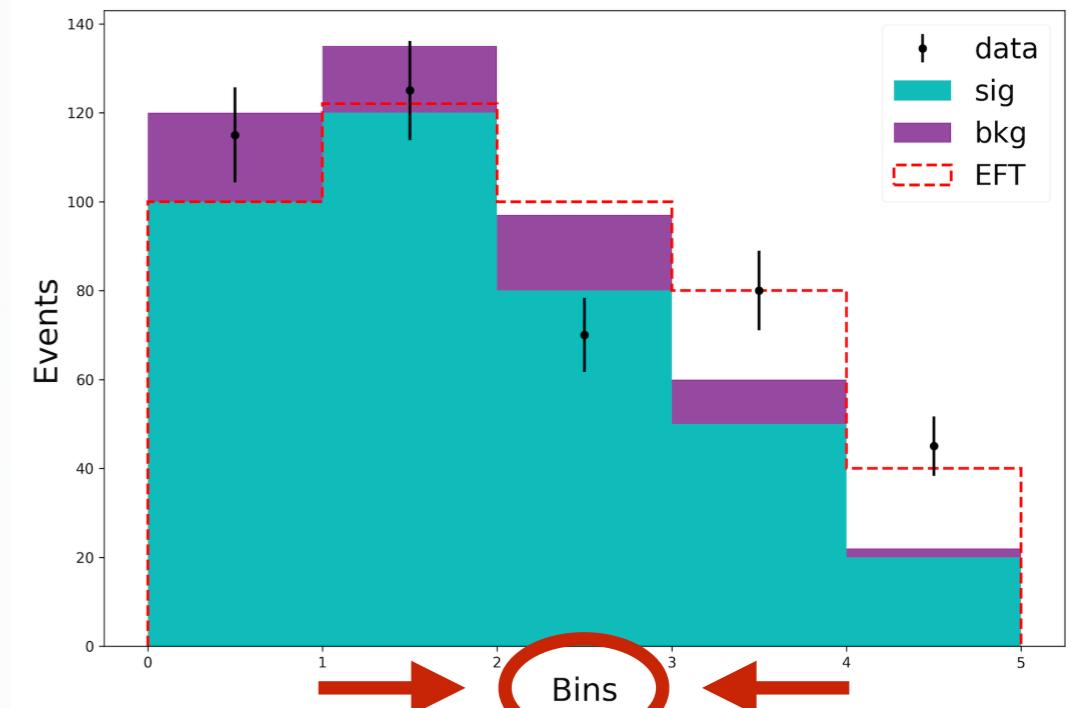
# Full likelihood translation

- **Successful validation**
- **Able to reproduce** the full model results
- **Small** differences connected to the treatment of MC statistical uncertainties
- Automated **validation** process for any combine or pyhf inputs



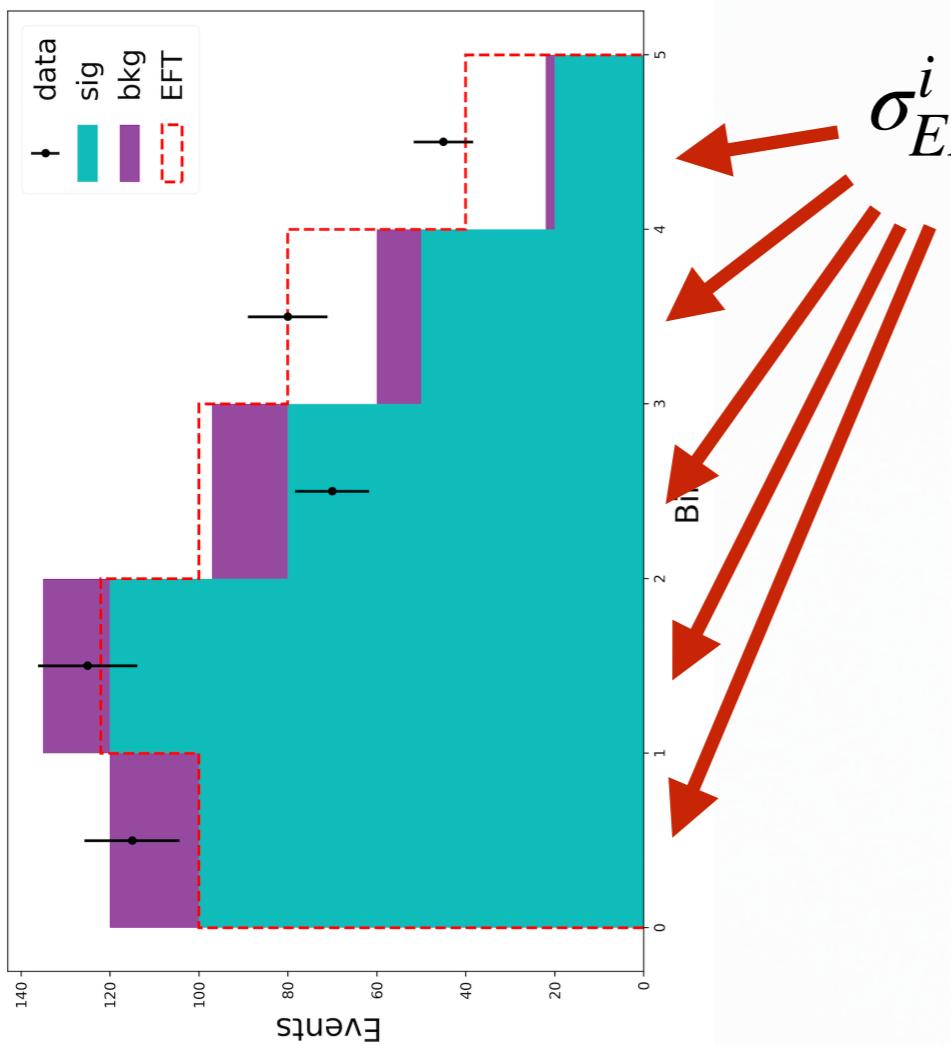
# Observables and EFT

- Preservation of binned distributions with full experimental information **does not guarantee** its successful reinterpretation
- One needs to know **how** these bins were obtained
- Our studies have grown to become too complex - one simple kinematic observable is **not enough**
- Possible to describe the relevant MVA but **impossible** to reproduce
- Vital for **preserving** experimental EFT sensitivity
- EFT preservation = **publish** experimental observables



# Preserving EFT

- **Parameterize EFT yield per bin** in the distribution of the fitted observable
- Dump the **coefficient matrix** as json, csv, etc.
- Remains **model-dependent** (as everything we do): can't modify any predictions when reinterpreting results

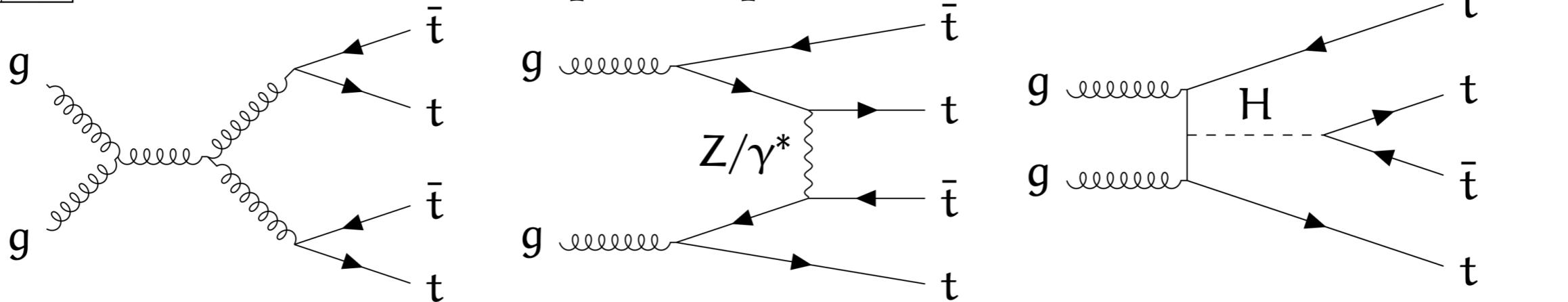


$$\sigma_{EFT}^i = c_0^2 + a_{10}^i c_0 c_1 + a_{11}^i c_1^2 + a_{20}^i c_0 c_2 + a_{22}^i c_2^2 + a_{12}^i c_1 c_2 + \dots$$

- Parametrization using **all relevant operators** is desirable
- Allows to reinterpret experimental result in a **given EFT model**
- Publish parametrization to **HEPData?**



# Top quartet

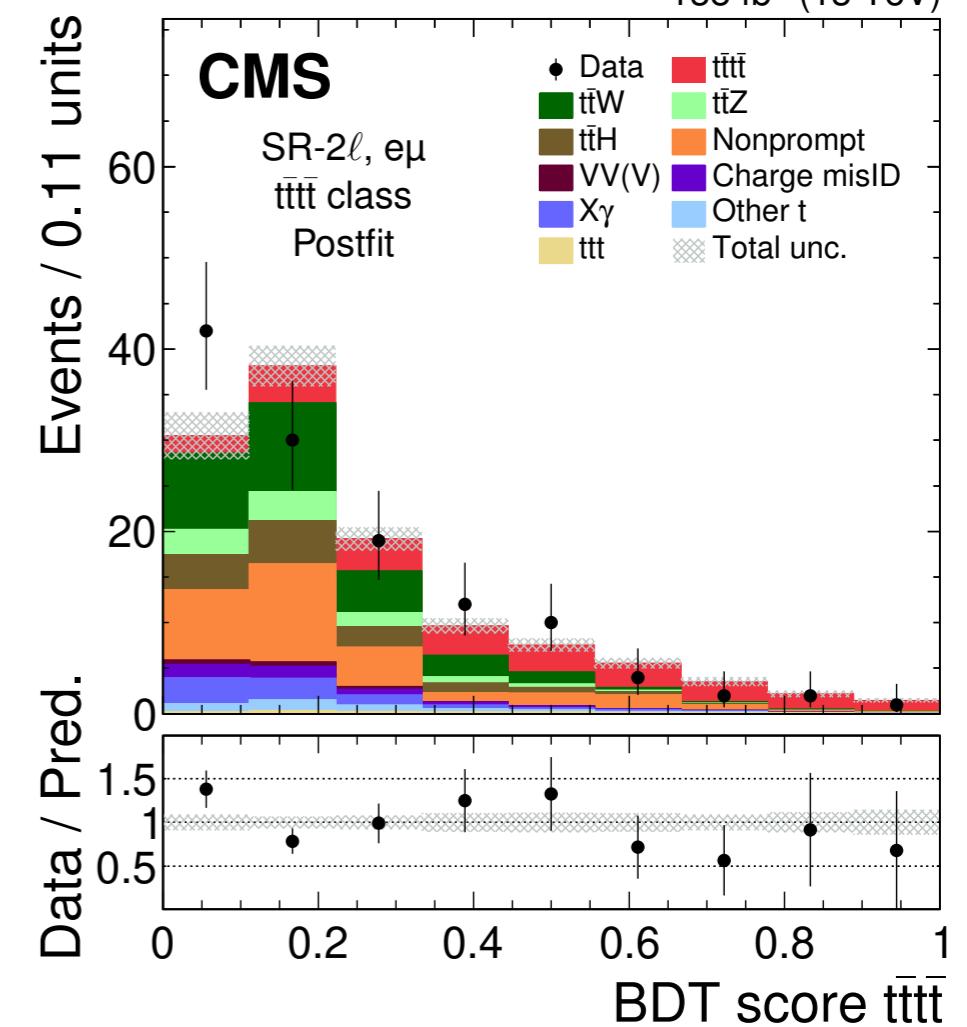


- Mainly **QCD**-driven
- Additional contributions from **EW** and **Higgs**
- Backgrounds from **t̄W**, **t̄Z**, non prompt, etc.
- Extensive number of **SRs** and **CRs** based on multi leptons and the number of (b-) jets
- **Multi-classification** of events ( $t\bar{t}t\bar{t}$ ,  $t\bar{t}V$ ,  $t\bar{t}$ )

$$\sigma_{t\bar{t}t\bar{t}} = 17.7^{+3.7}_{-3.5} \text{ (stat)}^{+2.3}_{-1.9} \text{ fb}$$

$$\sigma_{\text{SM}} = 13.4^{+1.0}_{-1.8} \text{ fb}$$

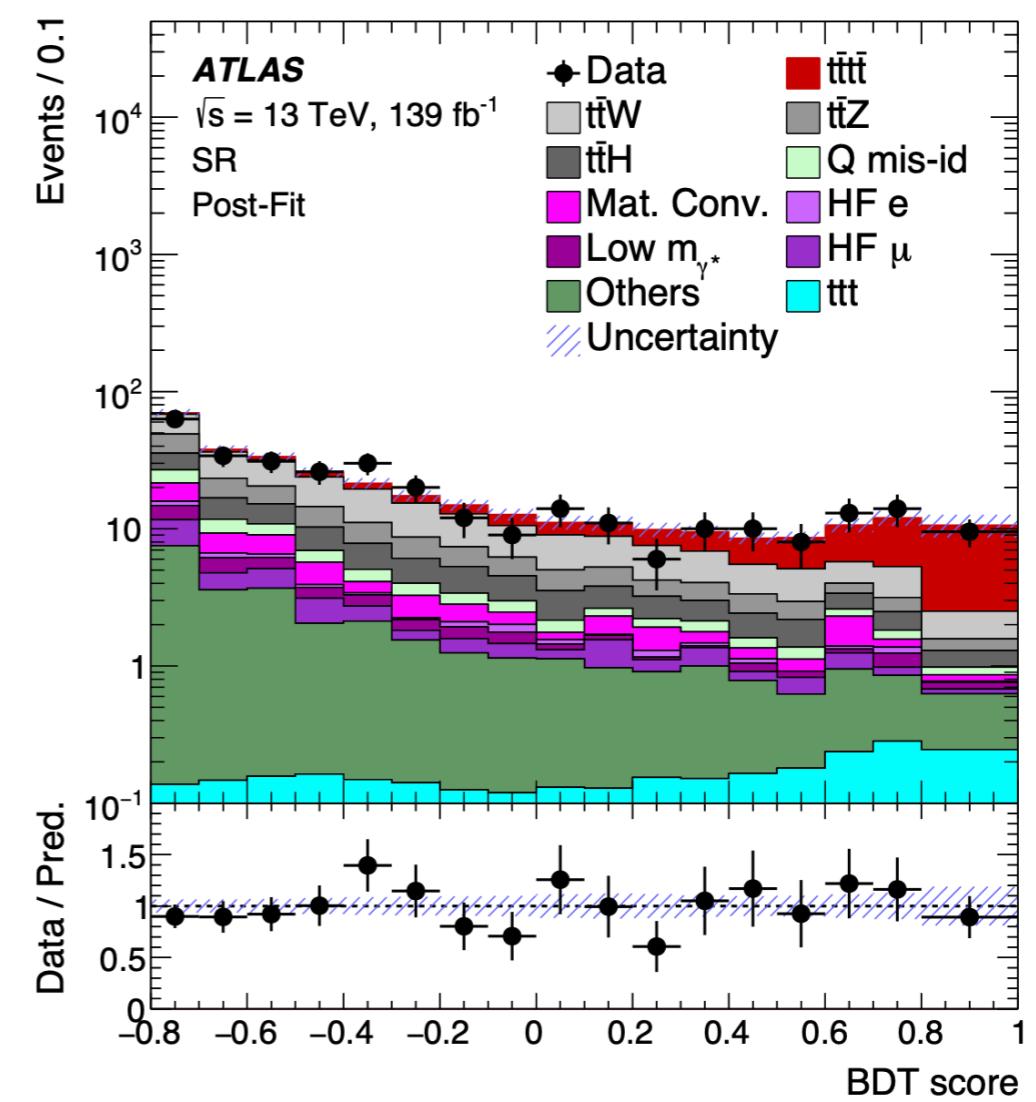
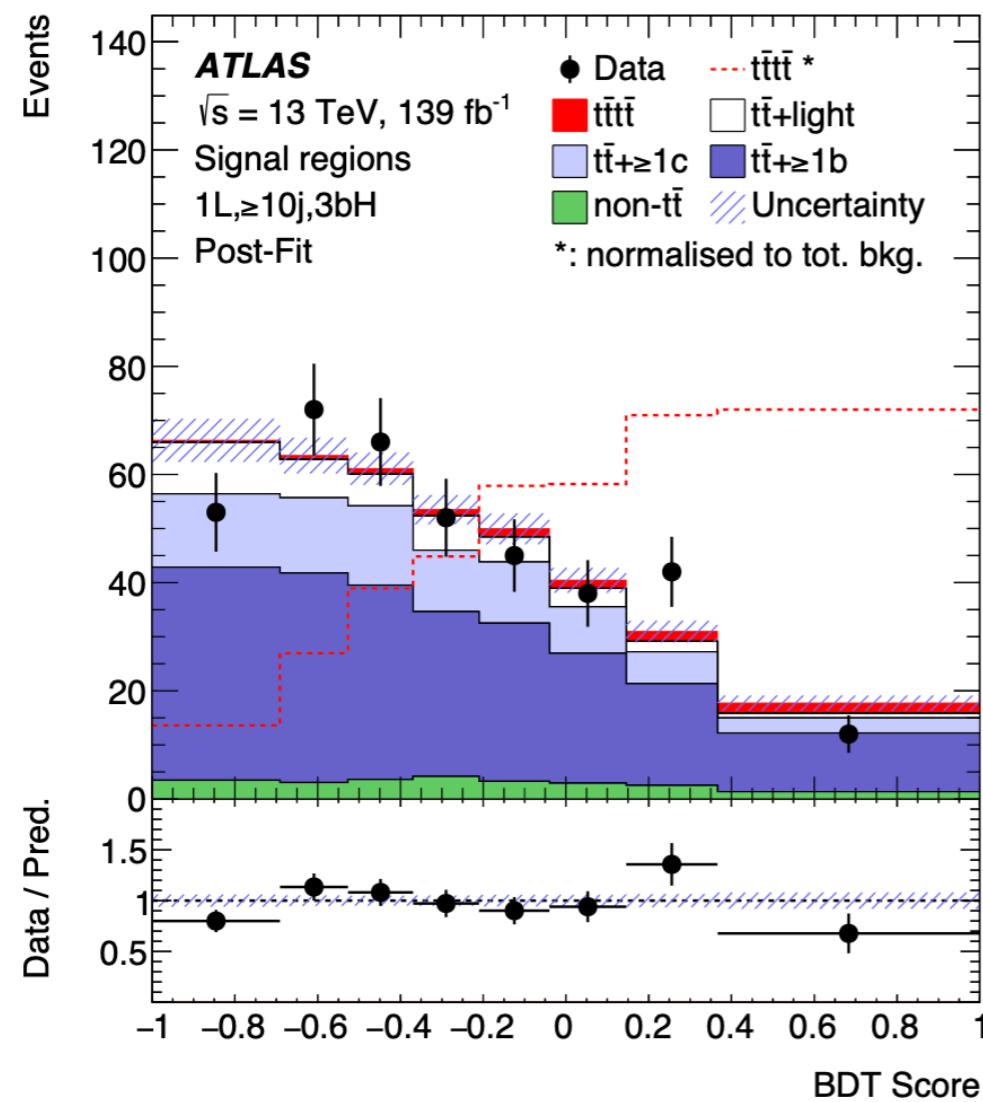
**S = 5.6σ (4.9σ)**



# Top quartet

- Previously published combination of four top production channels by ATLAS
- Using it, because full likelihood is available!

JHEP 11 (2021) 118



EPJC 80 (2020) 1085

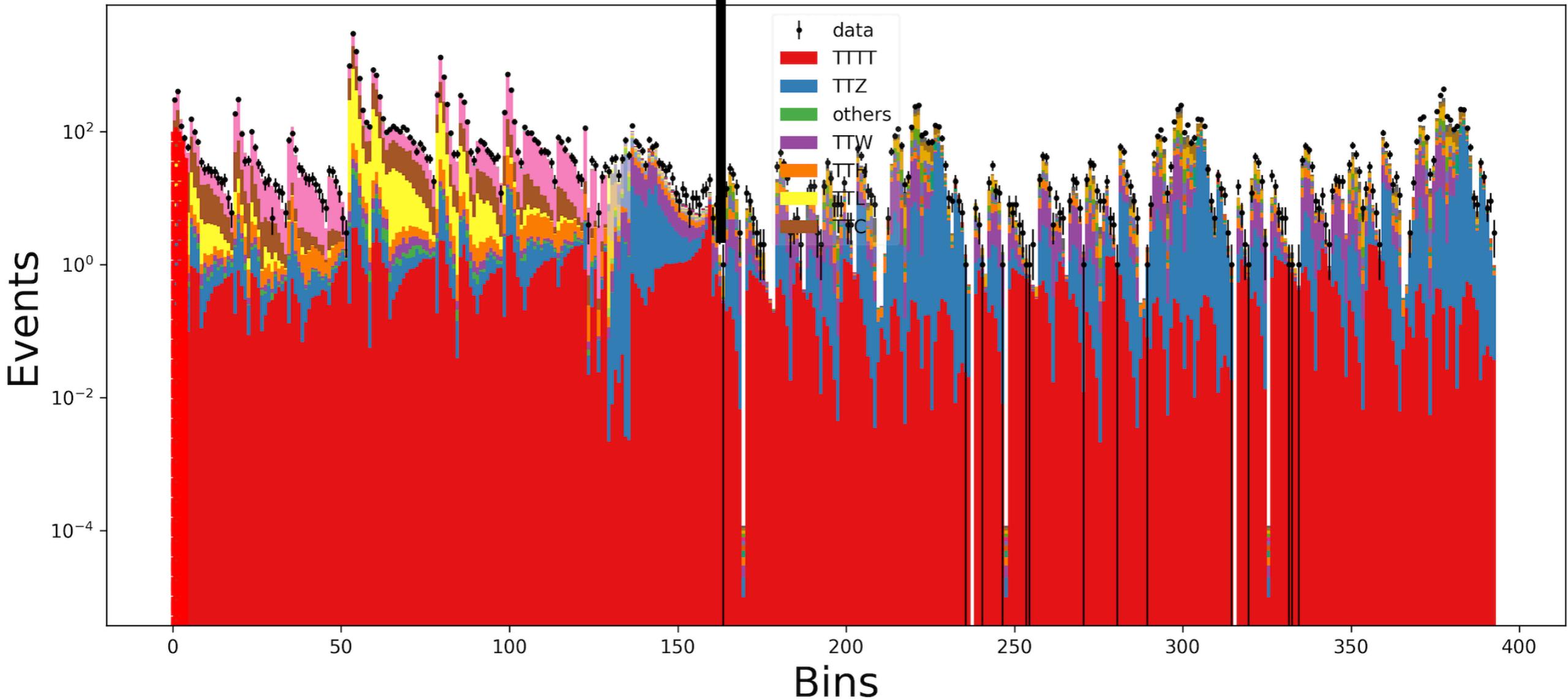
$$\sigma_{t\bar{t}t\bar{t}} = 24 \pm 4 \text{ (stat)} {}^{+5}_{-4} \text{ (syst)} \text{ fb}$$

$$S = 4.7\sigma \text{ (2.6\sigma)}$$

# ATLAS+CMS: Four tops

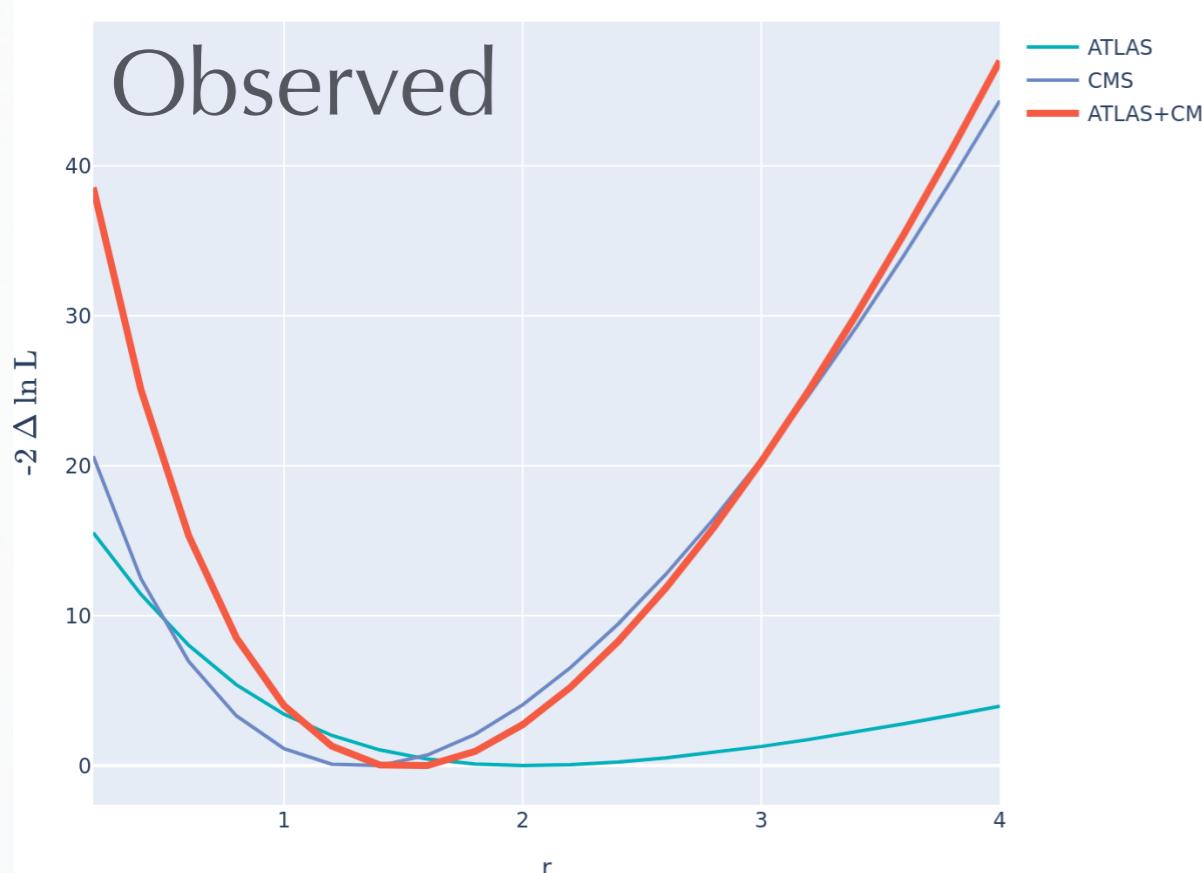
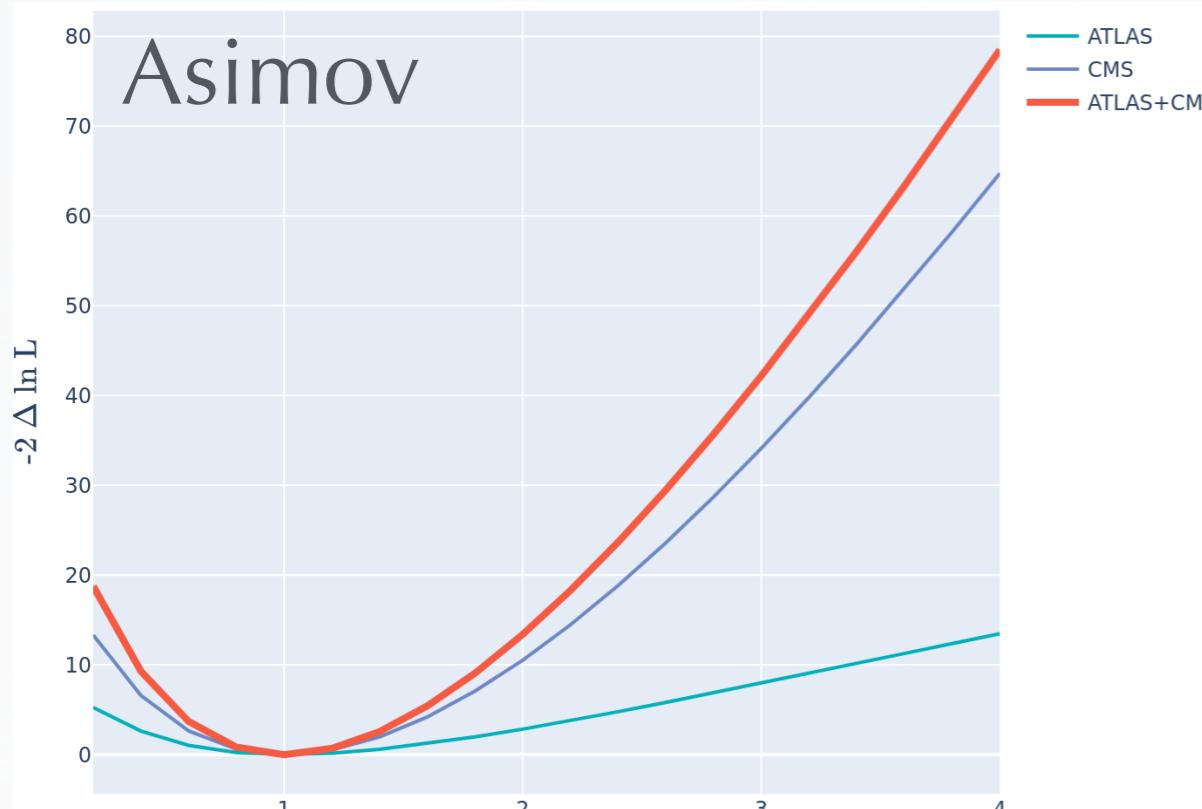
ATLAS

CMS



- Number of **bins**  $\approx 400$
- Number of **processes**  $\approx 20$
- Number of **nuisances**  $\approx 600$

# Four top re-observation



- Still observing four tops after combining CMS with ATLAS
- But now at  $7.6\sigma$
- Will be even more  $\sigma$ 's when combined with the **ATLAS observation** result
- Approach for **ATLAS+CMS combination**:
  - Correlate main physics processes:  $t\bar{t}t\bar{t}$ ,  $t\bar{t}W$ ,  $t\bar{t}Z$ ,  $t\bar{t}h$
  - Assume **no correlations** among systematic uncertainties

# Correlating uncertainties



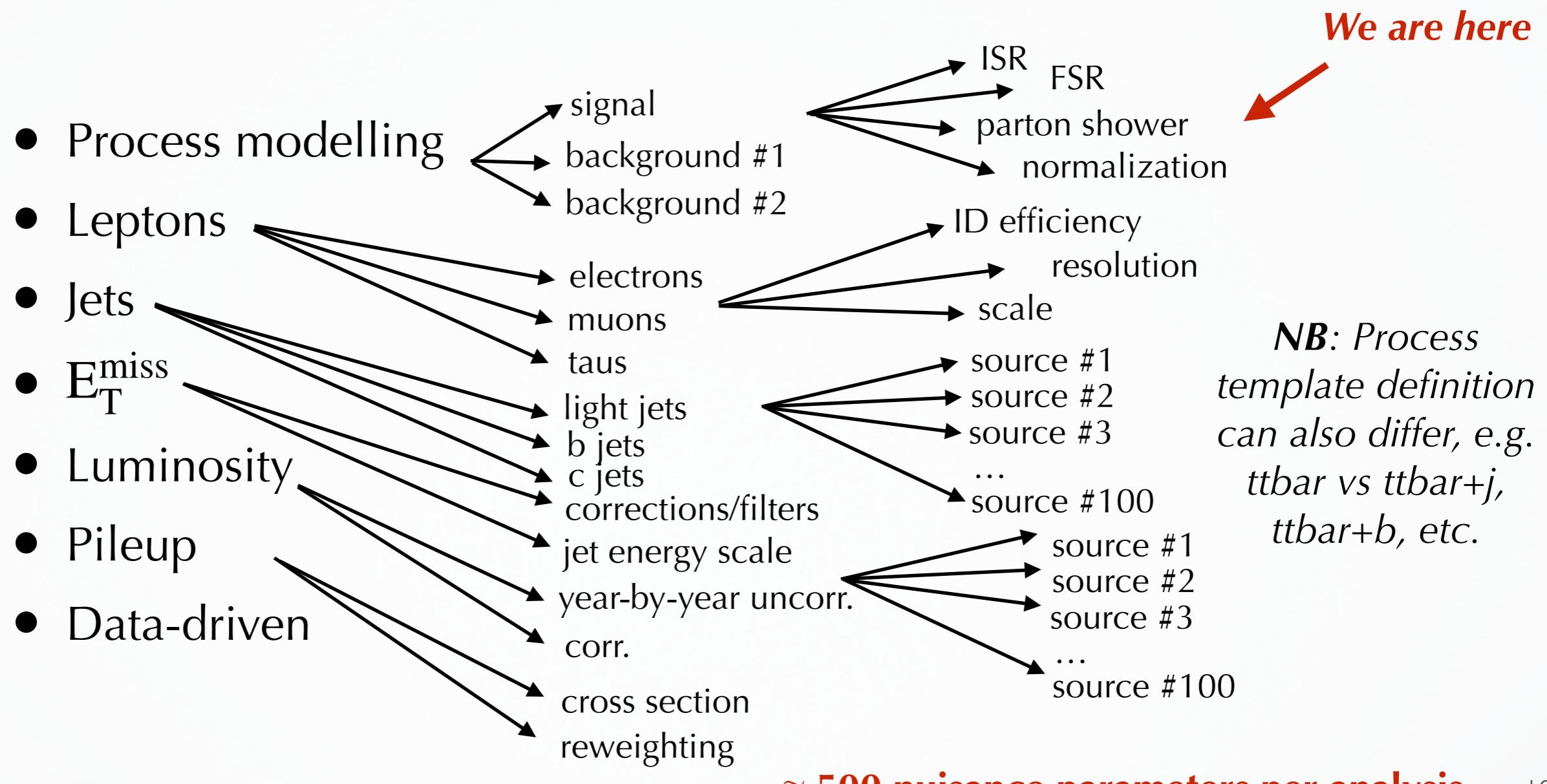
- Process modelling
- Leptons
- Jets
- $E_T^{\text{miss}}$
- Luminosity
- Pileup
- Data-driven

# Correlating uncertainties



- Process modelling
  - signal
  - background #1
  - background #2
- Leptons
  - electrons
  - muons
  - taus
- Jets
  - light jets
  - b jets
  - c jets
  - corrections/filters
- $E_T$ 
  - jet energy scale
  - year-by-year uncorr.
  - corr.
- Luminosity
  - cross section
  - reweighting
- Pileup
  - jet energy scale
  - year-by-year uncorr.
  - corr.
- Data-driven
  - cross section
  - reweighting

# Correlating uncertainties

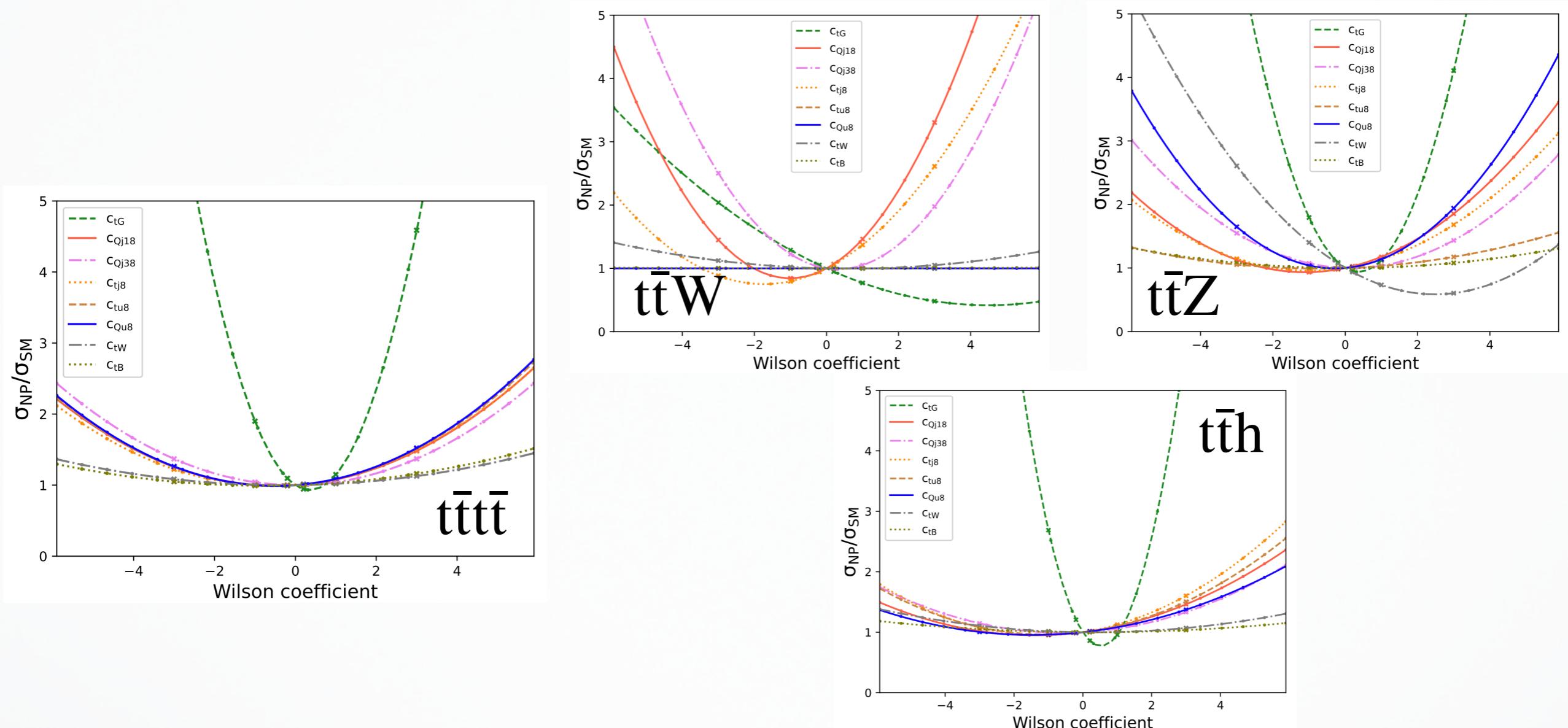


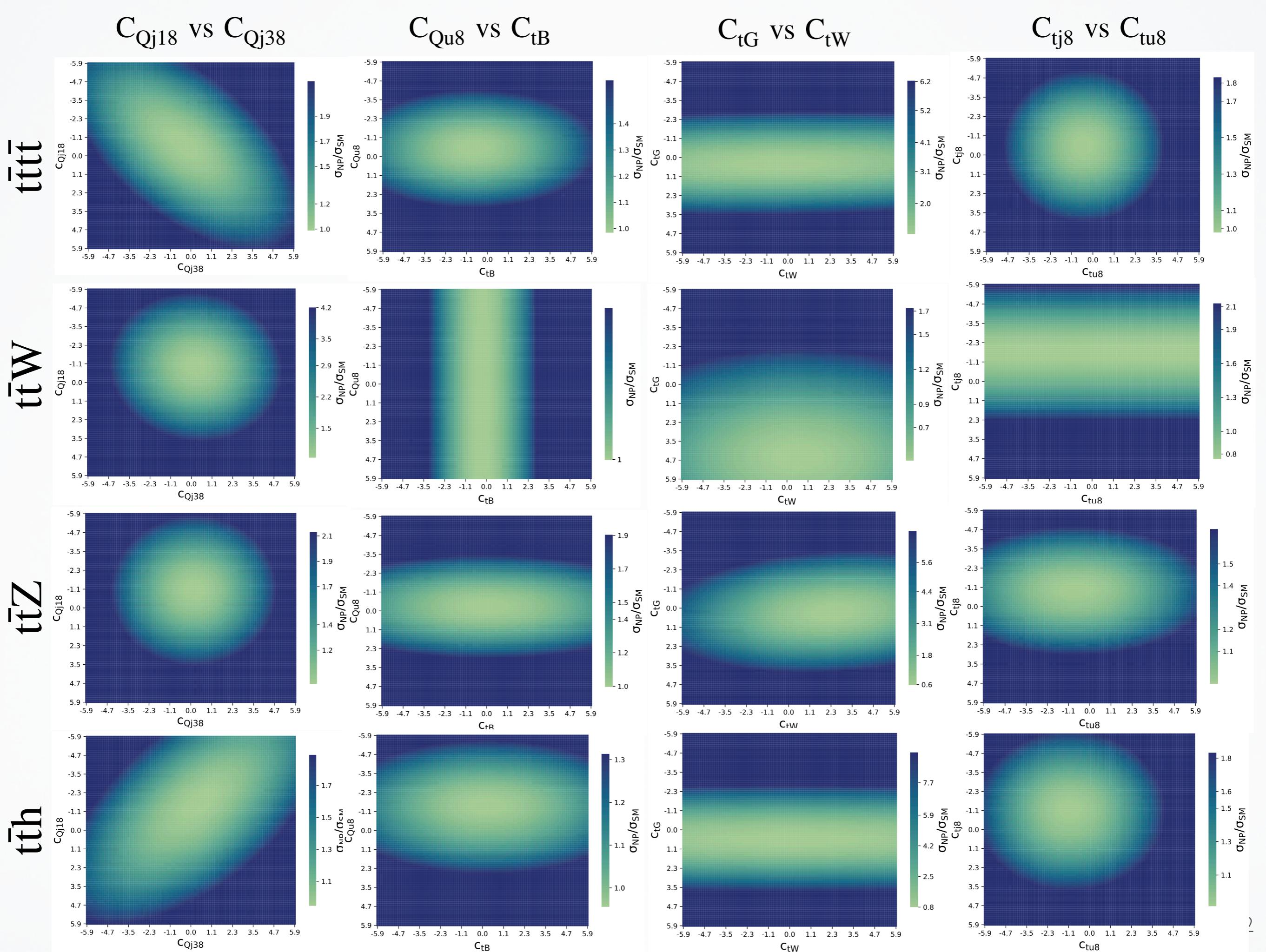
# Correlating uncertainties

- It would be great to have a **common naming convention** for specifying nuisance parameters in a published result
- **Centralize** the description of the most common set of nuisances?
- Provide an **additional dictionary** to HEPData?
- Need to keep track of **evolution** of systematics with time

# Parametrization

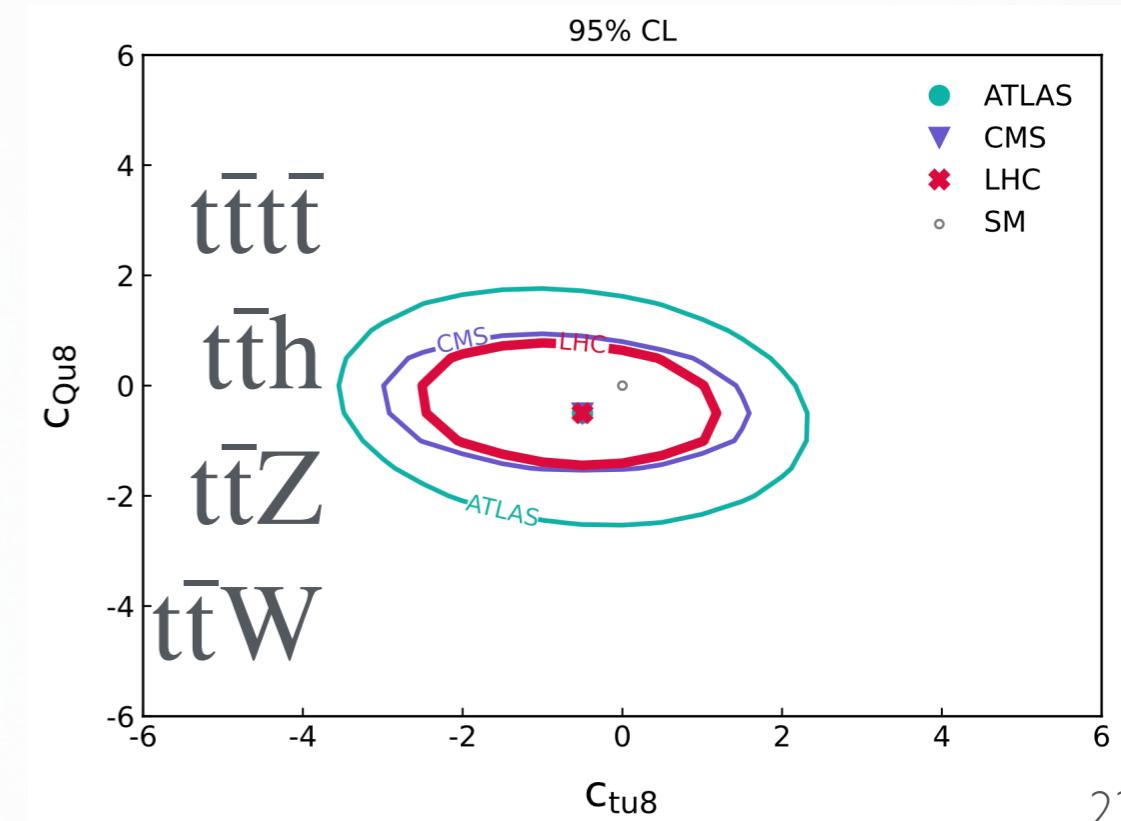
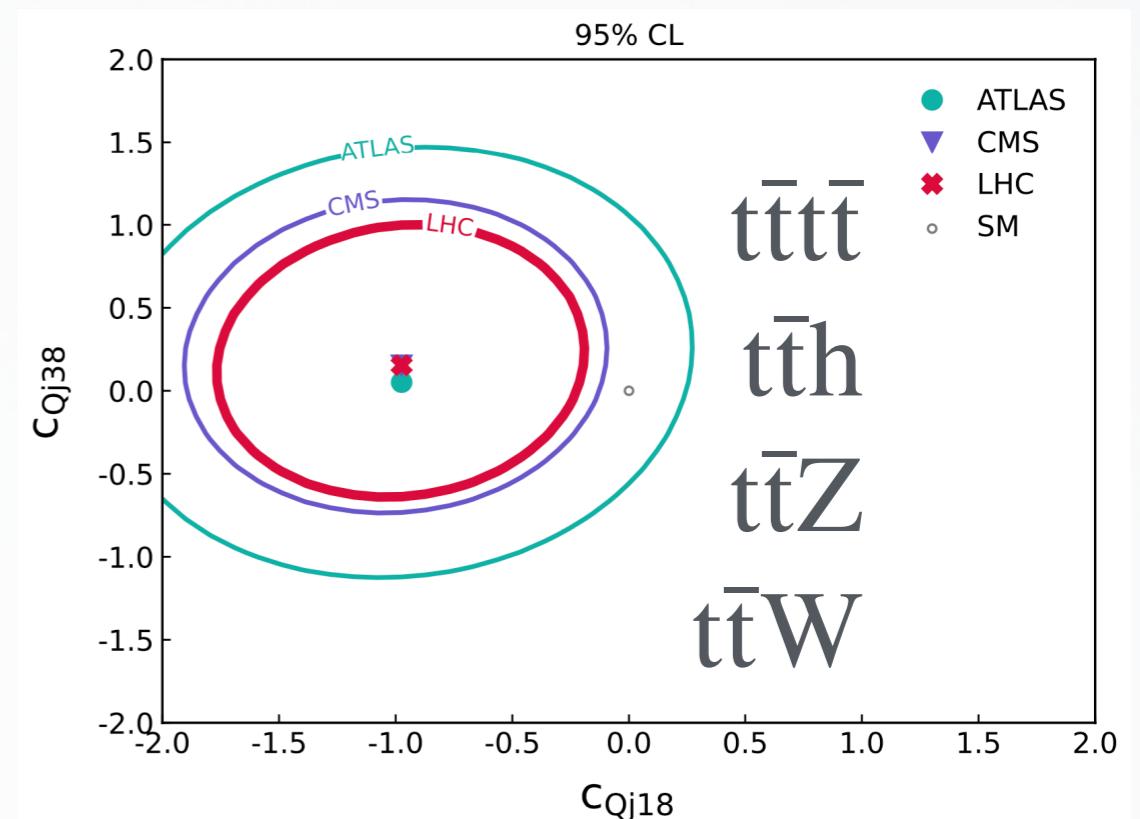
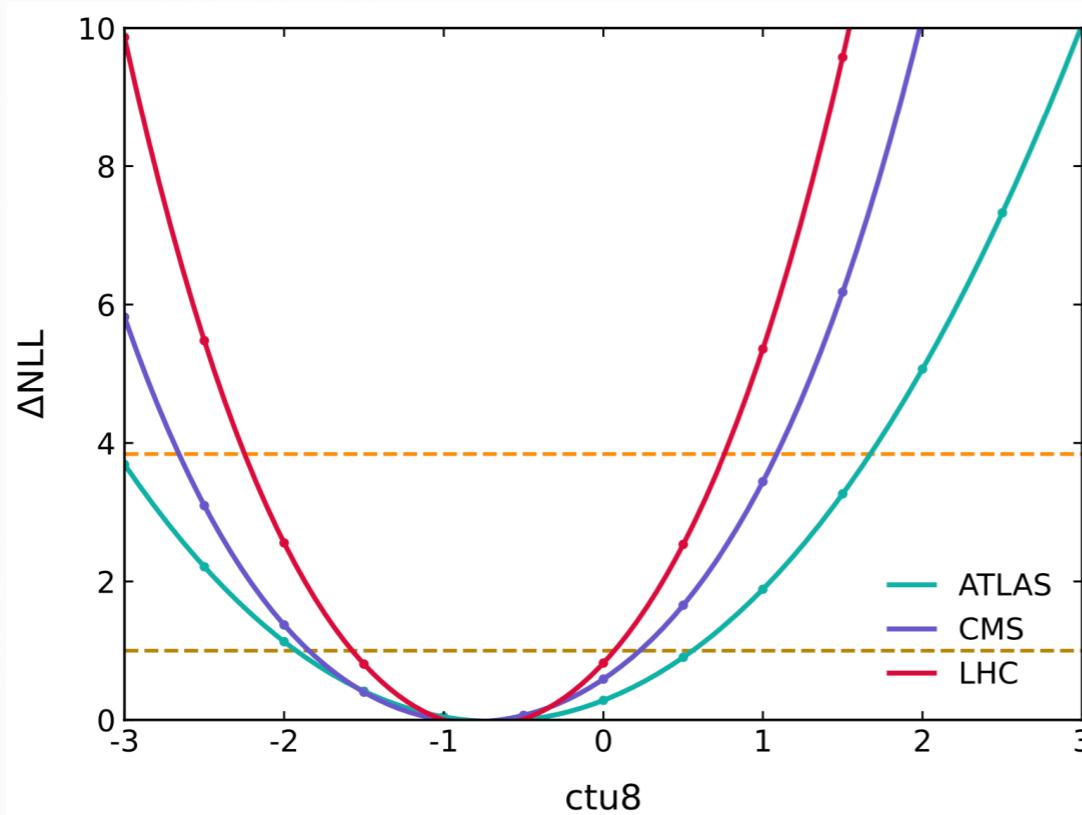
- **Proof-of-concept study:** focus on **8 EFT operators** affecting **signal** and **backgrounds**
- **Not yet including** four-fermion operators nor CP-violation
- Include **quadratic** and **linear** terms
- Experimental observables are not reproducible → modify signal and backgrounds by the **EFT-modified inclusive cross section**

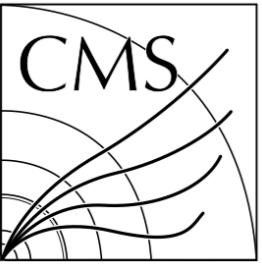




# Omnipresent EFT

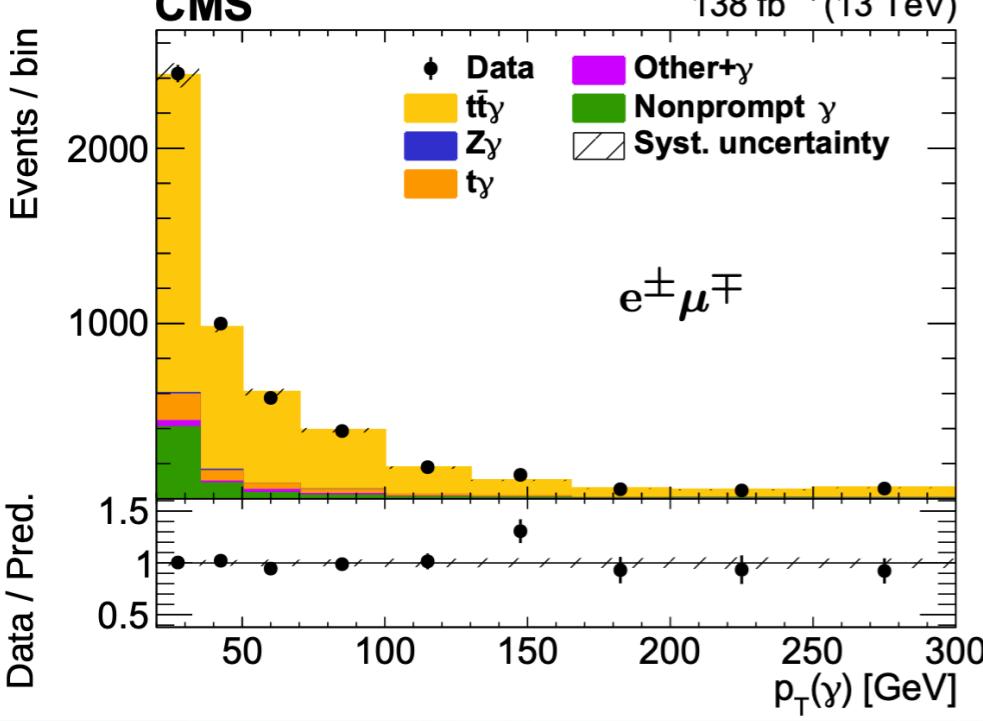
- All dominant backgrounds are **as important as the signal process**
- Correct sensitivity only through a **comprehensive** EFT study
- **Do not artificially remove operators**, if well constrained by other processes
- These operators may be already constrained by **backgrounds**



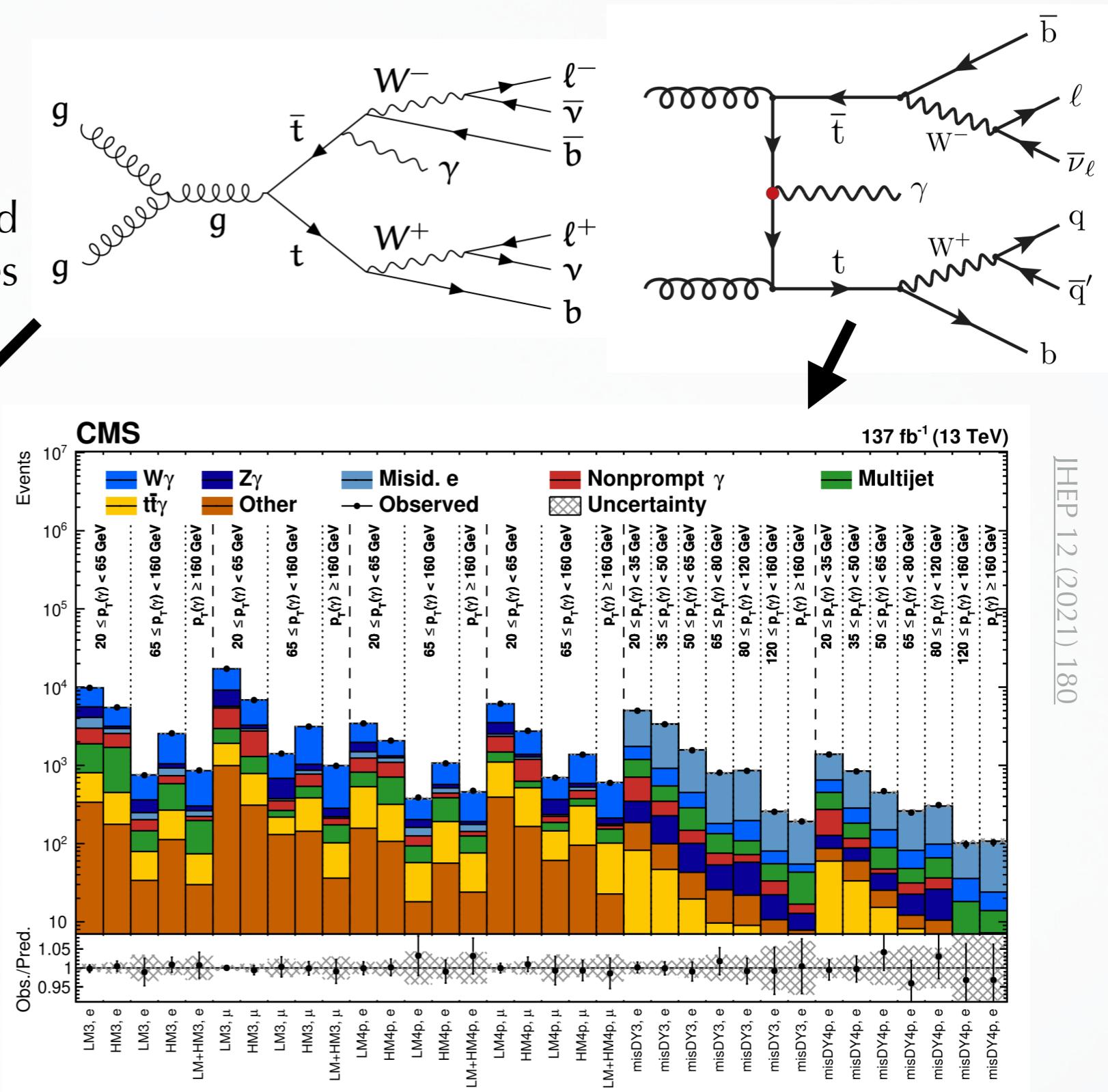


# Top-photon

- Probe **top electroweak EFT** couplings
- **Single-lepton** (large sample) and **dilepton** (high purity) final states
- Categorize events based on **photon  $p_T$**

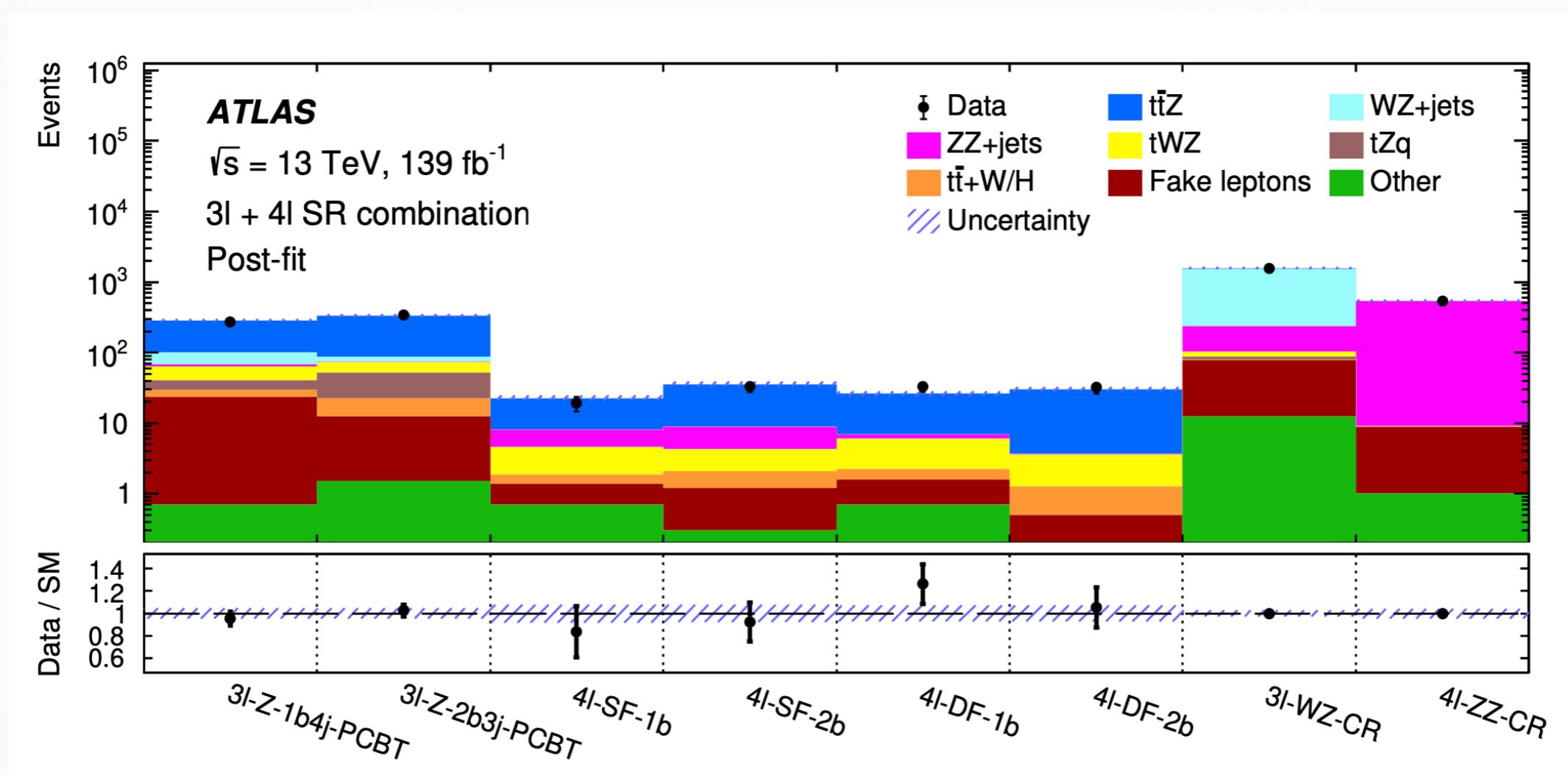


JHEP 05 (2022) 091

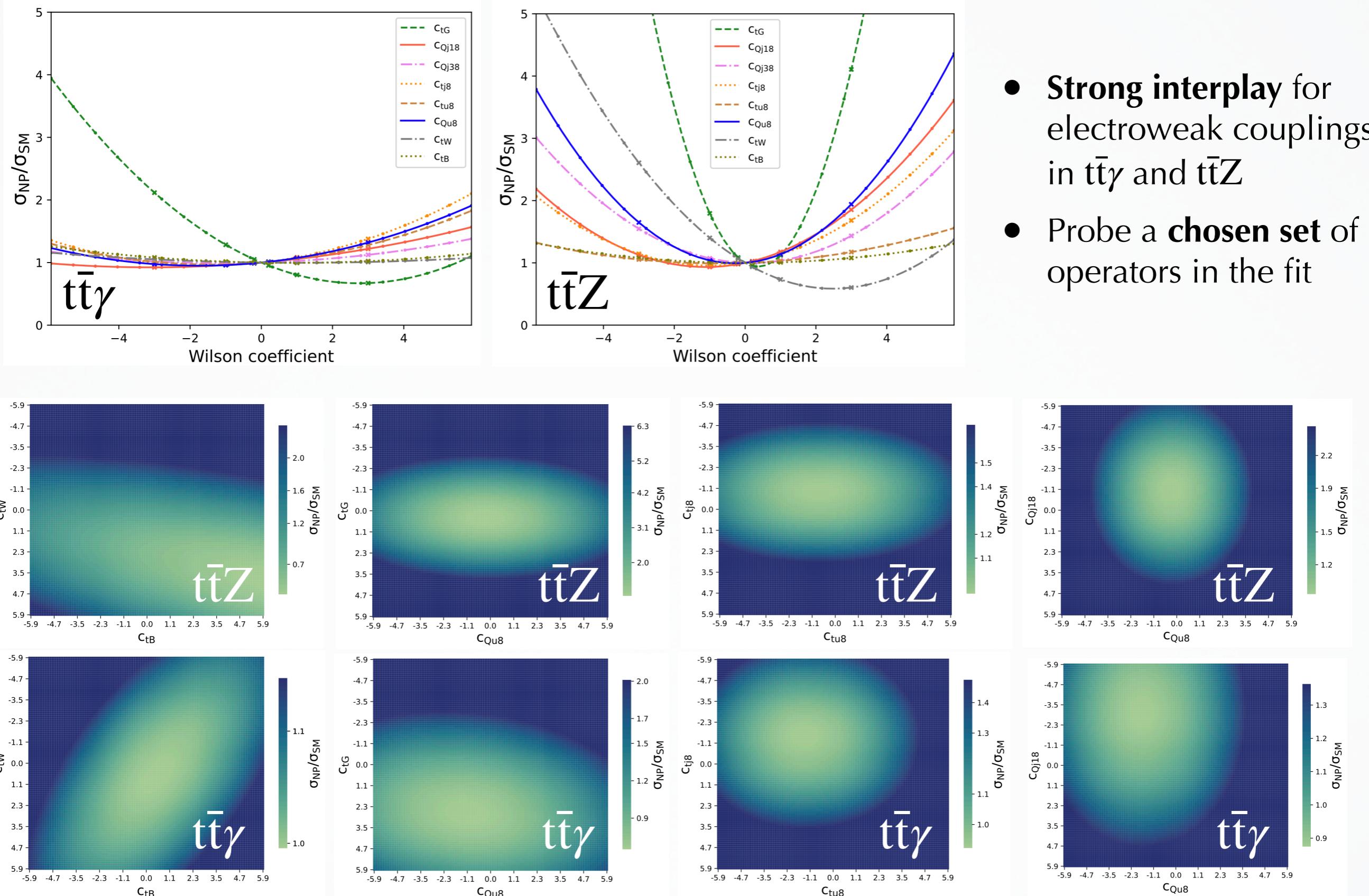


# Top-Z

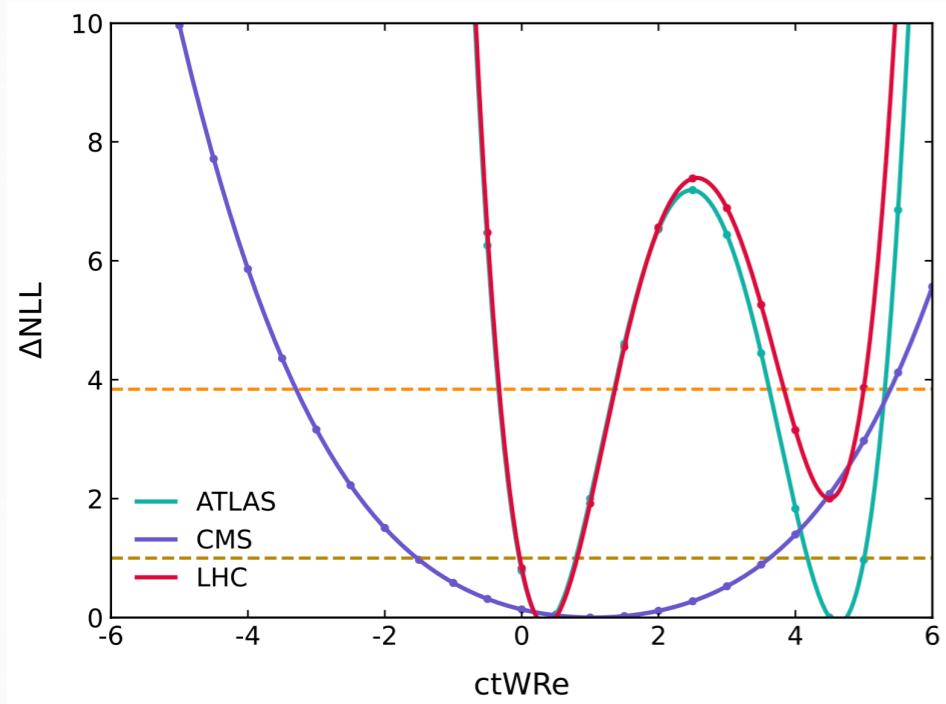
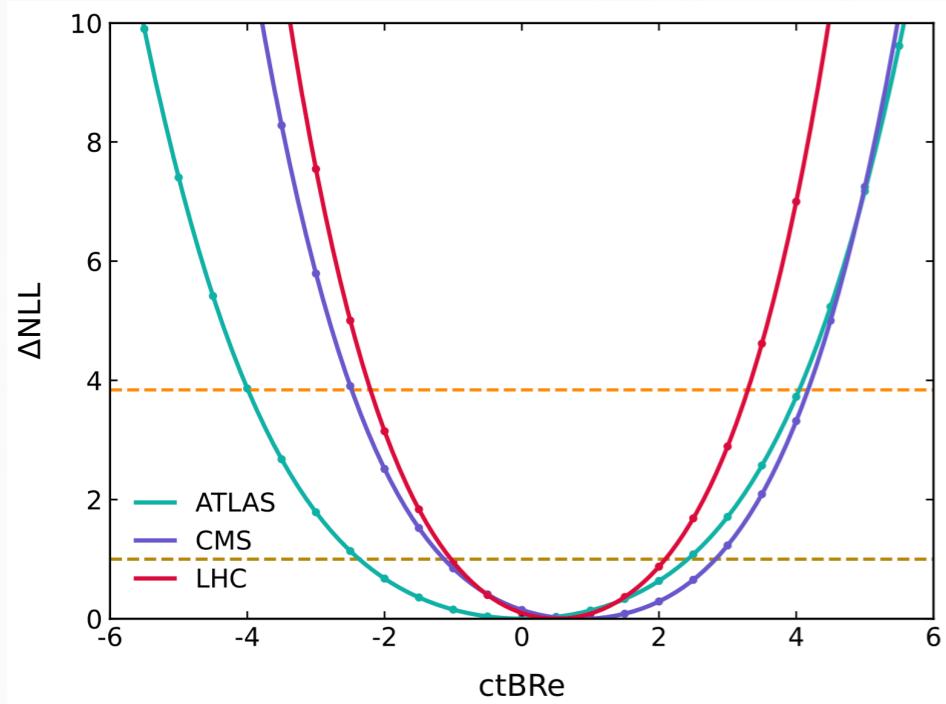
- Probe **top electroweak EFT** couplings
- Measure **inclusive** and **differential**  $t\bar{t}Z$  cross sections in 3l and 4l final states
- **Full likelihood** available for the inclusive cross section measurement
- **No EFT interpretation included in the analysis - let's have it done now!**



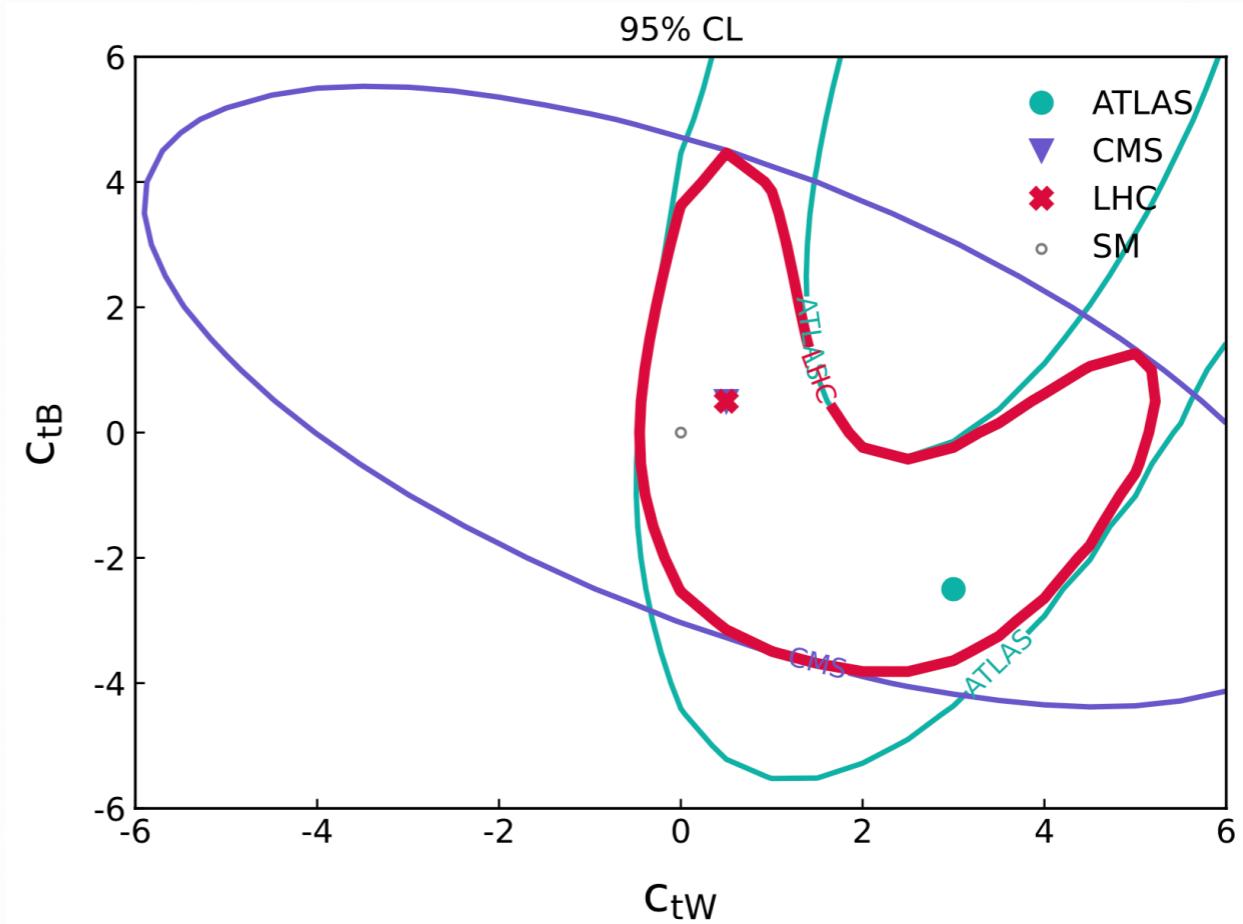
# Top electroweak couplings



# Top electroweak results

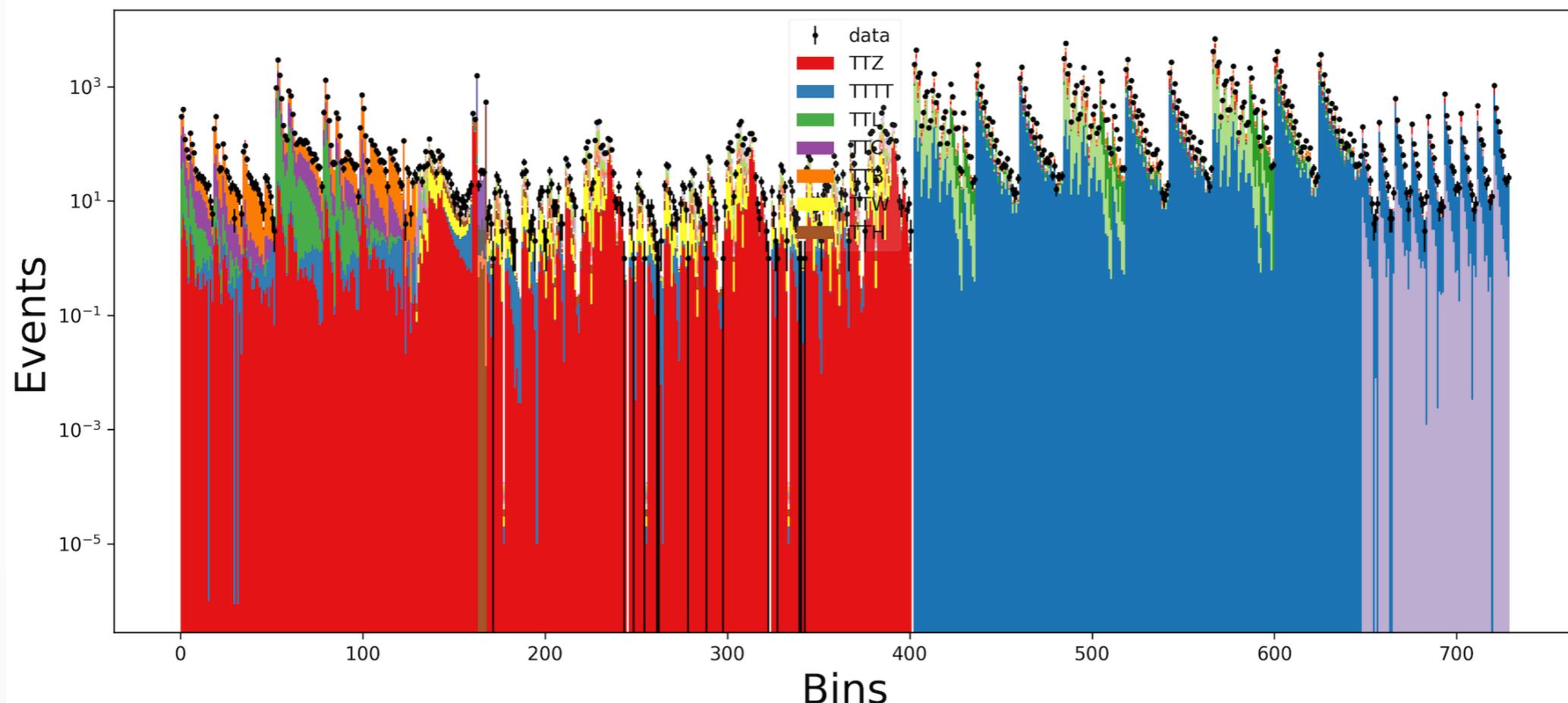


- Combine **full likelihoods** from:
  - $t\bar{t}\gamma$  (single lepton): [JHEP 12 \(2021\) 180](#)
  - $t\bar{t}\gamma$  (di-lepton): [JHEP 05 \(2022\) 091](#)
  - $t\bar{t}Z$  (multilepton): [EPJC 81 \(2021\) 737](#)
- **Very complementary** sensitivity

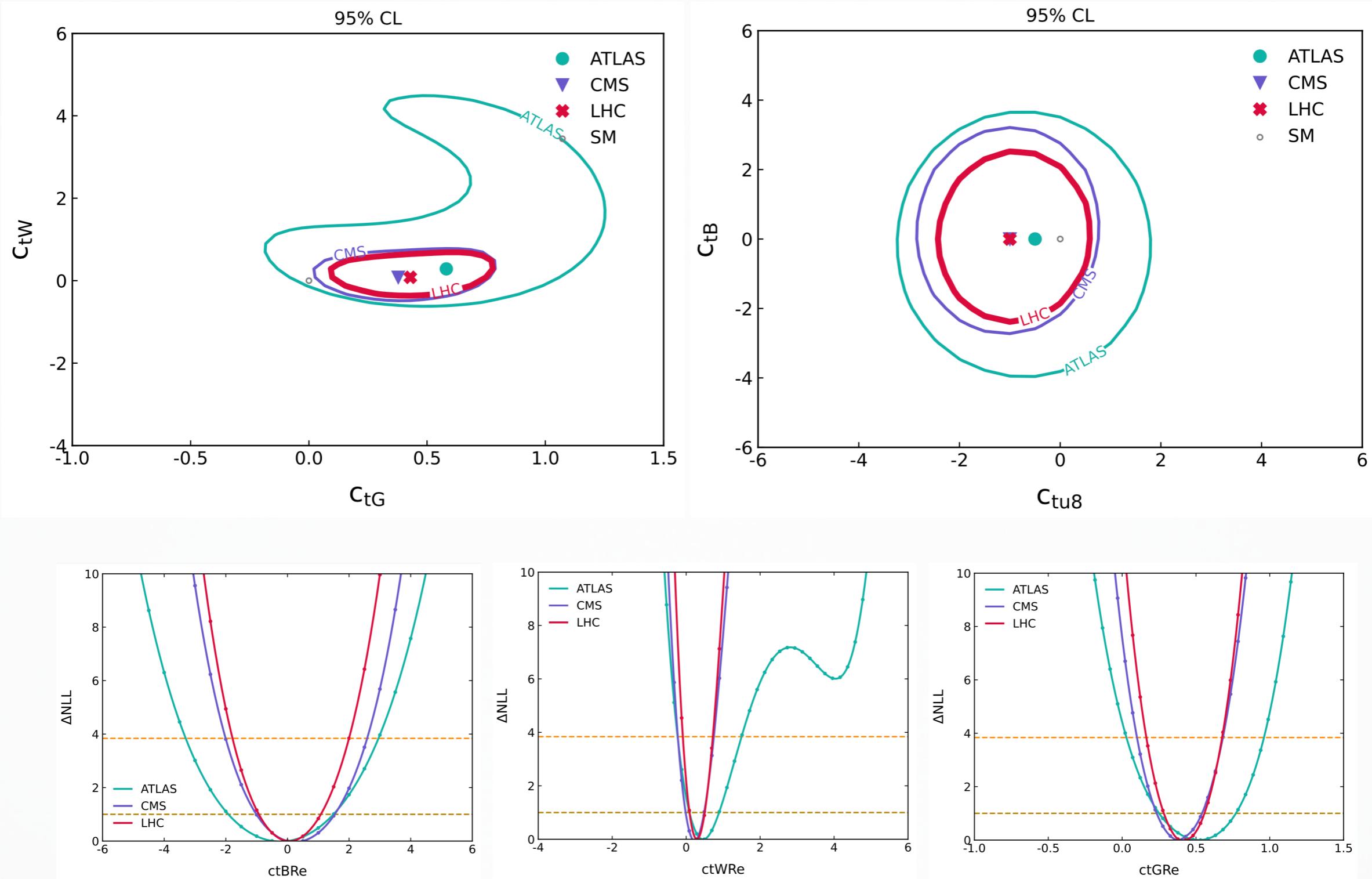


# Let's combine everything

- Use **full likelihoods** from 5 published analyses:
  - $t\bar{t}t\bar{t}$  (multilepton): [JHEP 11 \(2021\) 118](#), [arXiv:2305.13439](#)
  - $t\bar{t}\gamma$  (single lepton): [JHEP 12 \(2021\) 180](#)
  - $t\bar{t}\gamma$  (di-lepton): [JHEP 05 \(2022\) 091](#)
  - $t\bar{t}Z$  (multilepton): [EPJC 81 \(2021\) 737](#)
- Probe **EFT** through  $t\bar{t}t\bar{t}$ ,  $t\bar{t}\gamma$ ,  $t\bar{t}Z$ ,  $t\bar{t}W$ ,  $t\bar{t}h$
- **More stringent** EFT constraints after ATLAS+CMS combination



# Grand combination results



# Summary

- **Translation** between ATLAS and CMS full statistical models is available
- Allows for a **proper combination** of the results between the experiments
- **Direct measurement:**
  - **Combine** our measurements using either of the statistical tools
  - Include EFT in **all relevant processes**
  - **Harmonizing** uncertainty correlations can be a challenge
- **Reinterpretation:**
  - Almost any published LHC result can be reinterpreted in terms of EFT sensitivity, however in most cases can only introduce EFT parametrization on the **total cross section**
  - For EFT-specific experimental studies need to **publish EFT-specific information** (e.g. parameterization, selected event samples, etc.)
- **What's next:**
  - Try to publish?
    - a full-likelihood combination for a selected process (latest four top results?)
    - also may include an EFT reinterpretation
  - EFT combination based on unfolded differential measurements coming soon