

Real-time track reconstruction with FPGAs in LHCb Scintillating Fibre Tracker beyond Run 3

Ao Xu et al.

on behalf of the LHCb Collaboration

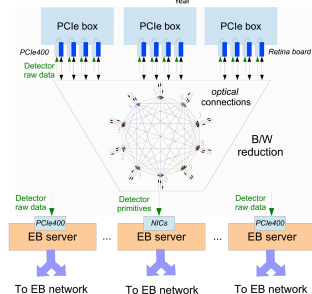
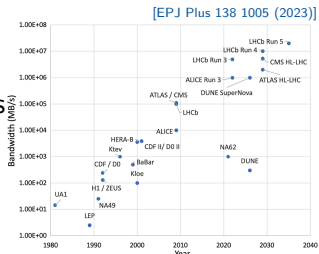
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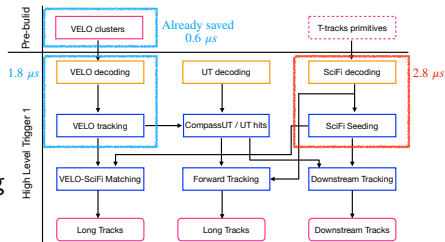
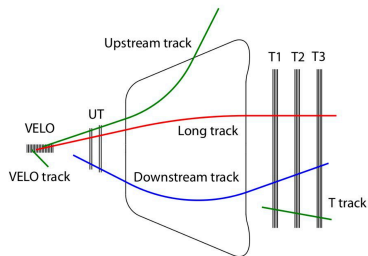
Motivation

- LHCb: largest throughput in HEP
- High memory-access tasks more efficiently performed in dedicated devices
 - Envision a system where pattern recognition is performed within readout
 - Kick off High Level Trigger with **pre-reconstructed primitives** (array of aligned hits)
 - Free HLT for higher-level tasks
- **FPGA** most suitable technology
 - Sufficient programmable logic
 - High bandwidth
 - Can be more energy-efficient



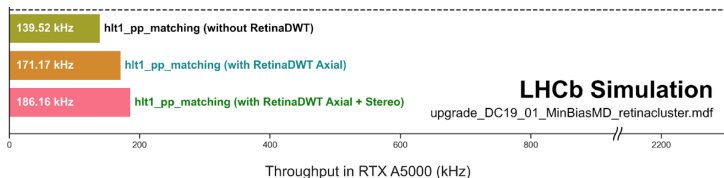
LHCb Upgrade tracking

- Current HLT1 reconstructions focus on **Long tracks**
 - Run 2 based on Forward tracking
 - Run 3 benefits also from Matching
- Add **Downstream tracks** to HLT1
 - Expand the LHCb physics program
 - See previous talk [v. Svintozelskyi]
- **DoWnstream Tracker** will provide HLT1 with pre-formed **T-track primitives** in FPGA
 - Make room for Downstream tracking and other desirable enhancements



Throughput gain with DoWnstream Tracker

■ HLT1 sequence hlt1_pp_matching



■ Default sequence

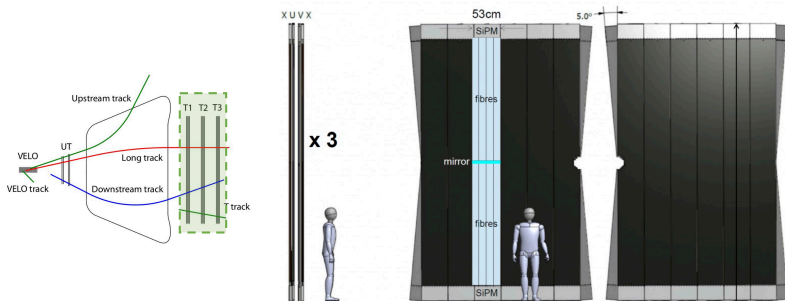
- Total (T-track reconstruction): 7.2 μs (1.5 μs)

■ With T-track primitives from DWT

- Total (Primitives decoding and refitting): 5.4 μs (0.06 μs)

■ Throughput increased by a factor of **1.33**

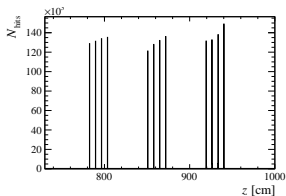
- **Three** tracking stations: T1, T2, T3
- Each consists of **four** detection planes: oriented ($0^\circ, +5^\circ, -5^\circ, 0^\circ$)
 - Modules have 2.5 m long scintillating fibres with a diameter of $250\ \mu\text{m}$ read out by SiPMs
 - Measurements of the co-ordinates (x, u, v, x)



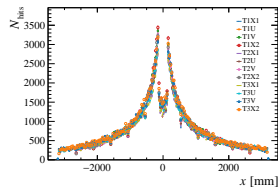
Simulation infrastructure for physics performance

■ LHCb Upgrade simulation

- Default Run 3 and 4 condition: $E = 7 \text{ TeV}$, bunch 25 ns , $\nu = 7.6$
- Samples: Minimum Bias, $D^0 \rightarrow K_S^0 \pi^+ \pi^-$, $B_s^0 \rightarrow \phi \phi$



Hit distribution z

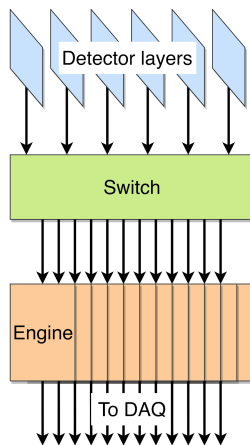


Hit distribution x

■ DoWnstream Tracker emulator

- C++ software emulator of an FPGA-based system for reconstruction of T-track primitives
- Use integers to emulate the firmware implementation at **bit-level**

- Architecture for real-time reconstruction by **extreme parallelism** and **high connectivity**
 - Computation similar to Hough transform
- Data flow
 - Input from detector and data preparation
 - Distribution network
 - ▶ Switch: routes hits only to appropriate cells using lookup tables
 - ▶ Optical communication: exchanges hits between boards
 - Cell engine and max-finder
 - Primitive tracks are forwarded to the Event Builder



- Emulate in detail the same steps of the hardware system

1. Axial (x - z plane) track parametrisation

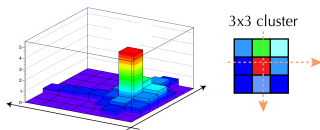
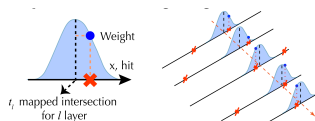
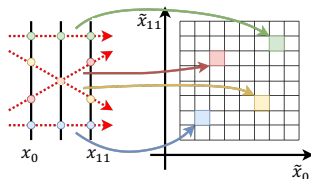
- $(\tilde{x}_0, \tilde{x}_{11})$: x -coordinates at the **first** and **last** SciFi layer
- # of pattern cells for SciFi: **2×73k**

2. Weight accumulation

- $w = \sum_{hits} \exp\left(-\frac{(x_l - t_l)^2}{2\sigma}\right)$
for $|x_l - t_l| < d_s$

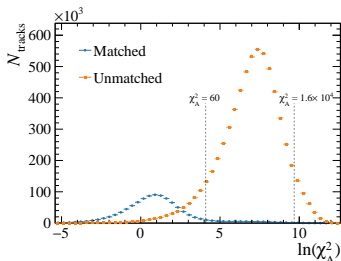
3. Identification of local maxima (axial track primitives)

- Maximum** above **threshold** in the centered 3×3 cluster

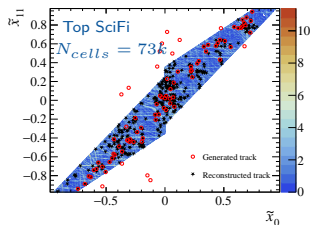


Ghost removal with axial track fit

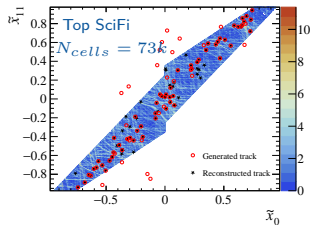
- Linearised χ^2 fit for false maxima removal
- Parabolic model with cubic correction [1, 2]
$$x(z) = a_x + b_x \times z + c_x \times z^2 \times (1 + \text{dRatio} \times z)$$
- For each local maximum determine the best fit over combinations of
 - 5 different axial layers out of 6
 - 1 out of 2 candidate hits on each layer



Before χ_A^2 requirement



After $\chi_A^2 < 60$



- Emulate in detail the same steps of the hardware system

1. Stereo (y - z plane) track parametrisation

- ▶ \tilde{y} : y -coordinate at the middle of SciFi
- ▶ # of bins per axial track: 45

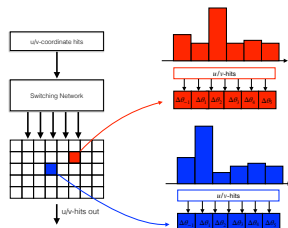
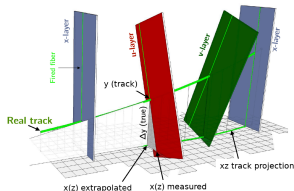
2. u/v hits distribution

- ▶ Good axial track candidate \longleftrightarrow
Binned parametric space

$$x_{\text{pred},u/v} \xrightarrow{x_{\text{pred},u/v} - y \times \tan \alpha} x_{\text{meas},u/v}$$

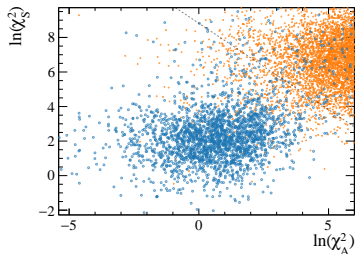
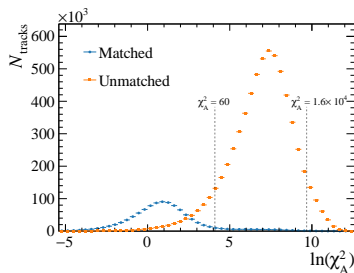
3. Identification of local maxima (stereo track primitives)

- ▶ **Maximum** above **threshold** in 1D histogram



Ghost removal with stereo track fit

- Linearised χ^2 fit for false maxima removal
- Straight line: $y(z) = a_y + b_y \times z$
- For each local maximum determine best fit over combinations of
 - 5 different stereo layers out of 6
 - 1 out of all candidate hits on each layer
- 3D track primitives filtered with (χ_A^2, χ_S^2) requirement
 - Linear cut for illustration of performance



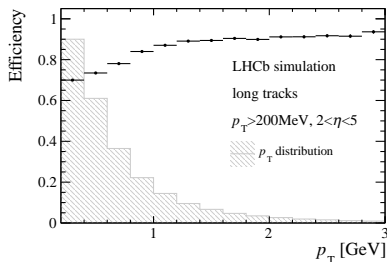
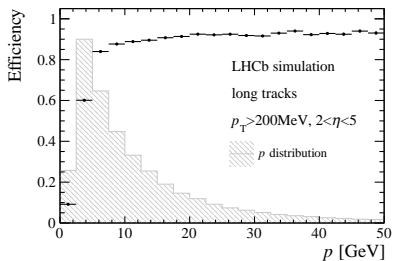
Physics tracking performance of T-track primitives

- Fiducial requirements: $p_T > 200 \text{ MeV}$ and $2 < \eta < 5$
- Efficiencies **comparable** with GPU-HLT1 and CPU-HLT2 Seeding
 - Higher efficiencies could be achieved with looser χ^2 requirements
- Ghost rate is **under control**
 - As a reference: below 15% (6%) for GPU-HLT1 tracking

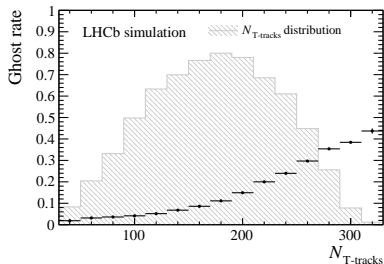
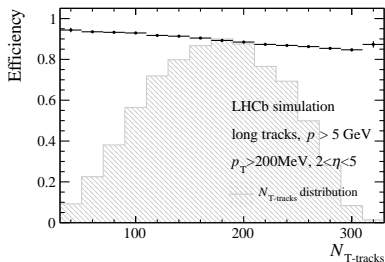
Track type	MinBias	$D^0 \rightarrow K_S^0 \pi^+ \pi^-$	$B_s^0 \rightarrow \phi \phi$
Long, $p > 3 \text{ GeV}/c$	85 (86)	83 (84)	84 (85)
Long, $p > 5 \text{ GeV}/c$	90 (91)	89 (90)	89 (89)
Long from B not e^\pm , $p > 3 \text{ GeV}/c$	-	-	88 (87)
Long from B not e^\pm , $p > 5 \text{ GeV}/c$	-	-	90 (90)
Down, $p > 3 \text{ GeV}/c$	84 (85)	83 (84)	83 (84)
Down, $p > 5 \text{ GeV}/c$	89 (91)	88 (89)	88 (89)
Down from strange not e^\pm , $p > 3 \text{ GeV}/c$	-	83 (83)	-
Down from strange not e^\pm , $p > 5 \text{ GeV}/c$	-	88 (88)	-
Down from strange not long not e^\pm , $p > 3 \text{ GeV}/c$	-	83 (83)	-
Down from strange not long not e^\pm , $p > 5 \text{ GeV}/c$	-	88 (89)	-
ghost rate	16 (10)	17 (12)	17 (13)
ghost per real track	0.2 (0.1)	0.2 (0.1)	0.2 (0.1)

Event-averaged values shown in brackets

- Efficiency above 90% for high-momentum tracks
- Good efficiency for low-momentum ($p < 5$ GeV) tracks
 - Essential for downstream tracks (K_S^0 and Λ)

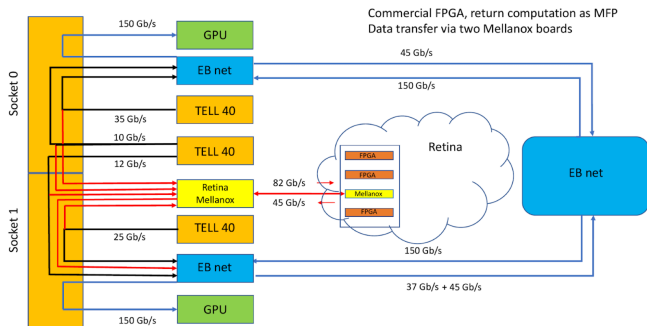


■ Robust scaling with occupancy



Resources and integration in LHCb Run 4 DAQ

- Number of FPGAs: 64 (axial) + 32 (stereo)
- DWT Boxes (up to 6 FPGA each) connected to SciFi EB nodes
- Modular, scalable, and minimal disturbance to current DAQ



Summary and outlook

- **DoWnstream Tracker** is an FPGA-based tracking system running at 30 MHz to reconstruct T-track primitives at pre-build stage
 - Initial study [\[ACAT2019\]](#) and preliminary result [\[CTD2023\]](#)
 - Aim to accelerate Downstream tracking by providing T-track primitives of good quality
 - Good physics performance of T-track primitives can be achieved
- Hardware **demonstrator installed and tested** with live data
 - Reconstruct a quadrant of the VELO detector in real-time
- TDR submitted to LHCC as part of LHCb DAQ Enhancement
 1. Develop the technique for Run 5 and beyond
 2. Bring physics enhancement to Run 4
- Optimisation ongoing with **integration of LHCb trigger system**
 - FPGA-based DWT + GPU-based HLT1 + CPU-based HLT2

BACKUP

Wander Baldini^{1,2}, Giovanni Bassi^{3,4}, Andrea Contu⁵, Riccardo Fantechi³,
Jibo He^{6,7}, Brij Kishor Jashal⁸, Sofia Kotriakhova^{1,9}, Federico Lazzari^{3,10},
Maurizio Martinelli^{11,12}, Diego Mendoza⁸, Michael J. Morello^{3,4},
Arantza De Oyanguren Campos⁸, Lorenzo Pica^{3,4}, Giovanni Punzi^{3,10}, Qi Shi⁶,
Francesco Terzuoli^{3,13}, Giulia Tuci¹⁴, Ao Xu³, Jiahui Zhuo⁸

¹ INFN Sezione di Ferrara, Ferrara, Italy

² European Organization for Nuclear Research (CERN), Geneva, Switzerland

³ INFN Sezione di Pisa, Pisa, Italy

⁴ Scuola Normale Superiore, Pisa, Italy

⁵ INFN Sezione di Cagliari, Monserrato, Italy

⁶ University of Chinese Academy of Sciences, Beijing, China

⁷ Hangzhou Institute for Advanced Study, UCAS, Hangzhou, China

⁸ Instituto de Física Corpuscular, Centro Mixto Universidad de Valencia - CSIC, Valencia, Spain

⁹ Università di Ferrara, Ferrara, Italy

¹⁰ Università di Pisa, Pisa, Italy

¹¹ INFN Sezione di Milano-Bicocca, Milano, Italy

¹² Università di Milano Bicocca, Milano, Italy

¹³ Università di Siena, Siena, Italy

¹⁴ Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany

Physics performance of axial T-track primitives

- Working point set for $\varepsilon = 90\%$ of long tracks with $p > 5$ GeV
 - Number of pattern cells for SciFi: $2 \times 73k$
 - Efficiencies comparable with CPU-HLT2 Hybrid Seeding and GPU-HLT1 Seeding
 - Ghost rate about 35% (25%) \implies 0.5 (0.4) fake track for each real track
 - ▶ For reference 22% of (axial-only) GPU-HLT1

Track type	$\varepsilon(\text{MinBias})$	$\varepsilon(D^0 \rightarrow K_S^0 \pi^+ \pi^-)$	$\varepsilon(B_s^0 \rightarrow \phi \phi)$ [%]
T-track, $p > 3$ GeV	83 (85)	82 (83)	83 (84)
T-track, $p > 5$ GeV	90 (91)	89 (90)	88 (89)
Long, $p > 3$ GeV	86 (87)	84 (85)	85 (86)
Long, $p > 5$ GeV	91 (92)	90 (91)	89 (90)
Long from B not e^\pm , $p > 3$ GeV	-	-	89 (88)
Long from B not e^\pm , $p > 5$ GeV	-	-	92 (91)
Down, $p > 3$ GeV	85 (86)	83 (84)	84 (85)
Down, $p > 5$ GeV	90 (91)	89 (90)	89 (90)
Down from strange not e^\pm , $p > 3$ GeV	-	83 (83)	-
Down from strange not e^\pm , $p > 5$ GeV	-	89 (89)	-
ghost rate [%]	32 (22)	35 (28)	35 (27)
ghost per real track	0.5 (0.3)	0.5 (0.4)	0.5 (0.4)

Event-averaged values are shown in parenthesis

Definition of efficiency and ghost rate

■ Event-integrated quantity

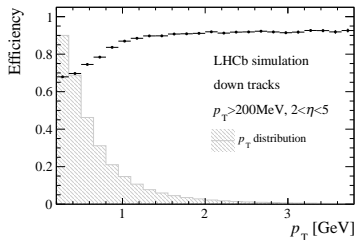
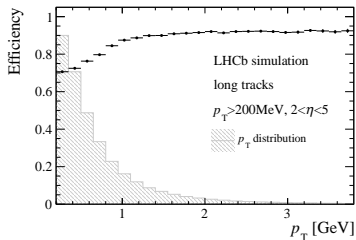
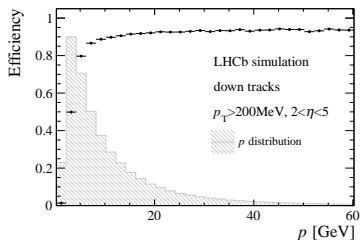
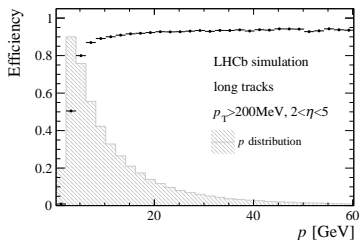
$$\begin{aligned}\varepsilon &\equiv \frac{\sum_i n_{\text{tracks,matched}}^i}{\sum_i n_{\text{tracks,reconstructible}}^i} \\ \text{ghost rate} &\equiv \frac{\sum_i n_{\text{tracks,unmatched}}^i}{\sum_i n_{\text{tracks,reconstructed}}^i} \\ &= \sum_i \frac{n_{\text{tracks,reconstructed}}^i}{\sum_i n_{\text{tracks,reconstructed}}^i} \times \frac{n_{\text{tracks,unmatched}}^i}{n_{\text{tracks,reconstructed}}^i}\end{aligned}$$

■ Event-averaged quantity

$$\begin{aligned}\varepsilon &\equiv \sum_i \frac{1}{N_{\text{evt}}} \times \frac{n_{\text{tracks,matched}}^i}{n_{\text{tracks,reconstructible}}^i} \\ \text{ghost rate} &\equiv \sum_i \frac{1}{N_{\text{evt}}} \times \frac{n_{\text{tracks,unmatched}}^i}{n_{\text{tracks,reconstructed}}^i}\end{aligned}$$

Physics performance (axial): efficiency VS momentum

- Working point set for $\varepsilon = 90\%$ of long tracks with $p > 5$ GeV



Physics performance (axial): efficiency VS η and ϕ

- Working point set for $\varepsilon = 90\%$ of long tracks with $p > 5 \text{ GeV}$

