

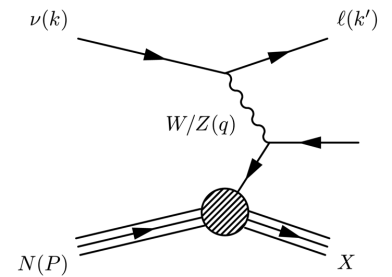
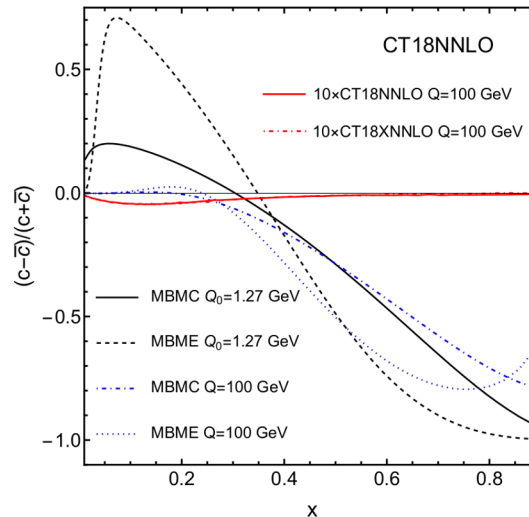
recent PDF developments at very high and low x

Tim Hobbs, ANL HEP Division Theory

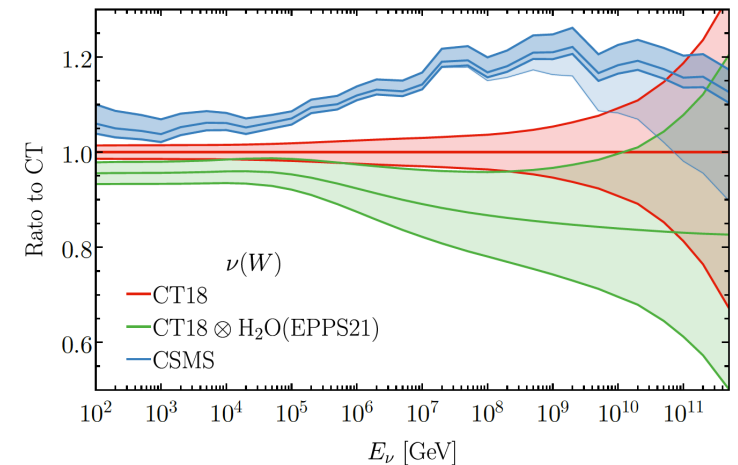
recently released,

[arXiv: 2303.13607](https://arxiv.org/abs/2303.13607)

[PLB843 \(2023\) 137975](https://arxiv.org/abs/2303.13607)

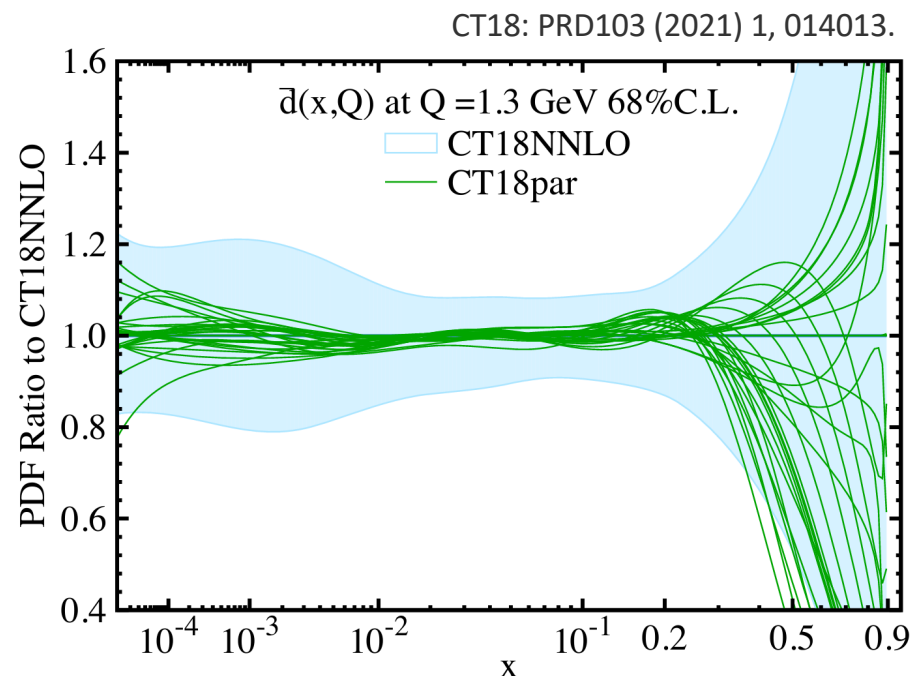
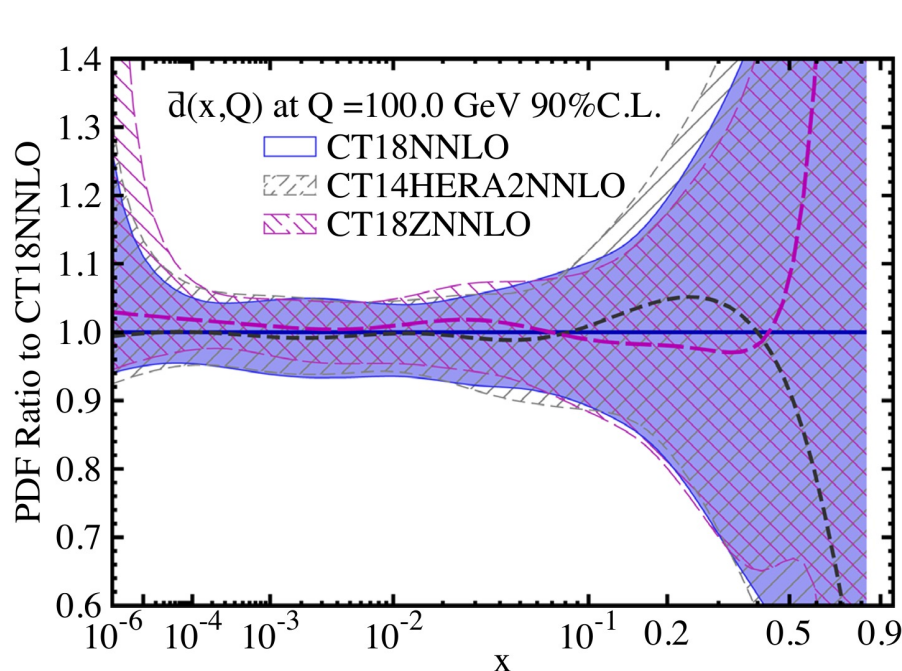


with members of the
CTEQ-TEA (Tung Et. Al.) working group



PDFs at high and low x remain challenging to constrain

- data sparsity from kinematical limitations in available experiments
 - difficult to access $x > 0.5$ (systematics); $x < 10^{-5}$ (energy restrictions)
 - *e.g.*, high-, low- x sea PDFs: large errors; strong parametrization dependence



- this talk: highlight two recent developments
 - status of high- x sea --- nonperturbative charm
 - phenomenology at low- x : ultra-high energy (UHE) neutrino scattering

recent CT high- x work: nonperturbative ('intrinsic') charm

June: PLB843 (2023) 137975

Guzzi, TJH, Xie, Huston, Nadolsky, Yuan

$$F_i = C_i \otimes f_{c/p}$$

might also explore *nonperturbative* charm; *i.e.*, not radiatively generated,

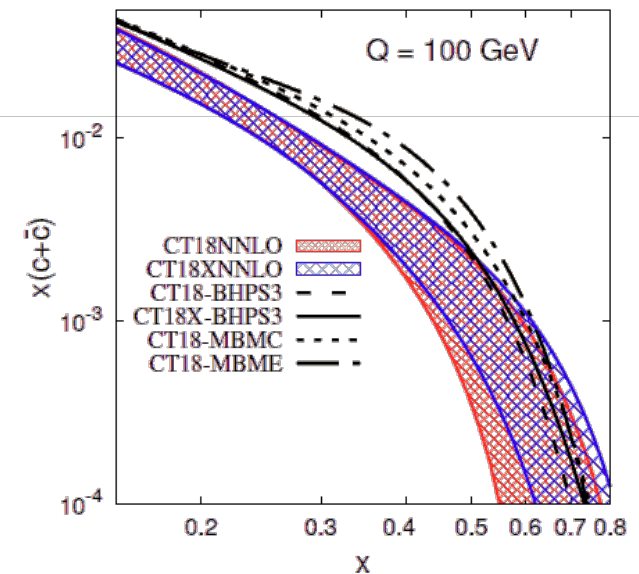
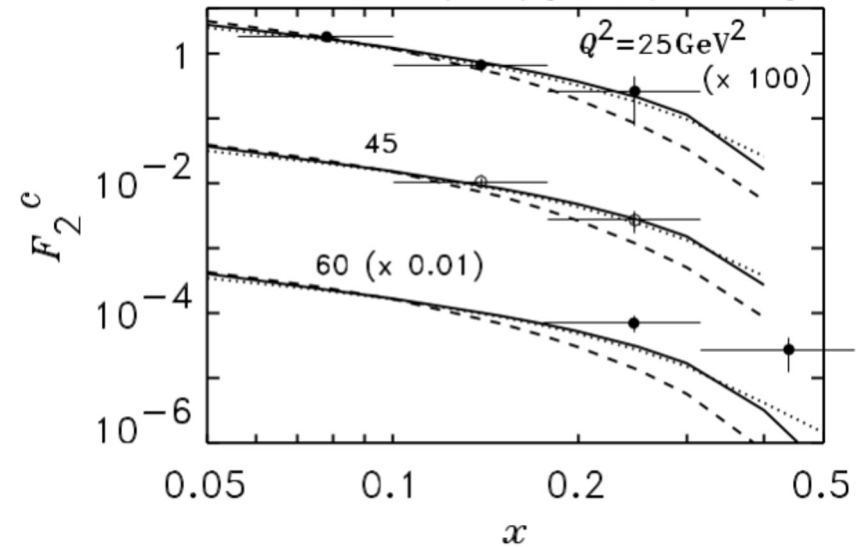
$$c(x, Q = m_c) = c^{\text{IC}}(x) \neq 0$$

but can global PDF fits constrain intrinsic charm?

“fitted charm” is a more direct term to describe the charm PDF found in the global QCD fit

analog: the fitted charm mass

F. M. Steffens, W. Melnitchouk and A. W. Thomas, Eur. Phys. J. C **11**, 673 (1999) [hep-ph/9903441].



PDF fits may include a fitted charm PDF

fitted charm = “higher-twist charm”
+ other (possibly not universal)
higher $O(\alpha_s)$, higher-power terms

QCD factorization theorem for DIS structure function $F(x, Q)$ [Collins, 1998]:

All α_s orders:
$$F(x, Q) = \sum_{a=0}^{N_f} \int_x^1 \frac{d\xi}{\xi} C_a \left(\frac{x}{\xi}, \frac{Q}{\mu}, \frac{m_c}{\mu}; \alpha(\mu) \right) f_{a/p}(\xi, \mu) + \mathcal{O}(\Lambda^2/m_c^2, \Lambda^2/Q^2)$$

PDF fits implement this formula only up to (N)NLO ($N_{ord} = 1$ or 2):

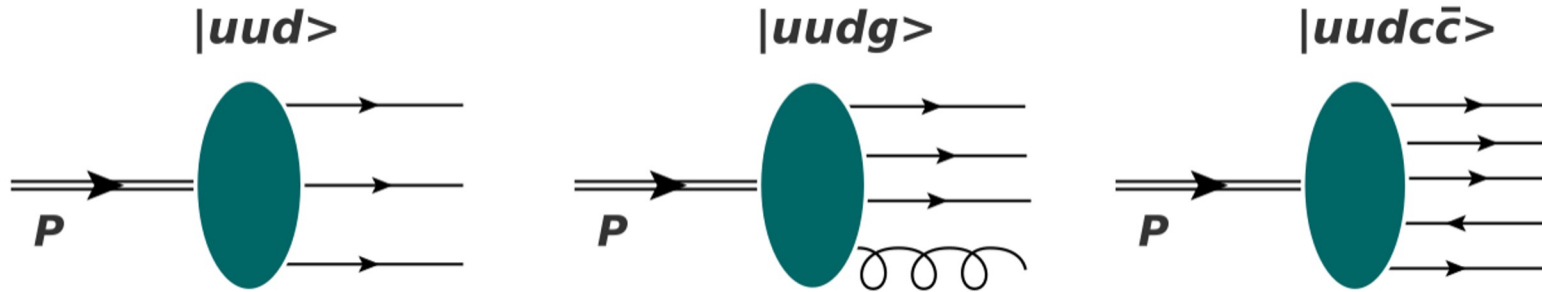
PDF fits:
$$F(x, Q)^{[\text{trunc}]} = \sum_{a=0}^{N_f} \int_x^1 \frac{d\xi}{\xi} C_a^{(N_{ord})} \left(\frac{x}{\xi}, \frac{Q}{\mu}, \frac{m_c}{\mu}; \alpha(\mu) \right) f_{a/p}^{(N_{ord})}(\xi, \mu)$$

leading-power charm PDF component cancels at $Q \approx m_c$, up to a higher order
fitted charm component may potentially **absorb missing terms** of orders α_s^p with $p > N_{ord}$, or Λ^2/m_c^2 , or Λ^2/Q^2

nonperturbative QCD can generate a low-scale charm PDF

Fock expansion

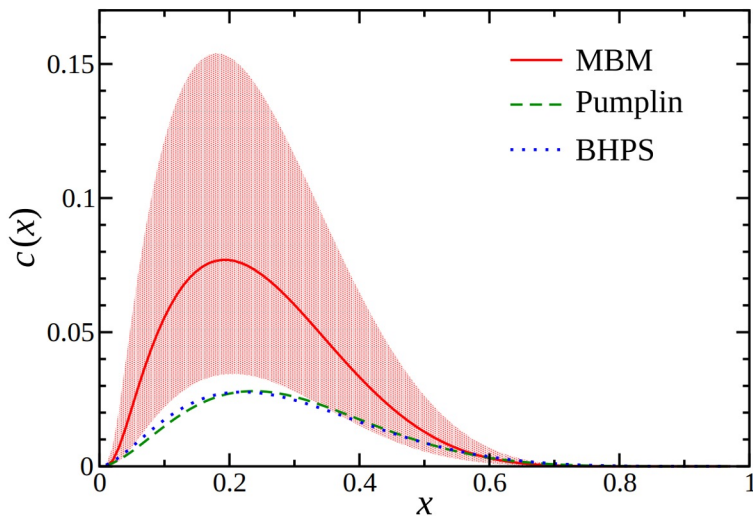
Brodsky, Hoyer, Peterson, Sakai (BHPS); Phys. Lett. **B93** (1980) 451.



IC PDF: transition matrix element, $|\text{proton}\rangle \rightarrow |uudc\bar{c}\rangle \rightarrow$ old-fashioned PT; scalar field theory

$$P(p \rightarrow uudc\bar{c}) \sim \left[M^2 - \sum_{i=1}^5 \frac{k_{\perp i}^2 + m_i^2}{x_i} \right]^{-2} \quad (\text{'BHPS3': full mass dependence})$$

$$m_c = m_{\bar{c}} \implies c^{\text{BHPS}}(x) = \bar{c}^{\text{BHPS}}(x)$$



→ more complex models: meson-baryon model (MBM); produce charm-anticharm asymmetry

TJH, Londergan, Melnitchouk, PRD89, 074008 (2014).

→ generically yields valence-like shape; governed by charm, hadronic mass scales

Z+c at LHCb: intriguing new data; need theory development

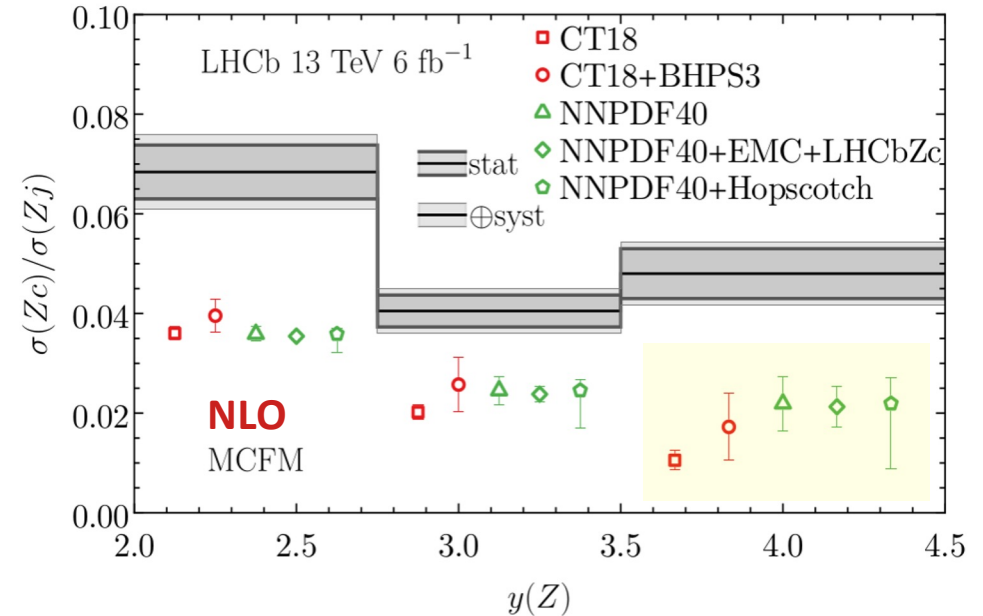
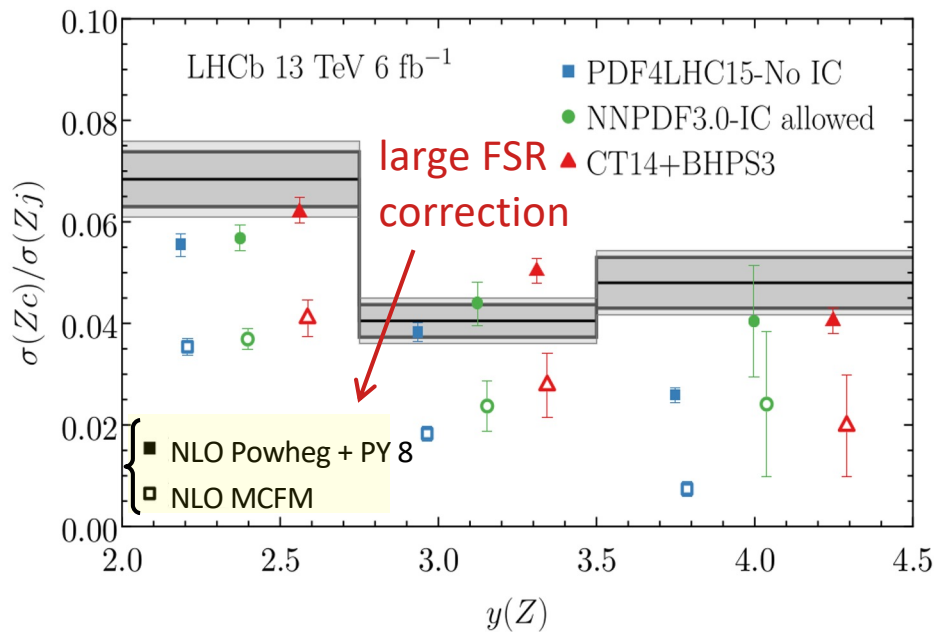
2022 LHCb 13 TeV data: (Z+c) / (Z+jet) ratios; 3 rapidity bins

R. Aaij, *et al.* (LHCb); arXiv: 2109.08084.

- FC slightly enhances ratio; not enough to improve agreement with data

→ meanwhile, significant theory uncertainties

$$x \sim \frac{Q}{\sqrt{s}} \exp y$$



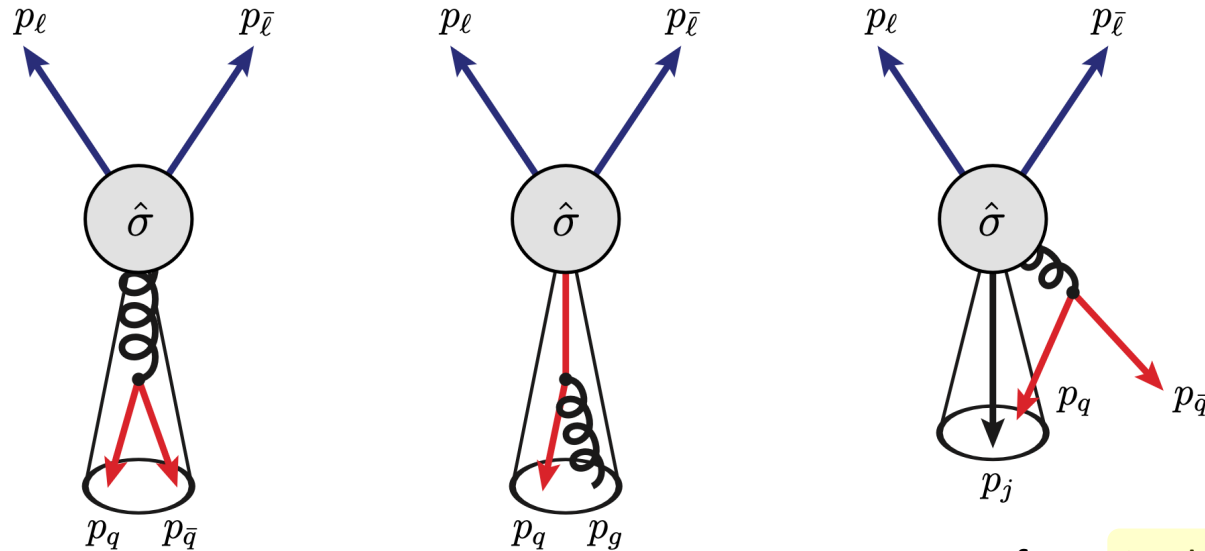
→ calculated **NLO** cross-section ratio similarly depends on showering, hadronization

NNLO calculations recently available, but not implemented in PDF fits

R. Gauld, *et al.*; arXiv: 2005.03016; [2302.12844](https://arxiv.org/abs/2302.12844) M. Czakon, *et al.*; arXiv: 2011.01011.

Z+c at LHCb: intriguing new data; need theory development

- in addition to NNLO corrections, IRC safety poses challenges to jet algorithms:



from Gauld et al., 2302.12844

- multi-parton interactions (MPI) can represent a significant correction
 - ~10% effect on $(Z+c)/(Z+jet)$, especially for $y(Z) > 3.5$; large uncertainty
- massless fixed-order calculation affected by divergences

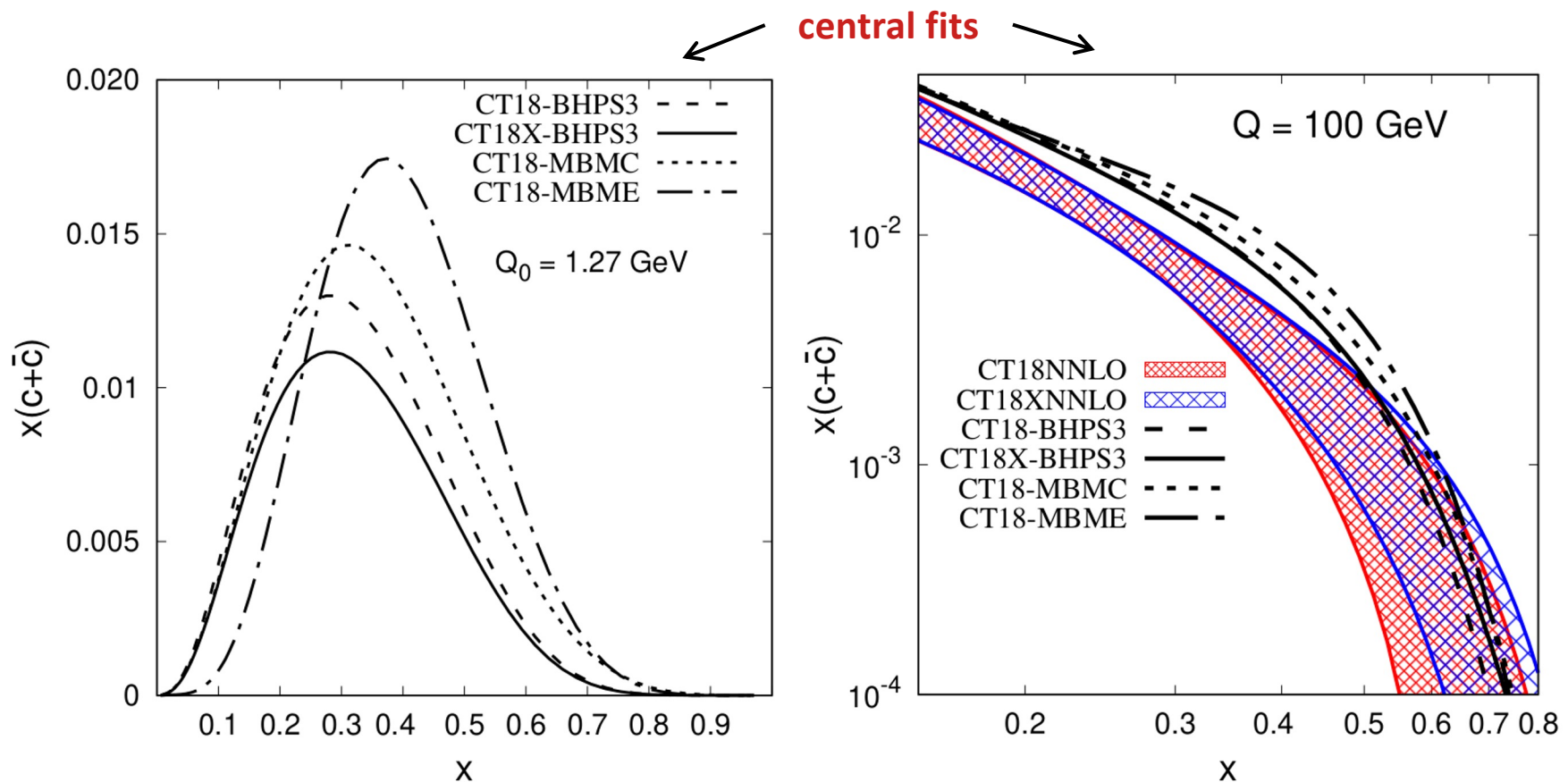
theory improvements would help guarantee interpretation for PDF extractions

CT18 FC: fit charm against baseline CT data set

FC scenarios traverse range of high- x behaviors from IC models

- fit implementation of BHPS from CT14IC (BHPS3) on CT18 or CT18X (NNLO)
- fit two MBMs: MBMC (confining), MBME (effective mass) on CT18

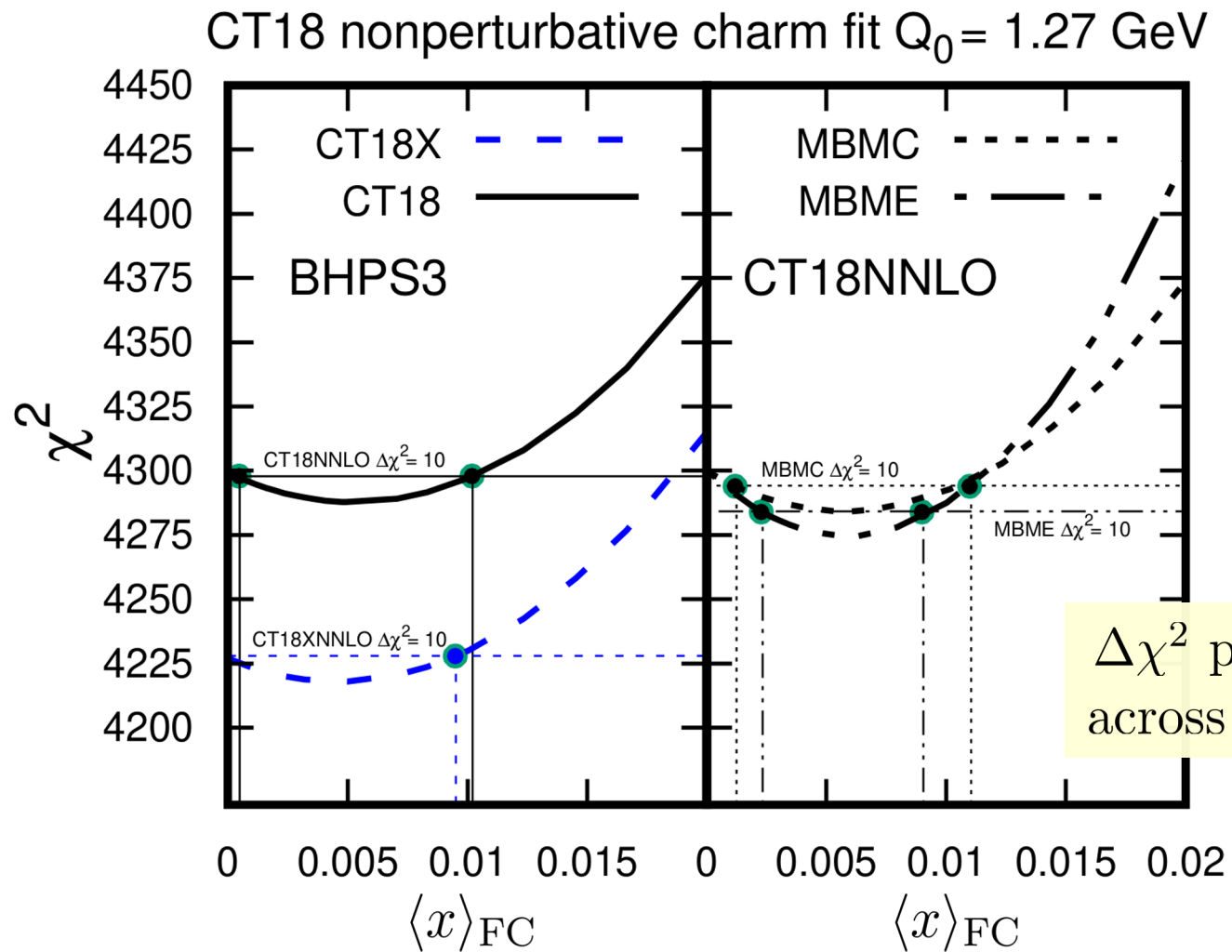
investigate constraints from newer LHC data in CT18



signal for FC in CT18 study, but with shallower $\Delta\chi^2$ than CT14 IC

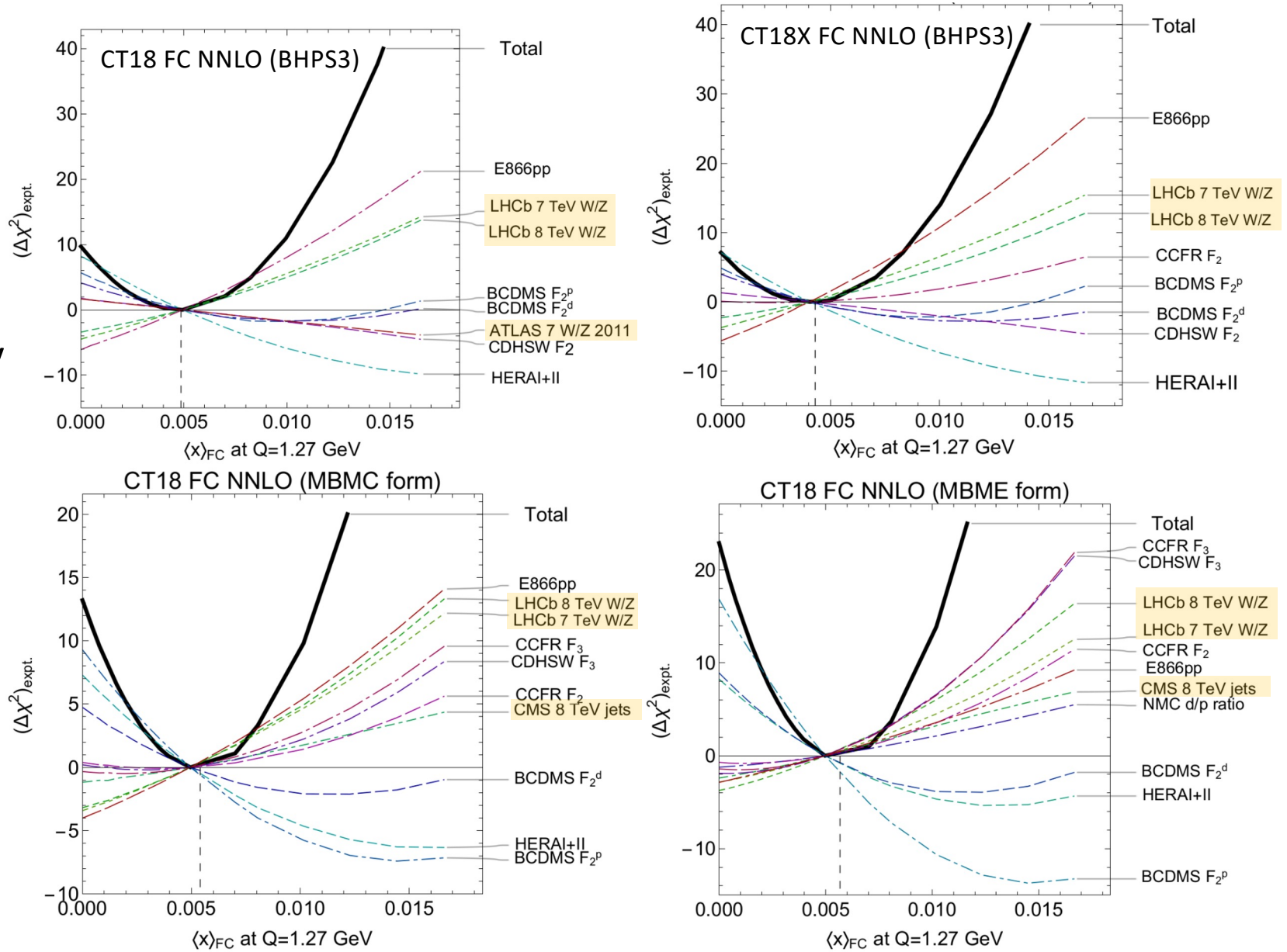
FC uncertainty quantified by normalization via $\langle x \rangle_{\text{FC}}$ for each input IC model

→ $\langle x \rangle_{\text{FC}} \approx 0.5\%$ ($\Delta\chi^2 \gtrsim -25$) vs. $\langle x \rangle_{\text{FC}} \approx 0.8-1\%$ ($\Delta\chi^2 \gtrsim -40$) **CT14 IC**



data pull opposingly on $\langle x \rangle_{FC}$; depend on FC scenario, enhancing error

Run-1 LHC
expts generally
prefer smaller
magnitudes of
FC

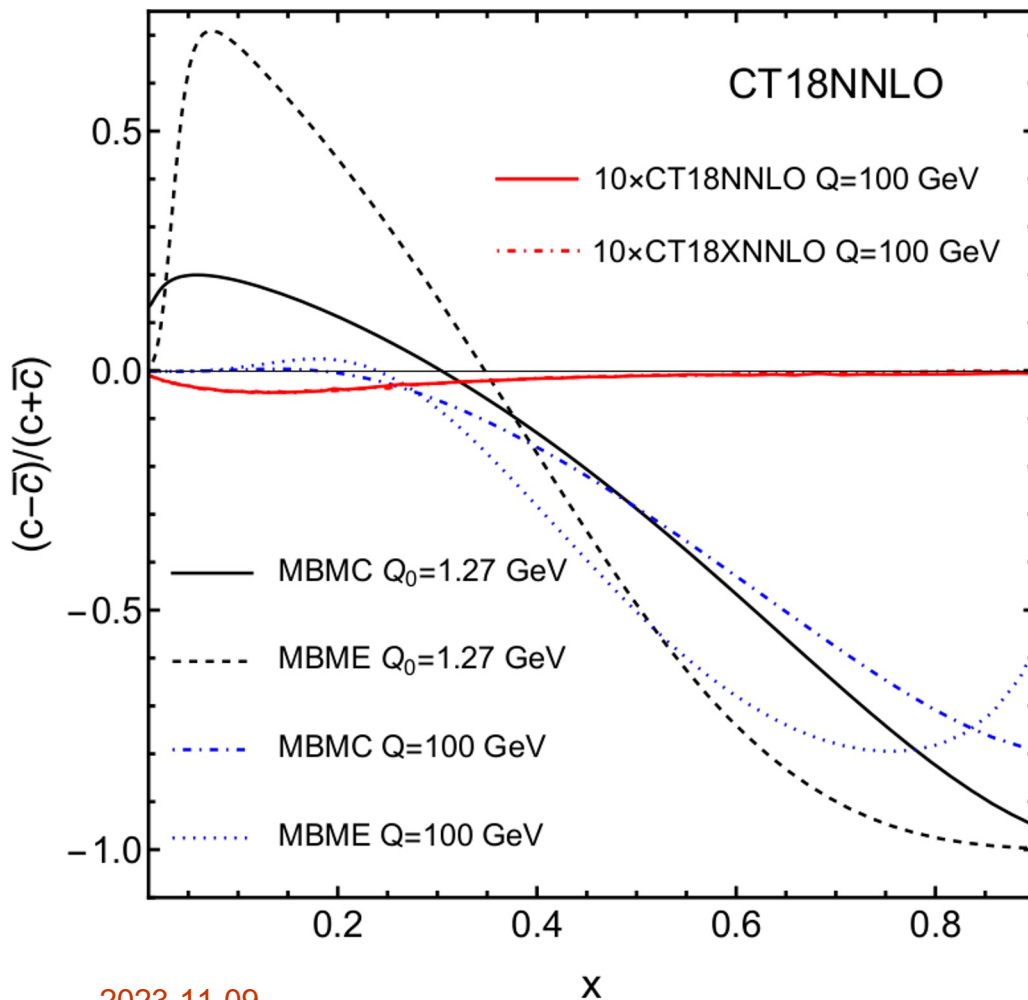


possible charm-anticharm asymmetries

pQCD only very weakly breaks $c = \bar{c}$ through HO corrections

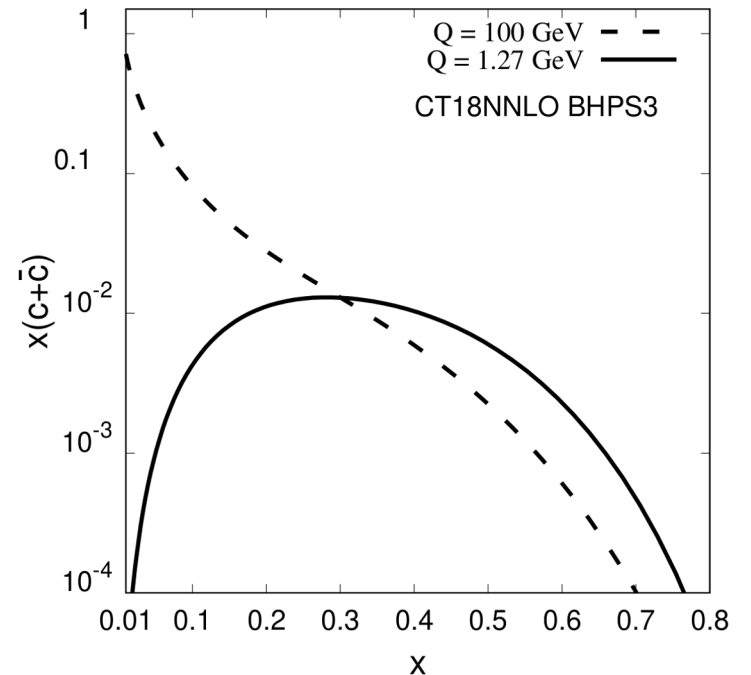
- large(r) charm asymmetry would signal nonpert dynamics, IC
- MBM breaks $c = \bar{c}$ through hadronic interactions

TJH, Londergan, Melnitchouk, PRD89, 074008 (2014).



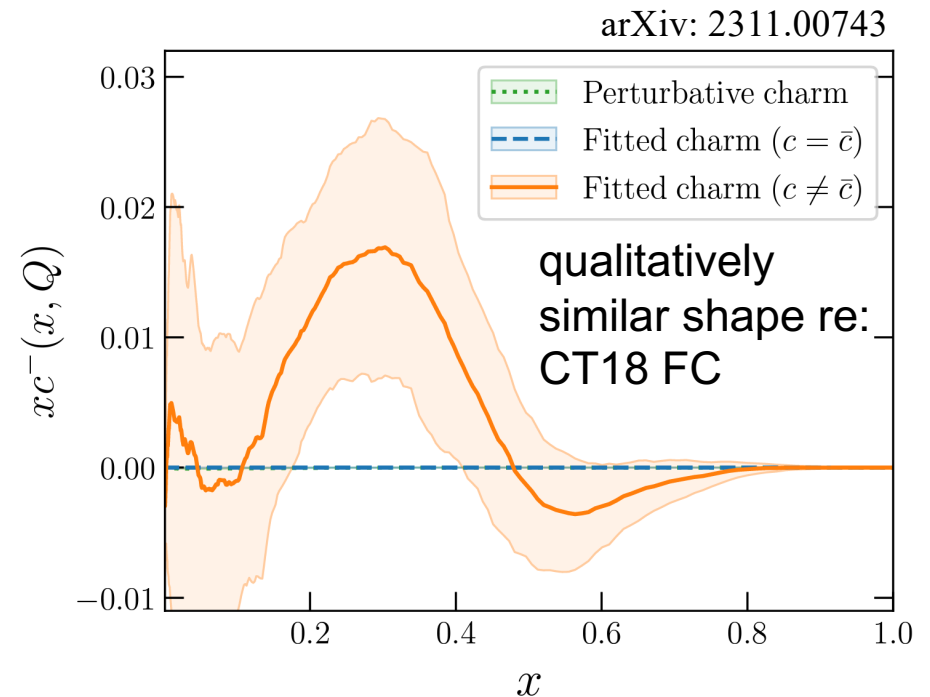
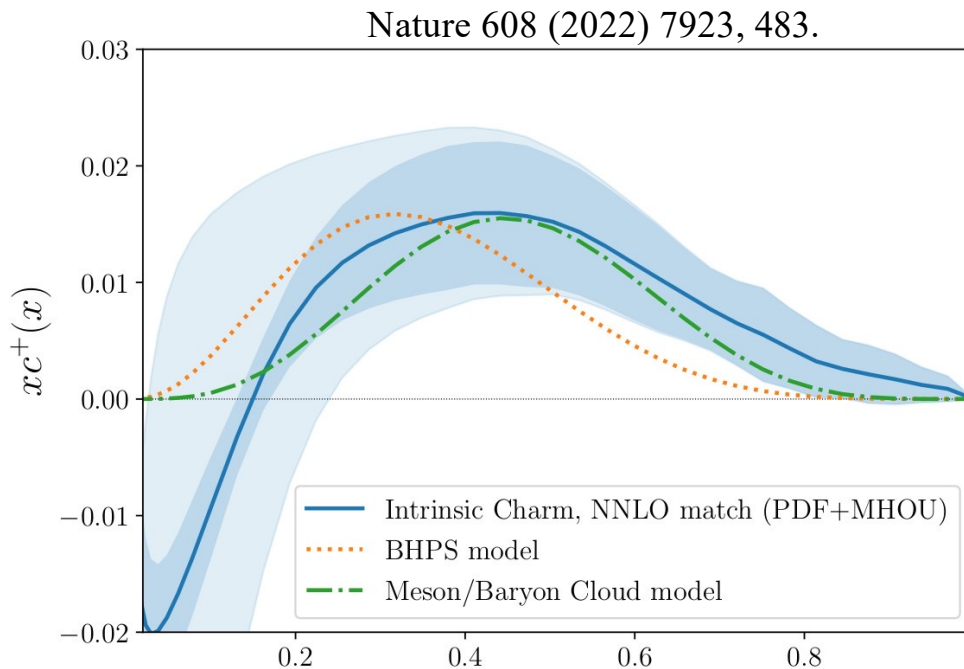
first global fit with charm asymmetry

- asym. small but ratio (left) can be bigger; will be hard to extract from data



other recent analyses

- NNPDF have recently claimed $\sim 3\sigma$ evidence for ‘IC’
 - based on local (x -dependent) deviation of FC PDF from the ‘no-FC’ scenario
 - implies crucial dependence on size and shape of PDF uncertainty



→ **Two classes of uncertainties need further scrutiny:**

1. Missing HO unc (MHOU): N3LO in DIS, etc.; N2LO in Z+c production

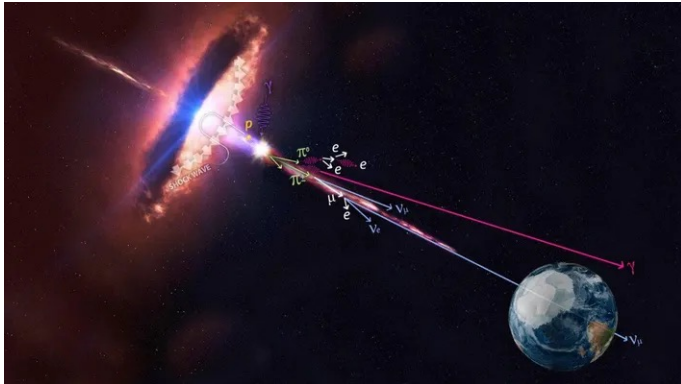
$$\langle x \rangle_{\text{FC}} = 0.62 \pm 0.28\% \text{ without MHOU} \quad \langle x \rangle_{\text{FC}} = 0.62 \pm 0.61\% \text{ with MHOU}$$

2. Parametrization sampling uncertainty (underestimation of PDFU); more acute for asym.

similar pheno limitations from incomplete PDF knowledge at (very) low x

UHE neutrinos: probe matter at extreme scales, BSM sensitivity

- relevant energies are those attained at *neutrino telescopes*, e.g., IceCube



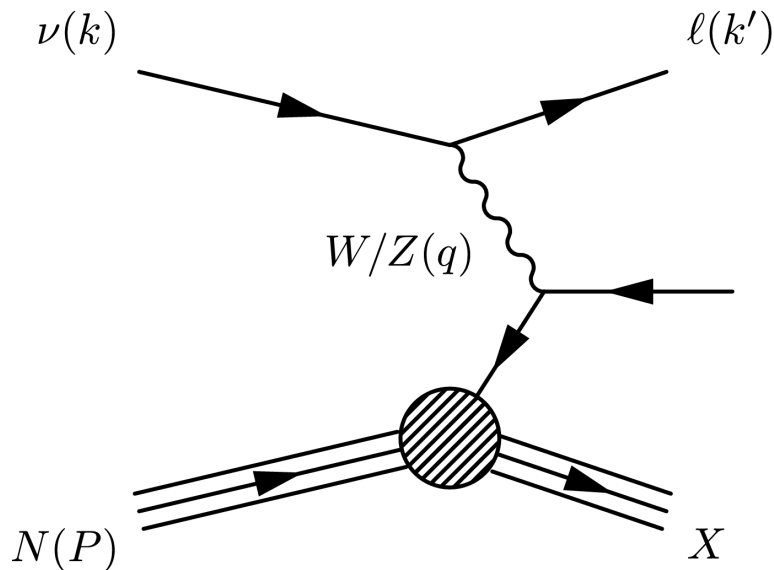
Xie, Gao, TJH, Stump, Yuan [arXiv: 2303.13607](https://arxiv.org/abs/2303.13607)

‘high energy’: $10^3 < E_\nu < 10^8$ GeV

‘ultra-high energy’ (UHE): $E_\nu > 10^8$ GeV

- far exceed energy scales achievable at terrestrial facilities (e.g., the LHC)
 - potentially probe saturation physics at extremely low x
 - test BSM scenarios: leptoquarks, hidden extra dimensions, ...
 - provide information on 6 of 9 flavor oscillation channels
 - yield insights into astrophysics (‘multi-messenger’ astronomy)

high-energy neutrino scattering dominated by DIS



- high-energy neutrino interactions resolve quark-level structure of hadrons and nuclei
- precision tied to knowledge of proton and nuclear **structure functions**:

$$\frac{d^2\sigma^{\nu(\bar{\nu})}}{dxdy} = \frac{G_F^2 m_N E_\nu}{\pi(1 + Q^2/M_{W,Z}^2)^2} \left[\frac{y^2}{2} 2xF_1 + \left(1 - y - \frac{m_N xy}{2E_\nu}\right) F_2 \pm y \left(1 - \frac{y}{2}\right) xF_3 \right]$$

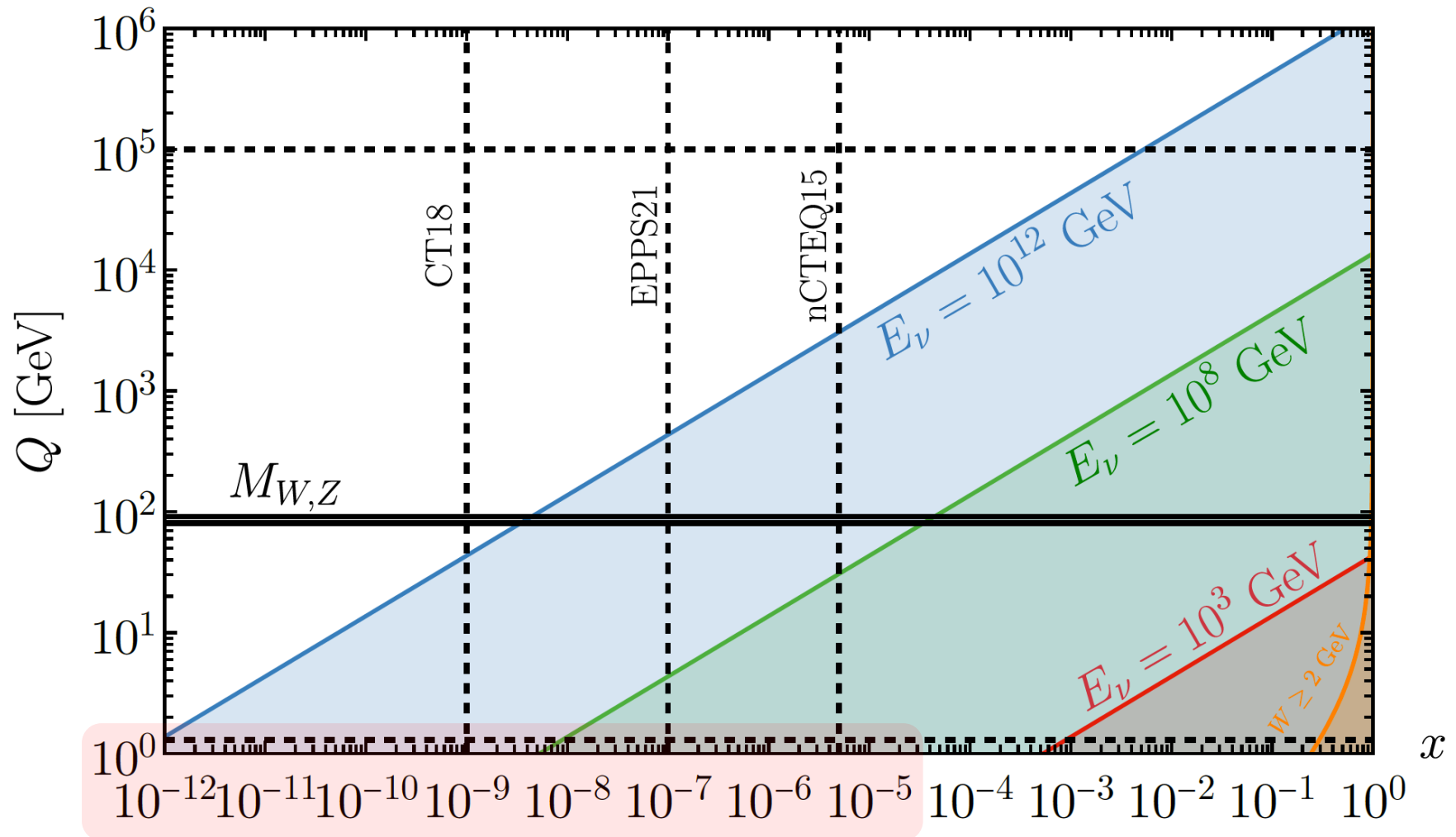
- requires control over corresponding parton distribution functions (PDFs):

$$F_2^{\nu(W^+)} = 2x \left(\sum_i d_i + \sum_j \bar{u}_j \right) \quad \text{leading-order! ...modern HEP calculations NNLO+}$$

low- x PDFs and uncertainties are key to cross sections

$$\sigma = \int_{Q_{\min}^2}^{2m_N E_\nu} dQ^2 N(Q^2) \int_{x_{\min}}^1 \frac{dx}{x} \mathcal{F}(x, Q^2)$$

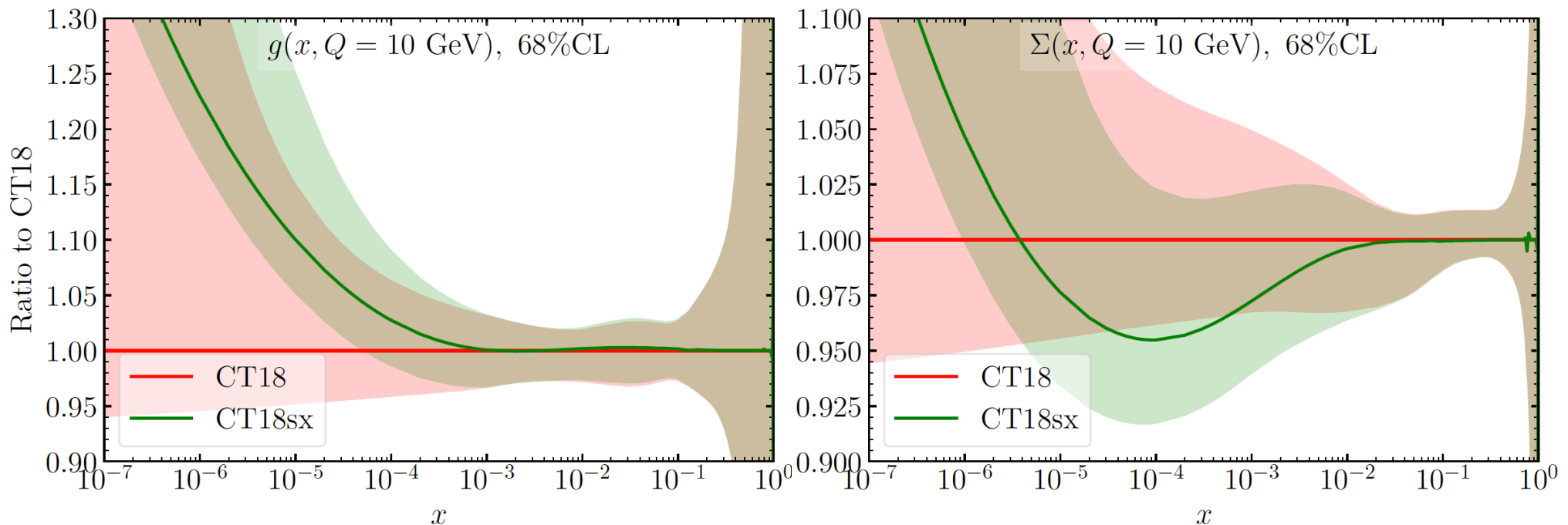
- total cross sections influenced by low x at increasing energies



cross sections sensitive to proton PDF extrapolation region

- gluon and singlet PDF uncertainties become poorly controlled below

$$x \lesssim 10^{-5} - 10^{-4}$$



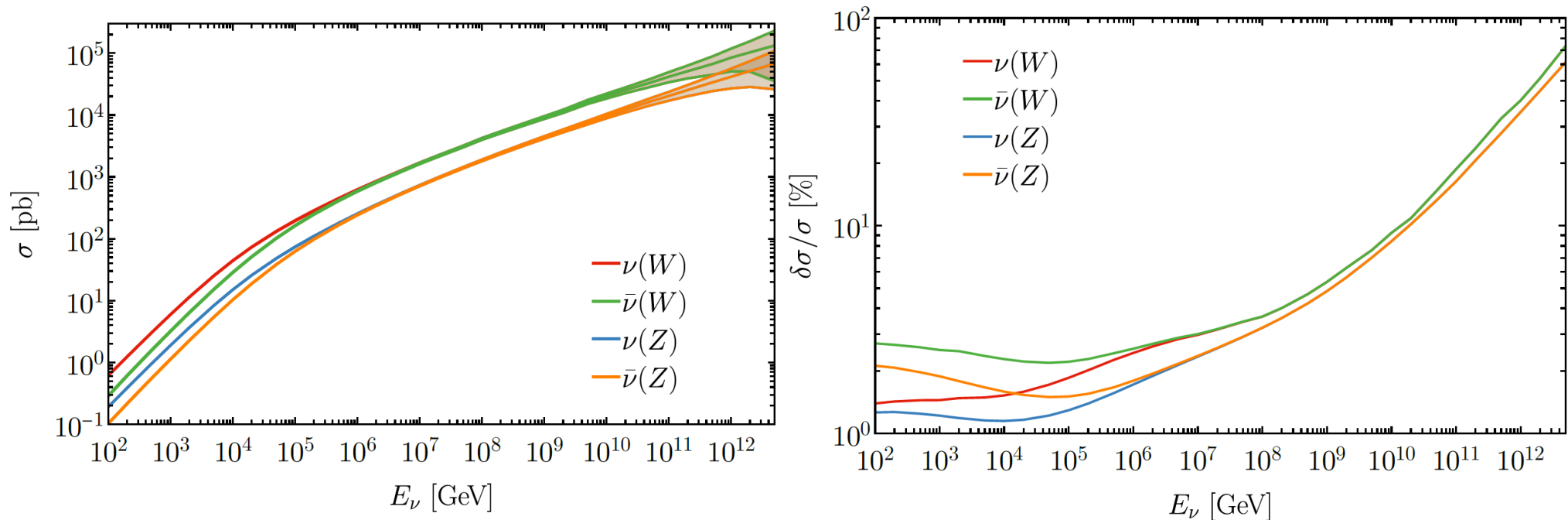
- parametrization, low- x resummation and related effects become significant

→ CT18sx: alternative small- x study; enhancements to extracted PDFs at low x

proton PDF uncertainties influence the HE cross section

- low- x PDF uncertainties ultimately produce $\sim 100\%$ variations in total cross sections

→ NNLO (isoscalar) single-nucleon interaction; (also aN³LO)

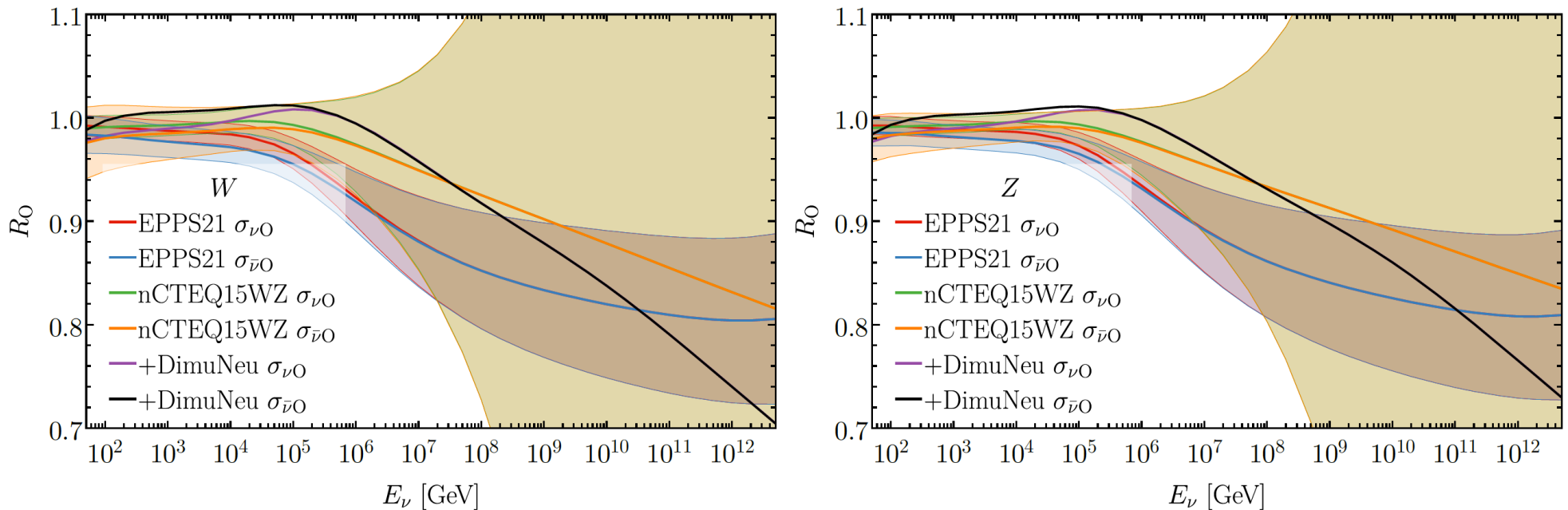


- little charge dependence, as uncertainties driven by large low- x uncertainties

nuclear scattering introduces further corrections, uncertainties

- uncertainties still larger for A -dependent nPDFs, especially at low x (data sparsity)

→ example: high-energy suppression of cross section tied to nuclear shadowing



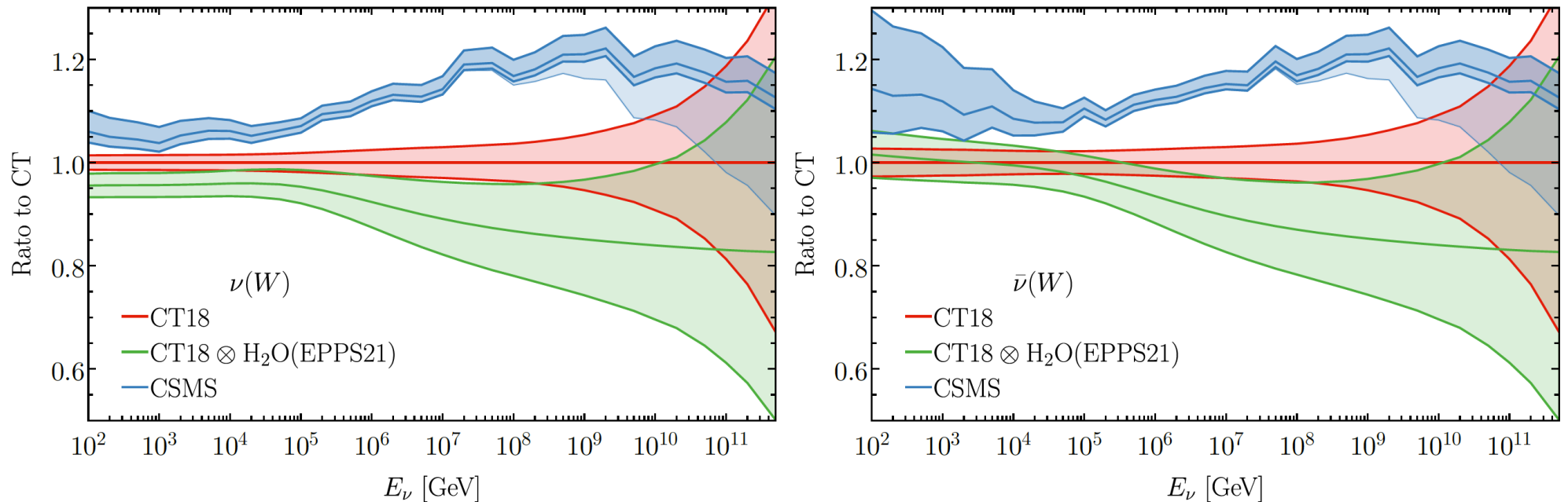
- open questions related to process independence, tensions in nPDF extractions

→ weak vs. EM scattering from nuclei [**DimuNeu** above --- neutrino only fit]

see talk: M. Klasen

Muzakka et al., PRD106 (2022) 7, 074004

QCD uncertainties remain challenging at high energies



- combined CT18 and EPPS21 uncertainties represent a more aggressive scenario
 - nCTEQ15-based predictions enlarge high-energy uncertainties further
- many additional model considerations: heavy-quark mass effects; extrapolations, ...
 - compelling arena to test QCD across HEP-NP! [arXiv: 2303.13607](https://arxiv.org/abs/2303.13607)
 - e.g.*, nuclear models in saturation regime could strengthen BSM sensitivity...

outlook

- high- and low-x remain vital frontiers in PDF determinations
 - **experimental frontier**, due to lack of sensitive data
 - **theory frontier**: input in terms of formalism, statistical treatment needed
 - perturbative effects, resummation; HQ dynamics; ...
connections to BSM sensitivity
 - also, subtleties in PDF parametrization dependence; extrapolations
- these considerations apply to **fitted charm** and **UHE neutrino** examples in this talk
- need for novel approaches to PDF parametrization, associated uncert. quantification
 - see talk(s): Aurore and Pavel
- complementary approaches involving ML (e.g., NNPDF-style NN parametrizations)
 - such methods can be challenging to interpret
Brandon Kriesten and TJH
 - forthcoming paper (this month):
interpretability, hyperparameter sensitivity of ML models at high-x