

part 2

# Connections to BSM

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# Scenario 2: Theoretically Clean SM Background

(picking up with S2p2)

# Back to our Simple “Dark Photon” $U(1)$

...plus one more ingredient

# Impact in Neutrino Facilities?



# Advantages of Light DM in Neutrino Beam

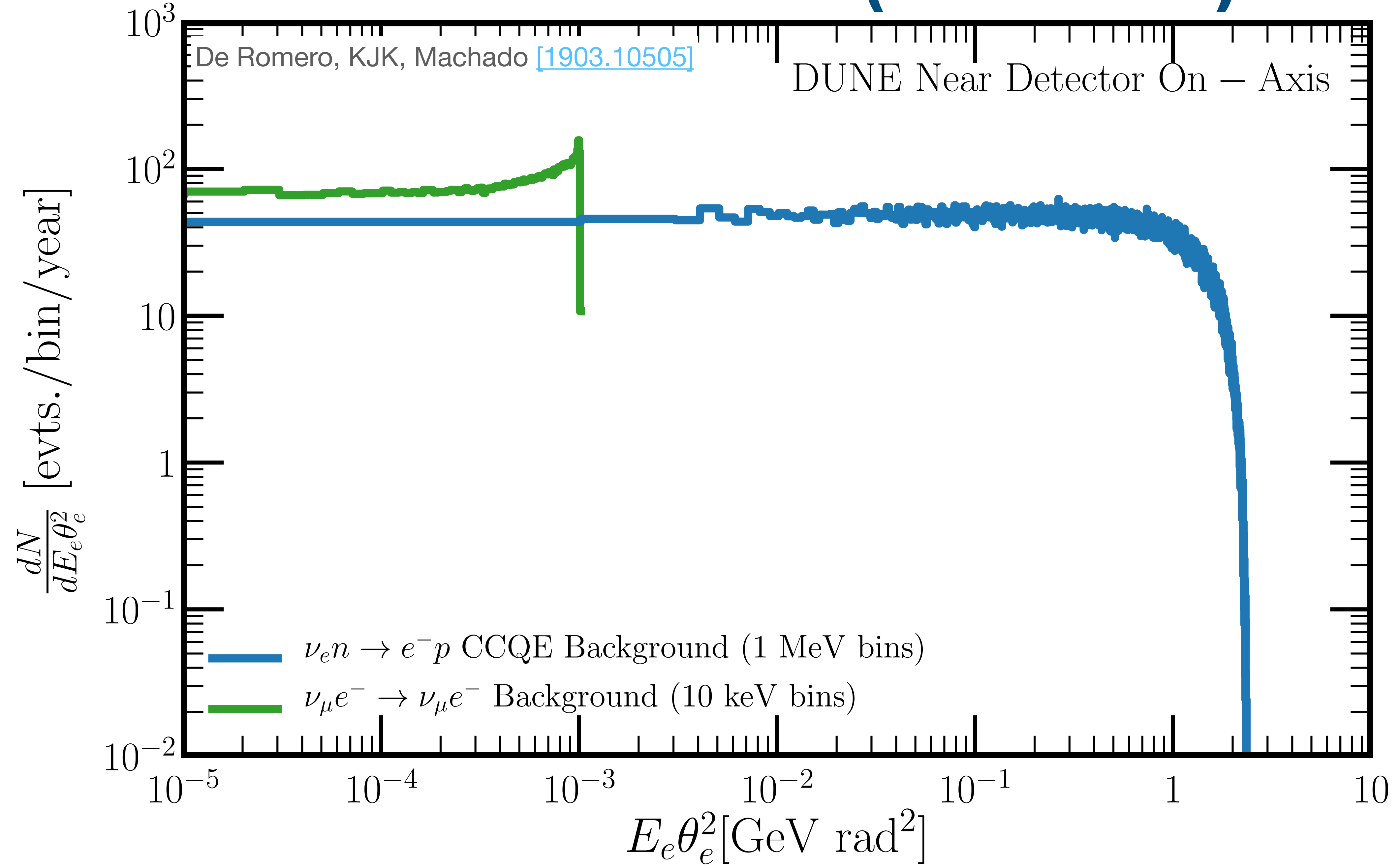
# Problems of Light DM in Neutrino Beam!

Why is this an existential problem?

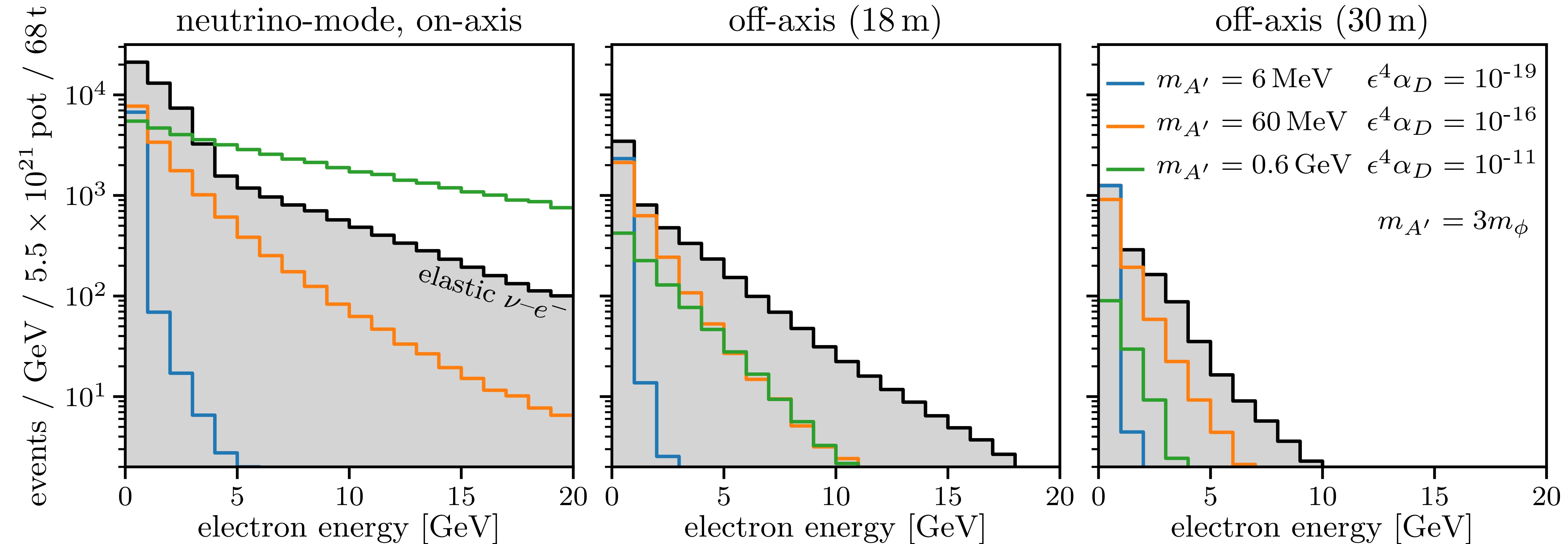
# Aside: $\nu e$ ES vs. $\nu_e$ CCQE

Consider the following two processes:

# Real-life Event Distributions (DUNE ND)



# DM Signal vs. Neutrino Background

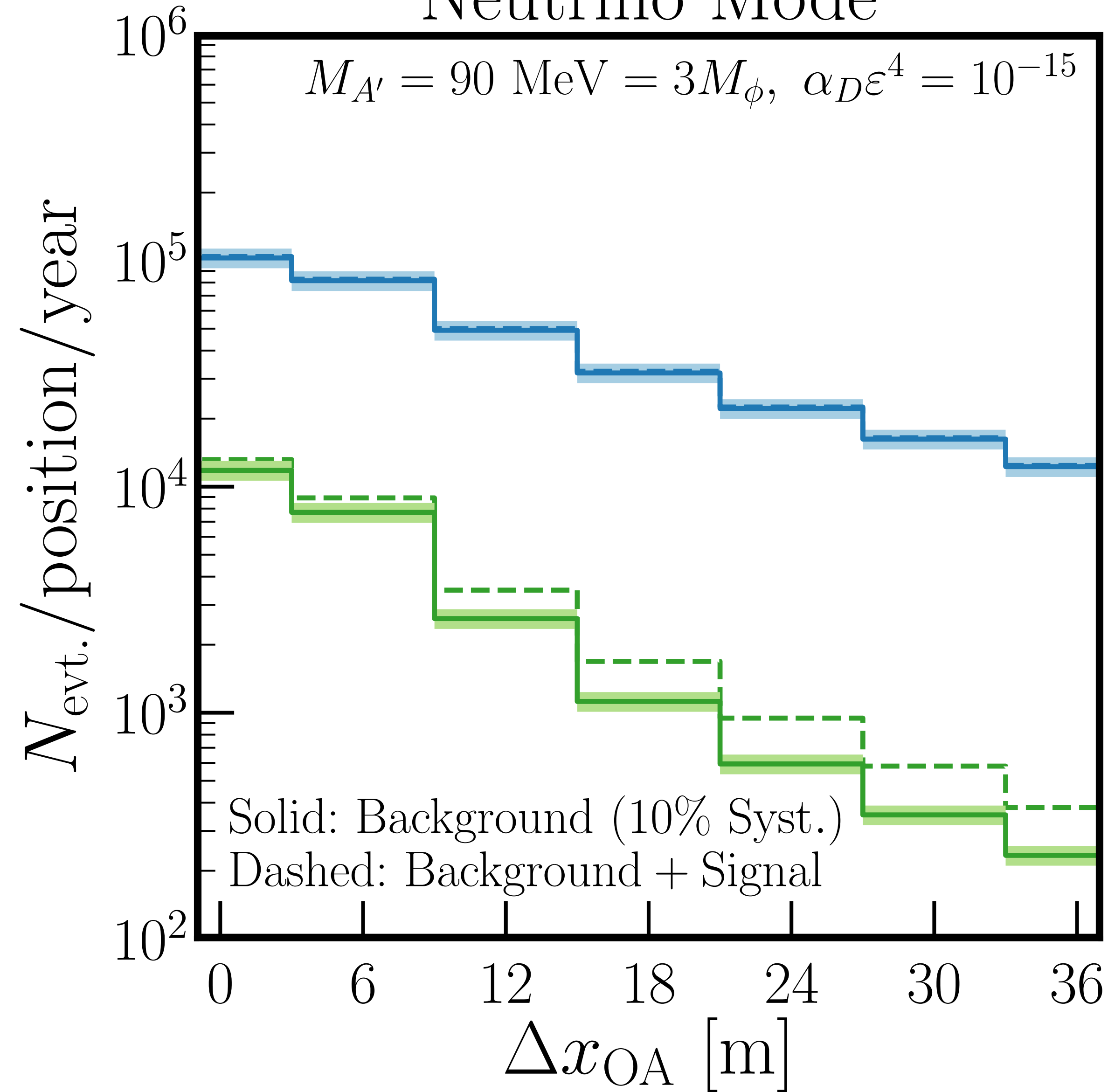


# Why go off-axis?

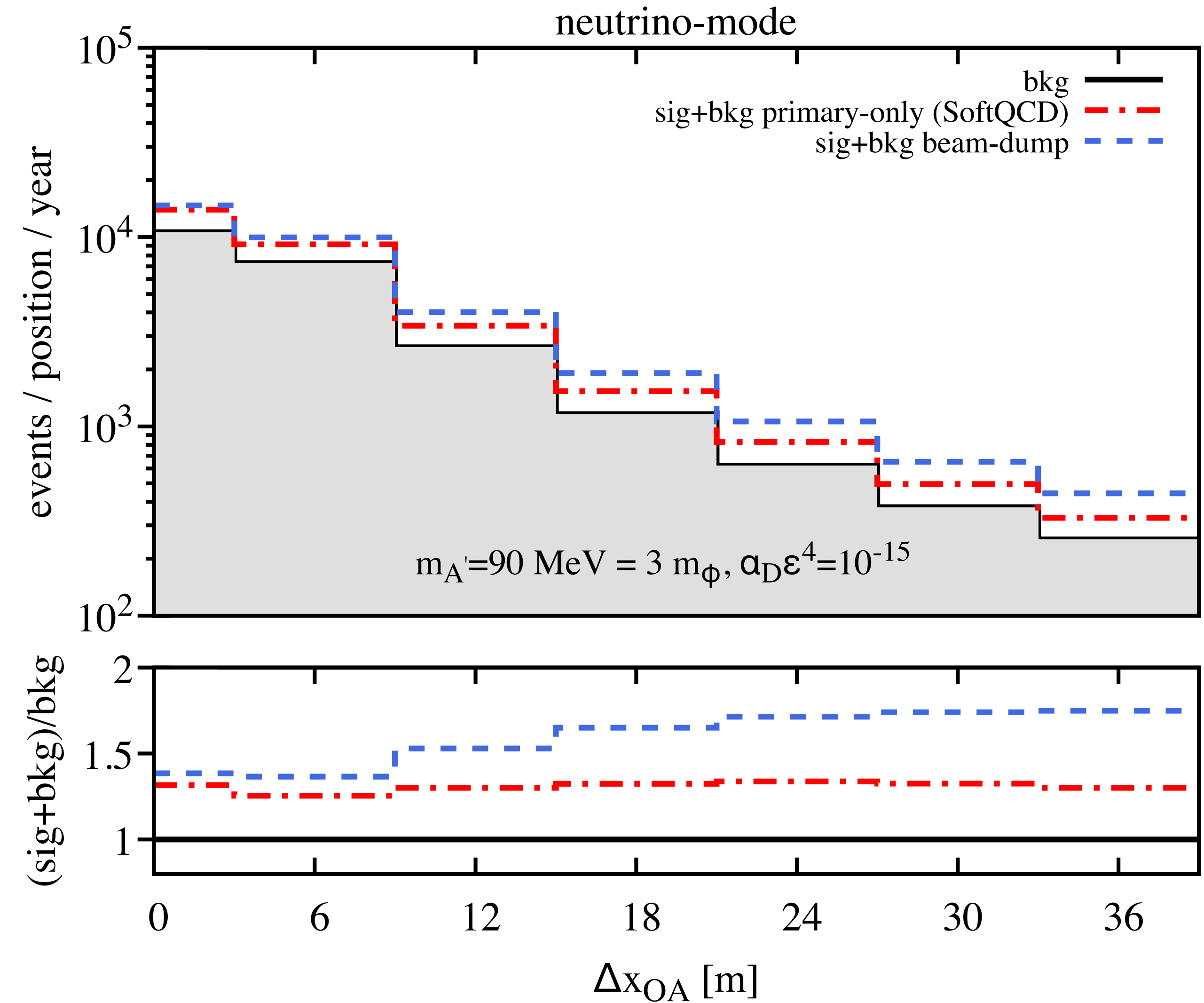
# On- vs. off-axis

Neutrino Mode

$$M_{A'} = 90 \text{ MeV} = 3M_\phi, \quad \alpha_D \varepsilon^4 = 10^{-15}$$



Breitbach et al [\[2102.03383\]](#)

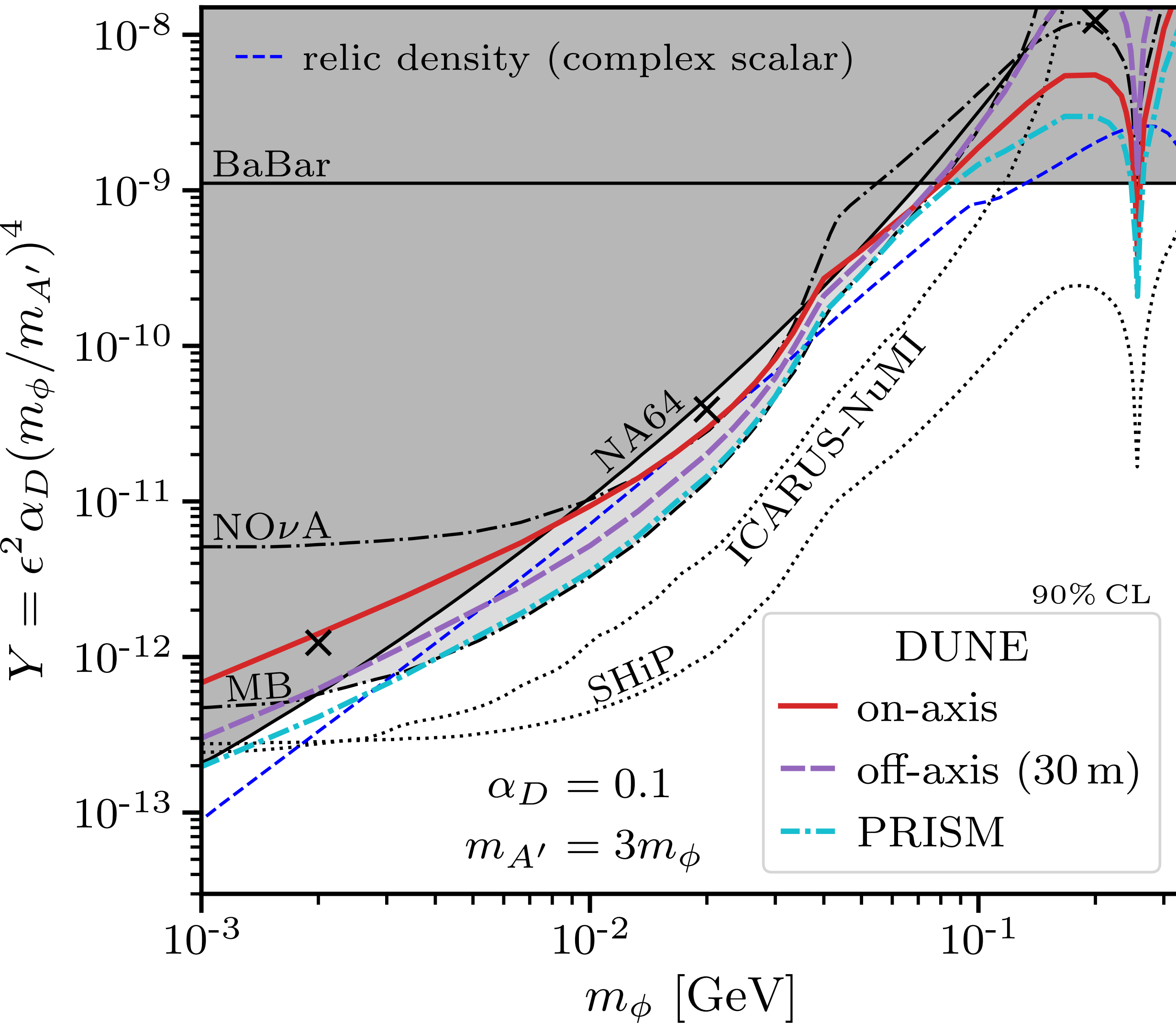


# Sensitivity

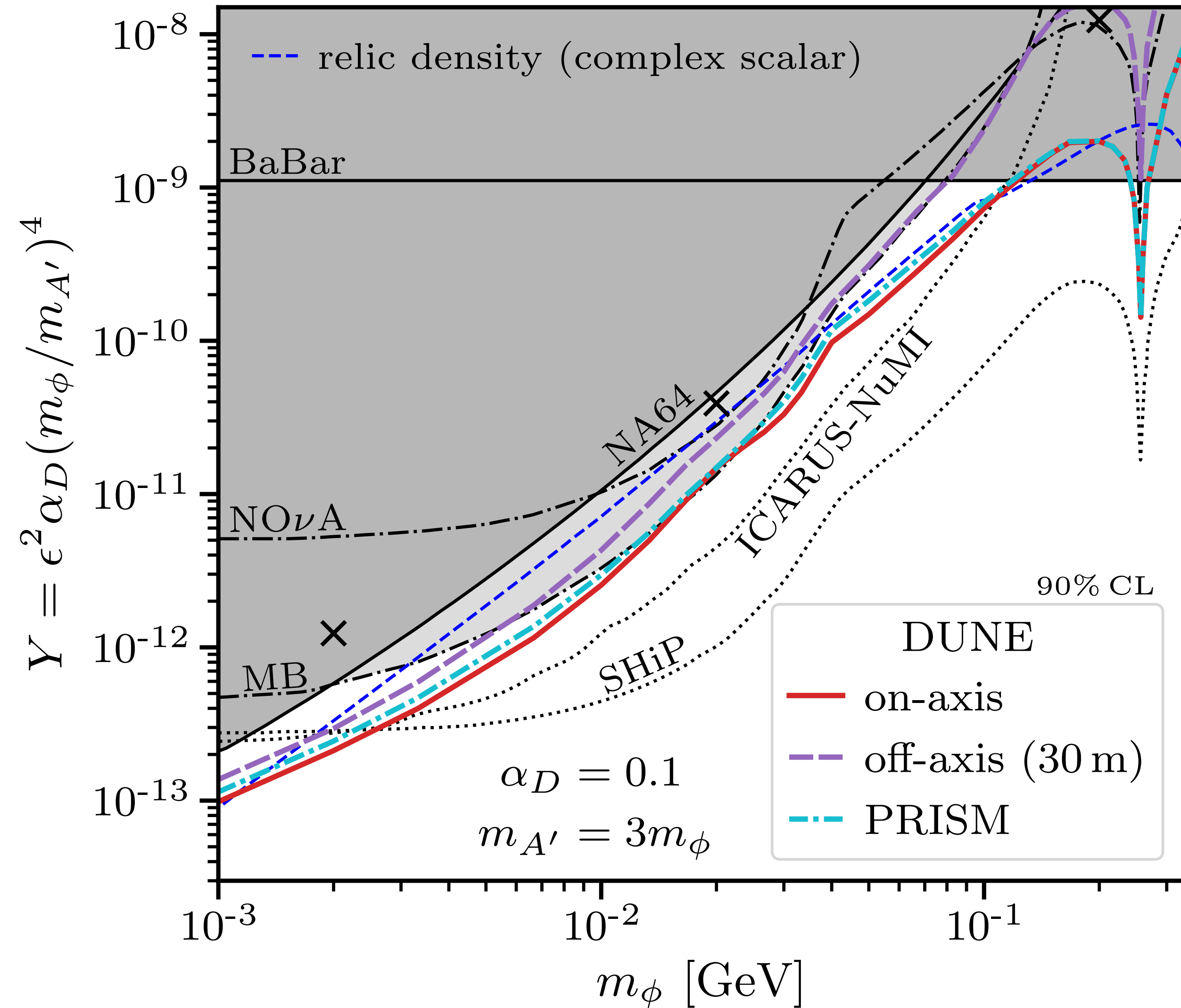
Breitbach et al [[2102.03383](#)]

(can compare against De Romero, KJK, Machado [[1903.10505](#)])

total rates analysis



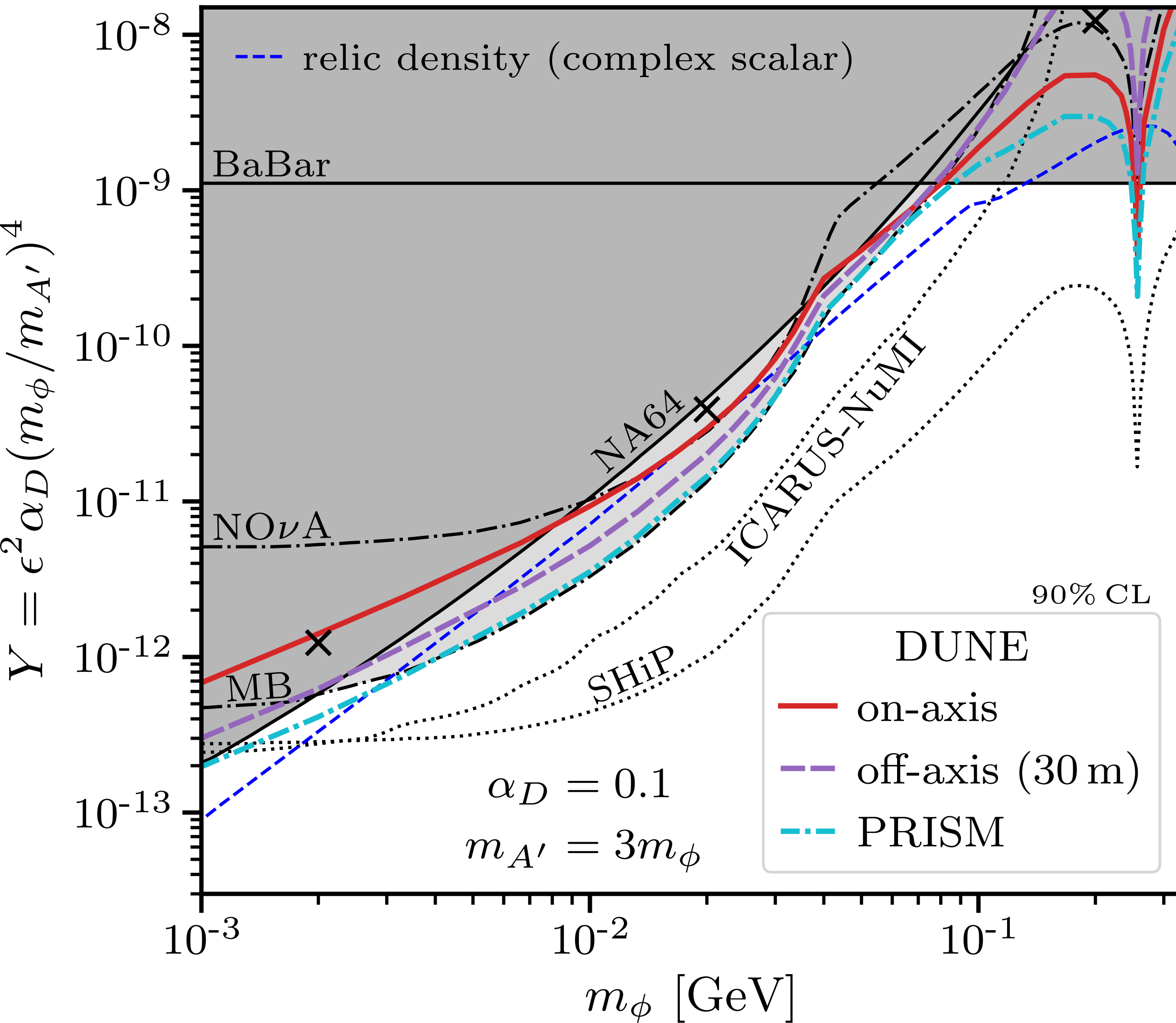
spectral analysis ( $\Delta E = 250$  MeV)



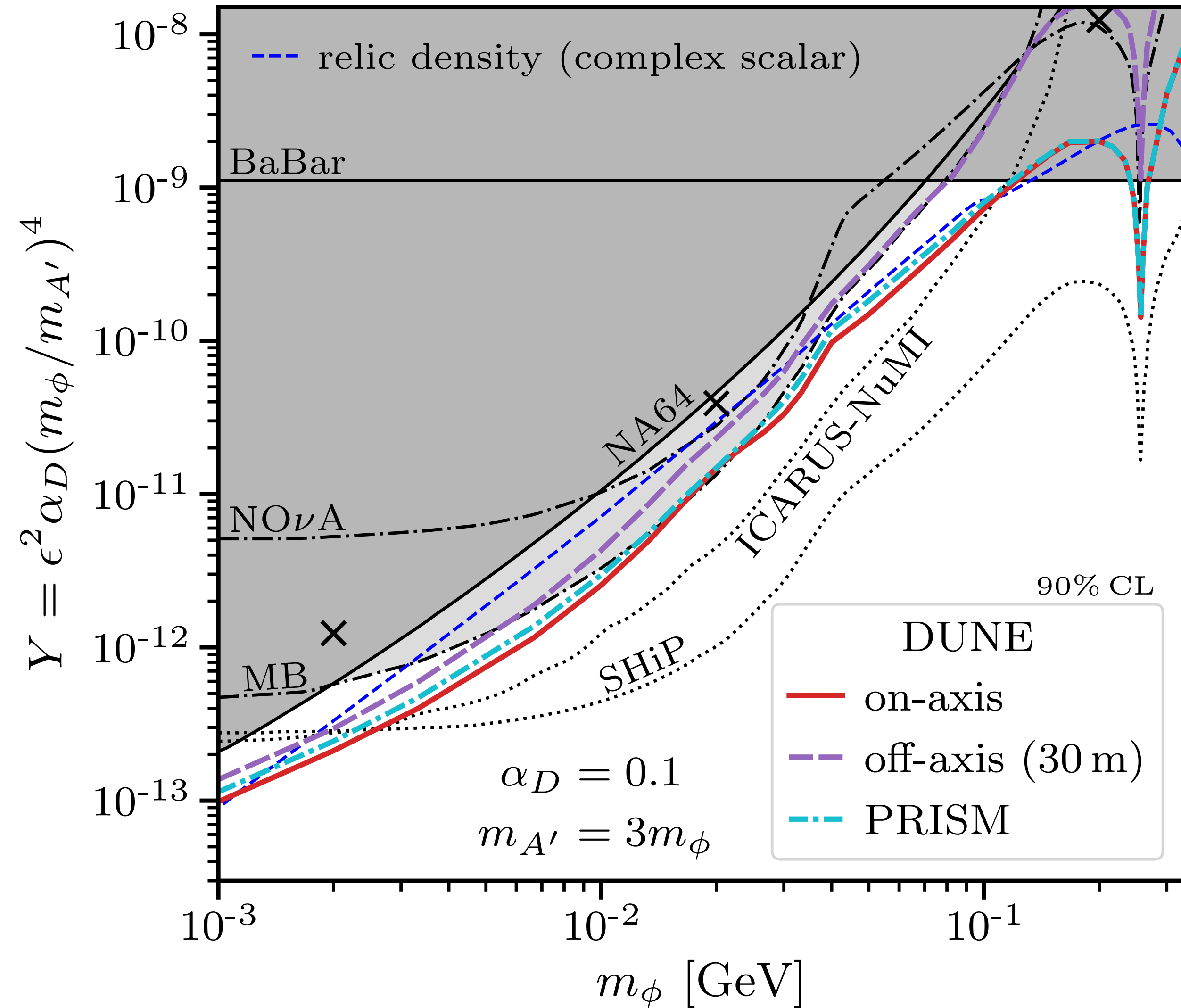


# Sensitivity

total rates analysis



spectral analysis ( $\Delta E = 250$  MeV)



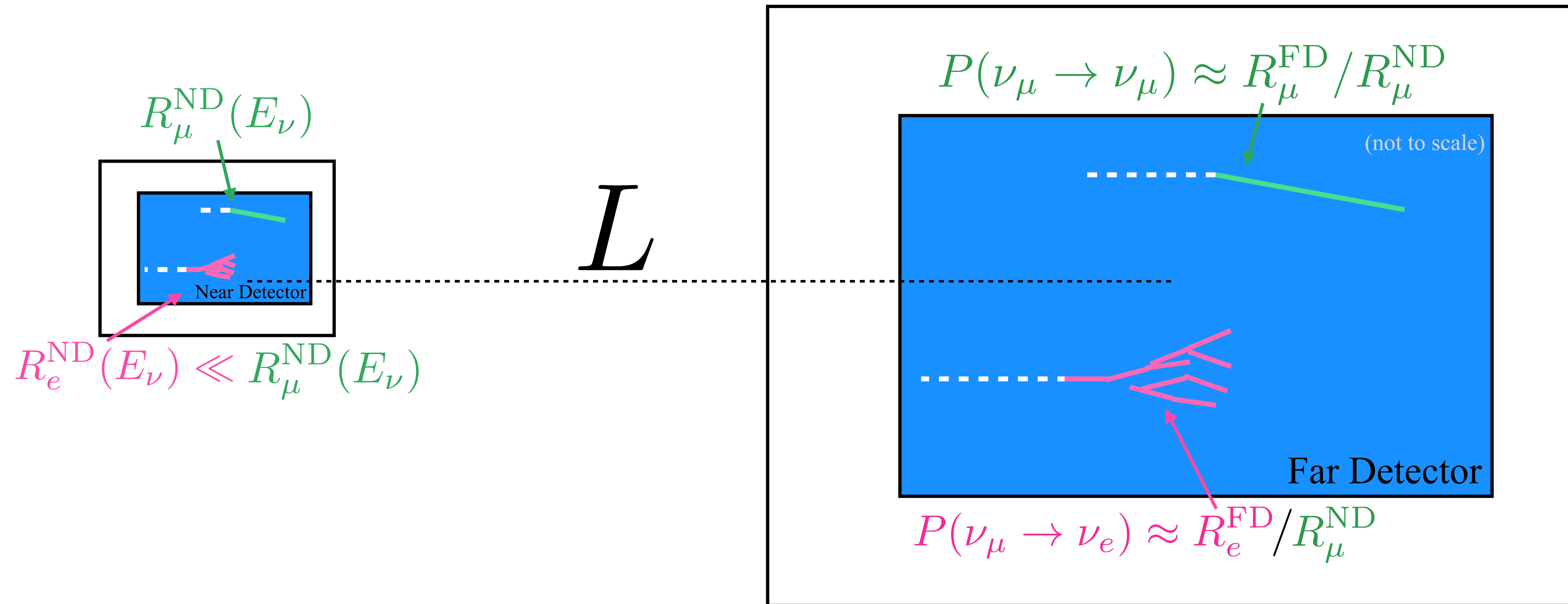
# Scenario 3: Challenging (but experimentally measurable) SM Background(s)

(following Coyle et al [[2210.03753](#)] for inspiration)

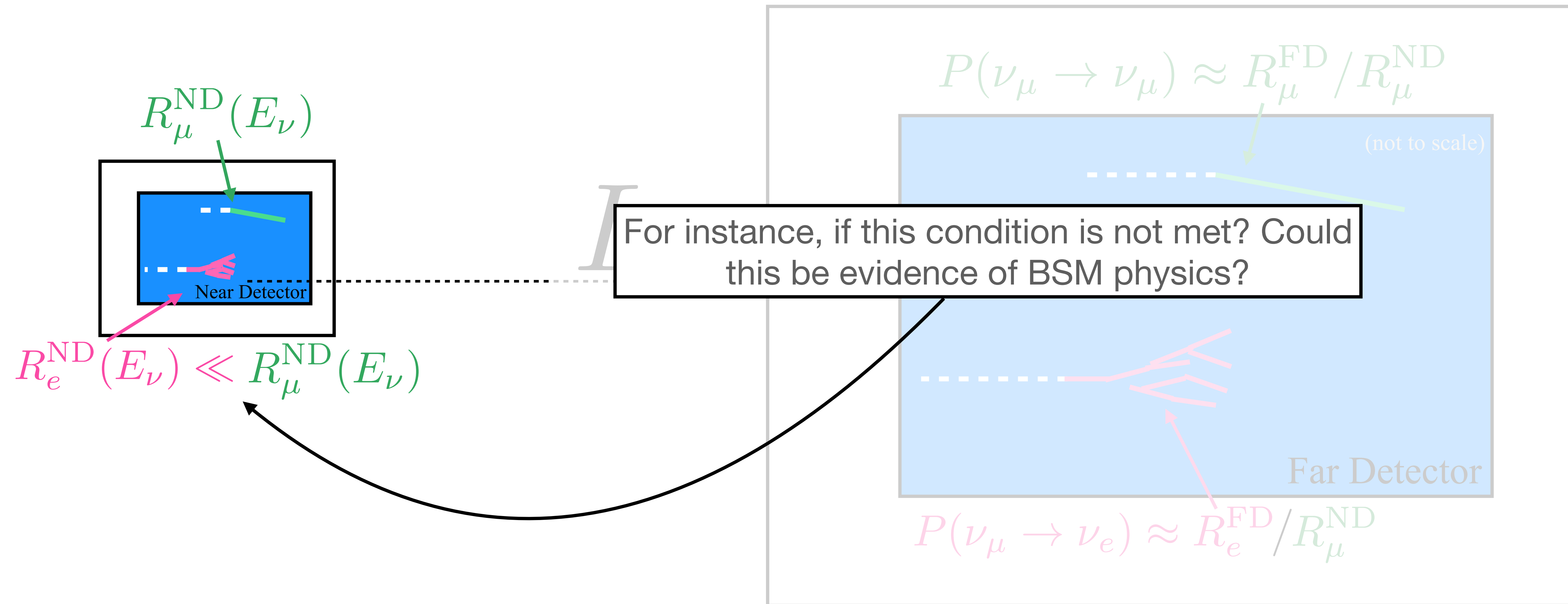
# Sometimes, the desired physics “looks like” SM Neutrino Physics

(sterile neutrinos 101)

# Deviations at Near Detector?

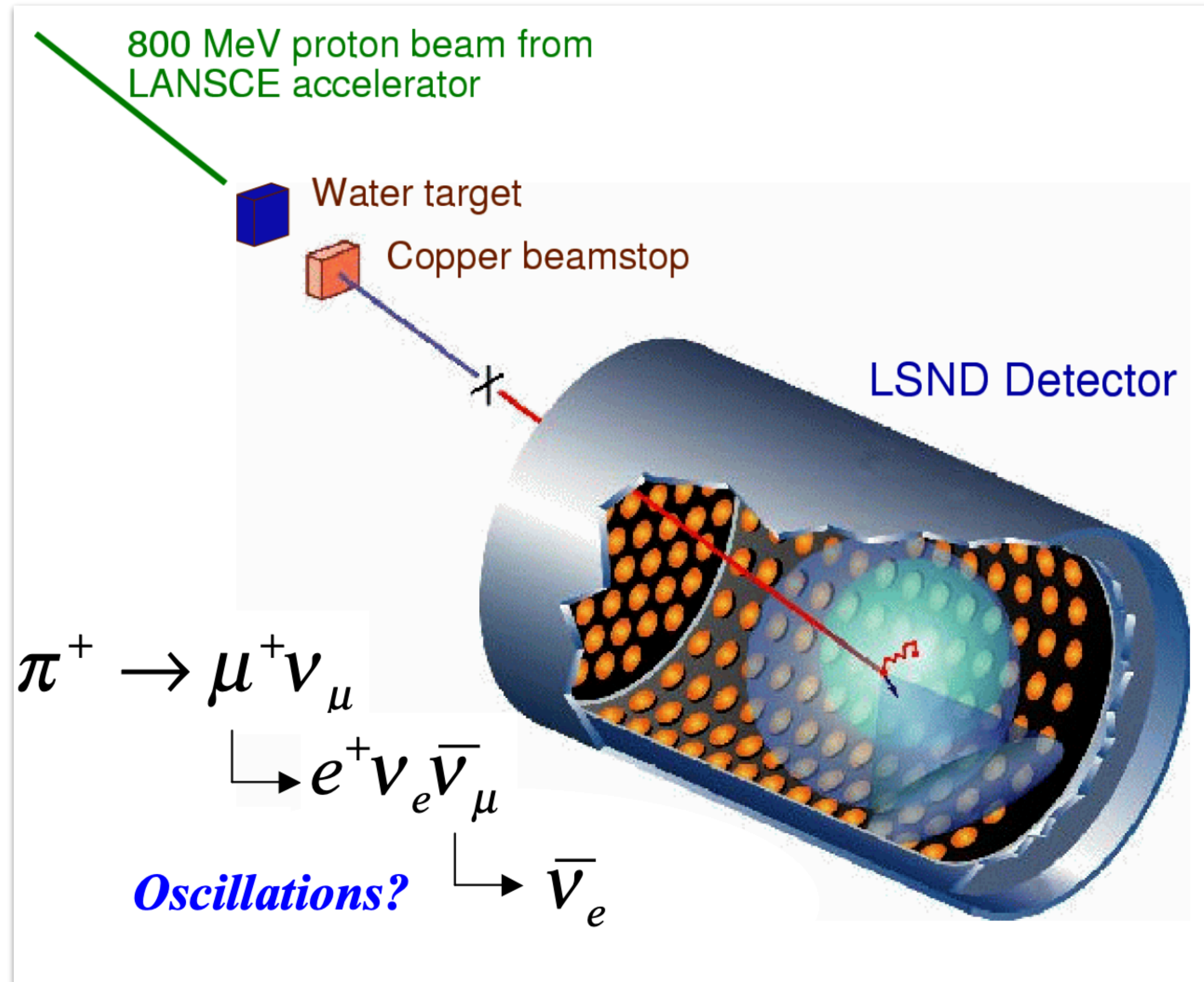


# Deviations at Near Detector?

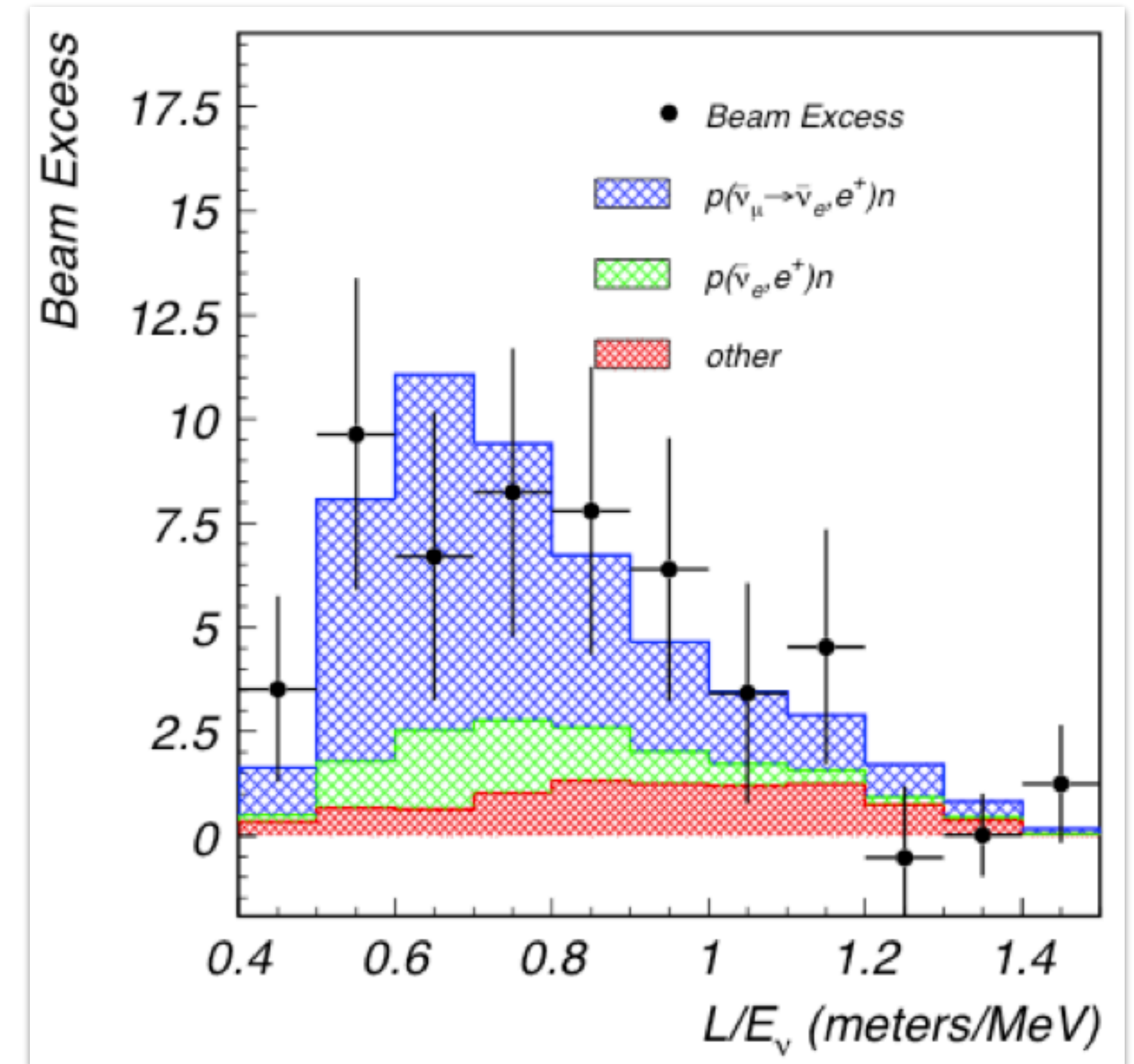




# Liquid Scintillator Neutrino Detector (LSND)



$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e?$$



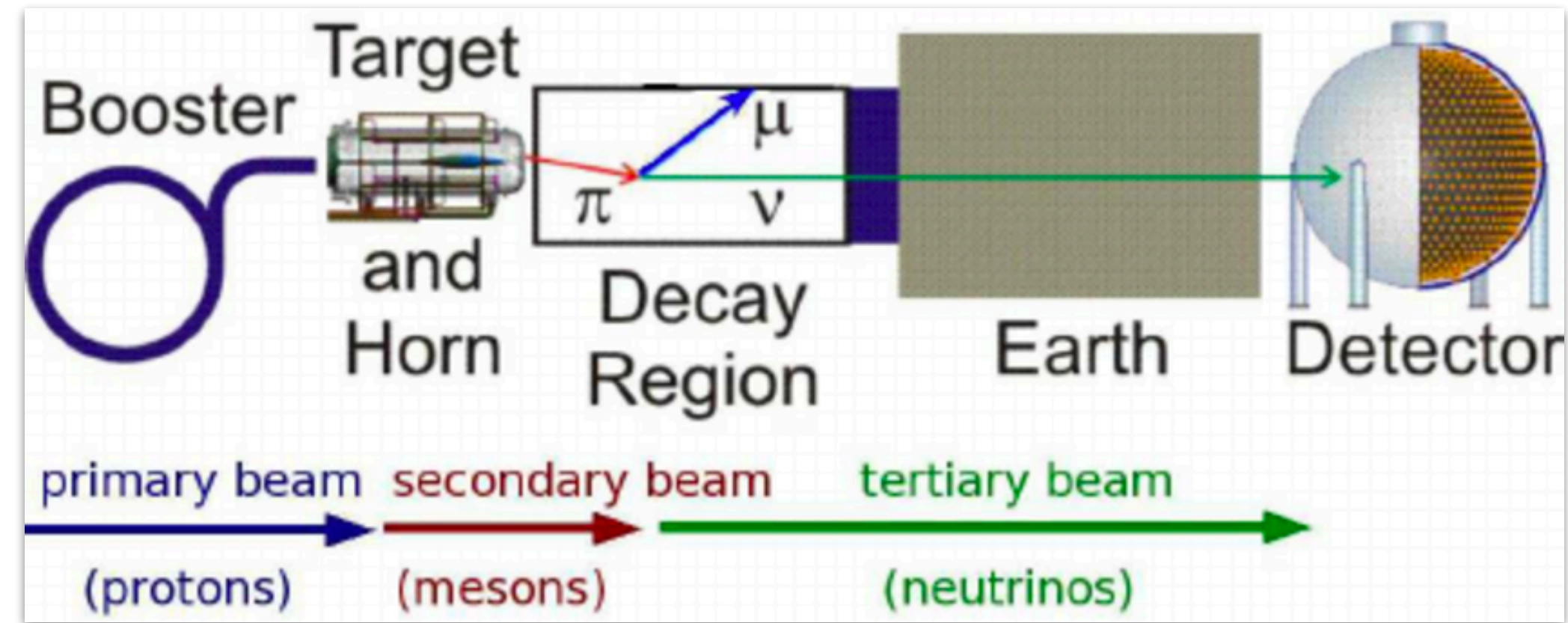
Neutrinos (mostly) from pion/muon decay-at-rest — O(30) MeV, roughly 50 meter baseline length.

Observed excess —  $87.9 \pm 22.4 \pm 6.0 \rightarrow P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \approx 2.6 \times 10^{-3}$



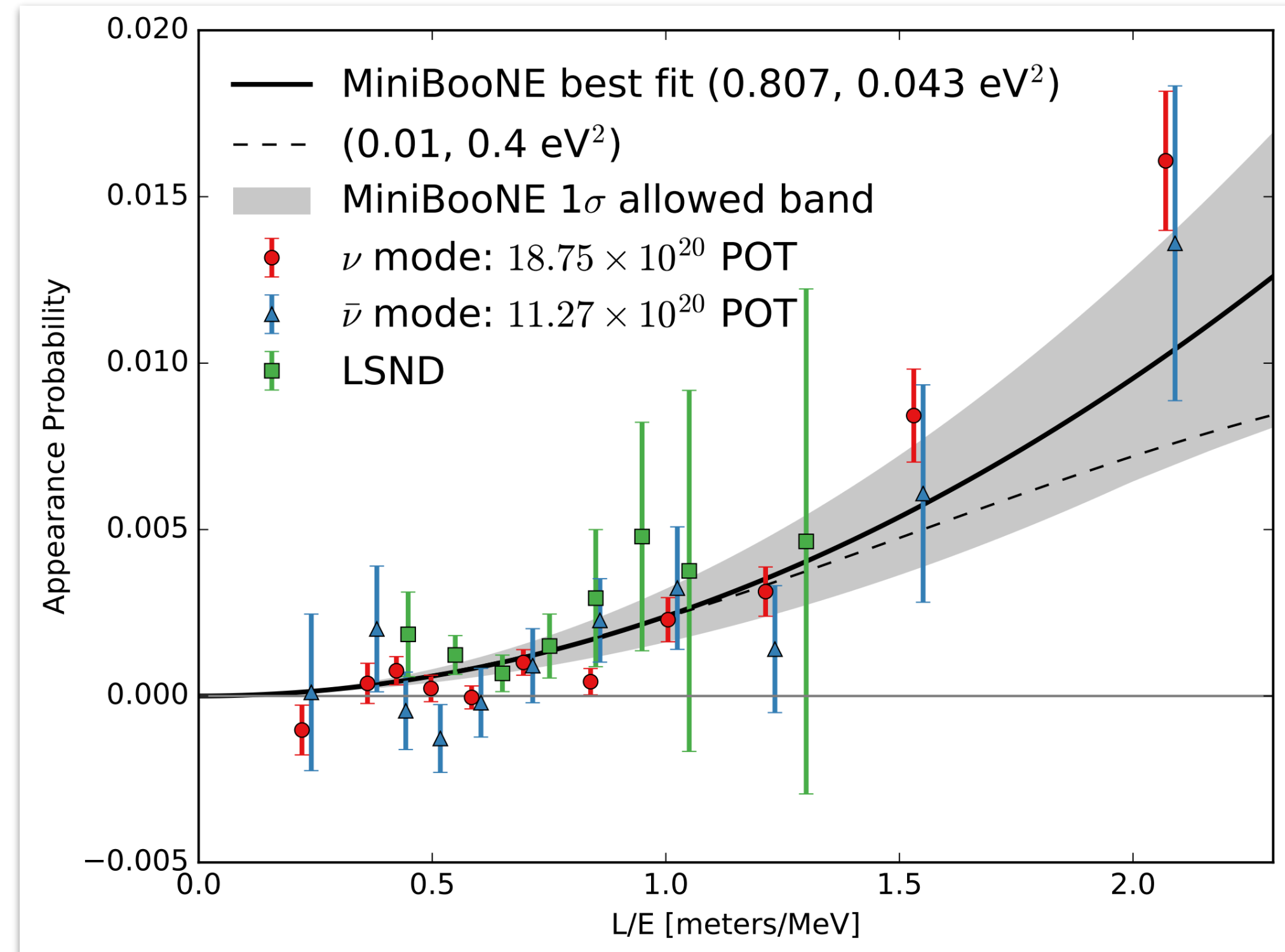
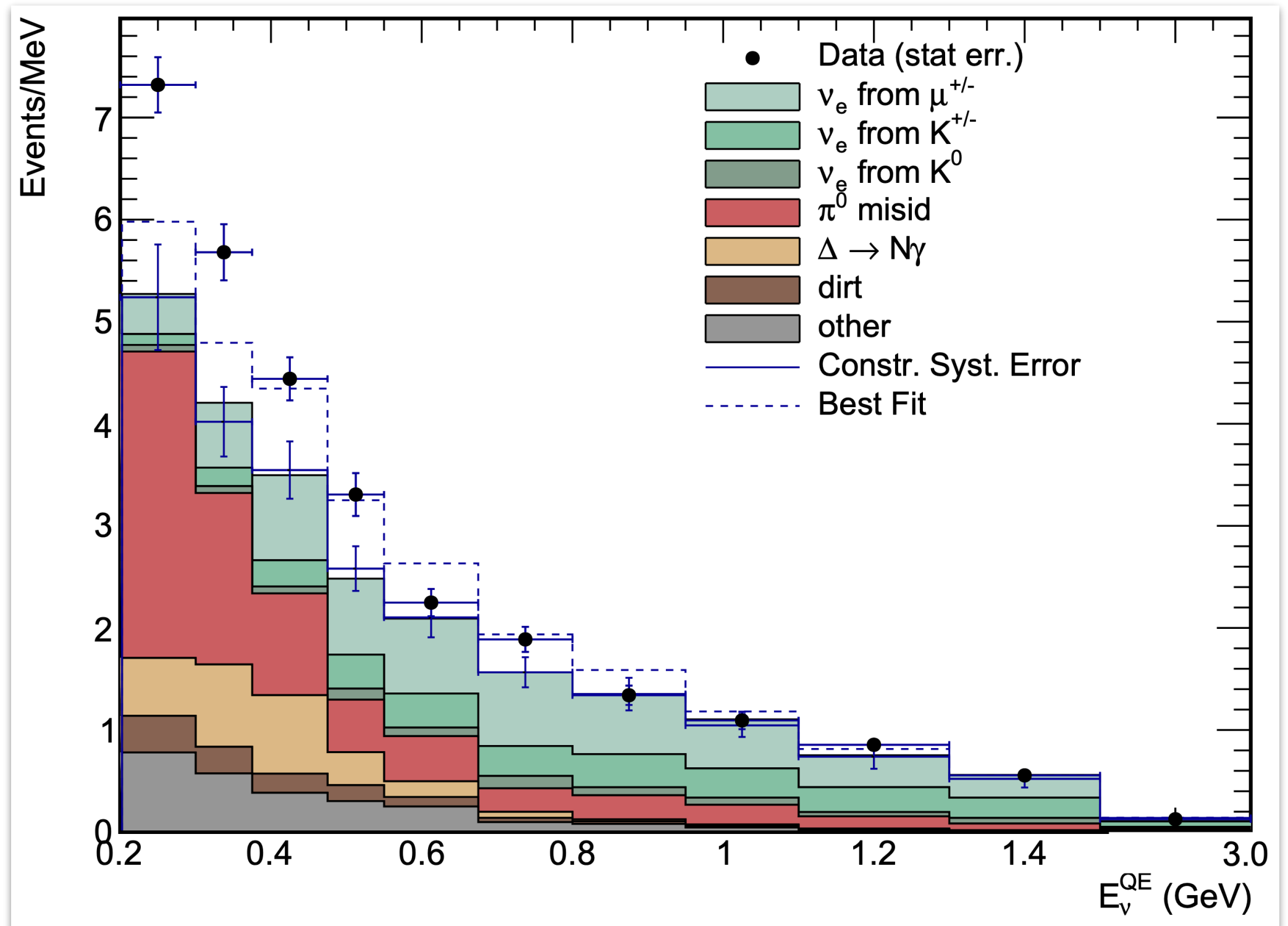
# MiniBooNE

Designed to test the LSND anomaly — very different L, E, but similar L/E

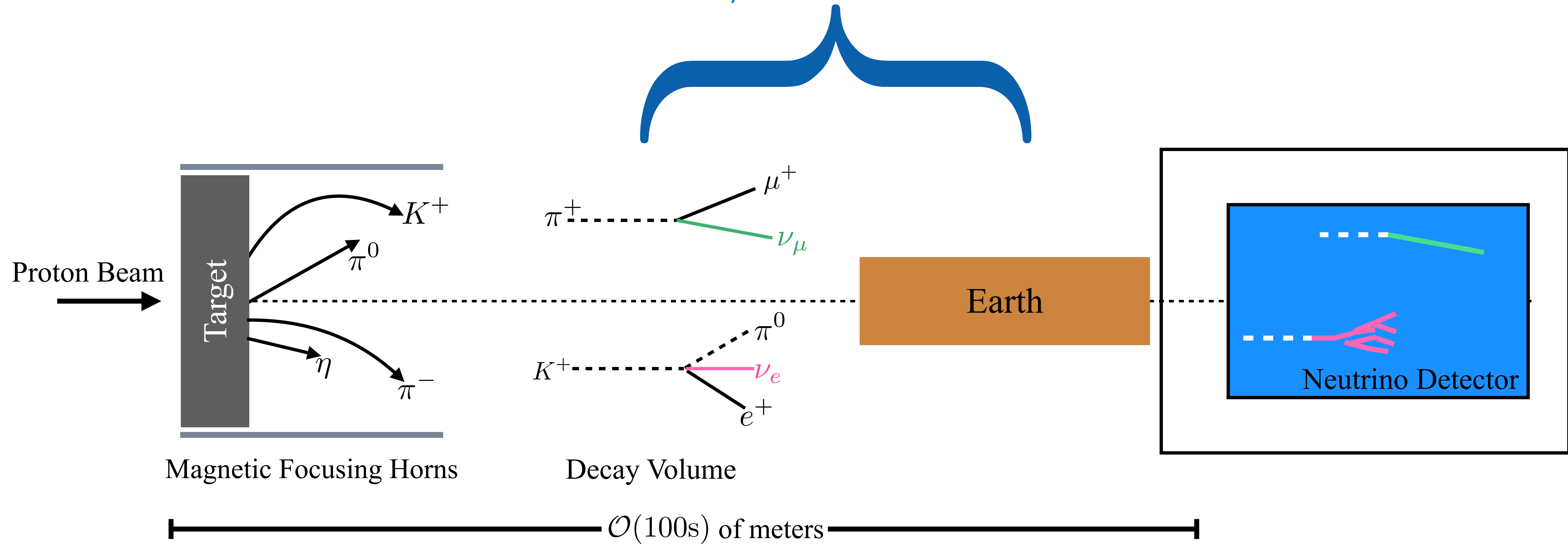


$$\nu_{\mu} \rightarrow \nu_e \text{ AND } \bar{\nu}_{\mu} \rightarrow \bar{\nu}_e?$$

MiniBooNE Collab., [2006.16883]

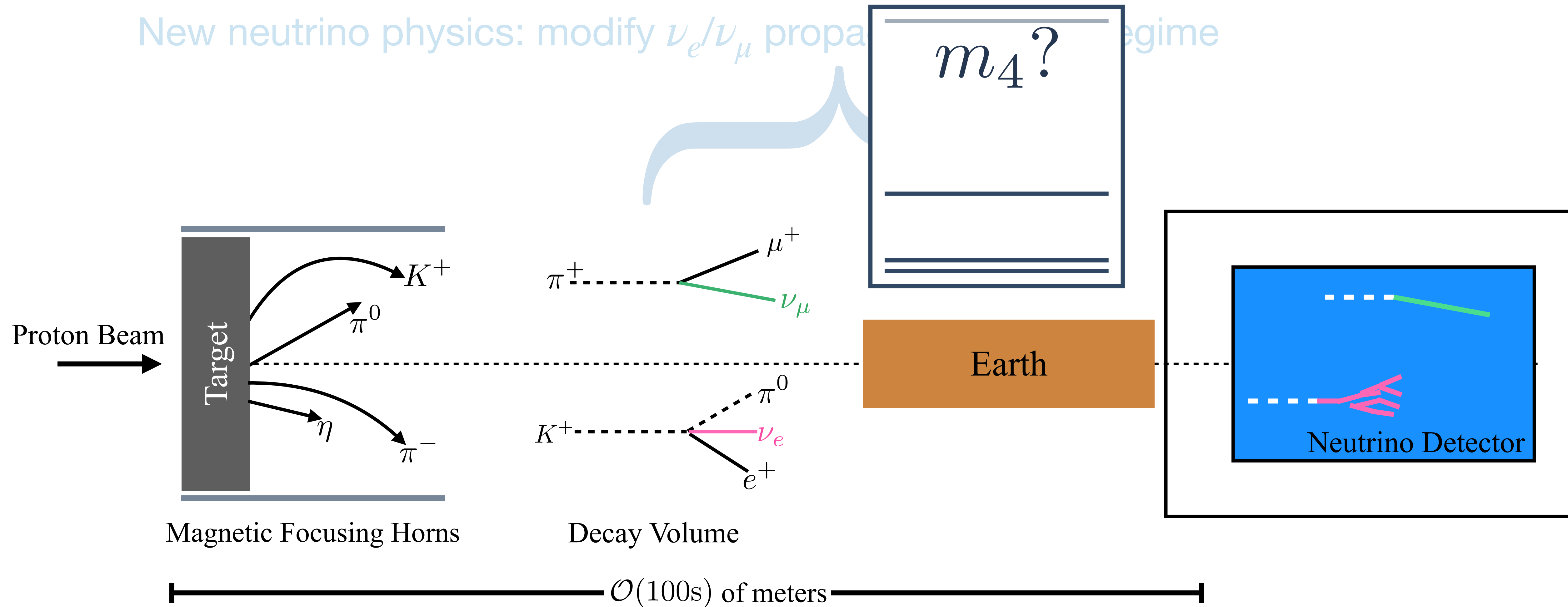


New neutrino physics: modify  $\nu_e/\nu_\mu$  propagation in this regime



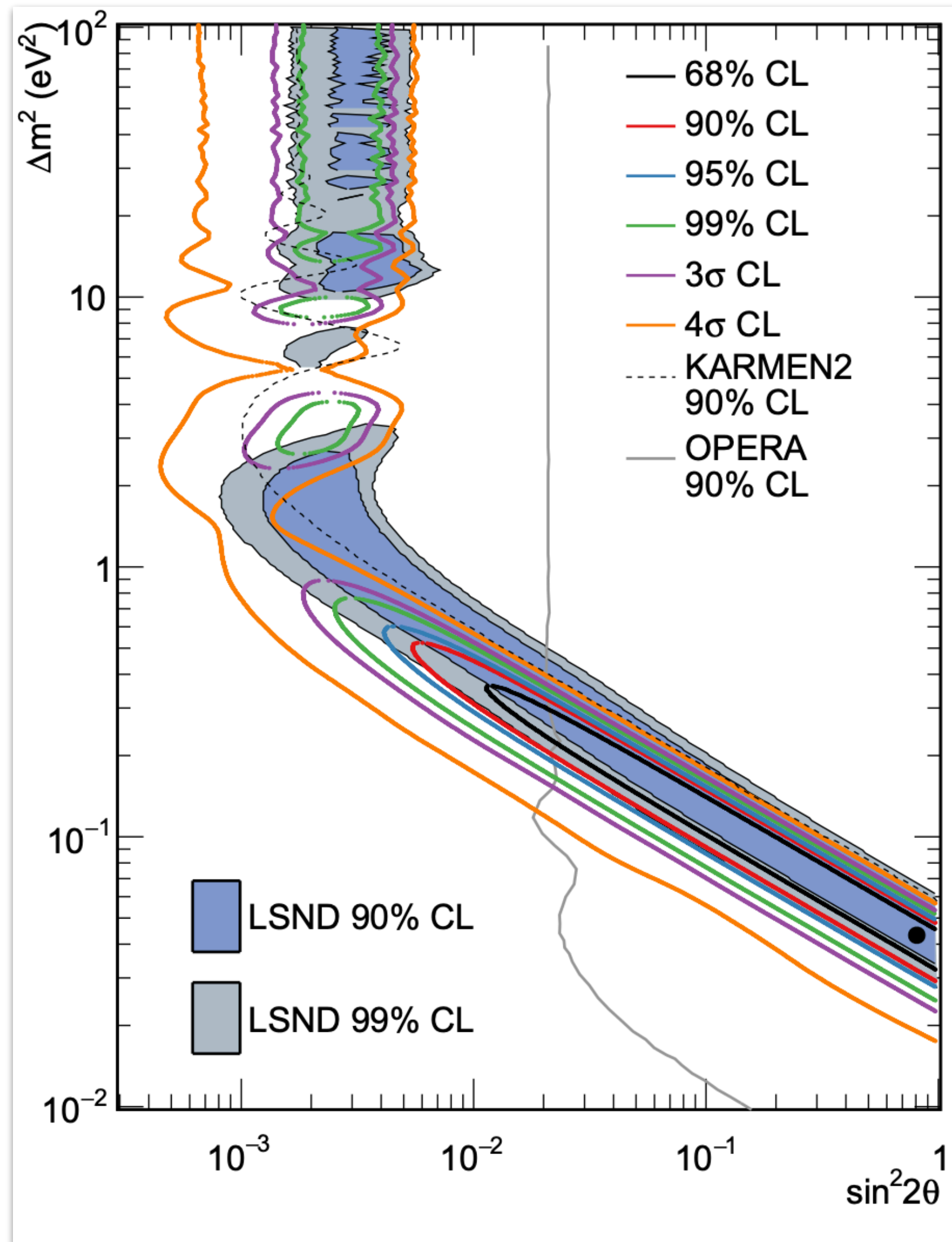


New neutrino physics: modify  $\nu_e/\nu_\mu$  propagation regime



$$P_{\alpha\beta} \approx \sin^2(2\theta_{\alpha\beta}) \sin^2\left(\frac{\Delta m^2 L}{4E_\nu}\right) \quad \text{New mass scale } \Delta m^2 \gg \Delta m_{\text{SM}}^2$$

# Fourth-neutrino interpretation

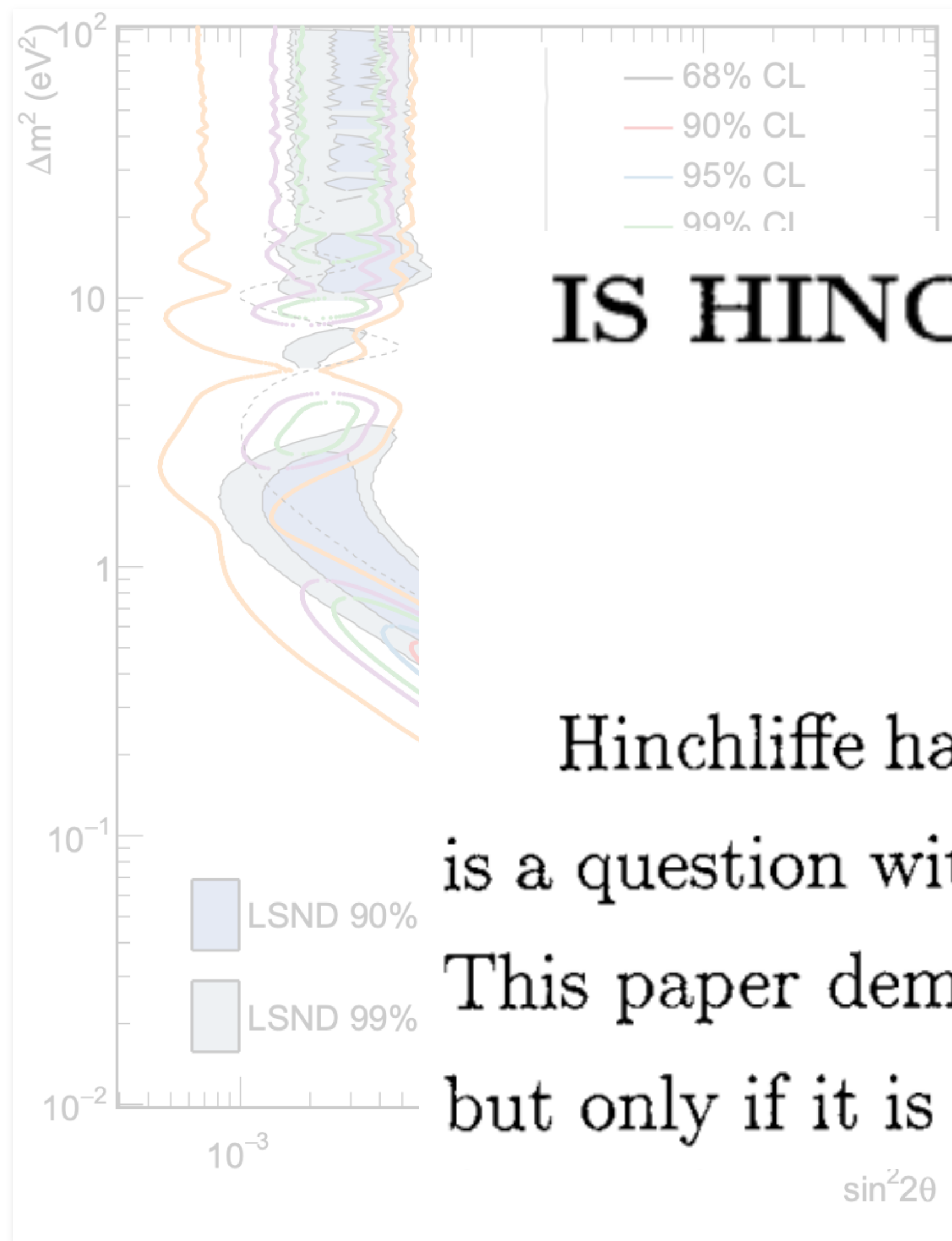


If coming from oscillations, the results from LSND and MiniBooNE require a new mass eigenstate around the eV scale.

Combined with the observed invisible width of the Z-boson (LEP), any additional light neutrino(s) must be sterile — gauge singlets.

Is this 3+1 scenario compatible with global data?

# Fourth-neutrino interpretation



IS HINCHLIFFE'S RULE TRUE? •

Boris Peon

Abstract

Hinchliffe has asserted that whenever the title of a paper is a question with a yes/no answer, the answer is always no.

This paper demonstrates that Hinchliffe's assertion is false, but only if it is true.

[\(in loving memory\)](#)

ND and  
und the eV

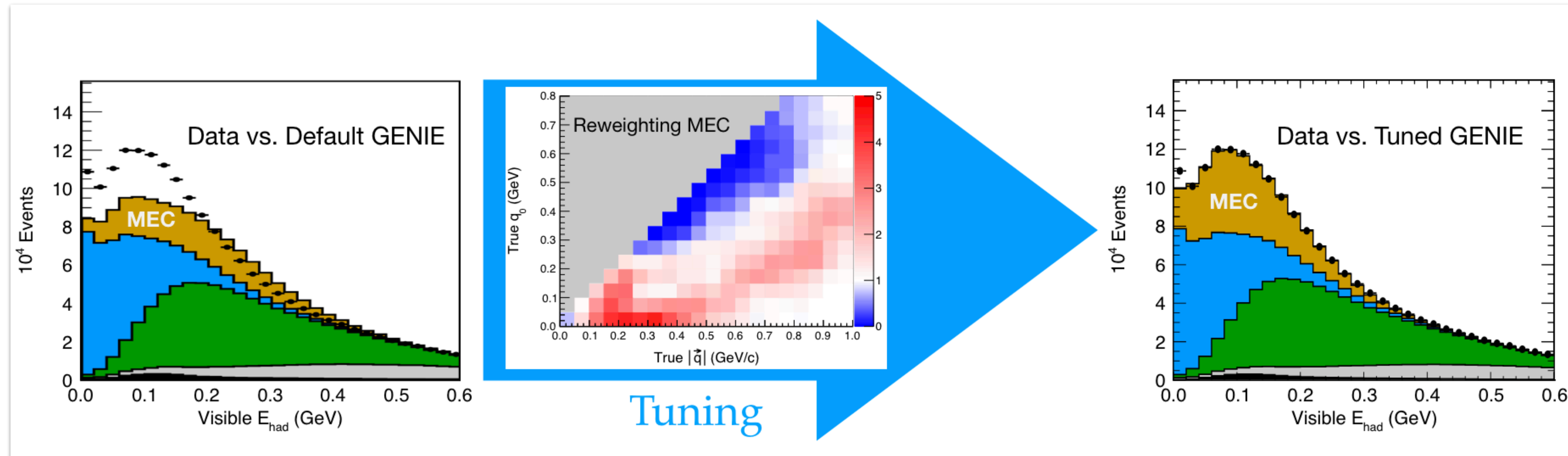
the Z-boson  
sterile — gauge

cal data?

# Consequences of Invoking a light (sterile) Neutrino

**Impact at, e.g., NOvA ND**

# What do Experiments do with ND Data?

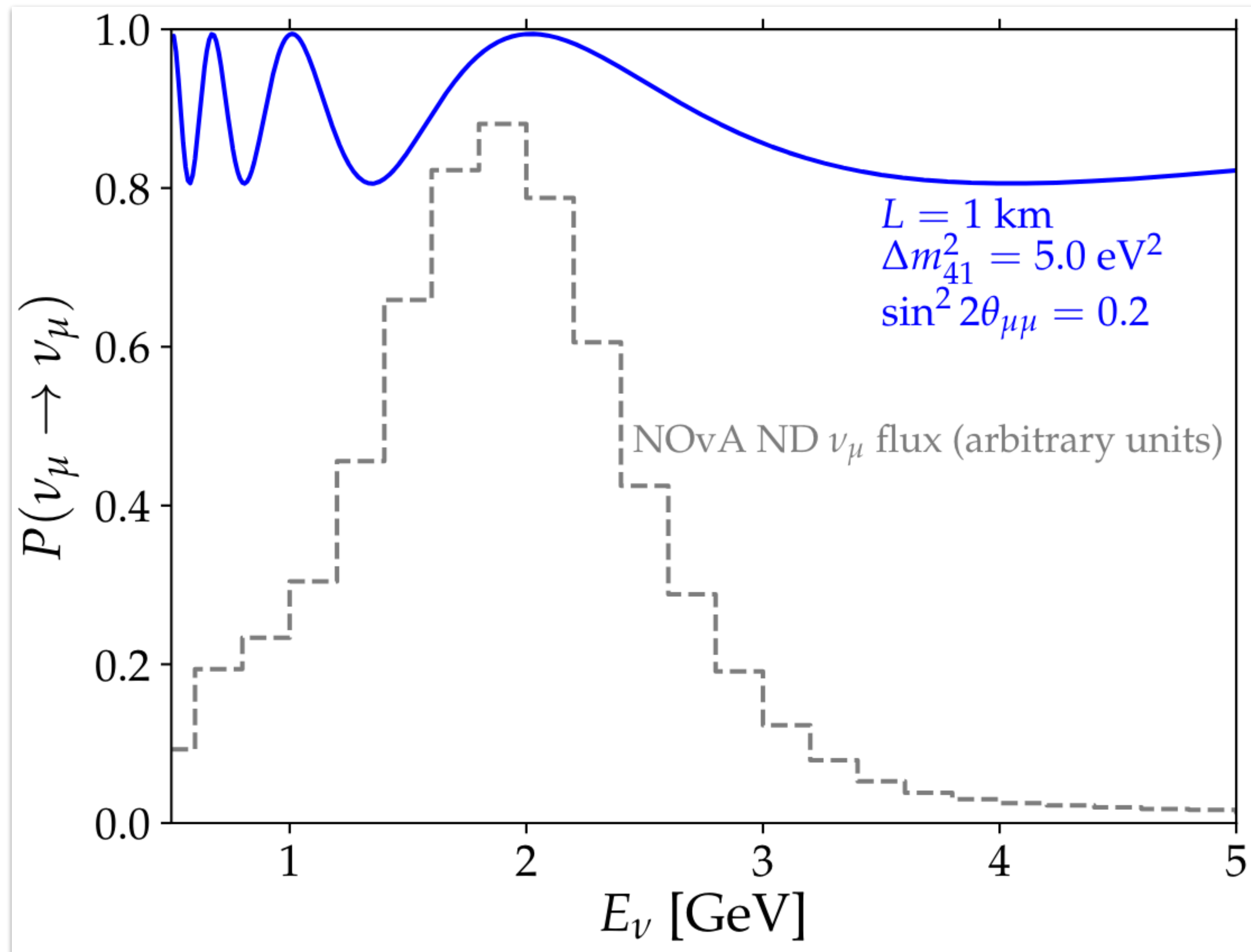


Coyle et al [\[2210.03753\]](#), adapted from NOvA [\[2006.08727\]](#)

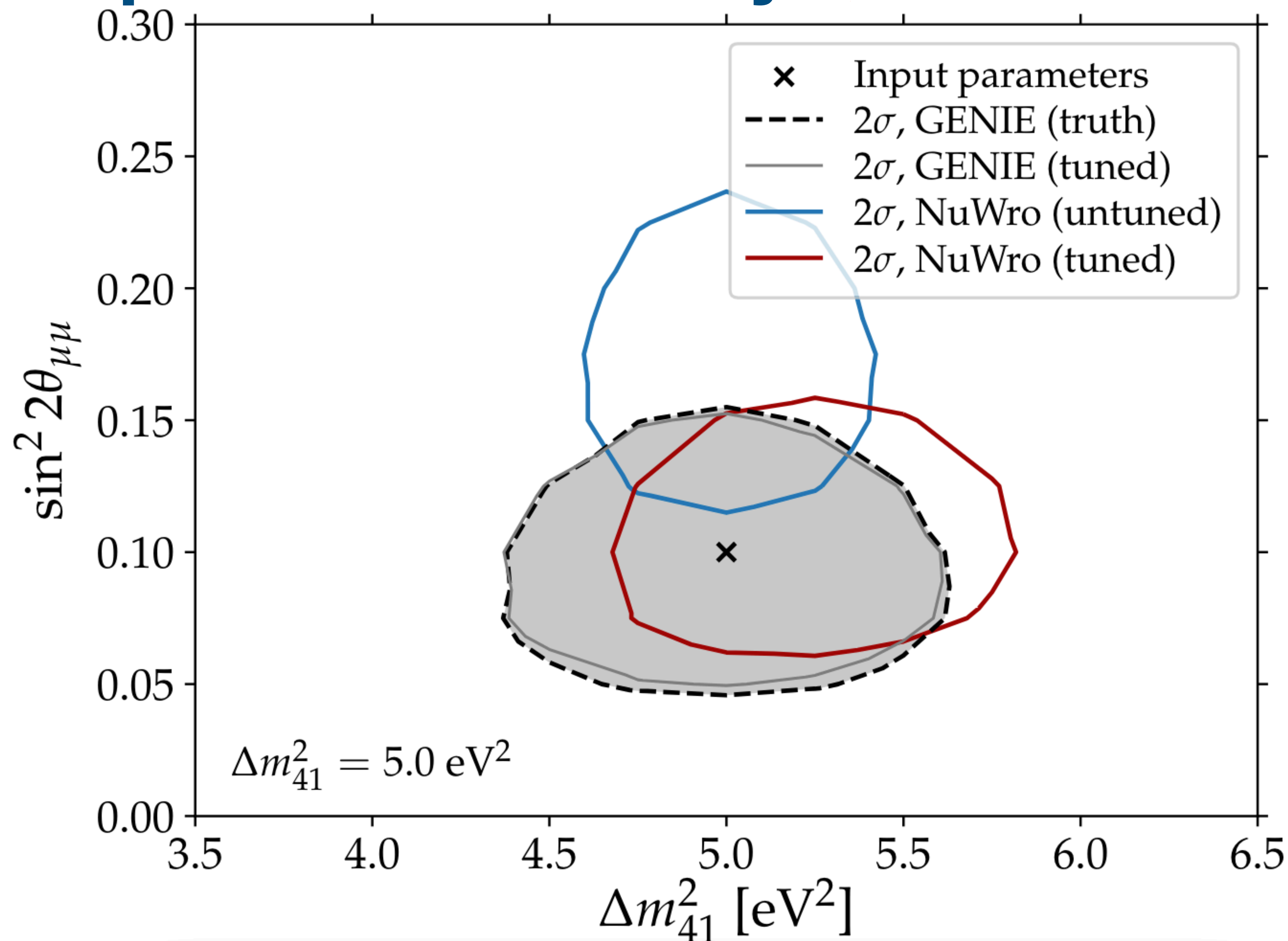


# What if there is underlying new physics in this data?

Coyle et al [\[2210.03753\]](#)

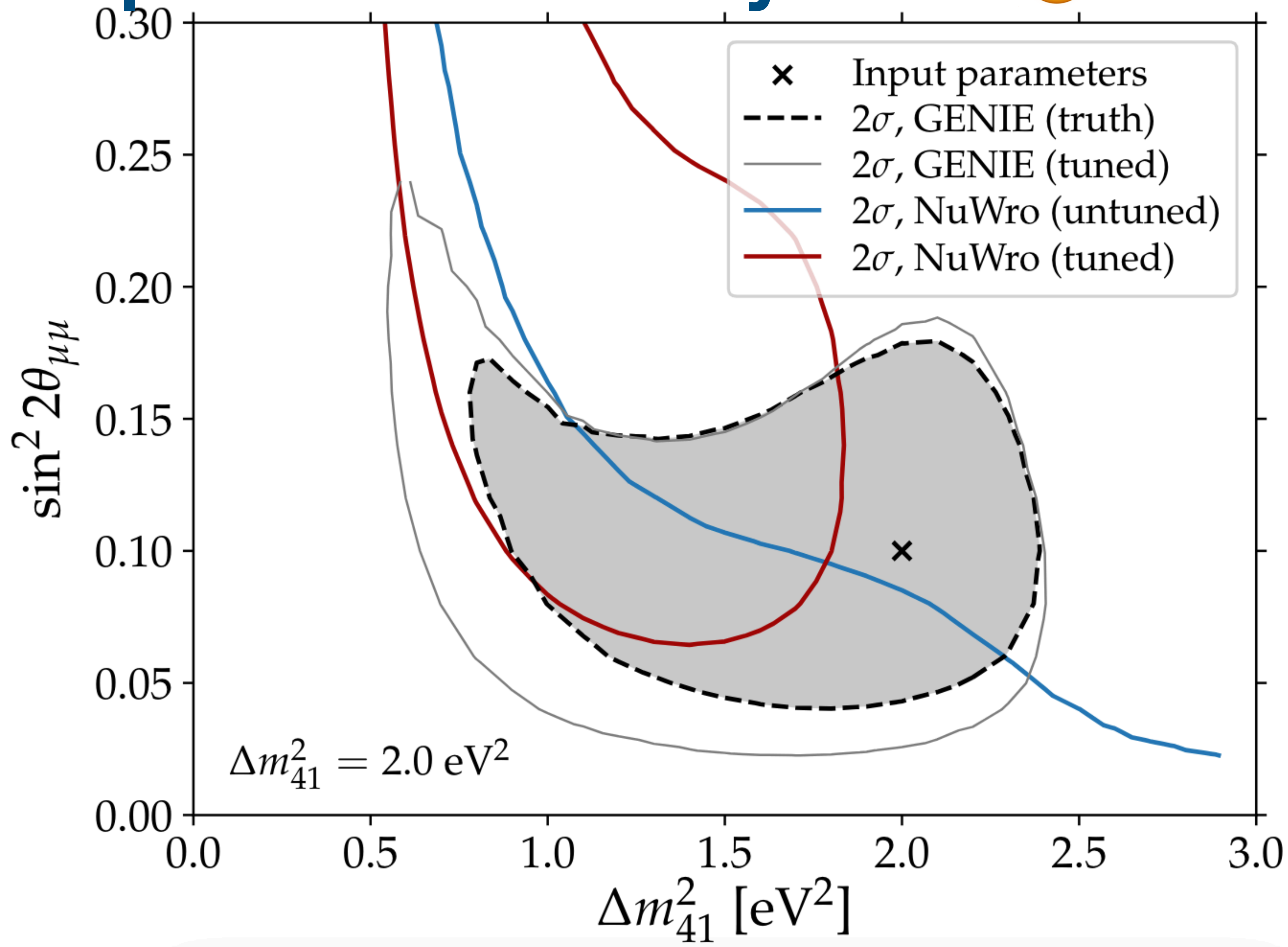


# Impact on potential discovery? 🤔



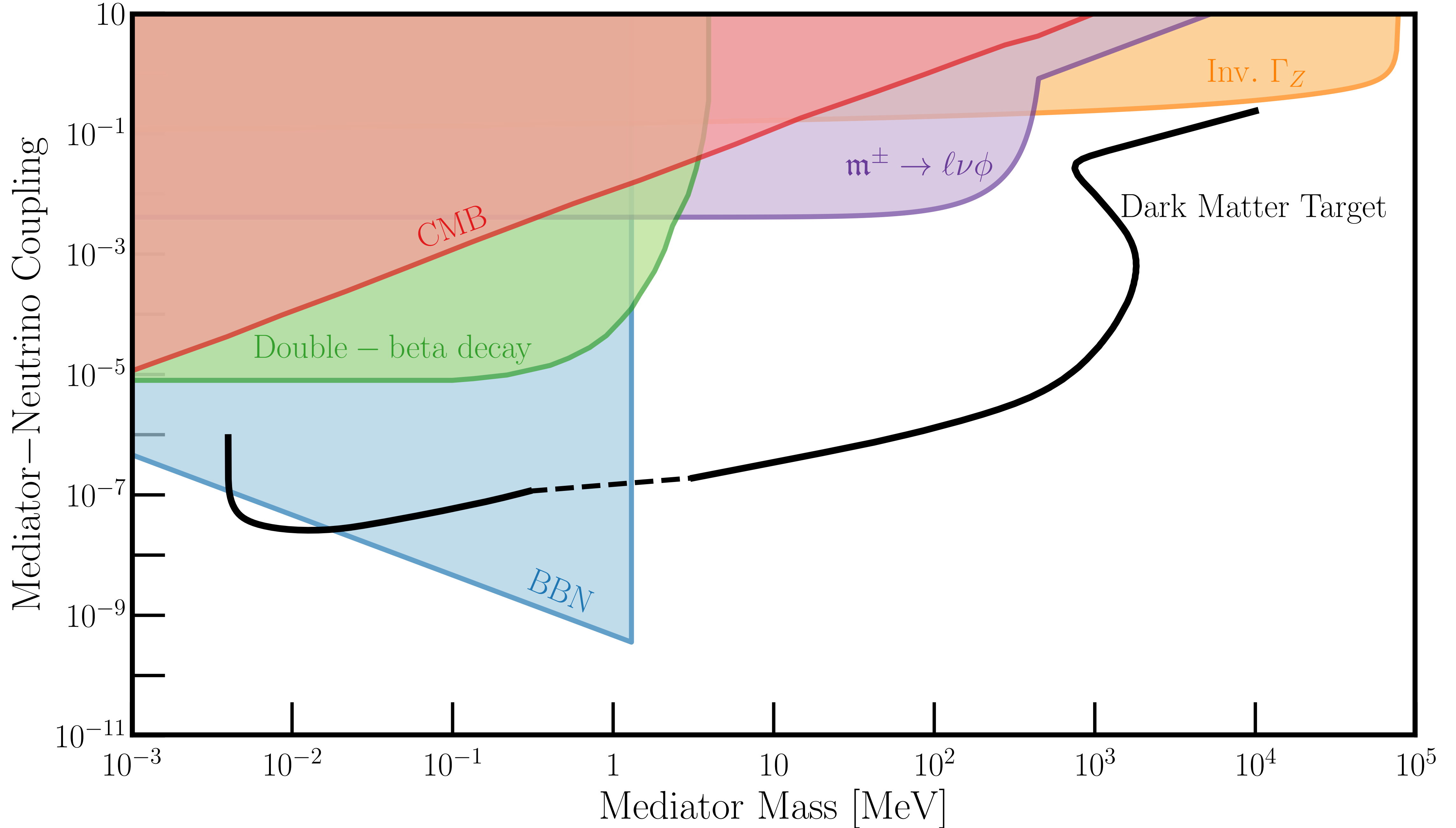


# Impact on potential discovery? v2... 🤨

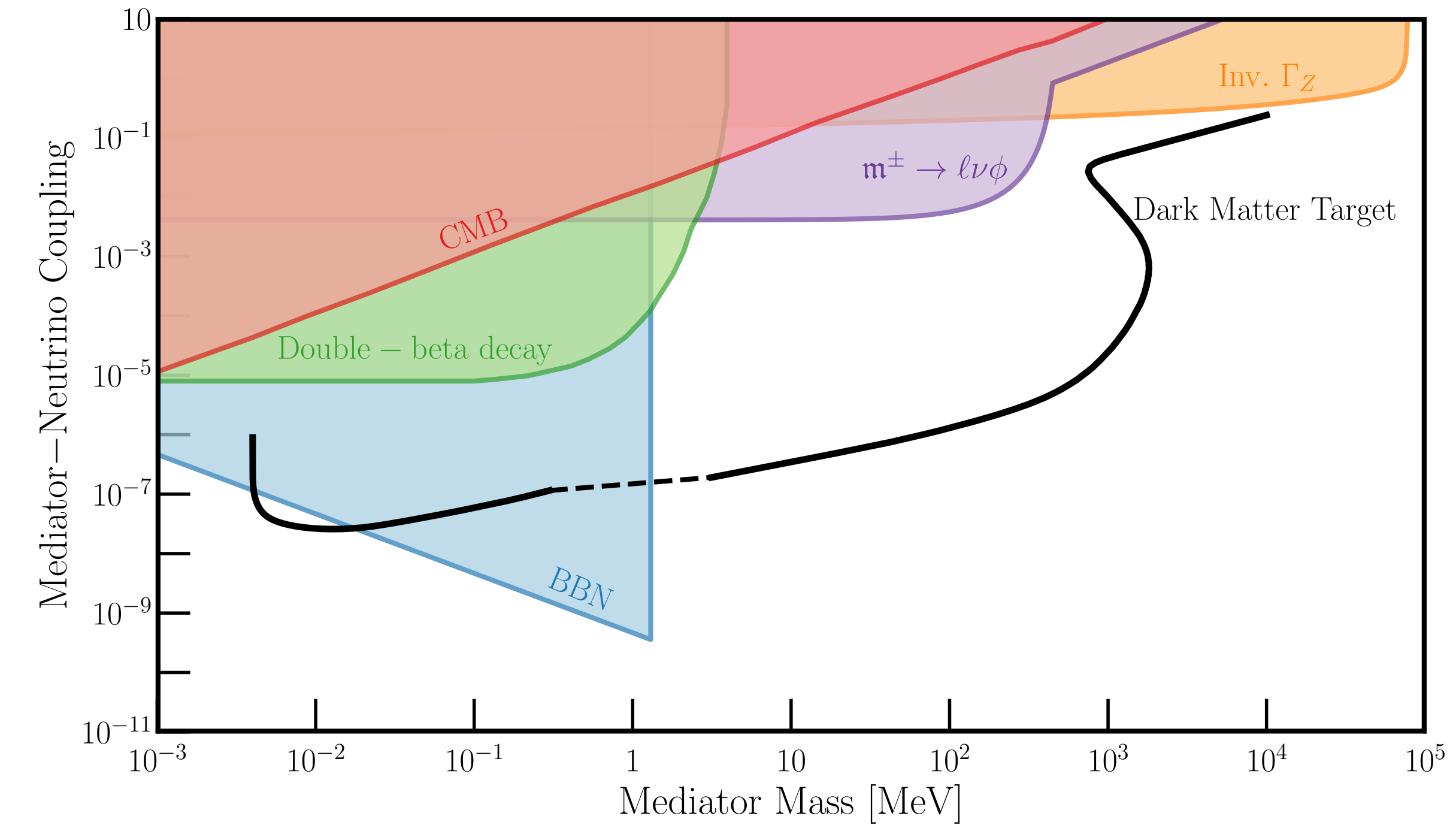


# A second ND “background-swamped” model

# Broader motivation?

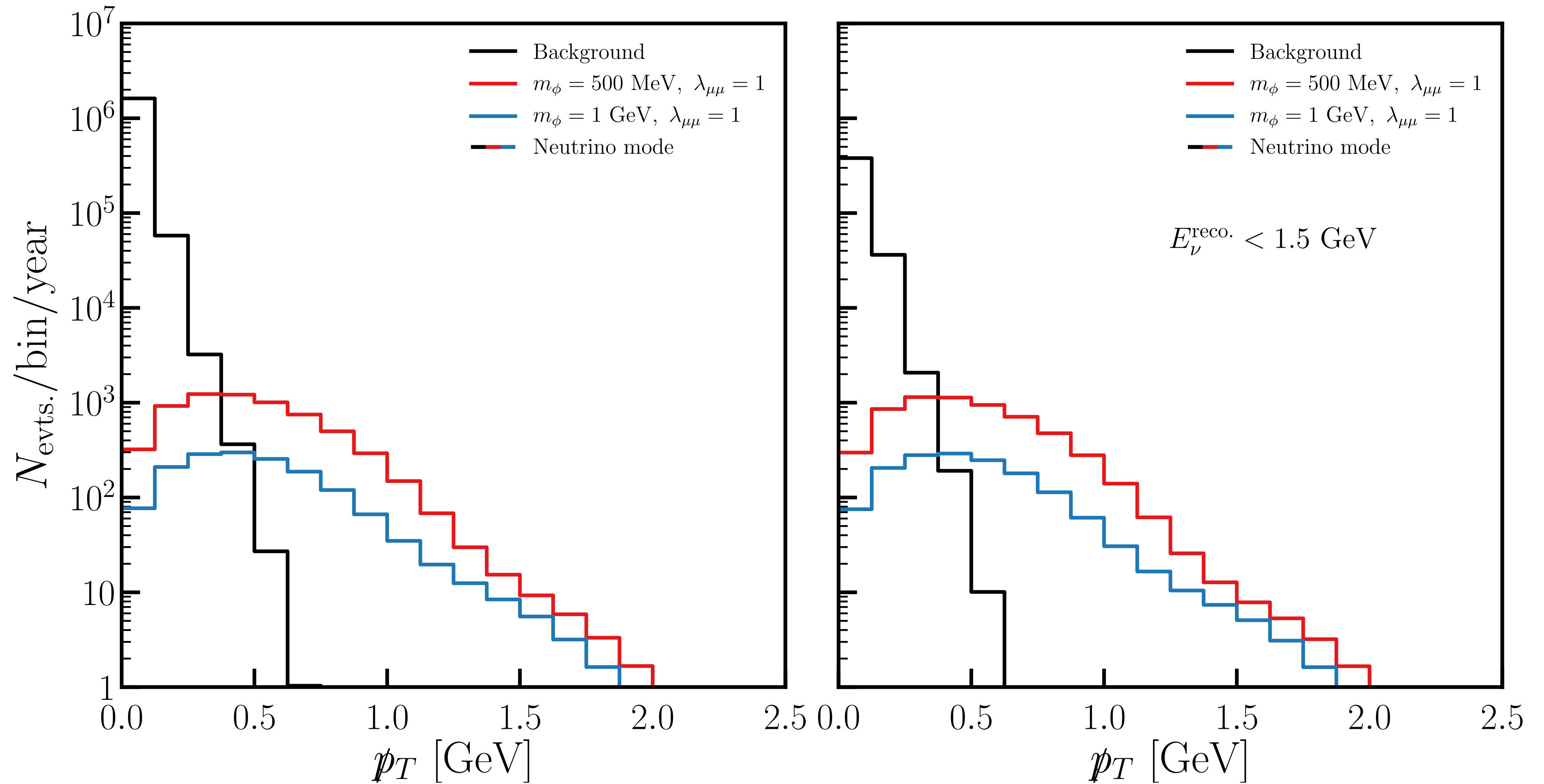


# Breaking down regions...

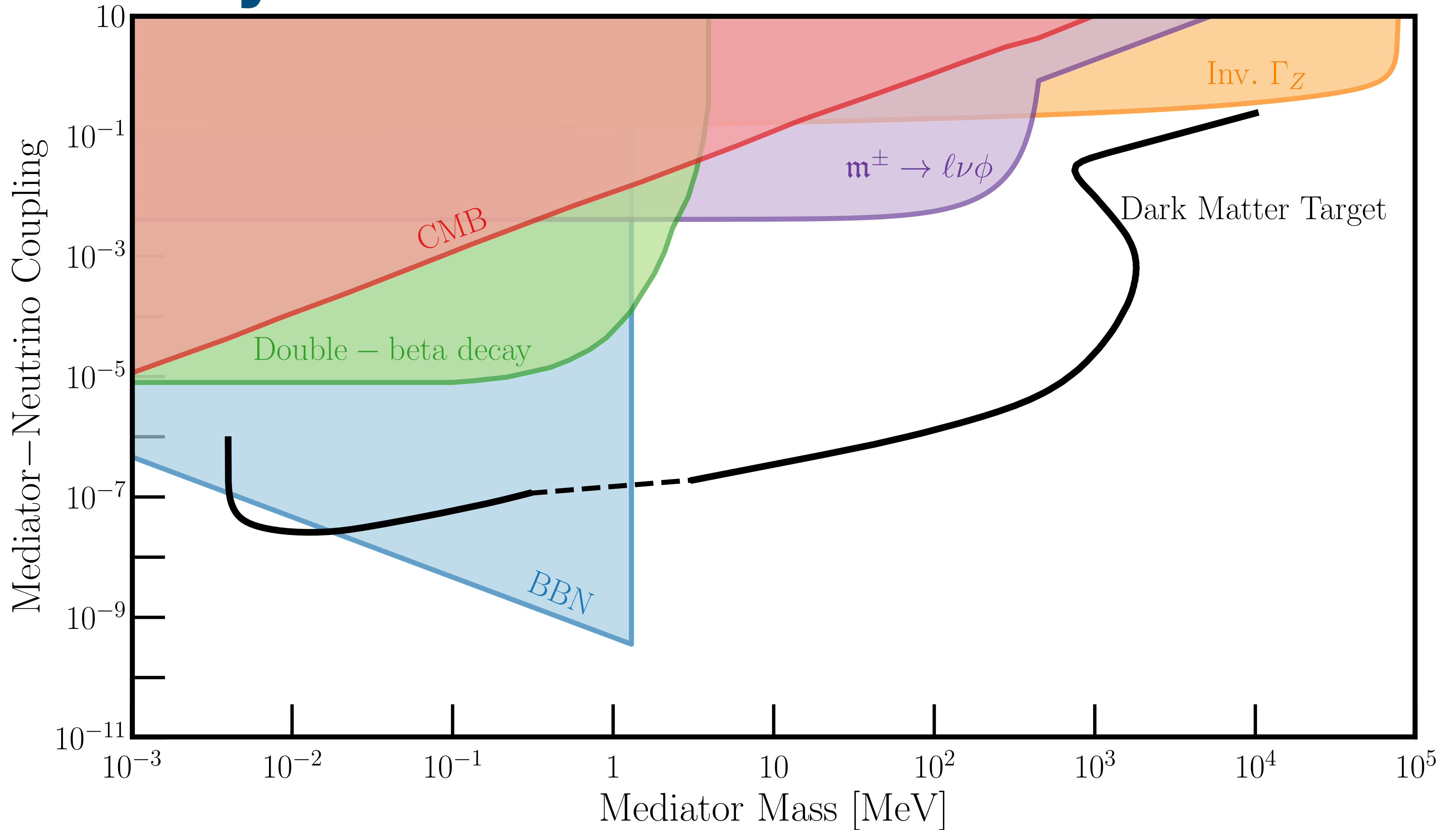


# Neutrinophilic Scalars in Neutrino Facilities

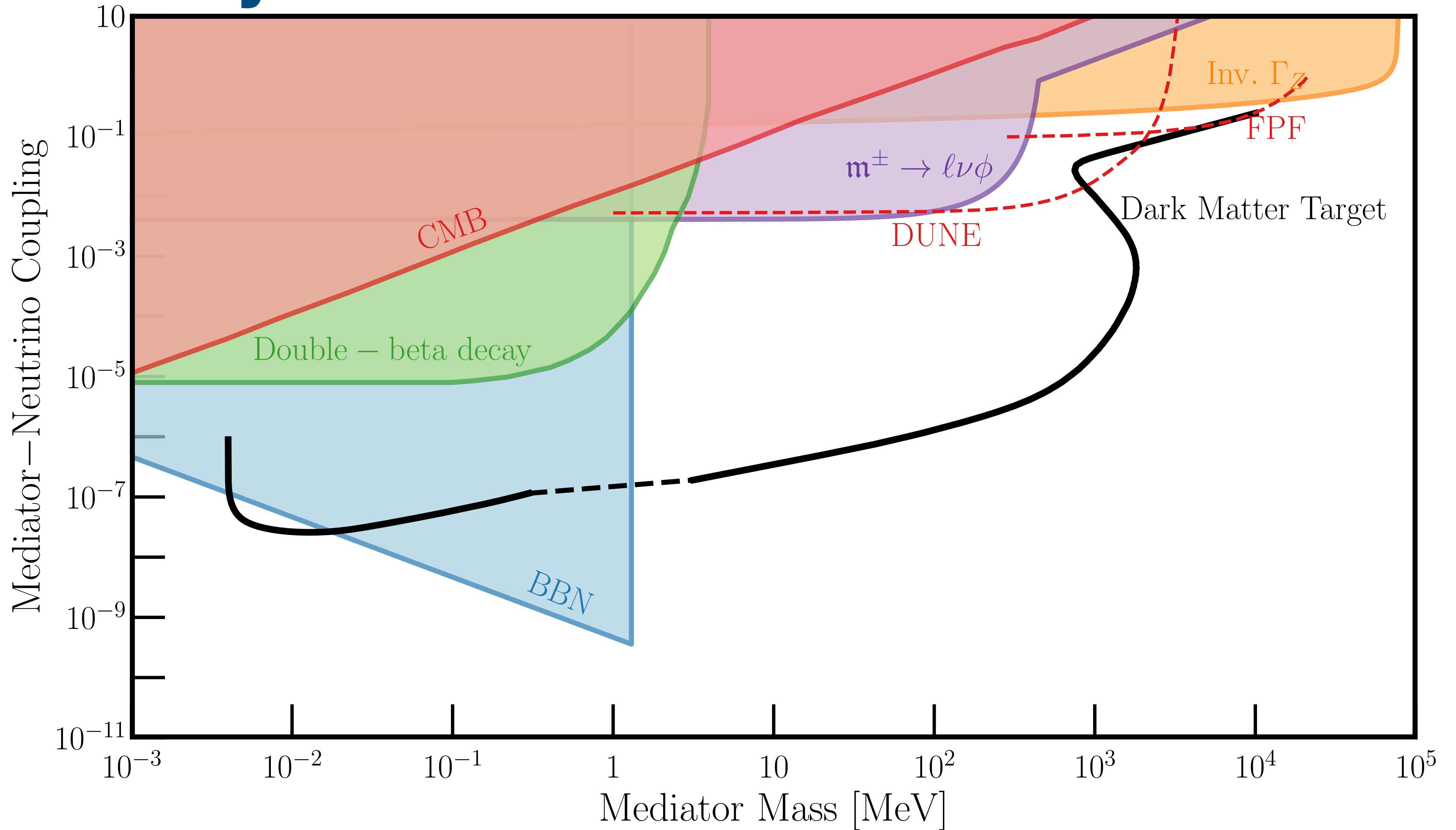
# Signal vs. Background Kinematics



# Sensitivity Estimates

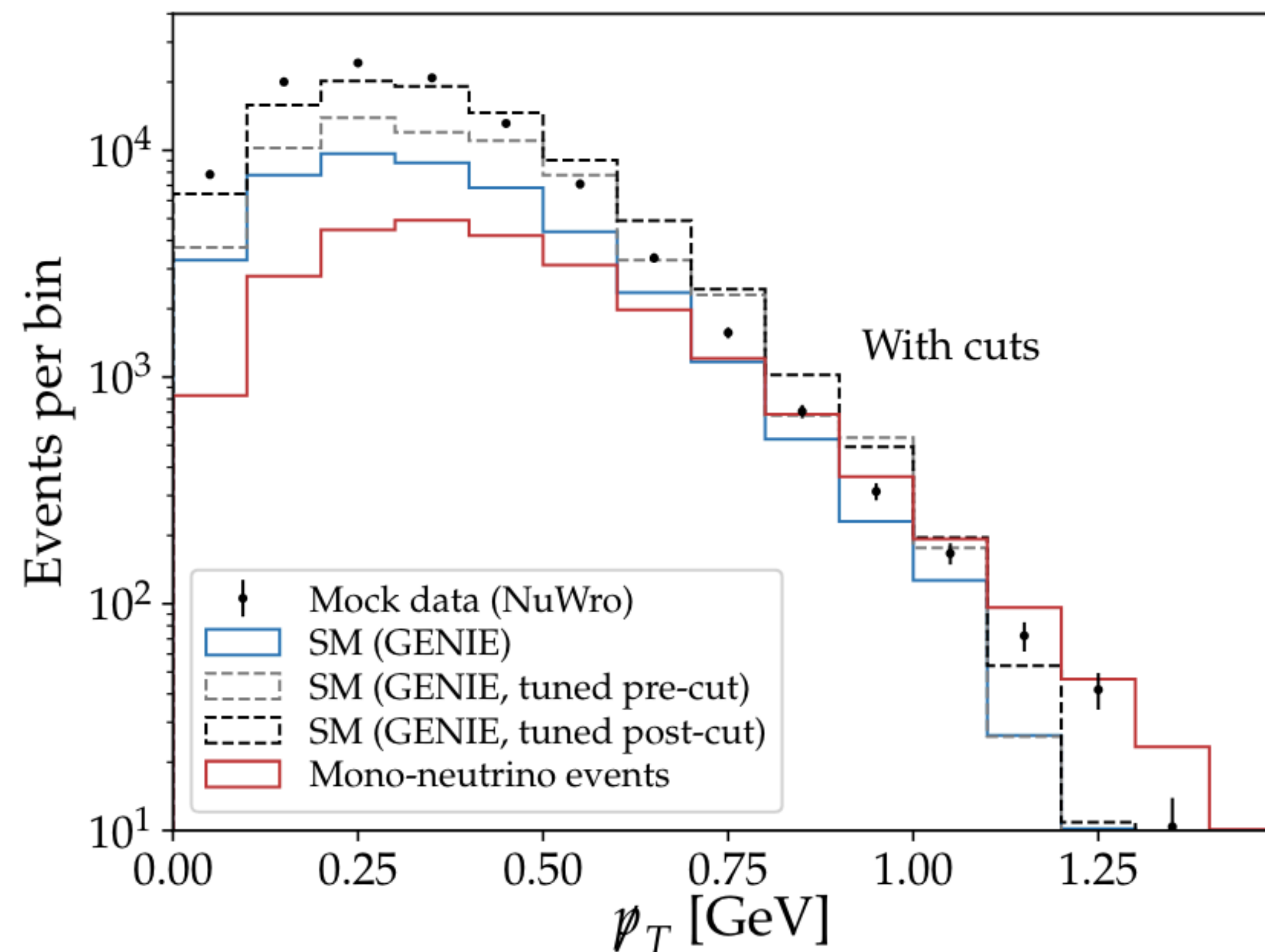
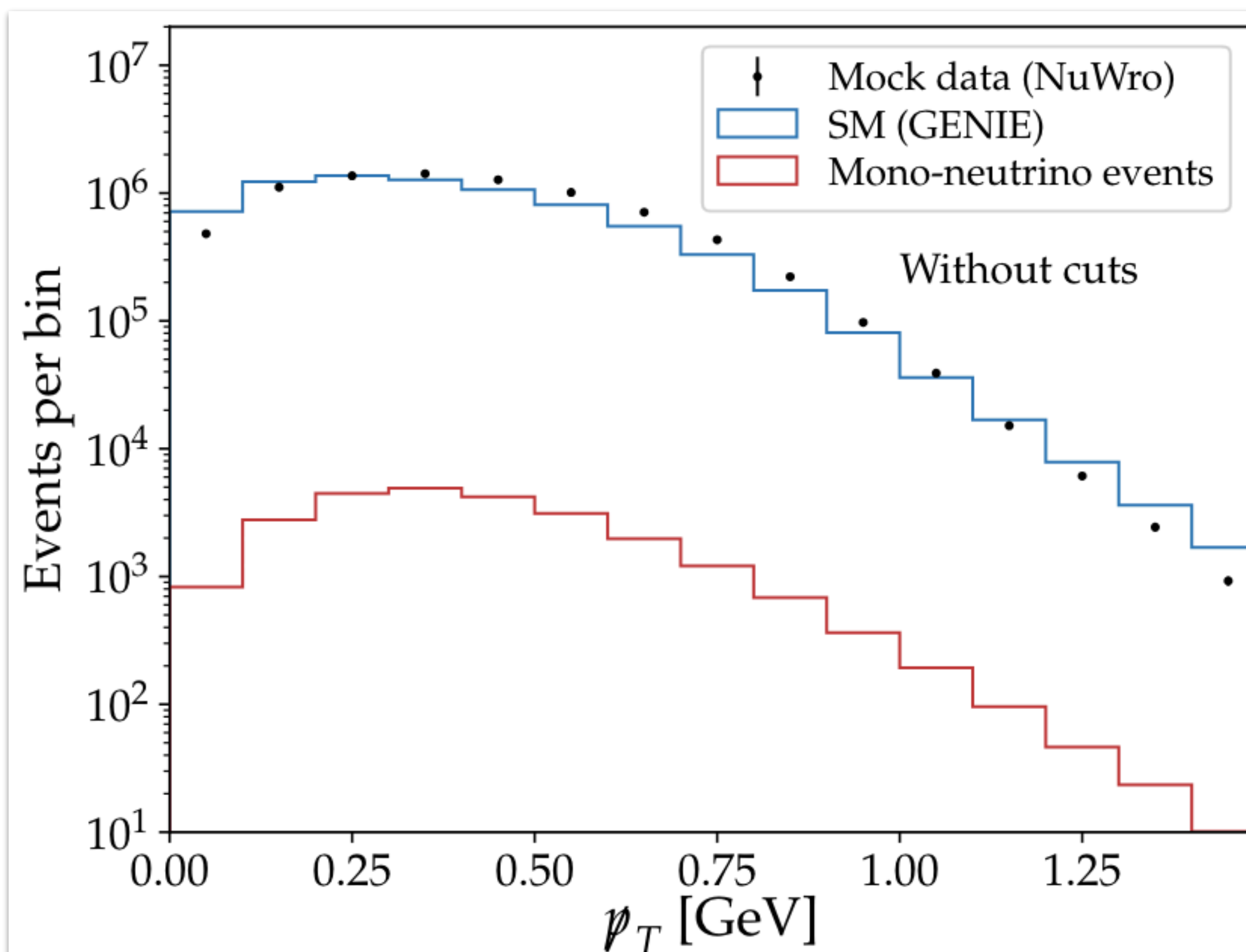


# Sensitivity Estimates

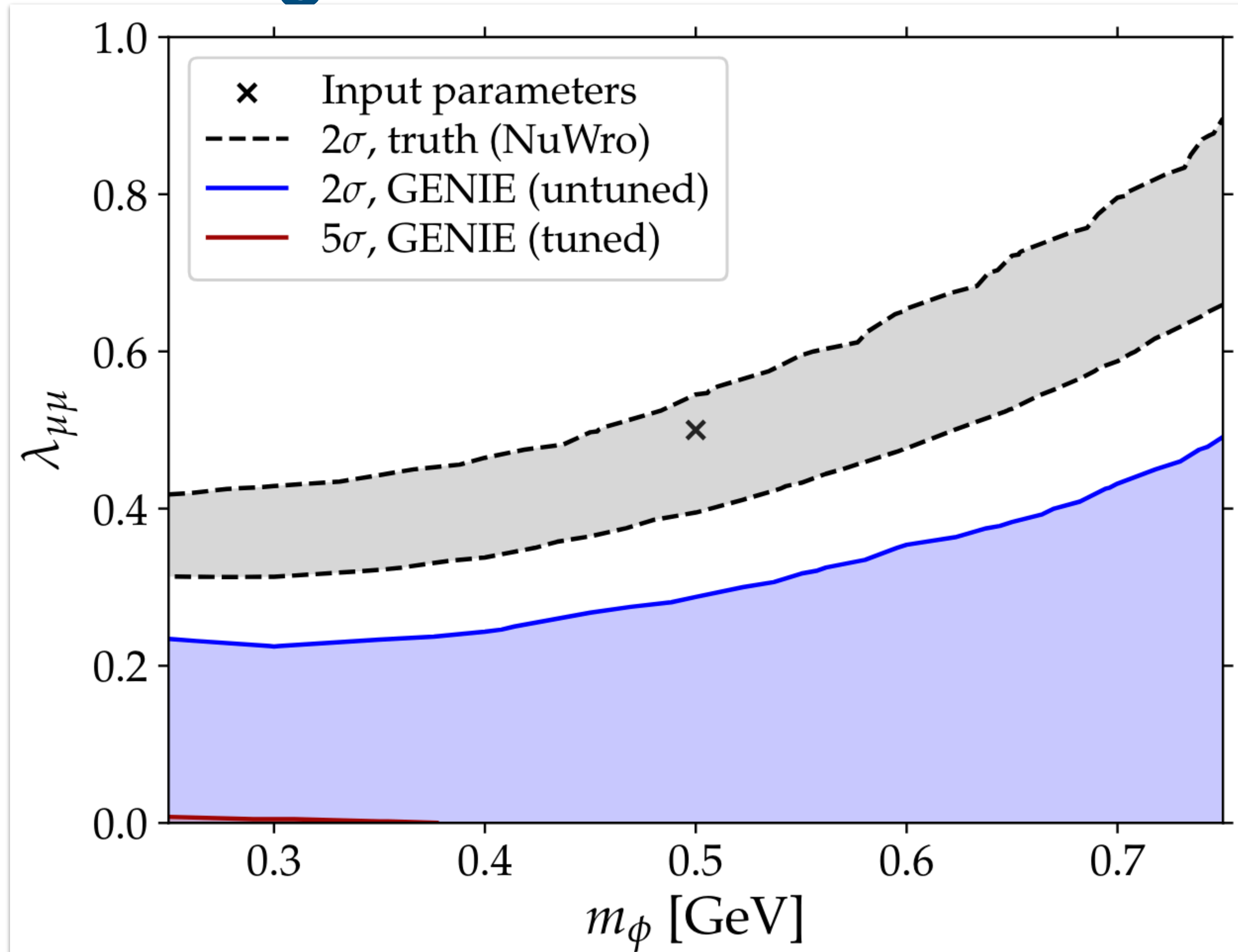




# Re-enter Coyle/Li/Machado...



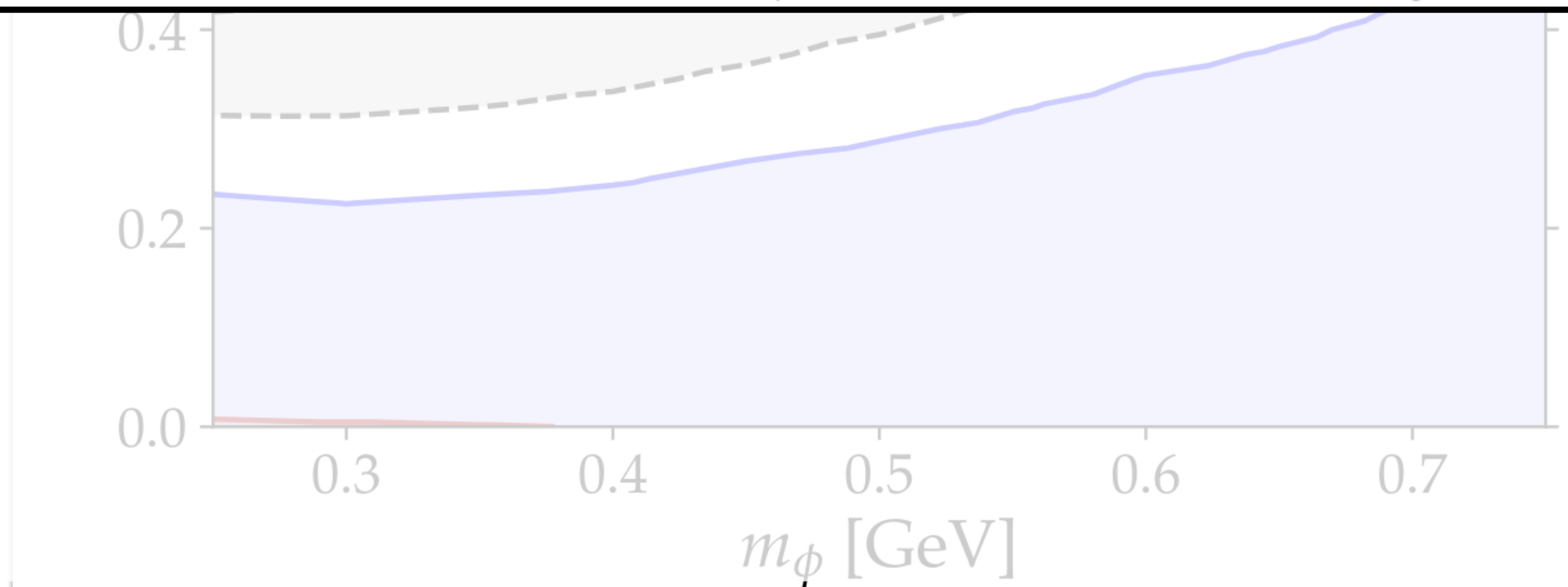
# Impact of tuning on these searches



# Impact of tuning on these searches



This shows that while near detectors have the potential to probe new physics, without proper modeling of neutrino-nucleus interactions, we may lose this potential. Tuning is not the solution.



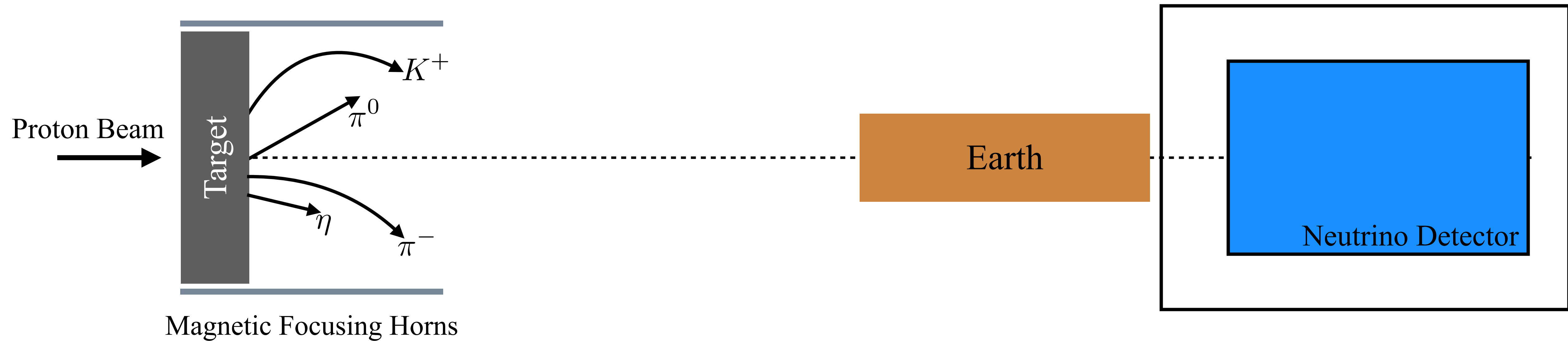
# Backup

# Novel BSM Production Mechanisms in Thick Targets — Electromagnetic Production

 <https://github.com/kjkellyphys/PETITE>

# “Nonstandard” BSM Production?

What about new states produced in the target?

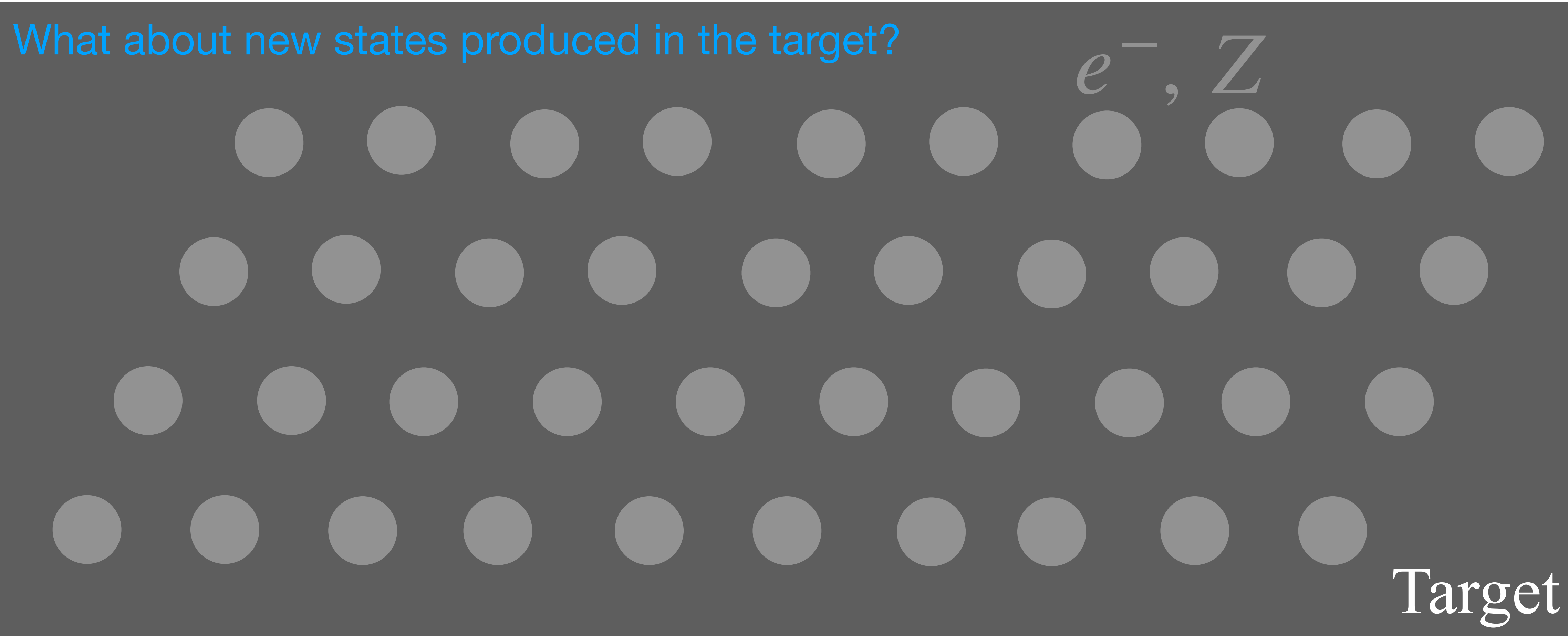


# “Nonstandard” BSM Production?

What about new states produced in the target?

$e^-$ ,  $Z$

Proton Beam

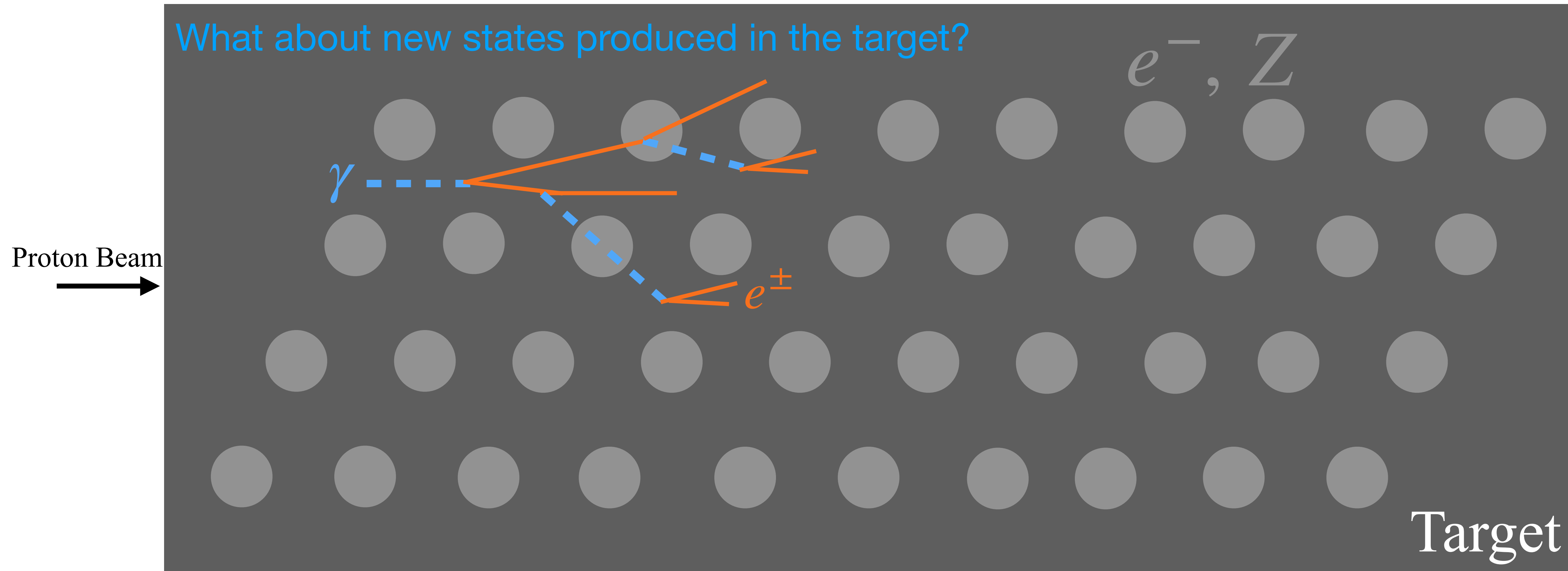


Target

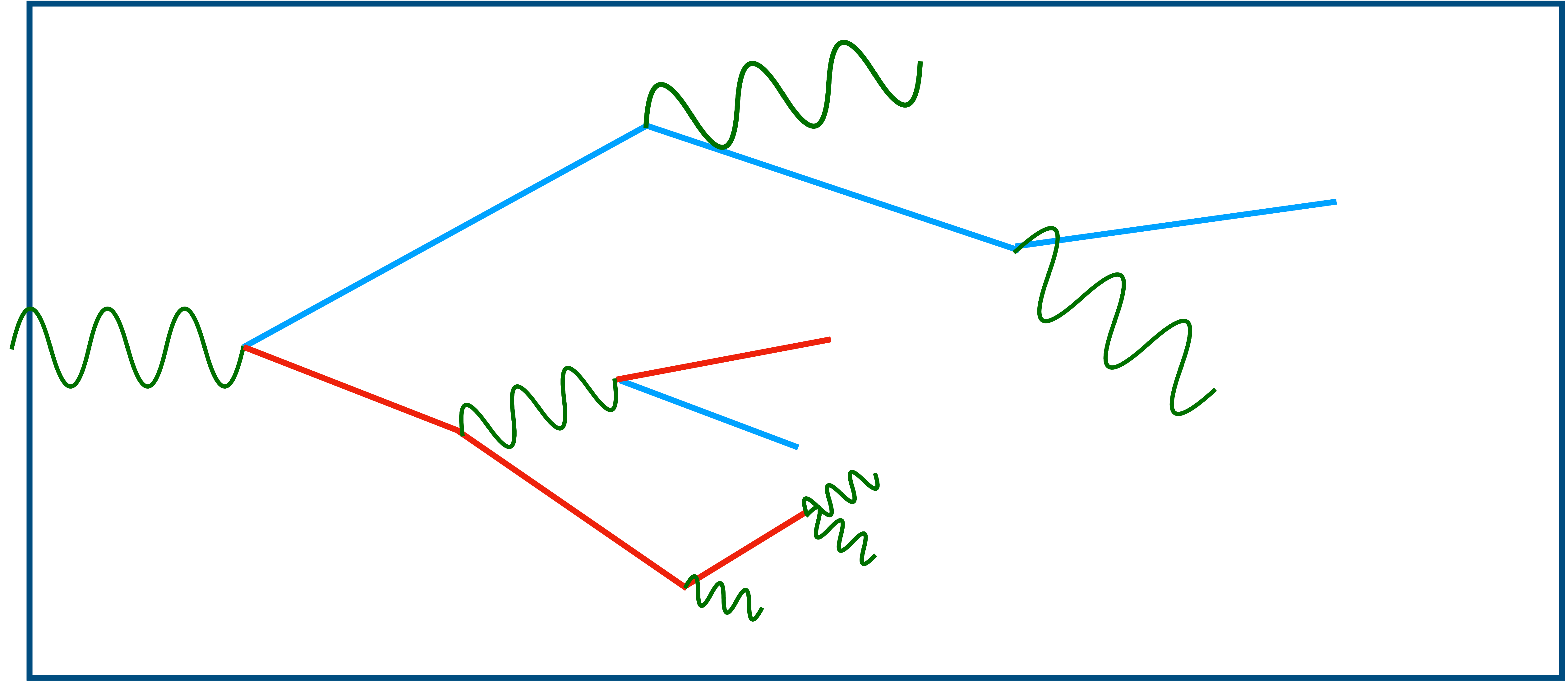


# “Nonstandard” BSM Production?

Every Hadronic/Electromagnetic interaction in the target is a potential for BSM production!  
many interactions = many opportunities for production



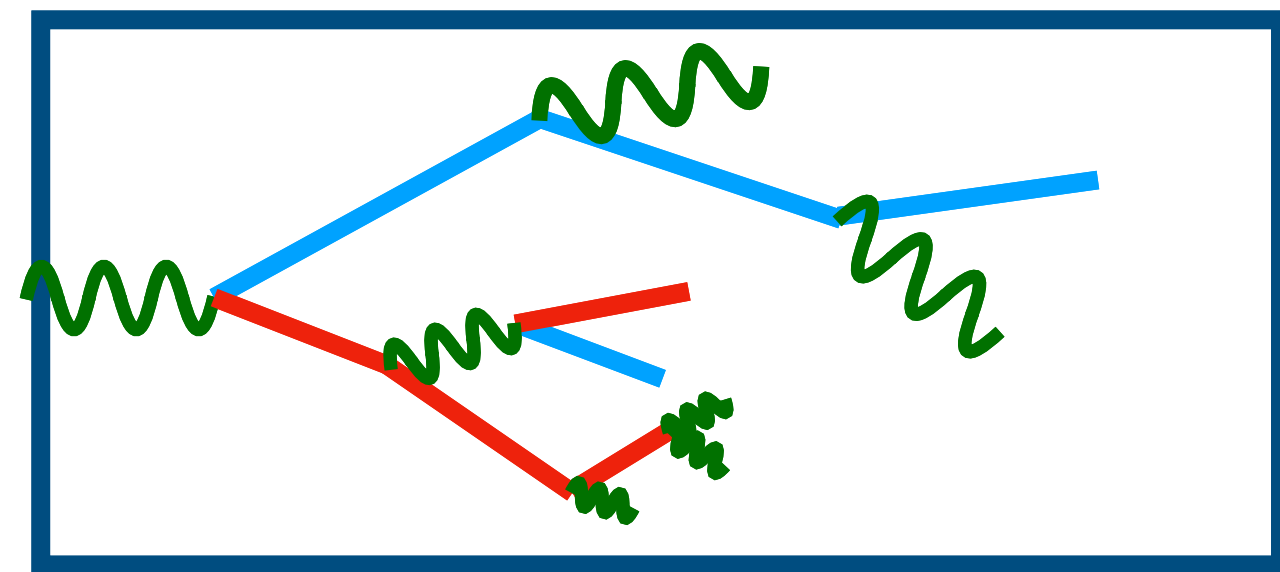
# The big challenge: kinematics



# The big challenge: kinematics

We are (often) interested in detectors in the  $\sim$ -forward region that have a small solid angle with respect to the incident beam.

Any small effect in *directionality* of BSM production can have a profound effect.

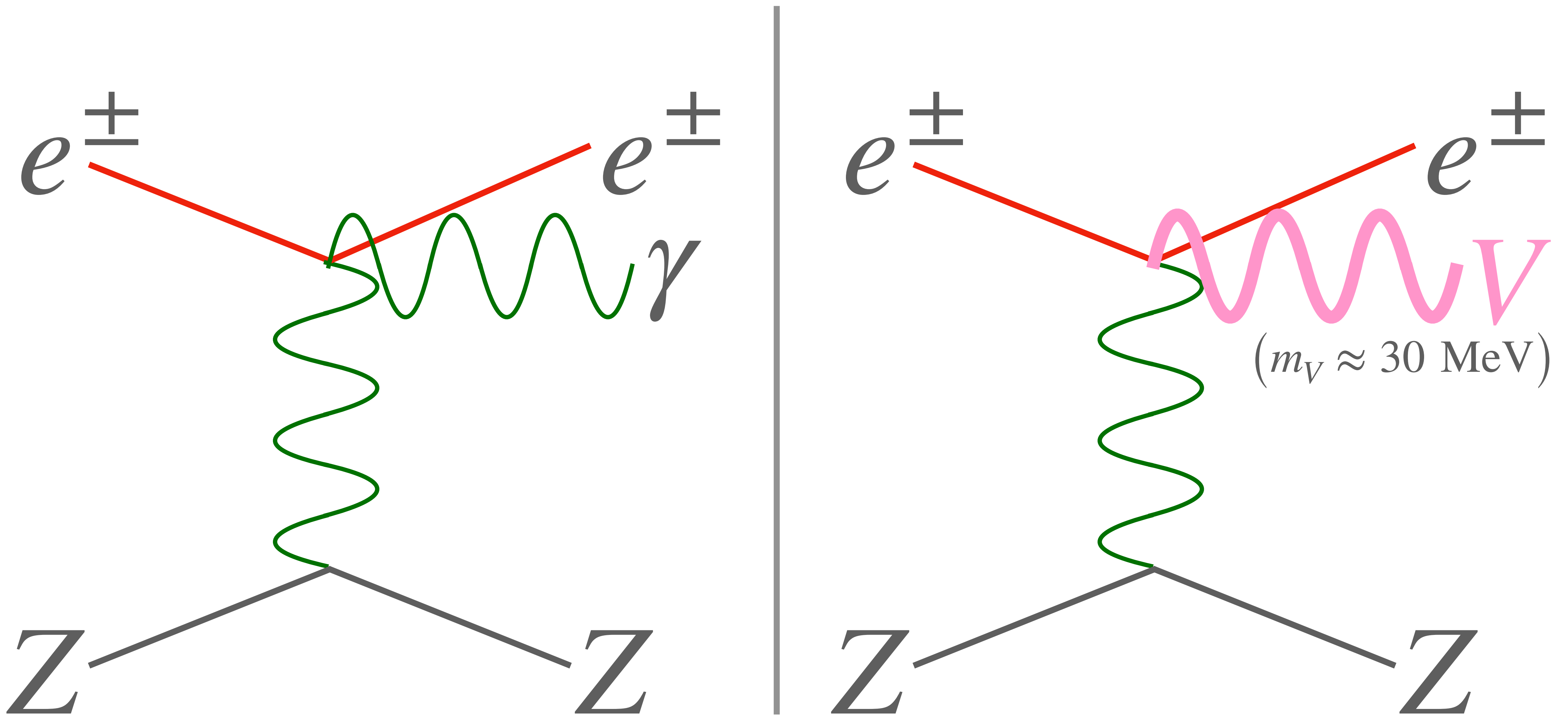


We want to

(a) Generate sample SM showers, and

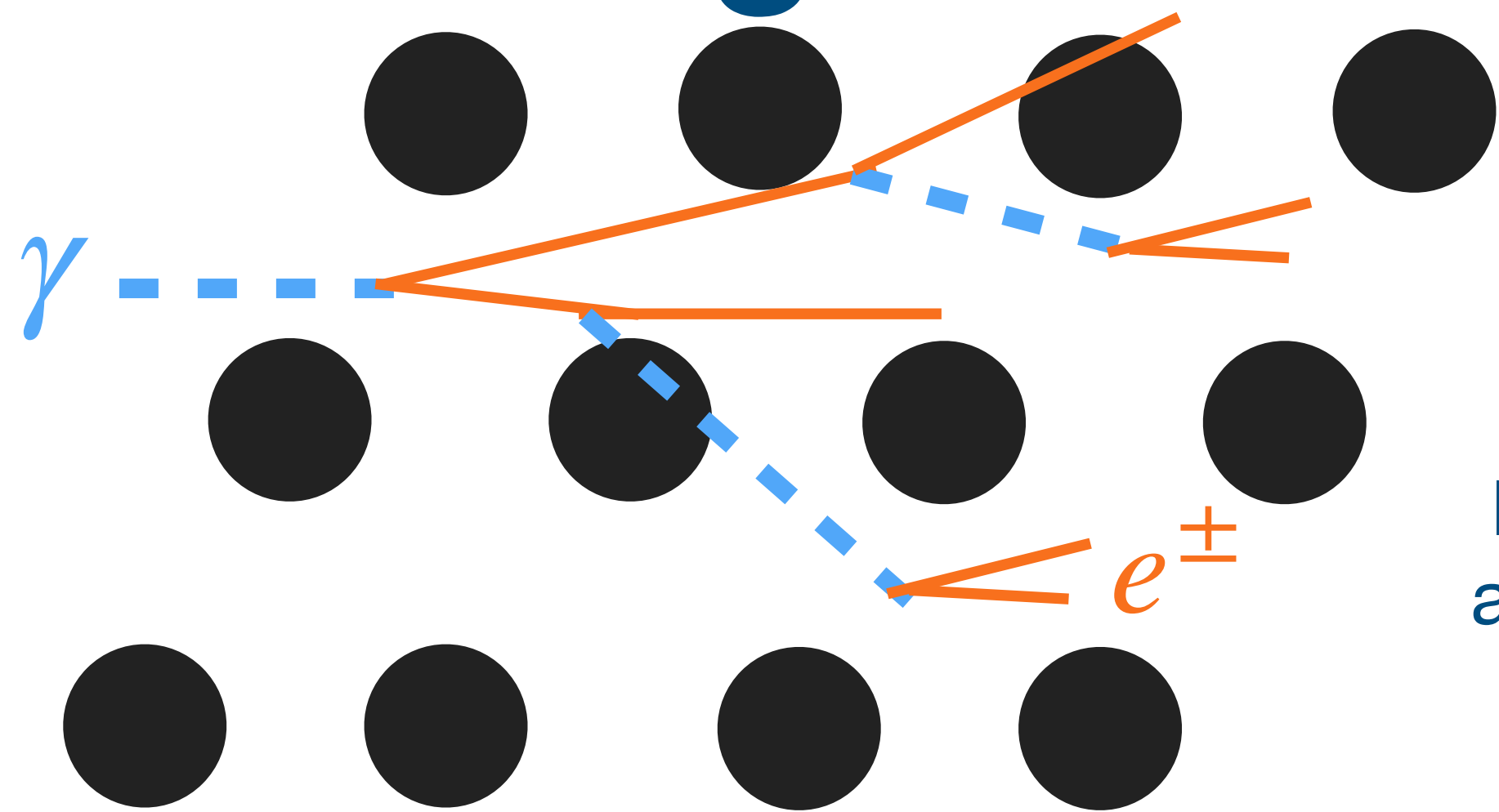
(b) Resample those SM vertices to produce BSM states, tracking kinematics precisely.

# SM vs. BSM Bremsstrahlung



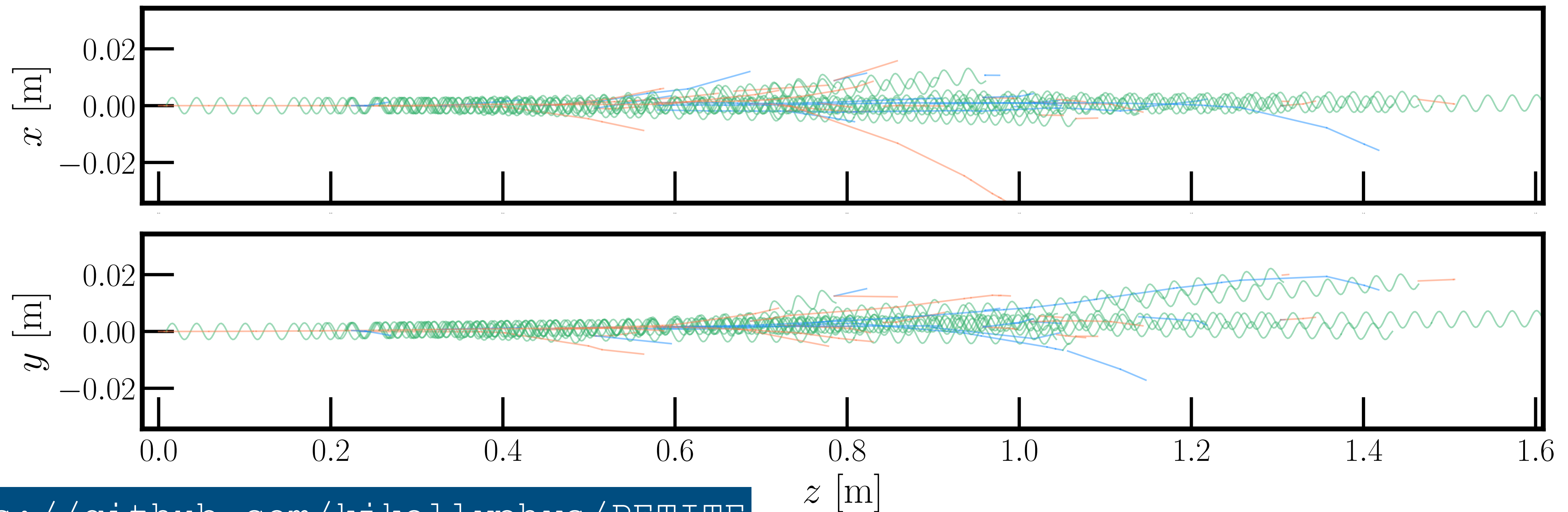
Comparing the two – big issue is kinematical distributions of outgoing particles

# Introducing PETITE



PETITE allows for rapid simulation of EM cascades in thick targets that can be processed for determination of BSM flux predictions

Includes SM effects for energy loss, multiple Coulomb scattering, as well as hard scattering processes. Compares extremely well against dedicated tools (e.g. GEANT-4) and analytic results ([Tsai/Whitis '66](#))

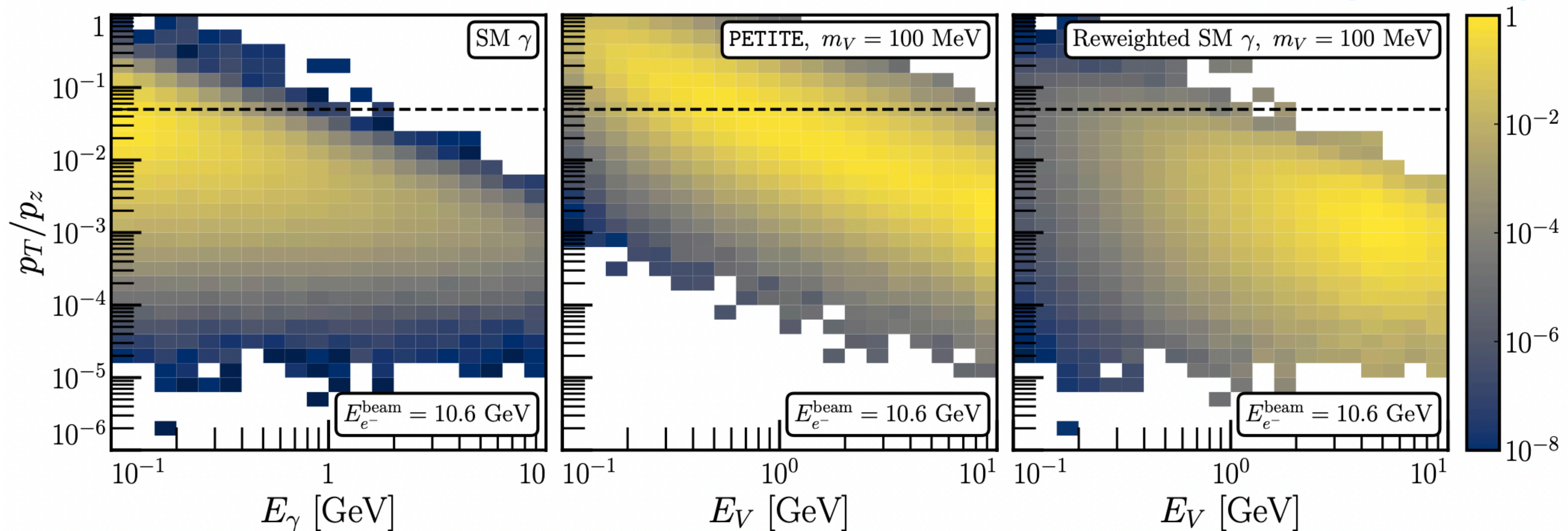




# Care with Kinematics

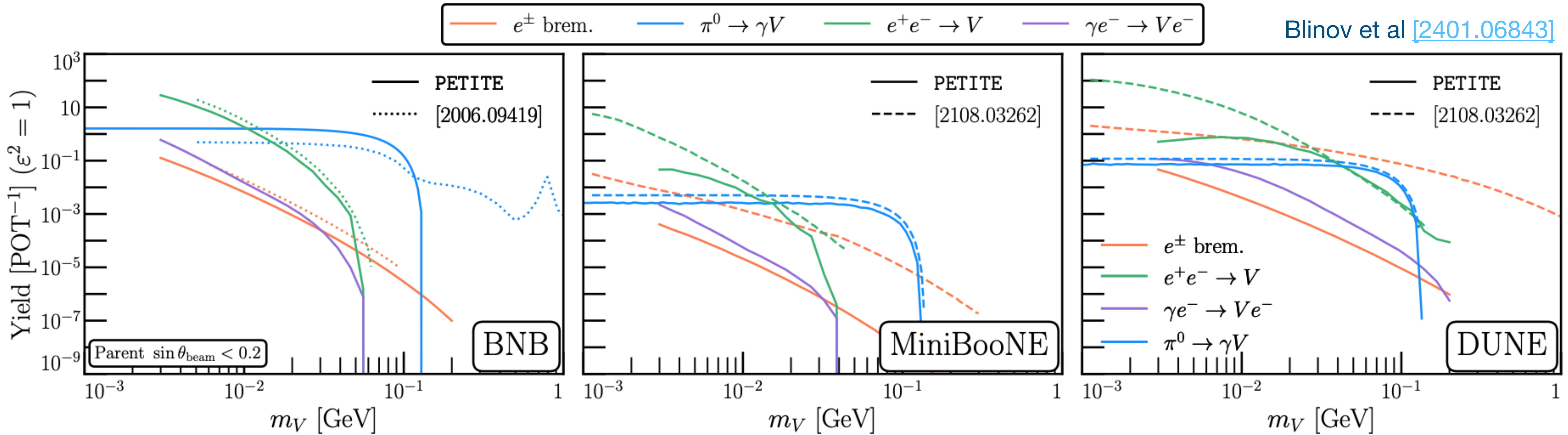
Trying to turn daughter photons into daughter dark photons is tricky because of different kinematics. This has a significant impact, especially for very forward detectors.

Blinov, Fox, KJK, Machado, Plestid [\[2401.06843\]](#)





# Yields from PETITE

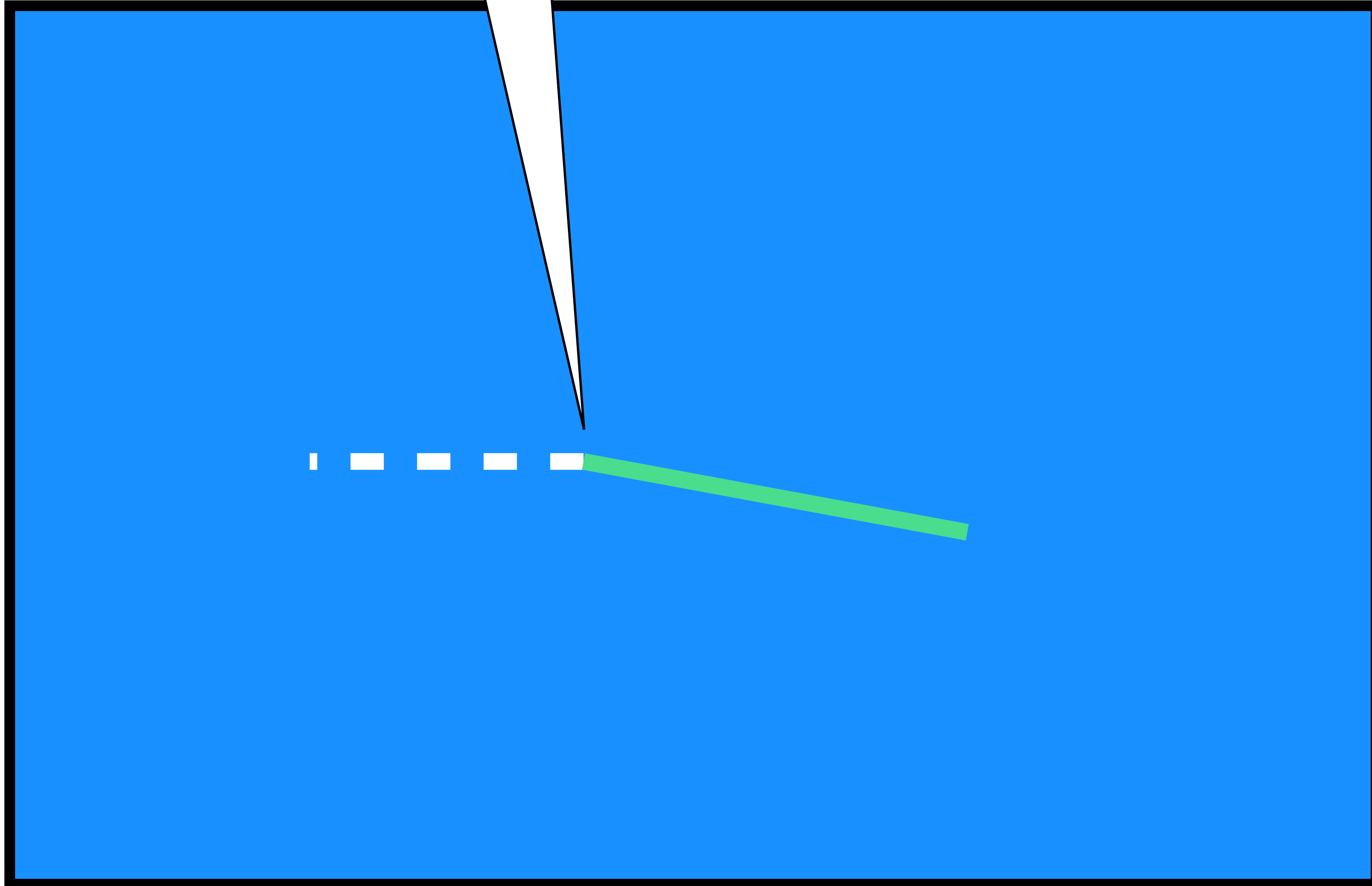
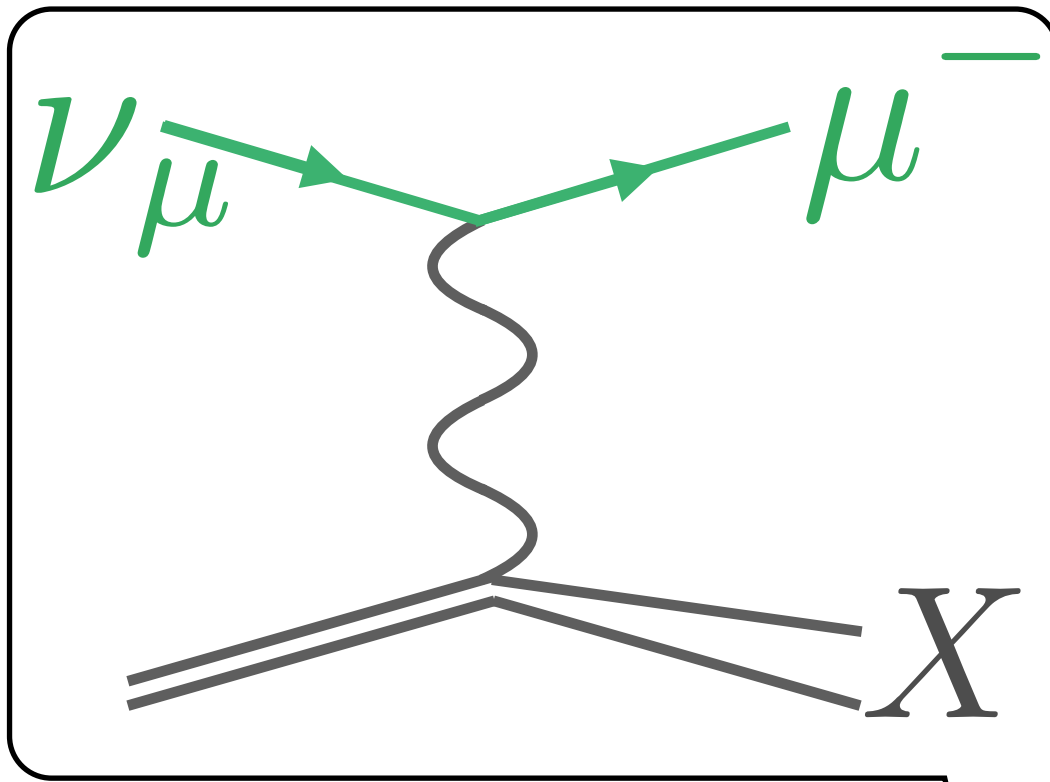




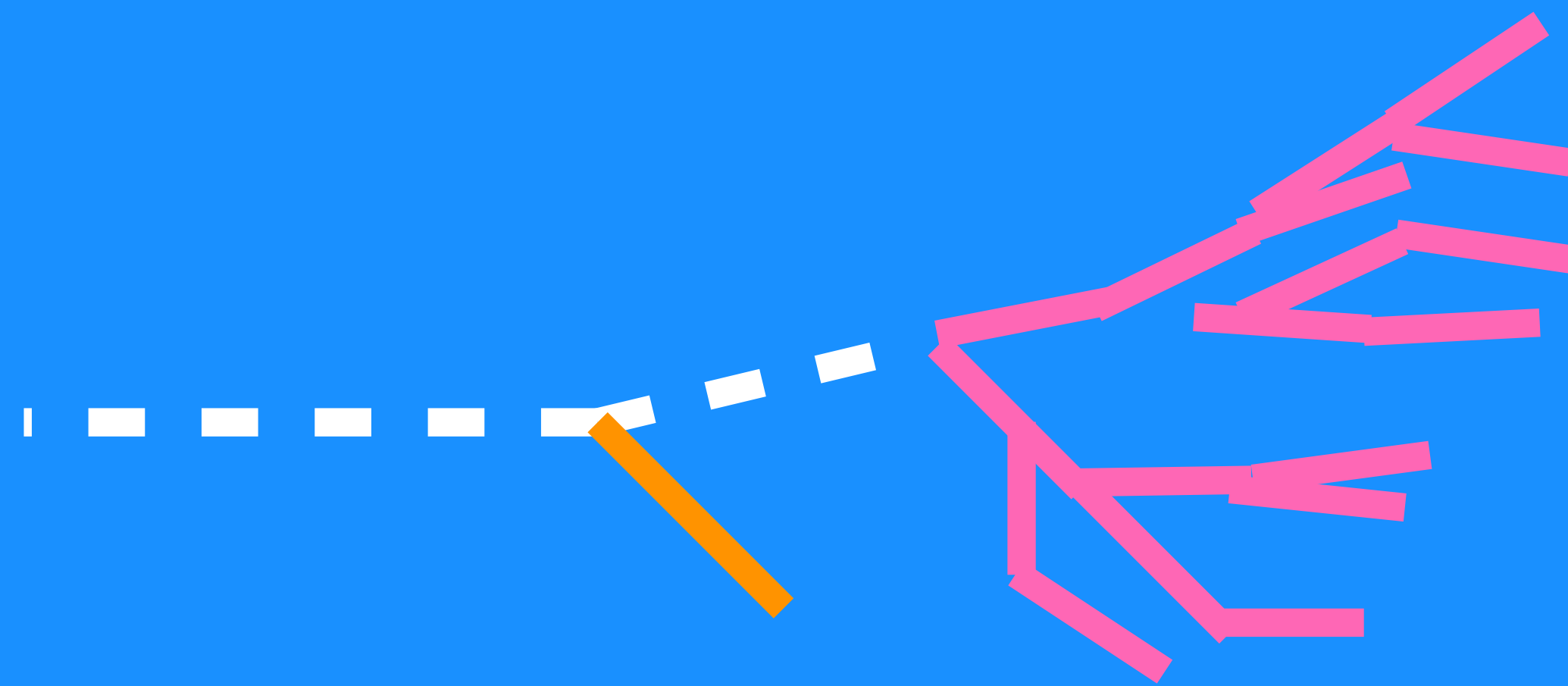


More intricate BSM Scenarios/  
Signatures —  
“dark neutrinos”

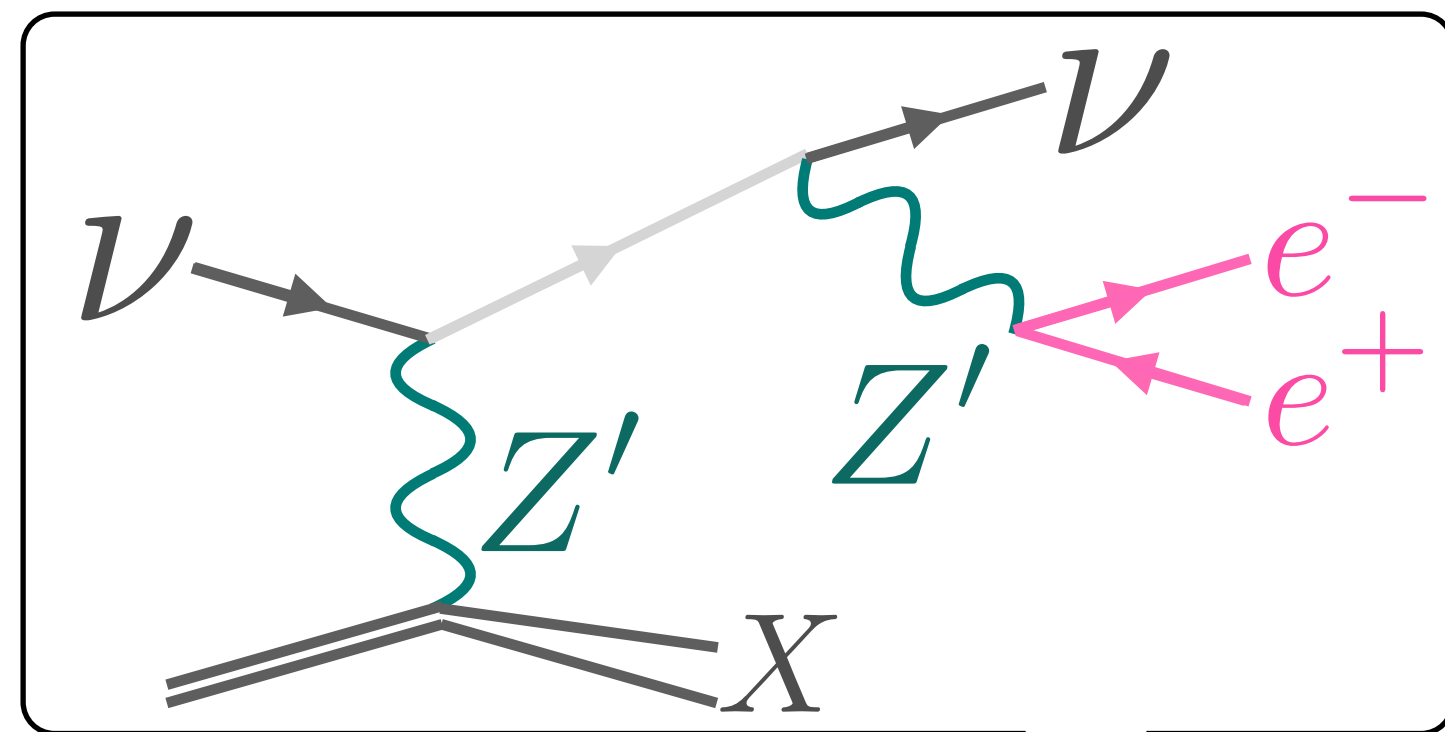
# Back to our Detector



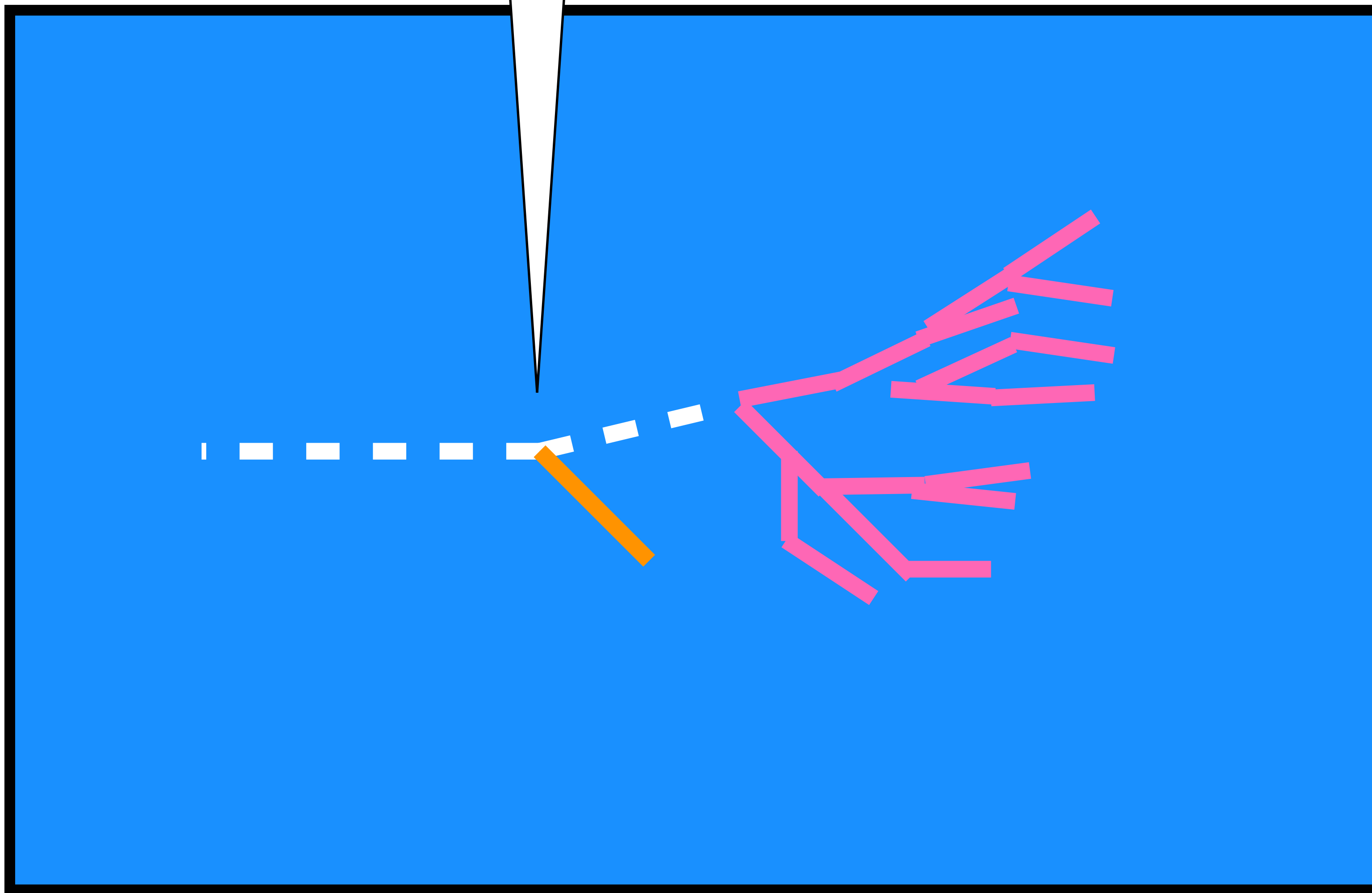
# Back to our Detector



Unexpected neutrino-scattering can lead to novel signatures in the detector. Are we prepared to search for these?



# Back to our Detector



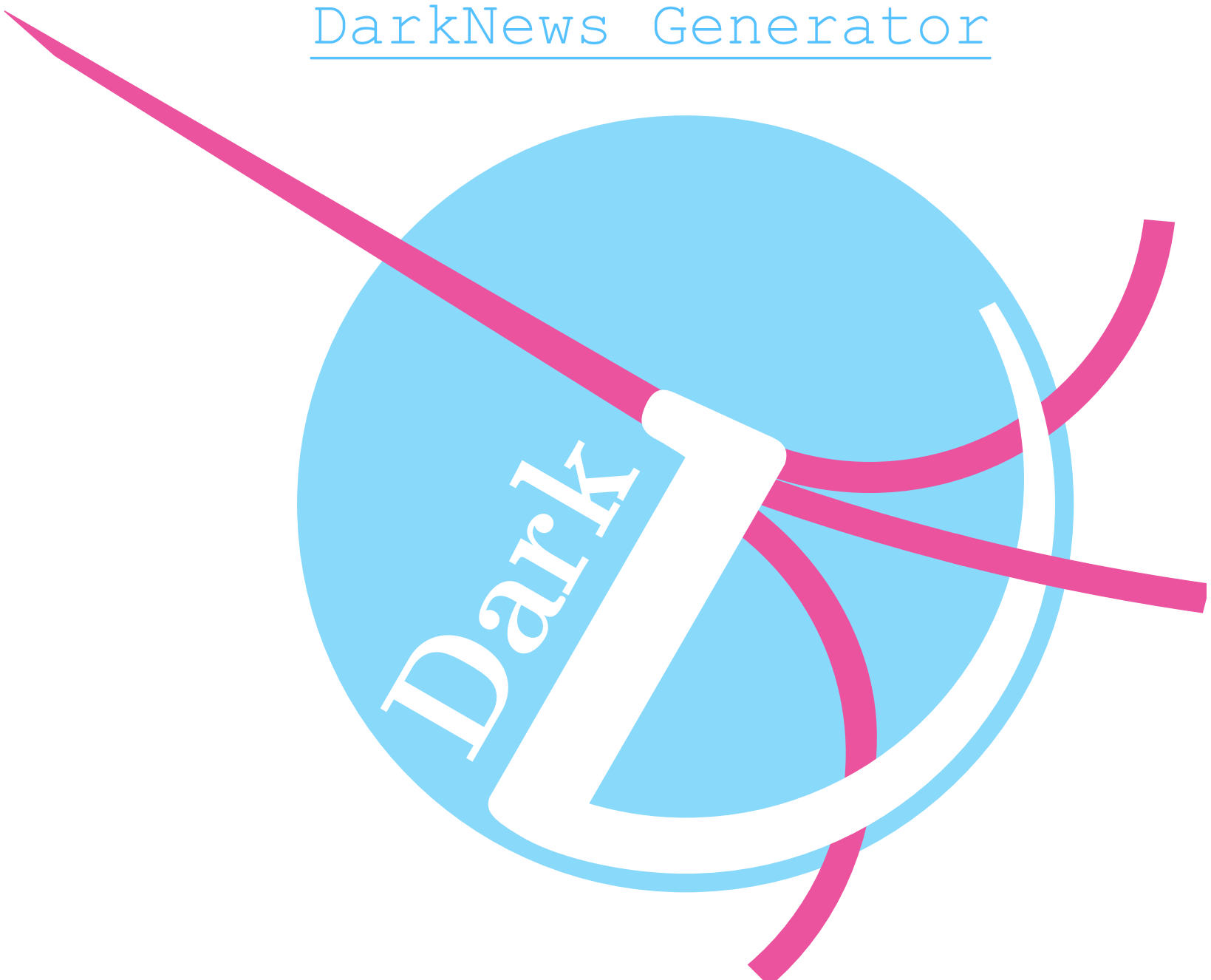
Unexpected neutrino-scattering can lead to novel signatures in the detector. Are we prepared to search for these?

“Dark neutrinos” are a possible solution to the MiniBooNE low-energy excess (since to MiniBooNE, overlapping electron pairs look like a single electron)  
 Bertuzzo et al [\[1807.09877\]](#)  
 Ballett et al [\[1808.02915\]](#)

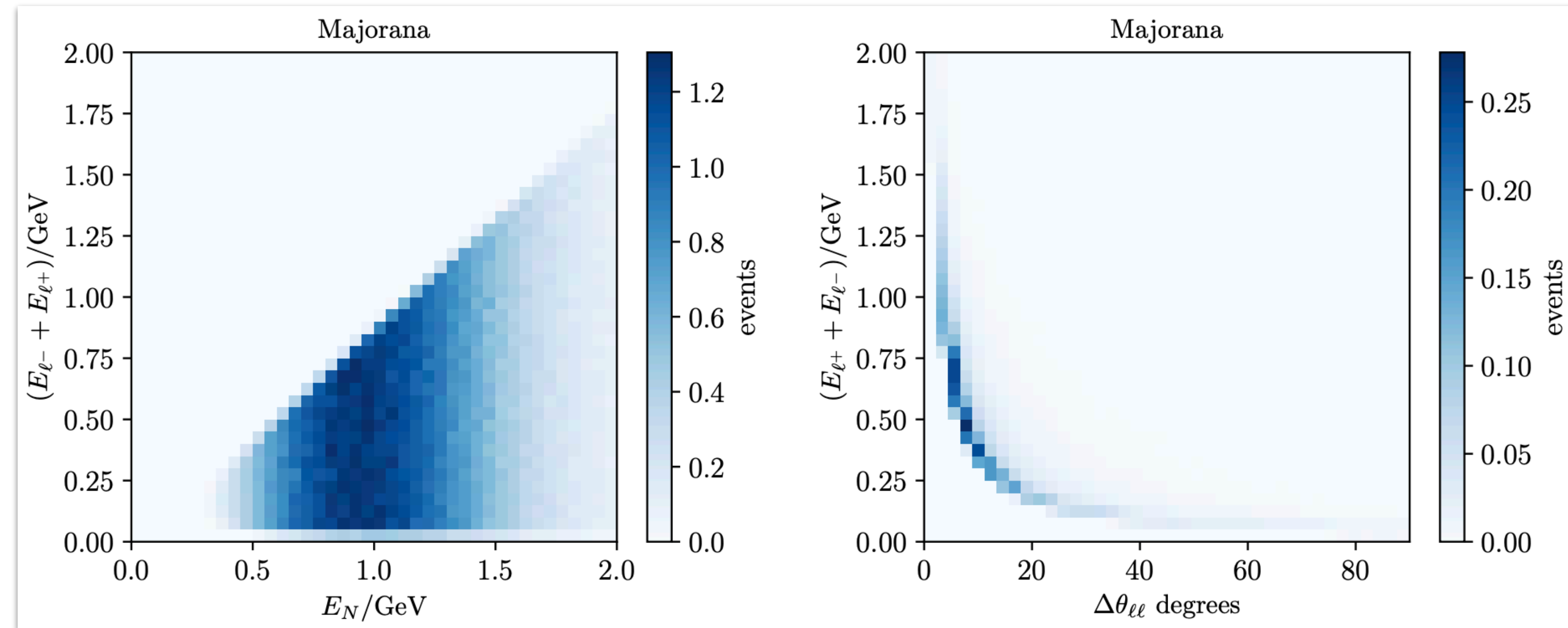
# How do we simulate such BSM?



[DarkNews Generator](#)

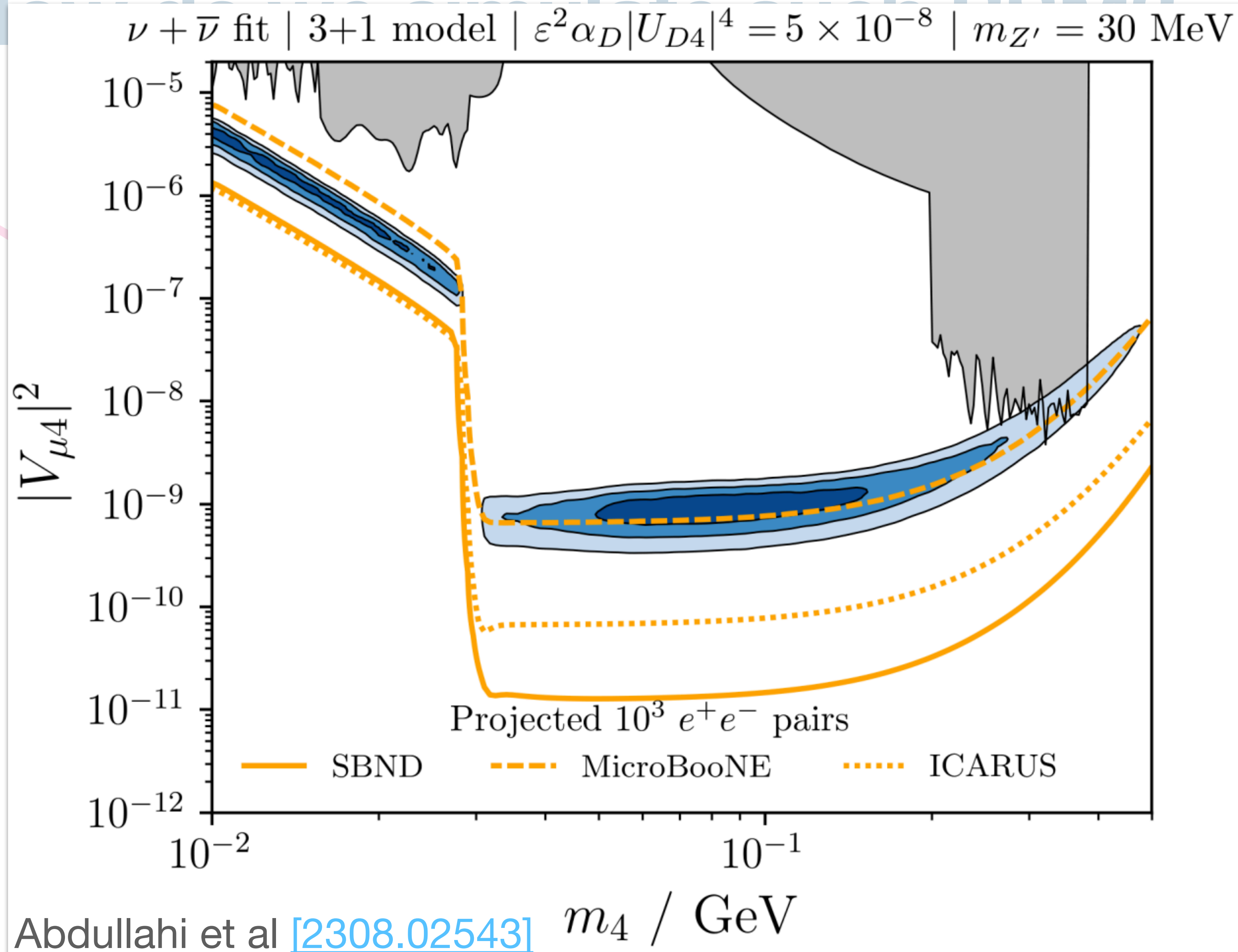


Abdullahi et al [\[2207.04137\]](#)

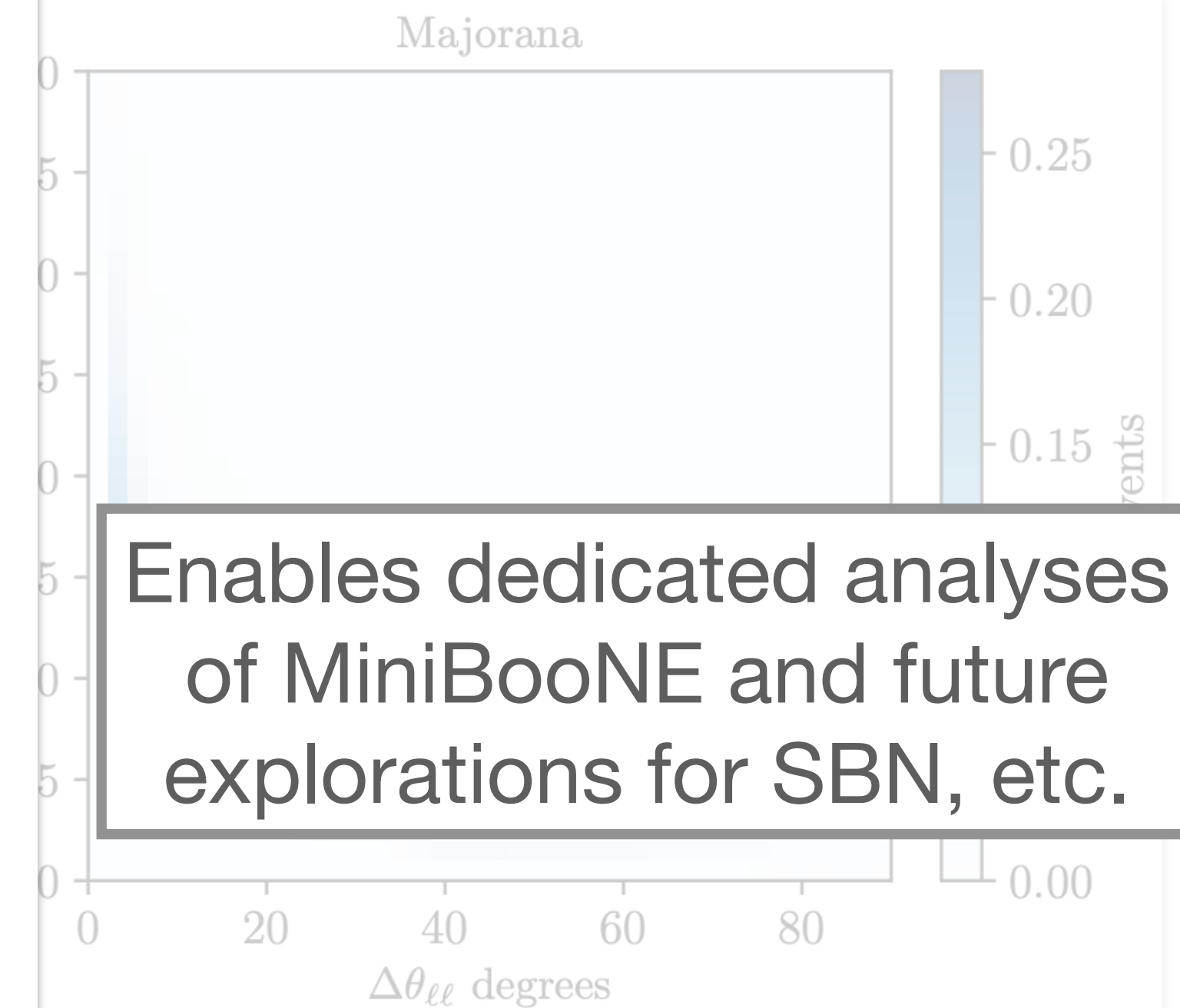


DarkNews — purpose-built tool for upscattering-type signatures.





Abdullahi et al [\[2308.02543\]](#)  $m_4 / \text{GeV}$



type signatures.









Interactive slides: download scripts/code from [https://github.com/kjkellyphys/neutrino\\_2023](https://github.com/kjkellyphys/neutrino_2023)

# MicroBooNE Recast:

Higgs-Portal Scalar  $\longrightarrow$

Heavy Neutral Lepton

[2106.06548] KJK & P.A.N. Machado

# MicroBooNE Search for Higgs-Portal Scalars

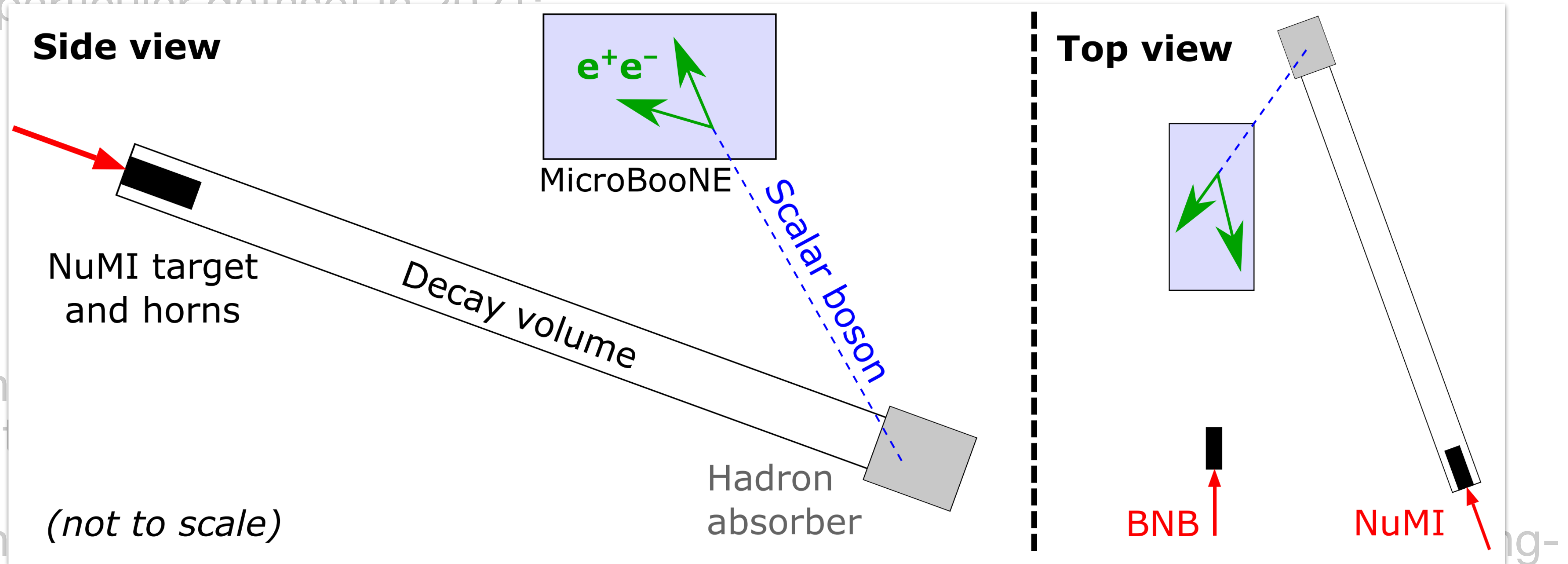
- Inspired by Batell et al [\[1909.11670\]](#), MicroBooNE sought a BSM signature in a particular dataset in 2021:



- These kaons are produced in the NuMI beam line or absorber, and decay within the absorber.
- The absorber is 100 m from MicroBooNE — the  $S$  must be moderately long-lived to reach MicroBooNE and decay inside.

# MicroBooNE Search for Higgs-Portal Scalars

- Inspired by Batell et al [\[1909.11670\]](#), MicroBooNE sought a BSM signature in a particular dataset in 2021:

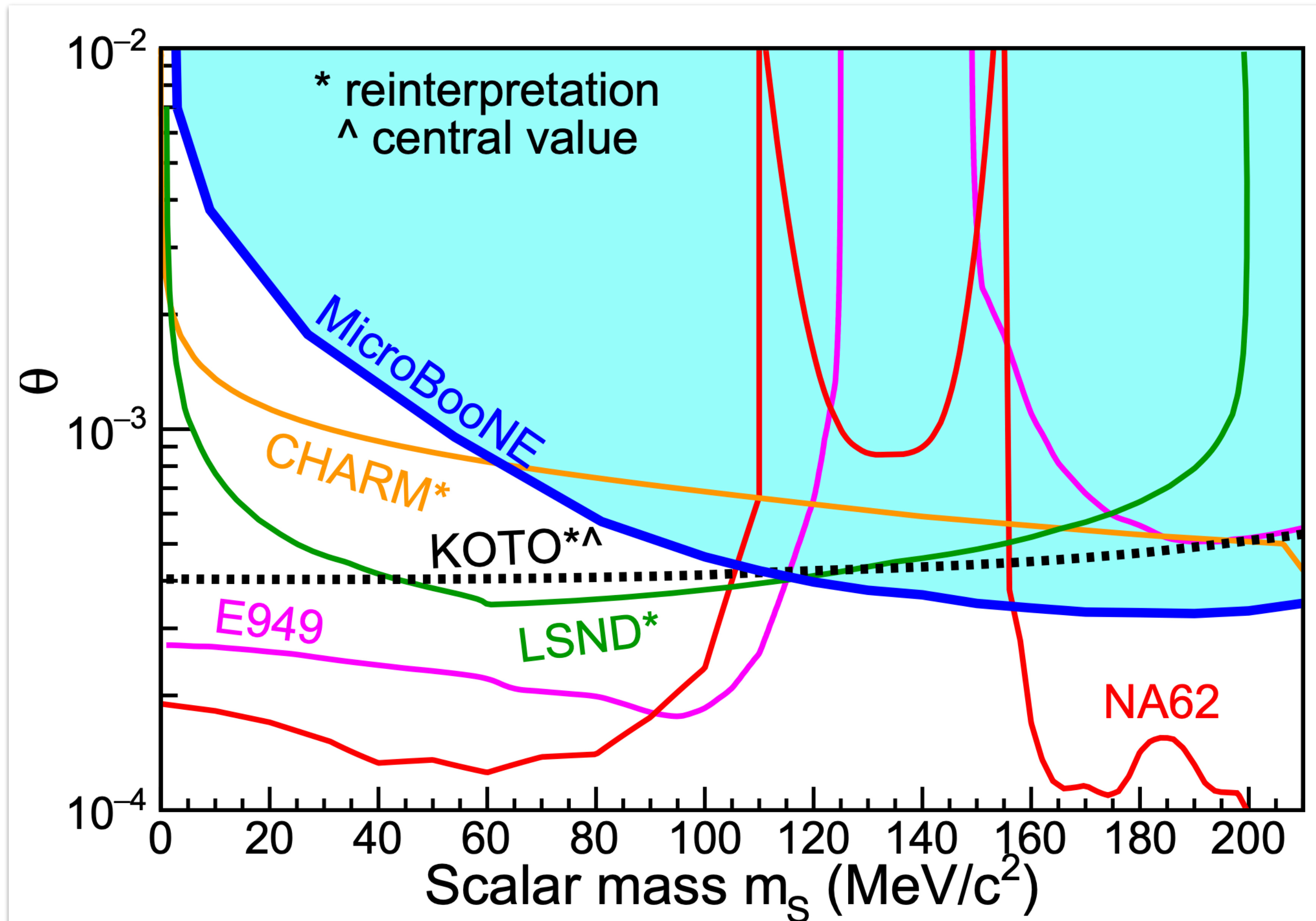


- The
- with
- The
- lived to reach MicroBooNE and decay inside.



# MicroBooNE Constraint

MicroBooNE [\[2106.00568\]](#)



# Signal Rate from KDAR BSM

signal rate

flux of new particles

detector area

$$R_X = \Phi_X A_{\text{det.}} P(X \rightarrow e^+ e^-) \varepsilon(m_X)$$

probability of decay happening in detector

signal efficiency

$$\Phi_X = \frac{N_{\text{KDAR}} \text{Br}(K^+ \rightarrow X)}{4\pi D^2}$$

$$P \approx \frac{L_{\text{det.}} \Gamma(X \rightarrow e^+ e^-)}{\gamma}$$



# Flux Example

$$\Phi_X = \frac{N_{KDAR} \text{Br}(K^+ \rightarrow X)}{4\pi D^2}$$

**Scalar Model**

$$\text{Br}(K^\pm \rightarrow \pi^\pm \varphi) = 2 \times 10^{-3} \sin^2 \vartheta \rho_\varphi \left( \frac{M_\varphi^2}{m_{K^\pm}^2}, \frac{m_{\pi^\pm}^2}{m_{K^\pm}^2} \right)$$

**HNL Model**

$$\text{Br}(K \rightarrow \mu N) \simeq \text{Br}(K \rightarrow \mu \nu) |U_{\mu 4}|^2 \rho_N \left( \frac{m_\mu^2}{m_K^2}, \frac{m_N^2}{m_K^2} \right)$$

# How to recast HPS to HNL?

- Without digging into the weeds, MicroBooNE recorded data and performed an analysis looking for  $S \rightarrow e^+e^-$  signal events.
- This included a boosted decision tree (BDT) trained on signal and background Monte Carlo.
- After cutting on the BDT score, two\* candidate events pass, on a background expectation of  $1.9 \pm 0.8$  events.

TABLE II. Estimated signal selection efficiency (eff.) for a scalar boson decay inside the TPC, and event yield [unweighted (unwt.) and beam-on exposure-weighted (exp. wt.), with the expected signal for  $\theta_{KCV}$ ].

Category	Eff. (%)	Event count	
		Unwt.	Exp. Wt.
Beam-off dataset		10	$1.1 \pm 0.4$
Neutrino simulation		16	$0.8 \pm 0.7$
Signal ( $120 \text{ MeV}/c^2$ )	$14.0 \pm 0.8$	7268	$4.9 \pm 1.5$
Signal ( $160 \text{ MeV}/c^2$ )	$14.9 \pm 0.9$	7654	$12.2 \pm 3.6$

**Goal:** as a function of mass, determine the HNL model parameters that predict the *same* signal rate that MicroBooNE has excluded for the Higgs-portal scalar model.

# Same Rate?

$$R_X = \Phi_X A_{\text{det.}} P(X \rightarrow e^+ e^-) \varepsilon(m_X)$$

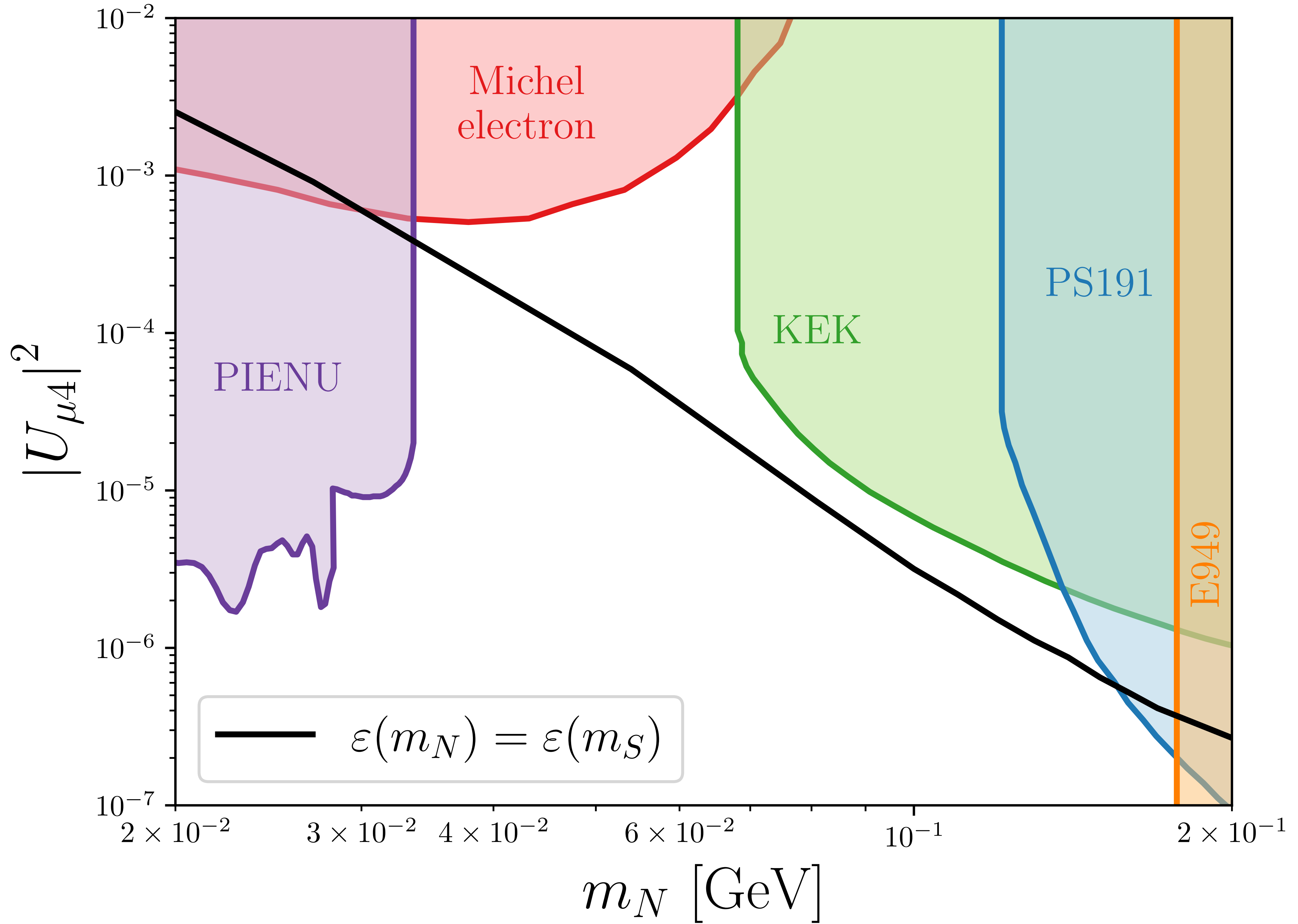
$$\frac{R_N}{R_S} \approx \frac{\text{Br}(K \rightarrow \mu N) \quad m_N E_S \Gamma(N \rightarrow \nu e^+ e^-) \quad \varepsilon(m_N)}{\text{Br}(K \rightarrow \pi S) \quad m_S E_N \Gamma(S \rightarrow e^+ e^-) \quad \varepsilon(m_S)}$$

Given or Calculable

Calculable given  $|U_{\mu N}|^2$  (and  
proportional to that)

Pretend it's equal to  $\varepsilon(m_S)$  for now

HPSSRecast.ipynb





# OK, what about efficiency?

$$R_X = \Phi_X A_{\text{det.}} P(X \rightarrow e^+e^-) \varepsilon(m_X)$$

$$\frac{R_N}{R_S} \approx \frac{\text{Br}(K \rightarrow \mu N) m_N E_S \Gamma(N \rightarrow \nu e^+ e^-) \varepsilon(m_N)}{\text{Br}(K \rightarrow \pi S) m_S E_N \Gamma(S \rightarrow e^+ e^-) \varepsilon(m_S)}$$

What goes into signal efficiency?

# Training Information from MicroBooNE

MicroBooNE [\[2106.00568\]](#)

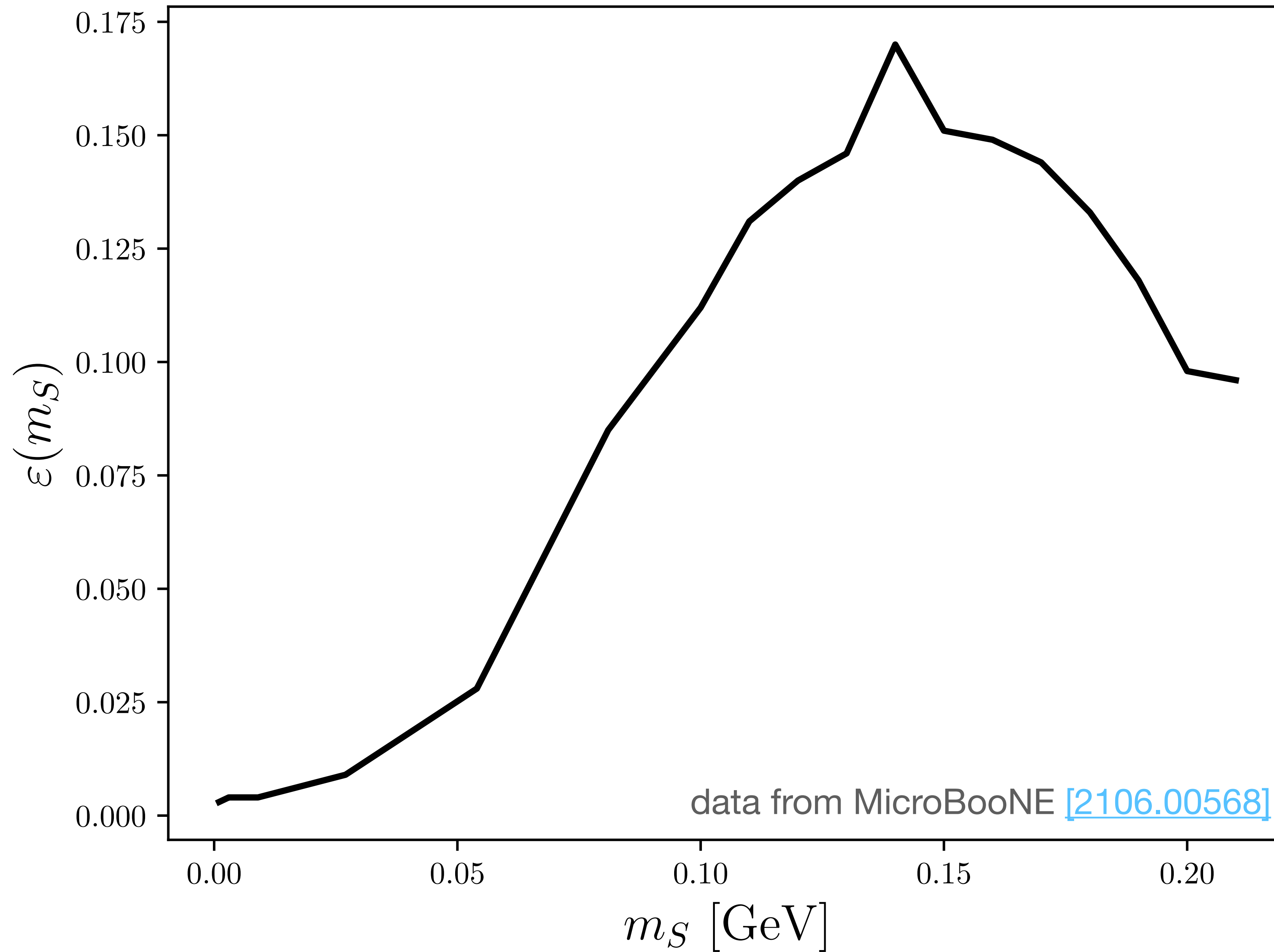
We apply two different BDTs to the preselected candidates: one trained against cosmic backgrounds and one trained against neutrino interactions simulated inside the cryostat. Each BDT is trained separately over the run 1 events and run 3 events, i.e., there are four BDTs in total. We split the run periods because the use of the CRT in run 3 and the differences between forward and reverse horn current operations can change the topologies and properties of the background distributions that the BDTs are trained against. We use `xgboost` [27] to train and apply the BDTs. We train the BDTs on ten input variables each. Nine of the ten input variables are the same for the cosmic-focused and neutrino-focused BDTs. These are (1) the opening angle between the two reconstructed objects; (2) the opening angle in the plane transverse to the

hadron absorber direction from the detector center; (3,4) the two angles between the two objects and the hadron absorber direction; (5) the Pandora track or shower score of the larger of the two objects (when ordered by number of hits); (6) the number of hits of the larger object; (7) the total number of hits contained in other objects in the slice, not including the two objects that form the decay candidate; (8) the maximum  $y$  coordinate, relative to the decay vertex position, of shower start positions or track start or end positions, for any other objects in the slice; and (9) the minimum  $z$  coordinate, relative to the decay vertex position, of shower start positions or track start or end positions, for any other objects in the slice. The last two variables are treated as “missing” within `xgboost` if the slice contains only two objects. The tenth input variable of the cosmic-focused BDT is the length of the larger object. The tenth input variable of the neutrino-focused BDT is the number of tracks in the slice. For all

## Ansatz:

(1) dominates the signal efficiency as a function of BSM particle mass

# HPS Efficiency



Generate  $e^+e^-$  events in the rest-frame of the decaying HPS/HNL

(depends on `RestFrame.py` for HNL three-body kinematics and `vegas` for phase-space sampling)

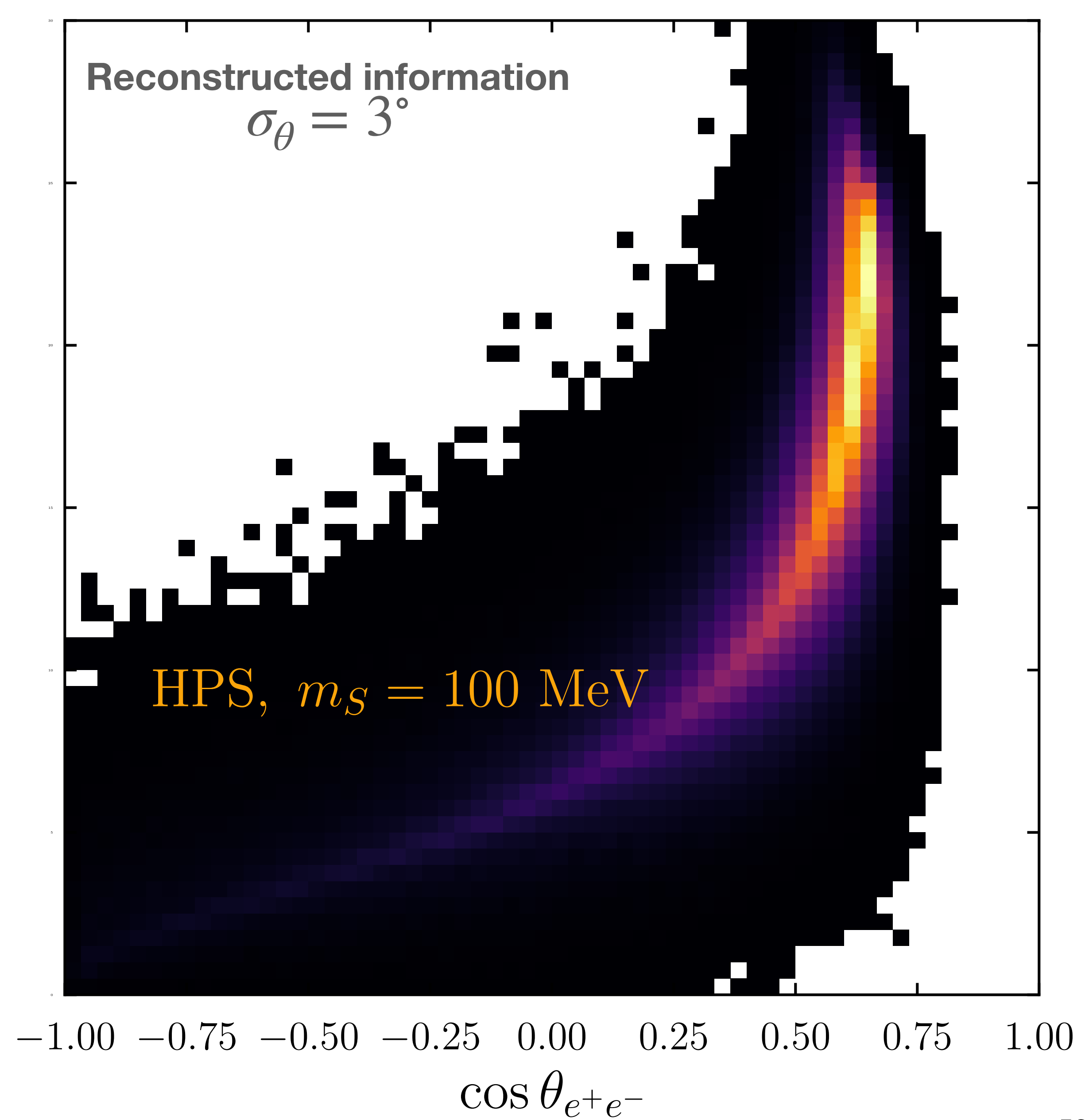
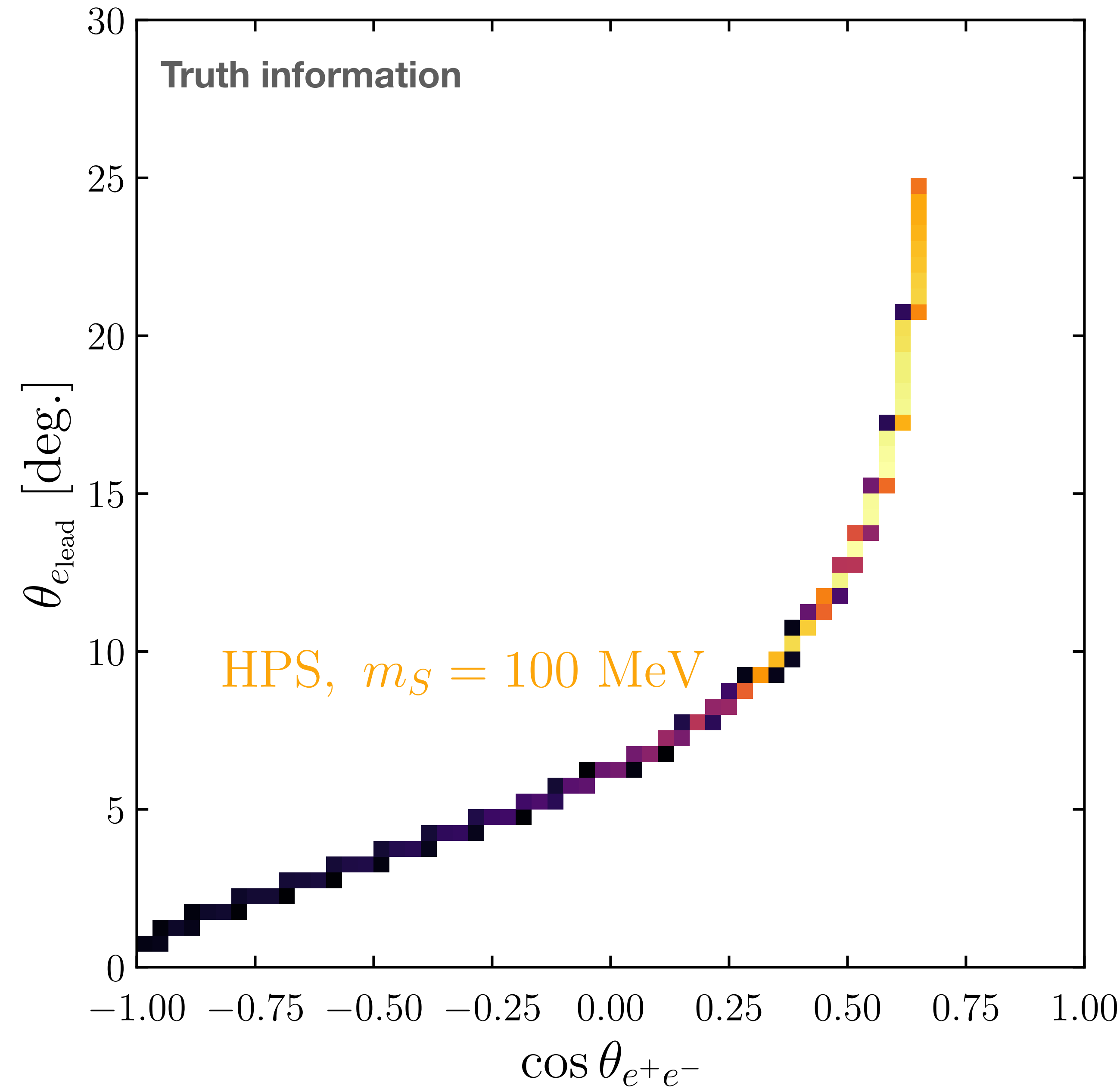
`RestFrame.py`

`LabFrame.py`

Transforms event to the laboratory frame, smears events, and performs different reconstruction/analyses on the events.

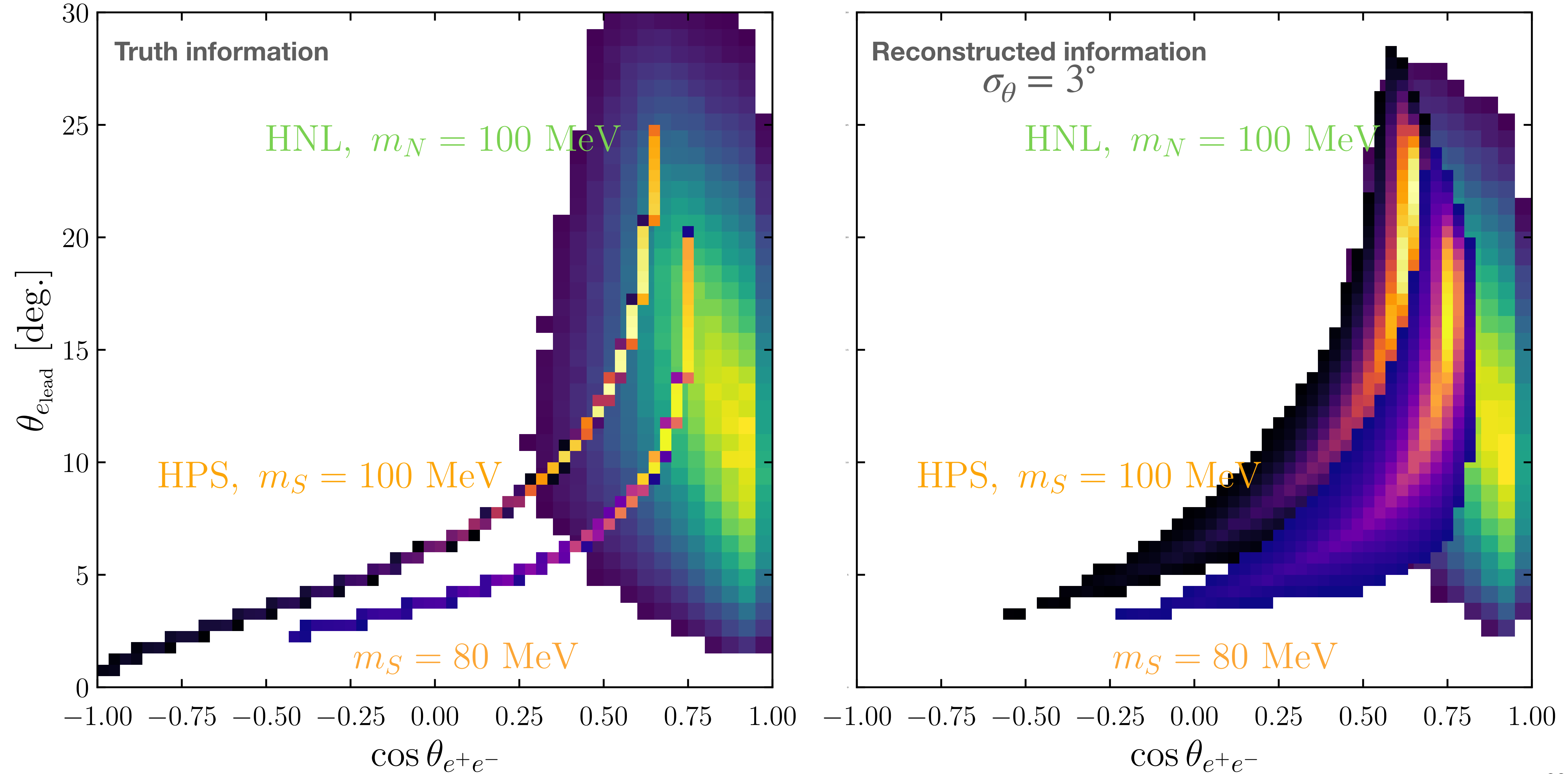


# Event Distributions

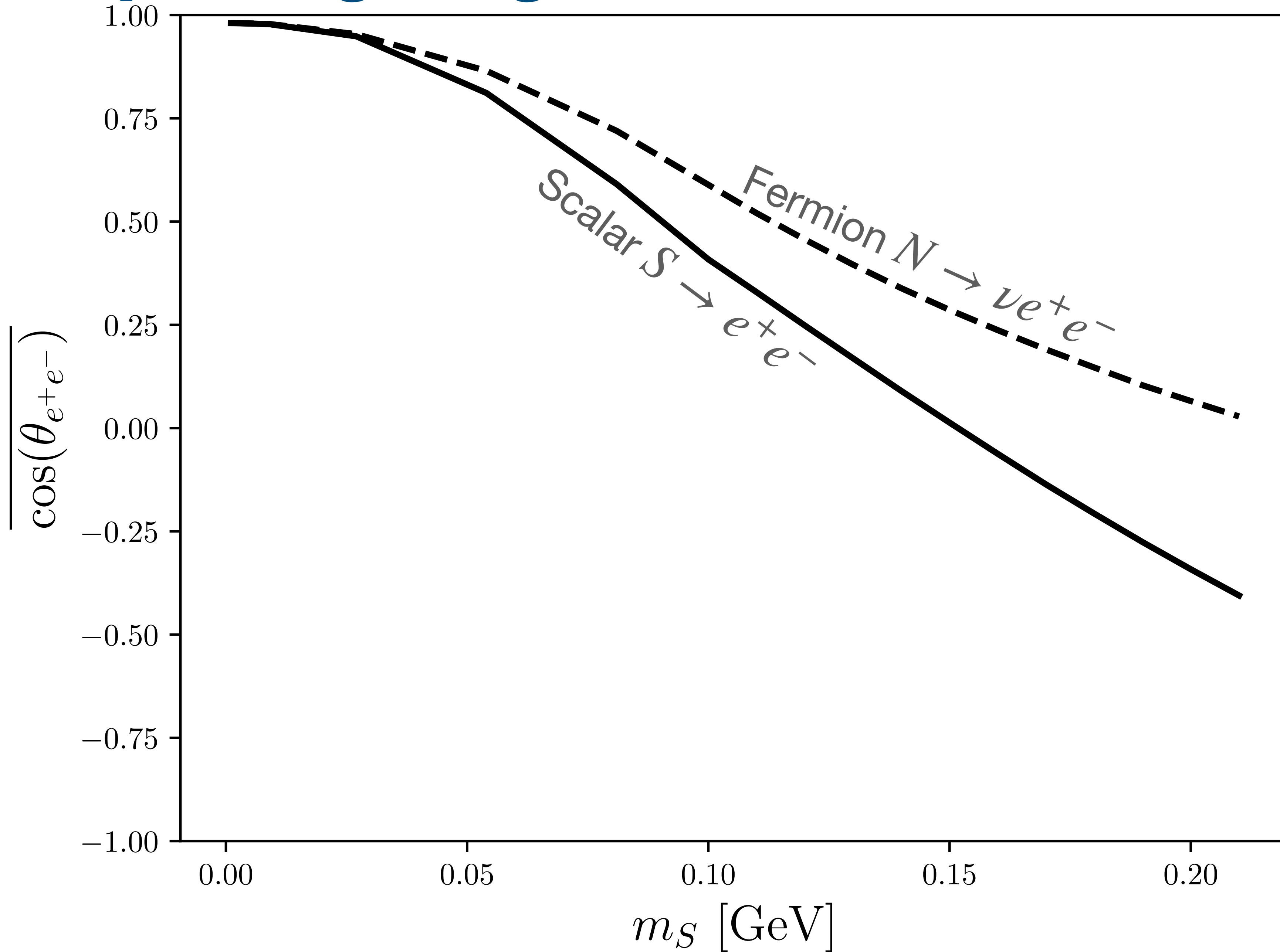




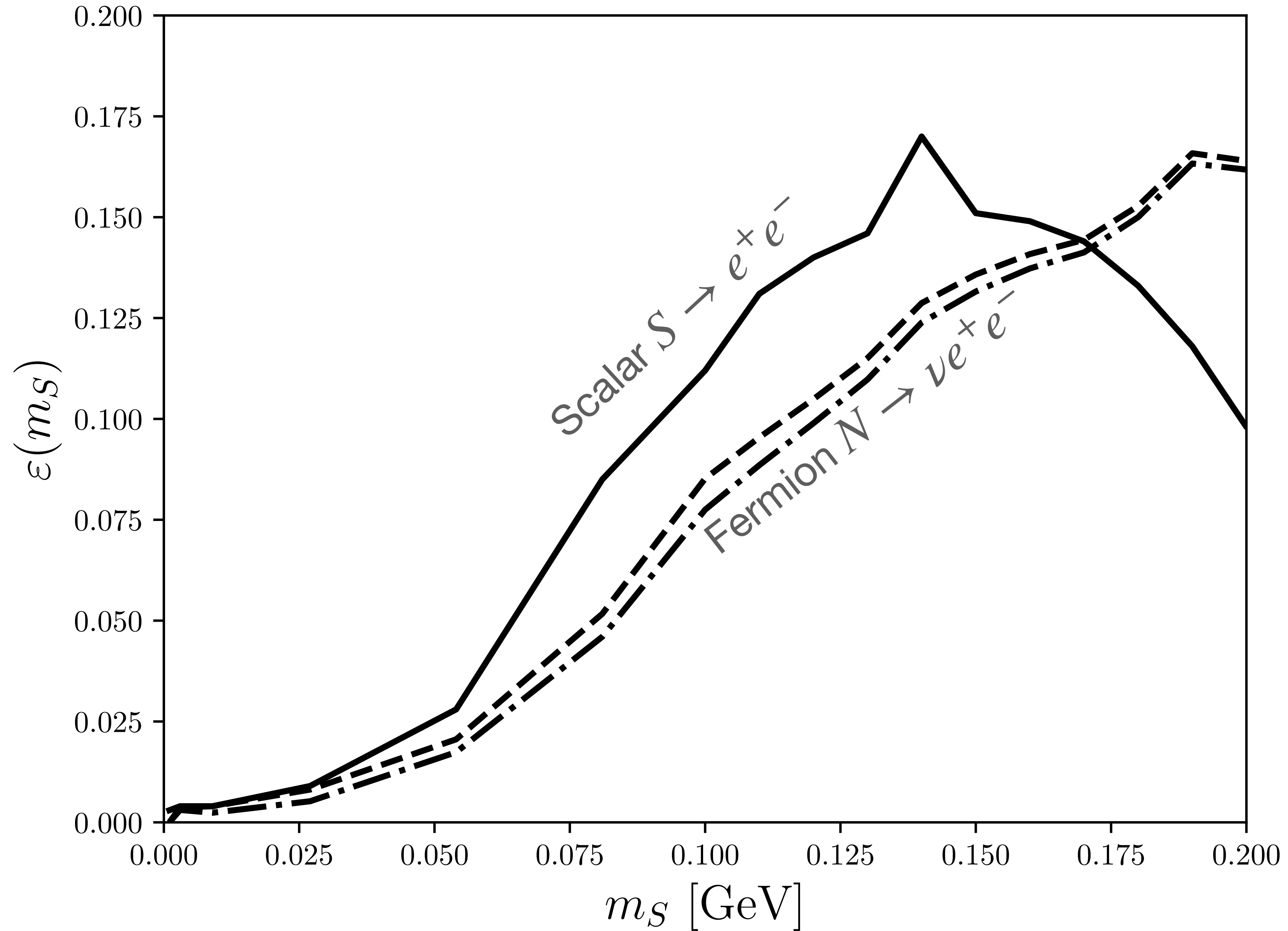
# HNL vs HPS in this Kinematic Space



# Average Opening Angles



# Updated Efficiency for HNLs



# New Constraint on HNLs

