

# Lecture 1: Neutrino-Nucleon Scattering

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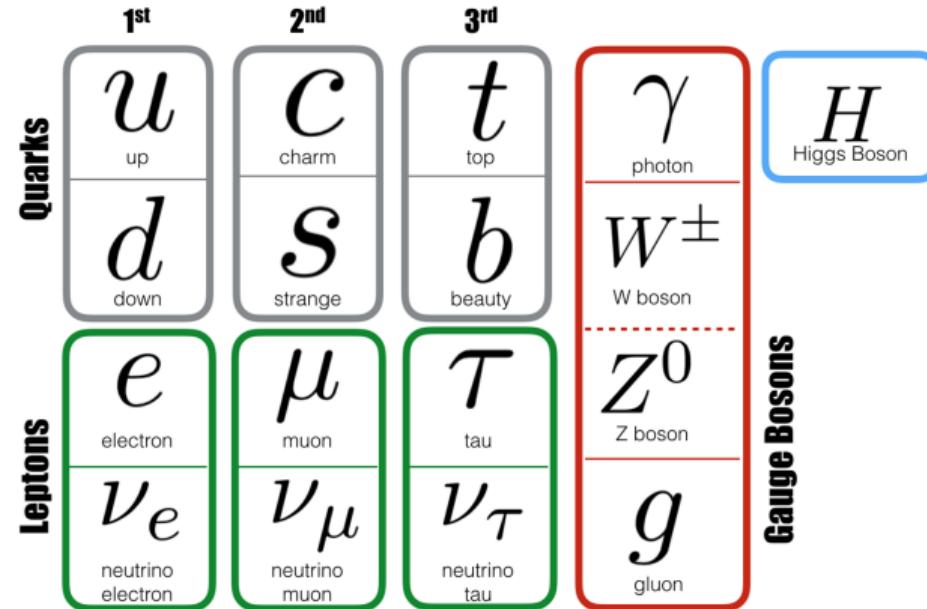
# Outline

- ▶ Introduction
- ▶ Measuring Neutrinos
- ▶ Nucleon Interaction Topologies
- ▶ Axial Mass Problem
- ▶ Conclusions

Note: all references in online slides are hyperlinked

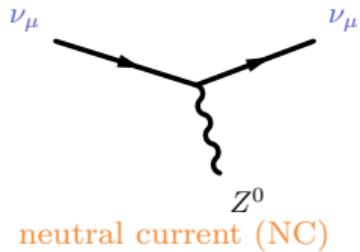
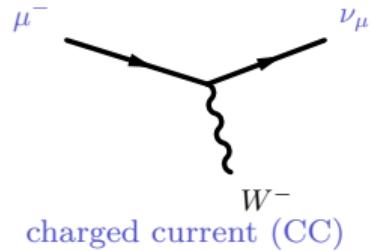
# Introduction

# The Standard Model



# The Standard Model

	1st	2nd	3rd	
quarks	$u$ up	$c$ charm	$t$ top	$\gamma$ photon
	$d$ down	$s$ strange	$b$ beauty	$H$ Higgs Boson
leptons	$e$ electron	$\mu$ muon	$\tau$ tau	$W^\pm$ W boson
	$\nu_e$ neutrino electron	$\nu_\mu$ neutrino muon	$\nu_\tau$ neutrino tau	$Z^0$ Z boson
				$g$ gluon

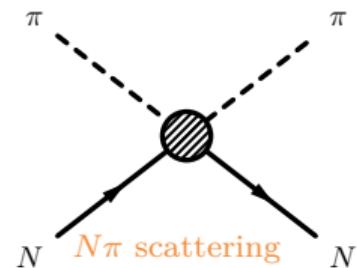
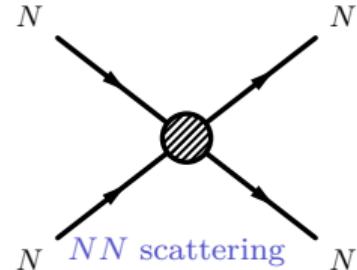


“Weak” force –  $W, Z$  bosons  $\rightarrow$  quarks and leptons

Weakly coupled – perturbative methods work, but small interaction rates  
⇒ Only achievable way to interact with neutrinos

# The Standard Model

quarks	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	
$u$ up	$c$	$t$ top		
$d$ down	$s$ strange	$b$ beauty		
			$\gamma$ photon	$H$ Higgs Boson
			$W^\pm$ W boson	
			$Z^0$ Z boson	
			$g$ gluon	Gauge Bosons
leptons	$e$ electron	$\mu$ muon	$\tau$ tau	
	$\nu_e$ neutrino electron	$\nu_\mu$ neutrino muon	$\nu_\tau$ neutrino tau	

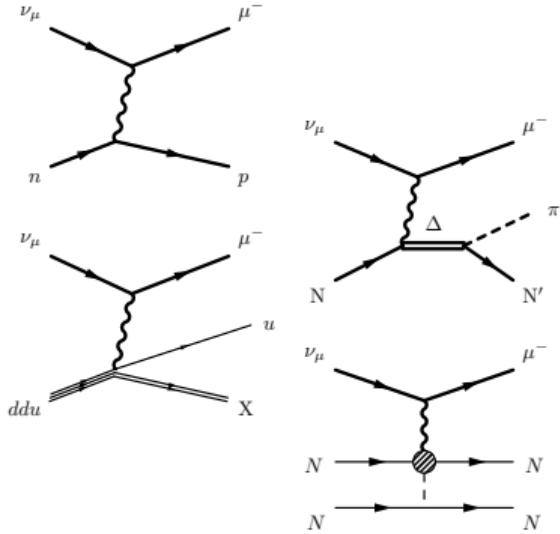
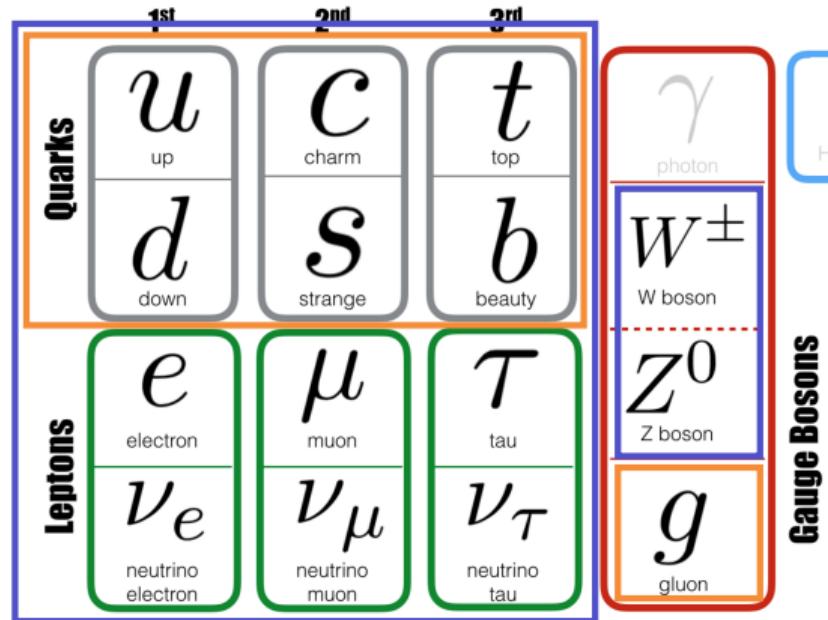


“Strong” force: Quantum ChromoDynamics (QCD)

Tightly bound – perturbation theory fails, quarks bind into hadrons

⇒ Need advanced techniques and/or experimental data to constrain

# The Standard Model

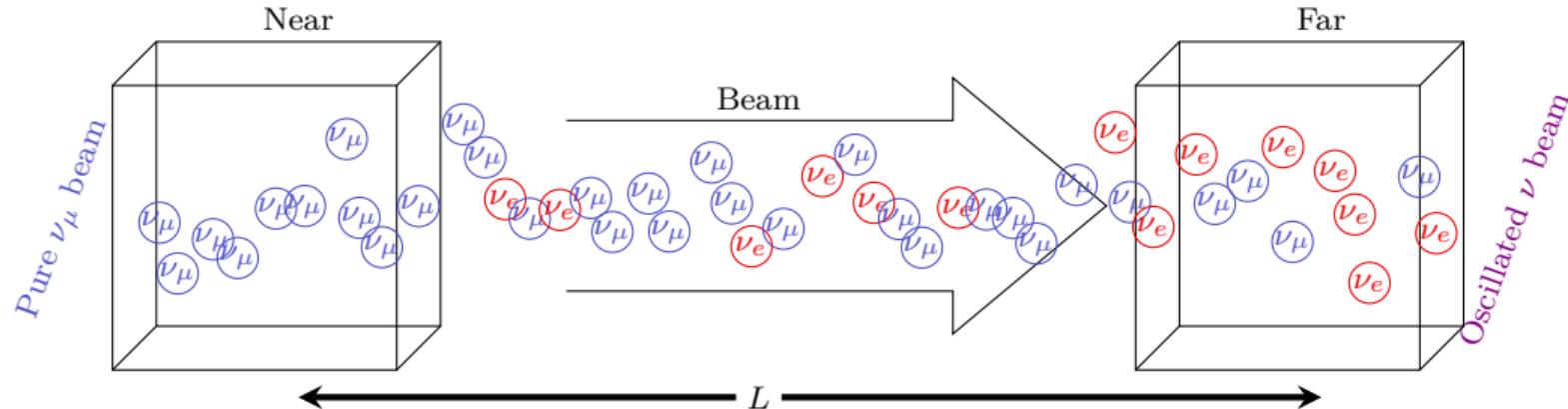


Seek to understand neutrinos ( $\nu_e, \nu_\mu, \nu_\tau$ )

Probe  $\nu$  physics by scattering  $\nu$  with nuclei (protons, neutrons)

⇒ Utilize weak interactions on hadronic matter

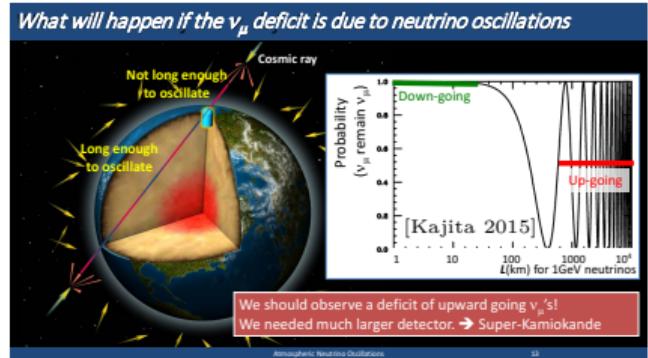
# Neutrino Oscillation



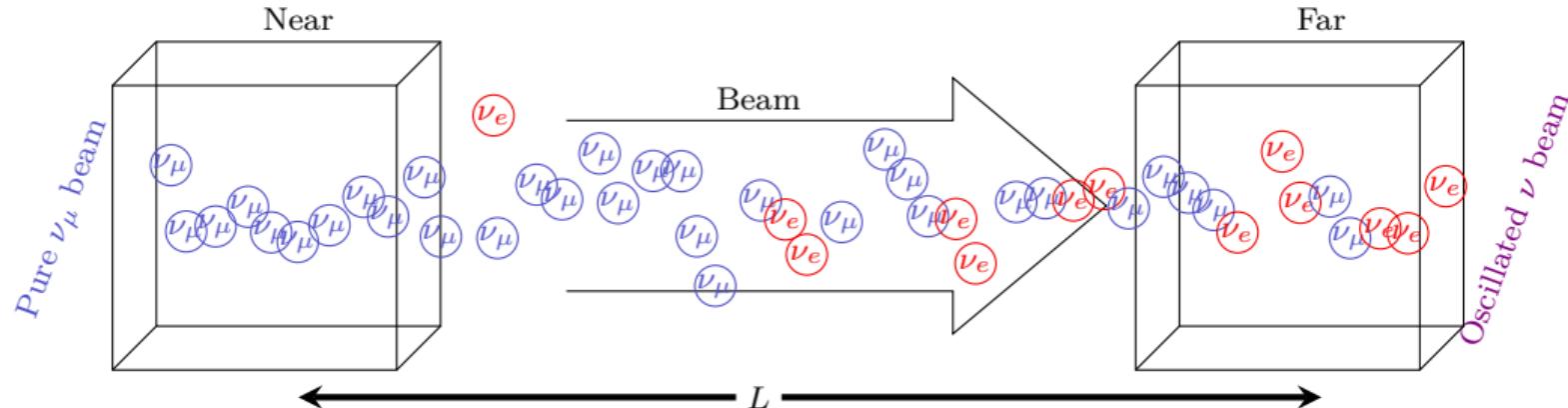
Neutrino oscillation:  $\nu$  spontaneously change flavor

Oscillation parameters not completely known

$\implies \delta_{CP}$ , mass hierarchy



# Neutrino Oscillation

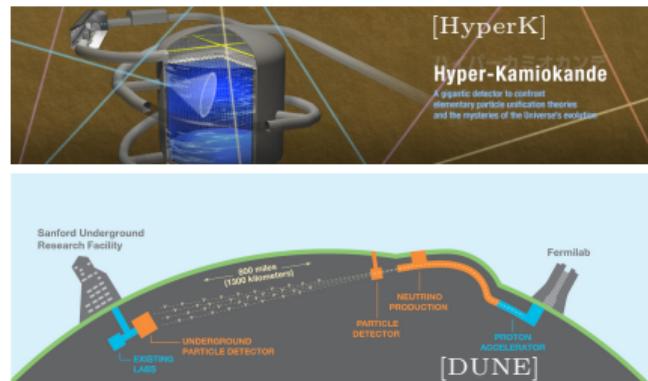


Neutrino oscillation:  $\nu$  spontaneously change flavor

Oscillation parameters not completely known  
⇒  $\delta_{CP}$ , mass hierarchy

Flagship experimental programs upcoming  
⇒ DUNE, HyperK

*This talk: accelerator experiments*



# Neutrino Oscillation

Neutrinos created in flavor eigenstates, propagate as mass eigenstates

$$\text{Mass eigenstates} \neq \text{flavor eigenstates}$$
$$|i\rangle = \begin{pmatrix} |1\rangle \\ |2\rangle \end{pmatrix} \quad |\alpha\rangle = \begin{pmatrix} |e\rangle \\ |\mu\rangle \end{pmatrix} \quad \Rightarrow \quad |i\rangle = \underbrace{\begin{pmatrix} |1\rangle \\ |2\rangle \end{pmatrix}}_{\text{mixing matrix}} = \begin{pmatrix} U_{1e} & U_{1\mu} \\ U_{2e} & U_{2\mu} \end{pmatrix} \begin{pmatrix} |e\rangle \\ |\mu\rangle \end{pmatrix} = U|\alpha\rangle$$

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Mass eigenstates obey Hamiltonian time evolution:  $\frac{\partial}{\partial t}|i\rangle = -\frac{i\hat{H}}{\hbar}|i\rangle$ , ( $\hbar = c = 1$ )

$$m_\nu \ll 1 \implies t \approx L/c \text{ and } |p| \approx E$$

$$\implies E_i = |p| \sqrt{1 + \frac{m_i^2}{p^2}} \approx E \left( 1 + \frac{m_i^2}{2E^2} \right) \implies \Delta E \equiv E_2 - E_1 \approx \frac{m_2^2 - m_1^2}{2E} \equiv \frac{\Delta m^2}{2E}$$

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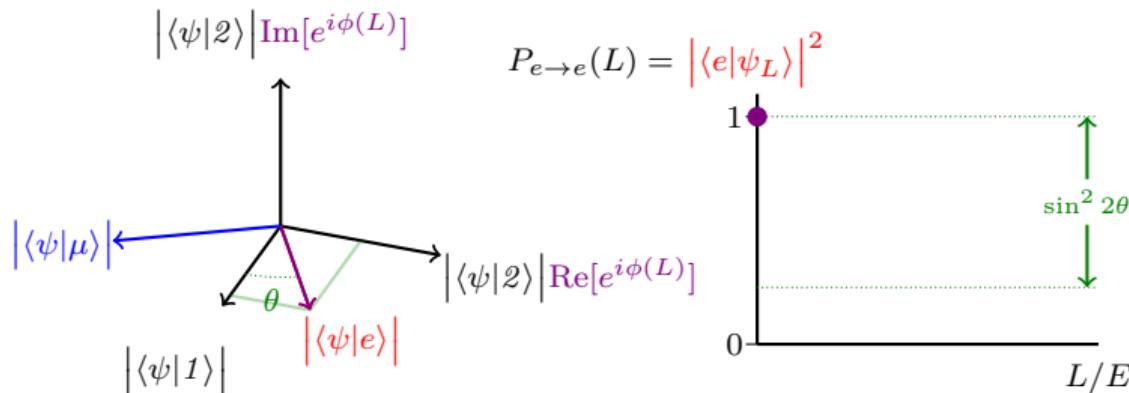
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Putting it all together:

$$\frac{\partial}{\partial t} \begin{pmatrix} |1\rangle \\ |2\rangle \end{pmatrix} = -i \begin{pmatrix} E_1 & 0 \\ 0 & E_1 + \Delta E \end{pmatrix} \begin{pmatrix} |1\rangle \\ |2\rangle \end{pmatrix}$$
$$\implies \begin{pmatrix} |1\rangle \\ |2\rangle \end{pmatrix}(t) = (\text{phase}) \cdot \begin{pmatrix} 1 & 0 \\ 0 & e^{-i\frac{\Delta m^2 L}{2E}} \end{pmatrix} \begin{pmatrix} |1\rangle \\ |2\rangle \end{pmatrix}(0)$$
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# Two-Flavor Neutrino Oscillation



$$\text{flavor eigenstate } (e, \mu) \quad |\psi_0\rangle = |e\rangle = (\cos \theta |1\rangle + \sin \theta |2\rangle)$$

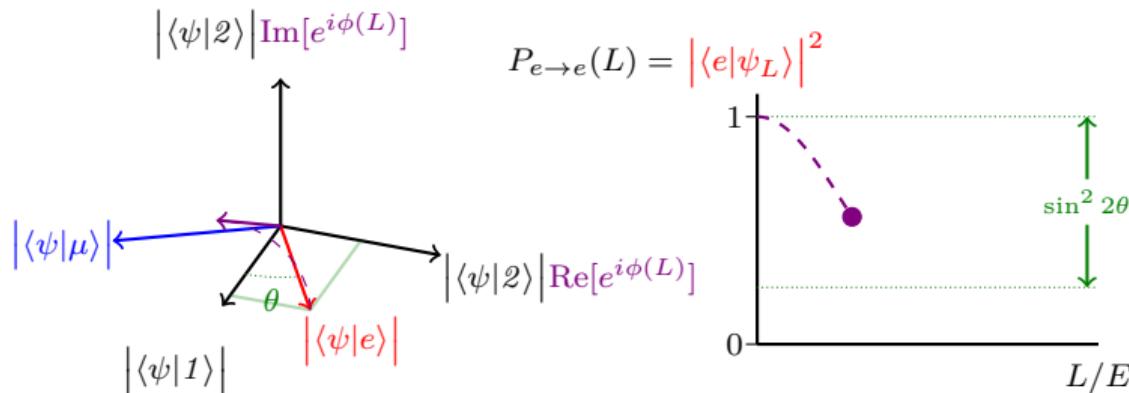
$$\text{mass eigenstate } (1, 2) \quad |\psi_L\rangle \propto (\cos \theta |1\rangle + e^{-i\phi(L)} \sin \theta |2\rangle)$$

$$\Delta m^2 \equiv m_2^2 - m_1^2, \quad \phi(L) = \frac{1}{4} \Delta m^2 \frac{L}{E}$$

$$\text{"Survival probability": } |\langle e | \psi_L \rangle|^2 = 1 - \sin^2 2\theta \sin^2 \frac{\phi(L)}{2}$$

$$\text{"Disappearance probability": } |\langle \mu | \psi_L \rangle|^2 = + \sin^2 2\theta \sin^2 \frac{\phi(L)}{2}$$

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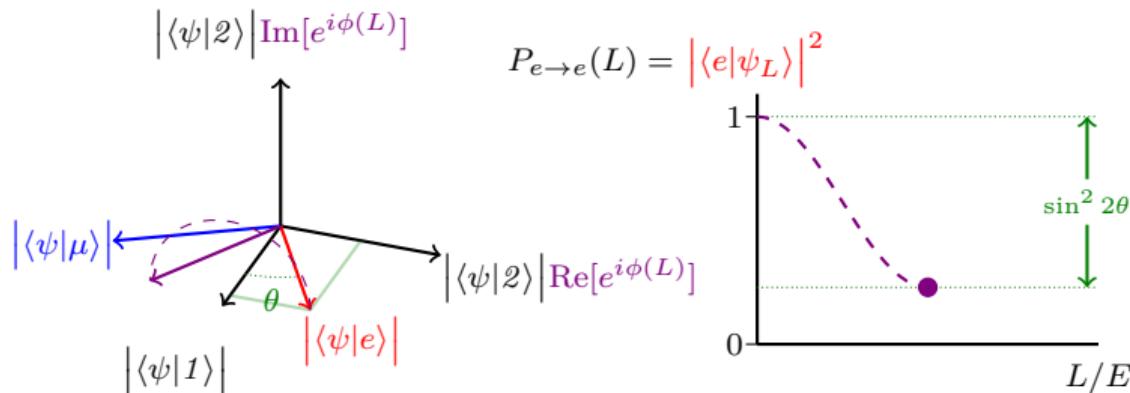
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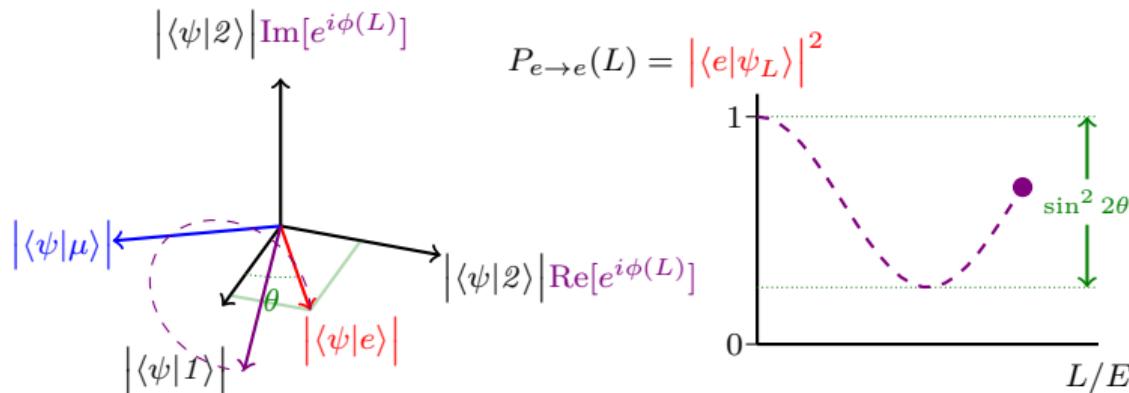
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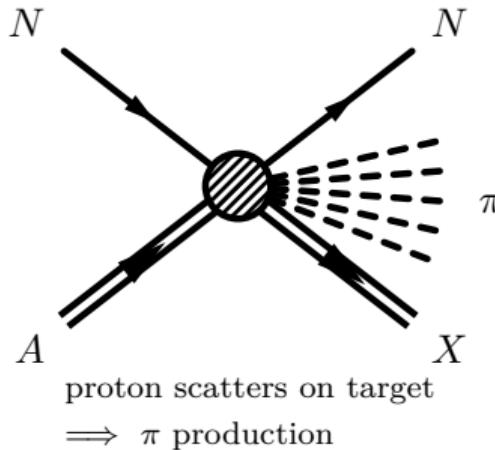
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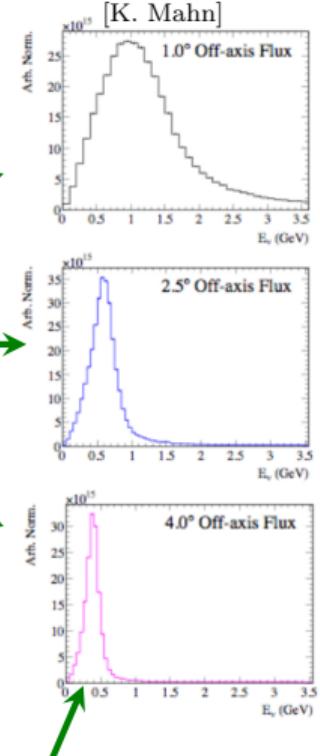
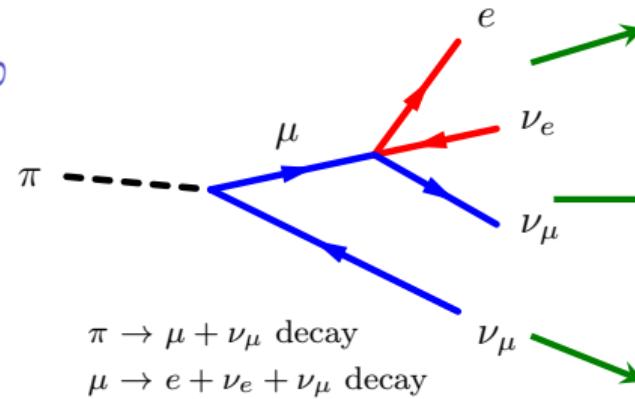
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# Measuring Neutrinos

# Creating a Neutrino Beam



focusing

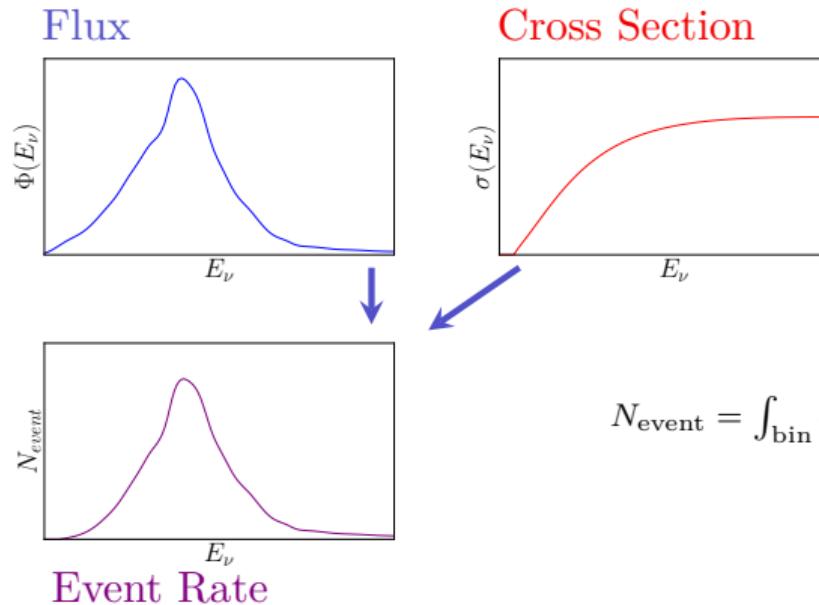


narrow flux off-axis

Beam production mechanisms prevent monoenergetic beam  
⇒ broad flux of  $\nu$

Tradeoff between peak width vs beam intensity (more  $\nu$ , broader peak)

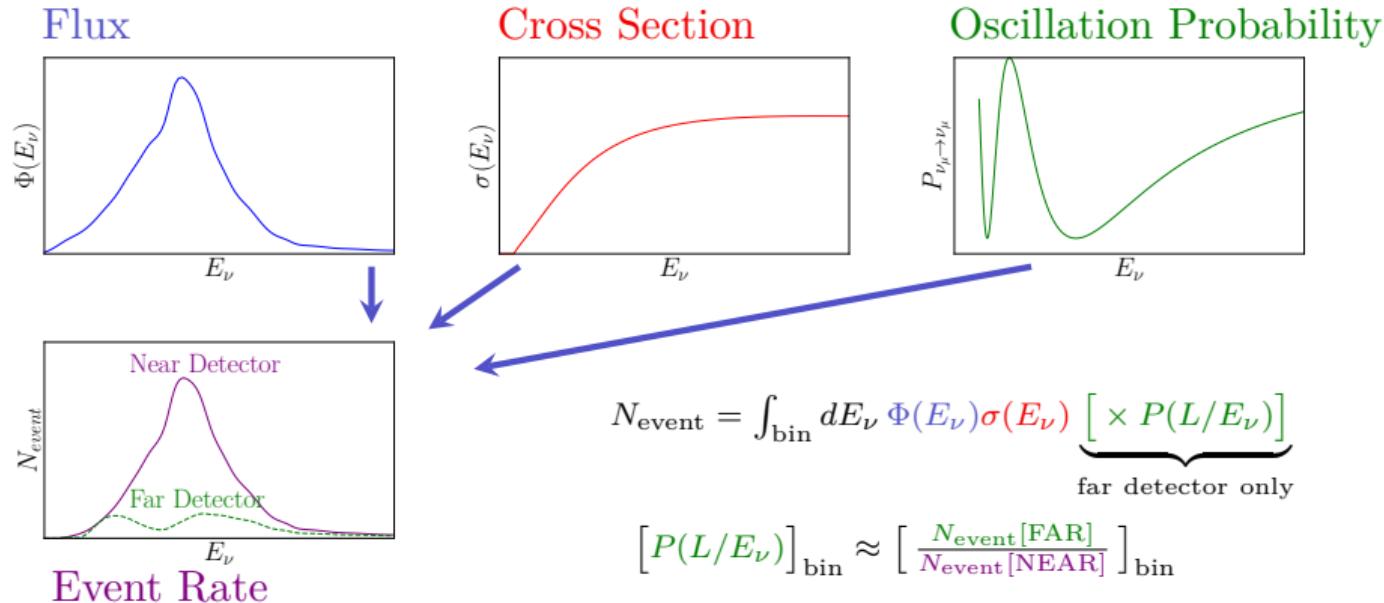
# Measuring Oscillation Probability



$$N_{\text{event}} = \int_{\text{bin}} dE_\nu \Phi(E_\nu) \sigma(E_\nu)$$

Broad flux & distribution of event  $E_\nu$

# Measuring Oscillation Probability



Broad flux & distribution of event  $E_\nu$

far/near  $\implies$  oscillation probability, assuming we can get the  $E_\nu$  dependence correct...

# Determining $E_\nu$

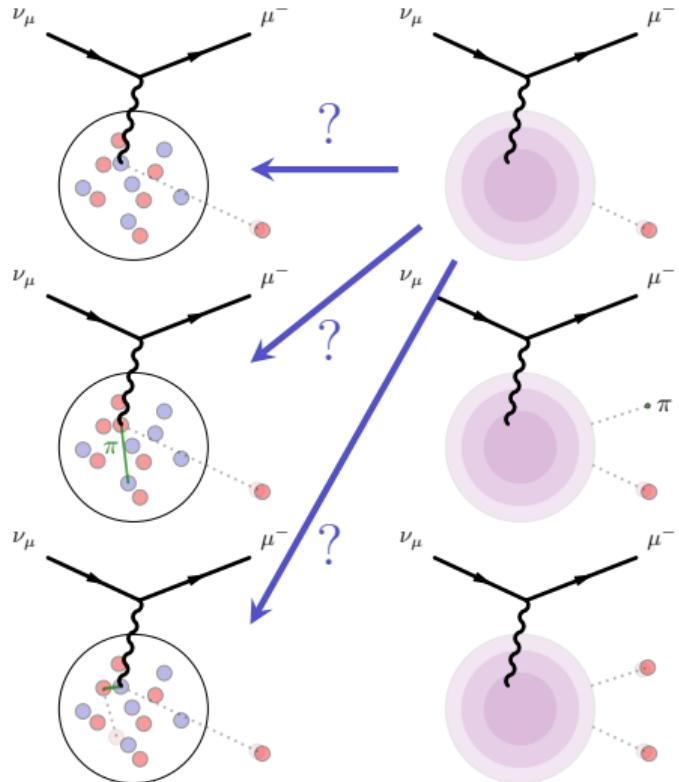
Need to know kinematics of events to get  $E_\nu$ ,  
which determines oscillation probability

Not possible event-by-event:

- ▶ diffuse flux of  $E_\nu$ :  
     $\Rightarrow$  do not know  $E_\nu$  a priori from beam
- ▶ some particles escape detection:  
     $\Rightarrow$  cannot measure total energy of all events
- ▶ reinteractions within nucleus:  
     $\Rightarrow$  cannot determine primary interaction type

Detector efficiencies, thresholds must also be considered

$\Rightarrow E_\nu$  must be inferred from statistical distributions



# Monte Carlo Generators

Predict event rates using generator outputs based on:

► interaction physics

► detector geometry

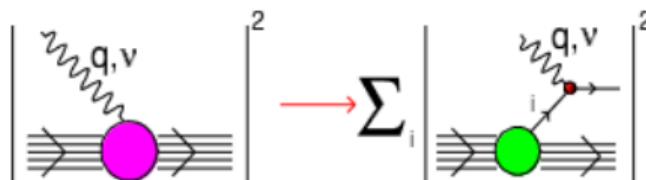
► beam flux

Generators constructed from both empirical or theoretical sources

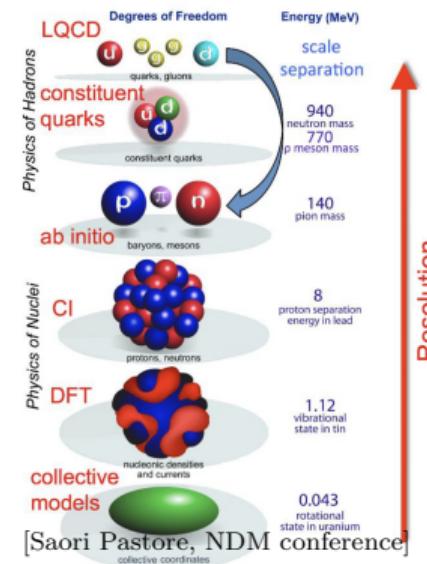
Often messy – trade-off between speed (statistics) and accuracy (systematics)

Simplified by impulse approximation –  
increasing momentum probes smaller distance scales

Use single-nucleon for high-energy interactions,  
low-energy nuclear model built from nucleon otherwise

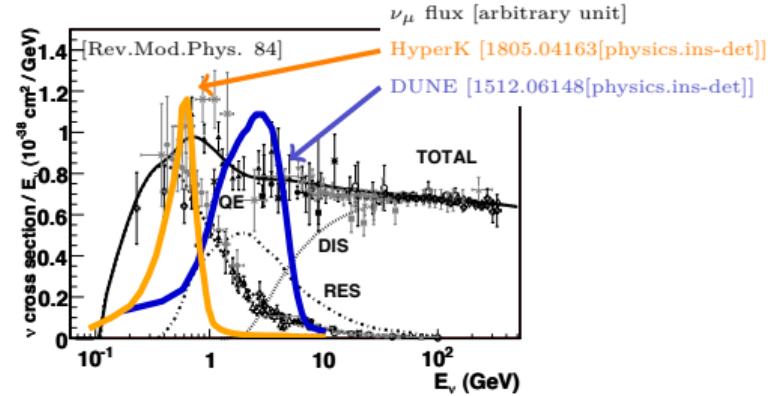
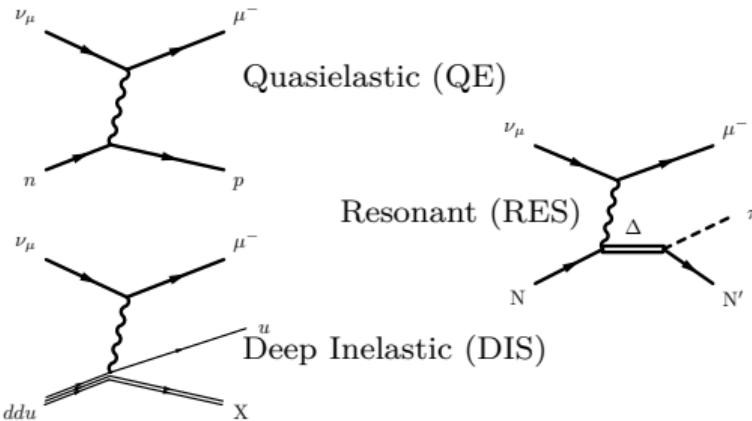


[Phys.Rev.D 72 (2005)]



# Neutrino-Nucleon Interactions

# Neutrino-Nucleon Interaction Topologies



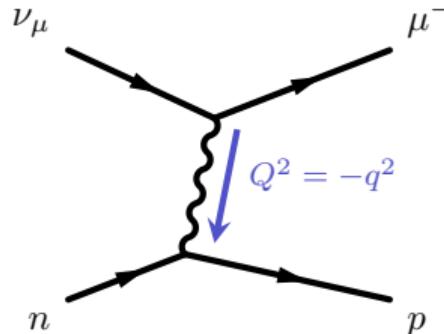
Energy range of flux in accelerator experiments span several *nucleon* interaction topologies

HyperK: mostly QE, some RES; DUNE: roughly equal QE, RES, DIS

Need constraints on *Nucleon* amplitudes used to build *nuclear* cross sections

⇒ inputs to *nuclear models*, used in *Monte Carlo simulations*, to perform  $E_\nu$  reconstruction

# Quasielastic Scattering



$$\mathcal{M}_{CCQE} \sim \langle \ell | \mathcal{J}^\mu | \nu_\ell \rangle \langle p | \mathcal{J}_\mu | n \rangle$$

$$\frac{d\sigma_{CCQE}}{dQ^2} \sim |\mathcal{M}_{CCQE}|^2$$

$$\begin{aligned} & \langle p_k | (\mathcal{V}_\mu - \mathcal{A}_\mu) | n_p \rangle \\ &= \bar{u}_k^{(p)} \left[ \begin{array}{l} \gamma_\mu F_1(Q^2) + \frac{i}{2M_N} \sigma_{\mu\nu} q^\nu F_2(Q^2) \\ + \gamma_\mu \gamma_5 F_A(Q^2) + \frac{1}{2M_N} q_\mu \gamma_5 F_P(Q^2) \end{array} \right] u_p^{(n)} \end{aligned}$$

**Simplest topology**, lowest  $E_\nu$  – nucleon scatters elastically with neutrino

Nucleon response described by *form factors*, “parameterization of ignorance”

- ▶  $F_1, F_2$ : vector form factors, constrained by  $eN$  scattering
- ▶  $F_P$ : “induced pseudoscalar” form factor, subleading in cross section related to  $F_A$  by pion pole dominance constraint
- ▶  $F_A$ : axial form factor

Nucleon cross section uncertainty dominated by axial form factor  $F_A$

# CCQE Cross section

Full cross section obtained from square  $|\mathcal{M}_{\text{CCQE}}|^2 \dots$

$$\frac{d\sigma_{CCQE}}{dQ^2}(E_\nu, Q^2) \propto \frac{1}{E_\nu^2} \left( A(Q^2) \boxed{\mp} \left( \frac{s-u}{M_N^2} \right) B(Q^2) + \left( \frac{s-u}{M_N^2} \right)^2 C(Q^2) \right)$$

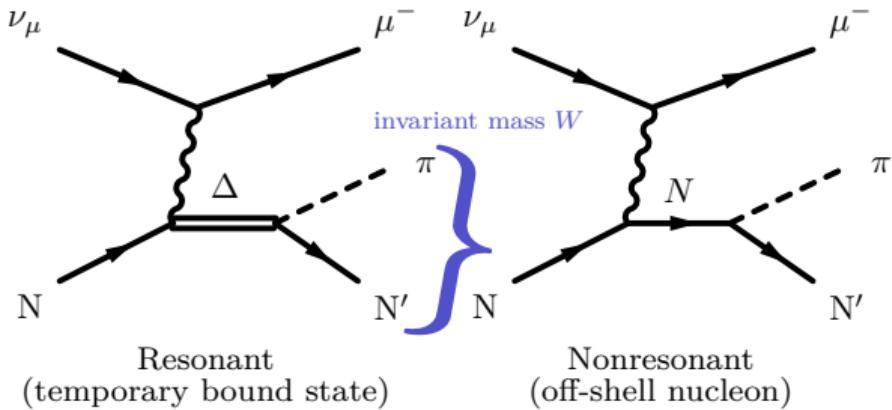
$s - u = 4M_N E_\nu - Q^2 - m_\ell^2$

$$A(Q^2) = \left( \frac{m_\ell^2}{M_N^2} + 4\eta \right) \left[ (1+\eta)F_A^2 - (1-\eta)(F_1^2 + \eta F_2^2) + 4\eta F_1 F_2 - \frac{m_\ell^2}{4M_N^2} \left( (F_1 + F_2)^2 + (F_A + 2F_P)^2 - 4(1+\eta)F_P^2 \right) \right]$$
$$B(Q^2) = 4\eta F_A (F_1 + F_2) \quad C(Q^2) = \frac{1}{4} (F_A^2 + F_1^2 + \eta F_2^2) \quad \eta \equiv \frac{Q^2}{4M_N^2}$$

[Llewellyn Smith, 1972]  
see also [Rev.Mod.Phys. 84 (2012)]

We will explore this in more detail...

# Resonant Production

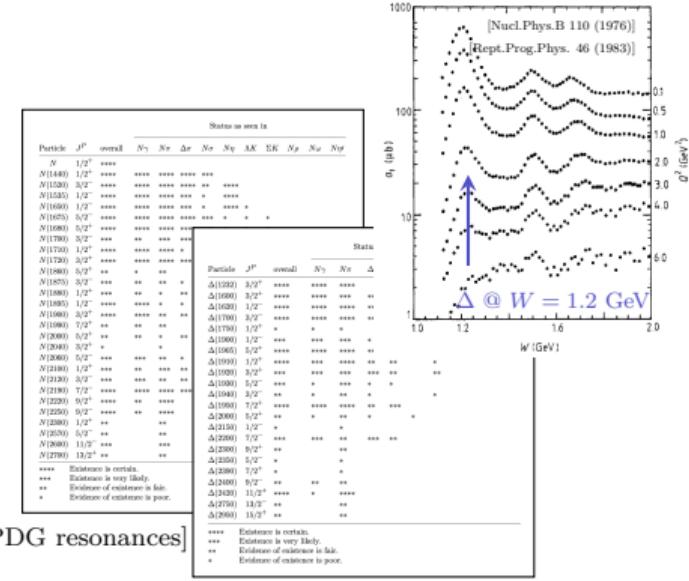


Neutrino interaction excites nucleon to different state  
produces  $\geq 1\pi$  in general

Resonances can have different quantum numbers than nucleon (isospin, angular momentum)  
 $\implies$  internal configuration of quarks, gluons changed

Resonances are would-be bound states, if physical world was different (revisit tomorrow)

Lots of resonances + nonresonant! Complicated problem with quantum interference



# Deep Inelastic Scattering

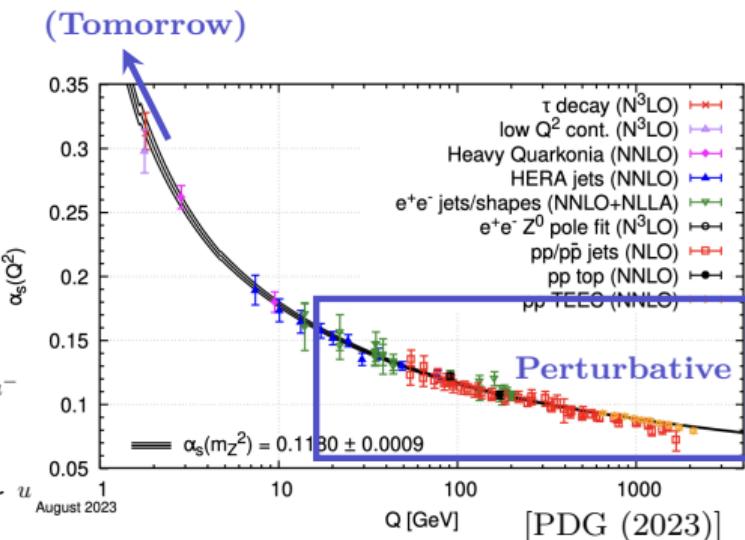
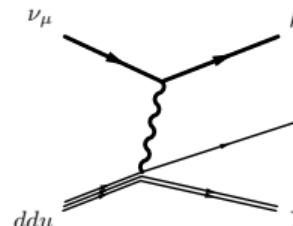
- Deep      –       $Q^2 \gg M_N^2 \sim 1 \text{ GeV}^2$       (momentum transfer vs nucleon mass)  
Inelastic    –       $W^2 \gtrsim M_N^2$                           (hadronic invariant mass vs nucleon mass)

Neutrino interaction exchanges enough energy  
to resolve individual quarks

Treated perturbatively at high  $Q^2$ , like EM

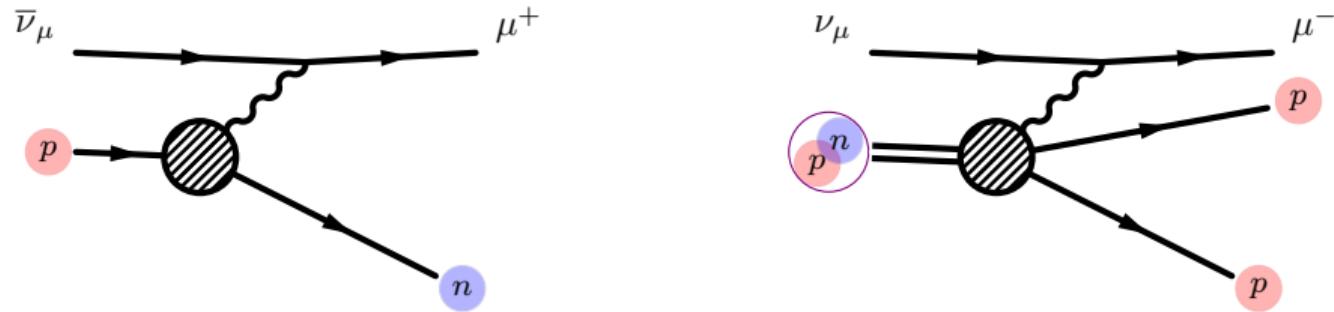
Quark-hadron duality  $\Rightarrow$  smooth transition  
between resonance region and DIS region

Highly inelastic interactions undergo hadronization  
produce chains of mesons along path of parton



# The Axial Mass Problem

# Hydrogen vs Deuterium



Scattering off hydrogen – free nucleon matrix elements, but experimentally challenging:

- ▶ must detect neutrons
- ▶  $\bar{\nu}$  cross section  $\approx \frac{1}{3}$  of  $\nu$  cross section

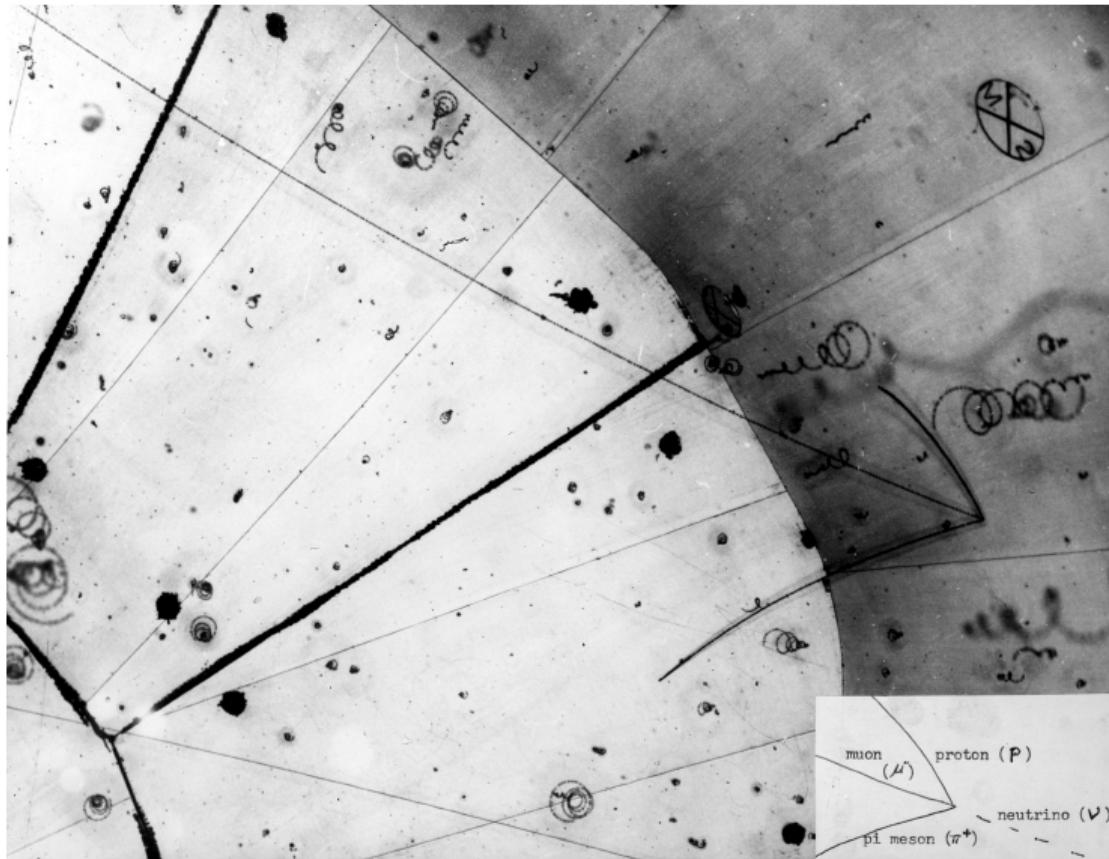
As of 2002: < 10 neutrons from  $\bar{\nu}$ - $p$  scattering events detected

Deuterium scattering – interact with bound neutron to create  $pp$  system

Both protons and charged lepton detectable (in principle)

- ▶ Total  $O(10^3)$   $\nu_\mu$ QE events
- ▶ Digitized event distributions only
- ▶ Unknown corrections to data
- ▶ **Insufficient deuterium correction**

# Deuterium Bubble Chamber Experiments

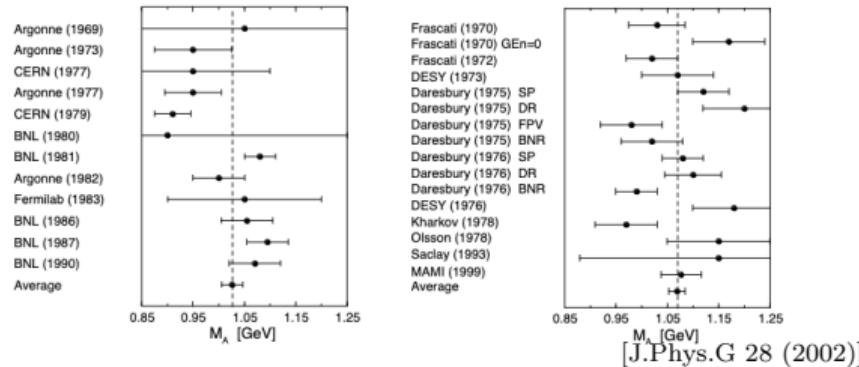


# The Dipole Parameterization

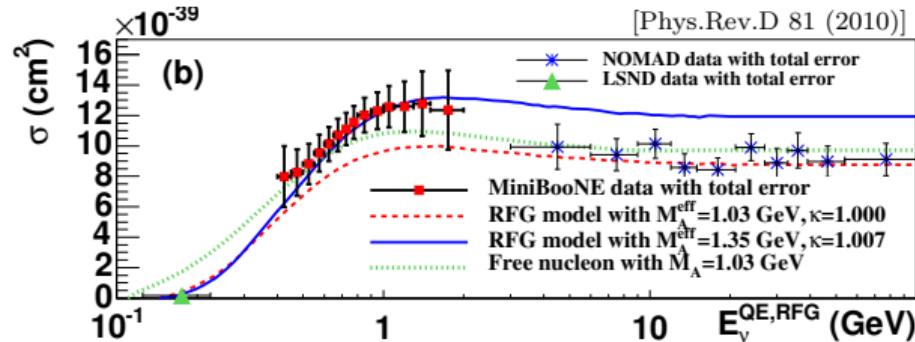
Dipole ansatz —  $F_A(Q^2) = g_A \left(1 + \frac{Q^2}{m_A^2}\right)^{-2}$

Fourier transform of exponential decay in 3 dimensions

- ▶  $g_A$ : axial vector coupling  
Fixed precisely by neutron decay measurements,  $g_A \approx 1.2754 \pm 0.0013$  [0.1%]
- ▶  $m_A$ : axial mass  
As of 2002, world average  $m_A \approx 1.026 \pm 0.021$  [2%]



# The Axial Mass Problem



2010 bombshell result from MiniBooNE:  $M_A^{\text{eff}} \approx 1.35$  GeV

**Lots of misleading info here –**

- ▶ Different definitions of quasielastic (MiniBooNE  $\mu + \not{p}$ ; NOMAD  $\mu$  or  $\mu + p$ )
- ▶ MiniBooNE effective  $M_A$ , including binding energy corrections
- ▶  $E_\nu$  is NOT an observable (baked-in nuclear modeling)

**Lots of physics here –**

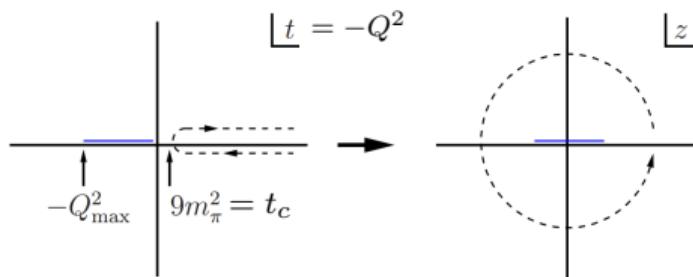
- ▶ Effects of Meson Exchange Currents (MEC)
- ▶ Effects of Short Range Correlations
- ▶ Nucleon Matrix Elements

## $z$ Expansion

Conformal mapping (change of variables, using complex analyticity): [Phys.Rev.D 84 (2011)]

$$z(Q^2; t_0, t_c) = \frac{\sqrt{t_c + Q^2} - \sqrt{t_c - t_0}}{\sqrt{t_c + Q^2} + \sqrt{t_c - t_0}} \quad F_A(z) = \sum_{k=0}^{\infty} a_k z^k \quad t_c = 9m_\pi^2$$

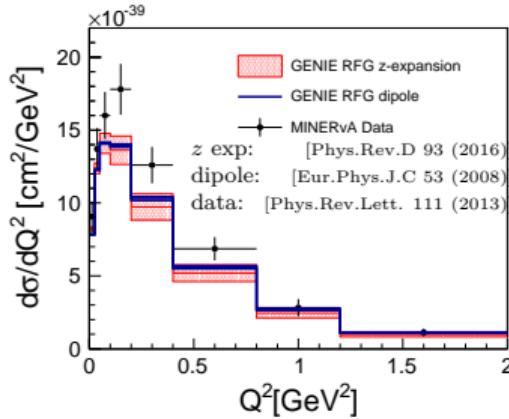
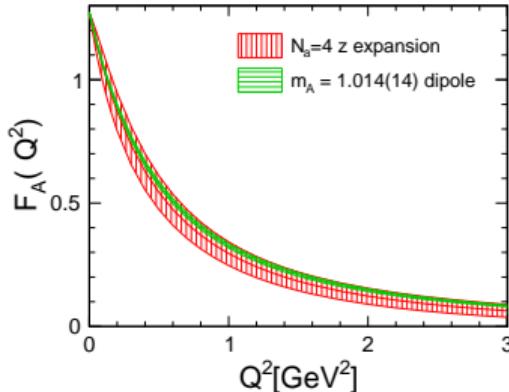
$-Q^2 \leq 0$  kinematically allowed  $\rightarrow |z| < 1$



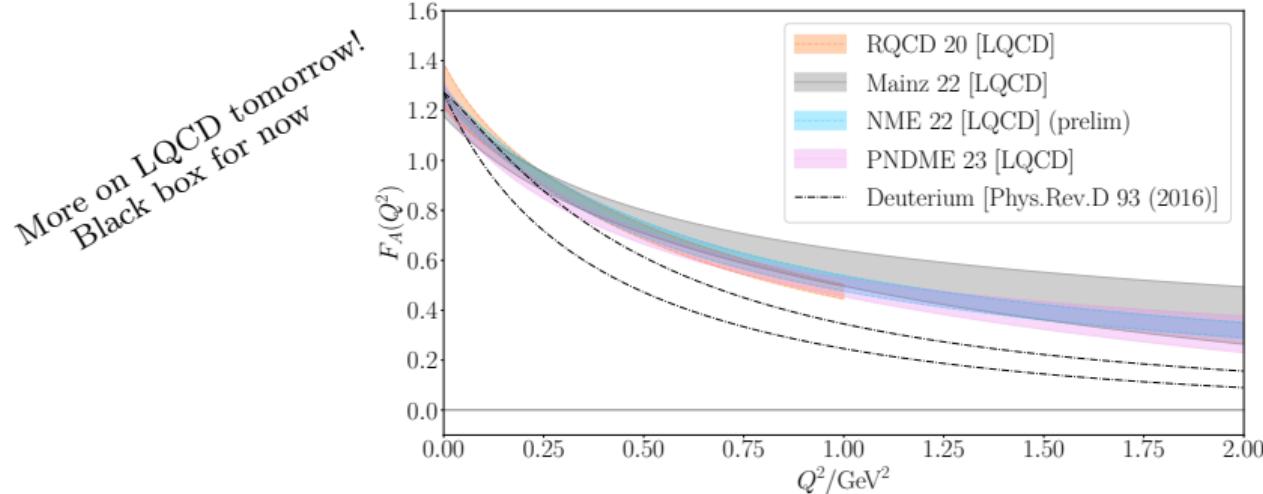
- ▶ Rapidly converging expansion
- ▶ Controlled procedure for introducing new parameters

# Deuterium Constraints on $F_A$

- ▶ Dipole overconstrained by data  
underestimated uncertainty  $\times O(10)$
- ▶ Form factor uncertainties similar size to theory–data discrepancies
- ▶ Prediction discrepancies could be from nucleon and/or nuclear origins



# LQCD Axial Form Factor Summary

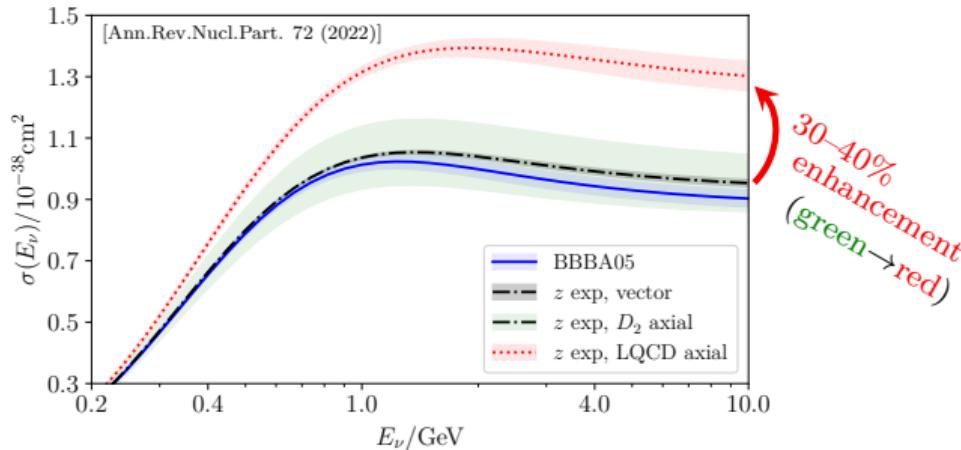


LQCD results becoming available late 2010s:

- ▶ Many results, all physical  $M_\pi$ : *independent data & different methods*
- ▶ Small systematic effects observed (expectation: largest at  $Q^2 \rightarrow 0$ )
- ▶ Nontrivial consistency checks

Evidence of slow  $Q^2$  falloff, consistent with  $M_A \sim 1.3$  GeV

# Free Nucleon Cross Section



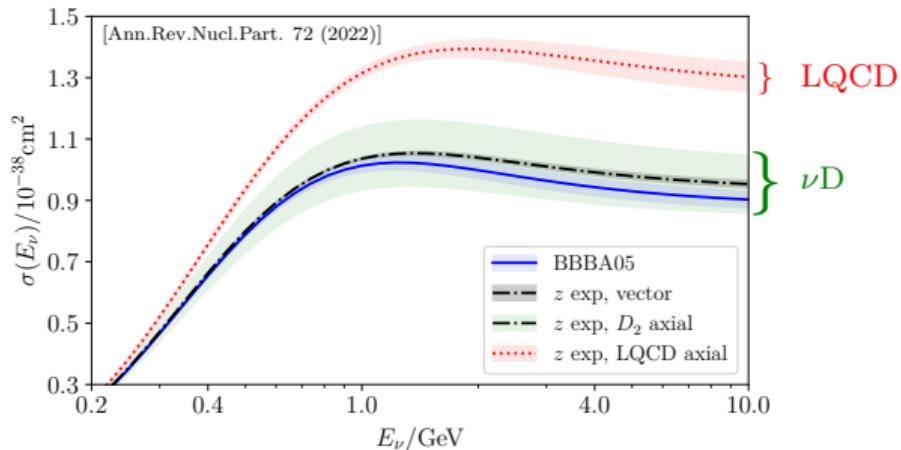
Integrate over  $Q^2$ -dependent function to get QE cross section  $\sigma(E_\nu)$

⇒ large- $Q^2$  discrepancy enhances cross section 30–40%!

⇒ recent Monte Carlo tunes prefer ~20% enhancement of QE

[Phys.Rev.D 105 (2022)] [2206.11050 [hep-ph]]

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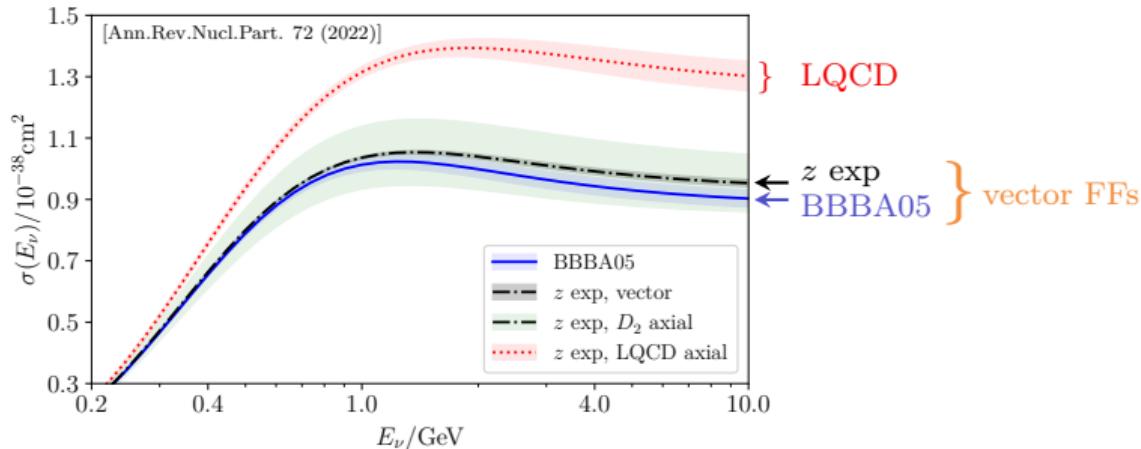
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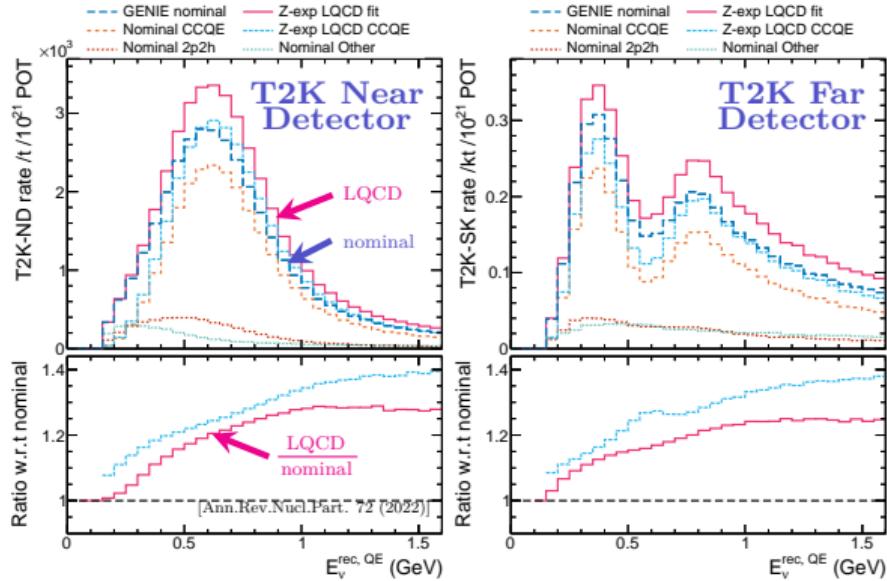
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LQCD precision small enough to be sensitive to vector form factor discrepancies

# T2K Implications



Insert new form factor into Monte Carlo event generator

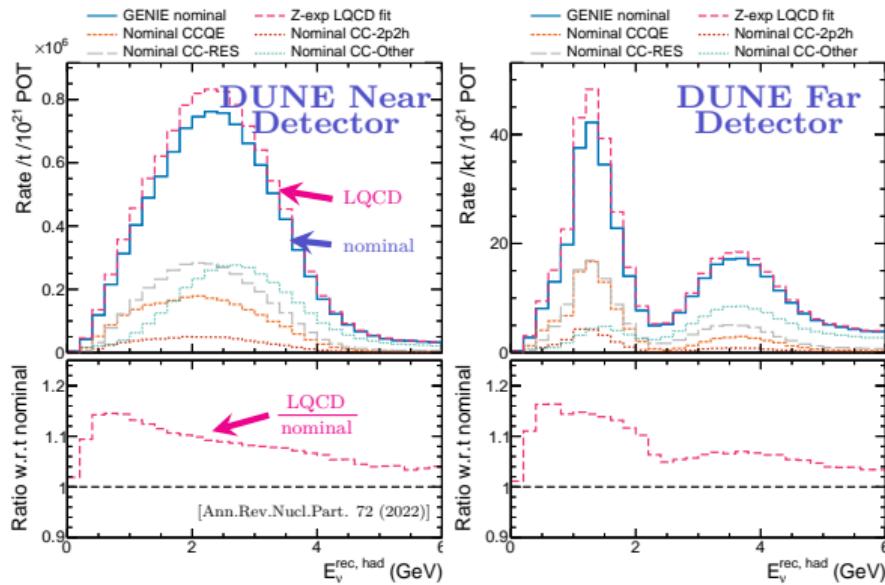
Convolve with realistic flux, nuclear model; compute neutrino event rates

Dashed dark blue (GENIE nominal) vs solid magenta ( $z$  exp LQCD fit)

$E_{\nu}$ -dependent event rate changes, different for near/far detectors

⇒ Potential source of bias – caution!

# DUNE Implications



Insert new form factor into Monte Carlo event generator

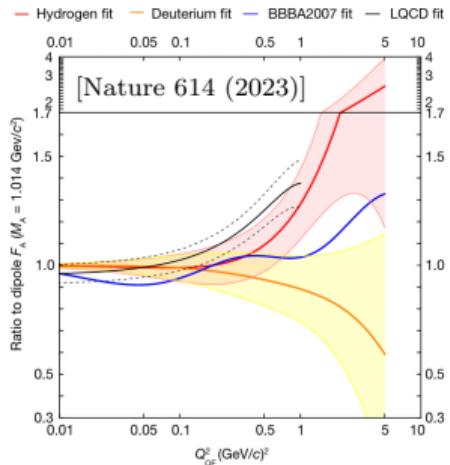
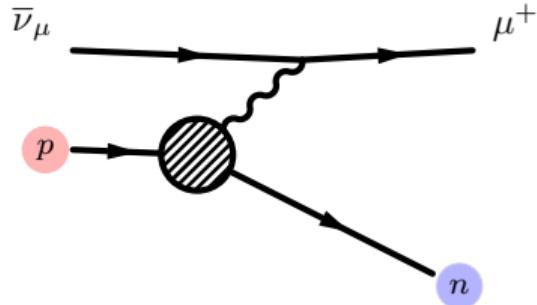
Convolve with realistic flux, nuclear model; compute neutrino event rates

Solid dark blue (GENIE nominal) vs dashed magenta ( $z$  exp LQCD fit)

Similar story, different  $E_{\nu}$  dependence  $\Rightarrow$  different potential bias

Moving target  $\Rightarrow$  other topologies adjusted to soften QE changes

# Hydrogen vs Deuterium



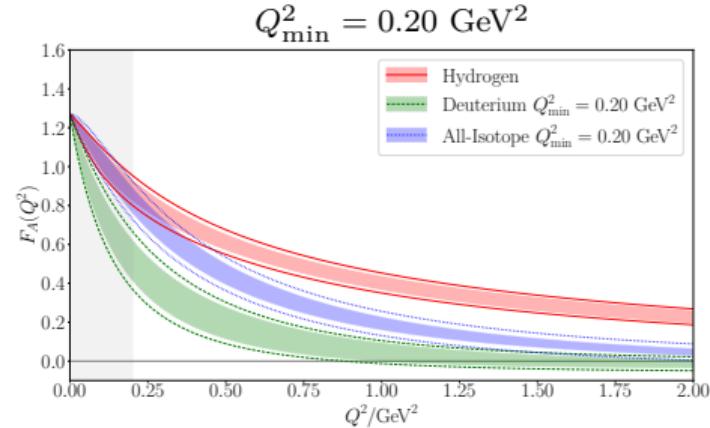
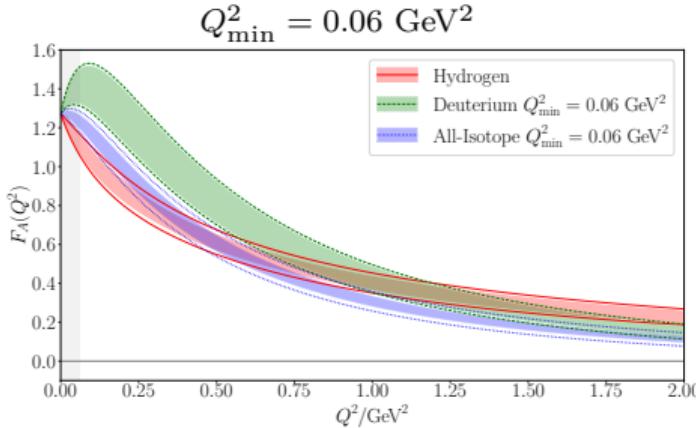
Work done with MINER $\nu$ A collaboration on published data  
Special thanks: Tejin Cai, Kevin McFarland, Miriam Moore

MINER $\nu$ A result for  $\bar{\nu}$ - $p$  scattering in plastic scintillator

Test consistency between hydrogen, deuterium fit together

Some visible disagreements between hydrogen, deuterium  
⇒ how does this manifest in combined fit?

# Isotope Fit Comparisons

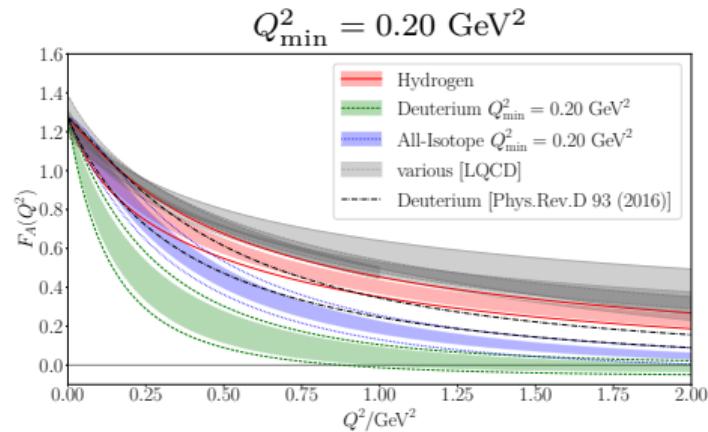
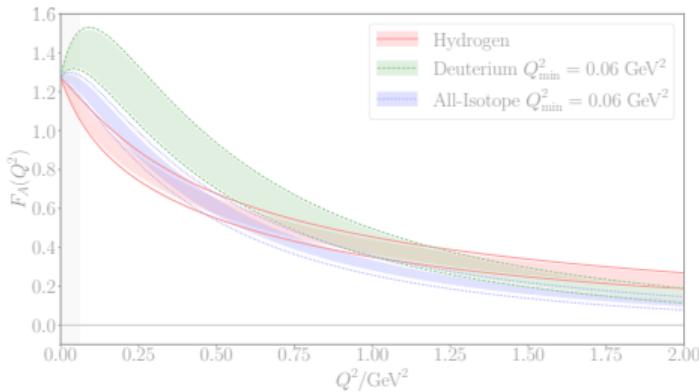


Inner band – uncertainty from axial only

Outer band – uncertainty from axial + vector [Phys.Rev.D 102 (2020)]

Cut low  $Q^2$  in deuterium to avoid systematics (nominal  $Q^2_{\min} = 0.20 \text{ GeV}^2$ )

# Isotope Fit Comparisons



Tension in fits:

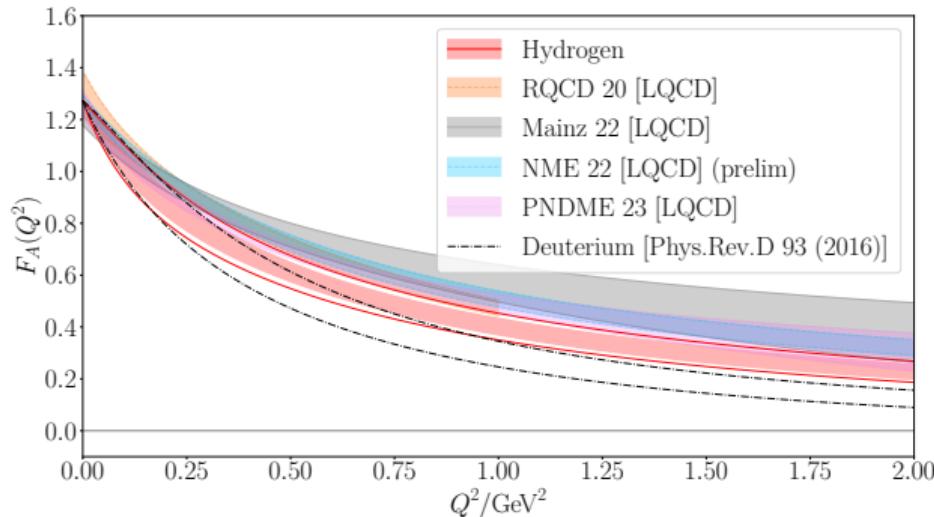
$$\Delta\chi^2 = \chi^2_{H+D} - \chi^2_D - \chi^2_H \approx 8.8 \quad \Rightarrow \quad \Delta\chi^2 / 1 \text{ DoF} \text{ yields } p\text{-Value} \approx 3.0 \times 10^{-3}$$

Test compatibility by fixing axial parameters (marginalize deuterium nuisance parameters):

	$\{a_k\}_D$	$p_D$	$\{a_k\}_H$	$p_H$
$\chi^2_D/\text{DoF}_D$	94.9/94	0.45	<b>167.7/96</b>	<b><math>8.3 \times 10^{-6}</math></b>
$\chi^2_H/\text{DoF}_H$	23.3/15	0.08	10.0/13	0.69

Deuterium is incompatible with hydrogen, LQCD

# Hydrogen–Deuterium Comparison Summary



**Today** – LQCD “prediction” that deuterium fits underestimate axial form factor at high  $Q^2$   
Hydrogen & deuterium shapes mutually incompatible  
We need more modern hydrogen data!

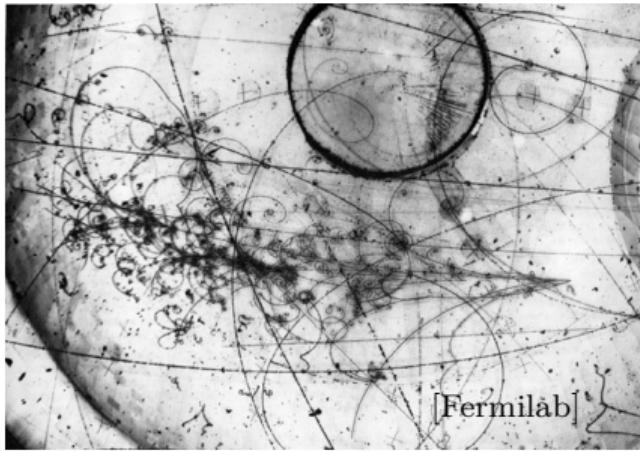
# Concluding Remarks

# What have we learned?

1. Be careful about signal definitions (NOMAD vs MiniBooNE)
2. Be careful about where nuclear model dependence appears (plotting vs  $E_\nu$ )  
     $\implies$  use things that are directly measureable!
3. Be careful about overconstraining parameterizations (dipole)
4. Nucleon form factor uncertainties are not small ( $z$  expansion)  
(the central value might be wrong too) (LQCD)
5. Don't mix single-nucleon effects with multinucleon effects (MiniBooNE)

**Bonus** Multinucleon effects are not negligible (MEC, 2p2h)

# Outlook



- ▶ Learned about *nucleon* amplitudes that contribute to *nuclear* predictions
- ▶ Nucleon form factor **uncertainty is significantly underestimated**
- ▶ Case study of historical overview of axial mass problem
- ▶ Tomorrow: Lattice QCD for nonpractitioners

# Homework

Let's explore the  $z$  expansion:

1. Rewrite the dipole parameterization as a power series in  $z$ . What happens to the expansion coefficients as the order increases? What does this say about the dipole?
2. Perform a principle component analysis (PCA) on the  $z$  expansion with the following parameter values:

$$\begin{aligned} g_A &= 1.2723 \\ t_0 &= -0.28 \text{ GeV}^2 \\ t_c &= 9 \cdot (0.14)^2 \text{ GeV}^2 \end{aligned}$$
$$\left( \begin{array}{c} a_1 \\ a_2 \\ a_3 \\ a_4 \end{array} \right) = \left( \begin{array}{c} -2.29629615 \\ 0.57023535 \\ 3.78739562 \\ -2.31277022 \end{array} \right)$$
$$\text{covariance} = \left( \begin{array}{cccc} 0.01545818 & 0.04518361 & -0.21564118 & 0.20647022 \\ 0.04518361 & 1.08090689 & -2.38701609 & 1.03860128 \\ -0.21564118 & -2.38701609 & 6.53567566 & -4.76577493 \\ 0.20647022 & 1.03860128 & -4.76577493 & 7.39831933 \end{array} \right)$$

To do this, you will need to solve for  $a_0$  using the condition  $\sum_k a_k [z(Q^2 = 0)]^k = g_A$ .

How does this PCA compare to a dipole with axial mass  $M_A = 1.0 \pm 0.1$  GeV? Try different linear combinations of  $1\sigma$  shifts in the PCA components to distort the  $z$  expansion result within its uncertainty and see how much you can alter the shape of the form factor.

# Backup