

PROTON STRUCTURE IN AND OUT OF MUONIC HYDROGEN

— STATUS OF THE PROTON RADIUS PUZZLE —

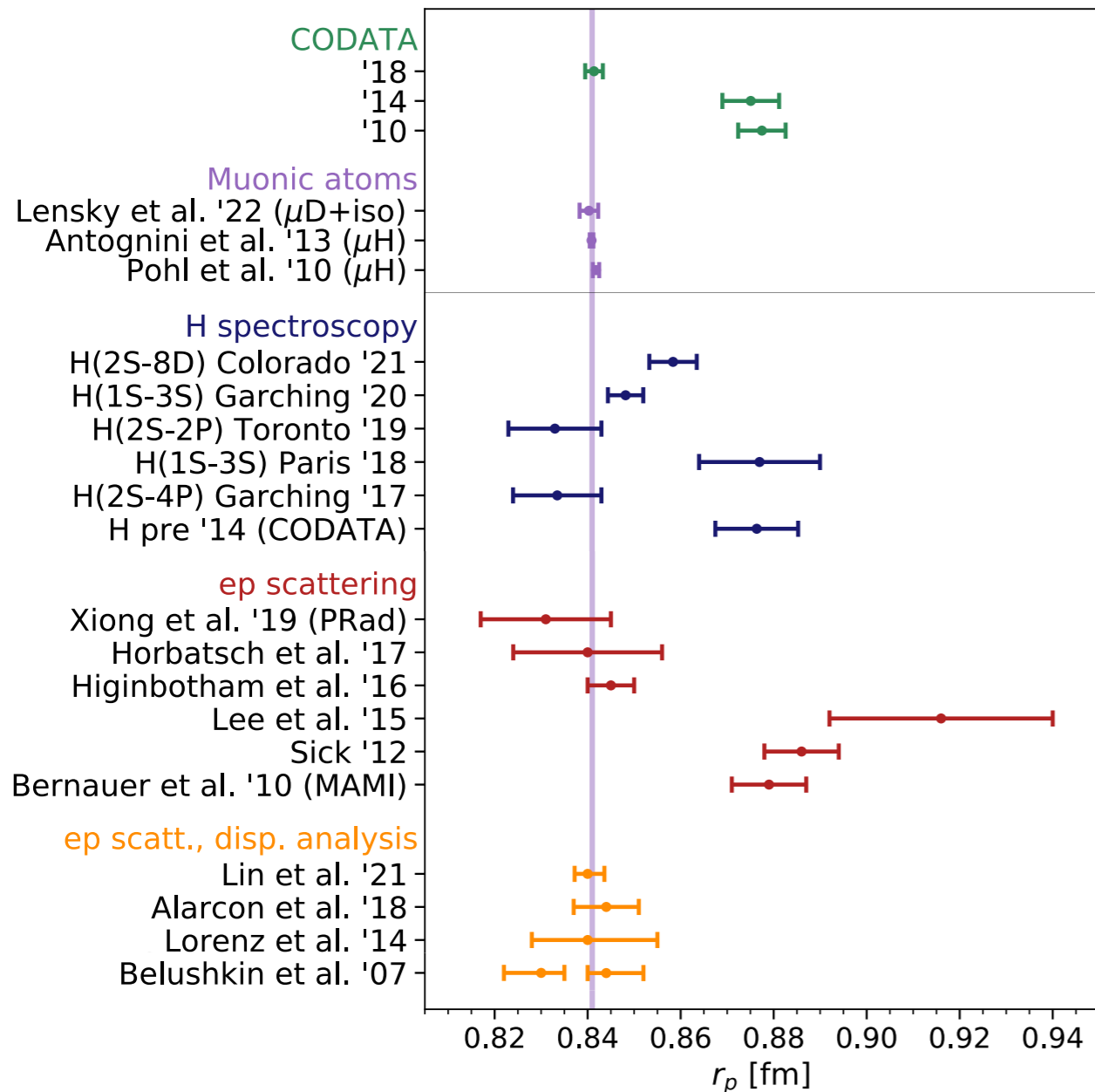
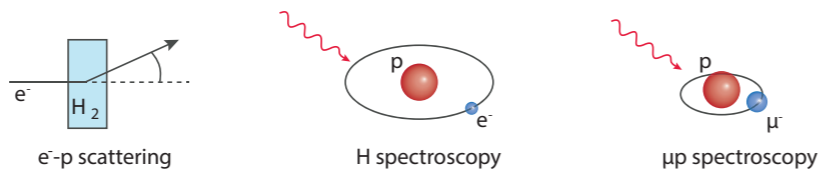
Franziska Hagelstein (JGU Mainz & PSI Villigen)

in collaboration with

V. Biloshytskyi, T. Esser, V. Lensky, V. Pascalutsa, S. Pitelis (JGU)

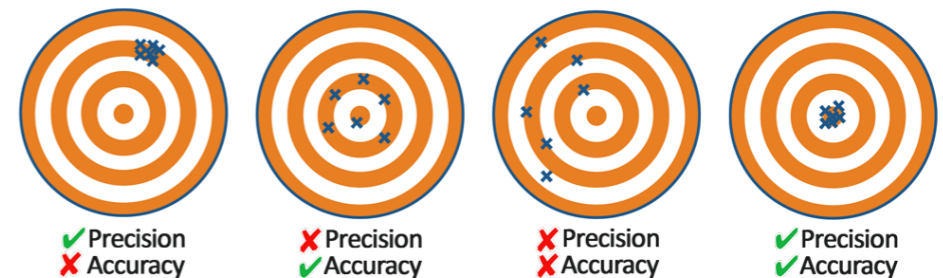
and V. Sharkovska (PSI, UZH)

PROTON CHARGE RADIUS



- Muonic atoms allow for PRECISE extractions of nuclear charge and Zemach radii
- CODATA since 2018 included the μH result for r_p
- Still open issues: H(2S-8D) and H(1S-3S)
- Question:

PRECISION VS ACCURACY



FROM PUZZLE TO PRECISION

- Several experimental activities ongoing and proposed:
 - IS hyperfine splitting in μH and μHe (CREMA, FAMU, J-PARC)
 - Improved measurement of Lamb shift in μH , μD and μHe^+ possible ($\times 5$)
 - Medium- and high- Z muonic atoms
- ▶ **Theory support** is needed!



Muonic Atom Spectroscopy Theory Initiative

- Initial objectives:
 - Accurate theory predictions for light muonic atoms to test fundamental interactions by comparing to electronic atoms
 - Community consensus on SM predictions
 - First emphasis on the hyperfine splitting in μH



Join us this afternoon
and Saturday morning !

<https://indico.him.uni-mainz.de/event/201/overview>

“PREN & μASTI ” workshop @ JGU, 06/23

Comprehensive theory of the Lamb shift in light muonic atoms

K. Pachucki,¹ V. Lensky,² F. Hagelstein,^{2,3} S. S. Li Muli,² S. Bacca,^{2,4} and R. Pohl⁵

¹ Faculty of Physics, University of Warsaw, Pasteura 5, 02-093 Warsaw, Poland

² Institut für Kernphysik, Johannes Gutenberg-Universität Mainz, 55128 Mainz, Germany

³ Paul Scherrer Institut, CH-5232 Villigen PSI, Switzerland

⁴ Helmholtz-Institut Mainz, Johannes Gutenberg Universität Mainz, 55099 Mainz, Germany

⁵ Institut für Physik, Johannes Gutenberg-Universität Mainz, 55099 Mainz, Germany

(Dated: May 19, 2023) Rev. Mod. Phys. **96** (2024) 1, 015001

E_{QED}	point nucleus	206.034 4(3)	228.774 0(3)	1644.348(8)	1668.491(7)
$C r_C^2$	finite size	$-5.225 9 r_p^2$	$-6.107 4 r_d^2$	$-103.383 r_h^2$	$-106.209 r_\alpha^2$
E_{NS}	nuclear structure	0.028 9(25)	1.750 3(200)	15.499(378)	9.276(433)
$E_L(\text{exp})$	experiment ^a	202.370 6(23)	202.878 5(34)	1258.598(48)	1378.521(48)
r_C	this work	0.840 60(39)	2.127 58(78)	1.970 07(94)	1.678 6(12)
r_C	previous ^a	0.840 87(39)	2.125 62(78)	1.970 07(94)	1.678 24(83)

μH :

present accuracy comparable with experimental precision

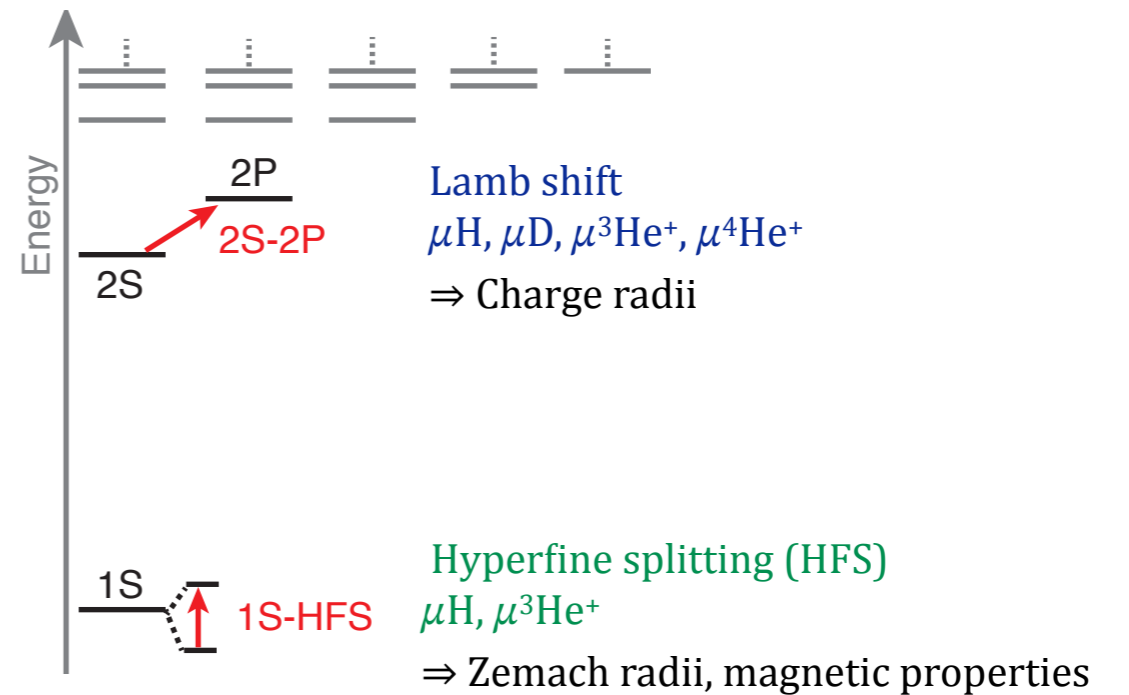
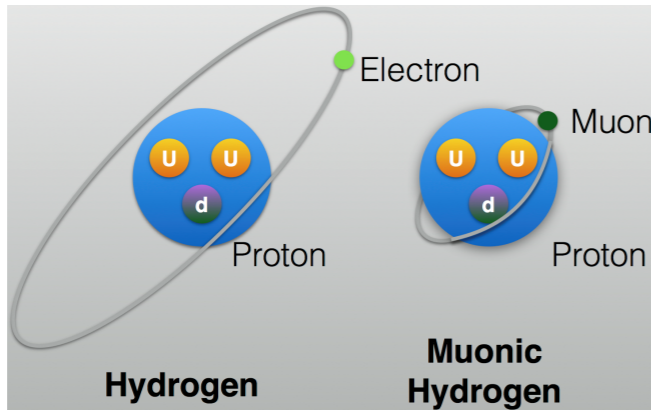
$\mu\text{D}, \mu^3\text{He}^+, \mu^4\text{He}^+$:

present accuracy factor 5-10 worse than experimental precision

- Experiments will improve by up to a factor of 5
- Theoretical improvement needed for nuclear/nucleon 2- and 3-photon exchange

NUCLEAR STRUCTURE EFFECTS

Why muonic atoms?



Lamb shift:

wave function at the origin

$$\Delta E_{nl}(\text{LO} + \text{NLO}) = \delta_{l0} \frac{2\pi Z\alpha}{3} \frac{1}{\pi (an)^3} \left[R_E^2 - \frac{Z\alpha m_r}{2} R_{E(2)}^3 \right]$$



NLO becomes appreciable in μH



HFS:

$$\Delta E_{nS}(\text{LO} + \text{NLO}) = E_F(nS) [1 - 2 Z\alpha m_r R_Z]$$

Fermi energy:

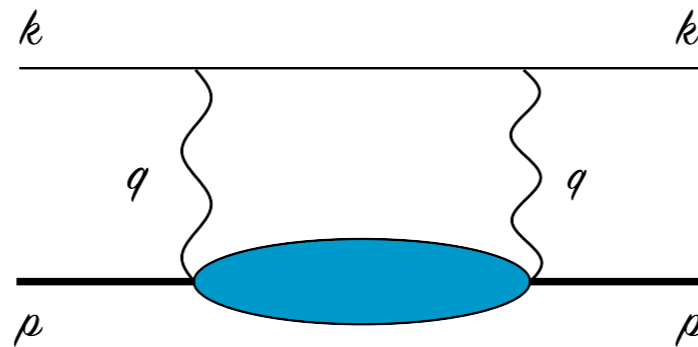
$$E_F(nS) = \frac{8}{3} \frac{Z\alpha}{a^3} \frac{1 + \kappa}{mM} \frac{1}{n^3}$$

with Bohr radius $a = 1/(Z\alpha m_r)$

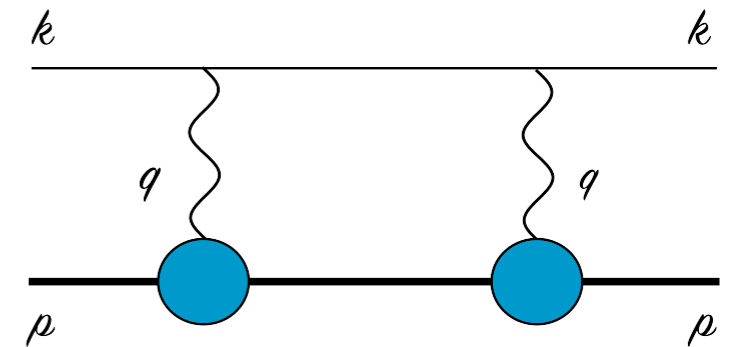
STRUCTURE EFFECTS THROUGH 2γ

- Proton-structure effects at subleading orders arise through **multi-photon processes**

forward
two-photon exchange (2γ)



polarizability contribution
(non-Born VVCS)

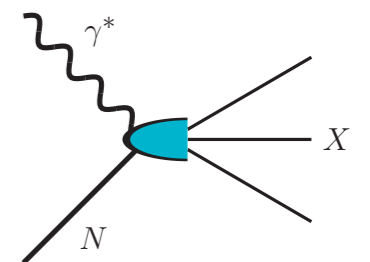


elastic contribution:
finite-size recoil,
3rd Zemach moment (Lamb shift),
Zemach radius (Hyperfine splitting)

- “Blob” corresponds to **doubly-virtual Compton scattering (VVCS)**:

$$T^{\mu\nu}(q, p) = \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2}\right) T_1(\nu, Q^2) + \frac{1}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu\right) \left(p^\nu - \frac{p \cdot q}{q^2} q^\nu\right) T_2(\nu, Q^2) \\ - \frac{1}{M} \gamma^{\mu\nu\alpha} q_\alpha S_1(\nu, Q^2) - \frac{1}{M^2} (\gamma^{\mu\nu} q^2 + q^\mu \gamma^{\nu\alpha} q_\alpha - q^\nu \gamma^{\mu\alpha} q_\alpha) S_2(\nu, Q^2)$$

- Proton structure functions: $f_1(x, Q^2), f_2(x, Q^2)$ (Lamb shift), $g_1(x, Q^2), g_2(x, Q^2)$ (Hyperfine splitting (HFS))



2 γ EFFECT IN THE LAMB SHIFT

wave function
at the origin

$$\Delta E(nS) = 8\pi\alpha m \phi_n^2 \frac{1}{i} \int_{-\infty}^{\infty} \frac{d\nu}{2\pi} \int \frac{d\mathbf{q}}{(2\pi)^3} \frac{(Q^2 - 2\nu^2) T_1(\nu, Q^2) - (Q^2 + \nu^2) T_2(\nu, Q^2)}{Q^4(Q^4 - 4m^2\nu^2)}$$

dispersion relation
& optical theorem:

$$T_1(\nu, Q^2) = \boxed{T_1(0, Q^2)} + \frac{32\pi Z^2 \alpha M \nu^2}{Q^4} \int_0^1 dx \frac{x f_1(x, Q^2)}{1 - x^2(\nu/\nu_{el})^2 - i0^+}$$

$$T_2(\nu, Q^2) = \frac{16\pi Z^2 \alpha M}{Q^2} \int_0^1 dx \frac{f_2(x, Q^2)}{1 - x^2(\nu/\nu_{el})^2 - i0^+}$$

- Caution: in the data-driven dispersive approach the $T_1(0, Q^2)$ subtraction function is modelled!

low-energy expansion:

$$\lim_{Q^2 \rightarrow 0} \bar{T}_1(0, Q^2)/Q^2 = 4\pi\beta_{M1}$$

modelled Q^2 behavior:

$$\bar{T}_1(0, Q^2) = 4\pi\beta_{M1} Q^2 / (1 + Q^2/\Lambda^2)^4$$

CHIRAL PERTURBATION THEORY

- ChPT — an effective field theory of QCD at low energies

Seminal papers:

- [1] S. Weinberg, Physica A **96**, 327 (1979).
- [2] J. Gasser, H. Leutwyler, Ann. Phys. **158**, 142 (1984).
- [3] J. Gasser, et al., Nucl. Phys. B **307**, 779 (1988).

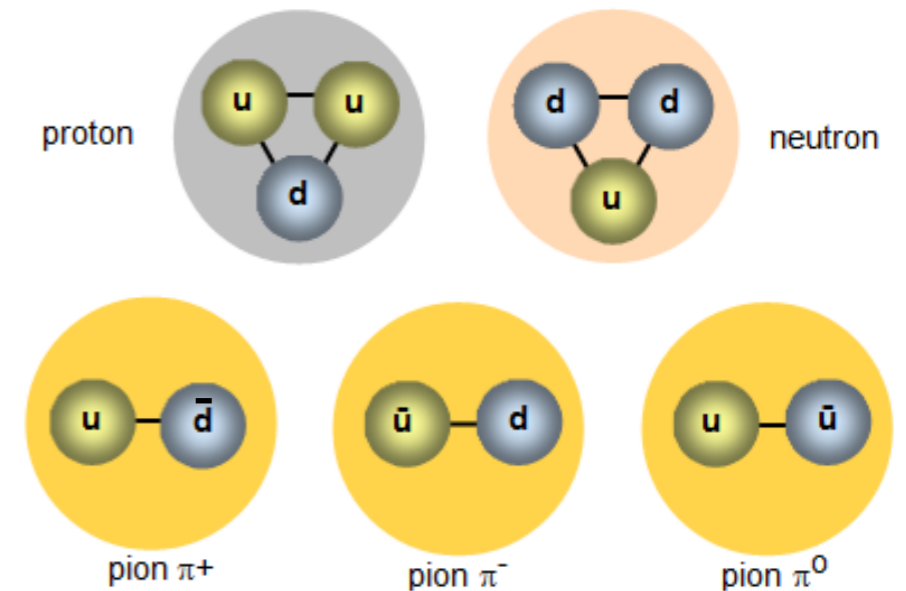
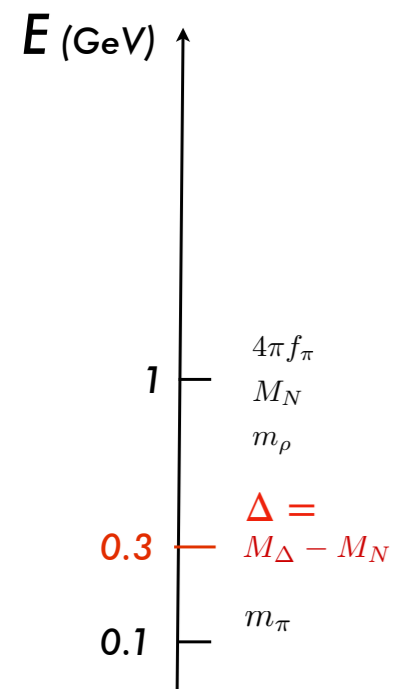
- Pion, nucleon (and other hadronic) degrees of freedom

- Systematic expansion in powers of momentum over the scale of spontaneous chiral symmetry breaking ($\Lambda_{\chi\text{SB}} \sim 1 \text{ GeV}$)

- BChPT with $\Delta(1232)$ has two small parameters: $\epsilon = m_{\pi}/\Lambda_{\chi\text{SB}}$ and $\delta = \Delta/\Lambda_{\chi\text{SB}}$. Power counting:

- δ -expansion: $\epsilon \sim \delta^2$ V. Pascalutsa, D. Phillips, Phys. Rev. C **67** (2003) 055202.
- ϵ -expansion: $\epsilon \sim \delta$ T. Hemmert, B. Holstein, J. Kambor, Phys. Lett. B (1997) 89.

- HBCChPT: additional expansion $1/M_B$



POLARIZABILITY EFFECT IN μH LAMB SHIFT

$$\propto \beta_{M1}$$



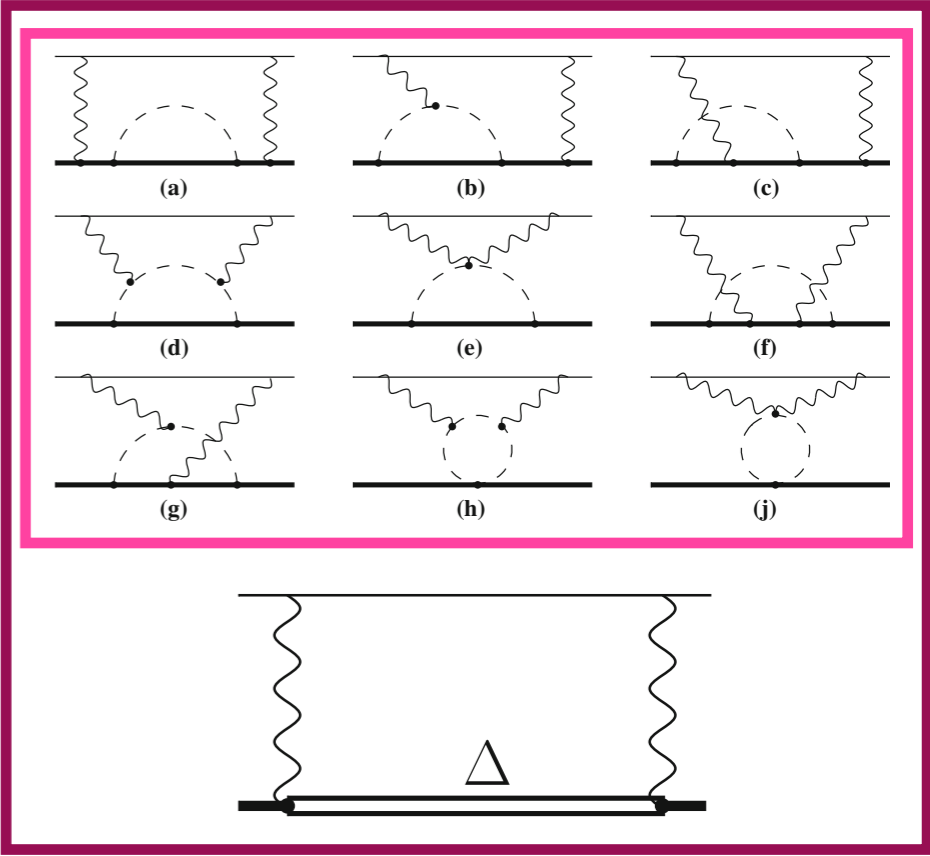
$$\propto \alpha_{E1}$$



Assuming ChPT is working, it should be best applicable to atomic systems, where the energies are very small !

Table 1 Forward 2γ -exchange contributions to the $2S$ -shift in μH , in units of μeV .

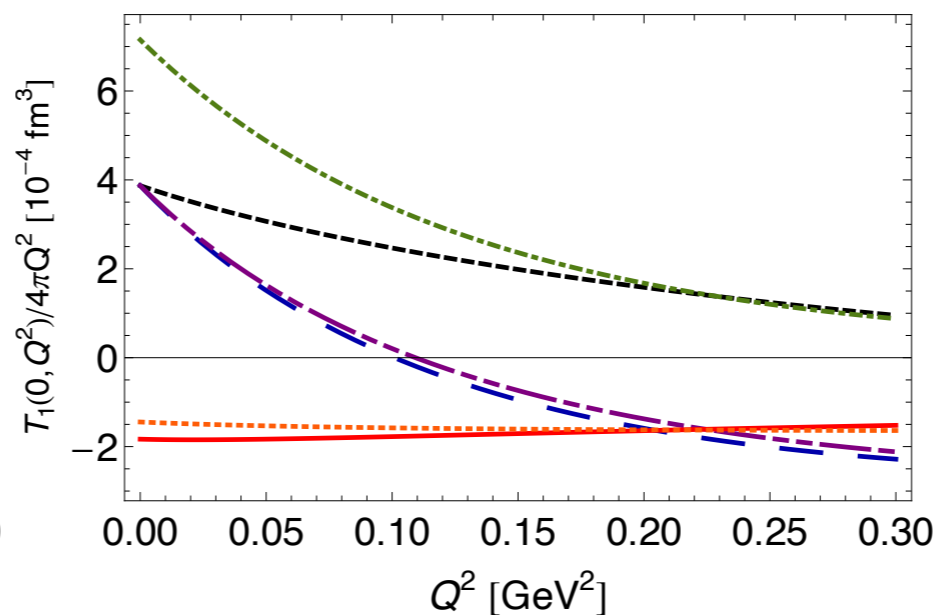
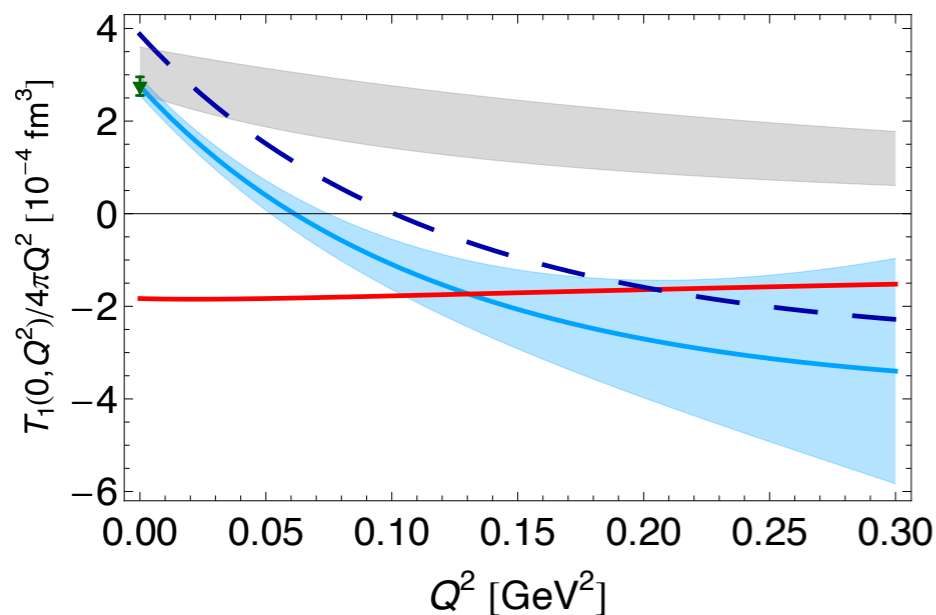
Reference	$E_{2S}^{(\text{subt})}$	$E_{2S}^{(\text{inel})}$	$E_{2S}^{(\text{pol})}$	$E_{2S}^{(\text{el})}$	$E_{2S}^{(2\gamma)}$
DATA-DRIVEN					
(73) Pachucki '99	1.9	-13.9	-12(2)	-23.2(1.0)	-35.2(2.2)
(74) Martynenko '06	2.3	-16.1	-13.8(2.9)		
(75) Carlson <i>et al.</i> '11	5.3(1.9)	-12.7(5)	-7.4(2.0)		
(76) Birse and McGovern '12	4.2(1.0)	-12.7(5)	-8.5(1.1)	-24.7(1.6)	-33(2)
(77) Gorchtein <i>et al.</i> '13 ^a	-2.3(4.6)	-13.0(6)	-15.3(4.6)	-24.5(1.2)	-39.8(4.8)
(78) Hill and Paz '16					-30(13)
(79) Tomalak'18	2.3(1.3)		-10.3(1.4)	-18.6(1.6)	-29.0(2.1)
LEADING-ORDER $B\chi\text{PT}$					
(80) Alarcón <i>et al.</i> '14			-9.6 ^{+1.4} _{-2.9}		
(81) Lensky <i>et al.</i> '17 ^b	3.5 ^{+0.5} _{-1.9}	-12.1(1.8)	-8.6 ^{+1.3} _{-5.2}		
LATTICE QCD					
(82) Fu <i>et al.</i> '22					-37.4(4.9)



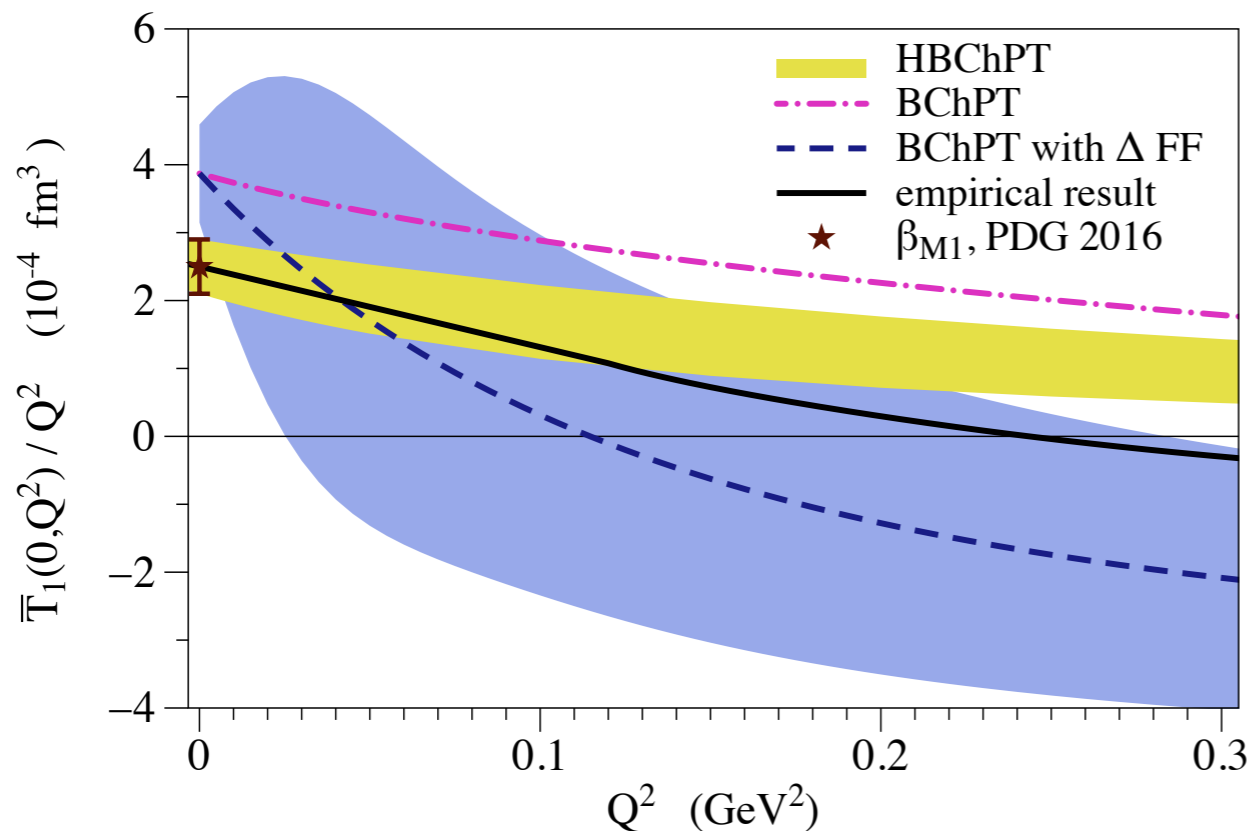
^aAdjusted values due to a different decomposition into the elastic and polarizability contributions.

^bPartially includes the $\Delta(1232)$ -isobar contribution.

SUBTRACTION FUNCTION



NLO BChPT δ -exp.
 NLO without g_M dipole
 πN loops
 $\pi \Delta$ loops
 Δ -exchange



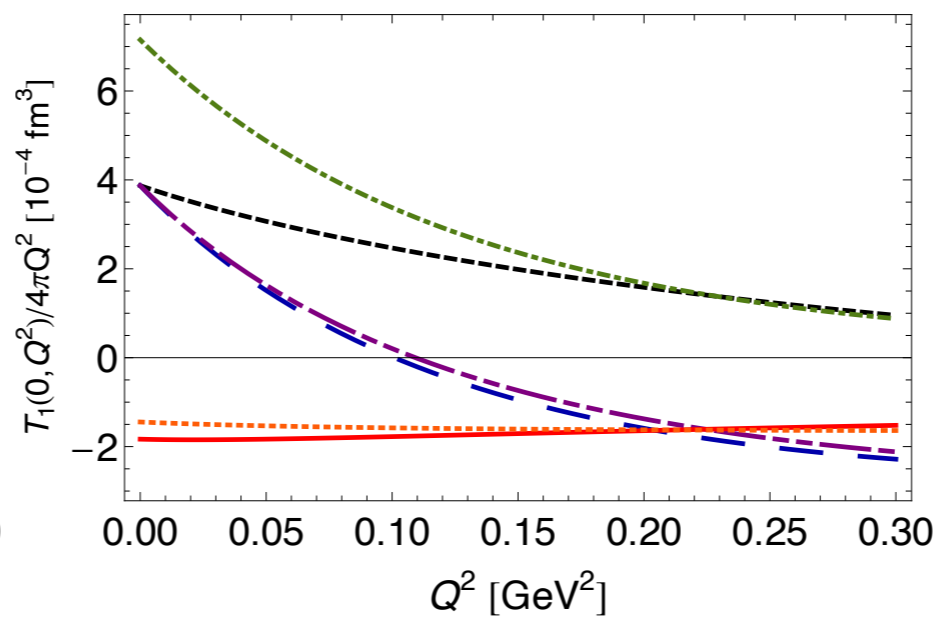
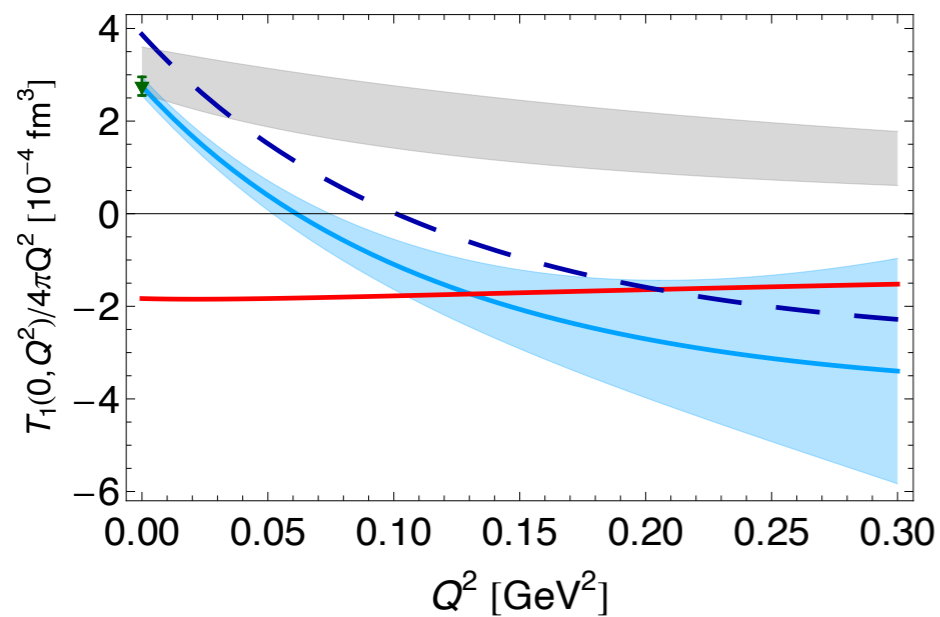
- Related to magnetic dipole polarizability:

$$\lim_{Q^2 \rightarrow 0} \bar{T}_1(0, Q^2)/Q^2 = 4\pi\beta_{M1}$$

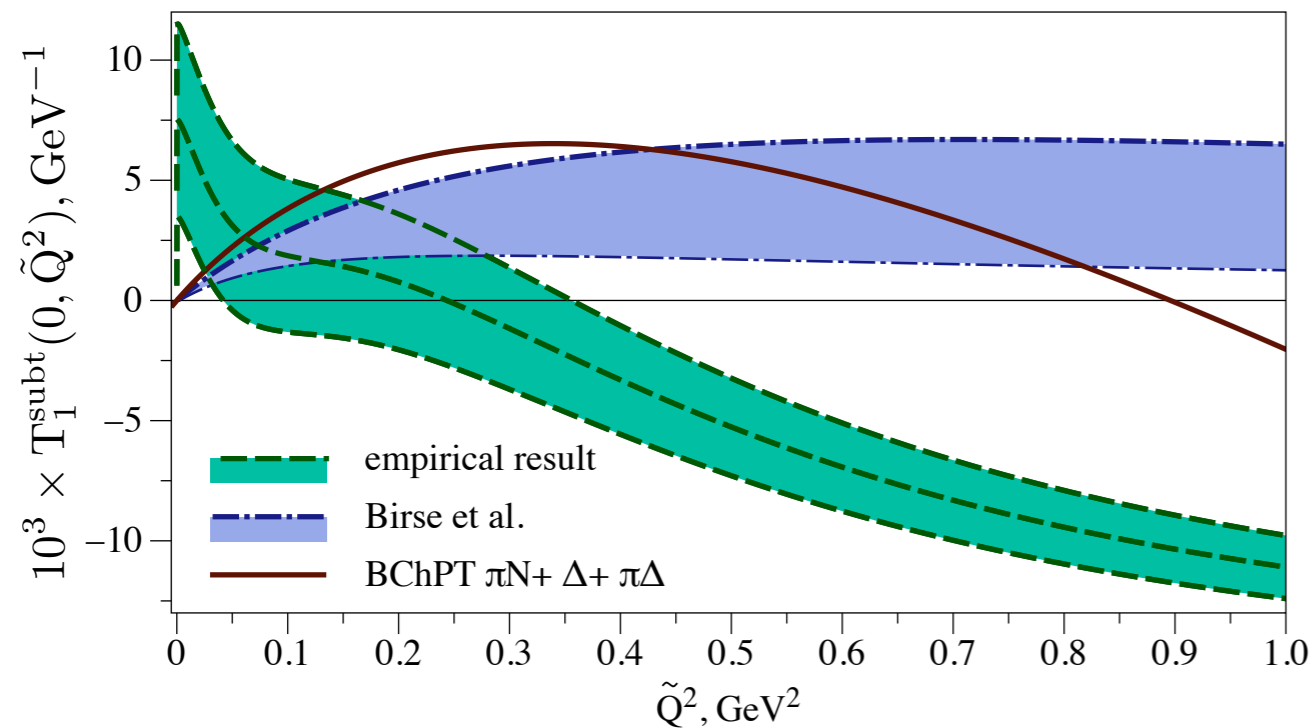
- Dominated by the Δ -exchange contribution:
 - Dipole FF on the magnetic coupling is important
 → zero crossing

V. Lensky, FH, V. Pascalutsa and M. Vanderhaeghen
 Phys. Rev. D **97** (2018) 074012

SUBTRACTION FUNCTION

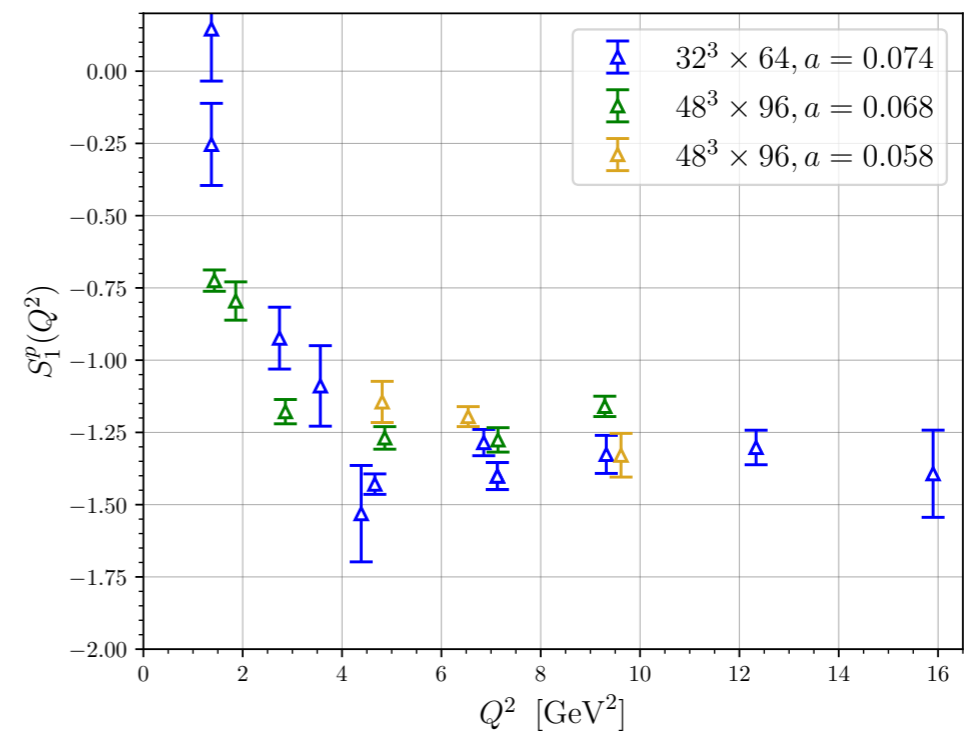


NLO BChPT δ -exp.
total without g_M dipole
 πN loops
 $\pi \Delta$ loops
 Δ -exchange



O. Tomalak and M. Vanderhaeghen, EPJ C 76 (2016) 125.

First lattice results!



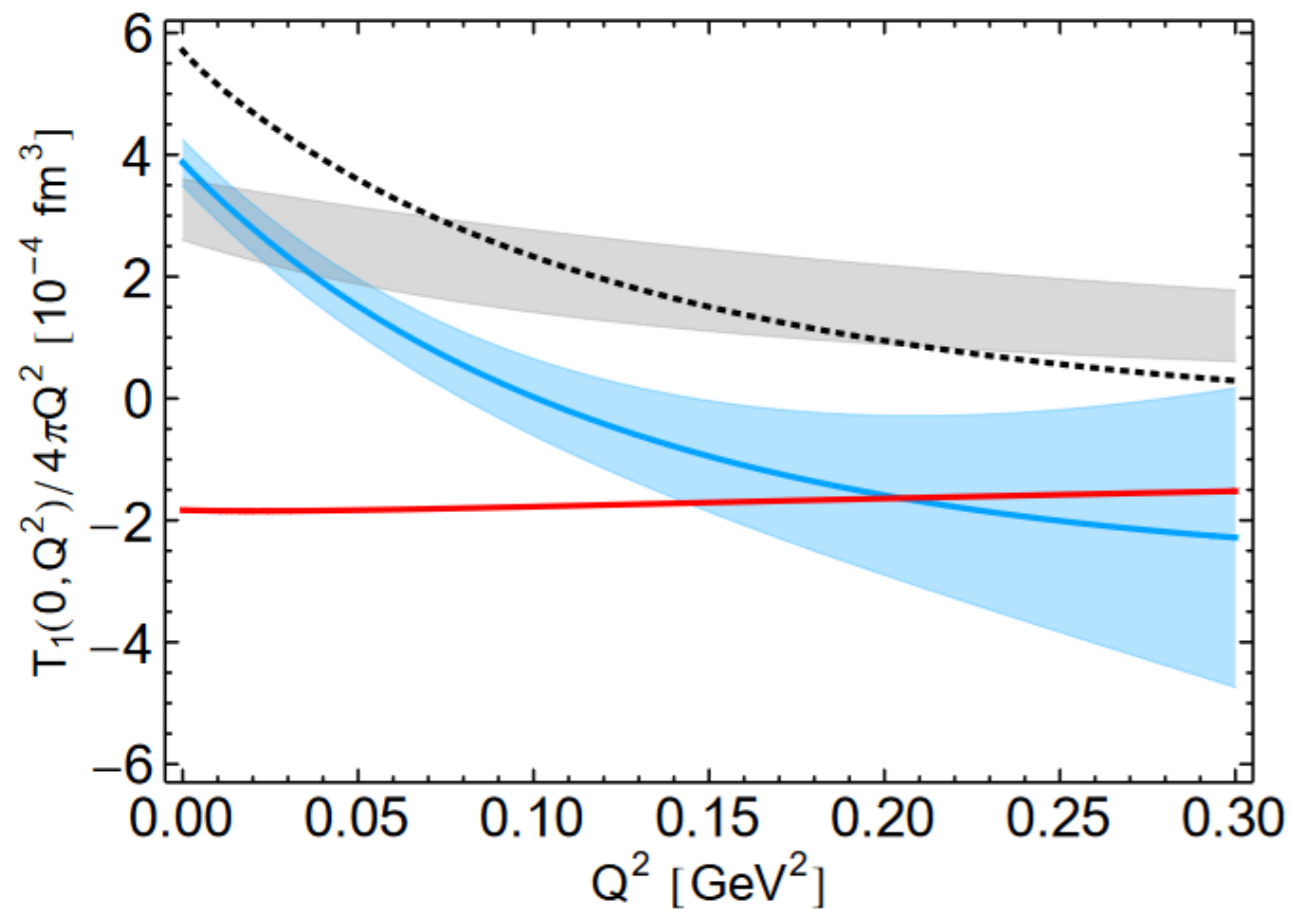
CSSM-QCDSF-UKQCD Collaboration, 2207.03040.

DATA-DRIVEN EVALUATION

- New integral equations for data-driven evaluation of subtraction functions
- High-quality parametrization of σ_L at $Q \rightarrow 0$ needed

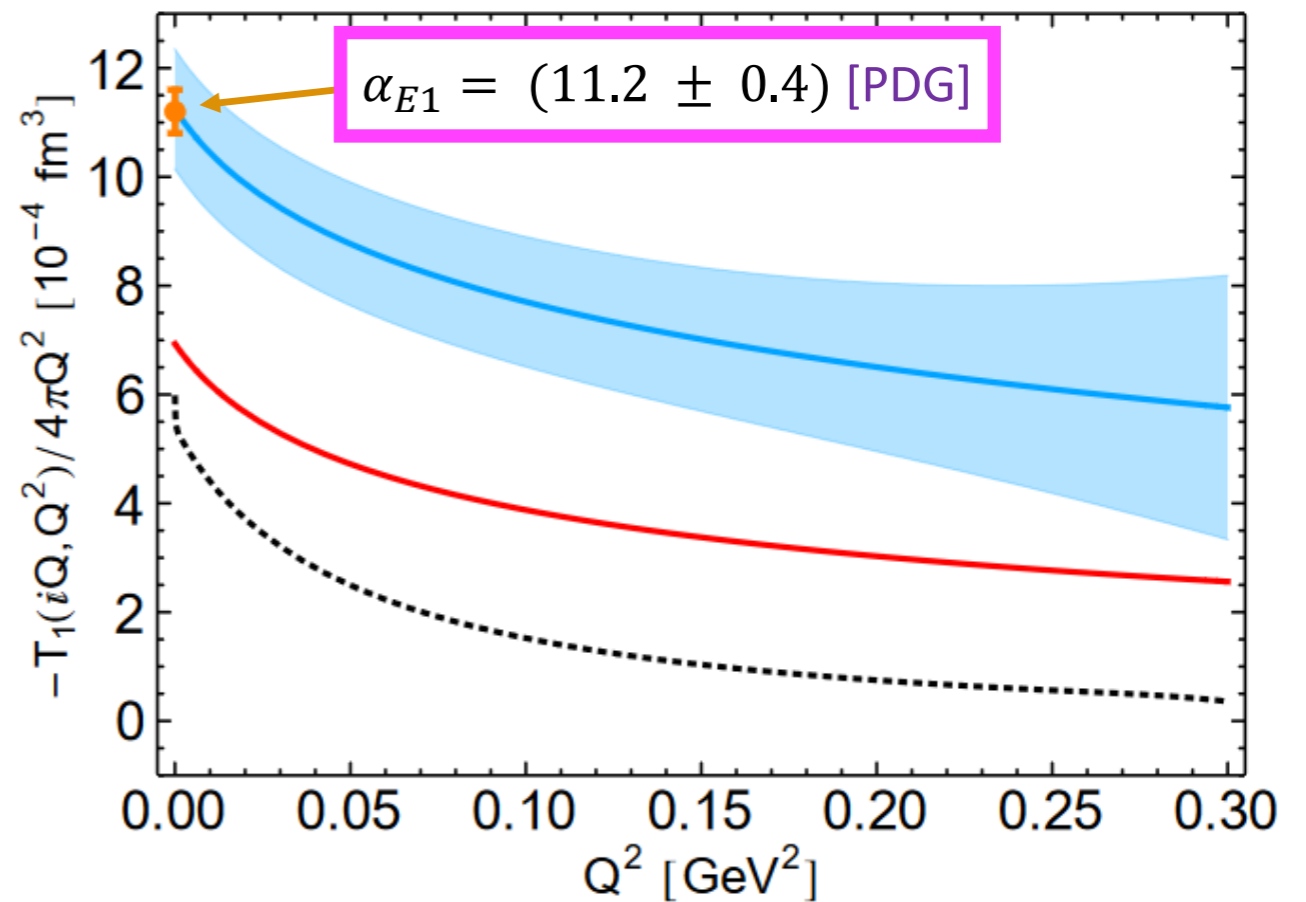
$$T_1(0, Q^2) = \frac{2Q^2}{\pi} \int_{\nu_0}^{\infty} \frac{d\nu}{\nu^2 + Q^2} \left[\sigma_T - \frac{\nu^2}{Q^2} \sigma_L \right] (\nu, Q^2)$$

$$T_L(iQ, Q^2) = \frac{2}{\pi} \int_{\nu_0}^{\infty} d\nu \nu^2 \frac{\sigma_L(\nu, Q^2)}{\nu^2 + Q^2}$$



..... MAID

— NLO χ PT [Lensky et al., PRC (2014)
[Alarcón et al., PRD (2020)]



— LO χ PT: πN -loops

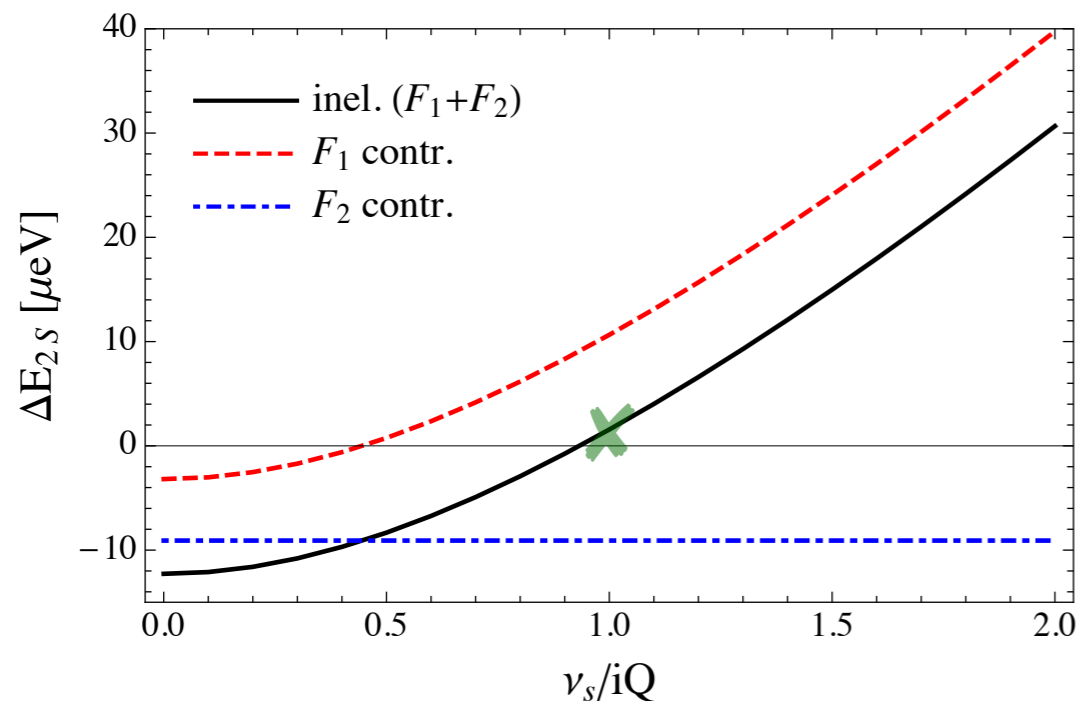
■ HB χ PT [Birse and McGovern, EPJA, (2012)]

EUCLIDEAN SUBTRACTION FUNCTION

- Once-subtracted dispersion relation for $\bar{T}_1(\nu, Q^2)$ with subtraction at $\nu_s = iQ$
- Dominant part of polarizability contribution:

$$\Delta E'_{nS}(\text{subt}) = \frac{2\alpha m}{\pi} \phi_n^2 \int_0^\infty \frac{dQ}{Q^3} \frac{2 + \nu_l}{(1 + \nu_l)^2} \bar{T}_1(iQ, Q^2) \text{ with } \nu_l = \sqrt{1 + 4m^2/Q^2}$$

- Inelastic contribution for $\nu_s = iQ$ is order of magnitude smaller than for $\nu_s = 0$
- Prospects for future lattice QCD and EFT calculations



based on Bosted-Christy parametrization:

$$\Delta E_{2S}^{(\text{inel})}(\nu_s = 0) \simeq -12.3 \mu\text{eV}$$

$$\Delta E'_{2S}^{(\text{inel})}(\nu_s = iQ) \simeq 1.6 \mu\text{eV}$$

FH, V. Pascalutsa, Nucl. Phys. A **1016** (2021) 122323

HYPERFINE SPLITTING IN μH

$$\Delta E_{\text{HFS}}(nS) = [1 + \Delta_{\text{QED}} + \Delta_{\text{weak}} + \Delta_{\text{structure}}] E_F(nS)$$

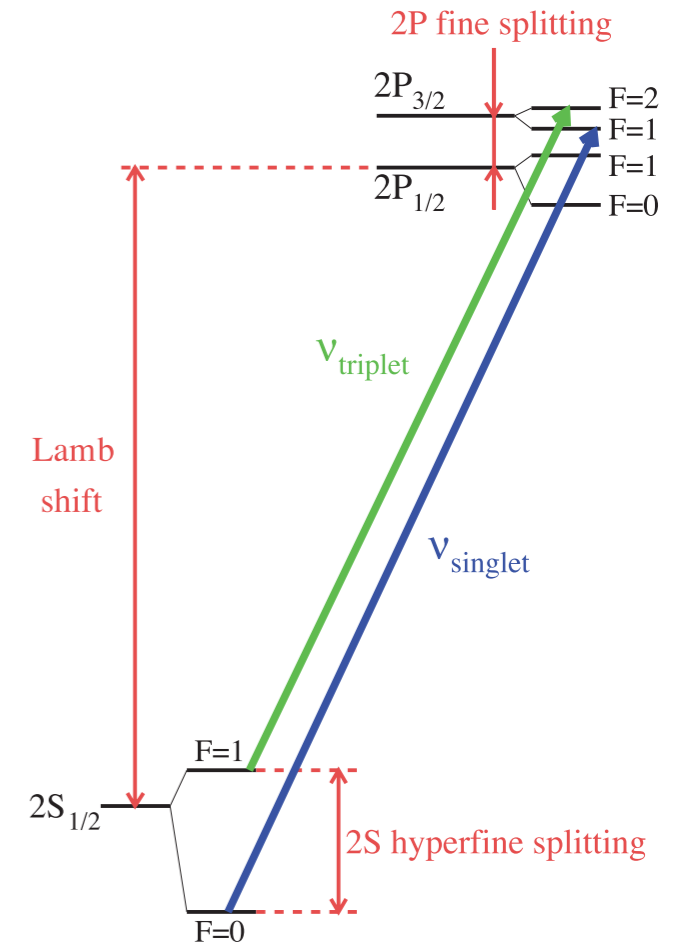
with $\Delta_{\text{structure}} = \Delta_Z + \Delta_{\text{recoil}} + \Delta_{\text{pol}}$

Zemach radius:

$$\Delta_Z = \frac{8Z\alpha m_r}{\pi} \int_0^\infty \frac{dQ}{Q^2} \left[\frac{G_E(Q^2)G_M(Q^2)}{1 + \kappa} - 1 \right] \equiv -2Z\alpha m_r R_Z$$

experimental value: $R_Z = 1.082(37)$ fm

A. Antognini, et al., Science **339** (2013) 417–420



Measurements of the μH ground-state HFS planned by the CREMA, FAMU and J-PARC / Riken-RAL collaborations

- Very precise input for the 2γ effect needed to narrow down frequency search range for experiment
- Zemach radius can help to pin down the magnetic properties of the proton

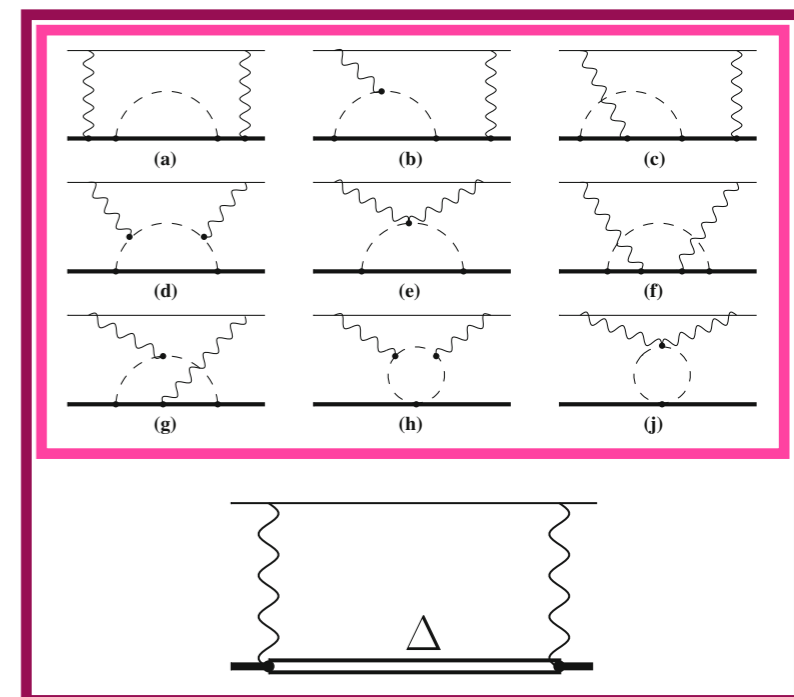
2 γ EFFECT IN THE μ H HFS



Table 1 Forward 2 γ -exchange contribution to the HFS in μ H.

Reference	Δ_Z [ppm]	Δ_{recoil} [ppm]	Δ_{pol} [ppm]	Δ_1 [ppm]	Δ_2 [ppm]	$E_{1S\text{-hfs}}^{(2\gamma)}$ [meV]
DATA-DRIVEN						
Pachucki '96 (1)	-8025	1666	0(658)			-1.160
Faustov et al. '01 (9) ^a	-7180		410(80)	468	-58	
Faustov et al. '06 (10) ^b			470(104)	518	-48	
Carlson et al. '11 (11) ^c	-7703	931	351(114)	370(112)	-19(19)	-1.171(39)
Tomalak '18 (12) ^d	-7333(48)	846(6)	364(89)	429(84)	-65(20)	-1.117(19)
HEAVY-BARYON χ PT						
Peset et al. '17 (13)						-1.161(20)
LEADING-ORDER χ PT						
Hagelstein et al. '16 (14)			37(95)	29(90)	9(29)	
+ $\Delta(1232)$ EXCIT.						
Hagelstein et al. '18 (15)			-13	84	-97	

Assuming ChPT is working, it should be best applicable to atomic systems, where the energies are very small !



^aAdjusted values: Δ_{pol} and Δ_1 corrected by -46 ppm as described in Ref. 16.

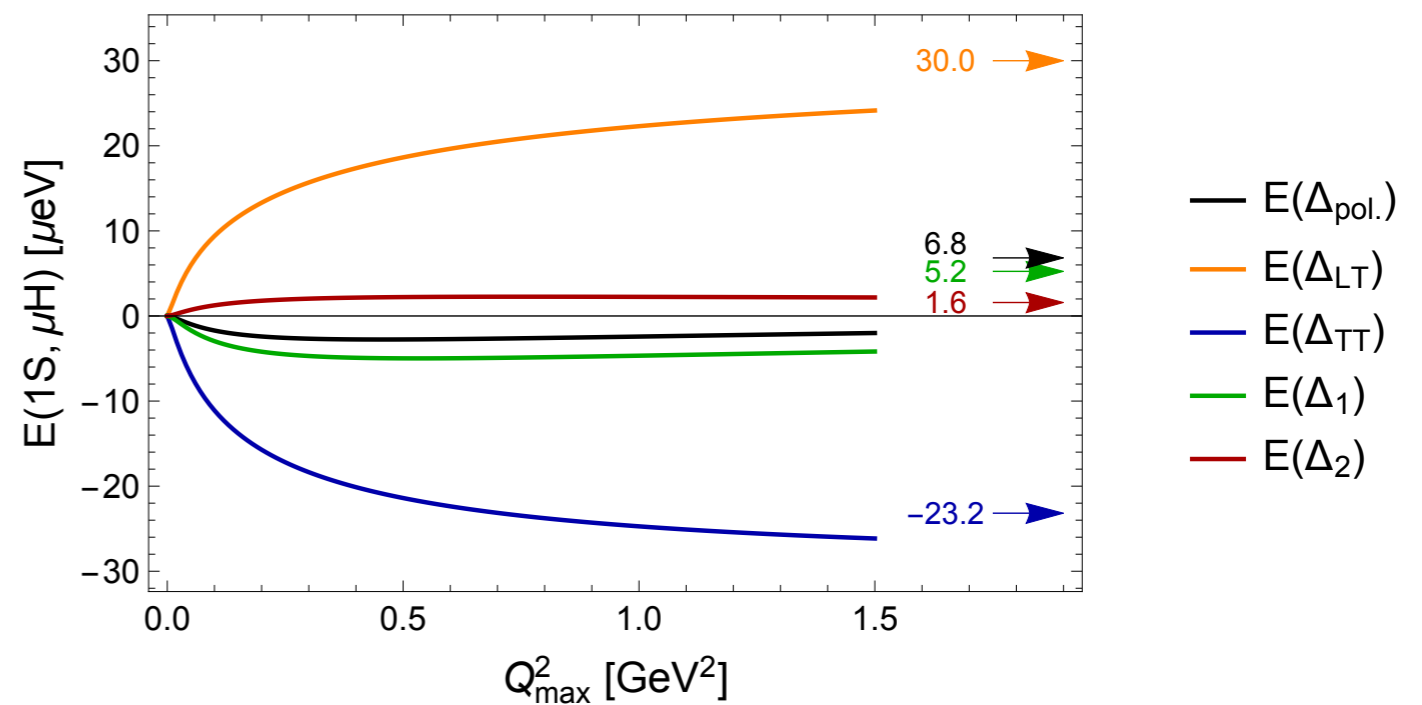
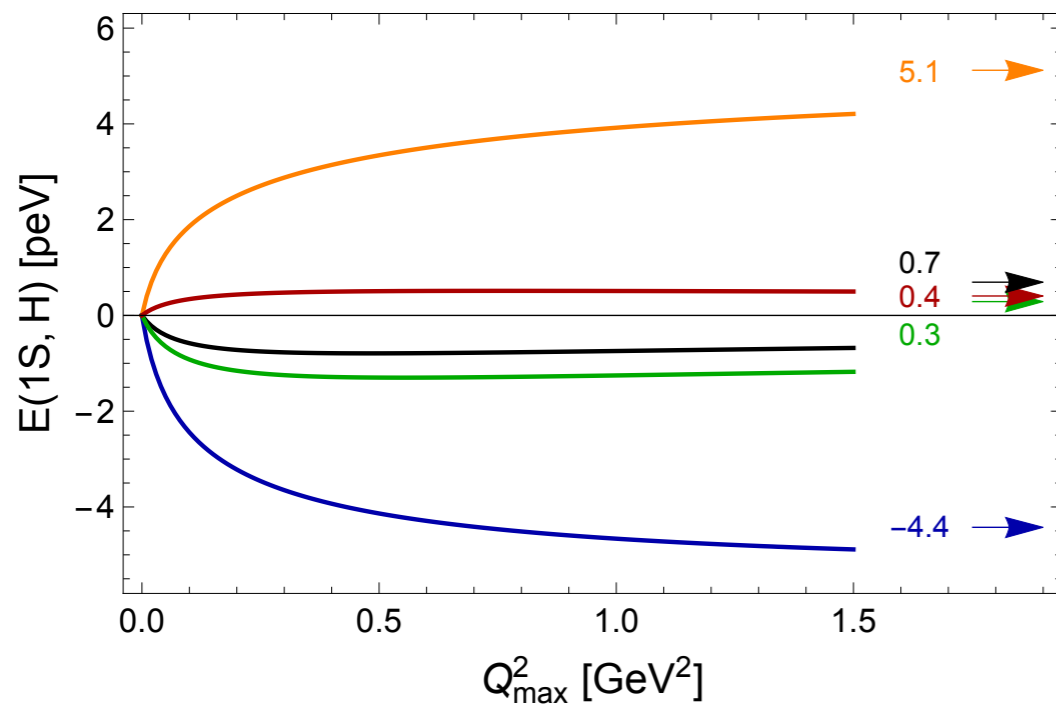
^bDifferent convention was used to calculate the Pauli form factor contribution to Δ_1 , which is equivalent to the approximate formula in the limit of $m = 0$ used for H in Ref. 11.

^cElastic form factors from Ref. 17 and updated error analysis from Ref. 16. Note that this result already includes radiative corrections for the Zemach-radius contribution, $(1 + \delta_Z^{\text{rad}})\Delta_Z$ with $\delta_Z^{\text{rad}} \sim 0.0153$ (18, 19), as well as higher-order recoil corrections with the proton anomalous magnetic moment, cf. (11, Eq. 22) and (18).

^dUses r_p from μ H (20) as input.

POLARIZABILITY EFFECT FROM BChPT

- Low-Q region is very important!
- LO BChPT result is compatible with zero
 - Contributions from σ_{LT} and σ_{TT} are sizeable and largely cancel each other



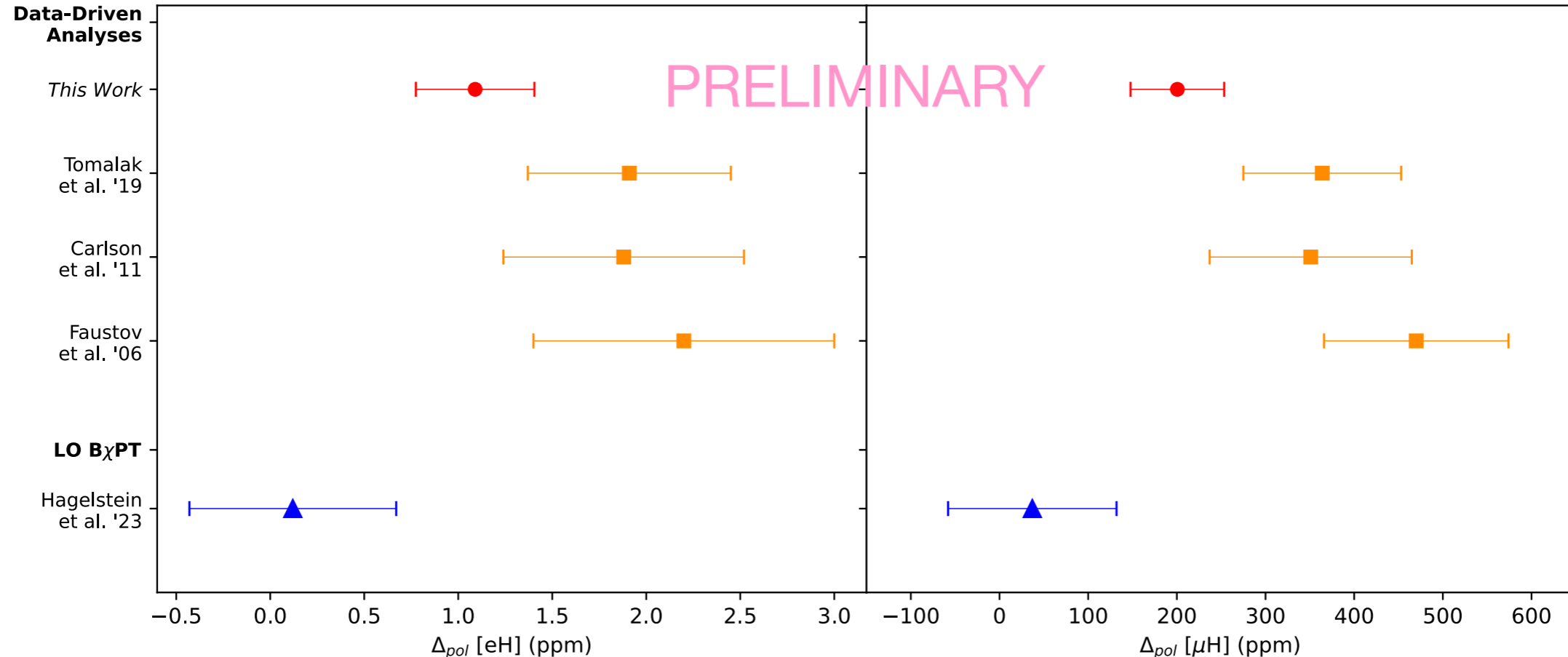
POLARIZABILITY EFFECT IN HFS

- Polarizability effect on the HFS is completely **constrained by empirical information**

$$\Delta_{\text{pol.}} = \Delta_1 + \Delta_2 = \frac{\alpha m}{2\pi(1 + \kappa)M} (\delta_1 + \delta_2)$$

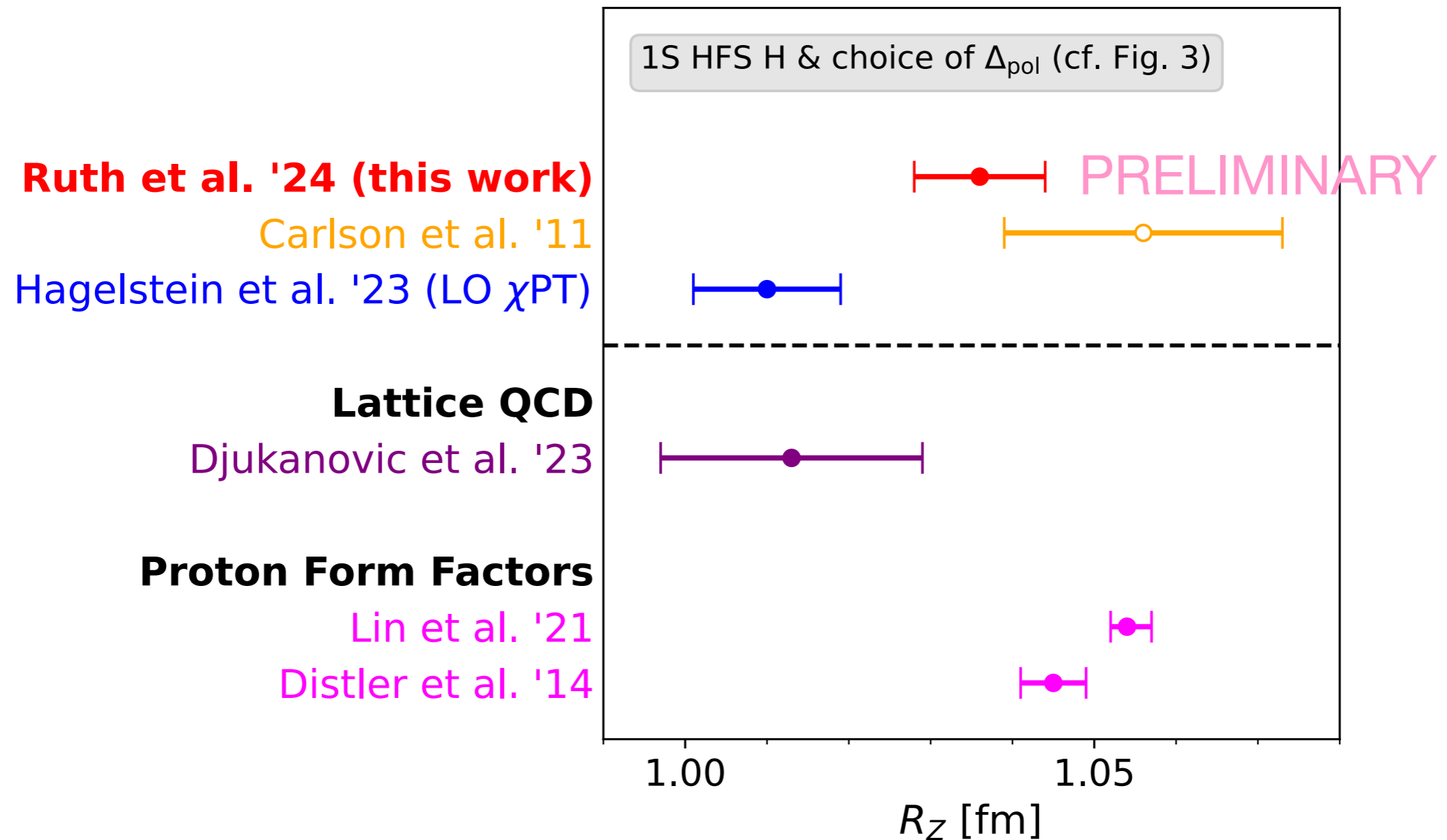
$$\delta_1 = 2 \int_0^\infty \frac{dQ}{Q} \left\{ \frac{5 + 4v_l}{(v_l + 1)^2} [4I_1(Q^2) + F_2^2(Q^2)] - \frac{32M^4}{Q^4} \int_0^{x_0} dx x^2 g_1(x, Q^2) \frac{1}{(v_l + v_x)(1 + v_x)(1 + v_l)} \left(4 + \frac{1}{1 + v_x} + \frac{1}{v_l + 1} \right) \right\}$$

$$\delta_2 = 96M^2 \int_0^\infty \frac{dQ}{Q^3} \int_0^{x_0} dx g_2(x, Q^2) \left(\frac{1}{v_l + v_x} - \frac{1}{v_l + 1} \right) \quad \text{with } v_l = \sqrt{1 + \frac{1}{\tau_l}}, v_x = \sqrt{1 + x^2 \tau^{-1}}, \tau_l = \frac{Q^2}{4m^2} \text{ and } \tau = \frac{Q^2}{4M^2}$$

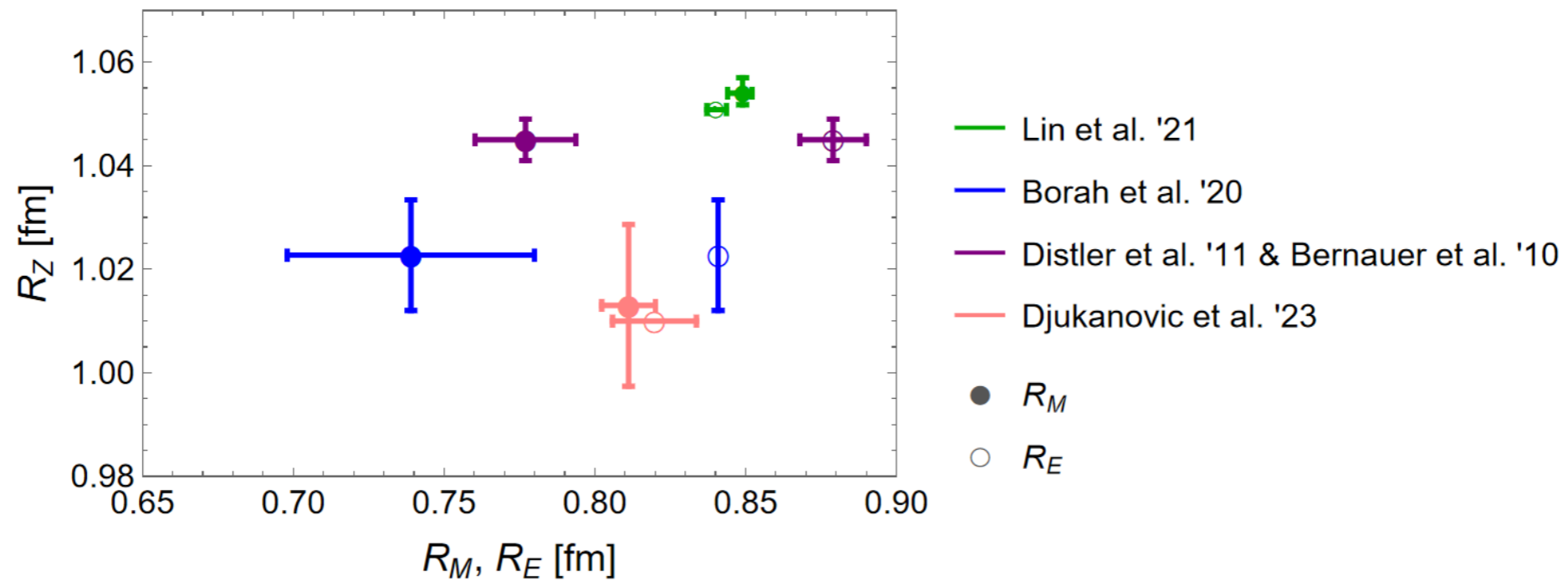
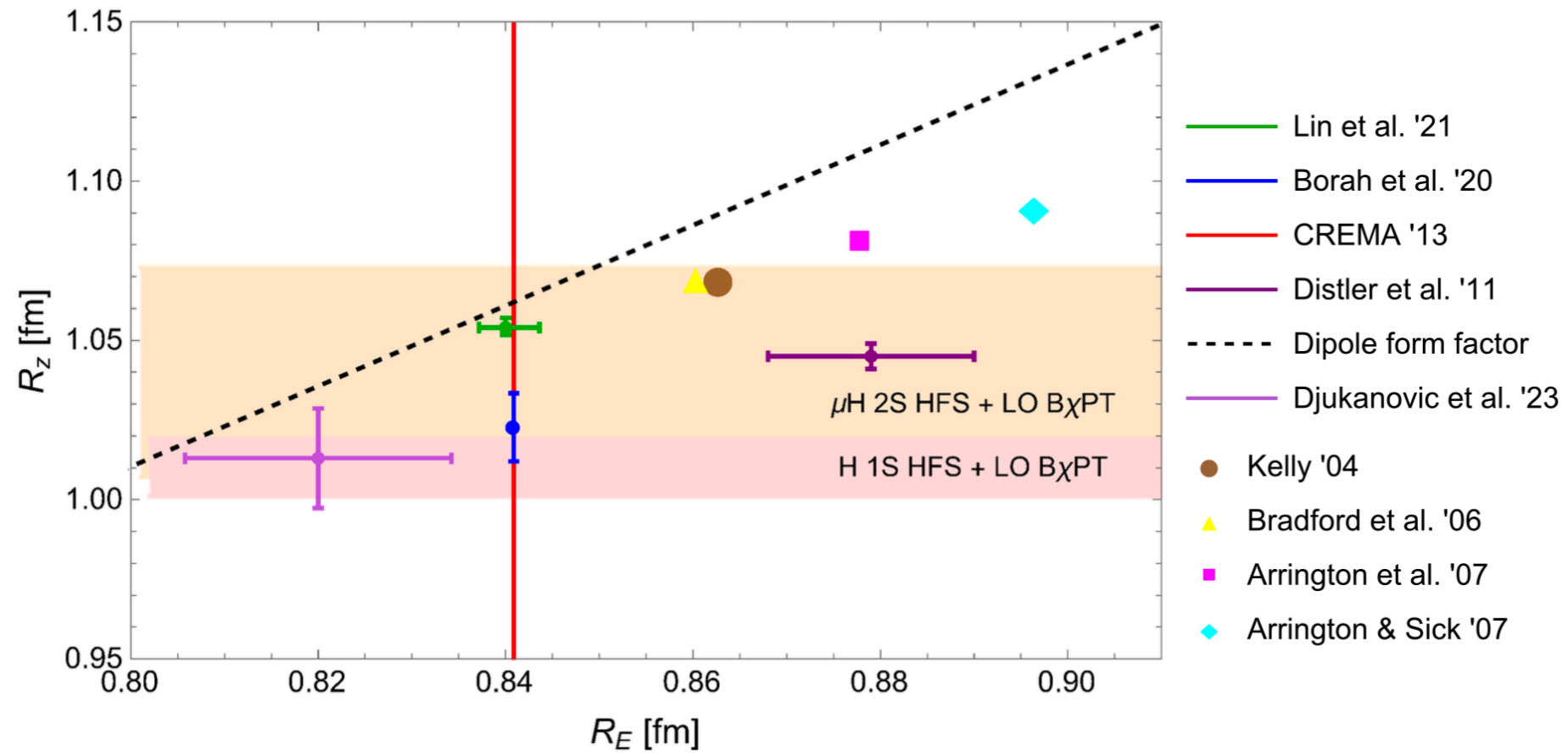


PROTON ZEMACH RADIUS

- BChPT polarizability prediction implies smaller **Zemach radius** (smaller, just like r_p)



CORRELATION OF PROTON RADII



HYPERFINE SPLITTING

Theory: QED, ChPT, data-driven dispersion relations, ab-initio few-nucleon theories

Experiment: HFS in μH , μHe^+ , ...

Guiding the exp.

find narrow 1S HFS transitions with the help of full theory predictions: QED, weak, finite size, polarizability

Interpreting the exp.

extract E^{TPE} , $E^{\text{pol.}}$ or R_Z

Input for data-driven evaluations

form factors, structure functions, polarizabilities

Electron and Compton Scattering

Testing the theory

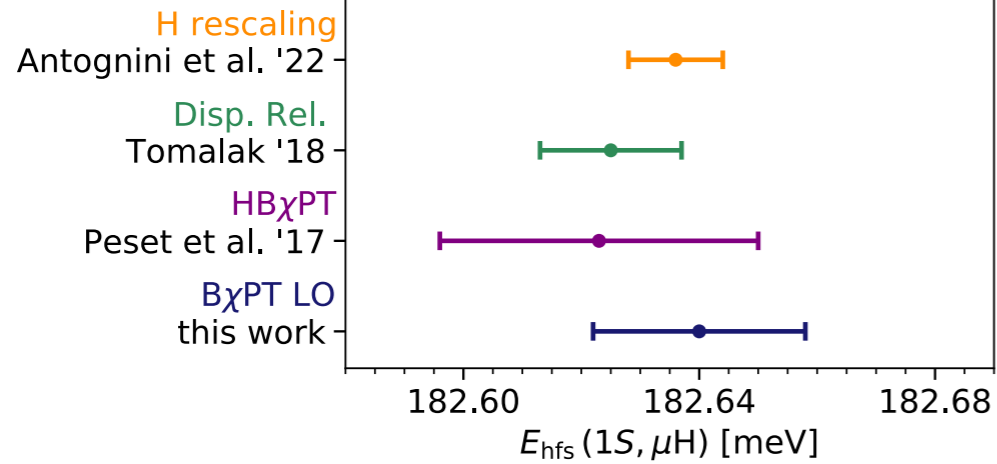
- ▶ discriminate between theory predictions for polarizability effect
 - disentangle R_Z & polarizability effect by combining HFS in H & μH
- ▶ test HFS theory
 - combining HFS in H & μH with theory prediction for polarizability effect
- ▶ test nuclear theories

Determine fundamental constants

Zemach radius R_Z

Spectroscopy of ordinary atoms (H, He^+)

SPLITTING



0.16 meV (40 GHz) search range

Predictions for the IS HFS in μH are driven by the IS HFS in H
 A. Antognini, FH, V. Pascalutsa, Ann. Rev. Nucl. Part. **72** (2022)

Experiment: HFS in μH , μHe^+ , ...

Testing the theory

Guiding the exp.

find narrow 1S HFS transitions with the help of full theory predictions: QED, weak, finite size, polarizability

Interpreting the exp.

extract E^{TPE} , $E^{\text{pol.}}$ or R_Z

Input for data-driven evaluations

form factors, structure functions, polarizabilities

Electron and Compton Scattering

contribute to the discrepancy between theory predictions for polarizability effect

- disentangle R_Z & polarizability effect by combining HFS in H & μH
- ▶ test HFS theory
- combining HFS in H & μH with theory prediction for polarizability effect
- ▶ test nuclear theories

Spectroscopy of ordinary atoms (H, He^+)

Determine fundamental constants

Zemach radius R_Z

Join us this afternoon
and Saturday morning !

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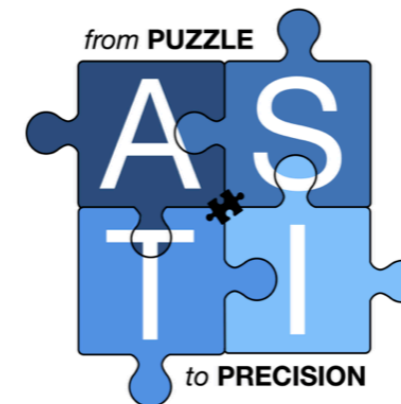
Muonic Atom Spectroscopy Theory Initiative



Inspired by the success of the Muon g-2 Theory Initiative we are launching the Muonic Atom Spectroscopy Theory Initiative (μ ASTI).

The initiative aims to support the experimental effort on the spectroscopy of light muonic atoms by improving the Standard Model theory predictions for the Lamb shift and hyperfine splitting in muonic hydrogen, deuterium, and helium, in order to match the anticipated accuracy of future measurements. An initial focus will be on the ground state hyperfine splitting in muonic hydrogen.

The **upcoming kick-off event** for the Theory Initiative is organized as a joint meeting with the Proton Radius European Network (PREN) at the Johannes Gutenberg University Mainz (June 26-30, 2023).



Homepage and mailing list → <https://asti.uni-mainz.de>



Thank you for your attention!

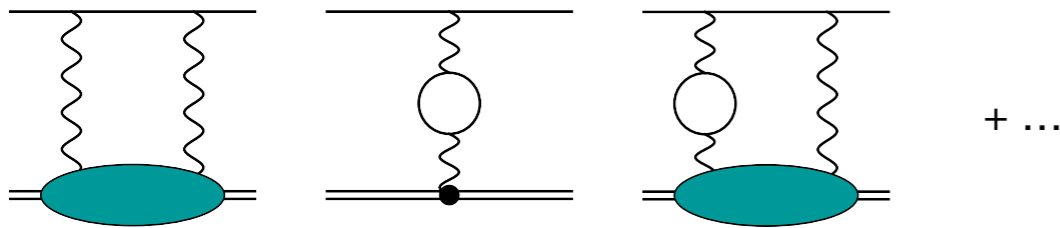
THEORY OF HYPERFINE SPLITTING

A. Antognini, FH, V. Pascalutsa, Ann. Rev. Nucl. Part. **72** (2022) 389-418

The hyperfine splitting of μH (theory update):

$$E_{1S\text{-hfs}} = \left[\underbrace{182.443}_{E_F} + \underbrace{1.350(7)}_{\text{QED+weak}} + \underbrace{+0.004}_{\text{hVP}} - \underbrace{1.30653(17) \left(\frac{r_{Zp}}{\text{fm}} \right) + E_F \left(1.01656(4) \Delta_{\text{recoil}} + 1.00402 \Delta_{\text{pol}} \right)}_{2\gamma \text{ incl. radiative corr.}} \right] \text{meV}$$

- 2γ + radiative corrections \Rightarrow differ for H vs. μH and 1S vs. 2S



The hyperfine splitting of H (theory update):

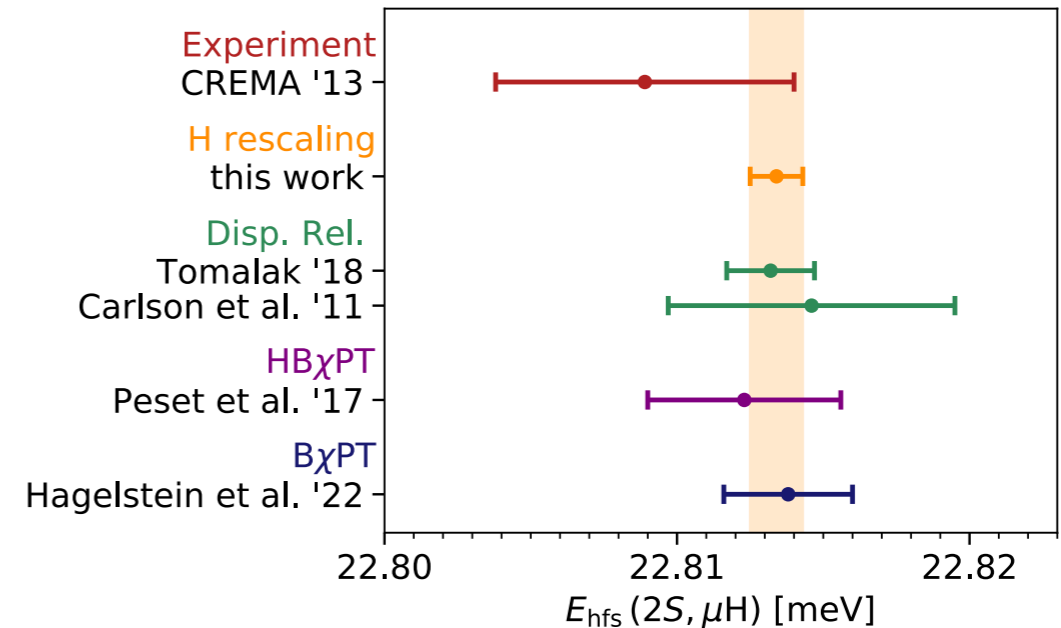
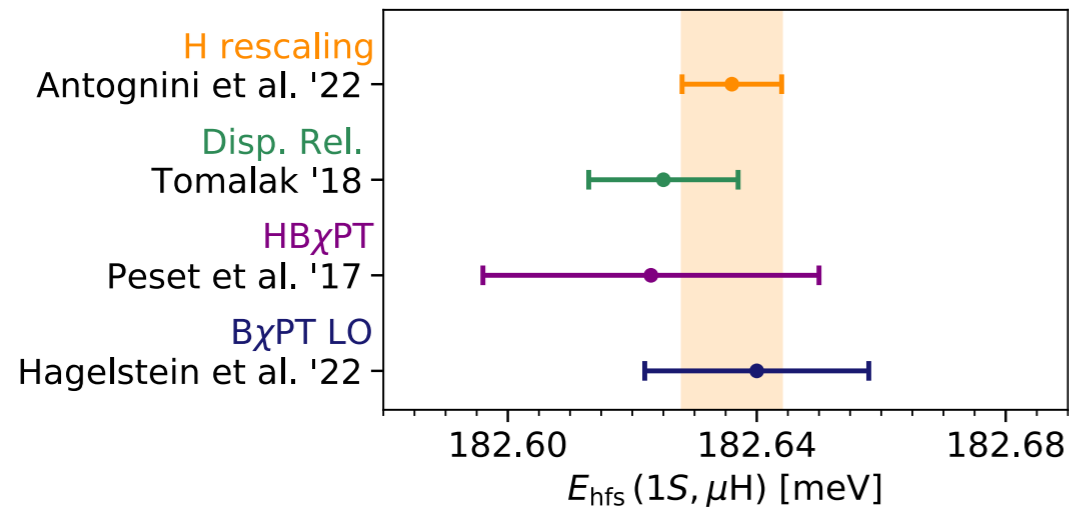
$$E_{1S\text{-hfs}}(\text{H}) = \left[\underbrace{1418840.082(9)}_{E_F} + \underbrace{1612.673(3)}_{\text{QED+weak}} + \underbrace{+0.274}_{\mu\text{VP}} + \underbrace{+0.077}_{\text{hVP}} - \underbrace{54.430(7) \left(\frac{r_{Zp}}{\text{fm}} \right) + E_F \left(0.99807(13) \Delta_{\text{recoil}} + 1.00002 \Delta_{\text{pol}} \right)}_{2\gamma \text{ incl. radiative corr.}} \right] \text{kHz}$$

High-precision measurement of the “21 cm line” in H:

$$\delta \left(E_{1S\text{-hfs}}^{\text{exp.}}(\text{H}) \right) = 10 \times 10^{-13}$$

Hellwig et al., 1970

IMPACT OF H IS HFS



- Leverage radiative corrections $E_{1S-hfs}^{Z+pol}(H) = E_F(H) \left[b_{1S}(H) \Delta_Z(H) + c_{1S}(H) \Delta_{pol}(H) \right] = -54.900(71) \text{ kHz}$ and assume the non-recoil $\mathcal{O}(\alpha^5)$ effects have simple scaling $\frac{\Delta_i(H)}{m_r(H)} = \frac{\Delta_i(\mu H)}{m_r(\mu H)}$, $i = Z, pol$

1. Prediction for μH HFS from empirical IS HFS in H

$$E_{nS-hfs}^{Z+pol}(\mu H) = \frac{E_F(\mu H) m_r(\mu H) b_{nS}(\mu H)}{n^3 E_F(H) m_r(H) b_{1S}(H)} E_{1S-hfs}^{Z+pol}(H) - \frac{E_F(\mu H)}{n^3} \Delta_{pol}(\mu H) \left[c_{1S}(H) \frac{b_{nS}(\mu H)}{b_{1S}(H)} - c_{nS}(\mu H) \right]$$

$= -6 \times 10^{-5}$ for $n = 1$ $= -5 \times 10^{-5}$ for $n = 2$

2. Disentangle Zemach radius and polarizability contribution

3. Testing the theory