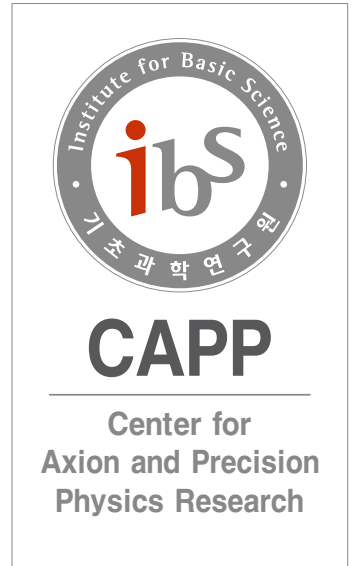


# CAPP's High Mass Axion Searches and Heterodyne-Based Variance Method

Junu Jeong

Center for Axion and Precision Physics Research, Institute for Basic Science



ChatGPT: physicists discussing near a hotel at Busan Haeundae Beach in winter




부산대학교  
PUSAN NATIONAL UNIVERSITY

ibs Institute for  
Basic Science

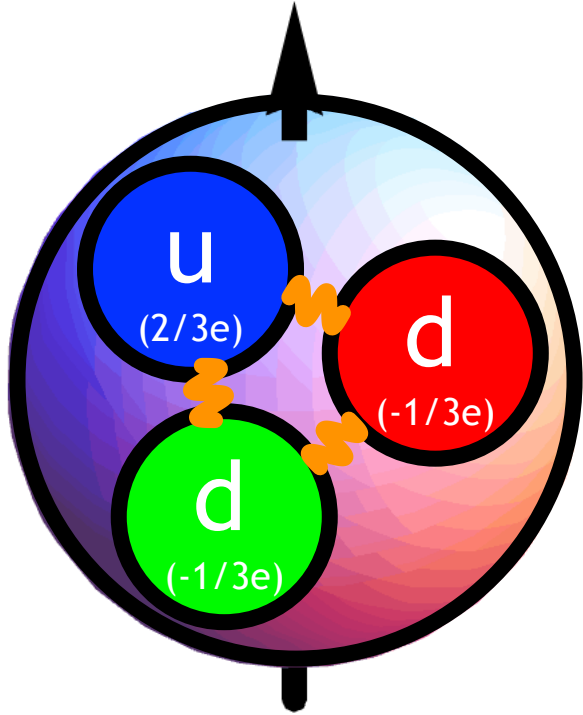
PNU-IBS workshop on Axion Physics : Search for axions, Dec 6<sup>th</sup> 2023 (Haeundae, Busan, South Korea)

# Outline

- 
- A group of approximately 20 researchers, including men and women of various ages, are gathered in a laboratory. They are holding a long banner that spans across the front of the group. The banner features the text 'CAPP' and 'KAIST' (Korea Advanced Institute of Science and Technology) along with the year '1971'. The background shows various pieces of scientific equipment and laboratory infrastructure.
- Search for Dark Matter Axion
  - CAPP's High Mass Axion Searches
  - Heterodyne-Based Variance Method

# What is Axion?

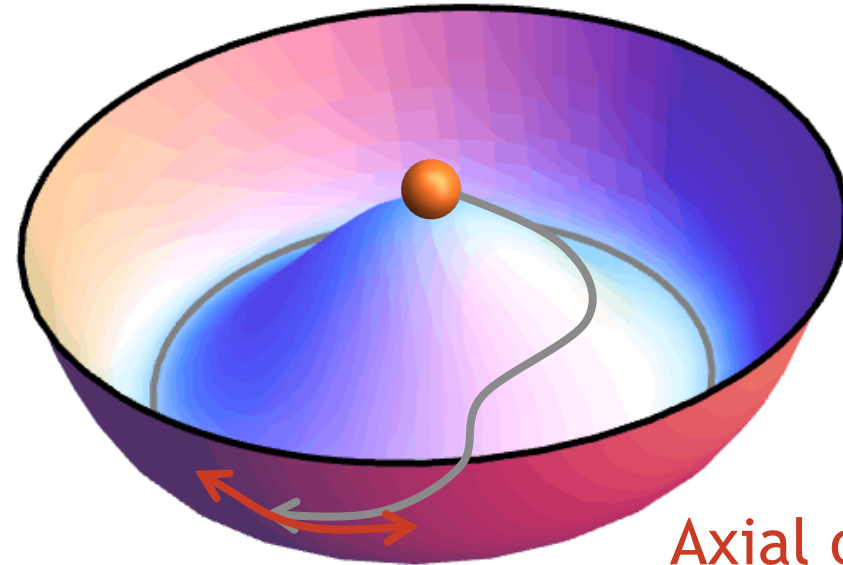
## Neutron



## Why no EDM for neutron and proton?

⇒ Spontaneous symmetry breaking of global U(1)

⇒ pseudo-Nambu-Goldstone Boson, **Axion**



Axial oscillation

## CP-violating Lagrangian

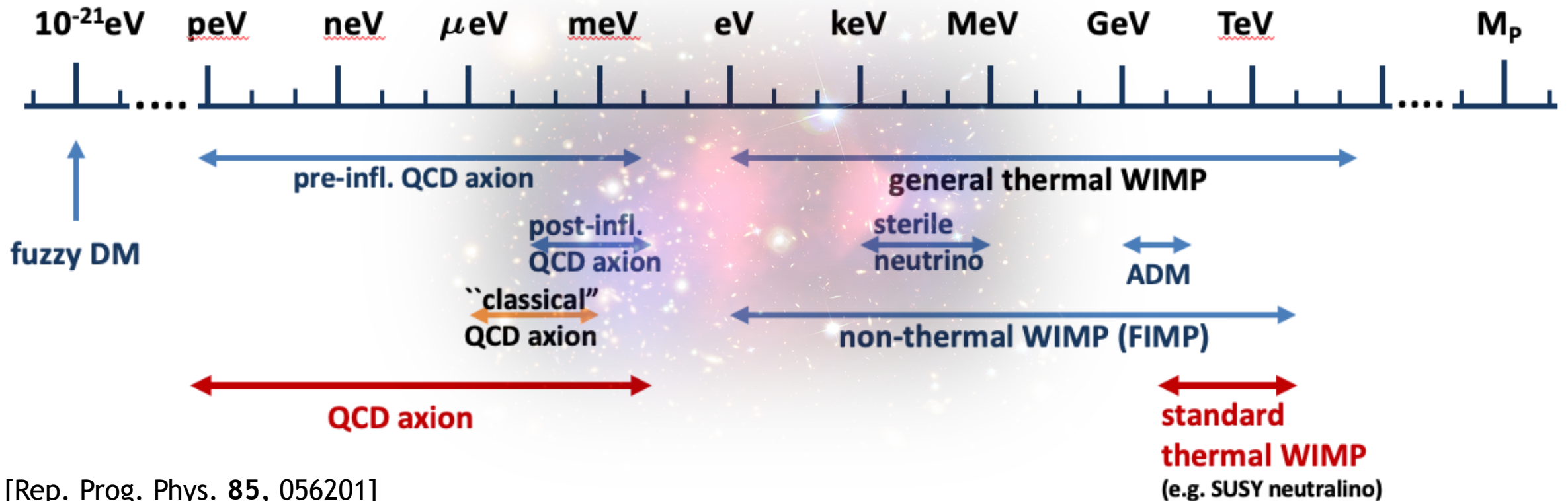
$$\mathcal{L}_\theta = \frac{g^2 \bar{\theta}}{32\pi^2} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

Titled Mexican-Hat Potential

# Dark Matter Axion

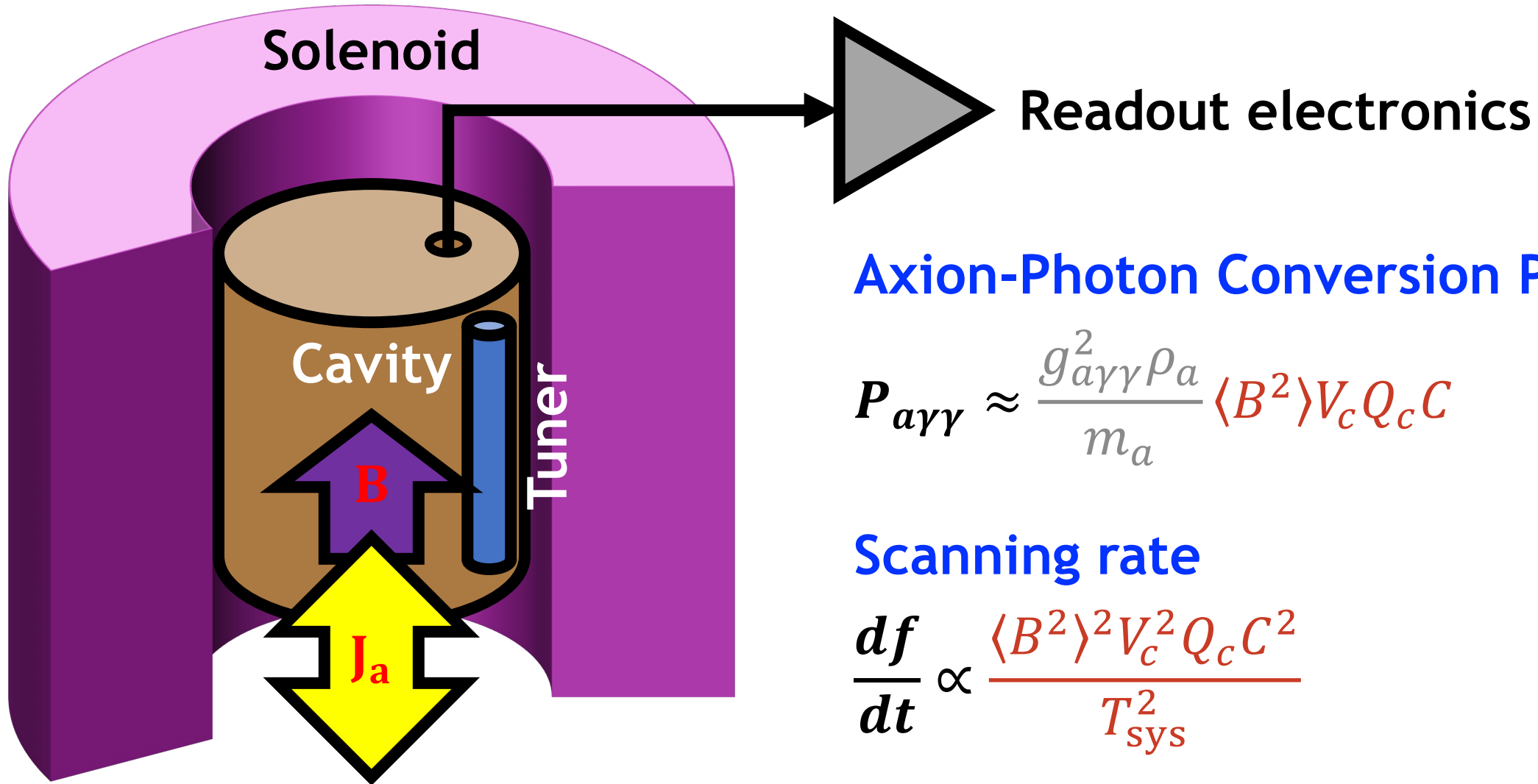
**Invisible axion** (KSVZ or DFSZ, mass less than meV)

- Feebly interacts with standard particles
- Non-relativistic in sufficient quantities



[Rep. Prog. Phys. 85, 056201]

# Search for Dark Matter Axion



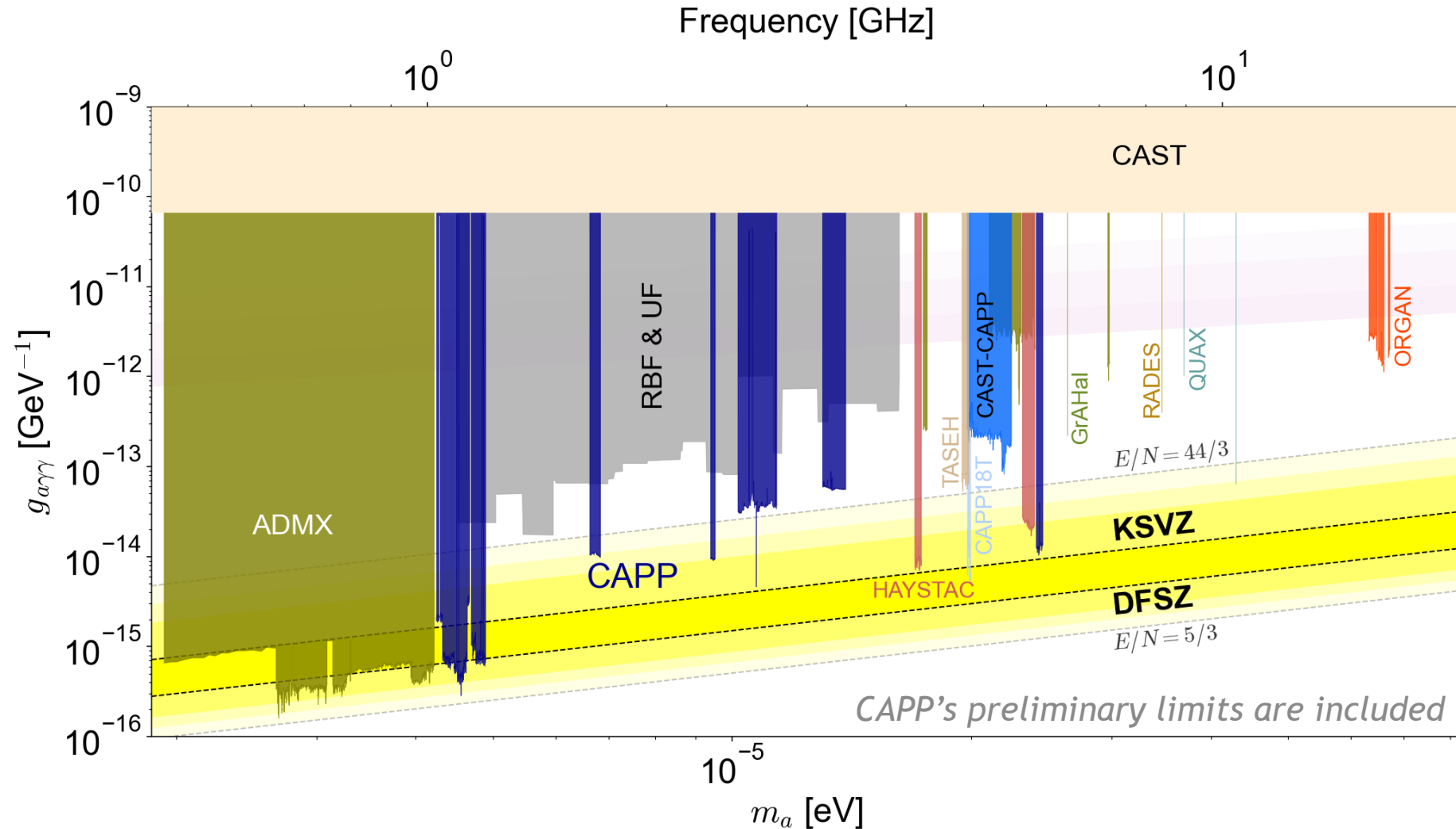
## Axion-Photon Conversion Power

$$P_{a\gamma\gamma} \approx \frac{g_{a\gamma\gamma}^2 \rho_a}{m_a} \langle B^2 \rangle V_c Q_c C$$

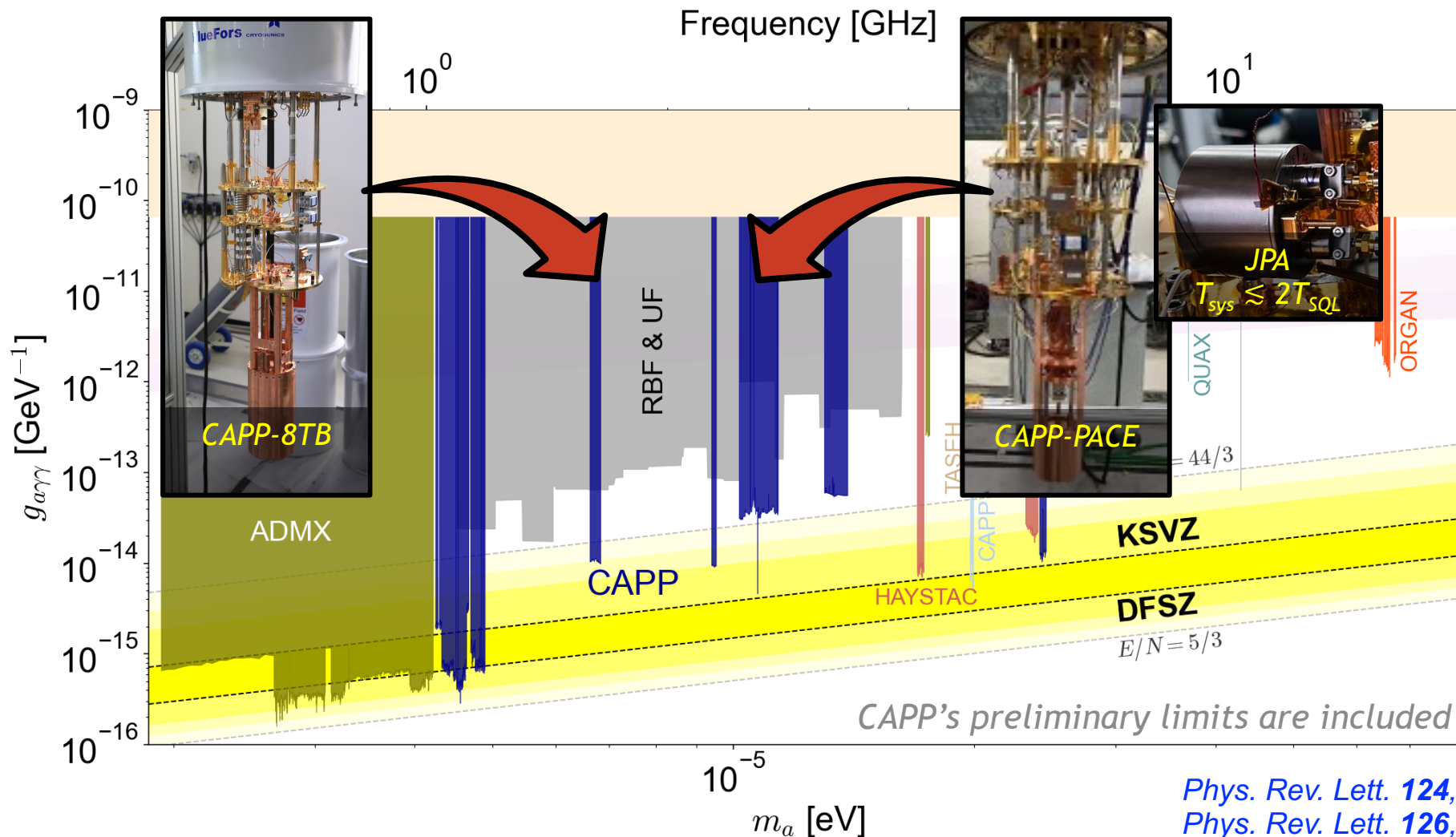
## Scanning rate

$$\frac{df}{dt} \propto \frac{\langle B^2 \rangle^2 V_c^2 Q_c C^2}{T_{\text{sys}}^2}$$

# Search for Dark Matter Axion

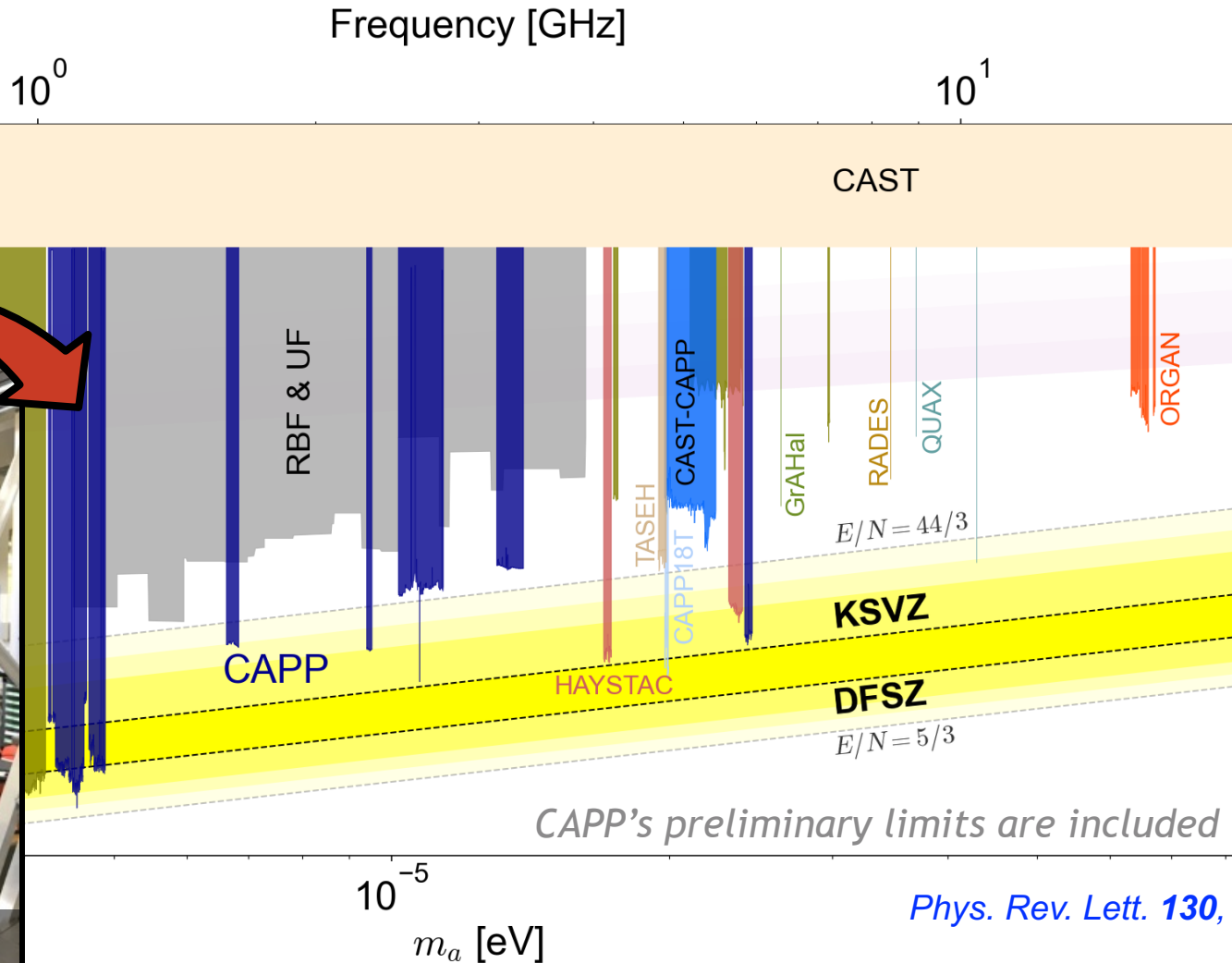
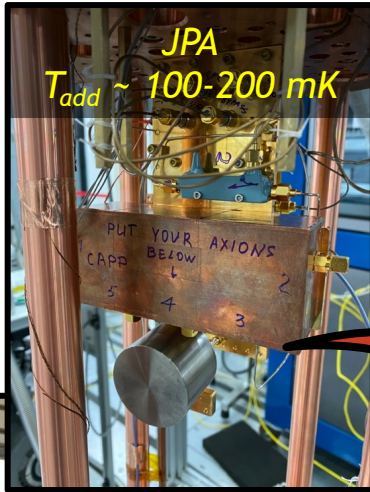


# CAPP's Dark Matter Axion Searches



Phys. Rev. Lett. **124**, 101802 (2020)  
 Phys. Rev. Lett. **126**, 191802 (2021)  
 Phys. Rev. Lett. **130**, 091602 (2023)

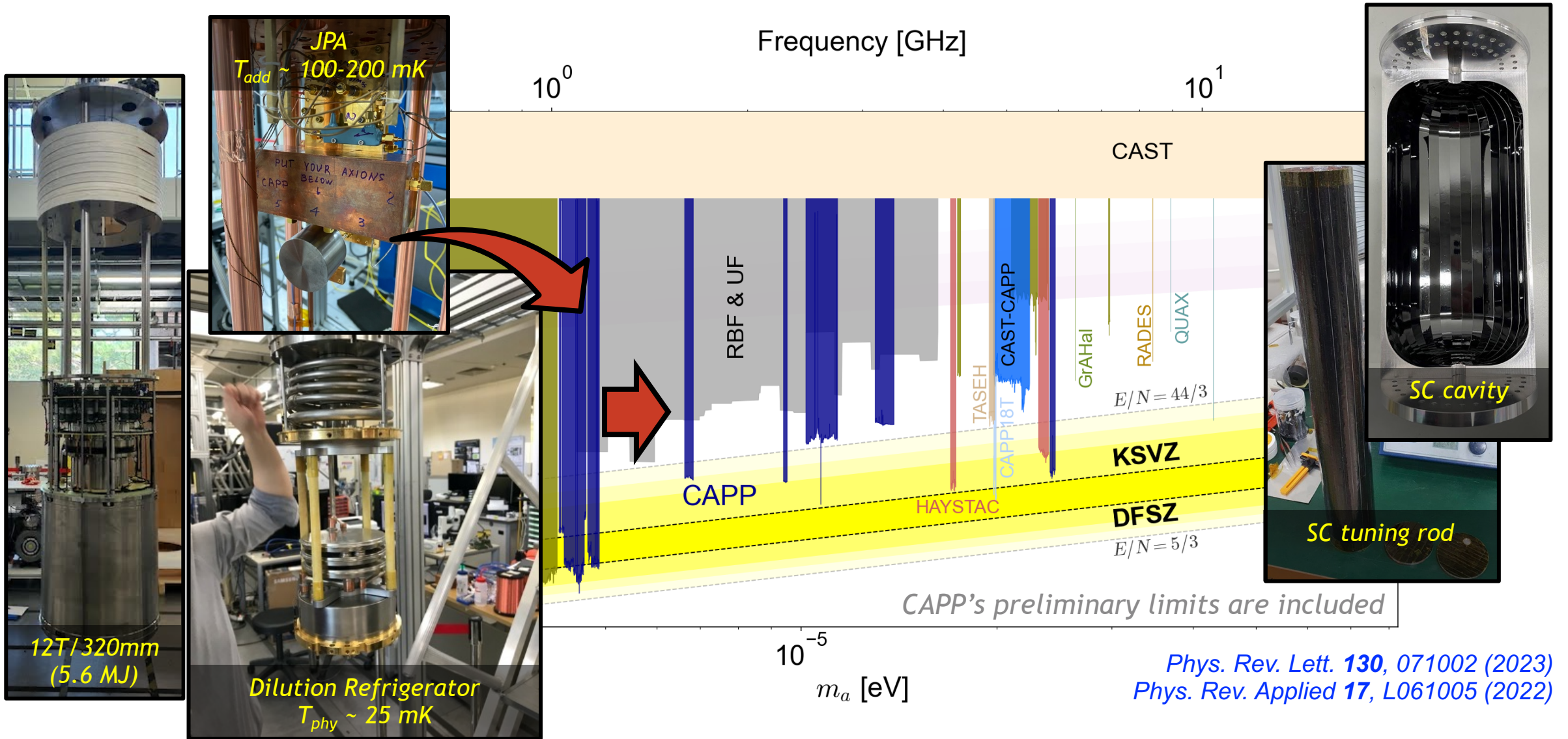
# CAPP's Dark Matter Axion Searches



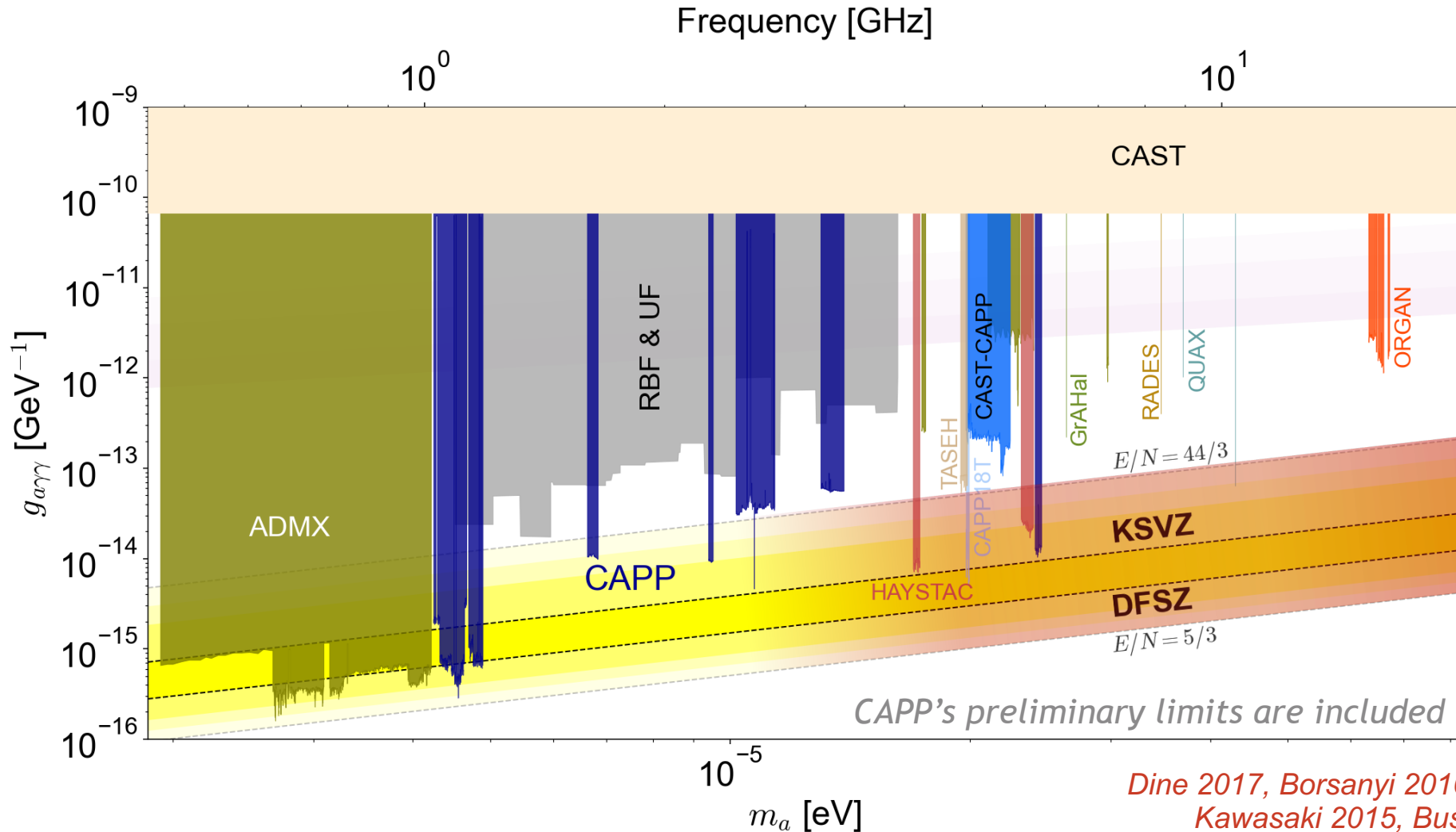
*Phys. Rev. Lett. 130, 071002 (2023)*



# CAPP's Dark Matter Axion Searches

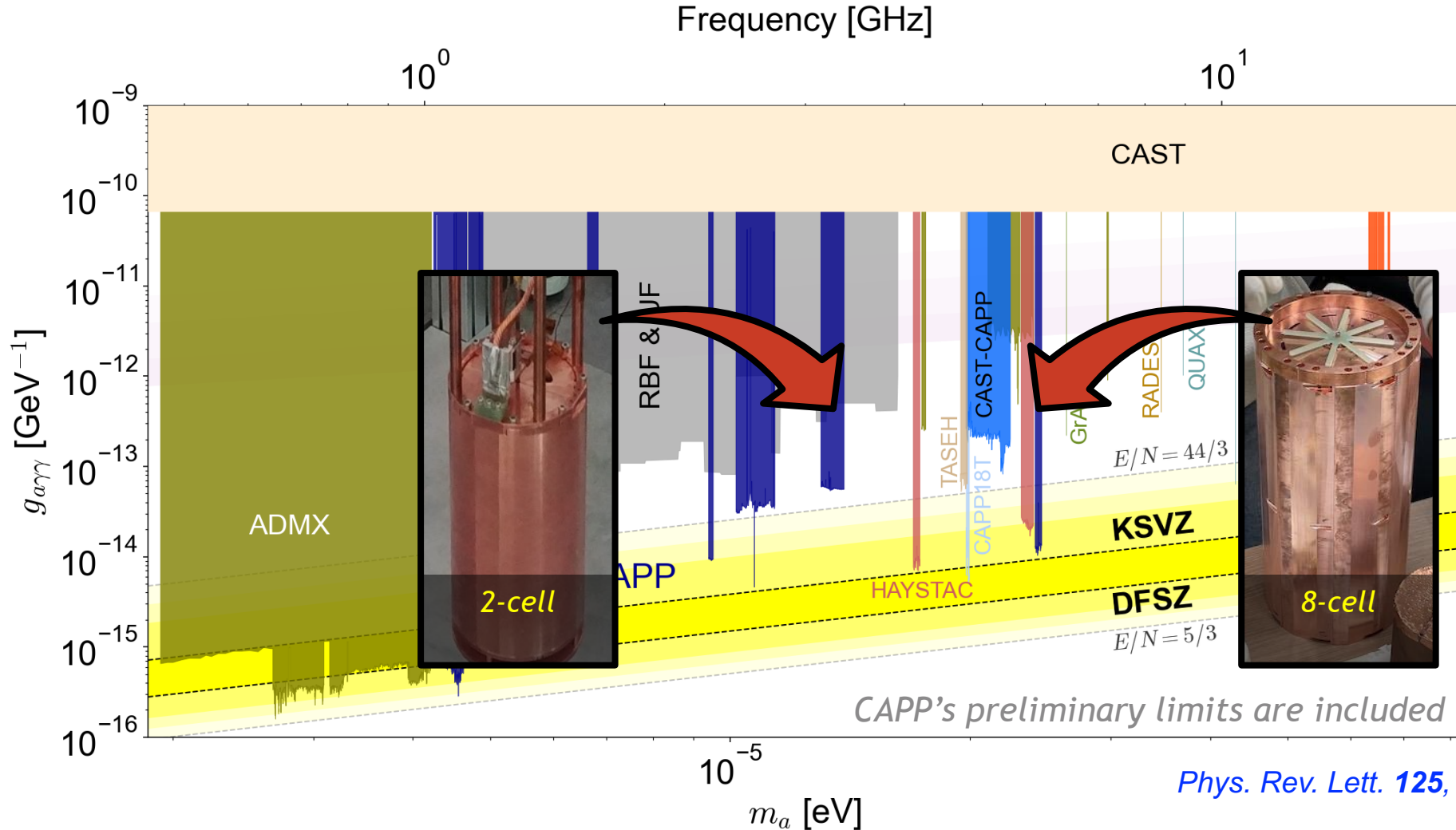


# High Mass Axion Search

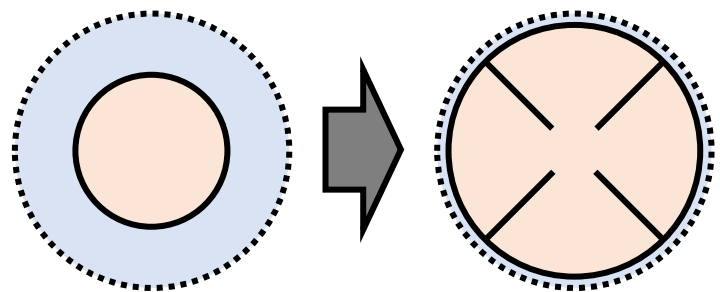


*Dine 2017, Borsanyi 2016, Wantz 2010,  
Kawasaki 2015, Buschmann 2022,  
Ballesteros 2017, Klaer 2017, ...*

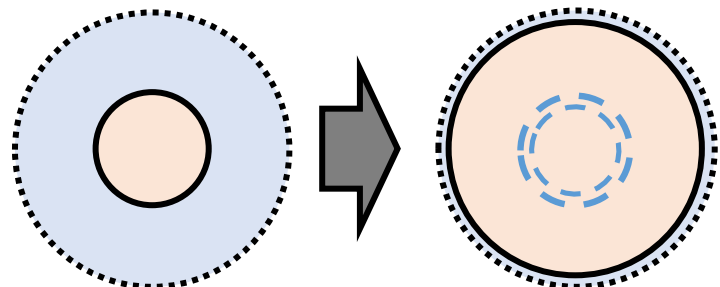
# CAPP's High Mass Axion Searches



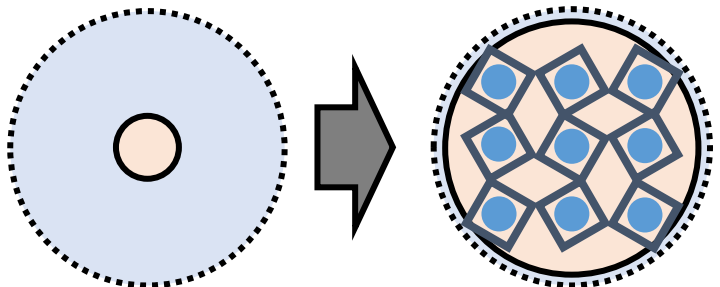
# CAPP's High Mass Axion Searches



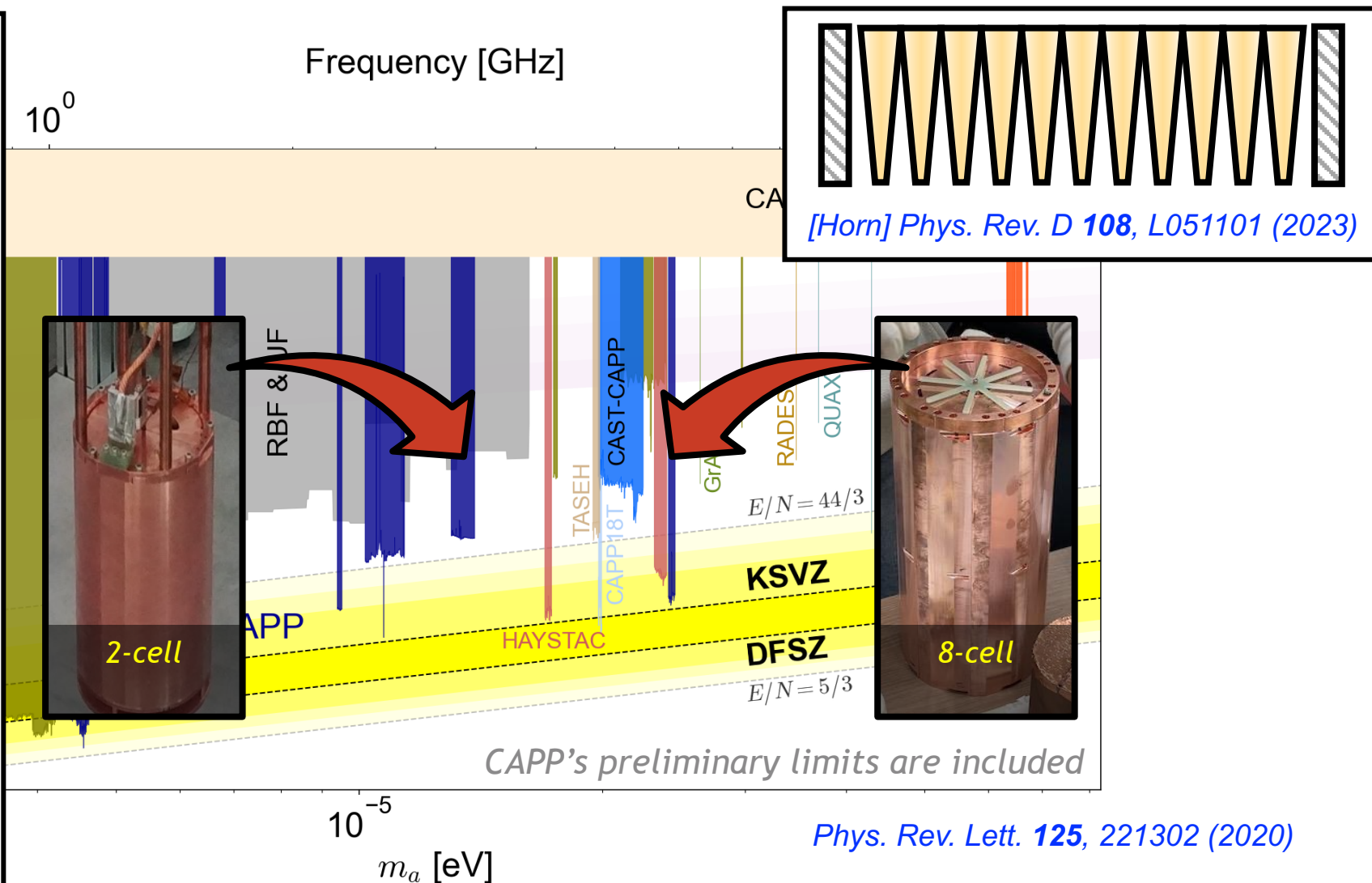
[Multiple-cell] *Phys. Lett. B* **777**, 412 (2018)



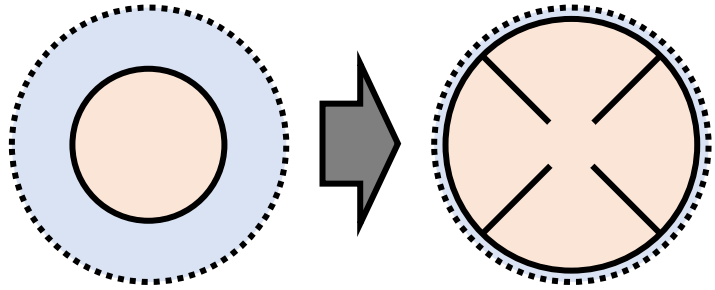
[Wheel] *J. Phys. G* **47**, 035203 (2020)



[PhC] *Phys. Rev. D* **107**, 015012 (2023)



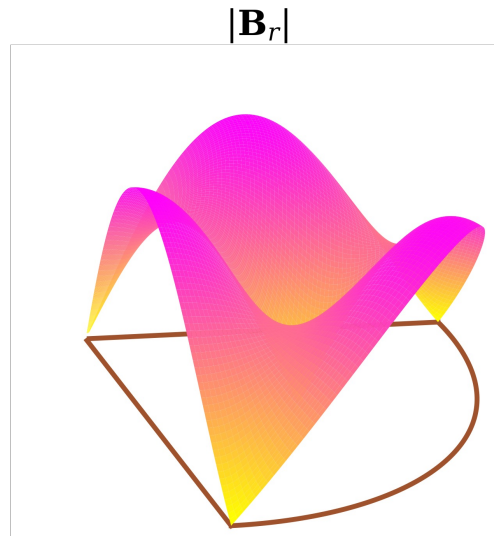
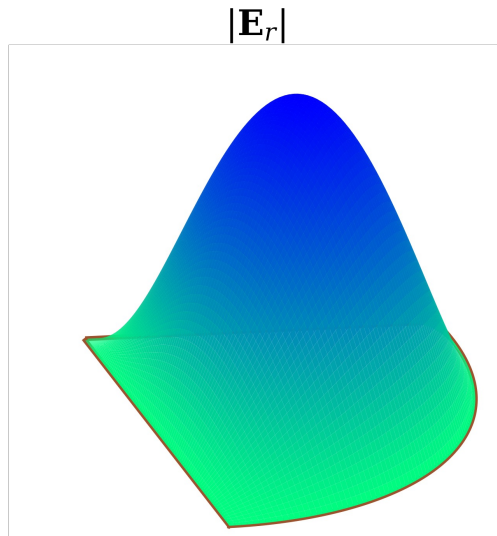
# Multiple-cell Cavity



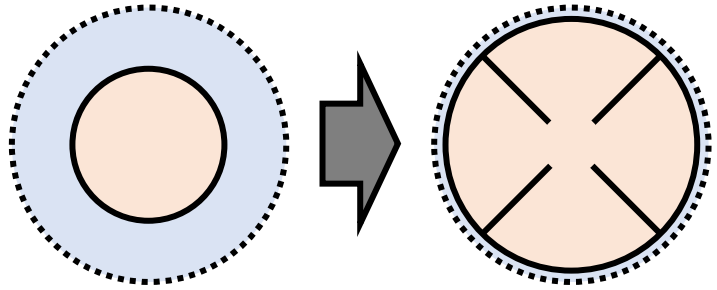
*J. Jeong et al., Phys. Lett. B 777, 412 (2018)*

**x1.6 ~ 3.2 frequency increase**

- Less volume loss
- Single antenna
- Robust against tolerance
- Frequency selectivity



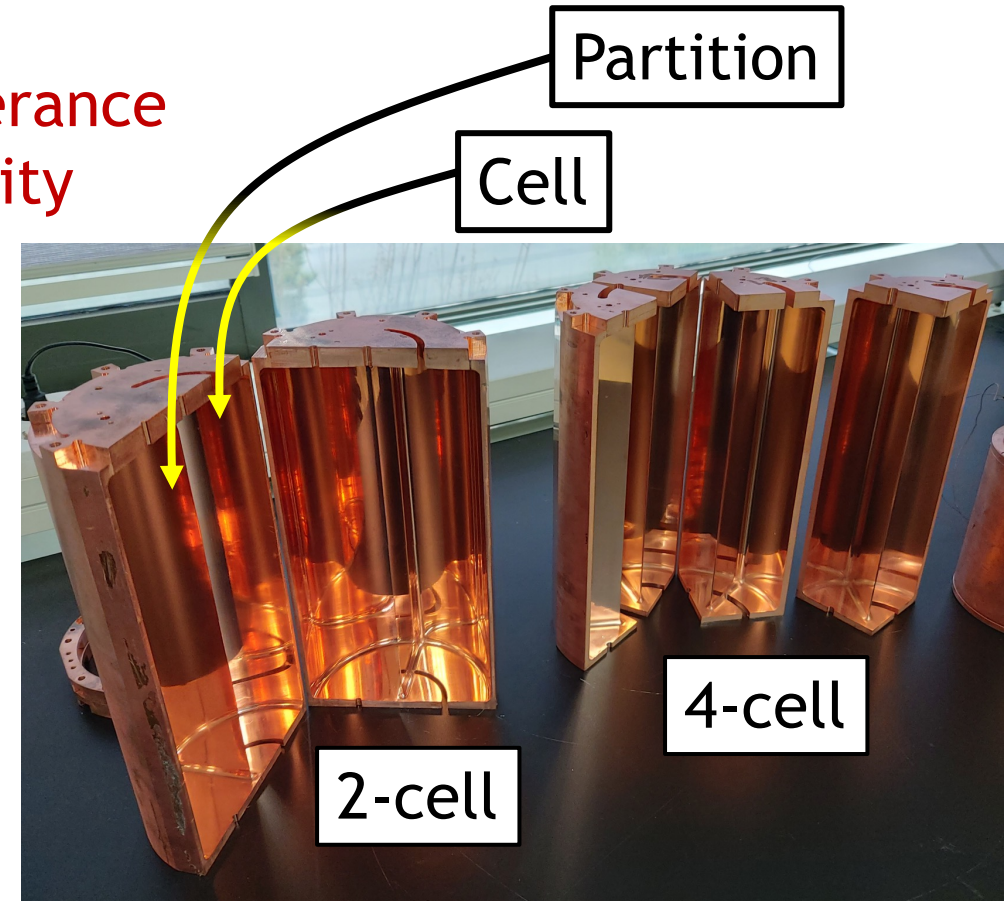
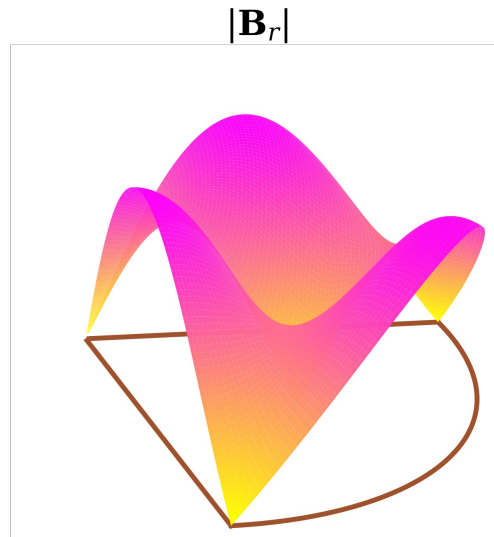
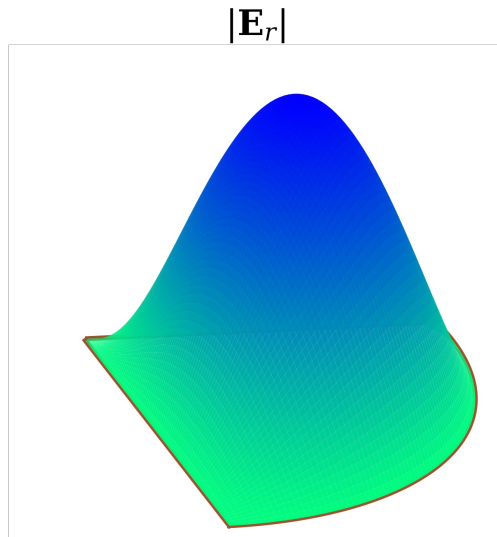
# Multiple-cell Cavity



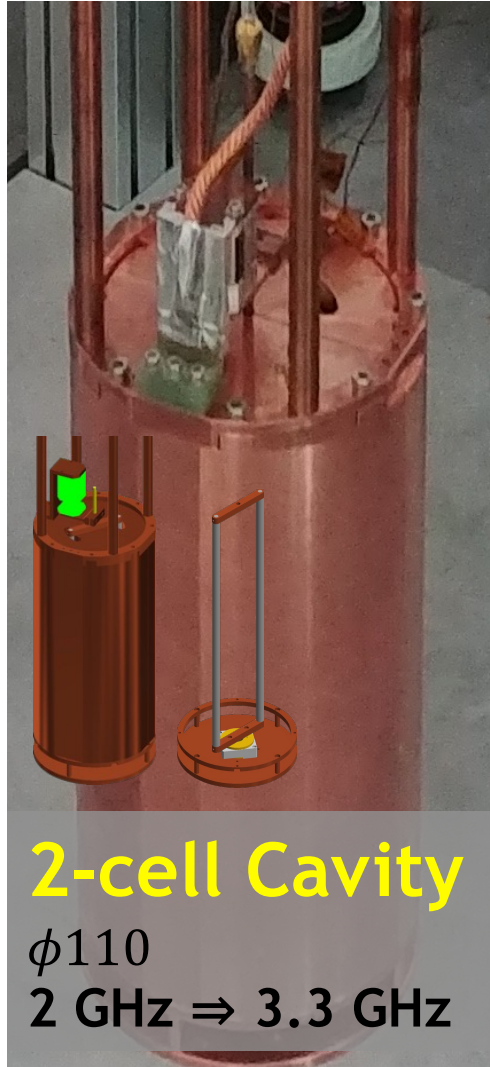
*J. Jeong et al., Phys. Lett. B 777, 412 (2018)*

**x1.6 ~ 3.2 frequency increase**

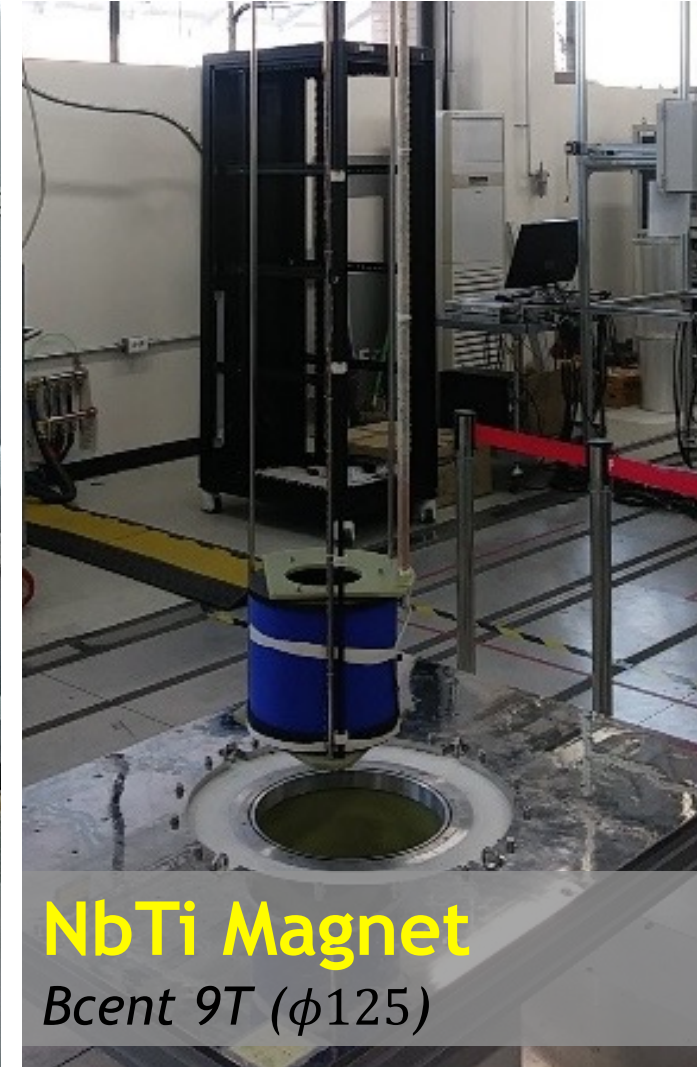
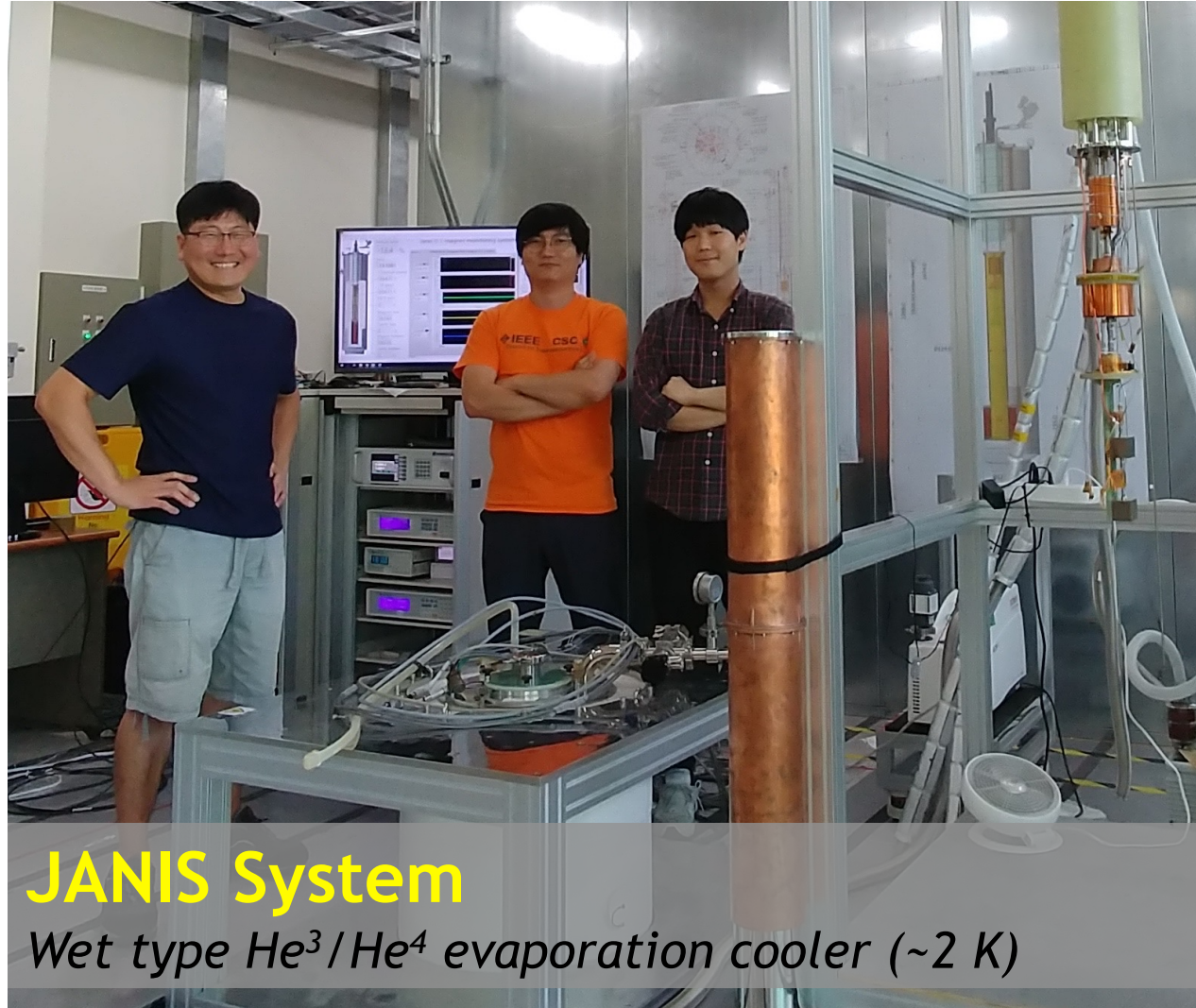
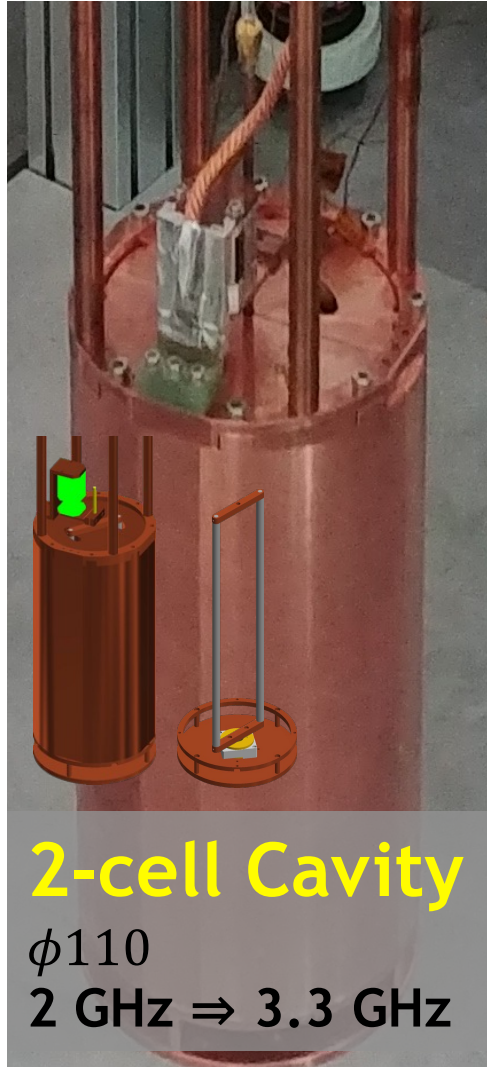
- Less volume loss
- Single antenna
- Robust against tolerance
- Frequency selectivity



# CAPP-9T MC (Proof-of-concept Exp.)

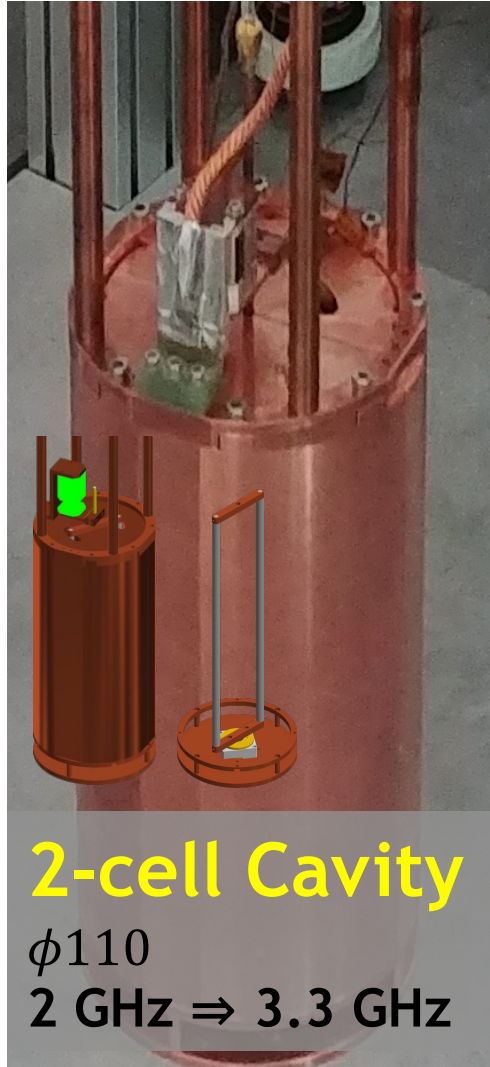


# CAPP-9T MC (Proof-of-concept Exp.)



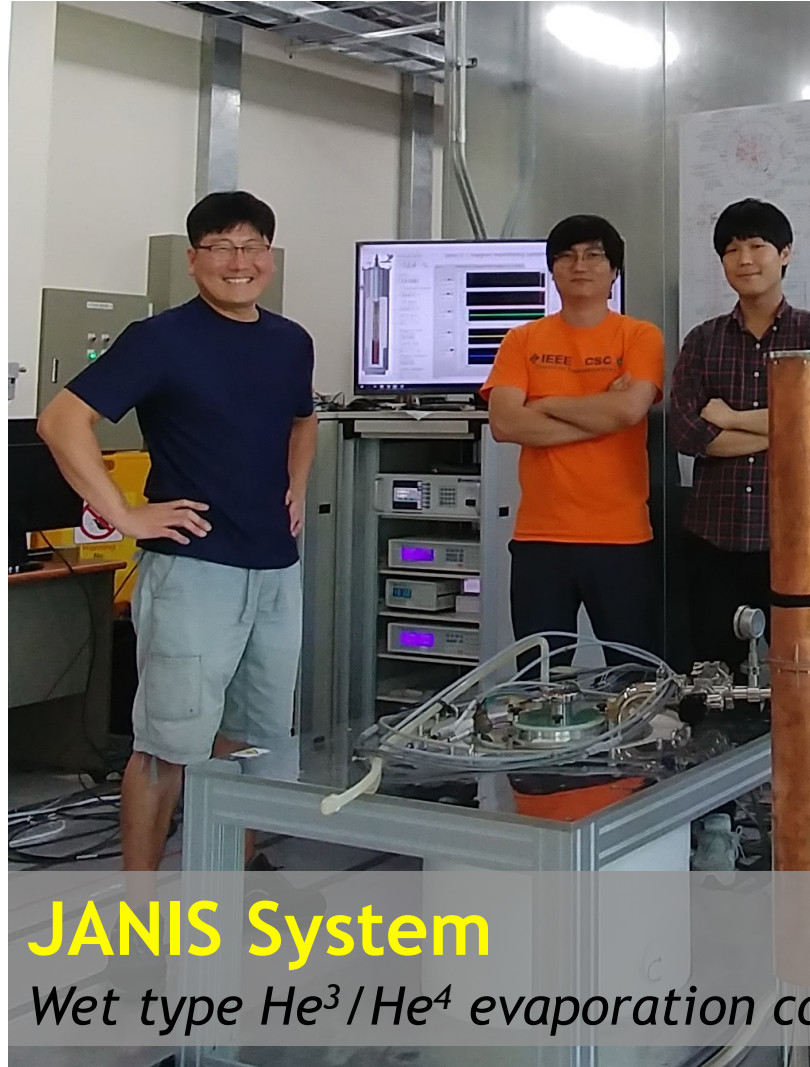


# CAPP-9T MC (Proof-of-concept Exp.)



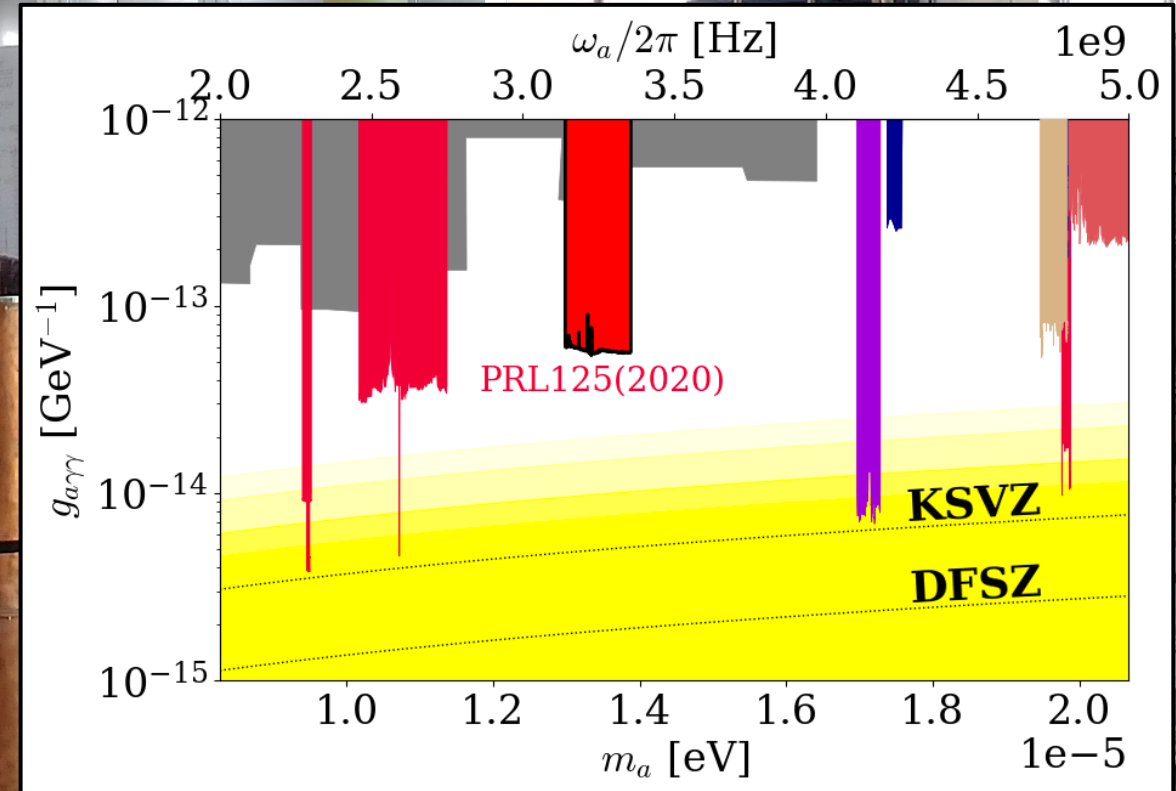
**2-cell Cavity**

$\phi 110$   
2 GHz  $\Rightarrow$  3.3 GHz



**JANIS System**

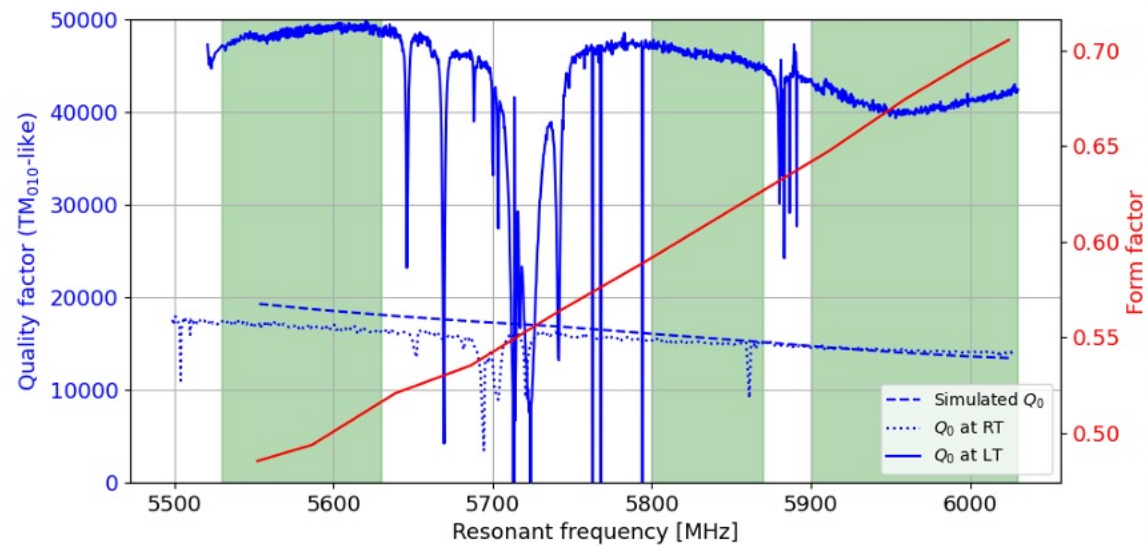
Wet type  $\text{He}^3/\text{He}^4$  evaporation cooler ( $\sim 2$  K)



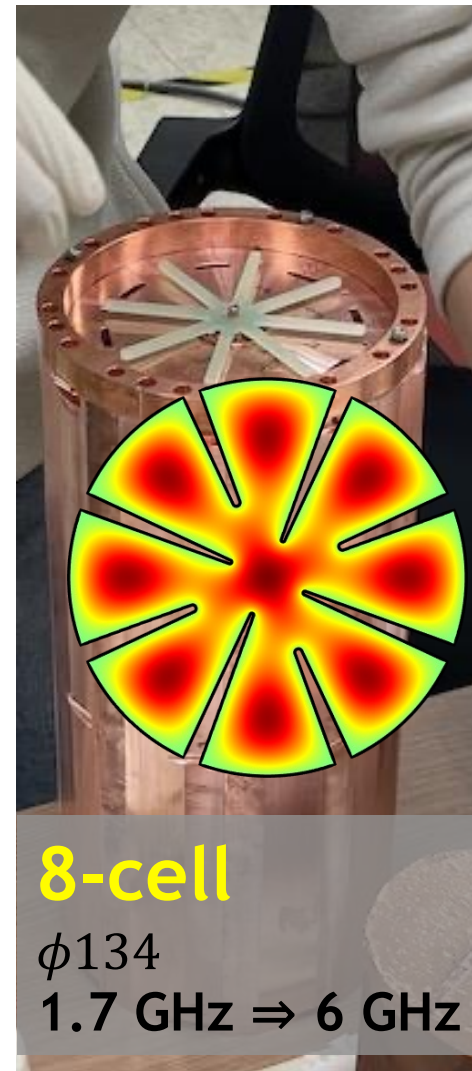
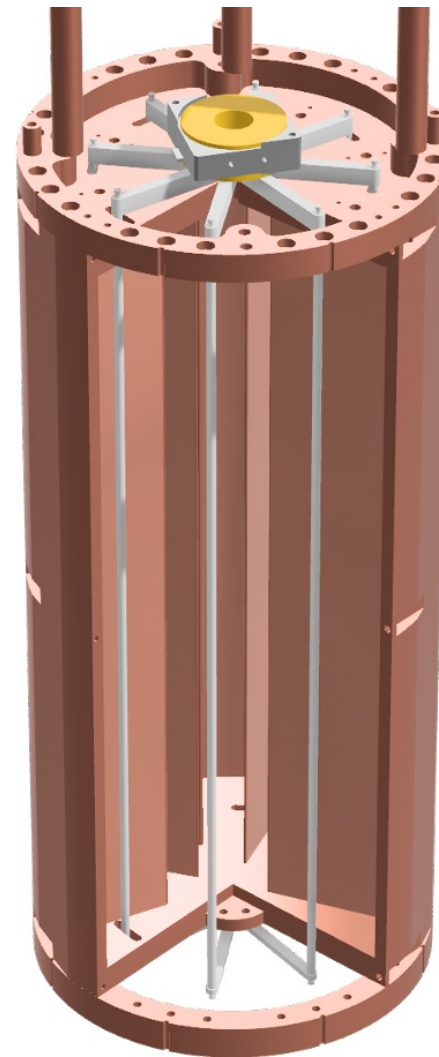
**NbTi Magnet**

Bcent 9T ( $\phi 125$ )

# CAPP-8TB 6G (8-cell)



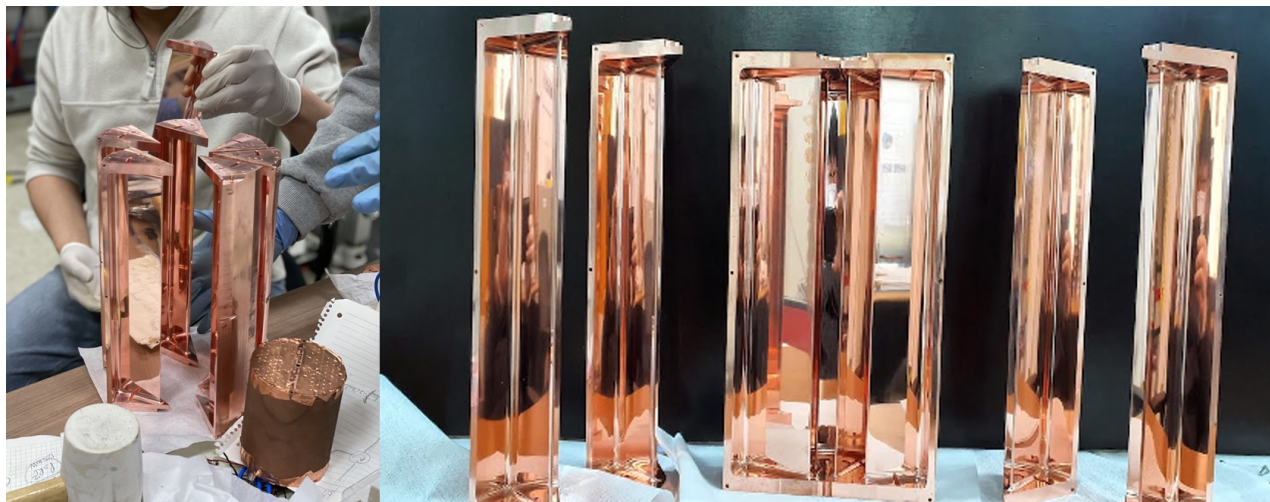
[From S. Lee's talk, PATRAS 2023]



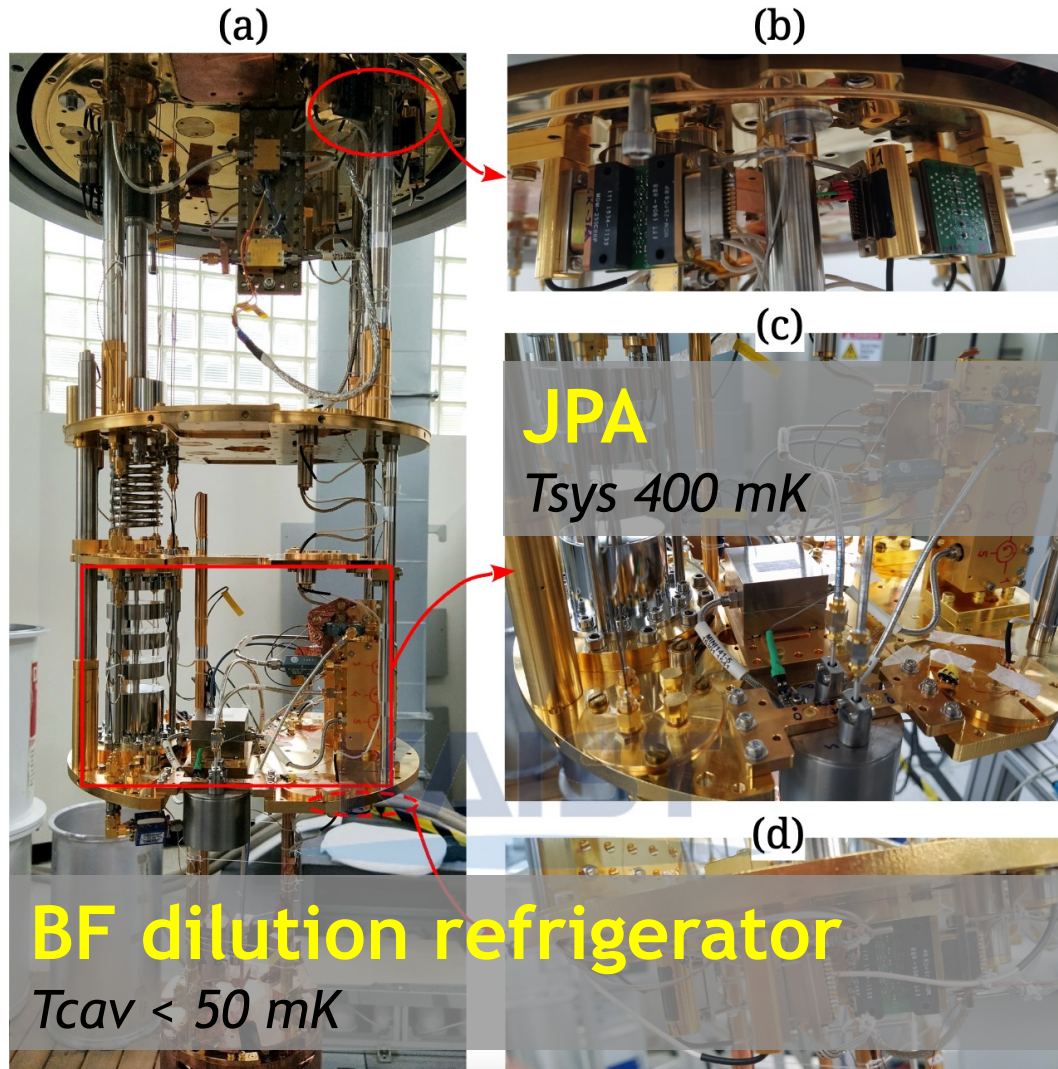
**8-cell**

$\phi 134$

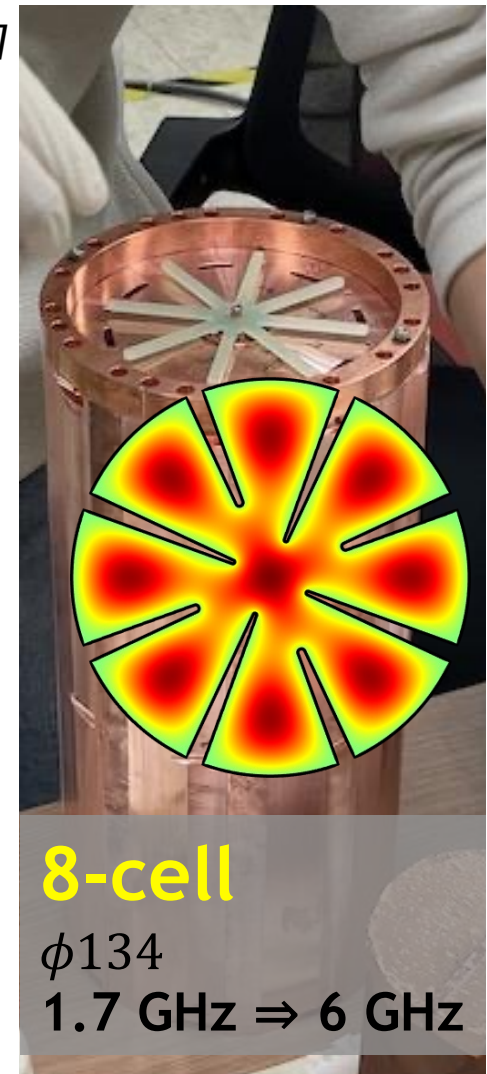
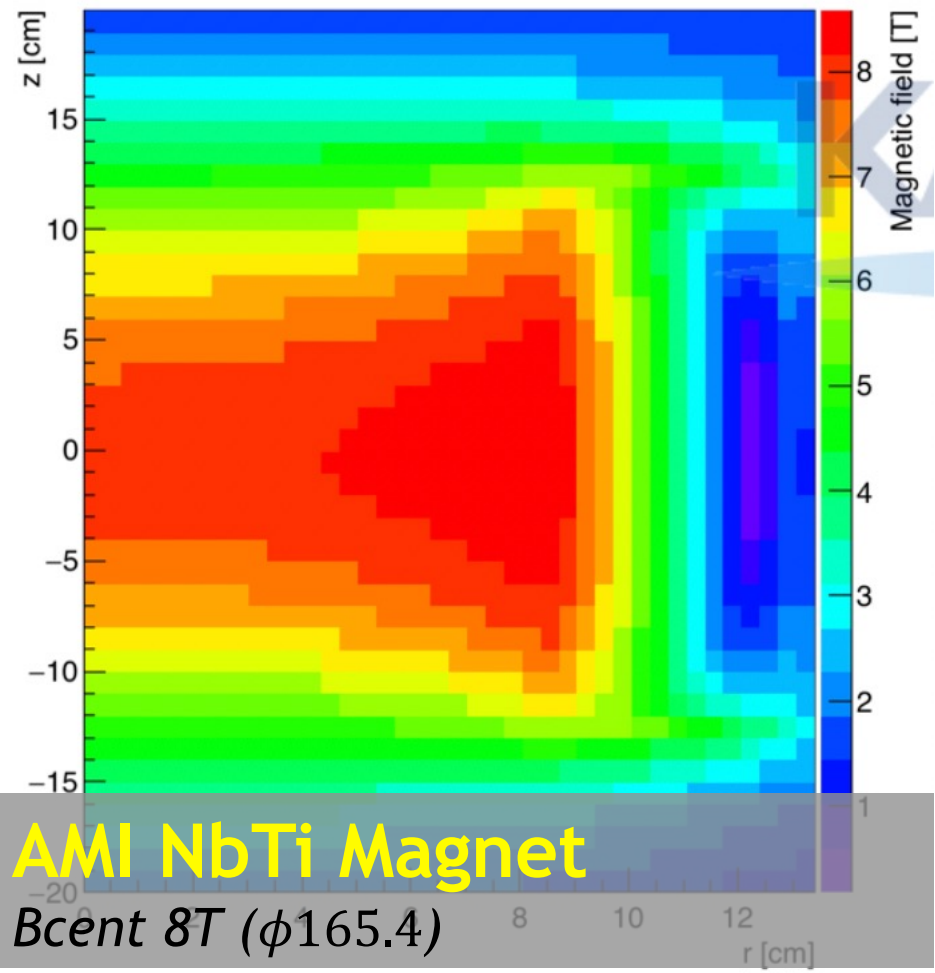
1.7 GHz  $\Rightarrow$  6 GHz



# CAPP-8TB 6G (8-cell)



[From C. Kutlu's Thesis]



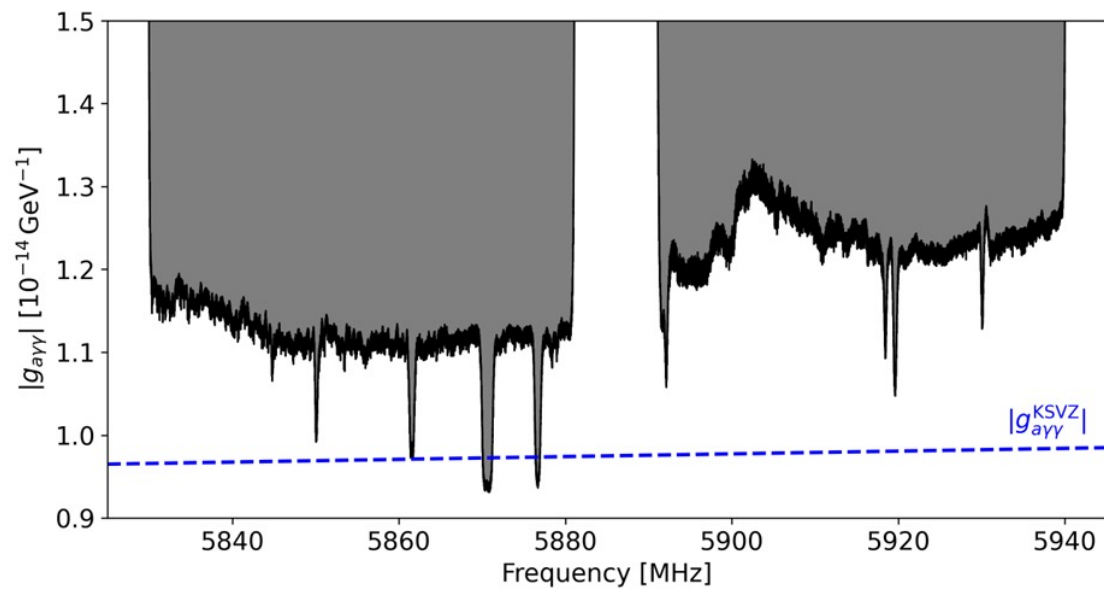
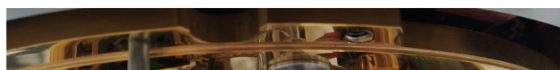
**8-cell**  
 $\phi 134$   
 $1.7 \text{ GHz} \Rightarrow 6 \text{ GHz}$

# CAPP-8TB 6G (8-cell)

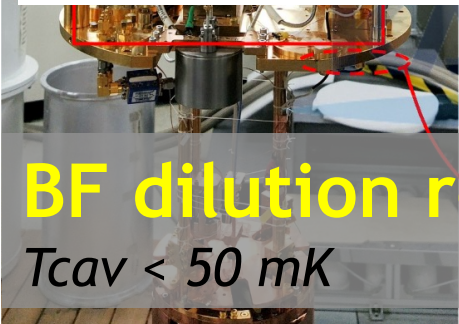
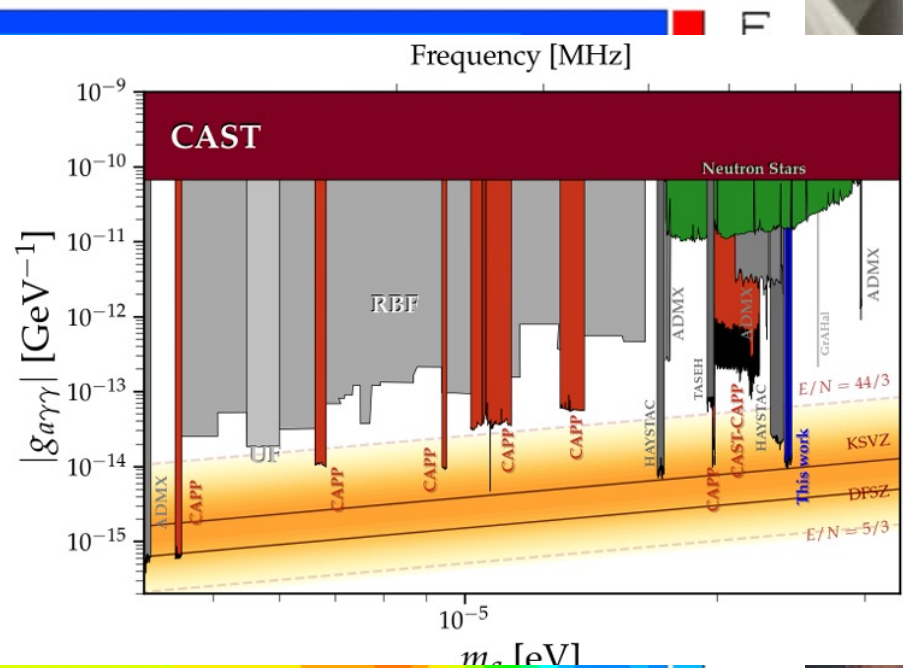
(a)



(b)

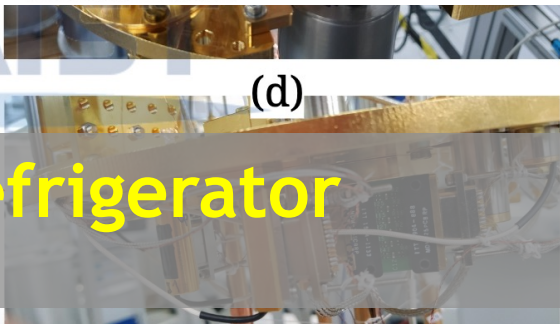


[From C. Kutlu's Thesis]



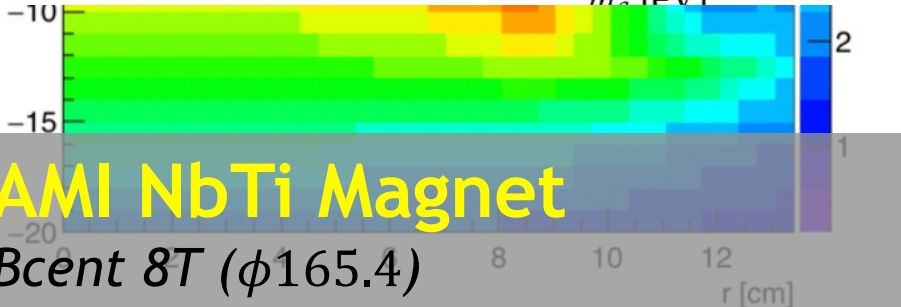
**BF dilution refrigerator**

$T_{cav} < 50 \text{ mK}$



**AMI NbTi Magnet**

$B_{cent} 8T (\phi 165.4)$

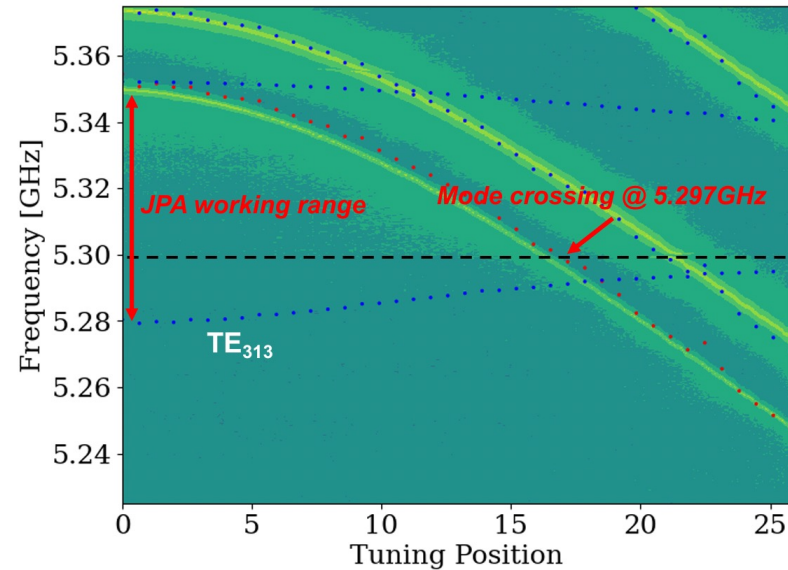
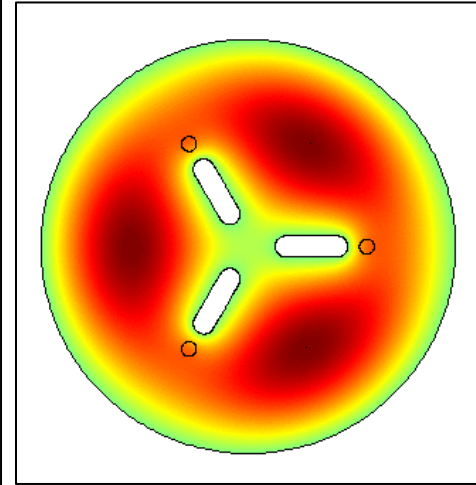
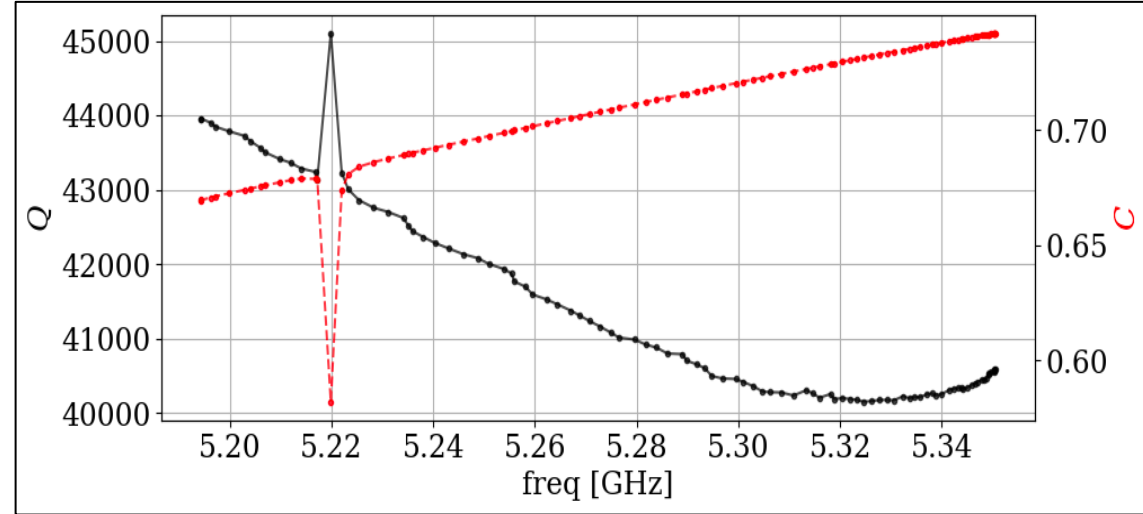
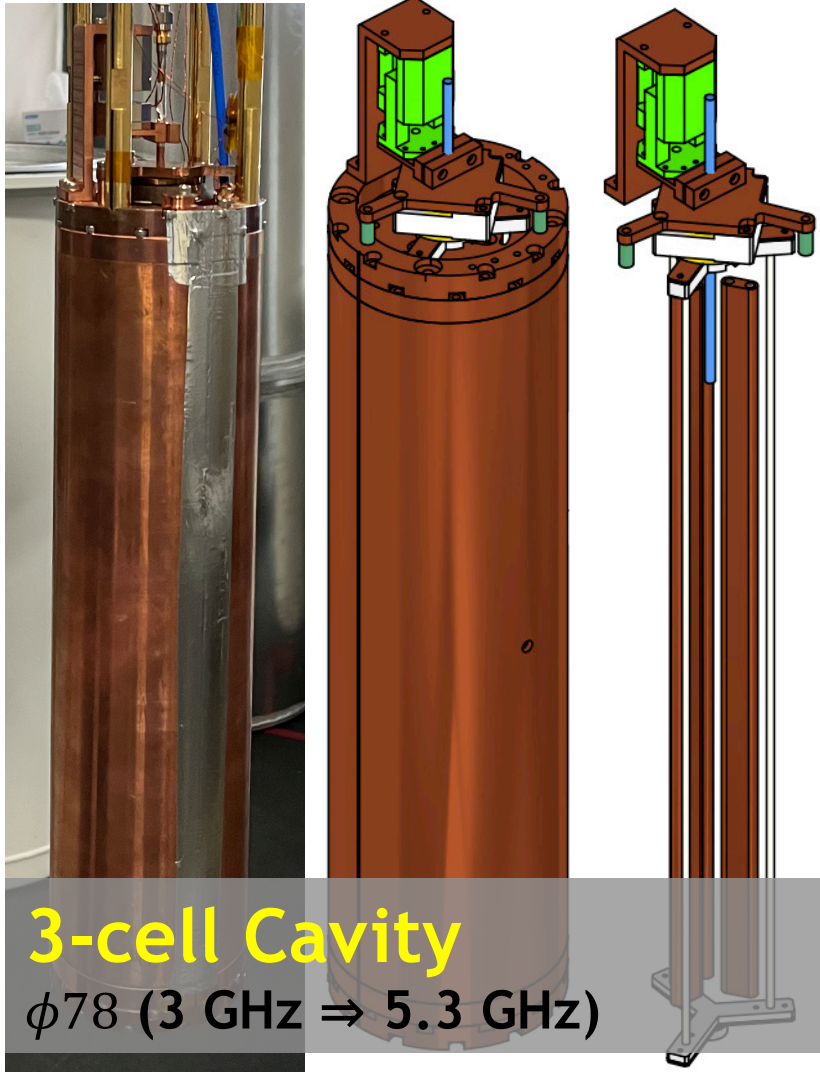


**8-cell**

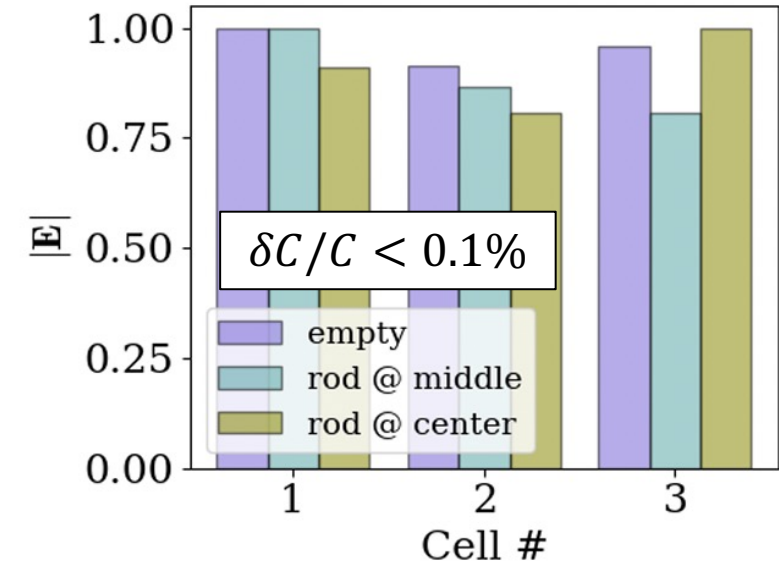
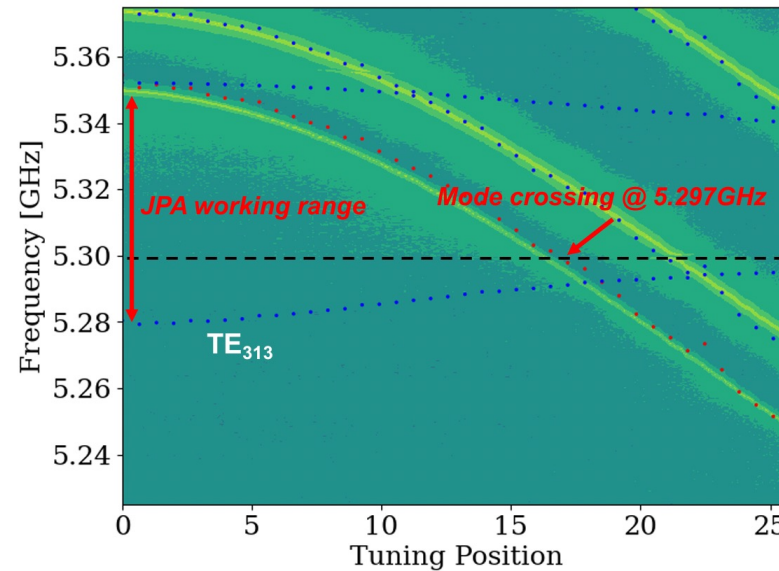
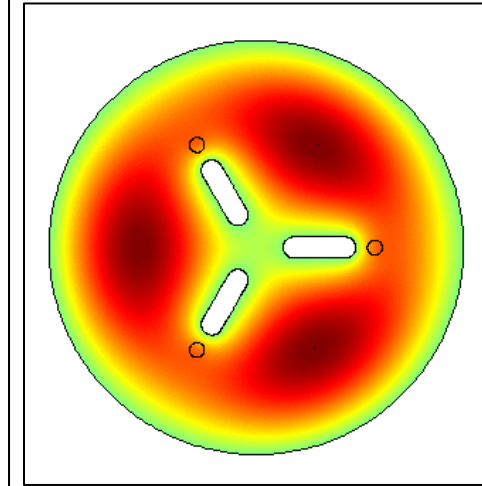
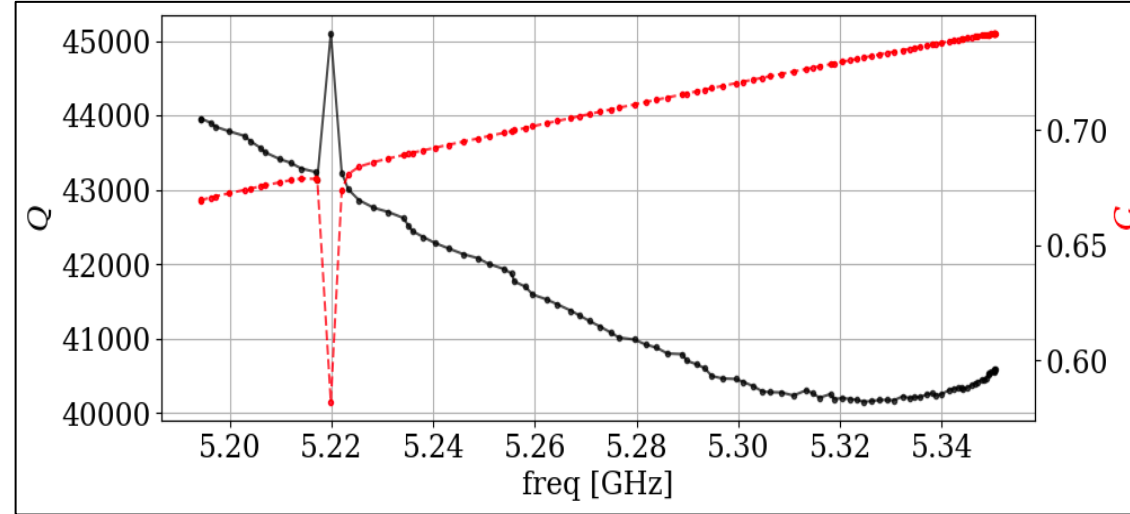
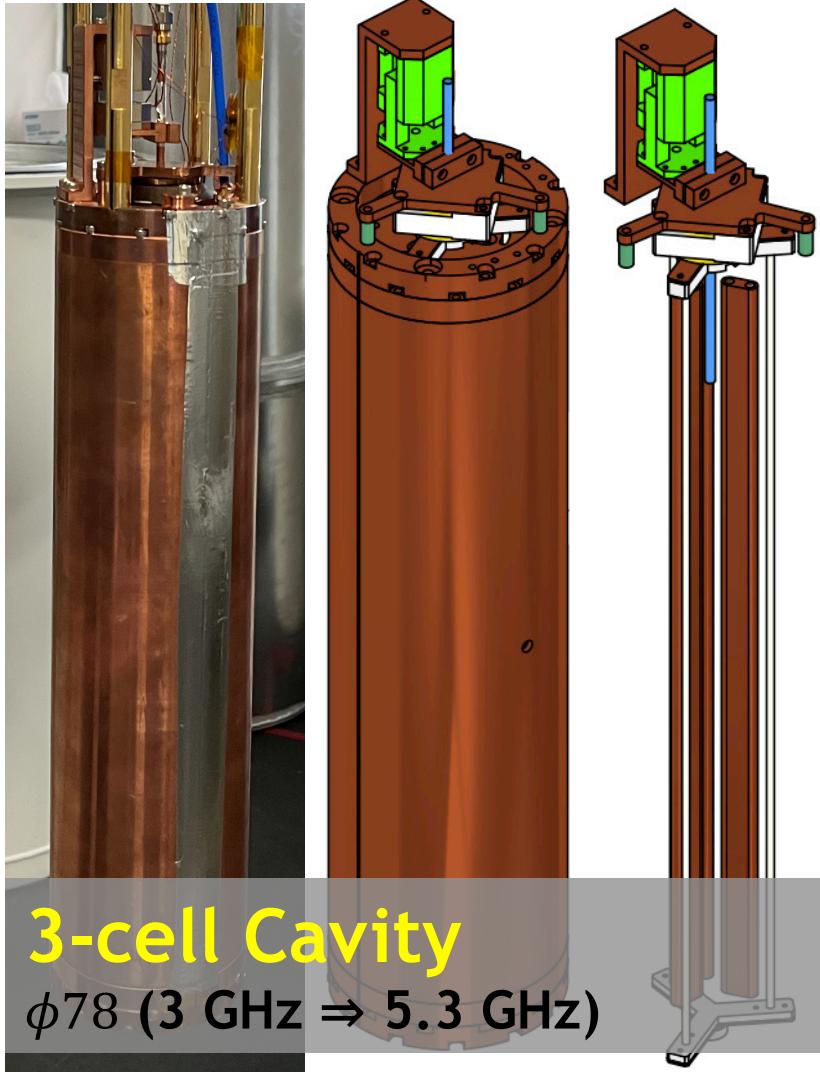
$\phi 134$

$1.7 \text{ GHz} \Rightarrow 6 \text{ GHz}$

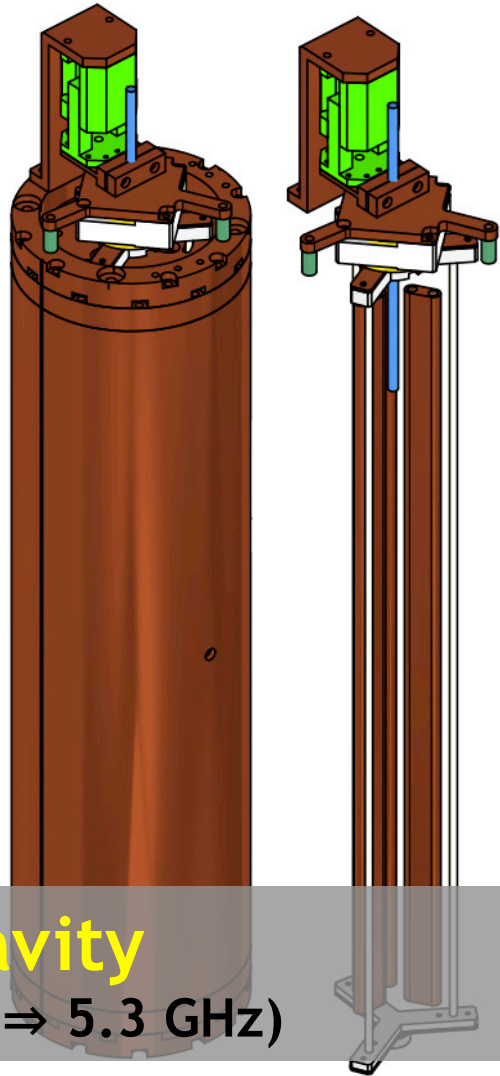
# CAPP-12T (3-cell)



# CAPP-12T (3-cell)

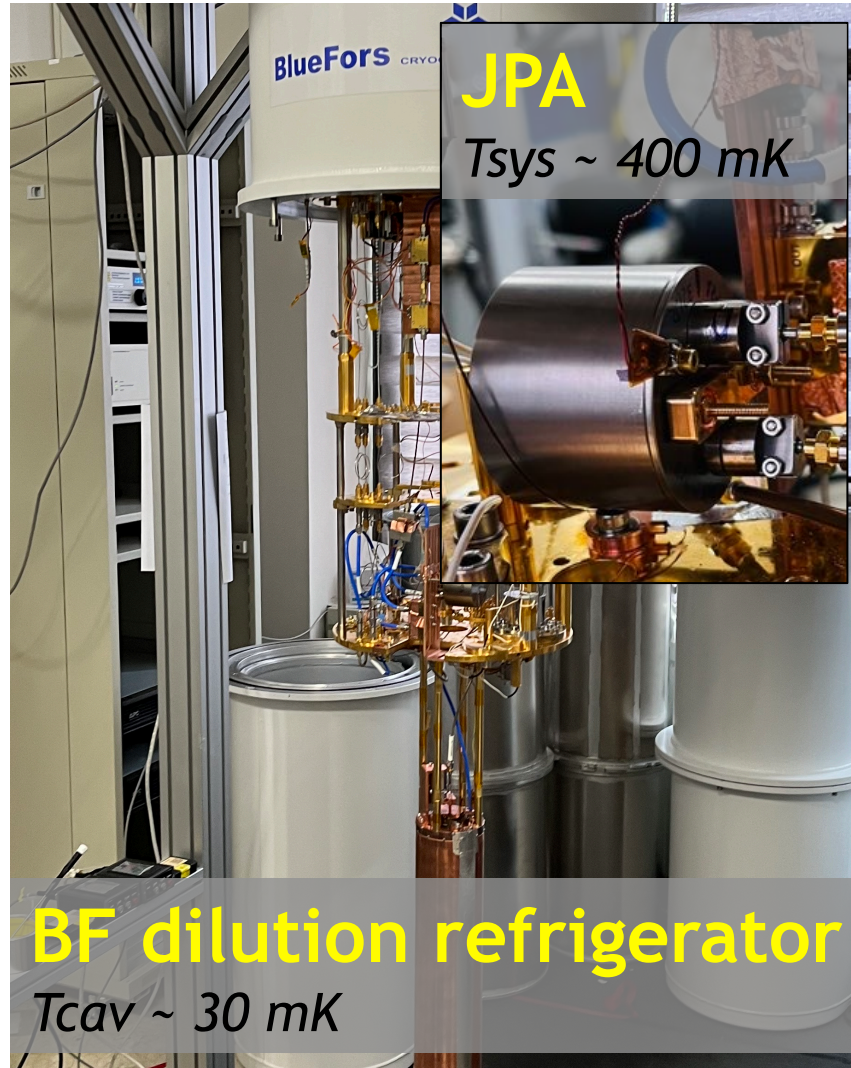


# CAPP-12T (3-cell)



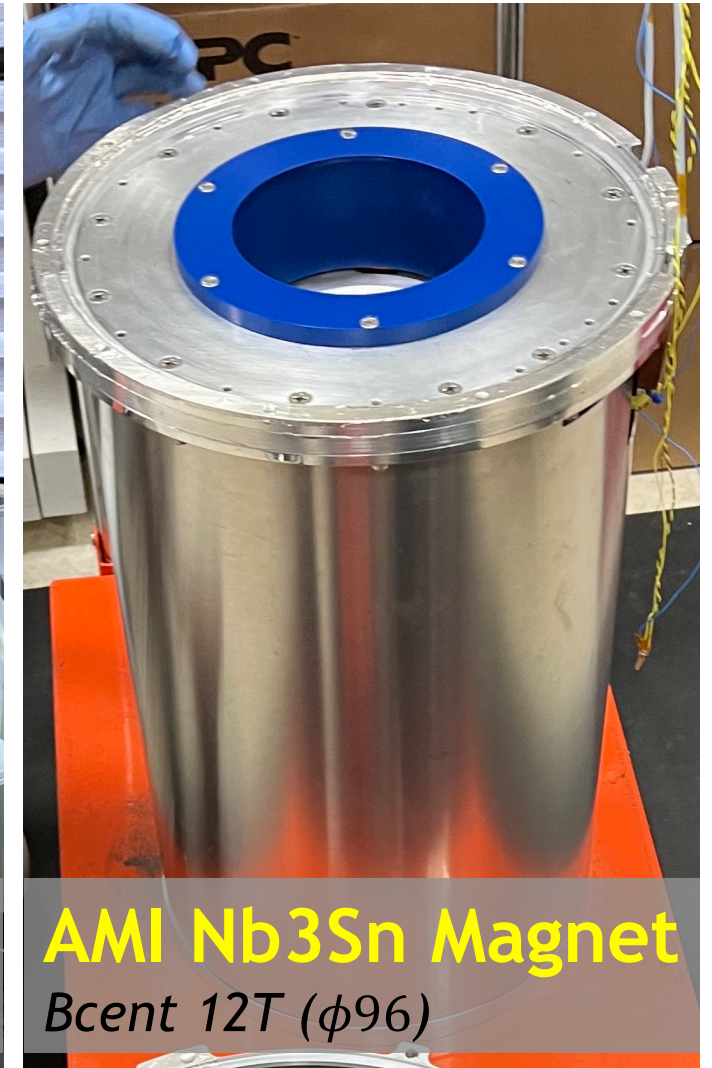
**3-cell Cavity**

$\phi 78$  (3 GHz  $\Rightarrow$  5.3 GHz)



**BF dilution refrigerator**

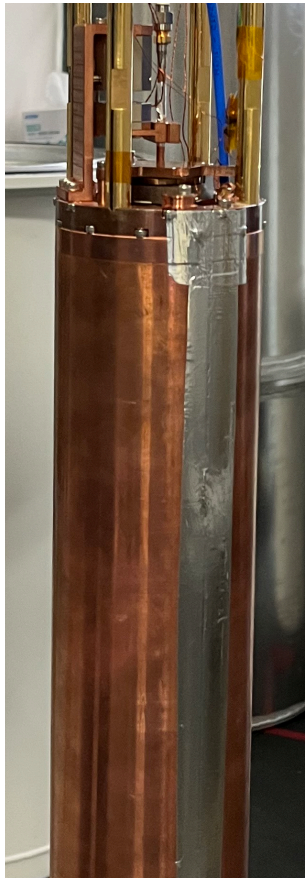
$T_{cav} \sim 30$  mK



**AMI Nb<sub>3</sub>Sn Magnet**

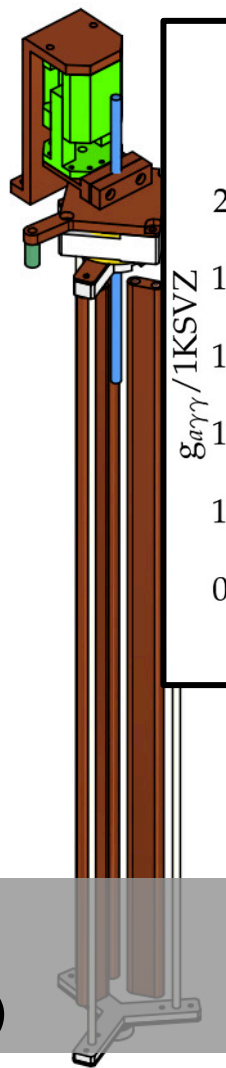
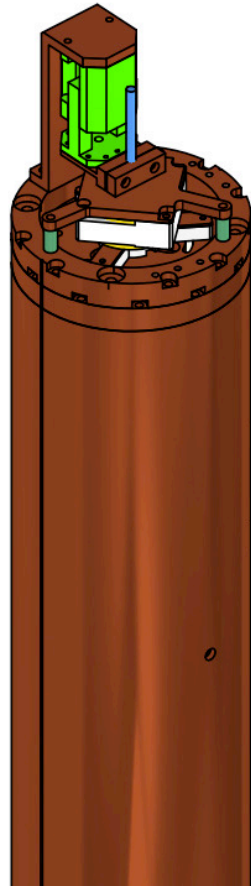
$B_{cent}$  12T ( $\phi 96$ )

# CAPP-12T (3-cell)



**3-cell Cavity**

$\phi 78$  (3 GHz  $\Rightarrow$  5.3 GHz)



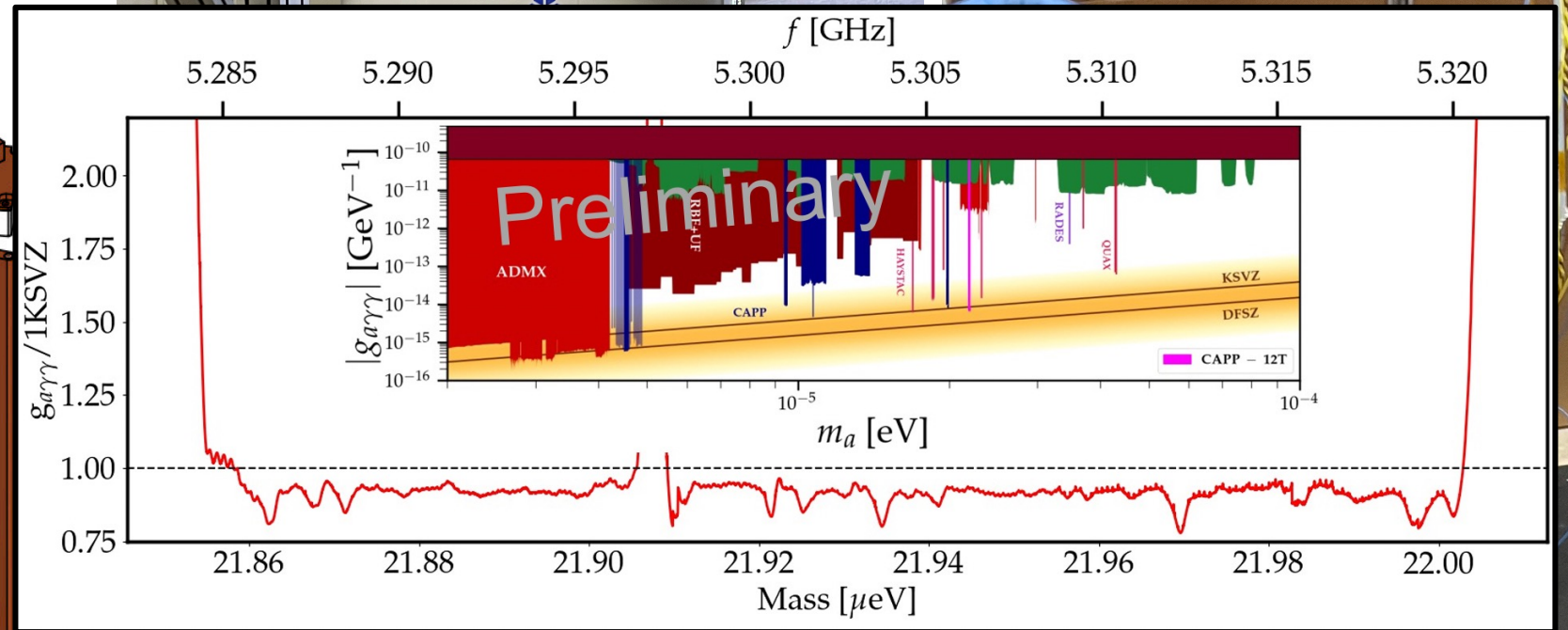
**BF dilution refrigerator**

$T_{cav} \sim 30$  mK



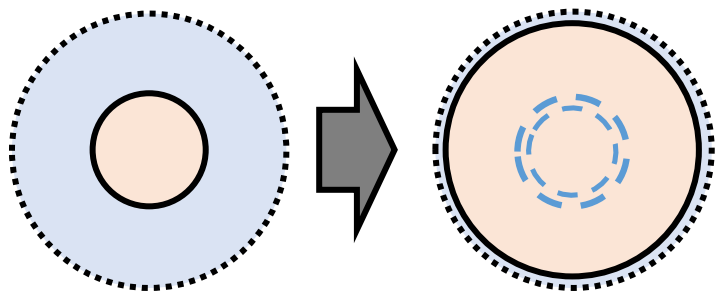
**AMI Nb3Sn Magnet**

$B_{cent}$  12T ( $\phi 96$ )





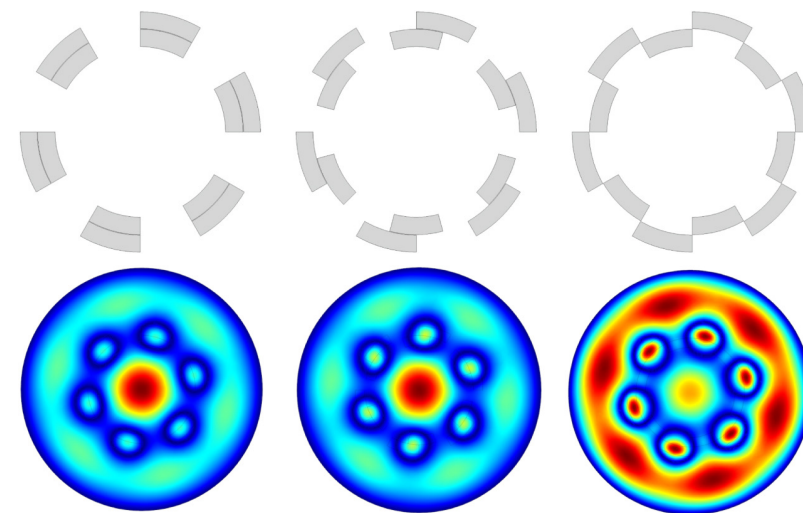
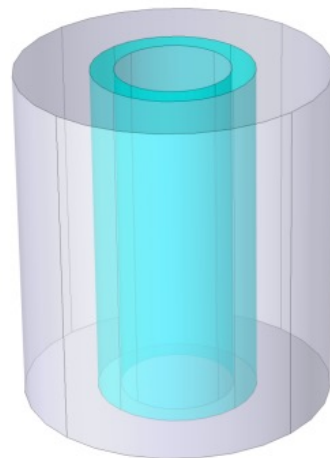
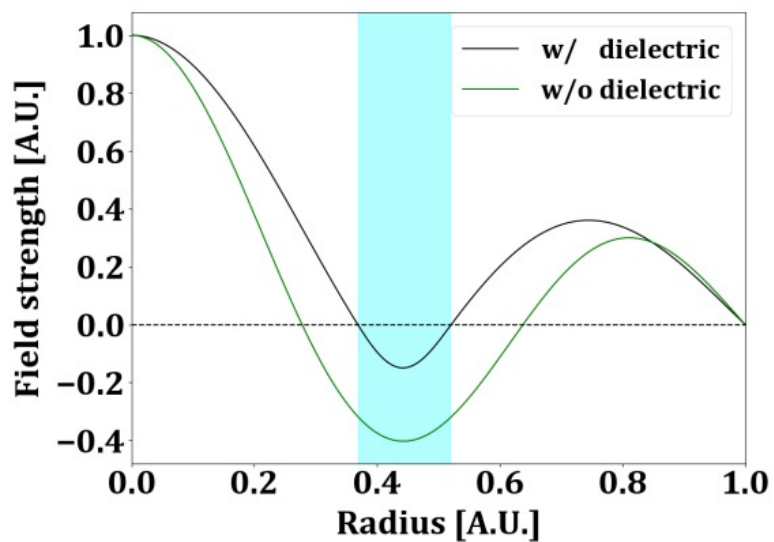
# Wheel Tuning Mechanism



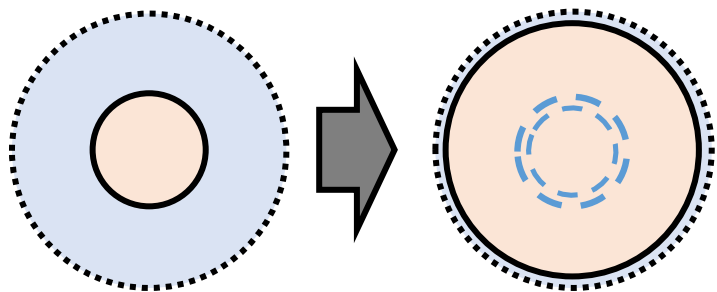
*J. Kim et al., J. Phys. G 47, 035203 (2020)*

**x3 frequency increase**

- No volume loss
- Single antenna
- High Q-factor



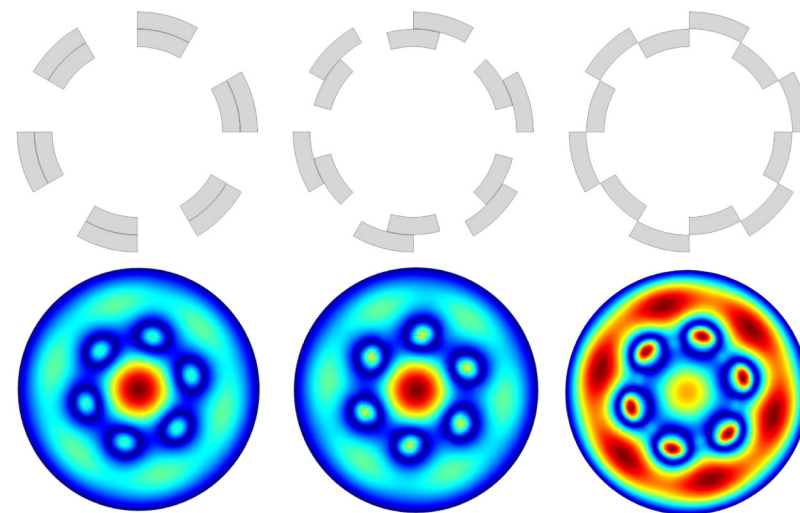
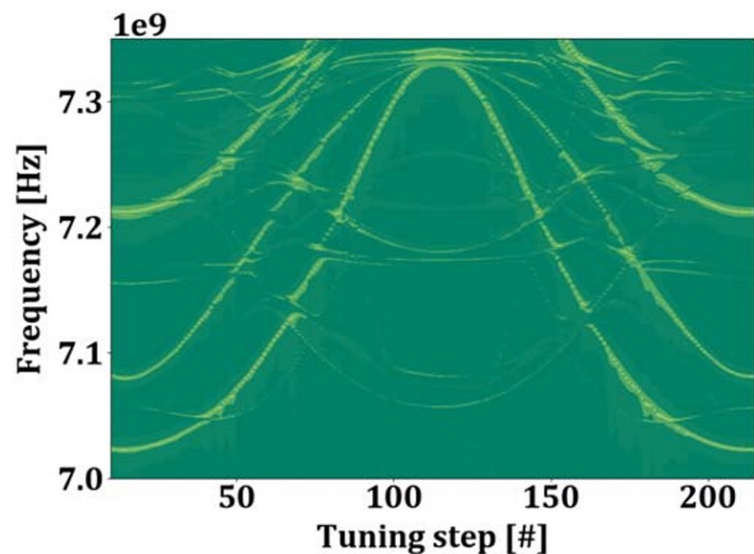
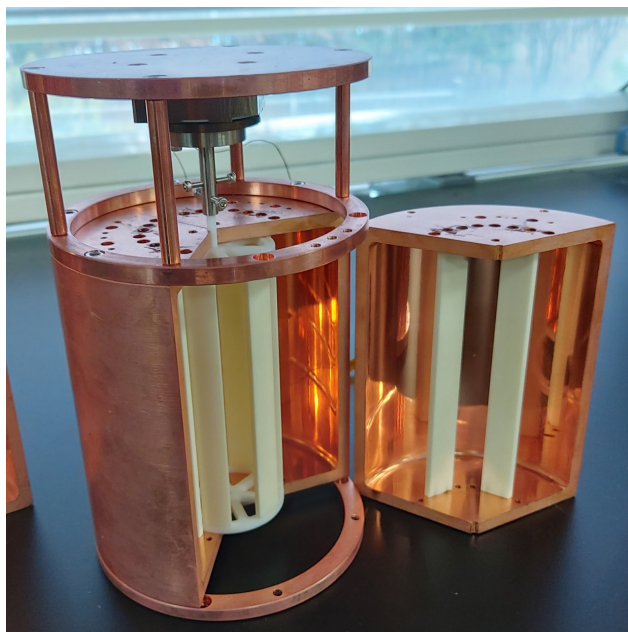
# Wheel Tuning Mechanism



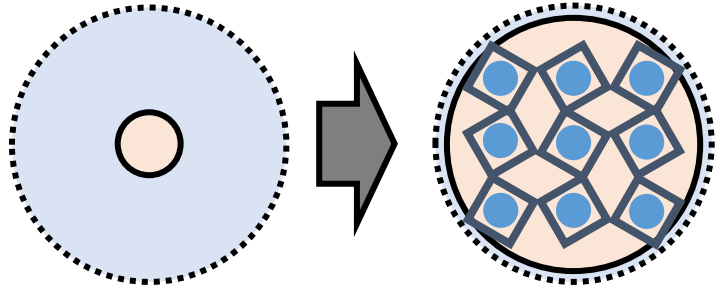
*J. Kim et al., J. Phys. G 47, 035203 (2020)*

**x3 frequency increase**

- No volume loss
- Single antenna
- High Q-factor



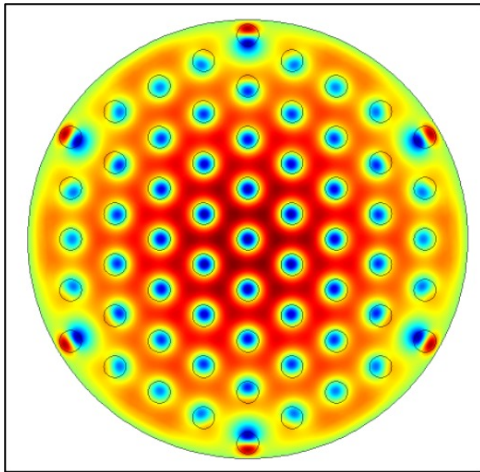
# Tunable Photonic Crystal Cavity



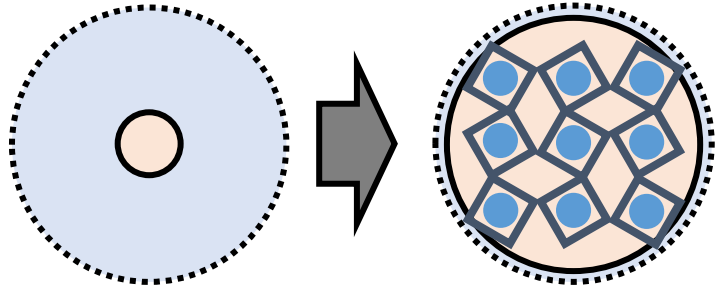
*S. Bae et al., Phys. Rev. D* **107**, 015012 (2023)

**x3 ~ 10 or more frequency increase**

- No volume loss
- Single antenna
- High Q-factor
- Frequency selectivity



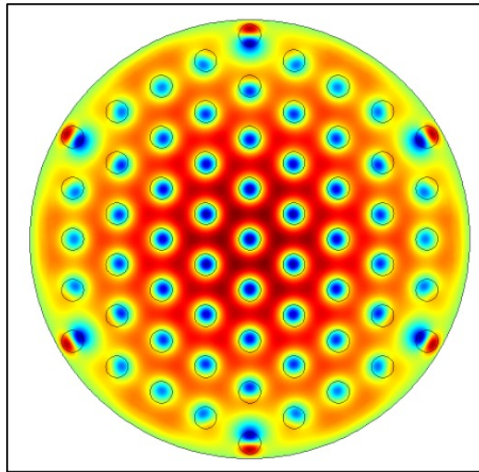
# Tunable Photonic Crystal Cavity



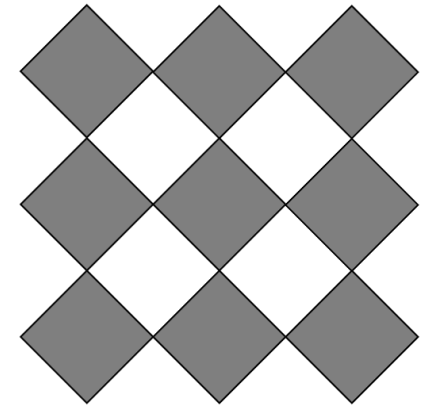
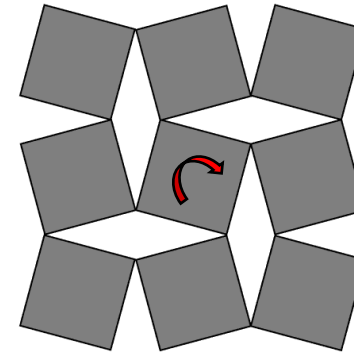
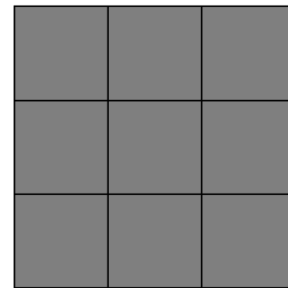
*S. Bae et al., Phys. Rev. D 107, 015012 (2023)*

**x3 ~ 10 or more frequency increase**

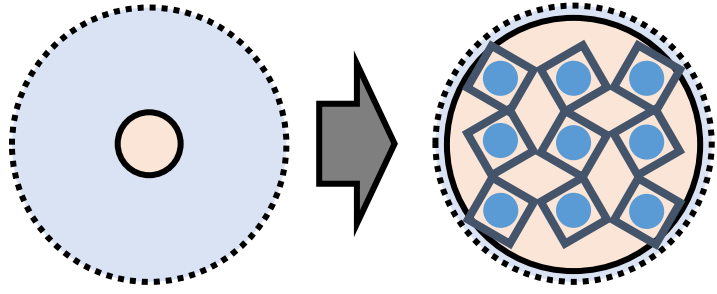
- No volume loss
- Single antenna
- High Q-factor
- Frequency selectivity



**Auxetic structure**



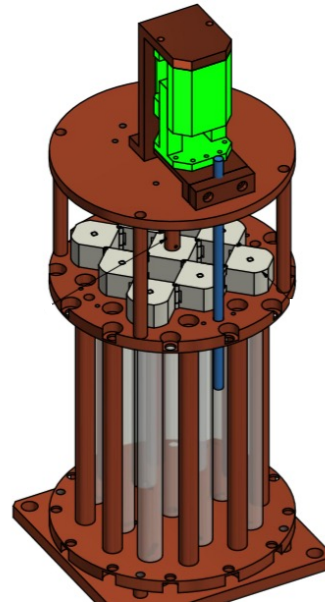
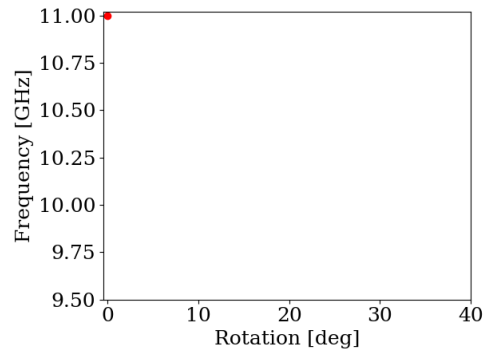
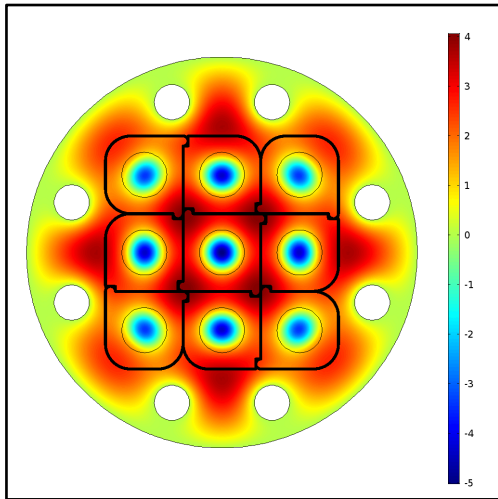
# Tunable Photonic Crystal Cavity



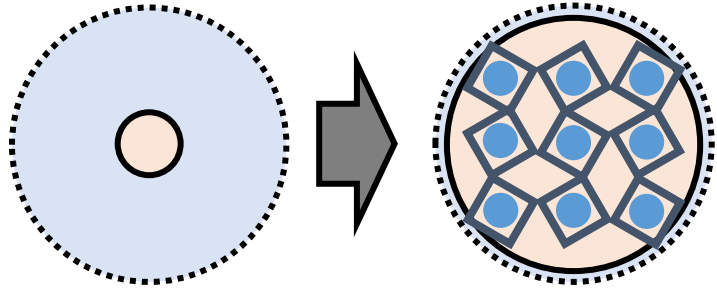
*S. Bae et al., Phys. Rev. D* **107**, 015012 (2023)

**x3 ~ 10 or more frequency increase**

- No volume loss
- Single antenna
- High Q-factor
- Frequency selectivity



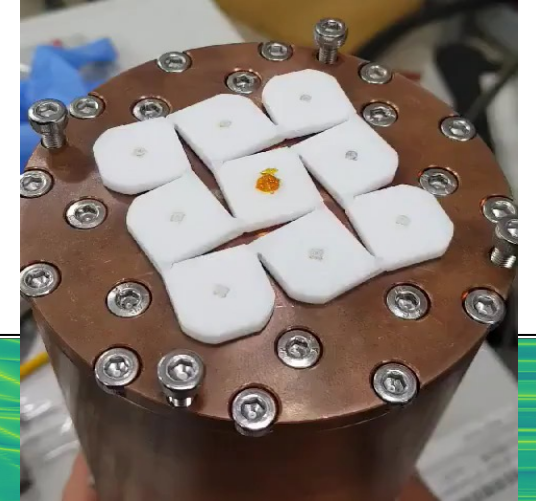
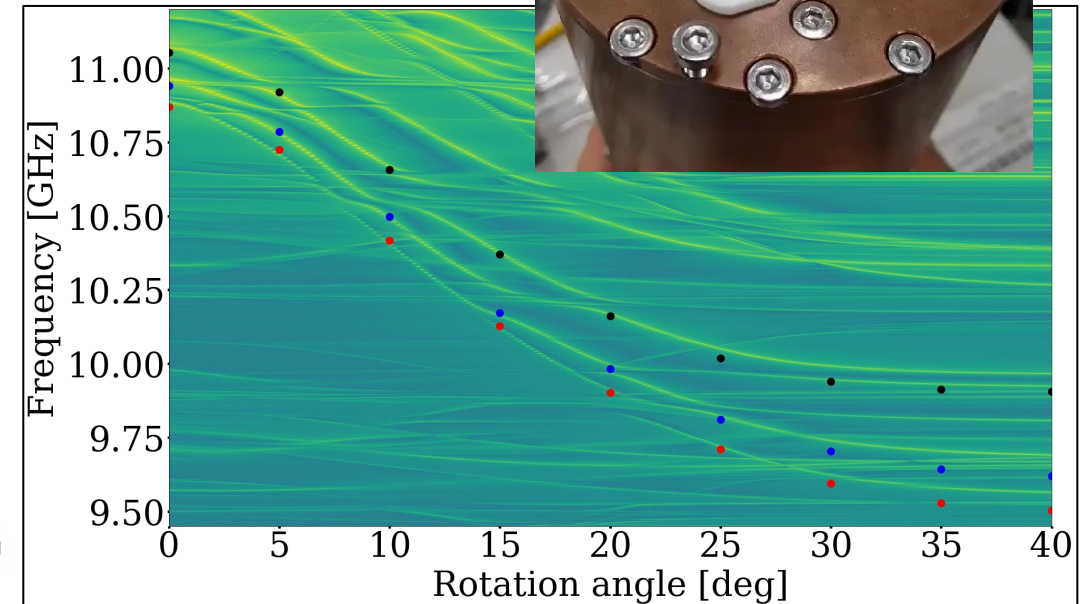
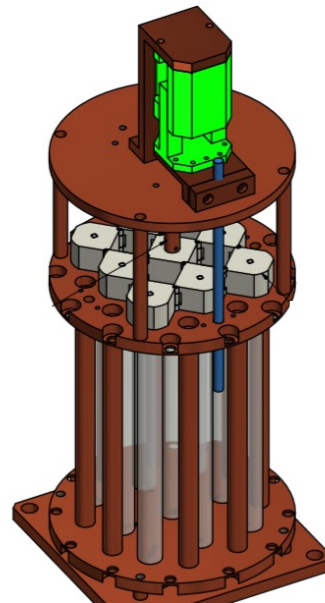
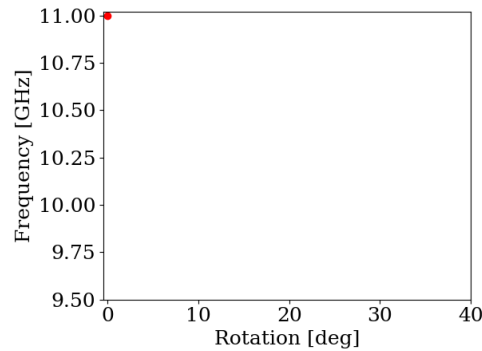
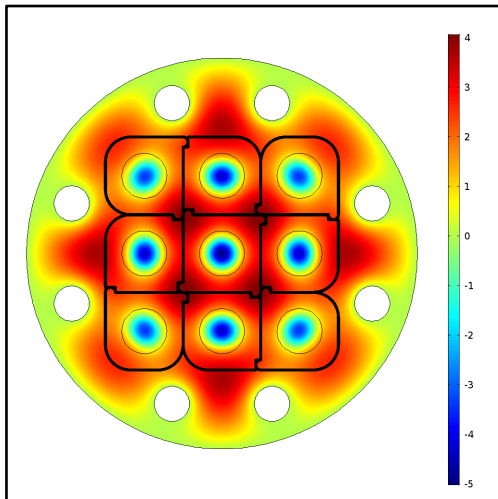
# Tunable Photonic Crystal Cavity



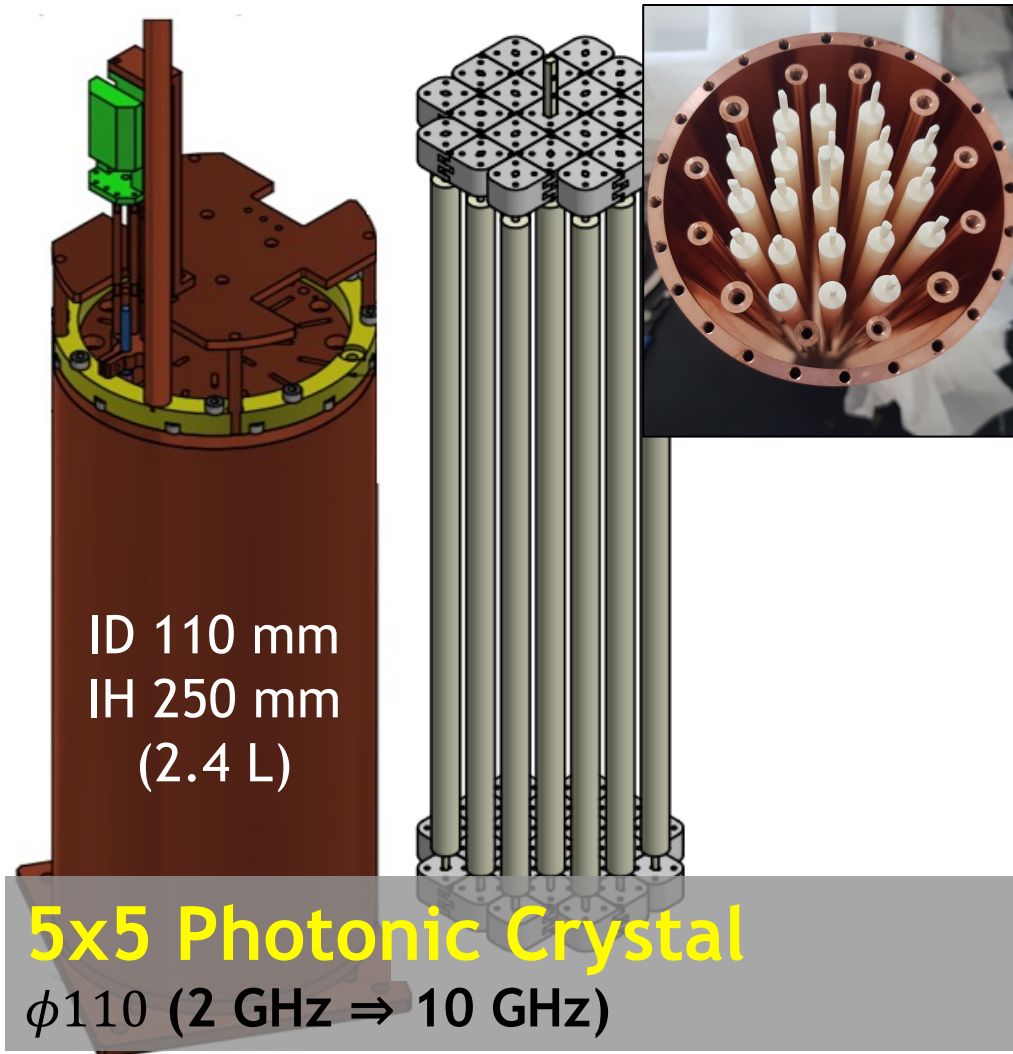
*S. Bae et al., Phys. Rev. D 107, 015012 (2023)*

**x3 ~ 10 or more frequency increase**

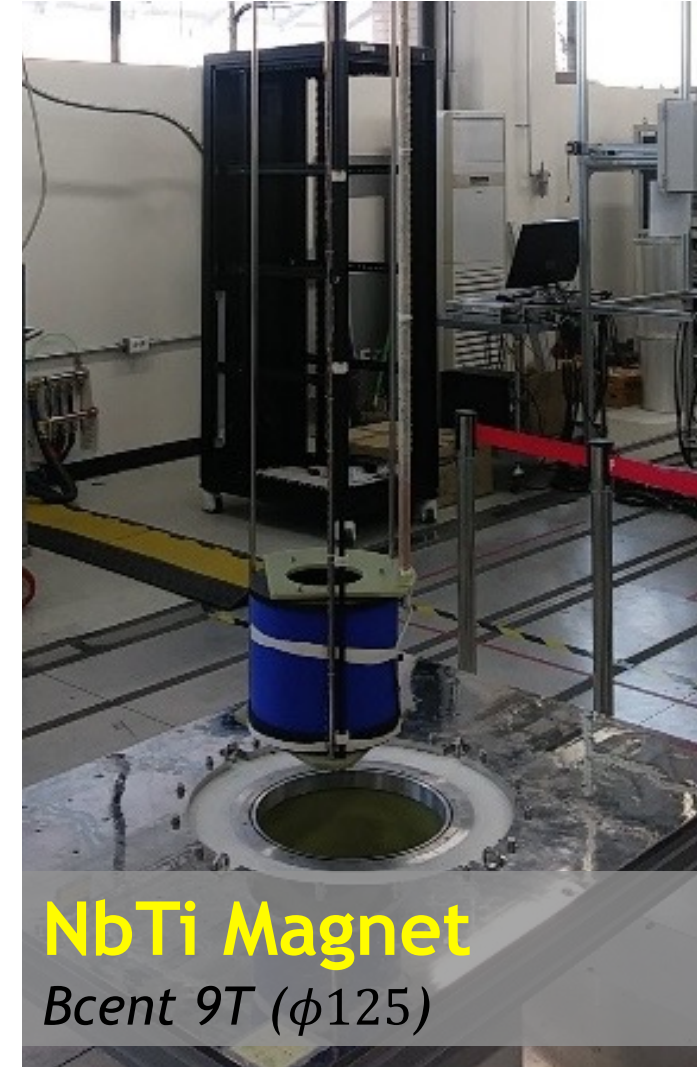
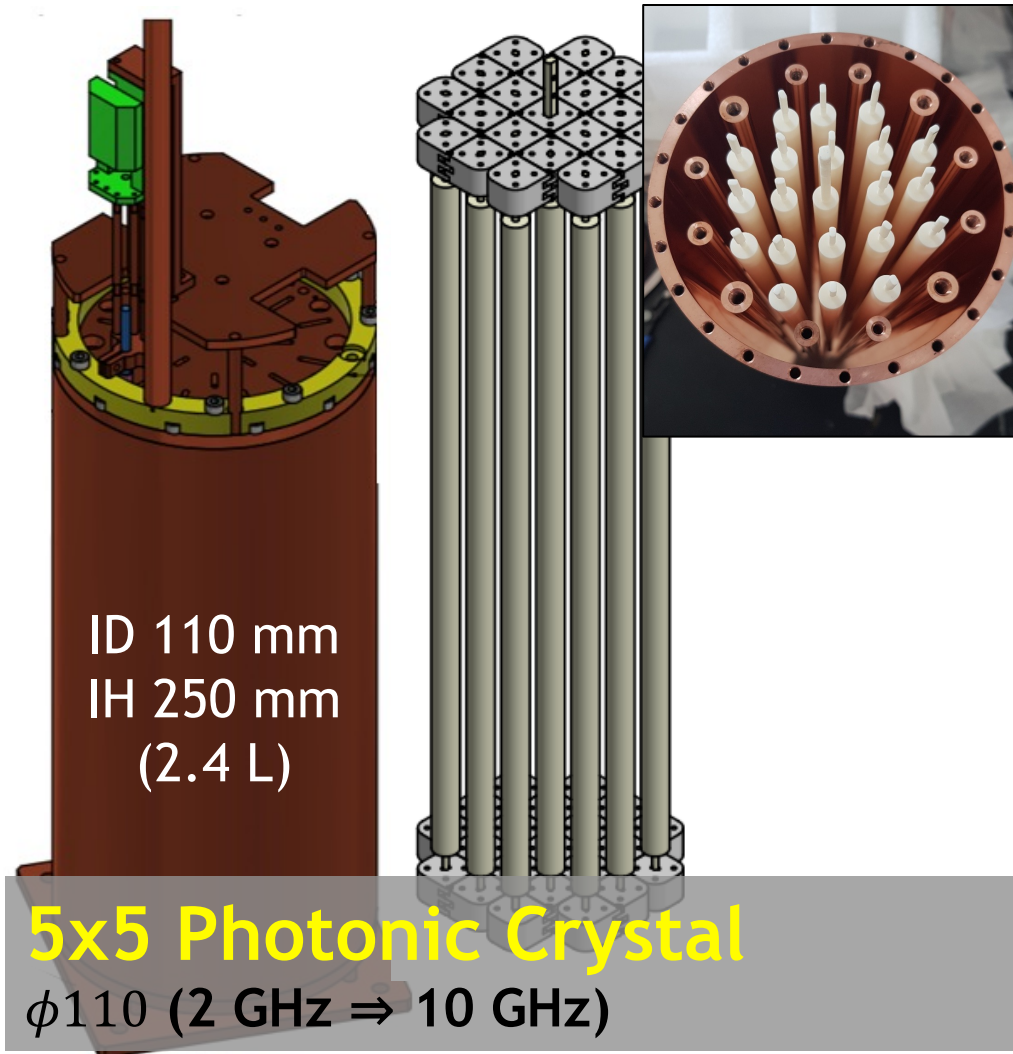
- No volume loss
- Single antenna
- High Q-factor
- Frequency selectivity



# Proof-of-Concept Experiment (5x5)

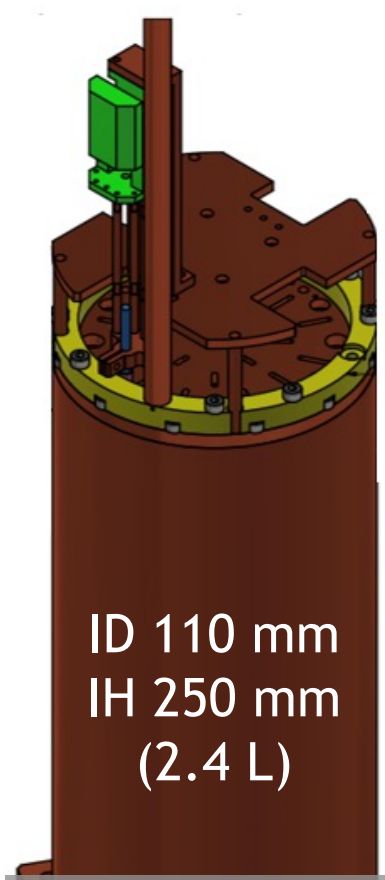


# Proof-of-Concept Experiment (5x5)

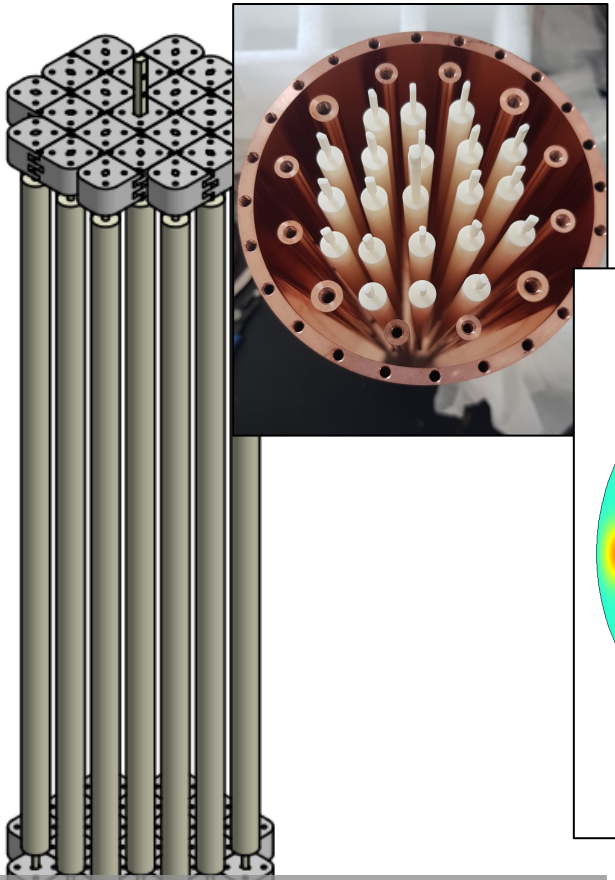




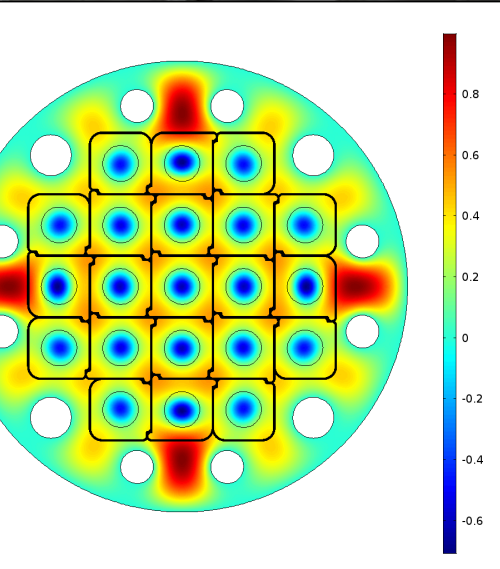
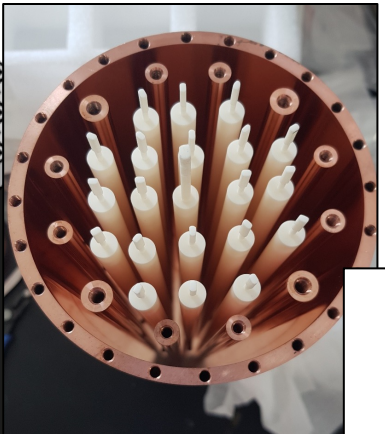
# Proof-of-Concept Experiment (5x5)



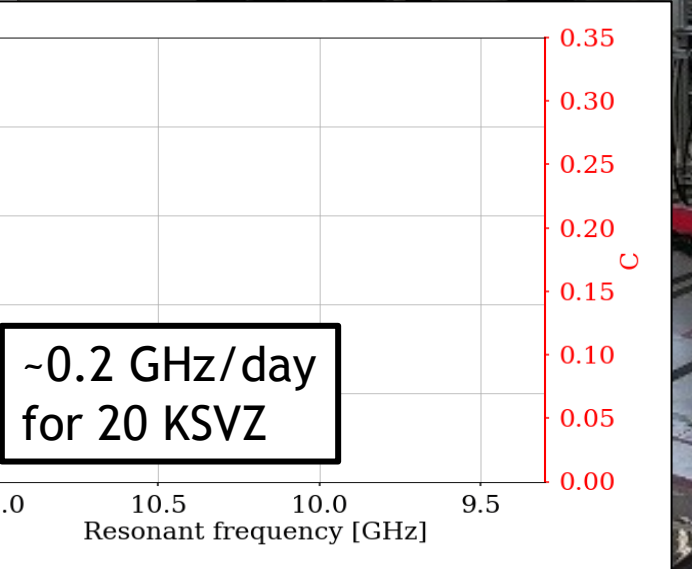
ID 110 mm  
IH 250 mm  
(2.4 L)



**5x5 Photonic Crystal**  
 $\phi 110$  (2 GHz  $\Rightarrow$  10 GHz)



**JANIS System**  
*Evaporation cooler (~2 K)*



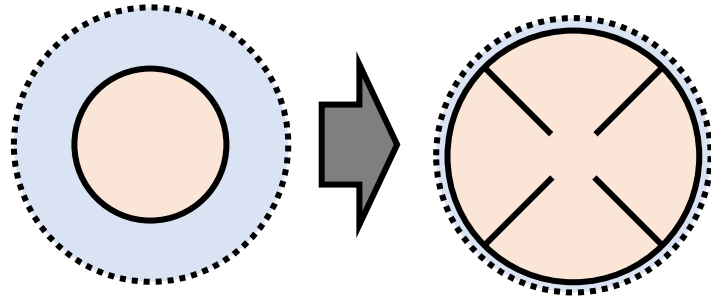
**NbTi Magnet**  
*Bcent 9T ( $\phi 125$ )*

# CAPP's Novel Cavity Designs

## Multiple-cell

[J. Jeong et al. (2018)]

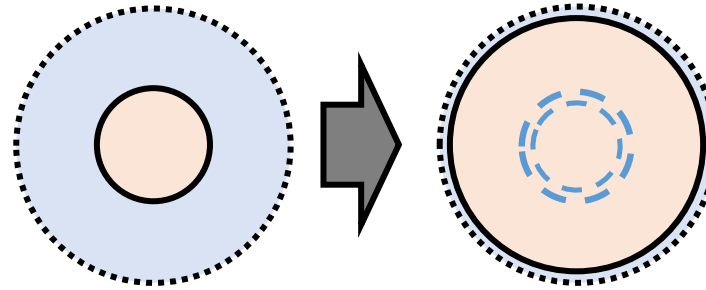
x1.6 ~ 3.2



## Wheel tuning

[J. Kim et al. (2020)]

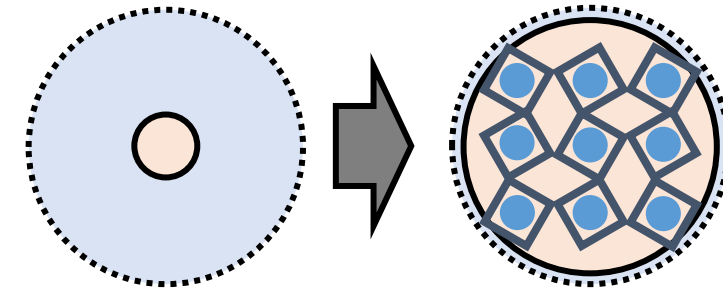
x3



## Photonic Crystal

[S. Bae et al. (2023)]

x3 ~ 10 or more



Multiple-cell

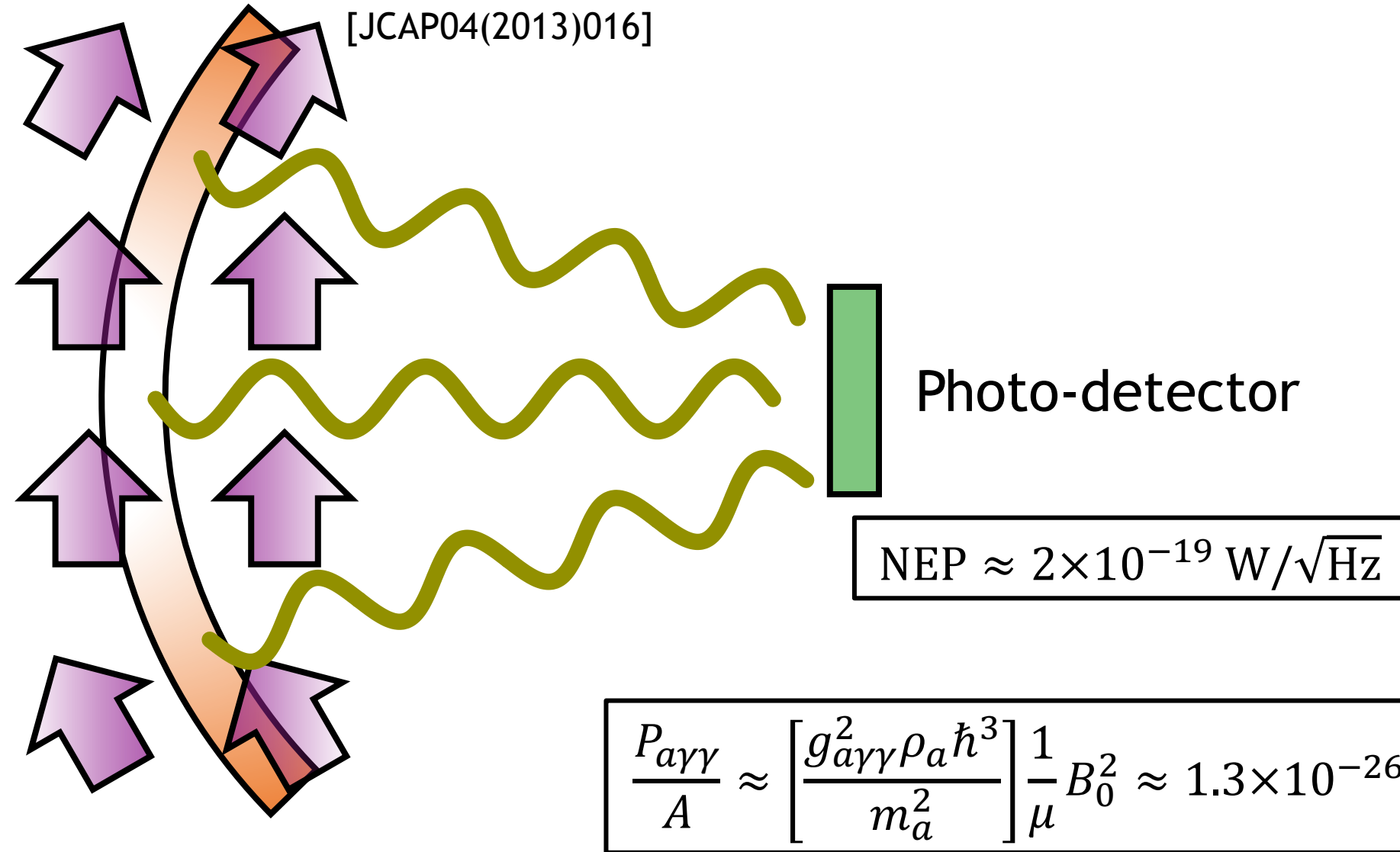
Wheel  
tuning

Tunable Photonic Crystal

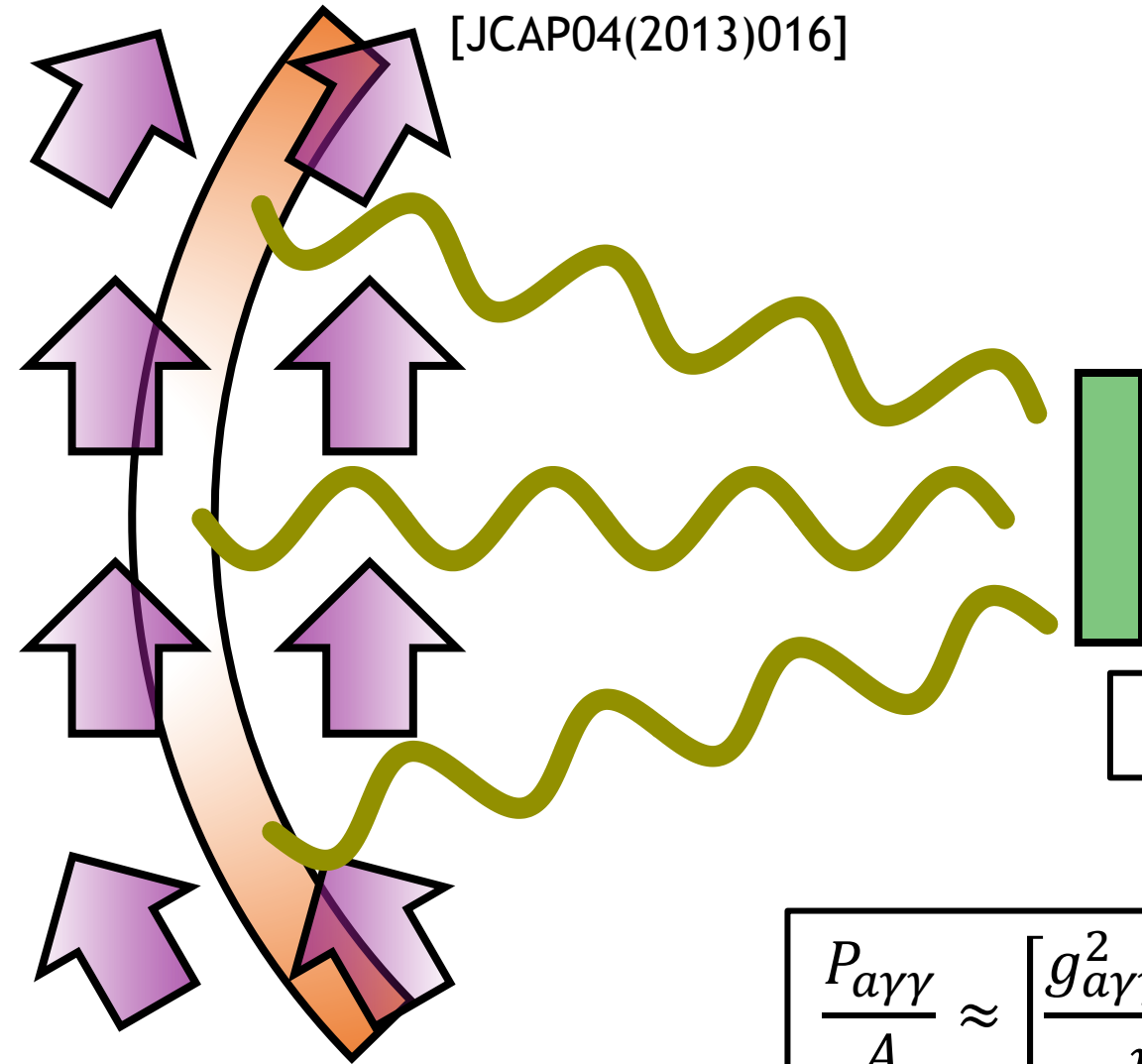
$f_{\text{TM}_{010}}$

$f$

# Dish Antenna Haloscope



# Dish Antenna Haloscope



[JCAP04(2013)016]

$$\Delta t \approx \left( \frac{\text{SNR} \times \text{NEP}}{P_{a\gamma\gamma}} \right)^2 = 2 \text{ years} \left( \frac{\text{SNR}}{5} \right)^2 \left( \frac{10 \text{ T}}{B_0} \right)^4 \left( \frac{30}{g_\gamma} \right)^4 \left( \frac{10 \text{ m}^2}{A} \right)^2$$

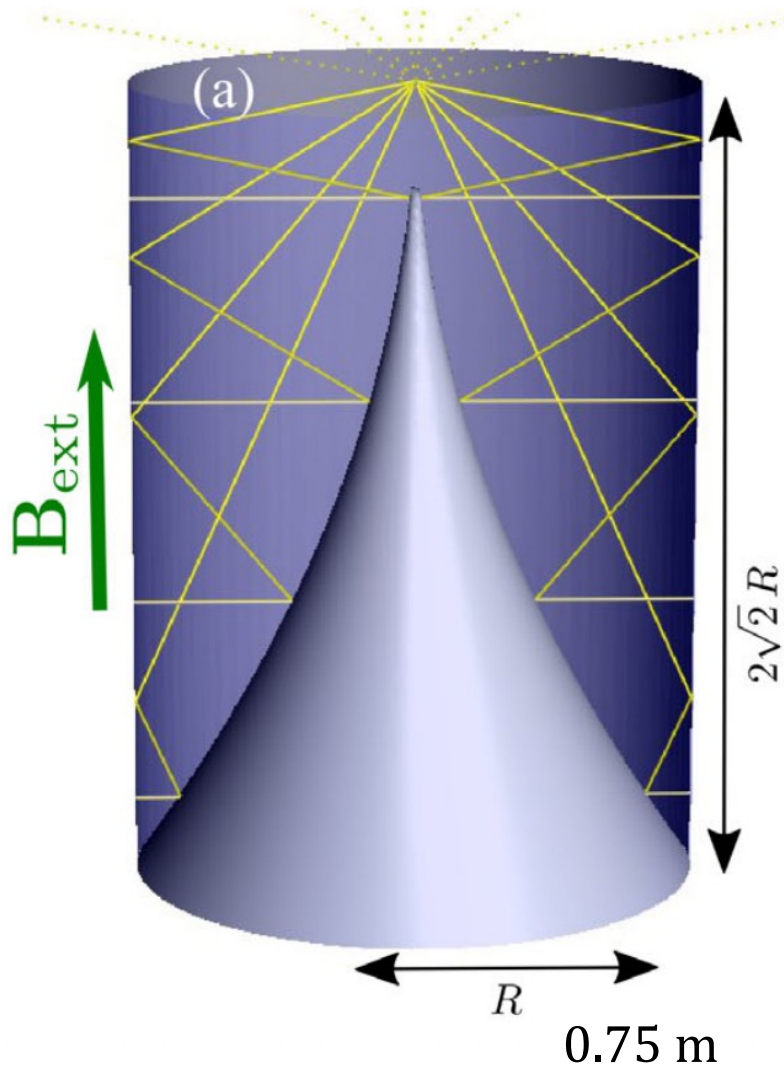
Photo-detector

$$\text{NEP} \approx 2 \times 10^{-19} \text{ W}/\sqrt{\text{Hz}}$$

$$\frac{P_{a\gamma\gamma}}{A} \approx \left[ \frac{g_{a\gamma\gamma}^2 \rho_a \hbar^3}{m_a^2} \right] \frac{1}{\mu} B_0^2 \approx 1.3 \times 10^{-26} \text{ W}/\text{m}^2 \left( \frac{B_0}{10 \text{ T}} \right)^2 \left( \frac{g_\gamma}{0.97} \right)^2$$

# BREAD

[Phys. Rev. Lett. 128, 131801 (2022)]



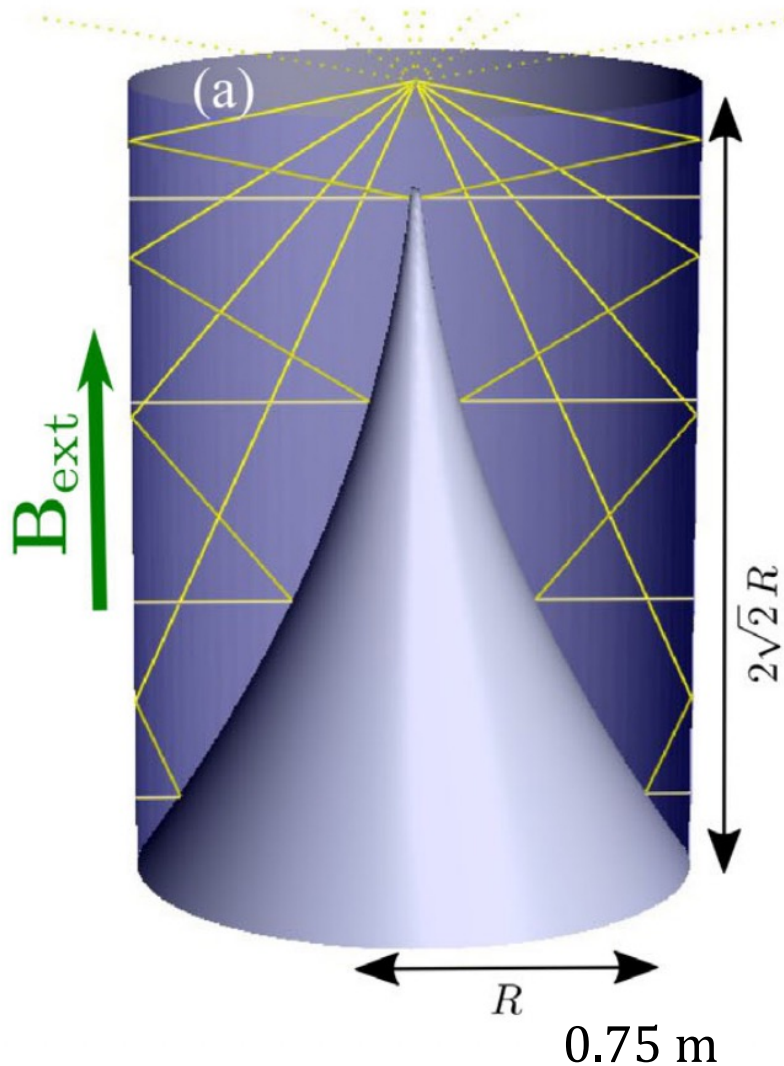
Effectively focus DAH photons on the lateral surface by an innovative parabolic mirror in solenoid geometry

$$P_{\text{BREAD}} \approx 1.3 \times 10^{-25} W \left( \frac{B_0}{10 \text{ T}} \right)^2 \left( \frac{g_\gamma}{0.97} \right)^2 \left( \frac{A}{10 \text{ m}^2} \right)$$

$$\Delta t \approx 2 \text{ years} \left( \frac{\text{SNR}}{5} \right)^2 \left( \frac{10 \text{ T}}{B_0} \right)^4 \left( \frac{30}{g_\gamma} \right)^4 \left( \frac{10 \text{ m}^2}{A} \right)^2$$

# BREAD

[Phys. Rev. Lett. 128, 131801 (2022)]



Effectively focus DAH photons on the lateral surface by an innovative parabolic mirror in solenoid geometry

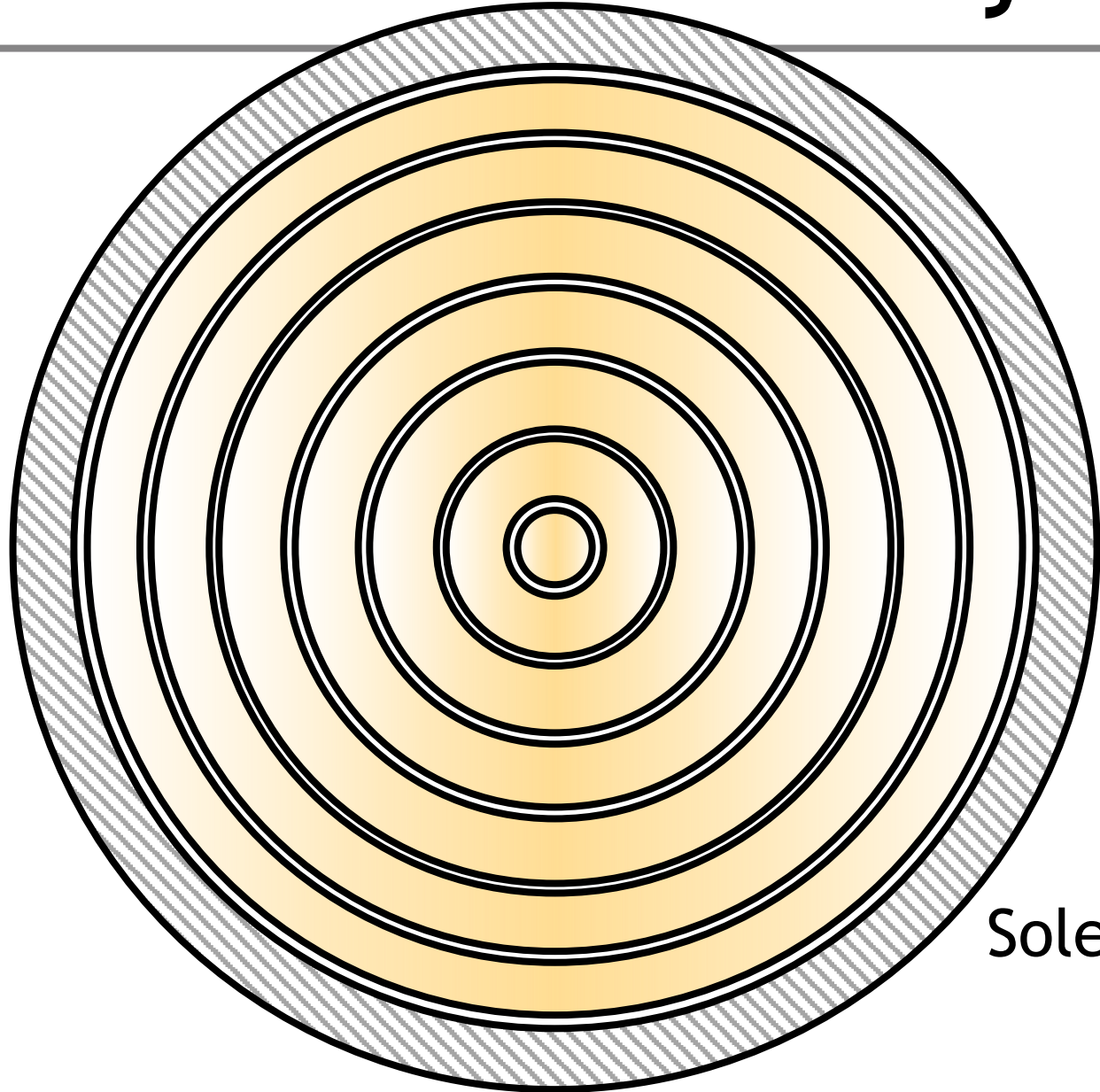
$$P_{\text{BREAD}} \approx 1.3 \times 10^{-25} \text{ W} \left( \frac{B_0}{10 \text{ T}} \right)^2 \left( \frac{g_\gamma}{0.97} \right)^2 \left( \frac{A}{10 \text{ m}^2} \right)$$

$$\Delta t \approx 2 \text{ years} \left( \frac{\text{SNR}}{5} \right)^2 \left( \frac{10 \text{ T}}{B_0} \right)^4 \left( \frac{30}{g_\gamma} \right)^4 \left( \frac{10 \text{ m}^2}{A} \right)^2$$

Still some available space inside the bore where the magnetic field is applied

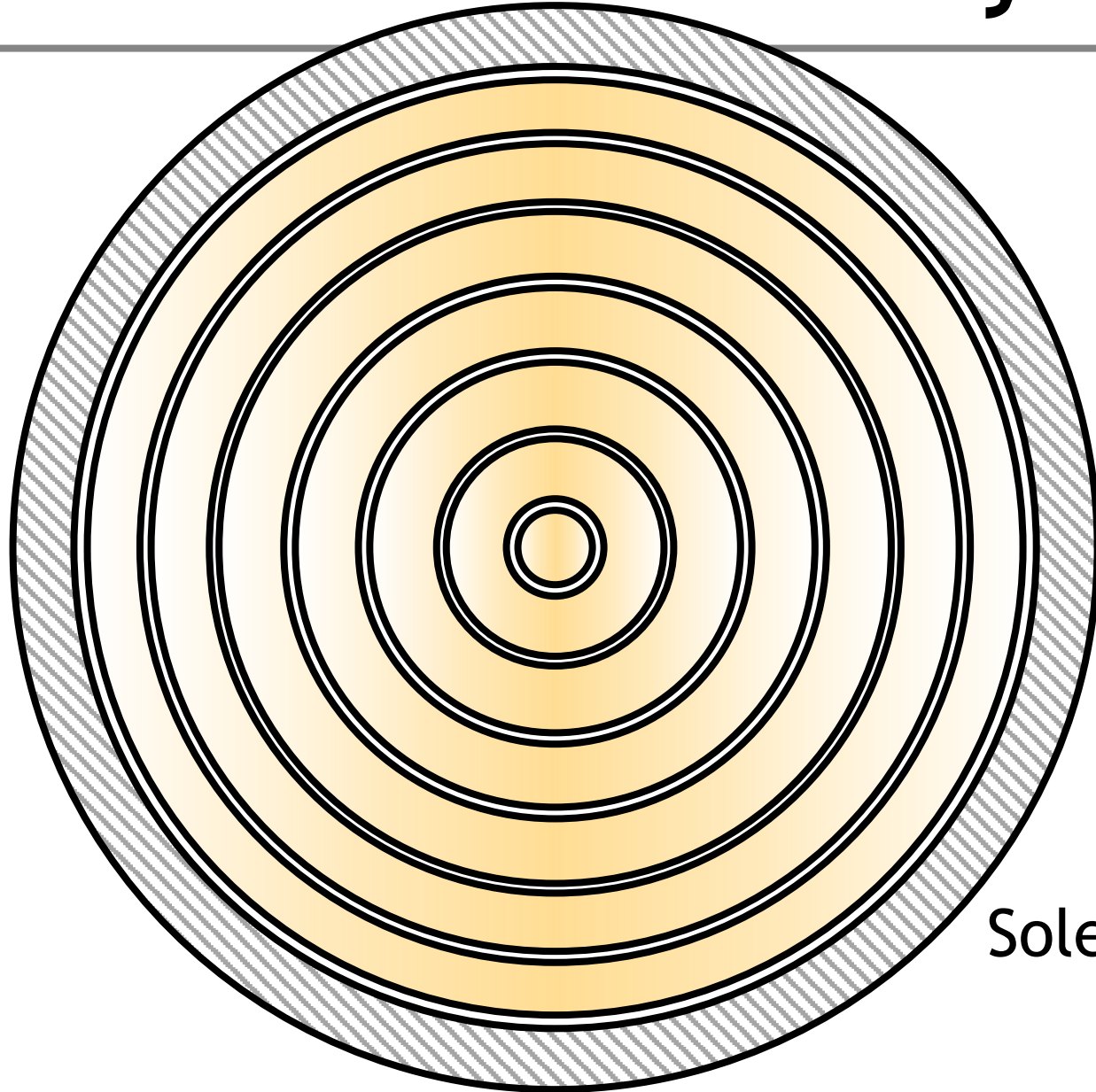
# Volume-Efficient Way?

---

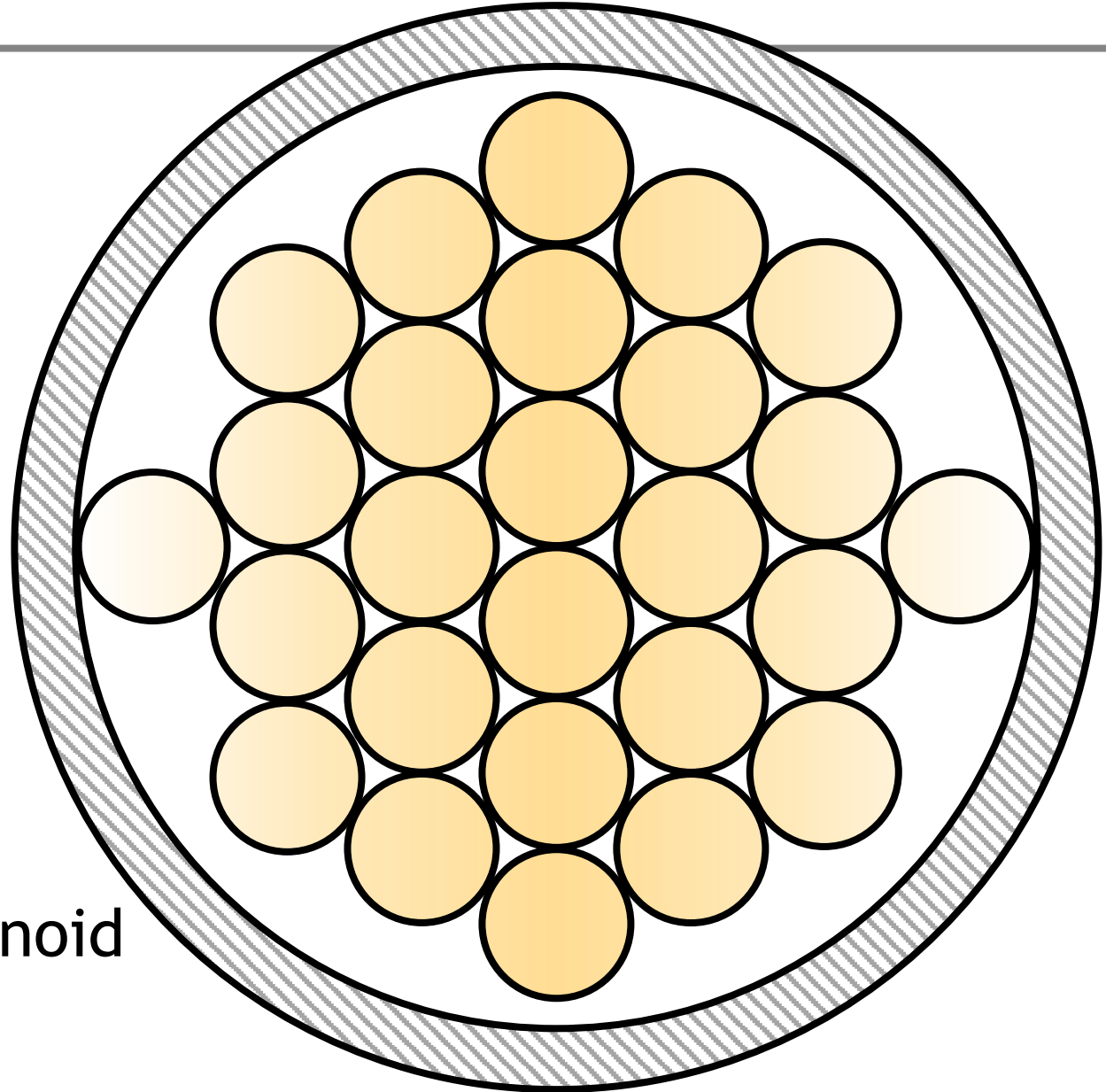


Solenoid

# Volume-Efficient Way?



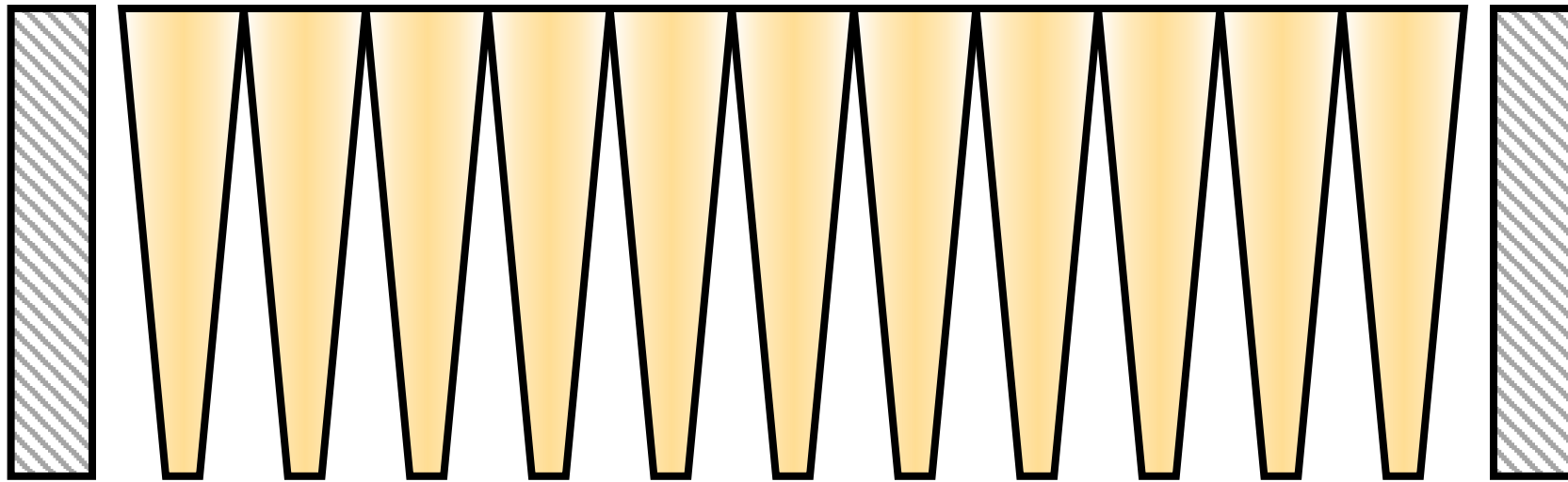
Solenoid





# Horn Array Haloscope

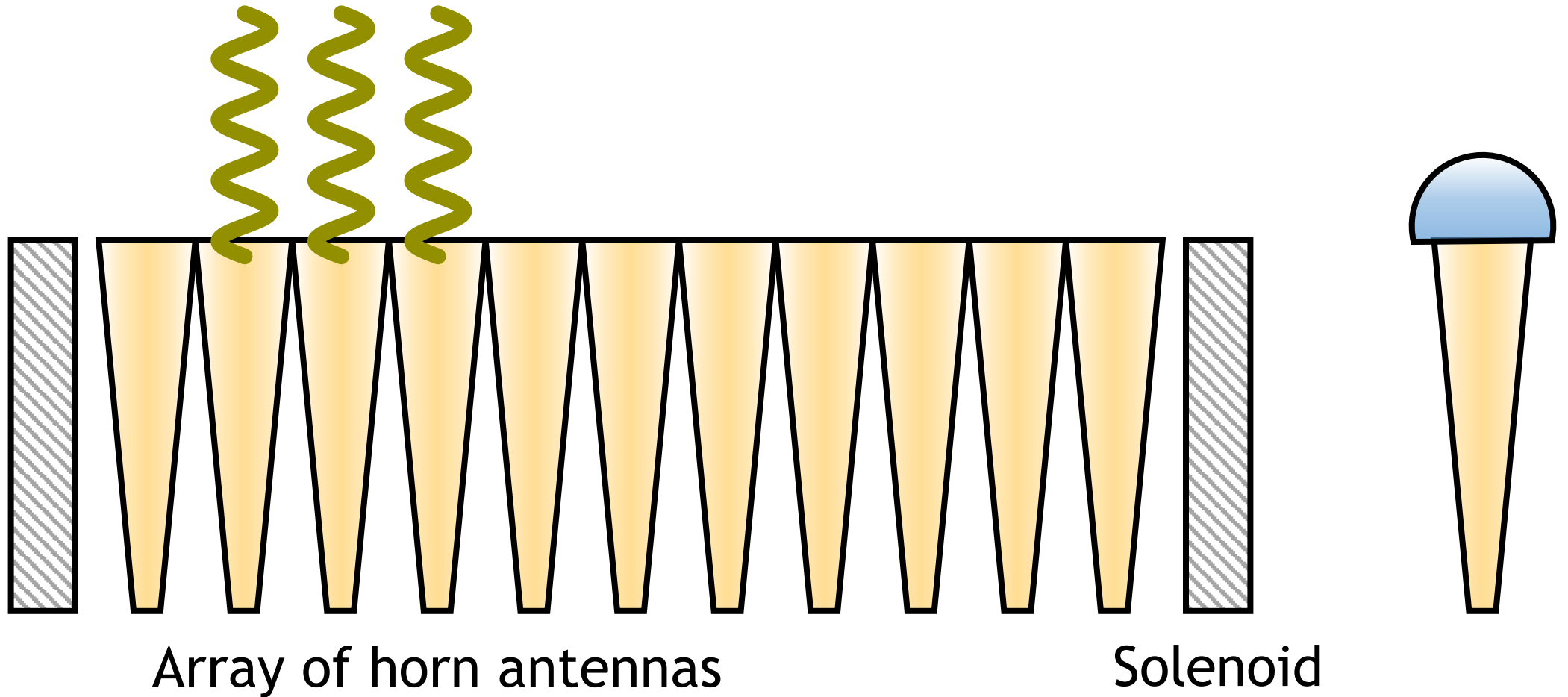
---



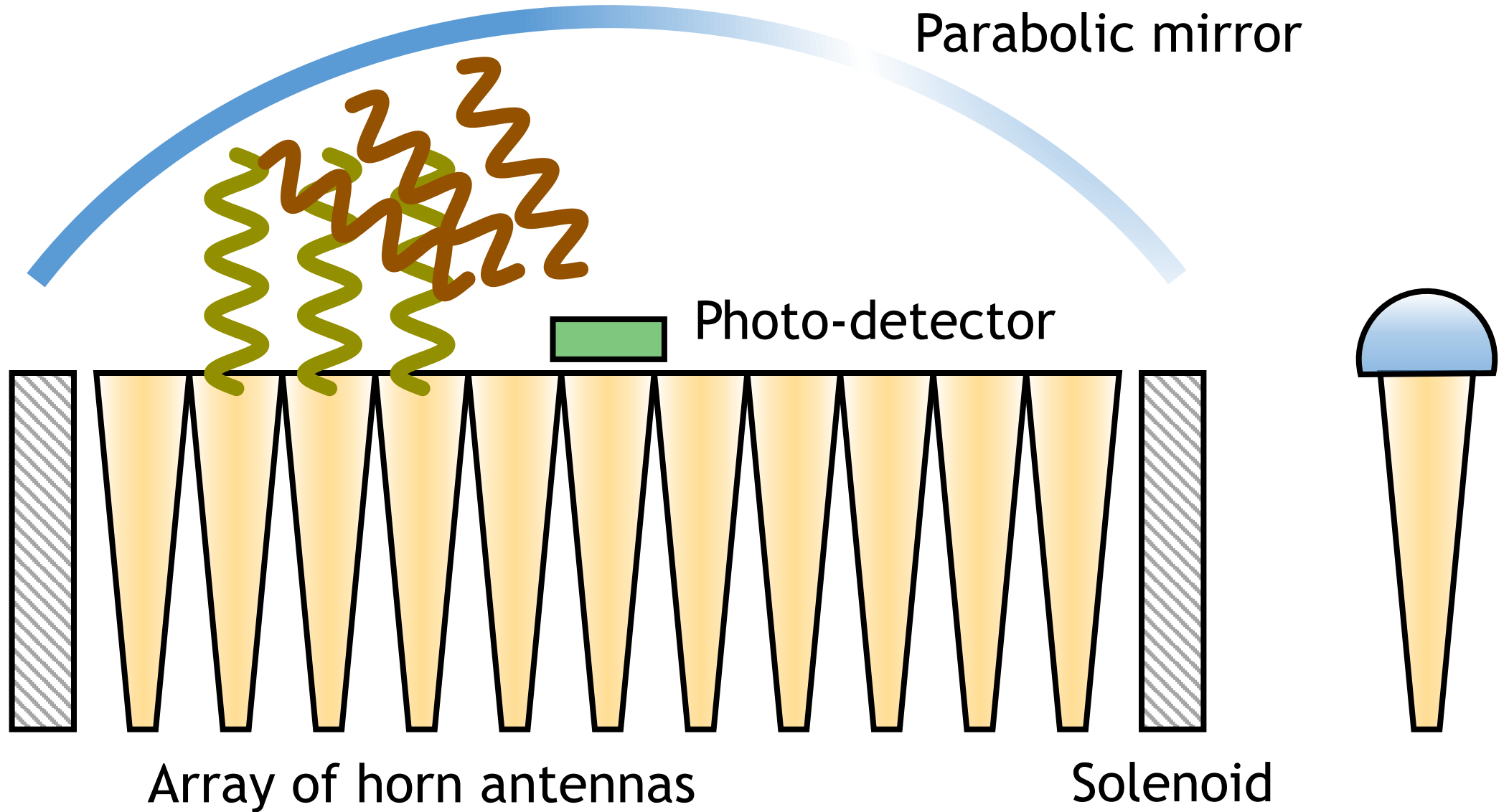
Array of horn antennas

Solenoid

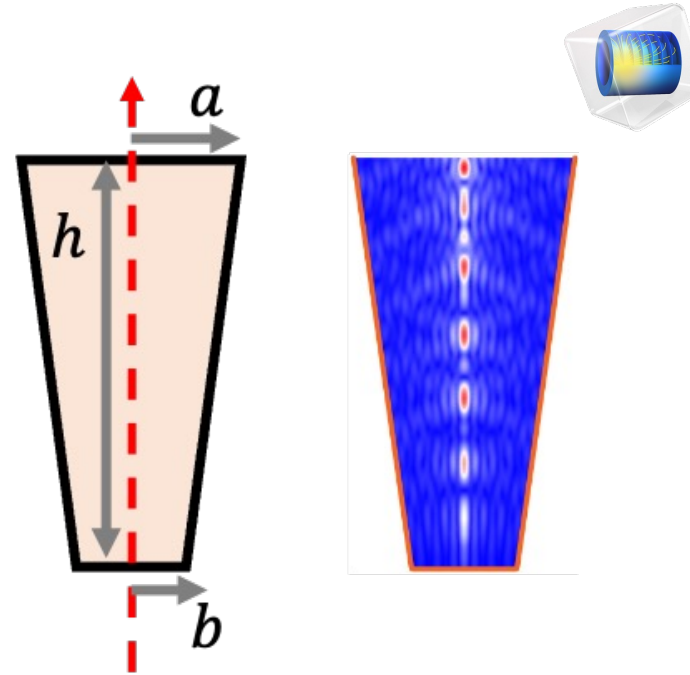
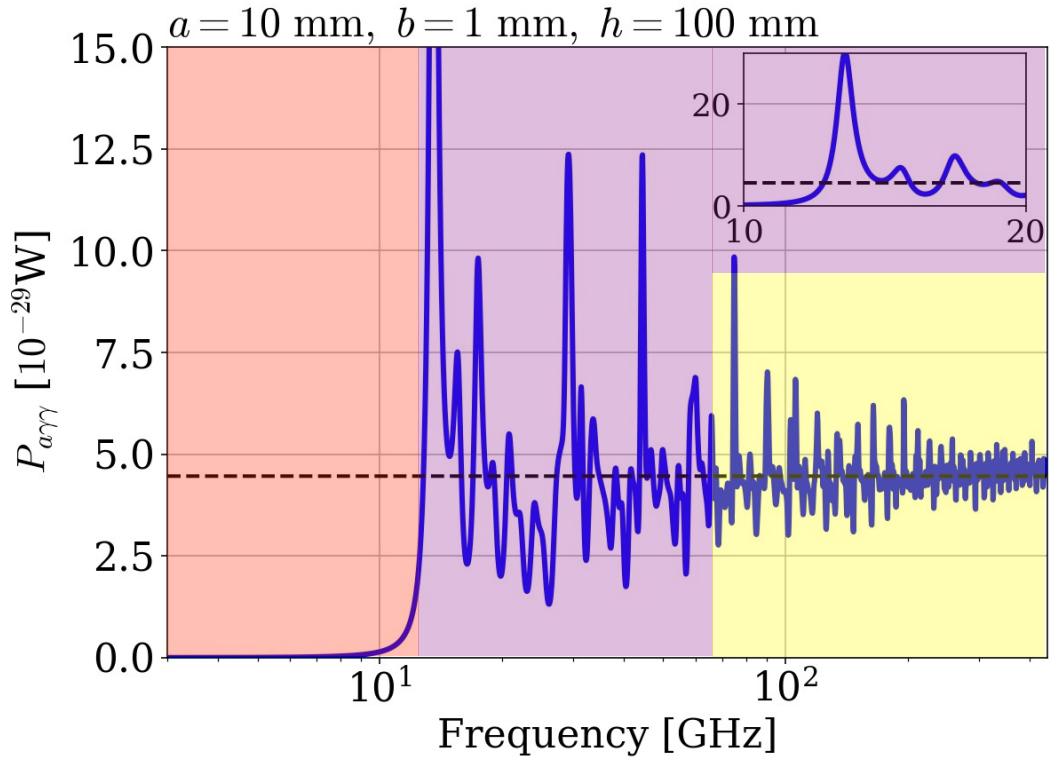
# Horn Array Haloscope



# Horn Array Haloscope



# Single Horn Antenna

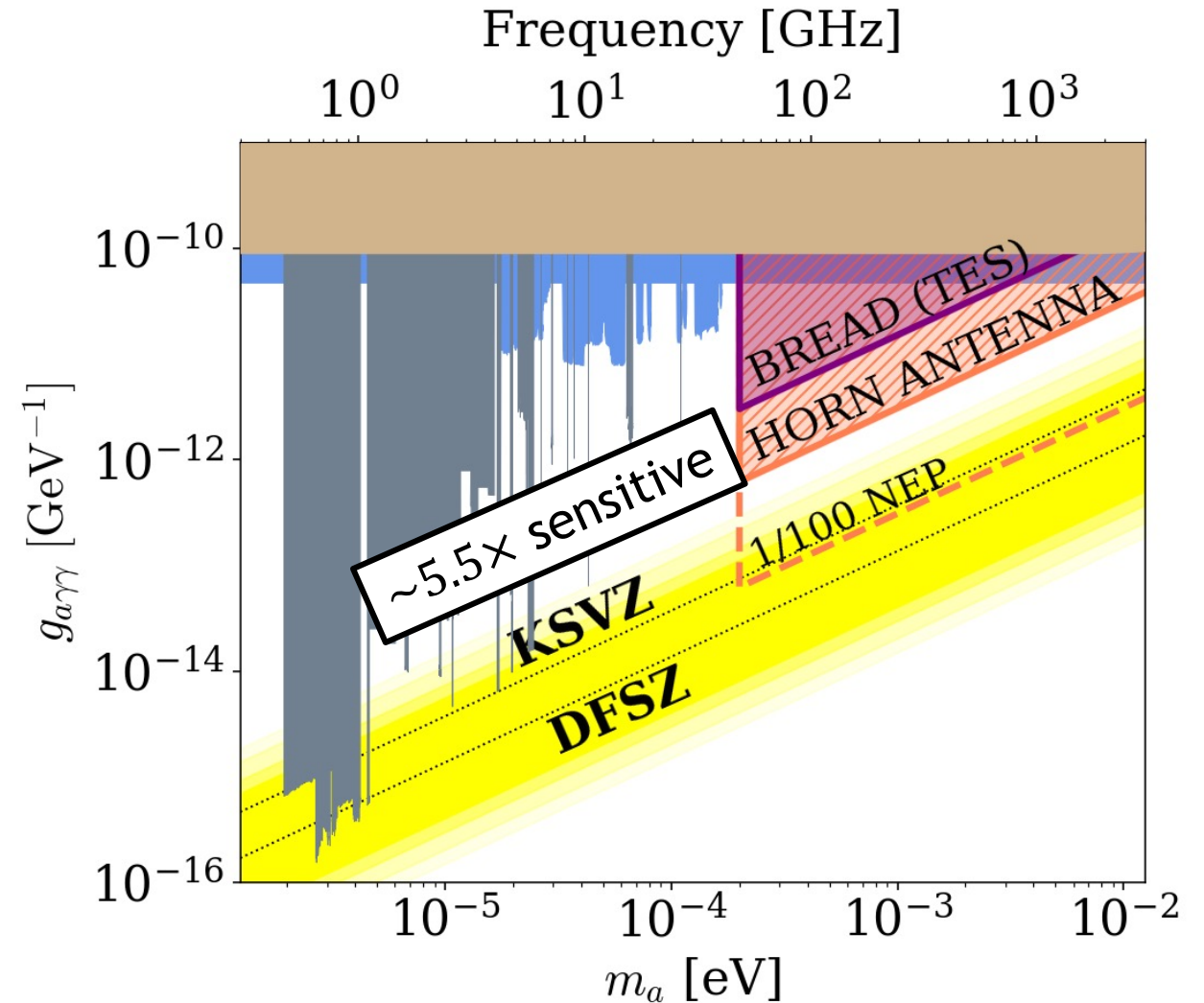
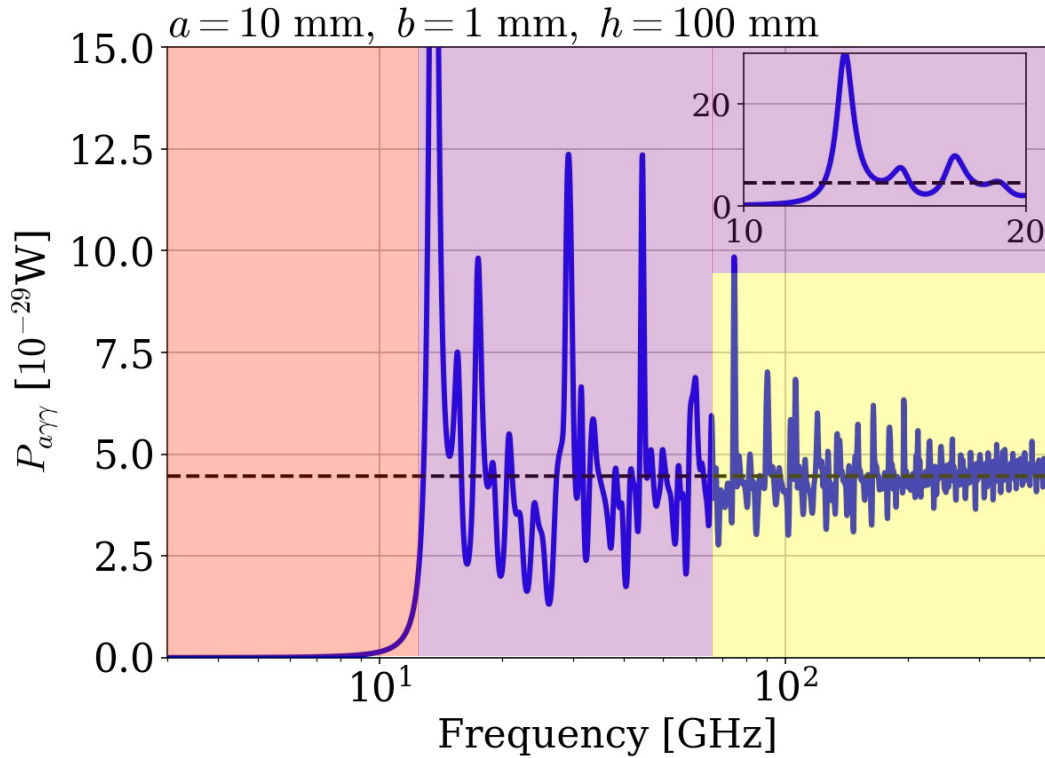


$a = 10 \text{ mm}, b = 1 \text{ mm}, h = 100 \text{ mm}$

$$P_{\text{horn}} \approx 4.5 \times 10^{-29} \text{ W} \left( \frac{B_0}{10 \text{ T}} \right)^2 \left( \frac{g_\gamma}{0.97} \right)^2 \left( \frac{A}{3440 \text{ mm}^2} \right)$$

- Low frequency: no conversion
- Intermediate frequency: resonance peaks
- High frequency: dish antenna haloscope

# Projected Sensitivity



\* NEP  $\approx 2 \times 10^{-19} \text{ W}/\sqrt{\text{Hz}}$ ,  $R = 0.75 \text{ m}, h = 2.1 \text{ m}, 3 \text{ years of DAQ}$

# Power Detection

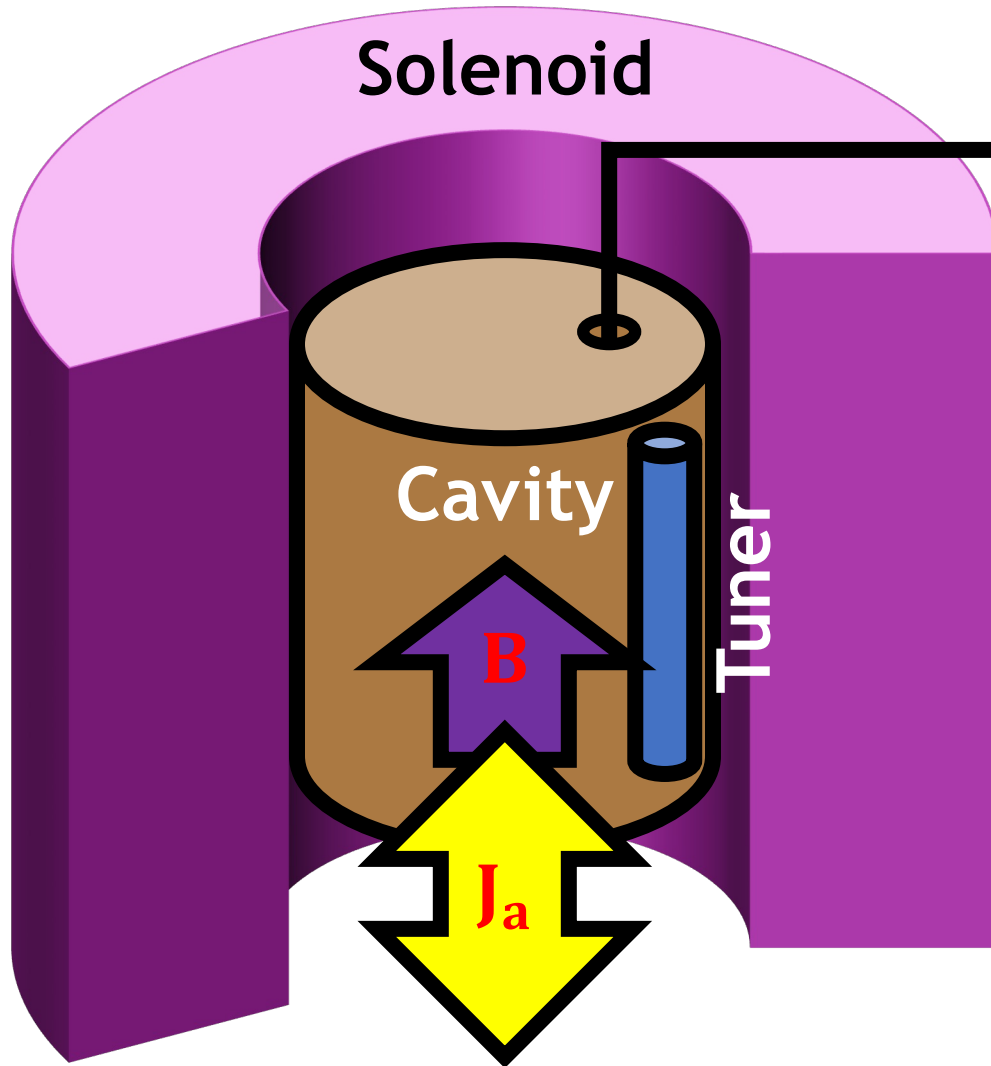
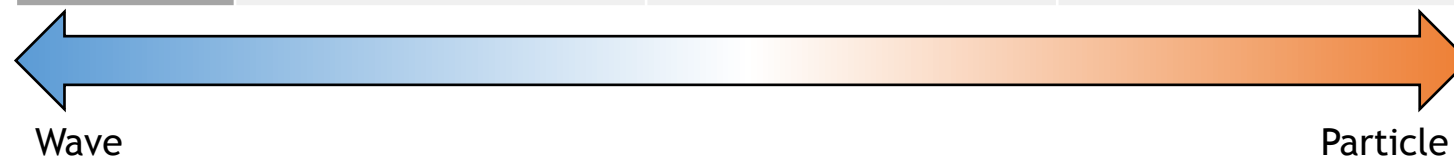


Photo-detector  
(bolometer)

Dark count rate  $\gg$  Noise of amplifier

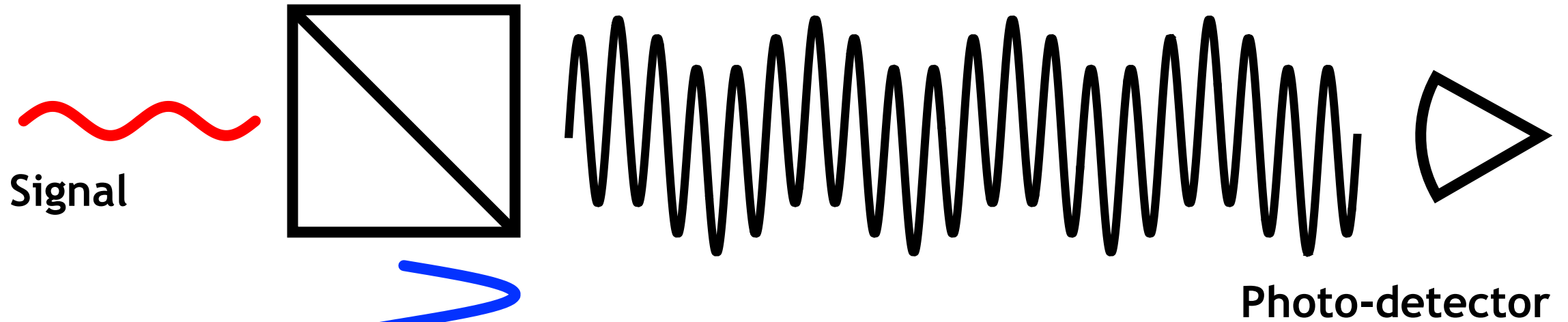
	Noise temperature	Noise equivalent power	Dark count rate
Definition	Equivalent temperature for the noise baseline	Minimum detectable power with 1 sec acq.	False positive count rate
For a given bandwidth,	$NEP = k_B T_n \sqrt{\Delta f}$ $n_\chi = k_B T_n \Delta f / (hf)$	$T_n = NEP / (k_B \sqrt{\Delta f})$ $n_\chi = NEP \sqrt{\Delta f} / (hf)$	$T_n = hf n_\chi / (k_B \Delta f)$ $NEP = hf n_\chi / \sqrt{\Delta f}$



$$NEP \approx 2 \times 10^{-19} \text{ W}/\sqrt{\text{Hz}}, \Delta f = 10 \text{ kHz}$$

$$\Rightarrow T_n \approx 150 \text{ K}$$

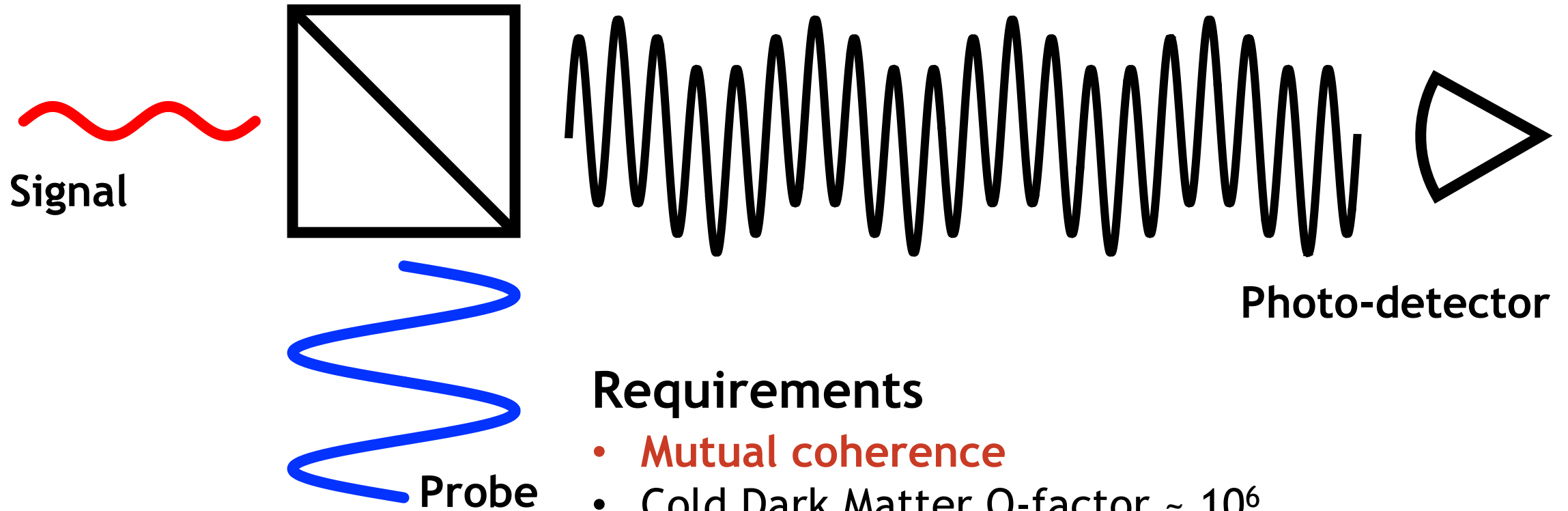
# Optical Heterodyne Interferrometer



## Benefits

- **Amplifying weak signal**
- **Reducing noise contribution of a photo-detector**
- Detecting a phase change in a signal
- Shifting the frequency (up/down conversion)

# Optical Heterodyne Interferometer

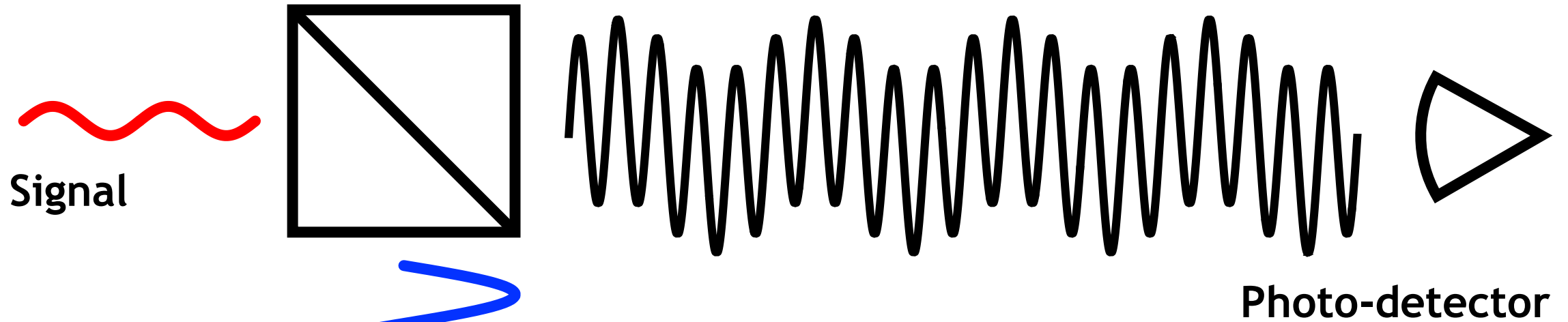


## Requirements

- **Mutual coherence**
- Cold Dark Matter Q-factor  $\sim 10^6$
- **Average for long time  $\Rightarrow$  No interference effect**



# Optical Heterodyne Interferometer

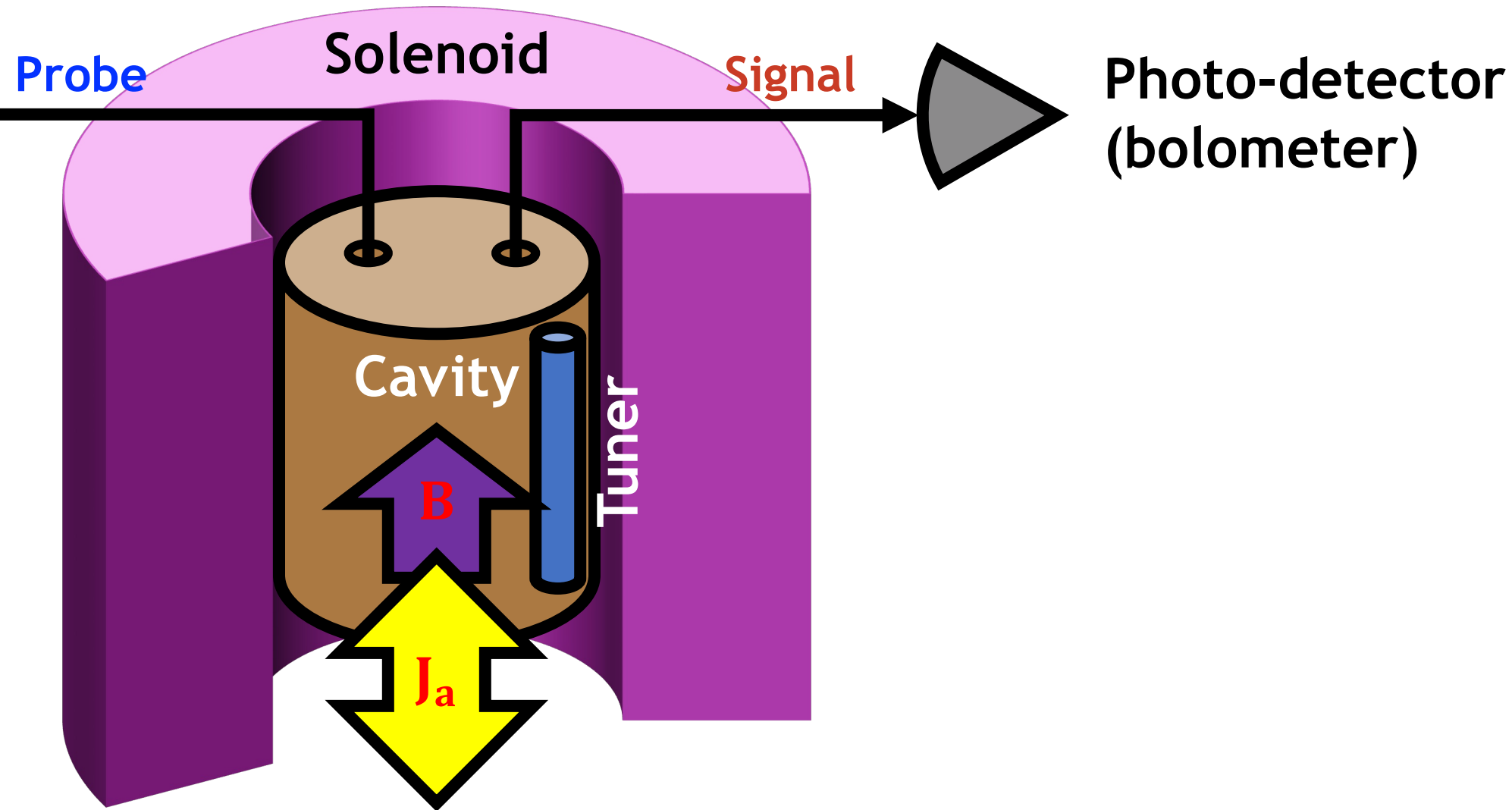


## Requirements

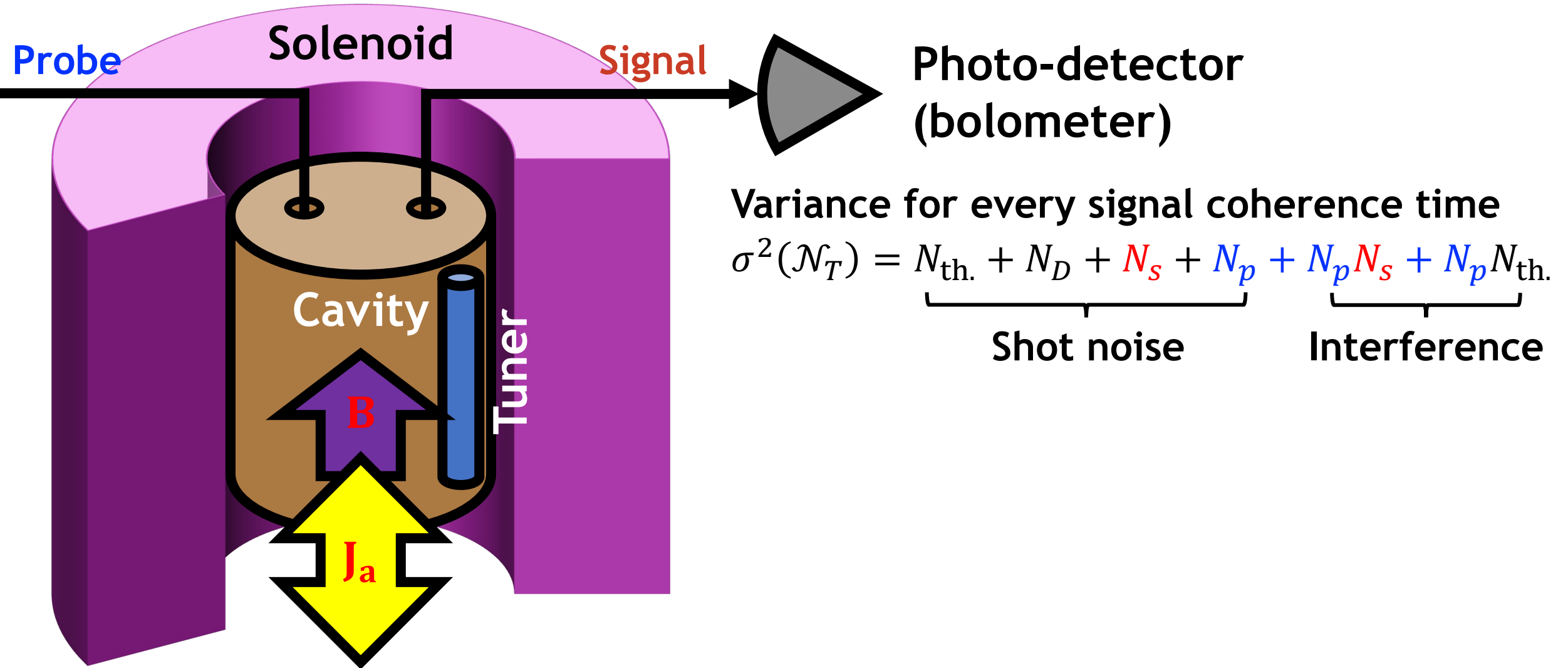
- **Mutual coherence**
- Cold Dark Matter Q-factor  $\sim 10^6$
- **Average for long time  $\Rightarrow$  No interference effect**

Variance?

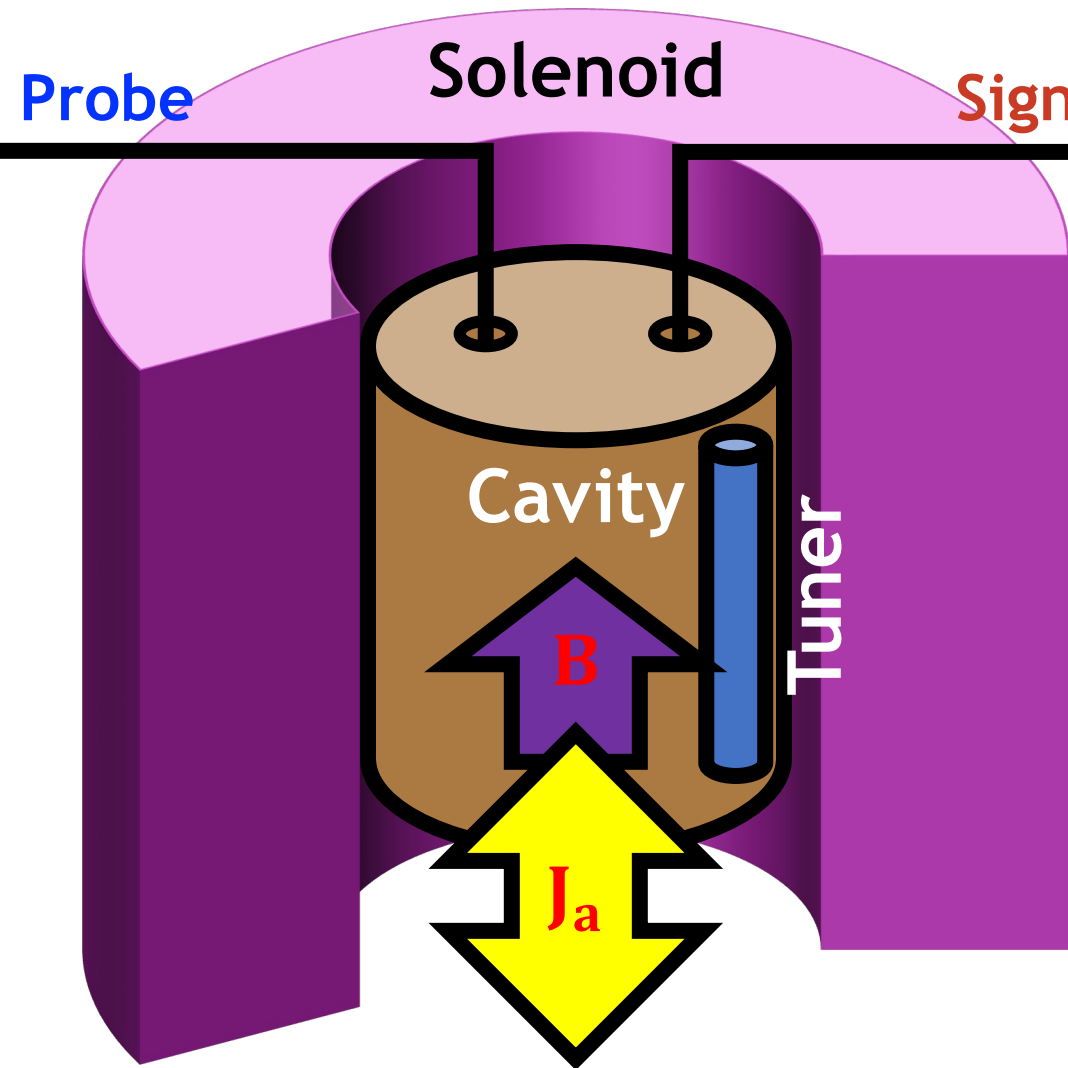
# Heterodyne-Based Variance Method



# Heterodyne-Based Variance Method



# Heterodyne-Based Variance Method



Signal

Photo-detector  
(bolometer)

Variance for every signal coherence time

$$\sigma^2(\mathcal{N}_T) = \underbrace{N_{\text{th.}} + N_D + N_s + N_p}_{\text{Shot noise}} + \underbrace{N_p N_s + N_p N_{\text{th.}}}_{\text{Interference}}$$

SNR for the  $\sigma^2$  estimator ( $N_{\text{th.}} \ll 1$ )

$$\text{SNR}_{\sigma^2} \approx \frac{(N_s + N_s N_p)}{(N_D + N_p) \sqrt{2 + 1/(N_D + N_p)}} \sqrt{n}$$

# Signal-to-Noise Ratio

---

In terms of photon rate,

Photon rate ( $\dot{N}$ ) = Number of Photon ( $N$ )  $\times$  Sampling rate ( $f_s$ )

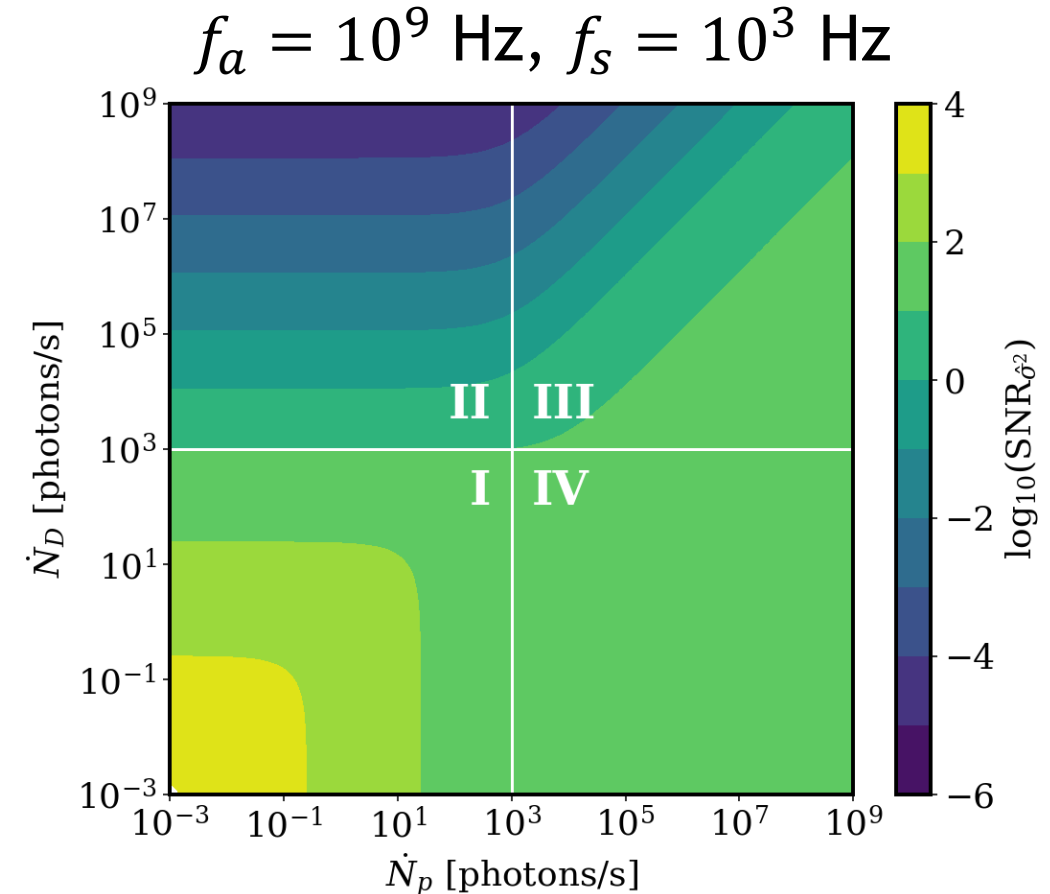
$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s (1 + \dot{N}_p / f_s) \sqrt{f_s \Delta t}}{(\dot{N}_D + \dot{N}_p) \sqrt{2 + f_s / (\dot{N}_D + \dot{N}_p)}}$$

# Signal-to-Noise Ratio

In terms of photon rate,

Photon rate ( $\dot{N}$ ) = Number of Photon ( $N$ )  $\times$  Sampling rate ( $f_s$ )

$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s (1 + \dot{N}_p / f_s) \sqrt{f_s \Delta t}}{(\dot{N}_D + \dot{N}_p) \sqrt{2 + f_s / (\dot{N}_D + \dot{N}_p)}}$$



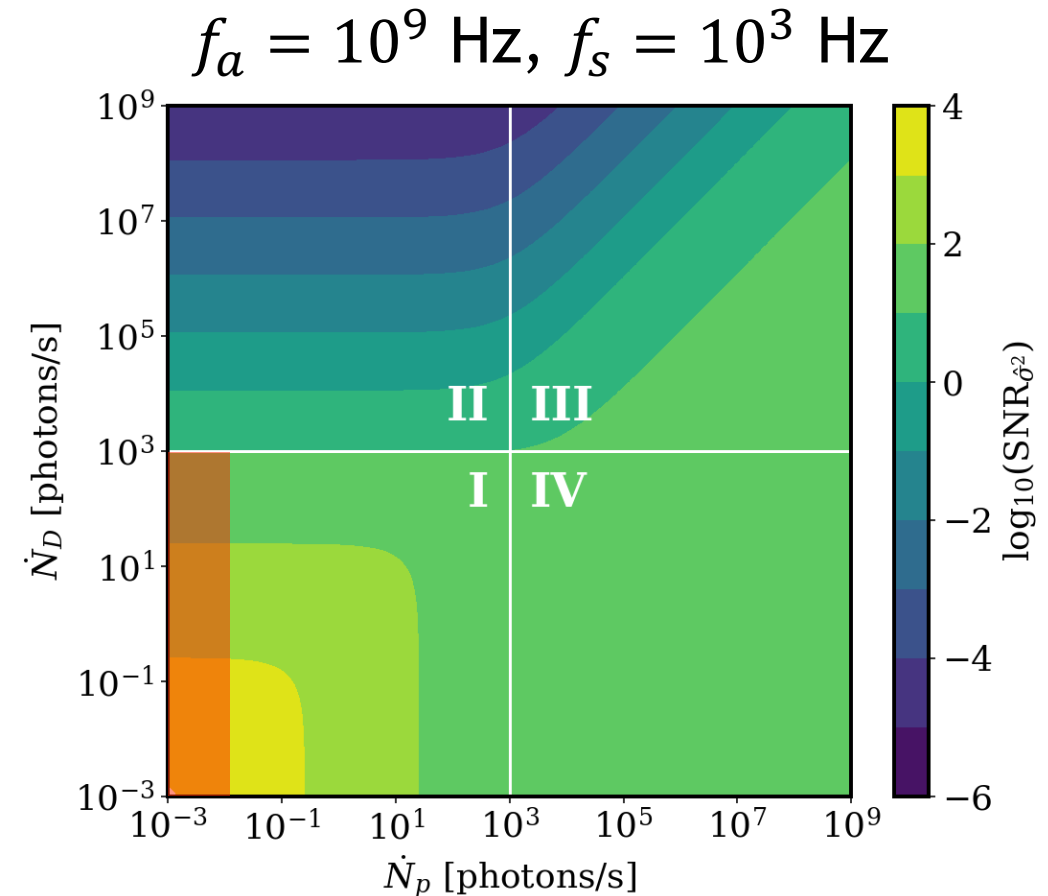
# Signal-to-Noise Ratio

In terms of photon rate,

Photon rate ( $\dot{N}$ ) = Number of Photon ( $N$ )  $\times$  Sampling rate ( $f_s$ )

$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s (1 + \dot{N}_p / f_s) \sqrt{f_s \Delta t}}{(\dot{N}_D + \dot{N}_p) \sqrt{2 + f_s / (\dot{N}_D + \dot{N}_p)}}$$

- **Region I** ( $\dot{N}_D < f_s$ ,  $\dot{N}_p < f_s$ )  
*Single photon detection (best SNR)*



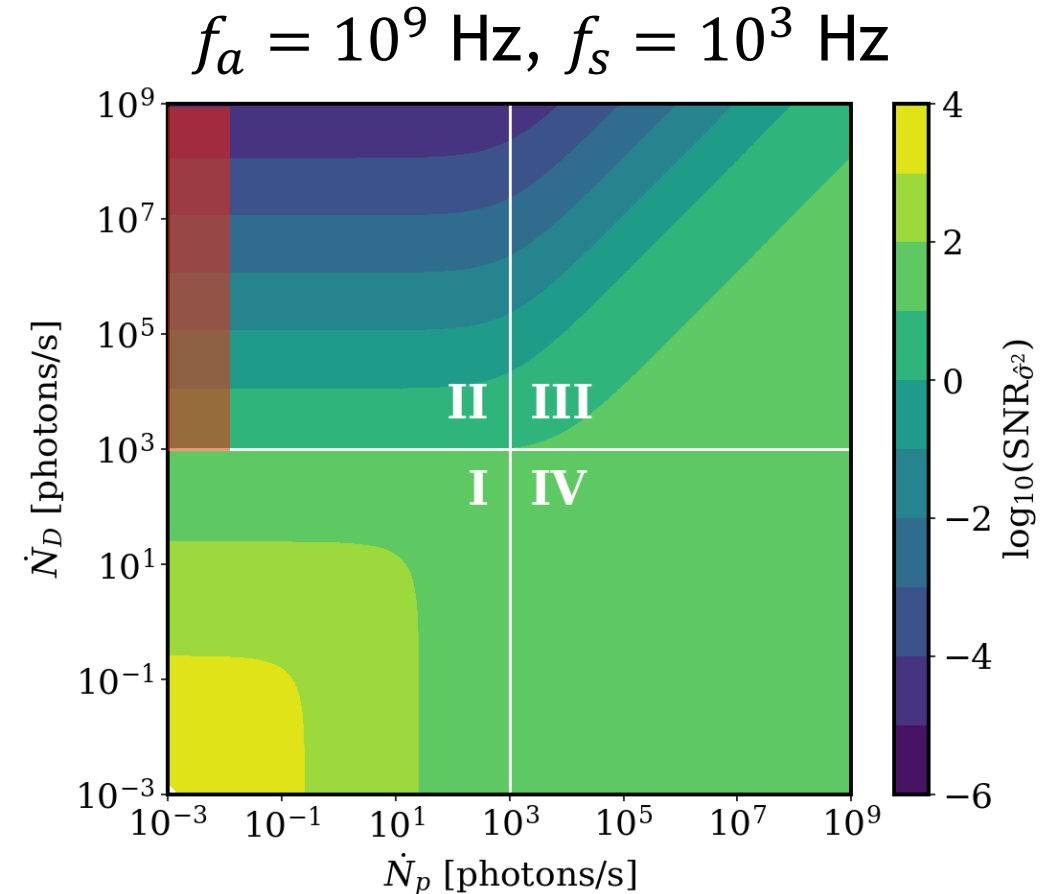
# Signal-to-Noise Ratio

In terms of photon rate,

Photon rate ( $\dot{N}$ ) = Number of Photon ( $N$ )  $\times$  Sampling rate ( $f_s$ )

$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s (1 + \dot{N}_p / f_s) \sqrt{f_s \Delta t}}{(\dot{N}_D + \dot{N}_p) \sqrt{2 + f_s / (\dot{N}_D + \dot{N}_p)}}$$

- **Region I** ( $\dot{N}_D < f_s$ ,  $\dot{N}_p < f_s$ )  
*Single photon detection (best SNR)*
- **Region II** ( $\dot{N}_D > f_s$ ,  $\dot{N}_p < f_s$ )  
*Usual bolometer at microwaves*





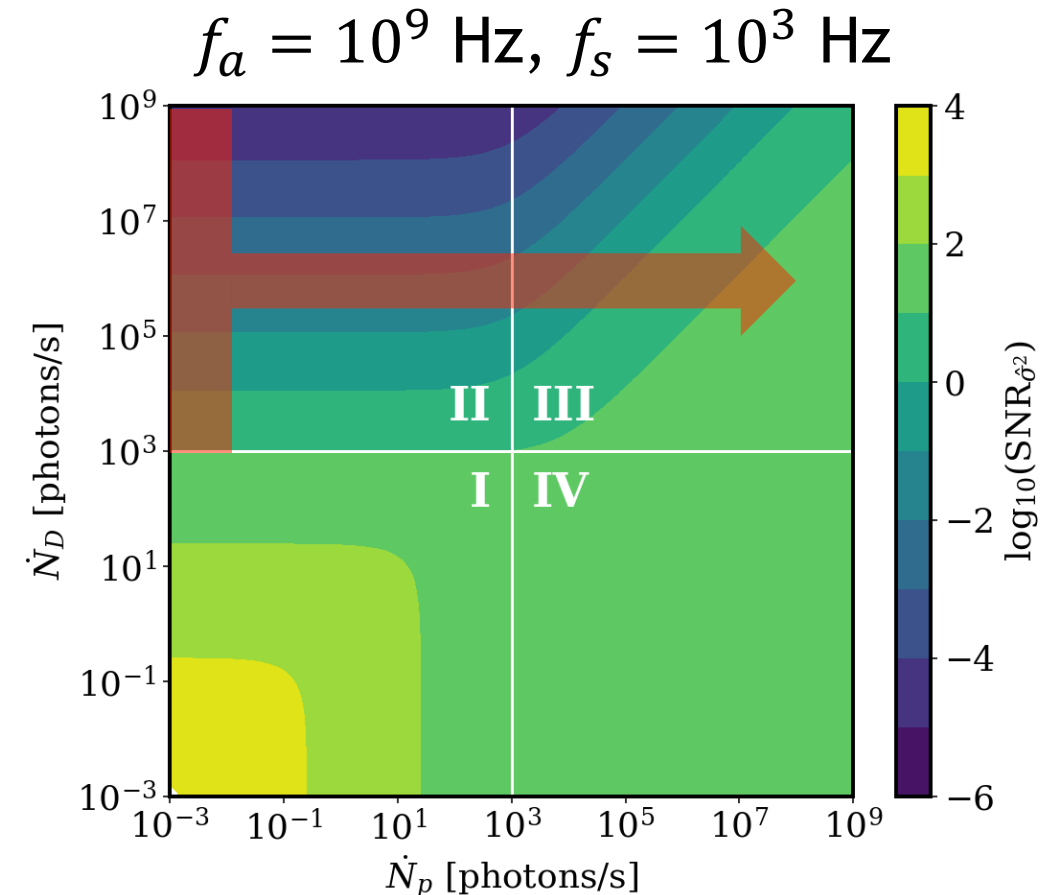
# Signal-to-Noise Ratio

In terms of photon rate,

Photon rate ( $\dot{N}$ ) = Number of Photon ( $N$ )  $\times$  Sampling rate ( $f_s$ )

$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s (1 + \dot{N}_p / f_s) \sqrt{f_s \Delta t}}{(\dot{N}_D + \dot{N}_p) \sqrt{2 + f_s / (\dot{N}_D + \dot{N}_p)}}$$

- **Region I** ( $\dot{N}_D < f_s, \dot{N}_p < f_s$ )  
*Single photon detection (best SNR)*
- **Region II** ( $\dot{N}_D > f_s, \dot{N}_p < f_s$ )  
*Usual bolometer at microwaves*
- **Region III** ( $\dot{N}_D > f_s, \dot{N}_p > f_s$ )  
*Injecting probe enhances the SNR*
- **Region IV** ( $\dot{N}_D < f_s, \dot{N}_p > f_s$ )  
*Injecting probe reduces the SNR*



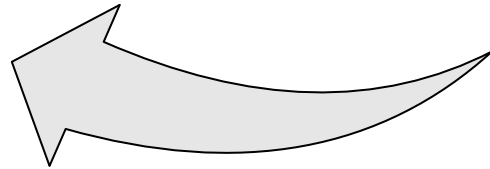
# SNR Comparison

Variance method

$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s}{\sqrt{2f_s}} \sqrt{\Delta t}$$

Single photon detector (Average)

$$\text{SNR}_{\mu} \approx \frac{\dot{N}_s}{\sqrt{\dot{N}_D}} \sqrt{\Delta t}$$



- The denominator changes from  $\sqrt{\dot{N}_D}$  to  $\sqrt{2f_s}$
- If  $\dot{N}_D > 2f_s$ ,  $\text{SNR}_{\sigma^2} > \text{SNR}_{\mu}$  (for known coherence)

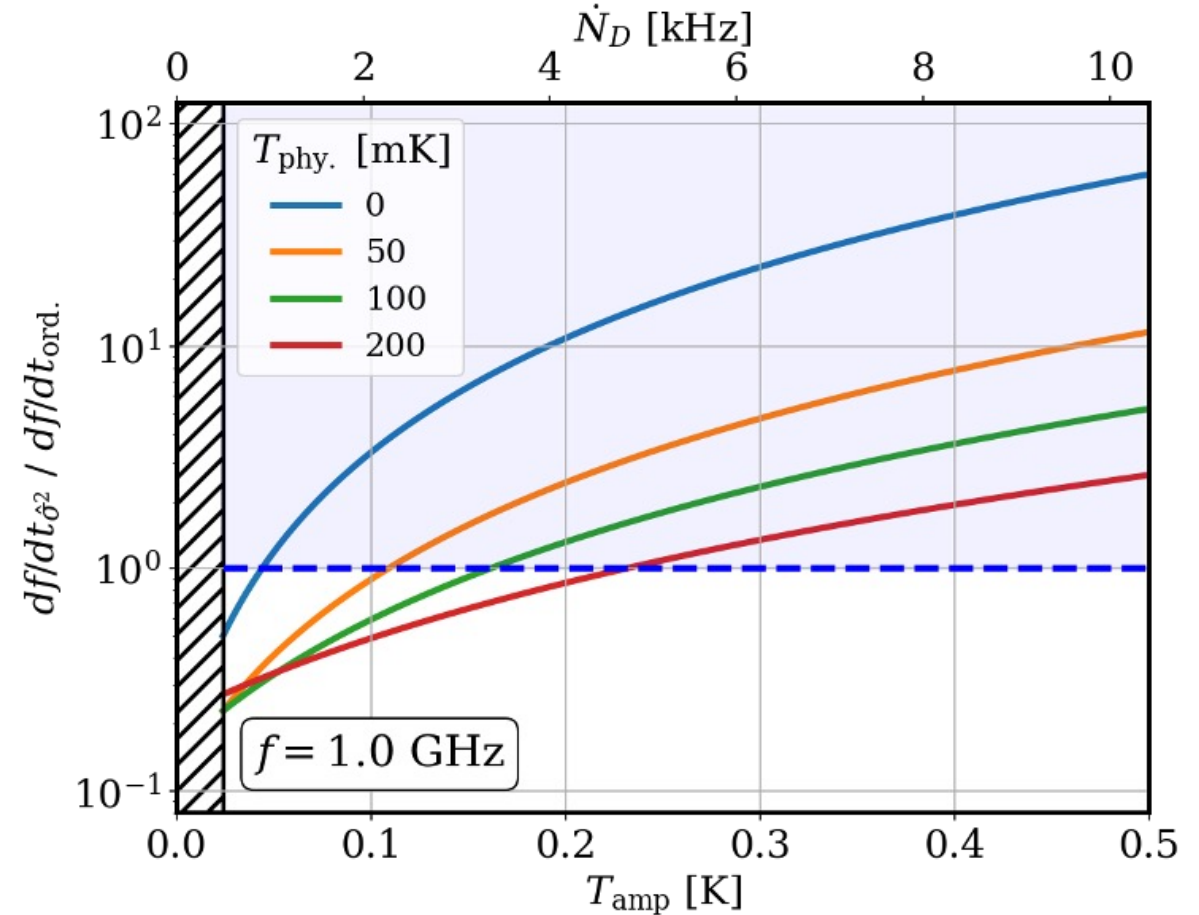
# Scan rate

## Variance method

$$\text{SNR}_{\sigma^2} \approx \frac{\dot{N}_s}{\sqrt{2f_s}} \sqrt{\Delta t}$$

## Linear Amplifier

$$\begin{aligned} \left. \frac{df}{dt} \right|_{\text{lin.}} &\approx \frac{\Delta f_c}{\Delta t} \\ &= \frac{1}{\text{SNR}_\mu} \left( \frac{P_s}{k_B (T_{\text{th.}} + T_{\text{amp}})} \right)^2 \frac{Q_a}{Q_l} \end{aligned}$$



# Summary (1)

---

- CAPP has advanced high-mass axion searches through the development of three innovative cavity designs.
  - **Multiple-cell, Wheel tuning mechanism, Tunable photonic crystal**
- The effectiveness of the multiple-cell design was initially showcased using a 2-cell cavity.
- Further, **a near KSVZ run** was successfully executed **with an 8-cell cavity**.
- **A KSVZ run** was conducted using a **newly designed 3-cell cavity**.
- We introduced a **novel tuning method for photonic crystal cavities**.
- A proof-of-concept experiment is being prepared, employing a tunable 5x5 photonic crystal cavity.
- To extend the search to much higher frequencies, the concept of a **horn array haloscope has been proposed**.

# Summary (2)

- A new detection method, **heterodyne haloscope**, has been presented.
- It utilizes a probe tone to amplify weak signal power, effectively reducing the noise contribution from a power detector.
- The **variance estimator** with a **heterodyne interferometer** for a known coherence signal **provides effective noises near the Standard Quantum Limit**
- This technique is significant as it lays the groundwork for the development of a single photon detector

