

**Axion Domain Walls, Small Instantons
and Non-Invertible Symmetry Breaking**

Sungwoo Hong

KAIST

(Based on 2309.05636 with Clay Cordova, Liantao Wang)

PNU-IBS Workshop on Axion Physics: Search for Axions

Motivation

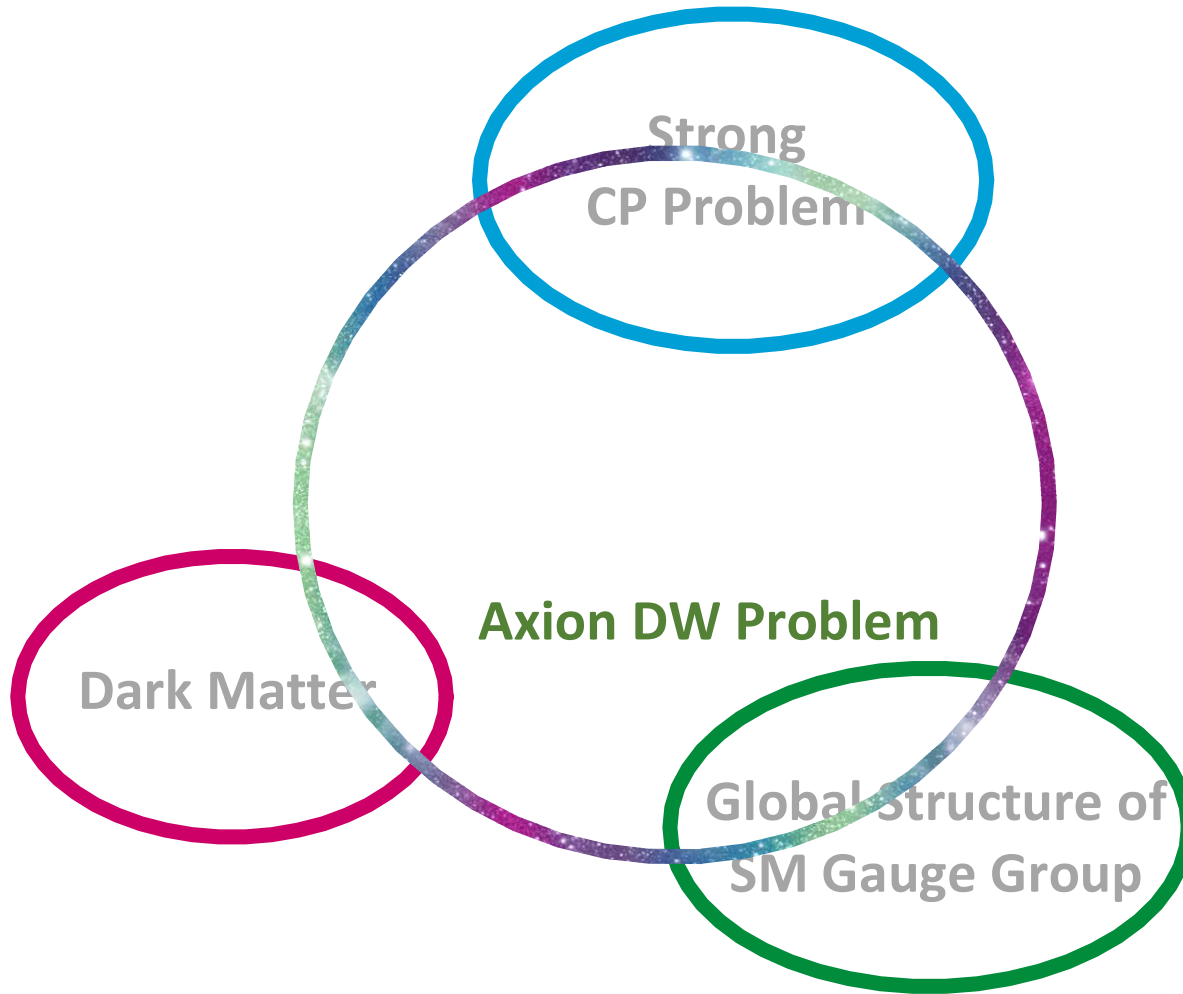


**Strong
CP Problem**

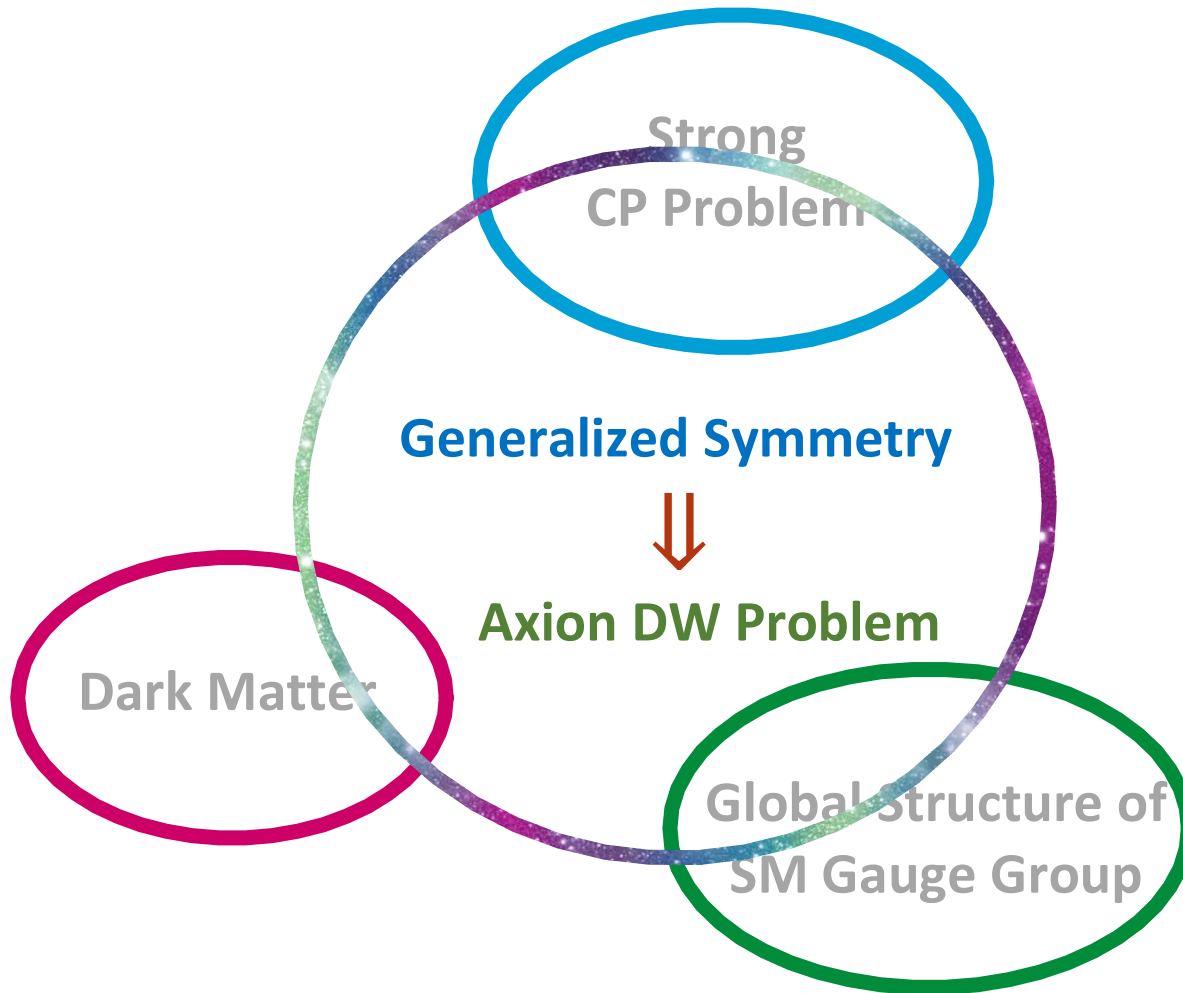
Dark Matter

**Global Structure of
SM Gauge Group**

Motivation



Motivation



Axion Domain Wall Problem

Axion Domain Wall Problem

I. Axion-QCD Theory

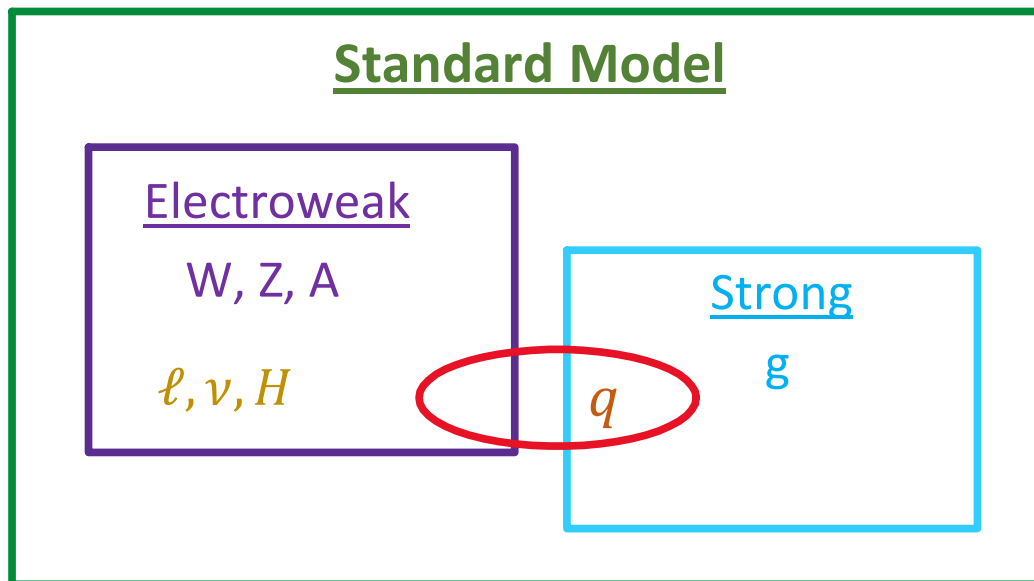
$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

Axion Domain Wall Problem

I. Axion-QCD Theory

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

(i) Leading Theoretical Proposal to Solve [Strong CP Problem](#)

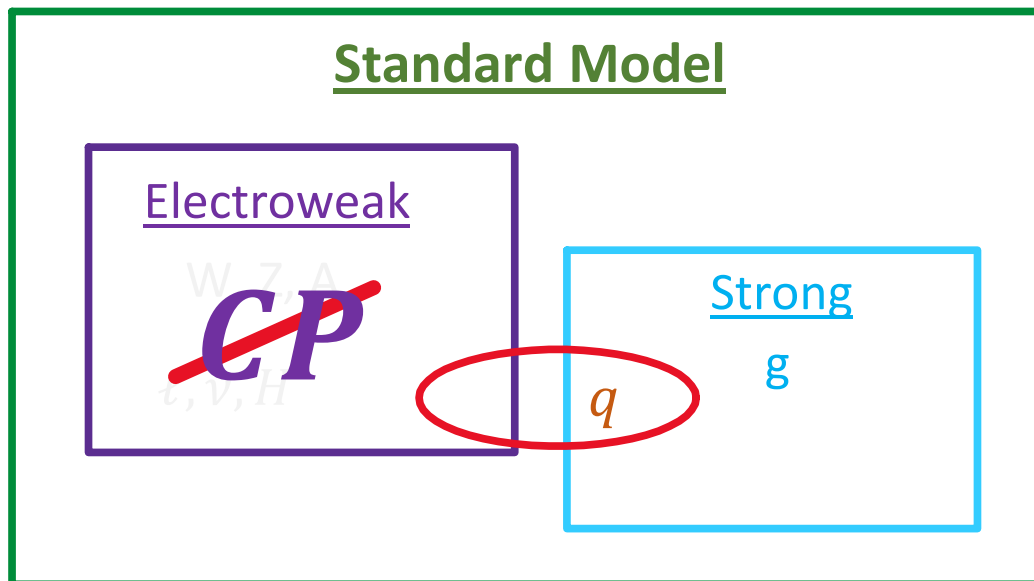


Axion Domain Wall Problem

I. Axion-QCD Theory

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

(i) Leading Theoretical Proposal to Solve [Strong CP Problem](#)

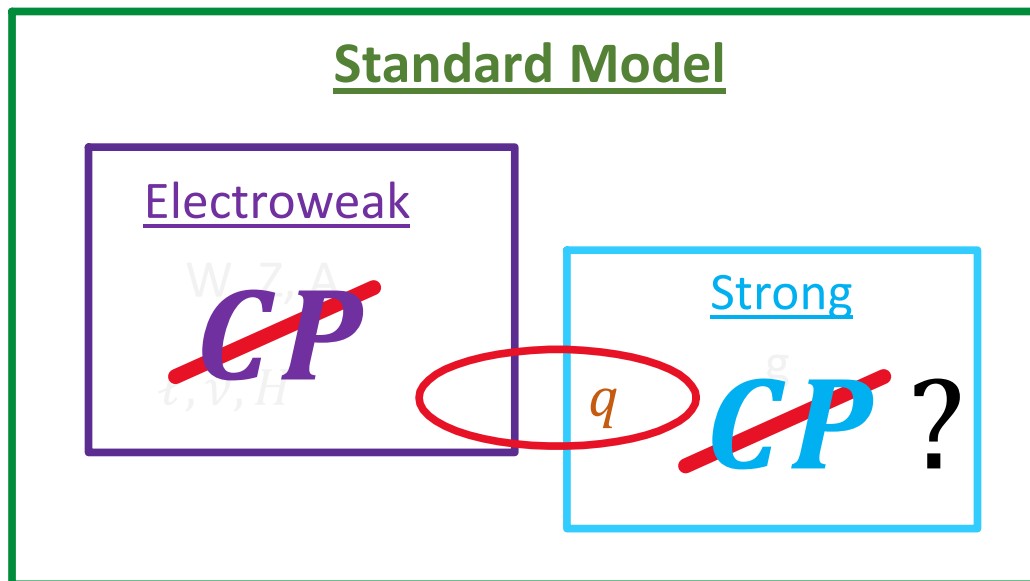


Axion Domain Wall Problem

I. Axion-QCD Theory

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

(i) Leading Theoretical Proposal to Solve **Strong CP Problem**



$$S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

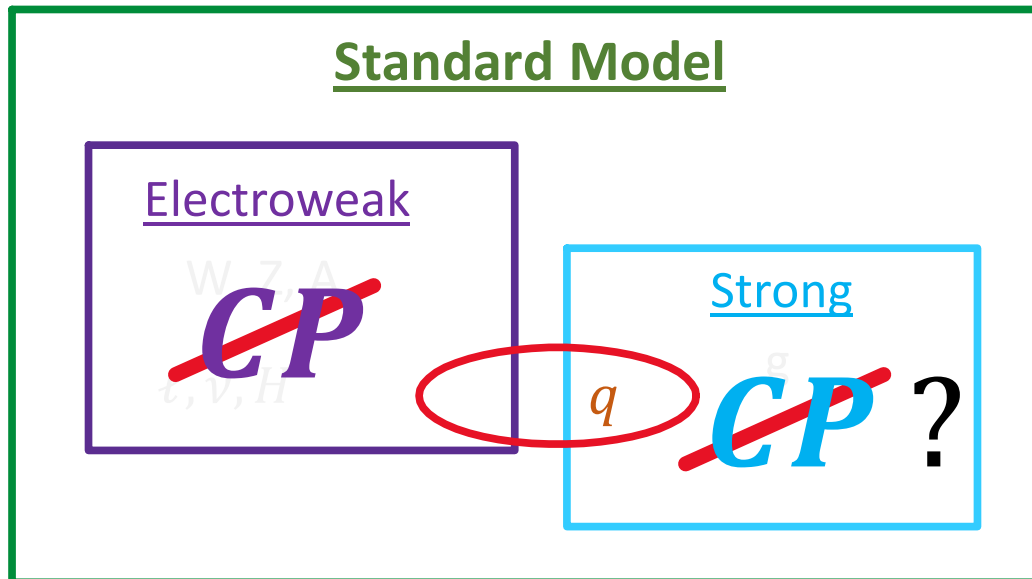
Expectation based on **general rules** of **effective field theory**

Axion Domain Wall Problem

I. Axion-QCD Theory

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

(i) Leading Theoretical Proposal to Solve **Strong CP Problem**



$$S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

Neutron Electric Dipole Moment

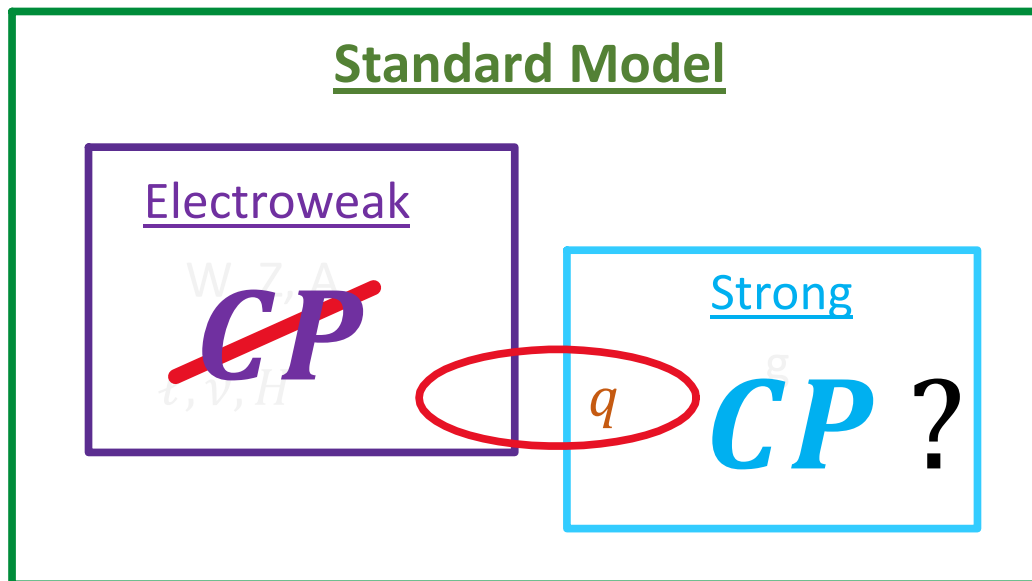
$$d_n \sim 3 \times 10^{16} \bar{\theta} \rightarrow \bar{\theta} < 10^{-10}$$

Axion Domain Wall Problem

I. Axion-QCD Theory

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

(i) Leading Theoretical Proposal to Solve **Strong CP Problem**



$$S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

Neutron Electric Dipole Moment

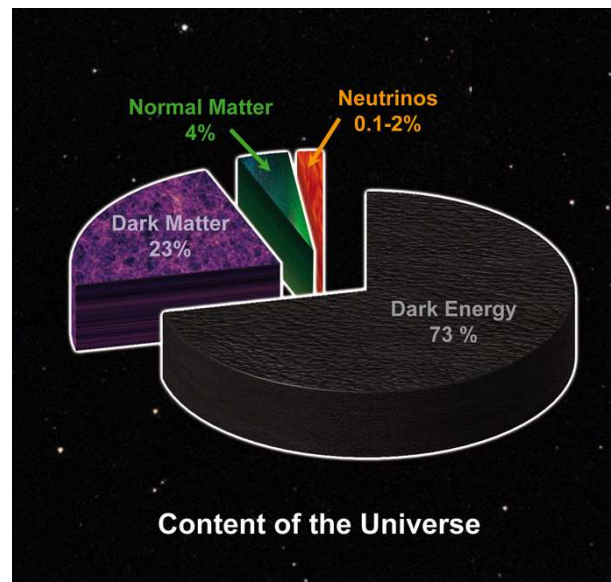
$$d_n \sim 3 \times 10^{16} \bar{\theta} \rightarrow \bar{\theta} < 10^{-10}$$

Axion Domain Wall Problem

I. Axion-QCD Theory

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

- (i) Leading Theoretical Proposal to Solve **Strong CP Problem**
- (ii) Well-motivated candidate for **Dark Matter**



Credit: HAP / A. Chantelauze

Axion Domain Wall Problem

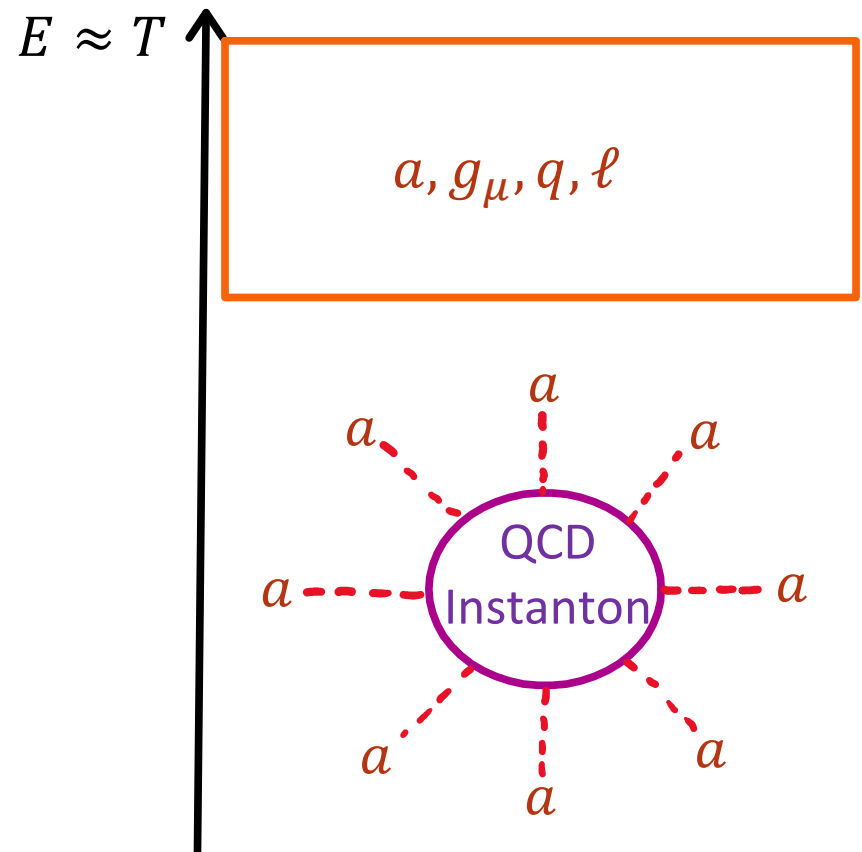
II. Axion Domain Wall Problem

$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

$$\text{cf. } S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

$U(1)_{PQ} \rightarrow Z_K$: K -degenerate vacua

$$a \sim a + 2\pi f_a, \quad a \rightarrow a + \frac{2\pi f_a}{K}$$



Axion Domain Wall Problem

II. Axion Domain Wall Problem

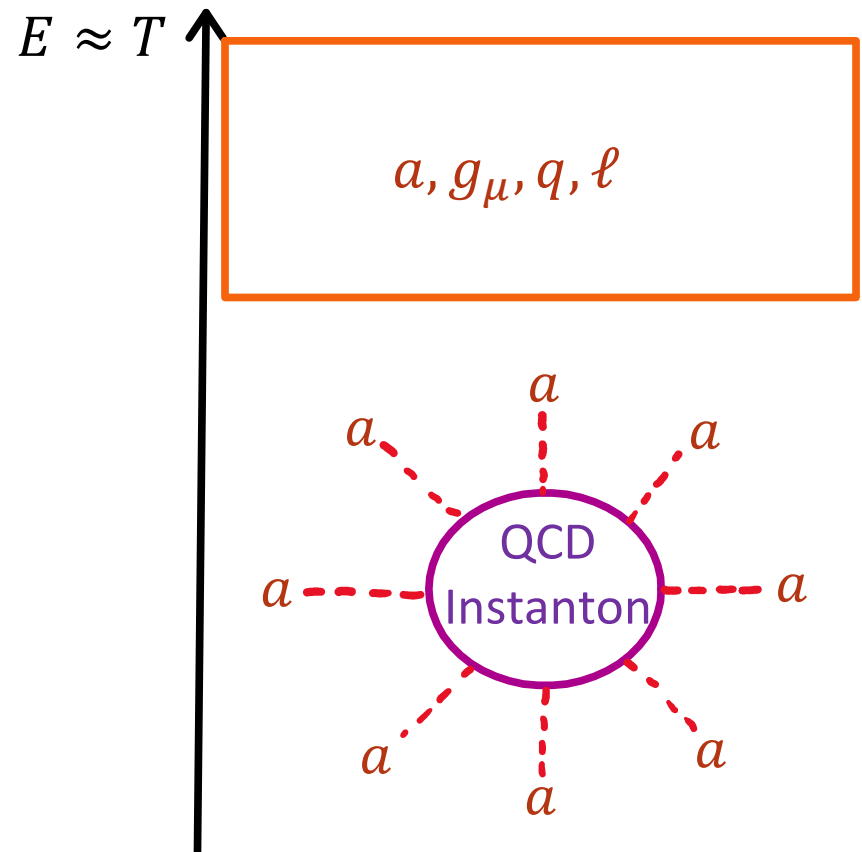
$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

$$\text{cf. } S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

$U(1)_{PQ} \rightarrow Z_K$: K -degenerate vacua

$$a \sim a + 2\pi f_a, \quad a \rightarrow a + \frac{2\pi f_a}{K}$$

$$e^{-\frac{8\pi^2}{g^2(\mu)}}$$



Axion Domain Wall Problem

II. Axion Domain Wall Problem

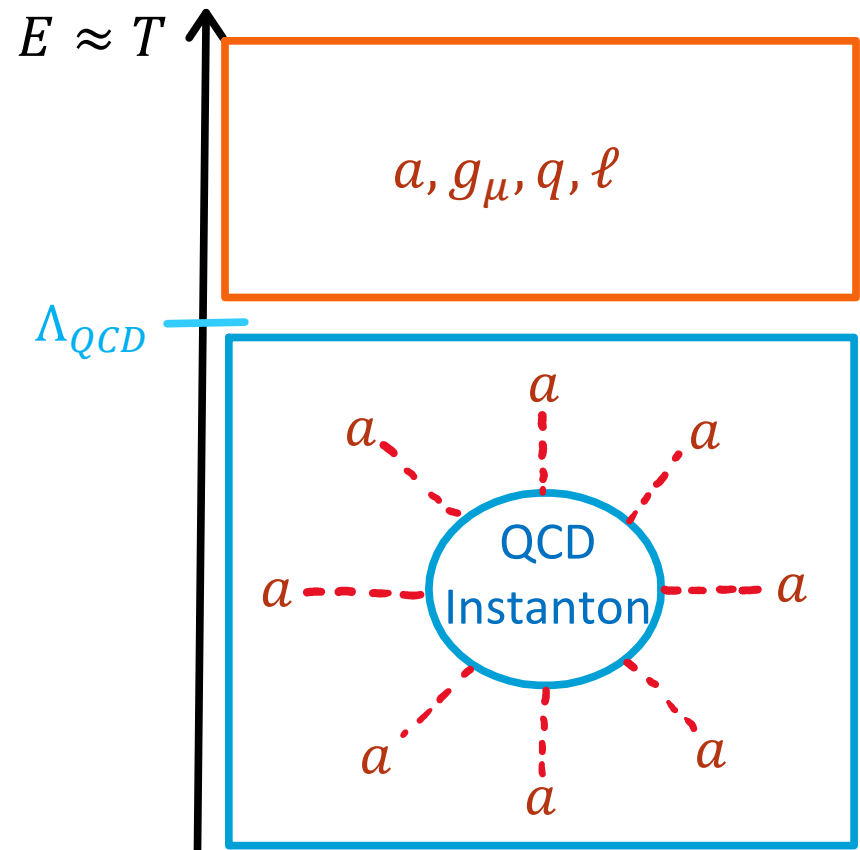
$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

$$\text{cf. } S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

$U(1)_{PQ} \rightarrow Z_K$: K -degenerate vacua

$$a \sim a + 2\pi f_a, \quad a \rightarrow a + \frac{2\pi f_a}{K}$$

$$e^{-\frac{8\pi^2}{g^2(\Lambda_{QCD})}}$$



Axion Domain Wall Problem

II. Axion Domain Wall Problem

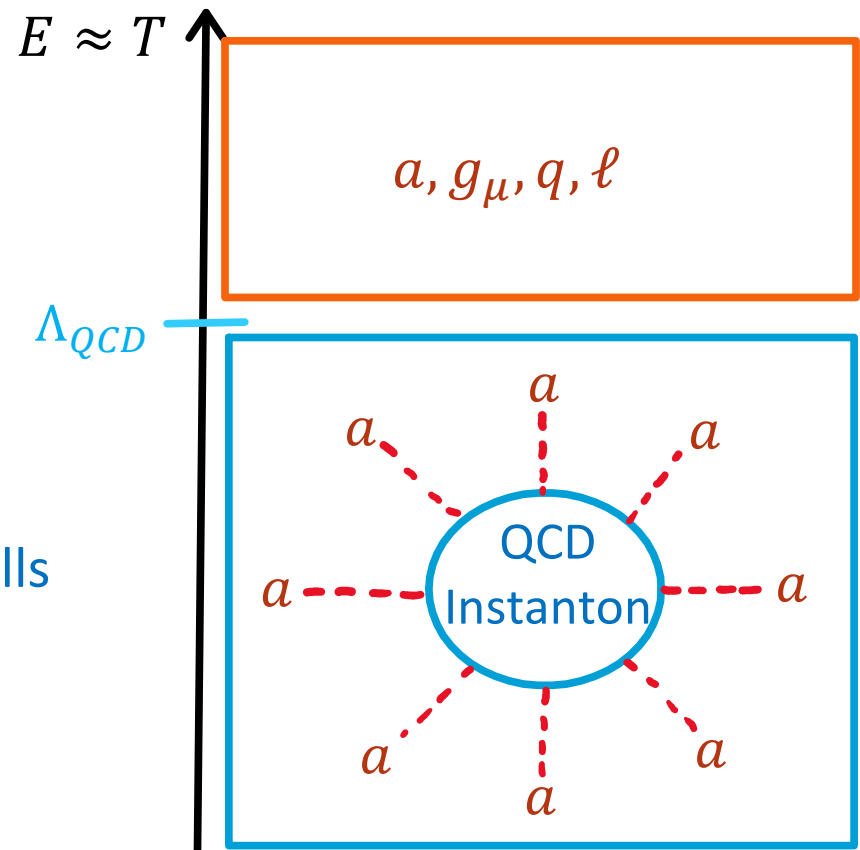
$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

$$\text{cf. } S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

$U(1)_{PQ} \rightarrow Z_K$: K -degenerate vacua

$$V(a) = m_u \Lambda_{QCD}^3 \left(1 - \cos \frac{Ka}{f_a} \right)$$

Z_K Spontaneously Broken : K Domain Walls



Axion Domain Wall Problem

II. Axion Domain Wall Problem

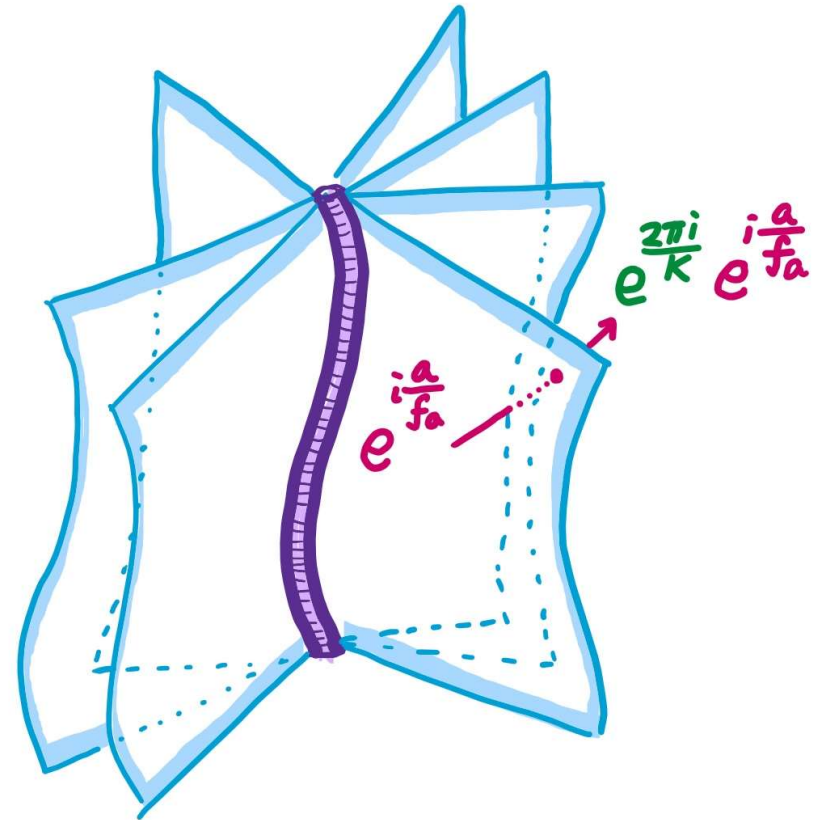
$$S \supset \frac{1}{2} \int \partial_\mu a \partial^\mu a + \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G)$$

$$\text{cf. } S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

$U(1)_{PQ} \rightarrow Z_K$: K -degenerate vacua

$$V(a) = m_u \Lambda_{QCD}^3 \left(1 - \cos \frac{Ka}{f_a} \right)$$

Z_K Spontaneously Broken : K Domain Walls



Axion Domain Wall Problem

II. Axion Domain Wall Problem

The presence of long-lived axion-Domain-Walls is **inconsistent** with cosmological observations

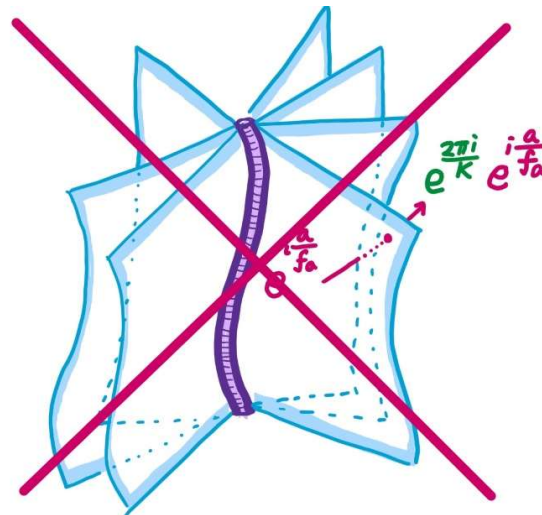
- (Dark) matter energy density: $\rho_{\text{DM}} \propto R^{-3} \Rightarrow R(t) \propto t^{2/3}$ **(Matter-Dominated)**
- Domain Wall energy density: $\rho_{\text{DW}} \propto R^{-1} \Rightarrow R(t) \propto t^2$ **(DW-Dominated)**

Axion Domain Wall Problem

II. Axion Domain Wall Problem

The presence of long-lived axion-Domain-Walls is **inconsistent** with cosmological observations

- (Dark) matter energy density: $\rho_{\text{DM}} \propto R^{-3} \Rightarrow R(t) \propto t^{2/3}$ **(Matter-Dominated)**
- Domain Wall energy density: $\rho_{\text{DW}} \propto R^{-1} \Rightarrow R(t) \propto t^2$ **(DW-Dominated)**



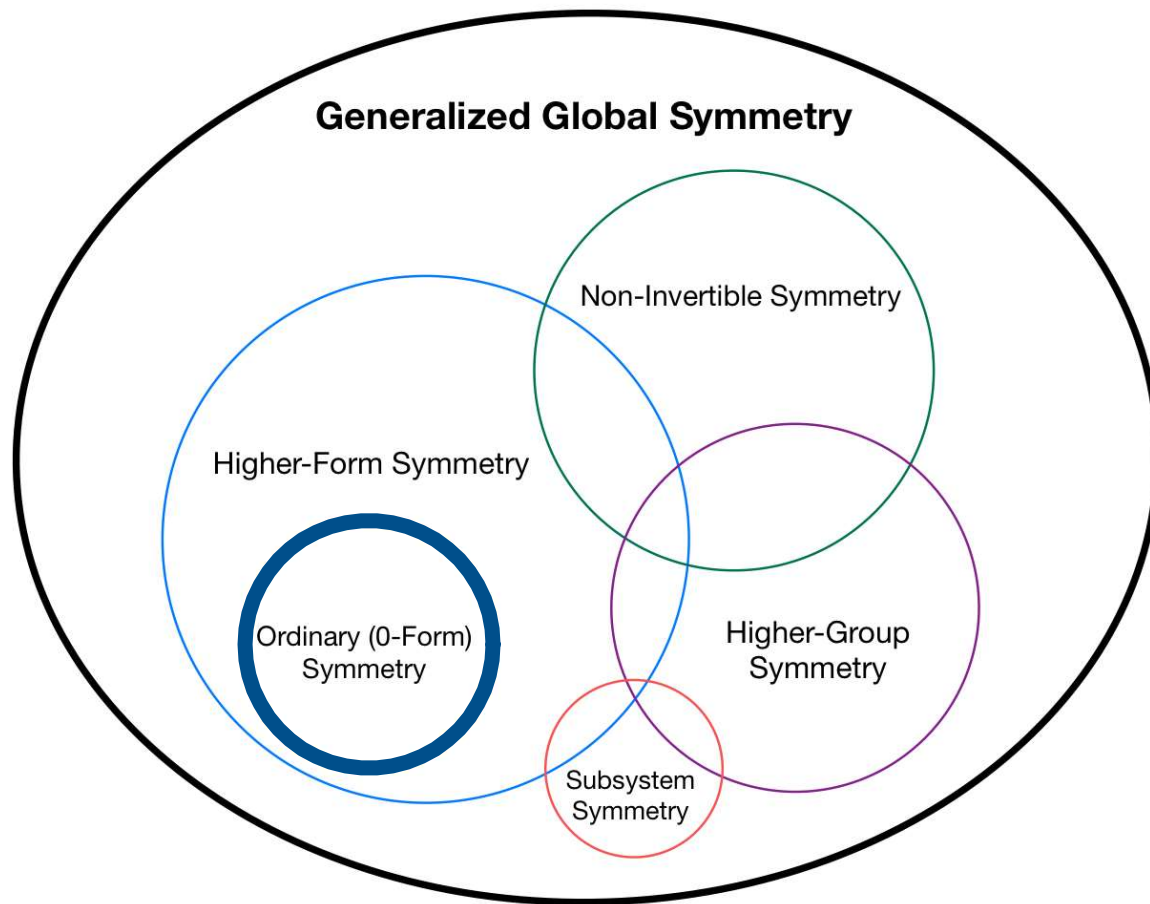
Axion Domain Wall Problem

II. Axion Domain Wall Problem

Success of axion theory hinges on **first solving** domain wall problem!

Axion Domain Wall Problem

III. Generalized Global Symmetries



Axion Domain Wall Problem

III. Generalized Symmetries \Rightarrow Axion-DW Problem

The **significance** of Generalized Symmetry is rather extreme!

Non-Invertible
Axion Domain Wall Problem

Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

1. If $G_g = SU(N)$

Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K(\text{invertible})$

Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

1. If $G_g = SU(N)$

Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K(\text{invertible})$

$a \sim a + 2\pi f_a$, under $a \rightarrow a + \frac{2\pi f_a}{z}$ with $z \in Z$ (to be determined)

$$S \rightarrow S + \frac{i2\pi K}{z} \int \frac{\text{Tr}(G \wedge G)}{8\pi^2}$$

Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

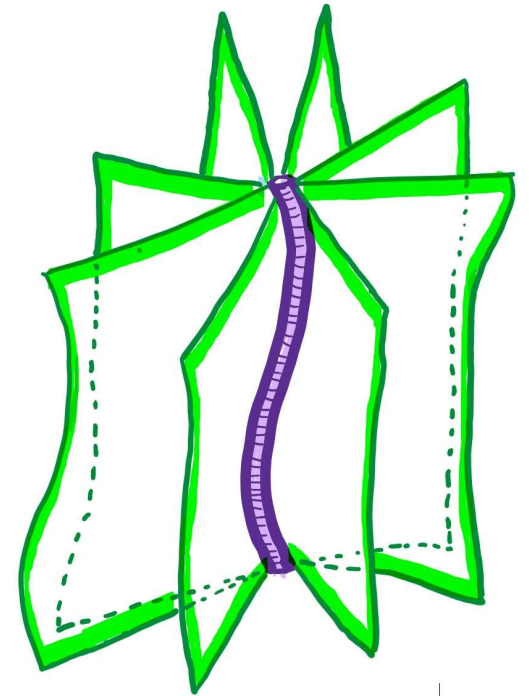
Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

1. If $G_g = SU(N)$

Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K$ (invertible)

K degenerate vacua $\rightarrow K$ invertible Domain Walls



Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

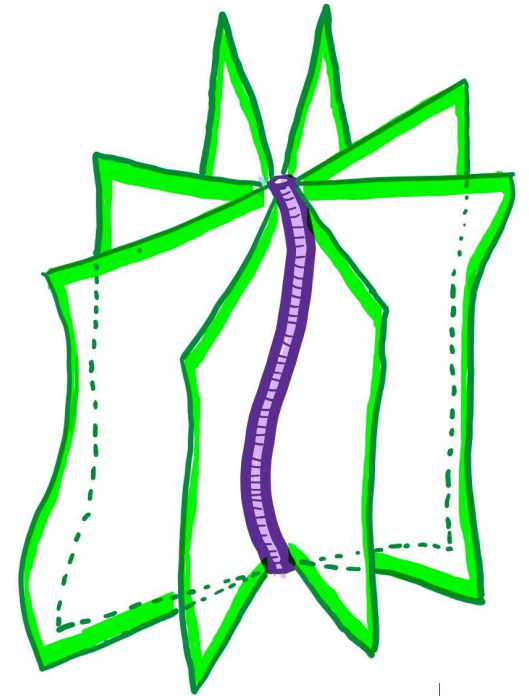
1. If $G_g = SU(N)$

Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K$ (invertible)

K degenerate vacua $\rightarrow K$ invertible Domain Walls

2. If $G_g = SU(N)/Z_N$

Expected symmetry: $Z_{K/N}$ (invertible)



Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

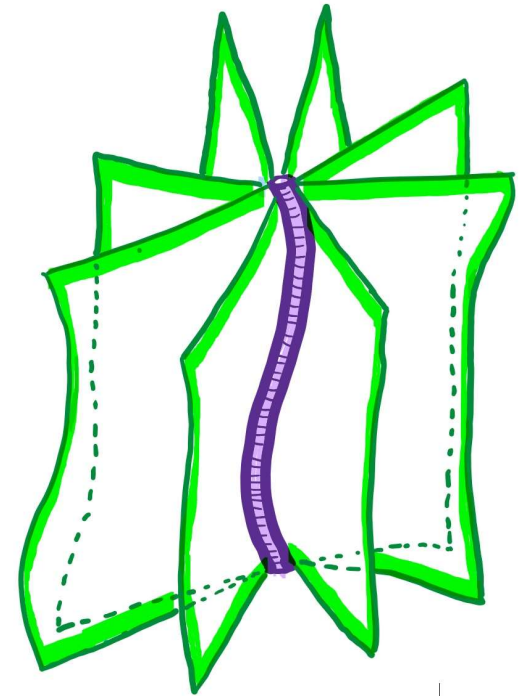
1. If $G_g = SU(N)$

Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K$ (invertible)

K degenerate vacua $\rightarrow K$ invertible Domain Walls

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)



Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

We still fix: $a \sim a + 2\pi f_a$, under $a \rightarrow a + \frac{2\pi f_a}{z}$ with $z \in Z$ (to be determined)

$$\Rightarrow \delta S = \frac{2\pi i K}{z} \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} = \frac{2\pi i K}{z} n + \frac{2\pi i K}{z} \frac{N-1}{N} \int \frac{w \wedge w}{2} = 2\pi i Z$$

$$w \in H^2(M_4, Z_N): \oint_{\Sigma_2} w = Z/NZ = Z_N$$

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)

Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

We still fix: $a \sim a + 2\pi f_a$, under $a \rightarrow a + \frac{2\pi f_a}{z}$ with $z \in Z$ (to be determined)

$$\Rightarrow \delta S = \frac{2\pi i K}{z} \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} = \frac{2\pi i K}{z} n + \frac{2\pi i K}{z} \frac{N-1}{N} \int \frac{w \wedge w}{2} = 2\pi i Z$$

$$w \in H^2(M_4, Z_N): \oint_{\Sigma_2} w = Z/NZ = Z_N$$

$$\Rightarrow n: U(1)_{PQ} \rightarrow Z_K, \quad \frac{N-1}{N} \int \frac{w \wedge w}{2}: U(1)_{PQ} \rightarrow Z_{K/N}$$

$$\Rightarrow Z_{K/N}^I \subset Z_K^{NI}$$

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for non-abelian gauge theory with 1-form magnetic center symmetry

e.g. $G = SU(N)$

electric 1-form: Z_N

magnetic 1-form: none

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for non-abelian gauge theory with 1-form magnetic center symmetry

e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

Non-Invertible Symmetry

NIS construction possible for non-abelian gauge theory with 1-form magnetic center symmetry

e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{k}, \quad S \rightarrow S + \frac{2\pi Ai}{k} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ai}{k} \left(\frac{L-1}{L} \right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for **non-abelian gauge theory** with **1-form magnetic center** symmetry

e.g. $G = SU(N)/Z_L$

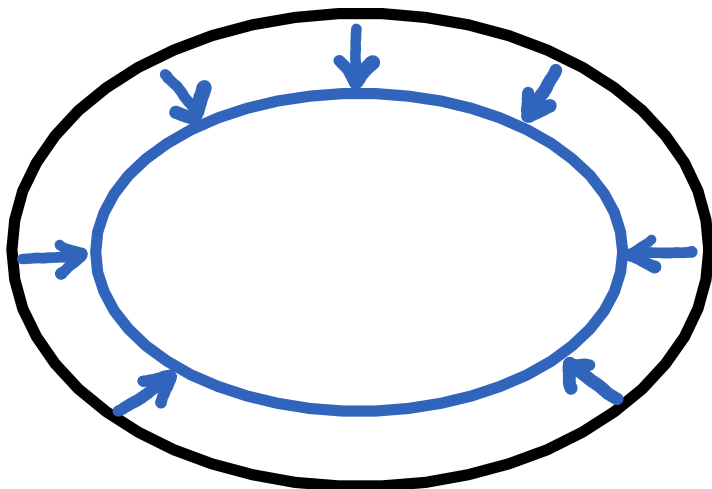
electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{k}, \quad S \rightarrow S + \frac{2\pi Ai}{k} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ai}{k} \left(\frac{L-1}{L}\right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$

Global $U(1)$



$\rightarrow Z_N$ Instanton

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for **non-abelian gauge theory** with **1-form magnetic center** symmetry

e.g. $G = SU(N)/Z_L$

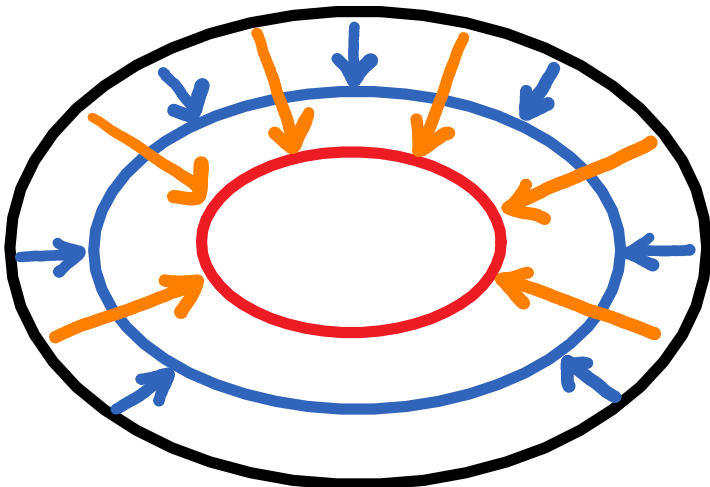
electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{k}, \quad S \rightarrow S + \frac{2\pi Ai}{k} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ai}{k} \left(\frac{L-1}{L} \right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$

Global $U(1)$



→ Z_N Instanton

→ Z_L (fractional) Instanton

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for **non-abelian gauge theory** with **1-form magnetic center** symmetry

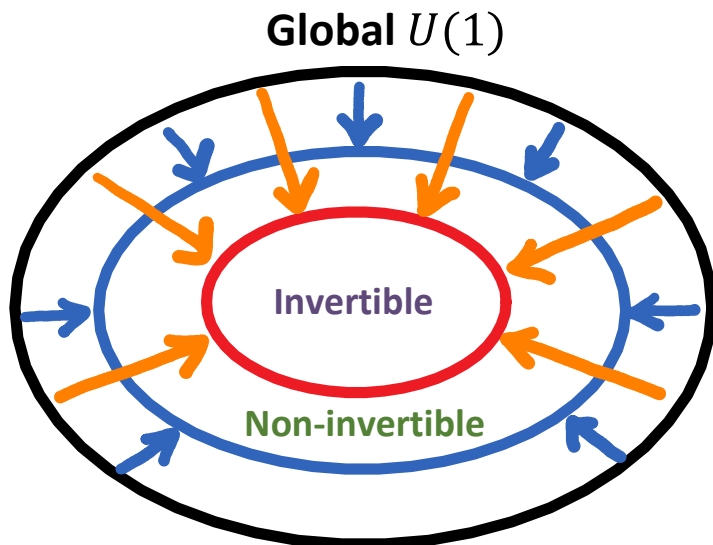
e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{k}, \quad S \rightarrow S + \frac{2\pi Ai}{k} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ai}{k} \left(\frac{L-1}{L} \right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$



→ Z_N Instanton

→ Z_L (fractional) Instanton

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for non-abelian gauge theory with 1-form magnetic center symmetry

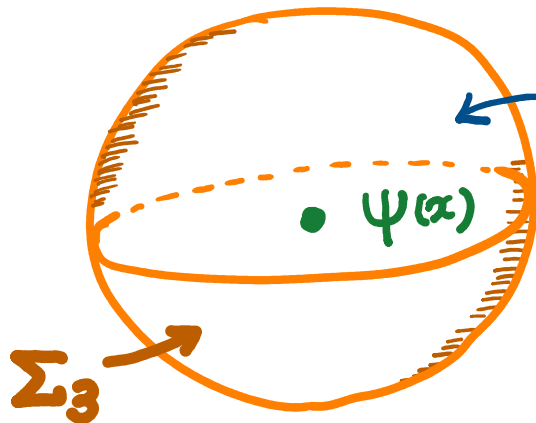
e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{k}, \quad S \rightarrow S + \frac{2\pi Ai}{k} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ai}{k} \left(\frac{L-1}{L}\right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$



$$S_{defect} = \frac{iN}{4\pi} \int_{\Sigma_3} C \wedge dC$$

$$+ \frac{i}{2\pi} \int_{\Sigma_3} C \wedge w_2$$

Non-Invertible Symmetry with Fractional Instanton

NIS construction possible for non-abelian gauge theory with 1-form magnetic center symmetry

e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{k}, \quad S \rightarrow S + \frac{2\pi Ai}{k} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ai}{k} \left(\frac{L-1}{L}\right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$

$$U\left(\frac{2\pi}{k}, \Sigma_3\right) \rightarrow D_k = U\left(\frac{2\pi}{k}, \Sigma_3\right) \times \mathcal{A}^{N,p}(w_2) \text{ with } \frac{p}{N} = \frac{A}{k}$$

Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

We still fix: $a \sim a + 2\pi f_a$, under $a \rightarrow a + \frac{2\pi f_a}{z}$ with $z \in Z$ (to be determined)

$$\Rightarrow \delta S = \frac{2\pi i K}{z} \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} = \frac{2\pi i K}{z} n + \frac{2\pi i K}{z} \frac{N-1}{N} \int \frac{w \wedge w}{2} = 2\pi i Z$$

$$w \in H^2(M_4, Z_N): \oint_{\Sigma_2} w = Z/NZ = Z_N$$

$$\Rightarrow n: U(1)_{PQ} \rightarrow Z_K, \quad \frac{N-1}{N} \int \frac{w \wedge w}{2}: U(1)_{PQ} \rightarrow Z_{K/N}$$

$$\Rightarrow Z_{K/N}^I \subset Z_K^{NI}$$

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)

Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

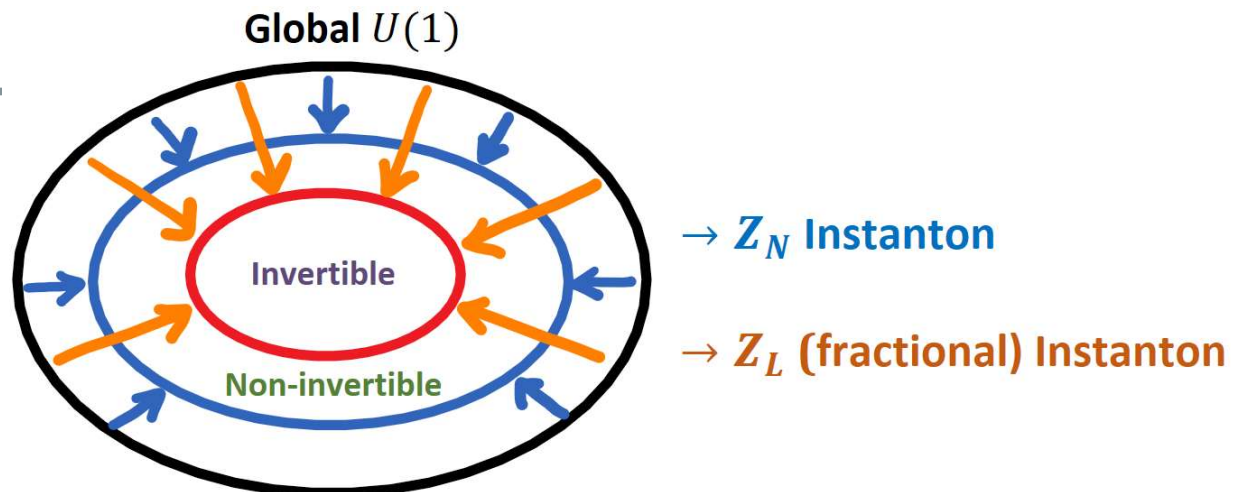
We still fix: $a \sim a + 2\pi f_a$, under $a \rightarrow a + \frac{2\pi f_a}{z}$ with $z \in Z$ (to be determined)

$$\Rightarrow \delta S = \frac{2\pi i K}{z} \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} = \frac{2\pi i K}{z} n + \frac{2\pi i K}{z} \frac{N-1}{N} \int \frac{w \wedge w}{2} = 2\pi i Z$$

$$w \in H^2(M_4, Z_N): \oint_{\Sigma_2} w = Z/NZ = Z_N$$

$$\Rightarrow n: U(1)_{PQ} \rightarrow Z_K, \quad \frac{N-1}{N} \int \frac{w \wedge w}{2}: U(1)_{PQ} \rightarrow Z_{K/N}$$

$$\Rightarrow Z_{K/N}^I \subset Z_K^{NI}$$



Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

1. If $G_g = SU(N)$

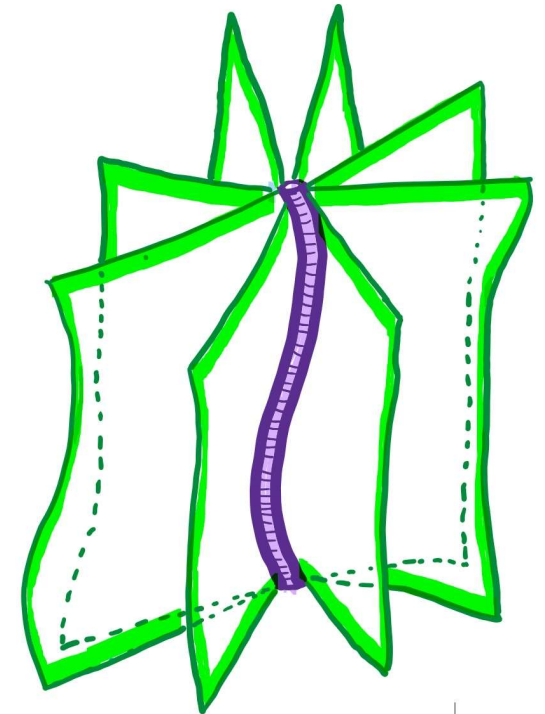
Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K(\text{invertible})$

K degenerate vacua $\rightarrow K$ invertible Domain Walls

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}(\text{invertible}) \subset Z_K(\text{non invertible})$

Invertible $Z_{K/N} \Rightarrow K/N$ degenerate vacua



Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

1. If $G_g = SU(N)$

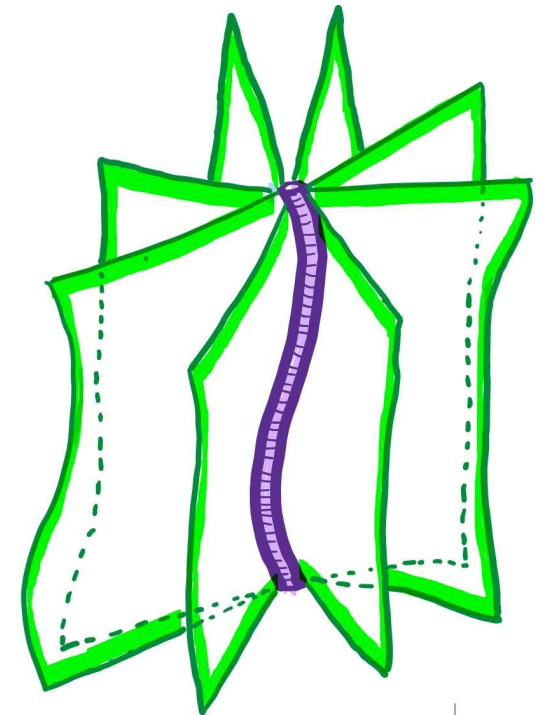
Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K$ (invertible)

K degenerate vacua $\rightarrow K$ invertible Domain Walls

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)

~~Invertible $Z_{K/N} \rightarrow K/N$ degenerate vacua~~



Non-invertible Axion Domain Wall Problem

I. Non-invertible Symmetries of Axion

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

1. If $G_g = SU(N)$

Full axion shift symmetry: $U(1)_{PQ} \rightarrow Z_K$ (invertible)

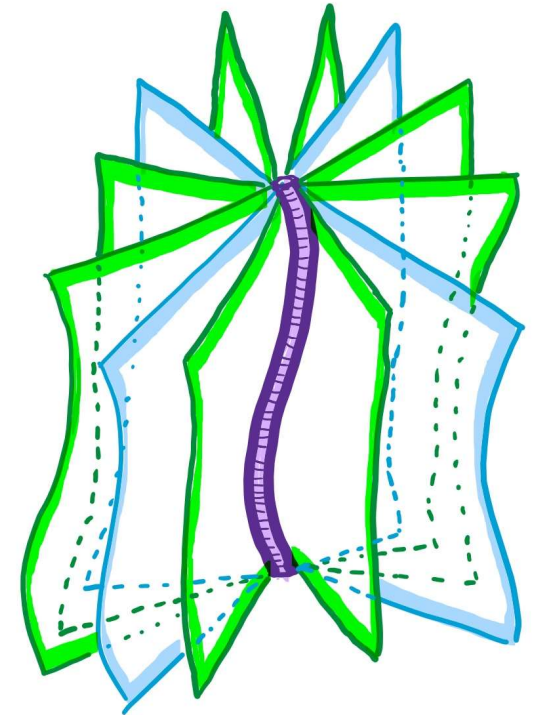
K degenerate vacua $\rightarrow K$ invertible Domain Walls

2. If $G_g = SU(N)/Z_N$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)

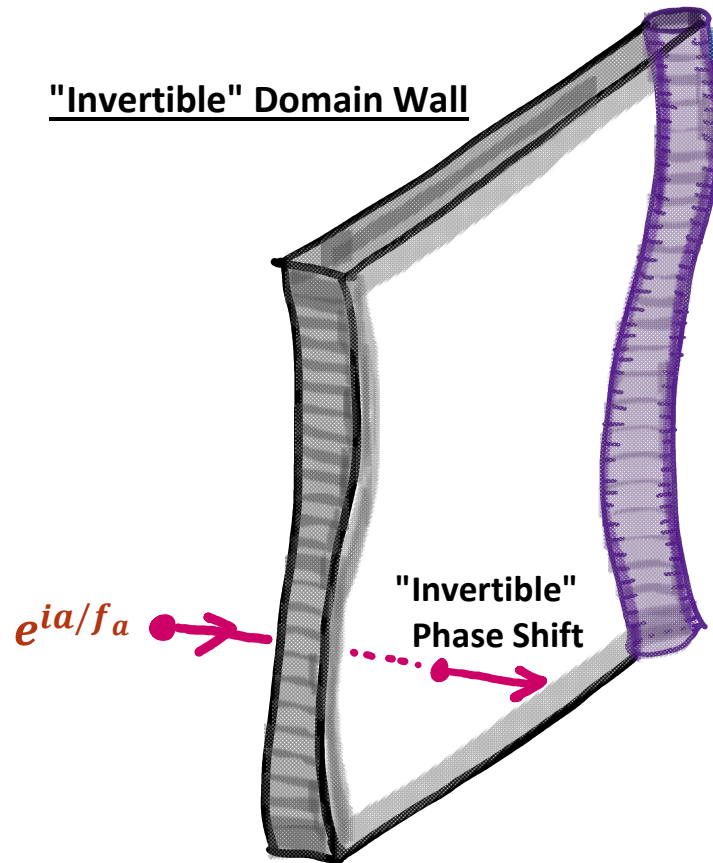
~~Invertible $Z_{K/N} \rightarrow K/N$ degenerate vacua~~

K degenerate vacua \rightarrow invertible + non-invertible Domain Walls



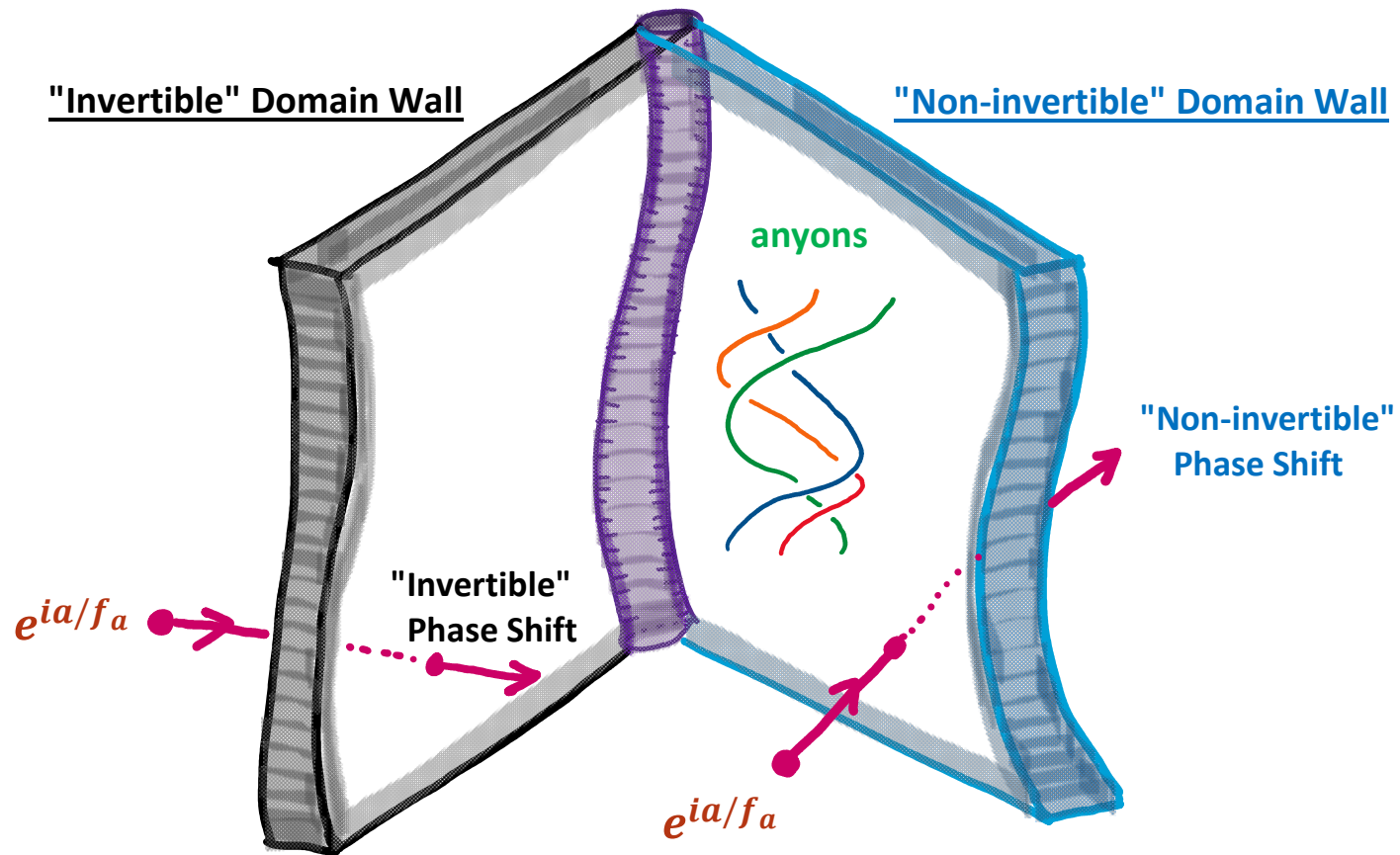
Non-invertible Axion Domain Wall Problem

Invertible DW vs Non-Invertible DW



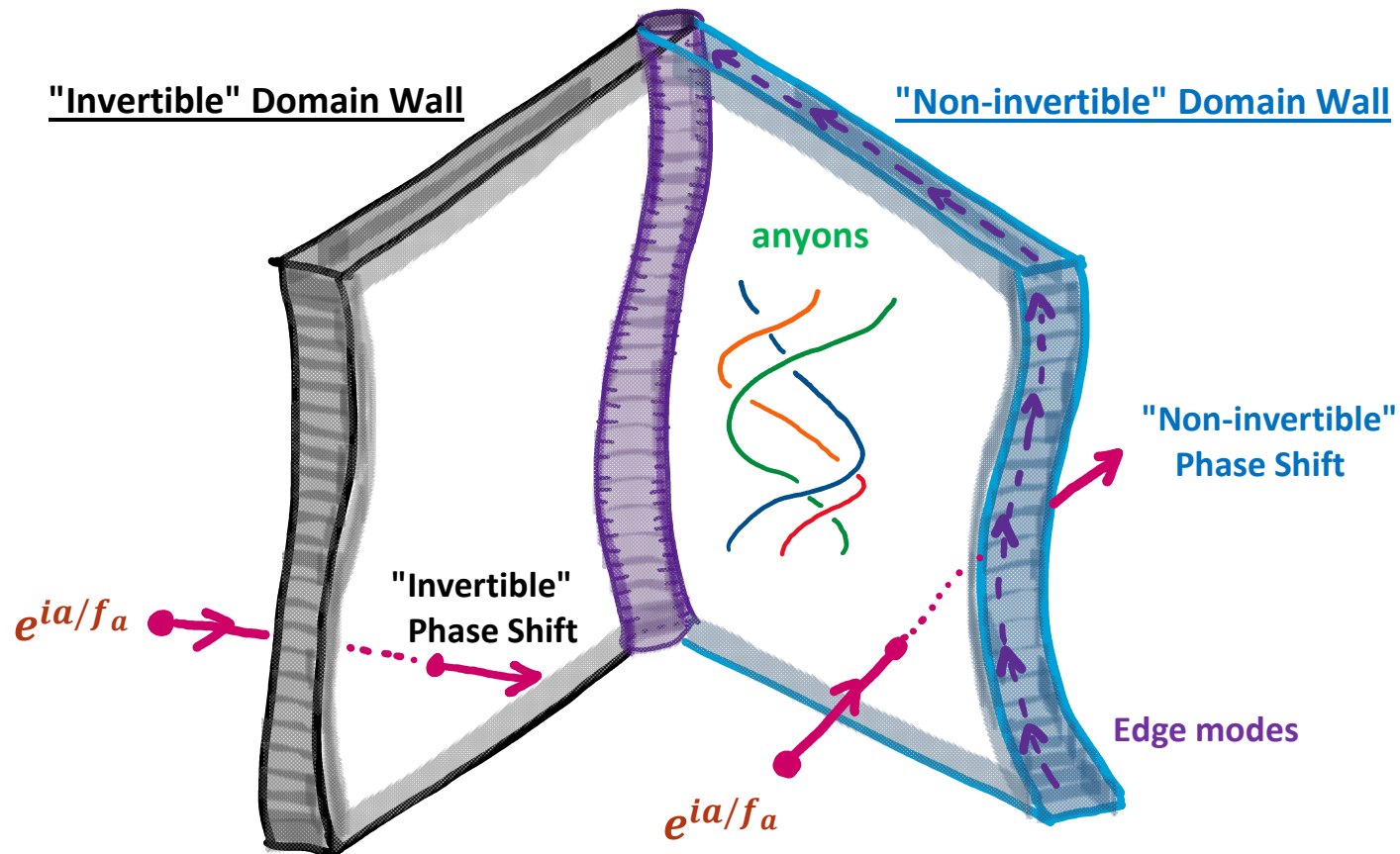
Non-invertible Axion Domain Wall Problem

Invertible DW vs Non-Invertible DW



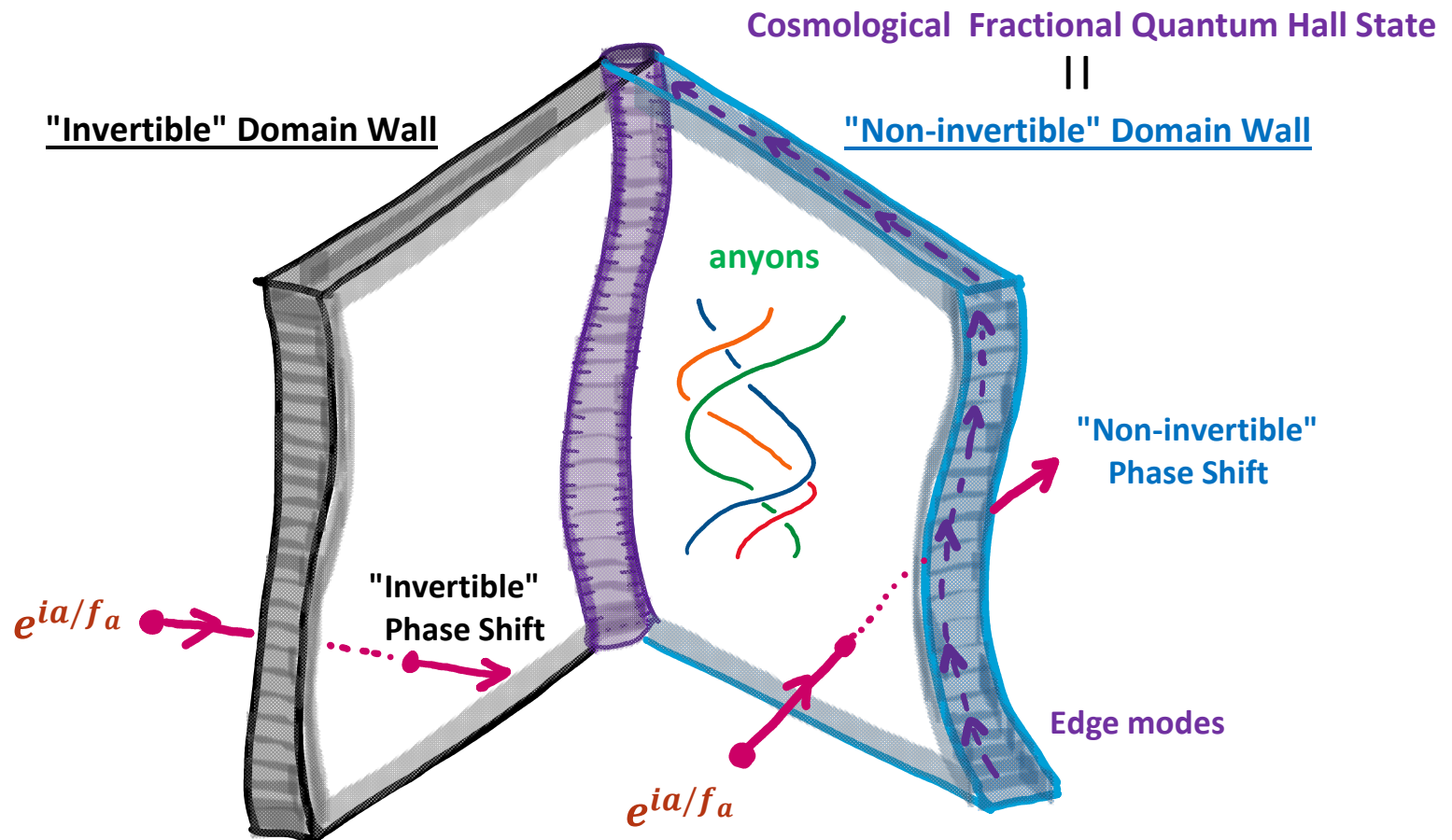
Non-invertible Axion Domain Wall Problem

Invertible DW vs Non-Invertible DW



Non-invertible Axion Domain Wall Problem

Invertible DW vs Non-Invertible DW



Non-invertible Axion Domain Wall Problem

II. "Non-invertible Axion Domain Wall Problem"



(Clay Cordova, Sungwoo Hong, Liantao Wang '23)

For given choice of the *global structure* of G_{SM} and anomaly coefficients, axion-SM theory can contain *non-invertible-type* as well as regular (*invertible-type*) domain wall defects. Any of these topological defects in the early universe is *inconsistent* with cosmological observations, and therefore *should be made unstable or removed from the spectrum*.

$$G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}, \quad \Gamma = 1, Z_2, Z_3, Z_6$$

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

Non-invertible Axion Domain Wall Problem

II. "Non-invertible Axion Domain Wall Problem"



(Clay Cordova, Sungwoo Hong, Liantao Wang '23)

*For given choice of the **global structure** of G_{SM} and anomaly coefficients, axion-SM theory can contain **non-invertible-type** as well as regular (**invertible-type**) domain wall defects. Any of these topological defects in the early universe is **inconsistent** with cosmological observations, and therefore **should be made unstable or removed from the spectrum**.*

- (i) The **first realization** of the true or the **most precise version** of the domain wall problem
- (ii) Understanding of full **generalized global symmetry** is **indispensable** to this!

Non-invertible Axion Domain Wall Problem

II. "Non-invertible Axion Domain Wall Problem"



(Clay Cordova, Sungwoo Hong, Liantao Wang '23)

*For given choice of the **global structure** of G_{SM} and anomaly coefficients, axion-SM theory can contain **non-invertible-type** as well as regular (**invertible-type**) domain wall defects. Any of these topological defects in the early universe is **inconsistent** with cosmological observations, and therefore **should be made unstable or removed from the spectrum**.*

- (i) The **first realization** of the true or the **most precise version** of the domain wall problem
- (ii) Understanding of full **generalized global symmetry** is **indispensable** to this!
- (iii) All existing solutions address only the old, **invertible, version of the problem**
(hence would not solve the real problem is $\Gamma = \pi_1(G_{SM})$ is non-trivial)
- (iv) correct formulation of the problem
 - \Rightarrow "Systemtatic" path to solve the problem via **non-invertible symmetry breaking**
 - \Rightarrow intrinsic **connection** between **domain wall** spectrum and **global structure** of G_{SM}

Axion-Standard Model

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

2. $G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}, \Gamma = 1, Z_2, Z_3, Z_6$

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

$$2. G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}, \quad \Gamma = 1, Z_2, Z_3, Z_6$$

i. The entire SM matter fields are neutral under Z_6 transformation generated by

$$e^{\frac{2\pi i}{3}\lambda_8} = e^{\frac{2\pi i}{3}I_3} \in SU(3)_C, \quad e^{\frac{2\pi i}{2}T_3} = -I_2 \in SU(2)_L, \quad e^{\frac{2\pi i}{6}Q_Y} \in U(1)_Y$$

ii. Z_6 Wilson lines are not screened $\Rightarrow Z_6^{(1)}$ electric 1-form center symmetry

iii. Gauging $\Gamma = Z_p^{(1)} \subset Z_6^{(1)} \Rightarrow \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}, \quad Z_{6/p}^{(1)}(e) \times Z_p^{(1)}(m)$

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

2. $G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}$, $\Gamma = 1, Z_2, Z_3, Z_6$

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

2. $G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}$, $\Gamma = 1, Z_2, Z_3, Z_6$

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

e.g. Experimental observation: $\ell_1 = 0, \ell_2 = 2, \ell_3 = 2$

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

2. $G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}$, $\Gamma = 1, Z_2, Z_3, Z_6$

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

e.g. Experimental observation: $\ell_1 = 0, \ell_2 = 2, \ell_3 = 2 \Rightarrow \Gamma = 1$ or Z_2

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

$$2. G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}, \quad \Gamma = 1, Z_2, Z_3, Z_6$$

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

e.g. Experimental observation: $\ell_1 = 0, \ell_2 = 2, \ell_3 = 2 \Rightarrow \Gamma = 1$ or Z_2

$$\Gamma = Z_6 : SU(5), SO(10), E_6$$

$$\Gamma = Z_3 : SU(4)_C \times SU(2)_L \times SU(2)_R \text{ [Pati-Salam]}$$

$$\Gamma = Z_2 : SU(3)_C \times SU(3)_L \times SU(3)_R \text{ [Trinification]}$$

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

$$2. G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}, \quad \Gamma = 1, Z_2, Z_3, Z_6$$

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

e.g. Experimental observation: $\ell_1 = 0, \ell_2 = 2, \ell_3 = 2 \Rightarrow \Gamma = 1$ or Z_2

~~$\Gamma = Z_6 : SU(5), SO(10), E_6$~~

~~$\Gamma = Z_3 : SU(4)_C \times SU(2)_L \times SU(2)_R$ [Pati Salam]~~

$\Gamma = Z_2 : SU(3)_C \times SU(3)_L \times SU(3)_R$ [Trinification]

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.
2. $G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}$, $\Gamma = 1, Z_2, Z_3, Z_6$
3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

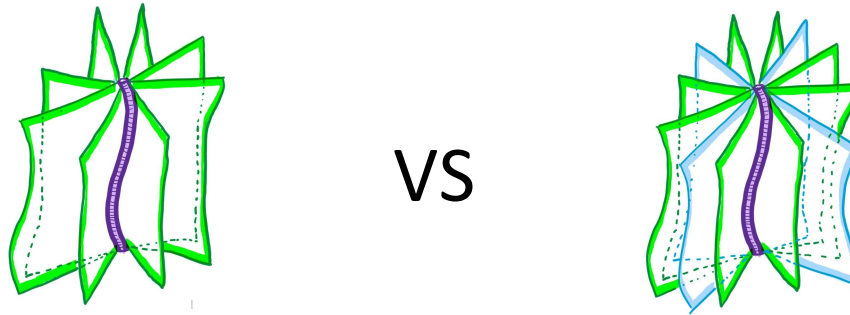
$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

4. **Non-invertible Axion Shift Symmetry**: e.g. $\Gamma = Z_3$

$$Z_K^I \subset Z_{\text{gcd}(\ell_2, \ell_3)}^{NI} \approx Z_{\ell_3}^{NI} \quad \text{where } K = \text{gcd}\left(\frac{\ell_1}{3}, \ell_2, \ell_3, \frac{\ell_1 + 6\ell_3}{9}\right)$$

Axion-Standard Model

III. Axion-SM



3. Quantization of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in \mathbb{Z}$$

$$\Gamma = \mathbb{Z}_2 : \ell_1 \in 2\mathbb{Z}, \ell_{2,3} \in \mathbb{Z}, \text{ and } \ell_1 + 2\ell_2 \in 4\mathbb{Z}$$

$$\Gamma = \mathbb{Z}_3 : \ell_1 \in 3\mathbb{Z}, \ell_{2,3} \in \mathbb{Z}, \text{ and } \ell_1 + 6\ell_3 \in 9\mathbb{Z}$$

$$\Gamma = \mathbb{Z}_6 : \ell_1 \in 6\mathbb{Z}, \ell_{2,3} \in \mathbb{Z}, \ell_1 + 2\ell_2 \in 4\mathbb{Z}, \text{ and } \ell_1 + 6\ell_3 \in 9\mathbb{Z}$$

4. Non-invertible Axion Shift Symmetry: e.g. $\Gamma = \mathbb{Z}_3$ ($\ell_1 = 18, \ell_2 = 0, \ell_3 = 3$)

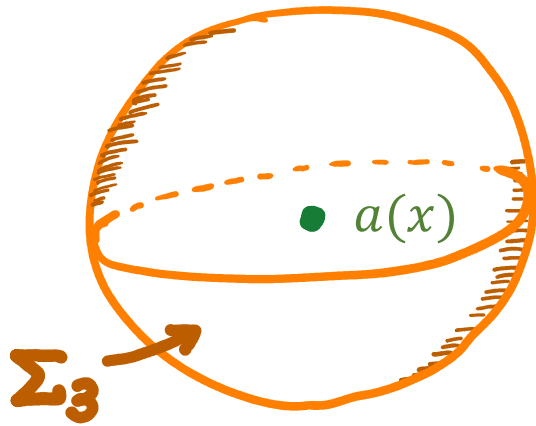
$$Z_{K=1}^I \subset Z_{\ell_3=3}^{NI} \quad \text{where } K = \gcd\left(\frac{\ell_1}{3}, \ell_3, \frac{\ell_1+6\ell_3}{9}\right)$$

**Solving DW Problem by
Non-Invertible Symmetry Breaking**

Solving Axion Domain Wall Problem

I. Non-invertible Symmetry Breaking

Non-invertible axion shift symmetry = 0-form + magnetic 1-form composite



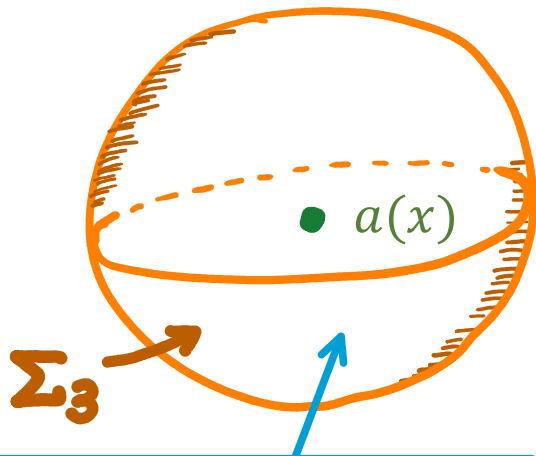
$$U(\alpha, \Sigma_3) = e^{i\alpha Q(\Sigma_3)}$$

$$Q(\Sigma_3) = \int_{\Sigma_3} d^3x J^0 = \int_{\Sigma_3} * J_1$$

Solving Axion Domain Wall Problem

I. Non-invertible Symmetry Breaking

Non-invertible axion shift symmetry = 0-form + magnetic 1-form composite



$$S_{\text{defect}} = \frac{iN}{4\pi} \int_{\Sigma_3} C \wedge dC + i \int_{\Sigma_3} C \wedge w$$

$$U(\alpha, \Sigma_3) = e^{i\alpha Q(\Sigma_3)}$$

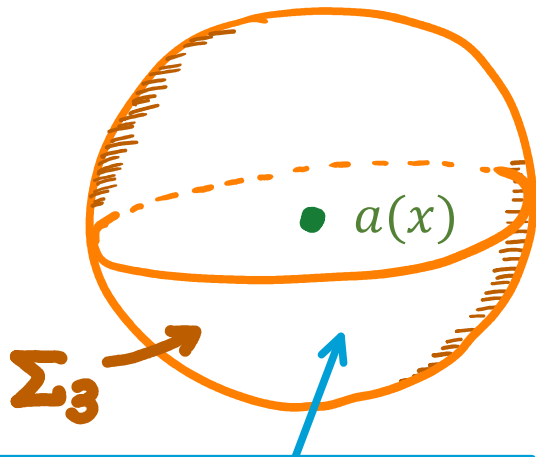
$$Q(\Sigma_3) = \int_{\Sigma_3} d^3x J^0 = \int_{\Sigma_3} *J_1$$

$$U\left(\frac{2\pi}{k}, \Sigma_3\right) \rightarrow D_k = U\left(\frac{2\pi}{k}, \Sigma_3\right) \times \mathcal{A}^{N,p}(w)$$

Solving Axion Domain Wall Problem

I. Non-invertible Symmetry Breaking

Non-invertible axion shift symmetry = 0-form + magnetic 1-form composite



$$S_{\text{defect}} = \frac{iN}{4\pi} \int_{\Sigma_3} C \wedge dC + i \int_{\Sigma_3} C \wedge w$$

$$U(\alpha, \Sigma_3) = e^{i\alpha Q(\Sigma_3)}$$

$$Q(\Sigma_3) = \int_{\Sigma_3} d^3x J^0 = \int_{\Sigma_3} *J_1$$

$$U\left(\frac{2\pi}{k}, \Sigma_3\right) \rightarrow D_k = U\left(\frac{2\pi}{k}, \Sigma_3\right) \times \mathcal{A}^{N,p}(w)$$

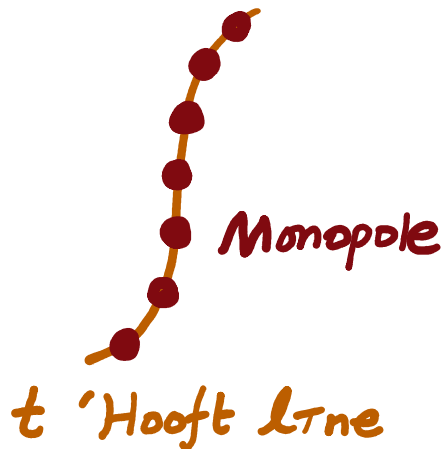
$$D \times \bar{D} \sim \sum_{\Sigma_2 \subset \Sigma_3} \exp\left(\frac{2\pi i}{N} \oint_{\Sigma_2} w\right)$$

Solving Axion Domain Wall Problem

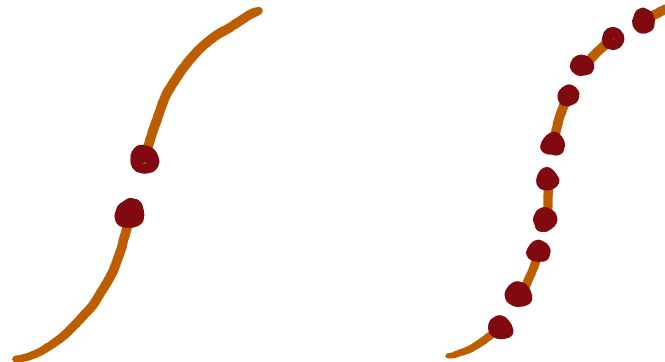
I. Non-invertible Symmetry Breaking

Non-invertible axion shift symmetry = 0-form + magnetic 1-form composite

Breaking of non-invertible symmetry by breaking magnetic 1-form symmetry



Monopole in UV \rightarrow 1-form magnetic symm broken
 \rightarrow non-invertible symm in IR broken



Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking

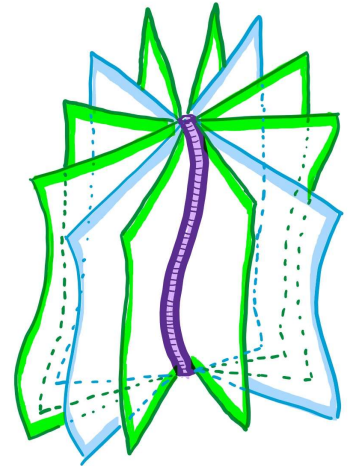


(Clay Cordova, Sungwoo Hong, Liantao Wang)

Consider axion coupled to $G_g = SU(N)/Z_N$

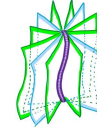
$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)



Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking

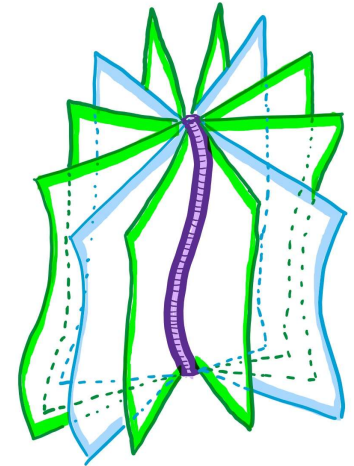


(Clay Cordova, Sungwoo Hong, Liantao Wang)

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)



G_g (IR) Instanton effects $\Rightarrow Z_K^{NI}$ invariant $V(a)$

$$V(a) = \sum_{n \in N\mathbb{Z}} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a}, \quad \alpha_n \propto e^{-\frac{8\pi^2 n}{g_{IR}^2}}$$

Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking

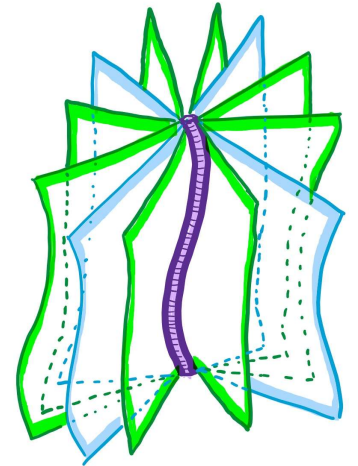


(Clay Cordova, Sungwoo Hong, Liantao Wang)

Consider axion coupled to $G_g = SU(N)/Z_N$

$$S \supset \frac{iK}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) \quad G = \text{field strength of } G_g$$

Enlarged symmetry: $Z_{K/N}$ (invertible) $\subset Z_K$ (non invertible)



G_g (IR) Instanton effects $\Rightarrow Z_K^{NI}$ invariant $V(a)$

$$V(a) = \sum_{n=NZ} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a}, \quad \alpha_n \propto e^{-\frac{8\pi^2 n}{g_{IR}^2}}$$

$G_g \rightarrow G_{uv} = SU(N^2 - 1) \supset G_g$ with $\pi_1(G_{uv}) = 0 \Rightarrow Z_K^{NI}$ violating $\Delta V(a)$

$$\Delta V(a) = \sum_{m=0}^{N-1} \beta_m \cos \frac{Km}{N} \frac{a}{f_a}, \quad \beta_m \propto e^{-\frac{8\pi^2 m}{g_{uv}^2}}$$

Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking



(Clay Cordova, Sungwoo Hong, Liantao Wang)

$$V(a) = V(a) + \Delta V(a)$$

$$V(a) = \sum_{n=NZ} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a} \quad \text{and} \quad \Delta V(a) = \sum_{m=0}^{N-1} \beta_m \cos \frac{Km}{N} \frac{a}{f_a}$$

(\widehat{G}_{IR} - instantons) (G_{UV}/G_{IR} - instantons)

Solving Axion Domain Wall Problem

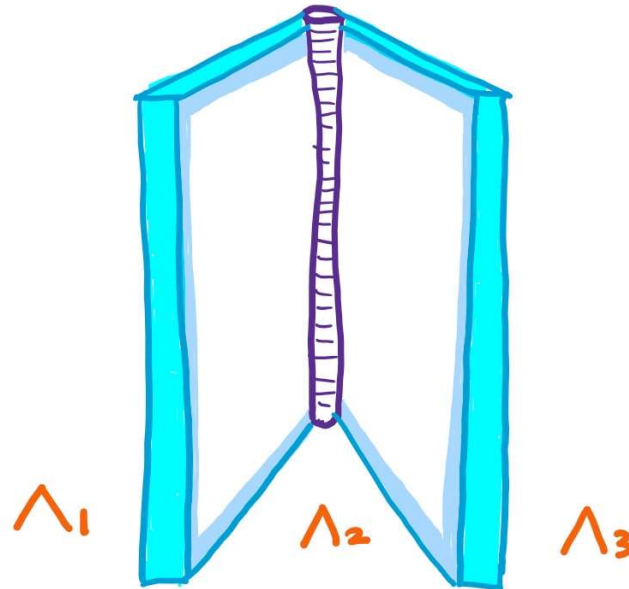
II. Solution by Non-invertible Symmetry Breaking



(Clay Cordova, Sungwoo Hong, Liantao Wang)

$$V(a) = V(a) + \Delta V(a)$$

$$V(a) = \sum_{n=NZ} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a}$$



$$\Lambda_1 = \Lambda_2 = \Lambda_3$$

Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking

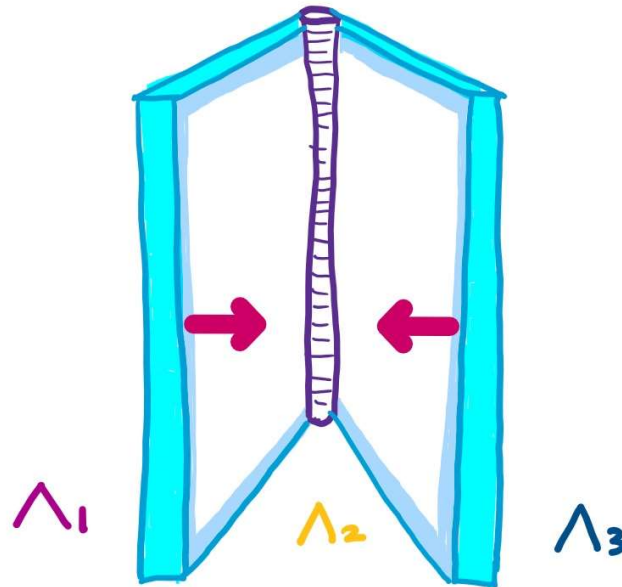


(Clay Cordova, Sungwoo Hong, Liantao Wang)

$$V(a) = V(a) + \Delta V(a)$$

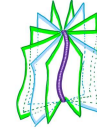
$$V(a) = \sum_{n=NZ} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a} \quad \text{and} \quad \Delta V(a) = \sum_{m=0}^{N-1} \beta_m \cos \frac{Km}{N} \frac{a}{f_a}$$

(\widehat{G}_{IR} - instantons) (G_{UV}/G_{IR} - instantons)



Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking

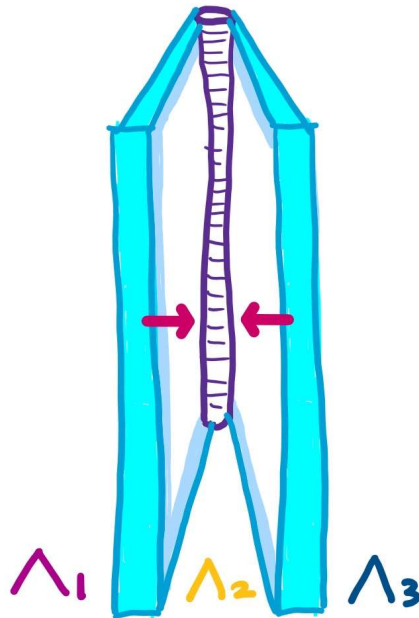


(Clay Cordova, Sungwoo Hong, Liantao Wang)

$$V(a) = V(a) + \Delta V(a)$$

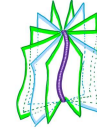
$$V(a) = \sum_{n=NZ} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a} \quad \text{and} \quad \Delta V(a) = \sum_{m=0}^{N-1} \beta_m \cos \frac{Km}{N} \frac{a}{f_a}$$

(\widehat{G}_{IR} - instantons) (G_{UV}/G_{IR} - instantons)



Solving Axion Domain Wall Problem

II. Solution by Non-invertible Symmetry Breaking

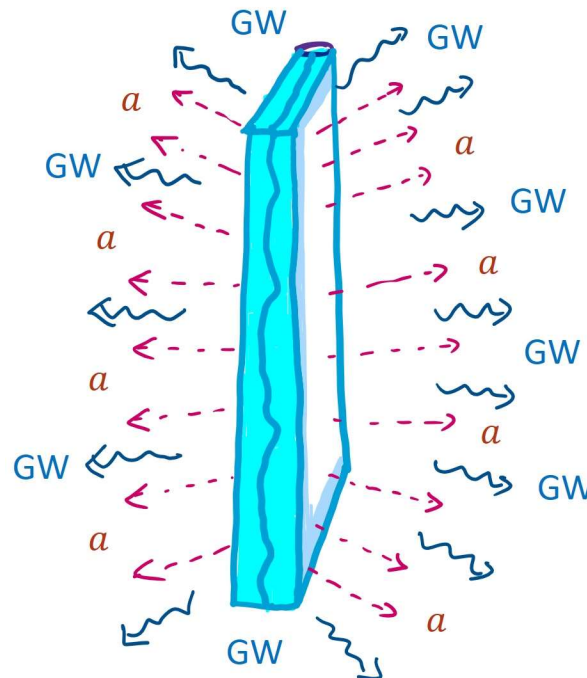


(Clay Cordova, Sungwoo Hong, Liantao Wang)

$$V(a) = V(a) + \Delta V(a)$$

$$V(a) = \sum_{n=NZ} \alpha_n \cos \frac{Kn}{N} \frac{a}{f_a} \quad \text{and} \quad \Delta V(a) = \sum_{m=0}^{N-1} \beta_m \cos \frac{Km}{N} \frac{a}{f_a}$$

(\widehat{G}_{IR} - instantons) (G_{UV}/G_{IR} - instantons)



Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

1. We found that the most well-known GUTs **can not** lift the vacuum degeneracy

$$\Gamma = Z_6 : SU(5), SO(10), E_6$$

$$\Gamma = Z_3 : SU(4)_C \times SU(2)_L \times SU(2)_R \text{ [Pati-Salam]}$$

$$\Gamma = Z_2 : SU(3)_C \times SU(3)_L \times SU(3)_R \text{ [Trinification]}$$

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

1. We found that the most well-known GUTs **can not** lift the vacuum degeneracy

$$\Gamma = Z_6 : SU(5), SO(10), E_6$$

$$\Gamma = Z_3 : SU(4)_C \times SU(2)_L \times SU(2)_R \text{ [Pati-Salam]}$$

$$\Gamma = Z_2 : SU(3)_C \times SU(3)_L \times SU(3)_R \text{ [Trinification]}$$

index of embedding in $G_{UV} \rightarrow G_{IR}$: 1-IR-instanton = n -UV-instanton

If $n \neq 1$, then $\exists G_{UV}$ -instantons not gauge-equivalent to G_{IR} -instanton

"Small Instantons"

These **small instantons** can break **non-invertible symmetries**.

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

1. We found that the most well-known GUTs **can not** lift the vacuum degeneracy

$$\Gamma = Z_6 : SU(5), SO(10), E_6$$

$$\Gamma = Z_3 : SU(4)_C \times SU(2)_L \times SU(2)_R \text{ [Pati-Salam]}$$

$$\Gamma = Z_2 : SU(3)_C \times SU(3)_L \times SU(3)_R \text{ [Trinification]}$$

index of embedding in $G_{UV} \rightarrow G_{IR}$: 1-IR-instanton = n -UV-instanton

If $n \neq 1$, then $\exists G_{UV}$ -instantons not gauge-equivalent to G_{IR} -instanton

"Small Instantons"

These **small instantons** can break **non-invertible symmetries**.

In all these cases, "**index of embedding (n)**" = **1**

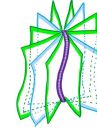
So, either anomaly coefficients " ℓ " in GUT should be **$\ell_{UV} = 1$**

or **extra structures** have to be supplemented to cure the DW problem

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories

(Clay Cordova, Sungwoo Hong, Liantao Wang)



2. GUT constraints on Axion-Gauge Couplings

$$S_{SU(5)} \supset \frac{i\ell_{\text{UV}}}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(g \wedge g)$$

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

2. GUT constraints on Axion-Gauge Couplings

$$S_{SU(5)} \supset \frac{i\ell_{UV}}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(g \wedge g)$$

$$\rightarrow \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

2. GUT constraints on Axion-Gauge Couplings

$$S_{SU(5)} \supset \frac{i\ell_{uv}}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(g \wedge g)$$

$$\rightarrow \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

$$\ell_3 = \ell_{uv}, \quad \ell_2 = \ell_{uv}, \quad \ell_1 = 30\ell_{uv}$$

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

2. GUT constraints on Axion-Gauge Couplings

$$S_{SU(5)} \supset \frac{i\ell_{uv}}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(g \wedge g)$$

$$\rightarrow \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

$$\ell_3 = \ell_{uv}, \ell_2 = \ell_{uv}, \ell_1 = 30\ell_{uv}$$

This is consistent with

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

but provides **more stringent constraints**.

Solving Axion Domain Wall Problem

III. Constraints on GUT Theories



(Clay Cordova, Sungwoo Hong, Liantao Wang)

2. GUT constraints on Axion-Gauge Couplings

$$SU(5) [Z_6]: \ell_3 = \ell_{uv}, \ell_2 = \ell_{uv}, \ell_1 = 30\ell_{uv}$$

$$SU(4)_C \times SU(2)_L \times SU(2)_R [Z_3]: \ell_3 = \ell_4, \ell_2 = \ell_L, \ell_1 = 12\ell_4 + 18\ell_R$$

$$SU(3)_C \times SU(3)_L \times SU(3)_R [Z_2]: \ell_3 = \ell_C, \ell_2 = \ell_L, \ell_1 = 6\ell_L + 24\ell_R$$



**Thank You
For
Your Attention!**

Back-up-1

(Quantization of Axion-Gauge Couplings)

Axion-Standard Model

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = Z_3 : \quad \ell_1 \in 3Z, \quad \ell_{2,3} \in Z, \quad \text{and} \quad \ell_1 + 6\ell_3 \in 9Z$$

Axion-Standard Model

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = Z_3 : \quad \ell_1 \in 3Z, \quad \ell_{2,3} \in Z, \quad \text{and} \quad \ell_1 + 6\ell_3 \in 9Z$$

$$(i) \quad G_{SM} = \frac{SU(3)_C \times U(1)_Y}{Z_3} \times SU(2)_L$$

Axion-Standard Model

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = Z_3 : \quad \ell_1 \in 3Z, \quad \ell_{2,3} \in Z, \quad \text{and} \quad \ell_1 + 6\ell_3 \in 9Z$$

$$(i) \quad G_{SM} = \frac{SU(3)_C \times U(1)_Y}{Z_3} \times SU(2)_L$$

$$(ii) \quad \text{Fractional Instanton of } \frac{SU(N)}{Z_N} : \quad \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} \supset \frac{N-1}{N} \int \frac{w \wedge w}{2}$$

$$(iii) \quad \oint_{\Sigma_2} \frac{F}{2\pi} = \frac{1}{3} \oint_{\Sigma_2} w(A_3) \Rightarrow \frac{F}{2\pi} = \frac{1}{3} w(A_3) + X, \quad X \in H^2(M_4, Z)$$

Axion-Standard Model

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = Z_3 : \quad \ell_1 \in 3Z, \quad \ell_{2,3} \in Z, \quad \text{and} \quad \ell_1 + 6\ell_3 \in 9Z$$

$$(i) \quad G_{SM} = \frac{SU(3)_C \times U(1)_Y}{Z_3} \times SU(2)_L$$

$$(ii) \quad \text{Fractional Instanton of } \frac{SU(N)}{Z_N} : \quad \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} \supset \frac{N-1}{N} \int \frac{w \wedge w}{2}$$

$$(iii) \quad \oint_{\Sigma_2} \frac{F}{2\pi} = \frac{1}{3} \oint_{\Sigma_2} w(A_3) \Rightarrow \frac{F}{2\pi} = \frac{1}{3} w(A_3) + X, \quad X \in H^2(M_4, Z)$$

(iv) Then, the axion periodicity $a \sim a + 2\pi f_a$ is respected if

$$\frac{\ell_1}{2} \int \left(\frac{w(A_3)}{3} + X \right)^2 + \ell_2 n_2 + \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w(A_3)^2}{2} \right) \in Z$$

Axion-Standard Model

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = Z_3 : \quad \ell_1 \in 3Z, \quad \ell_{2,3} \in Z, \quad \text{and} \quad \ell_1 + 6\ell_3 \in 9Z$$

$$(i) \quad G_{SM} = \frac{SU(3)_C \times U(1)_Y}{Z_3} \times SU(2)_L$$

$$(ii) \quad \text{Fractional Instanton of } \frac{SU(N)}{Z_N} : \quad \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} \supset \frac{N-1}{N} \int \frac{w \wedge w}{2}$$

$$(iii) \quad \oint_{\Sigma_2} \frac{F}{2\pi} = \frac{1}{3} \oint_{\Sigma_2} w(A_3) \Rightarrow \frac{F}{2\pi} = \frac{1}{3} w(A_3) + X, \quad X \in H^2(M_4, Z)$$

(iv) Then, the axion periodicity $a \sim a + 2\pi f_a$ is respected if

$$\frac{\ell_1}{2} \int \left(\frac{w(A_3)}{3} + X \right)^2 + \ell_2 n_2 + \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w(A_3)^2}{2} \right) \in Z$$

(1) Consider first the case when $w(A_3)^2$ -term vanishes

$$\frac{\ell_1}{3} \int w(A_3) \wedge X + \ell_2 n_2 + \ell_3 n_3 \in Z \Rightarrow \ell_1 \in 3Z, \quad \ell_2, \ell_3 \in Z$$

Axion-Standard Model

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = Z_3 : \quad \ell_1 \in 3Z, \quad \ell_{2,3} \in Z, \quad \text{and} \quad \ell_1 + 6\ell_3 \in 9Z$$

$$(i) \quad G_{SM} = \frac{SU(3)_C \times U(1)_Y}{Z_3} \times SU(2)_L$$

$$(ii) \quad \text{Fractional Instanton of } \frac{SU(N)}{Z_N} : \quad \int \frac{\text{Tr}(G \wedge G)}{8\pi^2} \supset \frac{N-1}{N} \int \frac{w \wedge w}{2}$$

$$(iii) \quad \oint_{\Sigma_2} \frac{F}{2\pi} = \frac{1}{3} \oint_{\Sigma_2} w(A_3) \Rightarrow \frac{F}{2\pi} = \frac{1}{3} w(A_3) + X, \quad X \in H^2(M_4, Z)$$

(iv) Then, the axion periodicity $a \sim a + 2\pi f_a$ is respected if

$$\frac{\ell_1}{2} \int \left(\frac{w(A_3)}{3} + X \right)^2 + \ell_2 n_2 + \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w(A_3)^2}{2} \right) \in Z$$

(1) Consider first the case when $w(A_3)^2$ -term vanishes

$$\frac{\ell_1}{3} \int w(A_3) \wedge X + \ell_2 n_2 + \ell_3 n_3 \in Z \Rightarrow \ell_1 \in 3Z, \quad \ell_2, \ell_3 \in Z$$

$$(2) \quad w(A_3)^2 : \quad \left(\frac{\ell_1}{9} + \frac{2\ell_3}{3} \right) \int \frac{w(A_3)^2}{2} \in Z \Rightarrow \ell_1 + 6\ell_3 \in 9Z$$

Axion-Standard Model

III. Axion-SM

$$S \supset \frac{i\ell_3}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(G \wedge G) + \frac{i\ell_2}{8\pi^2} \int \frac{a}{f_a} \text{Tr}(W \wedge W) + \frac{i\ell_1}{8\pi^2} \int \frac{a}{f_a} B \wedge B$$

1. G, W, B = field strength of $SU(3)_C, SU(2)_L, U(1)_Y$, respectively.

2. $G_{SM} = \frac{SU(3)_C \times SU(2)_L \times U(1)_Y}{\Gamma}$, $\Gamma = 1, Z_2, Z_3, Z_6$

3. **Quantization** of Axion-Gauge Couplings from non-trivial global form

$$\Gamma = 1 : \ell_{1,2,3} \in Z$$

$$\Gamma = Z_2 : \ell_1 \in 2Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 2\ell_2 \in 4Z$$

$$\Gamma = Z_3 : \ell_1 \in 3Z, \ell_{2,3} \in Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

$$\Gamma = Z_6 : \ell_1 \in 6Z, \ell_{2,3} \in Z, \ell_1 + 2\ell_2 \in 4Z, \text{ and } \ell_1 + 6\ell_3 \in 9Z$$

Back-up-2

(Non-invertible Axion Shift Symmetry)

Axion-Standard Model

4. Non-invertible Axion Shift Symmetry: e.g. $\Gamma = Z_3$

$$Z_K^I \subset Z_{\text{gcd}(\ell_2, \ell_3)}^{NI} \approx Z_{\ell_3}^{NI} \quad \text{where } K = \text{gcd}\left(\frac{\ell_1}{3}, \ell_2, \ell_3, \frac{\ell_1 + 6\ell_3}{9}\right)$$

Under $a \rightarrow a + 2\pi f_a/z$ (recall: $F/2\pi = w/3 + X$)

$$\delta S = \frac{2\pi i \ell_1}{z} \frac{1}{2} \int \left(\frac{w}{3} + X\right)^2 + \frac{2\pi i}{z} \ell_2 n_2 + \frac{2\pi i}{z} \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w^2}{2}\right) \in 2\pi i Z$$

Axion-Standard Model

4. **Non-invertible Axion Shift Symmetry:** e.g. $\Gamma = Z_3$

$$Z_K^I \subset Z_{\text{gcd}(\ell_2, \ell_3)}^{NI} \approx Z_{\ell_3}^{NI} \quad \text{where } K = \text{gcd}\left(\frac{\ell_1}{3}, \ell_2, \ell_3, \frac{\ell_1 + 6\ell_3}{9}\right)$$

Under $a \rightarrow a + 2\pi f_a/z$ (recall: $F/2\pi = w/3 + X$)

$$\delta S = \frac{2\pi i \ell_1}{z} \frac{1}{2} \int \left(\frac{w}{3} + X\right)^2 + \frac{2\pi i}{z} \ell_2 n_2 + \frac{2\pi i}{z} \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w^2}{2}\right) \in 2\pi i Z$$

(1) For $w^2 = 0$: $\frac{\ell_1/3}{z}, \frac{\ell_2}{z}, \frac{\ell_3}{z} \in Z$

Axion-Standard Model

4. Non-invertible Axion Shift Symmetry: e.g. $\Gamma = Z_3$

$$Z_K^I \subset Z_{\text{gcd}(\ell_2, \ell_3)}^{NI} \approx Z_{\ell_3}^{NI} \quad \text{where } K = \text{gcd}\left(\frac{\ell_1}{3}, \ell_2, \ell_3, \frac{\ell_1 + 6\ell_3}{9}\right)$$

Under $a \rightarrow a + 2\pi f_a/z$ (recall: $F/2\pi = w/3 + X$)

$$\delta S = \frac{2\pi i \ell_1}{z} \frac{1}{2} \int \left(\frac{w}{3} + X\right)^2 + \frac{2\pi i}{z} \ell_2 n_2 + \frac{2\pi i}{z} \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w^2}{2}\right) \in 2\pi i Z$$

(1) For $w^2 = 0$: $\frac{\ell_1/3}{z}, \frac{\ell_2}{z}, \frac{\ell_3}{z} \in Z$

(2) From w^2 -term : $\frac{\ell_1 + 6\ell_3}{9z} \in Z$

Axion-Standard Model

4. Non-invertible Axion Shift Symmetry: e.g. $\Gamma = Z_3$

$$Z_K^I \subset Z_{\text{gcd}(\ell_2, \ell_3)}^{NI} \approx Z_{\ell_3}^{NI} \quad \text{where } K = \text{gcd}\left(\frac{\ell_1}{3}, \ell_2, \ell_3, \frac{\ell_1 + 6\ell_3}{9}\right)$$

Under $a \rightarrow a + 2\pi f_a/z$ (recall: $F/2\pi = w/3 + X$)

$$\delta S = \frac{2\pi i \ell_1}{z} \frac{1}{2} \int \left(\frac{w}{3} + X\right)^2 + \frac{2\pi i}{z} \ell_2 n_2 + \frac{2\pi i}{z} \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w^2}{2}\right) \in 2\pi i Z$$

(1) For $w^2 = 0$: $\frac{\ell_1/3}{z}, \frac{\ell_2}{z}, \frac{\ell_3}{z} \in Z$

(2) From w^2 -term : $\frac{\ell_1 + 6\ell_3}{9z} \in Z$

\Rightarrow Invertible Symmetry: $Z_{\text{gcd}(\ell_1/3, \ell_2, \ell_3, (\ell_1 + 6\ell_3)/9)}$

Axion-Standard Model

4. Non-invertible Axion Shift Symmetry: e.g. $\Gamma = Z_3$

$$Z_K^I \subset Z_{\text{gcd}(\ell_2, \ell_3)}^{NI} \approx Z_{\ell_3}^{NI} \quad \text{where } K = \text{gcd}\left(\frac{\ell_1}{3}, \ell_2, \ell_3, \frac{\ell_1 + 6\ell_3}{9}\right)$$

Under $a \rightarrow a + 2\pi f_a/z$ (recall: $F/2\pi = w/3 + X$)

$$\delta S = \frac{2\pi i \ell_1}{z} \int \left(\frac{w}{3} + X\right)^2 + \frac{2\pi i}{z} \ell_2 n_2 + \frac{2\pi i}{z} \ell_3 \left(n_3 + \frac{2}{3} \int \frac{w^2}{2}\right) \in 2\pi i Z$$

(1) For $w^2 = 0$: $\frac{\ell_1/3}{z}, \frac{\ell_2}{z}, \frac{\ell_3}{z} \in Z$

(2) From w^2 -term : $\frac{\ell_1 + 6\ell_3}{9z} \in Z$

\Rightarrow Invertible Symmetry: $Z_{\text{gcd}(\ell_1/3, \ell_2, \ell_3, (\ell_1 + 6\ell_3)/9)}$

(3) Regular Z -valued instanton effects: $Z_{\text{gcd}(\ell_2, \ell_3)} \approx Z_{\ell_3}$