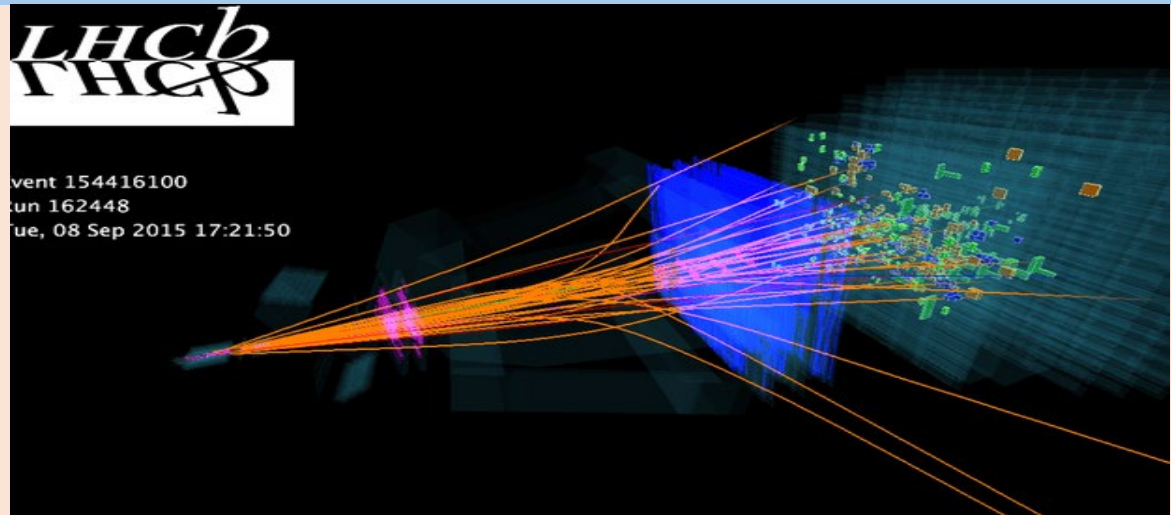
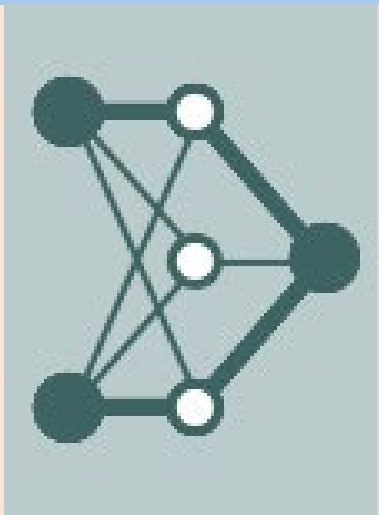




Imperial College  
London



# NEW DEVELOPMENTS AND APPLICATIONS OF A DEEP-LEARNING-BASED FULL EVENT INTERPRETATION (DFEI) IN PROTON-PROTON COLLISIONS



Marta Calvi<sup>1</sup>, Simone Capelli<sup>1</sup>, Jonas Eschle<sup>2</sup>, Julian Garcia Pardinias<sup>1,3</sup>, Abhijit Mathad<sup>3</sup>, Andrea Mauri<sup>4</sup>, Nicola Serra<sup>5</sup>, Rafael Silva Coutinho<sup>2</sup>, Felipe Luan Souza De Almeida<sup>2</sup>, William Sutcliffe<sup>5</sup>, Azusa Uzuki<sup>5</sup>

Univ. degli Study Milano-Bicocca<sup>1</sup>, Syracuse University<sup>2</sup>, CERN<sup>3</sup>, Imperial College<sup>4</sup>, University of Zurich<sup>5</sup>

22<sup>nd</sup> International Workshop on Advanced Computing and Analysis Techniques in Physics Research

# OUTLINE (I)



Introduction:  
DFEI

Performance  
improvements

Applications

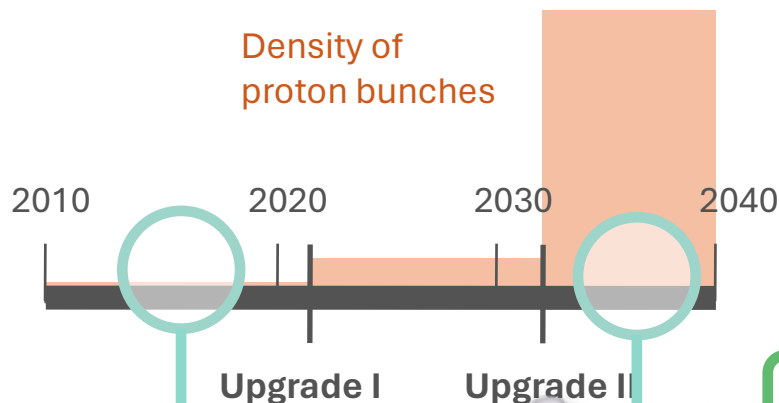
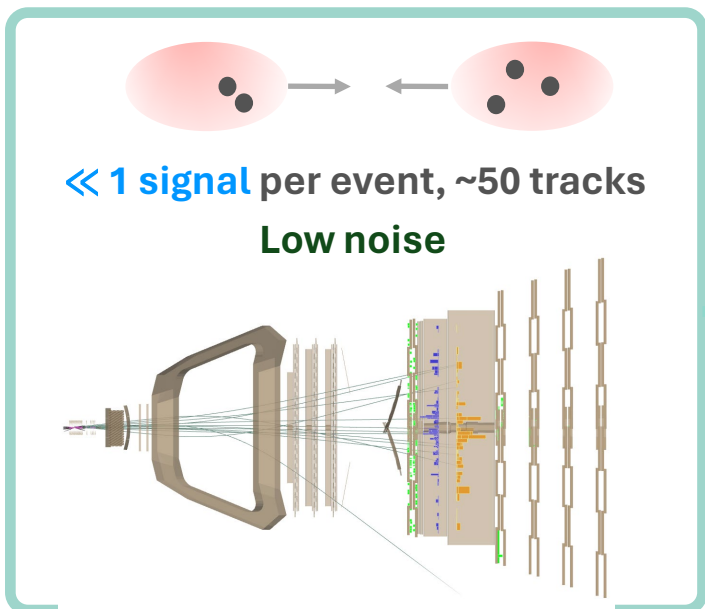
Summary

# INTRODUCTION

Increased particle multiplicities for LHCb Upgrades I and II bring big challenges, both for trigger and offline analysis

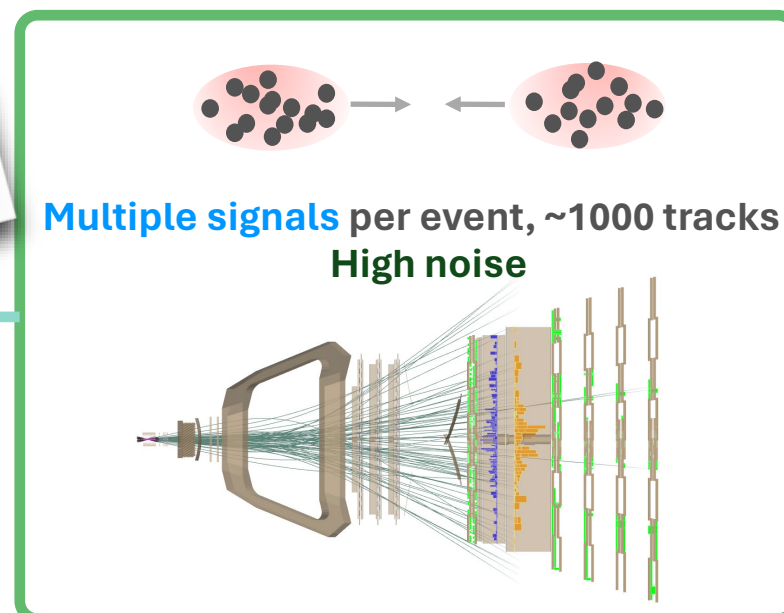
Which events are interesting?

“Signal-centric” trigger strategy



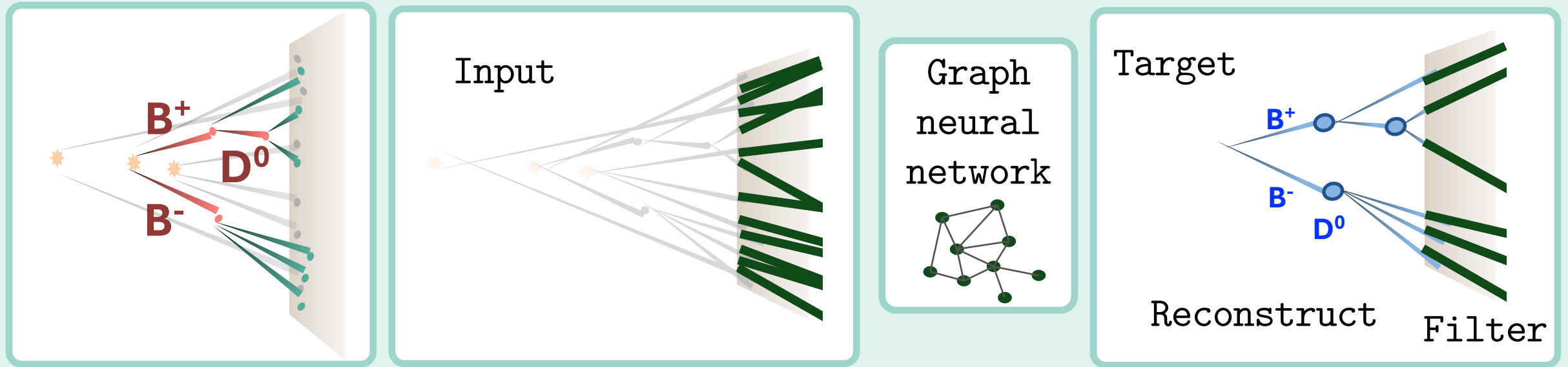
Which parts of the event are interesting?

↓  
New trigger paradigm



**Need for ML**

# DEEP-LEARNING BASED FULL EVENT INTERPRETATION (DFEI)



- **Input features:** charged particles and its measured properties (**nodes**) and their relations (**edges**)
- **Hierarchical, automatized and inclusive** reconstruction of heavy-hadron decay chains
- **Trigger:** Safely discard rest of event, with minimal loss for analyses → **powerful event size reduction in a multi-signal environment**
- **Analysis:** tool for **background classification & suppression**

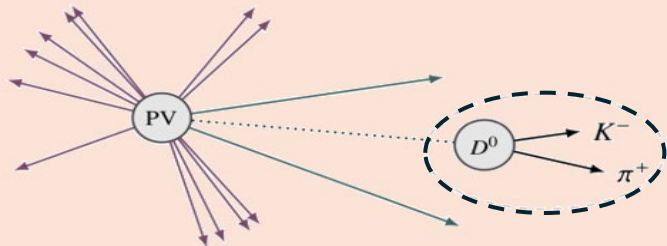
# SIGNAL-BASED TRIGGER VS FEI

## Signal based

The current LHCb trigger is an **OR** between many decay-mode selection lines.

Since Run2, to reduce the event size, some lines store only parts of the event that are related to the specific signal. [[JINST 14 \(2019\) 04, P04006](#)]

E.g.: store the signal + the tracks in the same primary vertex (PV).



## FEI

Reconstruct the **b- and c- hadron decay chains in the event**, in a hierarchical-clustering manner (cluster → unstable particle), and **discard the rest**.

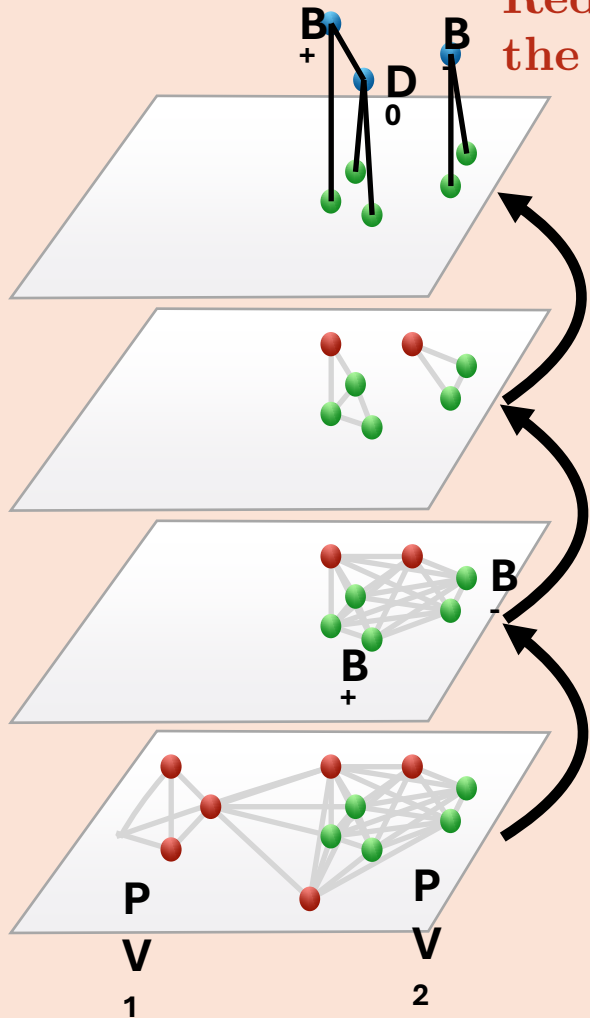
Advantages:

- **Exploit extra correlations** between objects in the event.
- **Bandwidth oriented:** focus on storing as much “useful” information as possible.
- **Case of several signals per event as an integral part of the approach.**
- **Establishment of a basis for an expanded functionality of the trigger:** inclusive selections, study of anomalous events ...

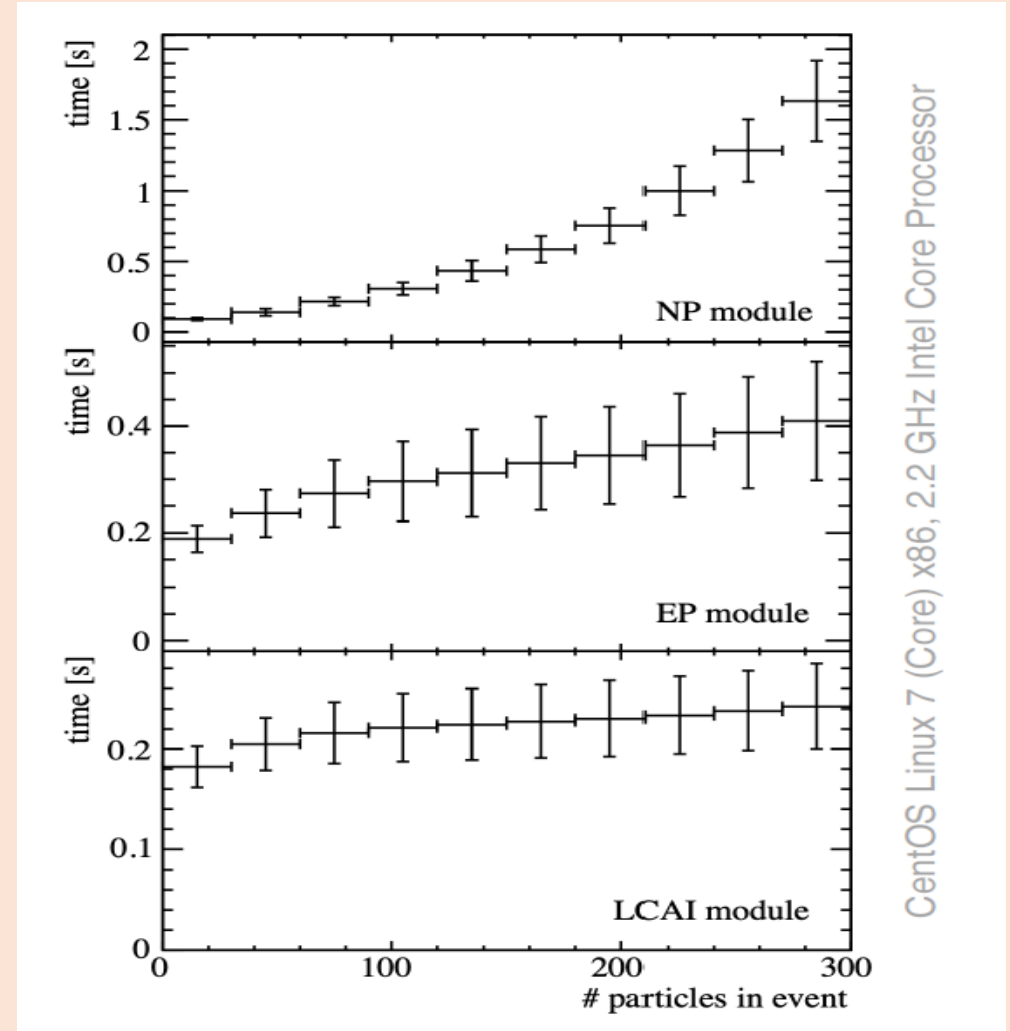
# DFEI

[Comput.Softw.Big Sci. 7 (2023) 1, 12]

Blue: reconstructed ancestors  
Green: particles from a b-hadron  
Red: particles from the rest of the event



1. Node pruning
2. Edge pruning
3. "Lowest common ancestor" inference

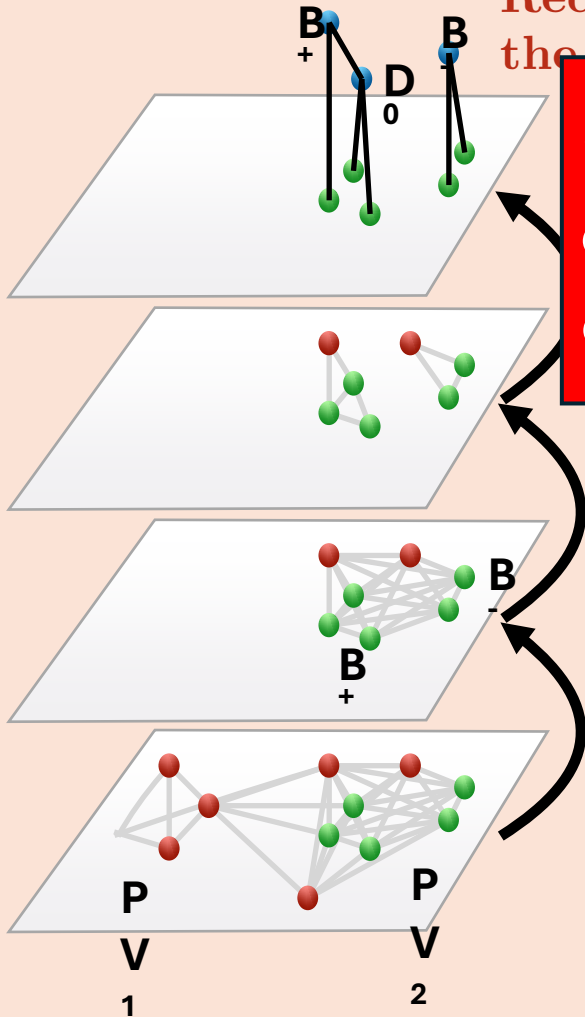


+ about 2 s per event for pre/postprocessing of the multiple modules

# DFEI

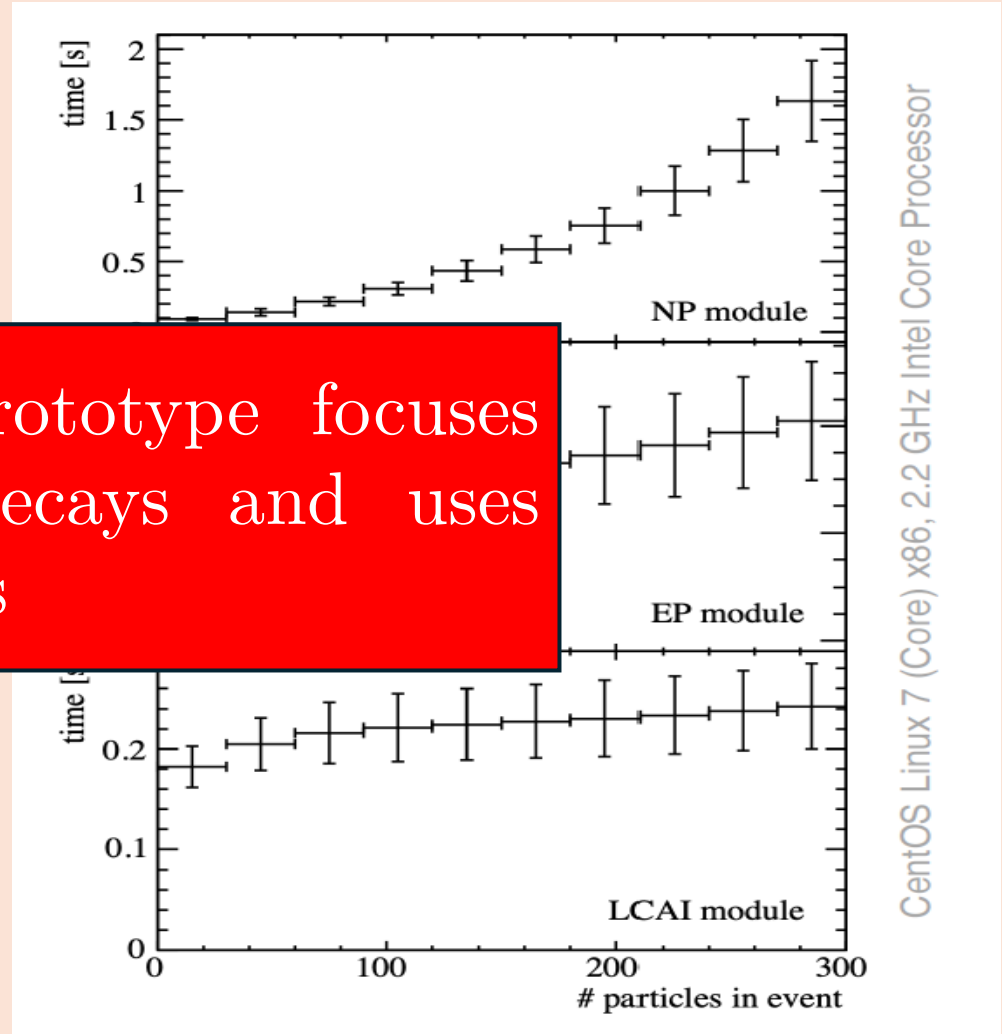
[Comput.Softw.Big Sci. 7 (2023) 1, 12]

Blue: reconstructed ancestors  
Green: particles from a b-hadron  
Red: particles from the rest of the event



Our current DFEI prototype focuses only on b-hadrons decays and uses charged stable particles

1. Node pruning
2. Edge pruning



+ about 2 s per event for pre/postprocessing of the multiple modules

# OUTLINE (II)



Introduction:  
DFEI

Performance  
improvements

Applications

Summary

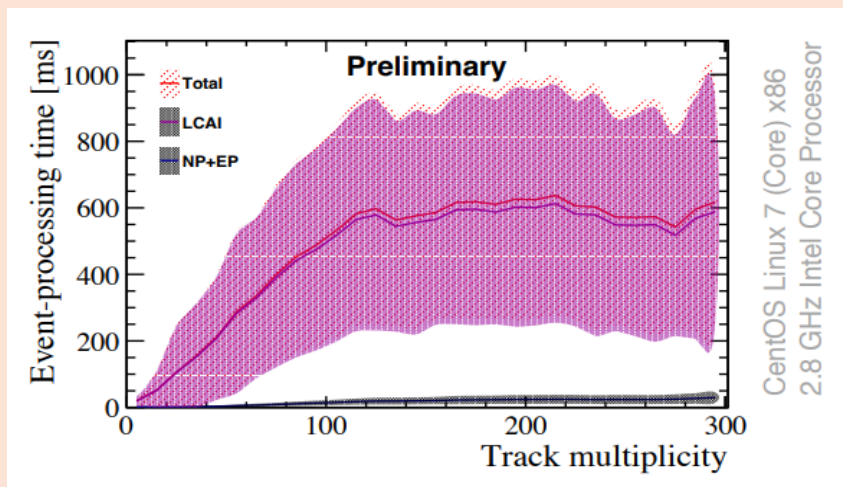


# FIRST SPEED-UP ROUND AND C++ INFERENCE PIPELINE

- [First DFEI prototype](#): quadratic scaling of the inference time with the track multiplicity, overall evaluation time on the order of few seconds per event on CPU. Evaluation pipeline on python with TensorFlow.
- To improve the scaling with the track multiplicity per event, substitute the GNN models of the NP and EP by [CatBoost](#) BDT models:
  - Evaluated independently for each particle/edge, using information from kinematics, topology, PV association, and summary of particle multiplicity in the event.
  - Cut at 99% signal efficiency for nodes/edges.
- Construct a C++ inference pipeline that takes as input the information of the set of all charged stable particles per event.
  - Using the [C API of CatBoost](#) and [TMVA::SOFIE](#) for the LCAI GNN inference

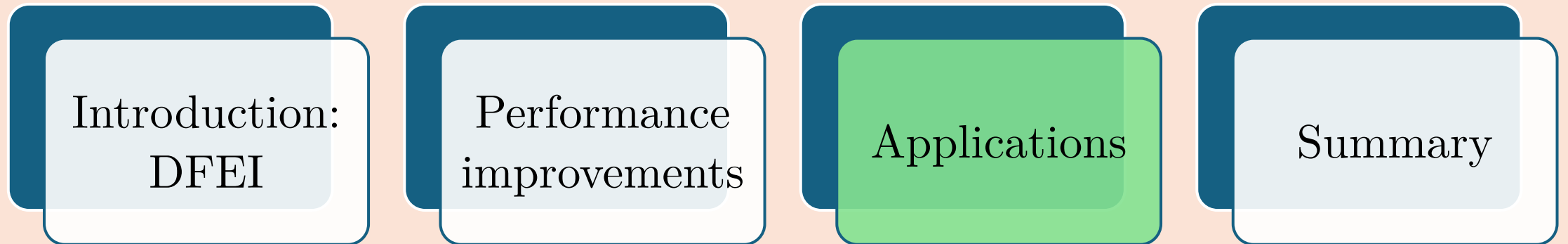
# FIRST SPEED-UP ROUND AND C++ INFERENCE PIPELINE

- [First DFEI prototype](#): quadratic scaling of the inference time with the track multiplicity, overall evaluation time on the order of few seconds per event on CPU. Evaluation pipeline on python with TensorFlow.
- To improve the scaling with the track multiplicity per event, substitute the GNN models of the NP and EP by [CatBoost](#) BDT models:
  - Evaluated independently for each particle/edge, using information from kinematics, topology, PV association, and summary of particle multiplicity in the event.
  - Cut at 99% signal efficiency for nodes/edges.
- Construct a C++ inference pipeline that takes as input the information of the set of all charged stable particles per event.
  - Using the [C API of CatBoost](#) and [TMVA::SOFIE](#) for the LCAI GNN inference



- Time dominated by the LCAI algorithm. **Very good scaling achieved thanks to the fast pre-filtering by the NP and EP.** Significant overall speed up, but final number pending on a hyper-parameter tuning of the LCAI.
- Next: physics performance studies for the new configuration, hyper-parameter tuning.
- In parallel: study of GNN architecture developments to gain further speed-ups.

# OUTLINE (III)



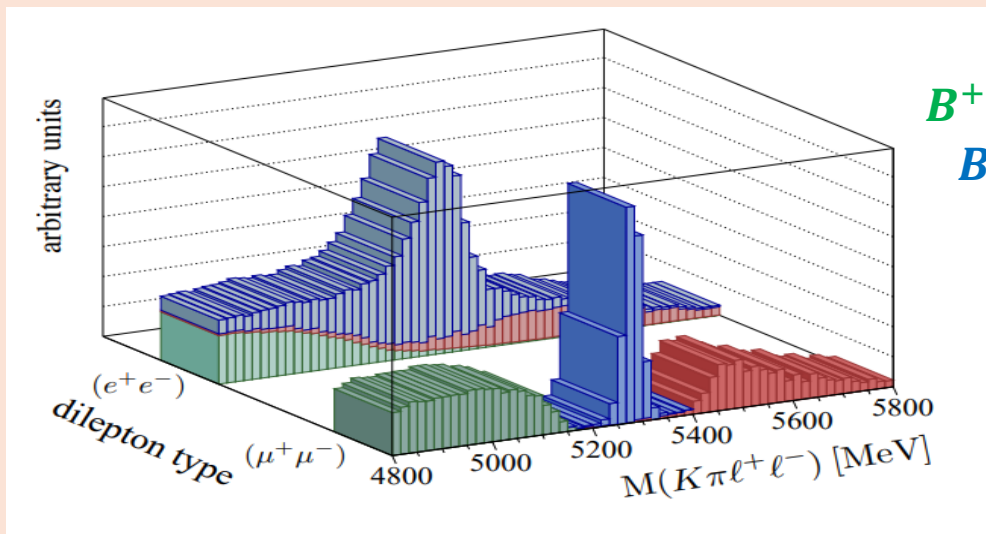
# STANDARD VS DFEI-BASED ANALYSIS

---

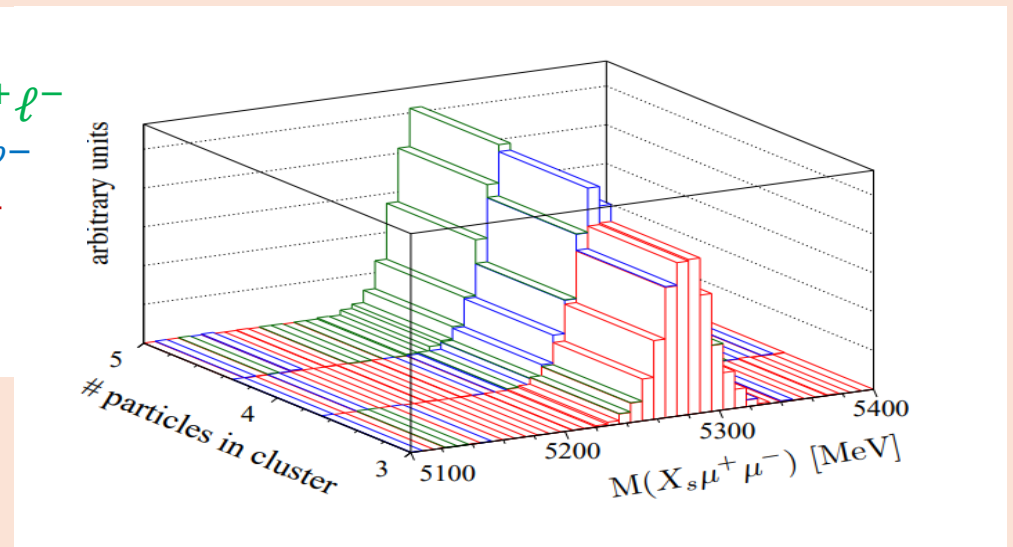
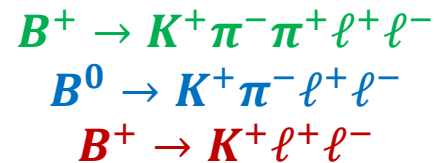
- Standard exclusive analysis: identification of signal decay → fine-tuned selection
  - Possible contamination from similar partially-, over-reconstructed or poorly identified decays
- In DFEI, the algorithm is trained to inclusively identify and reconstruct decay chains originating from a b-hadron → independently of the type of the decay chain or of the number of particles in the final state
  - Partially- and over-reconstructed decays in standard analysis are fully reconstructed in DFEI
  - These contributions are classified in reconstructed clusters of different numbers of particles
  - DFEI seems to mitigate partially- and over-reconstructed background

# EXAMPLE CASE: $B^0 \rightarrow K^+ \pi^- \ell^+ \ell^-$

- Partially- and over-reconstructed backgrounds are challenging to disentangle from the signal in exclusive, conventional analysis  $\rightarrow$  loose of sensitivity
  - Particularly important for electron modes, **impacting  $R_X$  measurements**
- With DFEI, these contributions are fully reconstructed and classified in clusters of different numbers of particles



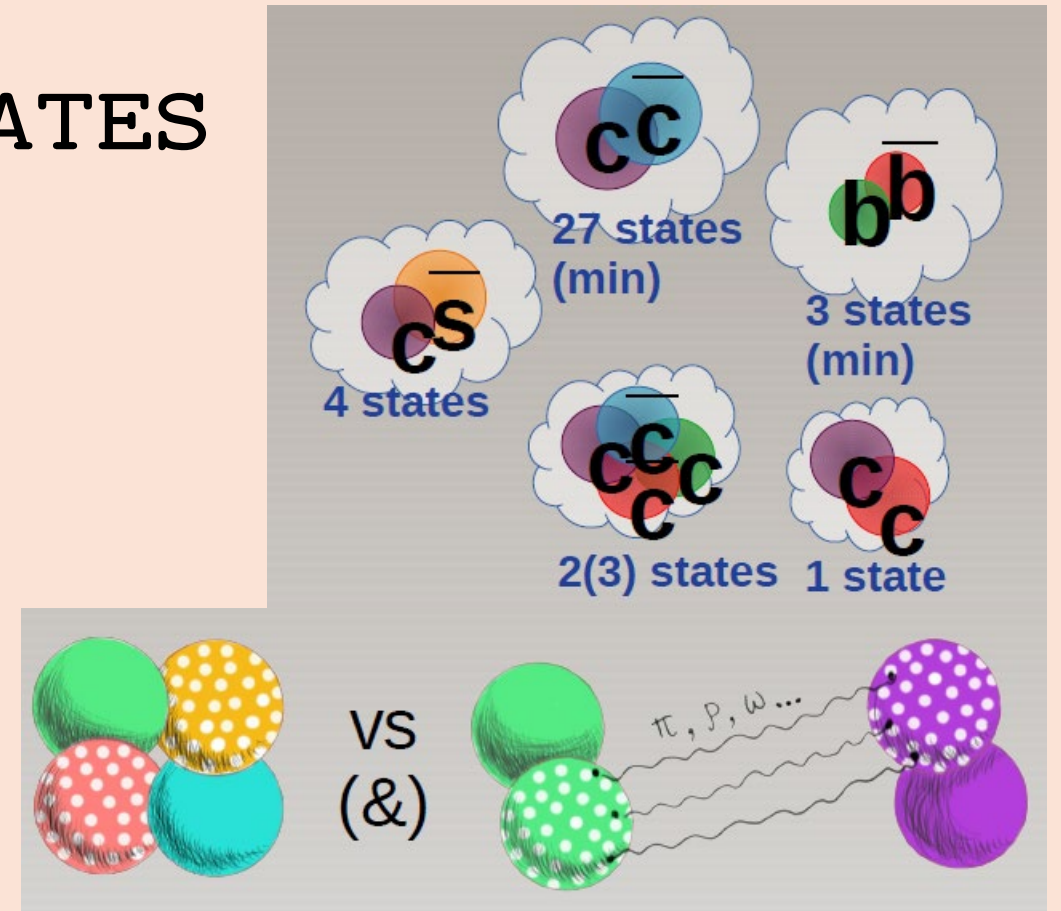
Conventional, exclusive analysis



With DFEI inclusive reconstruction

# SEARCH FOR EXOTIC STATES

- Several states observed ( $>35$  since 2003)
- But: we still don't understand their nature
  - Bound or molecular states?
- Need unambiguous experimental evidence
- Other doubly-heavy states  $[QQ\bar{u}\bar{d}]$ :
  - $T_{bb}$   $[bb][\bar{u}\bar{d}] \rightarrow \sim 10^{-3}$  events in Run3&4
  - $T_{bc}$   $[bc][\bar{u}\bar{d}] \rightarrow$  may be below  $\bar{B}D$  threshold, but opposite expectations in some molecular models



[Karlner, Rosner, 2017](#), [Semay, Silvestre-Brac, 1994](#), [Carames, Vijande, Valcarce, 2019](#), [Meng et al., 2021](#)  
[Li, Sun, Liu, Zhu, 2012](#), [Liu et al., 2019](#), [Hudspith et al., 2020](#)

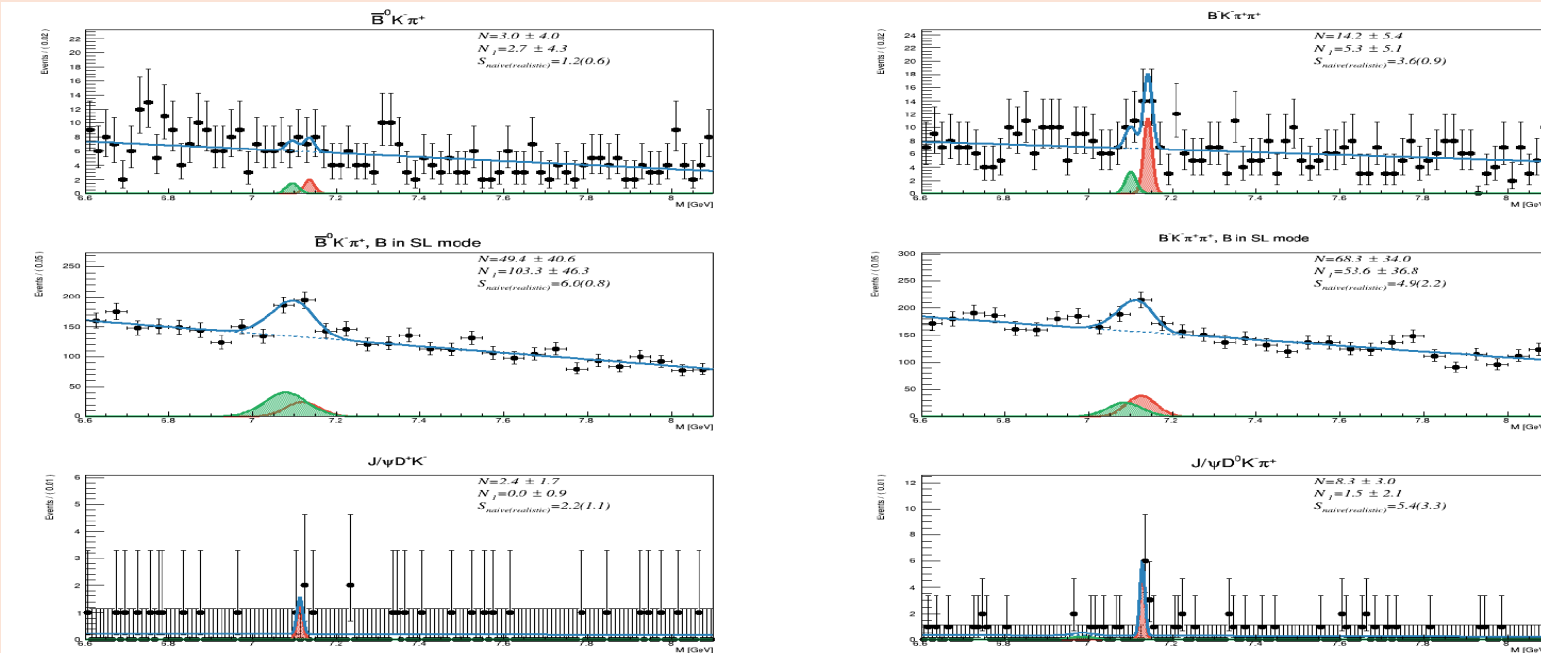
[Search for  \$T\_{bc}\$  – prospects for Run3](#), I. Polyakov,

Hunting for the charming beauty tetraquark  $T_{bc}$ : LHCb meets theory, 5 October 2023, CERN



# SEARCH FOR EXOTIC STATES

- Current ideas for searching for exotic states include **simultaneous analysis** of multiple exclusive decay chains
  - E.g.: the search for the **tetraquark  $T_{bc}$**  could involve the **simultaneous mass fit of 20 to 40 channels!**



decay channel	$\epsilon_{tot} \times \mathcal{B} [10^{-9}]$	Expected yield
fully reconstructed channels		
$D^0 D^0$	7.8	3.1
$D^0 D^+ \pi^-$	9.2	3.7
$D^0 D^0 \pi^+ \pi^-$	3.4	1.4
$D^+ D^+ \pi^- \pi^-$	3.5	1.4
sum		10
$J/\psi D^+ K^-$	2.3	0.9
$J/\psi D^0 K^- \pi^+$	3.1	1.2
sum		2.1
$\bar{B}^0 K^- \pi^+$	32.9	13.2
$B^- K^- \pi^+ \pi^+$	33.6	13.4
$\bar{B}^0 K^- \pi^+ \pi^+ \pi^-$	6.7	2.7
sum		29
$B_{SL}^0 K^- \pi^+$	188	75
$B_{SL}^- K^- \pi^+ \pi^+$	94	38
$\bar{B}_{SL}^0 K^- \pi^+ \pi^+ \pi^-$	61	24
sum		137
$D^0 D^+ \mu^- \nu$	56	22
$D^0 D^0 \pi^+ \mu^- \nu$	43	17
$D^0 D^+ \mu^- + X$	163	65
$D^0 D^0 \mu^- + X$	108	43
sum		147
$\bar{B}^0 K^- \mu^+ \nu$	24	9.5
$B^- K^- \pi^+ \mu^+ \nu$	16	6.5
sum		16
$D^0 K^- \pi^+$	68	27
$D^+ K^-$	134	54
$D^0 \pi^+ \pi^-$	2.5	1
$D^+ \pi^-$	4.9	2
sum		84

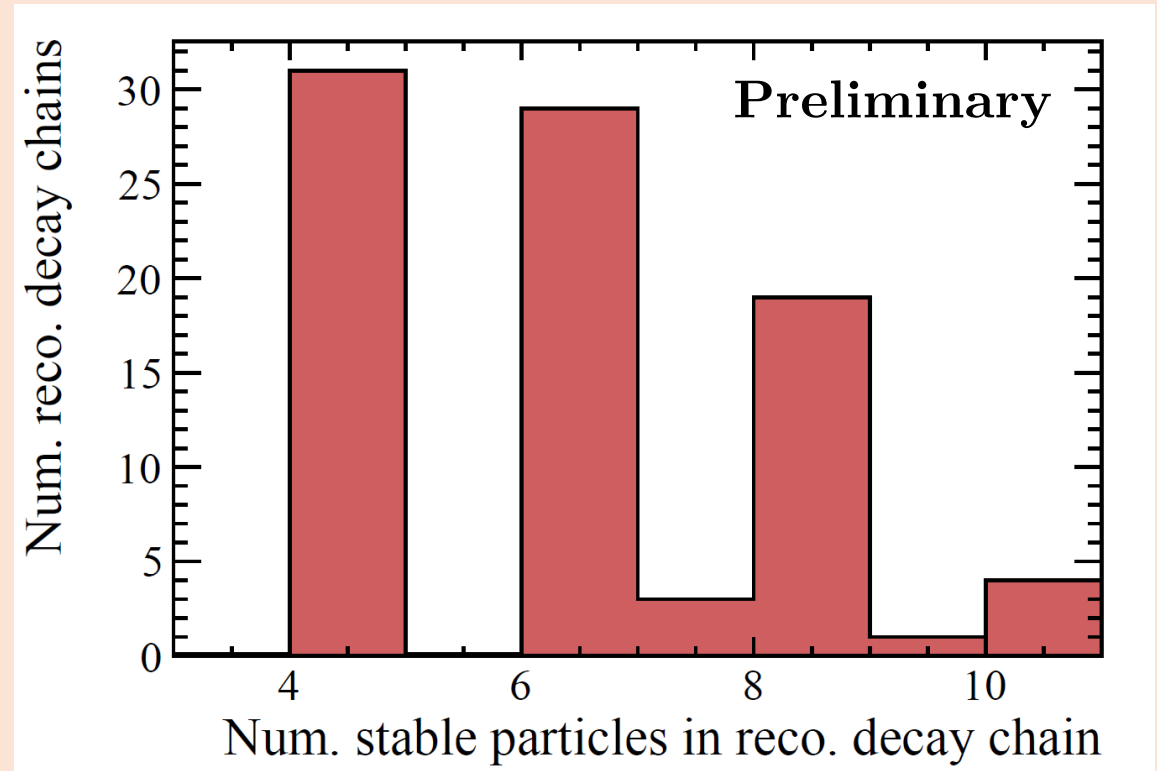
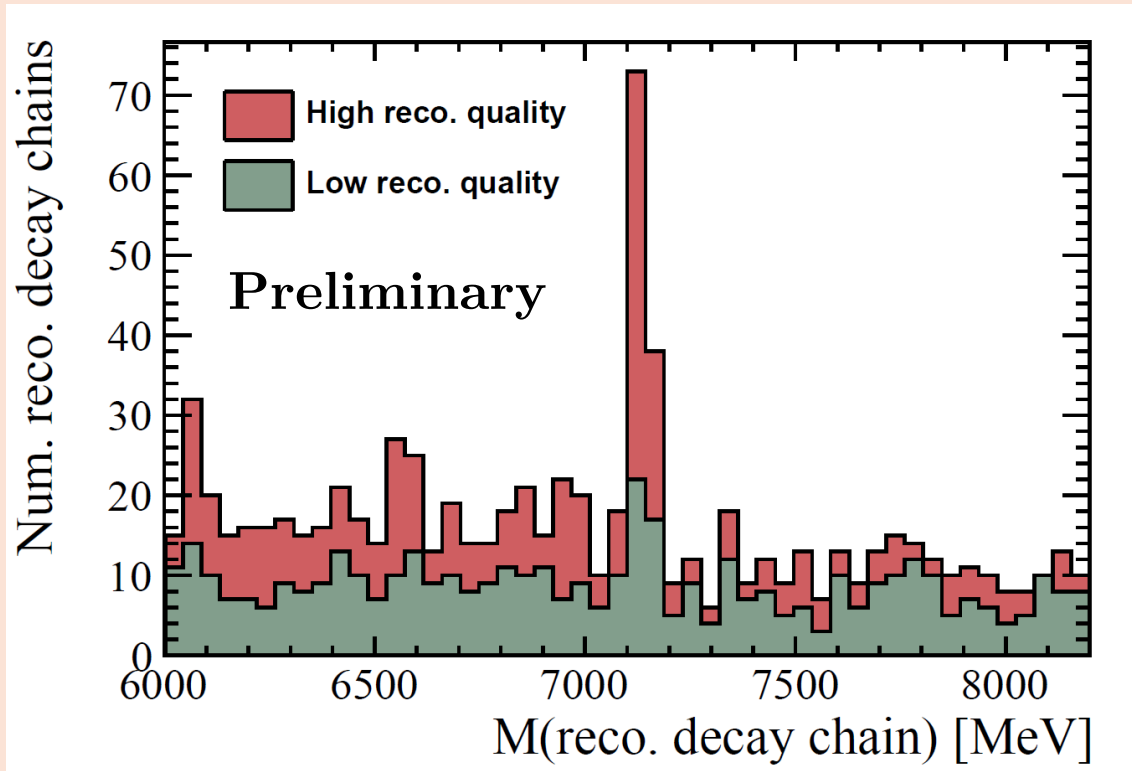
[Search for  \$T\_{bc}\$  – prospects for Run3, I. Polyakov,](#)

Hunting for the charming beauty tetraquark  $T_{bc}$ : LHCb meets theory, 5 October 2023, CERN

# SEARCH FOR EXOTIC STATES

DFEI can simultaneously reconstruct the different decay chains, allowing for a broader and more direct search for exotic states

Simulated sample of several weakly decaying modes of  $T_{bc}$  analyzed simultaneously using DFEI:

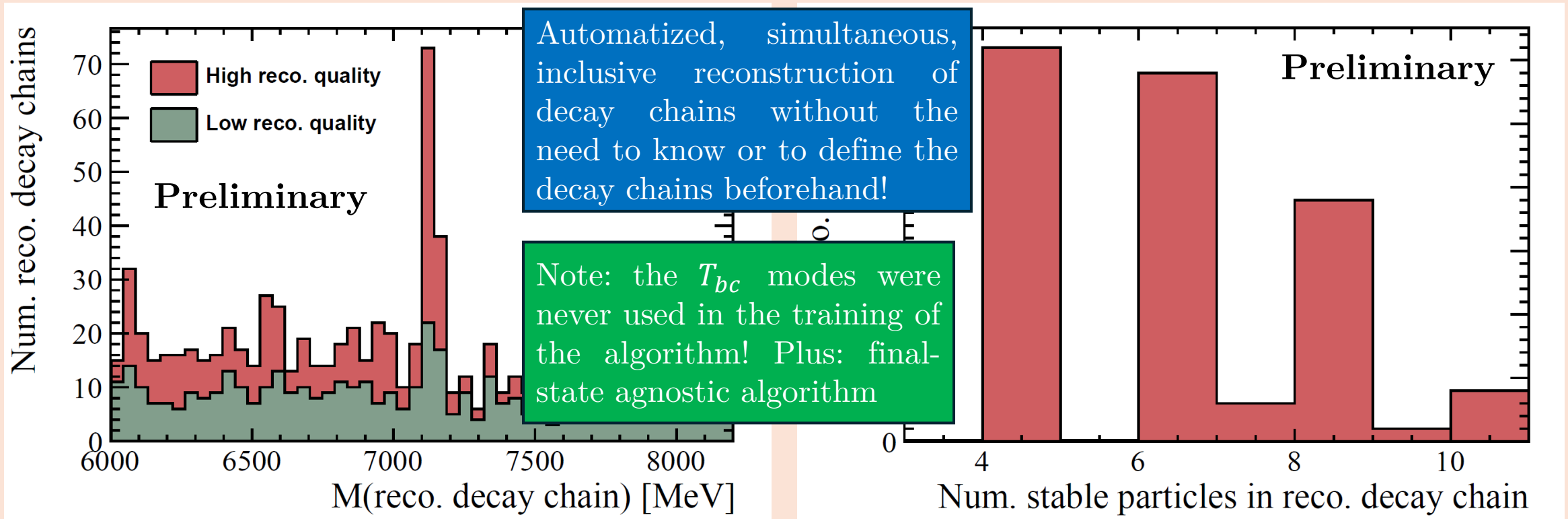




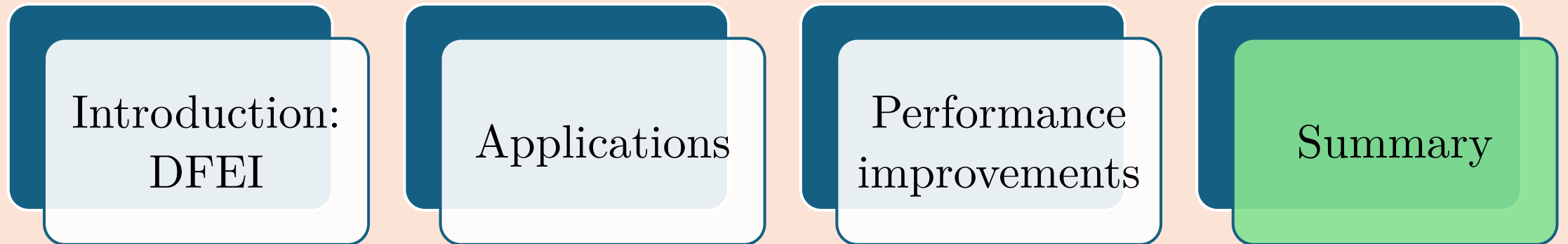
# SEARCH FOR EXOTIC STATES

DFEI can simultaneously reconstruct the different decay chains, allowing for a broader and more direct search for exotic states

Simulated sample of several weakly decaying modes of  $T_{bc}$  analyzed simultaneously using DFEI:



# OUTLINE (IV)



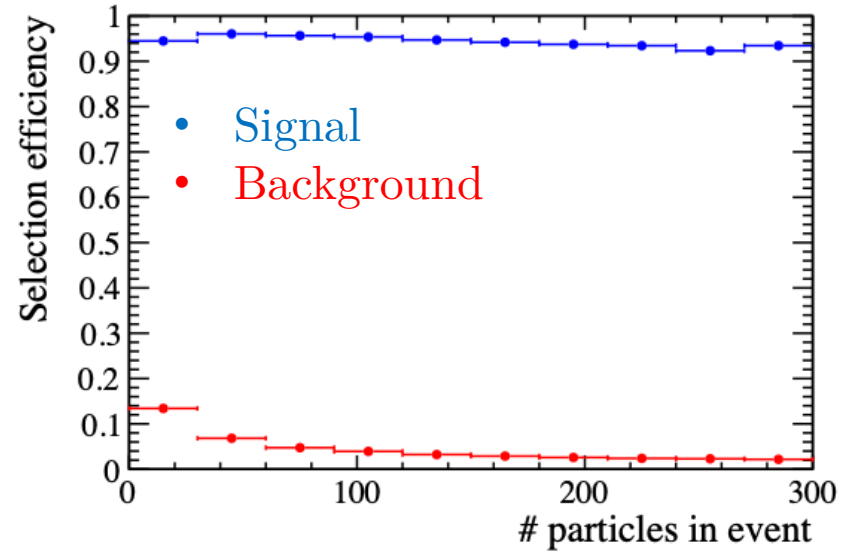
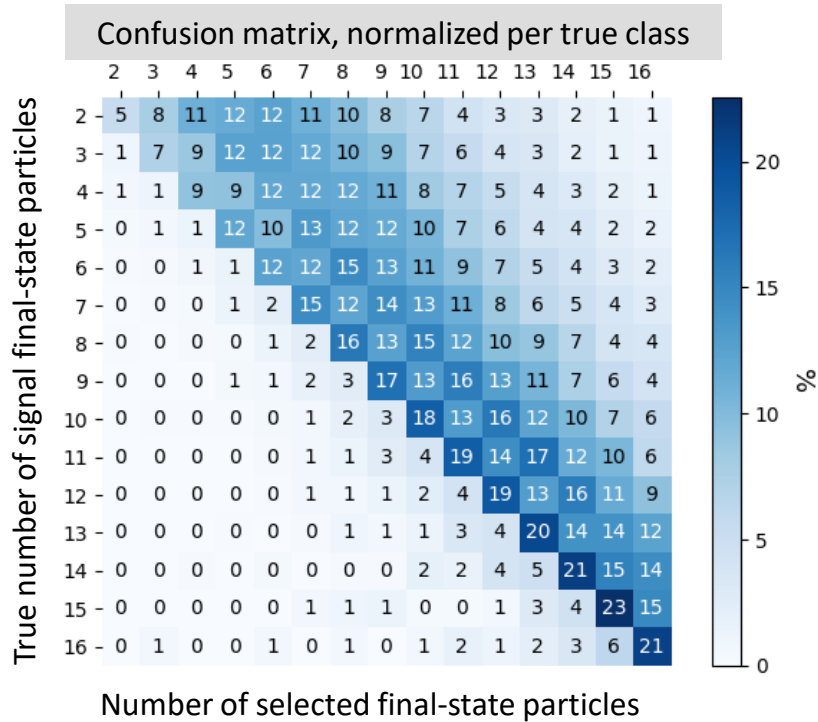
# SUMMARY

- Changing from GNN to BDT models for NP and EP and a new C++ inference pipeline resulted **in significant speed-up**
- **Timing scaling flat at high track multiplicity**
- Further speed-ups expected from hyper-parameter tuning of LCAI
- DFEI opens the door for **exciting physics applications** in hadronic machines
  - Seems to mitigate contamination from partially- and over-reconstructed decays
  - Can be used for inclusive searches for exotic states
  - And more
- Stay tuned: further developments are on the way

**THANK YOU!**

# BACKUP

# Performance: final-state particle filtering



Consistent performance with different number of signals

“single-b-hadron-signal” approach performance *comparable* to the envisaged nominal LHCb strategy for Run 3 [\[JINST 14 \(2019\) 04, P04006\]](#)

LHCb: 90% sig eff, 90% bkg rej. power  
 DFEI: 94% sig eff, 96% bkg rej. power

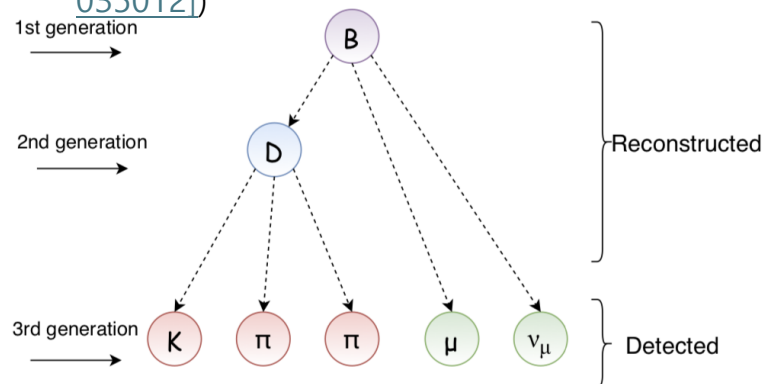
DFEI selects all of them simultaneously

**DFEI capability #1**  
 Powerful event size (~ x14) reduction in a multi-signal environment.

# 3<sup>rd</sup> module: Lowest Common Ancestor (LCA) inference

From [BELLE2-MTHESIS-2020-006]:

(see also [James Kahn et al 2022 Mach. Learn.: Sci. Technol. 3  
035012])



Adjacency Matrix

	B	D	K	$\pi$	$\pi$	$\mu$	$\nu_\mu$
B	0	1	0	0	0	1	1
D	1	0	1	1	1	0	0
K	0	1	0	0	0	0	0
$\pi$	0	1	0	0	0	0	0
$\pi$	0	1	0	0	0	0	0
$\mu$	1	0	0	0	0	0	0
$\nu_\mu$	1	0	0	0	0	0	0

LCA Matrix

	K	$\pi$	$\pi$	$\mu$	$\nu_\mu$
K	0	1	1	2	2
$\pi$	1	0	1	2	2
$\pi$	1	1	0	2	2
$\mu$	2	2	2	0	2
$\nu_\mu$	2	2	2	2	0



Problem reduced to **multi-class classification on edges.**

For the prototype, use as target a **simplified version of the decay chain, based on the reconstructible vertices.**

- Very-short-lived resonances merged with the previous ancestor.
- Resonances with less than two charged descendants merged with the previous ancestor.

## Decay-level performance

Decay mode	Perfect (%)	Wrong hierarchy (%)	Not iso. (%)	Part. reco. (%)
Inclusive $H_b$ decay	$4.6 \pm 0.1$	$5.9 \pm 0.1$	$76.0 \pm 0.2$	$13.4 \pm 0.1$
$B^0 \rightarrow K_0^*[K\pi]\mu^+\mu^-$	$35.8 \pm 0.7$	$19.2 \pm 0.6$	$44.9 \pm 0.7$	$<0.02$
$B^0 \rightarrow K^+\pi^-$	$38.0 \pm 0.7$	–	$54.7 \pm 0.7$	$7.2 \pm 0.4$
$B_s^0 \rightarrow D_s^-[K^-K^+\pi^-]\pi^+$	$32.8 \pm 0.7$	$7.1 \pm 0.4$	$53.7 \pm 0.8$	$6.4 \pm 0.4$
$B^0 \rightarrow D^-[K^+\pi^-\pi^-]D^+[K^-\pi^+\pi^+]$	$22.7 \pm 0.6$	$22.4 \pm 0.6$	$54.9 \pm 0.8$	$<0.02$
$B^+ \rightarrow K^+K^-\pi^+$	$35.7 \pm 0.7$	$10.2 \pm 0.4$	$46.4 \pm 0.7$	$7.7 \pm 0.4$
$\Lambda_b^0 \rightarrow \Lambda_c^+[pK^-\pi^+]\pi^-$	$21.7 \pm 1.0$	$8.9 \pm 0.7$	$36.8 \pm 1.2$	$32.6 \pm 1.1$
$B_s^0 \rightarrow J/\psi[\mu^+\mu^-]\phi[K^+K^-]$	$26.9 \pm 0.6$	$20.5 \pm 0.5$	$52.5 \pm 0.6$	$<0.02$

### Different types of decay reconstruction

- wrong hierarchy: correct tracks but wrong hierarchy
- Not isolated: additional tracks that do not belong to the decay
- missing tracks of the true decay

Fraction of perfect signal reconstruction approximates the tag side efficiency for FEI at Belle (II) (order a few percent for semileptonic decays and a few per mille for hadronic decays.)