# Studies of missing-energy final states focusing on $B^+ \rightarrow K^+ \nu \bar{\nu}$ at Belle I

PNU-IBS workshop on axion physics : Search for axions

5-8 December 2023, ParadiseHotel in Busan

**PNU-CCCP and IBS-CTPU** 

Youngjoon Kwon (Yonsei U.) Dec.8, 2023 for PNU-IBS Workshop on Axion Physics



### Overview

- Quick intro. to Belle II
- (起) ALP search at Belle II
- (承) Test of LFU at Belle II
  - Exclusive,  $R(D^{(*)})$
  - Incluisve,  $R(X_{\tau/\ell})$
- (轉)  $B^+ \to K^+ \nu \overline{\nu}$
- (結) Closing

**Belle II** arXiv:2311.07248

**Belle II** arXiv:2311.14647

### **Belle II** PRL 125, 161806 (2020)

### **Belle II** preliminary (EPS-HEP 2023)

**SuperKEKB**  $e^{-} \xrightarrow{7 \text{ GeV}} (\star) \xleftarrow{4 \text{ GeV}} e^{+}$  **Bele** 







26 countries/regions, ~120 institutions, ~1000 collaborators

# Belle I Collected luminosity before LS1 (2019-2022)

Belle II has been in operation through the Pandemic era, with modified working mode in accordance with the anti-pandemic policy.

peak luminosity world record  $4.7 \times 10^{34} \text{ cm}^{-2} \text{s}^{-1}$ 



Updated on 2022/06/22 18:13 JST

### **Belle II Physics Mind-m**



nap
CP
es
ons, Dalitz analyses
avor violation
ays Measurements
Vtd/Vts from penguins
Exclusive measurements
-D(*) tau nu, lepton universality
upha, beta, gamma
ents Direct T violation
vew physics phases in b->s: B->phi Ks, B->eta' Ks

B-->K pi, pi pi Direct CPV, isospin sum rules

B-->K\* gamma and radiative penguins, B-->K(\*) nu nubar

<sup>froweak</sup> Penguins: b-->s I+I-, lepton universality, NP

gamma determinations

### Image courtesy of Tom Browder



### **Belle II Data**



### Image courtesy of Tom Browder

### **Belle II Physics Mind-map**





### How to handle a missing particle at Belle II?

$$\bullet e^+e^- \to \Upsilon(4S) \to B\overline{B}$$

- only two B mesons in the final state
- Since the initial state is clearly determined, fully accounting one  $B(B_{tag})$  makes it possible to constrain the accompanying  $B(B_{sig})$
- Having a single missing particle (e.g.  $\nu$ ) is usually as clean as getting all particles measured
- The price to pay is a big drop of efficiency ( < O(1%))



Studies of missing-energy final states at Belle II

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### How to handle a missing particle at Belle II?

### ${}^{\bullet}e^+e^- \to \Upsilon(4S) \to B\overline{B}$

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Imiss

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# **ALP search at Belle II**

### PHYSICAL REVIEW LETTERS 125, 161806 (2020)

### Search for Axionlike Particles Produced in $e^+e^-$ Collisions at Belle II

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### Search for ALPs at Belle II

- Search for axion-like particles in  $e^+e^- \rightarrow \gamma a$ for  $a \rightarrow \gamma \gamma$  (i.e.  $3\gamma$  final state) and  $a \rightarrow$  invisible (i.e.  $\gamma + \gamma \gamma$ )
- use 2018 data of Belle II with  $\int \mathscr{L} dt = (496 \pm 3) \text{ pb}^{-1}$

✓ use 10% data for optimization and measure with 445  $pb^{-1}$ 

- $m_a$ -dependent  $E_{\gamma}$  threshold ✓ I.0 GeV for  $m_a \le 4$  GeV, and 0.65 GeV for  $m_a > 4$  GeV,
- Require  $0.88 \le M_{3\gamma} / \sqrt{s} \le 1.03$

 $\checkmark$  study Data sideband with  $M_{3\nu}/\sqrt{s} \leq 0.88$ 









- fit  $M_{\rm rec}^2$  for  $m_a > 6.85$  GeV,
- Look for resonance in the fit



### **ALP significance & limits**



### PRL 125, 161806 (2020)



# LFU test in Belle II





# $R(D^{(*)}) \equiv \frac{\mathscr{B}(B \to D^{(*)}\tau^+\nu)}{\mathscr{B}(B \to D^{(*)}\ell^+\nu)}$

# $R(D^*)$ from Belle II









- Signal  $(B \to D^* \tau^+ \nu)$  & Normalization  $(B \to D^* \ell^+ \nu)$  $\bigcirc$ 
  - extracted simultaneously
  - by fitting 2D  $(M_{\text{miss}}^2, E_{\text{ECL}})$

$$\begin{split} M_{\rm miss}^2 &\equiv (p_{e^+e^-} - p_{B_{\rm tag}} - p_{D^*} - p_\ell)^2 \\ E_{\rm ECL} &= {\rm extra\ energy\ (unmatched)\ in\ the} \end{split}$$

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EM calorimeter

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New for July, 2023



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dominant sources:  $E_{\rm ECL}$  PDF shape, MC statistics

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### New for July, 2023



## $R(D^*)$ from Belle II



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New for July, 2023 **Preliminary** 



R(D) vs.  $R(D^*)$ , updated



# Inclusive LFU test w/ $R(X_{\tau/\ell})$

- Why measure  $R(X_{\tau/\ell})$ ?
  - different systematics from  $R(D^{(*)})$
  - hence, a complementary test of LFU

Procedure

- use  $\tau \to \ell \nu_{\tau} \overline{\nu}_{\ell}$  modes
- select events with  $B_{\text{tag}} + \ell$ , with remaining particles attributed to X
- background mostly from  $b \to c \to \ell$ ; some continuum and fake leptons
- require  $p_{\rho} > 0.3 (0.5)$  and  $p_{\rho} > 0.4 (0.7)$  in CM (lab)

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# $R(X_{\tau/\ell})$ , event modeling

- separate templates for *e*, μ for each of *Xτν*,
   *Xℓν*, other *BB*, and continuum (*qq*,
   constrained using off-resonance data)
- for reliable template shapes
  - detailed adjustments to MC (FF's, B and D BF's)
  - corrections by comparison of MC to data in control region: low  $q^2$ , low  $M_{\rm miss}^2$ , high  $M_X$
  - for instance, adjust  $M_X$  in  $p_\ell$  > 1.4 sideband; using these weights also improves modeling in  $M_{\rm miss}^2$  and  $q^2$





25000

20000

0.15000

00001cs

2.5 0.0

2500

20000

 $O_{15000}$ 

Candidates J 2000 00001 2000

Pulls

Pulls

Main sources of systematic uncertainty:MC stat $\pm 5.7 \%$ Bkg shape $\pm 5.5 \%$  $M_X$  modeling $\pm 7.1 \%$  $B \rightarrow X_c \ell \nu$  BFs $\pm 7.7 \%$  $B \rightarrow X_c \ell \nu$  FFs $\pm 7.9 \%$ 

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# $R(X_{\tau/\ell})$ Results

### $R(X_{\tau/\ell}) = 0.228 \pm 0.016 \pm 0.036$

 $R(X_{\tau/e}) = 0.232 \pm 0.020 \pm 0.037$  $R(X_{\tau/\mu}) = 0.222 \pm 0.027 \pm 0.050$ 





- [38] M. Rahimi and K. K. Vos, Standard Model predictions for lepton flavour universality ratios of inclusive semileptonic B decays, J. High Energ. Phys. 2022, 7 (2022).
- [40] Z. Ligeti, M. Luke, and F. J. Tackmann, Theoretical predictions for inclusive  $B \to X_u \tau \overline{\nu}$  decay, Phys. Rev. D **105**, 073009 (2022).





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 $p_{e}^{B}$  [GeV/c]

 $\int \mathcal{L} dt = 189 \, \text{fb}^{-1}$ 

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# $B^+ \rightarrow K^+ \nu \bar{\nu} \lambda$ at Belle II





- In the SM,  $\bigcirc$ 
  - $\mathscr{B}(B^+ \to K^+ \nu \bar{\nu}) = (4.6 \pm 0.5) \times 10^{-6} \, [4]$
- T. Blake, G. Lanfranchi, and D. M. Straub, Prog. Part. 4 Nucl. Phys. **92**, 50 (2017).
- sensitive to new physics BSM, e.g.  $\bigcirc$ 
  - leptoquarks, •
  - axions, •
  - DM particles, etc.



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### PRL 127, 181802 (2021)





# And, we now have an updated result for 2023!

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### **Basic reconstruction of tracks and clusters:**

- Charged particles: E > 100 MeV/c, close to collision point, in the central part of the detector 0
- Neutral particles: E > 100 MeV (ITA), E > [60,...,150] MeV,  $\phi$ -dependent (HTA) 0
- Signal kaon track candidates required to have high probability to be kaon 0

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over corrected simulation.

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Sum of neutral particle energies [GeV]

3

 $\mathbf{2}$ 

1.5

1.0

0.5

0

Data Corr. sim.

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FIG. 2. Summed neutral energy obtained in collision data (points with error bars), uncorrected simulated data (empty histogram), and corrected simulated data (filled histogram), in which a  $B^+ \to K^+ J/\psi$  decay is reconstructed. The correction corresponds to a variation of the hadronic energy by -10%. The simulation is normalized to the number of events in data. The ratio shown in the lower panel refers to data

### **Correction for** $n_{\gamma}$ extra



FIG. 3. Distributions of the number of photon candidates in the rest of the event for the HTA after the selection described in Sec. IV in data (points) and simulation (histogram) for the opposite-charge pion-enriched control sample, on the left before the photon multiplicity correction and on the right after the correction. The yields are shown individually for the three background categories ( $B\bar{B}$  decays,  $c\bar{c}$  continuum, and light-quark continuum). The data to simulation ratio is shown in the bottom panel

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1.0

# $K_L^0$ efficiency correction



FIG. 4. Efficiency of reconstructing an energy deposit in the ECL matched to the  $K_{\rm L}^0$  direction as a function of the  $K_{\rm L}^0$  energy for data and simulation selected with the ITA analysis.

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# PID (K vs. $\pi$ ) validation



FIG. 22. Distribution of  $\Delta E$  in data obtained for  $B^+ \rightarrow (K^+, \pi^+)D^0$  decays reconstructed as  $B^+ \rightarrow K^+ \nu \bar{\nu}$  events with the daughters from the  $D^0$  decays removed.

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### $\mu(BDT_h)$ Signal efficiency (ATA-VS- HTA) $K\mathchar`-B_{tag}$ after multi-variate analysis for ROE with BDT



FIG. 5. Signal-selection efficiency as a function of the dineutrino invariant mass squared  $q^2$  for simulated events in the SR for the ITA (left) and HTA (right). The error bars indicate the statistical uncertainty.  $B^+ \to K^+ \nu \bar{\nu}$ 

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# Signal efficiency validation (ITA)



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### Continuum bkgd. estim. using OFF data



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### arXiv:2311.14647



data. The pull distribution is shown in the bottom panel.

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## **Check with lepton-enriched samples**



FIG. 10. Distribution of  $q_{\rm rec}^2$  in data (points with error bars) and simulation (filled histograms) divided into three groups (B-meson decays with and without subsequent  $D \to K_{\rm L}^0 X$  decays, and the sum of the five continuum categories), for the electron- (left) and muon-enriched (right) PID control samples with  $\eta(BDT_2) > 0.92$  in the ITA. The pull distribution is shown in the bottom pannel.

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bottom panel.



FIG. 12. Distribution of the invariant mass of the  $K^+K^$ pair from  $B^0 \to K^0_{\rm S}K^+K^-$  decays in background-subtracted data (points with error bars) and the sum of the simulated pwave nonresonant component (histogram filled with red), the s-wave contribution estimated using  $B^+ \to K^+K^0_{\rm S}K^0_{\rm S}$  decays in data (histogram filled with blue), and simulated  $B^0 \to K^0_{\rm S}\phi$ ,  $\phi \to K^+K^-$  decay (histogram filled with purple). The distribution obtained using  $B^+ \to K^+K^0_{\rm S}K^0_{\rm S}$  decays in data is corrected for efficiency and the ratio of the  $B^+$  and  $B^0$ lifetimes. The simulated distributions are normalized to the number of  $B\bar{B}$  events. The pull distribution is shown in the

# Closure test (ITA)



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Pion ID instead of kaon ID
Different q<sup>2</sup><sub>rec</sub> bin boundaries
Only on-resonance data used for fit
Only normalization systematics included

### • $\mathscr{B}(B^+ \to \pi^+ K^0) = (2.5 \pm 0.5) \times 10^{-5}$

•  $\mathscr{B}(B^+ \to \pi^+ K^0) = (2.5 \pm 0.5) \times 10^{-5}$ 

Consistent with PDG: Measured values consistent with PDG v  $B(\vec{B}^+ \rightarrow \pi^+ \vec{K}^0) = (2.3 \pm 0.08) \times 10^-$ 

# Results

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### arXiv:2311.14647



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# $B^+ \rightarrow K^+ \nu \overline{\nu} result (ITA)$



FIG. 13. Observed yields and fit results in bins of the  $\eta(BDT_2) \times q_{rec}^2$  space obtained by the ITA simultaneous fit to the off- and on-resonance data, corresponding to an integrated luminosity of 42 and  $362 \, \text{fb}^{-1}$ , respectively. The yields are shown individually for neutral and charged *B*-meson decays and the sum of the five continuum categories. The yields are obtained in bins of the  $\eta(BDT_2) \times q_{rec}^2$  space. The pull distribution is shown in the bottom panel.

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# $B^+ \rightarrow K^+ \nu \overline{\nu}$ post-fit distributions (ITA)





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 $B^+ \rightarrow K^+ \nu \nu$  post-fit distributions (ITA)



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# $B^+ \rightarrow K^+ \nu \overline{\nu}$ post-fit (SE) distributions (ITA)





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 $B^+ \rightarrow K^+ \nu \overline{\nu}$  post-fit (SE) distributions (ITA)



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FIG. 17. Observed yields and fit results in bins of  $\eta(BDTh)$ as obtained by the HTA fit, corresponding to an integrated luminosity of 362 fb<sup>-1</sup>. The yields are shown for the signal and the three background categories ( $B\bar{B}$  decays,  $c\bar{c}$  continuum, and light-quark continuum). The pull distribution is shown in the bottom panel.

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### arXiv:2311.14647



# $\rightarrow K^+ \nu \overline{\nu}$ result (HTA)

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FIG. 16. Twice the negative log-likelihood ratio as a function of the signal strength  $\mu$  for the ITA, the HTA, and the combined result. The value for each scan point is determined by fitting the data, where all parameters but  $\mu$  are varied.

arXiv:2311.14647



# $B^+ \to K^+ \nu \overline{\nu}$ (combined)

• Prob(null signal of  $B^+ \rightarrow K^+ \nu \overline{\nu}$ ) = 0.012% (3.5 $\sigma$ )

• Prob( $B^+ \rightarrow K^+ \nu \overline{\nu}$  from SM only) = 0.17% (2.7 $\sigma$ )

# Stability checks



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### arXiv:2311.14647



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### arXiv:2311.14647



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# Closing

- With a very clean initial state of  $e^+e^-$  collision, equipped with a hermetic Belle II detector covering near  $4\pi$  around the interaction point, we can study many subjects involving final states with missing particles by using  $(E, \vec{p})$  conservation.
- In this talk, we have presented just a few selected topics including ALP search (2020), test of LFU with  $\tau \to \ell \nu \overline{\nu}$ , and  $B^+ \to K^+ \nu \overline{\nu}$ .
- By combining two (nearly independent) analysis methods, Belle II has observed evidence for  $B^+ \to K^+ \nu \overline{\nu}$  at 3.5 $\sigma$ , which is above SM prediction by  $2.7\sigma$ .







### Key variables of B decays

Id: low background and matic constraints.

event shape



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### Full Event Interpretation (FEI)

- FEI algorithm to reconstruct  $B_{tag}$ 
  - uses ~200 BDT's to reconstruct  $\mathcal{O}(10^4)$  different *B* decay chains
  - assign signal probability of being correct  $B_{tag}$

Comput Softw Big Sci 3, 6 (2019)





### arXiv:2008.060965