

# Neutrino Flux Predictions

## Day 2 – Neutrino Flux Simulation

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NuSTEC 2024

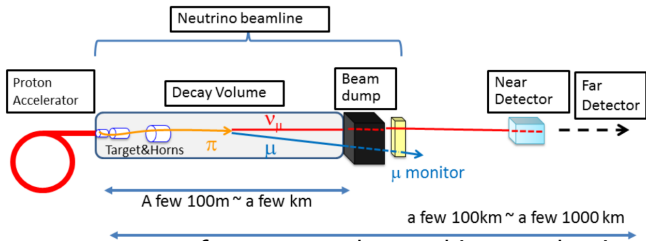
CERN

June 12, 2024

# Outline

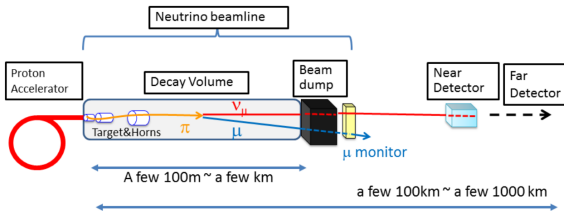
- Yesterday :
  - How to make a neutrino beam
  - Accelerators for neutrino beamlines
  - Neutrino beamline components
- Today :
  - Predicting neutrino fluxes
  - Calculating systematic errors on neutrino fluxes
- Often using the J-PARC/T2K neutrino flux as an example
  - Neutrino source for current T2K and future Hyper-K experiments in Japan

# Producing A Conventional Neutrino Beam



- High energy protons from an accelerator hit a production target and produce hadrons
- Outgoing hadrons are sign selected + focused in electro-magnetic focusing horns
  - Change polarity of horn field to switch between focusing positive or negative hadrons
- Allow hadrons to decay in long decay volume:  $\pi^+ \rightarrow \mu^+ + \nu_\mu, \dots$
- Monitor hadrons in hadron monitor at downstream of decay volume, or muons in muon monitor installed in shielding/beam dump
- Stop protons, hadrons, muons, in beam dump or ground, while neutrinos continue on to near and far detectors

# Predicting the Neutrino Flux



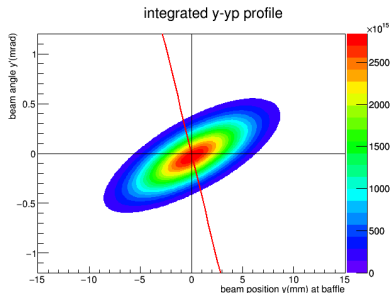
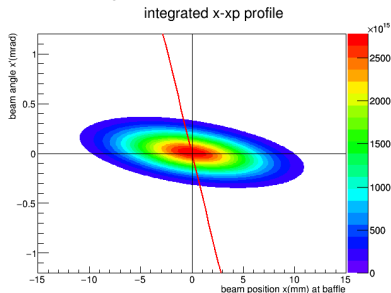
- Directly measuring the flux is difficult/impossible – must simulate it
- Simulate the neutrino flux taking into account each component:
  - Proton beam incident on the target
    - Proton beam position, width, angle, intensity (number of protons)
  - Taking into account all components in the beamline, for example:
    - Baffle (ie upstream of target), target, horns, decay volume, beam dump, other material in the secondary beamline
    - Alignment of all components
    - Horn field, Earth field in decay volume
  - Hadron production inside the target
  - Hadron production outside of the target
  - Hadron decay/neutrino production in decay volume
  - Position of the neutrino detector (e.g. neutrino beam off-axis angle)

## Details of T2K Flux Prediction

- In the T2K flux simulation, we:
  - Generate a proton beam based on the measured proton beam position, width, emittance at the upstream edge of the baffle
  - Simulate interactions in the baffle and target by Fluka
  - Track particles exiting the target through the horn field and decay volume by Geant3
  - Rates of  $\pi^\pm$ ,  $K^\pm$ ,  $p$  exiting the target's surface are tuned to yields measured by the NA61/SHINE experiment using a weight:
$$w(p, \theta, z, i) = \frac{dn^{\text{NA61}}(p, \theta, z, i)}{dn^{\text{MC}}(p, \theta, z, i)}$$
    - High-statistics NA61 data taken on a T2K replica target in 2010 (Eur. Phys. J. C 79 (2019) 100)
  - Interactions outside of the production target are not directly covered by NA61/SHINE data
    - Scale thin target  $\pi^\pm$ ,  $K^\pm$ ,  $K_s^0$ ,  $\Lambda$ ,  $p$  NA61 2009 data (Eur. Phys. J. C 76 (2016) 84) to different center of mass energy and target nuclei
    - Parameterize and cross-check scaling methods with multiplicity data from various older experiments (Eichten et al., Allaby et al., BNL E910)
- Upgrade of simulation Fluka+Geant3  $\rightarrow$  Geant4 underway now

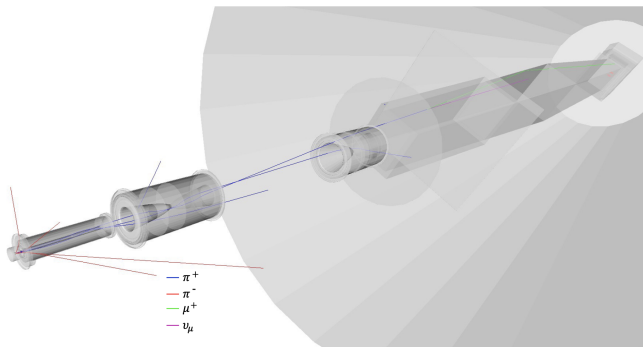
## Proton Beam Measurements at the Baffle

- Continuously measure the proton beam parameters at the baffle and target using proton beam monitoring
- Calculate an average beam profile for each “run period”
  - Measured position, angle, width, emittance, twiss  $\alpha$  at the upstream end of the baffle used as inputs for J-PARC flux simulation
- Continuously measure proton beam intensity spill-by-spill using CTs
  - Sum to get total protons on target (POT) for each “run period”
  - Can then also calculate a POT-weighted flux combination of all run periods



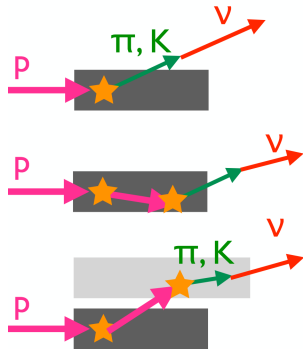
## Beamline Geometry

- Implement all beamline components into model geometry
  - Baffle, target, horns, decay volume, beam dump, other material in the secondary beamline
  - Including nominal or measured alignment information for each beamline component
- T2K MC now separates baffle/target (Fluka) and all other secondary beamline components (Geant3), but not for any good reason
  - T2K now preparing combined simulation (Geant4)
  - NUMI already uses Geant4 for full simulation



## Interactions Inside and Outside of the Target

- Proton beam can interact a single time in the target
  - Or –
- Multiple times in the target
  - Or –
- Outside of the target



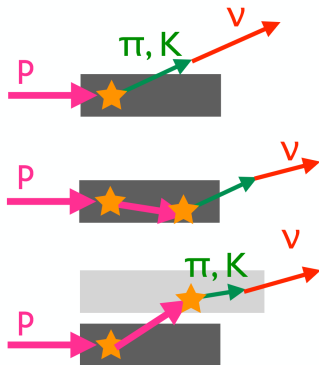
- In-target primary interactions are the main contribution to the flux
- However, there is a significant contribution from secondary+tertiary and/or out of target interactions, especially for the wrong sign flux



## Interactions Inside and Outside of the Target

Percentage of neutrino-mode T2K far detector flux from in-target or out-of-target interactions :

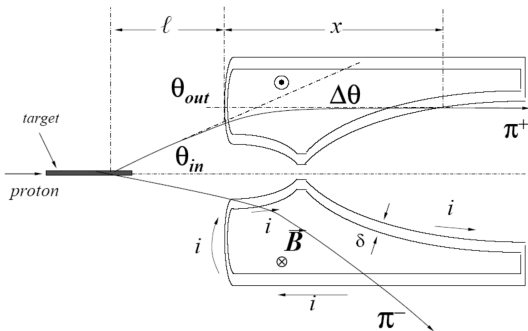
	in-target primary int.	other than the in-target primary int. (out of target int.)
$\nu_\mu$	63.2%	36.8% (12.4%)
$\bar{\nu}_\mu$	41.5%	58.5% (45.1%)
$\nu_e$	61.7%	38.3% (12.7%)
$\bar{\nu}_e$	54.0%	46.0% (27.2%)



- In-target primary interactions are the main contribution to the flux
- However, there is a significant contribution from secondary+tertiary and/or out of target interactions, especially for the wrong sign flux

Interactions are complicated, so let me come back to it

## Horn Focusing



- Need to know the horn field precisely in order to model it correctly
- Make direct measurements of the horn field before installation using a Hall Probe inserted between the horn outer and inner conductors
- Continuously measure the supplied horn current during beam operation
- Use measured fields scaled to measured horn currents as input into the flux simulation

## Secondary Hadron Decay

- Simulate hadron decay in the decay volume
- Branching ratios of hadron decays are very well known

$$\pi^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu) \text{ (BR=99.99\%)} \text{ (right-sign low-E } \nu_\mu \text{'s)}$$

$$K^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu) \text{ (BR=63.6\%)} \text{ (right-sign high-E } \nu_\mu \text{'s)}$$

$$\hookrightarrow \mu^\pm \rightarrow e^\pm + \bar{\nu}_\mu(\nu_\mu) + \nu_e(\bar{\nu}_e) \text{ (BR=100\%)} \text{ (right-sign } \nu_e \text{'s)}$$

$$K_L^0 \rightarrow \pi^\pm + \mu^\mp + \bar{\nu}_\mu(\nu_\mu) \text{ (BR=27.0\%)} \text{ (right- and wrong-sign } \nu_\mu \text{'s)}$$

$$K_L^0 \rightarrow \pi^\pm + e^\mp + \bar{\nu}_e(\nu_e) \text{ (BR=40.6\%)} \text{ (right- and wrong-sign } \nu_e \text{'s)}$$

$$K_S^0 \rightarrow \pi^+ + \pi^- \text{ or } \pi^0 + \pi^0 \text{ (BR=99.9\%)}$$

....

## Pion vs Muon Decay

- $\nu_e$  component of the beam is mostly from  $\mu$ 's from  $\pi$  decay
- Since every  $\pi$  produces a  $\mu$  which will eventually decay, would have equal number of  $\nu_\mu$  and  $\nu_e$  for an infinitely long decay tunnel
- With a decay tunnel of length  $L$  longer than the  $\pi$  lifetime  $\gamma_\pi c\tau_\pi$  but shorter than the muon lifetime  $\gamma_\mu c\tau_\mu$ , all pions would decay while most muons are decelerated at the end of the decay tunnel  
→ Strongly defocused low-energy  $\nu_e$  component

- $\nu_e$  contamination can be estimated as:

$$\frac{\Phi(\nu_e)}{\Phi(\nu_\mu)} = 1 - \exp\left(-\frac{L}{\gamma_\mu c\tau_\mu}\right) \simeq \frac{L}{\gamma_\mu c\tau_\mu}$$

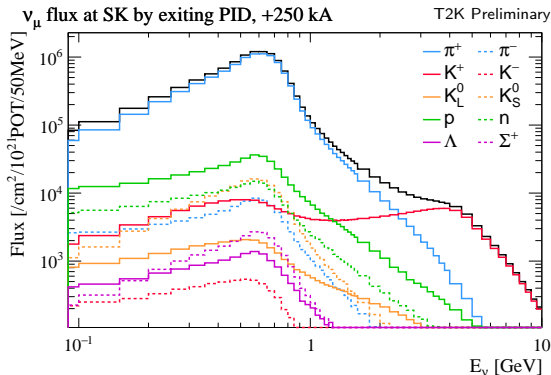
- For T2K,  $\gamma_\mu \simeq 20$ ,  $L \simeq 100\text{m}$

$$\tau_\mu = 2.2\mu\text{s}$$

$$\Phi(\nu_e)/\Phi(\nu_\mu) \simeq 1\%$$

→ Need much longer decay volume before you start worrying about significant contamination from  $\nu_\mu$  decays

# Neutrino Parent Particles



Neutrino parent particles are mostly **pions**, **kaons** produced in the target

$$\pi^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu) \quad (\text{BR}=99.99\%) \quad (\text{right-sign low-E } \nu_\mu\text{'s})$$

$$K^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu) \quad (\text{BR}=63.6\%) \quad (\text{right-sign high-E } \nu_\mu\text{'s})$$

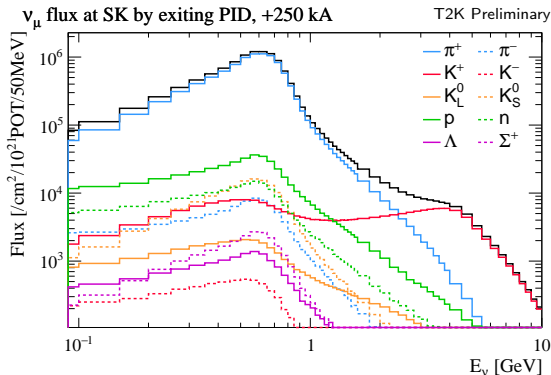
$$\hookrightarrow \mu^\pm \rightarrow e^\pm + \bar{\nu}_\mu(\nu_\mu) + \nu_e(\bar{\nu}_e) \quad (\text{BR}=100\%) \quad (\text{right-sign } \nu_e\text{'s})$$

$$K_L \rightarrow \pi^\pm + \mu^\mp + \bar{\nu}_\mu(\nu_\mu) \quad (\text{BR}=27.0\%) \quad (\text{right- and wrong-sign } \nu_\mu\text{'s})$$

$$K_L \rightarrow \pi^\pm + e^\mp + \bar{\nu}_e(\nu_e) \quad (\text{BR}=40.6\%) \quad (\text{right- and wrong-sign } \nu_e\text{'s})$$

....

# Neutrino Parent Particles



Neutrino parent particles are mostly **pions** and **kaons** produced in the target, but can also be from particles produced by secondary interactions of **protons** and **neutrons** (+ **pions**, **kaons**, ...) in other materials around the beamline

$$\pi^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu) \quad (\text{BR}=99.99\%) \quad (\text{right-sign low-E } \nu_\mu\text{'s})$$

$$K^\pm \rightarrow \mu^\pm + \nu_\mu(\bar{\nu}_\mu) \quad (\text{BR}=63.6\%) \quad (\text{right-sign high-E } \nu_\mu\text{'s})$$

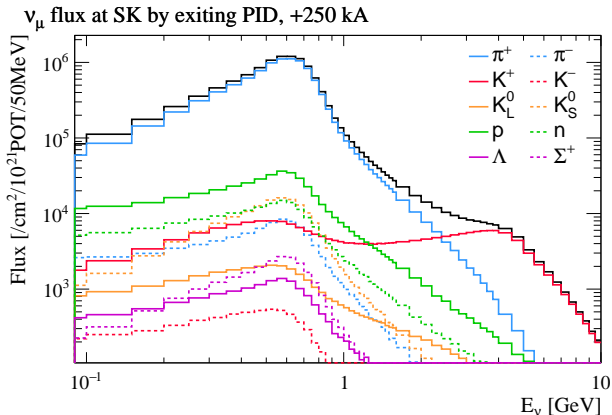
$$\hookrightarrow \mu^\pm \rightarrow e^\pm + \bar{\nu}_\mu(\nu_\mu) + \nu_e(\bar{\nu}_e) \quad (\text{BR}=100\%) \quad (\text{right-sign } \nu_e\text{'s})$$

$$K_L \rightarrow \pi^\pm + \mu^\mp + \bar{\nu}_\mu(\nu_\mu) \quad (\text{BR}=27.0\%) \quad (\text{right- and wrong-sign } \nu_\mu\text{'s})$$

$$K_L \rightarrow \pi^\pm + e^\mp + \bar{\nu}_e(\nu_e) \quad (\text{BR}=40.6\%) \quad (\text{right- and wrong-sign } \nu_e\text{'s})$$

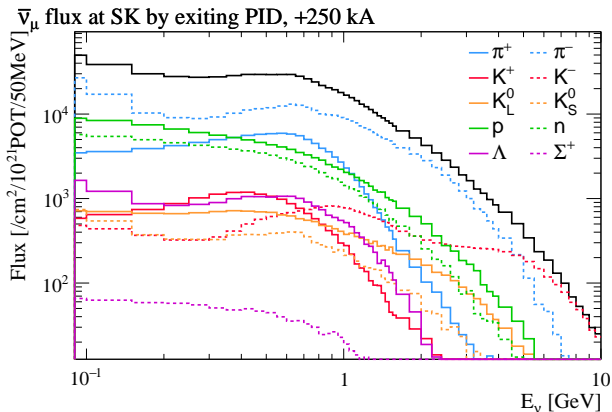
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# Neutrino Parent Particles



- Main contribution of right-sign flux from right-sign pions near flux peak, right-sign kaons at higher energies
- Then hadrons produced by proton interactions with materials outside of the target, then others..

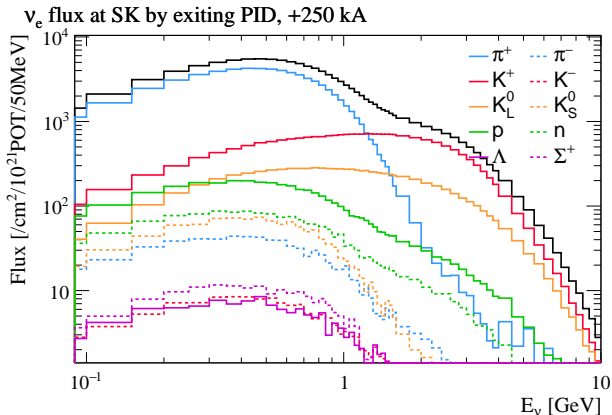
# Neutrino Parent Particles



- Main contribution of wrong-sign flux from wrong-sign pions, muons from right-sign pion decay
- Then hadrons produced by proton/neutron interactions with materials outside of the target

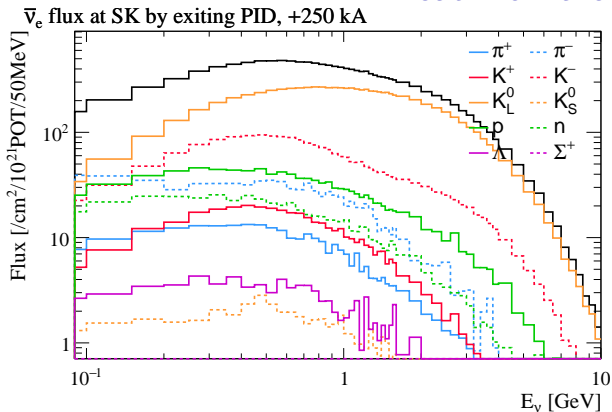


# Neutrino Parent Particles



- Main contribution of  $\nu_e$  flux from muon decay from right-sign pions and kaons
- Then  $K^0$ , hadrons produced by proton/neutron interactions with materials outside of the target, ...

# Neutrino Parent Particles

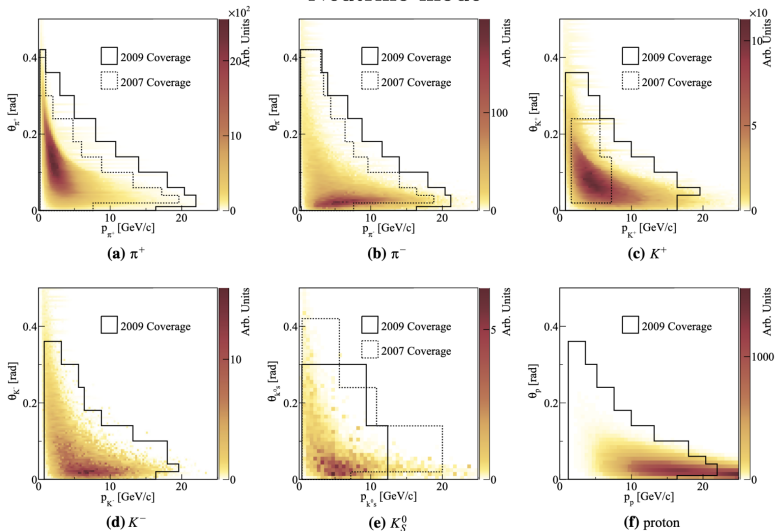


- Main contribution of  $\bar{\nu}_e$  flux from  $K_L^0$ , then muon decay from wrong-sign kaons
- Then hadrons produced by proton/neutron interactions with materials outside of the target, muon decay from wrong-sign pions, ...

# Phase Space Contributing to the Flux

- Outgoing  $(p, \theta)$  phase space of particles contributing to the flux

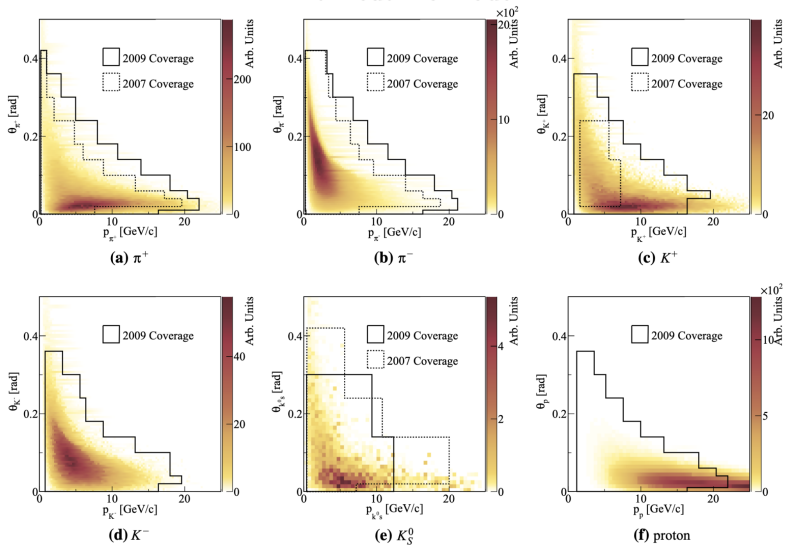
## Neutrino mode



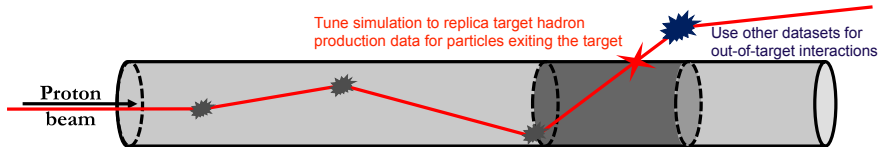
# Phase Space Contributing to the Flux

- Outgoing  $(p, \theta)$  phase space of particles contributing to the flux

Anti-neutrino mode

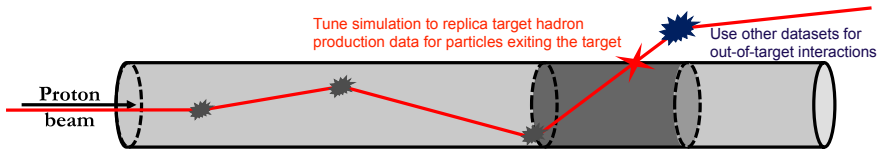


## Tuning to Hadron Production Data



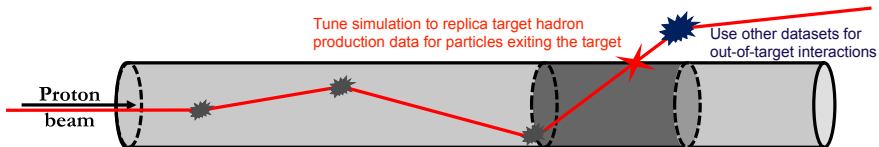
- Decay branching ratios of outgoing particles very well known
- But, we don't precisely know the probability of proton interacting
- And, we don't know the probability of producing each parent particle  
→ Make dedicated hadron production measurements!
- Can then directly tune the Monte Carlo prediction to measurements

# Tuning to Hadron Production Data



- With high-precision data on a replica of the actual target at the actual proton beam energy, can do a relatively simple tuning of the MC for particles exiting the target surface
  - Don't need to worry about secondary interactions inside the target
- On the other hand, lower momentum particles exiting the target can re-interact on materials around the beamline
  - Need either lots and lots of datasets on many different materials with many different energy beams of different parent particles (better)
  - Or, need to scale existing datasets to the correct phase space, particle type, etc using various techniques (often need to apply large errors, some interactions remain unconstrained, etc)

# Tuning to Hadron Production Data



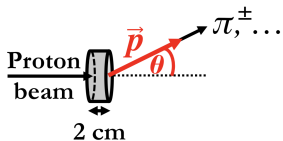
- Current status:
  - High-precision dedicated hadron production measurements for various experiments on replica targets recently/currently underway
    - Need higher statistics measurements as requirements get stricter for future precision neutrino experiments
    - Need additional high-precision replica target datasets as target designs for future facilities are fixed
  - Starting to get more serious about measurements for lower momentum particles exiting the target which can re-interact on materials around the beamline
    - Many of these are currently unconstrained by external datasets
    - Plans to make many dedicated measurements of these soon

# Method for Tuning to Hadron Production Data

- Total probability of hadron interactions and outgoing hadron multiplicities are tuned to match hadron production measurements
  - As a function of:
    - Incoming proton momentum
    - Outgoing hadron momentum and angle or Feynman variables ( $x_F, p_T$ )
- Necessary external data for precise flux tuning:
  - Total cross section
    - Used to constrain interaction probabilities of hadrons
  - Differential production multiplicity
    - Used to constrain hadron production multiplicities
  - Generally provided by each experiment in bins of hadron ( $p, \theta$ ) or ( $x_F, p_T$ )  $(x_F = 2p_L^{CoM} / \sqrt{s})$



## Thin Target Data



- Thin target beam data
  - Study single interactions
  - A few % radiation length target, typically 2cm thick
  - Various material types
  - Various incident particles ( $p$ ,  $\pi$ ,  $K$ )
- From thin targets, can measure:

- Total cross sections:

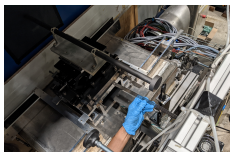
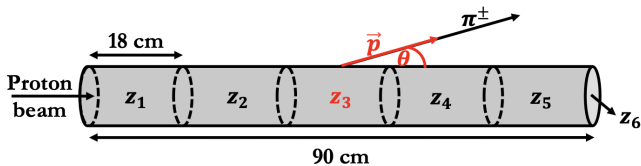
$$\sigma_{inel} = \sigma_{total} - \sigma_{el}$$

$$\sigma_{prod} = \sigma_{inel} - \sigma_{qe}$$

- Differential hadron yields (differential cross section)

$$\frac{d^2 n}{dp d\theta} = \frac{1}{\sigma_{prod}} \frac{d^2 \sigma}{dp d\theta}$$

## Replica Target Data



- Replica target beam data
  - Geometry and material identical to associated neutrino production target
  - Incident proton beam of relevant energy
- From replica targets, can measure:
  - Beam attenuation (production cross section)

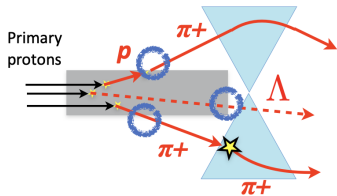
$$P_{survival} = e^{-Ln\sigma_{prod}}$$

- Differential hadron yields

$$\frac{d^3n}{dpd\theta dz}$$

## Replica Tuning to Data

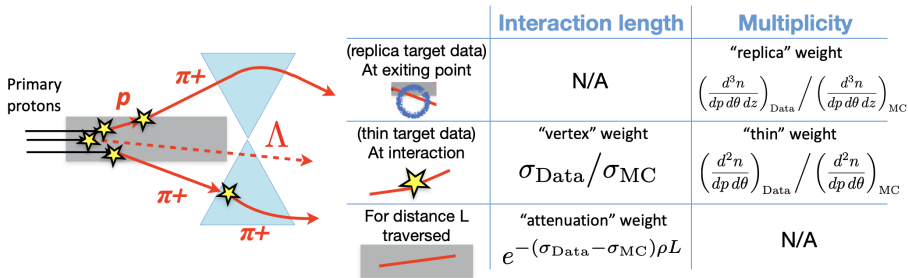
- Two corrections to constrain model ambiguity
  - Interaction length: tune production cross-section to external measurement
  - Multiplicity: tune differential hadron multiplicity to external measurement
- For replica target data, tune all interactions in the target to measurements from the target surface
  - Don't need to worry about what's happening inside of the target
- Out-of-target interactions still need to be tuned to thin target data



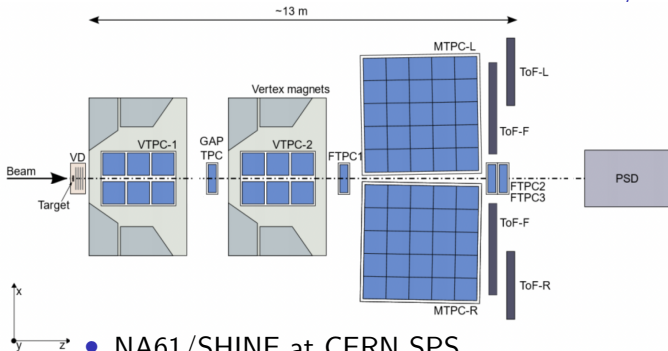
	Interaction length	Multiplicity
(replica target data) At exiting point	N/A	"replica" weight $\left(\frac{d^3n}{dp d\theta dz}\right)_{\text{Data}} / \left(\frac{d^3n}{dp d\theta dz}\right)_{\text{MC}}$
(thin target data) At interaction	"vertex" weight $\sigma_{\text{Data}} / \sigma_{\text{MC}}$	"thin" weight $\left(\frac{d^2n}{dp d\theta}\right)_{\text{Data}} / \left(\frac{d^2n}{dp d\theta}\right)_{\text{MC}}$
For distance L traversed	"attenuation" weight $e^{-(\sigma_{\text{Data}} - \sigma_{\text{MC}})\rho L}$	N/A

## Thin Tuning to Data

- If replica target weights are not available, need to rely on tuning to thin weights, including for re-interactions in the target
- Thin target tuning is a bottom-up approach where every single interaction and propagation is reweighted to match experimental results
  - Need to record the interaction chain of every particle eventually decaying into a neutrino



# Current/Future Hadron Production Measurements: NA61/SHINE



- NA61/SHINE at CERN SPS
- Secondary hadron beams between 13 GeV/c and 350 GeV/c
- Large acceptance for charged particles with good momentum and particle identification resolution
- Thin and replica target data
- Future upgrades to allow for <13 GeV/c proposed

# NA61/SHINE Data So Far

2007 - 2010

Long  
Shutdown  
(LS) 1

2015 - 2018

LS2

2022 - 2025

## **Phase 1: T2K**

protons at 31 GeV/c

- p + C (2cm graphite)
- p + T2K replica  
(90 cm graphite)

### publications:

- PRC 84 034604 (2011)
- PRC 85 035210 (2012)
- NIMA 701 99-114 (2013)
- PRC 89 025205 (2014)
- EPJC 76 84 (2016)
- EPJC 76 617 (2016)
- EPJC 79 no.2 100 (2019)
- PRD 103 012006 (2021)

Analysis complete!

Including T2K  
replica target  
 $\pi^\pm$ ,  $K^\pm$ ,  $p$  data

## **Phase 2: NuMI and LBNF**

hadrons at 60-120 GeV/c

- various thin targets (C, Be, Al)
- p + NuMI replica  
(120 cm graphite)

### publications:

- PRD 98 052001 (2018)
- PRD 100 112001 (2019)
- PRD 100 112004 (2019)
- PRD 107 072004 (2023)
- PRD 108 072013 (2023)

Data collection complete.  
More results will come!

## **Phase 3: T2K, NuMI, LBNF**

hadrons at 31-120 GeV/c

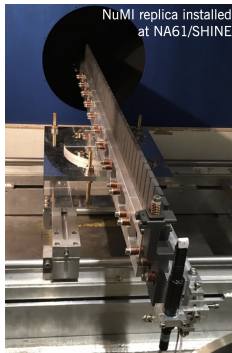
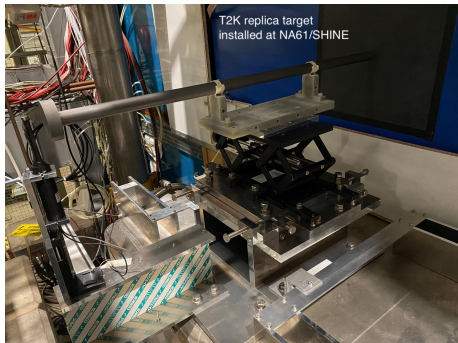
- p + T2K replica (x15 data stat.)
- various thin targets (C, Ti)
- p+DUNE prototype  
(150 cm graphite)

Data collection is ongoing!

Including T2K  
replica target  
high momentum  
kaon data

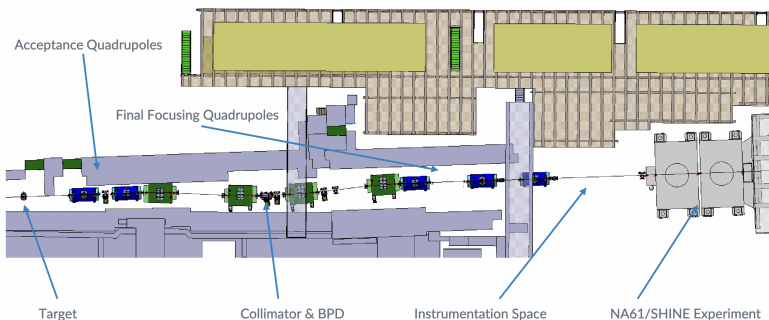
## NA61/SHINE Recent Status

- Various recent hadron production measurements for neutrino flux constraints:
  - Thin target data for FNAL in 2016~2017
  - Replica target data for NUMI in 2018
  - Replica target data for T2K in 2022
    - Almost  $20\times$  higher statistics than 2010 T2K replica target run
    - Mainly for measurement of high momentum kaon production



- High statistics dataset in 2022 possible due to major upgrades in 2019~2022:
  - Upgraded TPC electronics
  - Upgraded trigger/DAQ  
→  $\sim 100$  Hz →  $\sim 1$  kHz
  - + Other detector upgrades

# NA61/SHINE Low Energy Beamline Upgrade Plan

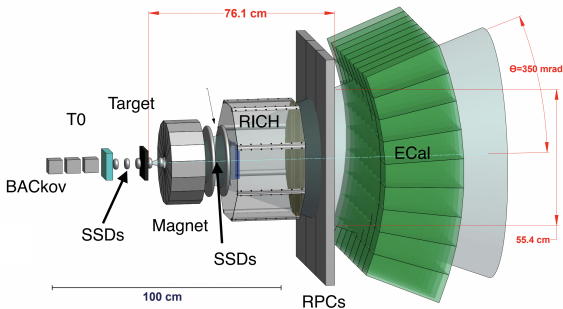


C. Mussolini, NBI2022

- Now designing low energy (1~13 GeV) beamline for NA61/SHINE
  - Constrain secondary interactions outside of neutrino production target
  - + Atmospheric neutrino flux, spallation sources, ...
- Under development by CERN beam group in collaboration with NA61/SHINE collaboration
- Aim to have low energy beam available from 2025~



# Current/Future Hadron Production Measurements: EMPHATIC

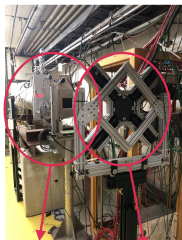


- EMPHATIC at Fermilab Test Beam Facility
- Collaboration dedicated to collecting data for neutrino fluxes

- Table-top size experiment
- Focused on hadron production measurements  $<15$  GeV/c, but also make measurements with 20-120 GeV/c
- Thin target data on various materials
- Future upgrades planned:
  - Higher acceptance
  - Measure hadron flux downstream of a target and focusing horn with spectrometer on a motion table

# EMPHATIC Recent Status

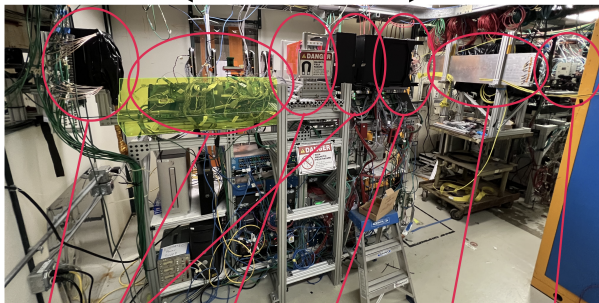
~1.5m



Gas Ckov + Trigger



Beam aerogel Ckov



T0

SSDs

Magnet

Aerogel  
RICH

RPC

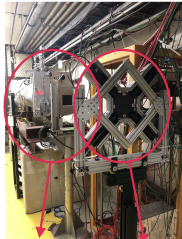
Lead-glass  
Calorimeter

J. Paley, NuFACT2022

- Took Phase 1 data in several periods during 2022~2023:
  - Low and high momentum hadron production data (with 100 mrad acceptance) + elastic/quasi-elastic scattering data
  - 2, 4, 8, 12, 20, 31, 60, 120 GeV/c proton, pion, kaon beams
  - On C, CH<sub>2</sub>, Al, Fe, Be, Ti, Ca, H<sub>2</sub>O targets

# EMPHATIC Future Plan

~1.5m



Gas Ckov + Trigger



Beam aerogel Ckov



T0

SSDs

Magnet

Aerogel  
RICH

RPC

Lead-glass  
Calorimeter

J. Paley, NuFACT2022

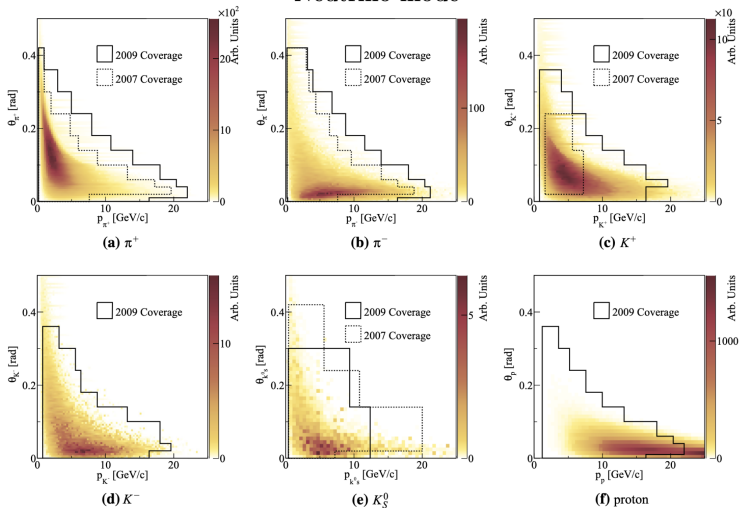
- Phase 2 planned

- Higher acceptance spectrometer
- Dedicated beamline for EMPHATIC spectrometer – plenty of beamtime to take many high-statistics measurements on many materials

## Phase Space Contributing to the Flux

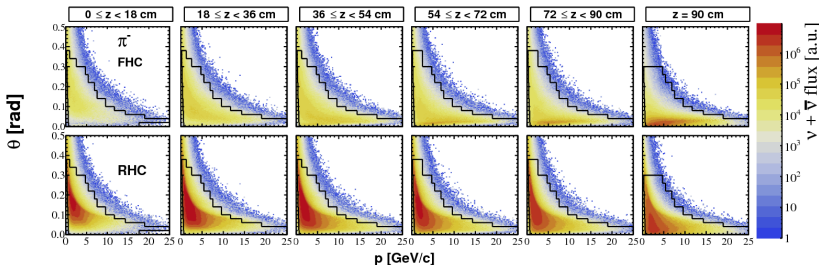
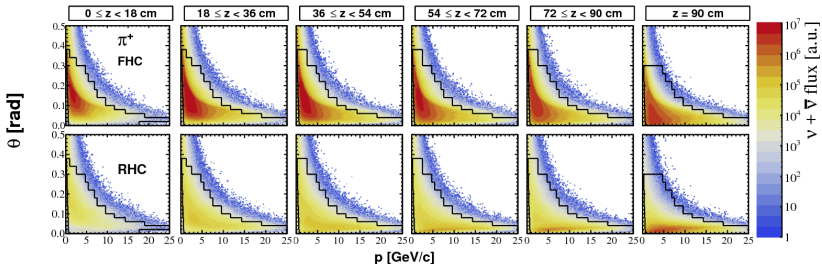
- Most of the T2K phase space is covered by 2009/2010 NA61/SHINE replica target data, but some part is not covered
  - Additional data taken in 2022 + planned in future

### Neutrino mode



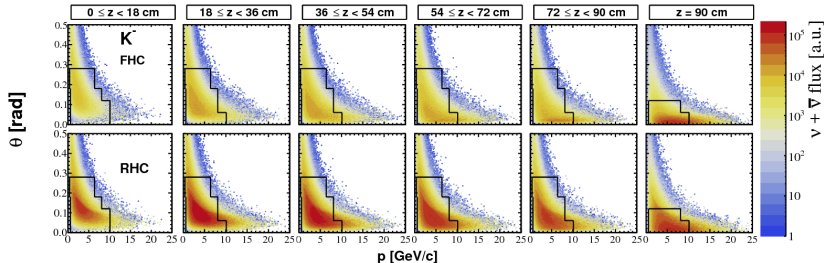
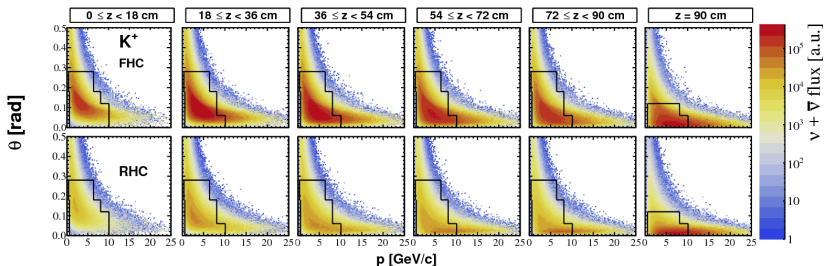
# Phase Space Contributing to the Flux

- 2010 NA61/SHINE replica target pion data coverage



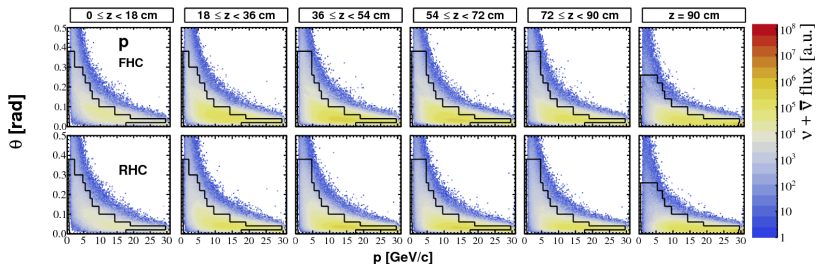
# Phase Space Contributing to the Flux

- 2010 NA61/SHINE replica target kaon data coverage



# Phase Space Contributing to the Flux

- 2010 NA61/SHINE replica target proton data coverage

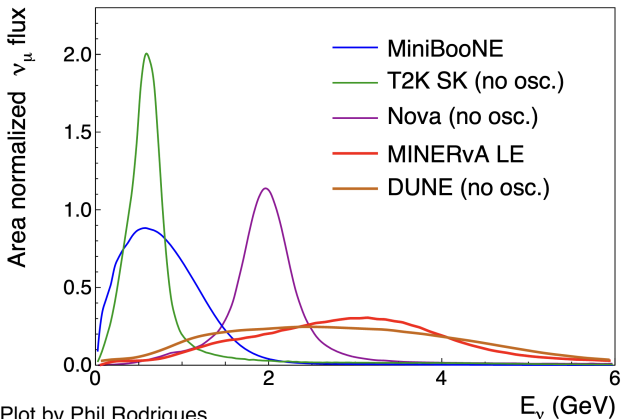


## Predicted Neutrino Fluxes

- So, putting all of these parts together, can predict the expected neutrino flux..

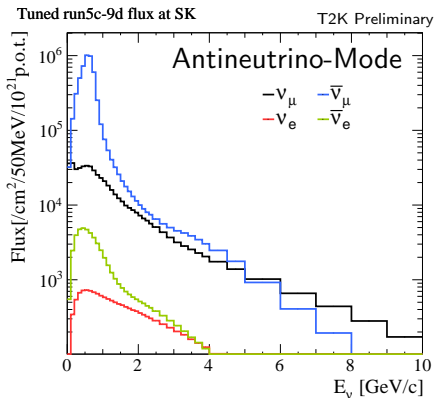
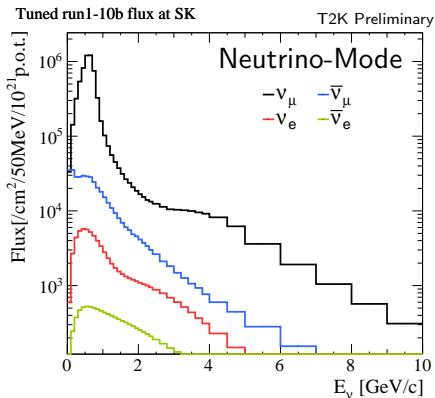


## Example Accelerator Neutrino Fluxes



- Various accelerator neutrino fluxes used for various experiments
- Fluxes tend to peak around 0.5~a few GeV
- Can tune the width of the energy spectrum based on the off-axis angle and target/horn configuration

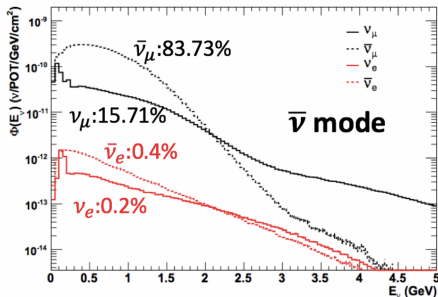
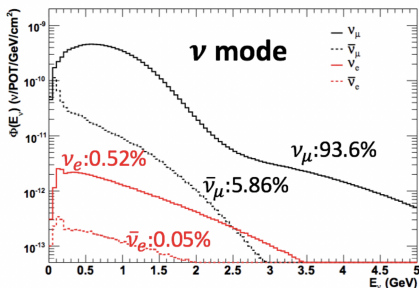
# Neutrino Flux at T2K



- Accelerators can produce a relatively pure beam of right-sign muon neutrinos (ie  $\nu_\mu$ 's in neutrino-mode and  $\bar{\nu}_\mu$ 's in antineutrino-mode)
- At J-PARC:
  - $\sim 3\%$  contamination of beam wrong-sign  $\nu_\mu$  at flux peak
  - $< 1\%$  contamination of beam  $\nu_e$  at flux peak

# Neutrino Flux at MiniBooNE

## Flux at MiniBooNE



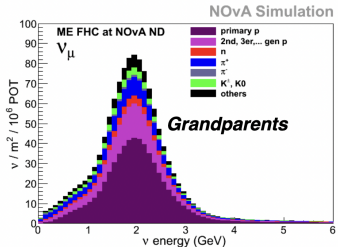
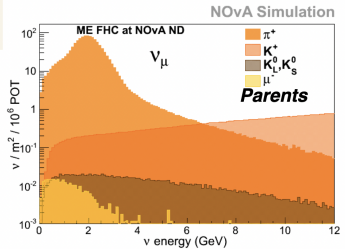
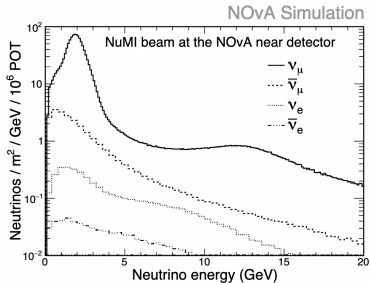
Ž. Pavlović, NBI2019

- Predominantly  $\nu_\mu + \bar{\nu}_\mu$  flux (>99%)
- Small  $\sim 0.5\%$  intrinsic electron neutrino component
- Larger wrong sign component in anti-neutrino mode, amplified by higher neutrino cross-sections

# Neutrino Flux at NOvA

## Neutrino flux at NOvA

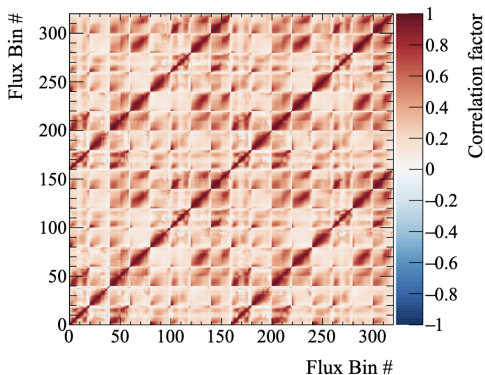
» 96% pure  $\nu_\mu$  beam, 1%  $\nu_e$  and  $\bar{\nu}_e$



## Calculating Flux Errors

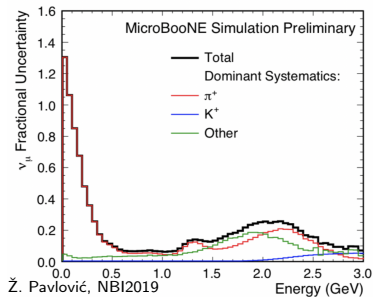
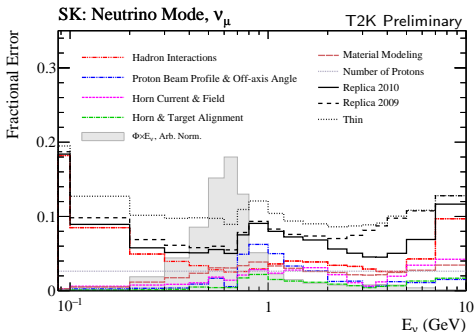
- Flux errors are calculated by re-running or weighting simulation results based on the assigned systematic error on each parameter
  - For T2K in-target hadron production errors, systematic error matrix is provided by NA61
    - Propagate uncertainties to the flux prediction by generating (100) throws from this matrix and tuning the flux using modified NA61/MC weights
  - For T2K out-of-target hadron production errors, systematic errors assigned from fits to various external datasets
    - Often large errors
  - For hardware errors, need to re-run the simulation while varying each systematic within the assigned error

## Example Flux Error Systematic Error Covariance Matrix



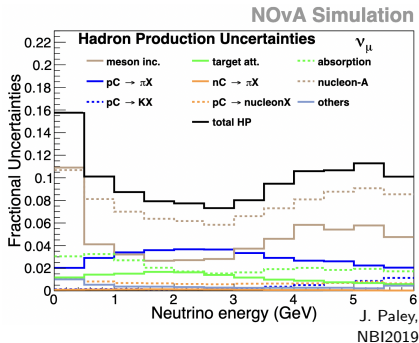
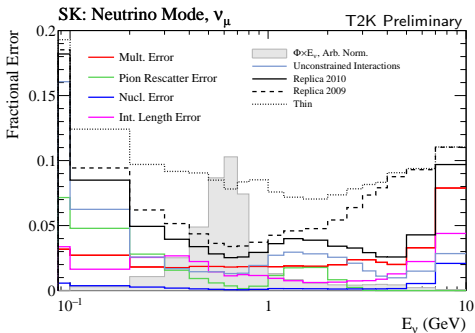
- T2K flux error covariance matrix with binning:
  - Each flux component has 20 bins in the order  $\nu_\mu$ ,  $\bar{\nu}_\mu$ ,  $\nu_e$ ,  $\bar{\nu}_e$ ,
  - For ND280  $\nu$ -mode (0–79),  $\bar{\nu}$ -mode (80–159)
  - Super-K  $\nu$ -mode (160–239), and  $\bar{\nu}$ -mode (240–319)

# Example Flux Errors – Where We Are Now



- Total current flux errors are around  $\sim 5 \sim 10\%$  near the flux peak for various experiments
  - Can be (significantly) higher at low and high energies
- Significant contribution from **hadron production** uncertainties
- As hadron production errors are reduced by external measurements, errors related to **beamline hardware** are becoming important

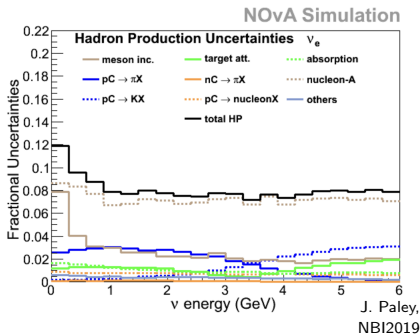
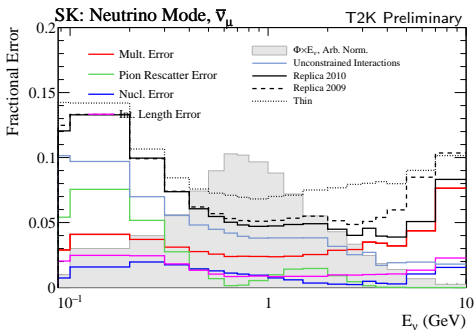
# Example Hadron Production Flux Errors – Where We Are Now



- Hadron production errors are coming from numerous relatively small sources – non-trivial to reduce (although we’re working on it!)
- Especially, interactions not constrained by external measurements (“Unconstrained interactions”) are becoming important
  - Interaction of low-momentum particles on materials in the beamline other than the target (“nucleon-A”)

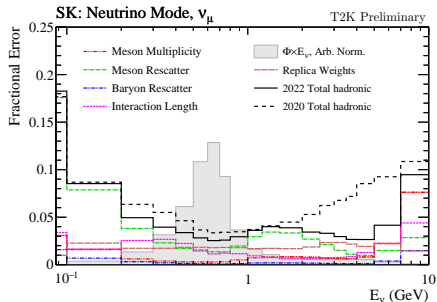


# Example Hadron Production Flux Errors – Where We Are Now



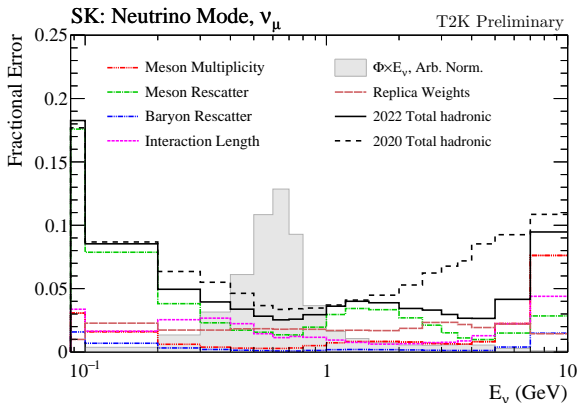
- These unconstrained and out-of-target secondary interactions are even more of an issue for the wrong-sign neutrino flux and beam intrinsic electron neutrino flux

# T2K Hadron Production Flux Errors



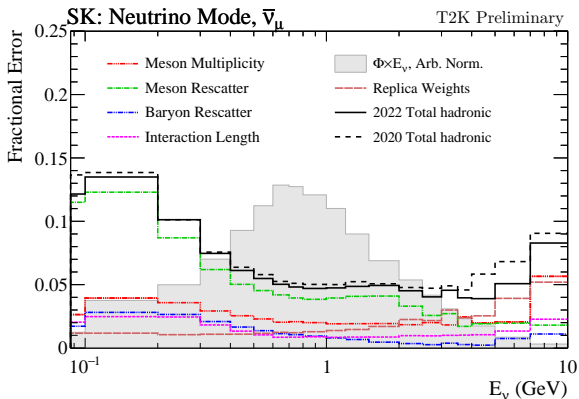
- **Meson Multiplicity**: propagated from NA61 thin-target based tuning
- **Meson Rescatter**: all rescattering processes that produce pions – predominantly  $\pi^+ \rightarrow \pi^+$  on water, other non-negligible contribution from  $\pi^- \rightarrow \pi^+$  and  $p \rightarrow \pi^+$  (for right sign, RHC)
- **Baryon Rescatter**: all rescattering processes that produce baryons, primarily protons
- **Interaction Length**: predominantly proton production cross-section
- **Replica Weights**: multiplicity uncertainty for hadrons tuned by NA61 replica data

# T2K Hadron Production Flux Errors



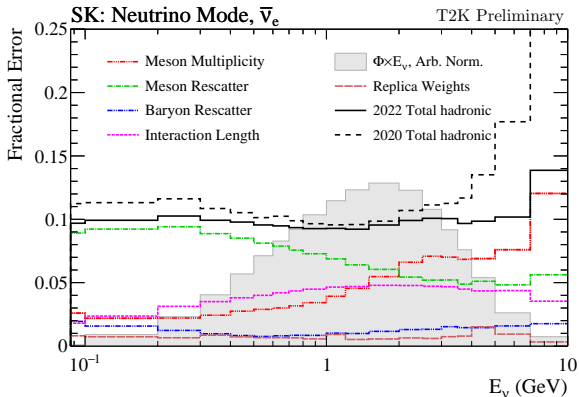
- Errors at high energy significantly reduced 2020  $\rightarrow$  2022 due to inclusion of new high-statistics NA61 2010 kaon dataset
- Hadron production errors at the flux peak are coming from numerous relatively small sources – non-trivial to reduce
- Interactions not constrained by external measurements – Rescatter errors – are largest

# T2K Hadron Production Flux Errors



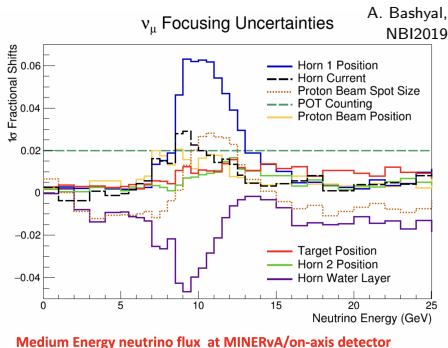
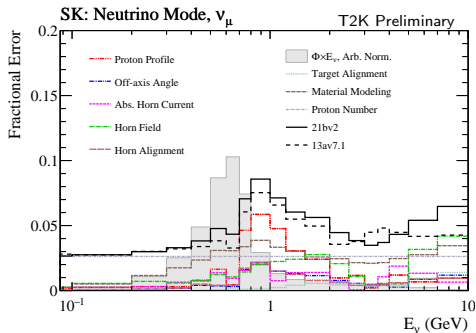
- Unconstrained and out-of-target secondary interactions are even more of an issue for the wrong-sign neutrino flux and beam intrinsic electron neutrino flux

# T2K Hadron Production Flux Errors



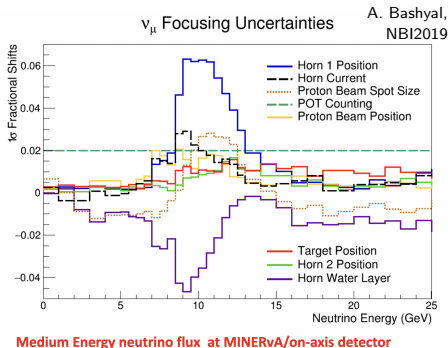
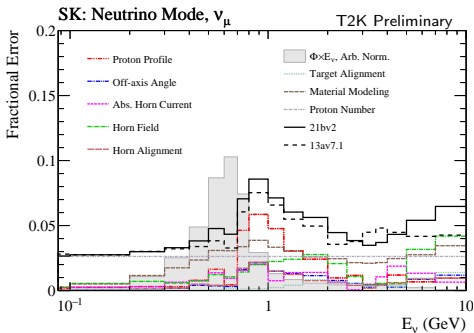
- Unconstrained and out-of-target secondary interactions are even more of an issue for the wrong-sign neutrino flux and beam intrinsic electron neutrino flux

# Example Non-Hadron Production Errors – Where We Are Now



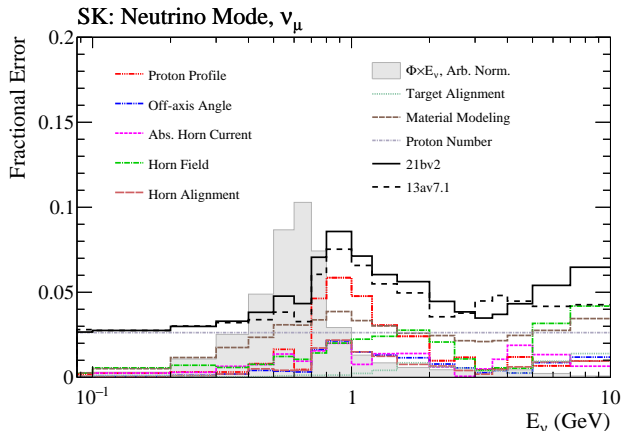
- Non-hadron production errors (beamline hardware related errors) can also have  $\sim 5\%$  energy-dependent contribution
- Becoming more important as hadron production errors are reduced
- These errors are related to beamline hardware, so can be time-dependent – need to worry about correlations between different run periods, etc

# Example Non-Hadron Production Errors – Where We Are Now



- At T2K, largest contribution is from **proton beam profile** and then **material modeling** (horn cooling water layer)
- At MINERvA, largest contributions are from **Horn 1 position** and **horn water layer**

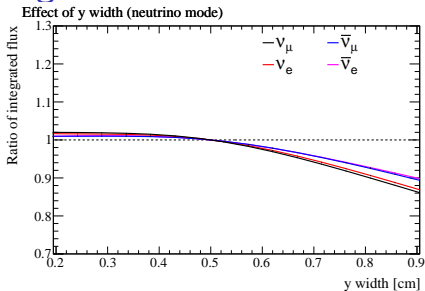
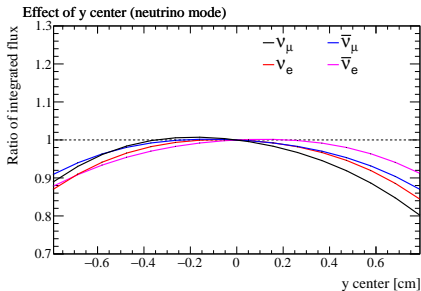
# Proton Profile Uncertainty



- For T2K, main non-hadronic flux error is coming from **proton beam profile** uncertainties
  - Uncertainty on the beam position at the target leads to an uncertainty on the beam off-axis angle
  - Directly shifts the shape of the flux peak

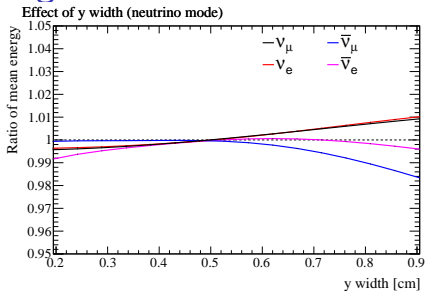
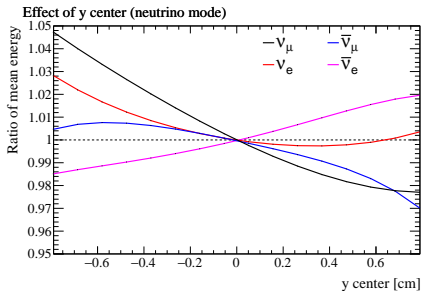


# Effect of Shifting the Proton Beam



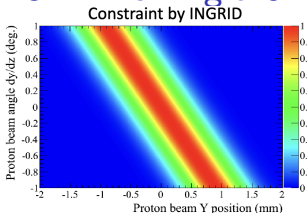
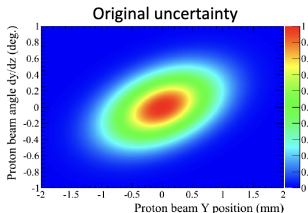
- Shifting the proton beam center has the largest effect on the flux
  - Other parameters not shown ( $\theta$ ,  $\epsilon$ , Twiss  $\alpha$ ) have very small impact
- Proton beam is Gaussian
  - Number of protons hitting the target is reduced when beam moves away from target center  $\rightarrow$  flux decreases
- Weak correlation between particle exiting position and exiting azimuthal angle
  - Because re-interaction probability changes with amount of matter traversed
- Exiting azimuthal angle has strong influence on neutrino energy
  - Because horn focusing is not perfect

# Effect of Shifting the Proton Beam



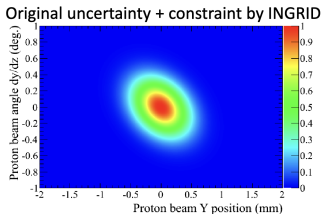
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- Weak correlation between particle exiting position and exiting azimuthal angle
  - Because re-interaction probability changes with amount of matter traversed
- Exiting azimuthal angle has strong influence on neutrino energy
  - Because horn focusing is not perfect

## Off-Axis Angle Uncertainty



**Proton beam measurement and INGRID neutrino beam measurement are correlated**

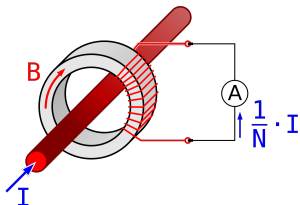
**Can use INGRID constraint to reduce uncertainties on proton beam profile**



- At T2K, we also measure the off-axis angle using the on-axis INGRID neutrino detector
- That data is correlated with proton beam position data, so should be able to constrain the “proton profile” errors with neutrino data
- Working now to update/improve this at T2K

## Proton Beam Intensity Uncertainty

- Uncertainties on the proton beam position, width, angle give rise to energy-dependent errors on the neutrino flux



- Uncertainty on the proton beam intensity yields flat uncertainty on the neutrino rate
- Proton beam intensity is measured by Current Transformer (CT) mounted on the beam pipe
  - Beam intensity is proportional to current in wire wound around CT core
- Currently assign 2~3% error on beam intensity
- But:
  - Non-trivial to calibrate
    - Frequency dependence
    - “Test” coils unreliable
    - Need to worry about electronics calibration
    - ...
  - Calibration can gradually drift over time
- This is a direct systematic error on the neutrino rate

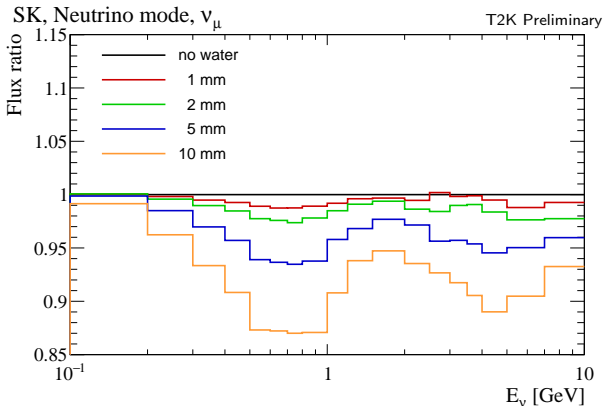
## Material Modeling Uncertainty – Horn Cooling Water



- Focusing horns are cooled by water sprayed between inner and outer conductors
- Main contribution to **material modeling** error at J-PARC

- Difficult to precisely measure thickness of water layer pooled at horn inner conductor ( $3 \text{ mm} \pm 2 \text{ mm}$  assigned at J-PARC now)
- Significant impact on flux due to pion absorption/scattering
- Precise dedicated measurements needed (completed at J-PARC)

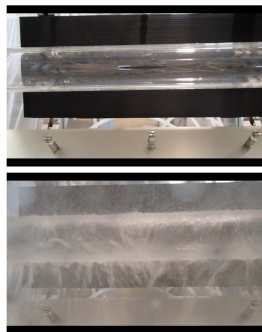
# Material Modeling Uncertainty – Horn Cooling Water



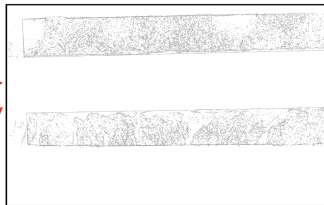
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- Significant impact on flux due to pion absorption/scattering
- Precise dedicated measurements needed (completed at J-PARC)

# Horn Water Measurement at J-PARC



Overlay



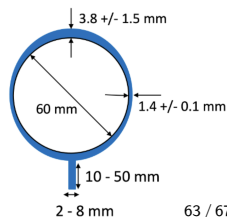
Made mockup of actual horn inner conductor

Analyze photos of mockup horn w/ and w/out water, determine water thickness using Canny method

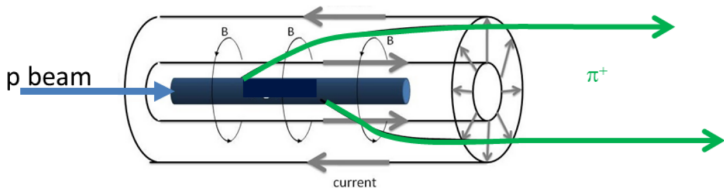
S. Nishimori @NBI2022

- Improved measurement of the horn cooling water layer completed – to be implemented the next version of the T2K flux analysis
- Significantly reduce errors:

Energy range	Symmetry	New distribution
0 – 2 GeV	+2.4 % / -2.5 %	<b>+1.5 % / -1.5 %</b>
0.4 – 0.8 GeV	+2.8 % / -2.8 %	<b>+1.5 % / -1.6 %</b>



## Horn Field Corrections

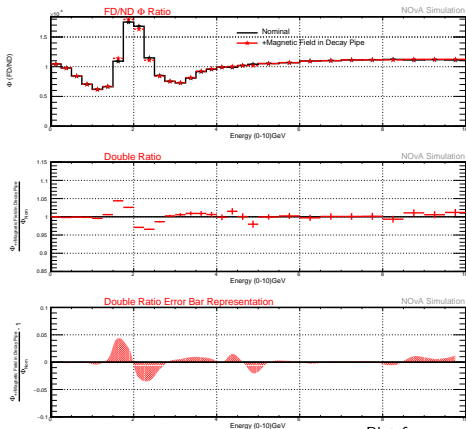


- Something people are starting to worry about (should be worrying about?): are additional corrections needed to simple hall probe measurements of the horn field?
- We know proton-beam-induced space-charge effects cause a field near the proton beam
  - Do we have to worry about similar effects in the horns from protons or ions produced in the target?
- How about the horn cooling water? Do we need to consider corrections to the horn field from that?



## Earth Field Impact

### Antineutrino-mode

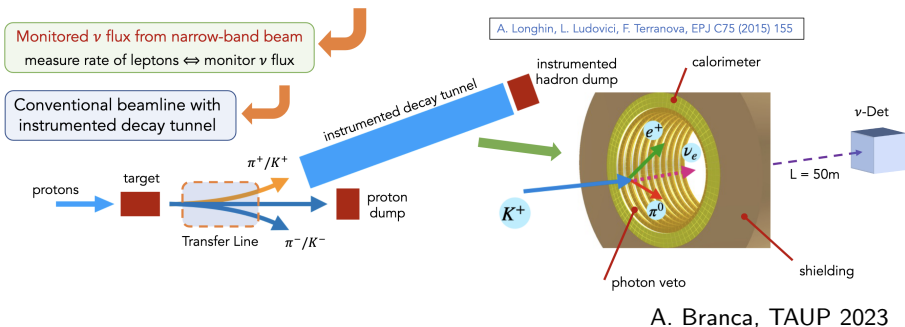


Plot from  
Linda Cremonesi

- Impact of Earth's magnetic field on particles in the decay volume can be non-negligible
- Effect from simulation is up to 5% on the NOvA near/far double ratio in focusing peak
  - Based on magnetic field measurements taken when the decay pipe was built (before it was filled with helium)
- NOvA is currently trying to estimate the effect of the Earth's magnetic field using measurements from downstream muon monitors

# Instrumented Decay Volume – ENUBET

How do we achieve such a precision on the neutrino cross-section, flavor composition and energy?



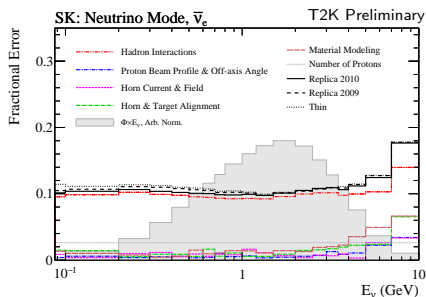
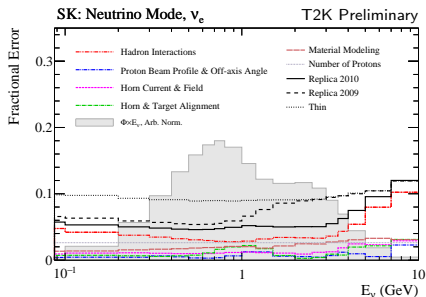
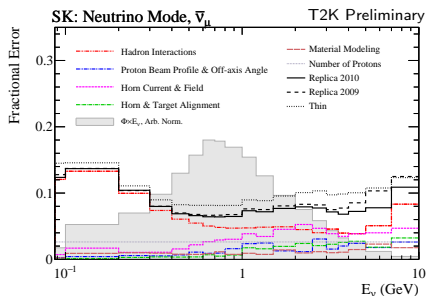
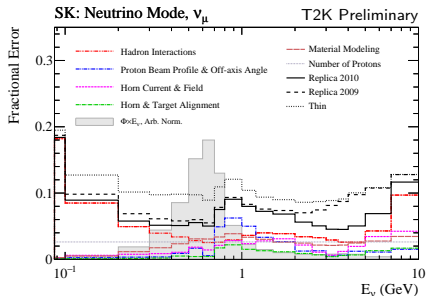
- Instrumented decay volume for precise measurement of decay products – tagged neutrino beam
- Challenging due to extremely messy environment in decay volume, weakly interacting neutrino
- ENUBET prototype beam test completed (!)

## Conclusion

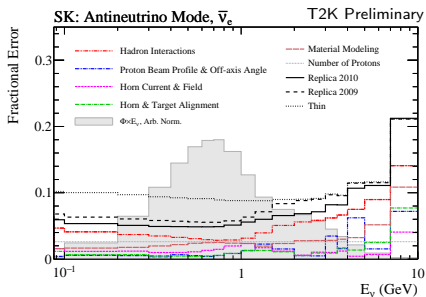
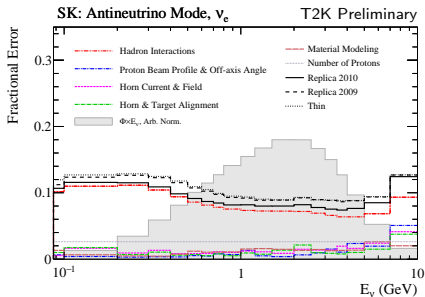
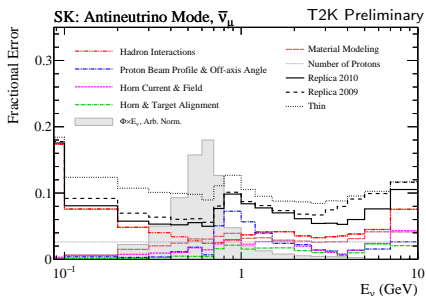
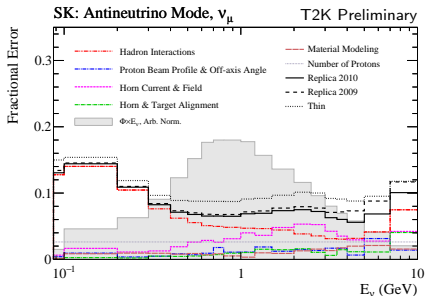
- Conventional neutrino beam flux simulation:
  - Based on measurements of actual beamline equipment
  - Hadron production is tuned to data from dedicated external experiments
- Systematic errors on the flux:
  - Energy dependent, 5~10%
- Expect further future improvements on the flux errors:
  - Reduction of beamline hardware errors from improved understanding of beamline components
  - Additional hadron production measurements, with higher statistics
    - Especially, lower momenta pion and kaon measurements on different materials, to constrain out-of-target interactions

## Backup Slides

# T2K Neutrino-Mode Flux Errors

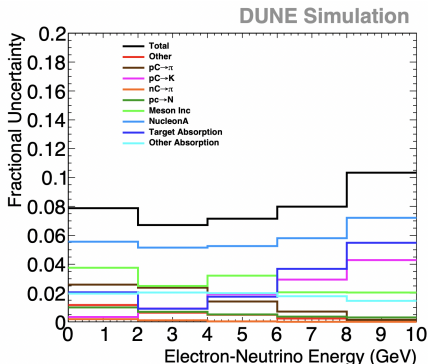
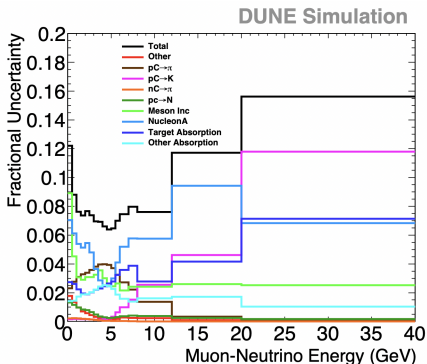


# T2K Antineutrino-Mode Flux Errors



# DUNE Flux Uncertainties from Hadron Production

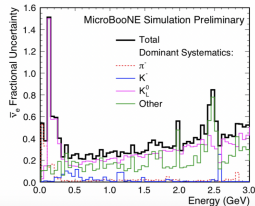
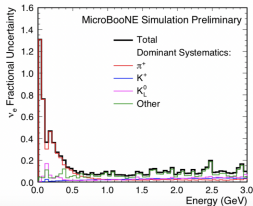
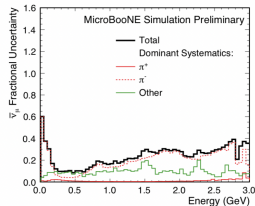
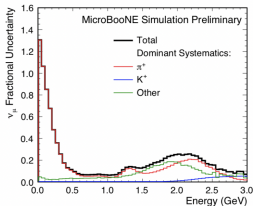
J. Paley, NBI2019



- Dominant flux uncertainties come from 40% xsec uncertainties on interactions in the target and horns that have never been measured (or have large uncertainties/spread)
- Lack of proton and pion scattering data at lower beam energies

# Neutrino Flux Uncertainties at MicroBooNE

- Integrated over whole energy range uncertainties at  $\sim 10\%$  level

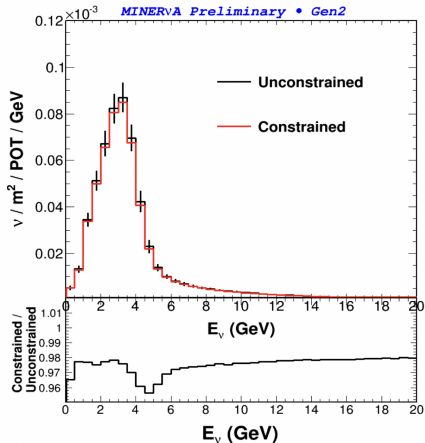


Systematic	$\nu_\mu/\%$	$\bar{\nu}_\mu/\%$	$\nu_e/\%$	$\bar{\nu}_e/\%$
Proton delivery	2.0	2.0	2.0	2.0
$\pi^+$	11.7	1.0	10.7	0.03
$\pi^-$	0.0	11.6	0.0	3.0
$K^+$	0.2	0.1	2.0	0.1
$K^-$	0.0	0.4	0.0	3.0
$K_L^0$	0.0	0.3	2.3	21.4
Other	3.9	6.6	3.2	5.3
Total	12.5	13.5	11.7	22.6

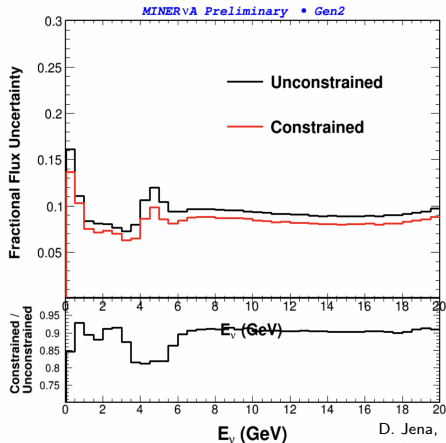


# Constraining the Flux by Neutrino-Electron Scattering

Flux change after  $\nu$ -e constraint



Fractional Uncertainty change after  $\nu$ -e constraint



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- Precisely known neutrino scattering on electrons as standard candle for flux estimation